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USER'S MANUAL

COMPUTER ASSISTED AREA SOURCE EMISSIONS GRIDDING PROCEDURE (CAASE) USER'S MANUAL

U.S. ENVIRONMENTAL PROTECTION AGENCY
Office of Air and Waste Management
Office of Air Quality Planning and Standards
Research Triangle Park, North Carolina 27711

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COMPUTER ASSISTED AREA SOURCE EMISSIONS GRIDDING PROCEDURE (CAASE) USER'S MANUAL

by

Research Triangle Institute Research Triangle Park, North Carolina 27709

Contract Number 68-02-1014

EPA Project Officer: Charles C. Masser

Prepared for

ENVIRONMENTAL PROTECTION AGENCY Office of Air and Waste Management Office of Air Quality Planning and Standards Research Triangle Park, N. C. 27711

January 1974

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The work has been conducted under the direction of Mr. Charles Masser, who is the EPA Project Officer for the contract.

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1.0 INTRODUCTION

1.1 Purpose

The National Air Data Branch of EPA has the responsibility for developing an accurate emissions inventory for all designated pollutants for the entire United States. The emissions inventory data must be in a format suitable for use as input to existing computer programs for displaying air quality, or for evaluating State Implementation Plans. Key computer programs which require emissions inventory data are the Air Quality Display Model (AQDM) and the Implementation Planning Program (IPP).

Point Sources of emissions present no difficulties with regard to the formatting of data for use with AQDM or IPP. Area source emission data, however, present problems. Usually, the smallest geographic unit for which accurate primary data (e.g. annual residential fuel consumption) are available is the county. These data must be disaggregated and appropriately allocated (as emissions) to smaller areas to provide an adequately detailed input for AQDM or IPP.

The CAASE programs (CAASE1 through CAASE5) with associated subroutines and offline procedures provide an objective method for allocating county-level data to grid squares selected on the basis of demographic features and sized to give appropriate detail for input to air quality modeling programs. CAASE is an acronym made up of the first letters of Computer Assisted Area Source Emissions gridding.

1.2 General Background

The attainment of acceptable air quality within an Air Quality Control Region requires the implementation of appropriate strategies for the control of emissions of pollutants from individual sources or classes of sources. The probable success of candidate control strategies can be evaluated through the use of computer simulation models. These models manipulate the characteristics of the many sources, e.g. location, annual emissions, height and temperature of emissions, and meteorological conditions, e.g. wind direction and speed distribution with associated thermal stability conditions, to produce a distribution of ambient air pollutant concentrations over the region being considered.

Simulation models frequently used are based on Gaussian plume formations and accept as inputs either point sources, or area sources (which are converted to virtual point sources). Point sources are those individually identifiable boiler stacks, process vents, etc., emitting more than some arbitrarily specified mass of pollutant each year. Area sources, however, include the more ubiquitous, individually small sources which cannot be specifically located.

The objective of the CAASE method is the improvement of the characterization of area sources. Basic data for the determination of area source emissions seldom, if ever, are available for geographic or political units or areas smaller than the county, or in some cases, the large city which functions politically independently of the surrounding county. These basic data are in the form of, for example, annual fuel consumption, by fuel type, for residential, for commercial and institutional, and for industrial heating; acreage burned by forest fires; landing-takeoff cycles for military, for commercial and for civil aircraft; gasoline or diesel fuel consumed by light, heavy and off-highway vehicles, or vehicle miles traveled by road classification; etc. These data can be converted to pollutant emissions by the application of appropriate emission factors.

The geographic size of a county, however, is too large for practical use in simulation models for AQCR's. Logical procedures are required for distributing the county totals basic data or derived emissions data to smaller areas. Further constraints imposed by the simulation models require that these small areas be squares, although they need not be of uniform size. Various criteria have been proposed as bases for selecting the sizes and distribution of the emission area squares. Urbanization, land use, housing counts, and population have all been used subjectively to grid AQCR's into emission area squares (hereafter called grid squares) and subsequently to apportion county totals of pollutant emissions into each grid square. In general, the philosophy followed has required that urbanized or industrialized portions of the county or AQCR be gridded into small squares to provide for detailed representation of concentration of pollution sources. Conversely, rural areas with

few pollution sources are adequately represented by large grid squares. Essentially, application of this philosophy results in apportioning county total emissions to grid squares according to subjective estimates of the distribution of population. Since air pollution derives from human activity this procedure provides a reasonable approach to developing area source emission distributions.

The development of the CAASE programs began as an effort to reduce the subjectivity inherent in distributing population into pre-selected grid squares. Success in this effort would concurrently reduce the time and effort required to complete the area source emission distribution.

The Bureau of the Census of the U.S. Department of Commerce has prepared a modified Master Enumeration District List (MEDList) which includes, in addition to the district identification, population count, housing count, etc., the geographic coordinates of the center of area of each of the enumeration districts. A computer plot of these population centers, coded to graphically represent population count used in conjunction with U.S. Geological Survey maps providing topographic and terrain features, furnishes a relatively detailed information base for constructing a county grid square system.

The procedures described in this manual have evolved from a feasibility study (Contract CPA 70-147) in which three AQCR's, 145 (Lancaster, Gage, and Jefferson Counties, Nebraska), 99 (South Central Kansas), and 130 (Metropolitan Fargo-Moorehead) were gridded. In this study the ambient air quality indicated by the AQDM simulation model, based on a previously prepared (by another EPA contractor) area source emissions grid and a corresponding simulation based on the CAASE grid were compared. Because smaller grid squares were used by the CAASE method for central urban areas — where the plotted population data showed concentrations of people — higher peak values of ambient pollutant concentrations were shown for the cities, and sharper gradients of pollutant concentrations appeared in the urban to rural transition zone. In rural areas ambient pollutant concentrations did not differ with the change in the grid system.

Subsequent to the demonstration of feasibility of the CAASE method, fifteen AQCR's have been gridded and area source emissions have been determined for each grid square (Contract 68-02-1014). From this experience, the CAASE method as described in this manual has evolved.

1.3 The CAASE Method

CAASE has five computer programs associated with it and various subroutines called by these programs. Off-line gridding is done in the procedure steps between the execution of the second and third programs. For convenience the programs have been numbered CAASE 1 through CAASE 5 and they perform the following functions:

CAASE1 strips the MED-X census tape files for all of the enumeration district population entries for all counties in the Air Quality Control Region (AQCR) being processed. CAASE1 also converts the coordinates of the center of each enumeration district from latitude and longitude (in degrees) to Universal Transverse Mercator (UTM) coordinates which are used in dispersion modeling programs. CAASE1 also writes tape files to be used as input to the CAASE2 and the CAASE4 programs.

The CAASE2 program, using edited tape files written by CAASE1 and a line-drawing plotter (in this application a CALCOMP plotter), plots circles with their radii proportional to the population counts. A circle is plotted for each enumeration district with its center at the geographical center of the enumeration district. This plotted output can then be overlayed onto the standard USGS map(s). The maps and scaling used have been the USGS 1:250,000 with a 1-inch radius representing 4,000 people. CAASE2 plots a separate chart (map) for each county in the AQCR. This procedure is used because the primary purpose of the gridding is to select grid squares within a county so that total emissions (or total fuels) for the county can be apportioned into these grid squares. When all counties for a particular AQCR have been processed through CAASE1 and CAASE2, a grid for the entire AQCR must be determined using partly subjective means (see Section 8). In order to make this determination a

 $^{^{\}star}$ Master Enumeration District Listing extended with geographic coordinates (MED-X).

light-table is used and the population plots are overlayed onto a USGS map(s) containing all counties for the AQCR. A grid is selected for the entire AQCR with each grid square having a side length which can range from 1 km to 30 km. Any size could be selected, but it is generally agreed that this is the range which will best depict the area source inputs for dispersion modeling, i.e. it provides enough resolution, but does not generate more resolution than the models warrant (which would result in a waste of computer time when dispersion modeling programs are run). Because determining the sizes of the grid squares and where they should be placed is partially subjective, the technical personnel performing this step should have had some experience in gridding area source emissions using other techniques or should have been trained to use this technique. That is to say, the CAASE1 and CAASE2 programs have simply produced, in graphical form, a representation of where the people are located within the counties.

After all grid squares have been constructed for the entire AQCR being processed, a card deck is prepared describing this grid for input to the CAASE3 program.

Specifically, the grid squares are numbered in some orderly way, preferably sequentially by county. A load sheet is then prepared for keypunching a card associated with each grid square. On this load sheet the grid identification number (ID), the UTM coordinates of the lower left-hand corner of the grid square, and the side length of the square are entered. The county, state, and AQCR are also entered for identification purposes. It is very easy, during this step, to introduce clerical errors in the recording of coordinates and side lengths. Hewever, the CAASE3 program offers an opportunity to find keypunch errors not discovered while verifying.

The CAASE3 program uses the input grid description cards and draws, to scale, a map of the entire AQCR. The map drawn by CAASE3 portrays the grid, and it is helpful in isolating any errors which may have been introduced when preparing the load sheets or in keypunching and verifying the cards. All grid elements must be square and errors of omission or the incorrect recording of a coordinate(s) are quite obvious when this map is visually checked. A symbol, in this application an "X," is optionally plotted at the center of each grid square to help in the location of errors.

After the grid description cards have been corrected, if necessary, for any errors found by using the CAASE3 program, the next step in the procedure is to use the CAASE4 program which assigns apportioning values to each of the grid squares. For each area source emission category included on the area source input form (EPA (DUR) 219 3/72), an apportioning factor has been assigned using objective data when possible. Bureau of the Census MED-X data tapes contain a population count, a housing count, and a rural/urban classification for each enumeration district. Each grid description card includes the side length of the grid square from which the area is calculated. County totals for most of the area source emissions categories can be objectively apportioned using population, housing, area, or a combination of these three measurements. One obvious exception is the apportioning of emissions from aircraft operations which would require a knowledge of airport locations and, if more than one airport was located within a county, their relative operations activity.

The CAASE4 program logic has been written to permit the user to subjectively override any of the objective apportioning factors. The actual apportioning factor for each source category used within the program, is the product of a weighting factor and the assigned objective factor. This allows the user to override the programmed (or objective) apportioning factor within any particular county (or counties) if information to do so is available. The output of the CAASE4 program includes binary tape files which are used as input files to the CAASE5 program.

CAASE4 output files contain, for each grid square and source category combination for each county, a number which can be used to apportion a fraction of the county total into each grid square within the county. Each county within the AQCR is processed separately through the CAASE4 program using the grid squares associated with the county, the MED-X census data and any overriding weighting factors provided as additional input data.

The CAASE5 program, using "fuel" totals for each of the emission source categories for area sources, apportions these "fuels" into the individual grid squares. CAASE5 uses the same methods as those used in the EPA program NEO3 to calculate the emissions

using fuel totals and emission factors for each of the source emissions categories. The term "SMEAR" has generally been used when describing the process of apportioning the total emissions for a county into the grid squares within a county. The CAASE5 program does the "SMEARING" by using apportioning factors assigned by CAASE4. CAASE5 first "SMEARS" the "fuel" for each of the categories into each of the grid squares and outputs (prints) a tabular listing (and writes a binary magnetic tape) for all grid squares within the county for each emissions source category. For each area source emissions category, each grid square receives a fraction of the county total — that fraction being the number associated with that particular grid square and "fuel" category divided by the sum of all apportioning numbers for that "fuel" category within the county. For any area source category, the apportioning fractions summed over all grid squares for that county equals unity.

Procedurely, the pollutant emissions are calculated for the county totals and then "SMEARED." This procedure is used, rather than calculating emissions for each grid square using "SMEARED" fuels, because the calculations for "SMEARING" do not require as much computer time as the calculations of the emissions. For each source category, emissions are calculated for the five pollutants: suspended particles (SP), sulfur dioxide (SO_2), oxides of nitrogen (NO_2), hydrocarbons (HC), and carbon monoxide (CO). As emissions of each pollutant are calculated and "SMEARED," a tabular listing is output (printed) of the "SMEARED" emissions for each pollutant as was done with the fuels. The county totals for each emissions source category are output to indicate the contribution of each of them to the total emissions for each pollutant. For each grid square the "SMEARED" emissions from all source categories are summed for each pollutant for output in the Implementation Planning Program (IPP) expanded card format for area source inputs. A binary magnetic tape is also written containing all data items in the tabular listings and card decks. The output from CAASE5, then, includes tables of "SMEARED" fuel totals and "SMEARED" emissions for each of the five pollutants of interest, where for each grid square a separate value is printed for each source category. Also, a card deck is punched in the IPP format, containing, for each grid square, the total suspended particles, sulfur dioxide, oxides of nitrogen, hydrocarbon, and carbon monoxide emissions "SMEARED" into each grid square for all source categories. At the request of EPA the IPP input card format was expanded to include all five pollutants and the state and county code numbers. The county totals for each of the five pollutants are also printed and were used during the development and application of the CAASE method to compare CAASE program outputs with the total emissions for each county which were calculated by the EPA program NEO3. A detailed description of each of these five main CAASE programs, their subroutines, the off-line gridding procedure, and the use of overriding apportioning factors, are contained in other sections of this manual.

Figure 1 is a flow chart of the overall CAASE system.

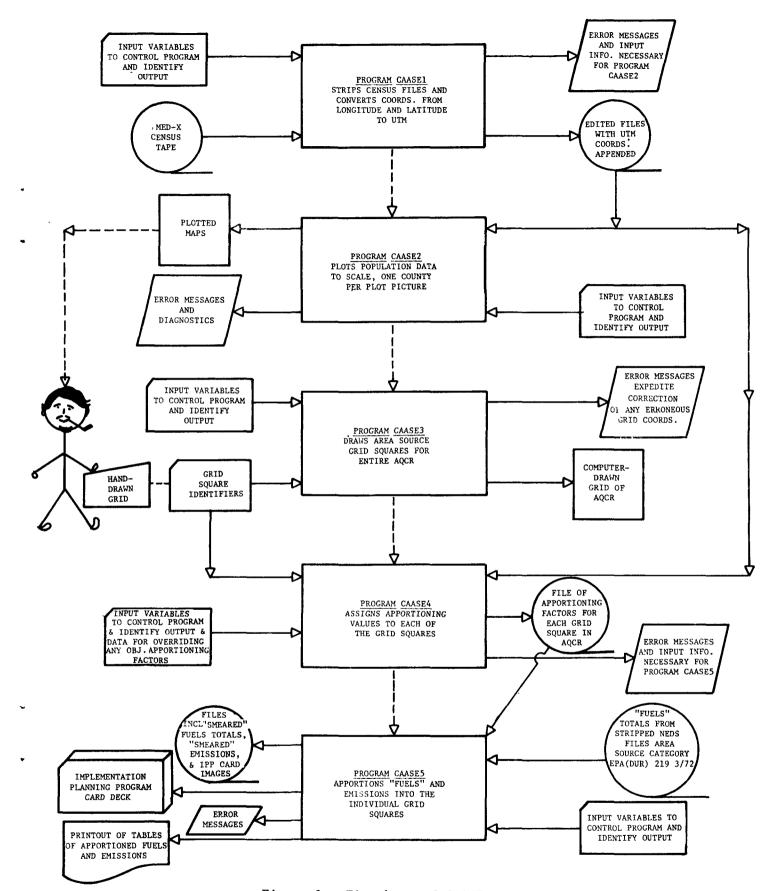


Figure 1. Flowchart of CAASE System

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2.0 CAASE1 PROGRAM

2.1 Program Description

This program performs several functions which include the editing, conversion of coordinates (from geographic latitude and longitude to the universal transverse mercator (UTM) system), flags those counties which cross (straddle) two UTM zones, and calculates the length of the X and Y axes necessary for the subsequent plotting of the population at enumeration district centers. The program will process one or several counties contained in one or more states making up an Air Quality Control Region. Because the counties are dealt with in later programs as separate entities, CAASE1 generates a separate file for each county. The program uses two types of input data, (1) the Bureau of the Census MED-X data tape (MEDList with latitudinal and longitudinal coordinates added), and (2) punched cards to specify the values of variables for the county (or counties) being processed. Outputs include an edited, or stripped, file for each county, diagnostic messages, error messages when necessary, and information to aid in the execution of the next program in the series. In addition to systems subprograms, the subroutine CED009, described in Section 7.1 is used for converting coordinates. A logical flow chart describing CAASEl is included in Appendix A.

2.2 Job Control Language (JCL) and Deck Setup

An example of a deck setup configuration is illustrated in Figure 2. Using the Parkersburg-Marietta AQCR as an example, the associated JCL and input data cards are illustrated in Figure 3. The JCL cards illustrated assume the following:

- a. The program is in object deck form.
- b. The punched cards assigning values to the variables are in the input job stream.
- c. The MED-X census data are on magnetic tape.
- d. The number of counties to be processed is nine and are located in two states (Ohio and West Virginia).
- e. The output of census data is on magnetic tape with a separate file created for each county.

2.3 Input Information

A description of the punched card input variables appears in Table 1. It includes the scaling factor, the name of the selected Air Quality Control Region, the number of states in the AQCR, the name of each state, the number of counties in each state, the Federal county code number, and the name of each county. The scaling factor, which has the same value as one which will be used in the plotting of the population centers in CAASE2, is used with the differences between the minimum and maximum UTM coordinates of the easting and northing for UTM zone(s) associated with the county being processed to calculate the size of the plot "picture" needed. The scaling factor, although variable, has been calculated for a scale of 1:250,000 for all processing done in the applications thus far using the CAASE method. The input card layout is described in Table 2.

2.4 Output Information

Printed output from the program includes the Air Quality Control Region, state(s), and county(ies) being processed. Also output for each UTM zone in the county (most counties include only one zone), is the zone number, the minimum and maximum easting and northing UTM coordinate, and the minimum X axis and Y axis (in inches, using the scale factor (SCALEX) that was read in), necessary to portray all population centers on a computer drawn map. When all records for a county of interest have been processed, the county name, its number, and the number of

records written on the output tape are printed. A magnetic tape is written with a separate file created for each county processed. Once the county of interest is found on the MED-X tape, a record is written for each input record except for the population data summary. The summarization of enumeration district population counts can be recognized by the program because the latitudinal and longitudinal coordinates are zero. Error messages are printed and, if they are fatal, the program operation is terminated. The census data record written by CAASE1 is essentially the same as the MED-X input record with the UTM zone number and the easting and northing UTM coordinates for each population center appended. Figure 4 is an example of a printout from CAASE1.

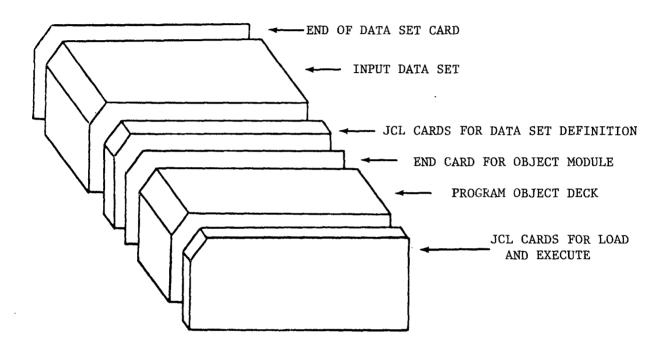


Figure 2. Example of Deck Configuration for the CAASE Programs

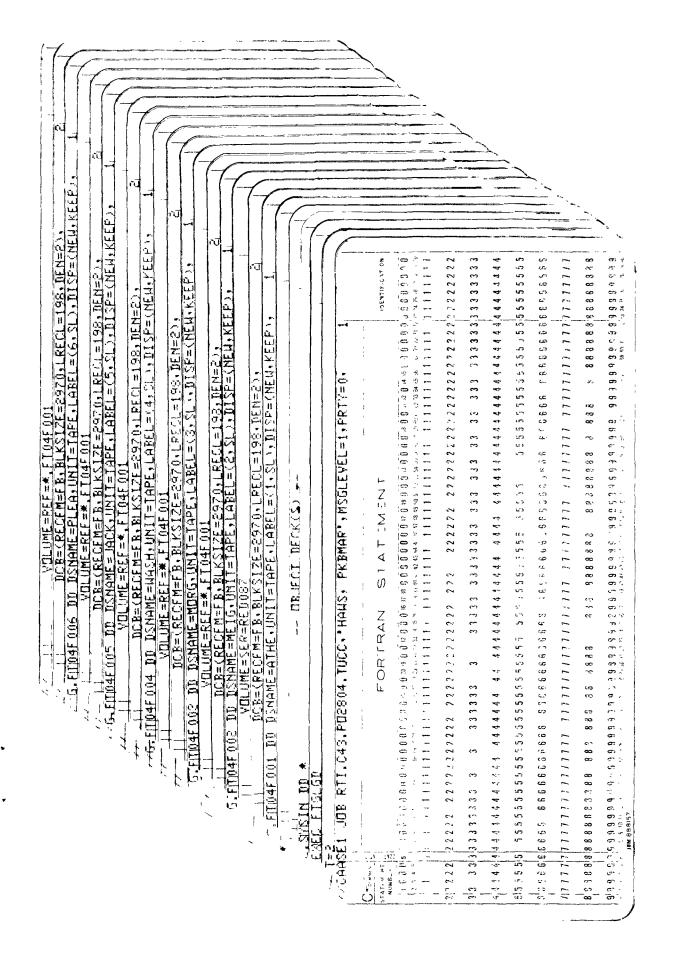


Figure 3. (Continued)

TABLE 1. TABLE OF INPUT VARIABLES, CAASE1

VARIABLE NAME	DEFINITION
SCALEX	Scaling factor used to convert the distance in kilometers to plotter inches.
NSTAT	Number of states in AQCR
AQCR	Name of selected Air Quality Control Region
NCNTY	Number of counties in state
STATE	Name of selected state
ICNTY	Federal county code number for selected county
CNTY	Name of selected county

TABLE 2. INPUT CARD LAYOUT, CAASE1

CARD TYPE	COLUMNS	FORMAT	VARIABLE NAME
1	1–12	F12.0	SCALEX
2	1-4	14	NSTAT
	5-24	5A4	AQCR
3 *	1-4	I4	NCNTY
	5-24	- 5A4	STATE
4 [†]	1-4	14	ICNTY
	5-24	5A4	CNTY

^{*}CARD TYPE 3 is repeated after the set of CARD 4's if the AQCR contains more than one state, and is followed by the necessary CARD 4's.

 $^{^{\}dagger}\text{CARD}$ TYPE 4 is repeated depending on the number of counties in the state.

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3.0 CAASE2 PROGRAM

3.1 Program Description

The purpose of this program in the CAASE system is to graphically portray the population centers within the county, both as to location and to number of people in each of the enumeration districts. The program is also used to resolve the problem presented when an Air Quality Control Region straddles a UTM zone boundary. Because the gridding of an AQCR requires a common reference point, when more than one UTM zone lies within the AQCR, one of the zones must be declared the primary zone. The primary zone may be either the westernmost or the easternmost of the two; an input variable is used to indicate which. In most applications thus far, when an AQCR straddled a zone boundary, the western zone has been declared the primary zone and the points falling in the eastern zone have been converted using the subroutine GTGR obtained from EPA. In some cases, to declare the eastern zone the primary zone could yield negative easting coordinates which, at the time of this application, were unacceptable to the Implementation Planning Program (IPP).

Several counties may be processed during a single computer run with this program but the processing is done in series with a separate plotter output picture (map) for each county. A standard picture size of 10.5 inches for the south to north axis and 14.0 inches for the west to east axis usually will be sufficient for plotting a county using a map scale of 1:250,000. Provisions are made in the program to use overriding input variables to produce a picture with a south to north axis up to 29.5 inches. The west to east axis may be of any practical length because the plotter paper is on a continuous roll. When these overriding picture size options are used an "operator message" is issued to enable the operator to change paper on the plotter. Prudence would suggest not mixing standard size plots with "special case" (wide paper) plots. In addition to the subroutine GTGR, which converts coordinates from one UTM zone to another, CAASE2 uses the subroutine POPMAP which places a small triangle in the center of each enumeration district and draws a circle, with radius proportional to the

population, around the triangle. The subroutine POPMAP is described in Section 7.3. The CAASE2 program uses two types of input data, (1) the edited MED-X data tape output from CAASE1, and (2) punched cards to specify the values of variables including scaling factors, optional picture size, and labeling information for the county (or counties) being processed. Outputs include a plotted map depicting the location and population of each enumeration district in a county. This map is drawn to the scale of the map which will be used when selecting the grid for the AQCR being processed. Also output from this program are diagnostic messages, error messages when necessary, and a summary of records plotted for each county processed. A logical flow chart describing CAASE2 is included in Appendix B. Subroutine GTGR is described in Section 7.2.

3.2 Job Control Language (JCL) and Deck Setup

An example of the deck setup configuration is illustrated in Figure 2. The JCL cards associated with an example to plot Washington County, Ohio, in the Parkersburg-Marietta AQCR, are illustrated in Figure 5. The JCL configuration illustrated assumes the following:

- a. The program is in object deck form.
- b. The punched cards assigning values to the variables are in the job input stream.
- c. The population data are on magnetic tape, and were output from CAASE1 on reel number RED087 as file number four.
- d. The number of counties to be processed is one and can be plotted using the standard 10.5 inches by 14.0 inches plotting picture.
- e. The tables used by the GTGR subroutine are on a disk (not needed for this AQCR, but JCL cards are included in the example).

3.3 Input Information

The punched card input variables appear in Table 3. They include the number of counties to be plotted for the AQCR during this computer run, the total number of

counties in the AQCR, the AQCR name, the primary UTM zone, the east-west direction to convert coordinates to the primary zone when the AQCR straddles a UTM zone boundary, the scaling factors to convert population to the radius of a circle (in plotter space inches), and the spacing between axes tick-marks. Also input for each county to be plotted are cards containing the UTM coordinates for an appropriate origin, the county code, county name, state code and state name, and an axes flag to indicate whether the standard axes lengths will be used. For a county requiring longer axes than standard, input cards are necessary specifying the length (in inches of plotter space) of the X and Y axes and the number of tick-marks required. The input card layout is described in Table 4.

3.4 Output Information

Output from CAASE2 includes the printing of the AQCR name, total number of counties in the AQCR, and the number of counties plotted. Also output on the printer are the distance and population scaling factors, and any error messages returned from subroutines POPMAP and GTGR. At the end of the processing of all counties for any computer run, the county names, their numbers, and the number of records plotted for each county are also printed. See Figure 6 for an example of the printed output for Washington County, Ohio and Figure 7 for the plotter output.

390HI0 425 4340 167WASHINGTON 6.350013345 4000. 78740 1 9PARKERSBURG-MARIETTA	A FIGE 001 DD ** 10.8= (BECEM=FIGE 001 DD DS) VOLUITOR DE DE COLUITOR DE COLUTTOR DE COL	ACSPLOT DD SYSOUT=C OBJECT DECK:S)	/// SYSIN DD * // EXEC FIG.GD // EXEC FIG.GD // FISSO, PLDIS=2000 // CHASE2 JOB RII.C43.PD2804.TUCC. BUPT PKBMAR", M=1, PRTY=0, T=0.45). 1	: 0000> 000000340000000000000000000000000	2	33 33333333333 3 3 333333 3 3333333 3 3333	554555555555555555555555555555555555555	\$	TITLE TO THE TEACHER OF THE TOTAL STATE OF THE TOTA	888888888888888888888888888888888888888	83388893999999999999999999999999999999

Figure 5. JCL and Input Data Cards for CAASE2

TABLE 3. TABLE OF INPUT VARIABLES, CAASE2

VARIABLE NAME	DEFINITION
NCNTY	Number of counties to be plotted
ITOT	Number of counties in the AQCR
AQCR	Name of Air Quality Control Region
IZONE	Primary UTM zone
EW	Direction of coordinate point conversion for primary zone when AQCR straddles UTM zones
SCALEX	Scaling factor to convert distances in kilometers to plotter inches
SCALEP	Scaling factor to convert population to circle radius in inches
TICINC	Distance between axes tick-marks in inches
XXZERO	Lower left-hand X coordinate of the selected county
YYZERO	Lower left-hand Y coordinate of the selected county
ICNTY	Federal county code for selected county
XCNTY	Name of selected county
IAXES	Axes flag signifying whether the standard axes will be used
ISTAT	Federal state code number for selected state
XSTAT	Name of selected state
XLONG	Length of X axis if standard X axis is too short
YLONG	Length of Y axis if standard Y axis is too short
XTIC	Number of tick-marks on lengthened X axis
YTIC	Number of tick-marks on lengthened Y axis

TABLE 4. INPUT CARD LAYOUT, CAASE2

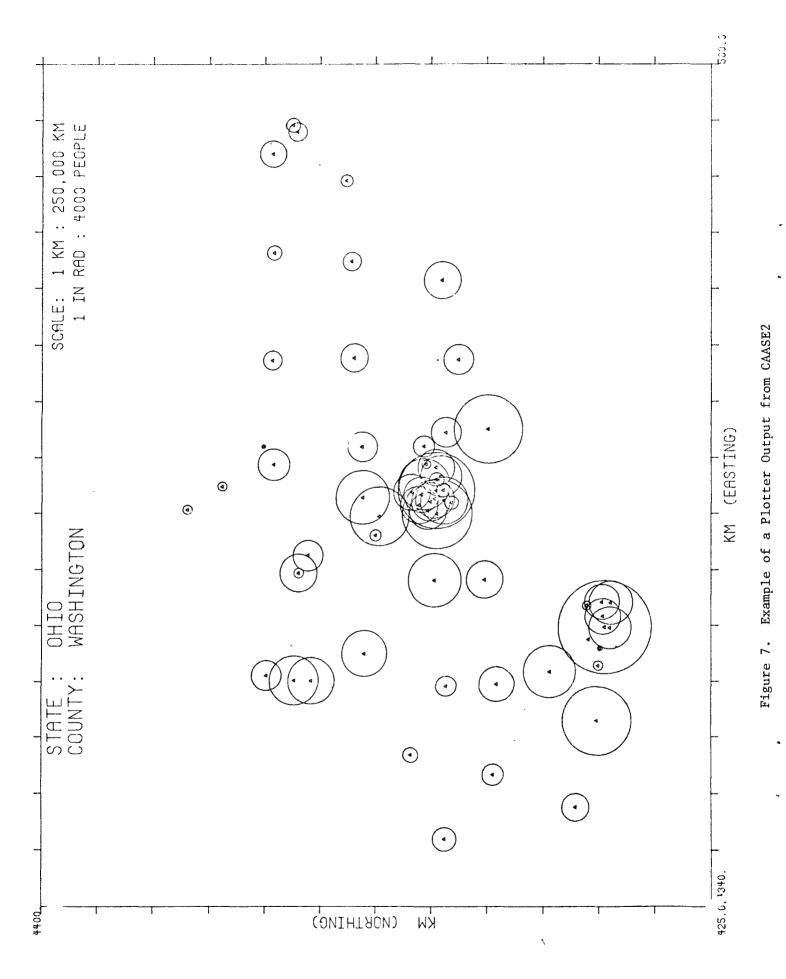
CARD TYPE	COLUMNS	FORMAT	VARIABLE NAME
1	1-4	14	NCNTY
	5–8	14	ITOT
	9-28	5A4	AQCR
	31-35	15	IZONE
	36-40	F5.0	EW
2	1-12	F12.0	SCALEX
	13-24	F12.0	SCALEP
	25-36	F12.0	TICINC
3 *			
3	1-10	F10.0	XXZERO
	11-20	F10.0	YYZERO
	21-24	14	ICNTY
	25-48	6A4	XCNTY
	51-52	12	IAXES
4*	1-4	14	ISTAT
·	5-16	3A4	XSTAT
	3-10	JA4	V21VI
5 [†]	1-10	F10.0	XLONG
	11-20	F10.0	YLONG
	21-25	15	XTIC
	26-30	15	YTIC

^{*}CARD TYPES 3 and 4 are repeated depending on number of counties to be plotted.

 $^{^\}dagger\text{CARD}$ TYPE 5 is used $\underline{\text{ONLY}}$ if the county requires longer axes.

MAP SCALE IS 6.3 CIPCLE SCALE IS 1			The state of the s
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		The state of the s	
	. 1		
THIS COUNTY IS W	ASHINGTON		
XNEW, YMEW, COPNEW =	0.4911791 01	0.5681581 0.	U.217000E UO
XNEW, YNEW, POPNEW =	0.467084E 01	0.581692E C1	0.263590E CO
XNCW,YNEW,POPNIW.=	U•556059E 01	0.7391725 01	0.6649996-01
XNEW, YNEW, POPHEW =	0.588501t U1	0.690205E 01	0.6574990-01
XNEW, YMLW, POPNEW =	0.3077130 01	0.372416E 01	0.1430001: 00
XNEW, YMCW, POPNEW =	0.31.0350L 01	0.30x365F 0!	0.246250E 00
XNEW, YNEW, POPNEW =	0.4267635 G1	0.1527435 01	0.2475000 00
XNEW, YNEW, POPNEW =	0.4256651 01	0.140440E 01	0.306250E 00
XNEW, YNEW, POPNEW =	0.4062951 01	0.15] (3(E 01	0.2530JUF UU
XNEW, YNEW, POPNEW =	1.391020E 01	0.149237 E 01	0.6587501 00
AUCR PARKERS COUNTY NAME	BUPG-MARIETTA C	COMPLETED CODE NO.	NO. OF DISTRICTS PLO
WASHINGTON		167	64

Figure 6. Example of a Printout from CAASE2



4.0 CAASE3 PROGRAM

4.1 Program Description

The CAASE3 program is designed to draw area source grid squares for any given AQCR. It uses a set of data cards that define, for each grid square within the AQCR, the coordinates of the lower left-hand corner and the side length. The program is useful for identifying clerical errors or keypunching errors and for describing the locations and sizes of each of the grid squares within an AQCR. A scaling factor appropriate for the map with which the grid will be used may be input; the only restriction is the physical limitations of the plotter paper width. CAASE3 calls the subroutine POPBOX and provides to it the necessary information to drive the plotter to draw the AQCR grid. Subroutine POPBOX is described in Section 7.4. Because most AQCR's contain several counties, the 30-inch-wide paper probably will be necessary for CAASE3 plotting and an "operator message" requesting the wide paper is issued by the program in all cases. A flow chart of the program logic of CAASE3 appears in Appendix C.

4.2 Job Control Language (JCL) and Deck Setup

An example of a deck setup configuration is illustrated in Figure 2. The JCL cards associated with an example for the Parkersburg-Marietta AQCR are illustrated in Figure 8. The JCL configuration illustrated assumes the following:

- a. The program is in object deck form.
- b. The punched cards assigning values to the variables are in the job input stream.
- c. The punched cards describing the grid squares and their locations are in the job input stream.
- d. Only one Air Quality Control Region (AQCR) is to be plotted.

4.3 Input Information

All input to the CAASE3 program is through punched cards in the input stream. The punched card input variables appear in Table 5. Input variables define the

scaling factor, the UTM coordinates of the lower left-hand corner of the entire AQCR, the AQCR name, the length in plotter space inches of both the X and Y axes, the number of tick-marks wanted on both the X and Y axes, the spacing of the tick-marks, and a card for each grid square in the AQCR which contains the identification number of the grid square, the UTM coordinates of the lower left-hand corner, and the side length of the grid square. The input card layout is described in Table 6.

4.4 Output Information

In addition to a computer driven plotter output of all grid squares (see Figure 9 for an example) within the AQCR drawn to scale, error messages, when necessary, and a listing of input data are printed. Figure 10 is an example of the printed output.

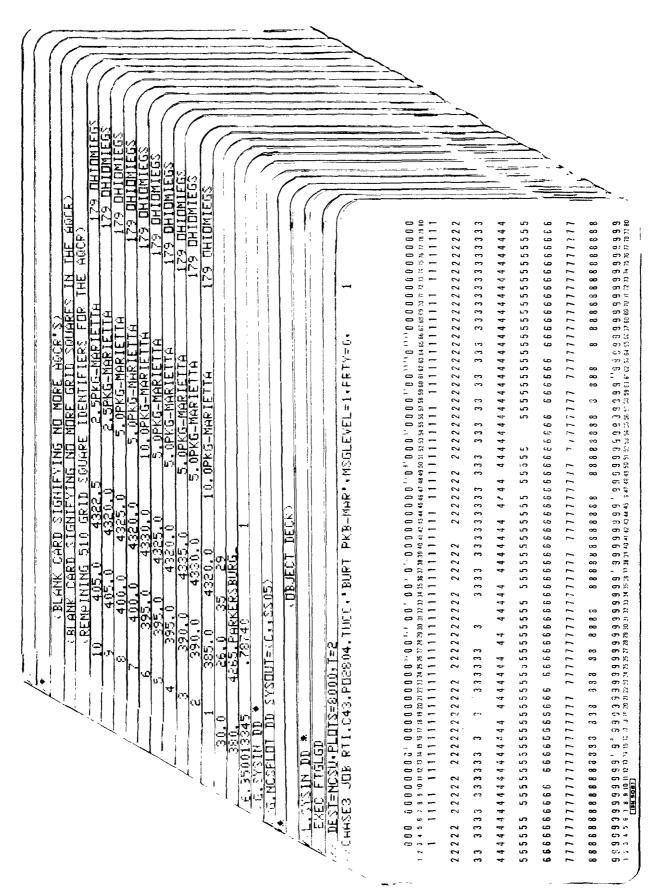


Figure 8. JCL and Input Data Cards for CAASE3

TABLE 5. TABLE OF INPUT VARIABLES, CAASE3

VARIABLE NAME	DEFINITION
SCALEX	Scaling factor to convert distances in kilometers to plotter inches
TICINC	Distance between axes tick-marks in inches
XZERO	Lower left-hand X coordinate for entire AQCR
YZERO	Lower left-hand Y coordinate for entire AQCR
AQCR	Name of selected Air Quality Control Region
XLONG	Length of X axis
YLONG	Length of Y axis
IXTIC	Number of tick-marks on X axis
IYTIC	Number of tick-marks on Y axis
IBOX	Area source grid square number
XPT	Lower left-hand X coordinate for the grid square
YPT	Lower left-hand Y coordinate for the grid square
S	Length of the side of the grid square
CNTY	Name of county to which grid square belongs

TABLE 6. INPUT CARD LAYOUT, CAASE3

CARD TYPE	COLUMNS	FORMAT	VARIABLE NAME
1	1-12 13-24	F12.0 F12.0	SCALEX TICINC
	13-24	112.0	TICINC
2	1-10	F10.0	XZERO
	11-20	F10.0	YZERO
	21-40	5A4	AQCR
3	1-10	F10.0	XLONG
	11-20	F10.0	YLONG
	21-25	15	IXTIC
	26-30	15	IYTIC
4 *	1 10	710	TDOM
4	1-10	110	IBOX
	11-20	F10.0	XPT
	21-30	F10.0	YPT
	31-40	F10.0	S
	71–78	2A4	CNTY
5	A blank card	indicating no more grid squ	uares for this AQCR.
6	A blank card	indicating no more AQCR's	to be gridded.

^{*}CARD TYPE 4 is repeated for each grid square in the AQCR.

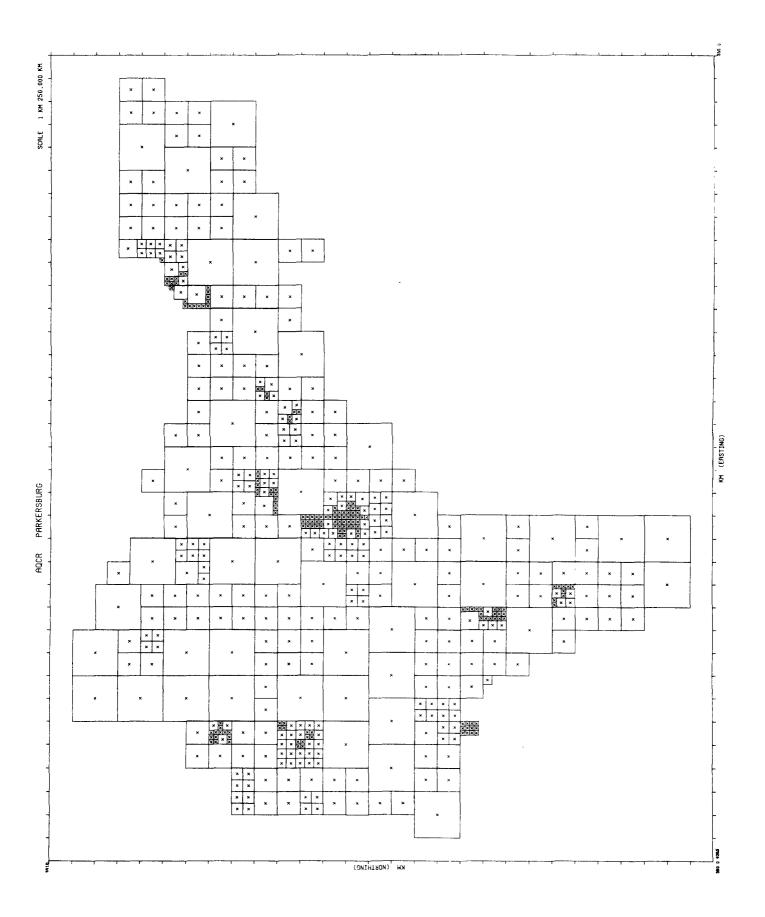


Figure 9. Example of a Plotter Output from CAASE3 (Figure Optically Reduced)

- PHOAK THS VA *HZZM KASHT * ASHT * 6 SFT * 6 SFT * 6 SFT * ANNHT THS SAY THSAK 7 1 2 1 M 18.5 CH.T. WASHI 1.25FT THS 14 r. 6 SHT FHS-VA 11574 一十八てる HASET 7.43.A.A [HSVN 7.4 CL.7 していてい T-1247 * 425K THUV. THSTM 1425K 5.00 21.7 11. C 2.5 2.5 2:5 To Ligh 4367.0 4345.0 4364 4355... 436... 4365.n 4365.5 4355.0 434 . . 435... 435... 4375... 4377.5 436. 4347.3 4351.c. 4365.0 A V.C. IS PATALESHIPS 4377.5 407201 43/1.03 436 .. 4317. 4355. 4301. 4.47.6 10010 4275. 13.17. 435.0 435.0 437.0 437.5 INITES 447.J 447.J 442.5 445.C 447.5 425.0 3.355 456.00 450.00 447.5 5.7.4 4000 450.0 J. X. 1772 181 7.58 1.7

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5.0 CAASE4 PROGRAM

5.1 Description of Program

The CAASE4 apportioning factor program uses the edited MED-X data files, with UTM coordinates (output from CAASE1) and cards describing the lower left-hand corner and side length of each area source grid square and processes the census data to sum all of the population and housing counts for all enumeration districts with centers falling within each individual grid square. The area of each grid square is also calculated. Because the MED-X data locates the geographical center of the enumeration district, the basic question is whether the center falls within the geographic outline of the grid square. These population summations, housing summations, and areas for each grid square are objective factors used in the apportioning of total county emissions, for each of the source emissions categories, into grid squares of unequal size which have been subjectively located using graphical outputs from CAASE2. Because there are source categories, e.g. airports, which do not lend themselves to objective apportioning based on population, housing, or area, provisions are made in the CAASE4 program to input, as overriding weighting factors, any information known to the technical personnel gridding the county (or counties) within the AQCR and apportioning the county total emissions. These weighting factors override the objective apportioning factors. A detailed discussion of the objective apportioning factors and the overriding apportioning weighting factors, their rationale, and how to apply them, are included in Section 9.0 of this manual.

For convenience, the several fields of "fuel" data on the Area Source Input Form EPA (DUR) 219 3/72 have been sequentially numbered for category number, major classification (residential fuel, industrial fuel, etc.), and minor classification (anthracite coal, bituminous coal, distillate oil, etc.). Table 7 relates the category number to its major and minor classifications, and the method of introducing overriding apportioning factors is discussed in Section 5.3, Input Data.

The CAASE4 program also relates all locations to a common origin, i.e., in using the CAASE1 output tape of census data, more than one UTM zone may be encountered;

TABLE 7. AREA SOURCE EMISSIONS CATEGORY NUMBERS

CATEGORY	MAJOR	MINOR
NUMBER	CLASSIFICATION	CLASSIFICATION
1	RESIDENTIAL FUEL	ANTHRACITE COAL
2	RESIDENTIAL FUEL	BITUMINOUS COAL
3	RESIDENTIAL FUEL	DISTILLATE OIL
4	RESIDENTIAL FUEL	RESIDUAL OIL
5	RESIDENTIAL FUEL	NATURAL GAS
6	RESIDENTIAL FUEL	WOOD
7	COMMERCIAL & INSTITUTIONAL FUEL	ANTHRACITE COAL
8	COMMERCIAL & INSTITUTIONAL FUEL	BITUMINOUS COAL
9	COMMERCIAL & INSTITUTIONAL FUEL	DISTILLATE OIL
10	COMMERCIAL & INSTITUTIONAL FUEL	RESIDUAL OIL
11	COMMERCIAL & INSTITUTIONAL FUEL	NATURAL GAS
12	COMMERCIAL & INSTITUTIONAL FUEL	WOOD
13	INDUSTRIAL FUEL	ANTHRACITE COAL
14	INDUSTRIAL FUEL	BITUMINOUS COAL
15	INDUSTRIAL FUEL	COKE
16	INDUSTRIAL FUEL	DISTILLATE OIL
17	INDUSTRIAL FUEL	RESIDUAL OIL
18	INDUSTRIAL FUEL	NATURAL GAS
19	INDUSTRIAL FUEL	WOOD
20	INDUSTRIAL FUEL	PROCESS GAS
21	ON-SITE INCINERATION	RESIDENTIAL
22	ON-SITE INCINERATION	INDUSTRIAL
23	ON-SITE INCINERATION	COMMERCIAL & INSTITUTIONAL FUEL
24	OPEN BURNING	RESIDENTIAL
25	OPEN BURNING	INDUSTRIAL
26	OPEN BURNING	COMMERCIAL & INSTITUTIONAL FUEL
27	GASOLINE FUEL	LIGHT VEHICLE
28	GASOLINE FUEL	HEAVY VEHICLE
29	GASOLINE FUEL	OFF-HIGHWAY
30	DIESEL FUEL	HEAVY VEHICLE
31	DIESEL FUEL	OFF-HIGHWAY
32	DIESEL FUEL	RAIL LOCOMOTIVE
33	AIRCRAFT	MILITARY
34	AIRCRAFT	CIVIL
35	AIRCRAFT	COMMERCIAL
36	VESSELS	ANTHRACITE COAL
37	VESSELS .	DIESEL OIL
38	VESSELS	RESIDUAL OIL
39	VESSELS	GASOLINE
40	EVAPORATION	SOLVENT PURCHASED
41	EVAPORATION	GASOLINE MARKETED
42	MEASURED VEHICLE MILES	LIMITED ACCESS ROADS
43	MEASURED VEHICLE MILES	RURAL ROADS
44	MEASURED VEHICLE MILES	SUBURBAN ROADS
45	MEASURED VEHICLE MILES	URBAN ROADS
46	DIRT ROADS TRAVELED	* * *
47	DIRT AIRSTRIPS	•••
48	CONSTRUCTION LAND AREA	• • •
49	ROCK HANDLING & STORING	•••
50	FOREST FIRES*	AREA-ACRES
51	SLASH BURNING*	AREA-ACRES
52	FROST CONTROL**	ORCHARD HEATERS
53	STRUCTURE FIRES	NUMBER PER YEAR
54	COAL REFUSE BURNING***	SIZE OF BANK

^{*}Tons/acre also reported; *** Number/yr. also reported.

therefore, a primary zone is declared on an input card and those points falling outside the primary zone have their UTM coordinates converted to the primary zone. The conversion is made using subroutine GTGR which is described in Section 7.2. In the CAASE4 program objective apportioning factors have been assigned for all area source emission categories, even though emission factors have not yet been determined for some. CAASE4 will not require modification (except to change the coefficient from zero) when these emission factors are determined. The tons per acre coefficients reported on the Area Source Form No. EPA (DUR) 219 3/72 for forest fires and slash burning is used to calculate total county "fuels" for those source categories and are therefore not subject to apportioning; their numeric value is included in CAASE4 outputs for use by CAASE5. The number of days orchard heaters are fired for frost control and the number of burns/year of coal refuse banks are also output without change for use by CAASE5. A logical flow chart describing CAASE4 is included in Appendix D.

5.2 Job Control Language (JCL) and Deck Setup

An example of a deck setup configuration is illustrated in Figure 2. The JCL cards associated with an example for the Parkersburg-Marietta AQCR are illustrated in Figure 11. The JCL configuration illustrated assumes the following:

- a. The program is in object deck form.
- b. The punched cards assigning values to the variables are in the job input stream.
- c. Population data, output from CAASE1, are on magnetic tape as files 1 through 9 on reel number RED087.
- d. The number of counties to be processed is nine.
- e. The tables used by the GTGR subroutine are on disk volume "RTIEES" with data set name (DSN) of "GRDTB66."
- f. No overriding apportioning weighting factors are input.
- g. Output of apportioning factors is on binary tape as files 1 through 9 on reel number RED141 (for later use by CAASE5).

5.3 Input Information

The punched card input variables appear in Table 8. They include the county, region, political subdivision, county name, primary UTM zone number, a print switch option variable, and a direction variable to convert coordinates when an AQCR straddles UTM zone boundaries. The same grid square identification cards which were used as input to the CAASE3 program are used as input to CAASE4; that is, a card is input for each grid square containing its sequential identification number, UTM coordinates of the lower left-hand corner, and its side length in kilometers. Overriding weighting factor cards are read in until a blank card is encountered. Each weighting factor card includes the identification number of a grid square, a source category number, and the weighting value to be assigned. A card is necessary for each grid square and source category combination for which an overriding weighting factor is to be input. The edited MED-X tape, output from CAASE1, is read by the program a record at a time, and the housing counts and population counts are summed into the grid square into which each enumeration district center falls. If a decision is made at processing time to assign equal weighting factors to some normally overridden source category, such as railroads, then it is suggested that the FORTRAN source language statement be inserted near the end of the weighting factor initialization loop to set the coefficient (weighting factor) to 1.0 instead of 0. This will preclude the necessity of inputting a large volume of cards. The description of the input card layout appears in Table 9.

5.4 Output Information

Error messages, diagnostic messages, information necessary to control the CAASE5 program, and a magnetic tape with grid square descriptors and their weighted apportioning factors are output. The county, region, political subdivision, and county name from the input information are printed. For each county, the grid square number, category number, and weighting factor number for each overriding weighting factor read in are printed; the total count of overriding weighting factors is also printed. The total

number of grid squares for each county is printed. Error and diagnostic messages (and suggested responses) include: the number of grid squares for a county exceeds the program dimensions (increase the dimensions and rerun); a category number on an overriding weighting factor input card is out of range (correct it); the grid square number on an overriding weighting factor card does not match any of the set for the county being processed (correct the set or the card); a message when the county number on the tape input file and the one on the input card do not match (JCL or input card error, correct it); any error messages from grid-to-grid coordinate conversion routine GTGR (see Section 7.2.3); and an optional print of census data and UTM coordinates. If an enumeration district's coordinates are not located inside any grid square uniquely assigned to the county being processed, its county number, UTM coordinates, population, and housing counts are printed. The coordinates should be checked on the total AQCR grid against the following possibilities: 1) the coordinates are not located within the county and are therefore incorrect on the census record, 2) the grid square in which the coordinates are located was either assigned to the wrong county, was not included in the deck, or was keypunched wrong, 3) it was not possible to draw a grid square at the county border without including an enumeration district from an adjacent county and a compromise was made. The apportioning factor computed for each grid square can also be optionally printed. Figure 12 is an example of the printout from the processing of the Parkersburg-Marietta AQCR.

VOLUME= DCB= (REC) DSMANE= RECSMANE= INCERED SMANE REF = ** ONE OF MENT ONE OF MENT	AUCUMENTE PULUMENTE RECENTE RECENTE NO DESCRIPTION NO DESCRIPTENTES NO DESCRIPTE NO DESCRI	N DD * IGLOD IGLOD JOB RII.C43.PO2804.TUCC.'BURT PKB-MAR'.MSGLEVEL=1.PPTY=0. 1	19 9 0 5 2 0 5 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	555555555555555555555555555555555555
	27, 19, 19, 19, 19, 19, 19, 19, 19, 19, 19	CHASE4 JUB RTI.	0000 03 22222 333 3.3	555555 60666 777777 88338 99319

Figure 11. JCL and Input Data Cards for CAASE4

FACTORS) HIS COUNTY) Y) OHIOMASHT OHIOMASHT OHIOMASHT OHIOMASHT	
908) 11ES) 1DING APPORTITIONING F 1DENTIFIERS FOR THIS ERS FOR THIS COUNIX REITH 179 179 1119 179 179 179 179 179 179 179 179 179 179	TENEZA * KINGEIN* 1 P
SIGNIEVING END FOR REMINING S ILEYING NO MORE GRID SQUARE IDE 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 50.0 5.0PK 60.0 5.0PK	The full
12.56 1.75 1.75 1.75 1.75 1.75 1.75 1.75 1.75	101 105 NAME = 410. 101 = REF = 4. F 10. 102 = REF = 4. F 10. 103 = REF = 4. F 10. 104 = REF = 4. F 10. 105 = REF = 4. F 10. 106 = REF = 4. F 10. 107 = REF = 4. F 10. 108 = REF = 4. F 10. 108 = REF = 4. F 10. 109 = REF = 4. F 10. 1
	605 DD 6500 DD 605 DD 6500 DD

TABLE 8. TABLE OF INPUT VARIABLES, CAASE4

VARIABLE NAME	DEFINITION
ICNTY	Federal county code number for selected county
IREGN	Code number of selected AQCR
IPOLT	Code number of political subdivision of AQCR
CNTY1	Name of selected county
KZON	Primary UTM zone number
ITEST	Print switch option
EW	Direction to convert coordinates when AQCR straddles two UTM zone boundaries
ID	Area source grid square number
X	Lower left-hand X coordinate of the grid square
Y	Lower left-hand Y coordinate of the grid square
SIDE	Length of the side of the grid square
IDNUM	Area source grid square number for overriding weighting factor
ICAT	Source category number for overriding weighting factor (see Table 7)
WEIGHT	Overriding weighting factor for selected grid square-source category

TABLE 9. INPUT CARD LAYOUT, CAASE4

CARD TYPE	COLUMNS	FORMAT	VARIABLE NAME
1*	1-10	110	ICNTY
-	12-14	A3	
			IREGN
	16-20	15	IPOLT
	22-25	A4	CNTY1
	26-30	15	KZON
	31-35	15	ITEST
	36-40	F5.0	ΕŴ
+			
2 [†]	1-10	110	ID
	11-20	F10.0	X
	21-30	F10.0	Y
	31-40	F10.0	SIDE
3	A blank card s	signifying no more grids	for this county.
8			
48	1-10	110	IDNUM
	11-20	110	ICAT
	21-30	F10.0	WEIGHT
5 ^ξ	A blank and	ionifuina na mama arrana	4.14
J		ignifying no more overr	laing weighting
	factors for this county.		

^{*}CARD TYPE 1 is repeated for each county in the AQCR and follows CARD TYPE 5.

 $[\]ensuremath{^{\dagger}}\xspace$ CARD TYPE 2 is repeated for each grid square in the county.

 $^{^{\}S}\text{CARD}$ TYPE 4 is repeated for each overriding weighting factor for the county's grid squares.

 $^{^{\}xi}\!A$ blank card follows CARD TYPE 5 when there are no more counties in the AQCR.

	C REIGHTING FACTOR CARDS AFALL BLAD IN					
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6.0 CAASE5 PROGRAM

6.1 Program Description

The CAASE5 emissions calculation and apportioning program uses the apportioning factors output from CAASE4, the "fuels" totals from the NEDS file for each county, and the emissions factors for each emissions source category. It calculates the total emissions for the county, and then apportions ("SMEARS") them into the grid squares within the county according to the apportioning factors output from CAASE4. If so little is known about an emission source category that the associated emission factor has not been determined, that emission factor is set as zero in the CAASE5 program. If in the future an emission factor is determined for the source category, the zero is easily replaced by the new factor. The CAASE5 program calculates the same total emissions for a county as does the NEO3 program. The program uses subroutines READ1, OUTPT1, OUTPT2, and OUTPT3 which are described in other sections of this manual. Emissions factors, by source category and by pollutants are defined in FORTRAN DATA statements. In the FORTRAN source language code, the DATA statement named "EFHV" defines the emissions factors for highway vehicles, and the DATA statements "EMFAC1," "EMFAC2," "EMFAC3," "EMFAC4," and "EMFAC5" define the emission factors, scaled for units of fuel, that are used in calculating the emissions. Because some of the fuels are reported in tens of tons, hundreds of tons, thousands of gallons, etc., the emission factors include these scaling factors. For example, if 20 lbs. of suspended particles are produced by the burning of a ton of fuel, and the fuels totals are reported in tens of tons, then the emission factor would be multiplied by ten but, because the output is in tons of pollutant, the resulting number would then be divided by 2,000 which would yield a coefficient of .10, indicating that for every ten tons of fuel burned, .1 tons of particulates would be produced. If the units for reporting the fuel totals are ever changed, then the change would have to be reflected in these scaled emission factors.

When the CAASE5 program and its I/O subroutines were first written, the assumption had been made that 200 grid squares would adequately apportion emissions in any county being processed and that most counties could be adequately described with less than 100 grid squares. The total computer core storage requirements for a "job" was one of the terms included in the algorithm for computing computer charges on the system used to develop and apply the CAASE system; priority, volume of input/output, and the class of peripherals used were other terms. In early applications, the dimension terms in the storage arrays that were a function of the number of grid squares in a county were modified in the source language deck if any county being processed required more than 100 grid squares. The CAASE5 program was later modified, to its present form, whereby a five-statement "driving" program is used to set the dimensions for CAASE5 and its subroutines. CAASE5 is then, technically, a subroutine to the dimension setting "main" (driving) program; the main program has been compiled for each computer run using the variable "NDIM" as the maximum number of grid squares in any county being processed. In the processing of several AQCR's with a wide range of the number of grid squares within each county, a linear relationship was plotted of the actual core storage used by the load module (in thousands of bytes) and the maximum number of grid squares (denoted by the variable "NDIM") in any county being processed during a particular computer run; the regression line plotted in Figure 13 has been successfully used to estimate core storage requirements. As can be seen by inspecting Figure 13 the approximate core storage requirements, in K bytes, equals 0.45 times NDIM + 60, i.e. a slope of 0.45 and an intercept of 60K bytes. Figure 14 is an example of a run where the county being processed (Washington County, Ohio) contained 92 grid squares; the driving program dimensions which were a function of the number of grid squares were set to 100 and the variable NDIM passed these adjustable dimensions to CAASE5 and its subroutines through the "call argument lists."

The sequence of steps performed by the CAASE5 program is repeated for each county being processed and is described as follows: First, identification information for

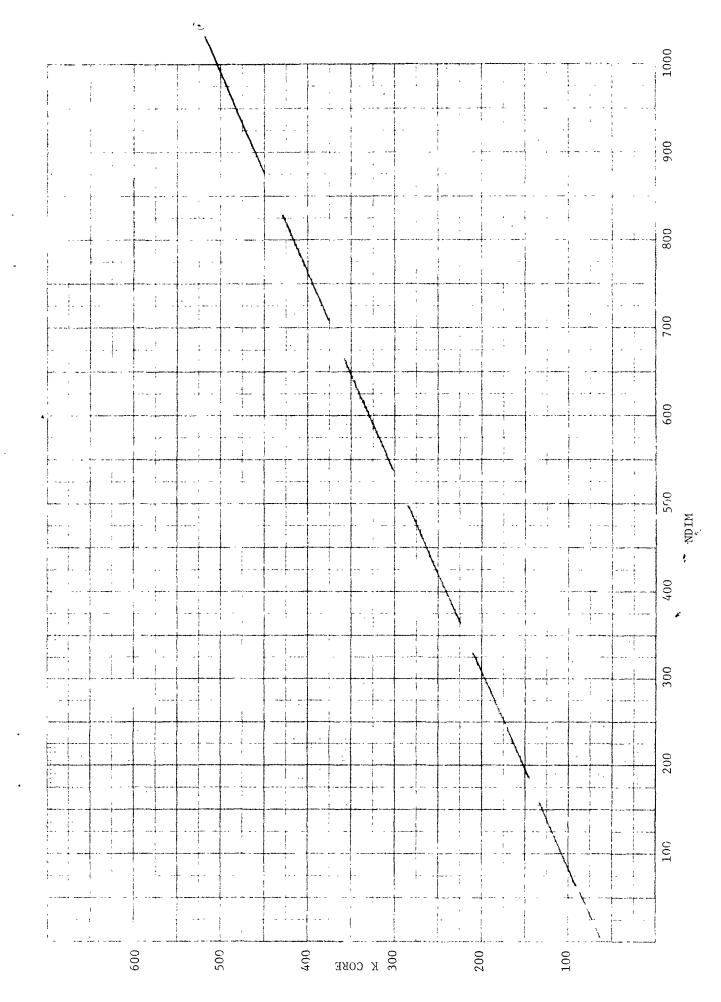


Figure 13. Plot of Core Storage Requirements Vs. the Number of Grid Squares in a County (NDIM)

the state, county, AQCR, and the number of grid squares in the county are read in from cards. Cards with labeling information are then read in and the subroutine READ1, which is described in Section 7.5, is called to read the "fuels" totals for the county from magnetic tape. If READ1 does not return an error condition, processing continues. The weighted apportioning factors are then read from a magnetic tape which was output from the CAASE4 program. The apportioning factor sums are then developed and become the denominators for apportioning each of the source categories. The program iterates ("loops") through the number of grid squares for the county being processed and through all of the "fuel" categories. For each fuel category, the number in each grid square is used as the numerator and the sum of all apportioning values, for all grid squares, for that category is used as the denominator. This fractional portion of the total "fuel" within the county is later apportioned to the grid square. For example, if there are 50 grid squares within the county being processed, the first fuel category (residential fuel-anthracite coal) is apportioned into the 50 grid squares based on the fractional apportioning value assigned to each grid square as explained in Sections 1.3, 5.1, and 9.0. A summation of these fractions. for all grid squares, for each category, yields unity, i.e., summing all of the numbers for each grid square within the county for "Fuel Category 1" will equal the first denominator. After the fuels have been apportioned for all categories, the subroutine OUTPT1 is called which causes the printing of tables; OUTPT1 is described in Section 7.6. The CAASE5 program then calculates total emissions for each of the five pollutants of interest (SP, SO,, NO, HC, and CO) for each of the fuel categories. The mobile source categories are dealt with in the same way that the EPA NEO3 program calculates them - measured vehicle miles by speed categories are used, if available, and the ratios for vehicle classes are calculated using estimates of miles per gallon of fuel used for each of the different vehicle classes. The emissions are then apportioned one pollutant at a time to limit the core storage requirements. The total emissions for all fuel categories, for the county, is summed and printed for comparison with the total emissions recorded on the NEDS USER'S file. The five emissions for

all fuel categories are summed for each grid square and saved for the IPP cards which will be output later using the OUTPT3 subroutine described in Section 7.8 of this manual. The apportioned emissions are printed in tabular form using the subroutine OUTPT2 which is described in Section 7.7 of this manual. After apportioned emissions are output for all five pollutants the IPP cards are produced by calling the OUTPT3 subroutine. A binary tape is written containing all table entries. Flow charts of the program logic of CAASE5 and its subroutines appear in Appendix E.

6.2 Job Control Language (JCL) and Deck Setup

An example of the deck setup configuration is illustrated in Figure 2. The JCL cards associated with the example are illustrated in Figure 14. The JCL configuration illustrated assumes the following:

- a. The program is in object deck form except for the dimension setting driving program.
- b. The punched cards assigning values to the input variables are in the job input stream.
- c. Apportioning factor data are on magnetic tape, reel number RED141, output from CAASE4 as file number four.
- d. The fuels totals for the county being processed are on magnetic tape, reel number ADC519, and represent a stripped file from the NEDS emissions data base.
- e. Output of apportioned emissions and fuels are output on the printer file and are written on magnetic tape, reel number RED143, as file number four.

6.3 Input Information

The punched card input variables appear in Table 10. The state, county, and AQCR numbers are input. The number of grid squares for the county being processed, the county name, and the EPA county number are also input. The EPA assignment of county numbers is not the same as the Federal county's assignment on the census

tapes; therefore, when referring to the county number, the Federal county code number is used for census data, and the EPA county number is used when searching the "fuels" total tape.

The end of processing for a particular computer run is signaled by a "0" in the input card field specifying the number of grid squares within a county (the variable "NAREAS"). The subroutine READ1 is used for reading the "fuels" totals tape. The grid square identification, the county, the apportioning factor for each grid square-source category combination (a 54 by N array where N is the number of grid squares within the county), the UTM coordinates of the lower left-hand corner of each grid square, and its side length, are input from a binary tape created in CAASE4. The input card layout is described in Table 11.

6.4 Output Information

Output from the CAASE5 program includes diagnostic messages, error messages, tables of apportioned fuels, tables of apportioned emissions for each of the five pollutants of interest, an IPP card deck, and a binary tape containing the arrays used in outputting the tables. As explained in Sections 7.6, 7.7, and 7.8 the apportioned emissions and the apportioned fuels are output as tables where OUTPT1 output tables are for apportioned fuels, OUTPT2 tables are apportioned emissions (and separate tables are produced for each of the five pollutants). OUTPT3 also produces the card images in the IPP input format for the five pollutants. A binary tape is produced of the apportioned fuels, emissions, and IPP card variables so that they are available for any additional computer applications which may arise. Figures 15 through 19 are examples of tables of apportioned fuels for Washington County, Ohio; five tables are always necessary to output apportioned fuels for all source categories. Apportioned emissions tables are output in a format similar to the apportioned fuels tables, and Figure 20 is an example of the first page of the first table for particulate emissions for Washington County, Ohio. Figure 21 is an example of the table printed by the CAASE5 program to depict the contribution of each source category to

the county total for each pollutant; pollutants numbered 1 through 5 represent SP, SO₂, NO_x, HC, and CO, respectively, and each table is read row-wise for the 54 categories; the last line in the figure appears in the output on a separate page (it was placed in the figure to conserve space) and represents the total particulate emissions for the county for all area source categories and was compared with the total area source emissions from the NEDS USER'S file computed by the EPA NEO3 program during the application of the CAASE system. The county total for each pollutant is output just prior to the output of the apportioned emissions tables for that pollutant. Five tables for apportioned "fuels" are output with a maximum of 45 grid squares on each page, and five tables are output for <u>each</u> of the five pollutants with a maximum of 45 grid squares on each page. Information output on IPP cards is also printed; see Figure 22 for an example.

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Figure 14. JCL and Input Data Cards for CAASE5

Figure 14. (Continued)

TABLE 10. TABLE OF INPUT VARIABLES, CAASE5

VARIABLE NAME	DEFINITION
XSTATE	EPA state code of selected state
ICNTY	Federal county code of selected county
XAQCR	Code number of selected Air Quality Control Region
NAREAS	Number of grid squares in selected county
CNTY	Name of selected county
KOUNTY	EPA county code of selected county
IPOLIT	Political subdivision of selected Air Quality Control Region
IREGN	Code number of selected Air Quality Control Region
HDG	Page heading including name of county and state of interest

TABLE 11. INPUT CARD LAYOUT, CAASE5

CARD TYPE	COLUMNS	FORMAT	VARIABLE NAME
1*	1-10 11-20	F10.0 I10	XSTATE ICNTY
	21-30	F10.0	XAQCR
	31-40	110	NAREAS
	47-50	A4	CNTY
	51-60	110	KOUNTY
	70	A1	IPOLIT
	78-80	A3	IREGN
2*	1-80	20A4	HDG
3	A blank card u	sed <u>only</u> when there ar	e no more counties

A blank card used <u>only</u> when there are no more counties in the AQCR to be processed during the computer run.

^{*}CARD TYPES 1 and 2 make a set which is repeated for each county in the AQCR.

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APPORTIONED FUELS, TABLE 1, PAGE 1

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Figure 16. Example of CAASE5 Output Table 2, Apportioned Fuels

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7.0 SUBROUTINE DESCRIPTIONS

7.1 CED009 Subroutine

7.1.1 Subroutine Description

The CED009 routine is used for the conversion of coordinates expressed in latitude and longitude to Universal Transverse Mercator (UTM) coordinates and was obtained from the Mathematics and Computation Laboratory, National Resource Evaluation Center; it is described in NREC Technical Manual No. 187, dated July 1966. The program will convert coordinates worldwide. CED009, as originally received, used five spheroid models which were (1) International; (2) Clarke, 1866; (3) Clarke, 1880; (4) Everest; and (5) Bessel. Tables of coefficients necessary for the conversion equations are contained in a FORTRAN BLOCK DATA Subroutine. In order to conserve computer core storage requirements, and because the standard spheroid used in the United States for dispersion modeling is the Clarke 1866, the routine has been modified to deal only with the Clarke 1866 spheroid. The BLOCK DATA Subroutine was modified to remove all tables of coefficients which were not related to the Clarke 1866 spheroid. The routine will convert geodetic latitudes from 80° south of the Equator to 80° north of the Equator, with those south of the Equator being considered negative. The routine will convert any longitude from 180° west to 180° east of Greenwich, with those west of Greenwich being considered negative; therefore, the CAASE calling program, CAASE1, sets the longitudes from the MED-X census data tapes to a negative value because all of the AQCR's lying within the contiguous United States have west longitudes. Input variables to this subroutine are communicated through the arguments in the "calling list" except for the tables of coefficients which are communicated through FORTRAN labeled COMMON and are set in the BLOCK DATA Subroutine. All output is through the subroutine "call argument list." The routine, as received was coded in FORTRAN for the Control Data CDC 3600 as single precision; however, because the CDC 3600 uses a computer word containing 60 binary bits and because the routine

was to be run on an IBM 370/165 system, where a single precision FORTRAN word

contains 32 binary bits, all calculations involving numbers with more than six significant decimal digits were modified to use double precision, thereby yielding a FORTRAN word of 64 binary bits. The coefficients for the conversion equations in the BLOCK DATA Subroutine were also converted to double precision for the IBM 370 version. CED009 permits the entry of the geodetic location in radians or seconds with two different scaling factors for each. For consistency, the calling program, CAASE1, calls CED009 with latitude and longitude in seconds scaled by 10⁵.

7.1.2 Inputs to Subroutine

Inputs through the "call argument list" include longitude, latitude, and an indicator of longitude and latitude units. Latitudes are expressed as positive north of the Equator and longitudes are expressed as negative west of Greenwich and east of 180° longitude.

7.1.3 Outputs from Subroutine

and include an integer UTM zone number from 1 to 60 corresponding directly to successive 6° intervals of longitude moving eastward from 180° longitude. The UTM parameters for "northing" and for "easting," in meters, corresponding to the 500,000 meters values assigned to the Central Meridian of each zone, are returned to the calling program. An error condition indication is returned to the calling program where a value of 0 denotes "no error" and values of 1 or 2 represent errors in the range of latitude or longitude; 3 indicates an error in units.

7.1.4 Other Subroutines Used

A BLOCK DATA Subroutine is used to initialize the variables in the FORTRAN labeled COMMON "CORD," which are in FORTRAN DOUBLE PRECISION, and are a table of coefficients used in the equations to convert from coordinates expressed as latitude and longitude to UTM coordinates. It contains the FORTRAN statements: EQUIVALENCE, DATA, DOUBLE PRECISION, and COMMON.

7.2 GTGR Subroutine

7.2.1 Subroutine Description

This grid to grid conversion routine, obtained from EPA, is used when an Air Quality Control Region (AQCR) straddles a UTM zone boundary. It is necessary, in order to construct a grid made up of contiguous squares of unequal size, for a common origin to be established for the entire AQCR; that is, to establish a lower left-hand corner for the entire grid, then to relate all grid squares for the AQCR to this common origin. The mathematical formulae to convert coordinates from one UTM zone to another are those contained in the Department of the Army Technical Manual TM-5-241-8, entitled "Universal Transverse Mercator Grid" (July 1958), Chapter 5, Section 31. Tables used in the GTGR subroutine are from the Department of the Army Technical Manual TM 5-241-2. Calculations are carried out in FORTRAN DOUBLE PRECISION arithmetic and the tables for making the conversion are in a FORTRAN DEFINE FILE statement and reside on disk; only a portion of the tables are read in to central core, depending on where the point to be converted is geographically located. The subroutine permits the grid to grid conversion from east to west, or from west to east; that is, one can express the coordinates in the eastern zone as points relative to the western zone, or conversely, can express the points in the western zone as coordinates relative to the eastern zone. The CAASE system will permit either UTM zone to be declared the "primary zone" but the user is cautioned that when selecting the eastern zone as the primary zone it is possible to generate negative east-west UTM coordinates which, at this time, are unacceptable to the Implementation Planning Program (IPP). For the CAASE applications thus far, the western zone has been declared the "primary" zone with one exception. However, because a distortion error is introduced when converting from one zone to another, and is directly proportional to the distance the point is located outside of the primary zone, the user should be aware that if most of an AQCR lies in the eastern zone, but if a small portion of it extends into the western zone, less distortion will be introduced by making the eastern zone the "primary" zone. The introduction of negative easting coordinates must still be avoided.

7.2.2 Inputs to Subroutine

All inputs to subroutine GTGR are passed through the "call argument list," or read from a disk file. Inputs through the "call argument list" are the UTM "northing" coordinate, the UTM "easting" coordinate, and a variable indicating whether to convert east-to-west or west-to-east. The input UTM coordinates are modified and therefore are changed from their input values.

7.2.3 Outputs from Subroutine

All outputs from subroutine GTGR are passed to the calling program through the "call argument list" and are modified input values for the "easting" and "northing" UTM coordinates, and represent their position relative to the new (primary) zone. An error flag is set if the point to be converted lies outside the range of the tables.

7.2.4 Other Subroutines Used

No non-systems routines are used.

7.3 POPMAP Subroutine

7.3.1 Subroutine Description

POPMAP is a population map plotting routine and is called by CAASE2 to draw a map depicting centers of population and their relative population. The routine "opens" (starts) a plotter picture of the specified size, draws X and Y axes, places tick-marks along these axes, and labels the map, in addition to plotting the population data. Using scaling factors passed to the subroutine through a FORTRAN COMMON statement, a map of any practical scale can be produced. For the CAASE applications thus far, a scale of 1:250,000 has been used. For demonstrational purposes, other scales were tested. The first POPMAP subroutine call for each county causes the axes and labeling information to be produced. For each call to the routine, a triangle (it could be any of several symbols) is plotted at the center of the enumeration district, and a circle is drawn around it with its radius proportional to the population of the enumeration district. The scale used in this application was 1 inch of radius for each 4,000 people. Subroutine CIRCLE is described in Section 7.9.

7.3.2 Inputs to Subroutine

All inputs are through the FORTRAN labeled COMMON "SCALES." The inputs include the name of the state, the name of the county, the UTM coordinates of the lower left-hand corner of the county, the scale factor for the radius of each circle, the value of the scale factor for the map, the UTM coordinates of the point to be plotted, the population to be depicted at the point, the length of the X and Y axes in inches, the FORTRAN I/O unit number for the printer, the number of X and Y tick-marks wanted on the axes, and the incremental distance in inches between tick-marks. Also, an indicator is passed denoting whether it is the first call to the routine for that county; that is, must the map axes and labels be drawn. An input variable also determines whether the standard sized picture (a Y axis of 10.5 inches and an X axis of 14.0 inches) can The "default" paper size on the CALCOMP plotter used in this application was 11 inches wide. Therefore, if the standard picture did not provide sufficient space there were two options: (1) that a 10.5 inch Y axis was sufficient, but that the X axis length must be increased (which did not require operator action), and (2) that the operator needed to change to the 30-inch wide paper on the plotter which made a Y axis up to 29.5 inches possible and in which case an operator message had to be issued by the POPMAP routine. In using the CAASE system and a scale of 1:250,000, most counties could be plotted with a 10.5-inch south-to-north and a 14-inch west-to-east plotter picture. Mixing the requirements for 30-inch and 11-inch wide plotter paper on the same computer run is not recommended.

7.3.3 Outputs from Subroutine

POPMAP outputs a map, drawn to scale, with axes and labeling and with a triangle representing each enumeration district center and a circle with radius proportional to its population. Error and diagnostic messages are output if necessary. As explained above, an operator message is issued when non-standard width plotter paper is needed.

7.3.4 Other Subroutines Used

Subroutines used include systems plotter routines which, although performing standard line-drawing plotter functions, may have modifications and aliases which are unique to the system that CAASE was developed on. The Triangle Universities Computer Center (TUCC), located in the Research Triangle Park, North Carolina, is the computer complex used in the development of CAASE. Plotter routines, their names, the functions they perform, and their "call argument list" requirements are briefly described as follows:

- a. PICSIZ -- PICSIZ is used to open a picture on the plotter and has "call list arguments" to specify the dimensions of the picture in inches along the X axis and the Y axis, respectively. A call to the PICSIZ subroutine with the arguments (0.0, 0.0) is necessary to close the plotter file at the end of each computer run.
- b. PENMSG -- The PENMSG subroutine is used to generate, on the plotter file, a computer console message to the operator at the plotter terminal. Its use in this system has been to inform the operator to place 30-inch wide paper on the plotter.
- c. ORIGIN -- The ORIGIN routine is used to redefine the origin in plotter space, and is commonly used when moving the origin from the lower left-hand corner of the "picture" to an internal point to draw axes and tic-marks, etc. ORIGIN can either be progressive, i.e. cumulative, or the new origin can be relative to the corner of the total picture, which has been the application used in the CAASE system.
- d. PLOT -- The PLOT program moves the plotter pen from one location within the plotter picture to another location and does it in one of the following ways: with the pen up, or with the pen down. The "call list arguments" of PLOT are an X coordinate or an array of X coordinates, followed by a Y coordinate or an array of Y coordinates, followed by an indicator to either move to the location with the pen up (if the third argument has the value 1), or denotes the size of the arrays if the third argument is greater than 1. The coordinates are expressed as floating point numbers, and represent inches in plotter space. For example, if the pen was at the

origin, i.e the picture had just been opened, and the pen was to be moved to the location 4.0 in the X direction and 5.0 in the Y direction in an up position, the subroutine call would be "CALL PLOT (4.0, 5.0, 1)." However, if a line from the origin to 4.0 in X and 5.0 in Y was desired, arrays for X and Y are necessary, where X(1) = 0.0, X(2) = 4.0, Y(1) = 0.0, Y(2) = 5.0, N = 2, the call then is "CALL PLOT (X,Y,N)." Arrays of any reasonable size are possible, the only limitations being available core storage and the required plotting time.

- e. SYMBOL -- This routine draws letters or other symbolic characters on the plotting paper. Any character available on an IBM 029 keypunch can be plotted; additionally, many special characters are available (for example, the Greek alphabet). Any character string can be plotted, provided it ends with an "underscore" symbol which is found on the upper case "W" on an IBM 029 keypunch, and also provided the character string is enclosed in quotes.
- f. WHERE -- This routine is used to find the current location of the plotter pen, its "call list arguments" return to the calling program the present location of the pen in X and Y coordinates in plotter space inches. It has been used in the CAASE application to insert variable information in labeling a plotter chart after a string of standard characters has been drawn.
- g. NUMBER -- This routine's "call list arguments" include an X coordinate, a Y coordinate, a character height expressed in inches, the name of a variable containing a floating point number, the angular displacement in degrees from the X axis, and the number of significant digits desired to the right of the decimal point. It is used to convert a floating point number to EBCDIC characters and to then draw it on the plotter it uses "SYMBOL" after the conversion.
- h. MARK -- This routine is used to plot a special character or symbol with its center at the current pen location. Its "call list arguments" include the symbol number (from a table), and the desired height of the symbol in inches. Its use in CAASE has been to draw a triangle at the center of each enumeration district, and optionally, to draw an "X" at the center of each grid square.

i. CIRCLE -- This routine was obtained from the North Carolina State University Computer Center, and is described in Section 7.9 of this manual.

7.4 POPBOX Subroutine

7.4.1 Subroutine Description

This grid drawing subroutine is called by the CAASE3 program and is used to create the plotter file for drawing a map, to scale, for the entire AQCR, once the grid has been selected off-line using outputs from the CAASE2 program. Communication with the subroutine is through the FORTRAN labeled COMMON "BOXES." The program causes a message to be issued to the operator to replace the standard 11-inch wide plotter paper with 30-inch wide paper. POPBOX is given the coordinates of the lower left-hand corner of each grid square, and its side length and it calculates the other three corners. It then causes a line to be drawn through the five points (the lower left-hand corner being both the starting and ending point). Optionally, an "X" can be drawn at the center of the grid square to aid in error checking. The axes and reference tick-marks are drawn and labeled. A map can be drawn to any scale, the only limitation being the physical size of the available plotter space. In the CAASE applications, thus far, the scale 1:250,000 has been used but, for demonstration purposes, a subset of the grid of an AQCR (ST. LOUIS) was drawn on a scale of 1:24,000. The scale factor is an input variable to the calling routine.

7.4.2 Inputs to Subroutine

All inputs are passed through the FORTRAN labeled COMMON "BOXES," and include the UTM coordinates of the lower left-hand corner of the AQCR, the name of the AQCR, the side length of each grid square, the length of the X and Y axes, the number of tick-marks on each axis (the tick-mark increment spacing has been set for 5 km and is developed by using the scale of the map to calculate the distances in plotter space), the total number of grid squares in the AQCR, and an indicator selecting the option to draw an "X" at the center of each grid square if desired.

7.4.3 Outputs from Subroutine

Outputs from the subroutine include a labeled map, drawn to scale, outlining all grid squares within the Air Quality Control Region being processed, diagnostic messages, and operator messages.

7.4.4 Other Subroutines Used

The plotter systems subroutines PICSIZ, PENMSG, ORIGIN, PLOT, SYMBOL, NUMBER, and WHERE are used, and are briefly described in Section 7.3.4 above.

7.5 READ1 Subroutine

7.5.1 Subroutine Description

This routine reads the "fuel" totals tape for the county being processed.

All communication with the routine is through FORTRAN labeled COMMON. A "fuel's total" record from an edited NEDS Area Source file is read for the state, county, and AQCR of interest. The FORTRAN labeled COMMON "WHICH" is used for identification variables, the labeled COMMON "FUELS" is used to pass to the routine the variables read from the "fuels record," and the labeled COMMON "IOUNIT" is used to pass to the routine the I/O unit number assignments. This subroutine is called by the CAASE5 program.

7.5.2 Inputs to Subroutine

Although three FORTRAN labeled COMMON statements are provided, not all of the variables in these labeled COMMON's are used by READ1. The tape I/O unit number, state, county, and AQCR numbers are used. From the "fuels" tape this routine reads all of the variables appearing on the Area Source Form EPA (DUR) 219 3/72. These variables include identification information and "fuels" totals for all of the source categories currently defined.

7.5.3 Outputs from Subroutine

Outputs from this routine are passed through FORTRAN labeled COMMON and include the "fuels total record," an error indication if an "END OF FILE" condition is encountered on the tape without finding the county of interest, and an error message on the printer if the county is not found.

7.5.4 Other Subroutines Used

No non-systems routines are used.

7.6 OUTPT1 Subroutine

7.6.1 Subroutine Description

This routine, called by the CAASE5 program, formats and prints a tabular listing of the apportioned ("SMEARED") county fuel totals for all source categories on Form No. EPA (DUR) 219 3/72. All communication with the calling routine is through FORTRAN labeled COMMON statements. Five tables are produced, and apportioned fuels for up to 45 grid squares are printed on each output page. A binary tape record is written for each grid square.

7.6.2 Inputs to Subroutine

All inputs are through FORTRAN labeled COMMON statements and include the apportioned fuel totals, grid square identifications, labeling information, and I/O unit assignment numbers.

7.6.3 Outputs from Subroutine

Apportioned fuel totals, with identification and labeling information, are printed in tabular form. Five tables are produced and data for up to 45 grid squares are printed on each page. Table 1 contains identification information, apportioned fuels, and the first of six source categories, Table 2 contains abbreviated identification information and apportioned fuels for source categories 7-20, Table 3 contains abbreviated identification information and apportioned fuels for source categories 21-32. Table 4 contains abbreviated identification information and apportioned fuels for source categories 33-45, Table 5 contains abbreviated identification information and apportioned fuels for source categories 46-54. A binary tape record is written for each grid square-source category combination and includes the identification data.

7.6.4 Other Subroutines Used

No non-systems routines are used.

7.7 OUTPT2 Subroutine

7.7.1 Subroutine Description

This routine, called by the CAASE5 program, formats and prints the apportioned emissions for each source category on Form No. EPA (DUR) 219 3/72. It is called by CAASE5 five times during the processing of each county and outputs the apportioned ("SMEARED") emissions for one of the five pollutants each time it is called. The only communication with the routine that is not passed through FORTRAN labeled COMMON is a variable indicating which of the five pollutants the emissions array contains. This variable is also used to control the labeling of the tables. With the exception of formatting and labeling, OUTPT2 is very similar to OUTPT1. The routine is called by the CAASE5 program as each pollutant's emissions are calculated and "SMEARED;" this technique is used so that a larger storage array is not required, i.e. one containing all five pollutants.

7.7.2 Inputs to Subroutine

With but one exception, inputs are through FORTRAN labeled COMMON statements which provide I/O unit assignment numbers, identification information, and an array containing emissions for each source category-grid square combination. A pointer is passed through the "call argument list" to indicate which of the five pollutants the emissions array contains.

7.7.3 Outputs from Subroutine

Tabular listings of emissions, by source category-grid square combinations, are output from this routine. Up to 45 grid squares are printed on each page and, in order to deal with all source categories on Form No. EPA (DUR) 219 3/72, five tables are produced each time the routine is called. The table numbers and the source categorie contained therein are the same as those described in Section 7.6.3 (OUTPT1 outputs) but the table entries are apportioned emissions instead of apportioned fuels.

7.7.4 Other Subroutines Used

No non-systems routines are used.

7.8 OUTPT3 Subroutine

7.8.1 Subroutine Description

This routine, called by the CAASE5 program, converts the total emissions apportioned to each grid square, from all source categories, from tons/year to tons/day for each of the five pollutants being processed. The routine outputs a printer line and a punched card in the Implementation Planning Program (IPP) format which was expanded to include additional data as described in Section 7.8.3 below.

7.8.2 Inputs to Subroutine

All communication with the subroutine is through FORTRAN labeled COMMON statements which contain location and labeling information, I/O unit assignment numbers, total sulfur dioxide, suspended particles, oxides of nitrogen, hydrocarbons, and carbon monoxide emissions for each grid square.

7.8.3 Outputs from Subroutine

A card is punched for each grid square in the expanded IPP format for area sources, the card image is printed on the line printer, and the data elements are written on binary tape as a separate record for each grid square.

7.8.4 Other Subroutines Used

No non-systems routines are used.

7.9 CIRCLE Subroutine

7.9.1 Subroutine Description

This routine is used for drawing circles with the plotter and was obtained from the North Carolina State University Computer Center; the exact origin of the routine is unknown. All communications with the routine are through the "call argument list." The routine has been modified to prevent the pen from leaving the plotter picture when working near the edge. CIRCLE is called by the POPMAP routine and is used to draw a circle proportional to the population count with its center at the center of an enumeradistrict. It is possible for the center of an enumeration district, represented by the coordinates from the edited MED-X census tapes, to be within the plotter picture and

yet have a circle developed which would leave the picture, i.e., part of the arc along the circumference of the circle would be outside the picture. To keep this from happening, because it generates error messages from the computer's "operating system," the routine has been modified to test for the condition and the arc of the circle is truncated at the edge of the picture. This procedure still provides the user a graphical representation of the relative population because at least 180° of the arc depicting the radius of the circle would be drawn.

7.9.2 Inputs to Subroutine

All arguments in the call list are input variables. They include the X and Y coordinates of the center of the circle to be drawn, the beginning angular displacement from the positive X axis, the final displacement angle from the X axis, the beginning radius, the ending radius, the maximum plotter distance on the X axis, the maximum plotter distance on the Y axis, and a variable used for controlling the drawing of either solid lines or dashed lines. For the CAASE application, the beginning and ending radius were equal, and the beginning and ending angular displacements from the X axis were 0° and 360°, respectively.

7.9.3 Outputs from Subroutine

The subroutine writes plotter records to draw a circle.

7.9.4 Other Subroutines Used

The subroutine PLOT is used; it is described in Section 7.3.4 of this manual.

8.0 OFF-LINE GRIDDING PROCEDURE

8.1 Objective

The objective of the off-line gridding is to provide a logically determined set of grid squares to which area source emissions can be allocated on the basis of characteristics of the grid square or on the basis of subjectively, or objectively determined weighting factors. Pertinent grid square characteristics are: contained population (or its inverse), area side length, contained housing units, and housing per unit area (or its inverse). These characteristics and the introductory discussion in Section 1.0, above, indicate the dominance of population as a basis for the distribution of area source emissions.

8.2 Required Data

The gridding procedure requires, as a primary input, the plotted charts of population centers graphically showing the location and population of each enumeration district in each county of the subject AQCR. These charts are produced as output from the CAASE2 program, and are scaled to match an appropriate base map which presents topographic features, terrain characteristics, and political boundaries. The U.S. Geological Survey, 1:250,000 scale, maps have been very satisfactory as base maps for the gridding.

8.3 Procedure

While several approaches can be taken in preparing a grid for a multi-county AQCR, the following sequence has proved to be satisfactory. Alternative methods or explanatory information is given as appropriate.

a. Select appropriate Geological Survey 1:250,000 scale maps to cover all counties of the AQCR. Outline each county using a felt-tipped pen of contrasting color (blue has been satisfactory). On the edges of each map where they will adjoin other

- map sections, extend the Universal Transverse Mercator coordinate tick-marks into the map area (to preserve their location when the border is trimmed or unfolded).
- b. Orient, align, and join the maps required on a working surface of suitable size. ** Position maps for convenient use of a drafting machine or continuously parallel ruler. When orientation has been established with regard to the UTM coordinate system, secure the maps against further movement.
- c. Using the UTM grid system, draw and label gridlines on each separate map. One horizontal (east-west) and one vertical (north-south) gridline on each map should be sufficient. These gridlines are used to orient the county plots of population centers, and to serve as guides for properly joining adjacent maps. Accordingly, the same UTM coordinate gridline should extend from one map to another. Where an AQCR includes more than one UTM zone, the practice has been established within the CAASE programs of relating all coordinates to the westernmost zone. Thus, the UTM grid system of the western portion of the AQCR is extrapolated with straight lines over the eastern portion of the AQCR; UTM tickmarks on maps of the eastern portion of the AQCR are ignored. exception to this practice occurs if most of the area of the AQCR lies in the eastern UTM zone and a significantly smaller portion of the AQCR area is in the western UTM zone.
- d. Overlay the Geological Survey maps with tracing paper on which
 the grid square system will be drawn. Fasten down the tracing
 paper in a manner which will allow county population charts to be

^{*}Indicated by blue tick-marks at 10,000-meter intervals on the four edges of each U.S. Geological Survey 1:250,000 scale map.

^{**} A light-table, preferably with an illuminated area of approximately 3 x 3 feet, is considered essential as a working surface.

- inserted and aligned between the maps and the tracing paper. Trace the UTM grid lines constructed under c, above, onto the tracing paper as guides to proper register as work continues.
- e. Using the UTM grid, draw lightly a system of 10 km x 10 km coordinates over the entire AQCR. These will be used as guides to the construction of the detailed grid.
- f. Arbitrarily select an initial county and trace its border onto the tracing paper (blue pencil). Insert, orient, and temporarily affix the appropriate county population chart between the map and the tracing paper.
- g. Examine the relationship between the 10 km square grid drawn in e, above, and the county boundaries and the population centers. Visualize the 10 km grid displaced 5 km north, 5 km east, or both. Select the actual or visualized grid that will (1) most closely approximate the county boundary and (2) permit the largest number of rural population centers to be located near the center of a 10 km x 10 km grid square. If a displaced grid appears most appropriate for the county, construct it, again lightly in pencil, but retain the original 10 km x 10 km grid.
- h. Proceed to draw grid squares, using the 5 km, or 10 km square grid and the 5 km ticks plotted on the margins of the population chart as guides. Grid squares with sides less than 5 km long are constructed by direct measurement from an existing gridline. On the 1:250,000 scale map 4 mm equals 1 km.

Considerable judgement must be exercised in selecting the size grid squares to be used. Topography, urbanization, forestation, transportation systems and similar features depicted on the Geological Survey maps all influence the determination of the grid square system. Some isolated population centers can readily be

"framed" by squares with 10, 15, 20, 25, or even 30 km sides, without including other centers in the square. Whenever possible, these large grid squares should be used to keep the number of squares designated as small as possible. This saves both clerical time and computer requirements in later steps in the CAASE system. It is not essential that only one population center be enclosed in a grid square. Two or more population centers can fall into one grid square, provided that the map features (or more direct knowledge of the area being gridded) suggest that population distribution throughout the grid square is relatively uniform.

In gridding urban areas containing many enumeration districts, small size grid squares are used to provide a resolution compatible with the data points available. Small size squares are also used where necessary to provide a close approximation of the county boundary. However, even when the smallest practicable grid square, i.e. 1 km by 1 km, is used, occasional inclusion of population centers from the adjacent county occur, as do exclusion of population centers from the county being gridded. This is not considered serious since only small fractions of a percent of the total county population are involved.

Other occurrences of population centers falling outside
the county boundaries occur as a result of errors in the location
coordinates assigned to the center. Although these misplaced
centers are immediately apparent when the population center
chart is placed under the overlay on which the county borders
have been traced, determination of the source of error usually
is not feasible. If the misplaced center is not far from the
county border, and is not superimposed on a population center(s)
of the adjacent county the grid system being constructed possibly

may be expanded to include the misplaced center. In at least one instance, all centers within a county were obviously displaced — those near the county borders by greater distances than those near the center of the county area. This situation suggested an error in a factor related to distance from the county center and a proportional correction factor was determined and applied to all coordinates. Ad hoc decisions must be made for each location error situation occurring in the plotted population charts since the position coordinates cannot be readily traced to primary source data.

i. When the grid square system for one county has been completed, a contiguous county population chart is selected and the process is repeated. No particular pattern of county selection seems preferable. Gridding of an AQCR can be done with equal facility starting with a central county, or an eastern, western, southern, or northern border county.

Figure 23 is an example of a completed county grid.

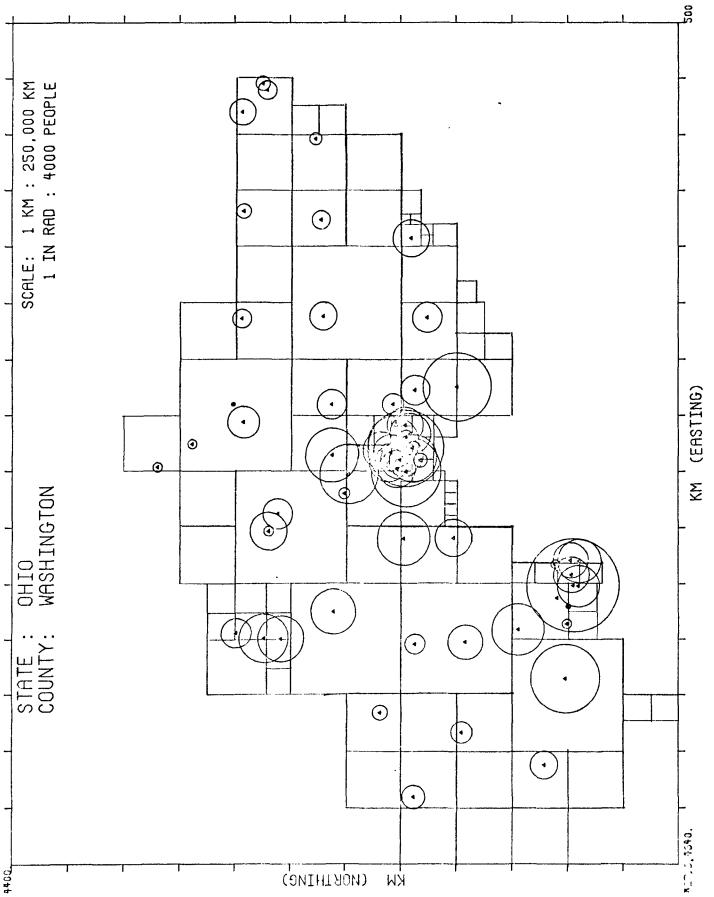


Figure 23. Example of a Completed County Grid, Washington County, Ohlo

9.0 OBJECTIVE APPORTIONING FACTORS AND SUBJECTIVE OVERRIDING WEIGHTING FACTORS

A basic concept in the development of the CAASE procedures was to use objective techniques, where possible, to select a grid and to apportion the area source emissions from county totals for each source category into each grid square. It was recognized that many of the source categories were amenable to apportioning as functions of the distribution of population and housing within counties. Ideally, objective methods could be used throughout the processing of area source emissions using computer processable data sources already available in computer compatible data bases. It was obvious that there were certain categories of usable data available for some counties which were not always available for other counties; further, that much of these data existed only in source document or tabular form, e.g. land use maps, traffic counts, airport operations activity, etc. A great deal of effort would be necessary to assemble these data and to incorporate them into a computer processable data base for objective apportioning. Such an effort, practical or not, was beyond the scope of the project undertaken. It appeared, however, that area source emissions for most categories were amenable to distribution by objective techniques to the grid squares of a county.

For convenience, the several fields of "fuel" data on the Area Source Input Form EPA (DUR) 219 3/72 have been sequentially numbered for category number, major classification, e.g. residential fuel, industrial fuel, etc., and minor classification, e.g. anthracite coal, bituminous coal, distillate oil, etc. Table 12 relates the category number to its major and minor classifications. Although the majority of emission source categories can be apportioned using objective techniques, provisions are made in the CAASE4 program to override any or all source categories for each grid square where available information indicates that the objective apportioning factor will not yield valid results. In order to facilitate the use of any available data for apportioning, the apportioning factor program (CAASE4) logic has been written to optionally input subjectively determined apportioning factors for any area source emissions category on EPA form number 219 (Durham 3/72) for any area source grid

TABLE 12. AREA SOURCE EMISSIONS CATEGORY NUMBERS AND THEIR OBJECTIVE APPORTIONING FACTOR

CATEGORY	MAJOR	MINOR	OBJECTIVE
NUMBER	CLASSIFICATION	MINOR CLASSIFICATION	APPORTIONING FACTOR*
1	RESIDENTIAL FUEL	ANTH. COAL	HOUSING UNITS
2	RESIDENTIAL FUEL		HOUSING UNITS
3		DIST. OIL	HOUSING UNITS
4	RESIDENTIAL FUEL	RESID. OIL	HOUSING UNITS
5	RESIDENTIAL FUEL	NAT. GAS	HOUSING UNITS
6	RESIDENTIAL FUEL	WOOD	HOUSING UNITS
7	COMM'L & INSTITL FUEL		POPULATION
8	COMM'L & INSTITL FUEL		POPULATION
9	COMM'L & INSTITL FUEL	DIST. OIL	POPULATION
10	COMM'I & INSTITE FUEL	RESID. OIL	POPULATION
11	COMM'I. & INSTITE FUEL	NAT. GAS	POPULATION
12	COMM'L & INSTITL FUEL COMM'L & INSTITL FUEL COMM'L & INSTITL FUEL	WOOD	POPULATION
13	INDUSTRIAL FUEL	ANTH. COAL	POPULATION
14	INDUSTRIAL FUEL	BITUM. COAL	POPULATION
15	INDUSTRIAL FUEL	COKE	POPULATION
16	INDUSTRIAL FUEL	DIST. OIL	POPULATION
17	INDUSTRIAL FUEL INDUSTRIAL FUEL INDUSTRIAL FUEL	RESID. OIL	POPULATION
18	INDUSTRIAL FUEL INDUSTRIAL FUEL	NAT CAS	POPULATION
19	INDUSTRIAL FUEL	NAT. GAS WOOD	POPULATION
20	INDUSTRIAL FUEL		
21			HOUSING UNITS
22	ON-SITE INCINERATION	RESIDENTIAL INDUSTRIAL COMM'L & INSTITL PESIDENTIAL	POPULATION
23	ON-SITE INCINERATION	COMM'L & INSTITL	POPULATION
24	OPEN BURNING	RESIDENTIAL	HOUSING UNITS
25	OPEN BURNING	INDUSTRIAL	POPULATION
26	OPEN BURNING	COMM'L & INSTITL	POPULATION
27	GASOLINE FUEL		POPULATION
28		HEAVY VEHICLE	POPULATION
29	GASOLINE FUEL GASOLINE FUEL DIESEL FUEL	OFF HIGHWAY	1/POPULATION DENSITY
30	DIESEL FUEL	HEAVY VEHICLE	POPULATION
31	DIESEL FUEL	OFF HIGHWAY	1/POPULATION DENSITY
32	DIECEI EHEI		
33	AIRCRAFT	MILITARY	AREA
34	AIRCRAFT		AREA
35	AIRCRAFT	CIVIL COMMERCIAL	AREA
36	VESSELS	ANTH. COAL	GRID SQ. SIDE LENGTH
37	AIRCRAFT AIRCRAFT AIRCRAFT VESSELS VESSELS VESSELS VESSELS	DIESEL OIL	GRID SQ. SIDE LENGTH
38	VESSELS	RESID. OIL	GRID SQ. SIDE LENGTH
39	VESSELS	GASOLINE	GRID SQ. SIDE LENGTH
40	EVAPORATION	SOLVENT PURCHASED	POPULATION
41	EVAPORATION	GAS MARKETED	POPULATION
42	MEASURED VEH MILES	LIMITED ACCESS RDS	1/POPULATION DENSITY
43	MEASURED VEH MILES	RURAL ROADS	1/POPULATION DENSITY
44	MEASURED VEH MILES	SUBURBAN RDS	POPULATION
45	MEASURED VEH MILES	URBAN ROADS	POPULATION
46	DIRT RDS TRAVELED		1/POPULATION DENSITY
47	DIRT AIRSTRIPS	• • •	1/POPULATION DENSITY
48	CONSTRUCT LAND AREA		AREA
49	ROCK HANDLG & STORAGE	•••	AREA
50	FOREST FIRES	AREA-ACRES	1/POPULATION DENSITY
51	SLASH BURNING	AREA-ACRES	1/POPULATION DENSITY
52	FROST CONTROL	ORCHARD HEATERS	1/POPULATION DENSITY
53	STRUCTURE FIRES	NO. YEAR	POPULATION
54	COAL REFUSE BURNING	SIZE OF BANK	AREA
			_

^{*}Each of the above apportioning factors is multiplied by a weighting factor where some are initialized as zero for all grid squares and some are initialized as 1.0 for all grid squares. These initial weighting factors can be overridden with input data if desired.

square in the county(ies) being processed. The most extreme use of this option would be to subjectively determine the fraction of the "fuels" for each and every area source emission category, for each and every grid square being processed, and thus override all the objective terms (apportioning factors) in CAASE4; the grid used could even be one developed independently of the CAASE system — CAASE4 would simply perform some calculations for the user and provide compatible inputs to CAASE5 which would then calculate emissions and apportion them with the apportioning factors output from CAASE4.

An attempt has been made to assign an objective apportioning factor to each area source emissions category reported on EPA form number (DUR) 219 3/72. Candidate objective apportioning factors available on the Bureau of the Census MED-X* tapes, include population, housing counts, and an urban-rural classification. After the grid is established, each grid square area and side length is also available. During the attempt to assign objective apportioning factors to all source categories, the conclusion was reached that some categories, e.g. apportioning of the residential heating source categories by housing counts, were quite amenable to objective methods, while others, e.g. airport operations, were not amenable to objective apportioning and should be subjectively determined and "overridden" (provided off-line as inputs) in all cases.

Although the CAASE system permits subjective weighting factors to be assigned for any source category, from a practical standpoint they should be limited to those categories where acceptable objective data, in a computer processable form, are not available. A large number of technical personnel man-hours could be expended to "better" apportion a source category which may contribute only one or two percent of the total area source emissions and as little as one-tenth of one percent of the AQCR's total emissions (when point sources are included). To apportion emissions

^{*}Master Enumeration District Listing (MEDList) extended to include geographic coordinates.

from airport operations as a function directly proportional to the area of each grid square introduces a small error; however, one can quickly determine which grid squares in a county contain airports, or appear to be affected by them. On the other hand, a large amount of time could be spent in preparing overriding weighting factors for railroad operations in an urban county containing a large number of grid squares and heavy railroad activity (e.g. St. Clair County, Illinois, in the Metropolitan St. Louis AQCR) where an objective apportioning factor may yield comparable results — to apportion railroad activity as a function of grid square size may introduce insignificant and therefore acceptable percentage errors.

An important point to keep in mind when assigning overriding apportioning factors is that the factor is developed by dividing the weight assigned to the particular grid square (for a particular source category) by the sum of the weights assigned to all grid squares in the county. Therefore, whether the total refers to housing counts, area, population, or a combination of these and/or other factors will in no way modify the total emissions in the county which are to be apportioned to the individual grid squares. For each source category, the apportioning value represents each grid square's proportional share of the county total. This apportioning value is used as a numerator for the fraction of total fuels or total emissions which will be apportioned to that particular grid square for that particular source category. To sum all of the fractions for a particular source emissions category associated with each individual grid square within the county would yield unity. In order to permit the overriding of any source category-grid square combination, the apportioning number which is used is actually the product of a weighting factor and an objective apportioning factor. The weighting factors for most source categories are initially set to 1.0 (others are set to zero) by the CAASE4 program for all grid squares, which essentially removes their effect on the apportioning; that is, apportioning is totally controlled by the objective apportioning factor (value) assigned by the program (e.g. housing units), because each grid square is given the weight of 1.0. However, in the case of airport operations (source category numbers 33, 34, and 35), the weighting factors for all

grid squares are initially assigned the value zero. This zero weighting factors assignment means that no emissions associated with aircraft operations will be apportioned to a grid square unless the technical personnel processing the county through the CAASE system assigns a non-zero weighting factor to a grid square(s). For aircraft operations the objective apportioning factor used is area which is then multiplied by the weighting factor (generally zero for most grid squares) to apportion the "fuels" and emissions into the grid squares where, in the user's opinion, aircraft operations contribute to pollution. Therefore, a grid square which has a large area would be apportioned more emissions than a grid square which has a smaller area (but both would have the same emissions per unit area), providing they both had equal weighting factor coefficients. The technical personnel dealing with emissions from aircraft operations could, for example, assign a 1.0 weighting factor to any grid square being affected by aircraft operations and leave the zero weighting factor in all other grid squares; the CAASE4 program would then apportion fuels and emissions strictly as a function of area; that is, a grid square of one square kilometer area would be apportioned only one twenty-fifth (1/25th) of the emissions apportioned to a five-by-five kilometer grid square representing 25 square kilometers. Or, the user could assign a value, e.g., 3.0, to the grid squares nearest an airport, 2.0 to the adjacent grid squares, and 1.0 to the furthermost grid squares being affected by aircraft operations, and leave zeroes in the remaining grid squares. This would cause both the area of the grid squares and their proximity to the airport (and aircraft operations areas) to be determining factors as to where these categories of source emissions should be apportioned within the county. It is possible, of course, to change the initialization value (in the CAASE4 program deck) for source categories 33, 34, and 35, and to simply assign a "default" weighting factor of 1.0. This would have the effect of apportioning the emissions and fuels from aircraft operations equally (emissions per unit area) throughout the county whereby grid squares with large areas would be apportioned proportionally larger portions of the emissions. would, in effect, be analogous to an additional background concentration factor when

used in a dispersion model. It is suggested that emissions from these types of activities be apportioned to grid squares which they affect where information and technical man-hours are available. The method of introducing overriding apportioning weighting factors is discussed in Section 5.3, Input Data — CAASE4.

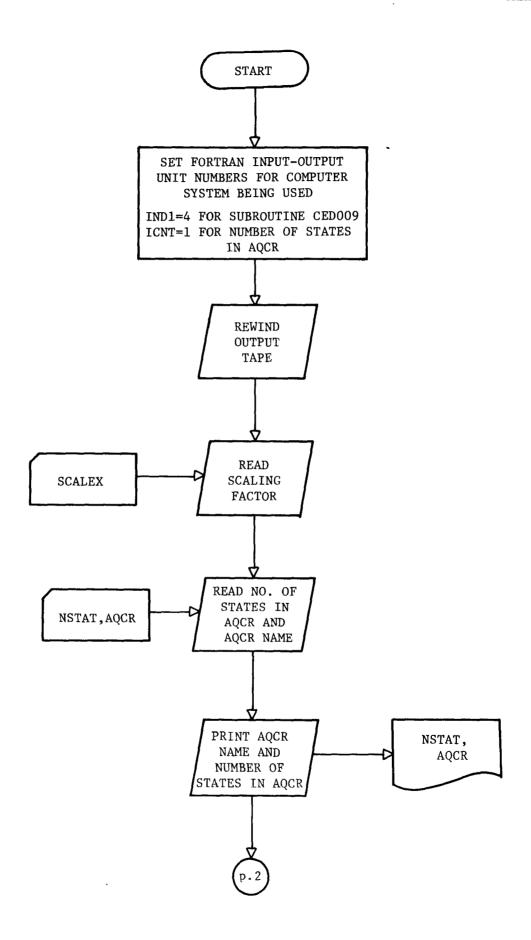
Source emissions categories, which can be described as linear, i.e. with only length considered, are objectively apportioned as being directly proportional functions of grid square side lengths. Emissions from railroad locomotives and waterborne vessels, especially in non-urban areas, should be apportioned based on the linear variable "grid square side length" rather than the second degree variable, area. Using railroad tracks as an example (with no consideration of the relative traffic activity), if a track(s) spans a grid square then, for source emissions apportioning purposes, the basic consideration is the length of the track(s) lying within the grid square being considered. Any objectively assigned apportioning, or subjectively determined weighting, factor will be used for the later determination of the grid square's fractional contribution to the county total source emissions for railroad locomotive categories. With these goals in mind, the largest distance across a grid square is the diagonal distance through the opposite corners (e.g. northwest corner to southeast corner), and mathematically is the square root of twice the square of the side length, or simply $d = \sqrt{x^2 + y^2}$ where x and y are equal and for which the distance becomes $d = \sqrt{2x^2}$ or $\sqrt{2}$ x. The constant $\sqrt{2}$ appears for each grid square in any summation for all non-zero apportioned grid squares and can therefore be dropped when weighing each grid square's contribution to the county total. If railroads are to be assigned subjectively determined (or alternative objective) overriding weighting factors when processing a county, then the following approaches are suggested: A scale of zero to ten (or any convenient scale) can be established whereby, for each grid square, the overriding subjectively determined weighting factor is a combination of the number of tracks crossing the grid square and what fraction of the maximum possible distance across the grid square each track represents. A single railroad track crossing the grid square coincident with one of the maximum possible distances could then, for example, be assigned the overriding apportioning factor of 1.0; two

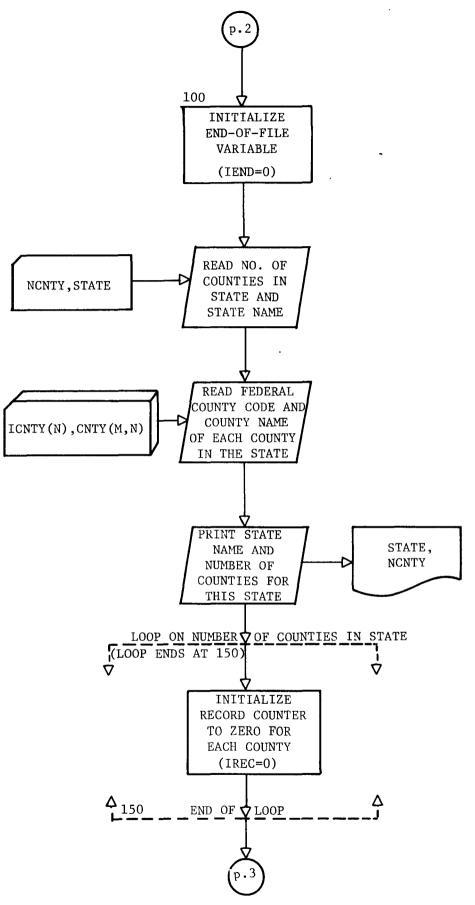
parallel tracks crossing there could then be assigned the value 2.0. One track crossing a grid square along a path one-half the distance of the maximum possible distance could be assigned the overriding factor 0.5 and if two tracks were being considered, then they could be assigned twice this value, i.e. 1.0.

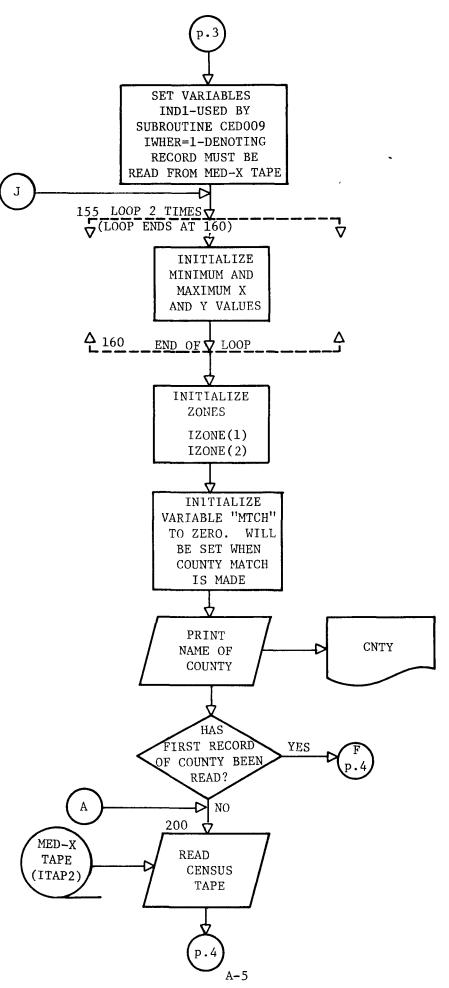
As explained in other sections of this manual, a great deal of resolution (sophistication) can be introduced in the assignment of subjectively determined (or alternative objective) overriding weighting factors, but the CAASE system's user should weigh the anticipated benefits in resolution and accuracy versus the gross assumptions of annual averaging of total emissions, meteorological conditions, and the source category's percentage contributions to the total emissions; an unwarranted amount of technical-personnel time could be expended to obtain fractional percentages of change in the total air quality modeling outputs as functions of the apportioned area source inputs.

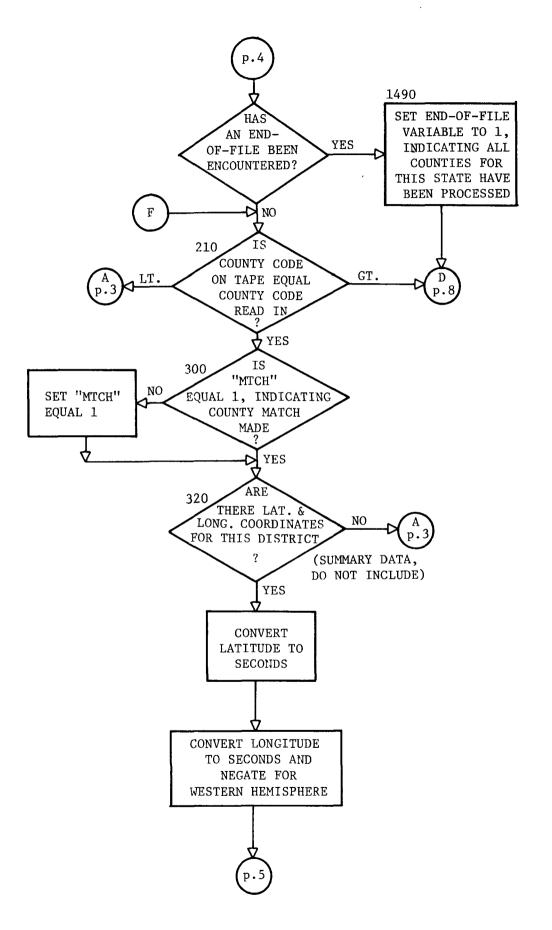
APPENDIX A

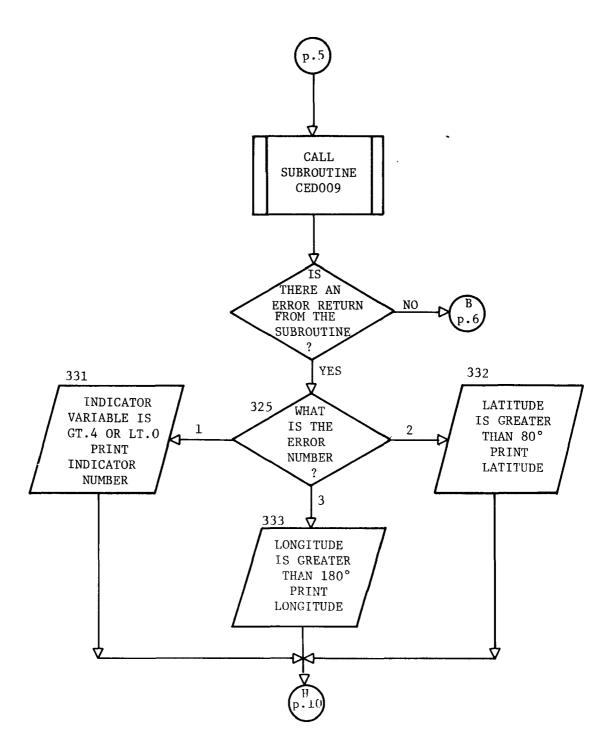
LOGICAL FLOW CHARTS - CAASE1 (and Subroutines)

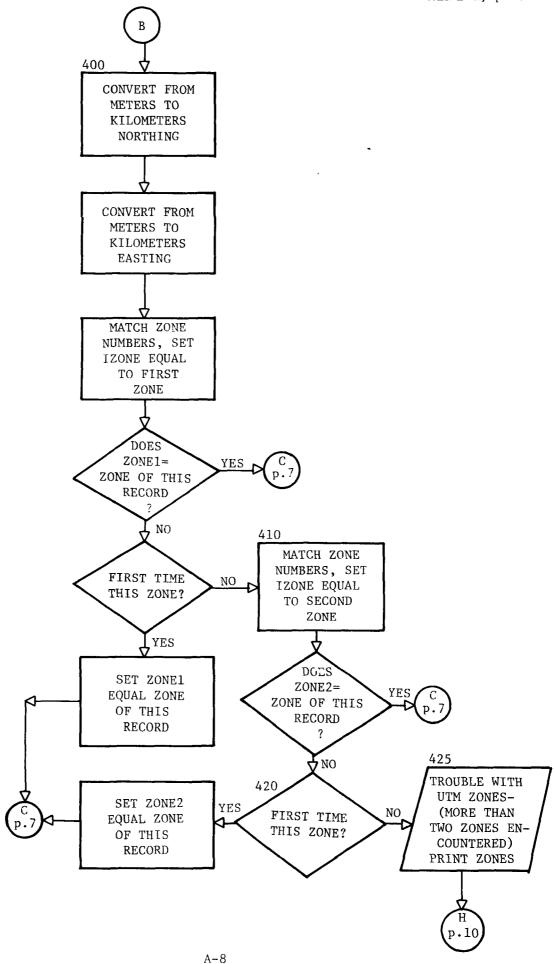


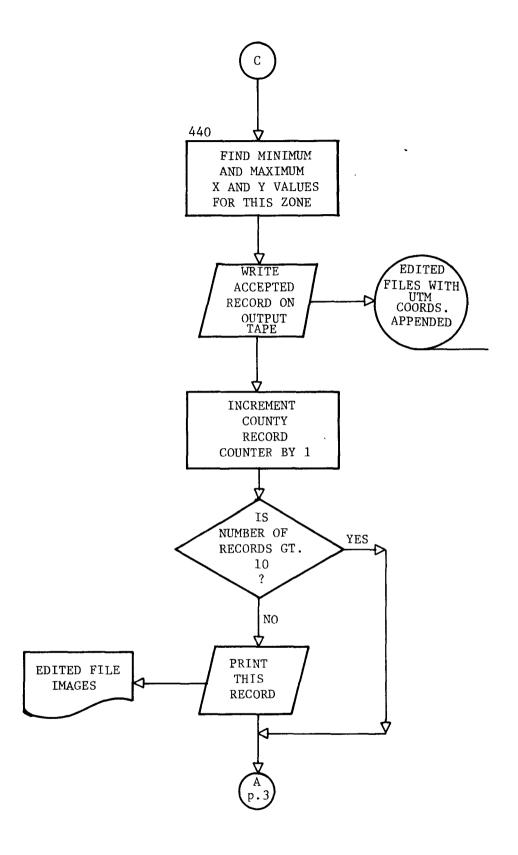


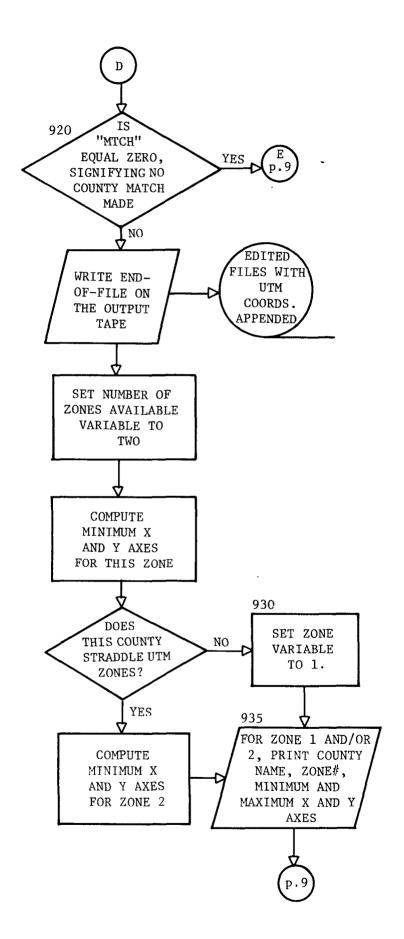


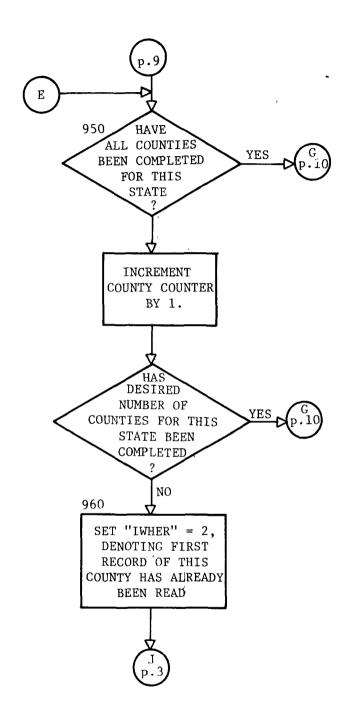




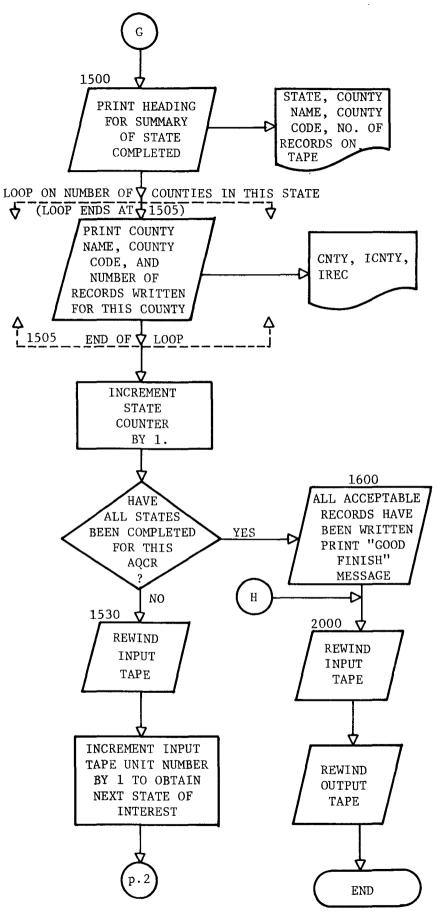


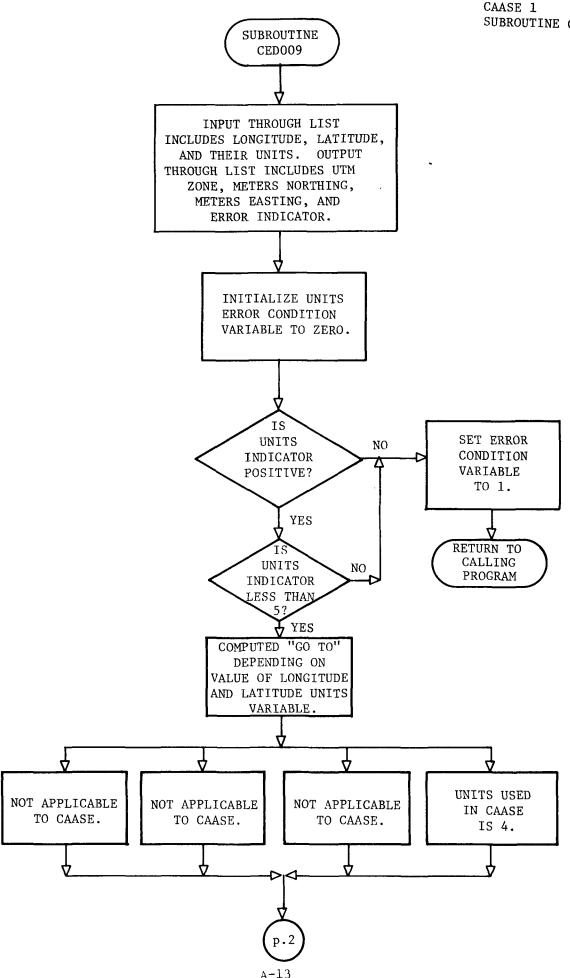


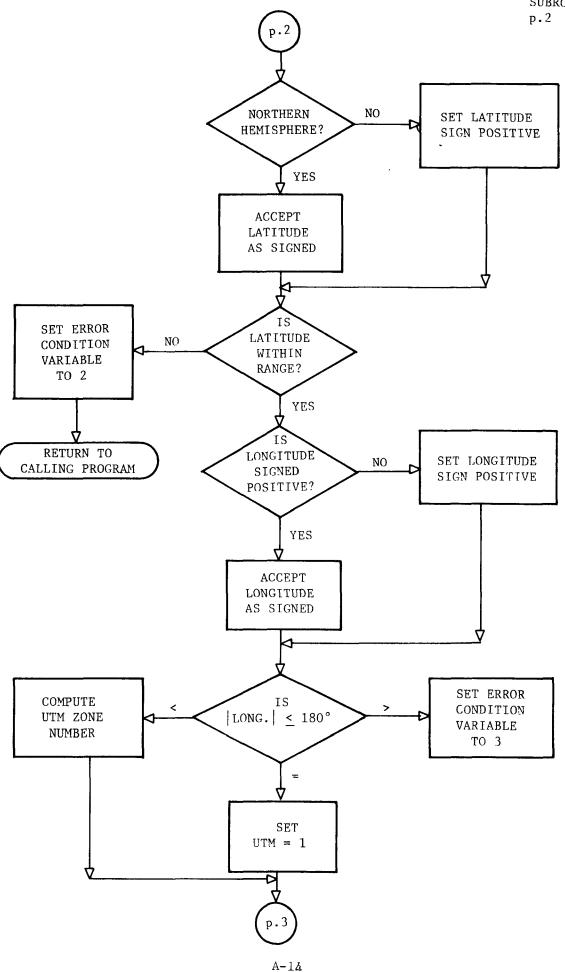


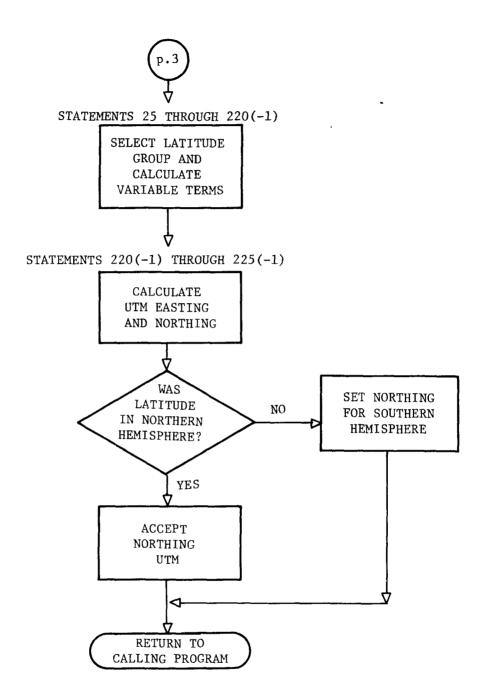


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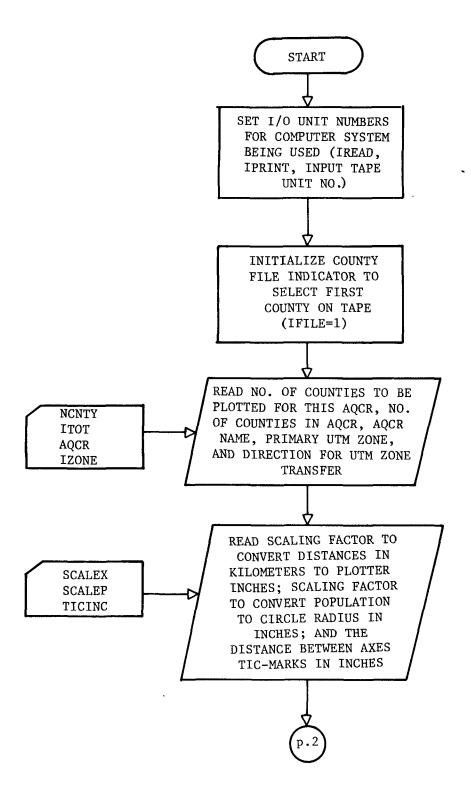


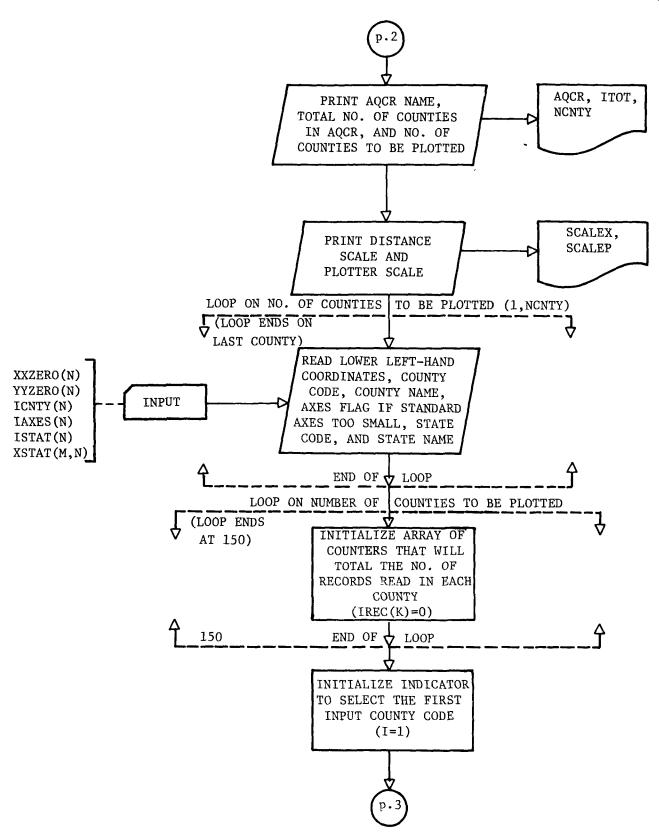


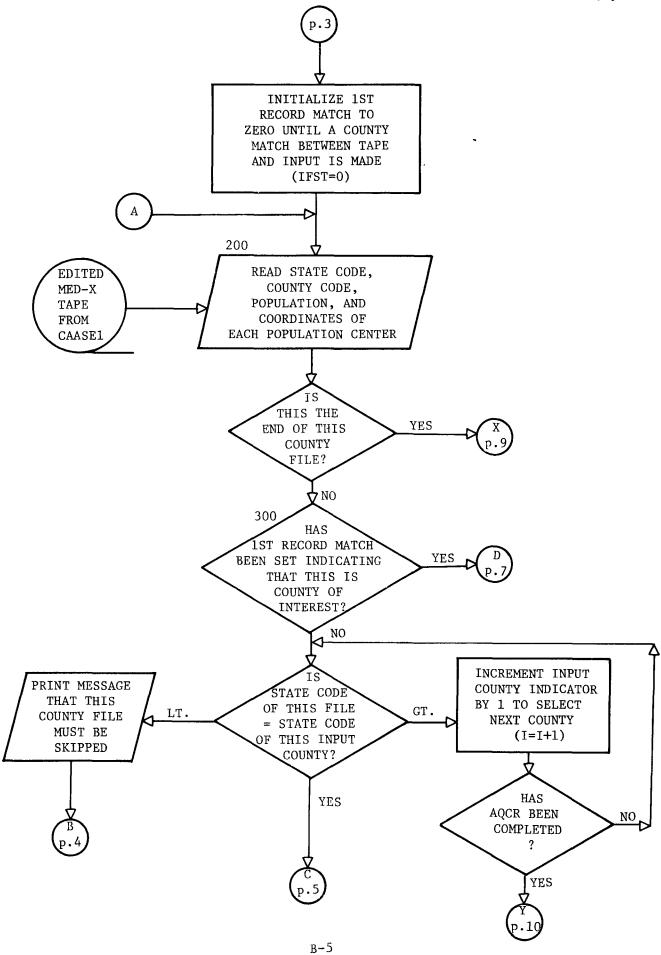


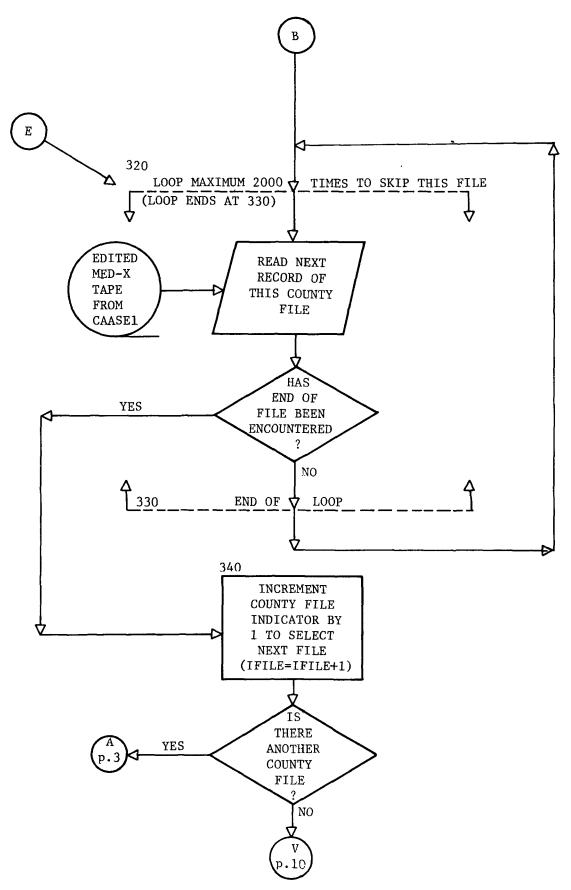
APPENDIX B

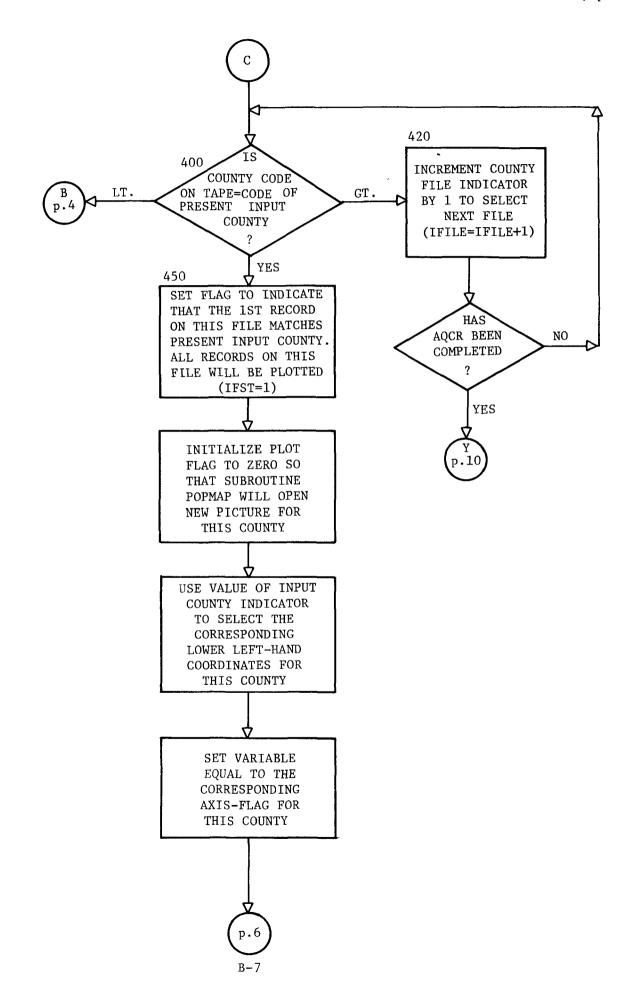
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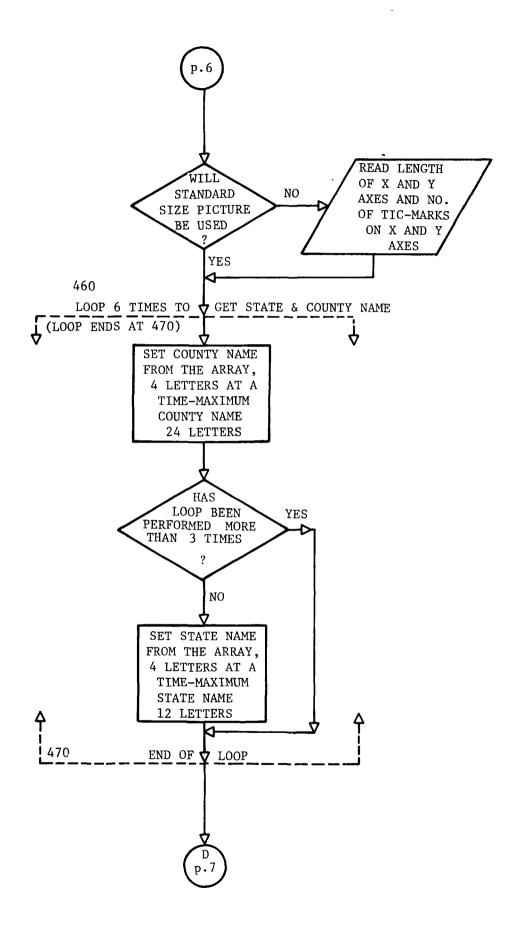


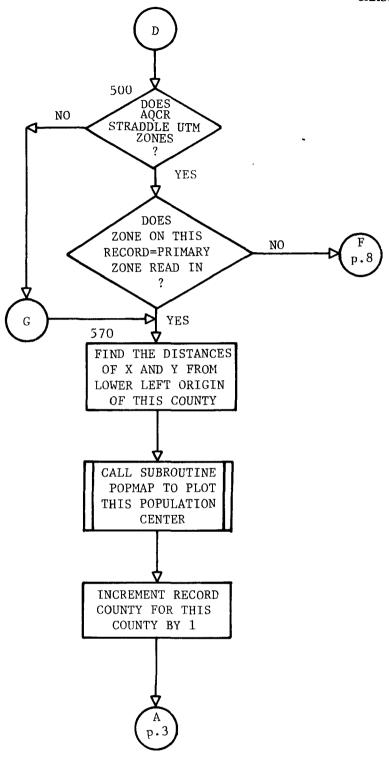


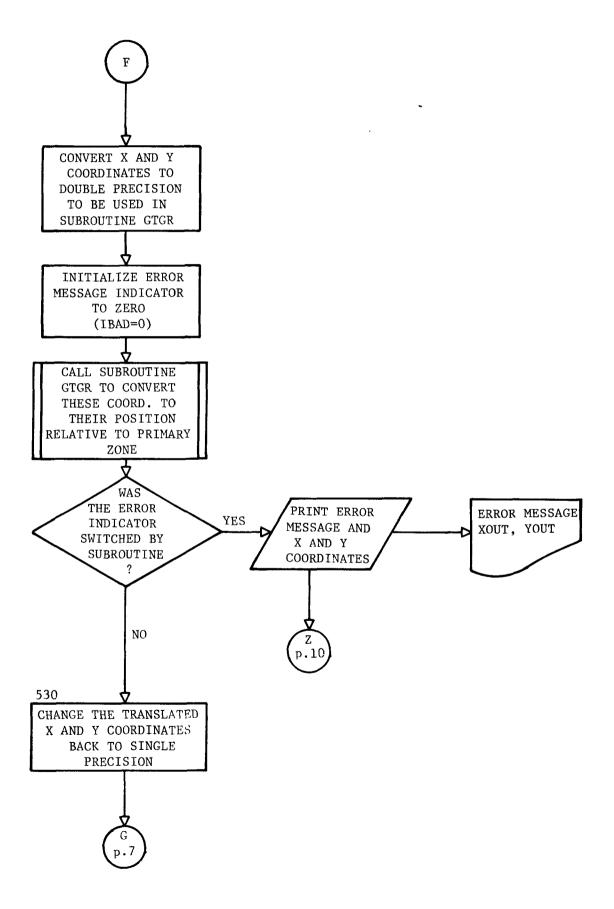


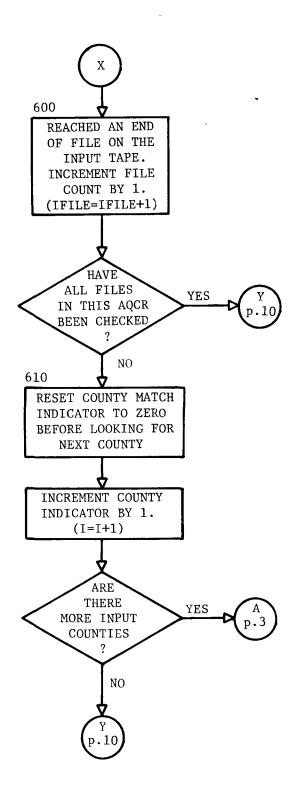


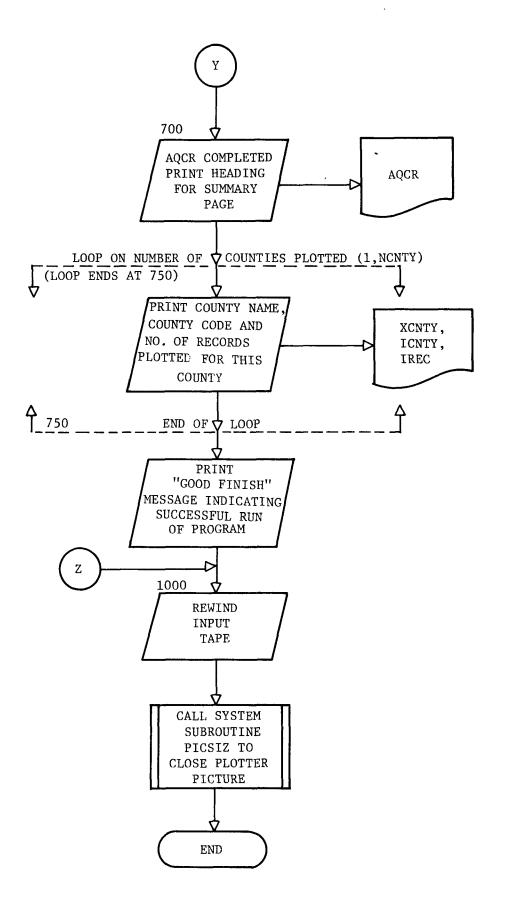


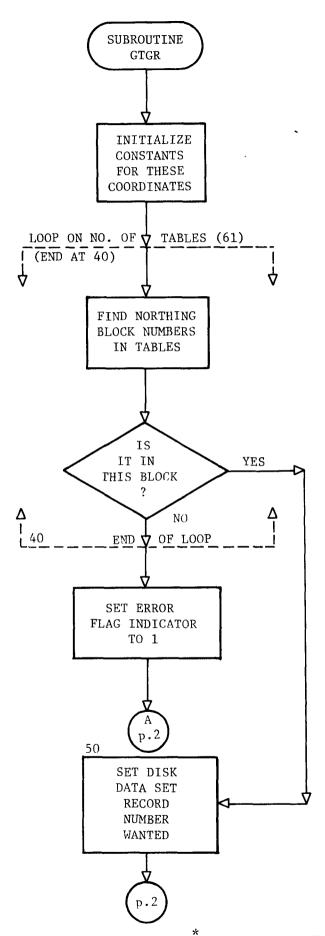


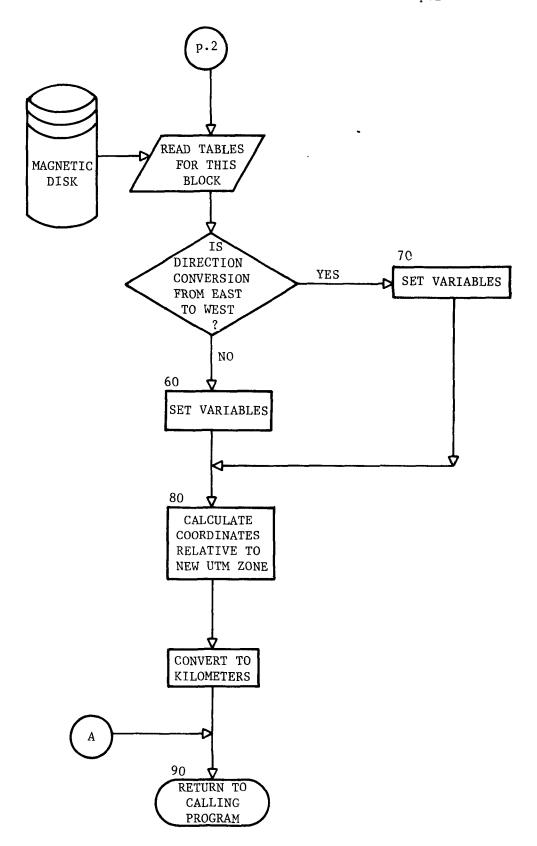


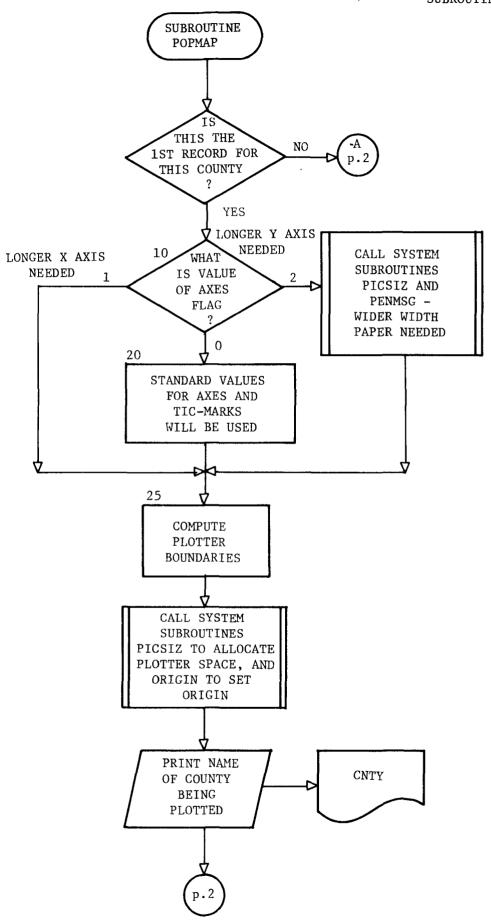




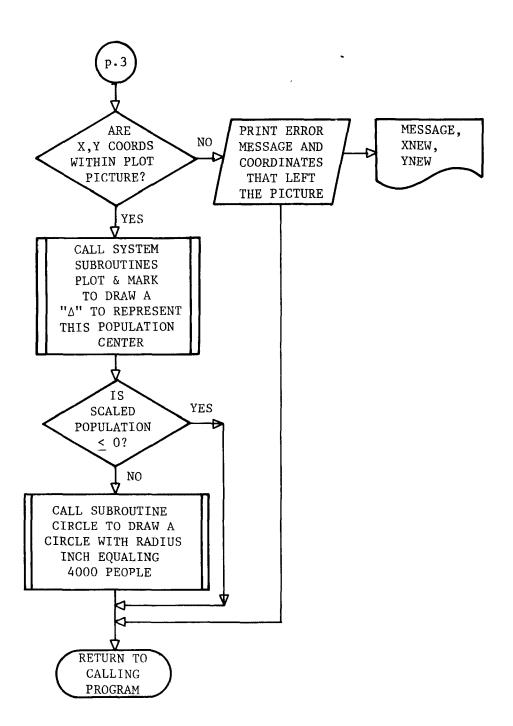


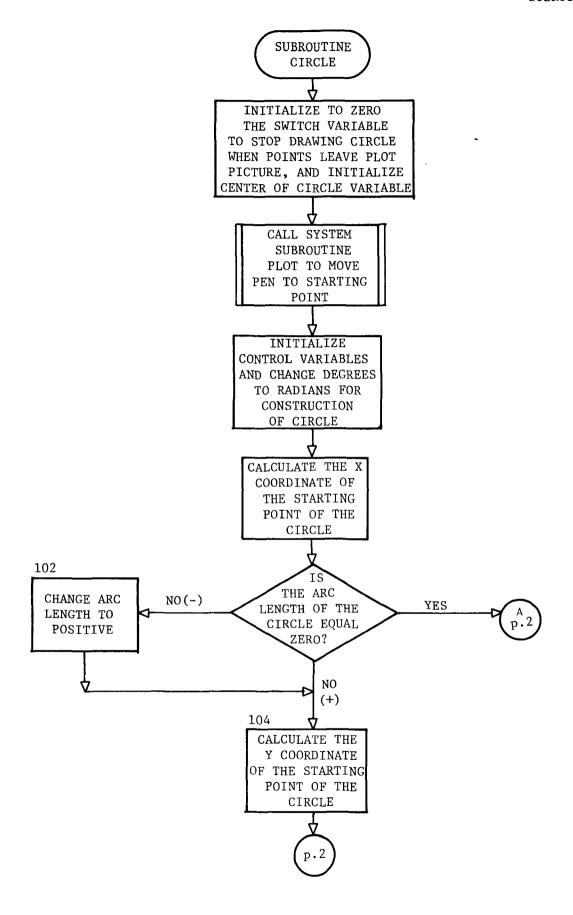


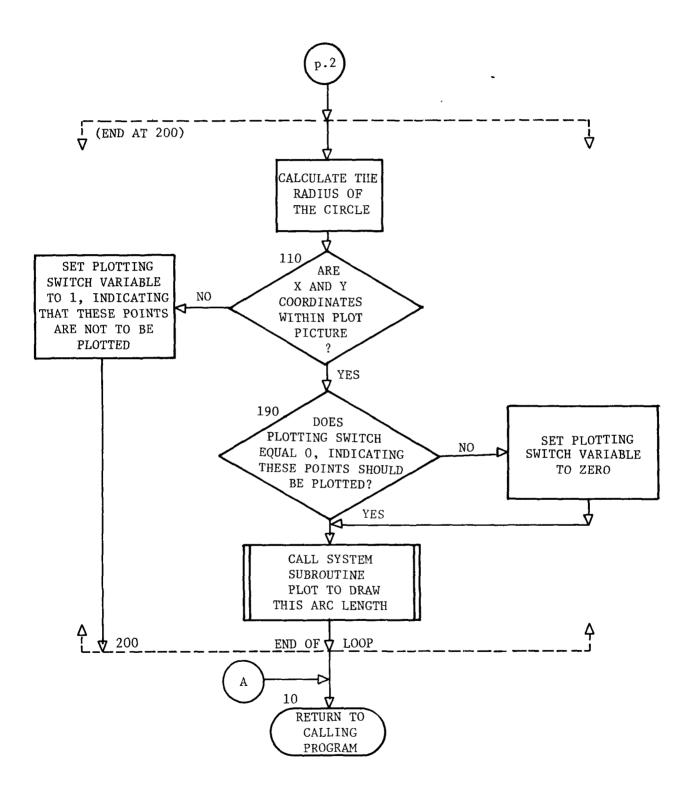




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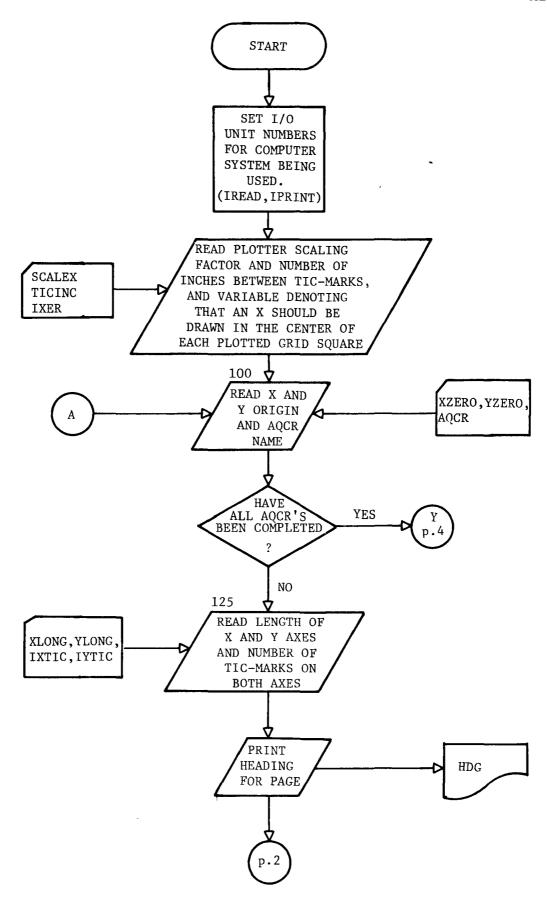


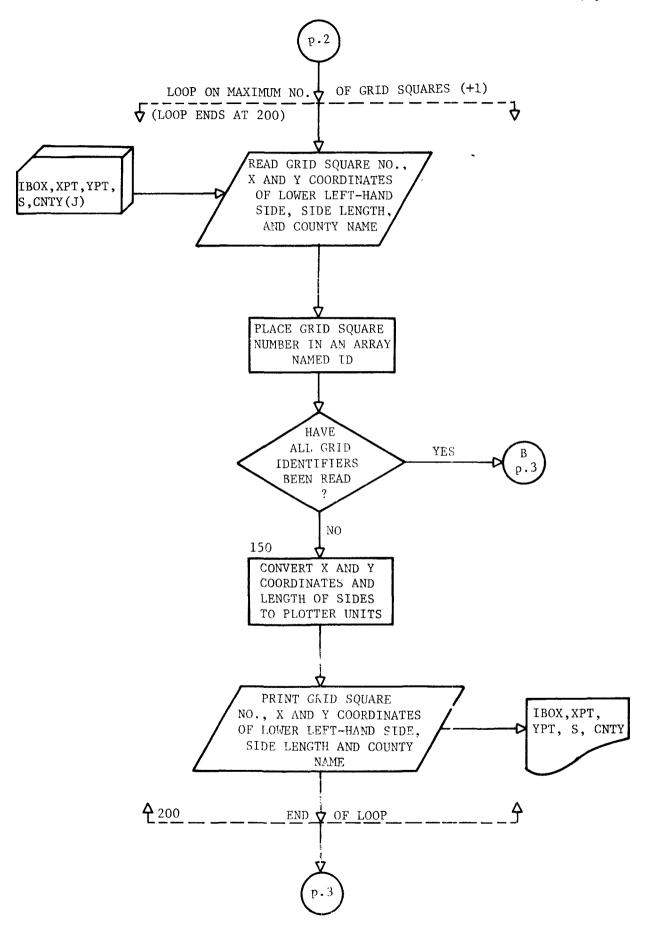


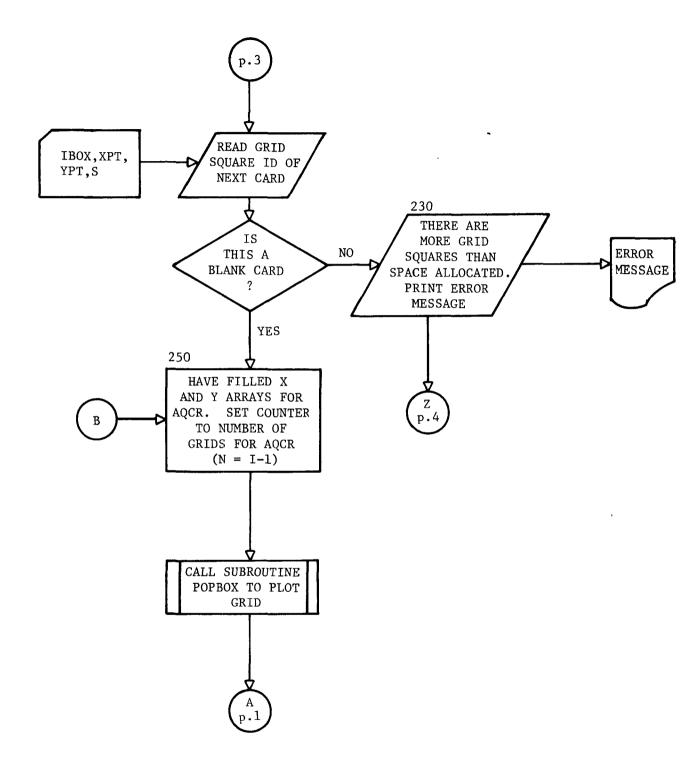


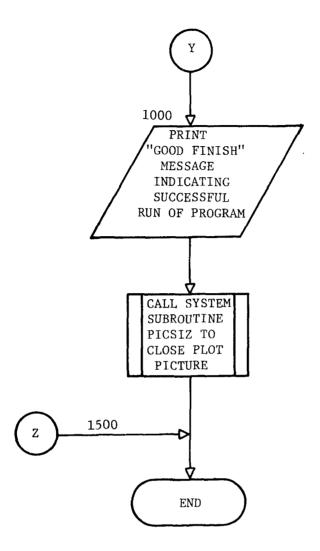
APPENDIX C

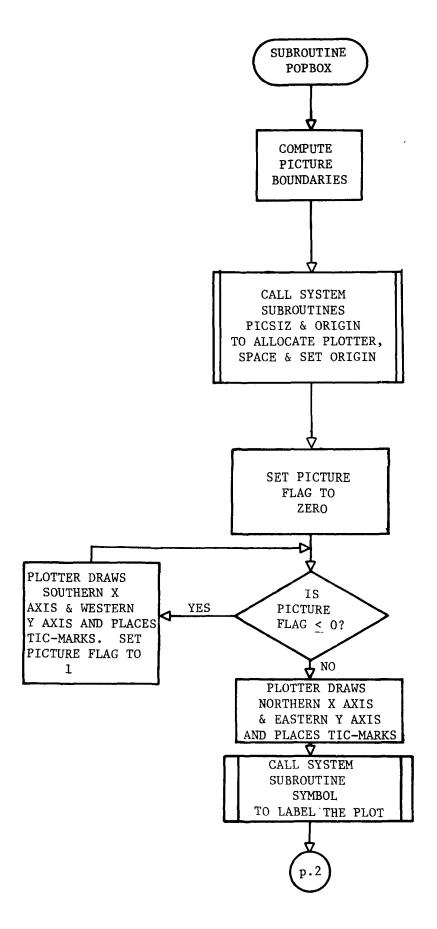
LOGICAL FLOW CHARTS - CAASE3 (and Subroutines)

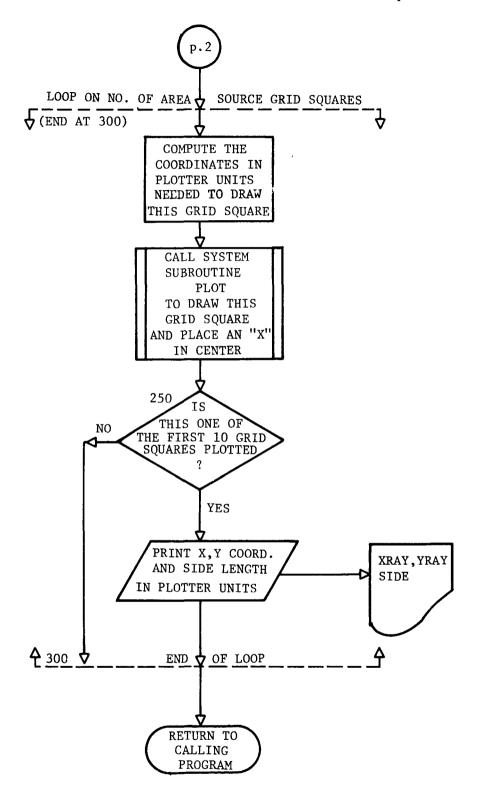








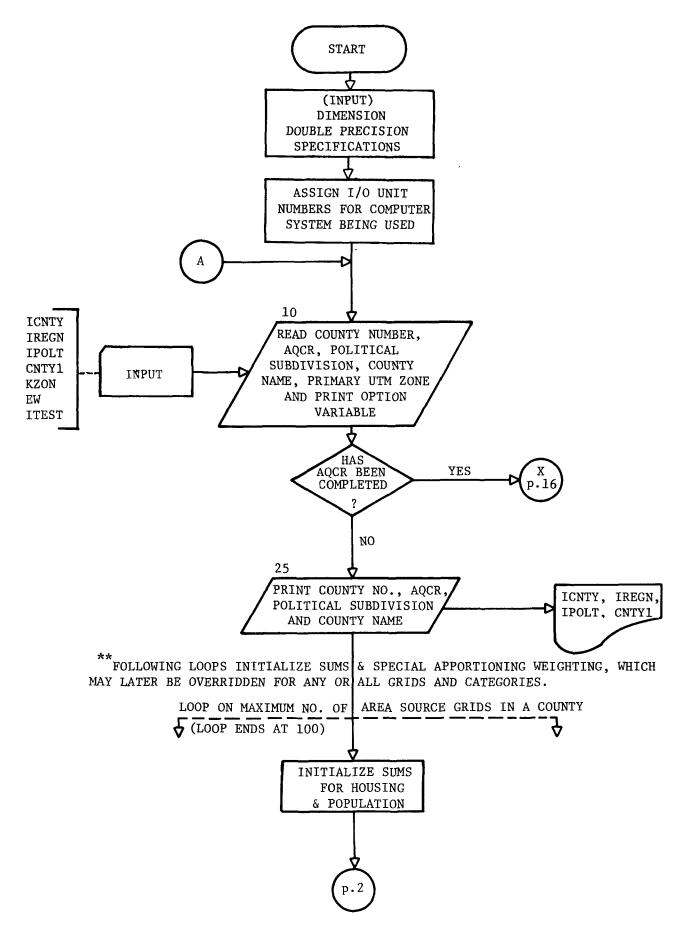


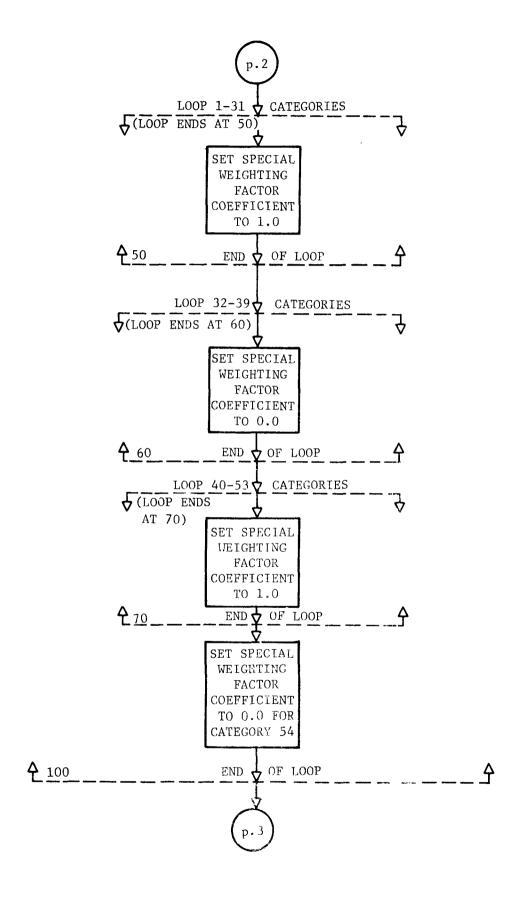


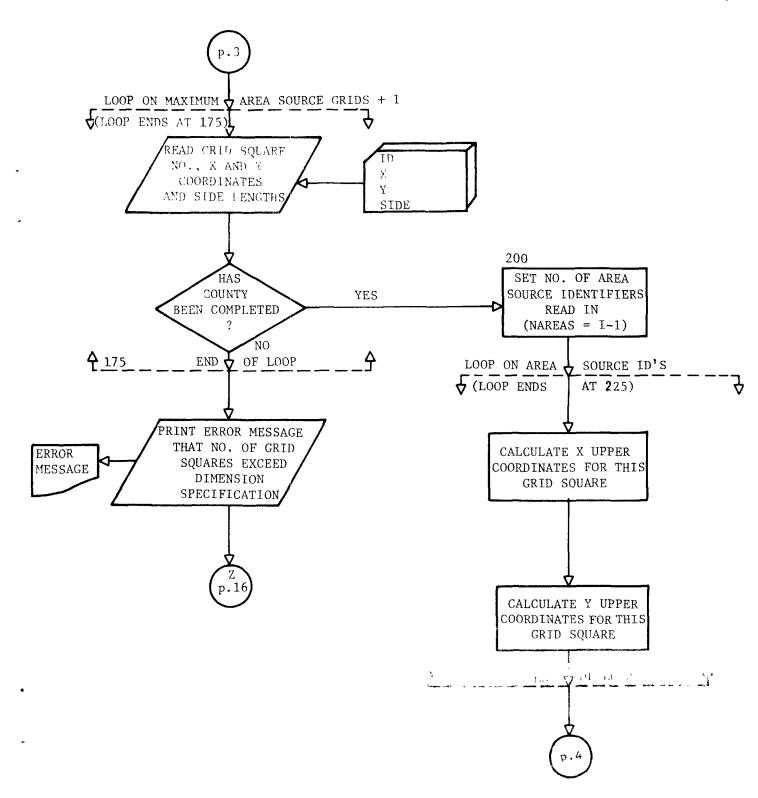
APPENDIX D

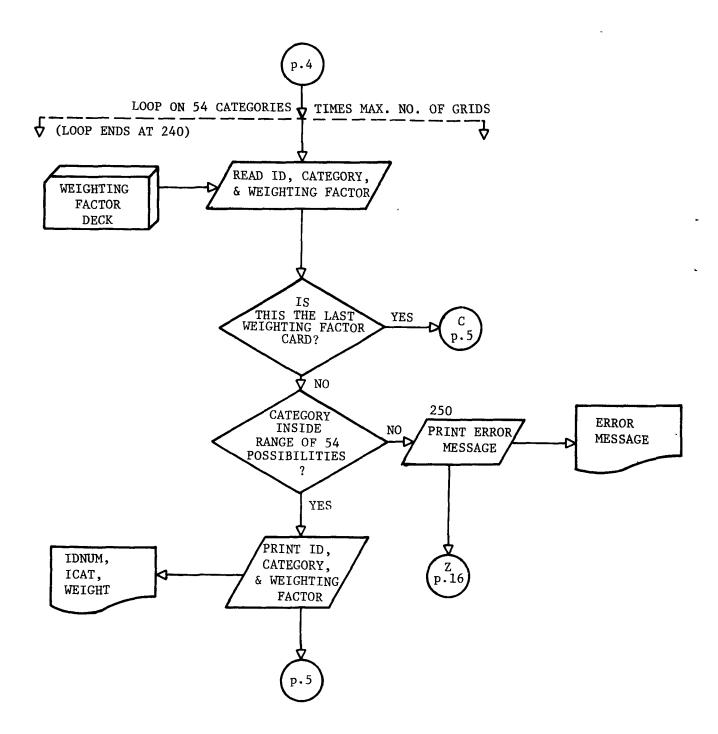
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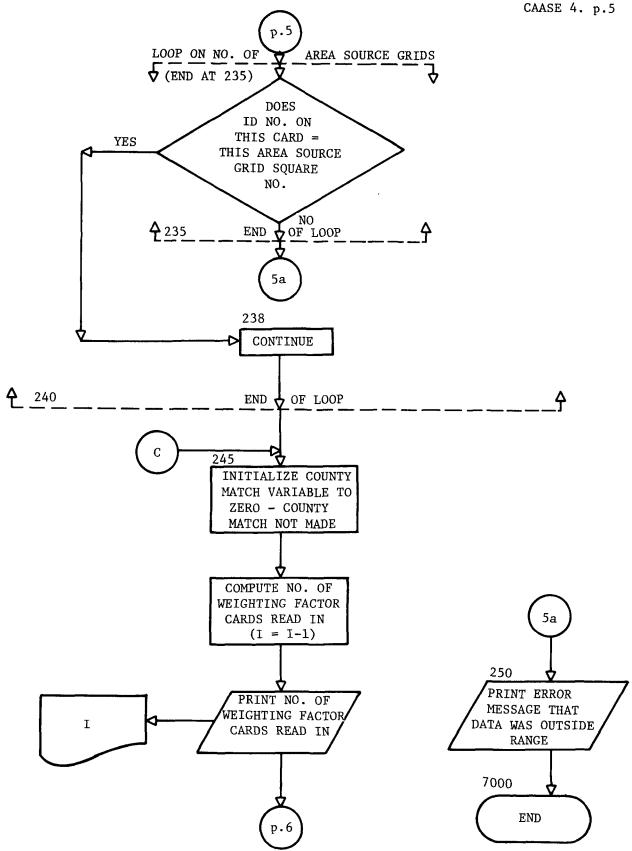
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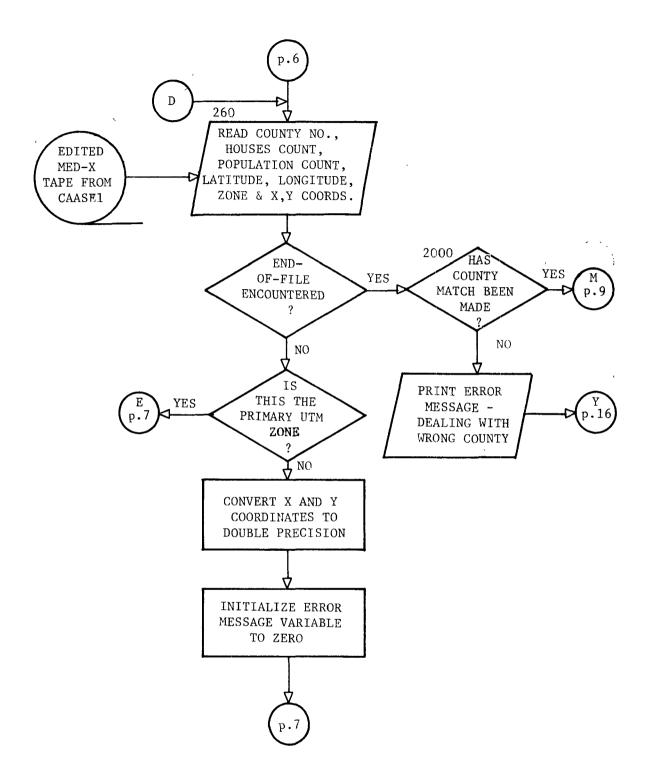


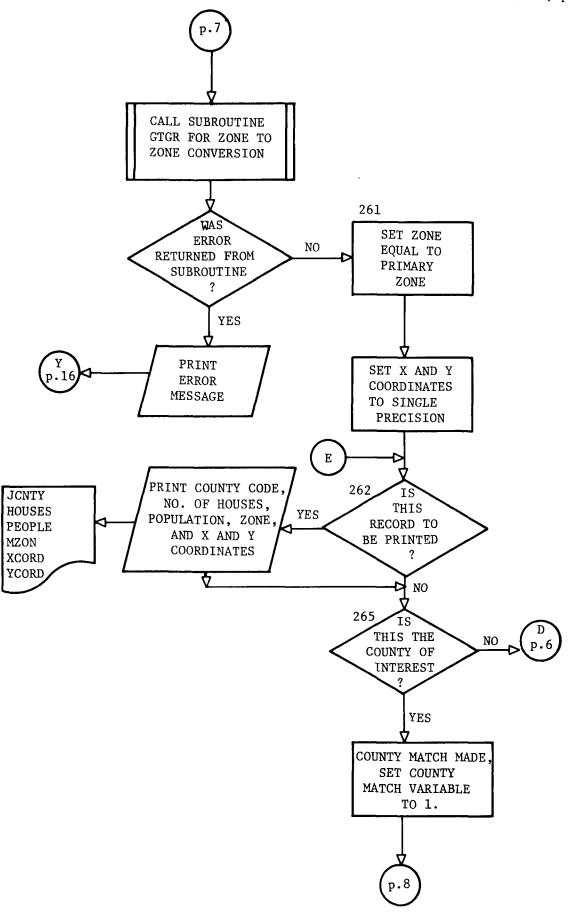


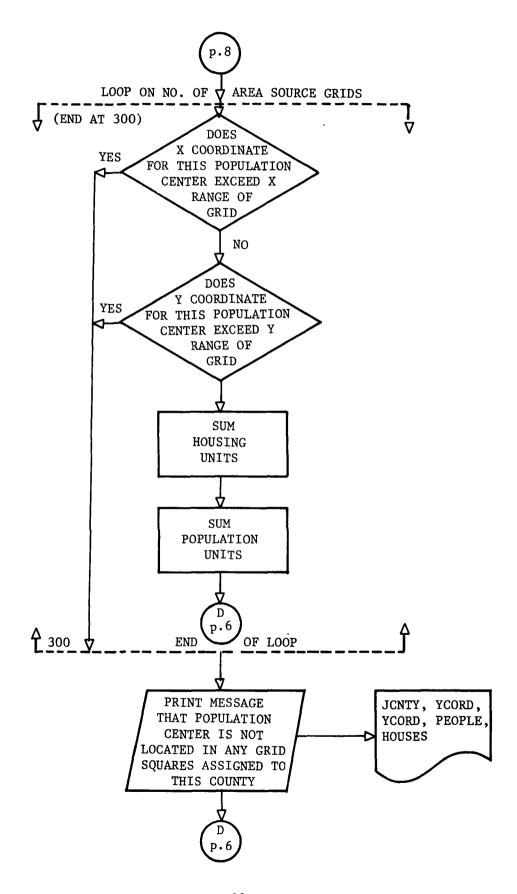


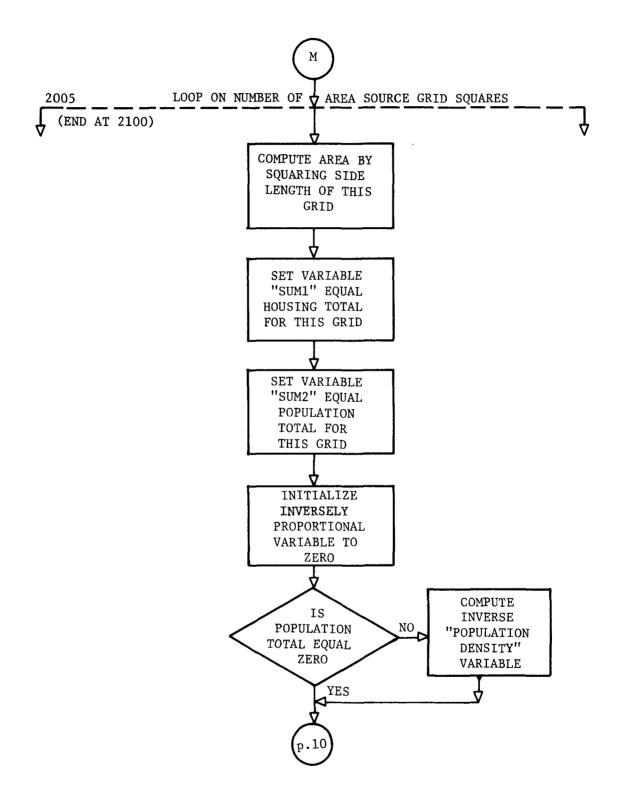


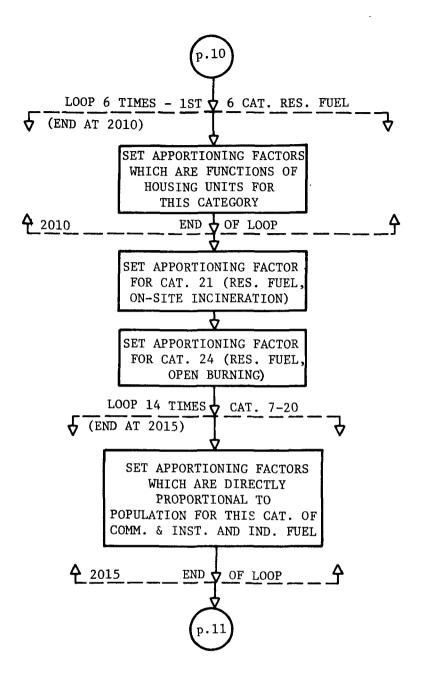


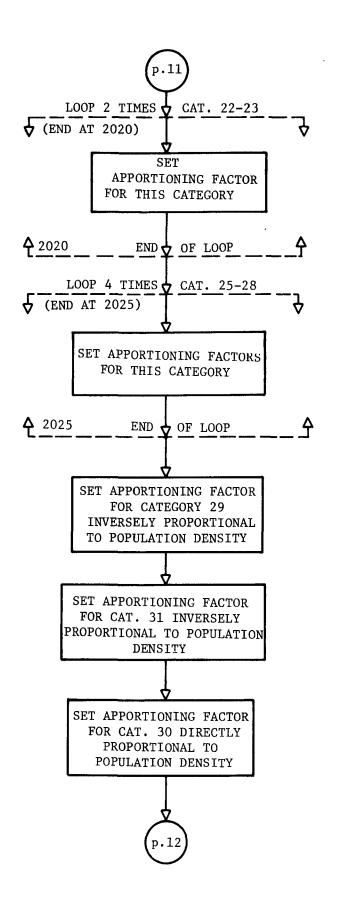


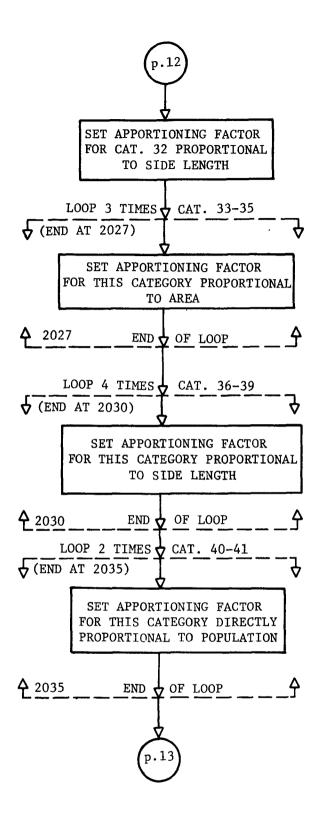


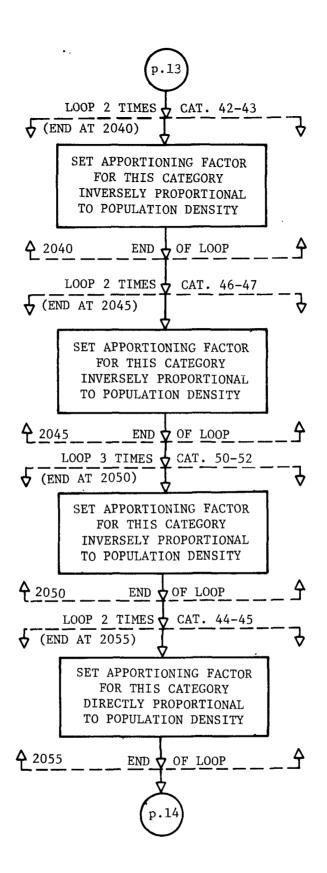


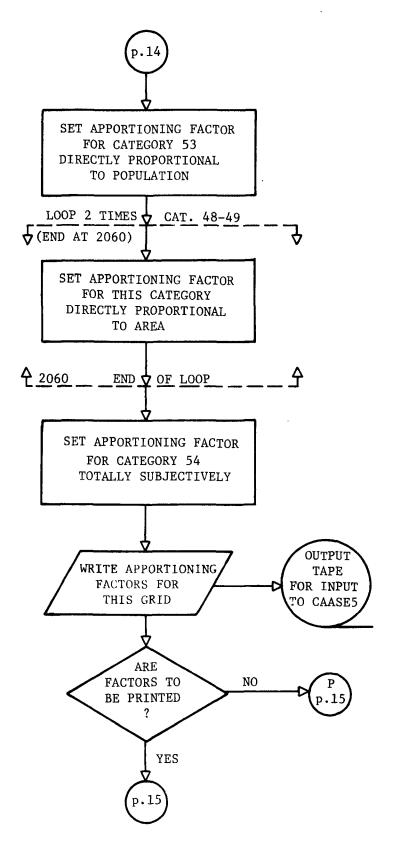


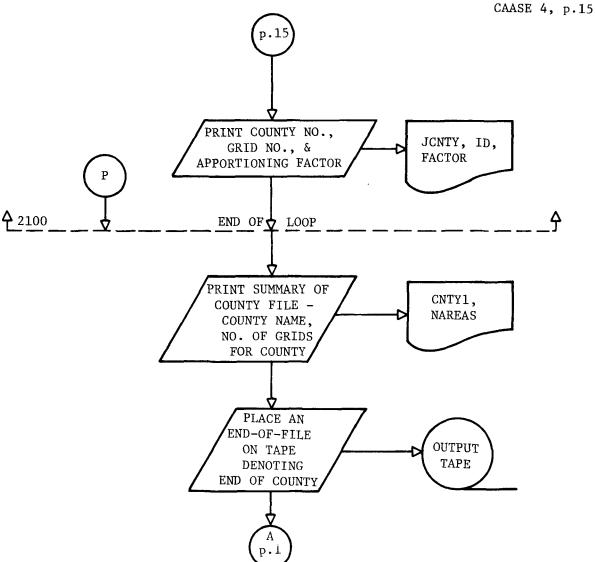


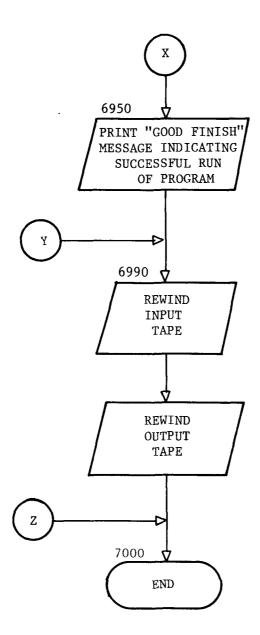






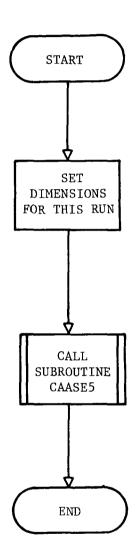




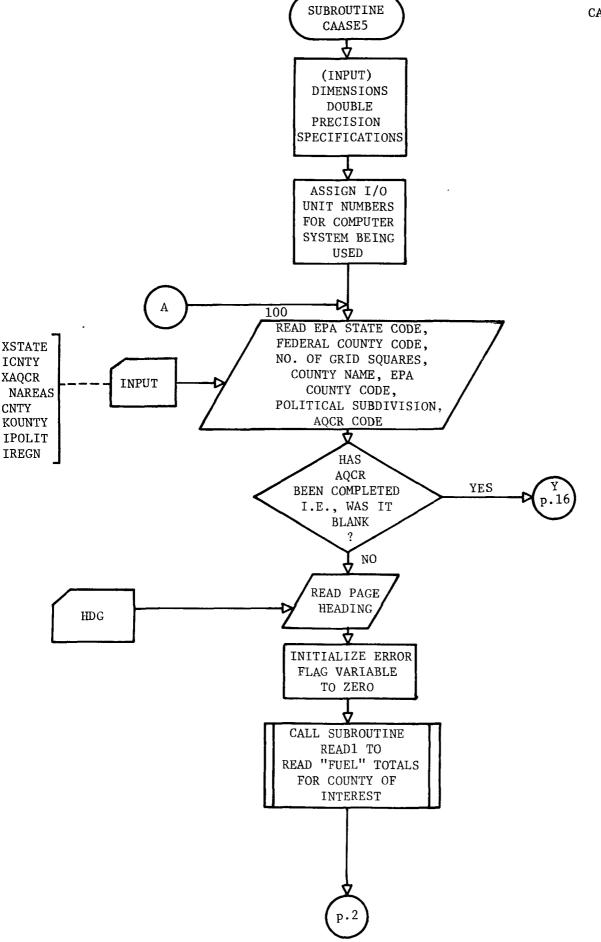


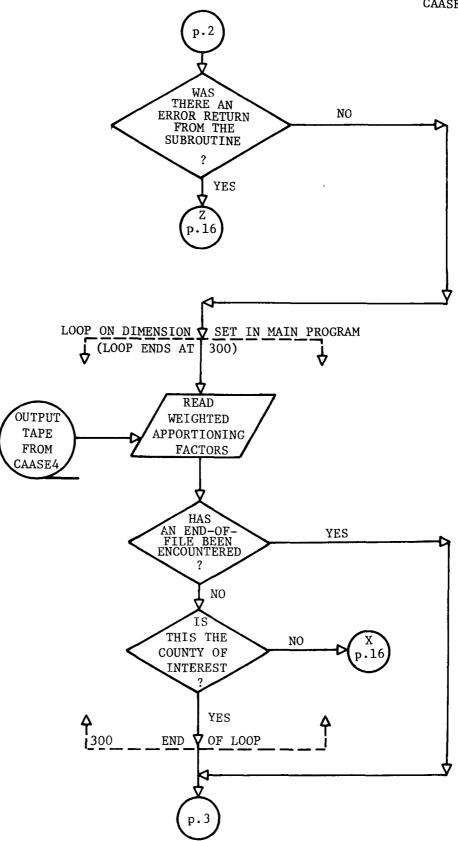
APPENDIX E

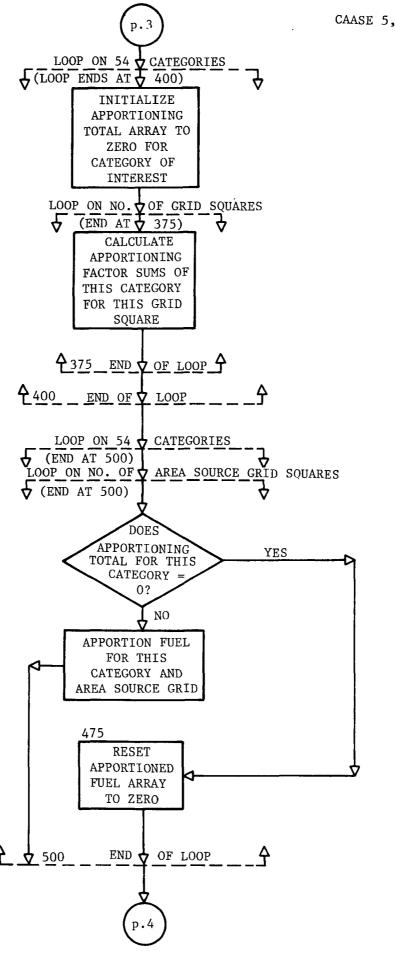
LOGICAL FLOW CHARTS - CAASE5 (and Subroutines)

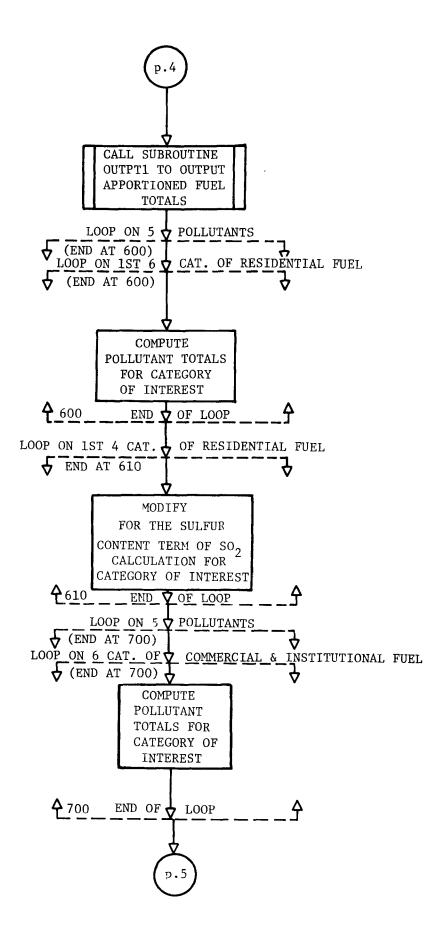


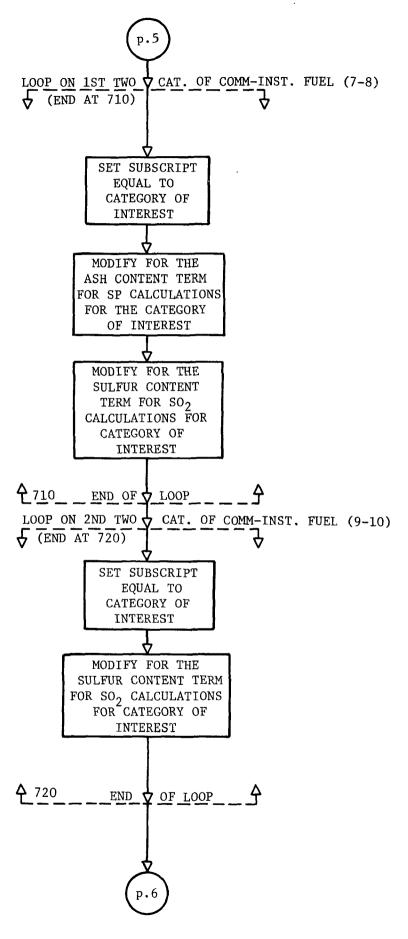


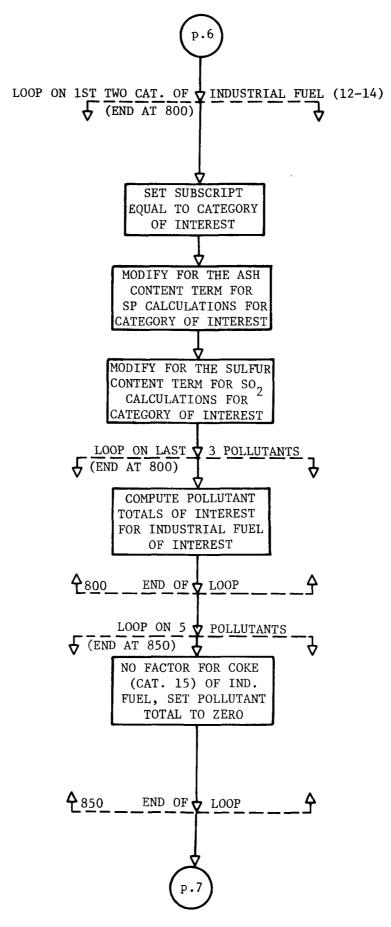


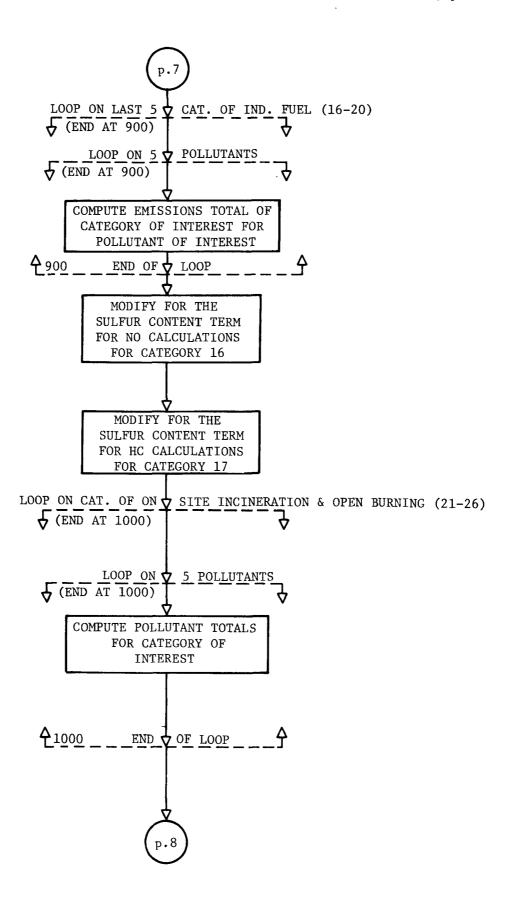


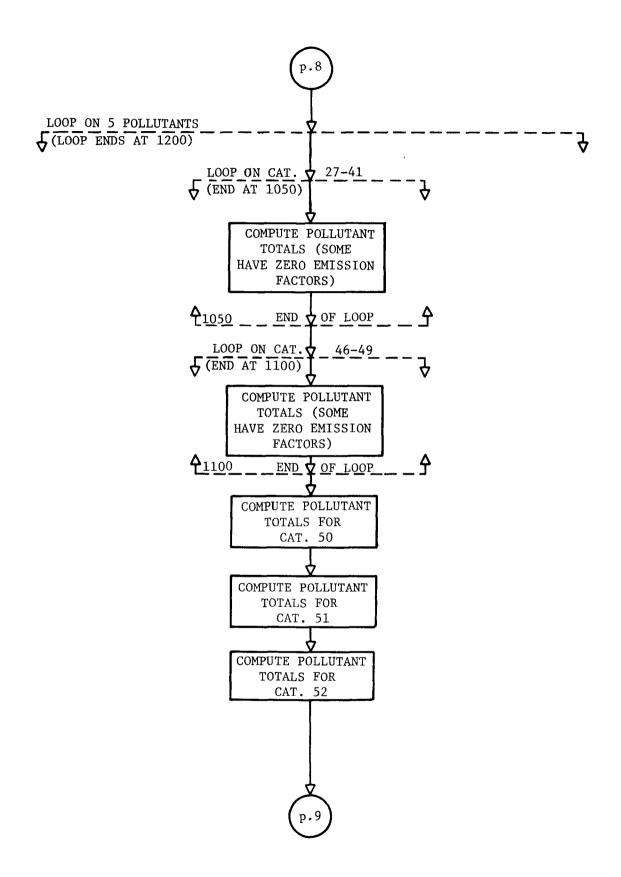


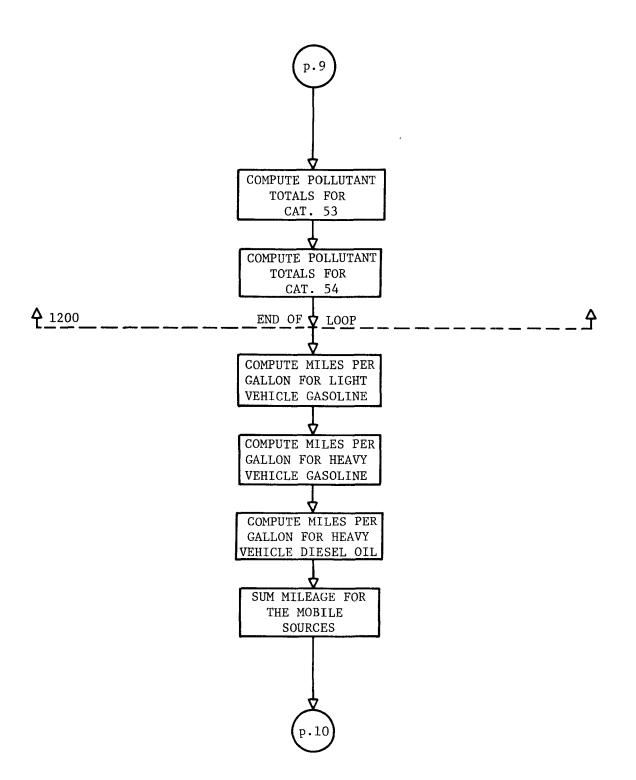


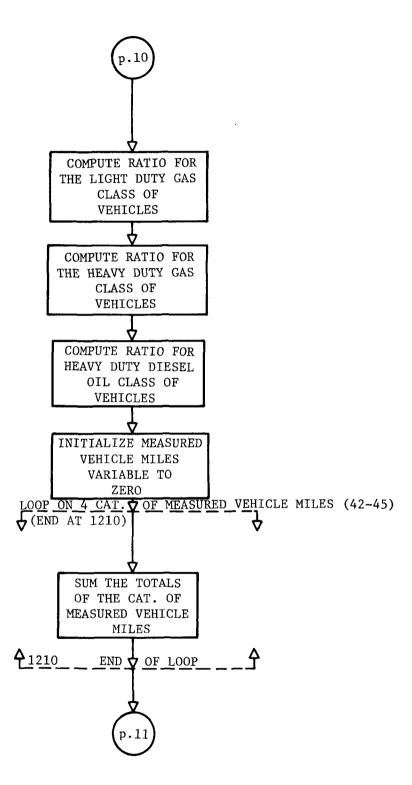


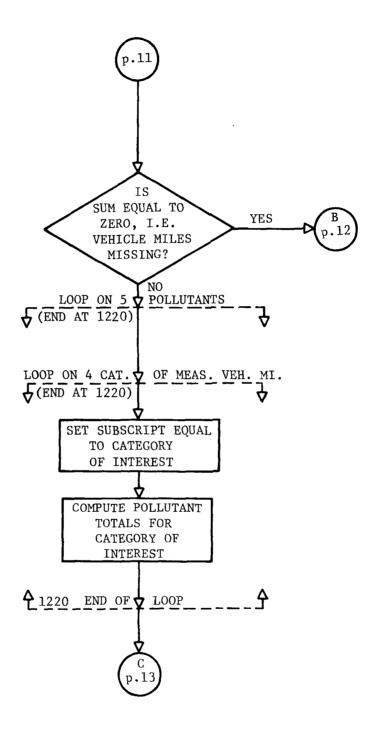


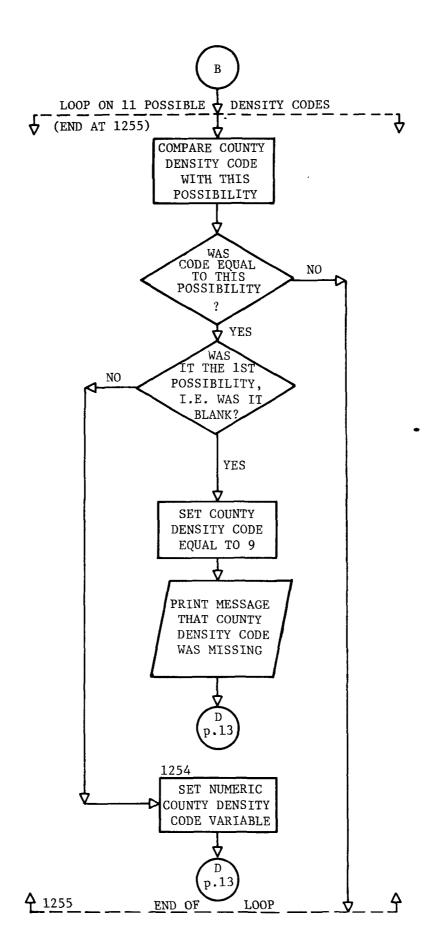


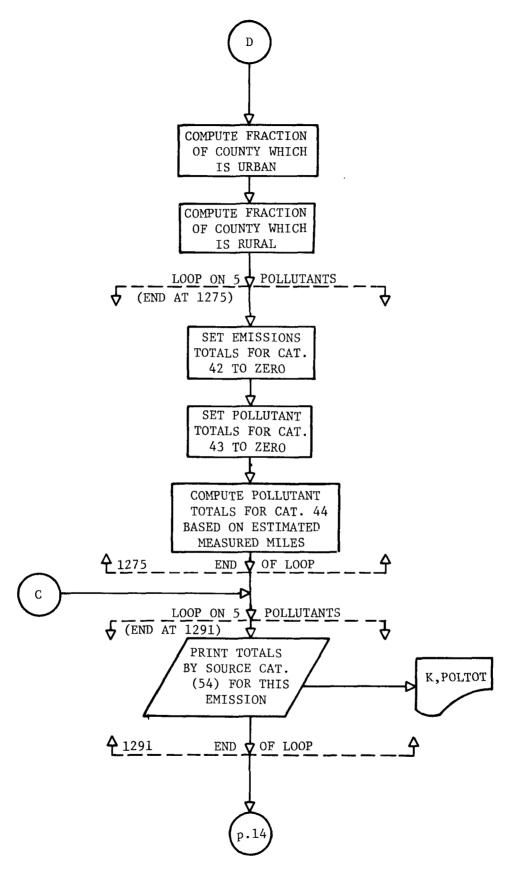


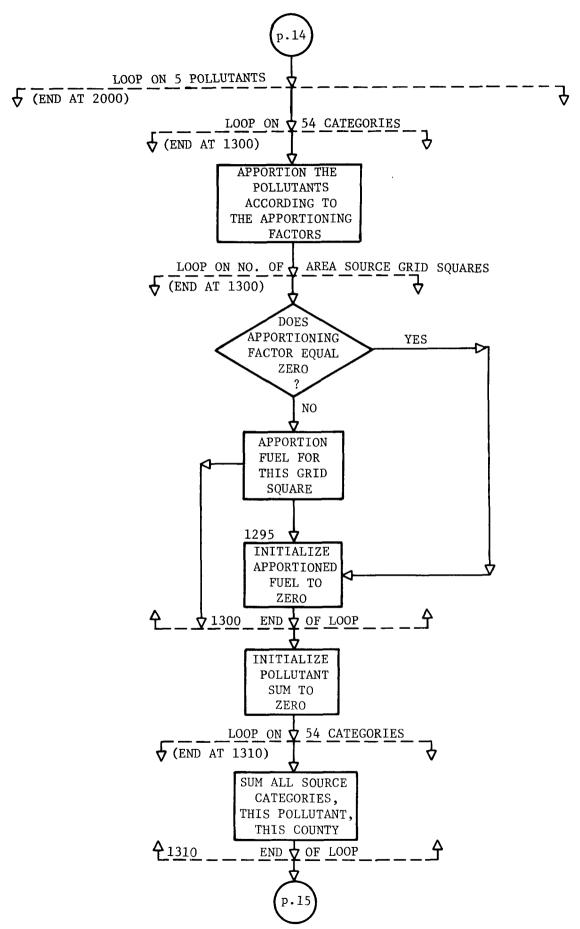


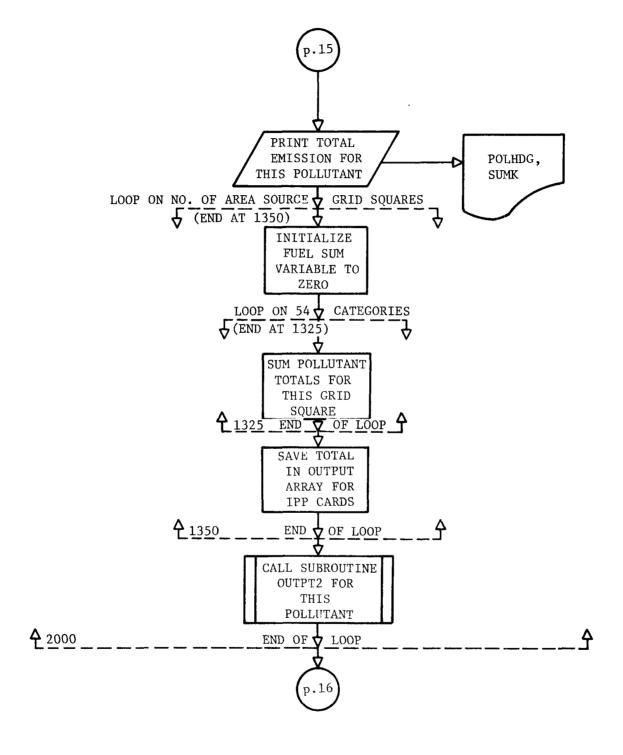


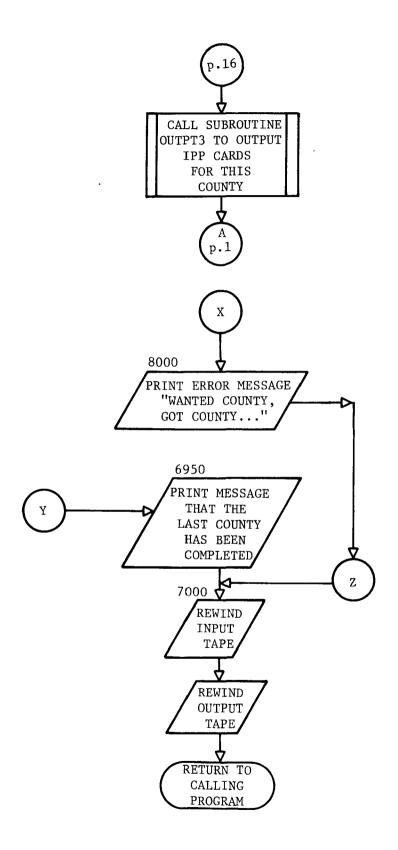


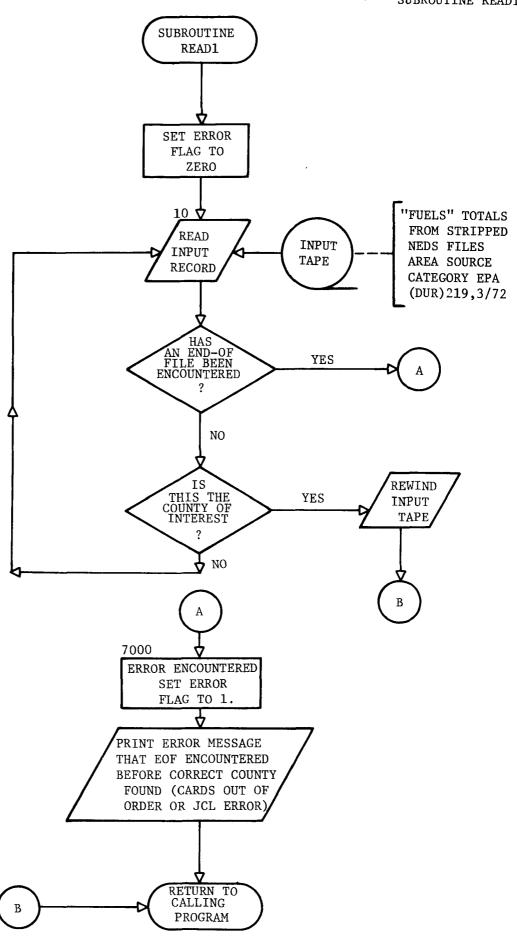


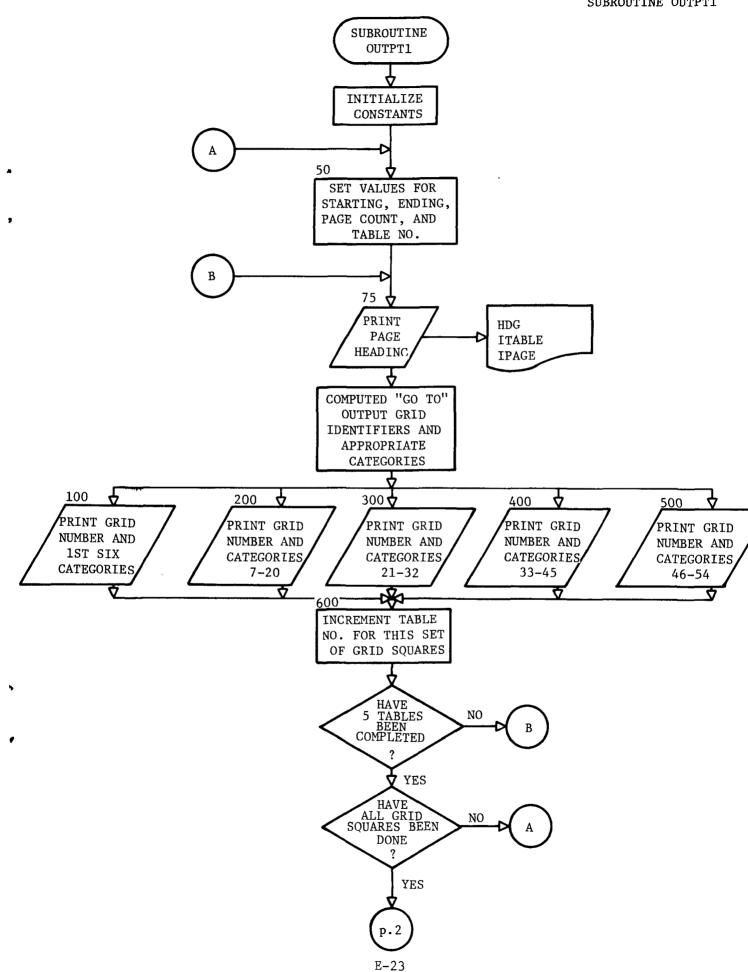


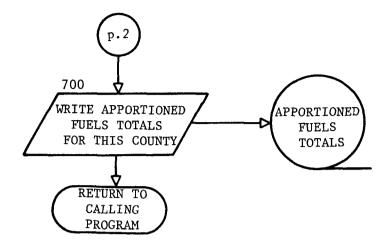


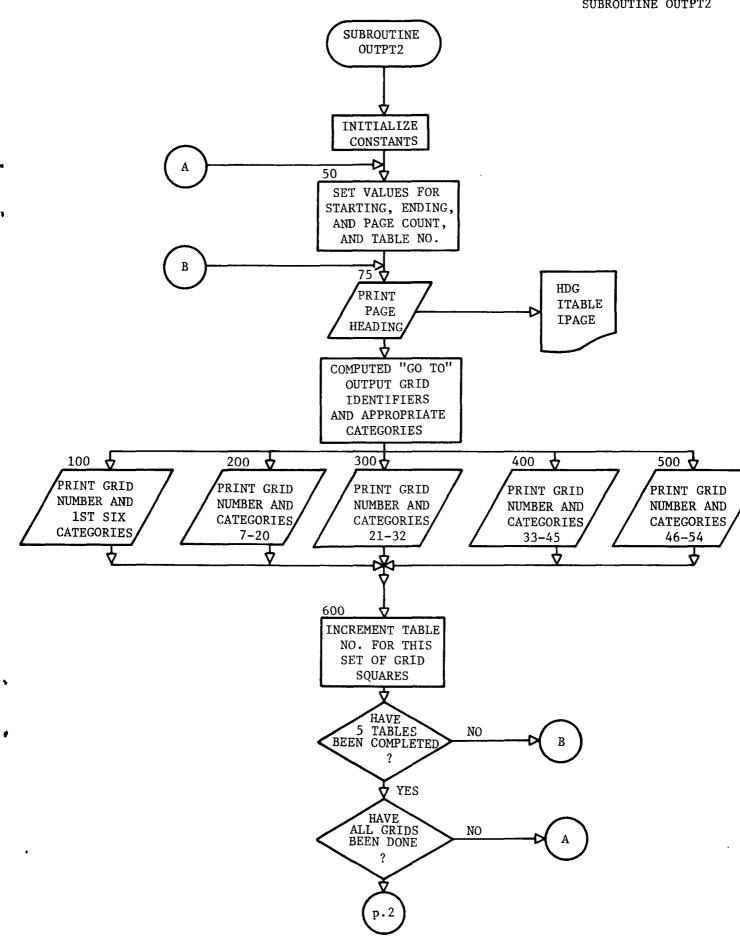






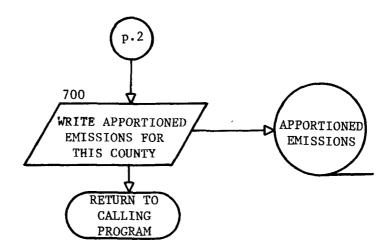


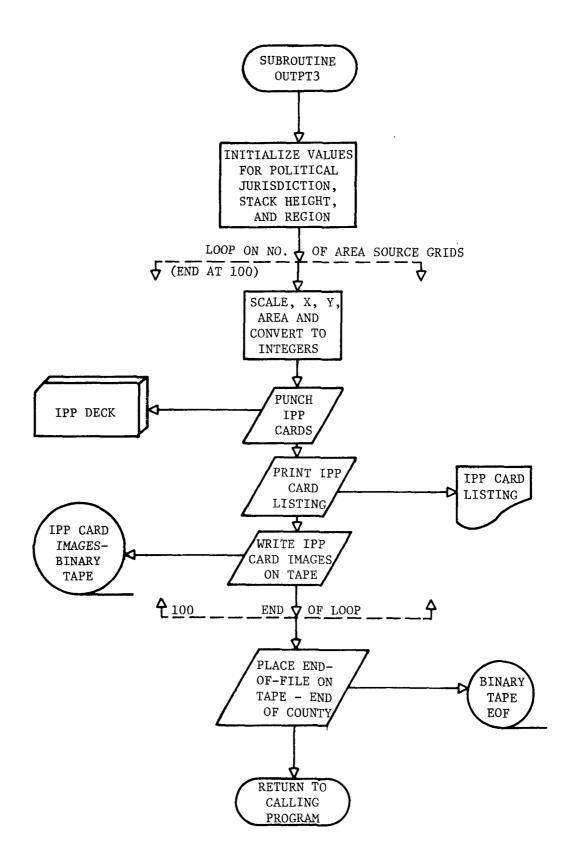




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CAASE 5
SUBROUTINE OUTPT2
p.2





(1	TECHNICAL REPOR Please read Instructions on the reverse	T DATA se before completing)
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16. ABSTRACT

The National Air Data Branch of EPA has the responsibility for developing an accurate emissions inventory for all designated pollutants for the entire United States. The emissions inventory data must be in a format suitable for use as input to existing computer programs for displaying air quality, or for evaluating State Implementation Plans.

Point Sources of emissions present no difficulties with regard to the formatting of data for modeling. Area source emission data, however, present problems. Usually the smallest geographic unit for which accurate primary data are available is the county. These data must be disaggregated and appropriately allocated to smaller areas to provide an adequately detailed input.

The Computer Assisted Area Source Emissions gridding programs with associated subroutines and off-line procedures provide an objective method for allocating county-level data to grid squares selected on the basis of demographic features and sized to give appropriate detail for input to air quality modeling programs.

17. KEY WORDS AND DOCUMENT ANALYSIS							
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