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Environmental Protection
Agency

Solid Waste
and Emergency Response
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EPA

Grant Resources for Solid Waste Activities in Indian Country

WASTE MANAGEMENT

IN INDIAN COUNTRY

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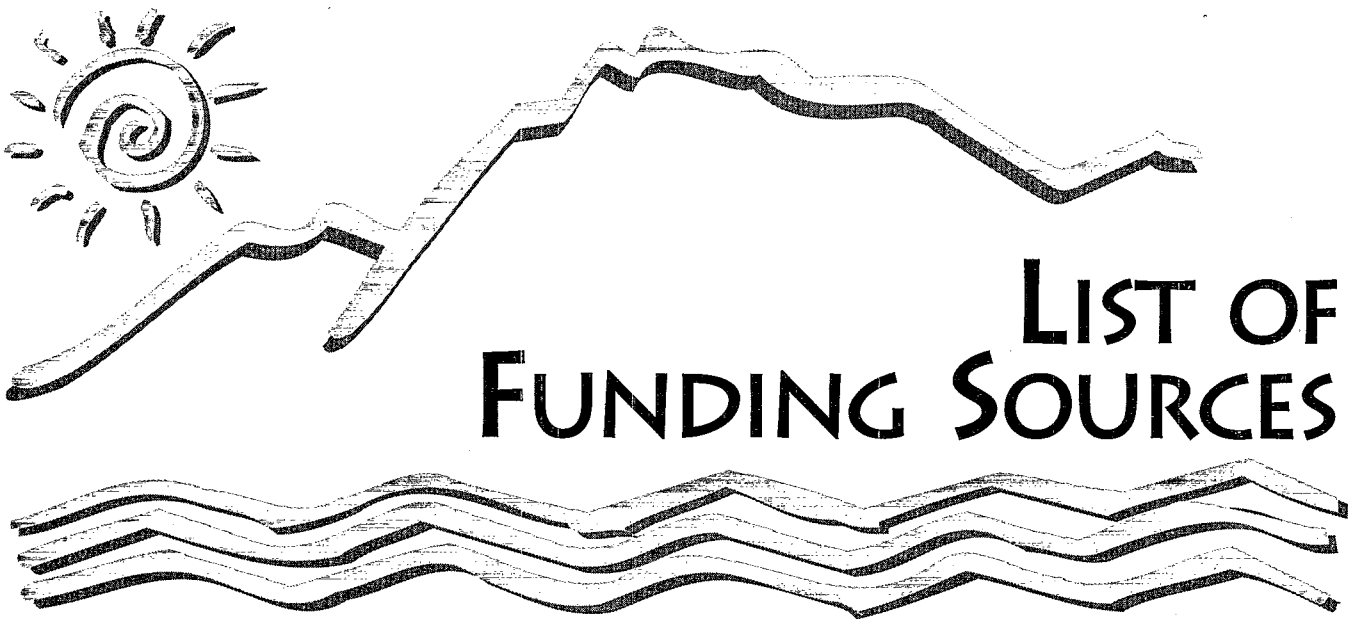

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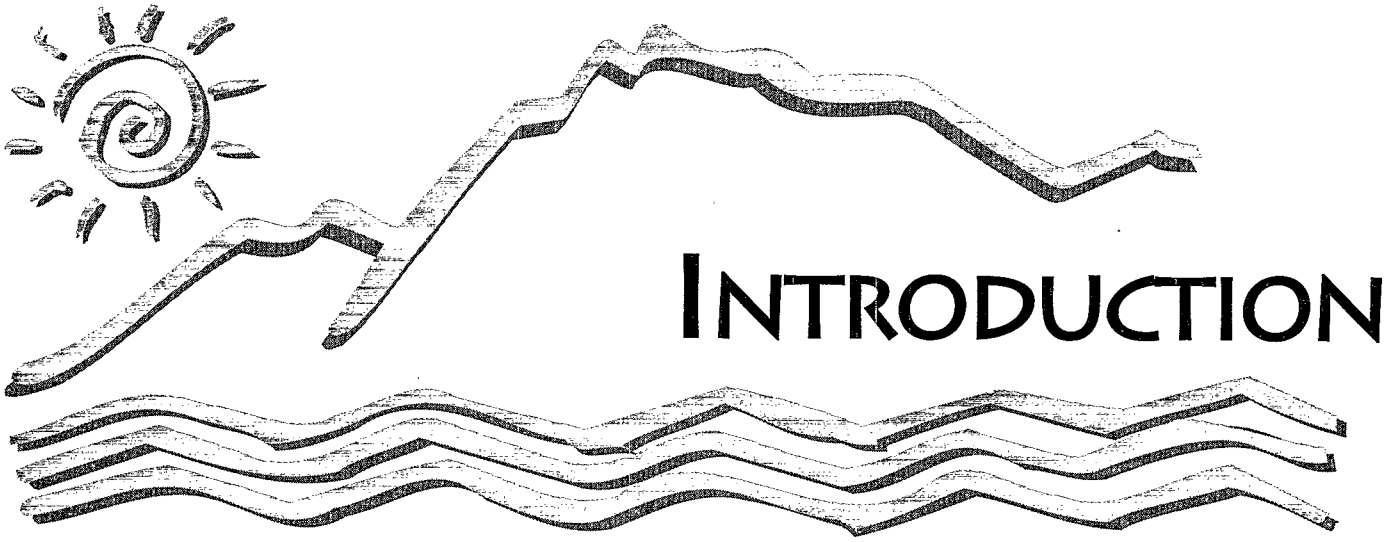


INTRODUCTION

The U.S. Environmental Protection Agency's Office of Solid Waste recognizes that lack of adequate funding may hamper the establishment of new or threaten existing solid waste management activities in Indian Country. For this reason, EPA developed this grant resource guide to help tribes, Alaskan native villages, and community and nonprofit organizations identify financial assistance opportunities for their solid waste management programs. It provides general information on developing effective grant proposals and describes potential funding sources for solid waste activities.

The first part of the guide lists federal sources of grants for solid waste management activities. The second part describes private sources of grants, generally available to nonprofit organizations. Information on each grantmaker's purpose, eligibility requirements, application process, amount of available funding, and amounts previously awarded is included. It should be noted that environmental grants are only a portion of the funding many of these grantmakers allocate. The included sources have indicated that solid waste management is of interest to them, but it may not be the main thrust of their grant programs. It should also be noted that additional sources of funding for solid waste management may be available from organizations or agencies not included in this guide.

Pre-Proposal Preparation

Grants are sums of money awarded to finance a particular activity or facility. Generally, these grant awards do not need to be paid back. Federal agencies and other organizations sponsor grant programs for various reasons. Before developing a grant proposal, it is vitally important to understand the goals of the particular federal agency or private organization, and of the grant program itself. This can be accomplished through discussions with the information contact listed in each resource description. Through these discussions an applicant may find that, in order for a particular project to be eligible for funding, the original concept may need to be modified to meet the criteria of the grant program. In allocating funds, grantmakers base their decisions on the applicant's ability to fit its proposed activities within the grantmakers' interest areas.

It is also important for an applicant to become familiar with eligibility requirements and other pertinent criteria related to the organization and grant program from which assistance is sought. Applicants should remember that the basic requirements, application forms, information, deadlines, and procedures will vary for each grantmaker. Since funding information changes, potential applicants are strongly encouraged to contact specific funding sources before preparing applications.

Writing the Grant Proposal

NOTE: An applicant should follow the directions in the grant application. A general example of how to prepare a proposal, with some suggestions on what makes a good proposal, is included here for your information.

A successful grant proposal is one that is thoughtfully planned, well prepared, and concisely packaged. There are eight basic components in a solid proposal package: (1) proposal summary (2) introduction of the organization (3) problem statement (or needs assessment) (4) project objectives (5) project methods or design (6) project evaluation (7) future funding and (8) project budget.

1. Proposal Summary

The proposal summary appears at the beginning of the proposal and outlines the project. It can be in the form of a cover letter or a separate page, but it should be brief—no longer than two or three paragraphs. It is often helpful to prepare the summary after the proposal has been developed in order to encompass all the key points necessary to communicate the objectives of the project. The summary document becomes the cornerstone of the proposal, and the initial impression it gives will be critical to the success of the venture. It very possibly could be the only part of the package that is carefully reviewed before the decision is made to consider the project further.

2. Introduction of the Organization

Most proposals require a description of an applicant's organization and its past, present, and projected operations. Some features to consider are a brief biography of board members and key staff members; the organization's goals, philosophy, and track record with other grantors; and any success stories. The data should be relevant to the goals of the granting organization and its grant program, and should establish the applicant's credibility.

3. Problem Statement

The problem statement (or needs assessment) is a key element of a proposal. It should be a clear, concise, and well-supported statement of the problem to be overcome using the grant funding. An applicant could include data collected during a needs assessment that would illustrate the problems to be addressed. The information provided should be both factual and directly related to the problem addressed by the proposal.

4. Project Objectives

The project objectives should explicitly describe the goals of the project. Applicants should detail the expected results and benefits for each objective. They should also list the specific criteria of the grant program, and describe how the proposal meets each criteria.

5. Project Methods or Design

The project method outlines the tasks that will be accomplished with the available resources. It is helpful to structure the project method in the form of a timeline. Early in the planning process, applicants should list the tasks that will have to be completed to meet the goals of the project. They can then break these into smaller tasks and lay them out in a schedule over the grant time period. This provides an opportunity to consider what personnel, materials, and other resources will be needed to carry out the tasks.

6. Project Evaluation

Applicants should develop measurement criteria to evaluate success in achieving project goals. It is important to define as carefully and precisely as possible how success will be determined. Applicants should ask themselves what they expect to be different once the project is complete.

7. Future Funding

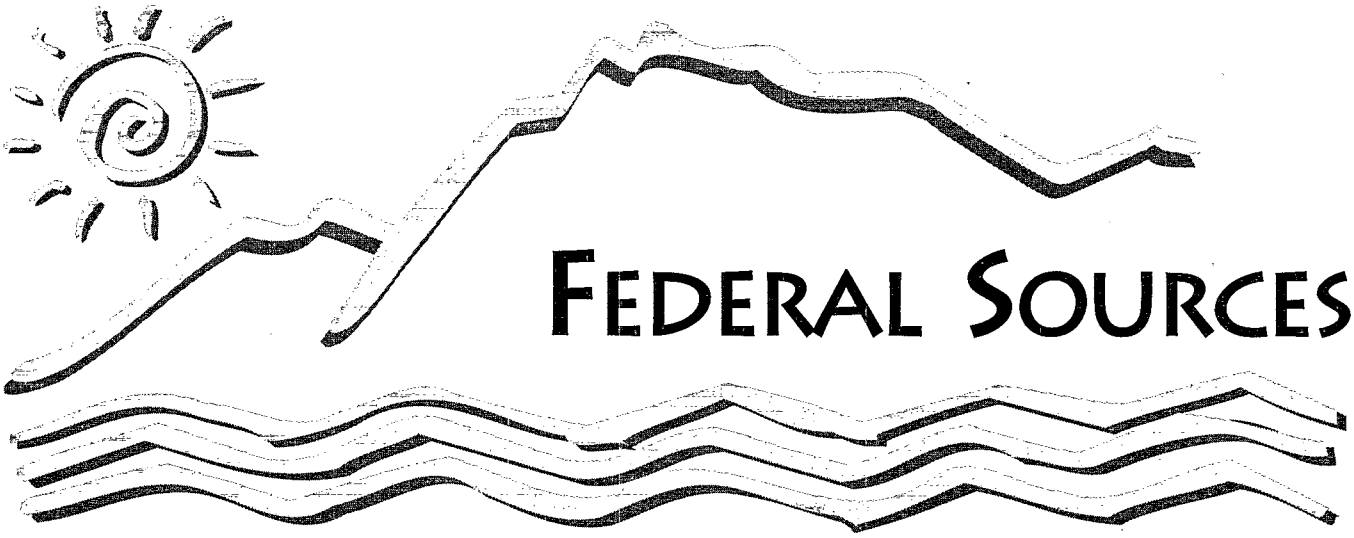
Applicants may be asked to list anticipated sources of continuing funding after the termination of the grant assistance. The applicant may also be required to list other sources and amounts of funding obtained for the project.

8. Project Budget

Particular expenses should be outlined in detail in the project budget. It can also be helpful to divide the budget into categories such as personnel salaries and benefits, travel, equipment, supplies, contract costs, etc. Many grant applications request a line item budget. The budget should show how funds will be spent and by which entities, and should demonstrate its consistency with project activities.

Characteristics of a Strong Proposal

- ✔ Does the proposal do a good job of outlining the problem and the approach to solving it?
- ✔ Does the proposal clearly describe how the project will meet and achieve the criteria outlined in the particular grant?
- ✔ Does the application include information that was specifically requested?
- ✔ Does the proposal provide a clear statement of the link between the goals of the agency or organization providing the funding and this particular project?
- ✔ Is a clear identification and background description of the population to be served included in the proposal?
- ✔ Is the proposed project built on existing projects or programs?
- ✔ Does the proposal mention other groups the applicant will work with? If so, does the application include letters of commitment from these groups?
- ✔ Does the application identify discrete tasks, objectives, and time frames?
- ✔ Can the scope of the project be completed in a funding year (or specified time frame)?
- ✔ Is an honest discussion of the challenges involved included in the proposal?
- ✔ Are the methods of evaluating or measuring the success of the project clear?



Department of Agriculture, Rural Utilities Service

Water and Waste Disposal Systems for Rural Communities

Federal Agency: Department of Agriculture, Rural Utilities Service

Purpose: To provide basic human amenities, alleviate health hazards, and promote the orderly growth of the rural areas of the nation by meeting the need for new and improved rural water and waste disposal facilities.

Eligibility:

Applicant Eligibility: Municipalities, counties, and other political subdivisions of a state, such as districts and authorities, associations, cooperatives, corporations operated on a not-for-profit basis, Indian tribes on federal and state reservations and other federally recognized Indian tribes. Facilities shall primarily serve rural residents and rural businesses. The service area shall not include any area in any city or town having a population in excess of 10,000 inhabitants according to the latest decennial census of the United States. The applicant must: 1) be unable to finance the proposed project from its own resources or through commercial credit at reasonable rates and terms; and (2) have the legal authority necessary for constructing, operating, and maintaining the proposed facility or service, and for obtaining, giving security for, and repaying the proposed loan. Plans and specifications must be developed to comply with state and local health and pollution regulations and other requirements. Grants are made only when necessary to reduce the average annual benefitted user charges to a reasonable level. Normally, grants are considered only when the debt service portion of the cost to grant eligible users exceeds the following percentages of median household incomes (MHI) for the applicant service area; 0.5 percent when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the state's non-metropolitan household income (NMHI); 1.0 percent when the NMHI of the service area exceeds the 0.5 percent requirement but is not more than 100 percent of the state's NMHI; no Rural Utility Service (RUS) grant funds will be used in any project when the MHI of the service area is above the poverty line and more than 100 percent of the state's NMHI. The RUS grant may not exceed 75 percent of the eligible project development cost when the MHI of the service area is below the poverty line or below 80 percent (whichever is higher) of the state's NMHI; 55 percent when the MHI of the service area exceeds the 75 percent requirements, but is not more than 100 percent of the state's NMHI. Assistance is authorized for eligible applicants in rural areas of the United States, Puerto Rico, the Virgin Islands, Guam, Commonwealth of Northern Mariana Islands, American Samoa, and to the extent the Secretary determines feasible and appropriate, the Trust Territory of the Pacific Islands.

Beneficiary Eligibility: Farmers, ranchers, rural residents, rural businesses, and other users in eligible applicant areas.

Credentials/Documentation: Evidence of legal capacity, economic feasibility and financial responsibility relative to the activity for which assistance is requested. Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments.

Types of Support: Project Grants; Direct Loans; Guaranteed and Insured Loans.

Uses and Use Restrictions:

Funds may be used for: the installation, repair, improvement, or expansion of a rural waste disposal facility including the collection and treatment of sanitary, storm, and solid wastes, and the installation, repair, improvement, or expansion of a rural water facility including distribution lines, well pumping facilities and the costs related thereto.

Grant funds may not be used to pay: interest on loans, operation and maintenance costs, or to acquire or refinance an existing system. No maximum loan amount is established by statute. The maximum term on all loans is 40 years. However, no repayment period will exceed any statutory limitation on the organization's borrowing authority nor the useful life of the improvement or facility to be financed. There are currently three interest rates for direct loans: a 4.5 percent rate when the loan is required to meet health or sanitary standards and the median household income of the service area is below the poverty line; the intermediate rate, halfway between 4.5 percent and market rate, if the median household income of the service area is not more than 100 percent of the nonmetropolitan median household income of the state; and market rate for those applicants that do not qualify for 4.5 percent or intermediate rate. The interest rate on guaranteed loans is negotiable between the applicant and the lender.

Financial Information:

Account Identification: (Loans) 12-4155-0-3-452; 12-1980-0-1-452; (Grants) 12-2066-0-1-452.

Obligations: (Direct Loans) FY 95 $827,892,670; FY 96 est. $546,524,444; and FY 97 est. $800,000,000. (Guaranteed Loans) FY 95 $0; FY 96 est. $50,000,000; and FY 97 est. $0. (Grants) FY 95 $507,610,593; FY 96 est. $400,117,161; and FY 97 est. $590,000,000 (technical assistance and training (TAT) and grants earmarked under section 306C of the Consolidated Farm and Rural Development Act to Colonias are included in these estimates).

Range and Average of Financial Assistance: (FY 1995) (Direct Loans) $5,000 to $9,118,200; $783,248; (Grants) $8,000 to $6,237,000; $357,221.

Sample of Previous Awards:

Program Accomplishments: There were 1,052 direct loans and 713 grants made in fiscal year 1995. It is estimated that 685 direct, 10 guaranteed loans, and 1,151 grants will be made in fiscal year 1996. It is estimated that 985 direct, 0 guaranteed loans, and 1,932 grants will be made in fiscal year 1997.

Examples of Funded Projects: The following areas received funds for specified projects: purchase site for landfill; purchase trucks and equipment for solid waste disposal; construct new water system consisting of water lines, pumping stations, wells, storage tanks and treatment plants; water system improvements consisting of additional water lines, new water treatment facility and booster pump; renovation of existing water system which includes new distribution lines, wells and pressure tanks; construct new waste water collection and treatment systems; replace waste water treatment plant and improve waste water collection lines; and rehabilitate waste water collection lines and construct lift station.

Deadline: None.

Range of Approval/Disapproval Time: From 30 to 90 days.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision at the RD or RUS National level.

Renewals: Not applicable.

Application:

Preapplication Coordination: The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental assessment is required for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: The Rural Development Services (RD) administers the program on the local level. Preapplication Form SF-424 is filed at the local RD Office from which assistance may be obtained. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: After the preapplication has been reviewed by the RD District Director, it is forwarded to the RD State Director for review and processing instructions. Following completion of application processing requirements and loan/grant approval, funds

are made available to the RD District Director for delivery.

Criteria for Selecting Proposals: In selecting applications for funding, the agency cooperates with appropriate state agencies. RUS assistance for water and waste disposal projects will be directed toward truly rural areas and rural communities. Considerations for available funds emphasize such criteria as: population; low income unemployment; and health and sanitary problems. RUS financed facilities will not be inconsistent with any development plans of the state, multijurisdictional area, county, or municipality in which the proposed project is located.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula. Administrative rule reference is 7 CFR 1940 Subpart L. Funds are allocated to states based upon rural population, number of households in poverty, and unemployment. This program has no matching requirements.

Length and Time Phasing of Assistance: A time limitation is not specified for the use of RUS loan or grant funds. Funds will be awarded when all RUS requirements are met and the project can be completed on a timely basis. Funds may be advanced on an as needed basis by the RUS to cover expenses for a 30-day period.

Post Assistance Requirements:

Reports: Periodic reports are to be made to the RD District Director.

Audits: Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of loans and grants and other agreements. Audits should be completed in accordance with either the requirements of OMB Circulars A-128, "Audits of State and Local Governments," A-133, "Audits of Institutions of Higher Learning and Other Nonprofit Institutions," or RUS requirements, whichever apply.

Records: Records and accounts are required to reflect the operations of the facility.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for RECD County or District Office number. If there is no listing, get in touch with the appropriate RECD State Office.

Headquarters Office: Assistant Administrator, Water and Waste Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: 202 690-2670.

Related Programs: Economic Development Grants for Public Works and Infrastructure Development; Community Development Block Grants/Entitlement Grants.

Solid Waste Management Grants

Federal Agency: Department of Agriculture, Rural Utilities Service

Purpose: To reduce or eliminate pollution of water resources and improve planning and management of solid waste disposal facilities in rural areas.

Eligibility:

Applicant Eligibility: Entities eligible for grants are nonprofit organizations, including: Private nonprofit organizations that have been granted tax exempt status by the Internal Revenue Service (IRS); and public bodies including local governmental-based multijurisdictional organizations. Applicants must have the proven ability, background, experience, legal authority, and actual capacity to provide technical assistance and/or training on a regional basis to eligible beneficiaries.

Beneficiary Eligibility: Municipalities, counties, districts, authorities, and other political subdivisions of a state, organizations operated on a not-for-profit basis, such as associations, cooperatives, and private nonprofit corporations, Indian tribes on federal and state reservations and other federally recognized Indian tribes.

Credentials/Documentation: This program is subject to the provisions of Office of Management and Budget (OMB) Circular Nos. A-87, and A-122.

Types of Support: Project grants.

Uses and Use Restrictions:

Funds may be used to: Evaluate current landfill conditions to determine threats to water resources in rural areas; provide technical assistance and/or training to enhance operator skills in the maintenance and operation of active landfills in rural areas; provide technical assistance and/or training to help associations reduce the solid waste stream; and provide technical assistance and/or training for operators of landfills in rural

areas which are closed or will be closed in the near future with the development and implementation of closure plans, future land use plans, safety and maintenance plans, and closure scheduling within permit requirements.

Grant funds may not be used to: recruit preapplications or applications for any loan and/or grant program including Rural Utilities Service (RUS) Water and Waste Disposal Loan and/or Grant Program; duplicate current services, replace or substitute support previously provided such as those performed by an association's consultant in developing a project; fund political activities; pay for capital assets, the purchase of real estate or vehicles; improve and renovate office space, or repair and maintain privately-owned property; pay for construction or operation and maintenance costs of water and waste facilities; or pay costs incurred prior to the effective date of grants awarded.

Financial Information:

Account Identification: 12-2045-0-1-304.

Obligations: (Grants) FY 95 $2,995,000; FY 96 est. $2,300,000; and FY 97 est. $3,000,000.

Range and Average of Financial Assistance: $21,580 to $465,000; $76,795.

Sample of Previous Awards:

Program Accomplishments: In fiscal year 1995, 39 grants were made.

Examples of Funded Projects: Recipients of this program received funds to administer technical assistance in areas of solid waste management to small communities.

Deadline: Preapplications must be filed between October 1 and December 31 of each fiscal year in which funds are appropriated.

Range of Approval/Disapproval Time: Form AD-622, "Notice of Preapplication Review Action," will normally be issued within 45 days after December 31 of each year. SF-424.1, "Application for Federal Assistance (for Non-Construction)," will be submitted by the applicant upon notification of funding eligibility.

Appeals: If an application is rejected, the reasons for rejection are fully stated. The applicant may request a review of this decision with the National Appeals Division of the U.S. Department of Agriculture.

Renewals: Not applicable.

Application:

Preapplication Coordination: This program is excluded from coverage under Executive Order 12372. An environmental impact assessment is required for this program.

Application Procedure: This program is subject to the provisions of OMB Circular Nos. A-87, A-102, A-110, and A-122. Preapplication Form SF 424.1, will be filed with the appropriate Rural Development (RD), formerly FmHA, State or RUS National Office between October 1 and December 31 of each fiscal year.

Award Procedure: Preapplications received by an RD State Office will be reviewed and forwarded with any written comments within 7 working days to the RUS approval official.

Criteria for Selecting Proposals: Grant funds will be directed to those applicants who: (1) have demonstrated ability to provide technical assistance on a regional basis to rural communities; (2) propose to assist small, low income communities; (3) propose to serve multi-state, regional or nationwide areas; (4) propose cost effective projects; and (5) meet the objectives of RUS Water and Waste Disposal Program.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: A time limitation is not specified, however, priority will be given to applicants whose time frame for completion of the grant project is 12 months or less.

Post Assistance Requirements:

Reports: All grantees will submit quarterly reports to the RUS approval official.

Audits: The grantee will provide an audit report prepared in accordance with OMB Circular Nos. A-102 or A-133 after project completion.

Records: Financial records, supporting documents, statistical records, and all other records pertinent to the grant must be retained for a period of at least 3 years after closing.

Information Contacts:

Regional or Local Office: Consult your local telephone directory for RD District Office number. If there is no

listing, get in touch with the appropriate RD State Office.

Headquarters Office: Acting Assistant Administrator, Water and Waste Rural Utilities Service, Department of Agriculture, Washington, DC 20250. Telephone: 202 690-2670.

Related Programs: None.

Department of Housing and Urban Development

Community Development Block Grants/Special Purpose Grants/Technical Assistance Program

Federal Agency: Department of Housing and Urban Development, Community Planning and Development

Purpose: To help states, units of general local government, Indian tribes, and areawide planning organizations to plan, develop and administer local Community Development Block Grant (CDBG) and Urban Development Action Grant programs. The primary objective is the development of viable Indian and Alaska native communities, including decent housing, a suitable living environment, and economic opportunities, principally for persons of low-income and moderate-income.

Eligibility:

Applicant Eligibility: States, units of general local government, Indian tribes, areawide planning organizations, groups designated by such governmental units to assist them in carrying out assistance under Title I of Public Law 93-383, and qualified groups. Specifications for respondents to competitive request for proposals are stated in the request documents.

Beneficiary Eligibility: States, units of general local government, Indian tribes and Alaska natives, and entities participating or actively intending to participate in the planning, developing, or administering of a Community Development Block Grant program.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments.

Types of Support: Project grants (Cooperative Agreements); Direct payments for specified use.

Uses and Use Restrictions: Assistance may be used to transfer skills and knowledge in planning, developing, and administering the Community Development Block Grant and Special Purpose Grant program from those individuals and institutions which possess them to eligible block grant entities and affiliated CDBG participants that need them. Project activities show how they will increase the effectiveness with which eligible block grant communities can use CDBG funds to meet community development national and local program objectives. Project activities may take several forms, such as the provision of written information, person-to-person exchange, seminars, workshops, or training sessions.

Financial Information:

Account Identification: 86-0162-0-1-451.

Obligations: FY 95 $10,500,000; and FY 97 est $9,000,000. These numbers represent allocations of new Budget Authority.

Range and Average of Financial Assistance: Not applicable.

Sample of Previous Awards:

Program Accomplishments: Not available.

Examples of Funded Projects: Not available.

Deadline: None.

Range of Approval/Disapproval Time: From 60 to 90 days.

Appeals: None.

Renewals: A new application procedure is initiated for each renewal.

Application:

Preapplication Coordination: None. This program is excluded from coverage under Executive Order 12372.

Application Procedure: Proposals may be submitted in response to competitions and solicitations. The Federal Register publication detailing each competition spells out the submission requirements. This

program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: The Secretary has final approval authority; applicants are notified of acceptance or rejection.

Criteria for Selecting Proposals: Applications are reviewed according to criteria set forth in 24 CFR 570-402.

Assistance Considerations:

Formula and Matching Requirements: None specified by statute.

Length and Time Phasing of Assistance: Generally, projects will be funded to operate 1 to 2 years. Assistance is released by quarterly reimbursement or a letter of credit.

Post Assistance Requirements:

Reports: For the Technical Assistance Special Purpose Grants Program, quarterly and final financial and technical progress reports.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," state and local governments that receive financial assistance of $100,000 or more within the state's fiscal year (FY) shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the state's fiscal year shall have an audit made in accordance with the Single Audit Act of 1984, or 24 CFR Part 44 which implements the Single Audit Act.

Records: All records applicable to the assistance project must be kept for 3 years following the submission of the final expenditure report or until all audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate Housing and Urban Development Field Office.

Headquarters Office: Office for Native American Programs, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: 202 755-0066 ext. 110.

Related Programs: Community Development Block Grants/Entitlement Grants; Community Development Block Grants/Small Cities Program; Community Development Block Grants/State's Program.

Indian Community Development Block Grant Program

Federal Agency: Department of Housing and Urban Development, Public and Indian Housing

Purpose: To provide assistance to Indian tribes and Alaskan native villages in the development of viable Indian communities.

Eligibility:

Applicant Eligibility: Any Indian tribe, band, group, or nation, including Alaskan Indians, Aleuts, and Eskimos, and any Alaskan native village which is eligible for assistance under the Indian Self-Determination and Education Assistance Act or which had been eligible under the State and Local Fiscal Assistance Act of 1972.

Beneficiary Eligibility: The principal beneficiaries of Community Development Block Grant funds are low and moderate income persons. For metropolitan areas, low and moderate income is generally defined as a member of a family having an income equal to or less than the Section 8 low income limit established by the Office of Housing and Urban Development (HUD). For nonmetropolitan areas, low and moderate income is generally defined as 80 percent of the median income for nonmetropolitan areas of the state, as adjusted by family size.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments.

Types of Support: Project grants.

Uses and Use Restrictions:

Grants may be used to: improve the housing stock, provide community facilities, make infrastructure improvements, and expand job opportunities by supporting the economic development of their communities. Activities that are eligible for funding include housing rehabilitation programs, acquisition of land for housing, direct assistance to facilitate home ownership among low and moderate income persons, construction of tribal and other facilities for single or multi-use, streets and other public facilities, and economic development projects particularly those by nonprofit tribal organizations or local development corporations when the recipient determines that the provision of such assistance is

appropriate to carry out an economic development project.

Grants may not be used to: construct or improve governmental facilities; purchase equipment; cover general government expenses, operating and maintenance expenses, political activities, new housing construction (except through subrecipients), or income payments.

Financial Information:

Account Identification: 86-0162-0-1-451.

Obligations: (Allocations) FY 95 $46,000,000; FY 96 est. $50,000,000; and FY 97 est. $69,000,000.

Range and Average of Financial Assistance: Average grant in fiscal year 1995: approximately $404,130.

Sample of Previous Awards:

Program Accomplishments: In fiscal year 1995, the Indian Community Development Block Grant Program received 217 applications for 267 separate projects and approved 89 applications for 106 projects.

Examples of Funded Projects: Fire station; housing rehabilitation grant program; cooperative store development; water lines and storage facility.

Deadline: Differs each year. Dates are published in a Notice of Funding Availability (NOFA) in the Federal Register. Applications are due in the appropriate ONAP office 75 days from the date of publication of the NOFA.

Range of Approval/Disapproval Time: From 75 to 110 days.

Appeals: None.

Renewals: None.

Application:

Preapplication Coordination: No preapplication required. Prior to submitting application, applicant must allow for citizen participation in application development. An environmental assessment is required for this program. This program is excluded from coverage under Executive Order 12372.

Application Procedure: Applicants must file an application on forms prescribed by HUD which describes the community development need and how that need will be addressed by the proposed project. Application must provide sufficient information for the project to be rated against selection criteria.

Award Procedure: The Office of Native American Programs (ONAP) Field Office is responsible for rating and approving applications and for notifying applicants of the results.

Criteria for Selecting Proposals: Applications must be submitted by any eligible tribe which has the capacity to administer a grant. Applications are then rated against factors that measure impact and quality.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula and no matching requirements.

Length and Time Phasing of Assistance: Assistance is available until project completion, usually within 2 years.

Post Assistance Requirements:

Reports: Annual performance reports.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," as implemented by 24 CFR 571.600, tribes that receive financial assistance of $100,000 or more within the fiscal year (FY) shall have an audit made for that year. Tribes that receive between $25,000 and $100,000 within the fiscal year shall have an audit made in accordance with the Single Audit Act of 1984 or 24 CFR Part 44 which implements the Single Audit Act.

Records: All records applicable to the assistance project must be kept for 3 to 5 years following the submission of the final expenditure report or until all audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact appropriate HUD Office of Native American Programs Field Office.

Headquarters Office: Office of Native American Programs, Public and Indian Housing, Department of Housing and Urban Development, 451 7th Street, SW., Washington, DC 20410. Telephone: 202 755-0032.

Related Programs: Public and Indian Housing; Indian Loans Economic Development; Indian Housing Assistance; Self Determination Grants Indian Tribal Governments; Native American Programs.

Department of Health and Human Services

Indian Health Service, Department of Health and Human Services Cooperative Agreements

Federal Agency: Department of Health and Human Services, Indian Health Service

Purpose: Activities conducted by Indian Health Service (IHS) to assist American Indians and Alaska natives with solid waste management include:

- Surveying solid waste management needs.
- Developing solid waste management plans.
- Designing solid waste collection and disposal facilities.
- Funding solid waste collection and disposal facilities.
- Assisting in identifying and obtaining funds from other sources.
- Training and technical assistance in operation of solid waste management programs.
- Surveying solid waste disposal sites.

Types of Support: IHS funding for solid waste management projects is provided under Public Law 86-121 through cooperative agreements with tribes or communities. Project priority lists are established for all sanitation facility needs (water, sewer, solid waste) and available funds are used to fund the top priority projects. Sanitation facilities construction funds may be used for: (1) engineering studies, (2) design, (3) development of solid waste disposal sites, (4) equipment (for collection, storage, and disposal), (5) initial community educational programs and worker training, and (6) closure of existing sites.

Information Contact: The Sanitation Facilities Construction Program Managers at the IHS area or field offices should be contacted to request assistance and information about fund availability.

Indian Environmental Regulatory Enhancement Projects

Federal Agency: Department of Health and Human Services, Administration for Native Americans, Administration for Children and Families

Purpose: The mission of the Administration for Native Americans (ANA) is to promote the goal of social and economic self-sufficiency for American Indians, Alaska Natives, Native Hawaiians, and other Native American Pacific Islanders.

Eligibility: Federally recognized Indian tribes; incorporated non-federally recognized Indian tribes; Alaska native villages; other tribal or village organizations or consortia of Indian tribes.

Uses and Use Restrictions: "Environmental Regulatory Enhancement" includes (but is not limited to) the planning, development, and application of laws, training, monitoring, and enforcement procedures, tribal courts, environmental laboratories and other facilities, and associated regulatory activities to strengthen the tribal governments' capacity to enhance the quality of reservation life as measured by the reduction of pollutants in the air, water, soil, food and materials encountered by tribes and villages. Progress toward the goal of environmental regulatory enhancement would include the strengthening of tribal environmental laws, providing for the training and education of those employees responsible for ensuring compliance with and enforcement of these laws, and the development of programs to conduct compliance and enforcement functions.

Financial Information: Approximately $3 million of financial assistance is anticipated to be available for environmental regulatory enhancement projects. ANA expects to award approximately 35 grants. The funding level for a budget period of 12 months will be up to $250,000.

Sample of Previous Awards:

Examples of Funded Projects: Financial assistance provided by ANA is available for developmental projects designed to assist tribes in advancing their capacity and capability to plan for and:

- Develop or enhance the tribal environmental regulatory infrastructure required to support a tribal environmental program, and to regulate and

enforce environmental activities on indian lands pursuant to federal and indian law;

- Develop regulations, ordinances, and laws to protect the environment;
- Develop the technical and program capacity to carry out a comprehensive tribal environmental program and perform essential environmental program functions;
- Promote environmental training and education of tribal employees;
- Develop technical and program capability to meet tribal and federal regulatory requirements and to monitor compliance and enforcement of tribal environmental regulations, ordinances, and laws;
- Ensure the tribal court system enforcement requirements are developed in concert with and support the tribe's comprehensive environmental program.

Deadline: The closing date for submission of applications is March 7, 1997.

Criteria for Selecting Proposals: A proposed project should reflect the environmental regulatory purposes stated. The evaluation criteria are closely related to each other and are considered as a whole in judging the overall quality of an application. Proposed projects will be reviewed on a competitive basis using the following evaluation criteria: (1) long-range goals and available resources; (2) organizational capabilities and qualifications; (3) project objectives, approach, and activities; (4) results or benefits expected; and (5) budget.

Assistance Considerations:

Formula and Matching Requirements: Grantees must provide at least 20 percent of the total approved cost of the project.

Information Contact:

Sharon McCully
Department of Health and Human Services
Administration for Children and Families
Administration for Native Americans
200 Independence Avenue, SW.
Room 348-F
Washington, DC 20201-0001
202 690-5780

Application kits, containing the necessary forms and instructions to apply for a grant may be obtained from: Department of Health and Human Services, Administration for Children and Families, Administration for Native Americans, Room 348F, Hubert Humphrey Building, 200 Independence Avenue, SW., Washington DC 20201-0001. Attention: 93612-971, Telephone 202 690-7776.

Environmental Protection Agency

Environmental Protection Consolidated Grants Program Support (Consolidated Program Support Grants)

Federal Agency: Environmental Protection Agency, Office of Administration

Purpose: The consolidated program support grant is an alternative assistance delivery mechanism that allows a state or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations.

Eligibility:

Applicant Eligibility: Any state or local agency eligible to receive and administer funds for more than one pollution control program. See the appropriate program descriptions for specific applicant eligibility.

Beneficiary Eligibility: State and local agencies.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments.

Types of Support: Formula Grants.

Uses and Use Restrictions:

The following pollution control programs are eligible for consolidation: Air Pollution Control Program Support; Water Pollution Control State and Interstate Program Support; State Public Water System Supervision; State Underground Water Source Protection; Construction Management Assistance; Water Quality Management Planning; Pesticides Enforcement Program; Hazardous Waste Management State Program Support.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 95 $20,564,134; FY 96 est. $25,000,000; and FY 97 est. $20,000,000. (NOTE: These amounts do not represent additional appropriations, but rather levels of consolidated effort within the Agency's total grant appropriation.)

Range and Average of Financial Assistance: FY 95 range of assistance: $805,866 to $3,517,402. Average assistance: $1,869,467.

Sample of Previous Awards:

Program Accomplishments: There were 11 consolidated grant awards in fiscal year 1995 for a total of $20,564,134. This represents an average award. There is not a separate consolidated grant appropriation. Therefore, the funds identified here are the aggregate of the individual program funds awarded through consolidated grants.

Examples of Funded Projects: Projects have been funded which combined as few as two of the eligible pollution control programs and as many as four.

Deadline: 60 days before beginning of proposed budget period.

Range of Approval/Disapproval Time: Up to 90 days.

Appeals: As described in 40 CFR Part 31, Subpart F.

Renewals: None.

Application:

Preapplication Coordination: Preapplication communications should be directed to the appropriate U.S. Environmental Protection Agency (EPA) Regional Administrator. Deviations from procedures and requirements of EPA assistance regulations that impede the development and administration of a consolidated grant may be approved by the Director, Grants Administration Division in EPA Headquarters. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 and 40 CFR 31.10 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: The application should be submitted to the appropriate EPA Regional Office. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: States will be notified of federal assistance awards through the Federal Assistance Awards Data System.

Criteria for Selecting Proposals: Applications are approved if funds are available, if the application satisfies the requirements of 40 CFR Parts 31 and 35 and applicable statutes, and if the proposed work program is feasible and compatible with the program priorities in EPA annual guidance.

Assistance Considerations:

Formula and Matching Requirements: See applicable program descriptions for the formula and matching requirements of each.

Length and Time Phasing of Assistance: Assistance is usually awarded for a 1-year period. The Accelerated Clearing House System is the preferred payment method.

Post Assistance Requirements:

Reports: Progress reporting by the state or local agency and performance monitoring by EPA will be the minimum necessary for sound program management. The minimum may vary from agency to agency depending upon the specific agency's demonstrated management abilities. There should be at least one progress report midway through the budget period. This may be either written or unwritten at the discretion of the Regional Office. A financial status report is required within 90 days of the end of the budget period.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," state and local governments that receive financial assistance of $100,000 or more within the state's fiscal year (FY) shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the state's

fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate.

Records: All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the 3 year period, the records must be retained until the litigations, claims, or audit findings have been resolved.

Information Contacts:

Regional or Local Office: Contact appropriate EPA Regional Office.

Headquarters Office: Linda Yancey, Grants Administration Division, PM 3903F, Environmental Protection Agency, Washington, DC 20460. Telephone: 202 260-5264. (Headquarters Office listed for applicable programs. See the individual program descriptions for program office contacts.)

Related Programs: Air Pollution Control Program Support; Water Pollution Control State and Interstate Program Support; Water Quality Management Planning; State Public Water System Supervision; State Underground Water Source Protection; Consolidated Pesticide Compliance Monitoring and Program Cooperative Agreements; Hazardous Waste Management State Program Support.

Environmental Justice Grants to Small Community Groups

Federal Agency: Environmental Protection Agency, Office of Environmental Justice

Purpose: To provide financial assistance to grass-roots community-based groups to support projects to design, demonstrate or disseminate practices, methods or techniques related to environmental justice. Specifically, the U.S. Environmental Protection Agency (EPA) will grant funding assistance to be used for:

- Environmental justice education and awareness programs.
- Environmental justice programs (for example, river monitoring and pollution prevention programs).
- Technical assistance in gathering and interpreting existing environmental justice data.
- Technical assistance to access available public information.

Eligibility:

Applicant Eligibility: Community-based grassroots organizations, other incorporated nonprofit organizations and federally recognized tribal governments are eligible. Individuals may have their organizations, institutions, government or association apply. Organizations must be incorporated to receive funds.

Beneficiary Eligibility: General public, community groups, public nonprofit institutions or organizations, and federally recognized tribal governments.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments and A-21 for educational institutions.

Types of Support: Project grants.

Uses and Use Restrictions:

Grant funds can be used to: support projects, programs or activities that promote environmental justice. Projects may include: (1) design, demonstration, or dissemination of environmental justice curricula, including development of education tools and materials; (2) design and demonstration of field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and public health problems; (3) identification, assessment or improvement of a specific local environmental justice issue or a specific environmental problem; (4) provision of environmental justice training or related education for teachers, faculty, or related personnel in a specific geographic area or region. Priority will be given to community-based/grassroots organizations, tribes, and organizations whose projects will help improve the environmental quality of affected communities by (a) developing an environmental justice project, activity, method, or technique that has wide application, (b) enhancing the community's skills in addressing *environmental justice issues and problems, and (c) establishing or expanding environmental and public health information systems for local communities.

Grant funds can not be used for: the acquisition of real property (including building) or the construction or substantial modification of any building. Grant funds also are not available for use in lobbying or

underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: FY 95 $3,000,000; FY 96 est. $1,000,000; and FY 97 est. $1,000,000. (NOTE: Individual grants awarded under this program are not to exceed $20,000.)

Range and Average of Financial Assistance: Not applicable.

Sample of Previous Awards:

Program Accomplishments: Seventy-one grants were awarded with over $500,000 provided to community based nonprofit organizations and tribal governments. In fiscal year 1995, 175 grants were awarded totalling $3,000,000.

Examples of Funded Projects: Not available.

Deadline: For fiscal year 1996, the preapplication must be postmarked by March 1, 1997. Applicants selected for award will be notified and may be requested to furnish additional information, if needed. EPA plans to award grants by August 1997.

Range of Approval/Disapproval Time: About 120 days.

Appeals: None.

Renewals: EPA shall establish procedures for a careful and detailed review and evaluation of the environmental justice grants to determine whether the quality of the program being operated by the grantee warrants continued support.

Application:

Preapplication Coordination: Applications describing the planned program, the means for executing the program, and preliminary budget information must be submitted to the EPA Regional Environmental Justice Offices.

Application Procedure: Potential applicants are to submit a preproposal to their respective Environmental Justice Office postmarked by March 1, 1997.

Award Procedure: Applications must be submitted to the respective Regional Environmental Justice Offices. This program is subject to the provisions of OMB Circular No. A-110. An entity must comply with the state's intergovernmental review process. See 40 CFR Part 29.

Criteria for Selecting Proposals: EPA will determine if the applicant meets specific administrative and management requirements. EPA will review a description of the applicant's history, goals, and plans for using the assistance funds. Factors that are particularly important in this evaluation process include: (1) the applicant's ability to manage the grant in compliance with EPA grant regulations; (2) the degree to which the applicant groups' members health and economic well-being of the environment are adversely affected by environmental hazardous exposure; (3) the applicant's ability to inform others in the community of the information gathered; (4) broad representation of affected groups and individuals in the community; (5) whether or not the applicant group is incorporated. In general, the applicant must demonstrate that it is aware of the time commitment, resources, and dedication needed to successfully manage a grant.

Assistance Considerations:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: The project grant may be requested for one year. Activities must be completed within the time frame of the project's budget period.

Post Assistance Requirements:

Reports: Recipients of grants are expected to submit final reports for EPA approval prior to receipt of the balance of grant funds.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the Financial Status Report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Contact the appropriate EPA Regional office.

Headquarters Office: Clarice Gaylord, Director, Office of Environmental Justice (3103), U.S. Environmental Protection Agency, 401 M Street SW., Washington, DC

20460. Telephone: 202 564-2515. Environmental Justice Hotline: 1-800-962-6215.

Related Programs: Environmental Education and Training Program; Environmental Education Grants.

Solid Waste Management Assistance

Federal Agency: Environmental Protection Agency, Office of Solid Waste

Purpose: To promote the use of integrated solid waste management systems to solve municipal solid waste generation and management problems at the local, regional, and national levels.

Eligibility:

Applicant Eligibility: These funds can be awarded to the following types of nonprofit entities: public authorities (federal, state, interstate, intrastate and local); public agencies and institutions; private organizations and agencies, institutions and individuals; and Indian tribes. Profit-making organizations are not eligible.

Beneficiary Eligibility: State and local governments, U.S. territories and possessions, and interstate agencies.

Credentials/Documentation: None.

Types of Support: Project Grants (Cooperative Agreements).

Uses and Use Restrictions: Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established U.S. Environmental Protection Agency (EPA) policies.

Grant funds can be used to: support the following types of activities: training, surveys, education materials and programs, studies, and demonstrations.

Grant funds can not be used for: any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Financial assistance to states, local, regional, and interstate authorities for development and implementation of plans approved by the Administrator under Subtitle D of the Solid Waste Disposal Act is not allowed.

Financial Information:

Account Identification: 68-0107-0-1-999.

Obligations: (Grants and Cooperative Agreements) FY 95 $3,800,000; FY 96 est. $3,000,000; and FY 97 est. $3,000,000.

Range and Average of Financial Assistance: FY 95 $500 to $183,000; $50,000.

Sample of Previous Awards:

Program Accomplishments: In fiscal year 1995, 77 projects were awarded funding. The estimate of projects for fiscal years 1996 and 1997 are about the same.

Examples of Funded Projects: (1) National Solid Waste Information Clearinghouse: provides information to the nation on solid waste management alternatives. (2) Peer Match Program: provides technical assistance to local government by matching local government experts with local governments requesting assistance.

Deadline: Varies from Region to Region. Contact appropriate Regional Office for details.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: As described in 40 CFR Part 31, Subpart F for state and local governments, and Part 30, Subpart L for other recipients.

Renewals: None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

Application:

Preapplication Coordination: Discussion with the appropriate EPA regional office is advisable. The standard application forms as furnished by the federal agency and required by Office of Management and Budget (OMB) Circular No. A-102 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: Requests for application forms and submission of completed applications should be directed to the appropriate EPA regional office. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: EPA reviews each application. They shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria to determine the merit and relevance of the project.

Criteria for Selecting Proposals: A proposal is judged for (1) technical merit in terms of: (a) strengths and weaknesses of the project; (b) adequacy of overall project design; (c) competency of proposed staff; (d) suitability of applicant's available resources; (e) appropriateness of the proposed project period and budget; and (f) probability that the project will accomplish stated objectives; and for (2) program interest in terms of: (a) the need for the proposed project; and (b) relationship to program objectives.

Assistance Considerations:

Formula and Matching Requirements: For Continuing Grants, a minimum of 5 percent cost-sharing is required. For New Grants, no sharing is required; falls under new 45 CFR Part 30.

Length and Time Phasing of Assistance: Grants will normally be funded on a 12-month basis (yearly). The total approved project period may not exceed 3 years.

Post Assistance Requirements:

Reports: Quarterly interim and final progress, expenditure, equipment, and invention reports.

Audits: Grants are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or by any authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipients' systems of financial management and internal control to meet the terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," state and local governments that receive financial assistance of $100,000 or more within the state's fiscal year (FY) shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the state's fiscal year shall have an audit made in accordance with OMB Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised during the 3 year project period as a result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts: For information concerning grant applications and procedures, contact the appropriate EPA Regional office.

Related Programs: Solid Waste Disposal Research.

Indian Environmental General Assistance Program (General Assistance Program for Tribes)

Federal Agency: Environmental Protection Agency, American Indian Environmental Office

Purpose: To provide general assistance grants to Indian tribal governments and intertribal consortia to build capacity to administer environmental regulatory programs on Indian lands; and to provide technical assistance from the U.S. Environmental Protection Agency (EPA) to Indian tribal governments and intertribal consortia in the development of multimedia programs to address environmental issues on Indian lands.

Eligibility:

Applicant Eligibility: The following are eligible to receive financial assistance: (1) an Indian tribal government; and (2) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program.

Beneficiary Eligibility: Federally recognized Indian tribal governments.

Credentials/Documentation: None.

Types of Support: Project grants (Cooperative Agreements).

Uses and Use Restrictions: Activities eligible for funding under this program are those for planning, developing, and establishing capability to implement environmental protection programs including development and implementation of solid and hazardous waste programs. General assistance agreements offer the opportunity for a tribe to develop an integrated environmental program, develop the capability to manage specific programs, and establish a core program for environmental protection. These assistance agreements provide the opportunity for the tribes to define and develop administrative and legal infrastructures, to conduct assessments, monitoring, planning, and other actions, and to undertake additional activities within a simplified administrative framework. The primary purpose of these assistance agreements is to support the development of elements of a core environmental program, such as: providing for tribal capacity-building to assure an environmental presence for identifying programs and projects, including developing proposals for environmental program grants and managing environmental work; fostering compliance with federal environmental statutes by developing appropriate tribal environmental programs, ordinances, and services; and establishing a communications capability to work with federal, state, local, and other tribal environmental officials.

Restrictions: The principal focus of this program is on the development of general tribal environmental capability. Assistance will be provided under this program only for activities which the agency determines are appropriate to carry out the purposes of the Indian Environmental General Assistance Program Act of 1992. No single grant awarded under this program may be for an amount exceeding 10 percent of the total annual funds appropriated under Section (h) of the Act.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY 95 $9,752,000; FY 96 est. $15,000,000; and FY 97 est. $28,000,000. (NOTE: Public Law 104-497, Section 11 (h) authorizes $15 million to be appropriated for each fiscal year through 1998.)

Range and Average of Financial Assistance: The statutory minimum grant is $75,000. However, as the term of the grant may extend up to four years, the annual range and average financial assistance is $22,000 to $150,000; $70,000.

Sample of Previous Awards:

Program Accomplishments: In fiscal year 1995, $9,752,000 was available for awards. Funded activities have included environmental inventories, infrastructure and technical assessment of capability needs, and planning and development activities to establish tribal environmental programs.

Examples of Funded Projects: Establishment of a water quality assessment program; completion of an environmental assessment inventory; establishment of an environmental code; establishment of a multi-media program to address water quality, air quality, safe drinking water, solid waste, hazardous waste and pesticides; establishment of a management plan with emphasis on wastewater sludge reclamation and tire recovery; establishment of a program to address underground storage tanks, Superfund Amendments and Reauthorization Act (SARA) Title III and radon.

Deadline: Contact the regional office for application deadlines.

Range of Approval/Disapproval Time: Approximately 90 days after deadline for application submission.

Appeals: 40 CFR Part 31, Subpart F.

Renewals: In order to receive renewals, significant progress must be demonstrated.

Application:

Preapplication Coordination: The applicant should consult the Regional Indian Program Coordinator designated as the single point of contact for more information. This program is excluded from coverage under Executive Order 12372.

Application Procedure: Applicants must use the "Application for Federal Assistance: State and Local Non-Construction Programs" (Standard Form 424).

Award Procedure: Applications are reviewed by the appropriate regional office and, if approved, financial assistance is awarded by the Regional Administrator or his/her delegated official.

Criteria for Selecting Proposals: Proposals are approved by the individual EPA regional offices according to the applicant's ability to achieve objectives outlined in Public Law 102-497, Section 11, the interim final regulation for programs implementation in the Federal Register on December 2, 1993, 40 CFR Part 35, Indian Tribes; General Assistance Grants for Environmental Protection Programs: Interim Final Rule, and the relevance to the uses described above.

Proposals should contain schedules for progress reports that will contribute towards development of environmental management capacity building; provide for an on-going coordination and problem resolution; and show an adequate ability to meet the proposed objectives, record keeping, and reporting requirements.

Assistance Considerations:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Award amount remains available until expended in accordance with the term of the award. The term of an award may exceed 1 year, but may not exceed 4 years.

Post Assistance Requirements:

Reports: Procedures for accounting, auditing, evaluating, and reviewing any program for activities in whole or in part for general assistance grant under this section shall be governed by regulations at 40 CFR Part 31, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments," with reports as required by the specific terms of the agreement.

Audits: Recipients must comply with Office of Management and Budget Circular No. A-128, which implements the Single Audit Act of 1984. Circular A-128 assigns audit responsibilities based on the amount of federal funding.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for 3 years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Applicants are encouraged to communicate with their Regional Indian Program Coordinator.

Headquarters Office: American Indian Environmental Office (4104) U.S. Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Telephone: 202 260-7939.

Related Programs: None.

Environmental Protection Consolidated Research

Federal Agency: Environmental Protection Agency, Office of Research and Development

Purpose: To support research to determine the environmental effects and therefore the control requirements associated with air quality, acid deposition, drinking water, water quality, hazardous waste, toxic substances and pesticides and: (1) to identify, develop and demonstrate necessary pollution control techniques; (2) to evaluate the economic and social consequences of alternative strategies for pollution control of energy systems; and (3) to support research to explore and develop strategies and mechanisms for those in the economic, social, governmental and environmental systems to use in environmental management.

Eligibility:

Applicant Eligibility: This program is available to each state, territory, and possession of the United States, including the District of Columbia, for public and private state universities and colleges, hospitals, laboratories, state and local government departments, other public or private nonprofit institutions, and individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility: State and local governments, U.S. territories and possessions, universities and colleges, hospitals, individuals.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments and OMB Circular No. A-21 for educational institutions.

Types of Support: Project Grants (Cooperative Agreements).

Uses and Use Restrictions: Available for allowable direct cost expenditures incident to research performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of the U.S. Environmental Protection Agency (EPA). Projects which include research, but also contain other types of grant assistance, such as training, planning, etc., may be funded through the Environmental Protection Consolidated Grants-Special Purpose.

Financial Information:

Account Identification: 68-0107-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY 95 $79,728,000; FY 96 est. $137,362,900; and FY 97 est. $140,522,200.

Range and Average of Financial Assistance: (FY 95 est.) $5,748 to $2,000,000; $258,820.

Sample of Previous Awards

Program Accomplishments: In fiscal year 1995, 253 awards were made. In fiscal year 1996, 435 grants and cooperative agreements are estimated to be awarded. In fiscal year 1997, 445 grants and cooperative agreements are expected to be awarded. Detailed program information is available in the Office of Research and Development (ORD) Program Guide.

Examples of Funded Projects: None.

Deadline: None.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: As described in 40 CFR Part 30, Subpart L and Part 31, Subpart F.

Renewals: None. Standard grant application should be prepared and submitted which will be reviewed in the same manner as a new application and will compete for available funds.

Application:

Preapplication Coordination: Discussion with the Office of Research and Development (ORD) Laboratories/ National Centers is advisable for research cooperative agreement applications. No preapplication assistance is available for research grant applications. An environmental impact assessment is required for this program. The standard application forms as furnished by the federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: Request for application forms and completed applications must be submitted to the Environmental Protection Agency, Grants Administration Division, PM 216, Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and to program evaluation; technical and extramural review determines the merit and relevance of the project.

Criteria for Selecting Proposals: A proposal is judged for (1) scientific merit in terms of: (a) strengths and weaknesses of the project; (b) adequacy of overall project design; (c) competency of proposed staff; (d) suitability of applicant's available resources; (e) appropriateness of the proposed project period and budget; and (f) probability that the project will accomplish stated objectives; and for (2) program interest in terms of: (a) the need for the proposed research; and (b) relationship to objective(s) in an approved work plan.

Assistance Considerations:

Formula and Matching Requirements: A minimum of 5 percent cost sharing is required.

Length and Time Phasing of Assistance: Grants normally funded on a 12-month basis (yearly). Total approved project period may not exceed 5 years.

Post Assistance Requirements:

Reports: Quarterly interims and final progress, expenditure, equipment, and invention reports.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," state and local governments that receive financial assistance of $100,000 or more within the state's fiscal year (FY) shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the state's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until 3 years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional office.

Headquarters Office: For information on grant applications and procedures, contact Environmental Protection Agency, Grants Administration Division, 3903F, Washington, DC 20460. For program information, contact National Center for Environmental Research and Quality Assurance, (8701), Environmental Protection Agency, Washington, DC 20460. Telephone: 800 490-9194 or David Kleffman 202 260-7445.

Related Programs: Air Pollution Control Research; Pesticides Control Research; Solid Waste Disposal Research; Water Pollution Control Research, Development, and Demonstration; Safe Drinking Water Research and Demonstration; Toxic Substances Research.

Solid Waste Disposal Research

Federal Agency: Environmental Protection Agency, Office of Research and Development

Purpose: To support and promote the coordination of research and development in the area of collection, storage, utilization, salvage, or final disposal of solid waste.

Eligibility:

Applicant Eligibility: This program is available to each state, territory, and possession of the United States, including the District of Columbia, for public or private agencies, public, private, state universities and colleges, state and local governments, and individuals. Profit-making organizations are not eligible.

Beneficiary Eligibility: State and local governments, public and private agencies, individuals.

Credentials/Documentation: Costs will be determined in accordance with Office of Management and Budget (OMB) Circular No. A-87 for state and local governments, and OMB Circular No. A-21 for educational institutions.

Types of Support: Project Grants (Cooperative Agreements).

Uses and Use Restrictions: Available for the allowable direct cost expenditures incident to research performance plus allocable portions of allowable indirect costs of the institutions, in accordance with established U.S. Environmental Protection Agency (EPA) policies.

Financial Information:

Account Identification: 68-0107-0-1-304.

Obligations: (Grants and Cooperative Agreements) FY 95 $6,509,600; FY 96 est. $6,134,800; and FY 97 est. $6,275,900.

Range and Average of Financial Assistance: (FY 95 est) $5,647 to $3,200,000; $271,993.

Sample of Previous Awards:

Program Accomplishments: In fiscal year 1995, 25 awards were made. The estimate of projects for fiscal year 1996 is 22. The estimate of projects for fiscal year 1997 is 23. Detailed program information is available in ORD Program Guide.

Examples of Funded Projects: Not available.

Deadline: None.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: As described in 40 CFR Part 30, Subpart L.

Renewals: None. Standard grant application should be prepared and submitted at which time it will be reviewed in the same manner as a new application and will compete for available funds.

Application:

Preapplication Coordination: Discussion with the Office of Research and Development (ORD) Laboratories/ National Centers is advisable for research cooperative agreement applications. No preapplication assistance is available for research grant applications. An environmental impact assessment is required for this program. The standard application forms as furnished by EPA and required by OMB Circular No. A-102 must

be used for this program. This program is eligible for coverage under Executive Order 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: Requests for application forms and completed applications must be submitted to EPA Grants Administration Division, PM 216F, Washington, DC 20460. This program is subject to the provisions of OMB Circular No. A-110.

Award Procedure: Each application shall be subjected to administrative evaluation to determine adequacy of the application in relation to grant and cooperation agreement regulations, and to program evaluation; technical and extramural review determines the merit and relevance of the project.

Criteria for Selecting Proposals: A proposal is judged for (1) scientific merit in terms of: (a) strengths and weaknesses of the project; (b) adequacy of overall project design; (c) competency of proposed staff; (d) suitability of applicant's available resources; (e) appropriateness of the proposed project period and budget; and (f) probability that the project will accomplish stated objectives; and for (2) program interest in terms of: (a) the need for the proposed research; and (b) relationship to objective(s) in an approved work plan.

Assistance Considerations:

Formula and Matching Requirements: A minimum cost sharing of 5 percent of the final projects is required.

Length and Time Phasing of Assistance: Grants normally funded on a 12-month basis (yearly). Total approved project period may not exceed 5 years.

Post Assistance Requirements:

Reports: Quarterly interims and final progress, expenditure, equipment, and invention reports.

Audits: Grants are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Federal audits will be made in accordance with the policies of OMB Circular No. A-73 to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," state and local governments that receive financial assistance of $100,000 or more within the state's fiscal year (FY) shall have an audit made for that year. State and local governments that receive between $25,000 and $100,000 within the state's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional office.

Headquarters Office: For information concerning grant applications and procedures, contact Environmental Protection Agency, Grants Administration Division, 3903F, Washington, DC 20460. For program information, contact, National Center for Environmental Research and Quality Assurance, (8701), Environmental Protection Agency, Washington, DC 20460. Telephone: 800 490-9194.

Related Programs: Environmental Protection Consolidated Research.

Performance Partnership Grants

Federal Agency: Environmental Protection Agency

Purpose: A Performance Partnership Grant (PPG) is a multi-program grant made to a state or tribal agency by the U.S. Environmental Protection Agency (EPA) from funds allocated and otherwise available for categorical grant programs. PPGs provide states and tribes with the option to combine funds from two or more categorical grants into one or more PPG.

Benefits of these grants include:

- **Flexibility.** States and tribes will have the flexibility to address their highest environmental priorities across all media and establish resource allocations based on those priorities, while continuing to address core program commitments.
- **Improved Environmental Performance.** States and tribes can: (1) more effectively link program activities with environmental goals and program outcomes; and (2) develop innovative pollution prevention, ecosystem, and community-based strategies.
- **Administrative Savings.** Recipients and EPA can reduce administrative burdens and costs by greatly reducing the numbers of grant applications, budgets, workplans, and reports.
- **Strengthened Partnerships.** EPA will develop partnerships with states and tribes where both parties share the same environmental and program goals and deploy their unique resources and abilities to jointly accomplish those goals.

Eligibility:

- All states and federally recognized Indian tribes (including environmental, health, agriculture, and other state or tribal agencies) eligible to receive more than one categorical grant in Fiscal Year (FY) 1996 are eligible to receive PPGs.
- Local agencies are eligible if they: (1) are a state agency; and (2) receive direct funding from EPA for two or more of the eligible grant programs.
- PPGs do not affect state or tribal agency "pass-through" grants to local or other agencies.
- State or tribal agency eligibility is subject to the authority of the governor or state legislature, or tribal authorities, as appropriate.

Application:

- States and tribes may apply for PPGs for any period after enactment of statutory authority for the program and may convert FY 1996 categorical grants to a PPG during the year.
- Applicants should apply for FY 1996 categorical grants in the event that EPA does not receive PPG authority. Applicants may use most of the same elements (e.g. workplans or program plans) of the categorical applications package for the PPG application package. EPA will eliminate any unnecessary or duplicative documentation.
- PPG program commitments are the programmatic basis for the PPG award and grant accountability. Commitments may consist of environmental indicators, performance measures (including measures of activity), and narrative descriptions of program activities or program elements. PPG program commitments must have core program elements and performance measures, as defined by appropriate environmental statutes, regulations and EPA or State policy. PPG program commitments may be contained in categorical workplans, in an Environmental Performance Agreement or in a Tribal Environmental Agreement.

Information Contact: Contact appropriate EPA Regional Office.

Pollution Prevention Grants Program

Federal Agency: Environmental Protection Agency, Office of Prevention, Pesticides and Toxic Substances

Purpose: To support state and local level pollution prevention programs that address the reduction of pollutants across all environmental media: air, land, and water.

Eligibility:

Applicant Eligibility: Congressional appropriations language and the Pollution Prevention Act of 1990 indicate that these funds should be used to support "state" pollution prevention efforts. "State" includes the 50 states, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico, any territory or possession of the United States, any agency or instrumentality of a state including state universities, and all federally recognized Indian tribes. Local governments or other political subdivisions of the state are not instrumentalities of the state and are therefore not eligible to apply directly for funding. Private universities, private nonprofit organizations, and other private organizations are also directly encouraged to work with eligible state or interstate agencies in developing pollution prevention proposals and may be included as participants in the project. EPA strongly encourages this type of cooperative arrangement.

Beneficiary Eligibility: Any state, interstate, and local agency/organization/university, federally-recognized

Indian tribes, public nonprofit organization/institution, profit organization, private organization, and quasi-public nonprofit organization.

Credentials/Documentation: In cases where applicants are not clearly, by given name, an instrumentality of the state, the applicant must provide proof that the applicant is indeed a state or interstate agency/organization.

Types of Support: Project Grants.

Uses and Use Restrictions:

The Pollution Prevention Grant Program has several discrete grant programs administered by the Office of Prevention, Pesticides and Toxic Substances in the EPA. These programs support the establishment and expansion of state pollution prevention programs and address various sectors of concern such as industrial toxics agriculture, energy, transportation, etc. Funds awarded under this grant/cooperative agreement should be awarded to support innovative pollution prevention programs that address the transfer of potentially harmful pollutants across all media—air, land, and water. Such innovative programs should reflect comprehensive and coordinated pollution prevention planning and implementation efforts.

Funds may be used for: demonstrating the impact of institutionalizing multimedia pollution prevention as an environmental management priority; establishing prevention goals, and developing strategies to meet those goals; initiating demonstration projects that support and test innovative pollution prevention applications and integrate the pollution prevention ethic within both governmental and non-governmental institutions of the state or region. Funds may also be used for other multimedia prevention activities, including but not limited to: providing direct technical assistance to businesses for whom lack of information is an impediment to source reduction outreach and identifying regulatory and non-regulatory barriers and incentives for pollution prevention. Pollution Prevention Incentives for States (PPIS) is intended to build and support state pollution prevention approaches and methodologies. The grant program is focused on institutionalizing multimedia pollution prevention as an environmental management priority, establishing prevention goals, providing direct technical assistance to businesses, conducting outreach, and collecting and analyzing data.

Financial Information:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 $6,000,000; FY 95 est. $7,100,000; and FY 96 est. $6,000,000.

Range and Average of Financial Assistance: $20,000 to $200,000; $100,000.

Sample of Previous Awards:

Program Accomplishments: During fiscal year 1993, grants totalling approximately $6,000,000 were awarded under the Pollution Prevention Grant program. Fifty-two state and tribal agencies received grant funds through the Pollution Prevention Incentives for States program.

Examples of Funded Projects: (1) technical assistance; (2) data collection and dissemination; (3) education for and outreach to business/government/academic personnel; (4) training; (5) environmental auditing; (6) technology transfer; (7) demonstration projects; (8) integration of pollution prevention into state regulatory programs, or combinations thereof.

Deadline: Varies according to each EPA Regional Office.

Range of Approval/Disapproval Time: 120 days.

Appeals: As described in 40 CFR Part 30, Subpart L.

Renewals: None.

Application:

Pre-Application Coordination: The Standard Form 424, 424A, and other forms as provided by the Environmental Protection Agency must be used for this program. Applicants are subject to the state's review. This program is eligible for coverage under Executive Order 12372 "Intergovernmental Review of Federal Programs" (and the review requirements of Section 204 of the Demonstration Cities and Metropolitan Development Act). An applicant should consult the office or official designated as the single point of contact in his or her state for more information on the process the state requires to be followed in applying for assistance, if the state has selected the program for review. The single point of contact must notify in writing, within thirty days of the Federal Register Notice announcing availability of funds, the Grants Administration Division of the Environmental Protection Agency whether their state's official Executive Order 12372 process will review applications in this program.

Application Procedure: Requests for information should be made to: Pollution Prevention Division, MC7409, U.S. Environmental Protection Agency, 401

M Street, S.W., Washington, DC 20460. Telephone: 202 260-2237. Completed applications must be submitted to the EPA Regional Pollution Prevention Programs Office for consideration for an award. Applications must meet the requirements of the grant regulations and will be reviewed to determine the relevancy of the proposed project.

Award Procedure: Procedures for awards are outlined in General Grant Regulation 40 CFR Part 30.

Criteria for Selecting Proposals: The program has National Eligibility Criteria which include the following: (1) The proposal should seek to build state pollution prevention capabilities or test, at the state level, innovative pollution prevention approaches and methodologies; (2) Multimedia opportunities and impacts should be identified. A multimedia pollution prevention program addresses the prevention of pollution across all environmental media—air, land, and water; (3) The proposal should address significant needs of the state or region. Areas of significant risk reduction are targeted and integrated into overall pollution prevention goals, objectives, and implementation strategies. Projects are encouraged to address targeted high risk chemicals identified in the EPA Industrial Toxics Project outlined in the Pollution Prevention Strategy (February 1991) or in other EPA voluntary programs such as Green Lights; (4) The pollution prevention activities of other programs or organizations in the state or region should be integrated and leveraged into the proposed program, as appropriate. Activities funded complement and augment pollution prevention activities already undertaken by the state; (5) Measures of success should be identified. There is a reasonable expectation for significant accomplishments in pollution prevention and there is an adequate system planned for measuring progress with environmental and/or programmatic indicators and; (6) Proposals should identify plans for the long term implementation of a multimedia pollution prevention program beyond the initial project funding period. Regional specific criteria for selecting grants may also be available and complement these national eligibility criteria.

Assistance Considerations:

Formula and Matching Requirements: States awarded under this program are generally required to contribute at least 50 percent of the total cost of their project (in dollars or in-kind goods/services). Tribes are generally required to match 5 percent or nothing.

Length and Time Phasing of Assistance: Grants are awarded for a project period not to exceed three years. Funds are released by a letter of credit.

Post Assistance Requirements:

Reports: Organizations that are awarded grant/cooperative agreement funds will be required to submit semi-annual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects for continuation, further development of the pollution prevention effort, project evaluation, and future direction.

Audits: Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of Office of Management and Budget (OMB) Circular No. A-128, "Audits of State and Local Governments," states that receive financial assistance of $100,000 or more within the state's fiscal year shall have an audit made for that year. State agencies that receive less than $100,000 within the state's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

Information Contacts:

Regional or Local Office: Contact appropriate EPA Regional Office.

Headquarters Office: For program information: Pollution Prevention Division, Office of Pollution Prevention and Toxics, MC4709, U.S. Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Telephone: 202 260-2237.

Environmental Justice Through Pollution Prevention (EJP2)

Federal Agency: Environmental Protection Agency, Office of Environmental Justice

Purpose: The primary purpose of this grant program is to provide financial assistance to tribal governments for projects that address environmental justice and use pollution prevention activities as the proposed solution. This grant program is designed to fund projects that have a direct impact on affected communities. The U.S. Environmental Protection Agency (EPA) is seeking proposals for projects that will encourage institutionalization and innovative use of pollution prevention as the preferred approach for addressing environmental justice issues, and whose activities and products can be applied to other communities. The Agency also encourages cooperative efforts with business and industry to address common pollution prevention goals.

Projects funded under this grant program may involve public education, training, demonstrations, research, investigations, experiments, surveys, studies, public-private partnerships, or approaches to develop, evaluate, and demonstrate nonregulatory strategies and technologies.

Eligibility: Eligible applicants include any federally-recognized tribal government. No applicant can have two grants for the same project at one time under the Environmental Justice Through Pollution Prevention (EJP2) grant program. EPA will consider only one proposal for a given project. Applicants may submit more than one application as long as the applications are for separate and distinct projects. However, no organization will receive more than one grant per EPA region per year under the EJP2 grant program.

All awardees must also comply with the requirements of 40 CFR Part 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" or 40 CFR Part 30 "General Regulation for Assistance Program for other than State and Local Governments."

Financial Information: Tribes seeking funds from the EJP2 grants can request up to $100,000. Organizations that apply and receive EJP2 grant funds in amounts up to and including $50,000 are not required to match the federal funds. Requests for grants above $50,000 must match federal funds by providing 10 percent of the total cost of the project.

Uses and Use Restrictions: The purpose of the EJP2 grants program is to support the use of pollution prevention solutions to address the environmental problems of minority and low-income communities and tribes. Funds awarded must be used to support pollution prevention projects in minority and low-income communities on tribal lands. Priority will be given to those projects that will improve the environmental quality of affected communities using pollution prevention as the primary solution.

Information Contact:

Chen Wen
U.S. Environmental Protection Agency
EJP2 Grant Program
401 M Street SW.
Washington DC 20460
202 260-4109 or call 703 841-0483 for program information and applications.

Office of Environmental Justice; Environmental Justice Community/University Partnership Grants Program

Federal Agency: Environmental Protection Agency, Office of Environmental Justice

Purpose: In its 1992 report, Environmental Equity: Reducing Risk for All Communities, the U.S. Environmental Protection Agency (EPA) found that minority and low-income communities may experience higher than average exposure to toxic pollutants than the general population. The Office of Environmental Justice (OEJ) was established in 1992 to help these communities identify and assess pollution sources, implement environmental awareness and training programs for affected residents, and work with local stakeholders (community-based organizations, academia, industry, local governments) to devise strategies for environmental improvements. In June of 1993, OEJ was delegated granting authority to solicit projects, select suitable projects from among those proposed, supervise such projects, evaluate the results of projects, and disseminate information on the effectiveness of the projects, and feasibility of the practices, methods, techniques, and processes in environmental justice areas.

The grants program was established to help community groups and tribal governments effectively address local environmental justice issues through active partnerships with one or more institutions of higher

education. The emphasis of this grants program is on meaningful, two-way cooperation between communities or tribes and institutions of higher education serving minority communities and low-income communities or tribes in order to address environmental justice issues. Partnerships must be established with formal agreements (i.e. Memoranda of Agreements) between at least one college or university and at least one socio-economically disadvantaged community which is adversely impacted by an environmental hazard. These partnerships become the catalyst for increasing environmental awareness and involvement in resolving environmental problems, such as exposure to environmental pollutants in minority communities and low-income communities and on tribal lands.

Uses and Use Restrictions:

Projects under the Environmental Justice Community/University Partnership Grants Program shall include, but not be limited to:

- Design and demonstration of field methods, practices, and techniques, including assessment and analysis of environmental justice conditions and problems which may have a wide applicability and/or addresses a high priority environmental justice issue (e.g., socio-economic impact studies).
- Research projects to understand, assess or address, regional and local trends in environmental justice issues or problems (e.g., monitoring of socio-economic change in a community as a result of an environmental abuse).
- Demonstration or dissemination of environmental justice information, including development of educational tools and materials (e.g., establish an environmental justice clearinghouse of successful environmental justice projects and activities or teach about risk reduction, pollution prevention, or ecosystem protection as potential strategies for addressing environmental justice problems or issues).
- Determine the necessary improvements in communication and coordination among local, state and tribal environmental programs and facilitate communication, information exchange, and community partnerships among all stakeholders to enhance critical thinking, problem solving, and decision making.
- Provide technical expert consultation and training for accessing, analyzing, and interpreting public environmental data, and utilization of electronic communications technology (e.g., internet and e-mail).
- Provide for a minimal "hard science" analysis capability (e.g., analyze water and soil samples to test for basic pollutants, provide radon testing kits, etc.).

The granting authority is multi-media and the grant proposal must address at least two of the following statutes:

Clean Water Act, Section 104(b)(3)

Solid Waste Disposal Act, Section 8001(a)

Clean Air Act, Section 103(b)(3)

Marine Protection, Research and Sanctuaries Act, Section 203

Toxic Substances Control Act, Section 10(a)

* *Projects under this statute are limited to research or development activities.*

Safe Drinking Water Act, Section 1442(b)(3)

Federal Insecticide, Fungicide, and Rodenticide Act, Section 20(a)

* *Projects under this statute are limited to research activities (e.g., surveys)*

Comprehensive Environmental Response, Compensation and Liability Act, Section 111(c)(10).

* *Projects under this statute are limited to hazardous substance detection, assessment, and evaluation, and associated human health effects and risks.*

Financial Information: A maximum of $250,000 will be awarded to at least four grant recipients, for fiscal year 1996, depending on the availability of funds. Work funded by this program is expected to begin upon award of the grant. All grants under this notice are expected to be awarded by August 1997.

Matching Requirements: Matching funds of at least 5 percent are required.

Deadline: Application must be postmarked by March 2, 1997. Further description of exact requirements and written information are available from funder contact.

Information Contact:

United States Environmental Protection Agency
Office of Environmental Justice (3103)
Environmental Justice Community/University Partnership Grants
401 M Street SW.,
Washington, DC 20460

Office of Environmental Justice 24 hour hotline: 800 962-6215

Jobs Through Recycling

Federal Agency: Environmental Protection Agency

Purpose: The U.S. Environmental Protection Agency (EPA) holds an annual competition for its Jobs Through Recycling (JTR) grants. EPA's goal for the JTR program is to stimulate development of recycling and reuse businesses that:

- Increase the use of recyclable or reusable materials (recovered materials) that would otherwise be landfilled or incinerated.
- Contribute to economic development and jobs creation/retention.

Eligibility: States, Native American tribes, and multi-state, and multi-tribe organizations.

Uses and Use Restrictions: Each year, EPA seeks proposals that will:

- Increase intermediate processing and end-use manufacturing capacity (not collection programs.)
- Institutionalize coordination of market development activities with programs focusing on economic growth.
- Develop working partnerships with industry groups, financial institutions, local governments, universities, and other involved entities.

Financial Information: Grants are awarded for $75,000 to $200,000.

Matching Requirements: EPA will require nonfederal matching funds of at least 25 percent and encourages matching funds of higher levels. This matching share of project costs may be provided in cash, in-kind contributions, or other noncash support.

Sample of Previous Awards:

Program Accomplishments: Over three years, from 1994 through 1996, more than $5.7 million in grants have been awarded to 35 States, five tribes, and two multi-state organizations. Types of grants funded include: Recycling Economic Development Advocates (REDAs) and Recycling Business Assistance Centers (RBACs), Commodity-specific Demonstration Projects, and Recycling Investment Forums.

Results for first-year grants as of 1996:

- Created over 700 jobs.
- Created over $200 million in capital investments in recycling/reuse businesses.
- Created over 4 million tons per year of recycling market capacity.
- Utilized over 1 million tons of recyclables.
- Provided assistance to over 1,700 potential or existing recycling/reuse businesses nationwide.

Examples of Funded Projects: The Quechan Tribe of the Fort Yuma Indian Reservation in Arizona will create a craft paper making business. The tribe will hand-craft writing paper from post-consumer paper diverted from the local waste stream. The tribe will sell this art product to the 750,000 winter residents of Yuma. This cottage industry will provide specialized training and employment to tribal members who currently face 60 percent unemployment on the reservation. The Sitka Tribe of Alaska will create a fish and timber waste composting facility. The high grade composted product will be bagged and marketed extensively for use as potting soil, etc.

Deadline: The proposal process relies extensively on direct communications (in person or by phone, fax or electronic mail) with EPA regional JTR contacts. Therefore, parties interested in responding to this solicitation should contact the appropriate JTR contact as soon as possible to discuss project ideas. Any questions regarding the JTR program, eligible costs and activities should be addressed prior to submitting a proposal outline.

Criteria for Selecting Proposals: A proposal is judged for: (1) description and budget; (2) applicant information and capabilities; (3) waste diversion, market capacity, and climate change impact; (4) economic development and job creation/retention; (5) measurement methodology; and (6) institutionalize coordination/partnerships/leverage resources. The last four criteria are weighed most heavily in the evaluation.

Information Contact:

The EPA Jobs Through Recycling request for proposals information packet contains a description of program objectives and eligible activities, application evaluation criteria, and proposal preparation instructions.

Contact the appropriate EPA Regional office or:
OSW-Municipal and Industrial Solid Waste Division
U.S. Environmental Protection Agency
Mail Code: 5306W
401 M Street, SW
Washington, DC 20460

Environmental Education Grants Program

Federal Agency: Environmental Protection Agency

Purpose: Supports projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and training.

Eligibility: Local education agencies, colleges or universities, state education agencies or environmental agencies, and nonprofit organizations.

Financial Information: $3,000,000 in grants estimated for 1995. Twenty-five percent of all funds obligated under this program are for grants of not more than $5,000.

Application: Application availability is usually announced in April and applications are due in October. Applicants should contact their U.S. Environmental Protection Agency regional office or headquarters office for a copy of the solicitation notice.

Information Contact:

George Walker
Environmental Education Grant Program
Environmental Education Division
United States Environmental Protection Agency
Mail Code: 1707
401 M Street, SW
Washington, DC 20460
202 260-8894

Climate Change Action Plan

Federal Agency: Environmental Protection Agency

Purpose: Provides funding for activities that bring about a significant reduction in emission of greenhouse gases, specifically source reduction, recycling, and composting projects.

Eligibility: State, tribal, local government agencies, universities, and private nonprofit agencies. Joint projects between nonprofit and for-profit entities are encouraged.

Financial Information: The funding level is subject to Congressional allocation.

Application: A solicitation was released in September and closed in October 1995. Please contact regional U.S. Environmental Protection Agency offices for information on release of future solicitations.

Information Contact:

Contact the appropriate EPA Regional office or:
OSW-Municipal and Industrial Solid Waste Division
United States Environmental Protection Agency
Mail Code: 5306W
401 M Street, SW
Washington, DC 20460

Sustainable Development Challenge Grants

Federal Agency: Environmental Protection Agency

Purpose: Provides seed money to stimulate public and private investment in long-term community- based, community-implemented strategies that improve both environmental quality and economic vitality. This program is still under development.

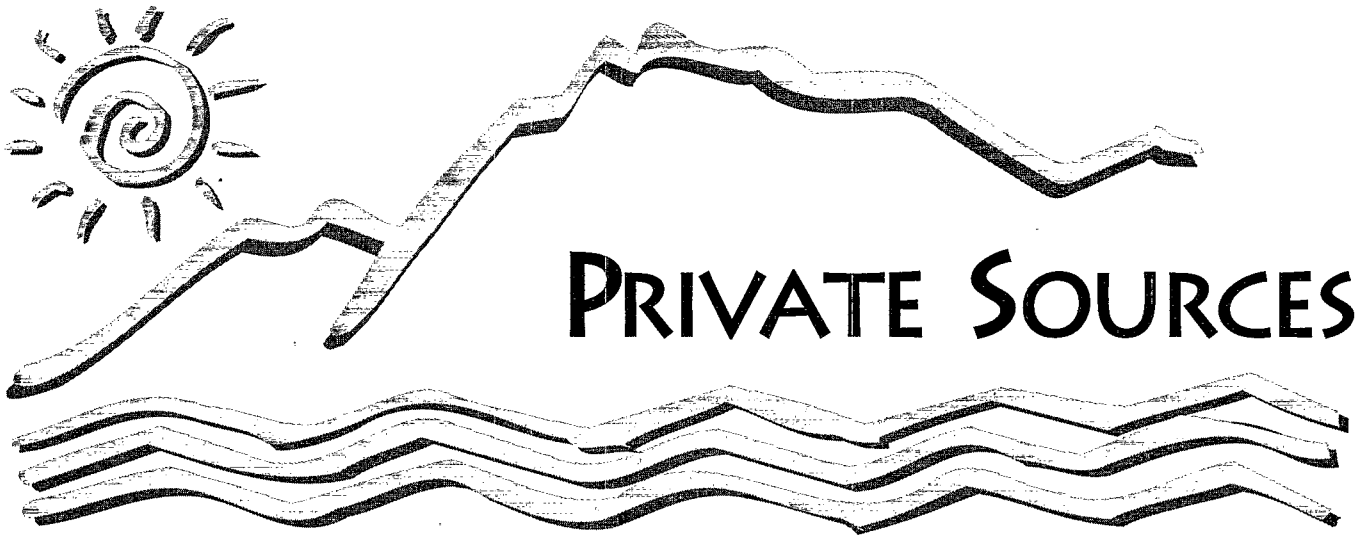
Eligibility: Local governments and nonprofit organizations.

Financial Information: Program funding is subject to Congressional allocation. The U.S. Environmental Protection Agency (EPA) hopes to fund a total of 10-15 projects nationally.

Application: Please contact EPA Regional offices for information.

Information Contact:

Contact the appropriate EPA Regional office.



PRIVATE SOURCES

ARCO Chemical Company

Purpose: Funds environmental issues in communities where ARCO plants are located. Plants exist in communities throughout Texas, West Virginia, Pennsylvania, and Ohio. The company supports balanced policy studies and action programs on hazardous waste, air emissions, toxicology, groundwater contamination and reclamation, plastics disposal, and similar topics of concern to ARCO and the general public.

Eligibility: Educational institutions and nonprofit organizations located in areas listed above.

Types of Support: Capital campaigns/expenses, computer hardware, equipment, facilities (renovation), general purposes, scholarships.

Financial Information: Total grants awarded for fiscal year 1993: $3,495,900.

Sample of Previous Awards (1993):

Awbury Arboretum Association, Philadelphia, PA, $4,000 for renovation project.

Brandywine Conservancy, Inc., Chadds Ford, PA, $5,000 for Land Stewardship and Environment Program.

Deadline: None. Apply to local ARCO office.

Application: Initial contact: Concise proposal to include the following:

1. Description of organization, its purpose, and explanation of how program meets Company guidelines and priorities.
2. Statement of need for project and description of methods chosen to meet goals.
3. Timeline and method of evaluation.
4. Cost of project, list of other sources of funding, and amount requested.
5. Description of community support for, and involvement in, project and organization.

   Include the following support data:

   1. Current budget with most recent audited financial statement.
   2. Annual report.
   3. Copy of IRS tax-exempt status determination letter.
   4. List of board of directors with their affiliations.

Information Contact:

ARCO Chemical Company
Stephen D. Cohen
Corporate Contributions
3801 West Chester Pike
Newtown Square, PA 19073-2387
610 359-3189

ARCO Foundation

Purpose: Provides grants to nonprofit organizations in the areas of education, community, arts and humanities, environment, and public information. Priority is given to environmental organizations that support rational land use and natural resource policies.

Eligibility: Awarded primarily to local nonprofit organizations located in the communities where ARCO

employees live and work. (Los Angeles, California; Midland, Texas; Denver, Colorado; Alaska.)

Types of Support: Leveraging funds, matching funds, operating costs, projects, seed money, single-year grants only.

Financial Information: Total grants awarded for fiscal year ending December 31, 1994: $12,530,000.

Sample of Previous Awards (1994):

Californians Against Waste Foundation, Sacramento, CA, $5,000. Jobs program in conjunction with recycling project.

Alaska Mineral and Energy Resource Education Funds, Anchorage, AK, $15,000 for teacher training and resource materials.

Deadline: None.

Application: Initial contact: Brief proposal (5 pages) to include:

1. Cover letter should include organization's mission; grant purpose; legal name of organization; amount requested; and list of previous ARCO supported grants.
2. Proposal should include 1-page summary; organization's history and mission; needs statement; project description, goals, objectives, and plan; and expected results and method of evaluation.
3. Attachments should include most recent financial statement; organization budget; and project budget including other possible sources of funding; most recent IRS Form 990; list of board of directors and their affiliations.

   * Do not use binders or covers.

Information Contact:

ARCO Foundation
Russell G. Sakaguchi
515 South Flower St.
Los Angeles, CA 90071
213 486-3342

AT&T Foundation

Purpose: Provides grants in two environmentally related categories: higher education and health and human services. In particular, the foundation is interested in supporting community-based and national projects in the areas of source reduction and recycling, clean air, and clean water.

Eligibility: Educational institutions, museums, nonprofit organizations. A local contributions program provides funding in communities where AT&T is active.

Types of Support: Multi-year grants, annual campaigns, continuing support, emergency funding, matching funds, operating costs, pilot projects.

Financial Information: Total grants awarded for fiscal year 1993: $37,100,000.

Sample of Previous Awards (1994):

Dudley Street Neighborhood Initiative, Roxbury, MA, $25,000 to support a community-based environmental project, including clean up of neighborhood solid waste sites.

The Georgia Conservancy, Atlanta, GA, $40,000 to support an educational program to teach local government officials in Georgia about waste management, water conservation, and other topics.

Deadline: At any time, but preferably by the end of January, April, July, or September.

Application: Initial contact: Telephone call or written request for application guidelines before submitting proposal.

Information Contact:

AT&T Foundation
Gary Doran
1301 Sixth Ave., 31st floor
New York, NY 10019
212 841-4747

Mary Reynolds Babcock Foundation, Inc.

Purpose: Supports community building in the Southeast, placing special emphasis on bridging racial and social gaps and investing in human and natural capital over the long term. Areas typically receiving funding include: organizational development, community problem-solving, and grassroots leadership.

Eligibility: Nonprofit organizations in the southeastern United States.

Types of Support: Multi-year grants, organizational development, program-related investments, technical assistance.

Financial Information: Total grants awarded for fiscal year 1994: $334,665.

Sample of Previous Awards (1995):

Environmental Community Action, Atlanta, GA, $30,000.

Save Our Cumberland Mountains, Lake City, TN, $40,000.

Deadlines: Organizational Development due February 15, 1996, Community Problem Solving and Opportunity Fund due anytime.

Application: Initial contact: Write or call for an annual report containing grant application forms and application information.

Information Contact:

Mary Reynolds Babcock Foundation, Inc.
Gayle Williams Dorman
102 Reynolds Village
Winston-Salem, NC 27106-5123
910 748-9222

The Jenifer Altman Foundation

Purpose: Offers smaller grants to organizations and projects that can make a significant impact in such areas as climate change, biodiversity, forests, sustainability, wildlife protection, and environmental justice. The Foundation also typically makes a large annual gift to a project which supports work on the environment and sustainable development.

Eligibility: Nonprofit organizations.

Types of Support: General purposes, leveraging funds, multi-year grants, projects, seed money, single-year grants, travel expenses.

Financial Information: Total grants awarded for fiscal year ended June 1995: $1,506,436.

Sample of Previous Awards (1994-1995):

Southwest Network for Environmental and Economic Justice, Albequerque, NM, $5,000 to aid communities affected by the environmental and social impacts of industrialization.

Earthkind, Washington, DC, $1,000 to inform the public of the dangers threatening the survival of cultures and ecological regions through productions by grassroots environmentalists and indigenous groups.

Deadline: None.

Application: Initial contact: Call or write for application form and instructions. Proposal should include:

1. Completed application form
2. Project description
3. Project budget
4. Organization budget
5. List of board of directors
6. Copy of IRS tax-exempt status determination letter

Information Contact:

The Jenifer Altman Foundation
Elise Miller
P.O. Box 1080
Bolinas, CA 94924
415 868-0821

BankAmerica Foundation

Purpose: Provides funding for grassroots programs that promote first-hand involvement and community service in communities where Bank of America has a strong presence. Also supports environmental organizations at the local level. Targeted areas include land conservation, urban gardens, recycling, and education and training.

Eligibility: Nonprofit organizations in communities where the corporation has a significant presence, except Washington State, which is supported by Seafirst Foundation. Religious organizations are exempt from funding.

Types of Support: Operating costs, projects.

Financial Information: Total grants awarded for fiscal year 1994: $13,988,007.

Sample of Previous Awards (1994):

Alaskans for Litter Prevention and Recycling, Anchorage, AK, $2,500 towards a Community Environmental Grant.

Californians Against Waste Foundation, Sacramento, CA, $20,000 for the development of recovered materials industries.

Deadline: None. Grants awarded quarterly.

Application: Initial contact: Letter to include:

1. Purpose for which grant is requested.
2. Organizational information, including name, address and telephone number of contact.
3. Mission statement.
4. Copy of IRS tax-exempt status determination letter.
5. List of board of directors and affiliations.
6. Financial information for previous two years with audited statement if available.
7. Operating budget and project budget if applicable.
8. Population and geographic area.
9. Amount requested.
10. List of sources and amounts of other funding obtained, pledged, or requested for this purpose.

Information Contact:

BankAmerica Foundation
Caroline O. Boitano
Department 3246
P.O. Box 37000
San Francisco, CA 94137
415 953-3175

L. L. Bean, Inc.

Purpose: Provides funding in the area of conservation and recreation, targeting stewardship of nature through education, membership support, leadership training, and outdoor volunteerism. The company tends to renew and build upon these grants annually.

Eligibility: Primarily pre-selected organizations, especially in Maine; other regions also eligible. No aquariums, botanical gardens, individuals, museums, religious organizations, research institutions, or zoos.

Types of Support: Annual campaigns, capital campaigns/expenses, continuing support, endowments, facilities, general purposes, leveraging funds, multi-year grants.

Financial Information: Total grants awarded for fiscal year 1994: $1,200,000.

Deadline: Apply by June 1 in order to be considered in December.

Application: Initial contact: Send short letter of intent.

Information Contact:

L. L. Bean
Janet Wyper
Casco Street
Freeport, ME 04033
207 865-4761

Beldon Fund

Purpose: Provides support to multi-issue environmental organizations to educate their members and the public through a grassroots approach. Emphasis is placed on programs that build constituencies, strengthen environmental organizing and coalitions, and foster inter-organizational cooperation. Specific topics addressed include toxics use reduction, pollution prevention, and environmentally sound solid waste policies.

Eligibility: Nonprofit organizations conducting projects on the state level. No aquariums, botanical gardens, educational institutions, individuals, museums, public agencies, religious organizations, research institutions, or zoos.

Types of Support: General purposes, operating costs, projects, technical assistance.

Financial Information: Total grants awarded for fiscal year 1994: $699,550.

Sample of Previous Awards (1994):

Grass Roots Environmental Organization, Inc, Flanders, NJ, $5,000 to provide technical support for and to organize groups working on toxics and solid waste issues.

Powder River Basin Resource Council, Sheridan, WY, $7,500 to support local organizing for the sustainable management of waste in Wyoming.

Deadline: None.

Application: Initial contact: Telephone or send letter of inquiry; then compose full proposal including:

1. Proposal summary, giving contact name and telephone number, grant period, and amount requested.
2. Need for program in light of related work by others.
3. Goals, objectives, and action plan.
4. Method of evaluation and, if appropriate, plan for continuity in subsequent year(s).

5. Most recent organizational financial statement, itemized program budget, list of other potential sources of funding for project.
6. Copy of IRS tax-exempt status determination letter.
7. List of board of directors.
8. Background and qualifications of organization and staff.

Information Contact:

Beldon Fund
Diane Ives
2000 P Street NW, Suite 410
Washington, DC 20036
202 293-1928

The Ben & Jerry's Foundation

Purpose: Supports progressive social change effected on a small scale. Consideration is given to new projects and small struggling organizations. Grants target grassroots efforts and environmental justice, including projects in land management, education on sustainable agriculture, hazardous waste and nuclear issues, and environmental health.

Eligibility: Nonprofit organizations within the United States, excluding educational institutions, individuals, religious organizations, research institutions, and state agencies.

Types of Support: General purposes and pilot projects; single-year grants only.

Financial Information: Total grants awarded for fiscal year 1994: $364,506.

Sample of Previous Awards (1994-1995):

Dakota Resource Council, Dickinson, ND, $5,000 in support of the Law and Order in the Coalfields campaign for enforcement of the Surface Mining Control and Reclamation Act.

Kentucky Environmental Foundations, Inc., Berea, KY, $5,000 to support groups resisting the construction of a hazardous incineration network in their communities.

Deadline: Initial applications due at least eight weeks prior to the quarterly deadline for which you wish to be considered. Deadlines for complete proposal for invited applicants are January 1, April 1, July 1, and October 1.

Application: Initial contact: After requesting cover page (from foundation), submit it with one-page letter of interest copied onto the back, enclosing two copies each. Letter should include:

1. Description of organization and indication of competence in area of the proposed project.
2. Project outline:
   –Population to benefit
   –Description of project
   –Outcomes expected
3. Project budget, income sources, and expenses.

Information Contact:

The Ben & Jerry's Foundation
Rebecca Golden
P.O. Box 299
Waterbury, VT 05676
802 244-7105

The Bullitt Foundation

Purpose: Funds projects that protect and restore the natural environment of the Pacific Northwest. Preference is given to projects that leverage resources, demonstrate aptitude for multiplier effects, address government shortcomings, and have significant impact. Specific areas of funding include reducing waste flow in Puget Sound and the Strait of Georgia, reducing pollutant burden in the Columbia River Basin, and promoting environmental justice.

Eligibility: Nonprofit organizations in the Pacific Northwest: Washington, Oregon, Idaho, western Montana, and the rainforest region of southern Alaska.

Types of Support: Computer hardware, continuing support, general purposes, leveraging and matching funds, pilot projects, seed money, technical assistance; single-year grants only.

Financial Information: Total grants awarded for fiscal year 1994: $4,621,700.

Sample of Previous Awards (1994):

American Oceans, Port Townsend, WA, $30,000 to aid the Tulalip tribes in addressing environmental issues regarding the Stillaguamish Watershed.

Mineral Policy Center, Washington, DC, $9,600 for the prevention of environmental damage from hardrock mining.

Deadlines: April 1, August 1, December 1.

Application: Initial contact: Submit proposal including:

1. Project description
2. Budget summary
3. Any other supporting materials

Information Contact:

The Bullitt Foundation
Emory F. Bundy
1212 Minor Ave.
Seattle, Washington 98101-2825
206 343-0807

The Bush Foundation

Purpose: Supports projects that improve social welfare through initiatives in such areas as education for Native Americans, rural areas, and public policy. Grants target regional projects in Minnesota, North Dakota, South Dakota, and western Wisconsin, and have in the past provided support for wetlands and prairie protection and recycling.

Eligibility: Educational institutions, museums, nonprofit organizations in the areas listed above.

Financial Information: Total grants awarded for fiscal year 1994: $22,348,434.

Sample of Previous Awards (1994):

Midwest Assistance Program, Inc., New Prague, MN, $32,200 for a community recycling program in South Dakota.

Citizens for a Better Environment, Minneapolis, MN, $40,000 for the Good Neighbor Project.

Deadlines: January 1, March 1, July 1, and November 1.

Application: Initial contact: Send two copies of full proposal, complete with:

1. Proposal summary, giving contact name, position, address and telephone number.
2. Need for program in light of related work by others.
3. Goals, objectives, and action plan.
4. Method of evaluation and, if appropriate, plan for continuity in subsequent year(s).
5. Most recent organizational financial statement, itemized program budget, payment timeline, and list of other potential sources of funding for project.
6. Copy of Internal Revenue Service tax-exempt status determination letter, and a current declaration letter signed by officer of the organization that it has not received notice of any adverse action by the Internal Revenue Service with respect to its status or classification.
7. List of board of directors.
8. Background and qualifications of organization and staff.

Information Contact:

The Bush Foundation
John W. Archabal
E-900 First National Bank Building
332 Minnesota Street
St. Paul, MN 55101
612 227-0891

Captain Planet Foundation

Purpose: Sponsors innovative, hands-on projects that empower children world-wide to work individually and collectively to solve environmental problems. Grants provide support for urban gardens, recycling, habitat and wildlife protection, beautification, and water quality.

Eligibility: Educational institutions, nonprofit organizations.

Types of Support: Pilot projects, projects, seed money.

Financial Information: Total grants awarded for fiscal year 1995: $27,897.

Sample of Previous Awards (1994-1995):

Future Farmers of America, Inc., Holcomb, MO, $374 to start a local recycling program.

Chattahoochee Nature Center, Roswell, GA, $3,358 in support of environmental education programs.

Deadline: None.

Application: Initial contact: Proposal to include:

1. Description of proposed project, including number of children participating and relevance of project to them and the community.
2. Line-item budget for project.
3. Organization history.
4. Copy of Internal Revenue Service tax-exempt status determination letter.
5. Timeline for project.
6. Other sources of funding.
7. Funding plans after Foundation grant expires.
8. Method of evaluation and monitoring.

Information Contact:

Captain Planet Foundation
Chrissy Sapp
One CNN Center
Tenth Floor, South Tower
Atlanta, GA 30303
404 827-4130

Carolyn Foundation

Purpose: Supports programs in: education, arts, health, environment, and other social issues.

Eligibility: Applicants must be nonprofit organizations not classified as private foundations. No grants to individuals; political, veteran, or religious organizations; or for fund drives, conferences, or seminars.

Previous Awards: Total grants awarded for FY 1990: $1,000,000.

Application & Deadline: Major grants (over $10,000) are considered once a year in December, application must be submitted by July 1. Minor grants are allocated by June 1, requests must be submitted no later than March 1.

Information Contact:

Carolyn Foundation
Carol J. Fetzer
2106 First Bank Plaza West
Minneapolis, MN 55402
612 339-7101

Mary Flagler Cary Charitable Trust

Purpose: Supports the betterment of the environment through programs focusing on the protection of selected coastal regional ecosystems in the Southeast. Projects range from strip mining, to intrusion of roads and bridges, to nonpoint source pollution.

Eligibility: Nonprofit organizations.

Types of Support: General purposes, multi-year grants, operating costs.

Financial Information: Total grants awarded for fiscal year 1995: $8,532,547.

Sample of Previous Awards (1995):

Citizens Committee for New York City, New York, NY, $167,600 for the Neighborhood Environmental Action Awards Program, which includes support for projects dealing with solid wastes and recycling.

The Trust for Public Land, New York, NY, $125,000 for the Neighborhood Open Space Management Program.

Deadline: None.

Application: Submit letter including:

1. Concise statement of program or project.
2. Amount of funding requested and how it fits within the overall budget.
3. Brief description of the nature and activities of applicant organization.
4. Organization's legal name.
5. Current list of organization's officers and directors or trustees.

If the Trust is interested, it will request additional information, including:

6. Most recent audited financial statement.
7. Copy of Internal Revenue Service tax-exempt status determination letter and tax classification.
8. Official request on the organization's letterhead, signed by CEO on behalf of its governing body.

Information Contact:

Mary Flagler Cary Charitable Trust
Edward A. Ames
122 East 42nd Street, Room 3505
New York, NY 10168
212 953-7700

Chevron USA

Purpose: Contributes to a broad variety of education, environmental, health, human service, civic, cultural, and international activities. Priority is given to programs that encourage local environmental conservation and education, and to creative math and science programs for grade levels K-12.

Eligibility: Eligible applicants must be private, tax-exempt 501(c)(3) organizations.

Previous Awards: A total of $25.7 million was granted in the United States and abroad in 1990.

Information Contact:

Application guidelines are available from:
Chevron USA
J.W. Rhodes, Jr.
575 Market Street
San Francisco, CA 941-5
415 894-5464

Compton Foundation

Purpose: Funds projects that demonstrate resource management, educate the public, or secure land or water rights. Funds are aimed at the reversal of environmental deterioration and the depletion of natural resources. Priority is given to proposals that involve the local community in project planning and implementation.

Eligibility: Nonprofit organizations involved with national projects.

Types of Support: Capital campaign/expenses, continuing support, endowments, general purposes, multi-year grants.

Financial Information: Total grants awarded for fiscal year 1994: $3,969,256.

Sample of Previous Awards (1994):

Intertribal Sinkyone Wilderness Council, Ukiah, CA, $35,000 for Intertribal Park Project.

Land and Water Fund of the Rockies, Boulder, CO, $20,000 for Idaho Water Policy Reform.

Deadline: March 1 and October 1.

Application: Initial contact: Proposal (3-4 pages), after reviewing foundation priorities and concerns, to include:

1. Brief description of organization.
2. Project objectives.
3. Means by which objectives will be accomplished and evaluated.
4. Description of previous work supported by the foundation, if relevant.

Attachments: Qualifications of people involved, project budget, organizational budget, other sources of financial support, list of board members including affiliations, copy of Internal Revenue Service tax-exempt status determination letter.

* No faxes accepted. Double-sided use of postconsumer recycled paper is encouraged.

Information Contact:

Compton Foundation, Inc.
Edith T. Eddy
545 Middlefield Road, Suite 178
Menlo Park, CA 94025
415 328-0101

The Nathan Cummings Foundation

Purpose: Promotes projects focusing on public education and engagement on issues pertinent to ecological sustainability.

Eligibility: Educational institutions, nonprofit organizations, research institutions in North America.

Financial Information: Total grants awarded for fiscal year 1994: $11,184,814.

Sample of Previous Awards (1994):

Community Farm Alliance, Berea, KY, $40,000 to help a coalition of Southeastern farmers and grassroots rural organizations promote sustainable agriculture.

Environmental Health Network, Chesapeake, VA, $35,000 to help people whose health is at risk because of toxic hazards in their communities.

Deadline: None.

Application: Initial contact: Letter of inquiry (2-3 pages) to include:

1. Description of applicant organization, including its activities, history, and tax-exempt status.
2. Name, address, and telephone number of contact person.
3. Purposes and timeline for which funds are requested, including issues and problems proposed project will address.
4. Names and qualifications of staff involved in project.
5. Budget information, including total budget of organization.
6. Total budget for project and other funding sources.
7. Total amount requested from foundation.

Information Contact:

The Nathan Cummings Foundation
Richard F. Mark
1926 Broadway, Suite 600
New York, NY 10023
212 787-7300

Davis Conservation Foundation

Purpose: Supports projects focusing on the wise utilization, protection, and advancement of the environment and natural life, particularly projects that strengthen community involvement in New England.

Eligibility: Nonprofit organizations in New England.

Financial Information: Total grants awarded for fiscal year 1994: $344,100

Sample of Previous Awards (1994):

Coastal Recycling, Hancock, ME, $2,500 for waste reduction and awareness.

Center for Marine Conservation, Washington, DC, $10,000 for protecting the marine ecosystem of the Northeastern coast.

Deadline: April 15 and October 15.

Application: Initial Contact: Short letter. Full proposal to include:

1. Completed application form signed by an authorized representative of the board of directors.
2. Summary of project (1 page) outlining purpose, expected results, and how results are to be measured.
3. Current list of organization's officers and board of directors.
4. Copy of IRS tax-exempt status determination letter.
5. Projected project funding sources and audit statement.
6. Annual report and/or current operating budget.
7. Other information necessary for a decision.

* If pamphlets or brochures (excluding annual reports and audit reports) are provided, seven copies would be appreciated.

Information Contact:

Davis Conservation Foundation
Alden H. Sawyer, Jr.
4 Fundy Road
Falmouth, ME 04105
207 781-5504

Geraldine R. Dodge Foundation, Inc.

Purpose: Provides grants for pollution prevention and reduction, ecosystem preservation, energy conservation, and education and communication efforts that lead to more sustainable environmental policy.

Eligibility: Includes nonprofit organizations, public agencies, and other organizations in the United States, with particular emphasis on New Jersey and the northeast.

Types of Support: Continuing support, matching funds, operating costs, pilot projects, seed money.

Financial Information: Total grants awarded for fiscal year 1994: $11,219,195.

Sample of Previous Awards (1994):

Clean Ocean Action, Highlands, NJ, $40,000 to develop a management and disposal policy for contaminated materials scraped off the bottom of New York Harbor each year and dumped in the ocean six miles off the coast of New Jersey.

Clean Water Fund, New Brunswick, NJ, $40,000 for education efforts to reduce and prevent mercury contamination and to reduce pesticide use.

Deadline: September 15.

Application: Initial contact: Letter of inquiry (1 page) is encouraged if there is doubt as to whether project falls within foundation guidelines. Full proposal, on letterhead, to include summary (1 page) and description (6 pages) with:

1. Description of proposed project and the need for it.
2. Qualifications and past accomplishments of sponsoring organization.
3. How project will proceed and who will carry it out.
4. Time frame and budget.
5. Benefits to be gained and for whom.
6. Plans for evaluating and funding project in the future.

Attachments:

1. Recent financial statement (audited, if feasible)
2. Names and occupations of trustees
3. Copy of IRS tax-exempt status determination letter

* The foundation accepts the New York Area Common Application Form. Two-sided copying when possible, no binders or plastic packaging, and no proposals sent by facsimile.

Information Contact:

Geraldine R. Dodge Foundation, Inc.
163 Madison Ave.
P.O. Box 1239
Morristown, NJ 07962-1239
201 540-8442
Contacts: Scott McVay, Mark Walters

The Educational Foundation of America

Purpose: Funds programs in areas including the environment, Native Americans, population, education, and the arts. The foundation makes an effort to support smaller organizations and projects with potential for long-term environmental impact.

Eligibility: Educational institutions, nonprofit organizations, public agencies, and research institutions in the United States.

Types of Support: Pilot projects, projects, seed money, technical assistance.

Financial Information: Total grants awarded for fiscal year 1994: $6,092,964.

Sample of Previous Awards (1994):

National Tribal Environmental Council, Albuquerque, NM, $15,000 for Communication and Outreach and Environmental Education. Provided services including the dissemination of newsletters and position papers on pollution issues.

Vermont Law School, South Royalton, VT, $74,845 for Environmental Law Center's Native American Fellowship Program.

Deadline: None.

Application: Initial contact: Letter of inquiry (2 pages), signed by an officer of the organization, to include:

1. Identification of the organization: mission, date of founding, location, region of focus, past and current projects, name(s) and brief description of founder(s), affiliation with other organizations.
2. Description of project for funding: purpose, intended results, duration, amount of budget and amount requested, funding strategy.
3. Append copy of Internal Revenue Service tax-exempt status determination letter. If approved, Foundation will request a full proposal.

Information Contact:

The Educational Foundation of America
Diane M. Allison
35 Church Lane
Westport, CT 06880-3515
203 226-6498

First Bank System Foundation

Purpose: Supports a broad range of quality nonprofit services to organizations in First Bank communities (Aberdeen, Sioux Falls, and Rapid City in South Dakota).

Eligibility: Organizations must have 501(c)(3) nonprofit status with no grants to individuals, religious organizations, medical facilities, or conferences.

Previous Awards: Total grants awarded for fiscal year 1990: $4,000,000.

Information Contact:

First Bank System Foundation
Sally A. Sumner
P.O. Box 522
Minneapolis, MN 55480
612 370-5080

Ford Foundation

Purpose: Provides grants for programs that address the relationship between resource management and the welfare of disadvantaged peoples.

Eligibility: Individuals and nonprofit organizations in the United States and developing countries.

Types of Support: Program-related investments.

Financial Information: Total grants authorized for fiscal year ending September 30, 1995: $439,300,000.

Sample of Previous Awards (1995):

The Tides Foundation, San Francisco, CA, $460,000 to promote environmentally sustainable management of forest resources and community economic development in rural regions of the United States.

WaterWatch of Oregon, Portland, OR, $150,000 (3 years) supplement to promote water conservation in the state of Oregon.

Deadline: None.

Application: Initial contact: Brief letter of inquiry. Full proposal, if invited, to include:

1. Objectives.
2. Proposed program for pursuing objectives.
3. Qualifications of persons engaged in the work.
4. Detailed budget.
5. Present means of support and status of applications to other funding sources.
6. Legal and tax status.

* The foundation does not award grants for programs for which substantial support from government or other sources is readily available.

Information Contact:

Ford Foundation
320 East 43rd Street
New York, NY 10017
212 573-5169
Contacts: E. Walter Coward, Jr., Anthony Romero

General Electric Foundation

Purpose: Funds programs for environmental improvement.

Eligibility: Organizations must have 501(c)(3) nonprofit status. The foundation does not fund capital or special purpose campaigns, chairs, endowments, or equipment purchases. The foundation does not make scholarships or research grants directly to individuals.

Previous Awards: Total grants awarded for fiscal year 1990: $15,000,000.

Information Contact:

General Electric Foundation
Clifford V. Smith, Jr.
3135 Easton Turnpike
Fairfield, CT 06431
203 373-3216

General Service Foundation

Purpose: Funds programs concerned with international peace, population, and resources, especially water west of the Mississippi.

Eligibility: Contributions are made to nonprofit organizations holding 501(c)(3) status. No grants to operating budgets, capital endowments, annual campaigns, individuals, nor for relief.

Deadline: Deadlines are March 1 and September 1.

Application: Initial contact: a short letter of inquiry with an executive summary before a formal proposal is submitted. An annual report with guidelines is available.

Previous Awards: More than $1 million was awarded in 1990.

Information Contact:

General Service Foundation
Ruth C. Dingler
P.O. Box 4659
Boulder, CO 80306
303 447-9541

Give to the Earth Foundation

Purpose: Grants support organizations with minimal administrative overhead involving issues such as protecting the integrity of the Earth's natural systems, minimizing pollution and contamination within the community, and improving the quality of human life.

Eligibility: Pre-selected organizations and other nonprofit organizations with minimal administrative overhead.

Types of Support: Continuing support, general purposes, leveraging funds, matching funds, pilot projects, projects.

Financial Information: Total grants awarded for fiscal year 1993: $137,705.

Sample of Previous Awards (1994):

Common Ground, Sante Fe, NM, $1,100 for an organic community garden project that utilizes the talents of disadvantaged youth in the Twin Cities area.

The Rainbow Generation, Kansas City, KS, $2,000 for youth service and community action through the Stream Team Project, Christmas Tree Recycling Project, and other projects.

Deadline: None.

Application: Initial contact: Letter or telephone call. Proposal to include:

1. Organization's name, address, contact person, telephone number and date of application.
2. Brief description and history of organization.
3. Purpose, need, and time period the grant will cover.
4. Description of results to be accomplished by the project.
5. Amount of request.
6. Method for evaluating program.
7. List of funding requested and received for project.
8. Evidence of IRS tax-exempt status.
9. Project and organization budget.
10. Audited financial information.

* All funded projects must yield identifiable results.

Information Contact:

Give to the Earth Foundation
Ellen Liberatori
c/o Aveda Corporation
4000 Pheasant Ridge Drive
Blaine, MN 55434
612 783-4000 ext. 4220

The Home Depot Corporate Contributions Programs

Purpose: Grants support community development, youth, environment, and health and human services. Recent grants include support for land conservation, waste management, and energy conservation.

Eligibility: Nonprofit organizations and educational institutions where Home Depot has a significant presence.

Types of Support: Emergency funding, facilities, projects.

Financial Information: Total grants awarded for fiscal year 1994: $4,095,000 (est.)

Sample of Previous Awards (1994):

Keep America Beautiful, Baton Rouge, LA.

Waste Watch Center, Andover, MA.

Deadline: None.

Application: Initial contact: Telephone call to determine local contact, followed by proposal sent to the district manager of store that serves your organization's community.

Proposal to include:

1. Description of organization, its history, achievements, and goals; with official name, address, and telephone number.
2. Copy of IRS tax-exempt status determination letter.
3. List of officers and board members.
4. Contact person and telephone number.

5. Description of project, its purpose, and the population to be served.
6. Description of short and long term goals.
7. Evaluation method.
8. Plan for Home Depot volunteer involvement.
9. Projected cost of project.
10. Amount requested and other funding sources.

Information Contact:

The Home Depot Corporate Contribution Programs
Mark Eisen
2455 Paces Ferry Road
Atlanta, GA 30339
770 801-5871

W. Alton Jones Foundation, Inc.

Purpose: Grants for environmental protection are available in the areas of energy, climate, and systemic contamination.

Eligibility: Nonprofit organizations worldwide.

Financial Information: Total grants awarded for fiscal year 1994: $17,209,397.

Sample of Previous Awards (1994):

Coalition for a Livable Washington, Seattle, WA, $22,500 for support of a community assessment, education, and leadership development project.

Environmental Defense Fund, New York, NY, $200,000 (2 years) for the Global Atmosphere Program.

Deadline: None.

Application: Initial contact: Letter of inquiry (2 pages max.) describing project goals, summarizing methods, and specifying the amount of funding requested. If proposal is invited, foundation will outline specific items to be included. While most foundation grantmaking occurs through foundation-initiated programs, unsolicited inquiries are considered. However, proposals not directed toward the foundation's stated priorities are not likely to be funded.

Information Contact:

W. Alton Jones Foundation, Inc.
232 East High Street
Charlottesville, VA 22902-5178
804 295-2134
Contacts: J.P. Myers, Charles O. Moore, James Pissot

The Joyce Foundation

Purpose: Funds several program areas including pollution prevention in the Great Lakes region, sustainable agriculture, waste management, toxic substance control, and others.

Eligibility: The Joyce Foundation supports people and organizations working to improve the quality of life in the Midwest, particularly for people with fewer resources and opportunities. The Foundation seeks to use its funds to the greatest benefit, so it supports projects that are likely to affect policy. Most funding goes to projects located in Illinois, Indiana, Iowa, Michigan, Minnesota, Ohio, and Wisconsin. A limited number of environment grants are also made to organizations in Canada.

Financial Information: Total grants authorized for fiscal year 1994: $19,167,765.

Sample of Previous Grants (1994):

American Farmland Trust, Washington, DC, $75,000 to develop policy ideas that will inform the debate around federal farm policies.

Center for Clean Air Policy, Washington, DC, $80,000 for a project in Wisconsin to recommend how the state can reduce emissions.

Deadline: April 15, August 15, and December 13.

Application: Initial contact: Letter of inquiry (2-3 pages).

Interested parties should request program and grant application guidelines from the funder contact. Before submitting a formal proposal to the foundation, prospective applicants should write a two- or three-page letter of inquiry that briefly discusses the proposed project and its goals, and how it relates to the foundation's interests, the target audience and beneficiaries, the estimated budget and duration, and plans for evaluation and dissemination of findings. Applicants should make an initial contact with the foundation at least one month before the proposal deadline so that there is ample time for discussion of the ideas submitted. The foundation encourages

applicants to submit proposals during the first half of the year, as most funding is distributed during this period.

Information Contact:

Joyce Foundation
Environment Program
135 South LaSalle Street, Suite 4010
Chicago, IL 60603
312 782-2464
Contacts: Margaret O'Dell or James Carlton
e-mail: info@joycefdn.org

Kongsgaard-Goldman Foundation

Purpose: Funds programs that increase community participation, promote race and gender equality, and encourage social change. Past grants have been awarded to support wildlife and habitat protection, pollution prevention, recycling, and environmental justice.

Eligibility: Nonprofit organizations in the Pacific Northwest; Washington, Oregon, Idaho, Montana, Alaska.

Types of Support: Capital campaigns/expenses, multi-year grants, operating costs, projects, seed money, technical assistance.

Financial Information: Total grants awarded for fiscal year 1994: $612,850.

Sample of Previous Awards (1994):

Greenpeace USA, Washington, DC, $2,000 for project working with communities and agencies to develop a catalogue of toxic dump sites in Alaska.

River Network, Portland, OR, $10,000 to help purchase land to protect the headwaters of rivers.

Deadline: April 30 and October 31.

Application: Initial contact: Telephone call or letter of intent (2 pages) to include:

1. Description of project and organization.
2. Summary of budget.
3. Copy of Internal Revenue Service tax-exempt status determination letter.

* The foundation encourages the use of recycled paper, double-sided; discourages the use of folders and plastic binders. It will not accept proposals received via e-mail or videos and cassettes.

Information Contact:

Kongsgaard-Goldman Foundation
Martha Kongsgaard
1932 First Ave., Suite 602
Seattle, WA 98101
206 448-1874

Kresge Foundation

Eligibility: Tax-exempt charitable organizations eligible for assistance include higher education, health care, social services, science and the environment, the arts and humanities, and public affairs.

Previous Awards: Total grants awarded for fiscal year 1990: $57.7 million.

Information Contact:

The Kresge Foundation
Mr. Alfred H. Taylor, Jr.
P.O. Box 3151
3215 West Big Beaver Road
Troy, Michigan 48007-3151
313 643-9630

MDU Resources Foundation

Purpose: Grants available to promote public charitable, scientific, literary, or educational endeavors within the service territory of MDU Resources Group, Inc. and its subsidiaries and divisions, including North Dakota, South Dakota, Montana, and Wyoming.

Eligibility: Applicants must be organizations with tax-exempt status.

Information Contact:

MDU Resources Foundation
Mr. Dennis Boyd
400 North 4th Street
Bismark, ND 58501
701 222-7829

The Martin Foundation

Purpose: Funds programs in the areas of wildlife conservation and endangered species protection, environmental education, recycling, and energy and transportation.

Eligibility: Nonprofit organizations and educational institutions in Indiana, Illinois, Massachusetts, Minnesota.

Types of Support: Continuing support, general purposes, operating costs, pilot projects, seed money.

Financial Information: Total grants awarded for fiscal year ending June 30, 1995: $1,542,538.

Sample of Previous Awards (1995):

Chicago Recycling Coalition, Chicago, IL, $7,500 for continued support.

Green Seal, Washington, DC, $5,000 to help support the promotion of environmentally responsible manufacturing in the Midwest.

Deadline: None.

Application: Initial contact: Full proposal to include:

1. Organization's legal name, address, telephone number, and name of contact person.
2. Copy of Internal Revenue Service tax-exempt status determination letter.
3. Brief history of organization: goals, objectives, and activities.
4. Detailed statement describing project or activities to which the requested grant monies would be directed.
5. Most recent annual report for organization and an audited financial statement (if available) or IRS Form 990 for the most recently completed fiscal year.
6. List of other sources contacted for funds, along with results (or projected results).
7. Itemized budget for organization and specific project, showing both projected revenues and expenses for current fiscal year.
8. List of current board of directors.

Information Contact:

The Martin Foundation, Inc.
Elizabeth Lee Martin
500 Simpson Ave.
Elkhart, Indiana 46515-1167
219 295-3343

Andrew W. Mellon Foundation

Purpose: Grants are available for conservation, natural resources, and other environmental activities.

Eligibility: The foundation does not award grants to individuals or to organizations that primarily represent local concerns.

Financial Information: Total grants awarded for fiscal year 1990: $80,000,000.

Application: Applicants can submit requests at any time and the requests should be in the form of a letter describing and justifying the proposed activities. Evidence of Internal Revenue Service status should also be submitted. There are no formal application forms. The initial letter and any other supplementary information will be considered as part of an application.

Information Contact:

Mellon Foundation
140 East 62nd Street
New York, NY 10021
212 838-8400

Merck Family Fund

Purpose: Funds are available for projects that protect and restore vital eastern ecosystems and promote economic practices that assure a sustainable environment for future generations.

Eligibility: Nonprofit organizations in the eastern United States.

Types of Support: General purposes, projects.

Financial Information: Total grants awarded for fiscal year 1994: $1,147,000.

Sample of Previous Awards (1994):

North Carolina Coastal Federation, Swansboro, NC, $35,000 to promote growth management planning as a strategy for restoring coastal forest ecosystems in North Carolina.

The Park People, Houston, TX, $10,000 to support the Urban Harvest Program which helps low-income neighborhoods establish community gardens.

Deadline: Anytime. Funding decisions are made twice a year (early summer, late fall) and proposals should be in at least 12 weeks before the meeting. Preference will be given to proposals received well before each deadline.

Application: Initial contact: Brief letter describing the project, its purpose, and its expected results. Proposal, if requested, should include:

1. Statement of need or problem to be addressed.
2. Goals and strategy or action plan for achieving the goals.
3. Timeline.
4. Expected results and impact of proposed project.
5. Evaluation process.
6. Brief history of organization including its mission and goals.

Attachments

1. Project and organizational line item budget(s).
2. List of other sources of actual and potential funding for project and a brief description of plans for future funding.
3. Most recent financial statement.
4. Copy of Internal Revenue Service tax-exempt status determination letter.
5. Current annual report.
6. List of board of directors and officers.
7. Resume(s) of key personnel involved in project.

Information Contact

Merck Family Fund
Betsy Taylor
6930 Carroll Avenue, Suite 500
Takoma Park, MD 20912
301 270-2970

Edward John Noble Foundation, Inc.

Purpose: Funds support environmental issues such as biodiversity, endangered lands, solid waste, and population issues.

Eligibility: Nonprofit organizations, public agencies, research institutions, and others. Areas of special interest are St. Catherine's Island, Georgia, and the New York counties of Jefferson and St. Lawrence.

Types of Support: Fellowships, general purposes, internships, multi-year grants, operating costs, pilot projects.

Financial Information: Total grants awarded for fiscal year 1994: $3,667,252.

Sample of Previous Awards (1994):

South Bronx 2000 Local Development Corporation, Bronx, NY, $60,000 for national recycled- product development center.

Worldwatch Institute, Washington, DC, $75,000 for general support.

Deadline: None.

Application: Initial contact: Brief letter describing project for which support is requested.

Information Contact:

Edward John Noble Foundation, Inc.
E.J. Noble Smith
32 East 57th Street
New York, NY 10022-2513
212 759-4212

Northwest Area Foundation

Purpose: The Foundation is devoted to the welfare of the eight-state area of Minnesota, Iowa, North Dakota, South Dakota, Montana, Idaho, Washington, and Oregon. The Foundation's sustainable development program provides funding for natural resource management and conservation and ecosystem preservation. Areas of interest to the foundation are water, fisheries, timber, agriculture, citizen involvement, and waste management.

Eligibility: Nonprofit organizations in the eight-state region listed above.

Types of Support: Program-related investments.

Financial Information: Total grants authorized for fiscal year ending February 28, 1994: $12,301,387.

Sample of Previous Awards (1994):

Central Oregon Environmental Center, Bend, OR, $30,000 to implement and sustain a community-wide conservation and pollution prevention program.

Northwest Renewable Resources Center, Seattle, WA, $327,000 to continue to develop the capacity of tribes and counties in rural Washington to engage in

coordinated land-use planning, regulation, and environmental protection within reservation boundaries.

Deadline: None.

Application: Initial contact: Letter of inquiry (3 pages) describing applicant organization, the proposed project, and the project's finances. Full proposal (15 pages), if requested, should include:

1. Cover sheet (in "Guidelines for Grant Applicants")
2. Information about applicant organization
3. Information about the project
4. Information about the project's finances

* Call for copy of "Guidelines for Grant Applicants," for details.

Information Contact:

Northwest Area Foundation
Terry T. Saario
E-1201 First National Bank Building
332 Minnesota Street
St. Paul, MN 55101-1373
612 224-9635

(Jessie Smith) Noyes Foundation

Purpose: Foundation provides funding to prevent irreversible damage to natural systems and to strengthen individuals' and institutions' commitments to sustaining natural systems. Agriculture and groundwater are two areas that are of interest to this foundation.

Eligibility: Grants are not formally given to individuals or to requests for capital construction funds or general fundraising activities.

Previous Awards: Total grants awarded for fiscal year 1990: $3,800,000.

Application: There is no formal application form. The applicant is requested to submit a letter (in duplicate) describing the proposed activities, reasons for performing the activities, project objectives, milestone schedule and budget. The applicants must be tax exempt with a 501(c)(3) classification from the Internal Revenue Service. Letters of inquiry should be submitted prior to board meetings in January, May, and October.

Information Contact:

Noyes Foundation
Stephen Viederman, President
16 E. 34th Street
New York, NY 10016
212 684-6577

Patagonia, Inc.

Purpose: Provides funding to small, grass-roots activist organizations working to protect the world's natural habitat and biodiversity. Other interests include toxic waste, solid waste, acid rain, and pesticide use.

Eligibility: Nonprofit organizations.

Types of Support: Single-year grants only.

Financial Information: Total grants awarded for fiscal year ending April 30, 1995: $1,397,644.

Sample of Previous Awards (1995):

Ecotrust, Portland, OR, $50,000 to fund the Patagonia Community Leadership Fellowship providing environmental leadership experience.

Road Removal Implementation Project, Houghton, MI, $12,000 for efforts to prevent construction of those roads causing the most damage to our wildlands.

Deadline: January or August.

Application: Initial contact: Proposal (3 typewritten pages) that is direct and straightforward and includes:

1. Who you are.
2. What your mission is.
3. What you have accomplished.
4. How you are going to achieve your goals.
5. How Patagonia might fit into your overall financial scheme.
6. Project budget.
7. Copy of Internal Revenue Service tax-exempt status determination letter.

* No telephone inquiries, please.

Information Contact:

Patagonia, Inc. Grants Program
Jil Zilligen
P.O. Box 150
Ventura, CA 93002

The Pew Charitable Trusts

Purpose: Provides funding to programs that promote policies and practices protecting the environment and encouraging sustainable use of natural resources.

Eligibility: Nonprofit, tax-exempt organizations. No grants are made to individuals or for-profit organizations.

Types of Support: Leveraging funds, multi-year grants.

Financial Information: In pollution prevention grants alone, there were 26 awards totaling over $6,000,000 in 1994. Other areas for funding include forest and marine conservation and global warming.

Sample of Previous Awards (1995):

Community Farm Alliance, Frankfort, KY, $130,000 (2 years) for the Southern Sustainable Agriculture Working Group.

People for Puget Sound, Seattle, WA, $200,000 (2 years) to protect and restore estuary habitat in Puget Sound as part of the National Estuaries Initiative.

Deadline: None. Grants are awarded four times a year, in March, June, September, and December.

Application: Initial contact: Letter of inquiry (5 pages max.) to include: a description of the organization, statement of the problem, brief description of anticipated outcomes, description of the time frame of proposed activities, and estimated costs.

* Do not submit a full proposal unless requested to do so.

Information Contact:

The Pew Charitable Trusts
Joshua S. Reichert
One Commerce Square
2005 Market Street, Suite 1700
Philadelphia, PA 19103-7017
215 575-4740

Philip Morris Companies, Inc.

Purpose: Provides funds through the Conservation & Environment Program to programs that focus on food and water resources and municipal solid waste. One particular objective is to promote an integrated approach to solid waste management.

Eligibility: Nonprofit organizations, educational institutions, and research institutions, particularly in areas where major company plants are located.

Types of Support: Continuing support, general purposes, multi-year grants, pilot projects.

Sample of Previous Awards (1995):

Council on the Environment of New York City, New York, NY, to support the Greenmarket program which is dedicated to sustaining local farm production.

World Wildlife Fund, Washington, DC, to support Marine Conservation Program efforts.

Deadline: Anytime.

Application: Initial contact: Brief proposal to include:

Project Support:

1. Describe unique and special aspects of project
2. List goals, objectives, and needs to be addressed
3. Describe plans for implementation and evaluation
4. Project budget

General Support:

1. Describe purpose and mission of organization
2. Describe organizational activities
3. Give overview of plans for coming year

Attachments:

1. Copy of IRS tax-exempt status determination letter.
2. Audited financial statement.
3. Most recent annual report.
4. List of board of directors.
5. Total operating budget for current year.
6. List of other corporate, foundation, and government support.

Information Contact:

Philip Morris Companies, Inc.
Joe Miloscia
Corporate Contributions
120 Park Ave., 25th floor
New York, NY 10017-5592
212 880-3038

Prince Charitable Trusts

Purpose: Environmental grant interests are education, advocacy, and citizen participation in relation to: open space, land use planning, recycling, and transportation.

Eligibility: Nonprofit organizations in Chicago and Rhode Island and organizations in Washington, DC that do not have a national focus.

Types of Support: Annual campaigns, continuing support, general purposes, multi-year grants, operating costs, projects.

Financial Information: Total grants authorized for fiscal year 1995: $701,085.

Sample of Previous Awards (1994):

Center for Neighborhood Technology, Chicago, IL, $25,000 for Material Reuse and Recycling Program.

Piedmont Environmental Council, Warrenton, VA, $25,000 for regional planning initiative in the Washington, DC metropolitan area.

Deadline: None. Call for date of annual meeting.

Application: Initial contact: Letter of inquiry (2 pages).

Information Contact:

Prince Charitable Trusts
Tracey Shafroth
10 South Wacker Drive, Suite 2575
Chicago, IL 60606
312 454-9130

The Procter & Gamble Fund

Purpose: This fund provides grants to organizations that enhance societal conditions favorable to the company's future growth and prosperity, and enhance the quality of life in communities with concentrations of company employees. Environmental interests are solid waste, air and water quality, land and wildlife, wetlands, and coastal issues.

Eligibility: Only nonprofit organizations in U.S. cities where Procter & Gamble has a significant presence.

Types of Support: Operating costs.

Financial Information: Total grants awarded for fiscal year ending March 30, 1994: $46,648,910.

Sample of Previous Awards (1994):

American Forest Foundation, Washington, DC, $5,000.

Keep America Beautiful, New York, NY, $33,000.

Deadline: None.

Application: Initial contact: Short letter describing organization and request.

Information Contact:

The Procter & Gamble Fund
Nathan H. Nattin
P.O. Box 599
Cincinnati, OH 45201
513 945-8452

Public Welfare Foundation, Inc.

Purpose: Provides funding to advance the grassroots movement through direct support of either community-based groups or organizations that provide them with technical assistance. Other grants address the health effects of global warming and offer support for sustainable development. Of particular concern are

problems that pose a threat to disadvantaged communities.

Eligibility: Nonprofit organizations.

Types of Support: Continuing support, general purposes, operating costs, projects, technical assistance.

Financial Information: Total grants authorized for fiscal year ending October 31, 1994: $17,361,000.

Sample of Previous Awards (1994):

Dakota Rural Action, Brookings, SD, $30,000 for a joint project with Dakota Resource Council Education project for the Don't Waste the West campaign on municipal, hazardous, and low-level radioactive waste issues.

Direct Action for Rights & Equality, Providence, RI, $30,000 for support for Project GREEN, which will address environmental hazards of South Providence, such as toxic waste sites and illegal dumping on vacant lots.

Deadline: None.

Application: Initial contact: Letter of inquiry to include:

Cover Sheet (2 pages)

1. Name and address of organization.
2. Contact person(s), telephone and facsimile numbers.
3. Summary of organization's mission (1 paragraph).
4. Summary of purpose of the request (1 paragraph).
5. Relationship of request to organization's mission.
6. Total annual organizational budget and fiscal year.
7. Total project budget (if other than general support).
8. Amount requested.
9. Amount committed from other funding sources.
10. Timeline for project and dates for which funds are needed.

Narrative (3 pages)

1. Purpose of request.
2. Problem or need being addressed.
3. Population or community served by project or organization and how it is involved in the design and implementation of organization's work.
4. Strategy for addressing the problem or need.

Information Contact:

Public Welfare Foundation, Inc.
2600 Virginia Ave., NW., Suite 505
Washington, DC 20037-1977
202 965-1800
Contacts: Larry Kressley, Dana Alston

The Rathmann Family Foundation

Purpose: Provides funding for programs on environmental education, environmental conservation/preservation, land acquisition, and recycling.

Eligibility: Educational institutions, museums, nonprofit organizations, and research institutions in San Francisco, Philadelphia, Seattle, Minneapolis-St.Paul and surrounding areas.

Types of Support: Internships, leveraging funds, matching funds, pilot projects, single-year grants only.

Financial Information: Total grants awarded for fiscal year 1994: $329,055.

Sample of Previous Awards (1994):

Washington Citizens for Recycling Foundation, Seattle, WA, $3,000 toward the oil recycling program.

Twin Cities Tree Trust, St. Louis Park, MN, $1,050 for teen jobs program, trail maintenance, and tree planting.

Deadline: For letter of inquiry: January 12 and June 14. For proposal: February 22 and August 8.

Initial contact: Letter of inquiry (2 pages) to include:

1. Description of organization: history, programs, past accomplishments, and summary of evaluations.
2. Organization and program budgets.
3. Explanation of why funds are being requested.
4. Description of what will be accomplished with the funds.

Information Contact:

The Rathmann Family Foundation
Laura Jean Rathmann
P.O. Box 60016
St. Paul, MN 55106-0002
612 776-0307

Rockefeller Family Fund, Inc.

Purpose: Funds conservation of natural resources, protection of health as affected by the environment, and the cessation and cleanup of pollution caused by the Department of Defense and the Department of Energy.

Eligibility: Tax-exempt organizations engaged in educational and charitable activities of national significance. No individuals are awarded grants.

Financial Information: Grants awarded are usually between $20,000 and $30,000.

Total grants awarded for fiscal year 1994: $1,916,944.

Sample of Previous Grants (1994):

Campaign for an Environmental Economy, Washington, DC, $25,000 for the Clean Energy Campaign.

Citizens Clearinghouse for Hazardous Wastes, Falls Church, VA, $30,000 to support capacity- building efforts.

Deadline: None.

Application: Initial contact: Application to include concisely written proposal:

1. Description of organization seeking funds.
2. Need for and objectives of the proposed program.
3. Strategy and plan of action.
4. Staff and organization's qualifications for carrying out the program.
5. Amount of funding requested and project budget.

Attachments

1. Overall budget for organization, including project income and its sources.
2. Most recent certified audit, if available.
3. Internal Revenue Service tax-exempt status determination letter.

Information Contact:

Rockefeller Family Fund, Inc.
1290 Avenue of the Americas
New York, NY 10104
212 373-4252
Contacts: Maureen McCarthy, Donald K. Ross

The Stern Family Fund

Purpose: Special environmental interests are grassroots activism and advocacy. The Fund supports action-oriented projects with the potential for significant regional and national impact. Recent grants have included support for family farmers, sustainable development, and water quality.

Eligibility: Nonprofit organizations in the United States.

Types of Support: Emergency funding, general purposes, leveraging funds, matching funds, projects, seed money.

Financial Information: Total grants awarded for fiscal year ending June 30, 1995: $200,000.

Sample of Previous Awards (1995):

Alternatives for Community & Environment (ACE), Roxbury, MA, $15,000 for support to facilitate grassroots citizen action.

Farm Plan Advocates, Inc., Charlotte, NC, $10,000 to expand efforts to identify and support environmentally sustainable farming strategies.

Deadline: February 1 and August 25.

Application: Initial contact: Full proposal or summary to ascertain interest. Proposals submitted to other foundations are welcome. Full proposal to include:

1. Statement of need for support, project goals, and action plan.
2. Timeline of project and methods of evaluating its success.
3. Profiles of principal staff members.
4. Copy of Internal Revenue Service tax-exempt status determination letter.
5. Other sources of actual or potential financial support.
6. Project and organizational line item budget(s).

7. List of other organizations involved in similar programs and how the proposed project is different.

Information Contact:

The Stern Family Fund
Michael Caudell-Feagan
P.O. Box 1590
Arlington, VA 22210-0890
703 527-6692

Surdna Foundation, Inc.

Purpose: Foundation's goals are to prevent irreversible damage to the environment; support government, private, and voluntary actions that will produce a sustainable environment; and foster a population of environmentally informed, responsible, activist citizens. Three primary program themes are biological and cultural diversity, energy and transportation, and restoring the environment in urban and suburban areas.

Eligibility: Nonprofit organizations in the United States with a national focus.

Types of Support: Continuing support, general purposes, leveraging funds, multi-year grants, pilot projects, seed money, technical assistance.

Financial Information: Total grants authorized for fiscal year ending June 30, 1994: $18,561,721.

Sample of Previous Awards (1994):

The American Council for an Energy-Efficient Economy, Washington, DC, $75,000 to expand a campaign to reduce number of vehicle miles traveled and increase transportation system efficiency.

Chesapeake Bay Foundation, Annapolis, MD, $100,000 for third year of support to an advocacy program for better transportation, land use, and air quality decisions.

Deadline: None.

Application: Initial contact: Letter of inquiry (2-3 pages) to include:

1. Information about applicant organization's purposes and specific activities.
2. Brief description of program for which funds are being sought, including time period to be covered and principal outcome(s) expected.
3. Budget for proposed program and organization and amount requested from Foundation.
4. Funding received to date for the program, as well as sources from which funding is being sought.
5. Most recent audited financial statements of organization and its operation of subsidiaries, if any.
6. Names and qualifications of key personnel who will be responsible for the program.
7. Copy of Internal Revenue Service tax-exempt status determination letter.

Information Contact:

Surdna Foundation, Inc.
330 Madison Ave., 30th floor
New York, NY 10017-5001
212 557-0010
Contacts: Edward Skloot, Hooper Brooks

Threshold Foundation

Purpose: Foundation funds programs on human overpopulation, preservation of plant and animal species, pollution of water, air and oceans, global warming, and deforestation/ reforestation.

Eligibility: Nonprofit organizations working on regional projects with a national/ international impact.

Financial Information: Total grants awarded for fiscal year 1994: $1,466,355.

Sample of Previous Awards (1994):

Dine CARE (Citizens Against Ruining Our Environment), Durango, CO, $15,000 to help organize communities on the Navaho reservation to fight culturally inappropriate and environmentally damaging development.

Southern Echo, Jackson, MS, $25,000 to work with African-American communities on issues of education and empowerment in the context of environmental justice.

Deadline: Letter of inquiry: March 1. Full proposal: April 1.

Application: Initial contact: Letter of inquiry (2 pages) to include:

1. Description of organization
2. Problem being addressed
3. Strategy used to address the problem
4. Organization's total operating budget
5. Amount requested

6. Description of use of funds

* Most proposals come to the Foundation through sponsorship by a Threshold member.

Information Contact:

Threshold Foundation
Susan Muhlbach
P.O. Box 29903
San Francisco, CA 94129-0903
415 561-6400

The Tides Foundation

Purpose: Foundation funds programs in natural resource conservation, land use, preservation, and stewardship; water issues; recycling; and others.

Eligibility: Nonprofit organizations.

Types of Support: Continuing support, general purposes, program-related investments, projects, single-year grants only, technical assistance.

Financial Information: Total grants awarded for fiscal year ending April 30, 1993: $6,321,300.

Sample of Previous Awards (1993):

Coolidge Center for Environmental Leadership, Cambridge, MA, $20,000 for general support.

Southwest Research and Information Center, Albuquerque, NM, $15,000 for Four Corners Water and Cultural Project.

Deadline: None.

Application: Initial contact: Proposal to include:

1. Summary (1 page): purpose of agency, grant purpose, what outcomes are hoped for, how grant funds will be spent.
2. Narrative (5 pages): background of organization, funding request, list of other sources solicited for funds, evaluation.
3. Financial Information: most recent financial statement, operating budget, other sources of income, current budget for project.
4. Other Supporting Materials: list of directors and affiliations, Internal Revenue Service tax-exempt status letter, one-paragraph resumes for key staff, annual report, examples of recent articles about the organization.

Information Contact:

The Tides Foundation
1388 Sutter Street
San Francisco, CA 94109-5427
415 771-4308
Contacts: Drummond M. Pike, Jacqueline Schad

Town Creek Foundation

Purpose: The Foundation's interests include preservation and enhancement of the environment in the United States. The Foundation has supported protection of wilderness and forests, rivers, coastal lands, wildlife, and oceans; monitoring public lands; and pollution issues.

Eligibility: Nonprofit organizations in the United States working nationally, statewide, or over a large region.

Types of Support: Continuing support, general purposes, matching funds, operating costs, projects.

Financial Information: Total grants authorized for fiscal year 1994: $1,193,000.

Sample of Previous Awards (1994):

American Rivers, Inc., Washington, DC, $25,000.

Pinelands Preservation Alliance, Browns Mills, NJ, $10,000.

Deadline: January 15, May 15, and September 15.

Application: Initial contact: Full proposal (10 pages) to include:

1. Brief description of organization, goals, and objectives.
2. Needs statement, including amount requested.
3. Project description, goals, methods, strategy, budget, and evaluation.
4. Most recent financial statement.

Additional Information:

1. Copy of Internal Revenue Service tax-exempt status determination letter.
2. List of staff and organization's qualifications.
3. List of other sources of financial support.

* Use of recycled paper, double-sided is preferred.

Information Contact:

Town Creek Foundation, Inc.
Christine B. Shelton
221 South Street
P.O. Box 159
Oxford, MD 21654
410 226-5315

True North Foundation

Purpose: Foundation provides financial support to nonprofit organizations that work to improve the environment and communities. Interests are protection of ecosystems in California, Oregon, and Washington, environmental projects of nonprofits in the Bay Area, and major environmental activities with the potential to affect broad policy issues.

Eligibility: Nonprofit organizations in the San Francisco Bay Area and proposals from any part of the United States that have a wide application or can be a model for other regions.

Financial Information: Total grants authorized in fiscal year 1994: $1,101,716.

Sample of Previous Awards (1994):

Headwaters Community Association, Ashland, OR, $67,650 for watershed and forest work.

Yosemite Restoration Trust, San Francisco, CA, $5,000 for general fund.

Deadline: None.

Application: Initial contact: Brief letter (2 pages) to include:

1. Basic information about organization (purpose, history, activities, etc.).
2. Contact person and title; address and telephone number.
3. Description of grant proposal (project significance, timeline, cooperating agencies).
4. Budget, other sources of financial support, specific request of True North Foundation.

* Descriptive brochure may be attached if pertinent to this request.

Information Contact:

True North Foundation
Ms. Kerry K. Hoffman
P.O. Box 271308
Fort Collins, CO 80527-1308
303 223-5285

Turner Foundation, Inc.

Purpose: Foundation supports activities to preserve the environment, conserve natural resources, protect wildlife, and develop and implement sound population policies. Interests include: water and toxics, energy efficiency, and protection of forests.

Eligibility: Nonprofit organizations particularly in Florida, Georgia, Montana, New Mexico, and South Carolina. Funds other national programs as well.

Types of Support: Continuing support, general purposes, leveraging funds.

Financial Information: Total grants awarded for fiscal year 1995: $6,000,000.

Sample of Previous Awards (1995):

Heartwood, Paoli, IN, $10,000 to support a project aimed at reducing wood consumption by educating people to reduce use, recycle, etc.

Native American Fish and Wildlife Society, Broomfield, CO, $28,000 to protect and preserve Native American environmental resources by facilitating contact between tribes, advocating better environmental policies, and other environmental initiatives.

Deadline: April 1 and October 1.

Application: Initial contact: Full proposal (3 pages) to include:

Narrative:

1. Problem to be solved and issues it addresses.
2. Organization's history and accomplishments.
3. Organization's current programs and activities.
4. If other than general operating support, description of project, why project was chosen, and if it is new or ongoing.
5. Project goals, objectives, activities/strategies, and timeline.
6. Demographics and geographic area affected by the project.

Attachments:

1. Copy of Internal Revenue Service tax-exempt status determination letter.
2. Project budget.
3. List of other funding sources for project, amounts, and if received, committed, or projected/pending.
4. Current annual operating budget.
5. List of organization's top five major funders for past 2 years.
6. Recent newsletter, articles, newspaper clippings, evaluations, or reviews (5 pages).
7. List of board of directors and affiliations.
8. Grant Application Coversheet and Grant Application Checklist (from foundation).

Information Contact:

Turner Foundation, Inc.
Peter Bahouth
One CNN Center, South Tower, Suite 1090
Atlanta, GA 30303
404 681-9900

USX Foundation, Inc.

Purpose: Environmental grants are awarded through the Scientific Affairs program. These grants have supported sustainable agriculture, land and wildlife conservation, recycling, recreation, and risk assessment.

Eligibility: Nonprofit organizations and educational institutions in the United States, primarily in areas where USX operates.

Types of Support: Capital campaigns/expenses, facilities, operating costs, projects, scholarships.

Financial Information: Total grants authorized in fiscal year 1994: $4,249,179.

Sample of Previous Awards (1994):

National Recycling Coalition, Inc, Washington, DC, $5,000.

American Farmland Trust, Washington, DC, $2,500.

Deadline: January 15 for Public, Cultural, & Scientific Affairs.

Application: Initial contact: Letter (2 pages) to include:

1. Copy of Internal Revenue Service tax-exempt status determination letter.
2. Copy of organization's current budget and most recent audited financial report.
3. Full description of project and its goals.
4. Estimated project cost, amount requested, and explanation of need for funds in relation to total requirements of project and available resources.
5. Statement of sources of aid in hand and committed support.
6. Statement of sources of anticipated aid; prospective contributors and amounts requested.
7. List of officers and directors.
8. Signature of authorized executive.
9. Signed statement of approval by CEO of parent organization if applicable.

Information Contact:

USX Foundation, Inc.
James L. Hamilton, III
600 Grant Street, Room 727
Pittsburgh, PA 15219-4776
412 433-5237

Underhill Foundation

Purpose: Foundation provides funding to conserve natural resources in the United States and to provide assistance to the socially and economically disadvantaged. Land conservation and water quality are favored.

Eligibility: Nonprofit organizations.

Types of Support: General purposes, scholarships.

Financial Information: Total grants awarded for fiscal year 1994: $540,000.

Sample of Previous Awards (1994):

The Adirondack Council, Inc, Elizabethtown, NY, $42,000 toward community conservation initiative.

The Trust for Public Land, New York, NY, $25,000 to support land protection initiatives.

Deadline: None.

Application: Initial contact: Letter (2-3 pages) to include:

1. Description of applicant.
2. Summary of project goals for which funding is sought.
3. Copy of Internal Revenue Service tax-exempt status determination letter.

Information Contact:

Underhill Foundation
Kim Elliman
420 Lexington Ave., Suite 3020
New York, NY 10170
212 370-9388

Union Camp Charitable Trust

Purpose: The Trust supports land conservation, coastal issues, water quality, species preservation, and outdoor education.

Eligibility: Nonprofit organizations and educational institutions in areas of company operations, national organizations in the eastern United States.

Types of Support: Continuing support, endowments, fellowships, general purposes, matching funds, seed money, projects.

Financial Information: Total grants awarded for fiscal year 1994: $2,118,550.

Sample of Previous Awards (1993):

Chesapeake Bay Foundation, Annapolis, MD, $2,500.

The Nature Conservancy, Headquarters, Arlington, VA, $10,000.

Application Due: January to November.

Application: Initial contact: Proposal.

Information Contact:

Union Camp Charitable Trust
Sydney N. Phin
c/o Union Camp Corporation
1600 Valley Road
Wayne, NJ 07470
201 628-2248

The Union Carbide Foundation, Inc.

Purpose: Foundation funds programs stressing economic development, innovation in technology, and sustainable development. Recent grants included support for recycling and conservation.

Eligibility: Educational institutions, museums, and research institutions in communities where the company has a significant presence including Danbury, Connecticut; the Kanawha Valley, West Virginia; Central New Jersey; Taft, Louisiana; and Seadrift and Texas City, Texas.

Types of Support: Emergency funding, operating costs.

Financial Information: Total grants authorized in fiscal year 1994: $1,473,360.

Sample of Previous Awards (1994):

The Children's Garbage Museum, Norwalk, CT, $10,000.

Foundation for Research on Economics and the Environment, Seattle, WA, $5,000.

Deadline: February 1 and August 1.

Application: Initial contact: Proposal to include:

1. Purpose of request.
2. Expected results of project.
3. Evaluation process.
4. Budget for the project including expected sources of revenue and expenses.
5. Amount requested.
6. Copy of Internal Revenue Service tax-exempt status determination letter.

Information Contact:

The Union Carbide Foundation, Inc.
Deborah J. Surat
Corporate Center, L4-507
39 Old Ridgebury Road
Danbury, CT 06817-0001
203 794-6942

Virginia Environmental Endowment

Purpose: The Endowment awards grants for environmental sustainability, natural resources conservation, pollution prevention, and environmental education.

Eligibility: Nonprofit organizations, public agencies, and educational institutions in Virginia, West Virginia, Kentucky, and the Martins Ferry area of Ohio.

Types of Support: Continuing support, equipment, leveraging funds, matching funds, operating costs, pilot projects, program-related investments, projects, seed money.

Financial Information: Total grants authorized for fiscal year ending March 31, 1995: $915,226.

Sample of Previous Awards (1995):

Environmental Law Institute, Washington, DC, $50,000 for assistance in promoting sustainable development strategies and coordinating demonstration projects in Virginia.

James River Association, Richmond, VA, $10,000 for James River Anadromous Fish Restoration Project.

Deadlines: April 15, August 15, and December 15 for the Virginia Mini-Grant Programs. April 15 is the deadline for the other programs.

Application: Initial contact: Full proposal (4 copies), signed by organization's CEO or board chairman, to include:

1. Cover letter identifying applicant, project title, grant request, matching funds, project schedule, and program to which grant application is being submitted.
2. Project description (5 pages) clearly stating need for the project, its goals and objectives and how they will be achieved, and its significance in relation to other work being done in the field.
3. Organization description, names and qualifications of key project personnel, list of members of governing board, and copy of Internal Revenue Service tax-exempt status determination letter, if applicable.
4. Line-item budget for proposed project, showing total project costs, all sources and amounts of matching funds (committed and anticipated), and proposed allocation of grant funds requested from Virginia Environmental Endowment.
5. Project schedule.
6. Detailed plan for evaluating project results, including method and criteria.
7. Plans for continuing project activities and raising financial support beyond the grant period.

Information Contact:

Virginia Environmental Endowment
Gerald P. McCarthy
Three James Center
1051 East Cary Street, Suite 1400
Richmond, VA 23206-0790
804 644-5000

WMX Environmental Grant Program

Purpose: Program provides funds to environmental organizations. Pollution prevention and control is one of several specific program areas. It includes solid and hazardous waste regulation, water and air pollution control, source reduction, recycling, and related science/policy areas such as risk assessment.

Eligibility: Nonprofit organizations. Most proposals that receive funding are program or project-specific. Some grants may be for general support of organizations with focused missions.

Types of Support: General purposes, internships, pilot projects, projects.

Deadline: May 31 and September 30.

Application: Initial contact: Brief proposal (2 pages) to include:

1. Brief proposal summary and statement of need.
2. Copy of Internal Revenue Service tax-exempt status determination letter.
3. History of organization, mission, purpose, and goals.
4. Copy of organization's most recent audited financial statements and approved operating budget.
5. Public and private contributors during the past fiscal year.
6. List of current trustees and directors and their affiliations.
7. Project budget and plan for evaluation of completed project.

* Proposals that relate to the Chicago/Oak Brook area of Illinois, or to programs of institutions with a national scope, should be directed to the WMX corporate office. Proposals with a state focus should be directed to the regional office serving that area.

Information Contact:

WMX Technologies, Inc.
Leah V. Haygood
601 Pennsylvania Ave., NW.
North Building, Suite 300
Washington, DC 20004
202 628-3500

Weeden Foundation

Purpose: Foundation grants are awarded for either environmental or population purposes. Biodiversity, ecosystem protection, and natural resource conservation are areas of interest.

Eligibility: Nonprofit organizations.

Types of Support: General purposes, projects, seed money.

Financial Information: Total grants awarded for fiscal year ending June 30, 1994: $1,565,225.

Sample of Previous Awards (1994):

Alaska Conservation Foundation, Anchorage, AK, $10,000 to promote ecosystem protection and sustainable communities work.

Defenders of Wildlife, Washington, DC, $10,000 to support the Oregon Biodiversity Conservation Plan.

Deadlines: Generally in February, May, August, and November. Call for specific deadlines.

Application: Initial contact: Written request describing grant purpose. Shorter descriptions are preferred. Include:

1. Annual report with financial statements (preferably audited).
2. List of board of directors and their affiliations.
3. IRS tax-exempt status determination letter.
4. Project and organizational budget.
5. Other sources of support (past, present, and anticipated).
6. Qualifications of key personnel.

* Foundation will contact applicant if it is interested or needs more detailed information.

Information Contact:

Weeden Foundation
James N. Sheldon
747 Third Ave., 34th floor
New York, NY 10017
212 888-1672

Weyerhaeuser Company Foundation

Purpose: Foundation provides funds in forestry practices; manufacturing's effects on air, land, and water; free trade; recycling; and diversity.

Eligibility: Nonprofit organizations, educational institutions, research institutions in the U.S. Northwest (Washington, Oregon) and South (Arkansas, Alabama, Mississippi, North Carolina, Oklahoma).

Financial Information: Total grants disbursed in fiscal year 1994: $5,461,716.

Sample of Previous Awards (1994):

Columbia River Inter-Tribal Fish Commission, Portland, OR, $5,000 to examine natural resource sustainability issues.

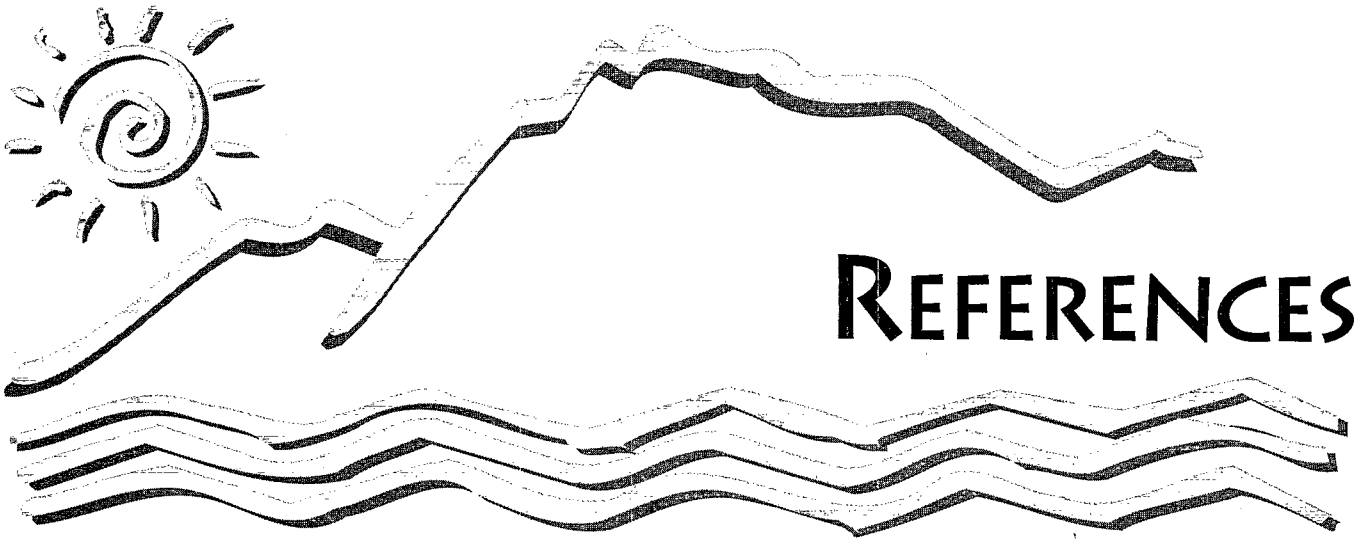
Resources for the Future, Washington, DC, $50,000 for Forest Economics and Policy program.

Application Due: Anytime.

Application: Initial contact: Telephone the foundation to request application form.

Information Contact:

Weyerhaeuser Company Foundation
Elizabeth A. Crossman
CH 1F31
Tacoma, WA 98477
206 924-3159



REFERENCES

Catalog of Federal Domestic Assistance Database, http://www.gsa.gov/fdac, 1996.

Environmental Grantmaking Foundations, 1996 Directory, Environmental Data Research Institute, Rochester, NY, 1996.

"Everything You Wanted To Know About Environmental Regulations, but were afraid to ask: A Guide for Indian Nations," U.S. Environmental Protection Agency Region 7, Kansas City, Kansas, May 1995.

"Funding Sources for Solid Waste Projects in EPA Region 9," U.S. Environmental Protection Agency, Region 9, San Francisco, CA, January 1996.

"A Guide to Federal Grant Resources for Community Organizations, Tribal Organizations, and Tribal Governments," U.S. Environmental Protection Agency, Region 8 Environmental Justice Program in cooperation with Tribal Assistance Program, Denver, Colorado, May 17, 1996.

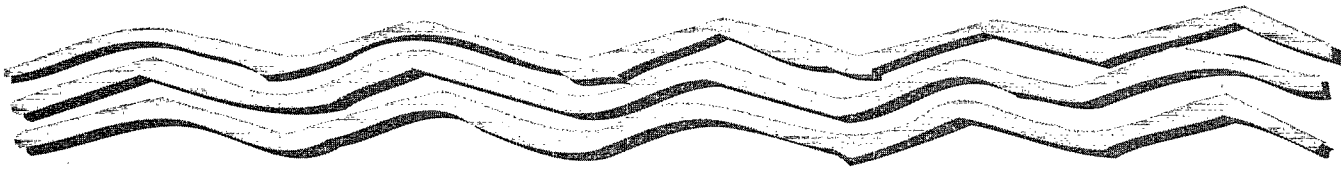
Pacific Northwest Pollution Prevention Research Center, Pollution Prevention Request for Proposals Clearinghouse, Seattle, Washington, http://pprc.pnl.gov/pprc/.

"Performance Partnership Grants for State and Tribal Environmental Programs: Interim Guidance," U.S. Environmental Protection Agency, 1995.

"Pollution Prevention Incentives for States (PPIS) Grant Program: Assessment Study," U.S. Environmental Protection Agency, Spring 1996.

"The South Dakota Nonpoint Source Program Manual," South Dakota Department of Environment and Natural Resources, Division of Water Resources Management, Pierre, South Dakota, January 1995.

Sample Federal Forms



APPLICATION FOR FEDERAL ASSISTANCE

OMB Approval No. 0348-0043

1. TYPE OF SUBMISSION: *Application* ☐ Construction ☐ Non-Construction; *Preapplication* ☐ Construction ☐ Non-Construction	2. DATE SUBMITTED	Applicant Identifier
	3. DATE RECEIVED BY STATE	State Application Identifier
	4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION

Legal Name:	Organizational Unit:
Address *(give city, county, state, and zip code)*:	Name and telephone number of the person to be contacted on matters involving this application *(give area code)*

6. EMPLOYER IDENTIFICATION NUMBER (EIN):

☐☐ – ☐☐☐☐☐☐☐

7. TYPE OF APPLICANT: *(enter appropriate letter in box)* ☐

A. State
B. County
C. Municipal
D. Township
E. Interstate
F. Intermunicipal
G. Special District
H. Independent School Dist.
I. State Controlled Institution of Higher Learning
J. Private University
K. Indian Tribe
L. Individual
M. Profit Organization
N. Other *(Specify)*: _____

8. TYPE OF APPLICATION:

☐ New ☐ Continuation ☐ Revision

If Revision, enter appropriate letter(s) in box(es): ☐ ☐

A. Increase Award B. Decrease Award C. Increase Duration
D. Decrease Duration Other *(specify)*

_____

9. NAME OF FEDERAL AGENCY:

10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: ☐☐ – ☐☐☐

TITLE:

11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:

12. AREAS AFFECTED BY PROJECT *(cities, counties, states, etc.)*:

13. PROPOSED PROJECT: Start Date	Ending Date	14. CONGRESSIONAL DISTRICTS OF: a. Applicant	b. Project

15. ESTIMATED FUNDING:

a. Federal	$	.00
b. Applicant	$	.00
c. State	$	.00
d. Local	$	.00
e. Other	$	.00
f. Program Income	$	.00
g. TOTAL	$	.00

16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?

a. YES, THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:

DATE _____

b. NO ☐ PROGRAM IS NOT COVERED BY E.O. 12372

☐ OR PROGRAM HAS NOT BEEN SELECTED STATE FOR REVIEW

17. IS APPLICATION DELINQUENT ON ANY FEDERAL DEBT?

☐ YES If "Yes," attach an explanation. ☐ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED

a. Typed Name of Authorized Representative	b. Title	c. Telephone number
d. Signature of Authorized Representative		e. Date Signed

SAMPLE

OMB Approval No. 0348-0044

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.		$	$	$	$	$
2.						
3.						
4.						
5. TOTALS		$	$	$	$	$

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				Total (5)
	(1)	(2)	(3)	(4)	
a. Personnel	$	$	$	$	$
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a - 6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)	$	$	$	$	$
7. Program Income	$	$	$	$	$

Standard Form 424A (4-88)
Prescribed by OMB Circular A-102

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.	$	$	$	$
9.				
10.				
11.				
12. TOTALS (sum of lines 8 and 11)	$	$	$	$

SECTION D - FORECASTED CASH NEEDS

	Total for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	$	$	$	$	$
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)	$	$	$	$	$

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.	$	$	$	$
17.				
18.				
19.	$	$	$	$
20. TOTALS (sum of lines 16 - 19)				

SECTION F - OTHER BUDGET INFORMATION

(Attach additional Sheets if Necessary)

21. Direct Charges:	22. Indirect Charges:

23. Remarks

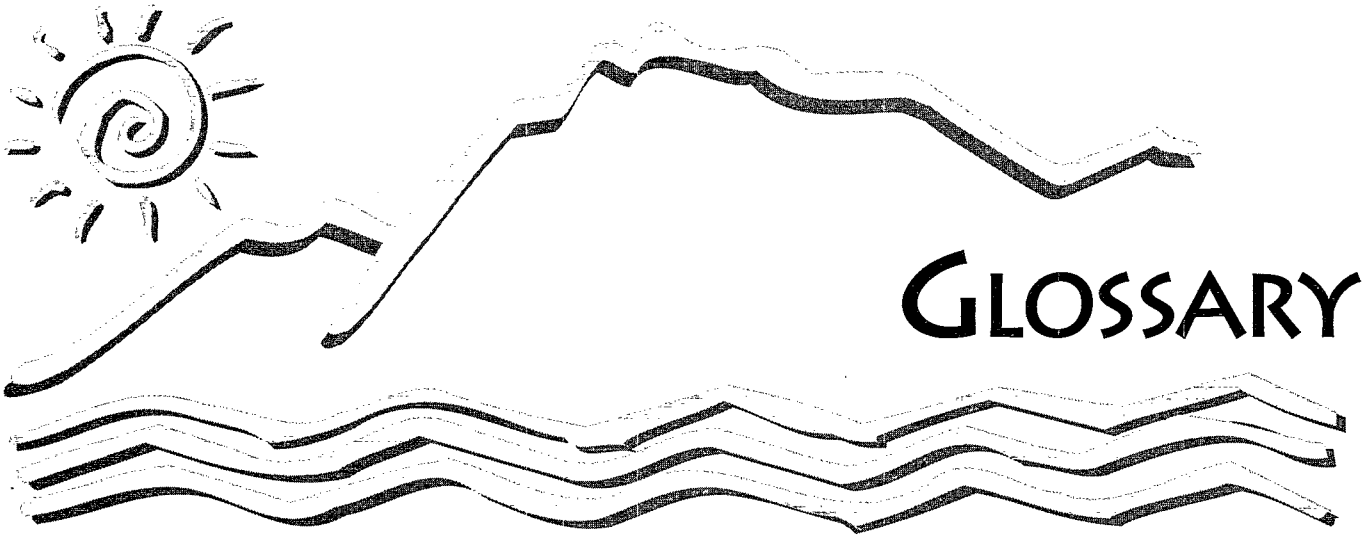
OMB Approval No. 0348-0041

BUDGET INFORMATION - Construction Programs

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Column a-b)
1. Administrative and legal expenses	$ .00	$ .00	$ .00
2. Land, structures, rights-of-way, appraisals, etc.	$ .00	$ .00	$ .00
3. Relocation expenses and payments	$ .00	$ .00	$ .00
4. Architectural and engineering fees	$ .00	$ .00	$ .00
5. Other architectural and engineering fees	$ .00	$ .00	$ .00
6. Project inspection fees	$ .00	$ .00	$ .00
7. Site work	$ .00	$ .00	$ .00
8. Demolition and removal	$ .00	$ .00	$ .00
9. Construction	$ .00	$ .00	$ .00
10. Equipment	$ .00	$ .00	$ .00
11. Miscellaneous	$ .00	$ .00	$ .00
12. SUBTOTAL	$ .00	$ .00	$ .00
13. Contingencies (sum of lines 1-11)	$ .00	$ .00	$ .00
14. SUBTOTAL	$ .00	$ .00	$ .00
15. Project (program) income	$ .00	$ .00	$ .00
16. TOTAL PROJECT COSTS (subtract # 15 from # 14)	$ .00	$ .00	$ .00
FEDERAL FUNDING			
17. Federal assistance requested, calculate as follows: Enter eligible costs from line 16c Multiply X _____ % (Consult Federal agency for Federal percentage share). Enter the resulting Federal share.			$ .00

Standard Form 424C (4-88)
Prescribed by OMB Circular A-102



GLOSSARY

CERCLA	Comprehensive Environmental Response, Compensation, and Liability Act
CFR	Code of Federal Regulations
CDBG	Community Development Block Grant
DHHS	Department of Health and Human Services
EJGSC	Environmental Justice Grants to Small Community Groups
EJP2	Environmental Justice Through Pollution Prevention
EnPA	Environmental Performance Agreement
E.O.	Executive Order
EPA	Environmental Protection Agency
FAADS	Federal Assistance Awards Data System
FY	Fiscal Year
HUD	Department of Housing and Urban Development
IHS	Indian Health Service
IRS	Internal Revenue Service
JTR	Jobs Through Recycling
MHI	Median household incomes
NMHI	Non-metropolitan household income
NOFA	Notice of Funding Availability
NTIS	National Technical Information Service
OEJ	Office of Environmental Justice
OMB	Office of Management and Budget
ONAP	Office of Native American Programs
ORD	Office of Research and Development
PPGs	Performance Partnership Grants
PPIS	Pollution Prevention Incentives for States
RCRA	Resource Conservation and Recovery Act
RD	Rural Development Services
RECD	Rural Economic and Community Development
RUS	Rural Utilities Service
SARA	Superfund Amendments and Reauthorization Act
TEA	Tribal Environmental Agreement
U.S.C.	United States Code
USDA	United States Department of Agriculture

United States
Environmental Protection
Agency

Solid Waste
and Emergency Response
(5306W)

EPA530-R-96-051A
January 1997

EPA

Regional Tribal Solid Waste Coordinators

WASTE MANAGEMENT IN INDIAN COUNTRY

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Joe DeCola
EPA Region 1
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Phone: 617 565-3276
Fax: 617 565-1141

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Lorraine Graves
EPA Region 2
290 Broadway
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Mail Code: 2DEPP/RPB/SWT

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Fax: 212 637-4437

Region 3

Jeffrey Alper
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Fax: 215 566-3114

Region 4

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Al Latourette
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Phone: 206 553-8202
Fax: 206 553-8509

Any comments to EPA on this publication can be addressed to:

Director,
Municipal and Industrial Solid Waste Division (5306W)
Office of Solid Waste
U.S. Environmental Protection Agency
401 M Street, SW
Washington, DC 20460

EPA

United States
Environmental Protection Agency
401 M Street, SW. (5306W)
Washington, DC 20460

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