



# **Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standards: Final Report**

# **Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standards**

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Office of Air Quality Planning and Standards  
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## Disclaimer

This document has been prepared by staff from the Ambient Standards Group, Office of Air Quality Planning and Standards, U.S. Environmental Protection Agency. Any opinions, findings, conclusions, or recommendations are those of the authors and do not necessarily reflect the views of the EPA. For questions concerning this document, please contact Dr. Stephen Graham (919-541-4344; [graham.stephen@epa.gov](mailto:graham.stephen@epa.gov)), Mr. Harvey Richmond (919-541-5271; [richmond.harvey@epa.gov](mailto:richmond.harvey@epa.gov)), or Dr. Michael Stewart (919-541-7524; [stewart.michael@epa.gov](mailto:stewart.michael@epa.gov))

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## LIST OF ACRONYMS/ABBREVIATIONS

A/C	Air conditioning
AER	Air exchange rate
AERMOD	American Meteorological Society (AMS)/EPA Regulatory Model
AHS	American Housing Survey
APEX	EPA's Air Pollutants Exposure model, version 4
ANOVA	One-way analysis of variance
AQI	Air Quality Index
ATL	Atlanta Hartsfield airport
AQS	EPA's Air Quality System
AQCD	Air Quality Criteria Document
BRFSS	Behavioral Risk Factor Surveillance System
CAA	Clean Air Act
CAMD	EPA's Clean Air Markets Division
CASAC	Clean Air Scientific Advisory Committee
CDC	Centers for Disease Control
CDF	Cumulative density function
CFR	Code of Federal Regulations
CHAD	EPA's Consolidated Human Activity Database
Clev/Cinn	Cleveland and Cincinnati, Ohio
CMSA	Consolidated metropolitan statistical area
CO	Carbon monoxide
COPD	Chronic Obstructive Pulmonary Disease
COV	Coefficient of Variation
C-R	Concentration-Response
CTPP	Census Transportation Planning Package
ED	Emergency Department
EPA	Environmental Protection Agency
EMS-HAP	Emissions Modeling System for Hazardous Pollutants model
ER	Emergency room
EOC	Exposure of Concern
FEM	Federal Equivalent Method
FEV <sub>1</sub>	Forced expiratory volume in the first second
GM	Geometric mean
GSD	Geometric standard deviation
GST	Glutathione S-transferase
ISCST	Industrial Source Complex - Short Term dispersion model
ID	Identification
ISA	Integrated Science Assessment
ISH	Integrated Surface Hourly Database
JST	Jefferson Street SEARCH monitor near Georgia Tech
km	Kilometer
L95	Lower limit of the 95 <sup>th</sup> confidence interval



LOEL	Lowest Observed Effect Level
m	Meter
max	Maximum
ME	Microenvironment
med	Median
min	Minimum
MSA	Metropolitan statistical area
NAAQS	National Ambient Air Quality Standards
NAICS	North American Industrial Classification System
NAMS	National Ambient Monitoring Stations
NCEA	National Center for Environmental Assessment
NEI	National Emissions Inventory
NEM	NAAQS Exposure Model
NCDC	National Climatic Data Center
NHAPS	National Human Activity Pattern Study
NHIS	National Health Interview Survey
NO <sub>2</sub>	Nitrogen dioxide
NO <sub>x</sub>	Oxides of nitrogen
NWS	National Weather Service
NYC	New York City
NYDOH	New York Department of Health
O <sub>3</sub>	Ozone
OAQPS	Office of Air Quality Planning and Standards
OR	Odds ratio
ORD	Office of Research and Development
ORIS	Office of Regulatory Information Systems identification code
PAMS	Photochemical Assessment Monitoring Stations
POC	Parameter occurrence code
ppb	Parts per billion
PEM	Personal exposure measurements
PEN	Penetration factor
PM	Particulate matter
PMR	Peak-to-mean ratio
ppm	Parts per million
PRB	Policy-Relevant Background
PROX	Proximity factor
R <sup>2</sup>	R-square or the coefficient of determination
REA	Risk and Exposure Assessment
RECS	Residential Energy Consumption Survey
RIU	Rescue inhaler use
RR	Relative risk
SAF	Spatial allocation factors
SAS	Statistical Analysis Software
SB	Shortness of breath
SEARCH	Southeast Aerosol Research and Characterization study (SEARCH) monitoring
SES	Social-economic status
SIC	Standard Industrial Code

SD	Standard deviation
Se	Standard error
SLAMS	State and Local Ambient Monitoring Stations
SO <sub>2</sub>	Sulfur dioxide
SO <sub>3</sub>	Sulfur trioxide
SO <sub>4</sub> <sup>-</sup>	Sulfate
SO <sub>x</sub>	Oxides of Sulfur
sRaw	Specific Airway Resistance
tpy	Tons per year
TRIM	EPA's Total Risk Integrated Methodology
U95	Upper limit of the 95 <sup>th</sup> confidence interval
UA	Urbanized area
UC	Urban cluster
UARG	Utility Air Regulatory Group
USGS	United States Geological Survey
$V_s$	Ventilation rate

# 1. INTRODUCTION

The U.S. Environmental Protection Agency (EPA) is presently conducting a review of the primary, health-based national ambient air quality standards (NAAQS) for sulfur dioxide (SO<sub>2</sub>). Sections 108 and 109 of the Clean Air Act (The Act) govern the establishment and periodic review of the NAAQS. These standards are established for pollutants that may reasonably be anticipated to endanger public health and welfare, and whose presence in the ambient air results from numerous or diverse mobile or stationary sources. The NAAQS are to be based on air quality criteria, which are to accurately reflect the latest scientific knowledge useful in indicating the kind and extent of identifiable effects on public health or welfare that may be expected from the presence of the pollutant in ambient air. The EPA Administrator is to promulgate and periodically review, at five-year intervals, primary (health-based) and secondary (welfare-based) NAAQS for such pollutants. Based on periodic reviews of the air quality criteria and standards, the Administrator is to make revisions in the criteria and standards and promulgate any new standards as may be appropriate. The Act also requires that an independent scientific review committee advise the Administrator as part of this NAAQS review process, a function performed by the Clean Air Scientific Advisory Committee (CASAC).

The first step in the SO<sub>2</sub> NAAQS review was the development of an integrated review plan. This plan presented the schedule for the review, the process for conducting the review, and the key policy-relevant science issues that would guide the review. The final integrated review plan was informed by input from CASAC, outside scientists, and the public. This plan was presented in the *Integrated Review Plan for the Primary National Ambient Air Quality Standards for Sulfur Oxides* (EPA, 2007a). It was made available to the public in October 2007 and can be found at: [http://www.epa.gov/ttn/naaqs/standards/so2/s\\_so2\\_cr\\_pd.html](http://www.epa.gov/ttn/naaqs/standards/so2/s_so2_cr_pd.html).

The second step in this review was a science assessment. A concise synthesis of the most policy-relevant science was compiled into an Integrated Science Assessment (ISA). The ISA was supported by a series of annexes that contained more detailed information about the scientific literature. The final ISA to support this review of the SO<sub>2</sub> primary NAAQS was presented in the *Integrated Science Assessment for Oxides of Sulfur - Health Criteria*, henceforth referred to as the ISA (EPA, 2008a). This document was made available to the public in

September 2008 and can be found at:

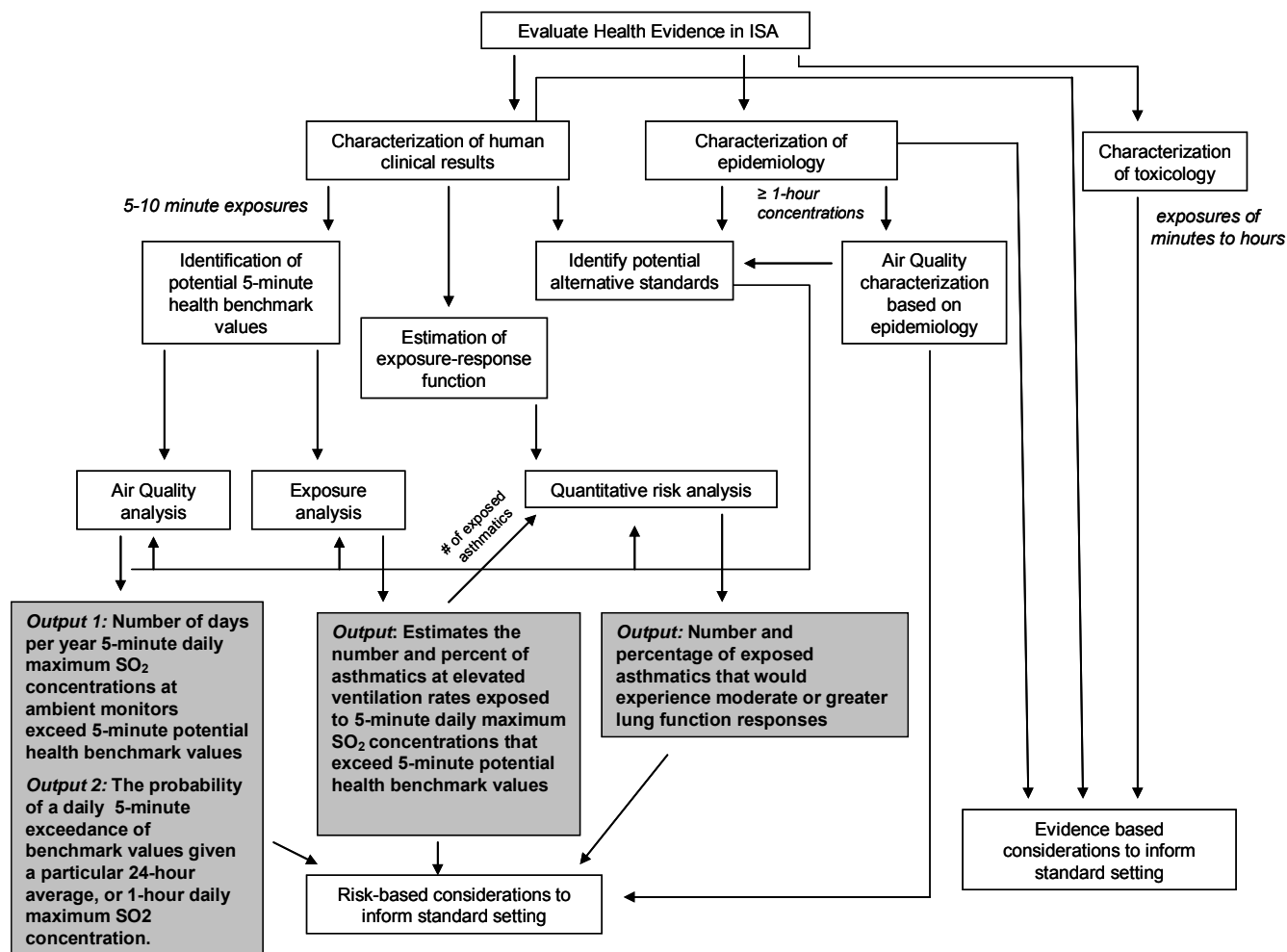
[http://www.epa.gov/ttn/naaqs/standards/so2/s\\_so2\\_cr\\_pd.html](http://www.epa.gov/ttn/naaqs/standards/so2/s_so2_cr_pd.html).

The third step in the primary SO<sub>2</sub> NAAQS review is a risk and exposure assessment (REA) that describes exposures and characterizes risks associated with SO<sub>2</sub> emissions from anthropogenic sources. The plan for conducting the risk and exposure assessment to support the SO<sub>2</sub> primary NAAQS review was presented in the *Sulfur Dioxide Health Assessment Plan: Scope and Methods for Exposure and Risk Assessment*, henceforth referred to as the Health Assessment Plan (EPA, 2008b). This document was made available to the public in November 2007 and can be found at: [http://www.epa.gov/ttn/naaqs/standards/so2/s\\_so2\\_cr\\_pd.html](http://www.epa.gov/ttn/naaqs/standards/so2/s_so2_cr_pd.html). The first draft SO<sub>2</sub> REA was informed by comments from the public and CASAC on the Health Assessment Plan, as well as the first and second drafts of the ISA for SO<sub>x</sub>. The first draft SO<sub>2</sub> REA developed estimates of human exposures and risks associated with recent ambient levels of SO<sub>2</sub> and levels that just met the current SO<sub>2</sub> standards. The first draft REA was presented in the *Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standards: First Draft*. It was made available to the public in July 2008 and can be found at: [http://www.epa.gov/ttn/naaqs/standards/so2/s\\_so2\\_cr\\_rea.html](http://www.epa.gov/ttn/naaqs/standards/so2/s_so2_cr_rea.html)

The second draft SO<sub>2</sub> REA was informed by comments from CASAC and the public on the first draft REA, as well as findings and conclusions contained in the final ISA. This document developed estimates of human exposures and risks associated with: (1) recent ambient levels of SO<sub>2</sub>, (2) levels that just met the current SO<sub>2</sub> standards, and (3) levels that just met potential alternative standards: defined in terms of indicator, averaging time, form, and level. This document also contained a draft policy assessment that addressed the adequacy of the current SO<sub>2</sub> NAAQS and potential alternative standards. More specifically, the policy assessment considered epidemiologic, human exposure, and animal toxicological evidence presented in the ISA (EPA, 2008a), as well as the air quality, exposure, and risk characterization results presented in the first draft REA, as they related to the adequacy of the current SO<sub>2</sub> NAAQS and potential alternative primary SO<sub>2</sub> standards (see Figure 1-1). The second draft REA was presented in the *Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standards: Second Draft*. It was made available to the public in March 2009 and can be found at: [http://www.epa.gov/ttn/naaqs/standards/so2/s\\_so2\\_cr\\_rea.html](http://www.epa.gov/ttn/naaqs/standards/so2/s_so2_cr_rea.html).

The final REA is this document, and has been informed by comments from CASAC and the public on the second draft REA, as well as findings and conclusions contained in the final ISA. The final REA further develops estimates of human exposures and risks associated with: (1) recent ambient levels of SO<sub>2</sub>, (2) levels that just meet the current SO<sub>2</sub> standards, and (3) levels that just meet potential alternative standards. This document also contains a final policy assessment (see Chapter 10). The final policy assessment will consider epidemiologic, controlled human exposure, and animal toxicological evidence presented in the final ISA (EPA, 2008a), as well as the air quality, exposure, and risk characterization results presented in this document, as they related to the adequacy of the current SO<sub>2</sub> NAAQS and potential alternative primary SO<sub>2</sub> standards (Figure 1-1).

The final step in the review of the SO<sub>2</sub> NAAQS will be the rulemaking process. This process will be informed by the risk and exposure information contained in the final REA, as well the scientific evidence described in the final ISA. The rulemaking process will also take into account CASAC advice and recommendations, as well as public comment on any policy options under consideration. Notably, EPA is now under a consent decree to complete its review of the SO<sub>2</sub> primary NAAQS by issuing a proposed rule no later than November 16, 2009 and a final rule by June 2, 2010.



**Figure 1-1. Overview of the analyses described in this document and their interconnections**

As mentioned above, an initial step in the review process was the development of an integrated review plan. This plan identified policy relevant questions that would guide the review of the SO<sub>2</sub> NAAQS. These questions are particularly important for the REA because they provide a context for both evaluating health effects evidence presented in the ISA, as well as for selecting the appropriate analyses for assessing exposure and risks associated with current ambient SO<sub>2</sub> levels, SO<sub>2</sub> levels that just meet the current standards, and SO<sub>2</sub> levels that just meet potential alternative standards. These policy relevant questions are:

- Has new information altered/substantiated the scientific support for the occurrence of health effects following short- and/or long-term exposure to levels of SO<sub>x</sub> found in the ambient air?

- Does new information impact conclusions from the previous review regarding the effects of SO<sub>x</sub> on susceptible populations?
- At what levels of SO<sub>x</sub> exposure do health effects of concern occur?
- Has new information altered conclusions from previous reviews regarding the plausibility of adverse health effects caused by SO<sub>x</sub> exposure?
- To what extent have important uncertainties identified in the last review been reduced and/or have new uncertainties emerged?
- What are the air quality relationships between short-term and longer-term exposures to SO<sub>x</sub>?

Additional questions will become relevant if the evidence suggests that revision of the current standard might be appropriate. These questions are:

- Is there evidence for the occurrence of adverse health effects at levels of SO<sub>x</sub> different than those observed previously? If so, at what levels and what are the important uncertainties associated with that evidence?
- Do exposure estimates suggest that levels of concern for SO<sub>x</sub>-induced health effects will occur with current ambient levels of SO<sub>2</sub>, or with levels that just meet the current, or potential alternative standards? If so, are these exposures of sufficient magnitude such that the health effects might reasonably be judged to be important from a public health perspective? What are the important uncertainties associated with these exposure estimates?
- Do the evidence, the air quality assessment, and the risk/exposure assessment provide support for considering different standard indicators, averaging times, or forms?
- What range of levels is supported by the evidence, the air quality assessment, and risk/exposure assessment? What are the uncertainties and limitations in the evidence and assessments?

## 1.1 HISTORY

### 1.1.1 History of the SO<sub>2</sub> NAAQS

The first SO<sub>2</sub> NAAQS was established in 1971. At that time, a 24-hour standard of 0.14 ppm, not to be exceeded more than one time per year, and an annual standard of 0.03 ppm were judged to be both adequate and necessary to protect public health. The most recent review of the SO<sub>2</sub> NAAQS was completed in 1996 and focused on the question of whether an additional short-term standard (e.g., 5-minute) was necessary to protect against short-term, peak exposures. Based on the scientific evidence, the Administrator judged that repeated exposures to 5-minute peak SO<sub>2</sub> levels ( $\geq$  600 ppb) could pose a risk of significant health effects for asthmatic

individuals at elevated ventilation rates. The Administrator also concluded that the likely frequency of such effects should be a consideration in assessing the overall public health risks. Based upon an exposure analysis conducted by EPA, the Administrator concluded that exposure of asthmatics to SO<sub>2</sub> levels that could reliably elicit adverse health effects was likely to be a rare event when viewed in the context of the entire population of asthmatics and therefore, did not pose a broad public health problem for which a NAAQS would be appropriate. On May 22, 1996, EPA's final decision not to promulgate a 5-minute standard and to retain the existing 24-hour and annual standards was announced in the Federal Register (61 FR 25566).

The American Lung Association and the Environmental Defense Fund challenged EPA's decision not to establish a 5-minute standard. On January 30, 1998, the Court of Appeals for the District of Columbia found that EPA had failed to adequately explain its determination that no revision to the SO<sub>2</sub> NAAQS was appropriate and remanded the decision back to EPA for further explanation. Specifically, the court gave EPA the opportunity to provide additional rationale to support the Agency judgment that 5-minute peaks of SO<sub>2</sub> do not pose a public health problem from a national perspective even though those peaks would likely cause adverse health impacts in a subset of asthmatics. In response, EPA has collected and analyzed additional air quality data focused on 5-minute concentrations of SO<sub>2</sub>. These air quality analyses conducted since the last review will help inform the current review, which will answer the issues raised in the Court's remand of the Agency's last decision.

### **1.1.2 Health Evidence from the Previous Review**

The 1982 Air Quality Criteria Document (AQCD) for Particulate Matter and Sulfur Oxides (EPA, 1982), and its subsequent addenda and supplement (EPA, 1986b, 1994a) presented an evaluation of SO<sub>2</sub> associated health effects primarily drawn from epidemiologic and human clinical studies. In general, these documents identified adverse health effects that were likely associated with both short- (generally hours to days), and long-term (months to years) exposures to SO<sub>2</sub> at concentrations present in the ambient mixture of air pollutants. Moreover, these documents presented evidence for bronchoconstriction and respiratory symptoms in exercising asthmatics following controlled exposures to 5-10 minute peak concentrations of SO<sub>2</sub>.

Evidence drawn from epidemiologic studies supported a likely association between 24-hour average SO<sub>2</sub> concentrations and daily mortality, aggravation of bronchitis, and small,



reversible declines in children's lung function (EPA 1982, 1994a). In addition, a few epidemiologic studies found an association between respiratory symptoms and illnesses and annual average SO<sub>2</sub> concentrations (EPA 1982, 1994a). However, it was noted that most of these epidemiologic studies were conducted in years and cities where particulate matter (PM) counts were also quite high, thus making it difficult to quantitatively determine whether the observed associations were the result of SO<sub>2</sub>, PM, or a combination of both pollutants.

Evidence drawn from clinical studies exposing exercising asthmatics to <1000 ppb SO<sub>2</sub> for 5-10 minutes found that these types of SO<sub>2</sub> exposures evoked health effects that were similar to those asthmatics would experience from other commonly encountered stimuli (e.g., exercise, cold/dry air, psychological stress, etc. (EPA, 1994a). That is, there was an acute-phase response characterized by bronchoconstriction and/or respiratory symptoms that occurred within 5-10 minutes of exposure but then subsided on its own within 1 to 2 hours. This acute-phase response was followed by a short refractory period where the individual was relatively insensitive to additional SO<sub>2</sub> challenges. Notably, the SO<sub>2</sub>-induced acute-phase response was found to be ameliorated by the inhalation of beta-agonist aerosol medications, and to occur without an additional, often more severe, late-phase inflammatory response.

The 1994 supplement to the AQCD noted that of particular concern was the subset of asthmatics in these clinical studies that appeared to be hyperresponsive (i.e., those experiencing greater-than-average bronchoconstriction or respiratory symptoms at a given SO<sub>2</sub> concentration). Thus, for a given concentration of SO<sub>2</sub>, EPA estimated the number of asthmatics likely to experience bronchoconstriction (and/or symptoms) of a sufficient magnitude to be considered a health concern. At 600 to 1000 ppb SO<sub>2</sub>, EPA estimated that more than 25% of mild to moderate exercising asthmatics would likely experience decrements in lung function distinctly exceeding typical daily variations in lung function, or the response to commonly encountered stimuli (EPA, 1994a). Furthermore, the AQCD concluded that the severity of effects experienced at 600-1000 ppb was likely to be of sufficient concern to cause a cessation of activity, medication use, and/or the possible seeking of medical attention. In contrast, at 200 – 500 ppb SO<sub>2</sub>, it was estimated that at most 10 – 20% of mild to moderate exercising asthmatics were likely to experience lung function decrements larger than those associated with typical daily activity, or the response to commonly encountered stimuli (EPA, 1994a).

### **1.1.3 Assessment from Previous Review**

The risk and exposure assessment from the previous review of the SO<sub>2</sub> NAAQS qualitatively evaluated both the existing 24-hour (0.14 ppm) and annual standards (0.03 ppm), but primarily focused on whether an additional standard was necessary to protect against short-term (e.g., 5-minute) peak exposures. Based on the human clinical data mentioned above, it was judged that exposures to 5-minute SO<sub>2</sub> levels at or above 600 ppb could pose an immediate significant health risk for a substantial proportion of asthmatics at elevated ventilation rates (e.g., while exercising). Thus, EPA analyzed existing ambient monitoring data to estimate the frequency of 5-minute peak concentrations above 500, 600, and 700 ppb, the number of repeated exceedances of these concentrations, and the sequential occurrences of peak concentrations within a given day (SAI, 1996). The results of this analysis indicated that in the vicinity of local sources, several locations in the U.S. had a substantial number of 5-minute peak concentrations at or above 600 ppb.

In addition to the ambient air quality analysis, the previous review also included several annual exposure analyses that in general, combined SO<sub>2</sub> emission estimates from utility and non-utility sources with exposure modeling to estimate the probability of exposure to short-term peak SO<sub>2</sub> concentrations. The first such analysis conducted by the Agency estimated the number of 5-minute exposures  $\geq$  500 ppb associated with four selected coal-fired power utilities (EPA, 1986a). An expanded analysis sponsored by the Utility Air Regulatory Group (UARG) considered the frequency of short-term exposure events that might result from the nationwide operation of all power utility boilers (Burton et al., 1987). Additionally, the probability of peak concentrations surrounding non-utility sources was the focus of another study conducted by the Agency (Stoeckenius et al., 1990). The resultant combined exposure estimates based on these early analyses indicated that between 0.7 and 1.8% of the total asthmatic population potentially could be exposed one or more times annually, while outdoors at exercise, to 5-minute SO<sub>2</sub> concentrations  $\geq$  500 ppb. It also was noted that the frequency of 5-minute exposures above the health effect benchmark of 600 ppb, while not part of the analysis, would be anticipated to be lower.

In addition to the early analyses mentioned above, two other analyses were considered in the prior review. The first was an exposure assessment sponsored by the UARG (Rosenbaum et al., 1992) that focused on emissions from fossil-fueled power plants. That study accounted for

the anticipated reductions in SO<sub>2</sub> emissions after implementation of the acid deposition provisions (Title IV) of the 1990 Clean Air Act Amendments. This UARG-sponsored analysis predicted that these emission reductions would result in a 42% reduction in the number of 5-minute exposures to 500 ppb for asthmatic individuals (reducing the number of asthmatics exposed from 68,000 down to 40,000) in comparison with the earlier Burton et al. (1987) analysis. The second was a new exposure analysis submitted by the National Mining Association (Sciences International, Inc. 1995) that reevaluated non-utility sources. In this analysis, revised exposure estimates were provided for four of the seven non-utility source categories by incorporating new emissions data and using less conservative modeling assumptions in comparison to those used for the earlier Stoeckenius et al. (1990) non-utility analysis. Significantly fewer exposure events (i.e., occurrence of 5-minute 500 ppb or greater exposures) were estimated in this industry-sponsored revised analysis, decreasing the range of estimated exposures for these four sources by an order of magnitude (i.e., from 73,000-259,000 short-term exposure events in the original analysis to 7,900-23,100 in the revised analysis).

## **1.2 SCOPE OF THE RISK AND EXPOSURE ASSESSMENT FOR THE CURRENT REVIEW**

### **1.2.1 Overview of the Risk and Exposure Assessment**

The REA describes exposure and risks associated with recent ambient levels of SO<sub>2</sub>, levels that just meet the current SO<sub>2</sub> standards, and levels that just meet potential alternative standards. This REA also contains a policy discussion regarding the adequacy of the current SO<sub>2</sub> NAAQS, and potential alternative primary standards. A concise overview of the information, analyses, and policy discussion contained in this document is presented below.

Chapters 2-4 evaluate information presented in the ISA that is relevant for conducting an exposure and risk assessment. This includes information on 1) human exposure to SO<sub>2</sub>; 2) at-risk populations; and 3) health effects associated with short- and long-term exposures to SO<sub>2</sub>. Chapter 5 presents the rationale for the selection of the indicator, averaging time, forms, and levels for the potential alternative standards that were assessed in the exposure and risk chapters of the document. Specifically, these potential alternative standards are 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels of 50, 100, 150, 200, and 250 ppb, and 98<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels of 200 ppb, and in some instances in the air quality analysis, 100 ppb. In

brief, the rationale takes into consideration both human exposure and epidemiologic evidence from the ISA, as well as a qualitative analysis conducted by staff characterizing 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels in cities and time periods corresponding to key U.S. and Canadian hospitalization and ED visit studies for all respiratory causes and asthma (key studies are identified in Table 5-5 of the ISA). Chapter 6 is an overview of the technical analyses that are presented in the subsequent chapters of this document. This chapter also presents the rationale for the selection of specific potential health benchmark values<sup>1</sup> derived from the human exposure literature.

Chapters 7-9 present the analytical portion of the document. Staff considered both evidence of bronchoconstriction and respiratory symptoms from human exposure studies, as well as CASAC advice on the first and second draft REA, and judged it appropriate to conduct a series of three analyses to estimate risks associated with 5-minute SO<sub>2</sub> exposures ranging from 100-400 ppb in exercising asthmatics (see Figure 1-1 and Chapter 6). Chapter 7 presents an air quality characterization that uses monitored and statistically estimated 5-minute ambient SO<sub>2</sub> concentrations as a surrogate for exposure. This analysis estimates the number of days per year measured or statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations meet or exceed the potential health benchmark values of 100, 200, 300 and 400 ppb. This air quality analysis is done under scenarios reflecting current air quality, air quality simulated to just meet the current standards, and air quality simulated to just meet the potential alternative standards (i.e., 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels of 50, 100, 150, 200 and 250 ppb and an 98<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> level of 200 ppb). Chapter 8 presents results from exposure analysis case studies conducted in the St. Louis modeling domain (henceforth referred to as St. Louis) and Greene County Missouri (MO). These analyses provide estimates of the number and percent of asthmatics residing within 20 kilometers (km) of major SO<sub>2</sub> sources experiencing 5-minute exposures to 100, 200, 300, and 400 ppb SO<sub>2</sub>, while at elevated ventilation rates under the air quality scenarios mentioned above (i.e., recent air quality, and air quality adjusted to just meet the current and potential alternative standards). Chapter 9 is a quantitative risk assessment that produces health risk estimates for the number and percent of

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<sup>1</sup> In general, potential health benchmark values are pollutant exposure levels that have consistently been shown to induce adverse health effects in individuals participating in free-breathing human chamber studies.

exposed asthmatics (as determined by the exposure analysis; see Figure 1-1) that would experience moderate or greater lung function responses under the air quality scenarios previously described.

In addition to the technical analyses presented in Chapters 7-9, Chapter 10 integrates the scientific evidence and the air quality, exposure, and risk information as they pertain to informing decisions about the primary SO<sub>2</sub> NAAQS. More specifically, Chapter 10 considers the epidemiologic, controlled human exposure, and animal toxicological evidence presented in the ISA (EPA, 2008a), as well as the air quality, exposure, and risk characterization results presented in this document, as they relate to the adequacy of the current SO<sub>2</sub> NAAQS and potential alternative primary SO<sub>2</sub> standards.

### **1.2.2 Species of Sulfur Oxides Included in Analyses**

The sulfur oxides include multiple gaseous (e.g., SO<sub>2</sub>, SO<sub>3</sub>) and particulate (e.g., sulfate) species. In considering what species of sulfur oxides are relevant to the current review of the SO<sub>2</sub> NAAQS, we note that the health effects associated with particulate species of sulfur oxides have been considered within the context of the Agency's review of the primary NAAQS for particulate matter (PM). In the most recent review of the NAAQS for PM, it was determined that size-fractionated particle mass, rather than particle composition, remains the most appropriate approach for addressing ambient PM. This conclusion will be re-assessed in the parallel review of the PM NAAQS; however, at present it would be redundant to also consider effects of particulate sulfate in this review. Therefore, the current review of the SO<sub>2</sub> NAAQS will focus on gaseous species of sulfur oxides and will not consider health effects directly associated with particulate sulfur oxide species. Additionally, of the gaseous species, EPA has historically determined it appropriate to specify the indicator of the standard in terms of SO<sub>2</sub> because other gaseous sulfur oxides (e.g., SO<sub>3</sub>) are likely to be found at concentrations many orders of magnitude lower than SO<sub>2</sub> in the atmosphere, and because most all of the health effects and exposure information is for SO<sub>2</sub>. The ISA has again found this to be the case, and therefore this REA will use SO<sub>2</sub> as a surrogate for all gaseous sulfur oxides.

## 2. OVERVIEW OF HUMAN EXPOSURE

In order to help inform the air quality, exposure, and risk analyses presented in Chapters 7-9, staff has briefly summarized relevant human exposure information from the ISA. After defining the concept of “integrated exposure,” this chapter discusses major sources of SO<sub>2</sub> emissions. Characterizing these SO<sub>2</sub> sources helps identify the most relevant locations for conducting air quality, exposure, and health risk analyses. This chapter then presents a description of the SO<sub>2</sub> monitoring network, and discusses ambient levels of SO<sub>2</sub> associated with 1-hour, 24-hour, and annual averaging times. SO<sub>2</sub> concentrations associated with these averaging times are relevant to the air quality, exposure, and risk analyses because the current SO<sub>2</sub> standards have 24-hour and annual averaging times, and EPA is considering potential alternative 1-hour averaging time standards (see section 5.3). Next, this chapter describes the small subset of SO<sub>2</sub> monitors that report 5-minute SO<sub>2</sub> concentrations, as well as a broad characterization of ambient 5-minute SO<sub>2</sub> levels (a more thorough discussion of these topics can be found in Chapters 6 and 7). This discussion is particularly relevant to the analyses described in this document because the potential health effect benchmarks and the outputs of the air quality, exposure, and risk assessments are presented with respect to a 5-minute averaging time (see section 6.2). More specifically, as previously described in section 1.2.1, an output of the air quality analysis presented in Chapter 7 is the number of days per year measured, or statistically estimated (see Chapter 6) 5-minute daily maximum SO<sub>2</sub> concentrations exceed 5-minute potential health effect benchmark levels. Similarly, the output of the exposure analysis in Chapter 8 is the number of exercising asthmatics exposed to 5-minute SO<sub>2</sub> concentrations above benchmark levels. Outputs of the exposure analysis (i.e., the number of exercising asthmatics exposed to 5-minute SO<sub>2</sub> concentrations above benchmark levels) are then used as inputs into the quantitative risk assessment in Chapter 9 to estimate the number and percent of exposed exercising asthmatics expected to experience a moderate or greater lung function response (see Figure 6-1).

In addition to providing information relevant to the air quality, exposure, and risk analyses, this Chapter also provides information relevant to the Chapter 4 health discussion and the Chapter 10 policy assessment. That is, the current chapter highlights uncertainties involved

with using ambient SO<sub>2</sub> concentrations as a surrogate for personal exposure in epidemiologic studies, as well as the ISA's conclusions on this topic.

## **2.1 BACKGROUND**

The integrated exposure of a person to a given pollutant is the sum of the exposures over all time intervals for all environments in which the individual spends time. People spend different amounts of time in different microenvironments and each microenvironment is characterized by different pollutant concentrations. There is a large amount of variability in the time that individuals spend in different microenvironments, but on average people spend the majority of their time (about 87%) indoors. Most of this time is spent at home with less time spent in an office/workplace or other indoor locations (ISA, Figure 2-36). In addition, people spend on average about 8% of their time outdoors and 6% of their time in vehicles. A potential consequence of multiple sources of exposure or microenvironments is the exposure misclassification that may result when total human exposure is not disaggregated between these various microenvironments. In epidemiologic studies that rely on ambient pollutant levels as a surrogate for exposure to ambient SO<sub>2</sub>, such misclassification may obscure the true relationship between ambient air pollutant exposures and health outcomes.

In addition to accounting for the times spent in different microenvironments, it is also important to note the duration of exposure experienced. This is important because health effects caused by long-term, low-level exposures may differ from those caused by relatively higher shorter-term exposures.

## **2.2 SOURCES OF SO<sub>2</sub>**

In order to estimate risks associated with SO<sub>2</sub> exposure, principle sources of the pollutant must first be characterized because the majority of human exposures are likely to result from the release of emissions from these sources. Anthropogenic SO<sub>2</sub> emissions originate chiefly from point sources, with fossil fuel combustion at electric utilities (~66%) and other industrial facilities (~29%) accounting for the majority of total emissions (ISA, section 2.1). Other anthropogenic sources of SO<sub>2</sub> include both the extraction of metal from ore as well as the burning of high sulfur containing fuels by locomotives, large ships, and non-road diesel equipment. Notably, almost the entire sulfur content of fuel is released as SO<sub>2</sub> or SO<sub>3</sub> during

combustion. Thus, based on the sulfur content in fuel stocks, oxides of sulfur emissions can be calculated to a higher degree of accuracy than can emissions for other pollutants such as PM and NO<sub>2</sub> (ISA, section 2.1).

The largest natural sources of SO<sub>2</sub> are volcanoes and wildfires. Although SO<sub>2</sub> constitutes a relatively minor fraction (0.005% by volume) of total volcanic emissions, concentrations in volcanic plumes can be in the range of several to tens of ppm (thousands of ppb). Volcanic sources of SO<sub>2</sub> in the U.S. are limited to the Pacific Northwest, Alaska, and Hawaii. Emissions of SO<sub>2</sub> can also result from burning vegetation. The amount of SO<sub>2</sub> released from burning vegetation is generally in the range of 1 to 2% of the biomass burned and is the result of sulfur from amino acids being released as SO<sub>2</sub> during combustion.

## **2.3 BACKGROUND ON THE SO<sub>2</sub> MONITORING NETWORK**

The following section provides general background on the SO<sub>2</sub> monitoring network. A more detailed description of this network can be found in Watkins (2009). The SO<sub>2</sub> monitoring network was originally deployed to support implementation of the SO<sub>2</sub> NAAQS established in 1971. Despite the establishment of an SO<sub>2</sub> standard, uniform minimum monitoring requirements for SO<sub>2</sub> monitoring did not appear until May 1979. From the time of the implementation of the 1979 monitoring rule through 2008, the SO<sub>2</sub> network has steadily decreased in size from approximately 1496 sites in 1980 to the approximately 488 sites operating in 2008.

The 1979 monitoring rule established two categories of SO<sub>2</sub> monitoring sites: State and Local Ambient Monitoring Stations (SLAMS) and the smaller set of National Ambient Monitoring Stations (NAMS). No minimum requirements were established for SLAMS. Minimum requirements (described below) were established for NAMS. The 1979 rule also required that SO<sub>2</sub> only be monitored using Federal Reference Methods (FRMs) or Federal Equivalent Methods (FEMs). The 1979 monitoring rule called for a range of number of sites in a metropolitan statistical area (MSA) based both on population size and known concentrations relative to the NAAQS (at that point in time; see Watkins, 2009).

In October 2006, EPA revised the monitoring requirements for SO<sub>2</sub> in light of the fact that there was not an SO<sub>2</sub> non-attainment problem (Watkins, 2009). The 2006 rule eliminated the minimum requirements for the number of SO<sub>2</sub> monitoring sites. The current SO<sub>2</sub> monitoring rule, 40 CFR Part 58, Appendix D, section 4.4 states:



### **Sulfur Dioxide (SO<sub>2</sub>) Design Criteria.**

(a) There are no minimum requirements for the number of SO<sub>2</sub> monitoring sites. Continued operation of existing SLAMS SO<sub>2</sub> sites using FRM or FEM is required until discontinuation is approved by the EPA Regional Administrator. Where SLAMS SO<sub>2</sub> monitoring is ongoing, at least one of the SLAMS SO<sub>2</sub> sites must be a maximum concentration site for that specific area.

(b) The appropriate spatial scales for SO<sub>2</sub> SLAMS monitoring are the microscale, middle, and possibly neighborhood scales. The multi-pollutant NCore sites can provide for metropolitan area trends analyses and general control strategy progress tracking. Other SLAMS sites are expected to provide data that are useful in specific compliance actions, for maintenance plan agreements, or for measuring near specific stationary sources of SO<sub>2</sub>.

(1) Micro and middle scale – Some data uses associated with microscale and middle scale measurements for SO<sub>2</sub> include assessing the effects of control strategies to reduce concentrations (especially for the 3-hour and 24-hour averaging times) and monitoring air pollution episodes.

(2) Neighborhood scale – This scale applies where there is a need to collect air quality data as part of an ongoing SO<sub>2</sub> stationary source impact investigation. Typical locations might include suburban areas adjacent to SO<sub>2</sub> stationary sources for example, or for determining background concentrations as part of these studies of population responses to exposure to SO<sub>2</sub>.

(c) Technical guidance in reference 1 of this appendix should be used to evaluate the adequacy of each existing SO<sub>2</sub> site, to relocate an existing site, or to locate new sites.

To ascertain what the current SO<sub>2</sub> network is addressing or characterizing, and in light of the relatively recent removal of a specific SO<sub>2</sub> monitoring requirement, EPA reviewed some of the SO<sub>2</sub> network meta-data (Watkins, 2009). The data reviewed are those available from AQS for calendar year 2008, for any monitors reporting data at any point during the year. The meta-data fields are usually created by state and locals whenever a monitor or site is opened, moved, or has a certain characteristic re-characterized. Often, EPA Regions consult with states and locals on some of these metadata characteristics, but it is the responsibility of the state or local to classify their own sites. With that, it should be noted that EPA must caveat such a review due to the fact the AQS meta-data may have missing or ‘old’ meta-data field entries, as states and locals do not have a routine or enforced process by which they must update or correct meta-data fields (Watkins, 2009).

### **Monitoring Objective:**

The monitoring objective meta-data field describes what the data from the monitor are intended to characterize. The focus of the data presented is to show the nature of the network in

terms of its attempt to generally characterize health effects, source impacts, transport, or welfare effects. In 2008, there were 488 SO<sub>2</sub> monitors reporting data to AQS at some point during the year. Any particular monitor can have multiple monitor objectives, however for this analysis (see Watkins, 2009) we have selected one reported objective based on a hierarchy to represent an individual monitor. The hierarchy used was to select, in order of priority: 1) source oriented, 2) high concentration, 3) population exposure, or 4) general background, if they existed at a site with multiple monitoring objectives. Table 2-1 presents the monitor objective distribution across all SO<sub>2</sub> sites from the available AQS data. There are 12 categories of monitor objective for any pollutant monitor within AQS. The “other” category is for sites likely addressing a state or local need outside of the routine objectives, and the “unknown” category represents missing meta-data. The six primary categories appropriate for use with SO<sub>2</sub> monitoring efforts stem directly from categorizations of site types within the CFR. In 40 CFR Part 58 Appendix D, they are defined as:

1. Sites located to determine the highest concentration expected to occur in the area covered by the network (Highest Concentration).
2. Sites located to measure typical concentrations in areas of high population (Population Exposure).
3. Sites located to determine the impact of significant sources or source categories on air quality (Source Oriented).
4. Sites located to determine general background concentration levels (General Background).
5. Sites located to determine the extent of regional pollutant transport among populated areas; and in support of secondary standards (Regional Transport).
6. Sites located to measure air pollution impacts on visibility, vegetation damage, or other welfare-based impacts (Welfare Related Impacts).

The remaining four categories available are a result of updating the AQS database. In the more recent upgrade to AQS, the data handlers inserted the available site types for Photochemical Assessment Monitoring Stations (PAMS) network as options for monitoring site objectives. In our metadata review, three SO<sub>2</sub> monitors have a listed monitoring objective that EPA intended to be applied only to NO<sub>x</sub> or O<sub>3</sub> sites. As a result these three sites are presumably co-located with a NO<sub>x</sub> or O<sub>3</sub> monitor with the same objective.

### **Measurement Scales**

The spatial (measurement) scales are laid out in 40 CFR Part 58, Appendix D, Section 1 “Monitoring Objectives and Spatial Scales.” This part of the regulation spells out what data from a monitor can represent in terms of air volumes associated with area dimensions:

Microscale -	0 to 100 meters
Middle Scale -	100 to 500 meters
Neighborhood Scale -	500 meters to 4 kilometers
Urban Scale -	4 to 50 kilometers
Regional Scale -	50 kilometers up to 1000km

There are meta-data records for the SO<sub>2</sub> network to indicate what the measurement scale of a particular monitor represents. In addition to the scales presented above, “industrial” scale sites are an available option for characterizing SO<sub>2</sub> monitor sites in AQS. These “industrial” scale sites are typically operated by industry, and are likely representative of the same scales that are associated with sites having source oriented and high concentration monitoring objectives, but we are unable to determine what spatial scale these monitors actually represent through AQS. It is also noted that a monitor can only have one measurement scale, as opposed to the possibility of a single monitor having multiple monitor objectives. Table 2-2 shows the measurement scale distribution across all SO<sub>2</sub> sites from the available data in AQS of monitors reporting data in 2008.

**Table 2-1. SO<sub>2</sub> network monitoring objective distribution.**

<b>SO<sub>2</sub> Monitoring Objective</b>	<b>Number of Monitoring Objective Records</b>	<b>Percent Distribution</b>
Population Exposure	208	42.6 %
Source Oriented	88	18.0 %
Highest Concentration	83	17.0 %
General Background	55	11.3 %
Regional Transport	12	2.5 %
Other	5	1.0 %
Max Precursor Impact (PAMS Type 2 Site)	3	0.6 %
Welfare Related Impacts	1	0.2 %
Unknown	33	6.8 %
<b>Totals:</b>	<b>488</b>	<b>100 %</b>

**Table 2-2. SO<sub>2</sub> network distribution across measurement scales.**

Measurement Scale	Number of Measurement Scale Records	Percent Distribution
Microscale	1	0.2 %
Middle Scale	35	7.2 %
Neighborhood	309	63.3 %
Urban Scale	61	12.5 %
Regional Scale	41	8.4 %
Industrial Scale	6	1.2 %
Unknown	35	7.2 %
<b>Totals:</b>	<b>488</b>	<b>100%</b>

### **Urban/Rural Location Analysis**

The US Census Bureau ([http://www.census.gov/geo/www/ua/ua\\_2k.html](http://www.census.gov/geo/www/ua/ua_2k.html)) defines the term “urban” as all territory, population, and housing units located within an urbanized area (UA) or an urban cluster (UC). The Census bureau uses UA and UC boundaries to encompass densely settled territory, which consists of:

- core census block groups or blocks that have a population density of at least 1,000 people per square mile and
- surrounding census blocks that have an overall density of at least 500 people per square mile
- Conversely, the Census Bureau's classification of "rural" consists of all territory, population, and housing units located outside of UAs and UCs. Counties, metropolitan areas, and the territory outside metropolitan areas, often are "split" between “urban” and “rural” territory. A spatial analysis of the SO<sub>2</sub> monitors against the Census Bureau’s defined UAs and UCs shows that 63% of SO<sub>2</sub> monitors are in an “urban” setting and 37% are in a “rural” setting.

## **2.4 AMBIENT LEVELS OF SO<sub>2</sub>**

Since the integrated exposure to a pollutant is the sum of the exposures over all time intervals for all environments in which the individual spends time, understanding the temporal and spatial patterns of SO<sub>2</sub> levels across the U.S is an important component of conducting air quality, exposure, and risk analyses. SO<sub>2</sub> emissions and ambient concentrations follow a strong east to west gradient due to the large numbers of coal-fired electric generating units in the Ohio River Valley and upper Southeast regions. In the 12 CMSAs that had at least 4 SO<sub>2</sub> regulatory monitors from 2003-2005, 24-hour average concentrations in the continental U.S. ranged from a reported low of ~1 ppb in Riverside, CA and San Francisco, CA to a high of ~12 ppb in

Pittsburgh, PA and Steubenville, OH (ISA, section 2.4.4). In addition, inside CMSAs from 2003-2005, the annual average SO<sub>2</sub> concentration was 4 ppb (ISA, Table 2-8). However, spikes in hourly concentrations occurred; the mean 1-hour maximum concentration was 130 ppb, with a maximum value of greater than 700 ppb (ISA, Table 2-8).

In addition to considering 1-hour, 24-hour, and annual SO<sub>2</sub> levels in this document, examining the temporal and spatial patterns of 5-minute peaks of SO<sub>2</sub> is also important given that human clinical studies have demonstrated exposure to these peaks can result in adverse respiratory effects in exercising asthmatics (see Chapter 4). Although the total number of SO<sub>2</sub> monitors across the continuous U.S. can vary from year to year, in 2006 there were approximately 500 SO<sub>2</sub> monitors in the NAAQS monitoring network (ISA, section 2.5.2). State and local agencies responsible for these monitors are required to report 1-hour average SO<sub>2</sub> concentrations to the EPA Air Quality System (AQS). However, a small number of sites, only 98 total from 1997 to 2007, and not the same sites in all years, voluntarily reported 5-minute block average data to AQS (ISA, section 2.5.2). Of these, 16 reported all twelve 5-minute averages in each hour for at least part of the time between 1997 and 2007. The remainder reported only the maximum 5-minute average in each hour. When maximum 5-minute concentrations were reported, the absolute highest concentration over the ten-year period exceeded 4000 ppb, but for all individual monitors, the 99<sup>th</sup> percentile was below 200 ppb (ISA, section 2.5.2). Medians from these monitors reporting data ranged from 1 ppb to 8 ppb, and the average for each maximum 5-minute level ranged from 3 ppb to 17 ppb. Delaware, Pennsylvania, Louisiana, and West Virginia had mean values for maximum 5-minute data exceeding 10 ppb (ISA, section 2.5.2). Among aggregated within-state data for the 16 monitors from which all 5-minute average intervals were reported, the median values ranged from 1 ppb to 5 ppb, and the means ranged from 3 ppb to 11 ppb (ISA, section 2.5.2). The highest reported concentration was 921 ppb, but the 99th percentile values for aggregated within-state data were all below 90 ppb (ISA, section 2.5.2).

EPA has generally conducted NAAQS risk assessments that focus on the risks associated with levels of a pollutant that are in excess of policy relevant background (PRB). Policy relevant background levels are defined as concentrations of a pollutant that would occur in the U.S. in the absence of anthropogenic emissions in continental North America (defined here as the United

States, Canada, and Mexico). However, throughout much of the United States, SO<sub>2</sub> PRB levels are estimated to be at most 30 parts per trillion and contribute less than 1% to present day SO<sub>2</sub> concentrations (ISA, section 2.5.3). We note that in the Pacific Northwest and Hawaii, PRB concentrations can be considerably higher due to geogenic activity (e.g., volcanoes); in these areas, PRB can account for 70-80% of total SO<sub>2</sub> concentrations (ISA, section 2.5.3). Since we do not plan on conducting SO<sub>2</sub> risk assessments in areas with high background SO<sub>2</sub> levels due to natural sources, and the contribution of PRB is negligible in all other areas, EPA is addressing the risks associated with monitored and/or modeled ambient SO<sub>2</sub> levels without regard to PRB levels.

## **2.5 RELATIONSHIP OF PERSONAL EXPOSURE TO AMBIENT CONCENTRATIONS**

To help inform the evaluation of the epidemiologic evidence in Chapter 4 and the evidence-based considerations presented in Chapter 10, this section discusses the relationship of personal SO<sub>2</sub> exposure to ambient SO<sub>2</sub> concentrations. Many epidemiologic studies rely on measures of ambient SO<sub>2</sub> concentrations as surrogates for personal exposure to ambient SO<sub>2</sub>. Thus, it is important to consider the potential sources of error that are associated with using SO<sub>2</sub> measured by ambient monitors as a surrogate for personal exposure to ambient SO<sub>2</sub>. Key aspects related to this issue include: (1) ambient and personal sampling issues, (2) the spatial variability of ambient SO<sub>2</sub> concentrations, and (3) the relationship between ambient concentrations and personal exposures as influenced by exposure factors (e.g., indoor sources).

Only a limited number of studies have focused on the relationship between personal exposure and ambient concentrations of SO<sub>2</sub>, in part because ambient SO<sub>2</sub> levels have declined markedly over the past few decades. Indoor and outdoor SO<sub>2</sub> concentrations are often below detection limits for personal samplers<sup>2</sup> and in these situations, the ISA notes that associations between ambient concentrations and personal exposures are inadequately characterized (ISA, section 2.6.3.2). However, in studies with personal measurements above detection limits, the ISA states that a reasonably strong association was observed between personal SO<sub>2</sub> exposure and ambient concentrations (Brauer et al., 1989; Sarnat et al., 2006; described in ISA section 2.6.3.2).

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<sup>2</sup> The lower limit of detection of personal samplers is ~60 ppb for 1-hour and ~5 ppb for 24-hour. A discussion of personal sampler detection limits can be found in section 2.6.2 of the ISA.

In addition, the ISA notes that no study has examined the relationship between concentrations measured at ambient monitors and the community average exposure: a relationship that is more relevant than that of ambient concentration to personal exposure for community time-series studies (ISA, section 5.3).

Because epidemiologic studies rely on ambient SO<sub>2</sub> measurements at fixed site monitors, there is concern about the extent to which instrument error could influence the results of these studies. That is, the SO<sub>2</sub> monitoring network was designed and put into place when SO<sub>2</sub> concentrations were considerably higher, and thus, well within the standard monitor's limits of detection. However, SO<sub>2</sub> concentrations have fallen considerably over the years and are currently at, or very near these monitors' lower limit of detection (~3 ppb). As a result, greater relative error is most often observed at lower ambient concentrations compared to less frequent higher concentrations. Notably, the ISA states that it is unclear how instrument error will influence the effect estimates of epidemiologic studies relying on these measurements (ISA, section 2.6.4.1). As an additional matter, staff notes that the lower detection limit of these monitors is not considered problematic with respect to determining attainment of SO<sub>2</sub> NAAQS because the current 24-hour and annual standards, as well as the potential alternative 1-hour daily maximum standards, are all well within the detection limits of the SO<sub>2</sub> monitoring network.

Uncertainty in epidemiologic studies is also associated with the spatial and temporal variation of SO<sub>2</sub> across communities. The ISA finds that site-to-site correlations of SO<sub>2</sub> concentrations among monitors in U.S. cities ranges from very low to very high (ISA, section 2.6.4.1; ISA, Table 2-9). This suggests that at any given time, SO<sub>2</sub> concentrations at individual monitoring sites may not highly correlate with the average SO<sub>2</sub> concentration in the community. This could be the result of local sources (e.g., power plants) causing an uneven spatial distribution of SO<sub>2</sub>, monitors being sited to represent concentrations near local sources, or effects related to terrain or weather (ISA, section 2.6.4.1). However, this type of error is not thought to bias community time-series results in a positive direction because it generally tends to reduce, rather than increase, effect estimates.

In epidemiologic studies, since people spend most of their time indoors, there is also uncertainty in the relationship between ambient concentrations measured by local monitors and actual personal exposure related to ambient sources. That is, the presence of indoor or

nonambient sources of SO<sub>2</sub> could complicate the interpretation of associations between personal exposure and ambient SO<sub>2</sub> in exposure studies. Sources of indoor SO<sub>2</sub> are associated with the use of sulfur-containing fuels, with higher levels expected when emissions are poorly vented. In the U.S., the contribution of indoor sources is not thought to be a major contributor to overall SO<sub>2</sub> exposure because the only known indoor source is kerosene heaters and their use is not thought to be widespread (ISA, section 2.6.4.1).

The ISA concludes that exposure error caused by using ambient concentrations of SO<sub>2</sub> as a surrogate for exposure to ambient SO<sub>2</sub> is a source of uncertainty for epidemiologic studies. However, in community time-series and short-term panel epidemiologic studies, exposure error would tend to bias the effect estimate towards the null (ISA, section 2.6.4.4. and 5.3).

## 2.6 KEY OBSERVATIONS

- SO<sub>2</sub> emissions and ambient concentrations follow a strong east to west gradient due to the large numbers of coal-fired electric generating units in the Ohio River Valley and upper Southeast regions.
- In the 12 CMSAs that had at least 4 SO<sub>2</sub> regulatory monitors from 2003-2005, 24-hour average concentrations in the continental U.S. ranged from a reported low of ~1 ppb in Riverside, CA and San Francisco, CA to a high of ~12 ppb in Pittsburgh, PA and Steubenville, OH.
- Inside CMSAs from 2003-2005, the annual average SO<sub>2</sub> concentration was 4 ppb.
- Inside CMSAs from 2003-2005, the mean 1-hour maximum concentration was 130 ppb, with a maximum value of greater than 700 ppb.
- A small number of sites, only 98 total from 1997 to 2007, and not the same sites in all years—voluntarily reported 5-minute block average data to AQS. Of these, 16 reported all twelve 5-minute averages in each hour, while the remainder reported only the maximum 5-minute average in each hour.
- Throughout much of the United States, SO<sub>2</sub> PRB levels are estimated to be at most 30 parts per trillion and contribute less than 1% to present day SO<sub>2</sub> concentrations.
- The ISA concludes that exposure error caused by using ambient concentrations of SO<sub>2</sub> as a surrogate for exposure to ambient SO<sub>2</sub> is a source of uncertainty for epidemiologic studies. However, in community time-series and short-term panel epidemiologic studies, exposure error would tend to bias the effect estimate towards the null. Thus, results of these studies can be used, in part, to evaluate the adequacy of the current and potential alternative SO<sub>2</sub> standards (see Chapter 10)



## 3. AT RISK POPULATIONS

### 3.1 OVERVIEW

Interindividual variation in human responses to air pollutants indicates that some subpopulations are at increased risk for the detrimental effects of ambient exposure to SO<sub>2</sub>. The NAAQS are intended to provide an adequate margin of safety for both general populations and sensitive subpopulations, or those subgroups potentially at increased risk for health effects in response to ambient air pollution. To facilitate the identification of subpopulations at the greatest risk for SO<sub>2</sub>-related health effects, studies have identified factors that contribute to the susceptibility and/or vulnerability of an individual to SO<sub>2</sub>. Susceptible individuals are broadly defined as those with a greater likelihood of an adverse outcome given a specific exposure in comparison with the general population (American Lung Association, 2001). The susceptibility of an individual to SO<sub>2</sub> can encompass a multitude of factors which represent normal developmental phases (e.g., age) or biologic attributes (e.g., gender); however, other factors (e.g., socioeconomic status (SES)) may influence the manifestation of disease and also increase an individual's susceptibility (American Lung Association, 2001). In addition, subpopulations may be vulnerable to SO<sub>2</sub> in response to an increase in their exposure during certain windows of life (e.g., childhood or old age) or as a result of external factors (e.g., SES) that contribute to an individual being disproportionately exposed to higher concentrations than the general population. It should be noted that in some cases specific factors may affect both the susceptibility and vulnerability of a subpopulation to SO<sub>2</sub>. For example, a subpopulation that is characterized as having low SES may have less access to healthcare resulting in the manifestation of a disease, which increases their susceptibility to SO<sub>2</sub>, but they may also reside in a location that results in exposure to higher concentrations of SO<sub>2</sub>, increasing their vulnerability to SO<sub>2</sub>.

To examine whether SO<sub>2</sub> differentially affects certain subpopulations, stratified analyses are often conducted in epidemiologic investigations to identify the presence or absence of effect modification. A thorough evaluation of potential effect modifiers may help identify subpopulations that are more susceptible and/or vulnerable to SO<sub>2</sub>. These analyses require the proper identification of confounders and their subsequent adjustment in statistical models, which helps separate a spurious, from a true causal association. Although the design of toxicological and human clinical studies does not allow for an extensive examination of effect modifiers, the

use of animal models of disease and the study of individuals with underlying disease or genetic polymorphisms do allow for comparisons between subgroups. Therefore, the results from these studies, combined with those results obtained through stratified analyses in epidemiologic studies, contribute to the overall weight of evidence for the increased susceptibility and vulnerability of specific subpopulations to SO<sub>2</sub>. Those groups identified in the ISA to be potentially at greater risk of experiencing an adverse health effect from SO<sub>2</sub> exposure are described in more detail below.

### **3.2 PRE-EXISTING RESPIRATORY DISEASE**

In human clinical studies, asthmatics have been shown to be more responsive to the respiratory effects of SO<sub>2</sub> exposure than healthy non-asthmatics. While SO<sub>2</sub>-attributable decrements in lung function have generally not been demonstrated at concentrations  $\leq$  1000 ppb in non-asthmatics, statistically significant increases in respiratory symptoms and decreases in lung function have consistently been observed in exercising asthmatics following 5 to 10 minute SO<sub>2</sub> exposures at concentrations ranging from 400-600 ppb (ISA, section 4.2.1.1). Moderate or greater SO<sub>2</sub>-induced decrements in lung function have also consistently been observed at SO<sub>2</sub> concentrations ranging from 200-300 ppb in some asthmatics. The ISA also notes that a number of epidemiologic studies have reported respiratory morbidity in asthmatics associated with SO<sub>2</sub> exposure (ISA 4.2.1.1). For example, numerous epidemiologic studies have observed positive associations between ambient SO<sub>2</sub> concentrations and ED visits and hospitalizations for asthma (ISA section 4.2.1.1). Overall, the ISA concludes that epidemiologic and controlled human exposure studies indicate that individuals with pre-existing respiratory diseases, particularly asthma, are at greater risk than the general population of experiencing SO<sub>2</sub>-associated health effects (ISA, section 4.2.1.1).

### **3.3 GENETICS**

The ISA notes that a consensus now exists among scientists that the potential for genetic factors to increase the risk of experiencing adverse health effects due to ambient air pollution merits serious consideration. Several criteria must be satisfied in selecting and establishing useful links between polymorphisms in candidate genes and adverse respiratory effects. First, the product of the candidate gene must be significantly involved in the pathogenesis of the effect

of interest, which is often a complex trait with many determinants. Second, polymorphisms in the gene must produce a functional change in either the protein product or in the level of expression of the protein. Third, in epidemiologic studies, the issue of effect modification by other genes or environmental exposures must be carefully considered (ISA section 4.2.2).

While many studies have examined the association between genetic polymorphisms and susceptibility to air pollution in general, only one study has specifically examined the effects of SO<sub>2</sub> exposure on genetically distinct subpopulations. Winterton et al. (2001) found a significant association between SO<sub>2</sub>-induced decrements in Forced Expiratory Volume in the first second (FEV<sub>1</sub>) and the homozygous wild-type allele in the promoter region of Tumor Necrosis Factor- $\alpha$  (TNF- $\alpha$ ; AA, position -308). However, the ISA concluded that the overall body of evidence was too limited to reach a conclusion regarding the effects of SO<sub>2</sub> exposure on genetically distinct subpopulations at this time.

### **3.4 AGE**

The ISA identifies children (i.e., <18 years of age) and older adults (i.e., >65 years of age) as groups that are potentially at greater risk of experiencing SO<sub>2</sub>-associated adverse health effects. In children, the developing lung is prone to damage from environmental toxicants as it continues to develop through adolescence. The biological basis for increased risk in the elderly is unknown, but one hypothesis is that it may be related to changes in antioxidant defenses in the fluid lining the respiratory tract. The ISA found a number of epidemiologic studies that observed increased respiratory symptoms in children associated with increasing SO<sub>2</sub> concentrations. In addition, several studies have reported that the excess risk estimates for ED visits and hospitalizations for all respiratory causes, and to a lesser extent asthma, associated with a 10-ppb increase in 24-hour average SO<sub>2</sub> concentrations were higher for children and older adults than for all ages together (ISA, section 4.2.3). However, the ISA also notes that the evidence from controlled human exposure studies does not suggest that adolescents are either more or less at risk than adults to the respiratory effects of SO<sub>2</sub>, but rather adolescents may experience similar respiratory effects at a given exposure concentration (ISA, sections 3.1.3.5 and 4.2.3). Overall, the ISA finds that compared to the general population, there is limited evidence to suggest that children and older adults are at greater risk of experiencing SO<sub>2</sub>-associated health effects (ISA, section 4.2.3).

### **3.5 TIME SPENT OUTDOORS**

Outdoor SO<sub>2</sub> concentrations are generally much higher than indoor concentrations. Thus, the ISA notes that individuals who spend a significant amount of time outdoors are likely at greater risk of experiencing SO<sub>2</sub>-associated health effects than those who spend most of their time indoors (ISA section 4.2.5).

### **3.6 VENTILLATION RATE**

Controlled human exposure studies have demonstrated that decrements in lung function and respiratory symptoms occur at significantly lower SO<sub>2</sub> exposure levels in exercising subjects compared to resting subjects. As ventilation rate increases, breathing shifts from nasal to oronasal, thus resulting in greater uptake of SO<sub>2</sub> in the tracheobronchial airways due to the diminished absorption of SO<sub>2</sub> in the nasal passages. Therefore, individuals who spend a significant amount of time at elevated ventilation rates (e.g. while playing, exercising, or working) are expected to be at greater risk of experiencing SO<sub>2</sub>-associated health effects (ISA section 4.2.5).

### **3.7 SOCIOECONOMIC STATUS**

There is limited evidence that increased risk to SO<sub>2</sub> exposure is associated with lower SES (ISA section 4.2.5). Finkelstein et al. (2003) found that among people with below-median income, the relative risk for above-median exposure to SO<sub>2</sub> was 1.18 (95% CI: 1.11, 1.26); the corresponding relative risk among subjects with above-median income was 1.03 (95% CI: 0.83, 1.28). However, the ISA concludes that there is insufficient evidence to reach a conclusion regarding SES and exposure to SO<sub>2</sub> at this time (ISA section 4.2.5).

### **3.8 NUMBER OF AT RISK INDIVIDUALS**

Considering the size of the groups mentioned above, large proportions of the U.S. population are likely to have a relatively high risk of experiencing SO<sub>2</sub>-related health effects. In the United States, approximately 10% of adults and 13% of children have been diagnosed with asthma. Notably, the prevalence and severity of asthma is higher among certain ethnic or racial groups such as Puerto Ricans, American Indians, Alaskan Natives, and African Americans (ISA for NO<sub>x</sub>, section 4.4). Furthermore, a higher prevalence of asthma among persons of lower SES and an excess burden of asthma hospitalizations and mortality in minority and inner-city

communities have been observed. In addition, population groups based on age comprise substantial segments of individuals that may be potentially at risk for SO<sub>2</sub>-related health impacts. Based on U.S. census data from 2000, about 72.3 million (26%) of the U.S. population are under 18 years of age, 18.3 million (7.4%) are under 5 years of age, and 35 million (12%) are 65 years of age or older. There is also concern for the large segment of the population that is potentially at risk to SO<sub>2</sub>-related health effects because of increased time spent outdoors at elevated ventilation rates (those who work or play outdoors). Overall, the considerable size of the population groups at risk indicates that exposure to ambient SO<sub>2</sub> could have a significant impact on public health in the United States.

### **3.9 KEY OBSERVATIONS**

- The susceptibility of an individual to SO<sub>2</sub> can encompass a multitude of factors which represent normal developmental phases (e.g., age) or biologic attributes (e.g., gender); however, other factors (e.g., SES) may influence the manifestation of disease and also increase an individual's susceptibility.
- Subpopulations may be vulnerable to SO<sub>2</sub> in response to an increase in their exposure during certain windows of life (e.g., childhood or old age) or as a result of external factors (e.g., SES) that contribute to an individual being disproportionately exposed to higher concentrations than the general population.
- In some cases specific factors may affect both the susceptibility and vulnerability of a subpopulation to SO<sub>2</sub>.
- The ISA concludes that individuals with pre-existing respiratory disease are likely at greater risk than the general population of experiencing SO<sub>2</sub>-associated health effects.
- Epidemiologic studies suggest that children and older adults may be at greater risk of experiencing SO<sub>2</sub>-associated health effects. However, the evidence from controlled human exposure studies suggests that adolescents are neither more nor less at risk than adults.
- People who spend extended periods of time outdoors and/or at elevated ventilation rates are likely at increased risk of experiencing adverse health effects from SO<sub>2</sub> exposure.
- Large proportions of the U.S. population are likely to be at increased risk of experiencing SO<sub>2</sub>-related health effects. Thus, exposure to ambient SO<sub>2</sub> could have a significant impact on public health in the United States

## **4. INTEGRATION OF HEALTH EVIDENCE**

### **4.1 INTRODUCTION**

The ISA, along with its annexes, integrates newly available epidemiologic, human clinical, and animal toxicological evidence with consideration of key findings and conclusions from prior reviews to draw conclusions about the relationship between short- and long-term exposure to SO<sub>2</sub> and numerous human health categories. For these health effects, the ISA characterizes judgments about causality with a hierarchy (for discussion see ISA section 1.3.7) that contains the following five levels:

- Sufficient to infer a causal relationship
- Sufficient to infer a likely causal relationship (i.e., more likely than not)
- Suggestive but not sufficient to infer a causal relationship
- Inadequate to infer the presence or absence of a causal relationship
- Suggestive of no causal relationship

The ISA notes that these judgments about causality are informed by a series of aspects of causality that are based on those set forth by Sir Austin Bradford Hill in 1965 (ISA section 1.3.6). These aspects include strength of the observed association, availability of experimental evidence, consistency of the observed association, biological plausibility, coherence of the evidence, temporal relationship of the observed association, and the presence of an exposure-response relationship. A summary of each of the five levels of the hierarchy is provided in Table 1-2 of the ISA, which has also been included below (Table 4-1).

**Table 4-1. Weight of evidence for causal determination.**

RELATIONSHIP	DESCRIPTION
<b>Causal relationship</b>	Evidence is sufficient to conclude that there is a causal relationship between relevant pollutant exposures and the health outcome. That is, a positive association has been observed between the pollutant and the outcome in studies in which chance, bias, and confounding could be ruled out with reasonable confidence. Evidence includes, for example, controlled human exposure studies; or observational studies that cannot be explain by plausible alternatives or are supported by other lines of evidence (e.g. animal studies or mechanism of action information). Evidence includes replicated and consistent high-quality studies by multiple investigators.
<b>Likely to be a causal relationship</b>	Evidence is sufficient to conclude that a causal relationship is likely to exist between relevant pollutant exposures and the health outcome but important uncertainties remain. That is, a positive association has been observed between the pollutant and the outcome in studies in which chance and bias can be ruled out with reasonable confidence but potential issues remain. For example: a) observational studies show positive associations but copollutant exposures are difficult to address and/or other lines of evidence (controlled human exposure, animal, or mechanism of action information) are limited or inconsistent; or b) animal evidence from multiple studies, sex, or species is positive but limited or no human data are available. Evidence generally includes replicated and high-quality studies by multiple investigators.
<b>Suggestive of a causal relationship</b>	Evidence is suggestive of a causal relationship between relevant pollutant exposures and the health outcome, but is limited because chance, bias and confounding cannot be ruled out. For example, at least one high-quality study shows a positive association but the results of other studies are inconsistent.
<b>Inadequate to infer a causal relationship</b>	Evidence is inadequate to determine that a causal relationship exists between relevant pollutant exposures and the health outcome. The available studies are of insufficient quantity, quality, consistency or statistical power to permit a conclusion regarding the presence or absence of an association between relevant pollutant exposure and the outcome.
<b>Suggestive of no causal relationship</b>	Evidence is suggestive of no causal relationship between relevant pollutant exposures and the health outcome Several adequate studies, covering the full range of levels of exposure that human beings are known to encounter and considering sensitive subpopulations, are mutually consistent in not showing a positive association between exposure and the outcome at any level of exposure. The possibility of a very small elevation in risk at the levels of exposure studied can never be excluded.

Considering the framework presented in Table 4-1, the ISA concludes that there is sufficient evidence to infer a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub> (ISA, section 5.2). The ISA bases this conclusion on the consistency,

coherence, and plausibility of findings observed in controlled human exposure studies of 5-10 minutes, epidemiologic studies mostly using 24-hour average concentrations, and animal toxicological studies using exposures of minutes to hours (ISA, section 5.2). The evidence of an association between SO<sub>2</sub> exposure and other health categories is judged to be less convincing, at most suggestive but not sufficient to infer a causal relationship. Key conclusions from the ISA are summarized below and are described in greater detail in Table 5-3 of the ISA.

- **Sufficient to infer a causal relationship:**
  - Short-Term Exposure to SO<sub>2</sub> and Respiratory Morbidity
- **Suggestive but not sufficient to infer a causal relationship:**
  - Short-Term Exposure to SO<sub>2</sub> and Mortality
- **Inadequate to infer the presence or absence of a causal relationship**
  - Short-Term Exposure to SO<sub>2</sub> and Cardiovascular Morbidity;
  - Long-Term Exposure to SO<sub>2</sub> and Respiratory Morbidity;
  - Long-Term Exposure to SO<sub>2</sub> and Other Morbidity;
  - Long-Term Exposure to SO<sub>2</sub> and Mortality

The integrated health discussion in this chapter will focus on health effect categories for which the ISA finds a causal or likely causal relationship, as these effect categories are the basis for the potential health effect benchmarks and quantitative health risk assessment included in Chapters 7 through 9 of this document. As a result, this chapter will present an integrated discussion of the health evidence related to respiratory morbidity following short-term exposure to SO<sub>2</sub>. This is because respiratory morbidity is the only health effect category found by the ISA to have either a causal or likely causal association with SO<sub>2</sub>. The focus on health effect categories with the strongest evidence for purposes of the quantitative evaluation is consistent with prior NAAQS reviews, including the recent NO<sub>2</sub> REA. However, we note that other health endpoints will be considered as part of the policy discussion in Chapter 10 and during the rulemaking process.

In addition to an integrated discussion of the respiratory morbidity health evidence, section 4.3 of this chapter will discuss whether SO<sub>2</sub>-associated health effects can reasonably be



considered adverse. Briefly, this discussion will integrate: 1) respiratory morbidity health evidence; 2) conclusions from previous NAAQS reviews regarding adversity of effect; 3) ATS guidelines on what constitutes an adverse health effect of air pollution; and 4) CASAC views regarding the impact of moderate decrements in lung function or respiratory symptoms on individuals with pre-existing lung disease.

## **4.2 RESPIRATORY MORBIDITY FOLLOWING SHORT-TERM SO<sub>2</sub> EXPOSURE**

### **4.2.1 Overview**

The ISA concludes that there is sufficient evidence to infer a causal relationship between respiratory morbidity and short-term (5-minutes to 24-hours) exposure to SO<sub>2</sub> (ISA, section 5.2). In large part, this determination is based on the results of controlled human exposure studies in exercising asthmatics demonstrating a relationship between 5-10 minute peak SO<sub>2</sub> exposures and decrements in lung function that are frequently accompanied by respiratory symptoms. In fact, the ISA describes the controlled human exposure studies as being the “definitive evidence” for its causal determination between short-term SO<sub>2</sub> exposure and respiratory morbidity (ISA, section 5.2). In addition to the controlled human exposure evidence, the ISA finds supporting evidence for its causal determination from a large body of epidemiologic studies observing positive associations between ambient SO<sub>2</sub> levels and respiratory symptoms, as well as ED visits and hospital admissions for all respiratory causes and asthma (ISA, section 5.2). An integrated discussion of the controlled human exposure and epidemiologic evidence from the ISA is presented below. In addition, section 4.2.3 discusses the effect of medication on SO<sub>2</sub>-induced respiratory morbidity.

### **4.2.2 Integration of Respiratory Morbidity Health Evidence**

As previously mentioned, the ISA’s finding of a causal relationship between respiratory morbidity and short-term SO<sub>2</sub> exposure is based in large part on results from controlled human exposure studies involving exercising asthmatics. In general, these studies demonstrate that asthmatic individuals exposed to SO<sub>2</sub> concentrations as low as 200-300 ppb for 5-10 minutes during exercise experience moderate or greater bronchoconstriction, measured as a decrease in FEV<sub>1</sub> of  $\geq 15\%$  or an increase in specific airway resistance (sRaw) of  $\geq 100\%$  after correction for exercise-induced responses in clean air (Bethel et al., 1983; Linn et al., 1983, 1984, 1987; 1988;

1990; Magnussen et al., 1990; Roger et al., 1985; Gong et al., 1995; Trenga et al., 1999). In addition, the ISA finds that among asthmatics, both the percentage of individuals affected, and the severity of the response increases with increasing SO<sub>2</sub> concentrations. That is, at concentrations ranging from 200-300 ppb, the lowest levels tested in free breathing chamber studies<sup>3</sup>, 5-30% percent of exercising asthmatics experience moderate or greater decrements in lung function (ISA, Table 3-1). At concentrations  $\geq$  400 ppb, moderate or greater decrements in lung function occur in 20-60% of exercising asthmatics, and compared to exposures at 200-300 ppb, a larger percentage of asthmatics experience severe decrements in lung function (i.e.,  $\geq$  200% increase in sRaw, and/or a  $\geq$  20% decrease in FEV<sub>1</sub>) (ISA, Table 3-1). Moreover, at SO<sub>2</sub> concentrations  $\geq$  400 ppb, moderate or greater decrements in lung function are frequently accompanied by respiratory symptoms (e.g., cough, wheeze, chest tightness, shortness of breath) (Balmes et al., 1987; Gong et al., 1995; Linn et al., 1983; 1987; 1988; 1990; ISA, Table 3-1). Further analysis and discussion of the individual studies leading to the conclusions presented above can be found in Sections 3.1.1 to 3.1.3.5 of the ISA.

Supporting the human clinical evidence is a relatively larger body of epidemiologic studies published since the last review. In general, these studies observed positive associations between ambient SO<sub>2</sub> concentrations and respiratory symptoms, as well as ED visits and hospitalizations for all respiratory causes (particularly among children and older adults) and asthma. Moreover, although copollutant adjustment had varying degrees of influence on the SO<sub>2</sub> effect estimate in ED visit and hospitalization studies, the effect of SO<sub>2</sub> appeared to be generally robust and independent of gaseous copollutants, including NO<sub>2</sub> (Anderson et al., 1998; Lin et al., 2004a; Sunyer et al., 1997) and O<sub>3</sub> (Anderson et al., 1998; Hajat et al., 1999; Tsai et al., 2006; Yang et al., 2003; 2005). With respect to potential confounding by PM<sub>10</sub>, the evidence of an independent SO<sub>2</sub> effect on respiratory health was less consistent, with some positive associations with ED visit and hospitalization results becoming negative (although the negative results were not statistically significant) after inclusion of PM<sub>10</sub> in regression models (Galan et al., 2003; Schwartz, 1995 [in New Haven, CT]; Tsai et al., 2006). However, several other ED visit and hospitalization studies found the SO<sub>2</sub> effect estimate to be generally robust after inclusion of

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<sup>3</sup> The ISA cites one chamber study with intermittent exercise where healthy and asthmatic children were exposed to 100 ppb SO<sub>2</sub> in a mixture with ozone and sulfuric acid. The ISA notes that compared to exposure to filtered air, exposure to the pollutant mix did not result in statistically significant changes in lung function or respiratory symptoms (ISA section 3.1.3.4)

PM<sub>10</sub> in regression models (Burnett et al., 1997; Hagen et al., 2000; Hajat et al., 1999; Schwartz, 1995 [in Tacoma, WA]). Furthermore, in most (Van der Zee et al., 1999; Mortimer et al., 2002 and Schildcrout et al., 2006), but not all (Schwartz et al., 1994) studies of respiratory symptoms, the SO<sub>2</sub> effect estimate remained robust and relatively unchanged after inclusion of PM<sub>10</sub> in multipollutant models (although the effect estimate may have lost statistical significance). In addition, SO<sub>2</sub>-effect estimates generally remained robust in the limited number of studies that included PM<sub>2.5</sub> and/or PM<sub>10-2.5</sub> in multipollutant models (Burnett et al., 1997; Ito et al., 2007; Lin et al., 2003; NY DOH, 2006). Taken together, the ISA ultimately concludes that studies employing multipollutant models suggest that SO<sub>2</sub> has an independent effect on respiratory morbidity outcomes (ISA, section 5.2).

The ISA further characterizes the epidemiologic results of increases in respiratory symptoms as well as increases in hospital admissions and ED visits as being consistent and coherent. The evidence is consistent in that associations are reported in studies conducted in numerous locations and with a variety of methodological approaches (ISA, section 5.2). Epidemiologic results are coherent in that respiratory symptoms results from epidemiologic studies with short-term ( $\geq$  1-hour) exposures are generally in agreement with respiratory symptom results from controlled human exposure studies of 5-10 minutes. However, the ISA notes the differences in averaging times associated with respiratory effects in human exposure and epidemiologic studies. That is, while adverse respiratory effects are observed following 5-10 minute exposures in human clinical studies, the majority of positive respiratory results from epidemiologic studies are associated with a 24-hour averaging time- the only averaging time evaluated in the vast majority of these studies. As a potential explanation for the difference in averaging times employed across study designs, the ISA suggests that it is possible that results from epidemiologic studies are being driven, at least in part, by shorter-term peak SO<sub>2</sub> concentrations (ISA section 5.2). More specifically, with respect to epidemiologic studies of respiratory symptoms, the ISA states “that it is possible that these associations are determined in large part by peak exposures within a 24-hour period” (ISA, section 5.2). Similarly, the ISA states that the respiratory effects following peak SO<sub>2</sub> exposures in controlled human exposure studies provides a basis for a progression of respiratory morbidity that could result in increased ED visits and hospital admissions (ISA, section 5.2). Also, it should be noted there is

epidemiologic evidence to suggest that shorter-term peak SO<sub>2</sub> concentrations can result in adverse respiratory effects. That is, there are a relatively small number of epidemiologic studies demonstrating positive associations between 1-hour daily maximum SO<sub>2</sub> concentrations and respiratory symptoms, as well ED visits and hospitalizations (ISA, Tables 5-4 and 5-5). While these studies are not limiting the exposure to a defined 1-hour period, they provide additional evidence that the shorter term peaks result in adverse respiratory effects.

The ISA also finds that the respiratory effects of SO<sub>2</sub> are consistent with the mode of action as it is currently understood from animal toxicological and human exposure studies (ISA, section 5.2). The immediate effect of SO<sub>2</sub> on the respiratory system is bronchoconstriction. This response is mediated by chemosensitive receptors in the tracheobronchial tree. Activation of these receptors triggers central nervous system reflexes that result in bronchoconstriction and respiratory symptoms that are often followed by rapid shallow breathing (ISA, section 5.2). The ISA notes that asthmatics are likely more sensitive to the respiratory effects of SO<sub>2</sub> due to preexisting inflammation associated with the disease. For example, pre-existing inflammation may lead to enhanced release of inflammatory mediators, and/or enhanced sensitization of the chemosensitive receptors (ISA, section 5.2).

Taken together, the ISA concludes that the controlled human exposure, epidemiologic, and toxicological evidence support its determination of a causal relationship between respiratory morbidity and short-term (5-minutes to 24-hours) exposure to SO<sub>2</sub>. Results from controlled human exposure studies provide the definitive evidence for this conclusion, while supporting evidence is found in numerous epidemiologic studies of respiratory symptoms and ED visits and hospitalizations (ISA, section 5.2). The ISA further notes that both lines of evidence are consistent with the SO<sub>2</sub> mode of action as it is currently understood (ISA, section 5.2).

#### **4.2.3 Medication as an Effect Modifier**

As mentioned above, the immediate effect of SO<sub>2</sub> on the respiratory system is bronchoconstriction. Thus, we note that quick-relief and long-term-control asthma medications have been shown to provide varying degrees of protection against SO<sub>2</sub>-induced bronchoconstriction in mild and moderate asthmatics (ISA section 3.1.3.2 and Annex Table D-1). More specifically, while no therapy has been shown to completely eliminate SO<sub>2</sub>-induced respiratory effects in exercising asthmatics, some short- and long-acting asthma medications are

capable of significantly reducing SO<sub>2</sub>-induced bronchoconstriction (Gong et al., 1996; 2001; Koenig et al., 1987; Linn et al., 1990). However, the ISA notes that asthma is often poorly controlled even among severe asthmatics due to inadequate drug therapy or poor compliance among those who are on regular medication (Rabe et al., 2004). Moreover, the ISA also notes that mild asthmatics, who constitute the majority of asthmatic individuals, are much less likely to use asthma medication than asthmatics with more severe disease (O’Byrne, 2007; Rabe et al., 2004). Therefore, the ISA finds that it is reasonable to conclude that all asthmatics (i.e., mild, moderate, and severe), are at high risk of experiencing adverse respiratory effects from SO<sub>2</sub> exposure (ISA section 3.1.3.2).

#### **4.3 WHAT CONSTITUTES AN ADVERSE HEALTH IMPACT FROM SO<sub>2</sub> EXPOSURE?**

In making judgments as to when various SO<sub>2</sub> -related health effects become regarded as adverse to the health of individuals, staff has relied upon the guidelines published by the American Thoracic Society (ATS), conclusions from previous NAAQS reviews, and the advice of CASAC. Taken together, staff concludes that for asthmatics, SO<sub>2</sub>-induced respiratory effects are adverse. The rationale for this conclusion is presented below.

The ATS has previously defined adverse respiratory health effects as “medically significant physiologic changes generally evidenced by one or more of the following: (1) interference with the normal activity of the affected person or persons, (2) episodic respiratory illness, (3) incapacitating illness, (4) permanent respiratory injury, and/or (5) progressive respiratory dysfunction” (ATS 1985). The ATS has also recommended that transient loss in lung function with accompanying respiratory symptoms, or detectable effects of air pollution on clinical measures (e.g., medication use) be considered adverse (ATS 1985). We also note that during the last O<sub>3</sub> NAAQS review, the CD and Staff Paper indicated that for many people with lung disease (e.g., asthma), even moderate decrements in lung function (e.g., FEV<sub>1</sub> decrements > 10% but < 20% and/or ≥100% increases in sRaw) or respiratory symptoms would likely interfere with normal activities and result in additional and more frequent use of medication (EPA 2006, EPA 2007e). In addition, CASAC has previously indicated that in the context of standard setting, a focus on the lower end of the range of moderate functional responses is most appropriate for estimating potentially adverse lung function decrements in people with lung

disease (73 FR16463). Finally, we note that in the current SO<sub>2</sub> NAAQS review, clinicians on the CASAC Panel again advised that moderate or greater decrements in lung function can be clinically significant in some individuals with respiratory disease (CASAC transcripts, July 30-31 2008, pages 211-213)

Considering the advice and recommendations described above, as well as key conclusions in the ISA, staff finds that for asthmatics, SO<sub>2</sub>-induced respiratory effects are adverse. Human exposure studies are described in the ISA as being the “definitive evidence” for a causal association between short-term SO<sub>2</sub> exposure and respiratory morbidity (ISA, section 5.2). These studies have consistently demonstrated that exposure to SO<sub>2</sub> concentrations as low as 200-300 ppb for 5-10 minutes can result in moderate or greater decrements in lung function, evidenced by a  $\geq 15\%$  decline in FEV<sub>1</sub> and/or  $\geq 100\%$  increase in sRaw in a significant percentage of exercising asthmatics (see section 4.2.2). It is highly likely that these decrements in lung function will result in increased medication use and a disruption of normal activities for a significant percentage of these asthmatics. This expectation is supported by a number of human exposure studies reporting that some exercising asthmatics required the use of medication to treat the respiratory effects that followed a 5-10 minute SO<sub>2</sub> exposure (EPA 1994a). It is also supported by CASAC views during the previous O<sub>3</sub> review that moderate declines in FEV<sub>1</sub> can be clinically significant in some individuals (Henderson 2006). As an additional matter, we note that human exposure studies have also reported that at SO<sub>2</sub> concentrations  $\geq 400$  ppb, lung function decrements (i.e.,  $\geq 15\%$  decline in FEV<sub>1</sub> and/or  $\geq 100\%$  increase in sRaw) are frequently accompanied by respiratory symptoms. Taken together, staff concludes that human exposure studies demonstrate that adverse respiratory effects occur in exercising asthmatics following 5-10 minute SO<sub>2</sub> exposures as low as 200 ppb. However, we also note that the subjects participating in these exposure studies do not represent the most sensitive asthmatics (i.e., severe asthmatics), and therefore, it is possible that adverse respiratory effects could occur at lower SO<sub>2</sub> concentrations in these individuals.

Epidemiologic studies also indicate that adverse respiratory morbidity effects are associated with SO<sub>2</sub>. In reaching the conclusion of a causal relationship between respiratory morbidity and short-term SO<sub>2</sub> exposure, the ISA generally found positive associations between ambient SO<sub>2</sub> concentrations and ED visits and hospitalizations for all respiratory causes and

asthma (see section 4.2.2). Notably, ED visits and hospitalizations attributable to air pollution are considered adverse effects under ATS guidelines. These studies also indicate that SO<sub>2</sub> is associated with episodic respiratory illness and aggravation of respiratory diseases, which under ATS guidance, would also be considered adverse effects of air pollution.

In 2000, the ATS published updated guidelines on what constitutes an adverse health effect of air pollution (ATS, 2000). These guidelines expanded those released in 1985 (ATS 1985). Among other considerations, the 2000 guidelines stated that measurable negative effects of air pollution on quality of life should be considered adverse (ATS 2000). These updated guidelines also indicated that exposure to air pollution that increases the risk of an adverse effect to the entire population is adverse, even though it may not increase the risk of any individual to an unacceptable level (ATS 2000). For example, a population of asthmatics could have a distribution of lung function such that no individual has a level associated with significant impairment. Exposure to air pollution could shift the distribution to lower levels that still do not bring any individual to a level that is associated with clinically relevant effects. However, this would be considered adverse because individuals within the population would have diminished reserve function, and therefore would be at increased risk if affected by another agent (ATS 2000).

The 2000 ATS guidelines further strengthen the conclusion that SO<sub>2</sub>-induced respiratory effects are adverse. As previously mentioned, human clinical studies have consistently demonstrated that SO<sub>2</sub> exposure can result in moderate or greater decrements in FEV<sub>1</sub> and sRaw at levels as low as 200-300 ppb in a significant percentage of exercising asthmatics. Staff finds that these results could reasonably indicate an SO<sub>2</sub>-induced shift in these lung function measurements for this population. As a result, a significant percentage of exercising asthmatics exposed to SO<sub>2</sub> concentrations as low as 200 ppb would have diminished reserve lung function and would be at greater risk if affected by another respiratory agent (e.g., viral infection). Importantly, diminished reserve lung function in a population that is attributable to air pollution is an adverse effect under ATS guidance.

Staff finds multiple lines of evidence indicating that exposure to SO<sub>2</sub> concentrations at least as low as 200 ppb can result in adverse respiratory effects. We note that this is in agreement with CASAC comments offered on the first draft SO<sub>2</sub> REA. The CASAC letter to the

Administrator states: “CASAC believes strongly that the weight of clinical and epidemiology evidence indicates there are detectable clinically relevant health effects in sensitive subpopulations down to a level at least as low as 0.2 ppm SO<sub>2</sub> (Henderson 2008).” Thus, when examining the adequacy of the current and potential alternative standards (see Chapter 10), staff finds it appropriate to consider the degree of protection these standards provide, or would provide, against moderate or greater decrements in lung function and/or respiratory symptoms in asthmatics at elevated breathing ventilation rates.

#### **4.4 KEY OBSERVATIONS**

- The ISA concludes that there is sufficient evidence from human exposure, epidemiologic, and toxicological studies to infer a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub>
- The ISA characterizes no other health endpoints as having a causal or likely causal association with short or long-term exposure to SO<sub>2</sub>.
- Human exposure studies demonstrate that at SO<sub>2</sub> concentrations ranging from 200-300 ppb, the lowest levels tested in free breathing chamber studies, 5-30% percent of exercising asthmatics experience moderate or greater decrements in lung function (i.e.,  $\geq$  100% increase in sRaw, and/or a  $\geq$  15% decrease in FEV<sub>1</sub>). At concentrations  $\geq$  400 ppb, moderate or greater decrements in lung function occur in 20-60% of exercising asthmatics, and compared to exposures at 200-300 ppb, a larger percentage of asthmatics experience severe decrements in lung function (i.e.,  $\geq$  200% increase in sRaw, and/or a  $\geq$  20% decrease in FEV<sub>1</sub>).
- At SO<sub>2</sub> concentrations  $\geq$  400 ppb, moderate or greater decrements in lung function are frequently accompanied by respiratory symptoms.
- In general, epidemiologic studies observed positive associations between ambient SO<sub>2</sub> concentrations and respiratory symptoms, as well as ED visits and hospitalizations for all respiratory causes and asthma. In studies using multipollutant models, the effects of SO<sub>2</sub> were generally independent of effects of other ambient air pollutants
- No medication regimen has been shown to completely eliminate SO<sub>2</sub>-induced respiratory effects in exercising asthmatics.
- Staff finds multiple lines of evidence indicating that SO<sub>2</sub> exposure can result in respiratory effects that can reasonably be considered adverse to the health of asthmatics.



## **5. SELECTION OF POTENTIAL ALTERNATIVE STANDARDS FOR ANALYSIS**

### **5.1 INTRODUCTION**

The primary goals of the SO<sub>2</sub> risk and exposure assessment described in this document are to estimate short-term exposures and potential human health risks associated with 1) recent levels of ambient SO<sub>2</sub>; 2) SO<sub>2</sub> levels associated with just meeting the current standards; and 3) SO<sub>2</sub> levels associated with just meeting potential alternative standards. This section presents the rationale for the selection of the potential alternative standards that are assessed in the quantitative analyses discussed in Chapters 7 through 9. These potential alternative standards are defined in terms of indicator, averaging time, form, and level.

### **5.2 INDICATOR**

The SO<sub>x</sub> include multiple gaseous (e.g., SO<sub>2</sub>, SO<sub>3</sub>) and particulate (e.g., sulfate) species. In considering the appropriateness of different indicators, we note that the health effects associated with particulate species of SO<sub>x</sub> have been considered within the context of the health effects of ambient particles in the Agency's review of the PM NAAQS. Thus, as discussed in the Integrated Review Plan (2007a), the current review of the SO<sub>2</sub> NAAQS is focused on the gaseous species of SO<sub>x</sub> and will not consider health effects directly associated with particulate species of SO<sub>x</sub>. Of the gaseous species, EPA has historically determined it appropriate to specify the indicator of the standard in terms of SO<sub>2</sub> because other gaseous sulfur oxides (e.g., SO<sub>3</sub>) are likely to be found at concentrations many orders of magnitude lower than SO<sub>2</sub> in the atmosphere, and because most all of the health effects evidence and exposure information is related to SO<sub>2</sub>. The final ISA has again found this to be the case. Therefore, staff concluded that SO<sub>2</sub> remains the most appropriate indicator for the alternative standards that are analyzed in this document.

### **5.3 AVERAGING TIME**

Staff concluded that the most robust evidence for SO<sub>2</sub>-induced respiratory morbidity exists for exposure durations ≤ 1-hour. The strongest evidence for this conclusion comes from controlled human exposure studies that have consistently demonstrated that exposure to SO<sub>2</sub> for 5-10 minutes can result in significant bronchoconstriction and/or respiratory symptoms in exercising asthmatics (see section 4.2). In fact, the ISA describes the controlled human exposure

studies as being the “definitive evidence” for its causal determination between SO<sub>2</sub> exposure and short-term respiratory morbidity (ISA, section 5.2). In addition to these controlled human exposure studies, there is a relatively small body of epidemiologic evidence describing positive associations between 1-hour maximum SO<sub>2</sub> levels and respiratory symptoms as well as hospital admissions and ED visits for all respiratory causes and asthma (ISA, Tables 5.4 and 5.5). In addition to the epidemiologic evidence for effects related to the 1-hour maximum concentration in a 24-hour period, there is a considerably larger body of epidemiologic studies reporting associations between 24-hour average SO<sub>2</sub> levels and respiratory symptoms, as well as hospitalizations and ED visits; however, the ISA notes that it is possible that associations observed in these 24-hour studies are being driven, at least in part, by short-term SO<sub>2</sub> peaks of duration < 24-hours. More specifically, when describing epidemiologic studies observing associations between ambient SO<sub>2</sub> and respiratory symptoms, the ISA states “that it is possible that these associations are determined in large part by peak exposures within a 24-hour period” (ISA, section 5.2). The ISA also states that the respiratory effects following peak SO<sub>2</sub> exposures in controlled human exposure studies provides a basis for a progression of respiratory morbidity that could result in increased ED visits and hospital admissions (ISA, section 5.2). It should also be noted that epidemiologic studies conducted in Paris, France (Dab et al., 1996) and in Manhattan and Bronx, NY (NY DOH, 2006) used both 24-hour average and 1-hour daily maximum air quality levels and found similar effect estimates with regard to hospital admissions for all respiratory causes (Dab et al., 1996) and asthma ED visits (NY DOH, 2006). Finally, in addition to the controlled human exposure and epidemiologic evidence, the ISA describes key toxicological studies with exposures ranging from minutes to hours resulting in decrements in lung function, airway inflammation, and/or hyperresponsiveness in laboratory animals (ISA, Table 5-2).

The scientific evidence described above suggests that at a minimum, averaging time(s) selected for further risk and exposure analyses should address respiratory effects associated with SO<sub>2</sub> exposures of  $\leq$  1-hour. We note that analyses conducted in the ISA demonstrate that at monitors measuring all twelve 5-minute SO<sub>2</sub> levels in an hour (n=16), there is a high Pearson correlation between the 5-minute maximum level and the corresponding 1-hour average SO<sub>2</sub> concentration, with only one monitor observing a correlation  $\leq$  0.9 (ISA, section 2.5.2; ISA,

Table 2-12). Thus, for the purpose of conducting quantitative exposure and risk analyses, staff concluded that the focus should be on potential alternative SO<sub>2</sub> standards with an averaging time of 1-hour. Staff believes that alternative standards with an averaging time of 1-hour will limit both 5-minute peak concentrations within an hour, as well as other peak SO<sub>2</sub> concentrations ( $\geq$  1-hour) that are likely in part, driving the respiratory outcomes described in epidemiologic studies.

Staff also considered examining alternative 5-minute standards in the risk and exposure assessment, but concluded for several reasons that such an analysis would be of questionable utility in the decision-making process. We note that EPA historically conducts air quality, exposure, and risk analyses of alternative standards by adjusting measured, not modeled air quality data. This is an issue in evaluating alternative 5-minute standards for SO<sub>2</sub> because there were, and continue to be relatively few locations reporting 5-minute SO<sub>2</sub> concentrations. As described in Appendix A, from 1997-2007, there were a total of 98 monitors in 13 states and the District of Columbia measuring maximum 5-minute SO<sub>2</sub> concentrations in an hour. In comparison, there were 933 monitors in 49 states, the District of Columbia, Puerto Rico and the Virgin Islands measuring 1-hour SO<sub>2</sub> concentrations. Moreover, it is important to consider that those monitors reporting 5-minute concentrations do not represent data from a dedicated 5-minute monitoring network, but rather a voluntary submission of 5-minute values from monitors placed for the purpose of evaluating attainment of 24-hour and annual average SO<sub>2</sub> NAAQS. Thus, staff has little confidence that this limited set of data, from monitors sited for a different purpose, can provide the input required for a comprehensive air quality, exposure, and risk analysis of a much shorter averaging time standard. In fact, given the spatial heterogeneity of 5-minute peaks, and the aforementioned issues with monitor siting, staff is not confident (based on 5-minute monitoring data alone) that even in the 13 locations reporting 5-minute concentrations, that those reported values adequately reflect the extent to which 5-minute peaks are occurring in those areas.

While we have chosen to evaluate alternative 1-hour averaging time standards in the air quality, exposure, and risk chapters of this document, this choice did not preclude the possibility of considering 5-minute standards as part of the policy assessment discussion in Chapter 10, or during the rulemaking process. Consideration of potential alternative 5-minute standards could

be based on evidence-based considerations, drawn from the discussion of the scientific evidence related to 5-10 minute exposures from the ISA, and presented below in Chapter 10.

## **5.4 FORM**

Staff recognizes that the adequacy of the public health protection provided by a 1-hour daily maximum potential alternative standard will be dependent on the combination of form and level (see section 5.5). It is therefore important that the particular form selected for a 1-hour daily maximum potential alternative standard reflect the nature of the health risks posed by increasing SO<sub>2</sub> concentrations. That is, the form of the standard should reflect results from human exposure studies demonstrating that the percentage of asthmatics affected, and the severity of the respiratory response (i.e., decrements in lung function, respiratory symptoms) increases as SO<sub>2</sub> concentrations increase (see section 4.2.2). Taking this into consideration, staff concluded that a concentration-based form is more appropriate than an exceedance-based form. This is because a concentration-based form averaged over three years (see below) would give proportionally greater weight to 1-hour daily maximum SO<sub>2</sub> concentrations that are well above the level of the standard, than to 1-hour daily maximum SO<sub>2</sub> concentrations that are just above the level of the standard. In contrast, an expected exceedance form would give the same weight to 1-hour daily maximum SO<sub>2</sub> concentrations that are just above the level of the standard, as to 1-hour daily maximum SO<sub>2</sub> concentrations that are well above the level of the standard. Therefore, a concentration-based form better reflects the continuum of health risks posed by increasing SO<sub>2</sub> concentrations (i.e., the percentage of asthmatics affected and the severity of the response increases with increasing SO<sub>2</sub> concentrations). Concentration-based forms also provide greater regulatory stability than a form based on allowing only a single expected exceedance.

Staff also recognizes that it is important to have a form that achieves a balance between limiting the occurrence of peak concentrations and providing a stable and robust regulatory target. The most recent review of the PM NAAQS (completed in 2006) judged that using a 98<sup>th</sup> percentile form averaged over 3 years provides an appropriate balance between limiting the occurrence of peak concentrations and providing a stable regulatory target (71 FR 61144). In that review, staff also considered other forms within the range of the 95<sup>th</sup> to the 99<sup>th</sup> percentiles. In making recommendations regarding the form, staff considered the impact on risk of different forms, the year-to-year stability in the air quality statistic, and the extent to which different forms

of the standard would allow different numbers of days per year to be above the level of the standard in areas that achieve the standard. Based on these considerations, staff recommended either a 98<sup>th</sup> percentile form or a 99<sup>th</sup> percentile form. We have made similar judgments in selecting appropriate forms for the potential alternative 1-hour daily maximum SO<sub>2</sub> standards assessed in this REA. As a result of these judgments, we decided to consider both 98<sup>th</sup> and 99<sup>th</sup> percentile SO<sub>2</sub> concentrations, averaged over 3 years. We have judged that the 98<sup>th</sup> and 99<sup>th</sup> percentile, when combined with the selected range of alternative levels of a 1-hour daily maximum standard (see below), will likely offer a sufficient range of options to balance the objective of providing a stable regulatory target against the objective of limiting the occurrence of peak 5-minute concentrations.

Notably, for a given 1-hour standard level, staff's initial judgment is that a 99<sup>th</sup> percentile form will be appreciably more protective against 5-minute peaks than a 98<sup>th</sup> percentile form. Staff finds this is likely the case because compared to a standard with a 98<sup>th</sup> percentile form, a standard with a 99<sup>th</sup> percentile form (at the same level) will limit a greater number of peak 1-hour concentrations, and thus, a greater number of peak 5-minute concentrations. Therefore, all potential alternative standard levels (see section 5.5) were assessed with a 99<sup>th</sup> percentile form in the air quality, exposure and risk analyses. However, as a comparison between forms, one alternative standard level was examined with a 98<sup>th</sup> percentile form in the exposure and risk analyses, and two alternative standard levels were examined with a 98<sup>th</sup> percentile form in the air quality analysis.

## **5.5 LEVEL**

When considering the appropriate range of levels for alternative 1-hour daily maximum standards to analyze in the exposure and risk analyses, staff examined both the controlled human exposure and epidemiologic evidence evaluated in the ISA. Controlled human exposure evidence demonstrates that there is a continuum of SO<sub>2</sub>-related health effects following 5-10 minute peak SO<sub>2</sub> exposures in exercising asthmatics. That is, the ISA finds that the percentage of asthmatics affected and the severity of the response increases with increasing SO<sub>2</sub> concentrations. At concentrations ranging from 200-300 ppb, approximately 5-30% percent of exercising asthmatics are likely to experience moderate or greater bronchoconstriction (ISA, Table 3-1). At concentrations  $\geq$  400 ppb, moderate or greater bronchoconstriction occurs in

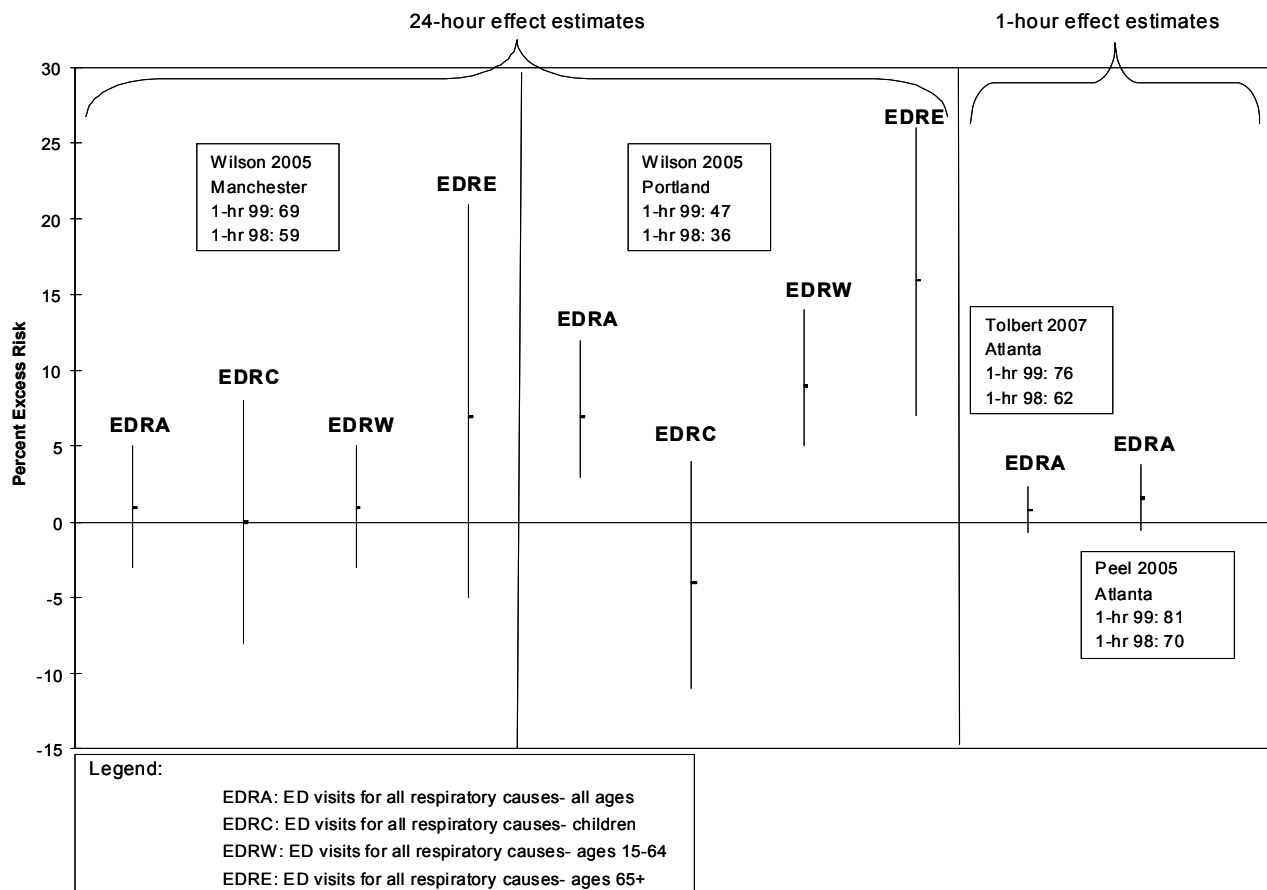
approximately 20-60% of exercising asthmatics, and compared to exposures at 200-300 ppb, a larger percentage of subjects experience severe bronchoconstriction (ISA, Table 3-1). Moreover, at concentrations  $\geq 400$  ppb, moderate or greater bronchoconstriction was frequently accompanied with respiratory symptoms (ISA, Table3-1).

In addition to the controlled human exposure evidence, we also considered the epidemiologic evidence, as well as an air quality analysis conducted by staff characterizing 1-hour daily maximum SO<sub>2</sub> air quality levels in cities and time periods corresponding to key U.S. and Canadian ED visit and hospital admission studies for all respiratory causes and asthma<sup>4</sup> (key studies are identified in Table 5-5 of the ISA). Figures 5-1 to 5-5 show standardized effect estimates and the 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels for locations and time periods corresponding to these key U.S. (Figures 5-1 to 5-4) and Canadian<sup>5</sup> (Figure 5-5) studies. In general, staff concluded that the results presented in these figures demonstrate that most of these epidemiologic studies show positive, although frequently not statistically significant associations with SO<sub>2</sub>. Furthermore, we concluded that Figures 5-1 to 5-5 demonstrate that positive effect estimates, including some that are statistically significant, are found in locations that span a broad range of 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations (98<sup>th</sup> percentile range: 19- 401 ppb; 99<sup>th</sup> percentile range: 21-457 ppb). Thus, staff decided to utilize the 1-hour daily maximum air quality data presented in these figures to help inform both the upper and lower ranges of alternative SO<sub>2</sub> standards for analysis in this REA (see Chapters 7-9).

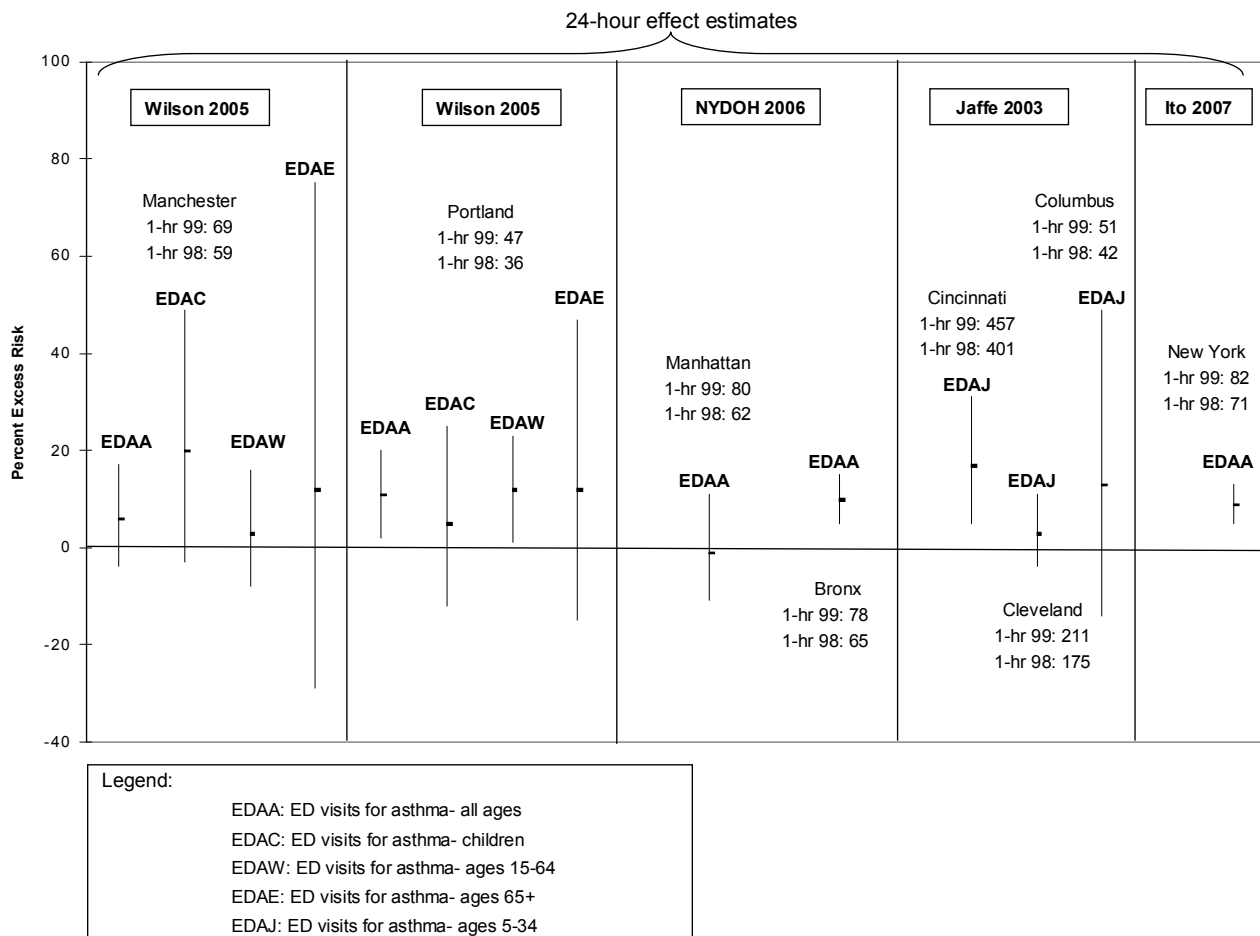
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<sup>4</sup> Authors of relevant U.S. and Canadian studies were contacted and air quality statistics from the study monitor that recorded the highest SO<sub>2</sub> levels were requested. In some cases, U.S. authors provided the AQS monitor IDs used in their studies and the statistics from the highest reporting monitor were calculated by EPA. In cases where U.S. authors were unable to provide the requested data (Schwartz 1995, Schwartz 1996, and Jaffe 2003), EPA identified the maximum reporting monitor from all monitors located in the study area and calculated the 98<sup>th</sup> and 99<sup>th</sup> percentile statistics (see Thompson and Stewart 2009).

<sup>5</sup> The Canadian statistics presented in Figure 5-5 were calculated from a data set provided by Dr. Richard Burnett and were used for all relevant single city studies on which he was an author. Note that air quality statistics presented for Canadian studies are likely not directly comparable to those presented for U.S. studies. This is because SO<sub>2</sub> concentrations presented for Canadian studies represent the 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations across a given city, rather than concentrations from the single monitor that recorded the highest 98<sup>th</sup> and 99<sup>th</sup> percentile SO<sub>2</sub> levels in a given city (see Thompson and Stewart, 2009).

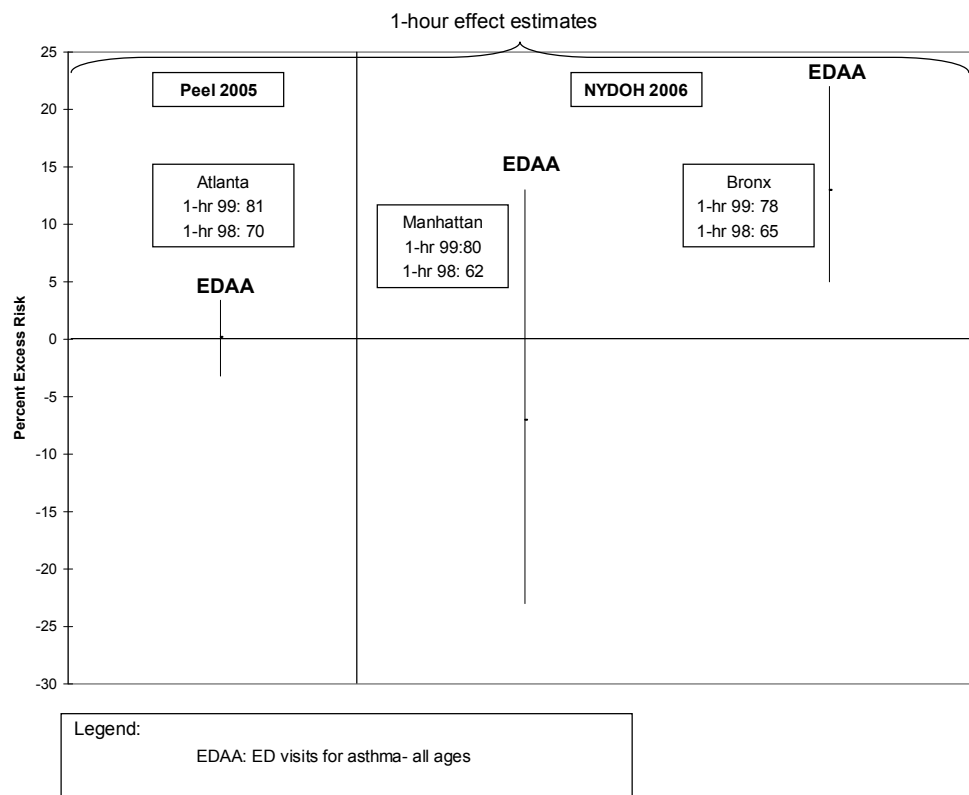


**Figure 5-1. Effect estimates for U.S. all respiratory ED visit studies and associated 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels.**



**Figure 5-2. 24-hour effect estimates for U.S. asthma ED visit studies and associated 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels.**





**Figure 5-3. 1-hour effect estimates for U.S. asthma ED visit studies and associated 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels.**

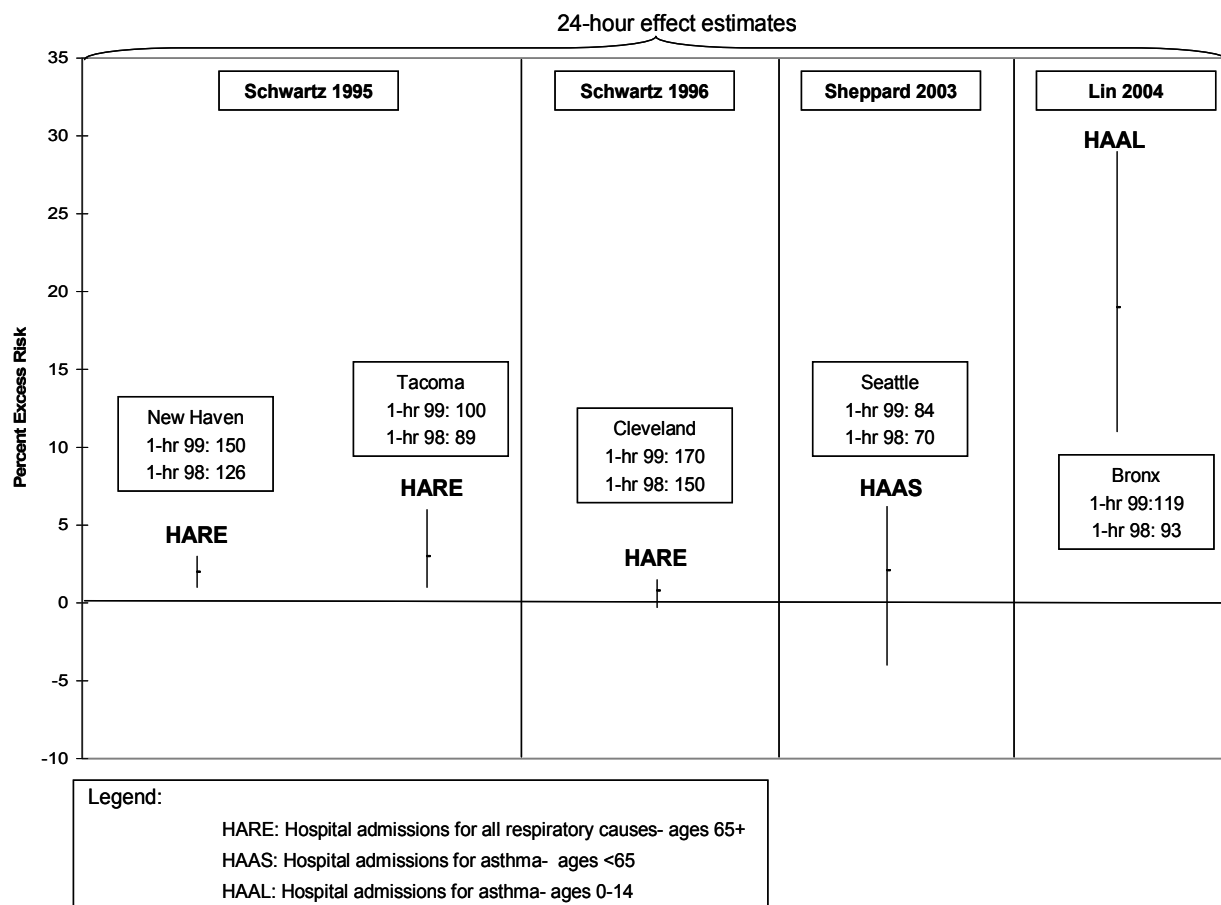
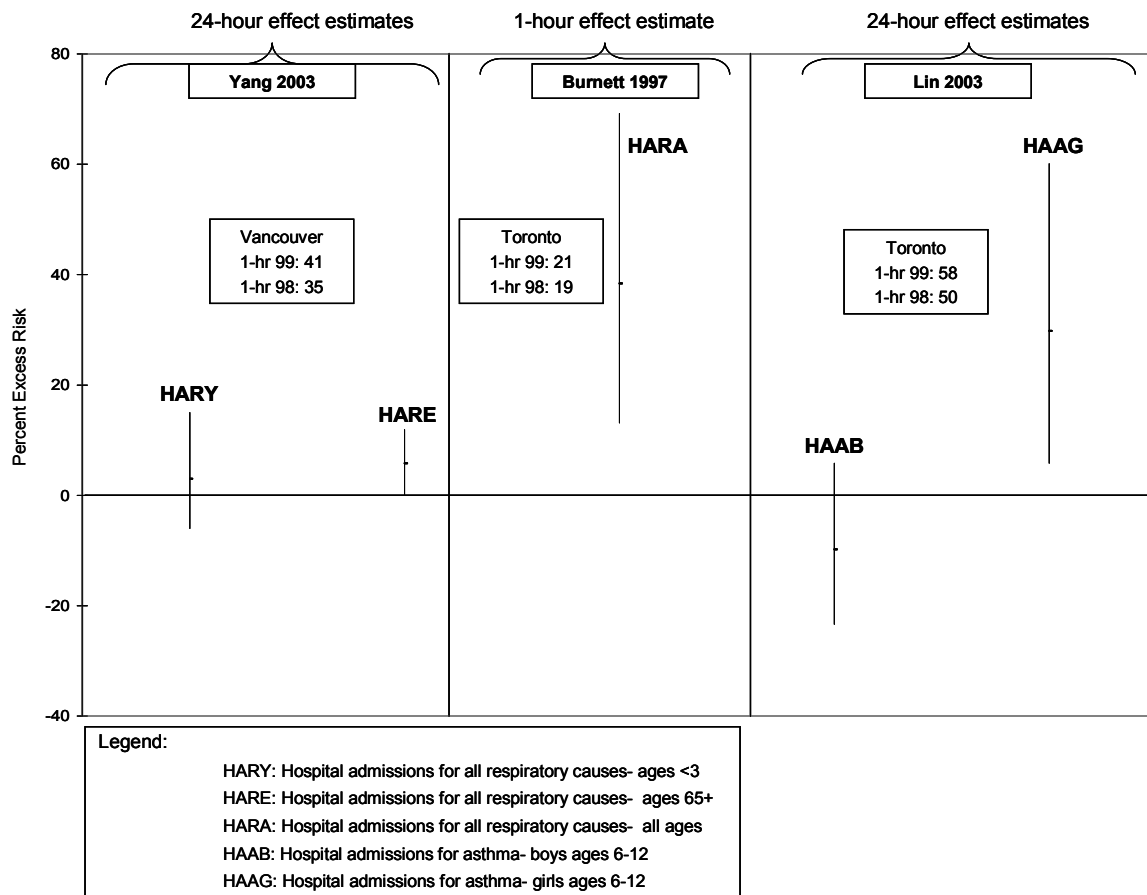


Figure 5-4. 24-hour effect estimates for U.S. hospitalization studies and associated 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels.<sup>6</sup>

<sup>6</sup> There were no key U.S. hospitalization studies with 1-hour effect estimates identified in Table 5-5 of the ISA



**Figure 5-5. Effect estimates for Canadian ED visits and hospitalization studies and associated 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels.**

The highest 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum air quality levels were found in analyses conducted in the cities of Cincinnati (Figure 5-2), Cleveland (Figures 5-2 and 5-4) and New Haven (Figure 5-4). These studies showed positive associations<sup>7</sup> with respiratory-related hospital admissions or ED visits during time periods when 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations ranged from 126 ppb to 457 ppb. Notably, this range of 1-hour daily maximum SO<sub>2</sub> levels overlaps considerably with 5-10 minute SO<sub>2</sub> concentrations ( $\geq 200$  ppb) that have consistently been shown in controlled human exposure studies to result in lung function responses in exercising asthmatics. Of particular concern are the air quality levels that were found in Cincinnati (Jaffe et al., 2003). The 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations were in excess of 400 ppb. Levels  $\geq 400$  ppb have consistently been shown in human exposure studies to result in moderate or greater bronchoconstriction in the

<sup>7</sup> Results in Cincinnati (Jaffe et al., 2003) and New Haven (Schwartz et al., 1996) were statistically significant.

presence of respiratory symptoms in a considerable percentage of exercising asthmatics. As a result, staff decided to analyze alternative standard levels up to 250 ppb. We concluded that a 98<sup>th</sup> or 99<sup>th</sup> percentile 1-hour daily maximum standard at this level had the potential to substantially limit the number of days when the 1-hour daily maximum SO<sub>2</sub> concentration is  $\geq$  200 ppb, while also potentially limiting the number of 5-10 minute SO<sub>2</sub> peaks  $\geq$  400 ppb.

In selecting the lower end of the range of alternative standards to be analyzed, staff again considered controlled human exposure and epidemiologic evidence. However, with regard to the controlled human exposure evidence, several additional factors were considered. First, we considered that the subjects in human exposure studies do not represent the most SO<sub>2</sub> sensitive asthmatics; that is, these studies included mild and moderate, but not severe asthmatics. Also, while human clinical studies have been conducted in adolescents, younger children have not been included in these exposure studies, and thus, it is possible asthmatic children represent a population that is more sensitive to the respiratory effects of SO<sub>2</sub> than the individuals who have been examined to date. Moreover, we considered that approximately 5-30% of asthmatics who engaged in moderate or greater exertion experienced bronchoconstriction following exposure to 200-300 ppb SO<sub>2</sub>, which are the lowest levels tested in free breathing chamber studies (ISA, Table 3-1). Thus, we concluded that it was highly likely that a subset of the asthmatic population would also experience bronchoconstriction following exposure to levels lower than 200 ppb.

As an additional consideration, we noted that Figure 5-5 contains two epidemiologic analyses observing positive associations between ambient SO<sub>2</sub> concentrations and hospital admissions in Canadian cities when 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels were  $< 47$  ppb. More specifically, positive associations between SO<sub>2</sub> and hospital admissions were found in Toronto, (Burnett al., 1997) and Vancouver (Yang et. al., 2003) when 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels were approximately 21 ppb and 41 ppb, respectively. However, as previously noted, the 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations reported for Canadian studies are not directly comparable to those reported for U.S. studies. That is, the concentrations reported for Canadian studies represent the average 98<sup>th</sup> or 99<sup>th</sup> percentile 1-hour daily maximum levels across multiple monitors in a given city (Figure 5-5), rather than 98<sup>th</sup> or 99<sup>th</sup> percentile concentrations from the single monitor that recorded the highest SO<sub>2</sub> levels

(Figures 5-1 to 5-4; see Thompson and Stewart, 2009). As a result, the SO<sub>2</sub> concentrations presented in Figure 5-5 for Canadian studies would be relatively lower (potentially significantly lower) than those levels presented in Figures 5-1 to 5-4 for U.S. epidemiologic studies. In addition to these Canadian studies, we also noted that a U.S. study, Delfino et al. (2003), observed a statistically significant association between ambient SO<sub>2</sub> and respiratory symptoms in Hispanic children when the 1-hour daily maximum SO<sub>2</sub> concentration in Los Angeles was 26 ppb (ISA Table 5-4). However, this epidemiologic study was very small (n=22), and did not examine potential confounding by co-pollutants. Thus, staff concluded that these three studies alone do not provide sufficient evidence for considering alternative 1-hour daily maximum SO<sub>2</sub> standards below 50 ppb.

Staff noted that numerous studies reported positive associations between ambient SO<sub>2</sub> and hospital admissions and ED visits in cities and time frames when 98<sup>th</sup> and/or 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations ranged from approximately 50 to 100 ppb (Figures 5-1 to 5-5). Moreover, although most of these positive effect estimates were not statistically significant, there were some statistically significant results in single pollutant models (Portland, Wilson, 1995; Bronx, NYDOH, 2006; NYC, Ito, 2006; and Schwartz, 1995), as well as some evidence of statistically significant associations in multi-pollutant models with PM<sup>8</sup> (Bronx, NYDOH, 2006 and NYC, Ito, 2007). Given these epidemiologic and air quality results, as well as the considerations mentioned above regarding the controlled human exposure evidence, staff concluded it was appropriate to examine a range of alternative standards in the air quality, exposure, and risk analyses that include a level of 50 ppb as the lower bound. We judged that a 98<sup>th</sup> or 99<sup>th</sup> percentile 1-hour daily maximum standard at this level would both limit the number of days when 1-hour daily maximum SO<sub>2</sub> levels are  $\geq$  50 ppb, while also limiting 5-10 minute peaks of SO<sub>2</sub>  $\geq$  100 ppb. Moreover, we noted that a level of 50 ppb is substantially below the 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels observed in the Bronx during the NYDOH analysis and in NYC during the period analyzed by Ito et al., (2006): two studies where

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<sup>8</sup> In the NYDOH study (2006), the Bronx positive effect estimate remained statistically significant in the presence of PM<sub>2.5</sub>. In Ito et al., (2007), the NYC positive effect estimate was statistically significant in the presence of PM<sub>2.5</sub> during the warm season. We also note that in Schwartz et al., (1995), the positive effect estimate in New Haven, but not Tacoma remained statistically significant in the presence of PM<sub>10</sub> when the 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentration in New Haven was 150 ppb.

the SO<sub>2</sub> effect estimate remained robust and statistically significant in multi-pollutant models with PM<sub>2.5</sub> (ISA, Table 5-5).

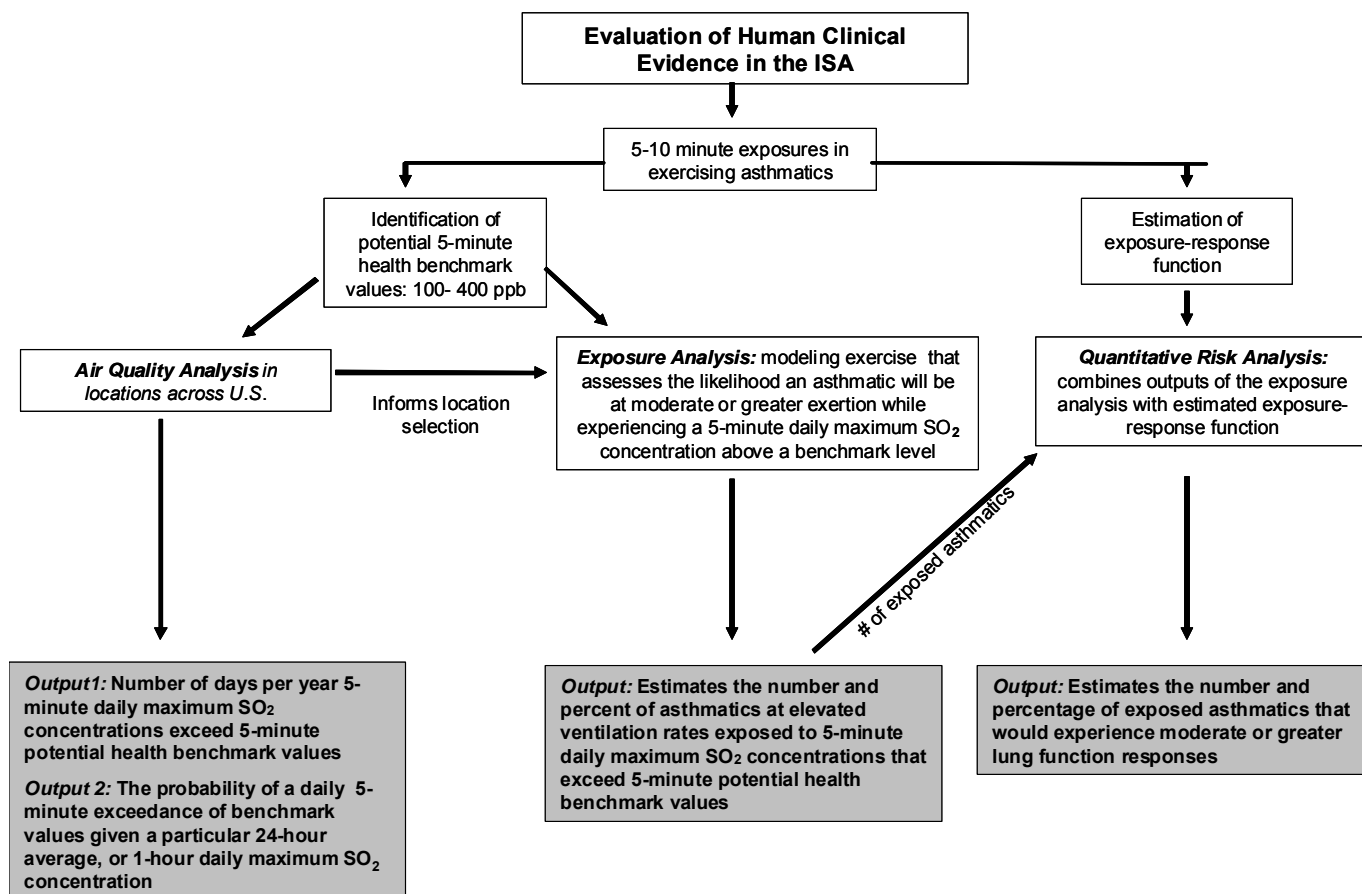
## **5.6 KEY OBSERVATIONS**

- Staff concluded that SO<sub>2</sub> remains the most appropriate indicator for the potential alternative standards to be analyzed in the air quality, exposure, and risk analyses described in this document.
- For the purpose of conducting quantitative air quality, exposure, and risk analyses, staff concluded that the focus should be on potential alternative SO<sub>2</sub> standards with an averaging time of 1-hour.
- Staff also considered examining alternative 5-minute standards in the risk and exposure assessment, but concluded that there was insufficient data to do so. However, this did not preclude the possibility of considering 5-minute standards as part of the policy assessment discussion in Chapter 10, or during the rulemaking process.
- With regard to the form of the potential alternative standards to be analyzed in the air quality, exposure, and risk analyses, staff concluded that it was appropriate to consider the annual 98<sup>th</sup> and 99<sup>th</sup> percentile SO<sub>2</sub> concentrations averaged over a 3 year period. Staff found that a concentration-based form better reflected the continuum of health risks posed by increasing SO<sub>2</sub> concentrations, and provided greater regulatory stability than a form based on allowing only a single expected exceedance.
- Based on findings from controlled human exposure and epidemiologic studies, and evaluation of air quality information from key U.S. and Canadian studies of ED visits and hospitalizations, staff concluded that it was appropriate to examine alternative 1-hour daily maximum standards in the air quality, exposure, and risk analyses in the range of 50-250 ppb.

## **6. OVERVIEW OF RISK CHARACTERIZATION AND EXPOSURE ASSESSMENT**

### **6.1 INTRODUCTION**

The assessments presented in the subsequent chapters of this document characterize short-term exposures (i.e., 5-minutes) and potential health risks associated with: (1) recent ambient levels of SO<sub>2</sub>, (2) levels associated with just meeting the current SO<sub>2</sub> NAAQS, and (3) levels associated with just meeting several potential alternative standards (see Chapter 5 of this document for the discussion of potential alternative standards). To characterize health risks, we employed three approaches (Figure 6-1). With each approach, we characterize health risks associated with the air quality scenarios mentioned above (i.e., recent air quality unadjusted, air quality adjusted to simulate just meeting the current standards, and air quality adjusted to simulate just meeting several potential alternative standards). In the first approach, SO<sub>2</sub> air quality levels are compared to potential health effect benchmark values (see section 6.2) derived from the controlled human exposure literature (Chapter 7). In the second approach, modeled estimates of human exposure are compared to the same potential health effect benchmark values derived from the human exposure literature (Chapter 8). In the third approach, outputs from the exposure analysis are combined with exposure-response functions derived from the human clinical literature to estimate the number and percent of exposed asthmatics that would experience moderate or greater lung function responses under the different air quality scenarios (Chapter 9). A more detailed overview of each of these approaches to characterizing health risks is provided below (section 6.3), and each approach is described in more detail in their respective chapters and associated appendices. In addition, this chapter also describes important methodologies used throughout these analyses. This includes the approach used to estimate 5-minute SO<sub>2</sub> concentrations from 1-hour data (section 6.4), how recent air quality was adjusted to simulate alternative air quality standards scenarios (section 6.5), and an overview of how uncertainty was characterized in each of the analyses performed (section 6.6).



**Figure 6-1. Overview of analyses addressing exposures and risks associated with 5-minute peak SO<sub>2</sub> exposures. All three outputs are calculated considering current air quality, air quality just meeting the current standards, and air quality just meeting potential alternative standards. Note: this schematic was modified from Figure 1-1.**

## 6.2 POTENTIAL HEALTH EFFECT BENCHMARK LEVELS

Potential health benchmark values used in the air quality, exposure, and risk analyses were derived solely from the human exposure literature. This is primarily because concentrations used in human clinical studies represent actual personal exposures rather than concentrations measured at fixed site ambient monitors. In addition, human exposure studies can examine the health effects of SO<sub>2</sub> in the absence of co-pollutants that can confound results in epidemiological analyses; thus, health effects observed in clinical studies can confidently be attributed to a defined exposure level of SO<sub>2</sub>.

The ISA presents human exposure evidence demonstrating decrements in lung function in approximately 5-30% of exercising asthmatics exposed to 200-300 ppb SO<sub>2</sub> for 5-10 minutes.



However, it is important to note: (1) subjects in human exposure studies do not include individuals who may be most susceptible to the respiratory effects of SO<sub>2</sub>, (e.g., severe asthmatics and children) and (2) given that 5-30% of exercising asthmatics experienced bronchoconstriction following exposure to 200-300 ppb SO<sub>2</sub> (the lowest levels tested in free-breathing chamber studies), it is likely that a percentage of asthmatics would also experience bronchoconstriction following exposure to levels lower than 200 ppb. That is, there is no evidence to suggest that 200 ppb represents a threshold level below which no adverse respiratory effects occur. We also noted that small SO<sub>2</sub>-induced lung function decrements have been observed in asthmatics at concentrations as low as 100 ppb when SO<sub>2</sub> is administered via mouthpiece<sup>9</sup> (ISA, section 3.1.3). Considering this information, staff concluded it was appropriate to examine potential 5-minute benchmark values in the range of 100-400 ppb. The lower end of the range considers the factors mentioned above, while the upper end of the range recognizes that 400 ppb represents the lowest concentration at which statistically significant decrements in lung function are seen in conjunction with statistically significant respiratory symptoms. Moreover, we note that this range of benchmark values is in general agreement with consensus CASAC comments on earlier drafts of this document.

As an additional matter, we note that in the outputs of the air quality and exposure analyses (see section 6.3), staff considered the number of days with a 5-minute maximum SO<sub>2</sub> concentration above benchmark levels rather than all 5-minute exceedances of benchmark levels in a given day. This is because human exposure studies have suggested that after an initial SO<sub>2</sub> exposure, there is approximately a 5-hour period of time when asthmatics are less sensitive to subsequent SO<sub>2</sub> challenges (ISA, section 3.1.3.2). As a result, there is uncertainty as to whether an additional SO<sub>2</sub> exposure(s) on a given day would be associated with an additional adverse respiratory outcome(s) (i.e., moderate decrements in lung function and/or respiratory symptoms). On the other hand, we recognize that not counting multiple exceedances in a day could possibly

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<sup>9</sup> Studies utilizing a mouthpiece exposure system cannot be directly compared to studies involving freely breathing subjects, as nasal absorption of SO<sub>2</sub> is bypassed during oral breathing, thus allowing a greater fraction of inhaled SO<sub>2</sub> to reach the tracheobronchial airways. As a result, individuals exposed to SO<sub>2</sub> through a mouthpiece are likely to experience greater respiratory effects from a given SO<sub>2</sub> exposure. In addition, the two mouthpiece studies cited in the ISA as exposing exercising asthmatics to 100 ppb SO<sub>2</sub> (Koenig et al., 1990 and Sheppard et al., 1981) had a small number of exposures at this concentration (e.g., Sheppard et al., exposed two subjects to 100 ppb SO<sub>2</sub>) and observed very small changes in FEV<sub>1</sub> or sRaw. Nonetheless, these studies do provide very limited evidence for SO<sub>2</sub>-induced respiratory effects at 100 ppb.

lead to an underestimate in the number of asthmatics experiencing an SO<sub>2</sub> concentration above a benchmark level, and thus, an adverse respiratory outcome. Therefore, there is further discussion and/or analysis of this topic and its relevance to uncertainty in each of the air quality, exposure, and risk analysis outputs (see sections 7.4, 8.11 and 9.3).

### **6.3 APPROACHES FOR ASSESSING EXPOSURE AND RISK ASSOCIATED WITH 5-MINUTE PEAK SO<sub>2</sub> EXPOSURES**

In the first approach (i.e., the air quality characterization), we have compared SO<sub>2</sub> air quality with the potential health effect benchmark levels for SO<sub>2</sub>. Scenario-driven air quality analyses were performed using ambient SO<sub>2</sub> concentrations for the years 1997 through 2006. All U.S. monitoring sites where 1-hour SO<sub>2</sub> data have been collected are represented by this analysis and, as such, the results generated are considered a broad characterization of national air quality and potential human exposures that might be associated with these concentrations.<sup>10</sup> The output of the air quality characterization is an estimate of the number of exceedances of the potential health effect benchmark levels for several air quality scenarios. An advantage of this approach is its relative simplicity; however, there is uncertainty associated with the assumption that SO<sub>2</sub> air quality can adequately serve as an indicator of exposure to ambient SO<sub>2</sub>. Actual exposures will be influenced by factors not considered by this approach, such as the spatial and temporal variability in human activities.

In the second approach (i.e., the exposure assessment), we have used an inhalation exposure model to generate estimates of personal SO<sub>2</sub> exposures. The estimates of personal exposure have also been compared to the potential health benchmark levels as was done in the air quality characterization. This results in estimates of the number of individuals that are likely to experience exposures exceeding these benchmark levels. For this exposure analysis, a probabilistic approach was used to model individual exposures considering the time people spend in different microenvironments and the variable SO<sub>2</sub> concentrations that occur within these microenvironments across time, space, and microenvironment type. The exposure model also accounts for activities that individuals perform within the microenvironments, allowing for estimation of exposures that coincide with varying activity levels. As such, this approach to

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<sup>10</sup> Two additional subsets of the broader SO<sub>2</sub> monitoring network were also used in detailed analyses, thus by definition are not representative of the full set of monitors in the U.S.

assessing exposures was more resource intensive than evaluating ambient air quality; therefore, staff has included the analysis of two specific locations in the U.S. (Greene County, MO. and St. Louis, MO.)<sup>11</sup> Although the geographic scope of this analysis is restricted, the approach provides realistic estimates of SO<sub>2</sub> exposures, particularly those exposures associated with important emission sources of SO<sub>2</sub> and serves to complement the broad air quality characterization.

Staff used a range of short-term potential health effect benchmarks to characterize risk in both the air quality and the exposure modeling analyses described above. The levels of potential benchmarks are based on SO<sub>2</sub> exposure levels that have been associated with respiratory symptoms and decrements in lung function in exercising asthmatics during controlled human exposure studies (ISA, section 5.2; see above section 6.2 for discussion). Benchmark values of 100, 200, 300, and 400 ppb have been compared to both SO<sub>2</sub> air quality (measured and modeled 5-minute SO<sub>2</sub> concentrations) and to estimates of SO<sub>2</sub> exposures. In characterizing the SO<sub>2</sub> air quality using ambient monitors, the output of the analysis is an estimate of the number of days per year specific locations experience 5-minute daily maximum levels of SO<sub>2</sub> above a particular benchmark. When personal exposures are simulated, the output of the analysis is an estimate of the number of individuals at risk for experiencing daily maximum 5-minute levels of SO<sub>2</sub> of ambient origin that exceed a particular benchmark.

In the third approach (i.e., the quantitative risk assessment), we combine outputs from the exposure analysis with exposure-response functions derived from controlled human exposure studies. This analysis estimates the percentage and number of asthmatics likely to experience a given decrement in lung function associated with recent air quality and SO<sub>2</sub> levels adjusted to simulate just meeting the current and potential alternative standards. Staff concluded that it was appropriate to limit the scope of the quantitative risk assessment to lung function responses based on findings from the controlled human exposure studies and the basis for this decision is described below.

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<sup>11</sup> In the 1<sup>st</sup> draft REA, staff presented the results of an exposure analysis for Greene County (or Springfield, MO.) and several other source-based modeling domains in Missouri. Based on CASAC comments received on that exposure analysis, staff refined the modeling approach and applied those refinements to the Greene County analysis presented in the 2<sup>nd</sup> draft REA and completed the exposure assessment in St. Louis which had been started at the time of the 1<sup>st</sup> draft REA.

As discussed above in Chapter 4, the ISA concludes that the overall weight of the evidence supports a causal relationship between short-term SO<sub>2</sub> exposure and respiratory morbidity. The ISA states that the “definitive evidence” for its causal determination is from controlled human exposure studies demonstrating decrements in lung function and/or respiratory symptoms in exercising asthmatics exposed to  $\geq 200$  ppb SO<sub>2</sub> (ISA, section 5.2). The ISA further notes that supporting this causal determination is a larger body of U.S and international epidemiological studies examining respiratory symptoms and ED visits and hospitalizations for all respiratory causes and asthma (ISA, section 5.2).

As previously described, staff is utilizing both the epidemiological evidence in the ISA, and an air quality analysis based on U.S. and Canadian ED visit and hospitalization studies for all respiratory causes and asthma (Figures 5-1 to 5-5), to qualitatively inform: (1) the selection of potential 1-hour daily maximum alternative standards to be analyzed in the air quality, exposure, and risk chapters of this document (see Chapter 5), and (2) the adequacy of the current standard and consideration of potential alternative standards (Chapter 10). However, staff did not find the overall breadth of the epidemiological evidence was robust enough to support a quantitative assessment of risk.

We first note that for purposes of conducting a quantitative risk assessment for locations in the U.S., staff concludes that only U.S. studies should be considered given differences in monitoring networks, levels of co-pollutants, and other factors across different locations that may well alter SO<sub>2</sub>-concentration-response relationships. Taking this into account, we reviewed the available epidemiological literature and found relatively few studies that focused on these endpoints were conducted in U.S. cities. In those U.S. cities where epidemiological studies had been conducted, many of the SO<sub>2</sub> effect estimates were positive, but not statistically significant in single pollutant models. Moreover, in the relatively few studies that employed multi-pollutant models, inclusion of PM<sub>10</sub> in the model resulted in a loss of statistical significance for the SO<sub>2</sub> effect estimate in about half of these studies (although the effect estimate may have remained positive). Overall, we conclude that these factors would make it particularly difficult to quantify with confidence the magnitude of respiratory health effects related to SO<sub>2</sub> exposures and therefore, we judge that the results of a quantitative risk assessment based on concentration-response functions from epidemiological studies for these health outcomes would be of limited

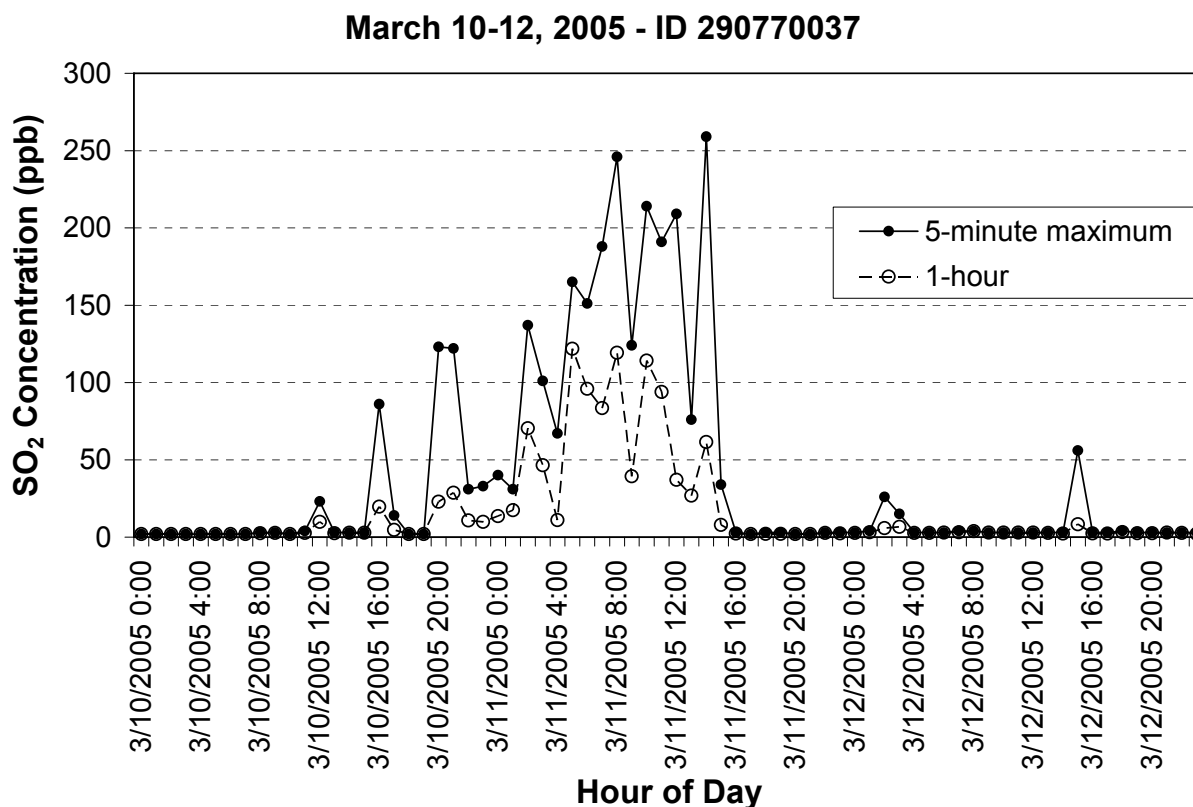
utility in the decision-making process given the nature of the uncertainties associated with these studies.

## **6.4 APPROACH FOR ESTIMATING 5-MINUTE PEAK SO<sub>2</sub> CONCENTRATIONS**

Health effects evaluated in this REA include those associated with 5-10 minute peak concentrations of SO<sub>2</sub>. While there are 98 ambient monitors that have reported 5-minute SO<sub>2</sub> concentrations some time during 1997-2007, the spatial and temporal representation is limited to a few states and often only a few years of monitoring. Most of these monitors report the 5-minute maximum SO<sub>2</sub> concentration occurring within an hour, though there were some that reported all twelve continuous 5-minute SO<sub>2</sub> concentrations measured within the hour. The ambient monitors reporting continuous SO<sub>2</sub> values are limited to fewer locations and number of monitoring years, with sixteen monitors deployed within six US states and Washington DC, ten of which operated only during one year. The overwhelming majority of the SO<sub>2</sub> ambient monitoring data are for 1-hour average concentrations (upwards to 935 monitors), comprising a broad monitoring network that includes most U.S. states and territories. Because the health effects of greatest interest were associated with short-term exposures (5-10 minutes) and a greater number of monitors and monitor-years were available for the 5-minute maximum SO<sub>2</sub> concentrations than 10-minute maximum concentrations, a model was developed to estimate 5-minute maximum SO<sub>2</sub> concentrations from the comprehensive 1-hour SO<sub>2</sub> ambient monitoring data.

Staff first reviewed the air quality characterization conducted in the prior SO<sub>2</sub> NAAQS review and supplementary analyses. In these prior analyses, relationships between maximum 5-minute SO<sub>2</sub> concentrations and the 1-hour average SO<sub>2</sub> concentrations, or peak-to-mean ratios (PMRs) were evaluated and used to approximate 5-minute maximum SO<sub>2</sub> concentrations from 1-hour values (EPA, 1986a; EPA, 1994b; SAI, 1996; Thompson, 2000). While the relationship between the two metrics is not expected to be linear, the temporal patterns in the two averaging times are consistent. Five-minute maximum SO<sub>2</sub> concentrations are often much greater than that of the corresponding 1-hour SO<sub>2</sub> concentrations, and observed increases in a given 1-hour SO<sub>2</sub> concentration often coincide with increases in the 5-minute maximum SO<sub>2</sub> concentration. As an example of this pattern, the time-series of 1-hour average and 5-minute maximum SO<sub>2</sub>

concentrations measured at an ambient monitor across a 3-day period in 2005 is illustrated in Figure 6-2.



**Figure 6-2. Example of an hourly time-series of measured 1-hour and measured 5-minute maximum SO<sub>2</sub> concentrations.**

In general, PMRs were determined to be approximately two in some of the earlier studies when used in estimating 5-minute peak SO<sub>2</sub> concentrations; though for the exposure analyses conducted for the last NAAQS review, a distribution of PMRs was used with values of up to eleven (EPA, 1994b). In each of the analyses conducted previously, estimates of PMRs were derived using ambient monitoring data (i.e., where both 5-minute maximum and 1-hour average SO<sub>2</sub> were measured) and then used to estimate the occurrence of peak 5-minute SO<sub>2</sub> concentrations given a 1-hour ambient SO<sub>2</sub> concentration. The approach was generally as follows:

$$C_{\text{max-5}} = \text{PMR} \times C_{1\text{-hour}} \quad \text{equation (6-1)}$$

where,

$C_{max-5}$  = estimated 5-minute maximum SO<sub>2</sub> concentration (ppb)

$PMR$  = peak-to-mean ratio (PMR)

$C_{1-hour}$  = measured 1-hour average SO<sub>2</sub> concentration

At the time of the last NAAQS review, there were very few monitors reporting 5-minute SO<sub>2</sub> data. In fact, distributions of PMRs from ambient monitors surrounding a single coal-fired power utility served as the primary source used in estimating 5-minute peak concentrations used in the exposure analyses (EPA, 1994b). As mentioned above, the PMRs were determined to be approximately two in these earlier studies; however, the ratio can vary depending on a several factors. It has been shown that there can be increased variability in the ratio with decreasing 1-hour average SO<sub>2</sub> concentrations, that is, there is a greater likelihood of values greater than two at low hourly average concentrations than expected at high hourly average concentrations (EPA, 1986a). It has also been argued that the occurrence of short-term peak concentrations at ambient monitors may be influenced by particular SO<sub>2</sub> emission sources (EPA, 1994b). Different sources have variable emission amounts, temporal operating patterns (e.g., seasonal, time-of-day), facility maintenance, and other physical parameters (e.g., stack height, area terrain) that likely contribute to variability in 5-minute maximum SO<sub>2</sub> concentrations. In addition, a sensitivity analysis conducted for copper-smelters determined that distance from the source was inversely proportional to the PMR in all three of the 1-hour mean stratifications evaluated (i.e.,  $\leq 0.04$  ppm,  $0.04$  to  $\leq 0.15$  ppm, and  $>0.15$  ppm), with the highest 1-hour category having the lowest range of PMR (Sciences International, 1995).<sup>12</sup>

There are some data available for the current SO<sub>2</sub> monitoring network regarding the type of sources that may be near the ambient monitors, the magnitude of emissions, the temporal variation in emissions, and distance from specific sources; however, staff determined that there was no practical way to define every ambient monitor as being exclusively influenced by a single source or a defined mix of sources. Given other conditions that may vary within a specific source category (monitor-to-source distances, local meteorology, operating conditions, etc.), staff also determined that there was no practical way to use such data quantitatively in the

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<sup>12</sup> In that analysis, normalized 1-hour SO<sub>2</sub> concentrations were obtained by dividing by the maximum hourly concentration.

construction of the PMR statistical model and apply such a model to the 1-hour SO<sub>2</sub> ambient monitor data.

In recognizing the limited geographic span of the monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations and the overall uncertainty regarding the amount of influence of a specific source on any given monitor, staff developed an approach based on hourly SO<sub>2</sub> concentration levels and the variability observed at the monitors reporting both the 5-minute maximum and 1-hour average SO<sub>2</sub> concentrations. The main assumption in the approach is that the temporal and spatial pattern in SO<sub>2</sub> source emissions is influenced by the type of source(s) present, its operating conditions, and that the emission pattern(s) is reflected in the ambient SO<sub>2</sub> concentration distribution measured at the monitor. Thus, measures of concentration level and associated variability at each monitor were used as a surrogate for the variability in the source characteristics that may impact concentrations at a particular monitor. Each monitor reporting 5-minute maximum SO<sub>2</sub> concentrations was categorized based on the coefficient of variation (COV) of 1-hour average SO<sub>2</sub> concentrations and then used to estimate distribution of PMRs for range of 1-hour SO<sub>2</sub> concentrations. This approach, that fully utilizes all of the available 5-minute maximum SO<sub>2</sub> data, is detailed in section 7.2.3.

## **6.5 APPROACH FOR SIMULATING THE CURRENT AND ALTERNATIVE AIR QUALITY STANDARD SCENARIOS**

A primary goal of the risk and exposure assessments described in this document is to evaluate the ability of the current SO<sub>2</sub> primary standards (30 ppb annual average, 140 ppb 24-hour average)<sup>13</sup> and potential alternative standards (99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels of 50, 100, 150, 200, and 250 ppb, and 98<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels: 200 ppb; see Chapter 5 of this document) to protect public health. To evaluate the ability of a specific standard to protect public health, ambient SO<sub>2</sub> concentrations need to be adjusted such that they simulate levels of SO<sub>2</sub> that just meet that standard. Such adjustments allow for comparison of the level of public health protection that could be associated with just meeting the current and potential alternative standards.

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<sup>13</sup> For consistency, the concentration units in this chapter are reported as ppb, even though the SO<sub>2</sub> NAAQS have units of ppm.



All areas of the United States currently have ambient SO<sub>2</sub> levels below the current annual standard (EPA, 2007c). One site in Northampton County, Pa., measured concentrations above the level of the 24-hour standard in 2006. Therefore, to evaluate whether the current standards adequately protect public health, nearly all SO<sub>2</sub> concentrations need to be adjusted upwards in all areas included in our assessment to simulate levels of SO<sub>2</sub> that would just meet the current standard levels. Similarly, to simulate a potential air quality standard that is below current air quality standards, those current levels must be adjusted downward.

Ambient SO<sub>2</sub> concentrations and exposures were characterized by considering *as is* air quality (unadjusted concentrations) and several hypothetical air quality scenarios. Each of the hypothetical air quality scenarios had an ambient concentration target, derived from the form and level of the current NAAQS or from potential alternative standards. Staff chose a proportional approach to adjust the SO<sub>2</sub> concentrations to simulate each of the current and alternative air quality standard scenarios.<sup>14</sup> A proportional approach was selected based on the mostly linear relationship between older high concentration years of air quality when compared with recent low concentration years at several locations (Rizzo, 2009). Briefly, for each location of interest (*i*) and year (*j*), SO<sub>2</sub> concentration adjustment factors (*F*) were derived by the following equation:

$$F_{ij} = S / C_{\max,ij} \quad \text{equation (6-2)}$$

where,

$F_{ij}$	=	Adjustment factor derived from the air quality standard target concentration in location <i>i</i> and year <i>j</i> (unitless)
$S$	=	concentration values allowed that would just meet the air quality standard level (ppb)
$C_{\max,ij}$	=	maximum measured SO <sub>2</sub> concentration given particular form of standard at a monitor in location <i>i</i> and year <i>j</i> (ppb)

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<sup>14</sup> The particular equation used to derive each of the adjustment factors is dependent on the form and level of the standard considered, however the equations all share proportionality between the target level and ambient concentration. To evaluate the current and alternative air quality scenarios in the exposure assessment (Chapter 8), a mathematically equivalent proportional approach was used to adjust the benchmark levels rather than adjusting the ambient concentrations as done for the air quality characterization (Chapter 7).

In these cases where staff simulated a proportional adjustment in ambient SO<sub>2</sub> concentrations using equation (6-2), it was assumed that the current temporal and spatial distribution of air concentrations (as characterized by the current air quality data) is maintained and increased SO<sub>2</sub> emissions contribute to increased SO<sub>2</sub> concentrations. All the hourly SO<sub>2</sub> concentrations in a location were multiplied by the same constant value  $F$ , whereas the highest monitor (in terms of concentration) is adjusted such that it just meets the standard target level.

This procedure for adjusting either the ambient concentrations (i.e., in the air quality characterization) or health effect benchmark levels (i.e., in the exposure assessment) was necessary to provide insight into the degree of exposure and risk which would be associated with an increase in ambient SO<sub>2</sub> levels such that the levels were just at the current standards in the areas analyzed. Staff recognizes that it is extremely unlikely that SO<sub>2</sub> concentrations in any of the selected areas where concentrations have been adjusted would rise to meet the current NAAQS and that there is considerable uncertainty associated with the simulation of conditions that would just meet the current standards. Nevertheless, this procedure was necessary to assess the ability of the current standards, not current ambient SO<sub>2</sub> concentrations, to protect public health. This process of adjusting SO<sub>2</sub> concentrations to simulate just meeting a specific standard is described in more detail in sections 7.2.4 and 8.8.1.

## **6.6 APPROACHES FOR CHARACTERIZING VARIABILITY AND UNCERTAINTY**

An important issue associated with any population exposure or risk assessment is the characterization of variability and uncertainty. *Variability* refers to the inherent heterogeneity in a population or variable of interest (e.g., residential air exchange rates) and cannot be reduced through further research, only better characterized with additional measurement. *Uncertainty* categorically refers to the lack of knowledge regarding the values of model input variables (i.e., *parameter uncertainty*), the physical systems or relationships used (i.e., use of input variables to estimate exposure or risk or *model uncertainty*), and in specifying the scenario that is consistent with purpose of the assessment (i.e., *scenario uncertainty*). Uncertainty is, ideally, reduced to the maximum extent possible through improved measurement of key parameters and iterative model refinement. The approaches used to assess variability and characterize uncertainty in this REA are discussed in the following two sections.

### **6.6.1 Characterization of Variability**

The purpose for addressing variability in this REA is to ensure that the characterization of air quality and the estimates of exposure and risk reflect the variability of ambient SO<sub>2</sub> concentrations and associated SO<sub>2</sub> exposure and health risk across the study locations and population. In this REA, there are several algorithms that account for variability of input data when generating the number of estimated benchmark exceedances or health risk outputs. For example, variability may result from the number of monitors operating in an area and their associated temporal and spatial heterogeneity in ambient SO<sub>2</sub> concentrations. Variability may also arise from differences in the population residing within a census block (e.g., age distribution) and the activities that may affect SO<sub>2</sub> population exposure (e.g., time spent outdoors), and/or the influential risk factors (e.g., the fraction of the population responding to an SO<sub>2</sub> exposure). A complete range of potential exposure levels and associated risk estimates can be generated when appropriately addressing variability in exposure and risk assessments; note however that the range of values obtained would be within the constraints of the algorithm or modeling system used, not the complete range of the true exposure or risk values.

Where possible, staff identified and incorporated any observed variability in input data sets and estimated parameters within each of the analyses performed in Chapters 7-9 rather than employing standard default assumptions and/or using point estimates to describe model inputs. The details regarding variability distributions used in data inputs are described in the methods sections of each assessment and summarized in sections 7.4, 8.11, and 9.3 for the air quality characterization, the exposure assessment, and the risk characterization, respectively.

### **6.6.2 Characterization of Uncertainty**

While it may be possible to capture a full range of exposure or risk values by accounting for variability inherent to influential factors, the true exposure or risk for any given individual is largely unknown. To characterize health risks, exposure and risk assessors commonly use an iterative process of gathering data, developing models, and estimating exposures and risks, given the goals of the assessment, scale of the assessment performed, and the limitations of the input data available. However, significant uncertainty often remains and emphasis is then placed on characterizing the nature of that uncertainty and its impact on exposure and risk estimates.

The characterization of uncertainty can include either qualitative or quantitative evaluations, or a combination of both. The approach can also be tiered, that is, the analysis can begin with a simple qualitative uncertainty characterization then progress to a complex probabilistic analysis. This could follow when a lower tier analysis indicates a high degree of uncertainty for certain identified sources, the sources are highly influential to exposure and risk estimates, and sufficient information and resources are available to conduct a quantitative uncertainty assessment. This is not to suggest that quantitative uncertainty analyses should always be performed in all exposure and risk assessments. The decision regarding the type of uncertainty characterization performed is also be informed by the intended scope and purpose of the assessment, whether the selected analysis will provide additional information to the overall decision regarding health protection, whether sufficient data are available to conduct a complex quantitative analysis, and if time and resources are available for higher tier characterizations (EPA, 2004b; WHO, 2008).

The primary purpose of the uncertainty characterization approach selected in this REA is to identify and compare the relative impact important sources of uncertainty may have on the potential health effect benchmarks and/or respiratory effects endpoints estimated in Chapters 7-9. The approach used to evaluate uncertainty was adapted from guidelines outlining how to conduct a qualitative uncertainty characterization (WHO, 2008), though staff also performed several quantitative sensitivity analyses to iteratively inform both model development and the qualitative uncertainty characterization, where possible. While it may be considered ideal to follow a tiered approach in the REA to quantitatively characterize all identified uncertainties, staff selected the mainly qualitative approach given the limited data available to inform probabilistic analyses, and time and resource constraints.

The qualitative approach used in this REA varies from that of WHO (2008) in that a greater focus of the characterization performed was placed on evaluating the direction and the magnitude<sup>15</sup> of the uncertainty; that is, qualitatively rating how the source of uncertainty, in the presence of alternative information, may affect the estimated air quality, exposure, and health risk assessment results. In addition and consistent with the WHO (2008) guidance, staff discuss the uncertainty in the knowledge-base (e.g., the accuracy of the data used, acknowledgement of

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<sup>15</sup> This is synonymous with the “level of uncertainty” discussed in WHO (2008), section 5.1.2.2.

data gaps) and decisions made (e.g., selection of particular model forms), though qualitative ratings were assigned only to uncertainty regarding the knowledge-base.

First, staff identified the key sources of the assessment that may contribute to uncertainty in the air quality, exposure, and risk estimates and provide the rationale for their inclusion. Then, staff characterized the magnitude and direction each identified source of uncertainty influences the assessment results. Consistent with the WHO (2008) guidance, staff subjectively scaled the overall impact of the uncertainty by considering the degree of severity of the uncertainty as implied by the relationship between the source of the uncertainty and the output of the air quality characterization. Where the magnitude of uncertainty was rated *low*, it was judged that large changes within the source of uncertainty would have only a small effect on the assessment results. A designation of *medium* implies that a change within the source of uncertainty would likely have a moderate (or proportional) effect on the results. A characterization of *high* implies that a small change in the source would have a large effect on results. Staff also included the direction of influence, indicating how the source of uncertainty was judged to affect estimated benchmark exceedances or risk estimates; either the estimated values were likely *over-* or *under-estimated*. In the instance where the component of uncertainty can affect the assessment endpoint in either direction, the influence was judged as *both*. Staff characterized the direction of influence as *unknown* when there was no evidence available to judge the directional nature of uncertainty associated with the particular source. Staff also subjectively scaled the knowledge-base uncertainty associated with each identified source using a three level scale: *low* indicated significant confidence in the data used and its applicability to the assessment endpoints, *medium* implied that there were some limitations regarding consistency and completeness of the data used or scientific evidence presented, and *high* indicated the knowledge-base was extremely limited.

The output of the uncertainty characterization was a summary describing, for each identified source of uncertainty, the magnitude of the impact and the direction of influence the uncertainty may have on the air quality, exposure, and risk characterization results. And finally, an evaluation of the uncertainties presented in Chapters 7-9 is discussed in Chapter 10, providing the overall implications in informing staff's evaluation of exposures and risks associated with

level, form, and averaging time related to judging the adequacy of the current standard and consideration of potential alternative primary SO<sub>2</sub> standards.

## **6.7 KEY OBSERVATIONS**

- Potential health effect benchmark values were derived from the controlled human exposure literature.
- Staff concluded that there is no evidence from human exposure studies to suggest that 200 ppb represents a threshold level below which no adverse respiratory effects occur.
- Staff concluded that it was appropriate to consider 5-minute benchmark levels in the range of 100 to 400 ppb in the air quality and exposure analyses.

## **7. AMBIENT AIR QUALITY AND BENCHMARK HEALTH RISK CHARACTERIZATION FOR 5-MINUTE PEAK SO<sub>2</sub> EXPOSURES**

### **7.1 OVERVIEW**

Ambient monitoring data for each of the years 1997 through 2007 were used in this chapter to characterize SO<sub>2</sub> air quality across the U.S. The measured air quality, as well as additional SO<sub>2</sub> concentrations derived from the measured air quality data, were used as an indicator of potential human exposure. While an ambient monitor measures SO<sub>2</sub> concentrations at a stationary location, the monitor may well represent the concentrations to which persons residing nearby are exposed. The quality of the extrapolation of ambient monitor concentration to personal exposure depends upon the spatial representativeness of the monitoring network, the corresponding spatial distribution of important emission sources, local meteorological conditions and geographical features, and a consideration of places that persons visit. Staff considers the analyses presented in this chapter to be a broad characterization of national air quality and potential human exposures that might be associated with a variety of scenario-driven concentrations. This is because many of the SO<sub>2</sub> ambient monitoring sites used in this analysis target public health monitoring objectives and some of the analysis results were separated by the population density surrounding the ambient monitors.

As previously discussed in Chapter 4, the ISA finds the evidence for an association between respiratory morbidity and SO<sub>2</sub> exposure to be “sufficient to infer a causal relationship” (ISA, section 5.2). The ISA states that the “definitive evidence” for this conclusion comes from the results of human exposure studies demonstrating decrements in lung function and/or respiratory symptoms in exercising asthmatics following exposure to SO<sub>2</sub> levels as low as 200 to 300 ppb for 5-10 minutes (ISA, section 5.2). Accordingly, 5-minute potential health effect benchmark levels ranging from 100-400 ppb were derived from the human exposure literature (see section 6.2 for benchmark level rationale) and compared to measured and statistically modeled 5-minute ambient concentrations. A broad analysis is first presented that evaluates the potential health risk at all ambient monitors, and then for more detailed analyses, at monitors located within selected U.S. counties (see section 7.2.4). Staff estimated the number of days in a year with 5-minute benchmark exceedances and the probability of benchmark exceedances given

the occurrence of 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations at ambient monitors.

All ambient SO<sub>2</sub> monitors report hourly concentrations; a subset of those report 5-minute maximum SO<sub>2</sub> concentrations as well, with a subset of these reporting continuous 5-minute SO<sub>2</sub> concentrations. Because there were two distinct sample averaging times reported for the available ambient monitoring data (i.e., ambient monitors reporting 1-hour SO<sub>2</sub> concentration measurements alone and monitors reporting both 5-minute and 1-hour average SO<sub>2</sub> concentrations), the data used in the analyses were separated by staff as follows.

The first set of ambient air quality data was from monitors reporting both 5-minute and 1-hour SO<sub>2</sub> concentrations. Staff 1) analyzed the ambient monitoring data for trends in 1-hour and 5-minute SO<sub>2</sub> concentrations, 2) counted the number of measured daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels given the annual average SO<sub>2</sub> concentrations, 3) estimated the probability of benchmark exceedances given the 24-hour average and 1-hour daily maximum SO<sub>2</sub> concentrations, 4) developed a statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations from 1-hour SO<sub>2</sub> concentrations, and 5) evaluated the performance of the statistical model by comparing the model's predicted versus measured numbers of exceedances (see section 7.2.3).

The second set of ambient data was comprised of 1-hour SO<sub>2</sub> concentrations from the broader SO<sub>2</sub> monitoring network; therefore this set also included 1-hour SO<sub>2</sub> concentrations from those monitors where 5-minute SO<sub>2</sub> data were reported, though the vast majority of the 1-hour data were from monitors that did not report 5-minute concentration measurements. Staff applied the statistical model that related 5-minute to 1-hour SO<sub>2</sub> measurements to this second set of ambient monitoring data to estimate 5-minute maximum SO<sub>2</sub> concentrations. As was done with the 5-minute SO<sub>2</sub> ambient measurement data, staff 1) evaluated trends in SO<sub>2</sub> concentrations, 2) counted the number of statistically modeled potential health effect benchmark exceedances in a day using the same longer-term averaging times, and 3) estimated the probability of peak concentrations associated with 1-hour daily maximum and 24-hour average SO<sub>2</sub> concentrations.

Staff considered three data analysis groups to characterize the ambient SO<sub>2</sub> air quality. In the first group, we evaluated the combined 5-minute and 1-hour SO<sub>2</sub> measurement data as they were reported, representing the conditions at the time of monitoring (termed in this assessment



“*as is*”). The second group also considered the *as is* air quality; however staff analyzed the statistically modeled 5-minute SO<sub>2</sub> concentrations that were generated from *as is* 1-hour SO<sub>2</sub> measurements. This second data analysis group expanded the geographic scope of the 5-minute air quality characterization by using the broader SO<sub>2</sub> monitoring network. The third data analysis group considered 1-hour SO<sub>2</sub> concentrations adjusted to just meeting the current NAAQS<sup>16</sup> and each of the potential alternative 1-hour daily maximum standard levels of 50, 100, 150, 200 and 250 ppb (see Chapter 5 for details). The data used to simulate the current and alternative standard scenarios were limited to the most recent and comprehensive ambient monitoring data available (i.e., 2001-2006) in forty selected U.S. counties.<sup>17</sup> Due to the form of the potential alternative standards considered here (98<sup>th</sup> and 99<sup>th</sup> percentiles of the 1-hour daily maximum concentrations averaged over 3 years), the recent ambient monitoring data set was evaluated using two three-year periods, 2001-2003 and 2004-2006.<sup>18</sup> Whereas the first analysis group used entirely 1-hour and 5-minute SO<sub>2</sub> measurement data, the second and third analysis groups used statistically modeled 5-minute SO<sub>2</sub> concentrations that were generated from 1-hour SO<sub>2</sub> concentrations. The third data analysis group also included an adjustment of the 1-hour SO<sub>2</sub> concentrations to evaluate several air quality standard scenarios in 40 selected counties.

Staff expected that there would be variability in the number of persons living within close proximity of each monitor (both the 5-minute and 1-hour SO<sub>2</sub> monitors) given the particular siting characteristics of the ambient monitors (e.g., either source- or population-oriented monitoring objectives). Therefore, we separated some of the air quality results within each scenario by using the population density surrounding each ambient monitor. First, each monitor was characterized by having one of three population densities (i.e., *low*, *medium*, and *high*), groupings defined by the three characteristic regions of the population distribution generated from the broader SO<sub>2</sub> monitoring network (section 7.2.2). Then, staff counted the number days

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<sup>16</sup> Just meeting the current NAAQS levels could either be meeting a 30 ppb annual average or the 140 ppb 24-hour average concentration (one allowed exceedance), whichever is the controlling standard at that ambient monitor (see section 7.2.4).

<sup>17</sup> At the time of the initial data download from the AQS data mart, many of the monitors did not have complete years of data available for 2007, therefore the most recent data for most monitors was from 2006. These complete site-year data are a subset of the broader ambient monitoring data set available.

<sup>18</sup> A number of 3-year groups are within 2001-2006 (e.g., 2001-2003, 2002-2004, etc.) and a number of years of monitoring data are outside the 2001-2006 time frame that could have been used in an extended 3-year grouping of 2001-2006 air quality (e.g., 2000-2002). For convenience, the upper and lower groupings were chosen by staff to represent 3-year air quality within the 6-year period when considering just meeting the potential alternative standards.

with 5-minute benchmark exceedances per year at each monitor, either measured or estimated depending on the data analysis group considered, and aggregated the results by the population density group. Rather than count the total number of 5-minute SO<sub>2</sub> concentrations above a particular benchmark, staff calculated the number of days in a year with a 5-minute SO<sub>2</sub> concentration above a potential health effect benchmark.<sup>19</sup>

One output of this air quality characterization is an estimate of the number of days per year a monitor experienced 5-minute SO<sub>2</sub> concentration above those that may cause adverse health effects in susceptible individuals (i.e., benchmark level exceedances). These counts are a useful metric in comparing one ambient monitor or monitoring location to another and in identifying where and when frequent benchmark exceedances could occur. However, earlier analyses indicated that the relationship between the annual average SO<sub>2</sub> concentration and the number of 5-minute benchmark exceedances was generally weak (1<sup>st</sup> draft SO<sub>2</sub> REA). Therefore, a comparison of the number of days/year with benchmark exceedances to the annual average SO<sub>2</sub> concentration is of limited use. This absence of a strong relationship highlights the ineffectiveness of long-term averaged concentrations in controlling short-term peak concentrations. Furthermore, while there was an improved relationship between the number of 5-minute maximum SO<sub>2</sub> concentrations and 24-hour average concentrations, it was also shown that the number of benchmark exceedances in a day was variable given a specific 24-hour average concentration.<sup>20</sup> For example, there could be as many as five 5-minute maximum SO<sub>2</sub> concentrations above a selected benchmark levels at a particular 24-hour average SO<sub>2</sub> concentration, while in other instances there may be no benchmark exceedances at the same 24-hour concentration.

Given that there is variability in the number of 5-minute peak SO<sub>2</sub> concentrations associated with concentrations of longer-term averaging times, that a daily maximum 5-minute SO<sub>2</sub> concentration was the metric of interest, and that the potential alternative standards

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<sup>19</sup> In the 1<sup>st</sup> draft SO<sub>2</sub> REA, as well as the early draft NO<sub>2</sub> REAs, all benchmark exceedances for any hour of the day were reported. The use of the daily maximum exceedance was selected in the final NO<sub>2</sub> REA as well in the 2<sup>nd</sup> draft and final SO<sub>2</sub> REA to improve the temporal perspective for the metric in the air quality analysis (i.e., the number of daily maximum exceedances also gives the number of days in a year with an exceedance of a selected benchmark), and to be consistent with the exposure and risk analyses. The implication of not counting multiple exceedances is discussed further in sections 7.4, 8.11, and 10.3.3.1.

<sup>20</sup> In the 1<sup>st</sup> draft SO<sub>2</sub> REA, multiple exceedances within a day (if any) were counted. In the 2<sup>nd</sup> draft and final SO<sub>2</sub> REA, there is only one counted maximum exceedance per day. Additional analysis of multiple exceedances within the day is given in section 8.11.211.

investigated use 1-hour daily maximum SO<sub>2</sub> concentrations, staff decided that an appropriate comparison would be between the frequency of peak 5-minute SO<sub>2</sub> concentrations given 1-hour daily maximum SO<sub>2</sub> concentrations. Thus, the second output of this air quality characterization is presented as the probability of a benchmark exceedance given a daily maximum 1-hour SO<sub>2</sub> concentration. In addition, the probability of a 5-minute benchmark exceedance given a 24-hour average concentration is also provided to offer additional perspective on this averaging time.

## **7.2 APPROACH**

There were five broad steps to characterize the SO<sub>2</sub> air quality. The first step involved compiling and screening the ambient air quality data collected since 1997 to ensure consistency with the SO<sub>2</sub> NAAQS requirements and for usefulness in this air quality characterization. Next, due to potential variable influence of SO<sub>2</sub> emission sources on ambient monitor concentrations, the monitors from each of the two data sets (i.e., combined 5-minute and 1-hour, broader 1-hour only) were categorized and evaluated according to their monitoring site attributes, including land use characteristics, location type, monitoring objective, distance to emissions sources, and population density. In addition, the variability in 5-minute and 1-hour SO<sub>2</sub> concentrations was evaluated and used to categorize each ambient monitor. Staff used concentration variability in the development and application of a statistical model used to estimate 5-minute maximum SO<sub>2</sub> concentrations. Then, a concentration adjustment approach was developed and applied in selected locations to evaluate several air quality scenarios. And finally, air quality metrics of interest (i.e., the number and probability of potential health effect benchmark exceedances) were calculated using the air quality data from each scenario.

The following provides an overview of the five steps used to characterize air quality and summarizes key portions of the analysis. Briefly, the five steps include: 1) screening of air quality data; 2) evaluation of site characteristics of ambient SO<sub>2</sub> monitors; 3) development of a statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations; 4) adjustment of air quality; and 5) generation of air quality metrics. Details regarding the ambient monitors used for characterizing air quality and associated descriptive meta-data are provided in Appendix A.1.

### **7.2.1 Screening of Air Quality Data**

SO<sub>2</sub> air quality data and associated documentation from the years 1997 through 2007 were downloaded from EPA's Air Quality System for this analysis (EPA, 2007c, h). Data

obtained were used as reported by these sources; there were no substitutions performed for any missing or zero concentration data. The total available SO<sub>2</sub> ambient monitoring data, reported for either 5-minute or 1-hour averaging times, are summarized in Table 7-1. The 5-minute SO<sub>2</sub> monitoring data existed in either one of two forms; the single highest 5-minute concentration occurring in a 1-hour period (referred to here as max-5 data set), or all twelve 5-minute concentrations within a 1-hour period (referred to here as continuous-5 data set).

**Table 7-1. Summary of all available 5-minute and 1-hour SO<sub>2</sub> ambient monitoring data, years 1997-2007, pre-screened.**

<b>Sample Type</b>	<b>Number of Monitors</b>	<b>Number of States<sup>1</sup></b>	<b>Years in Operation</b>	<b>Number of Measurements<sup>2</sup></b>
Max-5	104	13 + DC	1997-2007	3,457,057
Continuous-5	16	6 + DC	1999-2007	3,328,725
1-hour	935	49 + DC, PR, VI	1997-2007	47,206,918
<b>Notes:</b> <sup>1</sup> DC=District of Columbia, PR=Puerto Rico, VI=Virgin Islands. <sup>2</sup> For the max-5 and 1-hour data sets, this number represents the number of hours a sample was collected/reported. The number for the continuous-5 data set is the number of 5-minute samples. The total number of hours where measurements for the continuous-5 set were collected is 283,202 (see Table 7-2).				

Staff evaluated the data for inconsistencies and duplication. The reported measurement units varied within each of the data sets, therefore the staff converted all concentrations to parts per billion (ppb). Next staff screened each of the three data sets listed in Table 7-1 for where monitor IDs had multiple parameter occurrence codes (POCs) and identical monitoring times. These duplicate measures could either result from co-location of ambient monitors (i.e., more than one measurement instrument) or from duplicate reporting of ambient concentrations (i.e., the 5-minute maximum concentration in the max-5 data set is the same as the maximum 5-minute concentration reported from the continuous-5 data set). As a result of this evaluation and additional concentration level screening (see below), staff constructed several data sets for analysis in this REA. These data sets are summarized in Table 7-2 and are described in detail below.

**Table 7-2. Analytical data sets generated using the continuous-5, max-5, and 1-hour ambient SO<sub>2</sub> monitoring data, following screening.**

Sample Type	Within Set Duplicates (n)	Available Data (n)	Combined Set Duplicates (n)	Final Combined Max-5 Data (n)	Final Combined 1-hour (n)	Final Combined Max-5 & 1-hour (n)
Max-5	300,438	3,156,619	29,058	3,410,763		2,367,686 <sup>4</sup>
Continuous-5 with 1-hour <sup>1</sup>	0	283,202 <sup>2</sup>			47,213,385 <sup>3</sup>	
1-hour	0	47,188,640				

**Notes:**  
<sup>1</sup> 1-hour concentrations from continuous-5 data were calculated from all 5-minute values within the hour.  
<sup>2</sup> The number of 5-minute maximum SO<sub>2</sub> samples.  
<sup>3</sup> There were a total of 24,745 unique 1-hour values added from the continuous-5 monitors.  
<sup>4</sup> There were a total of 2,408,351 values where the 5-minute maximum and 1-hour measurements were reported at the same time at the same monitor. Of these, a total of 40,665 were screened out for not meeting the peak-to-mean (PMR) criterion.

Boxes spanning two rows are comprised of data from the two sample types. For example, there were 29,058 duplicate values when considering the max-5 and continuous-5 data sets. Therefore, in creating the “Final Combined Max-5 Data” (n= 3,410,763), this was the sum of the max-5 (n=3,156,619) and continuous-5 (n=283,202) minus the duplicates (n=29,058).

### ***1. Simultaneously reported/measured ambient SO<sub>2</sub> data***

Two separate data sets were constructed that had multiple 5-minute SO<sub>2</sub> measurements collected at the same monitoring location and time for:

- max-5 duplicates (i.e., simultaneous measurements of 5-minute maximum SO<sub>2</sub> concentrations from co-located max-5 monitors; n=300,438)
- max-5 and continuous-5 duplicates (i.e., simultaneous 5-minute maximum SO<sub>2</sub> concentrations reported in max-5 and continuous-5 datasets; n=29,058)

A third data set was constructed that had simultaneous 1-hour SO<sub>2</sub> measurements collected at the same monitoring location and time for:

- 1-hour duplicates (i.e., from 1-hour SO<sub>2</sub> monitors and from averaging the continuous-5 monitors; n=258,457)

Each of these duplicate data sets were used for quality assurance purposes only, the evaluation of which is presented in Appendix A.2. The duplicate values were not used in the statistical model development or for any other 5-minute or 1-hour SO<sub>2</sub> concentration analysis.

## ***2. Combined 5-minute and 1-hour ambient SO<sub>2</sub> data***

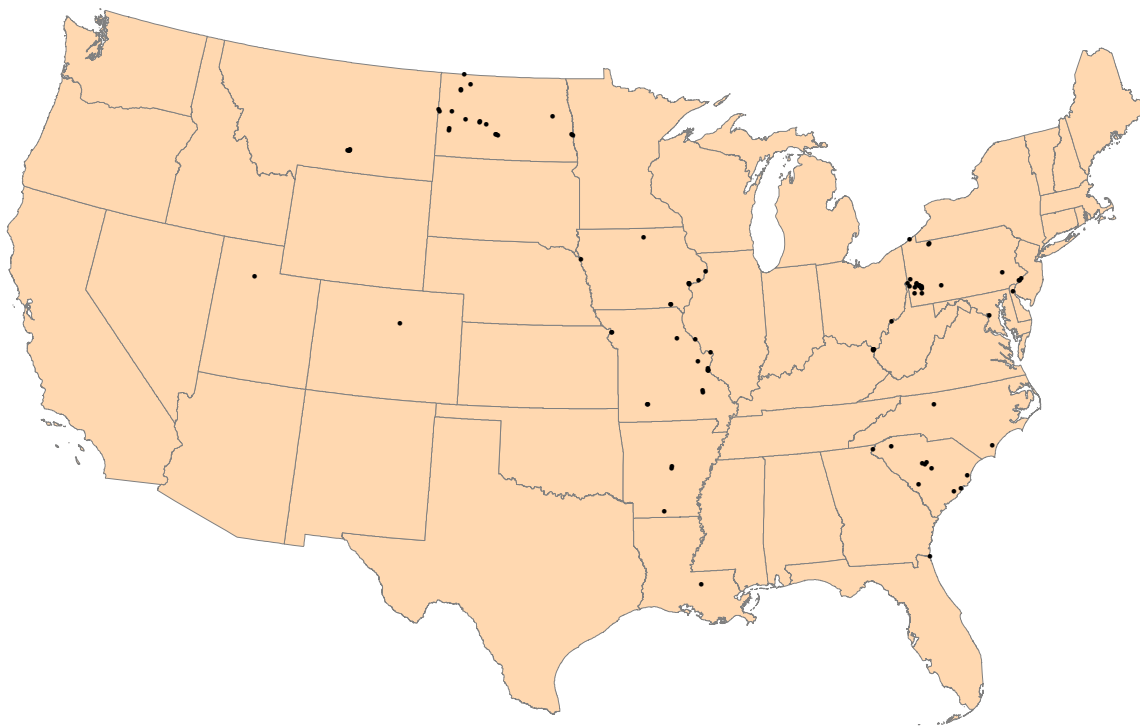
A complete set of 5-minute maximum SO<sub>2</sub> concentrations,<sup>21</sup> generated from the max-5 data set and from the maximum 5-minute concentrations reported by the continuous-5 monitors, was then combined with their corresponding measured 1-hour SO<sub>2</sub> concentrations (see below). Then, the combined data were screened for validity, recognizing that the combined max-5 and 1-hour SO<sub>2</sub> data set may have certain anomalies (e.g., 5-minute maximum SO<sub>2</sub> concentrations < 1-hour mean SO<sub>2</sub> concentration). A value of 1 was selected as the lower bound peak-to-mean ratio (PMR),<sup>22</sup> accepting the possibility that the 5-minute maximum concentrations (and all other 5-minute concentrations within the same hour) may be identical to the 1-hour average concentration. A PMR of <12 was selected as the upper bound since it would be a mathematical impossibility to generate a value at or above 12 given there are twelve 5-minute measurements within any 1-hour period.<sup>23</sup> This screening resulted in a total of nearly 2.4 million values comprising the combined 5-minute maximum and 1-hour SO<sub>2</sub> concentration dataset. The locations of these 98 monitoring sites comprising this dataset are illustrated in Figure 7-1. Staff used this data set to develop a statistical model (section 7.2.3) and to characterize the measured 5-minute maximum ambient air quality. Details on the monitors used and site attributes (e.g., latitude, longitude, operating years, monitoring objective) are provided in Appendix A.1.

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<sup>21</sup> A single 5-minute and 1-hour SO<sub>2</sub> concentration was used in each of data set 2 and 3. The criteria for selection of a particular value was first based on whether the 1-hour concentration was calculated from the continuous-5 data (where present) followed by the monitor ID POC that had the greatest overall number of samples.

<sup>22</sup> The peak-to-mean ratio is the maximum 5-minute SO<sub>2</sub> concentration within an hour divided by the 1-hour average SO<sub>2</sub> concentration.

<sup>23</sup> As the 5-minute maximum concentration approaches infinity, the other 11 concentrations measured in the hour comparatively tend towards zero, giving a maximum PMR = Peak/Mean =  $C_{\max}/[(C_{\max} + (C_{\text{others}} \rightarrow 0) \times 11)/12] < 12$ .



**Figure 7-1. Location of the 98 monitors that reported 5-minute maximum SO<sub>2</sub> concentrations and comprising the first data analysis group.**

### ***3. Broader 1-hour ambient SO<sub>2</sub> data***

This data set was comprised of all 1-hour SO<sub>2</sub> data, whether obtained from the 1-hour ambient monitoring data set or from averaging 5-minute concentrations from the continuous-5 data set. The raw 1-hour data from a total of 935 ambient monitors were first screened for negative concentrations (n=3,555) and for where concentrations were less than 0.1 ppb (n=14,723). The screened data were not used in any analyses. The refined 1-hour data (n=47,188,640) were then combined with the 1-hour average concentrations obtained from the continuous 5-monitors. Staff retained the 1-hour average concentrations from the continuous-5 monitors where duplicate values existed. This was done to better maintain the relationship between the 5-minute maximum and 1-hour SO<sub>2</sub> concentrations. As described above for data set 1, staff removed duplicate 1-hour values identified at each monitoring location originating from the 1-hour and continuous-5 monitors for separate analysis (Appendix A-2). The remaining 1-hour SO<sub>2</sub> data set (with duplicate 1-hour values removed) was then combined with the complete 5-minute maximum data set described above for data set 2 (with duplicate 5-minute maximum

SO<sub>2</sub> values removed). Staff used data set 2 in developing the statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations (section 7.2.3).

Additional screening of the 1-hour SO<sub>2</sub> data set was performed using a 75% completeness criterion. This monitoring data requirement is used in demonstrating attainment of the SO<sub>2</sub> NAAQS (61 FR 25579).<sup>24</sup> For an ambient monitor to have a valid year of data, first, valid days were selected as those with at least 18 hours of data. Then, each monitor was required to have 75% of each calendar quarter with complete days (either 68 or 69 days per quartile). This 75% completeness criterion was applied to the available monitoring data to generate a total of 4,692 valid site-years of data obtained from 809 ambient monitors. The number of valid monitoring site-years available as a result of this screening is presented in Table 7-3, effectively encompassing ambient SO<sub>2</sub> monitoring in 48 US States, Washington DC, Puerto Rico and the US Virgin Islands over years 1997 through 2006.<sup>25</sup> The locations of the 809 monitors comprising the broader SO<sub>2</sub> monitoring network are illustrated in Figure 7-2. This data set was used in the second data air quality characterization scenario that considered the measured *as is* 1-hour SO<sub>2</sub> concentrations with statistically modeled 5-minute maximum concentrations. Details on the monitors used and site attributes (e.g., latitude, longitude, operating years, monitoring objective) are provided in Appendix A.1.

### **7.2.2 Site Characteristics of Ambient SO<sub>2</sub> Monitors**

The siting of the monitors is of particular importance, recognizing that proximity to local sources could have an influence on the measured SO<sub>2</sub> concentration data and subsequent interpretation of the air quality characterization. Staff evaluated the attributes of monitors within each of the two data sets; the first data set was comprised of monitors that reported 5-minute maximum SO<sub>2</sub> concentrations, and the second was generated from monitors within the broader SO<sub>2</sub> monitoring network and having valid 1-hour SO<sub>2</sub> concentrations. Two points are worth mentioning for this analysis; the first being the number of monitors and the second being the potential for differences in types of sources influencing each monitor. While there is overlap in

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<sup>24</sup> See [http://www.epa.gov/air/oaqps/greenbook/40cfr50\\_2001.pdf](http://www.epa.gov/air/oaqps/greenbook/40cfr50_2001.pdf)

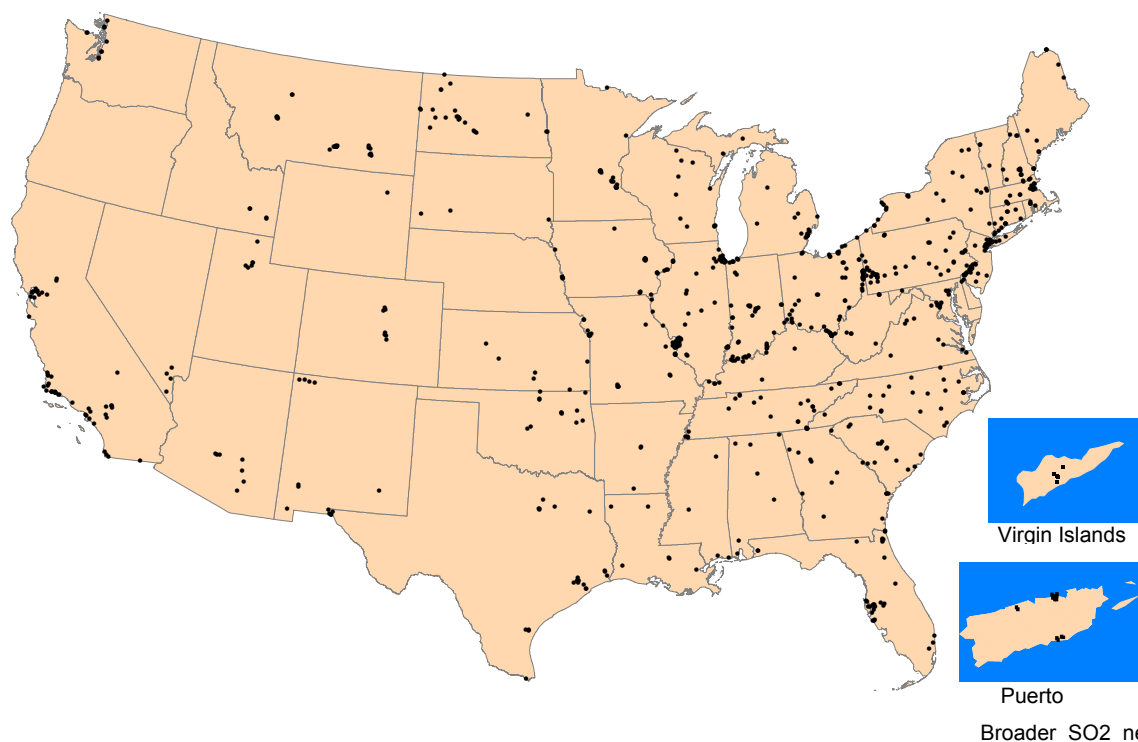
<sup>25</sup> Based on the version date of the files downloaded from EPA's AQS data mart (6/20/2007), all 1-hour SO<sub>2</sub> data from 2007 were less than complete. In addition, two monitors located in Hawaii County, HI were identified in the 1<sup>st</sup> draft REA as having concentrations influenced by natural sources. Therefore, monitor IDs 150010005 and 150010007, while meeting the completeness criteria, were removed from the valid 1-hour SO<sub>2</sub> data set due to the influence of volcanic activity on measured SO<sub>2</sub> concentrations at these locations. Alaska had no SO<sub>2</sub> monitors during the period of analysis.



**Table 7-3. Counts of complete and incomplete site-years of 1-hour SO<sub>2</sub> ambient monitoring data for 1997-2006.**

State		Number of Site-Years		Percent Valid	Number of Valid Monitors per year	
Abbr.	Code	Complete	Incomplete		Minimum	Maximum
AL	01	36	15	71	1	5
AZ	04	44	24	65	1	6
AR	05	17	14	55	1	2
CA	06	308	136	69	7	41
CO	08	33	13	72	1	6
CT	09	69	18	79	6	12
DE	10	27	16	63	2	4
DC	11	10	1	91	1	1
FL	12	223	76	75	3	28
GA	13	65	34	66	5	9
HI	15	31	19	62	2	4
ID	16	17	10	63	1	3
IL	17	235	30	89	18	30
IN	18	276	80	78	13	34
IA	19	110	33	77	8	14
KS	20	28	27	51	2	4
KY	21	104	42	71	2	13
LA	22	57	11	84	5	6
ME	23	25	18	58	1	7
MD	24	10	7	59	1	3
MA	25	102	33	76	6	15
MI	26	84	28	75	5	15
MN	27	74	23	76	5	12
MS	28	25	11	69	1	4
MO	29	166	40	81	11	21
MT	30	121	50	71	2	18
NE	31	9	13	41	1	2
NV	32	16	6	73	1	4
NH	33	63	26	71	3	11
NJ	34	117	21	85	12	14
NM	35	56	24	70	3	9
NY	36	229	72	76	21	24
NC	37	61	29	68	4	9
ND	38	155	45	78	10	18
OH	39	309	74	81	28	35
OK	40	59	32	65	3	9
OR	41	0	4	0	0	0
PA	42	398	97	80	33	51
RI	44	21	2	91	2	3
SC	45	90	34	73	5	11
SD	46	7	4	64	1	3
TN	47	175	70	71	12	23

State		Number of Site-Years		Percent Valid	Number of Valid Monitors per year	
Abbr.	Code	Complete	Incomplete		Minimum	Maximum
TX	48	172	71	71	10	21
UT	49	33	14	70	3	4
VT	50	11	4	73	1	2
VA	51	94	28	77	8	11
WA	53	18	24	43	1	7
WV	54	203	28	88	14	25
WI	55	39	18	68	2	7
WY	56	3	8	27	1	1
PR	72	33	32	51	1	6
VI	78	24	23	51	1	5
<b>Total or Average<sup>1</sup></b>		<b>4692</b>	<b>1612</b>	<b>68</b>	<b>6</b>	<b>12</b>
<b>Notes:</b> <sup>1</sup> Columns of complete and incomplete site years were summed. The percent valid site-years and the monitors in operation per year with valid data were averaged.						



**Figure 7-2. Location of the 809 monitors comprising the broader SO<sub>2</sub> ambient monitoring network (i.e., the second data analysis group).**

the measurement of 5-minute maximum and its associated 1-hour SO<sub>2</sub> concentration at some locations (n=98), the remainder of SO<sub>2</sub> monitors with valid data (n=711) are sited in other locations where 5-minute SO<sub>2</sub> measurements have not been reported. Staff evaluated the ambient monitor attributes within each data set because there may be influential attributes in the subset of data used to develop the statistical model (i.e., monitors reporting 5-minute maximum SO<sub>2</sub> concentrations) that are not applicable to the broader SO<sub>2</sub> monitoring network. Staff acknowledges that the information available and the monitoring site characteristics considered can limit how well the monitoring data serve as an indicator of human exposure.

First, staff evaluated the specific monitoring site characteristics provided in AQS, including the monitoring objective, measurement scale, and predominant land-use. Additional features such as proximity to SO<sub>2</sub> emission sources and the population residing within various distances of each monitor were estimated using monitoring site and emission source geographic coordinates and U.S Census data. Each of these attributes is summarized here to provide perspective on the attributes of where 5-minute maximum SO<sub>2</sub> concentrations were reported versus the attributes of the broader SO<sub>2</sub> monitoring network. A more thorough discussion of the purpose of the existing ambient SO<sub>2</sub> monitoring network is provided in Chapter 2. Individual monitor site characteristics are given in Appendix A.1.

The monitoring objective meta-data field describes the nature of the monitor in terms of its attempt to generally characterize health effects, the presence of point sources, regional transport, or welfare effects. In recognizing that there were variable numbers of ambient monitors in operation and variation in the number of valid site-years available for each data set, staff weighted the monitoring objectives by the number of site-years. This was done to provide perspective on the air quality characterization results that are based on the total site-years of data available, not just the number of ambient monitors. In addition, the monitors can have more than one objective. Where multiple objectives were designated, staff selected a single objective to characterize each monitor using the following order: population exposure, source-oriented, high concentration, general/background, unknown.<sup>26</sup> All other objectives (whether known or indicated as “none”) were grouped by staff into an “Other” category. Figure 7-3 summarizes the

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<sup>26</sup> This order was selected to characterize the monitors with a specific objective. Most of the time where there were multiple objectives at a monitor, there was a specific objective (e.g., population exposure) and a non-specific objective (e.g., unknown).

objectives for the monitors comprising each data set. Each of the data sets had a large proportion of site-years that would target public health objectives through the population exposure and highest concentration categories, though the monitors in the broader SO<sub>2</sub> monitoring network had a greater percentage than the monitors reporting both 5-minute maximum and 1-hour SO<sub>2</sub> concentrations. The monitors reporting 5-minute concentrations had approximately twice the percentage of site-years from source-oriented monitors when compared with the broader SO<sub>2</sub> monitoring network.

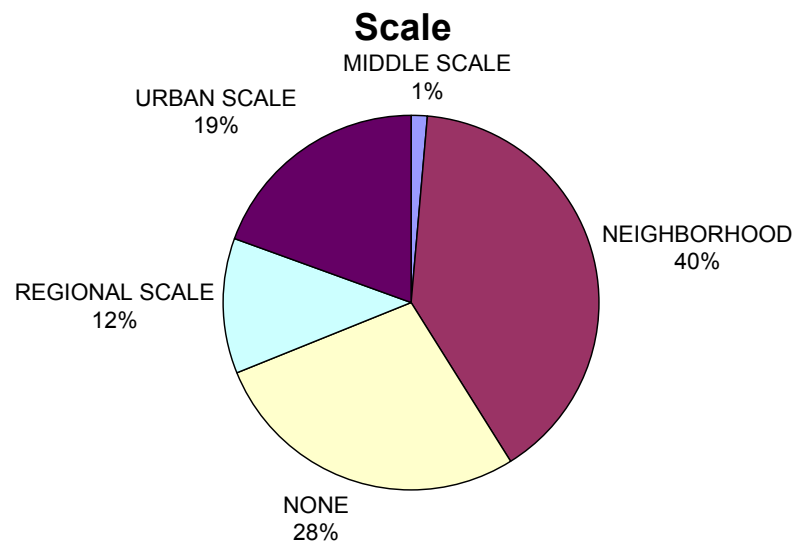
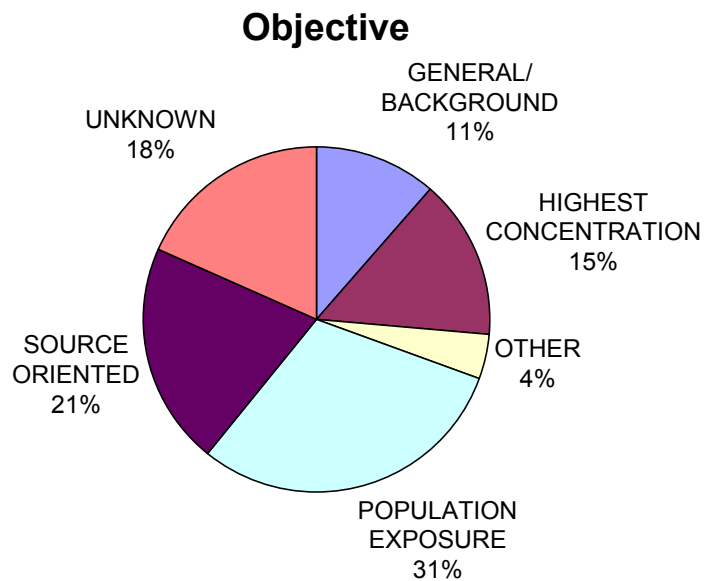
Similarly, the overall measurement scale of the monitors used for the air quality characterization in each location was evaluated based on the weighting of valid site-years of data. The measurement scale represents the air volumes associated with the monitoring area dimensions. While a monitor can have multiple objectives, each monitor typically has only one measurement scale. Figure 7-3 also summarizes the measurement scales for the monitoring site-years comprising each data set. Both data sets had their greatest proportion of monitoring site-years associated with neighborhood measurement scales (500 m to 4 km), though monitors recording 1-hour concentrations had about 22 percentage points greater than the monitors reporting 5-minute maximum concentrations. Furthermore, monitors reporting 5-minute values had a larger proportion of site-years of data characterized at an urban (4 to 50 km) and regional scale (50 km to 1,000 km) compared with the broader SO<sub>2</sub> monitoring network.

The land-use meta-data indicate the prevalent land-use within ¼ mile of the monitoring site. Figure 7-4 summarizes the land-use surrounding monitors that reported 5-minute maximum concentrations and the monitors in the broader 1-hour SO<sub>2</sub> monitoring network. Over half of the site-years are from residential and industrial areas and are of similar proportions for both data sets considered. The greatest difference in the surrounding land-use was for the percent of site-years associated with monitors sited in agricultural and commercial areas. The monitors reporting 5-minute maximum SO<sub>2</sub> concentrations had about 10 percentage points more site-years from monitors within agricultural areas and 10 percentage points less in commercial areas when compared to the respective land use of the broader SO<sub>2</sub> monitoring network.

The setting is a general description of the environment within which the site is located. Figure 7-4 also summarizes the setting of the monitors comprising each data set. For monitors reporting 5-minute concentrations, the greatest proportion of site-years is from ambient monitors

with a rural setting (49%). Most of the site-years in the broader SO<sub>2</sub> monitoring network were from monitors within a suburban setting (40%).

## 5-Minute Monitors



## All Monitors

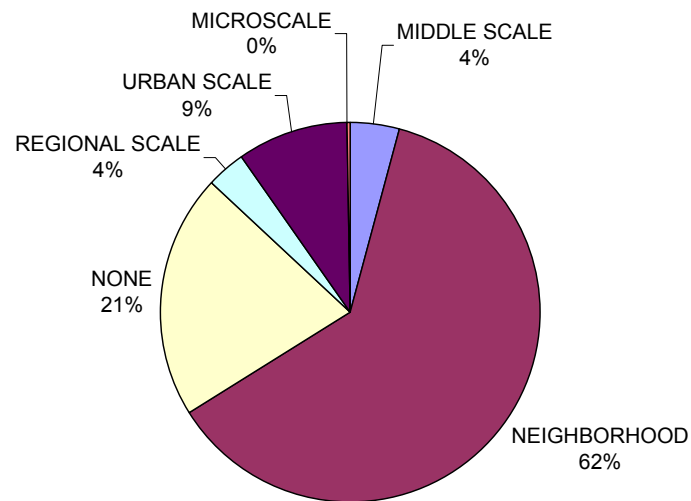
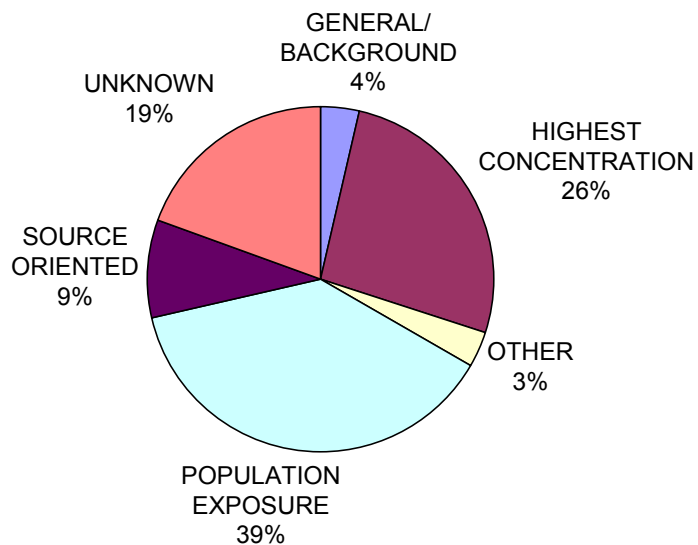
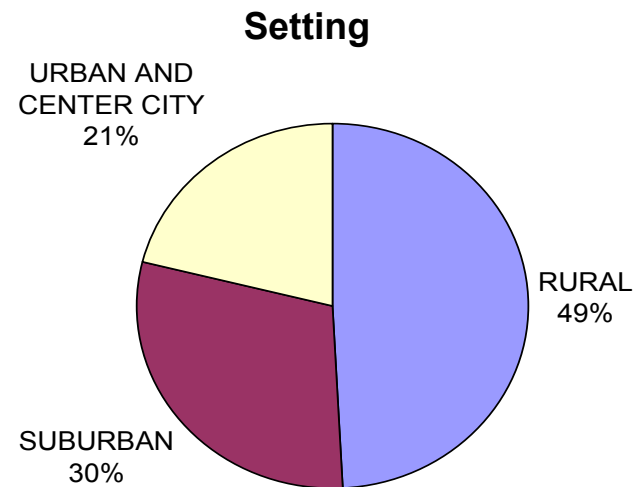
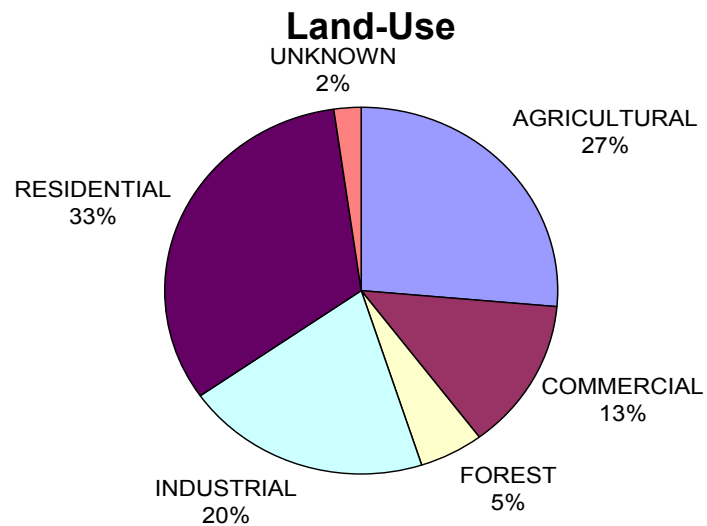


Figure 7-3. Distribution of site-years of data considering monitoring objectives and scale: monitors that reported 5-minute maximum SO<sub>2</sub> concentrations (top) and the broader SO<sub>2</sub> monitoring network (bottom).

## 5-Minute Monitors



## All Monitors

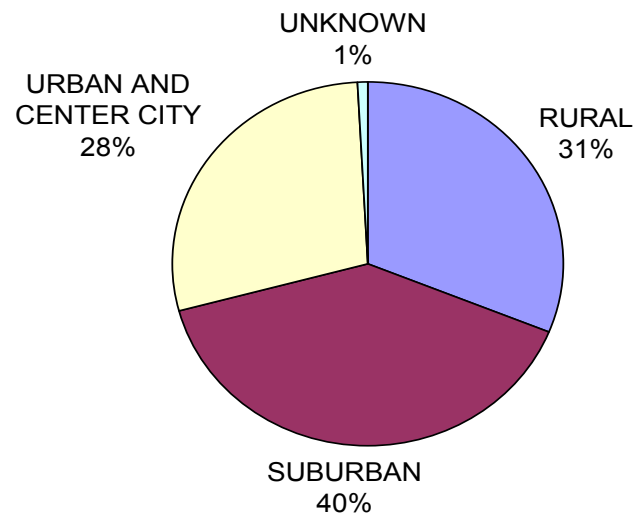
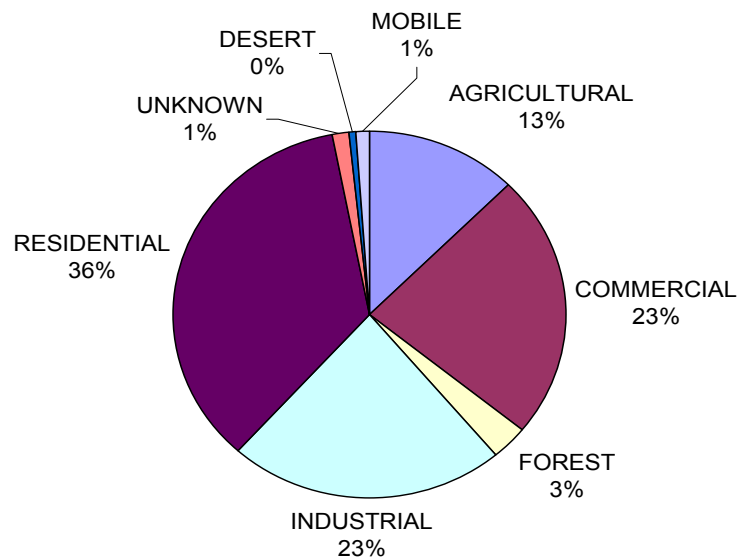


Figure 7-4. Distribution of site-years of data considering land-use and setting: monitors that reported 5-minute maximum SO<sub>2</sub> concentrations (top) and the broader SO<sub>2</sub> monitoring network (bottom).

Stationary sources (in particular, power generating utilities using fossil fuels) are the largest contributor to SO<sub>2</sub> emissions in the U.S. (ISA, section 2.1). First, staff determined the distances, amounts of, and types of stationary source emissions associated with each of the ambient SO<sub>2</sub> monitors. Then, staff selected the sources in close proximity of each monitor to identify whether there are differences in the distribution of emission sources that could affect the monitored concentrations. Stationary sources emitting > 5 tons per year (tpy) SO<sub>2</sub> and within 20 km of each monitor were identified using data from the 2002 National Emissions Inventory (NEI).<sup>27</sup> Details on the number of sources, the distribution of emissions, and the method for determining the distances to each individual ambient monitor are provided in Appendix A.1.

The total SO<sub>2</sub> source emissions within 20 km of every monitor were summed by their source descriptions; the top eight source types were selected for evaluation followed by a summing of all other remaining source types in a final source description group (“other”).<sup>28</sup> These emission results are presented in Figure 7-5 for the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and for the broader SO<sub>2</sub> monitoring network. A comparison of the sources located within 20 km of monitors comprising both data sets indicates strong similarity in the types of sources present. Approximately 70% of the stationary source emissions local to monitors comprising either data set originate from fossil fuel power generation.<sup>29</sup> Similarity in emission contributions from several other source categories is also evident (i.e., petroleum refineries, iron and steel mills, cement manufacturing). One of the largest distinctions between the sources surrounding the two data sets is the emission contribution from primary smelters. There were greater source emissions from smelters located within 20 km of the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations (8.8%) than within 20 km of the broader SO<sub>2</sub> monitoring network (1.1%). A second difference between the two sets of data existed in the emission contribution from a combined power generation, transmission and distribution description; this source category contributes approximately 11% to emissions proximal monitors

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<sup>27</sup> 2002 National Emissions Inventory Data & Documentation. Office of Air Quality Planning and Standards, Research Triangle Park, NC. Available at: <http://www.epa.gov/ttn/chief/net/2002inventory.html>.

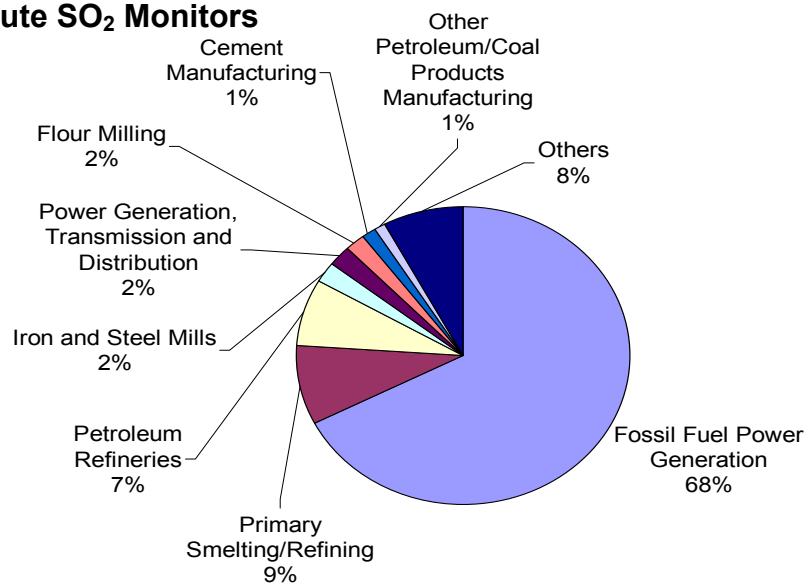
<sup>28</sup> Details for the number of sources and emissions surrounding each monitor are given in Appendix A.1.1 and A.1.2

<sup>29</sup> This emission category was summed from fossil fuel power generation (NEI code 221112) and hydroelectric utilities (NEI code 221111). Hydroelectric utility SO<sub>2</sub> emissions arise from power generating facility operations that require fossil fuel combustion (e.g., diesel-fueled backup generators).

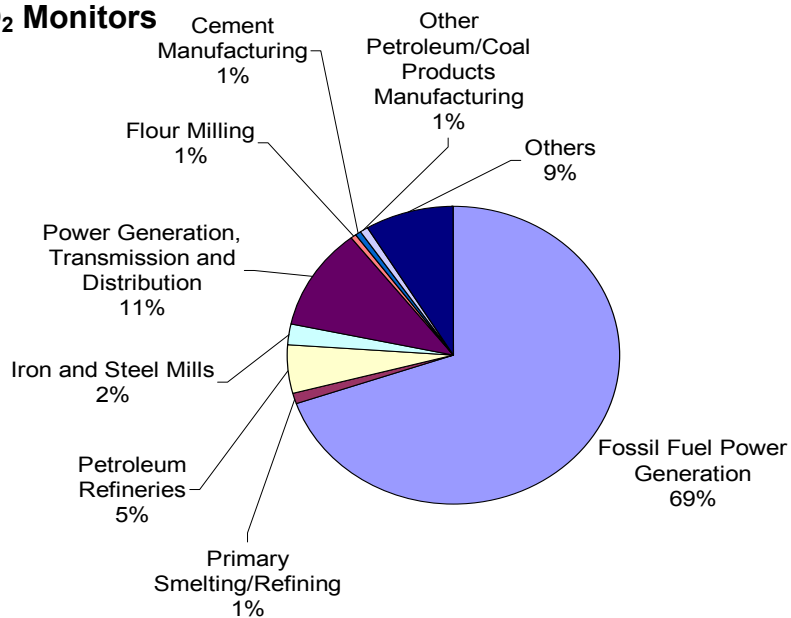


in the broader SO<sub>2</sub> monitoring network compared with only 2% at monitors measuring 5-minute SO<sub>2</sub> concentrations.

### 5-minute SO<sub>2</sub> Monitors



### All SO<sub>2</sub> Monitors



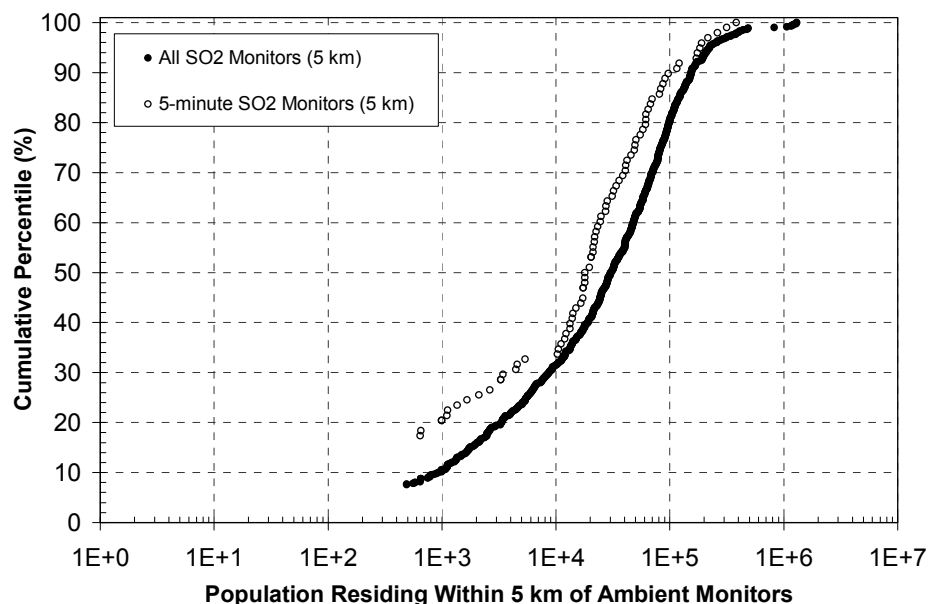
**Figure 7-5. The percent of total SO<sub>2</sub> emissions of sources located within 20 km of ambient monitors: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations (top) and the broader SO<sub>2</sub> monitoring network (bottom).**

The population residing within four buffer distances of each ambient monitor was estimated using ArcView. First, staff obtained block group population data from the US Census and converted the location of each block group polygon to single central point. Then buffers were created around each monitor location at progressive 5 km distances to a final buffer distance of 20 km. The total population was estimated by summing the population of all block group centroids that fell within the monitor buffers. We then created population distribution functions (across monitors) for the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and for the broader SO<sub>2</sub> monitoring network. An example of the population distribution represented by the monitors comprising each data set is given by Figure 7-6, with the population within each of the buffer distances given in Appendix A.1.<sup>30</sup> In general, the shape of the population distribution was similar for each data set, though as a whole, the monitors reporting 5-minute SO<sub>2</sub> concentrations tended to be sited in locations with lower population density when considering any of the population buffers. Staff created population density groups of *low*, *mid*, and *high* to categorize all ambient monitors using the population distribution within 5 km, by apportioning each data set into three sample size groupings. The low-population density group included those monitors with populations under 10,000 persons. Mid-population density included those monitors with between 10,000 and 50,000 persons, while the high-population density group was assigned to monitors with greater than 50,000 persons within a 5 km buffer. These population density groups of low, mid, and high were used in separating some of the air quality characterization results.

The population density surrounding each monitor was compared with its monitoring objective. The descriptive statistics for each monitoring objective, separately considering those monitors that reported 5-minute maximum SO<sub>2</sub> concentrations and the broader SO<sub>2</sub> monitoring network, are provided in Table 7-4. The calculated population statistics generally support expectations given the designated monitoring objectives. There are similarities in the population density around monitors characterized as having *highest concentration* and *population exposure* monitor objectives, both of which having the greatest number of persons residing within 5 km of the monitors. *Source-oriented* monitors had consistently lower population densities, though monitors assigned the *general/background* objective had the lowest population densities.

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<sup>30</sup> If the estimated population was zero, then the monitor value was not plotted in the figure.



**Figure 7-6. Distribution of the population residing within a 5 km radius of ambient monitors: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and the broader SO<sub>2</sub> monitoring network.**

**Table 7-4. Descriptive statistics of the population residing within a 5 km radius of ambient monitors by monitoring objective: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and the broader SO<sub>2</sub> monitoring network.**

Data Source	Objective <sup>1</sup>	n	Population residing within 5 km of Ambient Monitor <sup>2</sup>							
			mean	max	p95	p75	p50	p25	p5	min
5-minute monitors	GEN	10	8537	28224	28224	17957	1330	0	0	0
	OTH	6	8881	35872	35872	11967	2396	655	0	0
	SRC	15	9216	42208	42208	17925	1103	0	0	0
	UNK	18	40177	262592	262592	33774	20360	4587	0	0
	HIC	19	59958	316944	316944	90863	17963	13314	0	0
	POP	30	67886	382995	216129	70221	49283	21784	3280	2118
All SO <sub>2</sub> Monitors	GEN	45	18096	378415	78376	7883	1947	492	0	0
	SRC	68	20594	136288	76896	30070	9844	1112	0	0
	UNK	179	58477	1215989	200253	59772	16676	3403	0	0
	OTH	30	61878	1205886	320320	11205	4270	787	0	0
	HIC	202	86485	1301071	222716	94449	48179	14142	905	0
	POP	285	87406	1173879	276378	105796	54986	21336	1865	0

**Notes:**

<sup>1</sup> Objectives are POP=Population Exposure; HIC=Highest Concentration; SRC=Source Oriented; GEN=General/Background; OTH=Other; UNK=Unknown.

<sup>2</sup> p5, p25, p50, p75, and p95 are the 5<sup>th</sup>, 25<sup>th</sup>, 50<sup>th</sup>, 75<sup>th</sup>, and 95<sup>th</sup> percentiles, respectively. The minimum (min), maximum (max), and arithmetic average (mean) are also provided.

### **7.2.3 Statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations**

As described earlier, staff noted there were a limited number of ambient monitors that reported 5-minute maximum SO<sub>2</sub> concentrations. The majority of the SO<sub>2</sub> monitoring network reports 1-hour average SO<sub>2</sub> concentrations. Staff developed a statistical model to extend the 5-minute SO<sub>2</sub> air quality characterization to locations where 5-minute concentrations were not reported. This statistical model was briefly introduced in section 6.4; this section details the development of the statistical model designed to estimate 5-minute maximum SO<sub>2</sub> concentrations from 1-hour SO<sub>2</sub> concentrations, using the combined 5-minute maximum and 1-hour SO<sub>2</sub> measurement data set (see section 7.2.1).

Fundamental to the statistical model are the peak-to-mean ratios or PMRs. Peak-to-mean ratios are derived by dividing the 5-minute maximum SO<sub>2</sub> concentration by the 1-hour average SO<sub>2</sub> concentration. These derived PMRs can be useful in estimating 5-minute maximum SO<sub>2</sub> concentrations when only the 1-hour SO<sub>2</sub> concentration is known. The values of PMRs derived from the monitoring data can be variable and are likely dependent on local source emissions, site meteorology, and other influential factors. Each of these factors will have variable influence on the measured 1-hour and 5-minute SO<sub>2</sub> concentrations at the ambient monitors. Therefore, to develop a useful tool for extrapolating from the measurement data, at a minimum, the approach needed to account for variability in ambient concentrations. It is within this context that the statistical model was developed.

Staff selected the variability in SO<sub>2</sub> concentrations at each individual ambient monitor as a surrogate for source emissions, source types, and/or distance to sources to allow for a purposeful application of the statistical model to the broader 1-hour SO<sub>2</sub> measurement data. Many of the meta-data described earlier in section 7.2.2, while useful for qualitatively describing characteristics of monitors in the SO<sub>2</sub> monitoring network, were not considered robust in quantifying how sources might influence monitored concentrations. The utility of the meta-data is also diminished when the monitor attributes were reported as unknown, missing entries, or possibly mischaracterized. In addition, while individual source types, emissions, and distances to the monitors are presented as quantitative measures, the use of this data can be problematic. This is because 1) source characteristics can change over time, 2) it is largely unknown what source(s) influence many of the ambient monitors and by how much, 3) there is uncertainty in source emission estimates, and 4) even similar source types will not have the same emission

characteristics. Staff considered several ways to link the statistical model developed from monitors reporting 5-minute maximum concentrations to the broader SO<sub>2</sub> ambient monitoring network, including the use of the ambient monitoring site characteristics. Staff decided that the measured concentrations had the most to offer in efficiently designing such a linkage given the strong relationships between averaging times, concentration variability, and the frequency of peak concentrations. Where possible, staff compared the relevant monitor attributes described in section 7.2.2 with selected variability metrics used in developing and applying the statistical model.

The purpose of the first analysis that follows is to determine an appropriate variable to reasonably connect the statistical model derived from 5-minute and 1-hour concentrations to any 1-hour SO<sub>2</sub> concentration data set where there are no 5-minute SO<sub>2</sub> measurements. Staff first evaluated variability metrics associated with 5-minute and 1-hour SO<sub>2</sub> ambient monitoring concentrations as a basis for linking the statistical model to 1-hour concentrations. Next, staff generated distributions of PMRs for use in estimating 5-minute concentrations. Then the statistical model was applied to where 5-minute measurements were reported and evaluated using cross-validation.

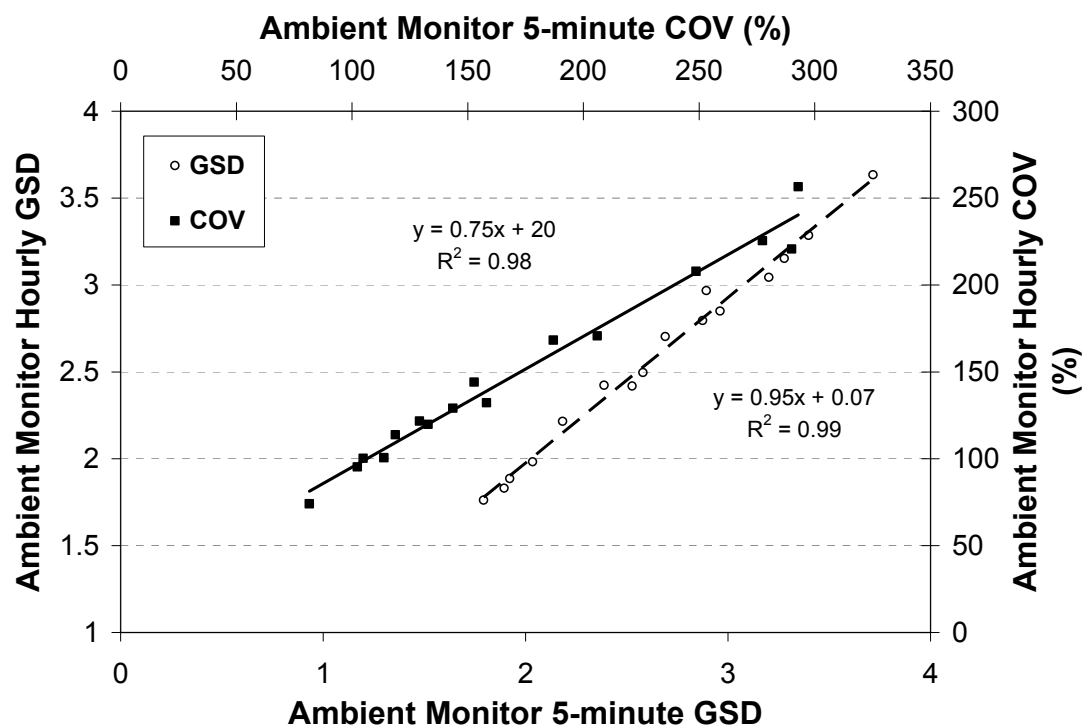
#### ***7.2.3.1 Relationship Between 5-minute and 1-hour SO<sub>2</sub> Concentrations***

Because the statistical model employs 5-minute and 1-hour SO<sub>2</sub> concentrations, staff evaluated the relationship between the concentrations for the two averaging times. The monitors reporting all twelve 5-minute concentrations within the hour were used for this analysis (n=16). First, all of the continuous-5 minute data available for each monitor were averaged to generate a single 5-minute mean concentration (both in an arithmetic and geometric mean form) and their respective standard deviations, yielding a total of 16 monitor-specific 5-minute SO<sub>2</sub> values.<sup>31</sup> Staff performed a second calculation to generate similar statistics using the continuous 5-minute data, though a 1-hour averaging time was of interest. To obtain the 1-hour statistics, the 5-minute SO<sub>2</sub> concentrations within an hour were averaged to generate 1-hour mean SO<sub>2</sub> concentrations for each monitor, which were then averaged to generate a single 1-hour mean SO<sub>2</sub>

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<sup>31</sup> Each of the 16 continuous-5 monitors was characterized by four statistics, arithmetic and geometric means and their respective standard deviations.

concentration (both in an arithmetic and geometric mean form) and their corresponding standard deviations, yielding a total of 16 monitor-specific 1-hour SO<sub>2</sub> values.



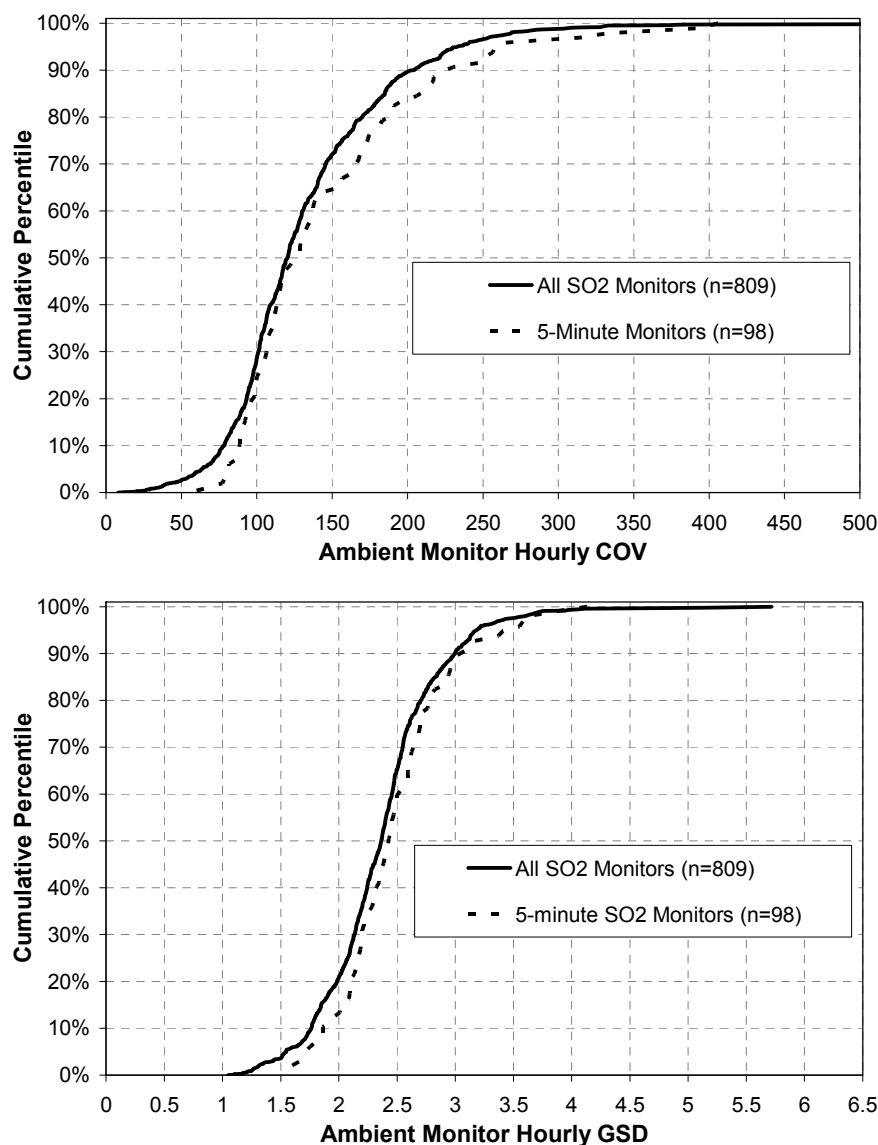
**Figure 7-7. Comparison of hourly and 5-minute concentration COVs and GSDs at sixteen monitors reporting all twelve 5-minute SO<sub>2</sub> concentrations over multiple years of monitoring.**

Staff selected the coefficient of variation (COV)<sup>32</sup> and geometric standard deviation (GSD) as metrics to compare concentration variability in both 1-hour and 5-minute averaging times, each of which are illustrated in Figure 7-7. As expected, a strong direct linear relationship exists between the variability in 5-minute and 1-hour SO<sub>2</sub> concentrations at each monitor. Even with the limited geographic representation (these monitors are from only six U.S. States and Washington DC), there is a wide range in the observed concentration variability for both the 5-minute and associated hourly measurements (i.e., COVs range from about 75 – 300%, GSDs range from about 1.7 – 3.7). In general, this analysis demonstrates that variability in 5-minute

<sup>32</sup> The COV used here is calculated by dividing the standard deviation by the arithmetic mean, then multiplying by 100. The statistic gives a relative measure of variation, to better facilitate the comparison of data having different mean concentrations or units of measure.

SO<sub>2</sub> concentrations is directly related to the variability in 1-hour SO<sub>2</sub> concentrations, and these measures of variability may be used to describe the potential variability in concentrations measured at any ambient SO<sub>2</sub> monitor, similarly for either the 1-hour or 5-minute measured concentrations. Note that there is a difference in the slope of the two lines, indicating that there is not a constant relationship between the COV and GSD. This means that in characterizing the variability at any ambient monitor, an identified COV (e.g., either low or high COV) does not necessarily correspond to the same GSD characterization.

Next, staff compared the variability in 1-hour SO<sub>2</sub> concentrations using data from the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations (n=98) to variability observed for the broader SO<sub>2</sub> monitoring network (n=809). The objective of this evaluation was to determine if the distribution of the observed hourly concentration variability was similar for the two sets of data. As done above for the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations, four statistics were generated for each ambient monitor within the broader SO<sub>2</sub> monitoring network using the 1-hour concentrations, with the variability at each monitor represented by its COV and GSD. Figure 7-8 illustrates the cumulative density functions (CDFs) for the hourly COVs and GSDs at each of the 98 monitors that reported 5-minute maximum SO<sub>2</sub> concentrations (i.e., the data set used for developing the statistical model) and the 809 monitors from the broader SO<sub>2</sub> monitoring network (i.e., the final 1-hour SO<sub>2</sub> data set having valid site-years). While the subset of monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations exhibit greater variability in hourly concentration at most percentiles of the distribution, the overall shape and span of the distribution is very similar to that of the monitors within the broader SO<sub>2</sub> monitoring network using either variability metric. The similarity in variability distributions could indicate that the monitor proximity to sources, the magnitude and temporal profile of source emissions, and the types of sources affecting concentrations at either set of data (i.e., the monitors reporting 5-minute SO<sub>2</sub> concentrations versus the broader SO<sub>2</sub> monitoring network) are similar. This, combined with the meta-data evaluation and the source type, distance, and emissions analysis that indicated similar source type emission proportions between the two sets of ambient monitoring data (7.2.2), provides support for using concentration variability as a variable to extrapolate information from the 5-minute SO<sub>2</sub> monitors to the 1-hour SO<sub>2</sub> monitors.



**Figure 7-8. Cumulative density functions (CDFs) of hourly COVs (top) and GSDs (bottom) at ambient monitors: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and the broader SO<sub>2</sub> monitoring network.**

#### ***7.2.3.2 Development of Peak-to-Mean Ratio (PMR) Distributions***

A key variable in the statistical model to estimate the 5-minute maximum SO<sub>2</sub> concentrations where only 1-hour average SO<sub>2</sub> concentrations were measured is the peak-to-mean ratio (PMR). Peak-to-mean ratios are obtained by dividing the 5-minute maximum SO<sub>2</sub> concentration occurring within an hour by the 1-hour SO<sub>2</sub> concentration. The use of a PMR or distributions of PMRs in estimating 5-minute maximum SO<sub>2</sub> concentrations is not new to the current NAAQS review. Both individual PMRs and distributions of PMRs were used in the



previous NAAQS review in characterizing 5-minute SO<sub>2</sub> air quality (Thrall et al, 1982; EPA, 1986a; 1994b; Thompson 2000) and in estimating human exposures to 5-minute SO<sub>2</sub> concentrations (Burton et al. 1987; EPA, 1986a, 1994b; Stoeckenius et al. 1990; Rosenbaum et al., 1992; Science International, 1995). In this review, staff generated distributions of PMRs to estimate 5-minute maximum SO<sub>2</sub> concentrations at ambient monitors (this chapter) and at air quality modeled census block centroid receptors (chapter 8). The distributions of PMRs used here build upon recent PMR analyses conducted by Thompson (2000).<sup>33</sup> In the current PMR analysis, staff developed several distributions of PMRs using more recent 5-minute SO<sub>2</sub> monitoring data (through 2007) and used concentration level and variability as categorical variables in defining the distributions of PMRs.

Concentration variability has been identified as a potential attribute in characterizing sources affecting concentrations measured at the ambient monitors (section 7.2.3.1). Instead of designing a continuous function from the variability distribution, staff chose to use categorical variables to describe the monitors comprising each data set. The approach involved the creation of variability bins, such that PMR data from several monitors would comprise each bin. Staff decided this approach would better balance the potential number of PMRs available in generating the distributions of PMR given the variable number of samples collected and years of monitoring at monitors that reported the 5-minute maximum SO<sub>2</sub> concentrations (Appendix A-2). Using the hourly COV or GSD distributions in illustrated Figure 7-8, staff assigned one of three COV or GSD bins to each of the 98 monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations: for COV, the bins were defined as low ( $\text{COV} \leq 100\%$ ), mid ( $100\% < \text{COV} \leq 200\%$ ), and high ( $\text{COV} > 200\%$ ). These three COV bins were selected to capture the upper and lower tails of the variability distribution and a mid-range area.<sup>34</sup> Similarly and based on the same percentile ranges selected for binning the COV, three GSD bins were selected as follows: low ( $\text{GSD} \leq 2.17$ ), mid ( $2.17 < \text{GSD} \leq 2.94$ ), and high ( $\text{GSD} > 2.94$ ).

In addition, the level of the 1-hour mean SO<sub>2</sub> concentration has been identified as an important consideration in defining an appropriate PMR distribution to use in estimating 5-minute maximum SO<sub>2</sub> concentrations (EPA, 1986a). Therefore, staff further stratified the PMRs

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<sup>33</sup> In the Thompson (2000) analysis, a single distribution of PMRs was employed based on 6 ratio bins and assumed independence between the ratio and the 1-hour SO<sub>2</sub> concentration.

<sup>34</sup> For monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations, these groupings corresponded to approximately the 25<sup>th</sup> and the 84<sup>th</sup> percentile of the variability distribution.

by seven 1-hour mean concentration ranges: 1-hour mean < 5 ppb,  $5 \leq$  1-hour mean < 10 ppb,  $10 \leq$  1-hour mean < 25 ppb,  $25 \leq$  1-hour mean < 75 ppb,  $75 \leq$  1-hour mean < 150 ppb,  $150 \leq$  1-hour mean < 250 ppb, and 1-hour mean > 250 ppb.<sup>35</sup> Staff selected these 1-hour concentration stratifications to maximize any observed differences in the PMR distributions within a given variability and concentration bin and to limit the total possible number of PMR distributions for computational manageability.

Based on the concentration variability and 1-hour concentration bins, staff generated a total of 19 separate PMR distributions.<sup>36</sup> Due to the large number of PMRs available for several of the variability and concentration bins (the number of samples ranged from 100 to 800,000), all of the empirical data were summarized into distributions using the cumulative percentiles ranging from 0 to 100, by increments of 1. Figure 7-9 illustrates two patterns in the PMR distributions when comparing the different stratification bins. First, the monitors with the highest COVs or GSDs contain the highest PMRs at each of the percentiles of the distribution (bottom graph of each variability bin in Figure 7-9) when compared with monitors from the other two variability bins (top and middle graphs), while the mid-range variability bins (middle graph) had a greater proportion of high PMRs than the low variability bin (top graph). These distinctions in the PMR distributions are consistent with the results illustrated in Figure 7-7, that is, the variability in the hourly average concentrations is directly related to the variability in the 5-minute concentrations as summarized across monitors.

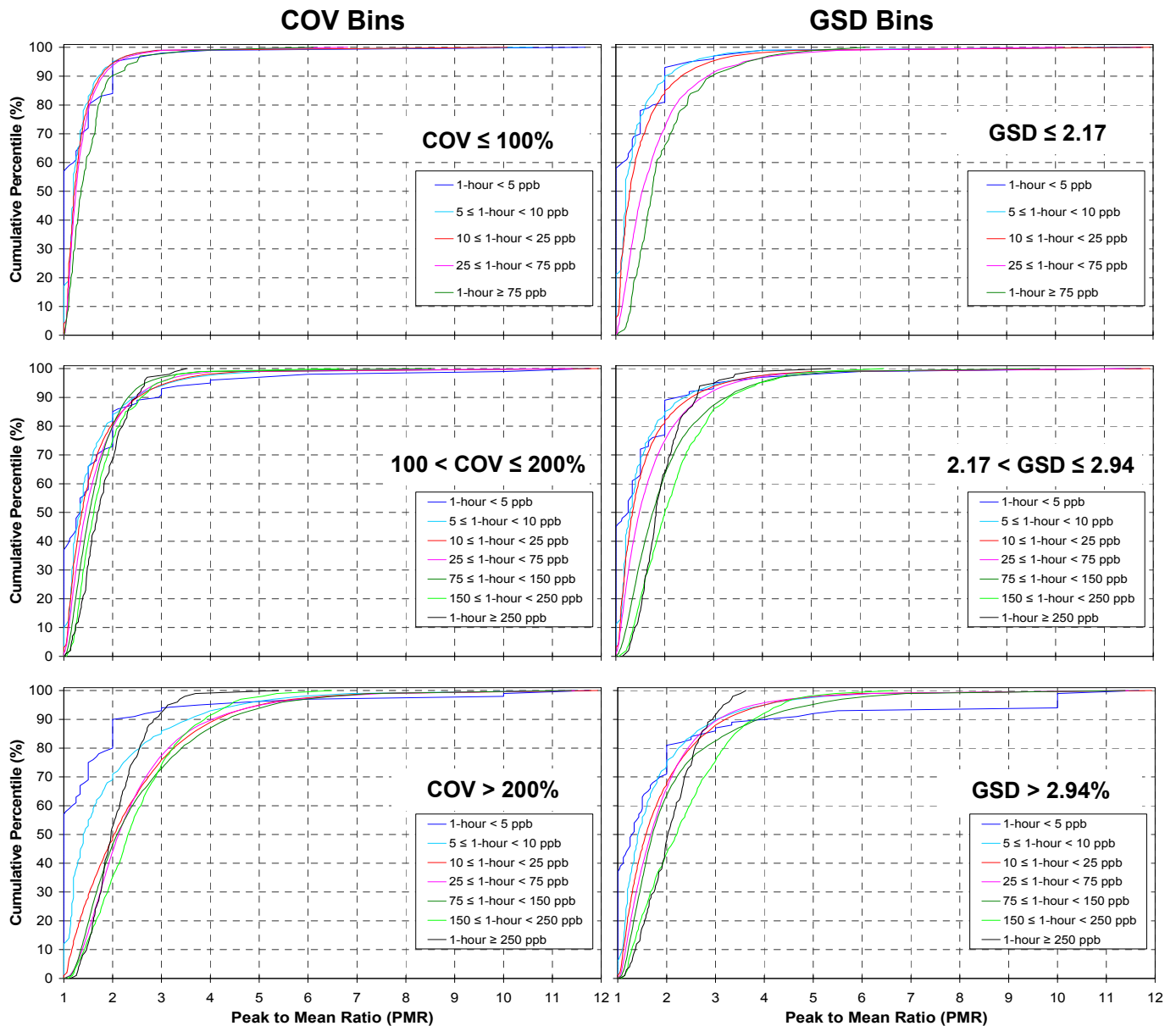
Second, differences were observed in the PMR distributions within each variability bin when stratified by 1-hour SO<sub>2</sub> concentration. This is most evident in the highest variability bin (bottom graph of Figure 7-9); the highest 1-hour concentration category (> 250 ppb) had lower PMRs at each of the distribution percentiles compared with the PMR distributions derived for the lower concentration categories, most prevalent at the upper percentiles of the distribution. In fact, the maximum PMRs for the > 250 ppb concentration bin were only 5.4 and 3.6 for the COV and GSD high variability bin, respectively, compared with maximum PMRs of about 11.5 at

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<sup>35</sup> While PMR distributions were generated for 1-hour SO<sub>2</sub> concentrations < 5 ppb, it should be noted that any estimated 5-minute maximum SO<sub>2</sub> concentration would be below that of the lowest potential health effect benchmark level of 100 ppb.

<sup>36</sup> Although there were a total of 21 PMR distributions possible (i.e.,  $3 \times 7$ ), the COV < 100% and GSD < 2.17 categories had only three 1-hour concentrations above 150 ppb. Therefore, the two highest concentration bins do not have a distribution, and concentrations > 75 ppb constituted the highest concentration bin in the low COV or low GSD bins. All PMR distributions are provided in Appendix A-3.

many of the other concentration bins. Again, this inverse relationship between the PMR and concentration level has been shown by other researchers (EPA, 1986a). The stratification of PMRs by the 1-hour concentration was done to avoid applying high PMRs calculated from low hourly concentrations to high hourly concentrations. The observed patterns in the PMR distributions support the staff selection of variability bins and 1-hour concentration stratifications in controlling for the aberrant assignment of PMRs to particular 1-hour concentrations.



**Figure 7-9. Peak-to-mean ratio (PMR) distributions for three COV and GSD variability bins and seven 1-hour SO<sub>2</sub> concentration stratifications.**

Staff then evaluated the assigned concentration variability bin using two ambient monitoring site characteristics described in section 7.2.2 and using the observed number of benchmark exceedances at each monitor. The purpose of this analysis was to determine to what extent the selected variability bins were representing variability local source characteristics and the likelihood of benchmark exceedances. First, staff compared the total emissions within 20 km of each monitor with the assigned concentration variability bin using the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations and the broader SO<sub>2</sub> monitoring network (Figure 7-10). The purpose of this comparison was to determine whether increased emissions were associated with greater variability in monitoring concentrations. In general, a pattern of increased emissions was associated with an increase in the concentration variability bin, though the pattern was more prominent when considering the COV bins. This indicates the variability bins may be useful as a surrogate for local source emission characteristics.

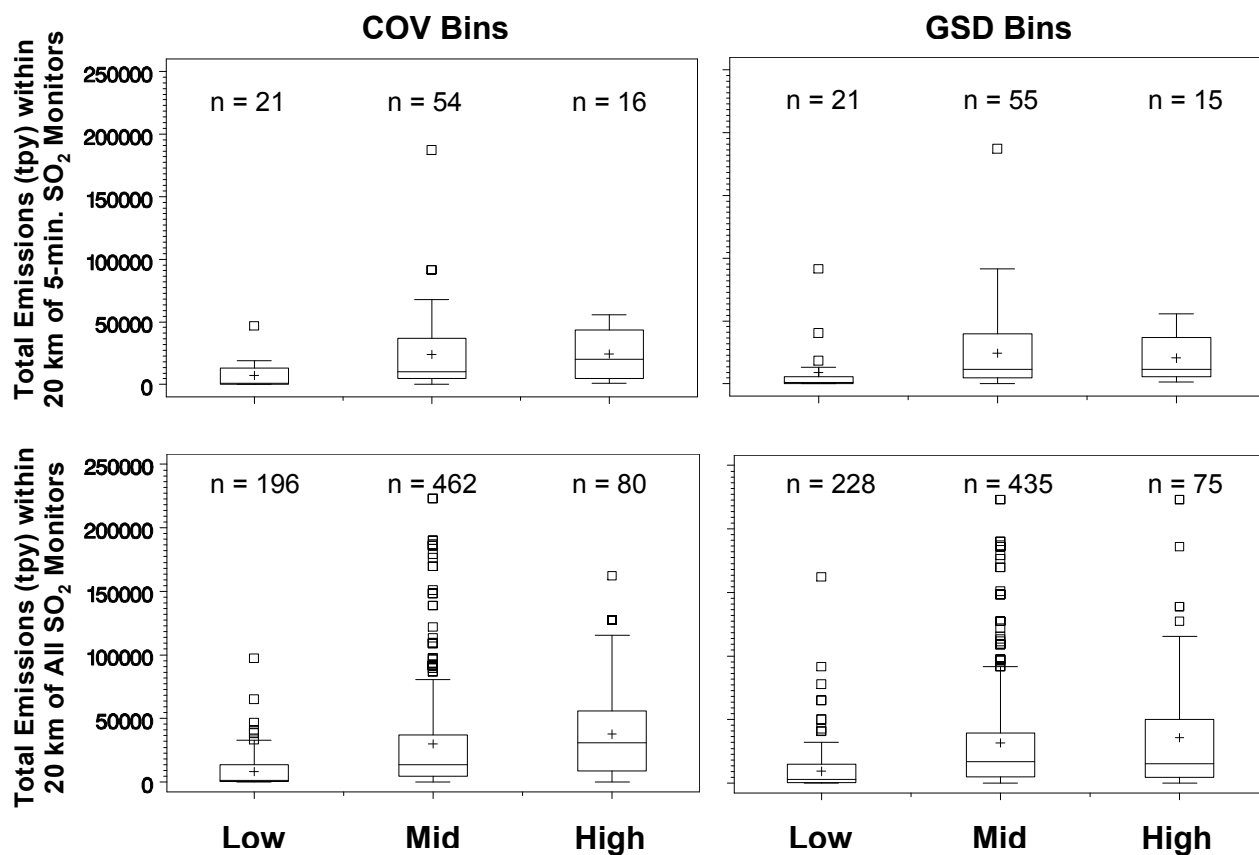
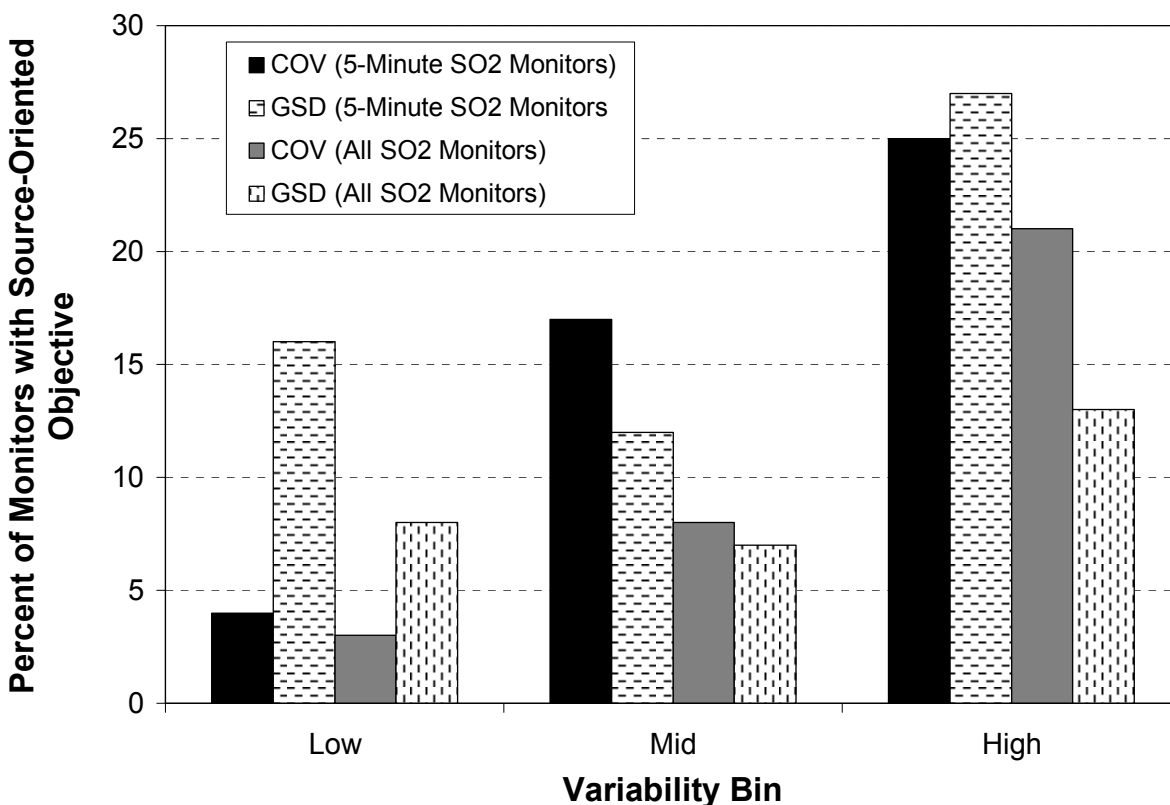


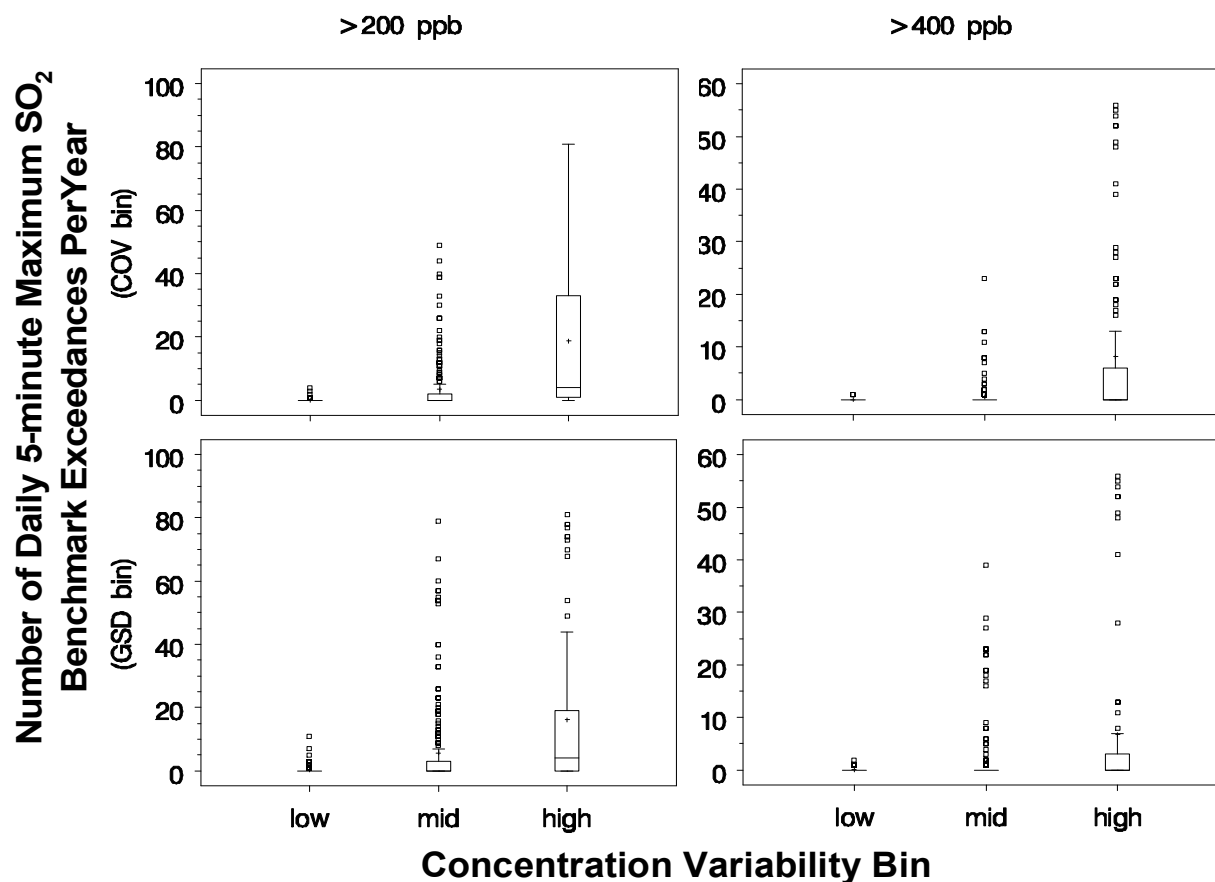
Figure 7-10. Distribution of total SO<sub>2</sub> emissions (tpy) within 20 km of monitors by COV (left) and GSD (right) concentration variability bins: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations (top) and the broader SO<sub>2</sub> monitoring network (bottom).

The second ambient monitoring site characteristic evaluated using the selected concentration variability bins was the monitoring objective, principally when it was noted as source-oriented. The purpose of this analysis was to determine whether high variability in SO<sub>2</sub> concentration was related to source-oriented monitor siting. Staff calculated the percent of source-oriented monitors in each variability bin for the two sets of data; the set comprised of monitors that reported 5-minute maximum SO<sub>2</sub> concentrations and those within the broader SO<sub>2</sub> monitoring network. In general, there is an increasing percent of source-oriented monitors in the higher concentration variability bins when using either the COV or GSD metrics (Figure 7-11), though the pattern is more consistent with the COV metric than with the GSD metric. This comparison also indicates that the concentration variability metric may be useful as a surrogate for local source emission characteristics.



**Figure 7-11. Percent of monitors within each concentration variability bin where the monitoring objective was source-oriented: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations (solid) and the broader SO<sub>2</sub> monitoring network (slotted).**

Staff evaluated the number of measured benchmark exceedances in a site-year given the variability bins used to characterize the ambient monitors. The purpose of this analysis was to determine whether monitors exhibiting greater variability in SO<sub>2</sub> concentration also have a greater number of benchmark exceedances. Figure 7-12 summarizes the distribution of exceedances of the 200 and 400 ppb benchmark level by each of the COV and GSD variability bins (patterns for the 100 ppb and 300 ppb benchmarks were similar). Clearly, monitors having the greatest variability in 1-hour SO<sub>2</sub> concentration are the monitors most likely to have 5-minute SO<sub>2</sub> benchmark exceedances and a greater number of exceedances per year. This analysis provides further support to the binning of monitors by concentration variability to appropriately extrapolate the relationships derived from monitors reporting 5-minute maximum concentrations to monitors reporting only 1-hour SO<sub>2</sub> concentrations (and at the dispersion model receptors).



**Figure 7-12. Distribution of the measured number of daily 5-minute maximum SO<sub>2</sub> concentrations above 200 ppb (left) and 400 ppb (right) in a year by hourly concentration COV (top) and GSD (bottom) variability bins. Data were from the 98 ambient monitors reporting 5-minute maximum concentrations (471 site-years).**

### 7.2.3.3 Application of Peak to Mean Ratios (PMRs)

As described above in section 7.2.3.2 regarding the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations, staff characterized the monitors within the broader SO<sub>2</sub> monitoring network (n=809) by their respective hourly concentration variability and assigned to one of the three COV bins (COV ≤ 100%, 100% < COV ≤ 200%, and COV > 200%) and GSD bins (GSD ≤ 2.17, 2.17 < GSD ≤ 2.94, and GSD > 2.94). Based on the monitor's assigned concentration variability bin (either from the COV or GSD, not mixed) and the 1-hour SO<sub>2</sub> concentration, PMRs can be randomly sampled<sup>37</sup> from the appropriate PMR distribution to estimate a 5-minute maximum SO<sub>2</sub> concentration using the following equation:

$$C_{\max-5} = PMR_{ij} \times C_{i,1-hour} \quad \text{equation (7-1)}$$

where,

$C_{\max-5}$	=	estimated 5-minute maximum SO <sub>2</sub> concentration (ppb) for each hour
$PMR_{ij}$	=	peak-to-mean ratio (PMR) randomly sampled from the $i$ concentration variability and $j$ 1-hour mean SO <sub>2</sub> concentration distribution
$C_{i,1-hour}$	=	measured 1-hour average SO <sub>2</sub> concentration at an $i$ concentration variability monitor

As a result of this calculation, every 1-hour ambient SO<sub>2</sub> concentration has an estimated 5-minute maximum SO<sub>2</sub> concentration.<sup>38</sup> These statistically modeled 5-minute maximum SO<sub>2</sub> concentrations were then summarized using the output metrics described in section 7.2.5.

### 7.2.3.4 Evaluation of Statistical Model Performance

Staff evaluated the performance of the statistical model using cross-validation (Stone, 1974). Details of the evaluation are provided by Langstaff (2009). Briefly, PMR distributions were estimated using 97 of the 98 monitors that reported both the 1-hour and 5-minute maximum SO<sub>2</sub> concentrations. All ambient monitors were characterized using the same variability bins described in section 7.2.3.2. The 1-hour concentrations were also characterized using the same

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<sup>37</sup> The random sampling was based selection of a value from a uniform distribution {0,100}, whereas that value was used to select the PMR from the corresponding distribution percentile value.

<sup>38</sup> When the 1-hour SO<sub>2</sub> concentration was > 0, otherwise the 5-minute maximum SO<sub>2</sub> concentration was estimated as zero).

stratifications discussed earlier. Then staff used the newly constructed PMR distributions from the 97 monitors and equation 7-1 to predict the 5-minute maximum SO<sub>2</sub> concentrations at the single monitor not included in developing the PMR distributions. This modeling was performed 98 times, i.e., removing every single monitor (one monitor at a time), generating new PMR distributions, and predicting 5-minute maximum SO<sub>2</sub> concentrations at the removed monitor. Staff then compared the predicted and measured daily 5-minute maximum SO<sub>2</sub> concentrations to generate a distribution of model prediction errors (e.g., median errors, median absolute errors) and general model statistics (i.e., the root mean square error or RMSEs, and R<sup>2</sup>, a measure of the amount of variance explained by the model).

Four statistical models were evaluated: two models constructed from the variability bins (either COV or GSD) using all percentiles of the PMR distributions, and two similar models constructed without the minimum and maximum percentiles of the PMR distributions. The models were evaluated at the benchmark concentration levels as well as at selected percentiles in the 5-minute SO<sub>2</sub> concentration distribution. In comparing the model predictions, the model using variability bins defined by the COV and excluding the minimum and maximum percentiles had the lowest prediction errors (e.g., see Table 7-5).<sup>39</sup> Based on these results, staff used this COV model (excluding the 0<sup>th</sup> and 100<sup>th</sup> percentiles of the PMR distribution) to estimate 5-minute maximum SO<sub>2</sub> concentrations from 1-hour SO<sub>2</sub> concentrations.

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<sup>39</sup> Table 7-5 presents a few of the prediction error statistics used to compare each of the models, though several other prediction errors were evaluated (e.g., the 75<sup>th</sup> and 99<sup>th</sup>). Results for the other percentiles were consistent with median results discussed in the text, that is the alt. COV model had the lowest error when compared with the other models evaluated. See Langstaff (2009) for the additional percentile comparisons for each of the models.



**Table 7-5. Comparison of prediction errors and model variance parameters for the four models evaluated.**

Benchmark Level (ppb)	Model <sup>1</sup>	Median Prediction Error <sup>2</sup>	RMSE	R <sup>2</sup>
100	COV	2.6	18.9	0.72
	alt. COV	0.4	14.1	0.81
	GSD	2.5	24.8	0.48
	alt. GSD	0.3	19.8	0.63
200	COV	1	10.7	0.66
	alt. COV	0.1	8.6	0.74
	GSD	1.3	12.8	0.49
	alt. GSD	0.4	10.2	0.64
300	COV	0.6	6.5	0.73
	alt. COV	0	5.6	0.78
	GSD	0.6	8.2	0.55
	alt. GSD	0.1	7.1	0.64
400	COV	0.3	4.5	0.76
	alt. COV	0	3.9	0.8
	GSD	0.3	6	0.55
	alt. GSD	0	5.5	0.61
<b>Notes:</b> <sup>1</sup> The “alt.” abbreviation denotes the alternative model was used: the minimum and maximum percentiles of the PMR distributions were not used. <sup>2</sup> The absolute value of the prediction differences is calculated (predicted minus the observed number of exceedances in a year), generating a distribution of prediction errors. The value reported here is the (50 <sup>th</sup> percentile) of that distribution.				

Staff performed supplementary evaluations using the prediction errors associated with the selected statistical model. Additional percentiles of the prediction error distribution were calculated to estimate the magnitude and direction of the statistical model bias. Table 7-6 summarizes the prediction errors for each benchmark level. When considering paired percentiles (e.g., the 25<sup>th</sup> and the 75<sup>th</sup> or prediction intervals) and the 50<sup>th</sup> percentile as a pivot point there appears to be an over-estimation bias at each of the benchmark levels. For example, there is a greater overestimation of the 400 ppb benchmark level at the 95<sup>th</sup> percentile (i.e., 5 exceedances), than compared with the under estimation at the 5<sup>th</sup> percentile (i.e., one exceedance). However, there is good agreement in the predicted versus observed number of exceedances, whereas 90% of the predicted exceedances of 400 ppb were within -1 to 5 exceedances per year. There is a wider range in the prediction intervals at the lower benchmark levels, partly a function of the

greater number of exceedances at the lower benchmark levels rather than the degree of agreement (Table 7-6). At the extreme ends of the distribution for each of the benchmarks, the agreement between the predicted and observed exceedances widens, indicating that for some site-years (approximately 2%), the number of days with a benchmark exceedance can be over- or under-estimated by 20 to 50 in a year.

**Table 7-6. Prediction errors of the statistical model used in estimating 5-minute maximum SO<sub>2</sub> concentrations above benchmark levels.**

Percentile	Prediction Error at Benchmark Level <sup>1</sup>			
	100	200	300	400
1	-31	-17	-18	-19
5	-15	-7	-3	-1
25	-1	0	0	0
50	0	0	0	0
75	7	1	1	0
95	32	20	10	5
99	48	43	26	14
Benchmark	Mean Number of Benchmark Exceedances <sup>2</sup>			
	100	200	300	400
Observed	148	81	69	56
Predicted	150	100	67	45
<b>Notes:</b> <sup>1</sup> The percentiles are based on the distribution of predicted minus the observed values for each benchmark. Units are the number of exceedances per year. <sup>2</sup> This is the average of all site-years. Units are the number of exceedances per year.				

#### **7.2.4 Adjustment of Ambient Concentrations to Evaluate the Current and Potential Alternative Air Quality Scenarios**

Staff evaluated multiple hypothetical air quality scenarios in this assessment, each defined by the form and level of a selected standard. Collectively, the purpose of these air quality scenarios was to estimate the relative level of public health protection associated with just meeting the current and potential alternative standards. The measured ambient SO<sub>2</sub> concentrations needed adjustment to reflect concentrations that might be observed given the hypothetical air quality scenarios. To maintain a computationally manageable data set given the number of air quality scenarios (i.e., eight) and potential health effect benchmark levels investigated (i.e., four), staff used the recent ambient monitoring data from 40 counties,

specifically years 2001 through 2006.<sup>40</sup> The following two sections discuss the concentration adjustment approach and the selection criteria used for selecting counties for analysis.

#### **7.2.4.1 Approach**

There are two important considerations in developing an approach to adjust air quality concentrations. One is the relative contribution of policy-relevant background (PRB) to ambient concentrations and the other is in understanding how the distribution of ambient concentrations measured at a particular monitor has changed over time.

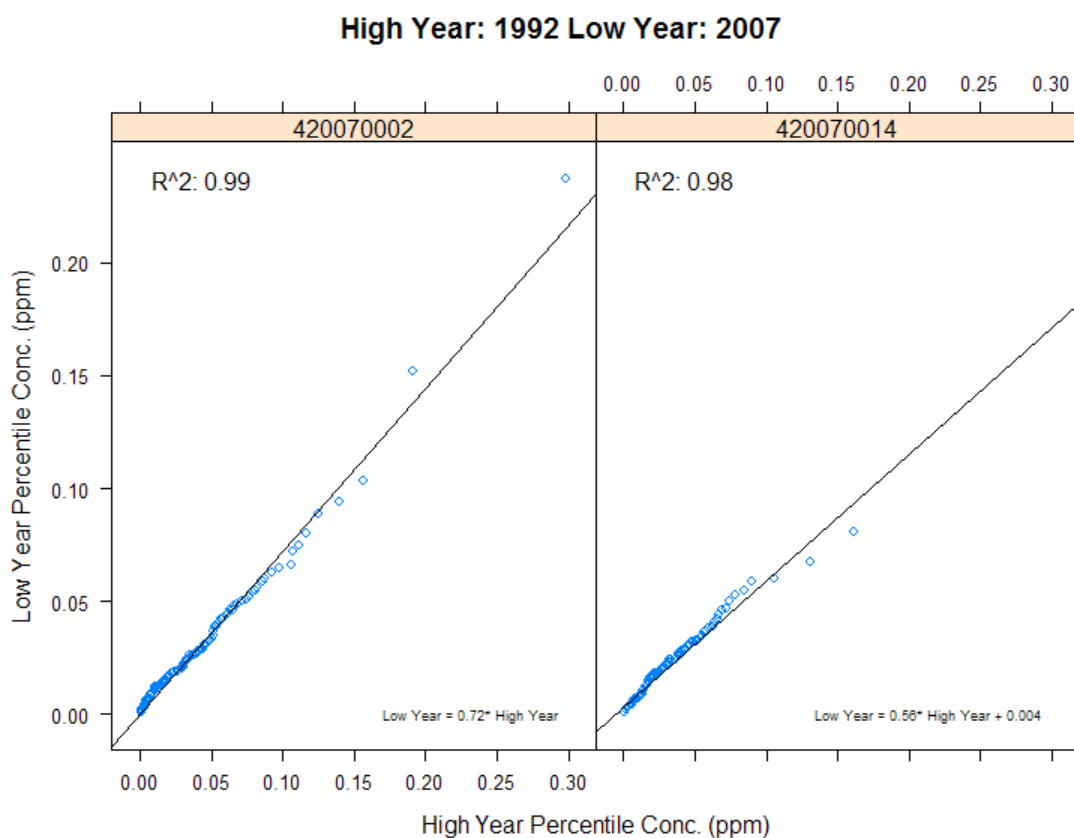
In developing a simulation approach to adjust air quality to meet a particular standard level, PRB levels in the U.S. were first considered. As described in section 2.3, PRB is well below concentrations that might cause potential health effects and constitutes a small percent (<1%) of the total ambient SO<sub>2</sub> concentrations at most locations. Based on the small contribution, PRB will not be considered separately in any characterization of health risk associated with *as is* air quality or air quality just meeting the current or potential alternative standards. In monitoring locations where PRB is expected to be of particular importance however (e.g., Hawaii County, HI), data were noted by staff as influenced by significant natural sources rather than anthropogenic sources and were not used in any of the air quality analyses.

While annual average concentrations have declined significantly over time, the variability in the SO<sub>2</sub> concentrations (both the 5-minute and 1-hour concentrations) has remained relatively constant. This trend is present when considering ambient concentration data collectively (section 7.4.2.3) and when considering monitors individually (Rizzo, 2009). For example, Figure 7-13 compares the distribution of daily maximum SO<sub>2</sub> 1-hour concentration percentiles at the two ambient monitors in Beaver County, Pa. that were in operation as far back as 1978 and are currently part of the broader SO<sub>2</sub> monitoring network. Staff selected a recent year of data (2007) to constitute a low concentration year along with an historical year of data (1992) constituting a high concentration year, with each year of ambient monitoring common to both monitors. As shown in Figure 7-13, the relationships between the low and high concentration years at each of the daily maximum concentration percentiles are mostly linear, with regression coefficients of determination ( $R^2$  values) greater than 0.98. Where deviation

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<sup>40</sup> As described in the section 7.2.1, at the time the 1-hour concentrations were downloaded, none of the monitors had a complete year of data for 2007. All data from 2007 were excluded from the 1-hour monitor simulations.

from linearity did occur (as was observed in many of the other low-to-high concentration comparisons performed), it occurred primarily at the extreme upper or lower portions of the distribution, often times at the maximum daily maximum or the minimum daily maximum 1-hour SO<sub>2</sub> concentration (Rizzo, 2009). In addition, the absolute values for the simple linear regression intercepts were typically 1-3 ppb (Rizzo, 2009). This indicates that the rate of decrease in ambient air quality concentrations at the mean value for the monitors evaluated is consistent with the rate of change at the lower and upper daily maximum 1-hour concentration percentiles. This evaluation provides support for the use of a proportional approach to adjust current ambient concentrations to represent air quality under both the current and alternative standard scenarios.



**Figure 7-13. Comparison of measured daily maximum SO<sub>2</sub> concentration percentiles in Beaver County, PA for a high concentration year (1992) versus a low concentration year (2007) at two ambient monitors (from Rizzo, 2009).**

The current deterministic form of each standard was used to approximate concentration adjustment factors to simulate just meeting the current 24-hour and annual SO<sub>2</sub> NAAQS. The

24-hour standard of 140 ppb is not to be exceeded more than once per year, therefore, the 2<sup>nd</sup> highest 24-hour average observed at each monitor was used as the target for adjustment. The rounding convention, which is part of the form of the standard, defines values up to 144 ppb as just meeting the 24-hour standard. The form of the current annual standard requires that a level of 30 ppb is not to be exceeded; therefore, with a rounding convention to the fourth decimal, annual average concentrations of up to 30.4 ppb would just meet the current annual standard.

Staff limited the analysis of alternative air quality scenarios to particular locations using designated geographic boundaries (not just the monitors individually). Counties were used to define the locations of interest in the alternative air quality standard scenarios. Use of a county is consistent with current policies on the designation of appropriate boundaries of non-attainment areas (Meyers, 1983).

For each location (*i*) and year (*j*), 24-hour and annual SO<sub>2</sub> concentration adjustment factors (*F*) were derived by the following equation:

$$F_{ij} = S / C_{\max,ij} \quad \text{equation (7-2)}$$

where,

- $F_{ij}$  = Adjustment factor derived from either the 24-hour or the annual average concentrations at monitors in location *i* for year *j* (unitless)
- $S$  = concentration values allowed that would just meet the current NAAQS (either 144 ppb for 24-hour or 30.4 ppb for annual average)
- $C_{\max,ij}$  = the maximum 2<sup>nd</sup> highest 24-hour average SO<sub>2</sub> concentration at a monitor in location *i* and year *j* or the maximum annual average SO<sub>2</sub> concentration at a monitor in location *i* and year *j* (ppb)

In adjusting concentrations to just meet the current standard, the highest monitor (in terms of concentration) within a county was adjusted so that it just meets either a 30.4 ppb annual average or a 144 ppb 24-hour average (2<sup>nd</sup> highest), whichever was the controlling standard.<sup>41</sup> For monitors in each county and calendar year, all hourly SO<sub>2</sub> concentrations were

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<sup>41</sup> The controlling standard by definition would be the standard that allows air quality to just meet either the annual concentration level of 30.4 ppb (i.e., the annual standard is the controlling standard) or the 2<sup>nd</sup> highest 24-hour concentration level of 144 ppb (i.e., the 24-hour standard is the controlling standard). The factor selected is derived

multiplied by the same constant value  $F$ , though only one monitor would have an annual mean equal to 30.4 ppb or the 2<sup>nd</sup> highest 24-hour average equal to 144 ppb for that county and year.

For example, of five monitors measuring hourly SO<sub>2</sub> in Cuyahoga County for year 2001 (Figure 7-14, top), the maximum annual average concentration was 7.5 ppb (ID 390350060), giving an adjustment factor of  $F = 30.4/7.5 = 4.06$  for that year. The 2<sup>nd</sup> highest 24-hour SO<sub>2</sub> concentration at a monitor in a year was 35.5 (ID 390350038), giving an adjustment factor of  $F = 144/35.5 = 4.05$  for year 2001. Because the adjustment factor derived from the 24-hour average concentration was lower, the 24-hour average concentration was the controlling standard. All 1-hour concentrations measured at all five monitoring sites in Cuyahoga County were multiplied by 4.05, resulting in an upward scaling of hourly SO<sub>2</sub> concentrations to simulate air quality just meeting the current standard for that year. Therefore, one monitoring site in Cuyahoga County for year 2001 would have a 2<sup>nd</sup> highest 24-hour average concentration of 144 ppb, while all other monitoring sites would have a 2<sup>nd</sup> highest 24-hour average concentration below that value, although still proportionally scaled up by 4.05 (Figure 7-14, bottom).

Proportional adjustment factors were also derived considering the form, averaging time, and levels of the potential alternative standards under consideration. Discussion regarding the staff selection of each of these components of the potential alternative standards is provided in Chapter 5 of this document. The 98<sup>th</sup> and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations averaged across three years of monitoring were used in calculating the adjustment factors at each of five standard levels as follows:

$$F_{ikl} = S_l / \left( \frac{\sum_{j=1}^3 C_{ijk}}{3} \right)_{\max, i} \quad \text{equation (7-3)}$$

where,

$F_{ikl}$  = SO<sub>2</sub> concentration adjustment factor in location  $i$  given alternative standard percentile form  $k$  and standard level  $l$  across a 3-year period (unitless)

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from a single monitor within each county (even if there is more than one monitor in the county) for a given year. A different (or the same) monitor in each county could be used to derive the factor for other years; the only requirement for selection is that it be the lowest factor, whether derived from the annual or 24-hour standard level.

$S_l$  = Standard level  $l$  (i.e., 50, 100, 150, 200, and 250 ppb 1-hour  $\text{SO}_2$  concentration) (ppb)

$C_{ijk}$  = Selected percentile  $k$  (i.e., 98<sup>th</sup> or 99<sup>th</sup>) 1-hour daily maximum  $\text{SO}_2$  concentration at a monitor in location  $i$  for each year  $j$  (ppb)

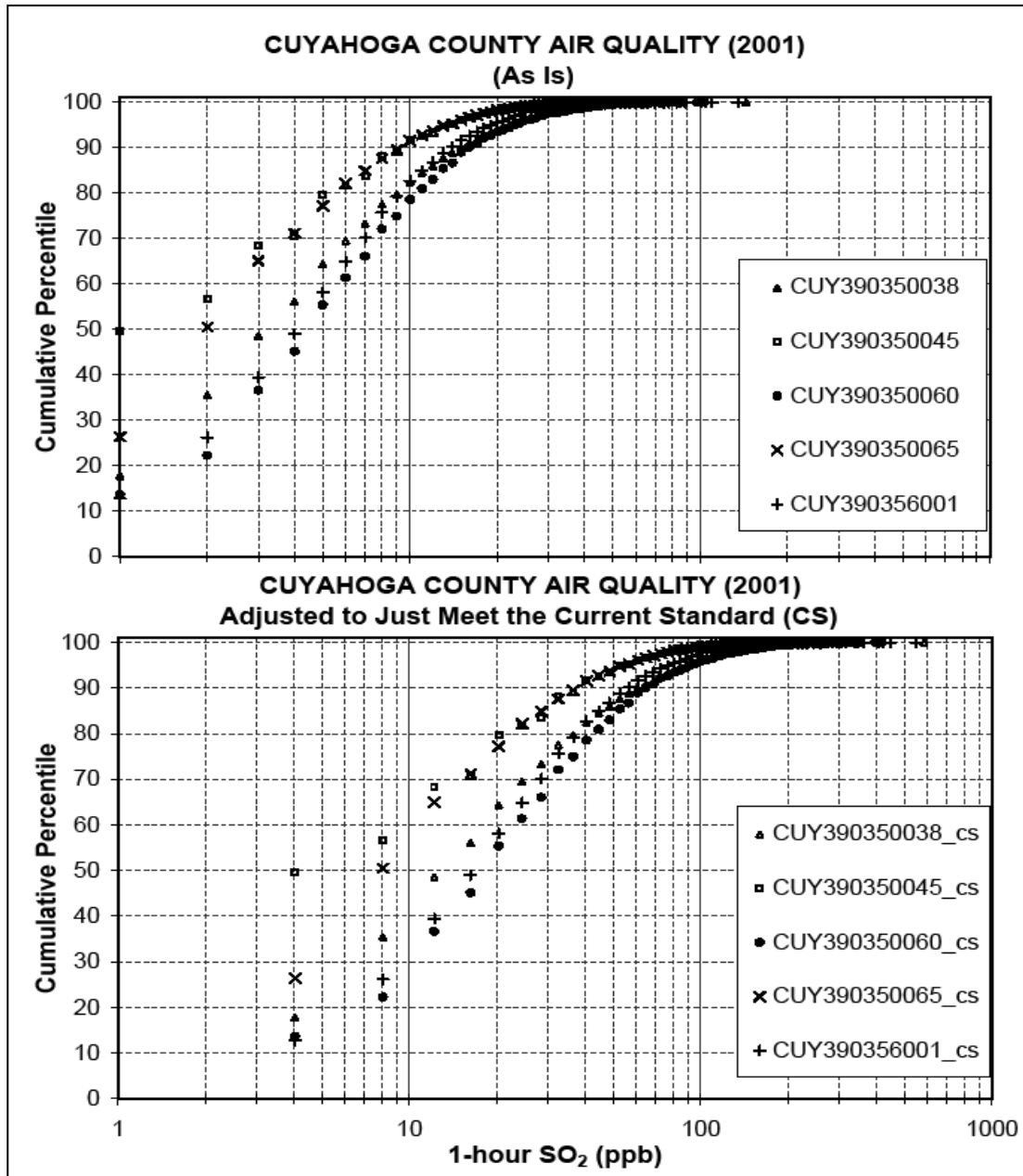


Figure 7-14. Distributions of hourly  $\text{SO}_2$  concentrations at five ambient monitors in Cuyahoga County, *as is* (top) and air quality adjusted to just meet the current 24-hour  $\text{SO}_2$  standard (bottom), Year 2001.

As described above for adjustments made in simulating just meeting the current standards, the highest monitor (in terms of the 3-year average at the 98<sup>th</sup> or 99<sup>th</sup> percentile) was adjusted so that it just meets the level of the particular 1-hour alternative standard. All other monitor concentrations in that location were adjusted using the same factor, only resulting in concentrations at those monitors below the level of the selected 1-hour alternative standard. Since the alternative standard levels range from 50 ppb through 250 ppb, both proportional upward and downward adjustments were made to the 1-hour ambient SO<sub>2</sub> concentrations. Due to the form of the alternative standards, the expected utility of such an analysis, and the limited time available to conduct the analysis, only the more recent air quality data were used (i.e., years 2001-2006). The 1-hour ambient SO<sub>2</sub> concentrations were adjusted in a similar manner described above for just meeting the current standard, however, due to the form of these standards, only one factor was derived for two 3-year periods (i.e., 2001-2003, 2004-2006), rather than one factor for each calendar year.

#### ***7.2.4.2 Selection of Locations***

The first criterion used to select locations for the alternative air quality analyses was whether monitors had a high number of daily 5-minute maximum SO<sub>2</sub> concentrations at or above the potential health effect benchmark levels. Ambient monitors located in two counties in Missouri (Iron and Jefferson) had the most frequently measured daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmarks (see Appendix A-5). While there were limited data available from these ambient monitors (4 and 2 years out of 8 total site-years did not meet the completeness criteria for each of Jefferson and Iron counties, respectively), it was decided by staff that lack of a complete year should not preclude their use in this focused analysis given the high number of measured daily 5-minute maximum SO<sub>2</sub> concentrations at these monitors. All other monitoring data used in this focused analysis were selected from where 1-hour ambient monitoring met the completeness criteria described in section 7.2.1.

Staff selected an additional 38 counties based on the relationship of the ambient SO<sub>2</sub> concentrations within the county to the current annual and 24-hour NAAQS to expand the number of counties investigated to a total of 40.<sup>42</sup> An additional criterion to be met for county selection included having at least two monitors operating in the county for at least five of the six

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<sup>42</sup> In the 1<sup>st</sup> draft SO<sub>2</sub> REA, a total of 20 counties were selected to evaluate the current standard scenario only.



possible years of monitoring.<sup>43</sup> First, the 24-hour and annual concentration adjustment factors were derived by equation 7-2 for each county and year. Then the mean 24-hour and mean annual factor for each county was calculated by averaging the site-years available at each monitor, with the selection of the lowest mean factor retained to characterize the county. Each county was then ranked in ascending order based on this selected mean factor. The 38 counties were selected from the top 38 values, that is, those counties having the lowest mean adjustment factors and having at least two monitors.

The complete list of the 40 counties selected and the mean factors used to select each location given the above selection criteria are provided in Table 7-7. In addition, Table 7-7 gives the number of monitors in each COV bin that were used to characterize the air quality in the 40 counties. The locations of ambient monitors comprising the 40 county dataset (i.e., the third data analysis group) are illustrated in Figure 7-15. Compared with the two other data analysis groups, the 40 county data set has a greater number of mid and high COV bin monitors and notably fewer low COV bin monitors (Figure 7-16). This is not unexpected given the concentration-based selection criteria used in identifying the 40 counties.

Following the selection of the 40 counties, staff retained the adjustment factors calculated for each monitoring site-year (not simply the mean factor that was used for the county selection) to simulate air quality just meeting the current standard (either the daily or annual factor, whichever was lower). These adjustment factors are given in Appendix A, Table A.4-1. Then using equation 7-3, staff calculated the adjustment factors needed for evaluating the potential alternative standards. Each of these alternative air quality scenarios were used as an input to the statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations (equation 7-1). Then, air quality characterization metrics of interest were estimated for each site and year as described in section 7.2.5.

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<sup>43</sup> In the 1<sup>st</sup> draft SO<sub>2</sub> REA, having at least three monitors for all six years of the monitoring period was required. These earlier criteria were relaxed in the 2<sup>nd</sup> draft and in this final REA to allow for additional locations that may have ambient concentrations close to the current annual and daily standard levels.

**Table 7-7. Counties selected for evaluation of air quality adjusted to just meeting the current and potential alternative SO<sub>2</sub> standards and the number of monitors in each COV bin.**

State	County <sup>1</sup>	Mean Factor	Closest Standard <sup>2</sup>	# of Monitors in COV bin <sup>4</sup>		
				Low	Mid	High
Arizona	Gila	3.44	A		1	1
Delaware	New Castle	2.80	D		5	
Florida	Hillsborough	3.81	D		4	2
Iowa	Linn	3.58	D		3	2
	Muscatine	3.46	D		1	2
Illinois	Madison	3.78	D		4	
	Wabash	3.39	D			2
Indiana	Floyd	4.38	D		2	1
	Gibson	2.60	D			2
	Lake	4.41	D		2	
	Vigo	4.80	D		2	
Michigan	Wayne	3.13	D		3	
Missouri	Greene	4.47	D	2	1	2
	Iron <sup>3</sup>	5.49	A			2
	Jefferson <sup>3</sup>	3.53	D			4
New Hampshire	Merrimack	2.98	D		3	1
New Jersey	Hudson	3.90	A	2		
	Union	3.81	A	2		
New York	Bronx	3.09	A	2		
	Chautauqua	4.19	D		1	1
	Erie	3.17	D		1	1
Ohio	Cuyahoga	4.51	A		5	
	Lake	2.99	D		2	
	Summit	3.13	D		2	
Oklahoma	Tulsa	4.61	A		3	
Pennsylvania	Allegheny	2.65	D	2	5	
	Beaver	2.39	D		3	
	Northampton	3.26	A	1	1	
	Warren	1.74	D		2	
	Washington	3.19	A	2	1	
Tennessee	Blount	1.86	D		2	
	Shelby	4.08	D	1	2	
	Sullivan	3.45	D		2	
Texas	Jefferson	4.38	D		3	
Virginia	Fairfax	4.80	A	3		
US Virgin Islands	St Croix	4.60	D		2	3
West Virginia	Brooke	2.32	A		2	
	Hancock	2.32	A		9	
	Monongalia	2.93	D		2	
	Wayne	3.07	D	1	3	

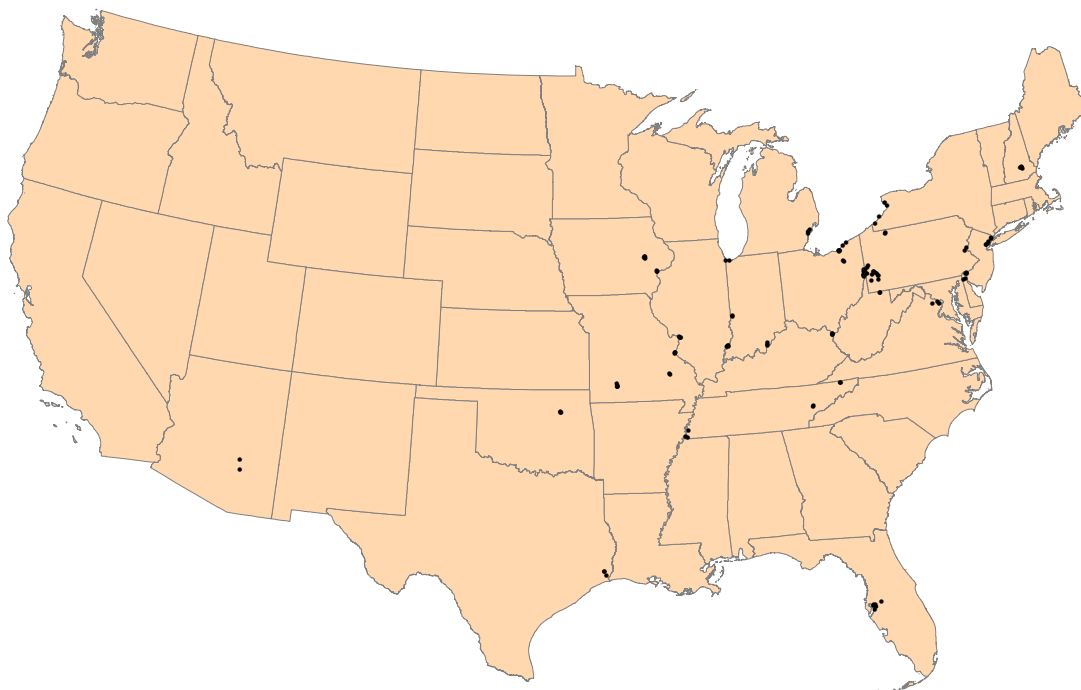
**Notes:**

<sup>1</sup> Listed counties were selected based on lowest mean concentration adjustment factor, derived from at least 2 monitors per year for years 2001-2006 and ≥5 years of data.

<sup>2</sup> Ambient concentrations were closest to either the annual (A) or daily (D) NAAQS level.

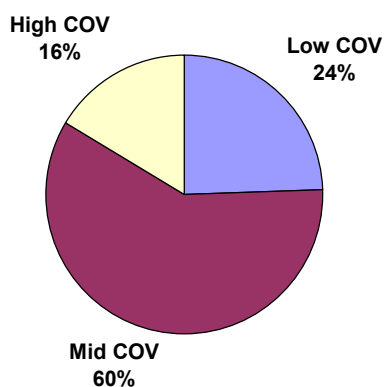
<sup>3</sup> County selected based on frequent 5-minute benchmark level exceedances.

<sup>4</sup> COV bins were low (COV≤100%); mid (100%<COV≤200%); high (COV>200%).

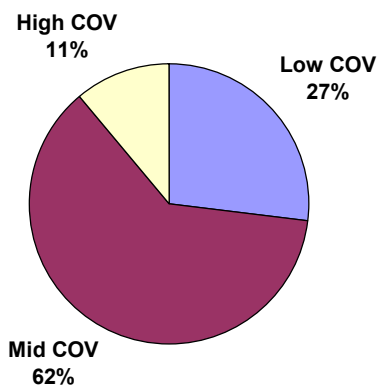


**Figure 7-15. Locations of the 128 ambient monitors comprising the 40 County data set (i.e., the third data analysis group).**

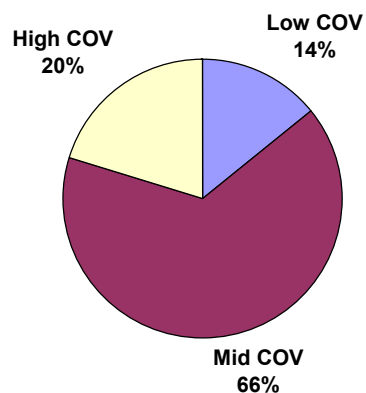
**5-Minute Max SO<sub>2</sub> Monitors (n=98)**



**Broader SO<sub>2</sub> Network (n=809)**



**40 County SO<sub>2</sub> Monitors (n=128)**



**Figure 7-16. Percent of monitors in each COV bin for the three data analysis groups: monitors reporting 5-minute maximum SO<sub>2</sub> concentrations, the broader SO<sub>2</sub> monitoring network, and SO<sub>2</sub> monitors selected for detailed analysis in 40 counties.**

### **7.2.5 Air Quality Concentration Metrics**

For each of the data analysis groups and air quality scenarios considered, several concentration metrics were calculated; these included the annual average, 24-hour, and 1-hour daily maximum SO<sub>2</sub> concentrations for each site-year of data and the number of exceedances of the potential health effect benchmark levels. The numbers of daily maximum 5-minute concentration exceedances in a year were counted (i.e., either 1 or none per day) rather than total number of exceedances (i.e., which confounds numbers of exceedances and days with exceedances). To characterize the relationship between the number of days with a 5-minute benchmark exceedance and the ambient concentration levels, staff generated two additional outputs given the different concentration averaging times.

The first output was a comparison of the annual average SO<sub>2</sub> concentration and the number of daily 5-minute maximum SO<sub>2</sub> concentrations above the benchmark levels in a year. The output of this is the number of days per year a monitor had a measured or modeled exceedance, given an annual average SO<sub>2</sub> concentration. In general, these results are graphically depicted in this REA, though most of the individual results displayed in the figures are provided in Appendix A-5. When considering the 40 counties used for detailed analysis, the results are presented at the county-level, some of which had multiple ambient monitors. Therefore, the results for the monitors within counties were aggregated to generate mean values representing the central tendency of the county's annual average concentrations and the numbers of days in a year with benchmark exceedances.

The second output was the probability of potential health effect benchmark exceedances given concentrations of short-term averaging times. It was proposed in Chapter 5 that the 1-hour daily maximum SO<sub>2</sub> concentration would be of an appropriate averaging time in controlling the number of daily 5-minute maximum SO<sub>2</sub> concentrations. Staff evaluated such a relationship using the measured 5-minute and 1-hour ambient SO<sub>2</sub> concentrations to determine if this indeed was the case. A tally was made every time a daily 5-minute maximum SO<sub>2</sub> concentration occurred during the same hour of the day as the 1-hour daily maximum SO<sub>2</sub> concentration. The results of this analysis, separated by benchmark exceedance level, are given in Table 7-8. The co-occurrence of the daily 5-minute maximum and the 1-hour daily maximum SO<sub>2</sub>

concentrations is greater than 70% at each of the benchmark levels indicating a strong relationship between the two concentration averaging times.

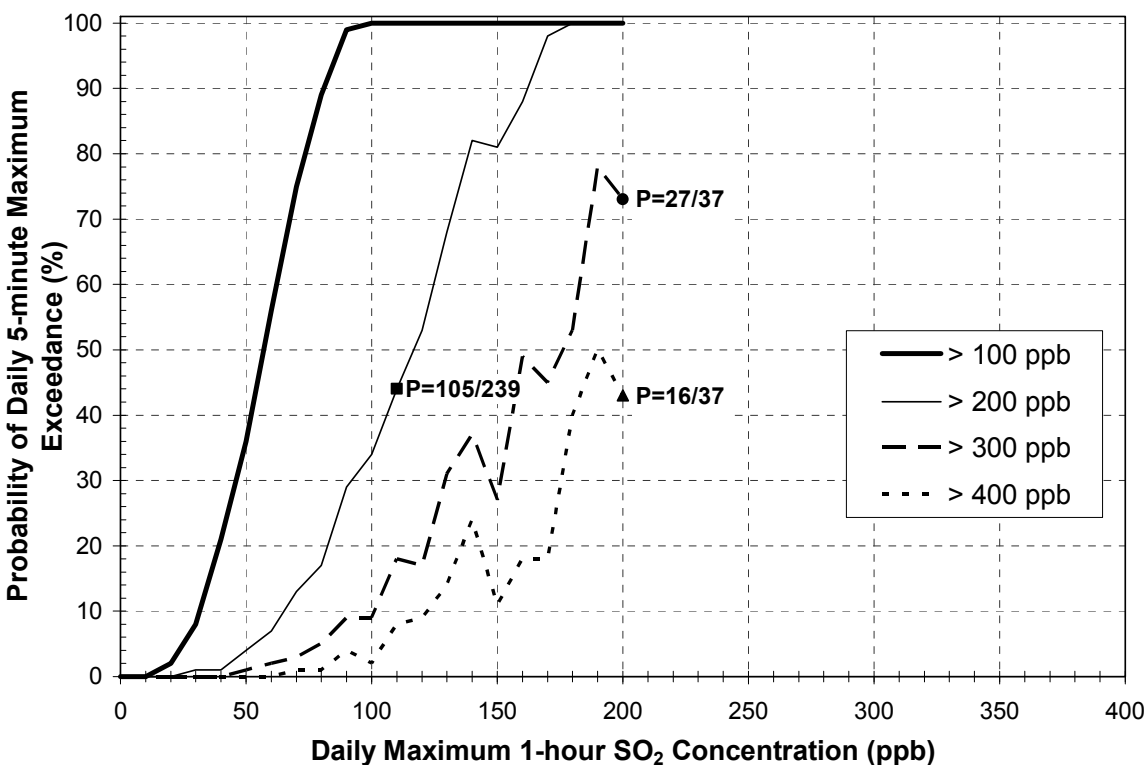
**Table 7-8. The co-occurrence of daily 5-minute maximum and 1-hour daily maximum SO<sub>2</sub> concentrations using measured ambient monitoring data.**

<b>Concentration/Level</b>	<b>Co-occurring 5-minute and 1-hour daily maximums<sup>1</sup> (n)</b>	<b>Total Paired Samples<sup>2</sup> (n)</b>	<b>Percent Co-occurring (%)</b>
All concentrations	106,115	130,296	81.4
> 100 ppb	6,192	8,817	70.2
> 200 ppb	2,030	2,793	72.7
> 300 ppb	1,067	1,476	72.3
> 400 ppb	700	961	72.8
<b>Notes:</b> <sup>1</sup> the number of events the 5-minute maximum occurred in the same hour as the 1-hour daily maximum. <sup>2</sup> total events with both a 5-minute maximum and 1-hour SO <sub>2</sub> concentration measurement.			

Given the form of the current 24-hour standard, the form of the potential alternative standards (1-hour daily maximum), and the frequency of 5-minute SO<sub>2</sub> benchmark exceedances (i.e., either one or none per concentration), staff generated probability functions to estimate the likelihood of a 5-minute benchmark exceedance. These functions are useful in estimating the probability of a 5-minute benchmark exceedance given a range of SO<sub>2</sub> concentrations at alternative averaging times (i.e., either a 24-hour average or 1-hour daily maximum concentration). Two approaches were used to generate the probability functions: the first was empirically-based while the second employed a logistic regression model.

To generate the empirically-based probability functions, concentration data were first stratified into bins using concentration midpoints, with each bin separated by 10 ppb. For example a concentration of 53 ppb would be included in the 50 ppb bin, while a concentration of 55 ppb would fall within the 60 ppb bin. Then, the presence or absence of a daily 5-minute benchmark exceedance given the number of values in each concentration bin (that originate from all monitored concentrations within the bin range) was used to estimate the probability of an exceedance. For example, if there were 105 exceedances of the 200 ppb benchmark level out of

239 instances of a 1-hour daily maximum binned concentration of 110 ppb<sup>44</sup>, the probability of a 200 ppb benchmark exceedance would be  $105/239 = 0.44$  or 44 % given a 1-hour daily maximum concentration of around 110 ppb. An example of an output from this empirically-based probability function is illustrated in Figure 7-17 for each of the four benchmark levels.



**Figure 7-17. Example of empirically-based probability curves. The probability of a 5-minute SO<sub>2</sub> benchmark exceedance (P) was estimated by dividing the number of days with an exceedance by the total number of days within each 1-hour daily maximum SO<sub>2</sub> concentration bin.**

In constructing the empirical probability curves, staff noted there were fewer samples with increasing concentrations (either 1-hour daily maximum or 24-hour average). Having too few samples generated instability in the empirically-based probability curves at the highest 1-hour daily maximum or 24-hour average concentrations. For example, there were very few measured 1-hour daily maximum SO<sub>2</sub> concentrations above the 130 ppb bin considering the high

<sup>44</sup> Therefore, there were 134 instances whereby the 1-hour daily maximum of 110 ppb did not correspond to a 5-minute maximum concentration above 200 ppb.

population density group (Table 7-9). A total of 116 1-hour daily maximum SO<sub>2</sub> concentrations out of 26,983 were scattered across the bins of 140 through 620 ppb, concentrations associated with the presence or absence of a 300 ppb 5-minute benchmark exceedance. There were increasing probabilities of 5-minute benchmark exceedances with increasing 1-hour daily maximum SO<sub>2</sub> concentration starting at 100 ppb; however, at 170, 210, and 230 ppb there were lower estimated probabilities of exceedances than the preceding lower 1-hour daily maximum SO<sub>2</sub> concentration. If using the probability data alone in Table 7-9, this would imply that at 1-hour daily maximum concentrations of about 210–230 ppb, the likelihood of an exceedance is less than that when considering 1-hour daily maximum concentrations between 190–200 ppb. This is likely not the case, and in this instance, the wide range in estimated probabilities are more a function of the small sample sizes (no more than 3 samples per bin in this case) rather than the 1-hour daily maximum SO<sub>2</sub> concentrations. Therefore, in viewing the occurrence of this issue at small sample sizes, staff selected concentration bins having at least thirty 1-hour daily maximum (or 24-hour average) concentrations (whether it was all, none, or a mixture of exceedances) for inclusion in the empirically-based probability curves. As a result, the sample size limits compressed the range of predictability offered by the empirically-based probability curves. As an example, Figure 7-17 indicates that there were fewer than 30 samples available for concentration bins above a 1-hour daily maximum SO<sub>2</sub> concentration of 200 ppb (note the 200 ppb bin contained 37 samples).

**Table 7-9. Example of how the probability of exceeding a 400 ppb 5-minute benchmark would be calculated given 1-hour daily maximum SO<sub>2</sub> concentration bins.**

Daily Maximum 1-hour bin	Number of times:		Probability of Exceedance (%)
	With no exceedances	With one exceedance	
100	71	0	0
110	45	2	4
120	43	1	2
130	34	1	3
140	17	1	6
150	15	2	12
160	11	4	27
170	10	2	→ 17 ←
180	8	3	27
190	1	4	80
200	1	3	75
210	1	0	→ 0 ←
220	1	2	67
230	2	0	→ 0 ←
240	0	2	100
250	0	2	100
<b>Notes:</b> → % ← notes sharp decrease in probability from prior concentration bin. Data used in this table is from the high population density monitors reporting 5-minute concentrations.			

In the second approach, we generated probability curves for each of the four benchmark levels and the time-averaged SO<sub>2</sub> concentrations (i.e., 1-hour daily maximum or 24-hour average concentration) using *proc logistic* and a probit link function (SAS, 2004). The probit link function used can be described with the following:

$$y(x; \beta, \gamma) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\beta + \gamma(x)} e^{-t^2/2} dt \quad \text{equation (7-4)}$$

where  $x$  denotes the time averaged SO<sub>2</sub> concentration (either 1-hour daily maximum or the 24-hour average in ppb),  $y$  denotes the corresponding probability of a 5-minute exceedance, and  $\beta$  and  $\gamma$  are two model estimated parameters used to generate predicted values. The logistic-modeled predictions were then used to generate probability curves using all available measurements, thereby extending the range of predictability beyond that of the empirically-based curves. Figure 7-18 illustrates an example of logistic-modeled probability curves using the same



data used in generating the probability curves shown in Figure 7-17. Note that predictions for the modeled curves extend beyond the 1-hour daily maximum limits of 200 ppb when using the empirical curves.

Prior to estimating either the empirically-based or logistic-modeled probability curves, staff separated the monitors within each data analysis group by the population density groups; either *low* ( $\leq 10,000$  persons within 5 km), *mid* (10,001 to  $\leq 50,000$  persons within 5 km), or *high* ( $> 50,000$  persons within 5 km). Staff hypothesized that there may be different exceedance probabilities in dense population areas compared with locations having fewer residents given the siting characteristics of the monitors with regard to the presence of emission sources. This separation of the monitoring results by the surrounding population should be useful in appropriately characterizing the air quality because the monitoring data are used as indicators of potential human exposure; the results from monitors sited within greater population densities should be more representative of potential population exposure.

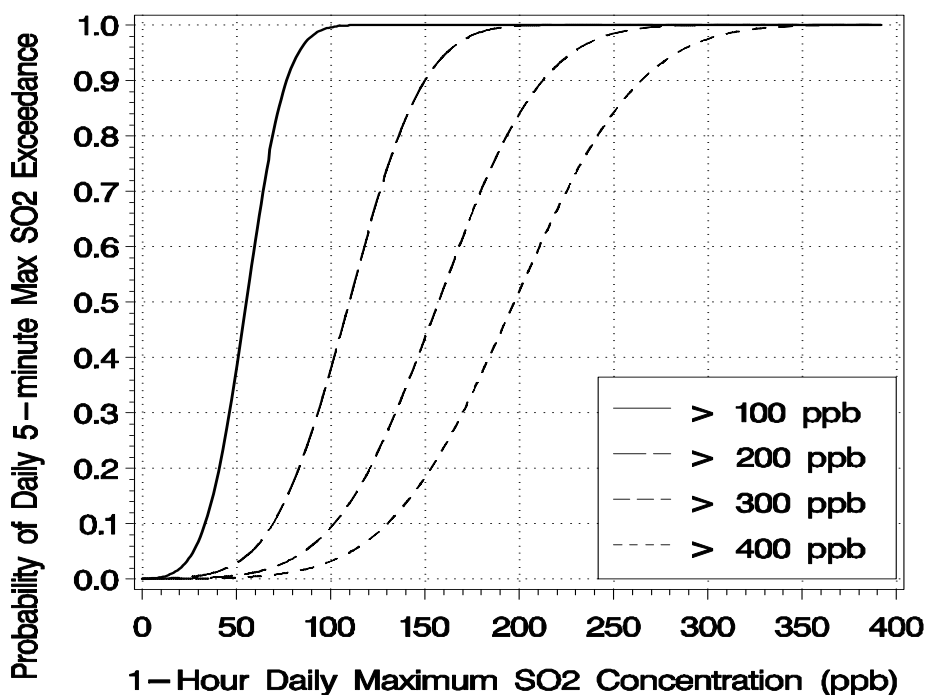


Figure 7-18. Example of logistic-modeled probability curves. The data used to generate these modeled curves were the same used in generating the empirically-based curves in Figure 7-17.

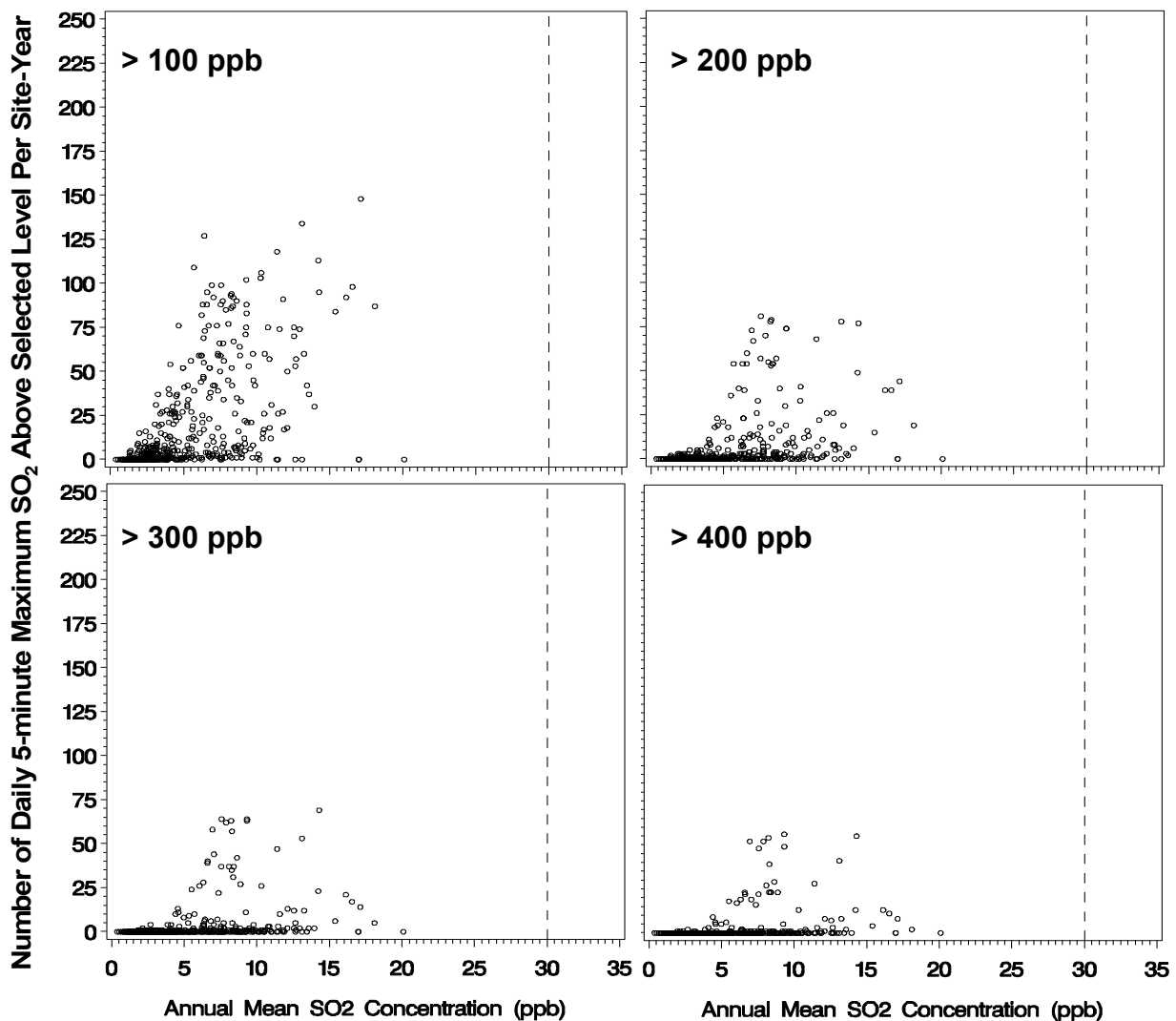
## 7.3 RESULTS

### 7.3.1 Measured 5-minute Maximum and Measured 1-Hour SO<sub>2</sub> Concentrations at Ambient Monitors – *As Is* Air Quality

In this first data analysis group, staff analyzed the *as is* air quality data solely based on the SO<sub>2</sub> ambient monitor measurements. Ambient monitoring data were evaluated at the 98 locations where both the 1-hour and 5-minute maximum SO<sub>2</sub> concentrations were reported for years 1997 through 2007. Due to the large size of the data set (i.e., 471 site-years), staff summarized the number of potential health effect benchmark exceedances in a series of figures. This analysis centered on the relationship between various concentration averaging times and the daily 5-minute maximum SO<sub>2</sub> concentration exceedances. Descriptive statistics for the measured daily 5-minute maximum and the 1-hour SO<sub>2</sub> concentrations are provided in Appendix A-5 and in the SO<sub>x</sub> ISA (ISA, section 2.5.2), the latter of which includes additional discussion of the spatial and temporal variability of the 5-minute maximum and continuous 5-minute SO<sub>2</sub> concentrations. Staff performed two broad analyses using this data analysis group; first staff evaluated the relationship between annual average concentrations and number of days per year with at least one 5-minute concentration above benchmark levels and then estimated the probability of having at least one 5-minute concentration above benchmark levels given short-term averaging times (i.e., 1-hour daily maximum and 24-hour average).

First, staff evaluated the occurrence of the daily 5-minute maximum SO<sub>2</sub> concentration exceedances in a year. Figure 7-19 compares the number of days with 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels along with the corresponding annual average SO<sub>2</sub> concentration from each max-5 monitor. Overall, there are few days in a year with 5-minute maximum SO<sub>2</sub> concentrations above each of the potential health effect benchmark levels. Given the data in Table 7-8, no more than 7% of the total days with measurements had 5-minute maximum SO<sub>2</sub> concentrations above the 100 ppb benchmark, while approximately 2%, 1%, and 0.7% of days had daily 5-minute maximum SO<sub>2</sub> concentrations above the 200, 300, and 400 ppb levels, respectively. None of the monitors in this data set had annual average SO<sub>2</sub> concentrations above the current annual NAAQS of 30 ppb. However, several of the monitors in several years frequently had daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels. Many of those monitors where frequent 5-minute benchmark exceedances occurred had annual average SO<sub>2</sub>

concentrations between 5 and 15 ppb, with little to no correlation between the annual average SO<sub>2</sub> concentration and the number of daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels. These data are useful in determining the number of days in a year a particular monitor had a daily maximum exceedance of a selected benchmark level, however from a practical perspective, the annual average concentration would be ineffective at controlling daily 5-minute maximum SO<sub>2</sub> concentrations given the observed weak relationships.



**Figure 7-19. The number of days per year with measured 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels at 98 monitors given the annual average SO<sub>2</sub> concentration, 1997-2007 air quality *as is*. The level of the annual average SO<sub>2</sub> NAAQS of 30 ppb is indicated by the dashed line.**

Second, the probability of potential health effect benchmark exceedances was estimated given the 24-hour average and 1-hour daily maximum SO<sub>2</sub> concentrations. Figure 7-20 presents the empirically-based and logistic-modeled probability curves given the 24-hour average SO<sub>2</sub> concentrations and separated by the three population densities. There is an increasing probability of a daily 5-minute maximum SO<sub>2</sub> concentration exceedance with increasing 24-hour average concentrations at each of the potential health effect benchmark levels and for each of the population density groups. Some deviation from increasing probability occurs near the end of the empirically-based curves derived from the mid-population density monitors. As discussed earlier, this observed behavior is likely a function of the small sample size rather than variability in 24-hour SO<sub>2</sub> concentrations. The logistic-modeled curves are consistent with the empirically-based curves; however, the modeled curves illustrate an extended concentration range and a consistent pattern of increasing probability of 5-minute benchmark exceedances with increasing 24-hour concentration.

Probability curves generated from monitors sited in low-population density areas exhibit a steeper slope when compared with the other population density groups, indicating a greater probability of a 5-minute SO<sub>2</sub> benchmark exceedance given the same 24-hour SO<sub>2</sub> concentration. For example, the probability of exceeding a daily 5-minute maximum concentration of 200 ppb using the empirically-based curves is 30% at the low-population density monitors given a 24-hour average concentration of about 20 ppb. In comparison, empirically-based curves generated from the mid- and high-population density monitors indicate that the probability of a 5-minute benchmark exceedance at the same 24-hour concentration of 20 ppb is only about 14% and 3%, respectively. There is a small probability (about 10%) of exceeding the 300 and 400 ppb in the high-population density areas given a 24-hour average concentration of about 40 ppb (using either the empirical or modeled curves), though at monitors sited in the low-population areas this probability is greater than 50%.

The empirically-based curves are limited to estimating exceedance probabilities at or below 24-hour concentrations of 60 ppb, with mostly unknown probabilities associated with many of the benchmark levels and at concentrations approaching the current 24-hour standard. For example, while the estimated probability of a daily 5-minute maximum SO<sub>2</sub> concentration above 100 ppb is at or near 100% considering any of the population density groups, little can be

construed from the other empirically-based curves at 24-hour concentrations above 60 ppb, particularly at monitors sited in mid- to high-population density areas. The logistic-modeled curves however provide the probability of benchmark exceedances at higher 24-hour concentrations. For example, according to Figure 7-20 there would be a 100% probability of exceeding all benchmark levels at about a 24-hour concentration of 100-120 ppb, when considering monitors in either the mid- or high-population density areas.

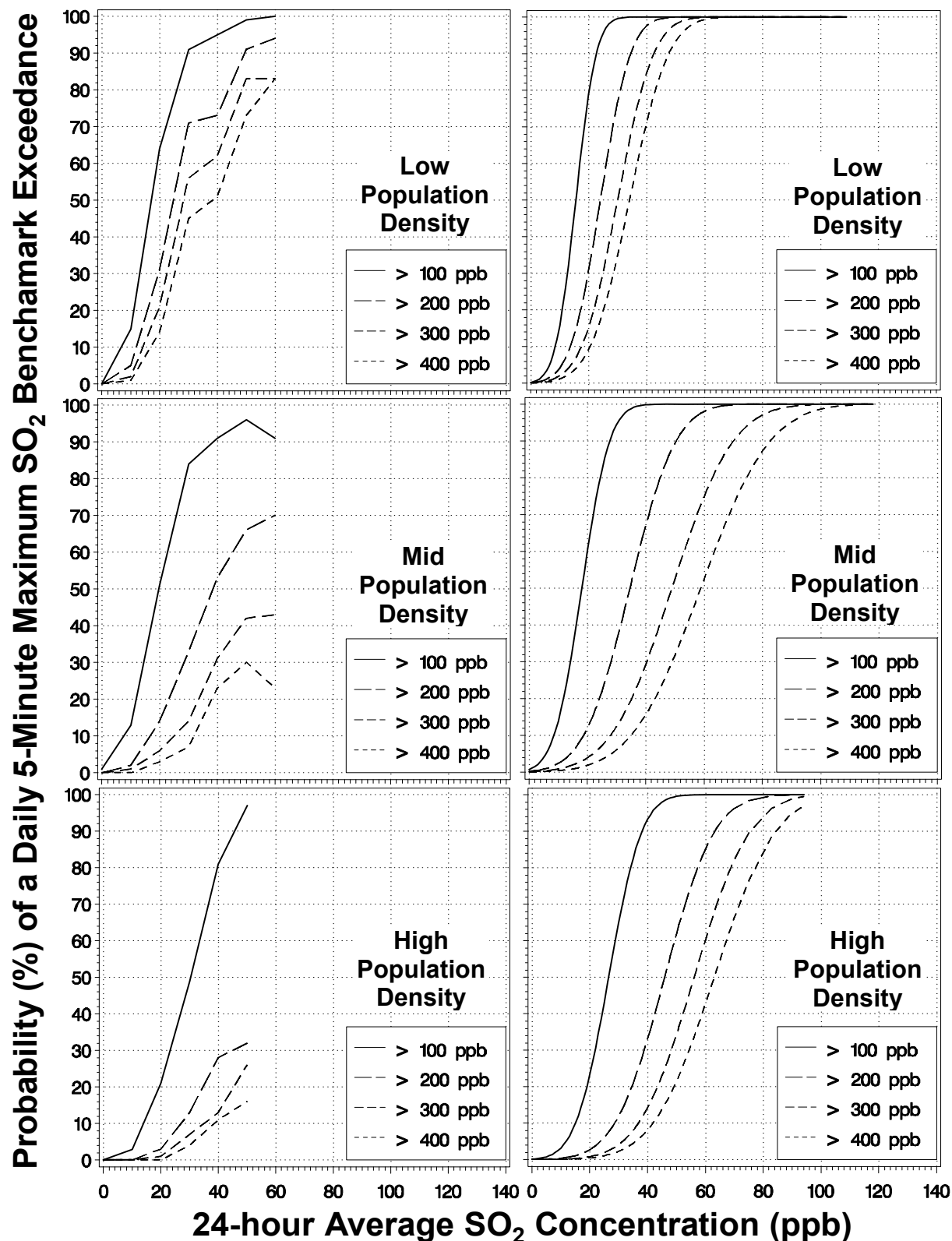


Figure 7-20. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 24-hour average SO<sub>2</sub> concentration, using empirical data (left) and a fitted log-probit model (right), 1997-2007 air quality *as is*. Both the 5-minute maximum and 24-hour SO<sub>2</sub> concentrations were from measurements collected at 98 ambient monitors and separated by population density.

Figure 7-21 presents similar probability curves generated from the 5-minute and 1-hour ambient measurement data, but the probabilities of benchmark exceedances are associated with the 1-hour daily maximum SO<sub>2</sub> concentrations instead of 24-hour average concentrations. At each of the benchmark levels and population densities, Figure 7-21 shows increasing probabilities of exceedances with increasing 1-hour daily maximum SO<sub>2</sub> concentrations. Further, the probability curves have steeper slopes associated with the low-population density group compared to the slopes of the higher population density groups. Note that while there is uncertainty regarding the extrapolation beyond the limits imposed on the empirically-based curves (i.e., 30 or greater samples per bin), one can be assured that the probability of an exceedance of a daily 5-minute maximum SO<sub>2</sub> concentration of 400 ppb is 100% given a 1-hour daily maximum SO<sub>2</sub> concentration of 400 ppb (and so on for the other 5-minute benchmark/1-hour daily maximum SO<sub>2</sub> concentration combinations).<sup>45</sup> As observed using the 24-hour average concentrations, the shape of the curves beyond the imposed limits of the empirical data can be informed by the logistic regression modeling (right column, Figure 7-21). In using the logistic-modeled benchmark curves, a 100% probability of an exceedance is estimated to occur at about a 1-hour daily maximum concentration 50-100 ppb less than that of the respective 5-minute benchmark level.

It also should be noted that when comparing any of the 24-hour average probability curves with corresponding 1-hour daily maximum probability curves (e.g., Figure 7-20 and Figure 7-21) the relative slopes of the 24-hour curves are steeper. Therefore, changes in 24-hour average SO<sub>2</sub> concentration (either higher or lower) will effectively result in greater changes in the probability of exceedances when compared to a similar 1-hour daily maximum concentration shift. For example, to reduce the likelihood of a 200 ppb benchmark exceedance from about 90% to 10%, 24-hour average concentrations would need to go from a level of about 50 to 20 ppb using the logistic-modeled mid-population curves. This same reduction in probability would correspond to a 1-hour daily maximum concentration reduction of about 150 ppb to 70 ppb.

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<sup>45</sup> Technically, if all 5-minute concentrations were exactly 400 ppb, the 1-hour average concentration would be 400 ppb and the 5-minute maximum would not actually exceed 400 ppb. However, note that probability of exceeding the 100 or 200 ppb benchmarks approaches 100% at less than a 1-hour daily maximum of 100 or 200 ppb, respectively (Figure 7-18).

Probability (%) of a Daily 5-Minute Maximum SO<sub>2</sub> Benchmark Exceedance

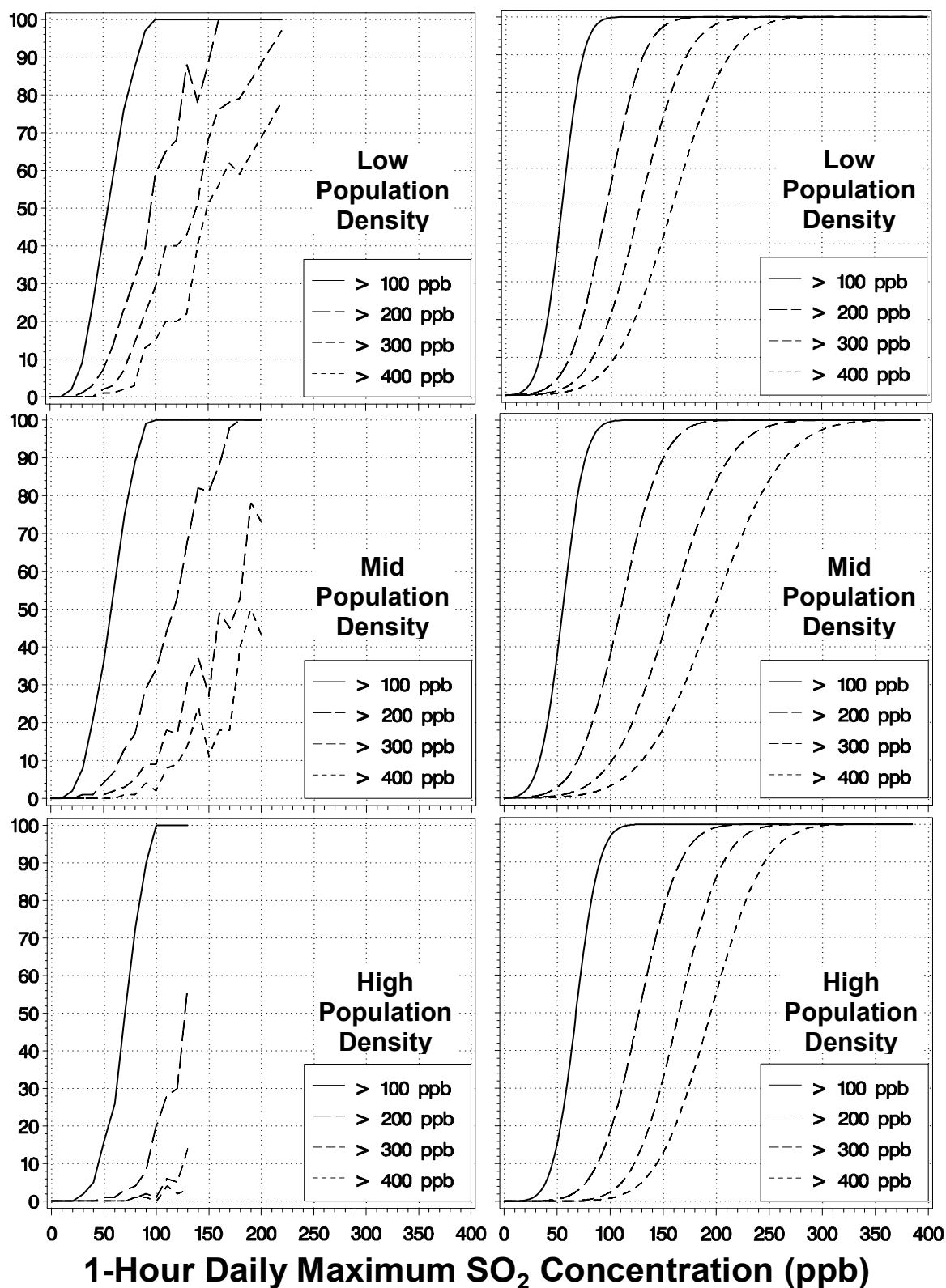


Figure 7-21. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 1-hour daily maximum SO<sub>2</sub> concentration, using empirical data (left) and a fitted log-probit model (right), 1997-2007 air quality *as is*. Both the 5-minute maximum and 1-hour SO<sub>2</sub> concentrations were from measurements collected at 98 ambient monitors and separated by population density.



### **7.3.2 Measured 1-Hour and Modeled 5-minute Maximum SO<sub>2</sub> Concentrations at All Ambient Monitors – *As Is* Air Quality**

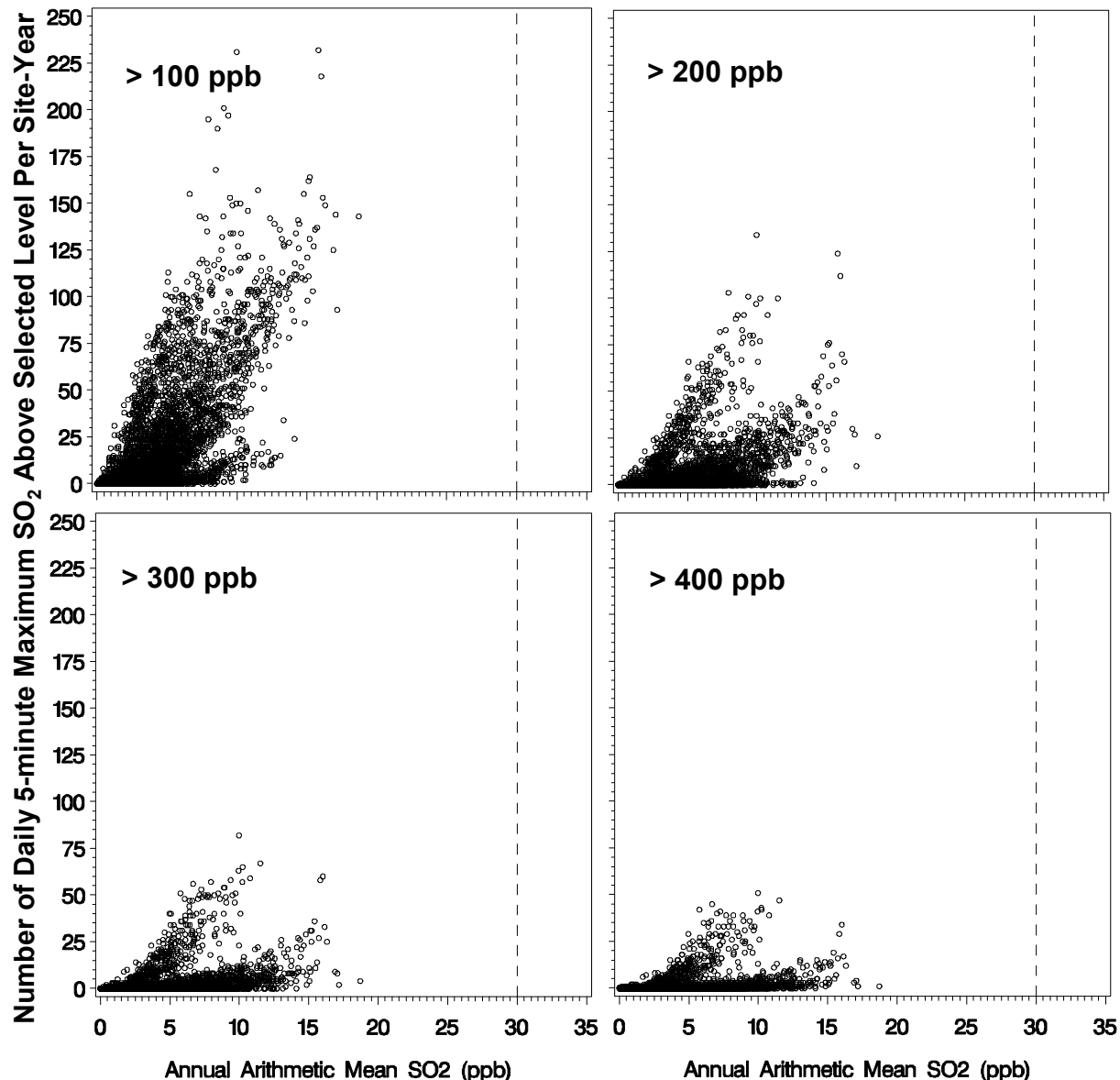
In the second data analysis group, staff analyzed the *as is* air quality using a combination of measurement and modeled data. As described in section 7.2.3, a statistical model was applied to 1-hour ambient SO<sub>2</sub> measurements to estimate 5-minute maximum SO<sub>2</sub> concentrations. This was done because there are a greater number of monitors in the broader SO<sub>2</sub> monitoring network compared to subset of monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations (section 7.3.1). This larger monitoring data set included 809 ambient monitors in operation at some time during the years 1997 through 2006 that met the completeness criteria described in section 7.2.1. This data set included 4,692 site-years of data, and combined with the estimated 5-minute SO<sub>2</sub> concentrations using the measured 1-hour values, allowed for a comprehensive characterization of the hourly and 5-minute SO<sub>2</sub> air quality at ambient monitors located across the U.S. Descriptive statistics for the measured 1-hour SO<sub>2</sub> concentrations are provided in the SO<sub>x</sub> ISA (ISA, section 2.5.1) including additional discussion of the spatial and temporal variability in 1-hour SO<sub>2</sub> concentrations.

Staff performed twenty separate model simulations to estimate the 5-minute maximum SO<sub>2</sub> concentration associated with each 1-hour measurement. The individual simulation results at each monitor were averaged to generate a mean number of days per year with a 5-minute benchmark exceedance. The modeled (5-minute maximum) and measurement (1-hour) data were analyzed in a similar manner as performed on the measured 5-minute maximum and 1-hour SO<sub>2</sub> concentrations described in section 7.3.1. The results provided in this section were generated using the modeled daily 5-minute maximums and the measured hourly SO<sub>2</sub> concentrations considering 1-hour, 24-hour, and annual averaging times. Staff performed two broad analyses; first staff evaluated the relationship between annual average concentrations and number of days per year with at least one 5-minute concentration above benchmark levels and then estimated the probability of having at least one 5-minute concentration above benchmark levels given short-term averaging times (1-hour daily maximum and 24-hour average).

First, Figure 7-22 shows the number of days per year with a 5-minute SO<sub>2</sub> concentration above benchmark levels versus the annual average SO<sub>2</sub> concentration. Fewer than 5% of total days per year had a 5-minute SO<sub>2</sub> concentration above the 100 ppb benchmark, while approximately 1%, 0.5%, and 0.2% of days had at least one 5-minute concentration above the

200, 300, and 400 ppb benchmark levels, respectively. None of the site-years of data had annual average SO<sub>2</sub> concentrations at or above the level of the current annual NAAQS (30 ppb).

However as described above, several site-years had predicted 5-minute SO<sub>2</sub> concentrations above the potential health effect benchmark levels. Many of the monitors with frequent 5-minute benchmark exceedances had annual average SO<sub>2</sub> concentrations between 10 and 20 ppb, with a pattern of increasing number of days per year with at least one 5-minute concentration above the benchmark levels with increasing annual average concentrations. This pattern was most prominent at the 100 ppb benchmark level, with progressively weaker relationships between the number of 5-minute benchmark exceedances and annual average concentrations at each of the higher benchmark levels.



**Figure 7-22.** The number of days per year with modeled daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels at 809 ambient monitors given the annual average SO<sub>2</sub> concentration, 1997-2006 air quality *as is*. The level of the annual average SO<sub>2</sub> NAAQS of 30 ppb is indicated by the dashed line.

Next, empirical and logistic-modeled probability curves were generated for this second data analysis group. Figure 7-23 illustrates the probability of benchmark exceedances using the modeled daily 5-minute maximum SO<sub>2</sub> concentrations and 24-hour average concentrations. These probability curves exhibit patterns similar to that described using the pure measurement data (Figure 7-20). For example, the probability curves generated from low-population density area monitors are steeper than those generated using the higher population density monitors at

each of the benchmark levels considered. In addition, the slopes of the probability curves are generally consistent between the measured and modeled 5-minute maximum data, where comparable 24-hour average concentrations exist.

The broader SO<sub>2</sub> monitoring network to estimate daily 5-minute maximum SO<sub>2</sub> concentrations provides insight as to the potential shape of each empirically-based probability curve at greater 24-hour average concentrations. The upper range of 24-hour concentrations extends to around 70-100 ppb (Figure 7-23), while at the monitors reporting 5-minute maximum SO<sub>2</sub> concentrations the maximum 24-hour average concentrations extends to at most between 50 and 60 ppb (Figure 7-20). The extended range of 24-hour concentrations in the empirically-based curves provides additional support to what was stated earlier using the pure measurement data, that is, there is a strong likelihood of 5-minute peak concentrations above the benchmark levels at 24-hour average concentrations well below the level of the current standard. This is further confirmed by the logistic-modeled probability curves that estimate all benchmark levels would be exceeded at about a 24-hour concentration of 60-100 ppb, the level of which dependent on where the monitor is sited.

The probability curves generated using the modeled 5-minute maximum and 1-hour daily maximum SO<sub>2</sub> concentrations (Figure 7-24) also exhibit patterns consistent with those patterns observed using the pure measurement data (Figure 7-21). Again, a wider range of 1-hour daily maximum concentrations is observed in using the broader monitoring network when compared with the results using the monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations, giving greater ability to discern the probability of benchmark exceedances at higher 1-hour daily maximum SO<sub>2</sub> concentrations. When using either the empirically-based or logistic modeled curves, a 100% probability of exceeding the 100, 200, 300, and 400 ppb benchmarks is estimated to occur at 1-hour daily maximum concentrations of about 80, 150, 225, and 300 ppb, respectively.

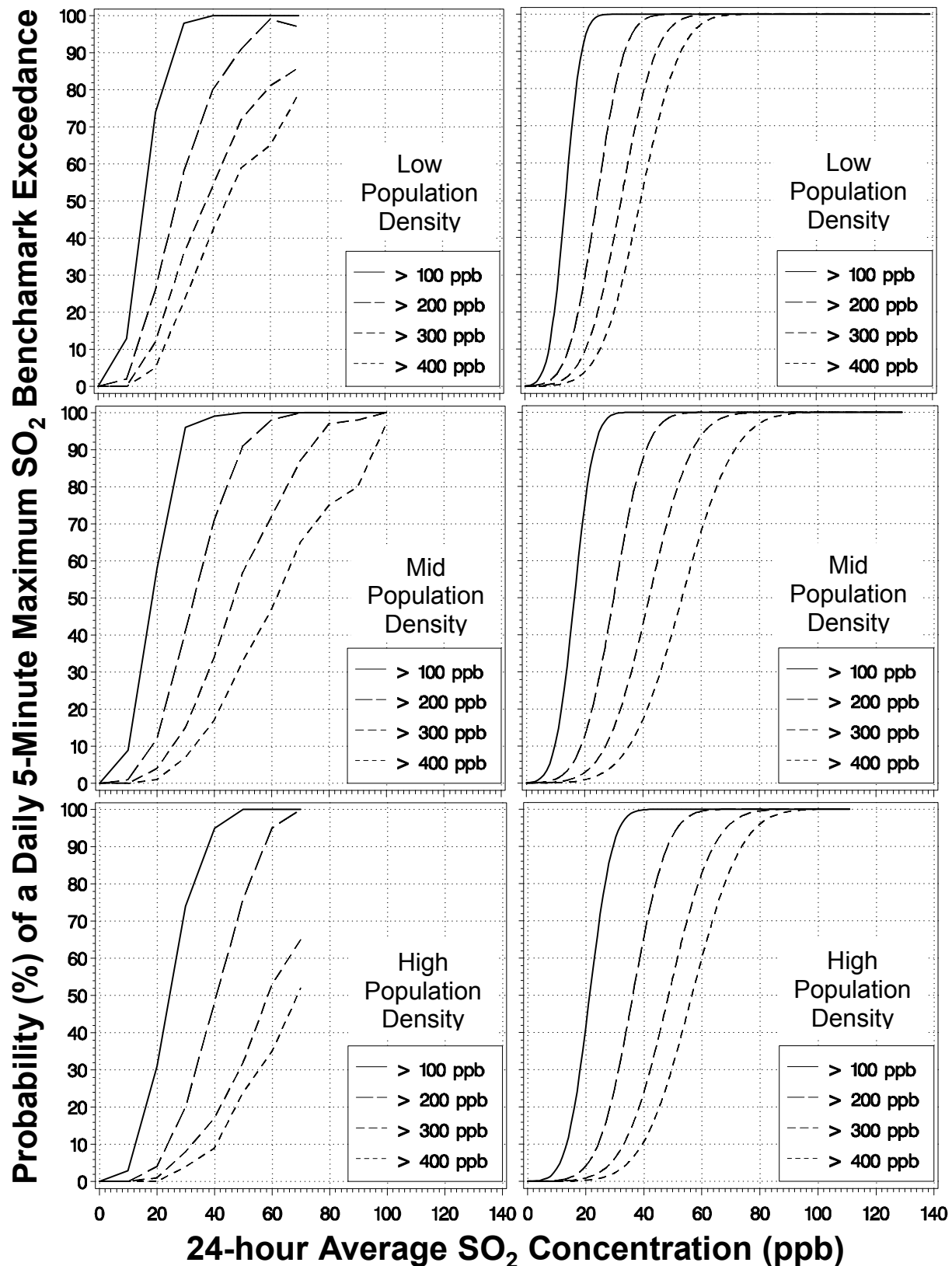


Figure 7-23. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 24-hour average SO<sub>2</sub> concentrations, using empirical data (left) and a fitted log-probit model (right), 1997-2006 air quality *as is*. The 5-minute maximum SO<sub>2</sub> concentrations were modeled from 1-hour measurements collected at 809 ambient monitors and then separated by population density.

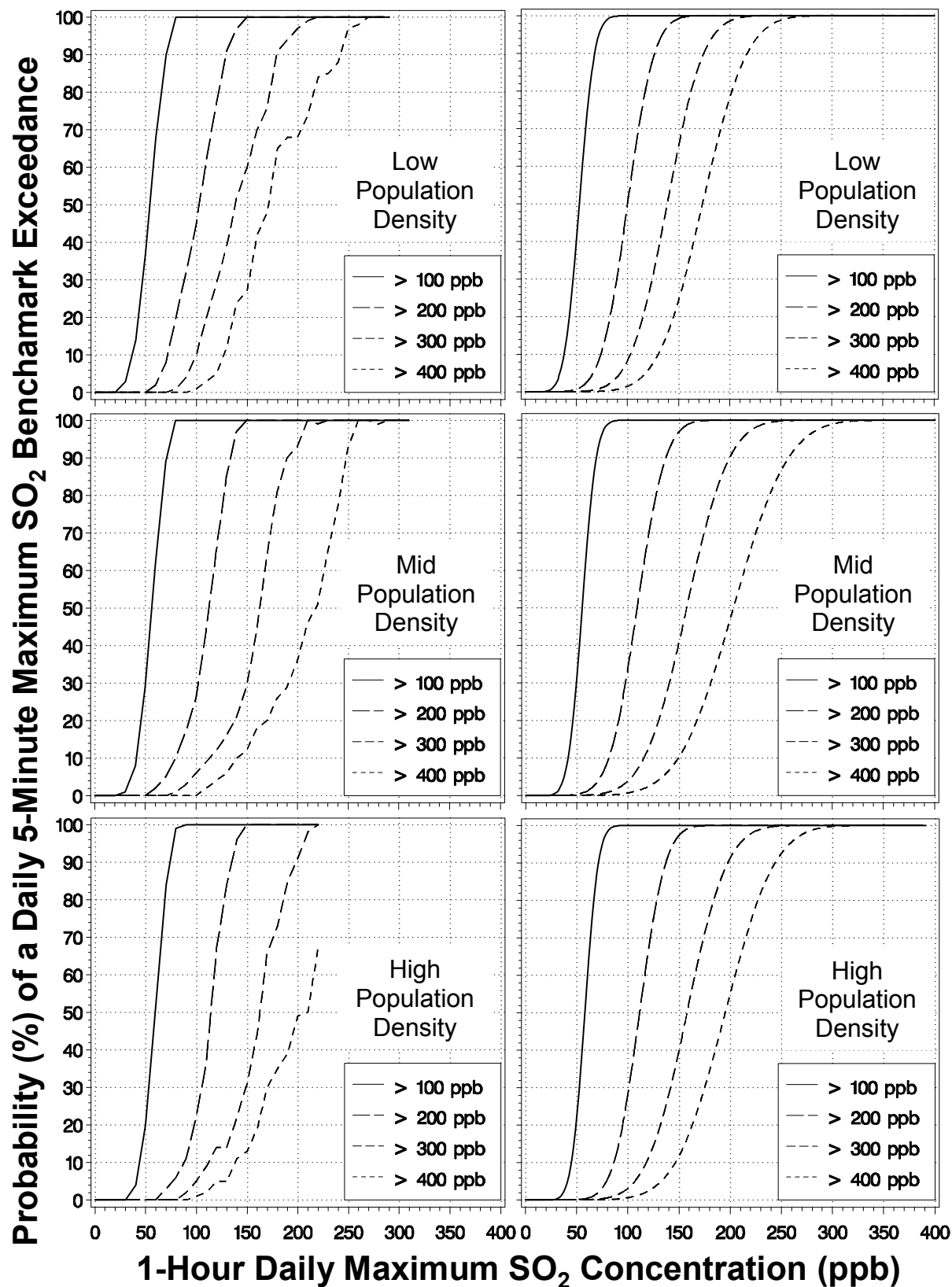


Figure 7-24. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 1-hour daily maximum SO<sub>2</sub> concentrations, using empirical data (left) and a fitted log-probit model (right), 1997-2006 air quality *as is*. The 5-minute maximum SO<sub>2</sub> concentrations were modeled from 1-hour measurements collected at 809 ambient monitors and then separated by population density.

### **7.3.3 Modeled 1-Hour and Modeled 5-minute Maximum SO<sub>2</sub> Concentrations at Ambient Monitors in 40 Counties – Air Quality Adjusted to Just Meet the Current and Potential Alternative Standards**

Staff selected forty counties to analyze 5-minute benchmark exceedances under several air quality scenarios: *as is* air quality and air quality adjusted to just meeting the current and alternative standards. The forty counties were selected using criteria discussed in section 7.2.4. Specifically, we chose the 38 counties with 1-hour ambient monitor SO<sub>2</sub> concentrations nearest the current NAAQS levels and two counties with a high frequency of measured daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels. The 1-hour SO<sub>2</sub> measurement data were from 128 ambient monitors and totaled 610 site-years of monitoring, a subset of data from the broader SO<sub>2</sub> monitoring network (see section 7.3.2). Staff evaluated multiple alternative air quality scenarios by first adjusting the 1-hour ambient monitoring concentrations to just meet a particular standard level (section 7.4). Then, as was done in section 7.3.2, staff performed twenty simulations to estimate the 5-minute maximum SO<sub>2</sub> concentration associated with each 1-hour adjusted concentration using the statistical model described in section 7.2.3. These simulation results were combined to generate a mean estimate for each of the metrics of interest (e.g., the number of days in a year with 5-minute maximum SO<sub>2</sub> concentrations > 200 ppb) selected here as the best estimate from the twenty simulations. Staff 1) evaluated the relationship between annual average concentrations and number of days per year with at least one 5-minute concentration above benchmark levels, 2) summarized the number of days per year with at least one 5-minute concentration above benchmark levels for each air quality scenario, 3) compared number of days per year with at least one 5-minute concentration above benchmark levels using two percentile forms of the potential alternative 1-hour daily maximum standards (i.e., 98<sup>th</sup> and 99<sup>th</sup> percentile), and 4) estimated the probability of having at least one 5-minute concentration above benchmark levels given short-term averaging times (1-hour daily maximum and 24-hour average).

First, staff evaluated the relationship between the short-term peak concentrations and the level of the current annual SO<sub>2</sub> NAAQS in the selected counties. Figure 7-25 illustrates the number of days per year with 5-minute daily maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels along with the corresponding annual average concentrations. Each data point represents a monitor site-year generated from the modeled 5-minute peaks and

air quality adjusted to just meeting the current SO<sub>2</sub> standards. None of the site-years in the selected counties had annual average concentrations above the level of the current NAAQS (30 ppb) by design<sup>46</sup>, however there are many more site-years with a greater number of modeled daily 5-minute maximum SO<sub>2</sub> concentrations above the potential health effect benchmark levels than compared with that of the *as is* air quality. There are a decreasing number of exceedances with increasing benchmark concentrations, though there is a greater proportion of monitors with exceedances when considering concentrations adjusted to just meeting the current standard than when using the *as is* air quality (e.g., see Figure 7-19). When considering concentrations adjusted to just meeting the current standard, there is a stronger relationship between the annual average concentrations and the number of benchmark exceedances than observed previously with the *as is* air quality however, the strength of that relationship weakens with increasing benchmark levels.

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<sup>46</sup> The current annual SO<sub>2</sub> NAAQS is 30 ppb. Concentrations of up to 30.4 ppb are possible due to a rounding convention. This is why there are several data points just to the right of the dashed line in Figure 7-22.



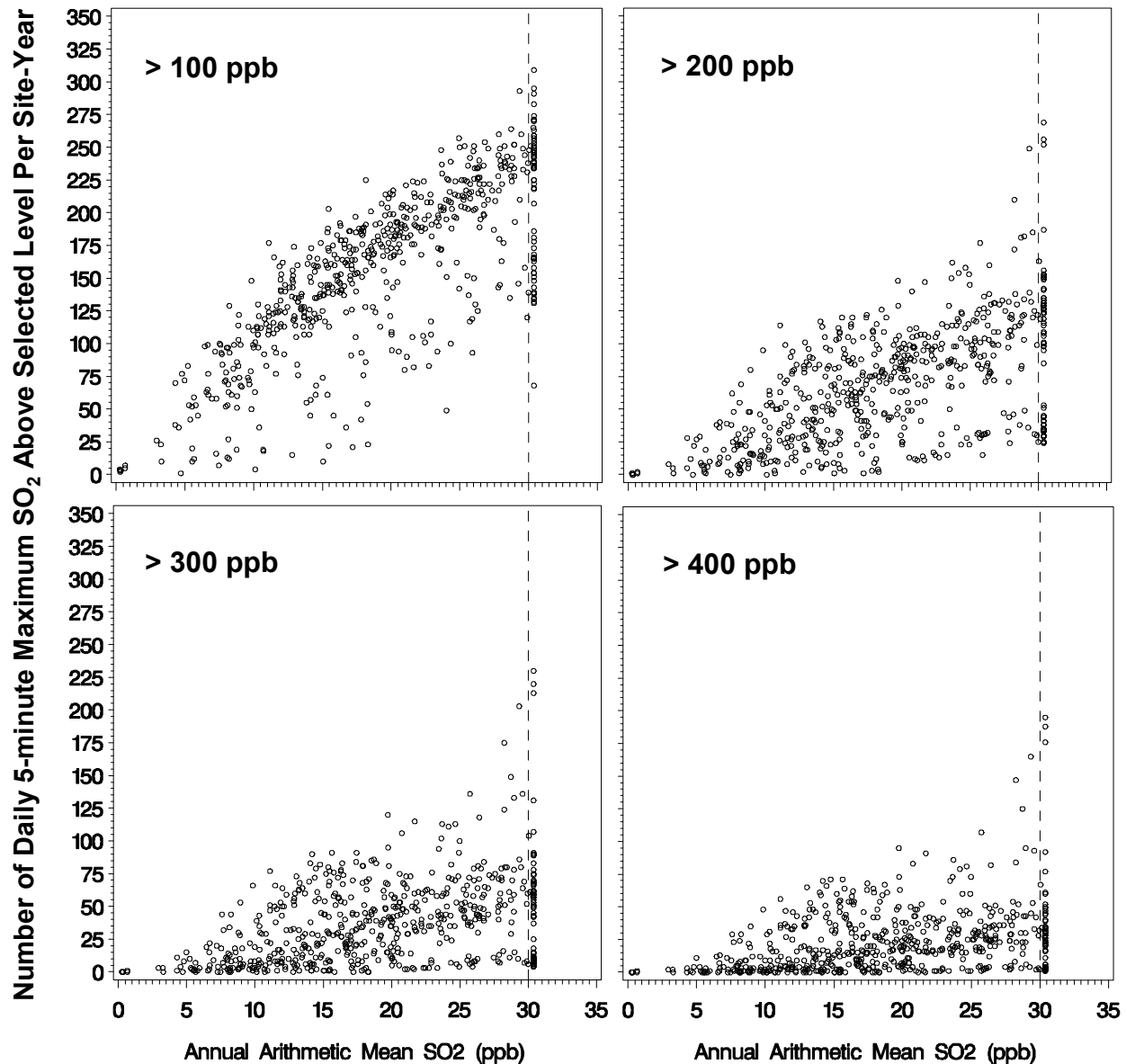


Figure 7-25. The number of days per year with modeled 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels per year at 128 ambient monitors in 40 selected counties given the annual average SO<sub>2</sub> concentration, 2001-2006 air quality adjusted to just meet the current NAAQS. The level of the annual average SO<sub>2</sub> NAAQS of 30 ppb is indicated by the dashed line.

Similar relationships are present between the annual average SO<sub>2</sub> concentrations and the number of benchmark exceedances when considering the potential alternative standards. As a reminder, to just meet the current and potential alternative standards staff estimated a unique adjustment factor to simulate the alternative air quality. The direction of the adjustment factor (either upwards or >1; downwards or <1) and magnitude of the adjustment factor used has a direct impact on the estimated number of 5-minute benchmark exceedances. In general, the air quality distributions that just meet the potential alternative standards were enveloped by the *as is* air quality (i.e., a distribution with low concentrations) and the air quality adjusted to just meeting the current standard (i.e., a distribution with generally high concentrations). Therefore, the estimated number of days with exceedances also fell within the range of exceedances generated using the *as is* air quality or the air quality adjusted to just meet the current standard. For example, a comparison of the annual average SO<sub>2</sub> concentrations and number of daily 5-minute maximum exceedances of 200 ppb is presented in Figure 7-26 for six air quality scenarios: four of the 99<sup>th</sup> percentile 1-hour daily maximum potential alternative standards (i.e., the 100, 150, 200, and 250 ppb); the air quality adjusted to just meet the current standards; and *as is* air quality.

Clearly, in using the air quality adjustment procedure combined with the statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations, the current standard air quality scenario allows for the greatest estimated number of days per year with potential health effect benchmark exceedances (Figure 7-26). However, at a minimum the annual standard does provide protection against annual average concentrations above the level of the current standard. While there were fewer 5-minute benchmark exceedances using the 1-hour daily maximum forms of a potential alternative standard, two of the levels (1-hour daily maximums of 200 and 250 ppb) did not prevent annual average concentrations from exceeding the current annual standard (Figure 7-26). High annual average concentrations become less of an issue when considering the lower levels of the 1-hour daily maximum potential alternative standards. Even though the 99<sup>th</sup> percentile 1-hour daily maximum standards of 100 or 150 ppb allow for greater annual average concentrations than when considering *as is* air quality, all but one site-year are below the level of the current annual standard and there are fewer estimated days per year with benchmark exceedances. These results further demonstrate the stronger relationship 5-minute peak concentrations have with 1-hour SO<sub>2</sub> concentrations than with annual average concentrations.

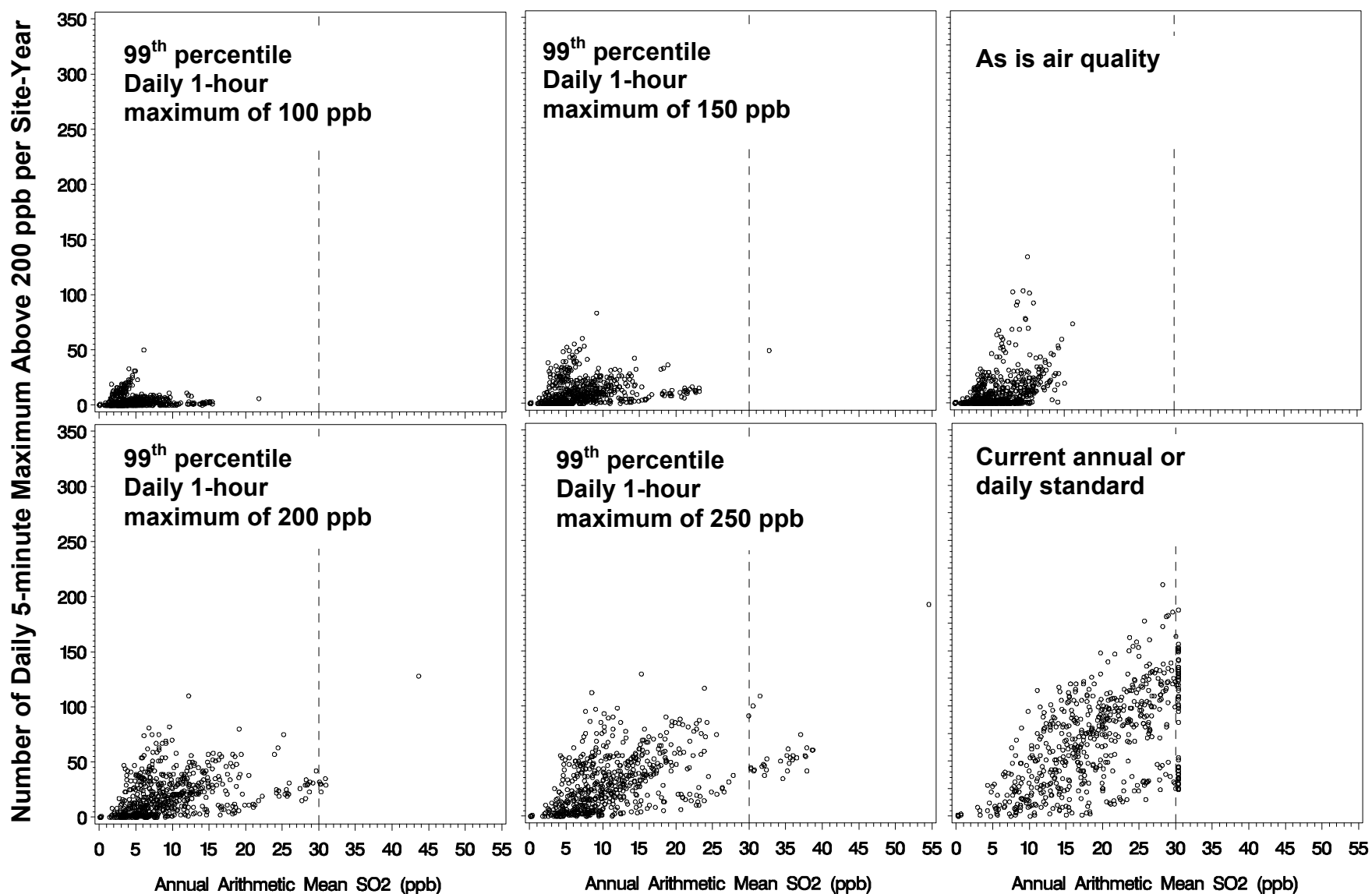


Figure 7-26. The number of modeled daily 5-minute maximum SO<sub>2</sub> concentrations above 200 ppb per year at 128 ambient monitors in 40 selected counties given the annual average SO<sub>2</sub> concentration, 2001-2006 air quality *as is* and that adjusted to just the current and four potential alternative standards (text in graph indicate standard evaluated). The level of the annual average SO<sub>2</sub> NAAQS of 30 ppb is indicated by the dashed line.

**Table 7-10. Percent of days having a modeled daily 5-minute maximum SO<sub>2</sub> concentration above the potential health effect benchmark levels given air quality *as is* and air quality adjusted to just meeting the current and each of the potential alternative standards.**

Air Quality Scenario <sup>1</sup>	Percent of Days With Daily 5-minute Maximum SO <sub>2</sub> Concentrations Above Benchmark Levels			
	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
<i>as is</i>	9.1	2.4	0.9	0.5
CS	41.0	17.2	9.1	5.3
99-50	0.7	0.0	0.0	0.0
99-100	4.5	0.7	0.2	0.0
98-100	6.9	1.2	0.3	0.1
99-150	10.6	2.2	0.7	0.3
99-200	17.2	4.5	1.6	0.7
99-250	23.6	7.4	2.9	1.3
98-200	22.5	6.9	2.6	1.2
<b>Notes:</b> <sup>1</sup> <i>as is</i> air quality is unadjusted; CS is air quality adjusted to just meet the current standard; x-y are the x <sup>th</sup> percentile form of a 1-hour daily maximum level of y.				

Second, staff summarized the number of days per year with 5-minute maximum SO<sub>2</sub> concentrations above benchmark levels within the 40-county data set for additional comparisons of the air quality scenarios. Table 7-10 provides the percent of all days above each of the benchmark levels considering each of the air quality scenarios. Again, the scenario where air quality just meets the current standard has the greatest percent of days with benchmark exceedances. With each progressive decrease in the 1-hour daily maximum SO<sub>2</sub> concentration levels of the potential alternative standards, there are fewer days with benchmark exceedances. The percent of all days with benchmark exceedances using *as is* air quality was between a potential 1-hour daily maximum alternative standard level of 100 and 150 ppb (99<sup>th</sup> percentile form), or similar to that of the 98<sup>th</sup> percentile form at a level of 100 ppb.

Third, staff evaluated two forms of the potential alternative standards: the 99<sup>th</sup> and 98<sup>th</sup> percentile forms, each having a 1-hour daily maximum level of either 100 or 200 ppb. For example, Figure 7-27 indicates that nearly all site-years have a greater estimated number of days per year with benchmark exceedances given the 98<sup>th</sup> percentile form when compared with a 99<sup>th</sup> percentile form at the same level. This is expected given the number of allowable 1-hour SO<sub>2</sub> concentrations above the 200 ppb level for each of the percentile forms. The two air quality scenarios were compared on a monitor-to-monitor basis and on average, the 98<sup>th</sup> percentile form allowed for approximately 46, 68, 84, and 86% more benchmark exceedances considering the

100, 200, 300, and 400 ppb benchmark levels, respectively when compared with the 99<sup>th</sup> percentile form.

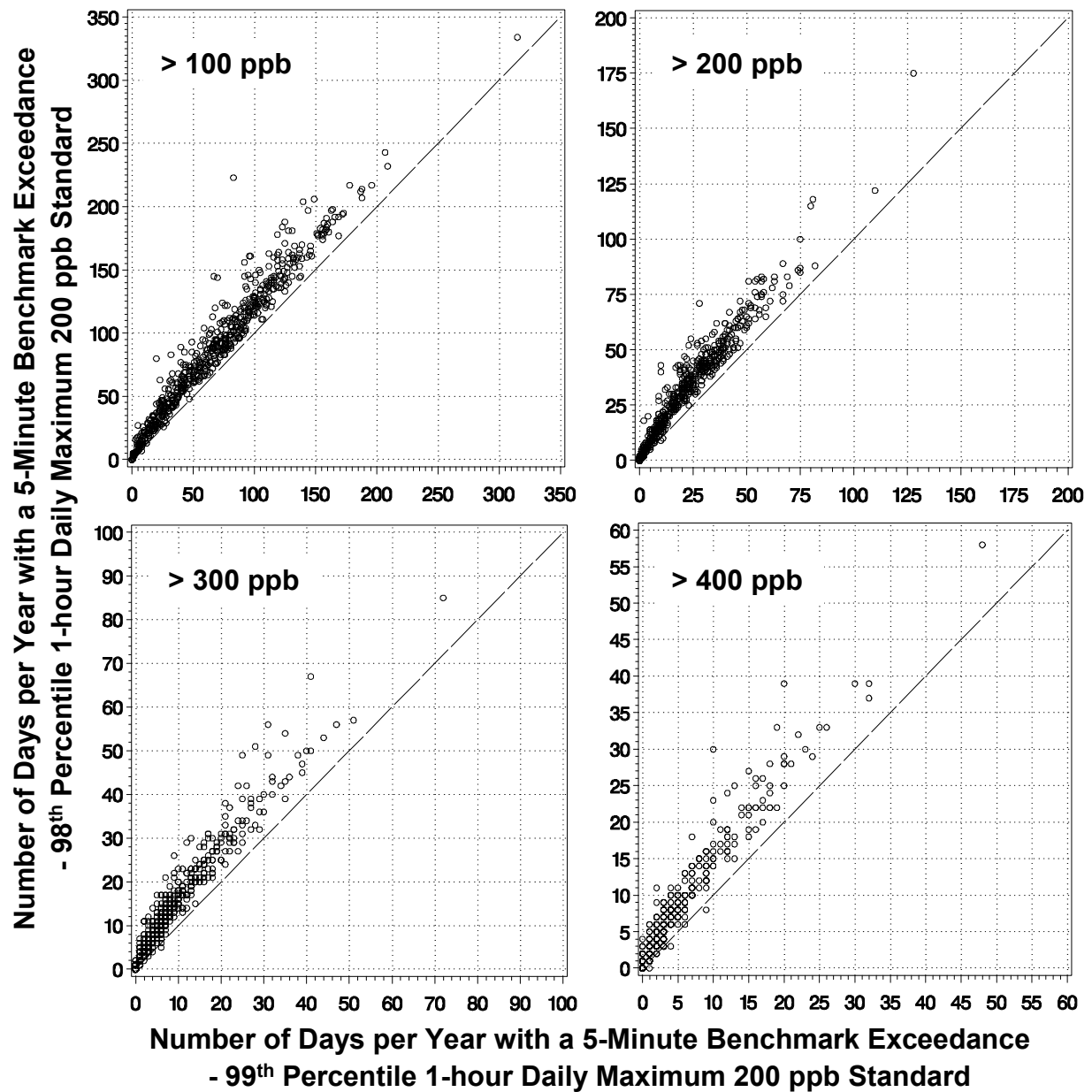


Figure 7-27. The number of days per year with modeled 5-minute maximum SO<sub>2</sub> concentrations above benchmark levels given the 99<sup>th</sup> and 98<sup>th</sup> percentile forms, using the 40-county air quality data set adjusted to just meet a 1-hour daily maximum level of 200 ppb.

When a 1-hour daily maximum level of 100 ppb was considered, on average the 98<sup>th</sup> percentile form of the potential alternative standard allowed for approximately 68, 90, 84, and

74% more benchmark exceedances at each monitor considering the 100, 200, 300, and 400 ppb benchmark levels, respectively when compared with the 99<sup>th</sup> percentile form. While generally there were greater differences in the percent of exceedances for the two forms when considering the 100 ppb level compared with the 200 ppb level, there were far fewer site-years with benchmark exceedances (Figure 7-28).

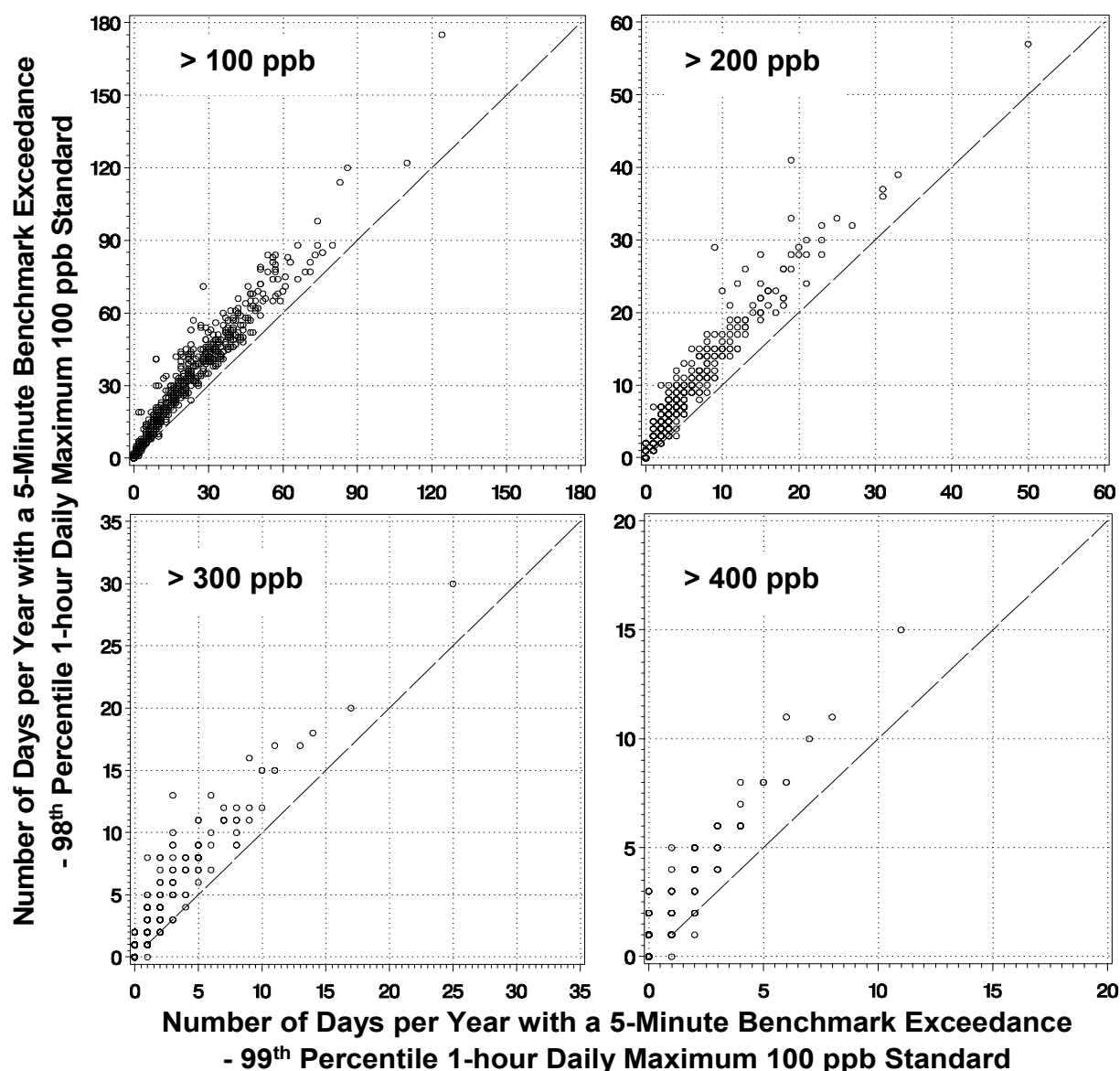


Figure 7-28. The number of days per year with modeled 5-minute maximum SO<sub>2</sub> concentrations above benchmark levels given the 99<sup>th</sup> and 98<sup>th</sup> percentile forms, using the 40-county air quality data set adjusted to just meet a 1-hour daily maximum level of 100 ppb.

Fourth, staff estimated the probability of potential health effect benchmark exceedances given the adjusted air quality scenarios and short-term averaging times. Again, patterns in the curves were consistent with what was observed and described previously; monitors within low-population density areas had steeper probability curves compared with those in higher population density areas. Further, there were similarities in the shape and the steepness of the curves when comparing the adjusted air quality probability curves with the curves developed from the corresponding *as is* air quality. Therefore, for the sake of brevity, all of the probability curves for each of the alternative standards are not presented. However, there were some differences in the probability curves worthy of presentation and discussion, using the empirically-based curves for the demonstration.

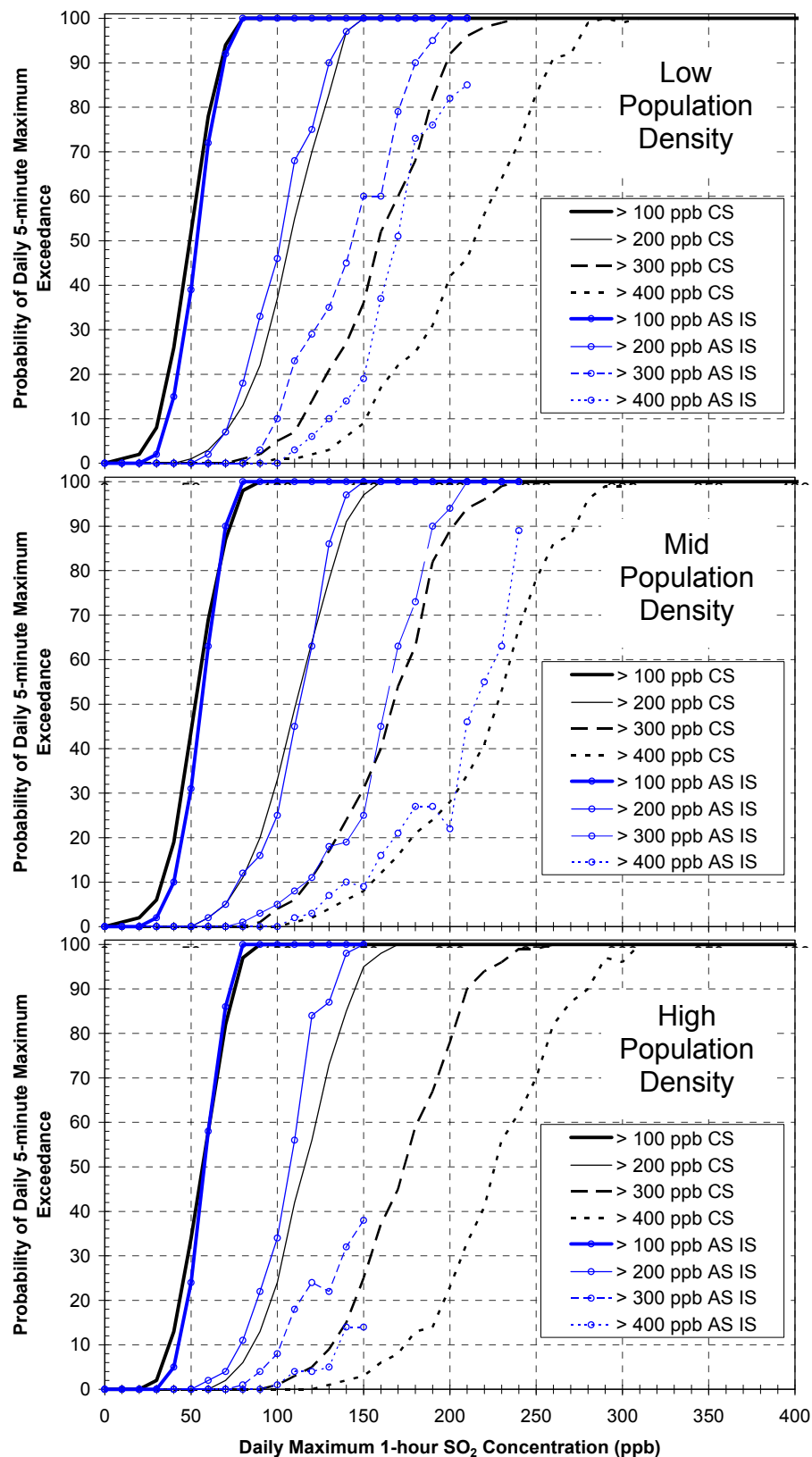
Figure 7-29 presents the probability of a 5-minute benchmark exceedance using *as is* air quality and air quality adjusted to just meet the current standard, given 1-hour daily maximum SO<sub>2</sub> concentrations. In general, all of the corresponding probability curves for all of the air quality scenarios overlap when considering the 100 and 200 ppb benchmark levels. However, the probability curves associated with exceeding the 300 and 400 ppb benchmark levels were of similar slope, but shifted to the left when considering the *as is* air quality compared with the current standard scenario. This is likely a function of the non-linear form of the statistical model used to estimate the 5-minute maximum SO<sub>2</sub> concentrations, the proportional adjustment procedure to simulate alternative standards, and the form of the air quality characterization metric used.

When adjusting the 1-hour SO<sub>2</sub> concentrations upwards using a proportional factor, a corresponding proportional increase in the number of days per year with benchmark exceedances does not necessarily follow. The statistical model uses multiple distributions of PMRs, not linearly related to 1-hour SO<sub>2</sub> concentrations. Certainly, the total number of days in a year with benchmark exceedances will increase with an upward adjustment of air quality, and does so as observed in Figure 7-26. However, the greatest proportion of monitoring days within any of the air quality scenarios is comprised of days without an exceedance (see Table 7-10). The frequency of exceedances of the higher benchmarks is very low using the *as is* air quality; the few added days with estimated exceedances of 300 or 400 ppb using the simulated air quality is not proportional to the universal increase in hourly concentrations applied to all 1-hour concentrations. Therefore the probability curves tend to be less steep with the upward 1-hour

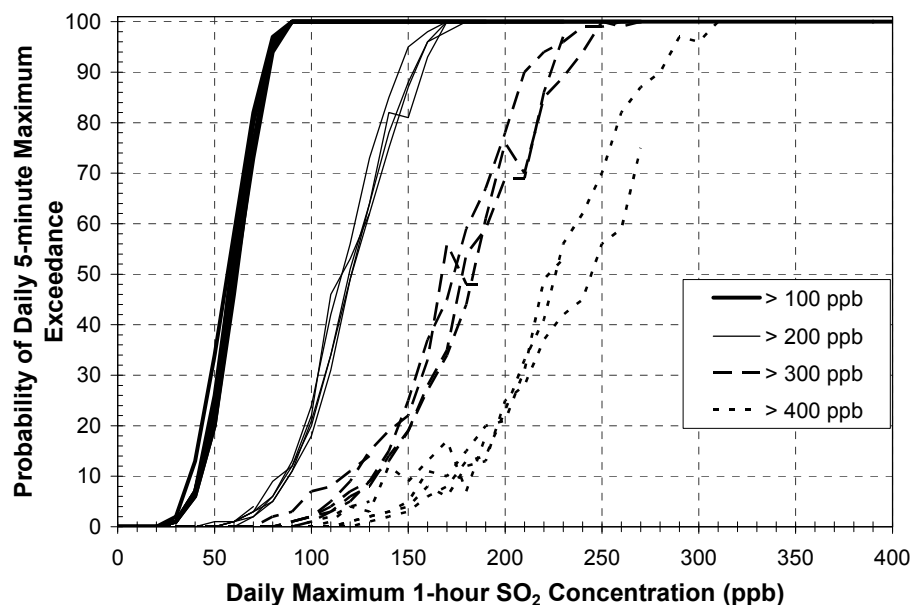
concentration adjustments when considering the higher benchmark levels. Furthermore, days already having an exceedance are only counted once, that is, if there were an exceedance on a given day using the *as is* air quality, it is likely that the same day would also have an exceedance using the adjusted air quality, only it is associated with a greater 1-hour (or 24-hour average) concentration. Again, the 1-hour concentrations are increased without corresponding proportional increase in the number of exceedances when comparing the two air quality scenarios. Conversely, it could also be argued that there may be an increased probability of daily 5-minute exceedances of 300 and 400 ppb when using air quality with a relatively low concentration distribution (such as with the *as is* air quality) compared with a distribution of higher concentrations (such as with the current standard scenario). However, it should be noted that the total number of benchmark level exceedances in a year (and the absence of exceedances at the same high 1-hour daily maximum concentration) under either of these scenarios would be very few, with far fewer numbers of exceedances associated with the relatively low concentration air quality.

This discussion of probability curves can be extended to each of the potential alternative standards. For example, Figure 7-30 illustrates a range in each of the probability curves given each of the alternative air quality scenarios and using monitors sited within high-population density areas. The 100 and 200 ppb benchmark level probability curves exhibit a narrow range across each of the adjusted air quality scenarios. While the estimated 300 and 400 ppb probability curves are wider than the 100 and 200 ppb curves, there is still agreement in the estimated probabilities at many of the 1-hour daily maximum SO<sub>2</sub> values. The range in probability curves tended to be widest at the lowest probabilities/1-hour daily maximum SO<sub>2</sub> concentrations within a given benchmark, likely indicating a greater uncertainty in the relationship between exceedance of the daily 5-minute maximum SO<sub>2</sub> concentrations of 300 and 400 ppb and 1-hour daily maximum SO<sub>2</sub> concentrations less than 130 ppb and 180 ppb, respectively.





**Figure 7-29. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 1-hour daily maximum SO<sub>2</sub> concentrations, 2001-2006 air quality *as is* and that adjusted to just meet the current NAAQS. The 5-minute maximum concentrations were modeled from 1-hour measurements collected at 128 ambient monitors from 40 selected counties and then separated by population density within 5 km of monitors.**



**Figure 7-30. Probability of daily 5-minute maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels given 1-hour daily maximum SO<sub>2</sub> concentrations, 2001-2006 air quality adjusted to just meet the current and each of the potential alternative standards (99<sup>th</sup> percentile form). The 5-minute maximum concentrations were modeled from 1-hour measurements collected at 128 ambient monitors from 40 selected counties, high-population density monitors.**

While there are similarities in the probability of daily 5-minute maximum benchmark exceedances for each of the potential alternative standard scenarios given either the 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations, there are large differences in the total number of exceedances given a particular county and air quality scenario. Table 7-11 presents the mean number of days in a year where the daily 5-minute maximum SO<sub>2</sub> concentration was above 100 ppb in each of the 40 selected counties and for all air quality scenarios. In considering air quality adjusted to just meeting the current standard and the level of the highest potential alternative standards (200 and 250 ppb 1-hour daily maximum), counties such as Hudson NJ, Tulsa OK, and Wayne WV were estimated to have the greatest number of benchmark exceedances. On average there would be between 100 and 200 days of the year with 5-minute maximum SO<sub>2</sub> concentrations above 100 ppb in these counties. Most of the other locations though had fewer than 100 benchmark exceedances in a year, particularly when considering the two potential alternative 1-hour daily maximum standards. Air quality simulating just meeting the current standard was associated with the greatest number of estimated exceedances at most locations. This consistent pattern was observed with each of the benchmark levels (see below) indicating the limited influence the current standard has on the estimated number of 5-minute benchmark

exceedances. Decreases in the potential alternative standard level corresponded with decreases in the number of days per year with benchmark exceedances. Most counties have fewer mean estimated 5-minute benchmark exceedances of 100 ppb using air quality adjusted to just meeting the 99<sup>th</sup> percentile daily 1-hour maximum concentration of 100 ppb, than that estimated using the *as is* air quality. There were 11 counties that only achieve reduction in the number of benchmark level exceedances from *as is* air quality when considering the 99<sup>th</sup> percentile daily 1-hour maximum concentration of 50 ppb. This means that to improve current air quality in most locations, a level below 100 ppb would need to be selected when using a 99<sup>th</sup> percentile 1-hour daily maximum standard form.

In addition, the two percentile forms of the alternative standards (98<sup>th</sup> and 99<sup>th</sup>) were evaluated each at two 1-hour daily maximum standard levels (100 and 200 ppb) (Table 7-11). The estimated number of exceedances using a 98<sup>th</sup> percentile 1-hour daily maximum alternative standard level of 100 ppb fell within those estimated using 99<sup>th</sup> percentile levels of 100 and 150 ppb. The estimated number of exceedances using a 98<sup>th</sup> percentile 1-hour daily maximum alternative standard level of 200 ppb was similar to the 99<sup>th</sup> percentile using a 250 ppb 1-hour concentration level. Both of these patterns were consistent when comparing the different standard forms for each the 5-minute benchmarks (see Tables 7-12 through 7-14).

There were fewer estimated exceedances of 200 ppb given the potential alternative standards than compared with the current standard scenario (Table 7-12). Most counties had fewer than forty days per year with 5-minute SO<sub>2</sub> concentrations above 200 ppb considering the 1-hour daily maximum standards, while the number of exceedances was approximately double that when using air quality adjusted to just meet the current standard. With progressive decreases in the 1-hour daily maximum standard level, the number of days per year with 5-minute maximum SO<sub>2</sub> concentrations also decreases. In 75% of counties, the estimated number of benchmark exceedances using *as is* air quality was above that estimated using 1-hour daily maximum standard level of 100 ppb. The 99<sup>th</sup> percentile 1-hour daily maximum concentration level of 50 ppb was associated with the fewest days with 5-minute maximum SO<sub>2</sub> concentrations above 200 ppb. On average most locations had zero exceedances of the 200 ppb benchmark level.

Similar results are presented for each the 300 ppb (Table 7-13) and the 400 ppb (Table 7-14) 5-minute benchmark levels, though the difference in the number of exceedances between the

current standard and the other air quality scenarios is much greater than was observed for the lower benchmark levels. Most counties had a 5-fold (or greater) number of days with daily 5-minute maximum SO<sub>2</sub> concentrations above 300 or 400 ppb when considering air quality just meeting the current standard compared with air quality adjusted to just meet the 99<sup>th</sup> percentile 1-hour daily maximum level of 250 ppb. The number of exceedances given *as is* air quality was still within the range of values estimated using the potential standard levels of 100 and 200 ppb; in most counties it was fewer than 10 days per year. Most counties did not have any estimated days per year with 5-minute maximum SO<sub>2</sub> concentrations above 400 ppb given a 99<sup>th</sup> percentile 1-hour daily maximum of 100 ppb, while 75% of the counties had 1 or fewer exceedances of 300 ppb considering this same potential alternative standard.

**Table 7-11. Modeled mean number of days per year with 5-minute maximum concentrations above 100 ppb in 40 selected counties given 2001-2006 air quality *as is* and air quality adjusted to just meet the current and alternative standards.**

State	County	<i>as is</i> <sup>1</sup>	CS <sup>1</sup>	99th percentile <sup>1</sup>					98th percentile <sup>1</sup>	
				50	100	150	200	250	100	200
AZ	Gila	119	234	9	36	63	89	111	47	107
DE	New Castle	21	123	1	8	19	34	50	12	46
FL	Hillsborough	22	127	3	12	23	37	50	18	53
IL	Madison	24	166	1	11	25	42	60	18	61
IL	Wabash	42	139	6	17	30	43	54	29	64
IN	Floyd	47	211	8	24	43	62	81	34	83
IN	Gibson	58	122	8	23	37	50	63	29	61
IN	Lake	17	186	3	20	41	64	91	31	93
IN	Vigo	27	184	2	12	27	44	63	21	68
IA	Linn	29	103	8	25	42	56	68	32	66
IA	Muscatine	34	123	9	26	41	54	68	32	65
MI	Wayne	29	134	2	18	40	62	80	25	76
MO	Greene	20	92	8	24	37	47	59	30	57
MO	Iron	65	108	9	30	40	48	55	34	54
MO	Jefferson	70	150	6	22	37	50	61	31	61
NH	Merrimack	46	118	7	31	52	68	81	37	76
NJ	Hudson	3	145	1	20	62	111	161	35	150
NJ	Union	2	117	1	16	51	98	141	25	122
NY	Bronx	8	124	2	28	71	115	155	39	137
NY	Chautauqua	38	172	6	18	33	50	70	23	65
NY	Erie	60	163	13	34	52	68	83	39	75
OH	Cuyahoga	16	203	2	23	55	93	122	39	129
OH	Lake	44	164	3	20	41	61	80	27	73
OH	Summit	51	198	3	23	51	81	110	30	96
OK	Tulsa	26	202	4	43	93	133	162	62	154
PA	Allegheny	30	159	1	8	22	41	65	12	58
PA	Beaver	76	194	2	11	30	55	83	18	79
PA	Northampton	14	130	2	25	56	87	114	41	127
PA	Warren	63	110	3	17	33	48	62	25	62
PA	Washington	25	185	2	21	53	88	125	29	110
TN	Blount	62	116	3	19	42	63	83	26	75
TN	Shelby	11	144	3	13	26	39	53	21	57
TN	Sullivan	75	201	2	20	49	74	94	40	100
TX	Jefferson	24	132	3	19	40	58	75	24	68
VA	Fairfax	0	109	1	17	54	98	143	29	129
WV	Brooke	76	220	3	25	62	101	140	40	135
WV	Hancock	78	207	2	21	52	86	118	32	110
WV	Monongalia	39	172	3	15	26	38	50	22	54
WV	Wayne	30	201	4	33	83	138	180	47	166
VI	St Croix	8	67	1	4	11	20	30	10	37

**Notes:**

<sup>1</sup> These are the air quality scenarios evaluated: *as is* is unadjusted air quality; CS is air quality adjusted to just meet the current standard; the levels of the two percentile forms (99<sup>th</sup> and 98<sup>th</sup>) of a 1-hour daily maximum potential alternative standard are given.

**Table 7-12. Mean number of modeled days per year with 5-minute maximum concentrations above 200 ppb in 40 selected counties given 2001-2006 air quality *as is* and that adjusted to just meet the current and alternative standards.**

State	County	<i>as is</i> <sup>1</sup>	CS <sup>1</sup>	99th percentile <sup>1</sup>					98th percentile <sup>1</sup>	
				50	100	150	200	250	100	200
AZ	Gila	55	171	0	9	22	36	49	15	47
DE	New Castle	4	38	0	1	4	8	13	2	12
FL	Hillsborough	6	50	1	3	7	12	17	5	18
IL	Madison	5	66	0	1	5	11	17	3	18
IL	Wabash	17	75	1	6	11	17	23	11	29
IN	Floyd	17	117	1	7	16	24	33	12	34
IN	Gibson	28	70	1	8	16	22	30	11	29
IN	Lake	2	80	0	3	10	20	31	6	31
IN	Vigo	6	90	0	2	6	12	19	4	21
IA	Linn	10	53	2	8	17	25	34	12	33
IA	Muscatine	14	57	1	9	18	26	34	12	32
MI	Wayne	5	61	0	2	9	18	29	4	25
MO	Greene	6	47	1	8	16	24	31	12	30
MO	Iron	44	77	0	9	21	29	36	13	34
MO	Jefferson	38	99	0	6	14	22	29	11	31
NH	Merrimack	14	68	1	7	18	30	42	10	37
NJ	Hudson	0	31	0	1	7	20	39	3	34
NJ	Union	0	22	0	1	6	15	31	2	24
NY	Bronx	0	32	0	2	11	27	48	3	38
NY	Chautauqua	15	88	1	6	11	18	25	8	24
NY	Erie	29	86	2	13	24	34	43	15	38
OH	Cuyahoga	1	85	0	2	10	23	38	5	38
OH	Lake	11	71	0	3	10	20	30	4	26
OH	Summit	11	96	0	3	12	24	37	4	31
OK	Tulsa	2	112	0	5	19	42	69	9	62
PA	Allegheny	5	52	0	1	3	8	14	1	12
PA	Beaver	17	88	0	2	5	11	20	3	18
PA	Northampton	2	40	0	3	10	25	40	5	41
PA	Warren	25	52	0	3	9	17	25	6	25
PA	Washington	3	66	0	2	10	21	36	4	29
TN	Blount	19	54	0	3	10	20	31	4	26
TN	Shelby	2	35	0	3	7	13	20	5	21
TN	Sullivan	21	121	0	2	9	21	35	6	39
TX	Jefferson	5	71	0	3	10	19	29	5	25
VA	Fairfax	0	21	0	1	6	17	34	2	28
WV	Brooke	16	96	0	3	12	26	43	6	40
WV	Hancock	17	96	0	2	9	21	36	4	32
WV	Monongalia	15	63	0	3	9	15	21	6	22
WV	Wayne	3	71	0	4	16	33	58	6	48
VI	St Croix	2	24	0	1	3	4	7	2	10

**Notes:**

<sup>1</sup> These are the air quality scenarios evaluated: *as is* is unadjusted air quality; CS is air quality adjusted to just meet the current standard; the levels of the two percentile forms (99<sup>th</sup> and 98<sup>th</sup>) of a 1-hour daily maximum potential alternative standard are given.

**Table 7-13. Mean number of modeled days per year with 5-minute maximum concentrations above 300 ppb in 40 selected counties given 2001-2006 air quality *as is* and that adjusted to just meet the current and alternative standards.**

State	County	<i>as is</i> <sup>1</sup>	CS <sup>1</sup>	99th percentile <sup>1</sup>					98th percentile <sup>1</sup>	
				50	100	150	200	250	100	200
AZ	Gila	31	130	0	2	9	18	27	4	25
DE	New Castle	1	17	0	0	1	3	5	1	5
FL	Hillsborough	3	27	0	1	3	6	8	2	9
IL	Madison	1	35	0	0	1	4	7	1	7
IL	Wabash	9	50	0	2	6	9	13	6	17
IN	Floyd	8	75	0	3	8	13	18	5	19
IN	Gibson	16	47	0	3	7	13	18	4	17
IN	Lake	0	42	0	1	3	8	13	2	13
IN	Vigo	2	49	0	1	2	5	8	1	9
IA	Linn	5	35	0	4	8	14	19	6	19
IA	Muscatine	6	39	0	4	9	15	20	6	19
MI	Wayne	1	32	0	0	2	6	12	1	10
MO	Greene	2	32	0	3	7	13	19	5	18
MO	Iron	33	61	0	1	9	17	24	4	23
MO	Jefferson	24	72	0	1	6	11	17	4	18
NH	Merrimack	5	46	0	3	7	14	22	4	19
NJ	Hudson	0	7	0	0	1	4	10	0	9
NJ	Union	0	5	0	0	1	3	8	0	6
NY	Bronx	0	9	0	0	2	7	16	0	11
NY	Chautauqua	9	52	0	2	6	10	13	3	12
NY	Erie	17	59	0	5	13	20	27	6	24
OH	Cuyahoga	0	39	0	0	2	7	13	1	13
OH	Lake	3	41	0	0	2	7	13	1	10
OH	Summit	2	51	0	1	3	8	15	1	12
OK	Tulsa	0	60	0	1	4	12	26	2	22
PA	Allegheny	1	21	0	0	1	2	4	0	4
PA	Beaver	6	42	0	0	2	4	7	1	6
PA	Northampton	1	16	0	1	3	7	15	1	14
PA	Warren	11	31	0	1	3	7	11	1	11
PA	Washington	1	28	0	0	2	7	13	1	10
TN	Blount	7	28	0	0	3	7	13	1	10
TN	Shelby	0	19	0	1	3	6	9	2	10
TN	Sullivan	7	83	0	0	2	6	12	2	15
TX	Jefferson	1	43	0	1	3	7	13	1	10
VA	Fairfax	0	5	0	0	1	4	9	0	7
WV	Brooke	5	45	0	1	4	8	16	2	15
WV	Hancock	4	48	0	0	2	6	12	1	10
WV	Monongalia	7	36	0	1	3	6	11	2	12
WV	Wayne	1	31	0	1	4	10	21	1	16
VI	St Croix	0	11	0	0	1	2	3	1	4

**Notes:**

<sup>1</sup> These are the air quality scenarios evaluated: *as is* is unadjusted air quality; CS is air quality adjusted to just meet the current standard; the levels of the two percentile forms (99<sup>th</sup> and 98<sup>th</sup>) of a 1-hour daily maximum potential alternative standard are given.

**Table 7-14. Mean number of modeled days per year with 5-minute maximum concentrations above 400 ppb in 40 selected counties given 2001-2006 air quality *as is* and that adjusted to just meet the current and alternative standards.**

State	County	<i>as is</i> <sup>1</sup>	CS <sup>1</sup>	99th percentile <sup>1</sup>					98th percentile <sup>1</sup>	
				50	100	150	200	250	100	200
AZ	Gila	18	102	0	0	3	9	15	1	14
DE	New Castle	0	9	0	0	0	1	2	0	2
FL	Hillsborough	2	17	0	1	2	3	5	1	5
IL	Madison	0	21	0	0	0	1	3	0	3
IL	Wabash	6	36	0	1	4	6	8	3	10
IN	Floyd	5	52	0	1	4	8	11	3	12
IN	Gibson	10	34	0	1	4	8	11	2	12
IN	Lake	0	23	0	0	1	3	6	1	6
IN	Vigo	1	30	0	0	1	2	3	1	4
IA	Linn	2	24	0	2	5	9	12	3	12
IA	Muscatine	3	28	0	2	5	9	13	2	12
MI	Wayne	0	18	0	0	1	2	5	0	4
MO	Greene	1	23	0	1	4	8	12	2	11
MO	Iron	25	50	0	0	3	9	15	1	13
MO	Jefferson	16	54	0	0	2	6	10	1	11
NH	Merrimack	2	31	0	1	3	7	12	1	10
NJ	Hudson	0	2	0	0	0	1	3	0	3
NJ	Union	0	1	0	0	0	1	2	0	2
NY	Bronx	0	2	0	0	0	2	5	0	3
NY	Chautauqua	6	34	0	1	3	6	9	2	8
NY	Erie	10	44	0	2	7	13	18	3	15
OH	Cuyahoga	0	19	0	0	1	2	5	0	5
OH	Lake	1	25	0	0	1	3	6	0	4
OH	Summit	1	30	0	0	1	3	6	0	5
OK	Tulsa	0	30	0	0	1	4	10	0	8
PA	Allegheny	0	10	0	0	0	1	2	0	1
PA	Beaver	3	22	0	0	1	2	3	0	3
PA	Northampton	0	7	0	0	1	3	6	1	5
PA	Warren	5	19	0	0	1	3	6	0	6
PA	Washington	0	13	0	0	1	2	5	0	4
TN	Blount	3	15	0	0	1	3	5	0	4
TN	Shelby	0	12	0	0	1	3	5	1	5
TN	Sullivan	3	58	0	0	1	2	5	0	6
TX	Jefferson	1	27	0	0	1	3	6	1	5
VA	Fairfax	0	1	0	0	0	1	3	0	2
WV	Brooke	2	24	0	0	1	3	7	0	7
WV	Hancock	1	25	0	0	0	2	5	0	4
WV	Monongalia	3	25	0	0	1	3	5	1	6
WV	Wayne	0	14	0	0	1	4	8	0	6
VI	St Croix	0	6	0	0	0	1	2	0	2

**Notes:**

<sup>1</sup> These are the air quality scenarios evaluated: *as is* is unadjusted air quality; CS is air quality adjusted to just meet the current standard; the levels of the two percentile forms (99<sup>th</sup> and 98<sup>th</sup>) of a 1-hour daily maximum potential alternative standard are given.



## 7.4 VARIABILITY ANALYSIS AND UNCERTAINTY CHARACTERIZATION

As discussed in section 6.6, there can be variability and uncertainty in risk and exposure assessments. This section presents a summary of and associated discussions regarding the degree to which variability was incorporated in the air quality analyses and how the uncertainty was characterized for the estimated air quality benchmark exceedances.

### 7.4.1 Variability Analysis

To the maximum extent possible given the data, time, and resources available for the assessment, staff accounted for variability within the two main components of the air quality characterization: the ambient monitoring concentrations and the statistical model used to estimate 5-minute maximum SO<sub>2</sub> concentrations. The variability accounted for in this analysis is summarized in Table 7-15.

**Table 7-15. Summary of how variability was incorporated into the air quality characterization.**

Component	Variability	Comment
Ambient SO <sub>2</sub> Monitoring Data	Temporal: 10 to 11 years of 1-hour and 5-minute monitoring data	Broader SO <sub>2</sub> monitoring network and monitors reporting 5-minute maximum concentrations. Subset of 40 counties for detailed analyses comprised two 3-year periods (2001-2003; 2004-2006)
	Spatial: 48 states plus 3 US territories totaling 407 counties.	Broader SO <sub>2</sub> monitoring network. Other analyses considered monitor results separated by population density. Subset of 40 counties for detailed analyses comprised 18 states and 1 US territory.
	9 air quality scenarios	40 county analysis included air quality <i>as is</i> , just meeting the current standard and 5 levels (50, 100, 150, 200, 250 ppb) of two percentile forms (98 <sup>th</sup> and 99 <sup>th</sup> ); effectively creating a varying decision surface.
5-Minute Peak Statistical Model	19 peak-to-mean (PMR) distributions	PMR distributions used non-parametric form derived from measurement data (complete range of values from 1 to <12). Three monitor concentration variability bins used as a surrogate for variability in local source emissions, along with seven concentration bins. Twenty simulations using random sampling generated a best estimate of exceedances per site-year of data.

### 7.4.2 Uncertainty Characterization

As discussed in section 6.6, the approach for evaluating uncertainty was adapted from guidelines outlining how to conduct a qualitative uncertainty characterization (WHO, 2008). Staff selected the mainly qualitative approach given the limited data available to inform a

probabilistic uncertainty characterization, and time and resource constraints. This qualitative approach used here varies from that of WHO (2008) in that the primary focus is placed on evaluating the impact of the uncertainty; that is, staff qualitatively rate how the source of uncertainty, in the presence of alternative and possibly improved data or information, may affect the estimated number of days with benchmark exceedances. In addition, and consistent with the WHO (2008) guidance, staff discuss the uncertainty in the knowledge-base (e.g., the accuracy of the data used, acknowledgement of data gaps) and decisions made (e.g., selection of particular model forms), though qualitative ratings were assigned only to uncertainty regarding the knowledge-base.

After identifying the key sources of the assessment that may contribute to uncertainty, staff subjectively scaled the magnitude<sup>47</sup> of each identified source of uncertainty and the associated direction of potential influence to the number of benchmark exceedances. We used a three level scale to rate the magnitude: *low* indicated that large changes within the source of uncertainty would have only a small effect on the estimated number of exceedances, *medium* implied that a change within the source of uncertainty may have a proportional effect on the results, and *high* indicated that a small change in the source would have a large effect on results. The direction of influence on number of exceedances was subjectively assigned as *over-estimated*, *under-estimated*, *both* (uncertainty affects assessment endpoint in either direction), or *unknown* (no evidence to judge the uncertainty). Staff also subjectively scaled the knowledge-base uncertainty associated with each identified source using a three level scale: *low* indicated significant confidence in the data used and its applicability to the assessment endpoints, *medium* implied that there were some limitations regarding consistency and completeness of the data used or scientific evidence presented, and *high* indicated the knowledge-base was extremely limited.

Table 7-16 provides a summary of the sources of uncertainty identified in the air quality characterization, the level of uncertainty, and the overall judged bias of each. Further discussion regarding each of these sources of uncertainty and how conclusions were drawn is given in the sections that follow.

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<sup>47</sup> This is synonymous with the “level of uncertainty” discussed in WHO (2008), section 5.1.2.2.

**Table 7-16. Summary of qualitative uncertainty analysis for the air quality and health risk characterization.**

Source	Type	Influence of Uncertainty on Air Quality Benchmark Exceedances		Knowledge-Base Uncertainty	Comments <sup>1</sup>
		Direction	Magnitude		
Air Quality Data	Database Quality	Over	Low	Low	INF: There may be a limited number of poor quality high concentration data within the analytical data sets, potentially influencing the number of benchmark exceedances. KB: Data used in the analyses are of high quality. There is no other source of monitoring data as comprehensive. Data are being used in a manner consistent with one of the defined purposes of ambient monitoring.
Ambient Measurement Technique	Interference	Both	Low – Medium	Medium	INF: Potential interferences can be controlled; the influence may be of greater magnitude when considering upward concentration adjustment procedure. KB: Limited knowledge on concentration dependencies at high concentrations. Limited knowledge of interference controls applied at individual monitors.
Temporal Representation of Monitoring Data	Scale	Unknown	Low – Medium	Medium	INF: Temporal scale is appropriate for analysis performed. Most data used are screened for temporal completeness; however where 5-minute concentrations were reported, data were not screened for completeness. KB: Limited knowledge on direction or magnitude; however 60% of data used would have passed completeness criteria.
	Missing Data	Under	Low	Low	INF: Staff assumed there was an equal probability of missing low and high concentration 5-minute measurements; there could be a few missing high concentration data that would lead to underestimation in benchmark exceedances. No interpolation was performed. KB: All available data are quality assured; most of the data used were temporally complete.

Source	Type	Influence of Uncertainty on Air Quality Benchmark Exceedances		Knowledge-Base Uncertainty	Comments <sup>1</sup>
		Direction	Magnitude		
	Years Evaluated	Over	Low	Low	INF & KB: Little variation in COV and PMRs over years of analysis. Estimates of the probability of exceedances are likely not affected. Estimated number of exceedances could be influenced by historically high concentrations.
Spatial Representation of Monitoring Network	Broader SO <sub>2</sub> Network and 40 County Data Set	Under	Medium	High	INF: It is possible that the current network is not adequately capturing 1-hour SO <sub>2</sub> from a few localized sources. However, given the purpose of the network and purpose of the assessment, staff judges there may be at most a medium level of influence on results with improved spatial representation. KB: Many site-years available from monitors reporting 1-hour concentrations; However, there are no data available to evaluate the spatial representativeness of existing network.
	5-minute Maximum SO <sub>2</sub>	Under	Medium	High	INF: Distribution of sources potentially influencing monitors is similar to that of the broader SO <sub>2</sub> network even with limited geographic span. KB: Very few site-years available from monitors reporting 5-minute measurements.
Air Quality Adjustment Procedure	Proportional Approach Used	Both	Low – Medium	Medium	INF: Depends on the degree of proportionality in the air quality distribution and the magnitude of the ambient concentration adjustment. KB: Proportional approach judged adequate in representing the alternative air quality scenarios. However, evaluation only conducted in 7 of 40 counties, was dependent on historic air quality as representative of alternative scenarios, and there was some evidence of deviation from proportionality. Also only one adjustment method was investigated.

Source	Type	Influence of Uncertainty on Air Quality Benchmark Exceedances		Knowledge-Base Uncertainty	Comments <sup>1</sup>
		Direction	Magnitude		
	Spatial Scale	Both	Medium	High	INF: The rate of change in concentrations over time was moderately different at monitors within a county. KB: Analysis is dependent on historic air quality as representative of alternative air quality scenarios. There is lack of knowledge regarding how changes in emissions would affect multiple monitors in a county.
Statistical Model Used for Estimating 5-minute SO <sub>2</sub> Concentrations	Data Screening	Over	Low	Low	INF & KB: Less than 2% of data were removed. Physically realistic PMR bounds were set. Screened data were mostly of low 1-hour concentrations that would never generate a benchmark exceedance.
	Temporal Variation in PMRs	None	Low	Low	INF: Consistency in PMRs across period of analysis. KB: Consistency in PMRs when compared with late 1980s and early 1990s ambient monitoring data.
	Distribution Form of PMRs	None	Low	Low	INF & KB: Non-parametric distributions were determined the most appropriate for the analysis.
	Accuracy	Both	Low – Medium	Medium	INF: Accuracy assessment indicated good agreement, though at upper and lower tails of prediction distribution, the number of exceedances were under- and over-estimated, respectively. KB: Though cross-validation results were reasonable, there may be additional influential variables that may be important in the model construction and possibly not available in extrapolating to the broader data set.
	Reproducibility	None	Low	Low	INF & KB: Limited variation observed in the estimated mean number of benchmark exceedances following random sampling error analysis.
Potential Health Risk Endpoints Used <sup>2</sup>	Ambient SO <sub>2</sub> as an Indicator of SO <sub>2</sub> Exposure	Over	Medium	High	INF: Long-term time averaging comparisons indicate a strong proportional relationship between ambient concentration and personal exposure. KB: The relationship between 5-minute personal exposure and ambient concentration is not known.

Source	Type	Influence of Uncertainty on Air Quality Benchmark Exceedances		Knowledge-Base Uncertainty	Comments <sup>1</sup>
		Direction	Magnitude		
	Consideration of Susceptible Populations	Unknown	Low	Medium	INF & KB: Severe asthmatics are typically not challenged in clinical studies due to expectations of a significant adverse response. Potential health risk could be over- or under-estimated depending on the level of the lowest benchmark selected to represent susceptible individuals. KB: There is no clear quantitative evidence indicating lowest benchmark would either be health protective or at a level a susceptible individual would respond.
	Averaging Time	None	Low	Low	INF & KB: consistently no difference reported in observed responses from either 5- or 10-minute clinical studies.
	Single Counts of Exceedances versus Multiple Exceedances per day	Under	Low	Medium	INF: Potential health risk may be under-estimated because approximately 50% of days with a single exceedance correspond with another (or more) exceedance(s) in that same day. However, in this air quality analysis, time of exposure is not considered, thus limiting the relevance of multiple exceedances. KB: Frequency of multiple exceedances per day using existing measurement data is known for limited number of monitoring sites.
<b>Notes:</b> <sup>1</sup> INF refers to comments associated with the influence rating; KB refers to comments associated with the knowledge-base rating. <sup>2</sup> In these cases the influence of the uncertainty to the potential health risk is discussed, not the influence to the estimated number of exceedances.					

#### ***7.4.2.1 Air Quality Data***

The purpose of this section is to discuss staff assumptions and potential uncertainties associated with the data used to construct the various analytical data sets. While the data are being used in a manner consistent with one of the defined purposes of ambient monitoring (i.e., assessing population exposure), both the source of data and its associated quality are discussed. The uncertainty regarding temporal and spatial components of the ambient monitoring data sets is discussed in sections 7.4.2.3 and 7.4.2.4, respectively.

The Air Quality System (AQS) contains ambient SO<sub>2</sub> concentrations collected by EPA, state, local, and tribal air pollution control agencies from hundreds of monitoring stations across the U.S. There are no alternative ambient monitoring data sets available that are as comprehensive as those within AQS. There might be ambient monitoring data available that are not included in the AQS however, staff assumed that given similar collection techniques and quality assurance methods that they would be complementary to AQS monitoring data.

One basic assumption is that the AQS SO<sub>2</sub> air quality data used are quality assured already. Methods exist for ensuring the precision and accuracy of the ambient monitoring data (e.g., EPA, 1983). Reported concentrations contain only valid measures, since values with quality limitations are not entered into the system or are removed following determination of being of lower quality or flagged. There is likely no selection bias in retaining data that are not of reasonable quality if the data are in error; it was assumed that selection of high concentration poor quality data would be just as likely as low concentration data of poor quality. However, the retention of poor quality high concentration data would have greater impact on estimated numbers of exceedances than poor quality low concentration data. Given the numbers of measurements used for the analyses though, it is likely that even if a few poor quality high concentration data are present in the analytical data sets, they would not have a large impact on the results presented here. In addition, a quantitative analysis of available duplicate measures (i.e., originating from co-location of ambient monitors or by duplicate reporting of ambient concentrations, see Appendix A-3) indicated little to no difference in the duplicate values or in the selection of one particular reported (or measured) value over another.

Based on this evaluation, the source and the quality of the ambient monitoring data used likely contribute minimally to uncertainty in the estimated number of benchmark exceedances.

Thus, there is both a low level of uncertainty in the knowledge-base and in the subjectivity of choices made by staff.

#### ***7.4.2.2 Ambient Measurement Technique***

One potential source of uncertainty within the SO<sub>2</sub> air quality measurements is from interference with other compounds. The ISA notes several sources of positive and negative interference that could increase the uncertainty in the measurement of ambient SO<sub>2</sub> concentrations (ISA, sections 2.3.1 and 2.3.2). Many of the identified sources (e.g., polycyclic aromatic hydrocarbons, stray light, collisional quenching) were described as having limited impact on SO<sub>2</sub> measurement due to the presence of instrument controls that prevent the interference.

The actual impact on any individual monitor though is unknown; the presence of either negative or positive interference, and the degree of interference contributed by one or the other, has not been quantified for any ambient monitor. In addition, it is not known whether there is a concentration dependence on the amount of interference. This may be an important uncertainty in considering the air quality concentrations adjusted to just meet the current and potential alternative standards.

Reported ambient monitoring concentrations could be either over- or under-estimated depending on the type of interference present. Staff judges the magnitude of influence as low to medium, given the potential range of instrument controls present (low magnitude) and possibility for concentration dependence (medium magnitude). The uncertainty in the knowledge-base is judged as medium given the limited quantitative evidence available to assess the potential direction and magnitude of interference at individual monitors, as well as limited evidence regarding the presence of concentration dependence.

#### ***7.4.2.3 Temporal Representation of Monitoring Data***

Three components of uncertainty were evaluated regarding the temporal representation of the monitoring data. These include uncertainty in the temporal scale (i.e., averaging time of measurements and completeness criteria), how missing data were treated in the analysis, and long term trends in ambient monitoring and concentration variability.

The air quality analysis relied on quality assured 5-minute and 1-hour average SO<sub>2</sub> measurement data (see section 7.4.2.1) and are of the same temporal scale as identified potential



health effect benchmarks, where 5-minute measurements were reported. There are frequent missing values within a given valid year that may increase the level of uncertainty in temporal concentration distributions and model estimations (see below); however, given the level of the benchmark concentrations and the low frequency of benchmark exceedances and overall completeness of the monitoring data, it is likely of limited consequence. The magnitude of impact on estimated benchmark exceedances could be significant if some seasons, day-types (e.g., weekday/weekend), or times of the day (e.g., nighttime or daytime) were not equally represented in the data analysis group. For the analyses performed using the broader SO<sub>2</sub> monitoring network and the 40-county data set, a valid year of ambient monitoring was based on 75 percent complete hours/day and days/quarter, and having all four complete quarters/year. The process of assuring temporal completeness prevented potentially influential monitoring data from adversely affecting the air quality characterization using these data sets.

However, there is greater uncertainty in the temporal representation of the combined 5-minute and 1-hour measurement data set because all of the available data were used without considering the standard 75% completeness criteria. Staff elected to use all of the 5-minute SO<sub>2</sub> measurement data rather than further reducing the already limited number of samples and locations represented. The 5-minute measurement data set did however undergo a limited screening that improved the quality of the data set. This included removal of duplicate reporting/measurements, exclusion of concentrations < 0.1 ppb, and screening for technically impossible PMRs (see section 7.4.2.6). These screenings and use of the 5-minute data without the same completeness criteria as the other data analysis groups though would tend to decrease the temporal representation, potentially influencing the observed probability and the estimated number of benchmark exceedances.

Therefore, staff judges the magnitude of influence from this source of uncertainty as low to medium, with a greater magnitude of influence assigned to observations reported for the 5-minute data set and its application in the statistical model. While staff has not performed analyses to determine direction and magnitude of impact in applying the completeness criteria to

the 5-minute data set, the uncertainty in the knowledge-base is judged as medium given the overall temporal representation of most of the site-years of data.<sup>48</sup>

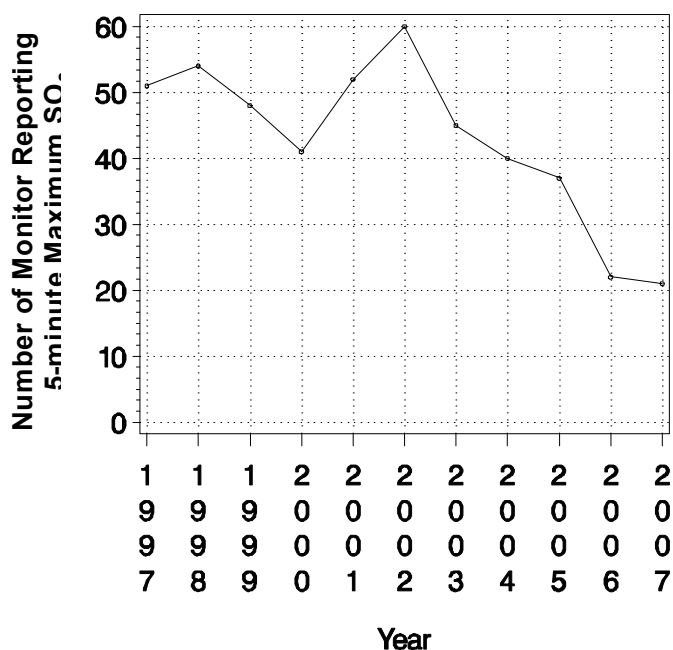
Data were not interpolated in the analysis; missing data were not substituted with estimated values and concentrations reported as zero were used as is. For the missing data, it is assumed here that missing values are not systematic, i.e., both high and low concentration data would be absent in equal proportions. There are methods available that can account for time-of-day, day-of-week, and seasonal variation in ambient monitoring concentrations. However, if a method were selected, it would have to not simply interpolate the data but also accurately estimate the probability of peak 1-hour SO<sub>2</sub> concentrations that could occur outside the predictive range of the method. It was judged that if such a method was available or one was developed to substitute data, it would likely add to a similar level of uncertainty as not choosing to substitute the missing values. Again, this can be viewed as having a limited impact on the estimated number of exceedances because using the validity criteria selected for the most temporally representative and complete ambient monitoring data sets possible. In addition, when using the concentrations reported as zero, there is likely limited impact on the estimated number of exceedances and associated probability of exceedances. It is possible that some missing data could have been at a high enough concentration to either exceed a benchmark or result in an estimated benchmark exceedance, implying the direction of influence is towards under-estimating benchmark exceedances. However, given the temporal completeness of much of the data used characterizing air quality, staff judges both the magnitude of influence of missing data and the uncertainty associated with the knowledge-base to be low.

There is uncertainty associated with the selection of monitoring years, particularly if concentrations vary significantly between monitors and across the two averaging times. When using historical monitoring data, staff assumed that the sources present at that time have similar emissions and emission profiles as the current sources. It is clear that the number of SO<sub>2</sub> monitoring sites in the U.S. has changed over time, with a trend of decreasing number of monitors most evident for those reporting the 5-minute maximum SO<sub>2</sub> concentrations (Figure 7-31). Five-minute SO<sub>2</sub> concentrations have been reported in fewer monitors than the 1-hour SO<sub>2</sub> concentrations; generally only a few site-years of data exist for 5-minute SO<sub>2</sub> concentrations

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<sup>48</sup> Screening for completeness using the 75% hours/day and days/year criteria would have resulted in only 85 site-years of data. However, this screened data set would include 1,431,470 hours or 60% of the data set used in the current analyses.

(Appendix A, Table A.1-1). This is the reason why, given the limited number of measurements, all of the 5-minute maximum SO<sub>2</sub> data were used in developing the statistical relationships and for the model evaluation without requiring the 75% completeness criteria to be met.



**Figure 7-31. Temporal trends in the number of ambient monitors in operation per year for monitors reporting both 5-minute and 1-hour SO<sub>2</sub> concentrations.**

However, the variability in monitoring concentrations (both the 1-hour and 5-minute maximum SO<sub>2</sub>) does not change significantly across most monitoring years (i.e., years 1997 though 2004) and there is a comparable range between the two averaging times (Figure 7-32). There is some compression in the range of COVs considering some of the more recent years of data, most notable for year 2007. This is possibly due to the reduction in the number of ambient monitors in operation (Figure 7-31) rather than a reduction in the temporal variability in 5-minute or 1-hour concentrations at particular monitors. There may be an over-estimate in the number of benchmark exceedances where there is a broad range of years used in the characterization. However, the estimated probability of exceedances is likely not influenced by year given that the analysis controls for concentration levels and variability changes that may have occurred over time. Furthermore, the selection of a subset of the recent air quality data (2001-2006) used for detailed analyses may reduce the potential impact from changes in national- or location-specific source influences (if one is present). Therefore, due to the limited variation in temporal trends in COV for both 5-minute and 1-hour SO<sub>2</sub> and analysis design (i.e.,

controlling for concentration level changes, limiting the span of years analyzed) the overall magnitude of influence is expected to be low.

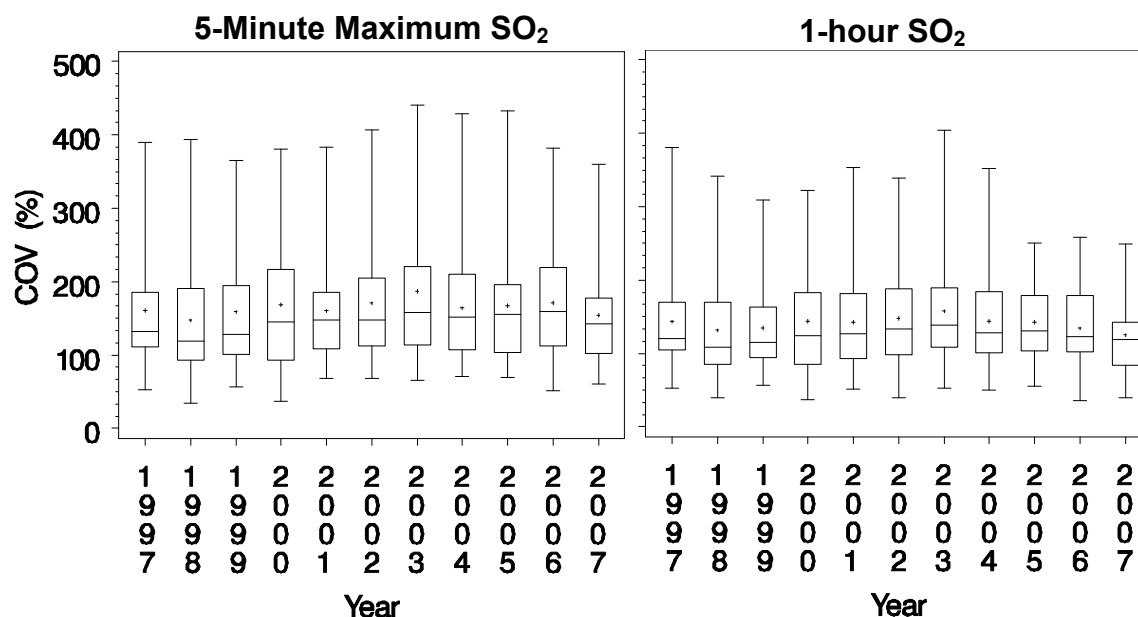


Figure 7-32. Temporal trends in the coefficient of variability (COV) for 5-minute maximum and 1-hour concentrations at the monitors that reported both 5-minute and 1-hour SO<sub>2</sub> concentrations. The number of monitors operating in each year is depicted in Figure 7-31.

#### 7.4.2.4 Spatial Representation of Monitoring Network

The spatial representativeness of the monitoring network can be a source of uncertainty, particularly if the monitoring network is not dense enough to resolve the spatial variability in ambient SO<sub>2</sub> concentrations and if the monitors are not effectively distributed to reflect population exposure. Relative to the physical area, staff acknowledges there are only a few monitors, particularly when considering the set of monitors that reported 5-minute maximum SO<sub>2</sub>. The magnitude and direction of influence on the modeled or measured benchmark exceedances will depend on ambient monitoring objectives, monitoring scale, the distribution of SO<sub>2</sub> emission sources, and whether there is large variability in monitoring surface, i.e., areas of differing terrain that are not adequately represented by the current distribution of monitors. These elements will be broadly discussed for each of the data sets used in the air quality characterization and how they could potentially affect the number and probability of benchmark exceedances. The three data sets of interest include monitors from the broader SO<sub>2</sub> network

(including monitors within the 40 selected counties) and the monitors reporting 5-minute SO<sub>2</sub> concentrations.

The broader 1-hour monitoring network, by definition, is the most comprehensive data set of the three when considering the number of monitors (n=809) and geographic representation (48 U.S. States, Washington DC, Puerto Rico, and U.S. Virgin Islands). The air quality characterization is improved with the inclusion of modeled 5-minute benchmark exceedances in these areas where 5-minute measurements were not reported. In addition, the use of the broader SO<sub>2</sub> monitoring network in this assessment could assist in identifying and prioritizing locations to begin reporting 5-minute SO<sub>2</sub> measurements. However, the broader geographic span of ambient monitoring does not necessarily confer spatial representativeness. The spatial representativeness of the broader SO<sub>2</sub> monitoring network would remain dependent on the siting of the monitors with respect to important emission sources and potentially exposed populations. Staff assumes that the network design, to a large degree, provides adequate spatial representation of the ambient SO<sub>2</sub> air quality. This may apply to a greater degree to the 40-County data set that used a minimum number of monitors (i.e., >2) to represent a set geographical area (i.e., a county).

Staff acknowledges that in using the broader SO<sub>2</sub> monitoring network and 40-County data set as an indicator of exposure, there could be local areas that are spatially under-represented. Furthermore, portions of the air quality characterization used monitors meeting a 75 percent completeness criterion, without taking into account the monitoring objectives, scale, or land use. Thus, there may be a reduction in spatial representation due to either the inclusion or exclusion of monitors sited near local SO<sub>2</sub> source emissions as a result of the completeness screening. Staff estimates that the magnitude of influence to the number of benchmark exceedances may be at most a medium level in the presence of supplemental spatial monitoring, given the purposes of both the current monitoring network and the air quality characterization. We also judge there would be limited influence on the probability of exceedances with improved spatial representation, given that the probability estimate is driven by ambient concentration level and concentration variability, two variables that have been well characterized by the current ambient monitoring network. In the absence of additional measurements or modeling of the spatial heterogeneity of 1-hour ambient SO<sub>2</sub> concentrations though, staff assigns a high level of uncertainty to the knowledge-base.

The overall SO<sub>2</sub> monitoring network design is also responsible for siting monitors that reported 5-minute concentrations. As a result, staff expects that monitor siting is appropriate and spatially representative for the same reasons discussed above. However, because the monitors reporting 5-minute concentrations are not part of a designed 5-minute SO<sub>2</sub> monitoring network but are entirely voluntary, the direction and magnitude of influence on observed or estimated benchmark exceedances is largely unknown. Note that there were far fewer monitors reporting 5-minute concentrations used in certain analyses (n=98), representing a limited geographic scope in comparison with the broader SO<sub>2</sub> monitoring network. In addition, a greater percentage of monitors reporting 5-minute concentrations had a source-oriented objective (Figure 7-3). However, an analysis of the monitoring attributes indicated similar distributions in the types of sources and the total emissions potentially impacting both sets of data (Figure 7-5). This suggests that the spatial representation of the monitors reporting 5-minute concentrations may be similar to that of the broader SO<sub>2</sub> monitoring network regarding proximity to similar SO<sub>2</sub> sources. In the absence of additional measurements or modeling of the spatial heterogeneity of 5-minute ambient SO<sub>2</sub> concentrations, staff assigns a high level of uncertainty to the knowledge-base.

#### ***7.4.2.5 Air Quality Adjustment Procedure***

There is uncertainty in the air quality adjustment procedure due to the uncertainty of the true relationship between the adjusted concentrations that are simulating a hypothetical scenario and the *as is* air quality. The adjustment factors used for the current and the potential alternative standards each assumed that all hourly concentrations will change proportionately at each ambient monitoring site. Two elements of this source of uncertainty are discussed, namely uncertainty regarding the proportional approach used and the universal application of the approach to all ambient monitors within each location.

Different sources have different temporal emission profiles, so that equally applied changes to the concentrations at the ambient monitors to simulate hypothetical changes in emissions may not correspond well within all portions of the concentration distribution. When adjusting concentrations upward to just meeting the current standard, the proportional adjustment used an equivalent multiplicative factor derived from the annual mean or daily mean concentration and equally applied that factor to all portions of the concentration distribution, that is, the upper tails were treated the same as the area of central tendency. This may not necessarily

reflect changes in an overall emissions profile that may result from, for example, an increase in the number of sources in a location. It is possible that while the mean concentration measured at an ambient monitor may increase with an increase in the source emissions affecting concentrations measured at the monitor, the tails of the hourly concentration distribution might not have the same proportional increase. The increase in concentration at the tails of the distribution could be greater or it could be less than that observed at the mean and is dependent largely on the type of sources influencing the monitor and the source operating conditions. Adjusting the ambient concentrations upwards to simulate the potential alternative standards also carries a similar level of uncertainty although the multiplicative factors were derived from the upper percentiles of the 1-hour daily maximum SO<sub>2</sub> concentrations, rather than the mean, and then applied to the 1-hour SO<sub>2</sub> concentrations equally. If there are deviations from proportionality, the magnitude of influence is likely related to the magnitude of the concentration adjustment factor used. Therefore, there is likely greater uncertainty in the estimated benchmark levels when evaluating the current and the 250 ppb 99<sup>th</sup> percentile alternative standards (which have the highest adjustment factors), than when considering the 50 ppb and 100 ppb 99<sup>th</sup> percentile alternative standards (which have the lowest adjustment factors).

In each of these instances of adjusting the concentrations upwards, one could argue that there may be an associated over-estimation in the concentrations at the upper tails of the distributions, possibly leading to over-estimation in the numbers of exceedances of benchmark levels. An analysis was performed using monitors from seven counties evaluated in the air quality characterization to investigate how distributions of hourly SO<sub>2</sub> concentrations have changed over time (Rizzo, 2009). The analysis indicates that a proportional approach is a reasonable model for simulating higher concentrations at most monitoring sites, since historically, SO<sub>2</sub> concentrations have decreased linearly across the entire concentration distribution at each of the monitoring sites and counties evaluated.

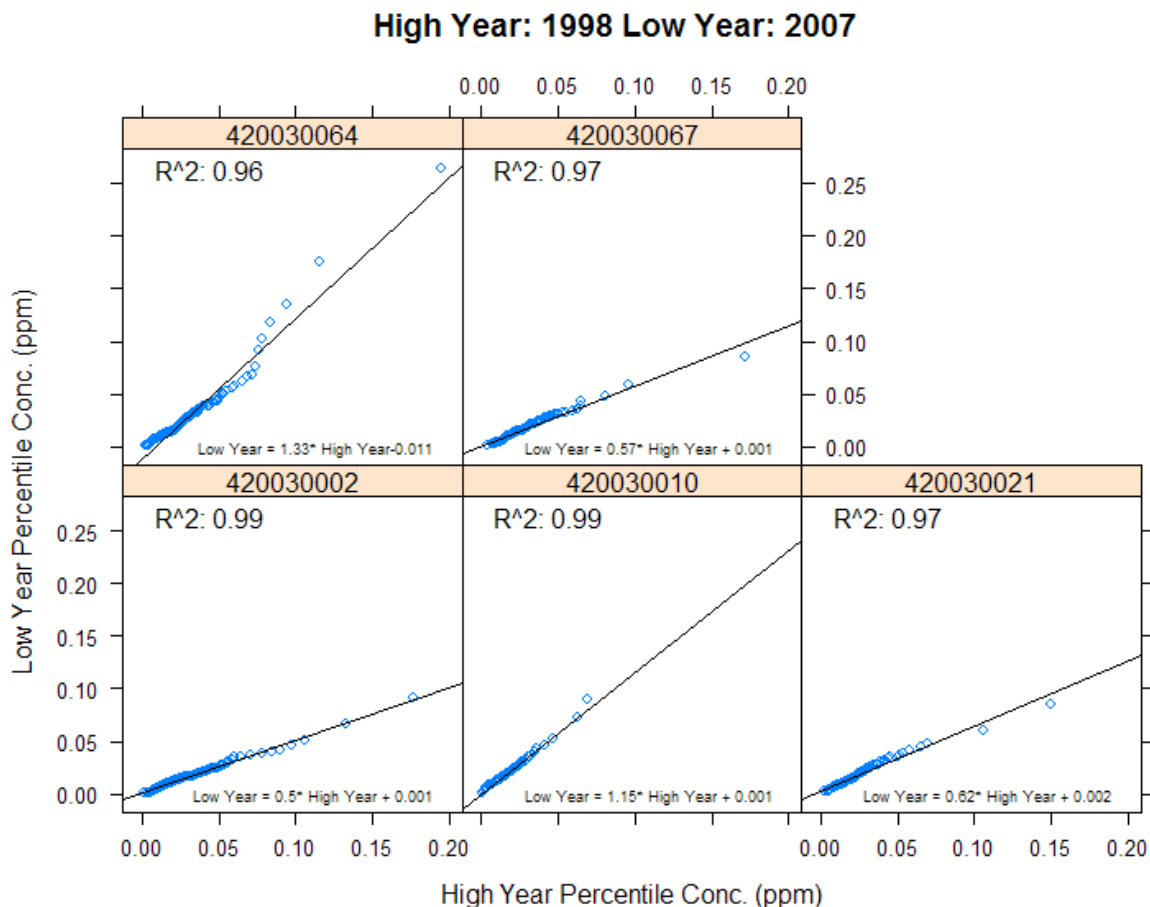
At some of monitoring sites analyzed however, there were features not consistent with a completely proportional relationship. This included deviation from linearity primarily at the maximum or minimum percentile concentrations, some indication of curvilinear relationships, and the presence of either a positive or negative regression intercept (Rizzo, 2009). Where multiple monitors were present in a location, there tended to be a mixture of each of these conditions including proportionality (e.g., see Figure 7-33). Not all of the counties analyzed as

part of the air quality characterization were included in the evaluation, thus staff assumed that the findings of the Rizzo (2009) analysis were applicable of the 40-County data set. Given the observed range of deviations from proportionality and the level of the concentration adjustment, we judge the magnitude of influence to the estimated benchmark exceedances as between low to medium. The estimated number of benchmark exceedances could be either over- or under-estimated, dependent largely on an individual monitor's air quality distribution and its relationship with proportionality. While staff judged the proportional approach as appropriate, it was based on analyses using historical monitoring data. The uncertainty about future source emission control scenarios is largely unknown. In addition, only one approach was investigated, suggesting that the level of the knowledge-base uncertainty is medium.

Staff applied the proportional adjustment approach universally to all monitors in each county for consistency. The purpose was to preserve the inherent variability in the concentration distribution which has been shown to be relatively consistent with large changes in concentration level. There is however uncertainty associated with emission changes that would affect the concentrations at the monitor having the highest concentration (e.g., the highest annual mean, 98<sup>th</sup> or 99<sup>th</sup> percentile 1-hour concentration) that may not necessarily be reflected in the same proportion at other lower concentration sites. This could result in either over- or under-estimations in the number of exceedances at lower concentration sites within a county where the current or alternative standard scenarios were evaluated. For example, Figure 7-33 shows the daily maximum 1-hour SO<sub>2</sub> concentration percentiles for five ambient monitors in Allegheny County PA, where each of the ambient monitors were in operation for years 1998 and 2007. While all five of the monitors generally demonstrate features of proportionality, the differences in regression slope indicate that the rate of change in the concentration distribution was not equal when comparing these monitors for these two monitoring years. These results suggest that even if all monitors within a county demonstrate proportionality, there may be either over- or under-estimations in SO<sub>2</sub> concentrations following the 1-hour concentration adjustment. Staff had limited time and resources to investigate the potential impact of this on the number of benchmark exceedances, though we estimate the magnitude of influence as medium based on the range of observed slopes in the seven counties investigated. The level of uncertainty in the knowledge-base is judged high. This rating is based on the uncertainty regarding how the historical and recent ambient data comparisons relate to the simulated air quality scenario and the lack of



knowledge regarding how source emission changes would affect multiple monitors within a county.



**Figure 7-33. Comparison of measured daily maximum SO<sub>2</sub> concentration percentiles in Allegheny County PA for one high concentration year (1998) versus a low concentration years (2007) at five ambient monitors.**

#### **7.4.2.6 Statistical Model Used for Estimating 5-minute SO<sub>2</sub> Concentrations**

Five components of uncertainty were identified regarding the statistical model and its impact on the estimated number of benchmark exceedances. These include 1) the impact from how the PMR data were screened, 2) the temporal representation of data used in the statistical model development, 3) the form of the distribution used to represent the PMRs, 4) the accuracy of the model in predicting daily 5-minute maximum concentrations, and (5) the reproducibility of the model predictions.

Staff identified data for removal from the final combined 5-minute and 1-hour ambient measurement data set using the PMR as a screening criterion. The calculation of PMRs less than 1 implies the 5-minute peak is less than the 1-hour average, a physical impossibility, and values

>12 are a mathematical impossibility. The 5-minute ambient monitoring data were screened for values outside of these bounds,<sup>49</sup> increasing confidence in the relevance of PMRs used for development of the statistical model. While a total of 40,665 data points were excluded from the data set using the PMR criterion, this comprised less than 2% of the data available to develop the PMR relationship. It was assumed that the criterion used for the data removal would not adversely influence the estimated number of benchmark exceedances in the modeling performed since it was only directed towards identifying unrealistic 5-minute and 1-hour concentration combinations.

Analysis of the data screened by staff revealed that nearly all of the data are for where the calculated PMR was less than one (98% of screened samples) and most of the 1-hour concentrations (approximately 95%) were less than or equal to 5 ppb (Table 7-17). An alternative approach to developing the PMR distributions could have been to include the screened data with an assigned PMR value of one (for where the original PMR was less than one) or twelve (for where the original PMR was greater than twelve) based the 5-minute and 1-hour concentration distributions. If included, these data would have virtually no influence on the estimated number of benchmark exceedances. This is because 1-hour concentrations < 8.3 ppb combined with the PMR distribution principally affected by inclusion of newly assigned ratios (i.e., the < 5 ppb concentration bin) would never generate a benchmark exceedance. Given the limited number of samples removed from further analysis and recognizing there would be less uncertainty when using a data set comprised of PMRs with realistic bounds rather than one using all possible PMR values, staff judges the magnitude of the influence associated with the screening of the 5-minute data as low. In excluding the mostly lower concentration data (as compared to the final data set used) there may be an over-estimation in the percent and probability of exceedances.

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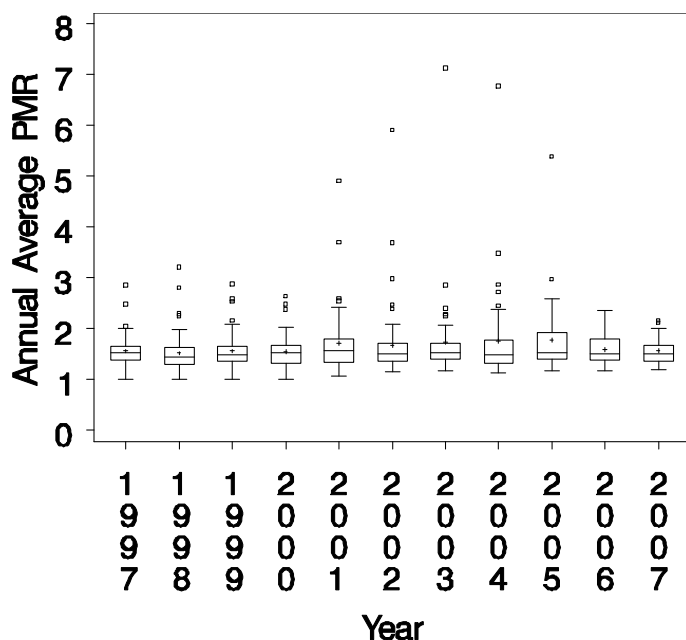
<sup>49</sup> It is possible to have a PMR equal to 12. This value is achieved with one 5-minute concentration above zero and the other eleven 5-minute values reporting concentrations of zero. Data used in developing the statistical relationship were screened for values with a PMR equal to 12 however, because it could not be used in the AERMOD/APEX modeling. It is of little consequence because the distributions chosen in estimating the 5-minute concentrations included the 1<sup>st</sup> through the 99<sup>th</sup> percentiles, not the minimum and maximum values.

**Table 7-17. Summary of descriptive statistics for the data removed using peak-to-mean ratio criterion and the final 1-hour and 5-minute maximum SO<sub>2</sub> data set used to develop PMRs.**

Statistic <sup>1</sup>	Data removed				Final data set	
	PMR < 1 (n = 39,861)		PMR ≥ 12 (n = 804)		(n = 2,367,686)	
	5-min max (ppb)	1-hour (ppb)	5-min max (ppb)	1-hour (ppb)	5-min max (ppb)	1-hour (ppb)
mean	1	2	29	2	10	6
p99	6	10	174	10	100	50
p95	3	5	82	4	37	21
p50	1	1.6	15.5	1	3	2
p5	1	1.1	12	0.9	1	1
p1	0.2	0.45	4	0.1	1	0.2
<b>Notes:</b> <sup>1</sup> mean is the arithmetic average; p99, p95, p50, p5, p1 are the 99 <sup>th</sup> , 95 <sup>th</sup> , 50 <sup>th</sup> , 5 <sup>th</sup> and 1 <sup>st</sup> percentiles of the concentration distribution.						

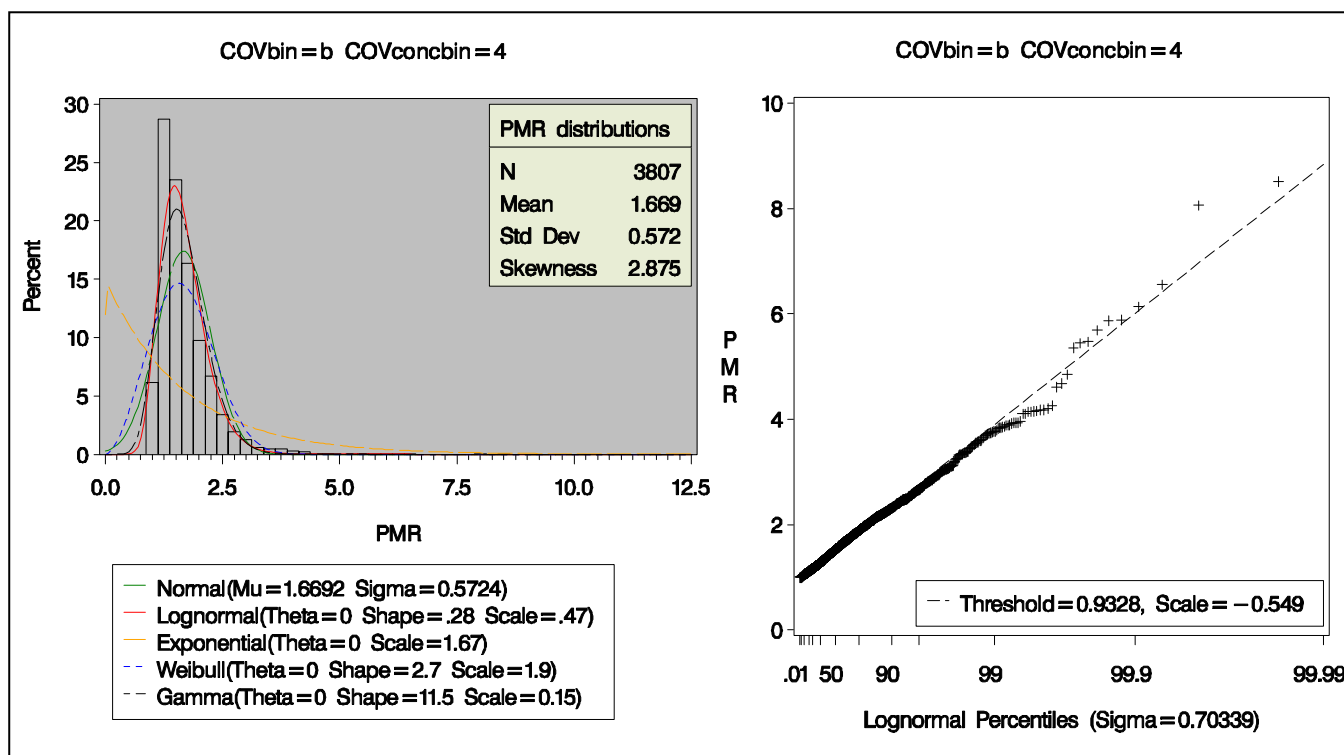
The use of all screened 5-minute maximum SO<sub>2</sub> data (1997 to 2007) in developing the PMR distributions assumes that the source emissions present at that time of measurement are similar to other year source emissions. It could be possible that there is greater uncertainty in the estimated number of exceedances in areas where year-to-year source emissions deviate from a consistent pattern. However, as noted with the concentration variability, the PMRs derived from the 5-minute maximum measurement data do not have a clear trend with monitoring year. Over the 11-year period, the mean of each monitor's annual average PMR is about 1.6 (medians of 1.5; 25<sup>th</sup> percentiles of 1.4; 75<sup>th</sup> percentiles of 1.7) (Figure 7-34). This general trend in mean PMRs is consistent with the population-based value used by Stoeckenius et al. (1990) for exposure analyses (mean of 1.6; median of 1.5) and ambient monitor concentration analyses conducted by SAI (1996) (mean 1.7; median 1.5).<sup>50</sup> While there is some indication of greater variability in the PMRs for years 2004-2005 compared with some of the other years used, overall the consistent pattern over time indicates that the use of the older ambient monitoring data in developing the statistical model would have a negligible impact on the predicted concentrations and subsequently the estimated number of benchmark exceedances (i.e., low influence with no apparent direction). Given the consistency of the PMRs derived using recent air quality with that of the earlier analyses, the uncertainty regarding the knowledge-base is judged as low.

<sup>50</sup> Data from Table 2-18 of Stoeckenius (1990) for the Scottish Rites monitoring site and Table 5-2 of SAI (1996).



**Figure 7-34. Distributions of annual average peak-to-mean ratios (PMRs) derived from the 98 monitors reporting both 5-minute maximum and 1-hour SO<sub>2</sub> concentrations, Years 1997 through 2007.**

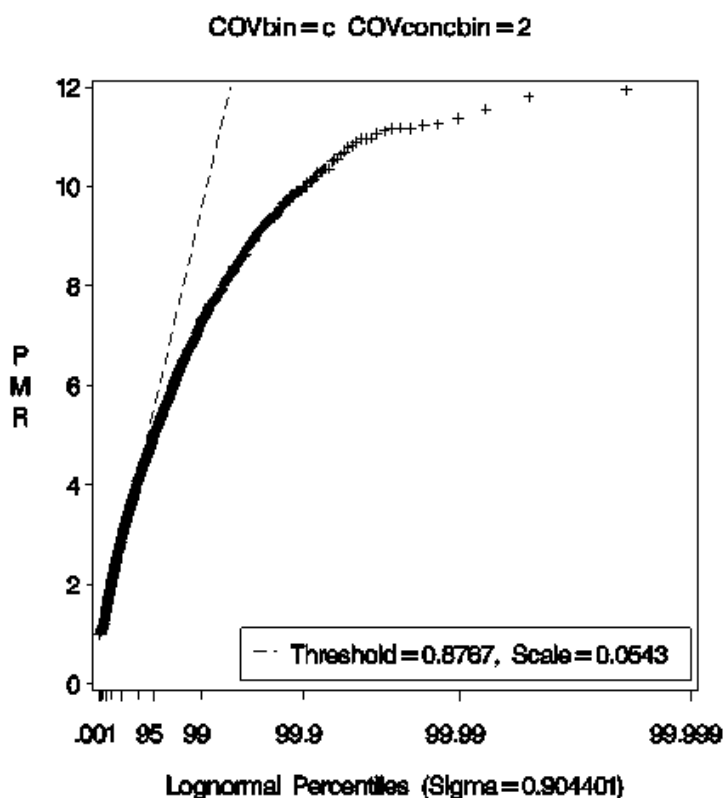
The PMRs distributions for each COV and concentration bin were represented by a non-parametric form condensed to single percentiles, with each value from the distribution having an equal probability of selection. While there may be other distribution forms that could be alternatively selected, staff judged that use of a fitted distribution would not improve the representation of the true population of PMRs compared with a non-parametric form, and that there would likely be no reduction in the uncertainty of estimated number of exceedances if using a parameterized distribution. While some of the PMR distributions were similar to a lognormal distribution (for example see Figure 7-35), 93 of 95 possible statistical tests performed indicated the distributions were statistically distinct ( $p < 0.01$ ) from any of the tested forms (i.e., normal, lognormal, Weibull, gamma, and exponential) (see Figure 7-35 as an example). The PMRs derived from monitors having the greatest COV (all concentration bins) and those derived from the lowest concentration bins (all COV bins) were most common in exhibiting atypical distribution forms. Even when considering practical judgments regarding a potential parametric form (i.e., beyond simply using statistically significant differences as a criterion), most of the observed PMR distributions had large deviations from parametric distributions such as that illustrated by Figure 7-36.



**Figure 7-35. Example histogram of peak-to-mean ratios (PMRs) compared with four fitted distributions derived from monitors reporting the 5-minute maximum and 1-hour SO<sub>2</sub> concentrations (left) and the same PMRs compared with expected lognormal percentiles (right). PMRs were derived from monitors with medium level variability (COVbin = b) and 1-hour concentrations between 75 and 150 ppb (COVconcbn = 4).**

In addition, while there is uncertainty associated with the use of the empirically-derived data in representing the true population of PMRs, assuming a fitted distribution would not be without its own uncertainties. For example, using a lognormal distribution may underestimate the observed frequency of certain values of PMRs while overestimating others. For PMR distributions that are of similar form with the lognormal distribution, it is likely that the small variation in PMRs selected from a fitted lognormal distribution would have only limited impact on the estimated 5-minute maximum SO<sub>2</sub> concentrations. For distributions exhibiting no similarities to any parametric distribution, experimental justification criteria would need to be developed in selecting the most appropriate form of the distribution, likely requiring multiple test iterations, potentially yielding distributions with greater uncertainty than those of a non-parametric form (e.g., WHO, 2008 page 28). Each of these additional evaluations and iterations would require time and resources not available to staff. Furthermore, the sample sizes for many of the PMR distributions used are well above 1,000 (only 5 of the 19 distributions had fewer than

1,000, with all distributions having greater than 100 samples), providing support that the true distribution may be well-represented by the non-parametric form. Each of these factors mentioned (uncertainty in the form of the distribution, limits on time and resources available, and numbers of samples available) were considered and it was decided by staff that the non-parametric distribution derived from the measurement data would be most appropriate. Therefore, it is judged that the magnitude of influence on the estimated benchmark exceedances is low along with no apparent direction of influence. Since staff employed both statistical and practical comparisons in selection of the distribution form to the maximum extent allowable, the uncertainty regarding the knowledge-base is judged as low.



**Figure 7-36. Example of a measured peak-to-mean ratio (PMRs) distribution with the percentiles of a fitted lognormal distribution. PMRs were derived from monitors with high COV (COVbin = c) and 1-hour concentrations between 5 and 10 ppb (COVconcbin = 2).**

The accuracy in the predicted daily 5-minute maximum SO<sub>2</sub> concentrations above each of the benchmark levels was evaluated using measured concentrations. The results indicated that on average, the statistical model performed well in estimating of these short-term peak concentrations (section 7.2.3.4). There was reasonable agreement in observed versus predicted

numbers of benchmark exceedances for most of the monitoring site-years (i.e., about 90% of the data set) and for all of the benchmark levels. Based on this overall assessment of model accuracy, the magnitude of influence the selected model has on contributing uncertainty to the estimated number of exceedances is judged by staff to be low. There was no particular direction of influence; model predictions were equally over- or under-estimated (Figure 7-37, Table 7-6).

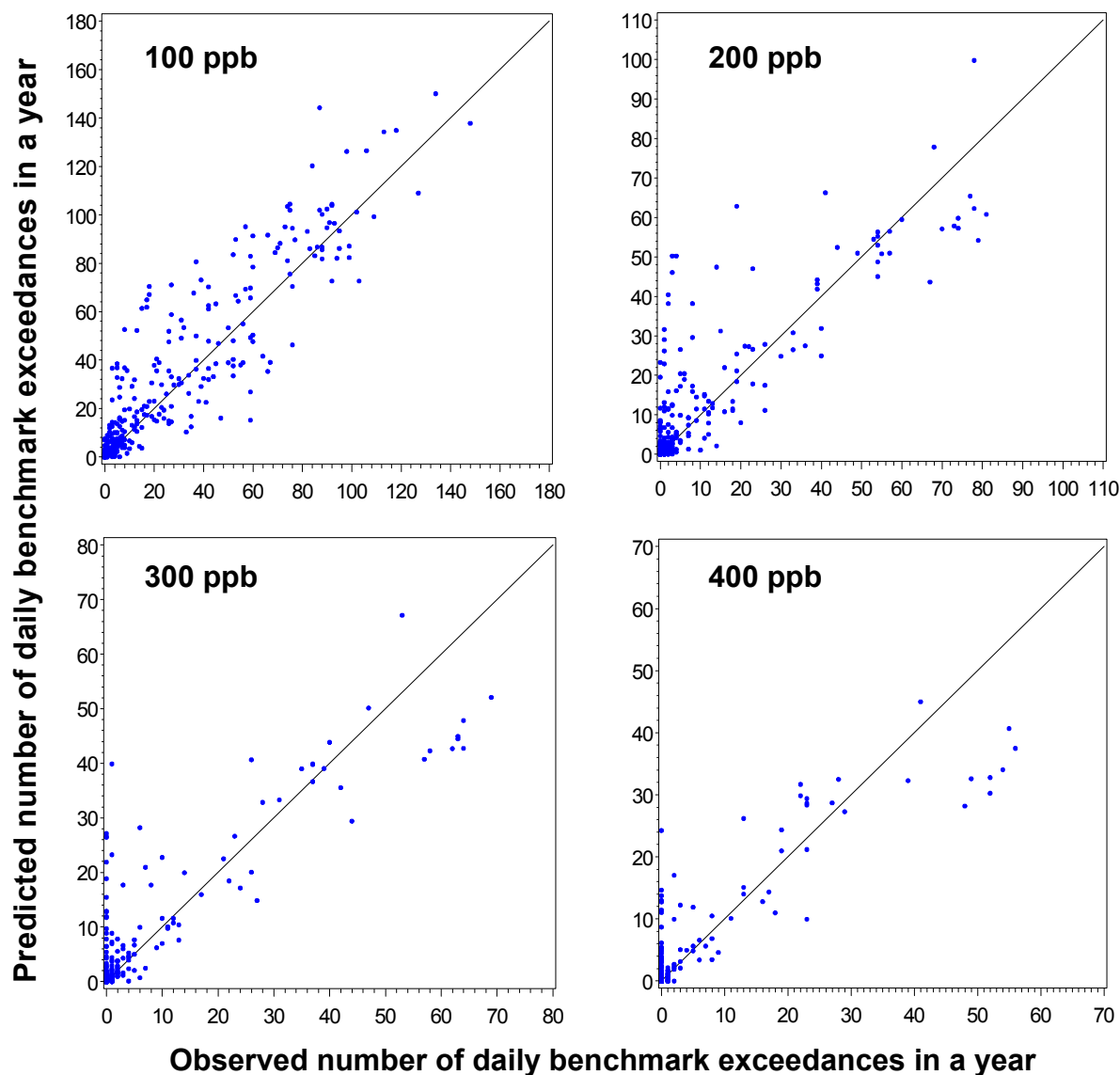
The accuracy assessment indicated the estimated number of days with benchmark exceedances could be either over- or under-estimated by as many as 20 to 50 days in a year, primarily at the tails of the prediction distribution. These model prediction errors were limited to several site-years from a few monitors. Figure 7-37 illustrates the model predicted versus the observed number of benchmark exceedances at each of the benchmark levels. While there is generally uniform agreement between the predicted and observed values at the 100 ppb benchmark, there is deviation in the agreement at the greatest and lowest number of days with exceedances for the 200, 300, and 400 ppb benchmark levels. For example, there were a few site-years without any observed benchmark exceedances of 400 ppb, although the statistical model predicted between 2-15 days in a year. This could indicate that a few of the site-years may have moderate over-estimations in the number of days with 5-minute maximum SO<sub>2</sub> concentration exceedances, where the estimated number of exceedances is 15 or less. In addition, site-years with the greatest number of observed exceedances of 400 ppb (about 50 per year) were consistently under-estimated by the model by about 30%. This could imply that when the estimated number of days with 5-minute maximum SO<sub>2</sub> concentrations above 400 ppb is 40 per year, the under-estimate may be as large as 15 days per year.

Neither of these model errors appeared systematically related to an individual source type. Additional monitors sited in the same areas impacted by similar source types had good agreement between the observed and predicted concentrations. For example, at the monitor with the greatest number of measured benchmark exceedances (ID 290930030) and largest under-prediction error, one could argue that variable terrain may be an influential factor. This monitor is about 1.7 km from a primary smelter and located proximal to a ravine running between the source and the monitoring site. The nearby monitor (ID 290930030) sited in elevated terrain (Hogan Mountain) at about 4.6 km from the same source had small prediction errors. These differences in agreement suggest that when considering any individual monitor, there may be factors not accounted for by the statistical model that are important in estimating benchmark

exceedances (e.g., terrain). Based on this model accuracy assessment, the magnitude of influence the selected model has on contributing uncertainty to the estimated number of exceedances for individual monitors is likely medium at the lower and upper tails of the prediction distribution. The direction of the influence is likely over-estimation at the lower number of exceedances and under-estimation at the greatest number of exceedances.

Though the cross-validation results are encouraging, there may be additional influential variables not included in the construction of the statistical model that may be important and have the potential to improve the agreement between the observed and predicted values. There is also the possibility of influential variables that are not within the data set used for statistical model development, but exist in the broader 1-hour SO<sub>2</sub> monitoring data set. Staff judged the concentration variability and level as appropriate variables for linking the statistical model with the 1-hour measurement data. In addition, the comparison of ambient monitoring attributes (e.g., objectives, local source emissions) also indicated consistency between the monitors reporting 5-minute maximum concentrations and those reporting only 1-hour average concentrations. However, in the absence of additional 5-minute measurements in areas where there may be unique conditions (e.g., terrain or climatologic influences), staff judges there remains a medium level of uncertainty in the knowledge-base regarding the accuracy in the extrapolation using the statistical model.





**Figure 7-37. Comparison of observed and predicted number of daily benchmark exceedances in a year at the 98 monitors reporting 5-minute maximum SO<sub>2</sub> concentrations.**

Staff needed to evaluate the reproducibility of the statistical model because random sampling was employed in generating the PMRs used to estimate 5-minute SO<sub>2</sub> concentrations. The purpose of this analysis was to determine the effect of random sampling error on the estimated number of benchmark exceedances. First, to define terminology used in this analysis: a model *simulation* is where each monitor had all of its years of 1-hour data SO<sub>2</sub> used in estimating 5-minute maximum concentrations and as a result, the number benchmark exceedances was calculated; a model *run* is comprised of twenty such independent simulations (i.e., differing by random number seed) and used to generate a mean number of daily 5-minute

maximum SO<sub>2</sub> concentration exceedances for each site-year. This is the same process (i.e., a model run) that was used in generating the air quality characterization.

The reproducibility of the estimated number of benchmark exceedances was evaluated by performing ten independent modeling runs (with twenty simulations per model run) using the 40-county *as is* air quality data set (i.e., having 610 site-years per model simulation). The output from each model run was the mean number of days per site-year an exceedance occurred; therefore, ten mean numbers of exceedances were generated for each of the four benchmarks using the 610 site-years of data. The maximum difference in those ten means was calculated (the minimum mean value subtracted from the maximum mean value) giving the range of the ten means for each benchmark and site-year. For example, in one site-year there were 51, 52, 52, 53, 52, 52, 52, 51, 52, and 52 estimated mean numbers of exceedances of 100 ppb from the 10 model runs. Therefore the range (or maximum difference) is equal to two.

The distributions of the range in mean exceedances by benchmark level are illustrated in Figure 7-38. The range in the mean number of exceedances based on the ten model runs is less than five for all benchmark levels and consistently decreases with increasing benchmark level. On average, maximum difference in the estimated mean numbers of exceedances of 100 ppb was 2 exceedances, while at greater benchmark levels the range was 1 or less. This indicates that the random sampling error has a low impact to the estimated mean number of exceedances per site-year.

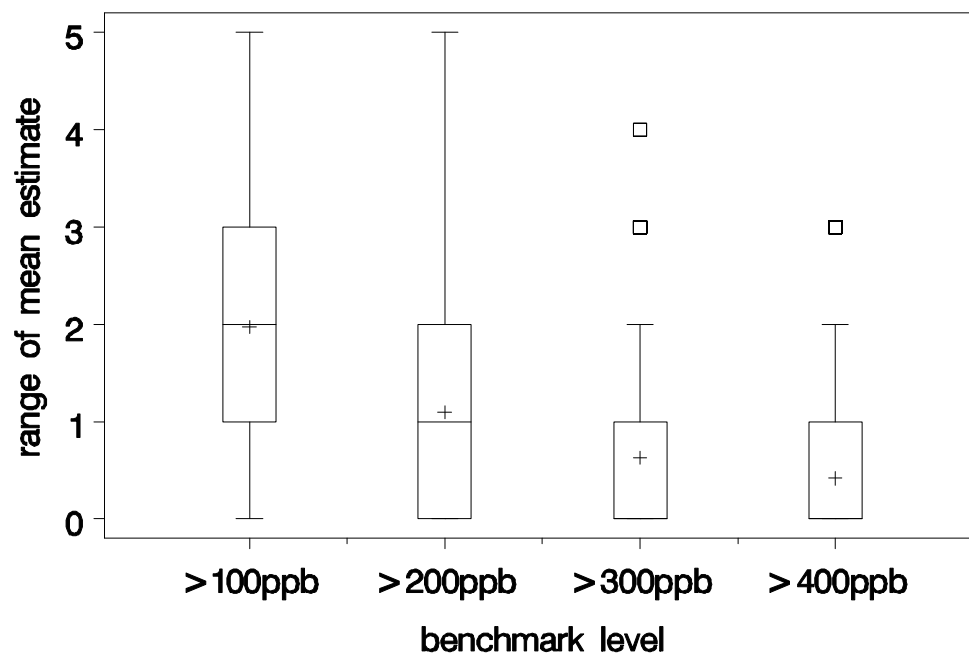


Figure 7-38. Distributions of the maximum difference in the estimated mean number of exceedances per site-year given 10 independent model runs (with 20 simulations per run). Data used are from 40 county *as is* air quality (610 site-years). Box represents the inner quartile range (IQR, or the 25<sup>th</sup> to 75<sup>th</sup> percentile), + indicates the mean, whiskers are 1.5 times the IQR.

#### ***7.4.2.7 Potential Health Risk Endpoints Used***

The choice of potential health effect benchmarks levels and the use of those benchmarks to characterize risks are important uncertainties in the air quality characterization results. Human exposure is characterized by contact of a pollutant with a person, and as such, the air quality characterization assumes that the ambient monitoring concentrations can serve as an indicator of exposure. The ISA reports that personal exposure measurements (PEM) are of limited use since ambient SO<sub>2</sub> concentrations are typically below the detection limit of the personal samplers. There is no method to quantitatively assess the relationship between 5-minute ambient monitoring data and 5-minute personal exposures, particularly since personal exposures are time-averaged over days to weeks, and never by 5-minute averages. Therefore the fraction of actual 5-minute maximum personal exposure concentrations attributed to 5-minute maximum ambient SO<sub>2</sub> is unknown and thus contributes to uncertainty when using ambient air quality data as an indicator of human exposure.

An evaluation in the ISA indicates the relationship between longer-term averaged ambient monitoring concentrations and personal exposures is strong, particularly when ambient concentrations are above the limit of detection. The strength of the relationship between personal and ambient SO<sub>2</sub> concentrations is supported further by the limited presence of indoor sources of SO<sub>2</sub>; much of an individuals' personal exposure is of ambient origin. However, SO<sub>2</sub> personal exposure concentrations are reportedly a small fraction of ambient concentrations. This is because local outdoor SO<sub>2</sub> concentrations are typically half that of the ambient monitoring SO<sub>2</sub> concentrations, and indoor concentrations about half that of the local outdoor SO<sub>2</sub> concentrations (ISA). Therefore, while the relationship between personal exposures and ambient SO<sub>2</sub> concentrations is strong, the use of monitoring data as an indicator of SO<sub>2</sub> exposure may lead to an overestimate in the number of peak concentrations those individuals might encounter. While the magnitude of the uncertainty about the true relationship between actual human exposure and any given ambient monitor short-term concentration exceedance is unknown, it is judged by staff to be of a medium magnitude given what is known regarding the relationship between longer-term PEM and ambient SO<sub>2</sub> concentrations.

There is uncertainty regarding how susceptible populations were considered in developing the potential health benchmark levels. The human clinical exposure studies

evaluated airways responsiveness in mild to moderate asthmatics. Health effect symptoms and responses were observed in these test subjects exposed to concentrations as low as 200 ppb in the free-breathing chamber studies. As such, a concentration of 200 ppb could well represent a lower range of the benchmark level for mild to moderate asthmatics. However, for ethical reasons, adults with severe asthma and younger asthmatics are not commonly challenged in air pollutant studies. This is because severe asthmatics and/or asthmatic children may be more susceptible than mild asthmatic adults to the effects of SO<sub>2</sub> exposure. Therefore, exposure levels (and hence selected benchmark levels) lower than those used in free-breathing chamber studies may be important in representing populations with greater susceptibility. Staff selected 100 ppb as the lowest benchmark level based on effects observed in mild to moderate asthmatics using facemasks at that level and to consider potential effects in susceptible populations at lower 5-minute concentrations. In the absence of strong quantitative evidence it is difficult to determine if 100 ppb would be health protective for asthmatics (mild, moderate, or severe) or if 100 ppb is a concentration that would elicit an adverse effect. Based on this, staff acknowledges there is medium uncertainty in the knowledge-base regarding representativeness of the lowest benchmark level selected, but judge that the magnitude of influence to the estimated health risk is low given the inclusion of the 100 ppb level.

Staff also acknowledges that there may be uncertainty in the selected potential health effect benchmark averaging time. For example, the used in this assessment were from studies where volunteers were exposed to SO<sub>2</sub> for varying lengths of time. Typically, the SO<sub>2</sub> exposure durations in the controlled human studies were between 5 and 10 minutes. This could be an important uncertainty because the potential health effect benchmark levels were compared to concentration exceedances occurring over 5-minutes. That is, if there were a difference in the response rate for a given concentration level and averaging time, the use of a 5-minute averaging time could either lead to over- or under-estimation in the health risk characterization. The true exposure-response relationship may be dependent on both the combined concentration level and the exposure duration, that is, it is possible that a particular response rate observed at a 10-minute exposure level of concentration  $x$  may be similar to that of a 5-minute exposure level equal to or greater than concentration  $x$ . In this hypothetical scenario, if benchmarks were derived from 10 minute exposures and applied in the evaluation of 5-minute ambient concentrations, the risk characterization may well be over-estimated. However, the ISA did not distinguish between

health effects observed following either 5- or 10-minute exposures. Therefore the direction of influence to the potential health risk is judged as none, and given a general consistency in the observed responses involving either 5- or 10-minute exposures, staff judges the uncertainty in the knowledge-base as low.

The health effect endpoint used in the air quality characterization was the observed or estimated number days the maximum 5-minute SO<sub>2</sub> concentration exceeded a particular benchmark level. Staff acknowledges that this choice could result in the risk characterization under-estimating the health risk because there can be multiple exceedances of the benchmark levels in a day (Table 7-18). Using the monitors reporting 5-minute SO<sub>2</sub> maximum concentrations, approximately half of the time there was a single benchmark exceedance in a day. For most days having an exceedance (about 80-90%), there were no more than three that occurred in a day. There were several days having many benchmark exceedances within a day (e.g., > 5), particularly when considering the lowest benchmark levels. However in this air quality analysis, none of the elements of exposure are considered (e.g., whether or not time of exposure occurs coincident with elevated activity level), thus limiting the relevance of multiple exceedances within a day. While the risk characterization could be considered under-estimated, the magnitude of influence by this source of uncertainty is judged by staff as low given the defined limits of the air quality characterization. Furthermore, staff acknowledges that multiple benchmark exceedances of 5-minutes can occur within an hour. This issue and its implications for characterizing health risk are more relevant to human exposure than the air quality analysis and are discussed in greater detail in section 8.11.

**Table 7-18. The number and percent of days having multiple benchmark exceedances occurring in the same day, using monitors reporting the 5-minute maximum SO<sub>2</sub> concentrations.**

Number of Exceedances per Day <sup>1</sup>	5-minute SO <sub>2</sub> Benchmark Level							
	> 100 ppb		> 200 ppb		> 300 ppb		> 400 ppb	
	days <sup>2</sup>	percent <sup>2</sup>	days	percent	days	percent	days	percent
1	3806	43	1390	50	740	50	512	53
2	1923	22	613	22	349	24	248	26
3	1093	12	327	12	183	12	111	12
4	640	7	152	5	87	6	46	5
5	424	5	114	4	48	3	19	2
6	286	3	60	2	25	2	15	2
7	185	2	52	2	22	1	8	1
8	127	1	27	1	8	1	0	0
9	100	1	21	1	4	0	0	0
10	68	1	14	1	5	0	0	0
11	45	1	7	0	2	0	0	0
12	38	0	7	0	0	0	0	0
13	18	0	4	0	1	0	0	0
14	27	0	1	0	0	0	1	0
15	7	0	1	0	1	0	1	0
16	11	0	2	0	0	0	0	0
17	3	0	0	0	0	0	0	0
18	6	0	1	0	1	0	0	0
19	5	0	0	0	0	0	0	0
20	2	0	0	0	0	0	0	0
21	0	0	0	0	0	0	0	0
22	0	0	0	0	0	0	0	0
23	3	0	0	0	0	0	0	0
24	0	0	0	0	0	0	0	0
Sum	8817		2793		1476		961	
<b>Notes:</b> <sup>1</sup> The number of 5-minute maximum benchmark exceedances within a day could range from 1 to 24 given the number of hours in a day. <sup>2</sup> The total number of days having the given number of multiple exceedances within the day. <sup>3</sup> The percent of days having an exceedance with the given number of multiple exceedances per day.								

## 7.5 KEY OBSERVATIONS

Presented below are key observations resulting from the SO<sub>2</sub> air quality characterization:

- For unadjusted *as is* air quality at ambient monitors measuring 5-minute maximum concentrations, nearly 70% of the 471 site-years analyzed had at least one daily 5-minute maximum concentration above 100 ppb and over 100 site-years (more than 21%) had ≥ 25 days with a daily 5-minute maximum concentration above 100 ppb. Less than half (44%) of the site-years had at least one daily 5-minute maximum concentration above 200 ppb and only 36 site-years had ≥ 25 days with a daily 5-minute maximum

concentration above 200 ppb. Approximately 25% and 17% of the 471 site-years analyzed had at least one daily 5-minute maximum concentration above 300 and 400 ppb, respectively, with 23 and 12 site-years having  $\geq 25$  days with a daily 5-minute maximum concentration above 300 and 400 ppb, respectively (Appendix A, Table A.5-1).

- For any of the air quality scenarios considered, the probability of exceeding the 5-minute maximum benchmark levels was consistently greater at monitors sited in low-population density areas compared with high-population density areas. In addition, an increased probability of any 5-minute benchmark exceedance was consistently related to either increased 24-hour average or 1-hour daily maximum concentrations.
- For unadjusted air quality in the 40 counties selected for detailed analysis, most counties are estimated to have, on average, fewer than 50 days per year where the daily 5-minute maximum ambient SO<sub>2</sub> concentrations are  $> 100$  ppb. Most counties are estimated to have, on average, 25 days per year with daily 5-minute maximum ambient SO<sub>2</sub> concentrations  $> 200$  ppb. Very few counties are estimated to have more than ten days with 5-minute maximum SO<sub>2</sub> concentrations  $> 300$  ppb, while nearly half did not have any days with 5-minute maximum SO<sub>2</sub> concentrations  $> 400$  ppb (Tables 7-11 to 7-14).
- When air quality is adjusted to simulate just meeting the current annual standard in the 40 counties selected for detailed analysis, a hypothetical scenario requiring air quality to be adjusted upward, all locations evaluated are estimated to have multiple days per year where 5-minute maximum ambient SO<sub>2</sub> concentrations are  $> 100$  ppb. Most counties are estimated to have, on average, 100 days or more per year with 5-minute maximum ambient SO<sub>2</sub> concentrations  $> 100$  ppb, while eight of the forty counties are estimated to have 200 days or more per year with 5-minute maximum ambient SO<sub>2</sub> concentrations  $> 100$  ppb. Fewer benchmark exceedances are estimated to occur with higher benchmark levels. For example, only five counties are estimated to have 60 or more days per year with 5-minute maximum ambient SO<sub>2</sub> concentrations that exceed 300 ppb (Table 7-13) and only four counties are estimated to 50 or more days per year with 5-minute maximum ambient SO<sub>2</sub> concentrations that exceed 400 ppb (Table 7-14).
- In all 40 counties, potential alternative standard levels of 100 and 150 ppb are estimated to result in fewer days per year with 5-minute maximum SO<sub>2</sub> concentrations  $> 300$  and  $> 400$  ppb than with the current standards and the potential alternative standard levels of 200 and 250 ppb (Tables 7-13 and 7-14).
- When considering the potential 1-hour daily maximum potential alternative standard levels of 100 and 200 ppb in all 40 counties, corresponding annual average SO<sub>2</sub> concentrations were typically between 3 and 15 ppb, similar to a range of concentrations using unadjusted air quality (Appendix A). When considering the potential alternative standard levels of 200 and 250 ppb, corresponding annual average SO<sub>2</sub> concentrations were typically between 10 and 30 ppb, similar to the range of concentrations observed when using adjusted air quality that just meets the current annual standard.
- Of the fifteen uncertainties qualitatively judged to influence the estimated number of days with air quality benchmark exceedances, three may be associated with over-estimation, three may be associated with under-estimation, while the remaining uncertainties could affect results in both directions (four sources), no direction (four sources), or unknown



direction (one source) (see Table 7-16). The magnitude of influence for four of the six uncertainties associated with either over- or under-estimation was estimated as low (or negligible magnitude of influence). Staff judged the two remaining uncertainties as having a medium magnitude of influence in under-estimating the number of days with benchmark exceedances, both of which were associated with the spatial representation of the monitoring network. Based on this overall characterization regarding the direction and magnitude of influence identified sources of uncertainty, there may be a medium level under-estimate in the number of days with air quality benchmark exceedances.

- For the most part, the knowledge-base uncertainty for sources with unknown or bidirectional influence ranged from low (four sources) to medium (four sources), though uncertainty regarding the spatial scale of the air quality adjustment procedure (direction of influence was both, medium magnitude) was judged as high. The knowledge-base uncertainty was low for four of the six sources associated with either an under- or over-estimation direction of influence. A high degree of uncertainty in the knowledge-base was assigned to the spatial representation of the monitoring network. Based on this overall characterization regarding the knowledge-base, there is a high level of uncertainty associated with the most influential source.
- Staff identified four other sources of uncertainty in the air quality characterization as having influence on the characterization of health risk. The most influential and most uncertain source of the four is associated with the direct use of air quality benchmark exceedances as an indicator of exposure. The number of days with 5-minute exposures above benchmark levels would likely be lower than the number of days where there were ambient SO<sub>2</sub> concentrations above benchmark levels. Thus, the air quality characterization may over-estimate the health risk due to this factor

## 8. EXPOSURE ANALYSIS

### 8.1 OVERVIEW

This section documents the methodology and data staff used in the inhalation exposure assessment and associated health risk characterization for SO<sub>2</sub> conducted in support of the current review of the SO<sub>2</sub> primary NAAQS. Two important components of the analysis include the approach for estimating temporally and spatially variable SO<sub>2</sub> concentrations and simulating human contact with these pollutant concentrations. The approach was designed to better reflect exposures that may occur near SO<sub>2</sub> emission sources, not necessarily reflected by the existing ambient monitoring data alone.

Staff used a combined air quality and exposure modeling approach to generate estimates of 5-minute maximum, 24-hour, and annual average SO<sub>2</sub> exposures within Greene County, MO, and three Counties within the St. Louis Metropolitan Statistical Area (MSA) for the year 2002. AERMOD, an EPA recommended dispersion model, was used to estimate 1-hour ambient SO<sub>2</sub> concentrations using emissions estimates from stationary, non-point, and port sources. The Air Pollutants Exposure (APEX) model, an EPA human exposure model, was used to estimate 5-minute population exposures using the census block level hourly SO<sub>2</sub> concentrations estimated by AERMOD and the statistical model described in section 7.2.3. Staff used the person-based exposure profiles to calculate the number of days per year an individual had at least one 5-minute exposure above the potential health effect benchmark levels of 100, 200, 300, and 400 ppb.

Exposure and potential health risk were characterized considering recent air quality conditions (*as is*), for air quality adjusted to just meet the current SO<sub>2</sub> primary standards (0.030 ppm, annual average; 0.14 ppm, 24-hour average), and for just meeting potential alternative standards (see Chapter 5 for selection justification). Specifically, APEX reported the number of times an individual experienced a day with a 5-minute exposure in excess of 100 ppb through 800 ppb.<sup>51</sup> The exposures for each individual were estimated over an entire year therefore, multiple occurrences of exposures above the benchmark levels are also available.

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<sup>51</sup> The complete output from APEX includes 5-minute exposure concentrations at 50 ppb increments through 800 ppb which served as an input to the risk assessment performed in Chapter 9. The health effect benchmarks evaluated in the exposure assessment were defined as 100 to 400 ppb by increments of 100 ppb.

The approaches used for assessing exposures in Greene County and St. Louis are described below. Additional model input data and supporting discussion of APEX modeling are provided in Appendix B. Briefly, the discussion in this Chapter includes the following.

- Description of the inhalation exposure model and associated input data used for Green County and St. Louis;
- Evaluation of estimated SO<sub>2</sub> air quality concentrations and exposures; and
- Assessment of the quality and limitations of the input data for supporting the goals of the SO<sub>2</sub> NAAQS exposure and risk characterization.

The overall flow of the exposure modeling process performed for this SO<sub>2</sub> NAAQS review is illustrated in Figure 8-1. Several models were used in addition to APEX and AERMOD including emission factors and meteorological processing models, as well as a number of databases and literature sources to populate the model input parameters. Each of these is described within this Chapter, supplemented with additional details in Appendix B.

## **8.2 OVERVIEW OF HUMAN EXPOSURE MODELING USING APEX**

The EPA has developed the APEX model for estimating human population exposure to criteria and air toxic pollutants. APEX serves as the human inhalation exposure model within the Total Risk Integrated Methodology (TRIM) framework (EPA 2009a; 2009b). APEX was recently used to estimate population exposures in 12 urban areas for the O<sub>3</sub> NAAQS review (EPA, 2007d; 2007e) and in estimating population NO<sub>2</sub> exposures in Atlanta as part of the NO<sub>2</sub> NAAQS review (EPA, 2008d).

APEX is a probabilistic model designed to account for sources of variability that affect people's exposures. APEX simulates the movement of individuals through time and space and estimates their exposure to a given pollutant in indoor, outdoor, and in-vehicle microenvironments. The model stochastically generates a sample of simulated individuals using census-derived probability distributions for demographic characteristics. The population demographics are drawn from the year 2000 Census at the tract, block-group, or block-level, and a national commuting database based on 2000 census data provides home-to-work commuting flows. Any number of simulated individuals can be modeled, and collectively they approximate a random sampling of people residing in a particular study area.

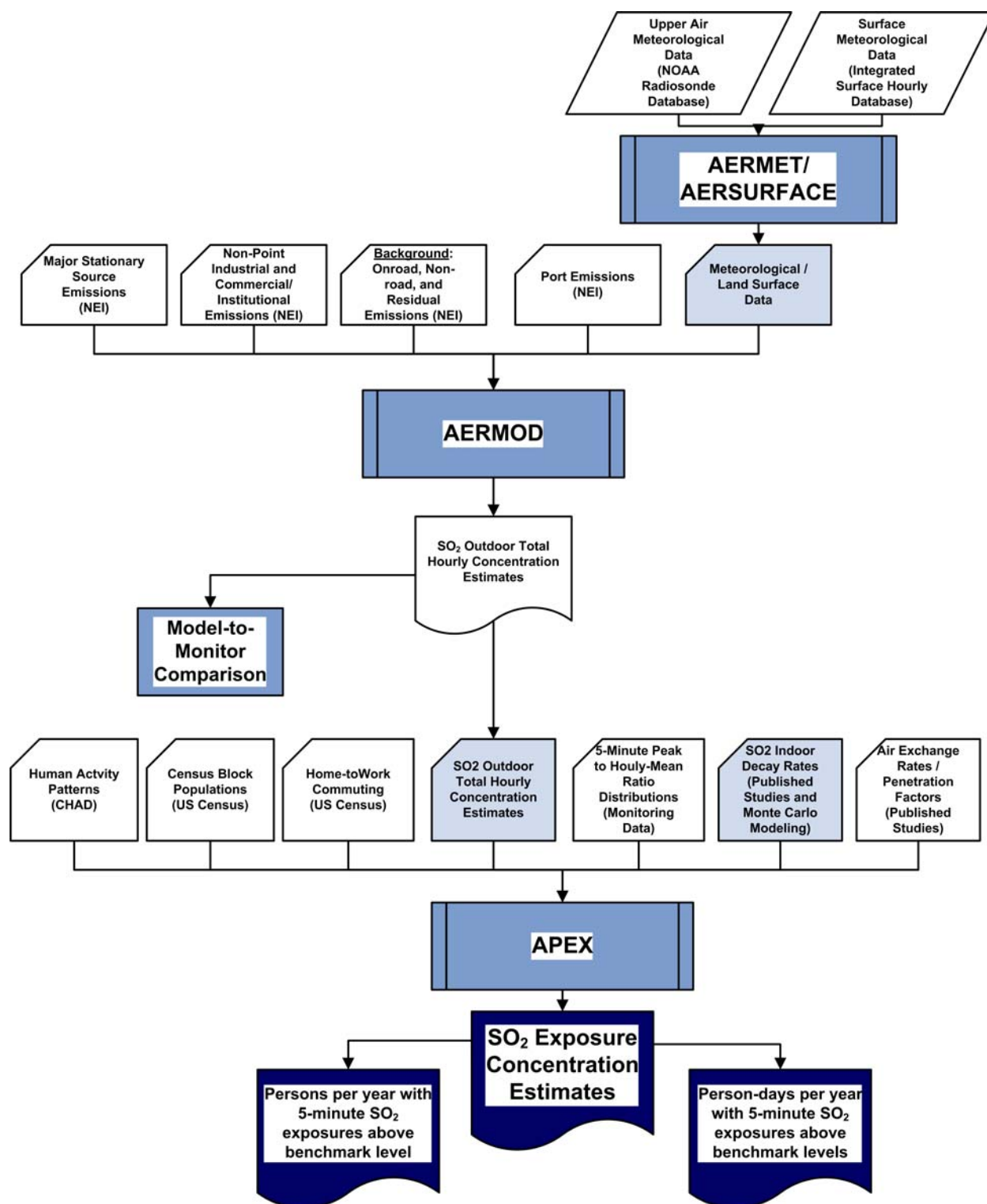


Figure 8-1. General process flow used for SO<sub>2</sub> exposure assessment.

Daily activity patterns for individuals in a study area, an input to APEX, are obtained from detailed diaries that are compiled in the Consolidated Human Activity Database (CHAD) (McCurdy et al., 2000; EPA, 2002). The diaries are used to construct a sequence of activity events for simulated individuals consistent with their demographic characteristics, day type, and season of the year, as defined by ambient temperature regimes (Graham and McCurdy, 2004). The time-location-activity diaries input to APEX contain information regarding an individuals' age, gender, race, employment status, occupation, day-of-week, daily maximum hourly average temperature, the location, start time, duration, and type of each activity performed. Much of this information is used to best match the activity diary with the generated personal profile, using age, gender, employment status, day of week, and temperature as first-order characteristics. The approach is designed to capture the important attributes contributing to an individuals' behavior, and of likely importance in this assessment (i.e., time spent outdoors) (Graham and McCurdy, 2004). Furthermore, these diary selection criteria give credence to the use of the variable data that comprise CHAD (e.g., data collected were from different seasons, different states of origin, etc.).

APEX has a flexible approach for modeling microenvironmental concentrations, where the user can define the microenvironments to be modeled and their characteristics. Typical indoor microenvironments include residences, schools, and offices. Outdoor microenvironments include for example near roadways, at bus stops, and playgrounds. Inside cars, trucks, and mass transit vehicles are microenvironments which are classified separately from indoors and outdoors. APEX probabilistically calculates the concentration in the microenvironment associated with each event in an individual's activity pattern and sums the event-specific exposures within each hour to obtain a continuous series of hourly exposures spanning the time period of interest. The estimated microenvironmental concentrations account for the contribution of ambient (outdoor) pollutant concentration and influential factors such as the penetration rate into indoor microenvironments, air exchange rates, decay/deposition rates, proximity to important outdoor sources, and indoor source emissions. Each of these influential factors are dependent on the microenvironment modeled, available data to define model inputs, and estimation method selected by the model user. And, because the modeled individuals represent a random sample of the population of interest, the distribution of modeled individual exposures can be extrapolated to the larger population within the modeling domain.

The exposure modeling simulations can be summarized by five steps, each of which is detailed in the subsequent sections of this document. Briefly, the five steps are as follows:

1. **Characterize the study area.** APEX selects the census blocks within a study area – and thus identifies the potentially exposed population – based on user-defined criteria and availability of air quality and meteorological data for the area.
2. **Generate simulated individuals.** APEX stochastically generates a sample of hypothetical individuals based on the demographic data for the study area and estimates anthropometric and physiological parameters for the simulated individuals.
3. **Construct a sequence of activity events.** APEX constructs an exposure event sequence spanning the period of the simulation for each of the simulated individuals using time-location-activity pattern data.
4. **Calculate 5-minute and hourly concentrations in microenvironments.** APEX users define microenvironments that people in the study area would visit by assigning location codes in the activity pattern to the user-specified microenvironments. The model calculates all 5-minute concentrations occurring within the hour (one maximum along with eleven other 5-minute values normalized to the hourly mean) in each microenvironment for the period of simulation, based on the user-provided microenvironment descriptions, the hourly air quality data, and peak-to-mean ratios (PMRs; see section 7.2.3). Microenvironmental concentrations are calculated independently for each of the simulated individuals.
5. **Estimate exposures.** APEX estimates a concentration for each exposure event<sup>52</sup> based on the microenvironment occupied during the event. In this assessment, APEX estimated 5-minute exposures. These exposures can also be averaged by clock hour to produce a sequence of hourly average exposures spanning the specified exposure period. The values may be further aggregated to produce daily, monthly, and annual average exposure values.

## 8.3 CHARACTERIZATION OF STUDY AREAS

### 8.3.1 Study Area Selection

The selection of areas to include in the exposure analysis takes into consideration the availability of ambient monitoring, the presence of significant and diverse SO<sub>2</sub> emission sources, population demographics, and results of the ambient air quality characterization. Although it could be useful to characterize SO<sub>2</sub> exposures nationwide, because the exposure modeling approach is both time and labor intensive, a regional and source-oriented approach was selected

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<sup>52</sup> An exposure event is a continuous period of time during which the factors that affect exposure (microenvironment inhabited, activity performed, ventilation rate, and pollutant concentration) can be considered constant.

to make the analysis tractable and with the goal of focusing on areas most likely to have elevated SO<sub>2</sub> peak concentrations and with sufficient data to conduct the analysis.

A broad study area was first identified based on the results of a preliminary screening of the 5-minute ambient SO<sub>2</sub> monitoring data that were available. The state of Missouri was one of only a few states reporting both 5-minute maximum and continuous 5-minute SO<sub>2</sub> ambient monitoring data (14 total monitors), as well as having over thirty monitors in operation at some time during the period from 1997 to 2007 that measured 1-hour SO<sub>2</sub> concentrations. In addition, the air quality characterization described in Chapter 7 estimated frequent exceedances above the potential health effect benchmark levels at several of the 1-hour ambient monitors within Missouri. In a ranking of estimated SO<sub>2</sub> emissions reported in the National Emissions Inventory (NEI), Missouri ranked 7<sup>th</sup> out of all U.S. states for the number of stacks with annual emissions greater than 1,000 tons. These stack emissions were associated with a variety source types such as electrical power generating units, chemical manufacturing, cement processing, smelters, and emissions associated with port operations.

In the 1<sup>st</sup> draft SO<sub>2</sub> REA, several modeling domains were characterized within the selected state of Missouri to assess the feasibility of the modeling methods. These modeling domains were defined as areas within 20 km of a major point source of SO<sub>2</sub> emissions. While modeled air quality and exposure results were generated for several of these domains in the 1<sup>st</sup> draft REA, changes in the methodology used in this 2<sup>nd</sup> draft REA precluded additional analysis for most of the domains originally selected. Staff judged the availability of relevant ambient monitoring data within the model domain as essential in evaluating the dispersion model performance, increasing confidence in the predicted air quality and exposure modeling results. For example, when comparing the modeled air quality to ambient monitoring data in Greene County in the 1<sup>st</sup> draft REA, it was judged by staff that non-point source emissions may contribute to a large proportion of measured ambient concentrations. Addressing non-point source emissions then added a layer to the already complex modeling performed, further limiting the potential number of locations analyzed. Second, to assess the impact of potential alternative standards, baseline conditions (*as is* air quality) need to be known, again requiring ambient monitoring data. Because Greene County had a number of ambient monitors and most of the model input data were already well-defined, it was selected for further modeling in the 2<sup>nd</sup> draft REA. Additionally, staff decided that modeling a large urban area would be advantageous in

combining both large emission sources and large potentially exposed populations. Modeling for St. Louis, Mo. was already underway at the time the 1<sup>st</sup> draft REA was completed, therefore it was decided that exposure modeling in this domain should be continued and expanded for other sources for the 2<sup>nd</sup> draft and the final REAs.

### **8.3.2 Study Area Descriptions**

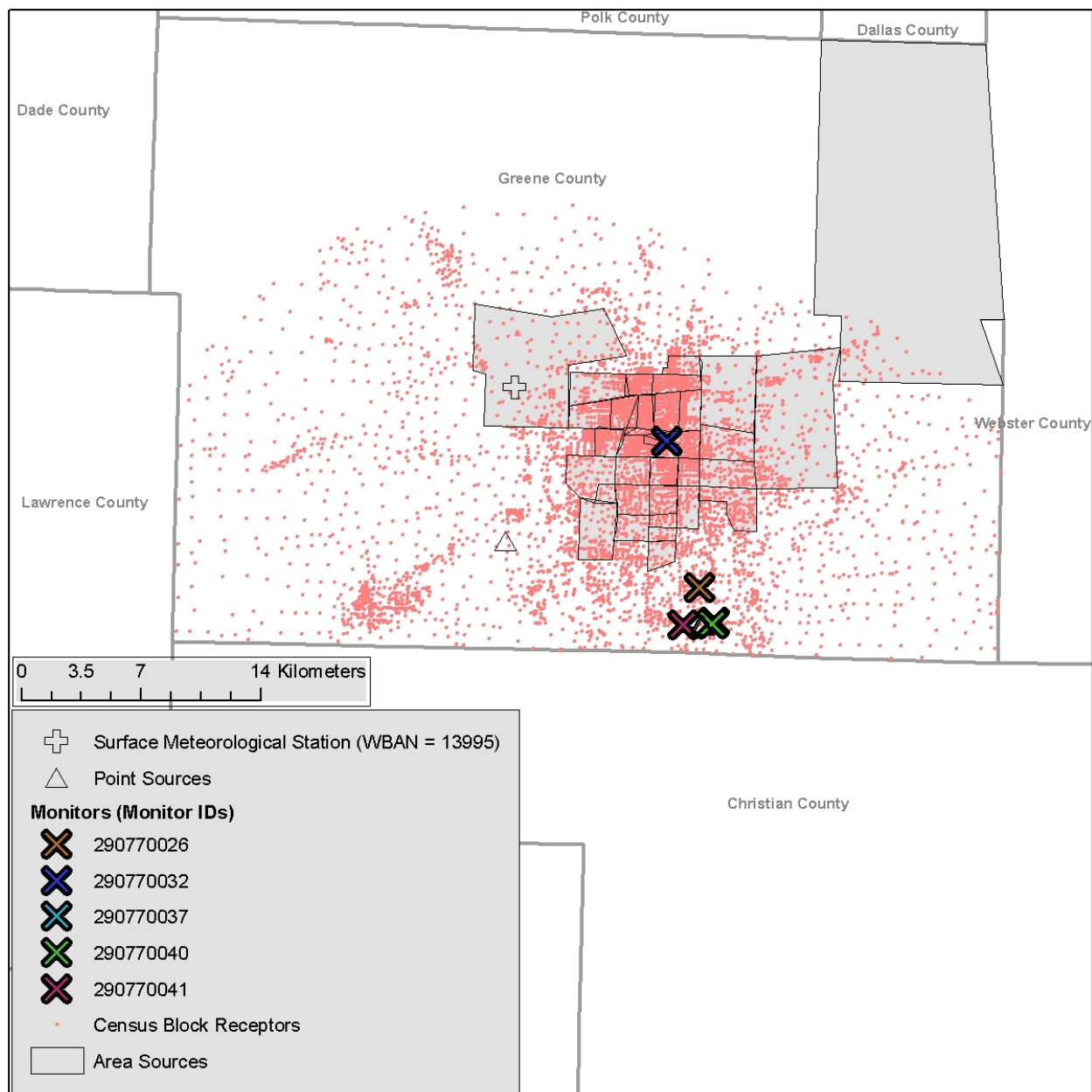
#### ***8.3.2.1 Greene County, Mo.***

The greater Springfield, Mo., Metropolitan Statistical Area (MSA) consists of five counties in southwestern Missouri including Christian, Dallas, Greene, Polk, and Webster counties. The only city in the region with a population greater than 150,000 is Springfield, in Greene County. Greene County has a total area of approximately 678 mi<sup>2</sup> (1,756 km<sup>2</sup>). Due to the complexity of the air quality and exposure modeling performed in this exposure assessment and the focus on receptors within 20 km of stationary sources, the modeling domain was limited to Greene County (see Figure 8-2). The Springfield-Branson Regional Airport (WBAN 13995) served as the source of meteorological data used in the Greene County modeling domain.

#### ***8.3.2.2 St. Louis, Mo. Area***

The greater St. Louis Metropolitan Statistical Area (MSA) is the 18<sup>th</sup> largest MSA in the United States and includes the independent City of St. Louis; the Missouri counties of St. Louis, St. Charles, Jefferson, Franklin, Lincoln, Warren, and Washington; as well as the Illinois counties of Madison, St. Clair, Macoupin, Clinton, Monroe, Jersey, Bond, and Calhoun. The total MSA has an area of approximately 8,846 mi<sup>2</sup> (22,911 km<sup>2</sup>). Due to the complexity of the air quality and exposure modeling performed in this exposure assessment and the focus on receptors within 20 km of stationary sources, staff limited the modeling domain to three counties directly surrounding the city of St. Louis: St. Louis City, St. Louis County, and St. Charles County (see Figure 8-3). These three counties comprise much of the urban center of the St. Louis MSA, with a combined population of about 1.15 million (2000 Census), which is approximately 45 percent of the Greater St. Louis MSA population.





**Figure 8-2. Modeling domain for Greene County Mo., along with identified emissions sources, air quality receptors, ambient monitors, and meteorological station.**

The St. Louis modeling domain defined in this REA was assembled from three separate modeling domains described in the 1<sup>st</sup> draft SO<sub>2</sub> REA, aggregated to utilize the most reliable hourly meteorological data available (St. Louis International-Lambert Field; WBAN 13994). It was then reduced to just the three counties of the urban core described above. Figure 8-3 shows the modeling domain for the greater St. Louis, MO area.

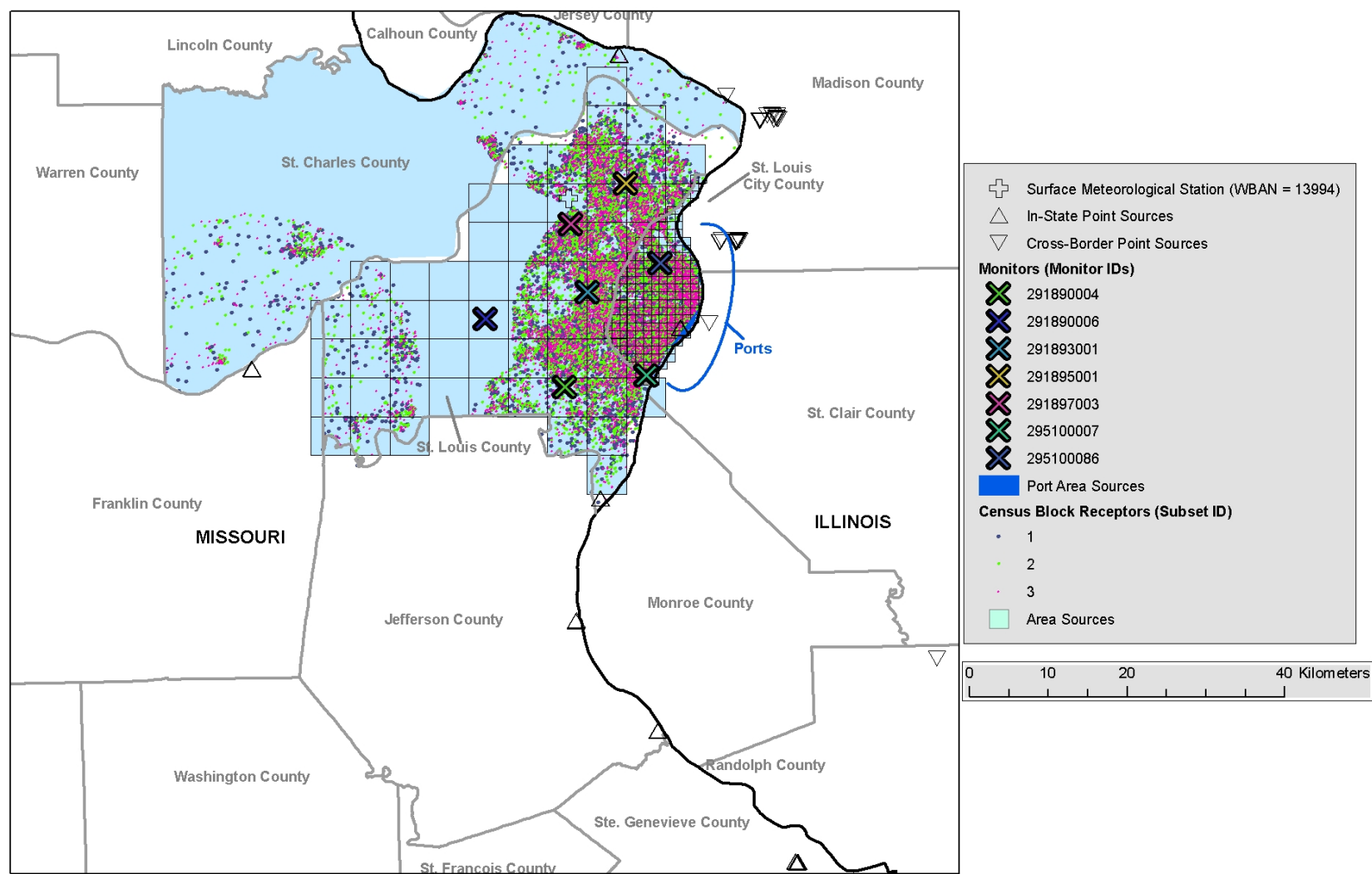


Figure 8-3. Three county modeling domain for St. Louis, Mo., along with identified emissions sources, air quality receptors, ambient monitors, and meteorological station.

### **8.3.3 Time Period of Analysis**

Calendar year 2002 was simulated for both modeling domains to characterize the most recent year of emissions data available for the study locations. Year 2002 temperature and precipitation used in the dispersion modeling was compared with 30-year climate normal period data from 1978 through 2007. For Greene County, 2002 temperatures were similar to the 30-year normal (56.2 °F compared to 56.3 °F) though drier than the 30-year normal (37.8 in. compared to 40.2 in.). For St. Louis, 2002 temperatures were warmer on average than the 30-year normal (57.9 °F compared to 56.8 °F) and received an annual rainfall total that was similar with the 30-year normal (40.9 in. compared to 39.1 in.). See Appendix B, Attachment 1 for further details.

### **8.3.4 Populations Analyzed**

The exposure assessment included the total population residing in each modeled area and population subgroups that were considered more susceptible as identified in the ISA. These population subgroups include:

- Asthmatic children (5-18 years in age)
- All Asthmatics (all ages)

In addition, based on the observed responses in the human clinical trials, all asthmatic exposures were characterized only when the individual was at moderate or greater exertion levels during the exposure events (see sections 8.5.5 and 8.8.2).

## **8.4 CHARACTERIZATION OF AMBIENT HOURLY AIR QUALITY DATA USING AERMOD**

### **8.4.1 Overview**

Air quality data used for input to APEX were generated using AERMOD, a steady-state, Gaussian plume model (EPA, 2004a). For both modeling domains, the following steps were performed.

1. **Collect and analyze general input parameters.** Meteorological data, processing methodologies used to derive input meteorological fields (e.g., temperature, wind speed, precipitation), and information on surface characteristics and land use are needed to help determine pollutant dispersion characteristics, atmospheric stability and mixing heights.

2. **Define sources and estimate emissions.** The emission sources modeled included:
  - a. Major stationary emission sources within the domain,
  - b. Major stationary emission sources outside the domain (cross-border stacks)
  - c. Non-point source area emissions,
  - d. Emissions from ports, and
  - e. Background sources not otherwise captured.However, note that not all source categories were present in both modeling domains.
3. **Define air quality receptor locations.** Two sets of receptors were identified for the dispersion modeling, including ambient monitoring locations (where available) and census block centroids.
4. **Estimate concentrations at receptors.** Full annual time series of hourly concentration were estimated for 2002 by summing concentration contributions from each of the emission sources at each of the defined air quality receptors.

Estimated hourly concentrations output from AERMOD were then used as input to the APEX model to estimate population exposure concentrations. Details regarding both modeling approaches and input data used are provided below. Supplemental information regarding model inputs and methodology is provided in Appendix B.

## **8.4.2 General Model Inputs**

### ***8.4.2.1 Meteorological Inputs***

All meteorological data used for the AERMOD dispersion model simulations were processed with the AERMET meteorological preprocessor, version 06341. The National Weather Service (NWS) served as the source of input meteorological data for AERMOD. Tables 8-1 and 8-2 list the surface and upper air NWS stations chosen for the two areas. A potential concern related to the use of NWS meteorological data is the often high incidence of calms and variable wind conditions reported for the Automated Surface Observing Stations (ASOS) in use at most NWS stations. A variable wind observation may include wind speeds up to 6 knots, but the wind direction is reported as missing. The AERMOD model currently cannot simulate dispersion under these conditions. To reduce the number of calms and missing winds in the surface data for each of the four stations, archived one-minute winds for the ASOS stations were used to calculate hourly average wind speed and directions, which were used to supplement the standard archive of winds reported for each station in the Integrated Surface Hourly (ISH) database. Details regarding this procedure are described in Appendix B, Attachment 1.

**Table 8-1. Surface stations for the SO<sub>2</sub> study areas.**

Area	Station	Identifier	WMO (WBAN)	Latitude <sup>1</sup>	Longitude <sup>1</sup>	Elevation (m)	Time Zone <sup>2</sup>
Greene County	Springfield-Branson Regional AP	SGF	724400 (13995)	37.23528	-93.40028	387	6
St. Louis	Lambert-St. Louis International AP	STL	724340 (13994)	38.7525	-90.37361	161	6

**Notes:**  
<sup>1</sup> Latitude and longitude are the best approximation coordinates of the meteorological towers.  
<sup>2</sup> Time zone is the offset from UTC/GMT to LST in hours.

**Table 8-2. Upper air stations for the SO<sub>2</sub> study areas.**

Area	Station	Identifier	WMO (WBAN)	Latitude	Longitude	Elevation (m)	Time Zone <sup>1</sup>
Greene County	Springfield-Branson Regional AP	SGF	724400 (13995)	37.23	-93.40	394	6
St. Louis	Lincoln-Logan County AP, IL	ILX	724340 (4833)	40.15	-89.33	178	6

**Notes:**  
<sup>1</sup> Time zone is the offset from UTC/GMT to LST in hours.

#### ***8.4.2.2 Surface Characteristics and Land Use Analysis***

The AERSURFACE tool (US EPA, 2008e) was used to determine surface characteristics (albedo, Bowen ratio, and surface roughness) for input to AERMET. Surface characteristics were calculated for the location of the ASOS meteorological towers, approximated by using aerial photos and the station history from the National Climatic Data Center (NCDC). A draft version of AERSURFACE (08256) that utilizes 2001 National Land Cover Data (NLCD) was used to determine the surface characteristics for this application since the 2001 land cover data will be more representative of the meteorological data period than the 1992 NLCD data supported by the current version of AERSURFACE available on EPA's SCRAM website. All stations considered were located at an airport. Monthly seasonal assignments were defined as shown in Table 8-3 and because the AERSURFACE default seasonal assignments were not used, the surface characteristics were output by month. Note, the winter options can be winter (no

snow) or winter (continuous snow on ground).<sup>53</sup> The exposure modeling domains experienced less than 28.5 days per year of at least one inch (25.4 mm) of ground snow depth according to CLIMAP contours,<sup>54</sup> so no month was expected to have continuous snow on ground and hence the designation of winter (no snow) only.

**Table 8-3. Seasonal monthly assignments.**

Station	Winter (no snow)	Spring	Summer	Autumn
SGF	December, January, February, March	April, May	June, July, August	September, October, November
STL	December, January, February	March, April, May	June, July, August	September, October, November
Seasonal definitions				
Winter (no snow)	Late autumn after frost and harvest, or winter with no snow			
Spring	Transitional spring with partial green coverage or short annuals			
Summer	Midsummer with lush vegetation			
Autumn	Autumn with unharvested cropland			

### 8.4.3 Stationary Sources Emissions Preparation

#### 8.4.3.1 Emission Sources and Locations

##### *Point Sources*

Point sources at major facilities were identified and paired to a representative surface meteorological station. Any stacks listed as in the same location with identical release parameters within a certain resolution (typically to the nearest integer value) were aggregated into a single stack to simplify modeling but retain all emissions. For this analysis, major facilities were defined as those with an SO<sub>2</sub> emission total exceeding 1,000 tpy in 2002. Within such facilities, every stack emitting more than one tpy was included in the modeling inventory. This process resulted in the identification of 11 (combined) stacks in Greene County and 38 (combined) stacks in St. Louis. Additionally, 45 (combined) stacks were identified across the state border that could influence concentrations in St. Louis. These cross-border stacks were modeled the same as the within-state stacks. The locations of all emitting stacks were corrected based on GIS analysis. This was necessary because many stacks in the NEI are assigned the

<sup>53</sup> The designation of winter (continuous snow) would tend to increase wintertime albedo and decrease wintertime Bowen ratio and surface roughness for most land-use types compared to snow-free areas.

<sup>54</sup> NCDC Climate Maps of the United States database (CLIMAPS). See <http://cdo.ncdc.noaa.gov/cgi-bin/climaps/climaps.pl>.

same location, which often corresponds to a location in the facility – such as the front office – rather than the actual stack locations. To correct for this, stack locations were reassigned manually with the Microsoft® Live Maps® Virtual Earth® tool to visually match stacks from the NEI database to their locations within the facilities using stack heights as a guide to stack identification. All release heights and other stack parameters were taken from the values listed in the NEI. Table B.3-1 (in Appendix B) lists all stacks in both domains.

#### ***Port-Related Sources***

Only the St. Louis modeling domain has relevant port emissions. The Port of St. Louis is one of the nation's largest inland river ports. Activity from this port was modeled as fourteen area sources along the waterfront. All port-related emission sources were considered as non-point area emissions with boundaries based on GIS analysis of aerial photographic images. A release height of 5.0 m with a plume initial vertical standard deviation ( $\sigma_{zi}$ ) of 2.33 m was used in all cases to represent emissions from Category 1 and 2 commercial marine vessels. Port emission strength was taken from the NEI for appropriate activity within St. Louis City and allocated uniformly by emission density for all harbor areas. That is, all ports were modeled with the same emission density. The emission profile was taken as the seasonal hourly value from the Emissions Modeling System for Hazardous Pollutants (EMS-HAP) model.

#### ***Non-Point Sources***

Non-point sources constitute industrial, commercial and institutional facilities as identified in the NEI. Emissions from non-point sources in Greene County are identified for each tract in the County. In Greene County, spatial allocation factors (SAFs) from EPA's EMS-HAP database<sup>55</sup> were used to disaggregate the county-wide emissions from the NEI to census tracts. Tracts with total non-point emission densities greater than 12 tons per year/square mile were digitized and characterized as non-point source area polygons. These tracts accounted for about 87% of the total non-point source emissions in Greene County.

The release heights for non-point area sources are 10.0 m for rural tracts and 20.0 m for urban tracts. Initial vertical dispersion coefficients ( $\sigma_{zi}$ ) were 4.67 m for rural tracts and 9.34 m for urban tracts. Because these sources are not well-defined, the release parameters were derived

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<sup>55</sup> The SAFs were derived from land use data.

though a series of sensitivity runs to characterize model performance at the ambient monitor locations.

For the St. Louis domain, staff chose a slightly different approach to characterize non-point emissions sources. During model-to-monitor comparisons, it became clear that the spatial allocation of county-wide non-point emissions to tracts, based on SAFs, resulted in an inaccurate spatial pattern of emissions. Therefore, the spatial resolution of non-point sources in this domain was retained at the county level. However, to improve the numerical representation of these emissions in the model, the two counties with the highest non-point source emissions – St. Louis City and St. Louis County – were subdivided into regular grid cells. St. Louis County grid cells were 5 km by 5 km; St. Louis City grid cells were 1 km by 1 km, more closely approximating the smaller and denser census tracts in that region. All county-wide non-point source emissions were spatially allocated uniformly to the grid cells. St. Charles County was modeled as a single area source, with edges approximating the full county boundaries.

The release parameters for the St. Louis domain varied according to the urban and rural designation of individual grid cells. Rural grid cells have a release height of 10 m and initial dispersion length of 4.67 m. Urban grid cells have a release height of 20 m and initial dispersion length of 9.34 m.

#### ***Background Sources***

For the Greene County modeling domain, background sources were assembled to account for any emissions not otherwise included. These were comprised of any point sources in facilities not meeting the 1,000 tpy selection criteria and any residual non-point sources, as well as on-road and non-road mobile sources. In addition, all emission sources in neighboring Christian County were modeled as a rural, county-wide non-point area source with uniform density. Both background sources were characterized as county-wide polygon rural area sources with release heights of 10.0 m and initial dispersion length of 4.67 m.

For the St. Louis modeling domain, emissions from residual point sources, on-road mobile sources, and non-road mobile sources were combined with the county-wide non-point sources as described above. Thus, no separate background sources were simulated.



#### 8.4.3.2 Urban vs. Rural Designations

This section describes how urban and rural designations were determined for each emission source type. AERMOD has somewhat different treatment for urban and rural sources. For example, when regulatory default settings are employed as they were in this application, no chemical decay is assumed for rural sources, while a 4-hour half-life is assumed for urban sources. Another difference in AERMOD's treatment of urban and rural sources is that for urban sources, additional dispersion is simulated at night to account for increased surface heating within an urban area under stable atmospheric conditions. The magnitude of this effect is weakly proportional to the urban area population.

##### *Point Sources*

Urban or rural designations for point sources were made according to EPA guidance based on the land use within 3 km of the source. The 2001 NLCD database was used to make this determination. Table 8-4 lists the land use categories in the 2001 NLCD.

**Table 8-4. NLCD2001 land use characterization.**

Category	Land Use Type	Category	Land Use Type
11	Open Water	73	Lichens
12	Perennial Ice/Snow	74	Moss
21	Developed, Open Space	81	Pasture/Hay
22	Developed, Low Intensity	82	Cultivated Crops
23	Developed, Medium Intensity	90	Woody Wetlands
24	Developed, High Intensity	91	Palustrine Forested Wetland <sup>1</sup>
31	Barren Land (Rock/Sand/Clay)	92	Palustrine Scrub/Shrub Wetland <sup>1</sup>
32	Unconsolidated Shore <sup>1</sup>	93	Estuarine Forested Wetland <sup>1</sup>
41	Deciduous Forest	94	Estuarine Scrub/Shrub Wetland <sup>1</sup>
42	Evergreen Forest	95	Emergent Herbaceous Wetlands
43	Mixed Forest	96	Palustrine Emergent Wetland (Persistent) <sup>1</sup>
51	Dwarf Scrub	97	Estuarine Emergent Wetland <sup>1</sup>
52	Shrub/Scrub	98	Palustrine Aquatic Bed <sup>1</sup>
71	Grassland/Herbaceous	99	Estuarine Aquatic Bed <sup>1</sup>
72	Sedge/Herbaceous		
<b>Notes:</b> <sup>1</sup> Coastal NLCD class only.			

Each stack where more than half the land use within 3 km fell into categories 21-24 were designated as urban. These categories are consistent with those considered developed by AERSURFACE.<sup>56</sup>

#### ***Non-Point Sources***

Non-point area sources were defined as rural or urban using a similar methodology as that for the point sources. As noted in the 2008 AERMOD Implementation Guide,<sup>57</sup> in some cases, a population density is more appropriate than a land use characterization. Therefore, non-point area sources were evaluated from both a land use and population density perspective.

In Greene County, area sources were defined as corresponding to the census tract boundaries. Each tract was then considered urban or rural by considering both the population density and land use fraction from NLCD2001. If the population density was greater than 750 persons/km<sup>2</sup> or the developed land use categories 22-24 throughout the tract was greater than 50 percent, the tract was designated as urban. In addition, if a tract was surrounded by urban tracts it was designated as urban, since the emissions from such a tract would likely be subject to urban dispersion conditions.

As explained above, for the St. Louis modeling domain, the counties with the greatest non-point emissions – St. Louis City and St. Louis County – were subdivided into regular grid cells, while St. Charles County was represented as a polygon area source with its political boundaries. The urban or rural designation was then assigned to each based on population density. St. Charles County and all but eleven of the 5 km grid cells in St. Louis County were designated rural; the remaining cells in St. Louis County and all of St. Louis City were designated urban.

#### ***Port-Related Sources***

Only the St. Louis modeling domain has relevant port emissions. The fourteen port-related non-point area sources described above were designated urban, given their location in the urban core along the waterfront and their associated industrial activities.

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<sup>56</sup> *AERSURFACE User's Guide*, U.S. EPA, OAQPS, Research Triangle Park, NC, EPA-454/B-08-001, January 2008.

<sup>57</sup> *AERMOD IMPLEMENTATION GUIDE*, AERMOD Implementation Workgroup, US EPA, OAQPS, Air Quality Assessment Division, Research Triangle Park, NC, Revised January 9, 2008,

#### ***Background Sources***

Background area sources for Greene County were classified with the same procedures as for non-point area sources. Both Greene and Christian counties were designated rural.

#### ***8.4.3.3 Source Terrain Characterization***

All corrected locations for the final list of major facility stacks in St. Louis and Greene County domains were processed with a pre-release version of the AERMAP terrain preprocessing tool. This version is functionally equivalent to the current release version of the tool (version 08280). In particular, this updated version allows use of 1 arc-second terrain data from the USGS Seamless Server<sup>58</sup> which allows for more highly resolved values of the source and receptor heights as well as the hill height scales.

Terrain height information for point sources was processed through AERMAP with input data taken from the USGS server. For all area sources (non-point and background source types), the outputs from AERMAP were modified. In these cases, rather than using a single point to represent these large areas, the terrain height for each vertex of the area was estimated with AERMAP. The terrain height for the entire source polygon was then characterized as the average terrain height from all vertices.

#### ***8.4.3.4 Emissions Data Sources***

##### ***Point Sources***

Data for the parameterization of major facility point sources in the two modeling domains comes primarily from three sources: the 2002 NEI (EPA, 2007f), Clean Air Markets Division (CAMD) Unit Level Emissions Database (EPA, 2007g), and temporal emission profile information contained in the EMS-HAP (version 3.0) emissions model.<sup>59</sup> The NEI database contains stack locations, emissions release parameters (i.e., height, diameter, exit temperature, exit velocity), and annual SO<sub>2</sub> emissions. The CAMD database has information on hourly SO<sub>2</sub> emission rates for all the electric generating units in the US, where the units are the boilers or equivalent, each of which can have multiple stacks.<sup>60</sup> These two databases generally contain

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<sup>58</sup> <http://seamless.usgs.gov/index.php>

<sup>59</sup> <http://www.epa.gov/ttn/chief/emch/projection/emshap30.html>

<sup>60</sup> The CAMD database also contains hourly NO<sub>2</sub> emission data for both electric generating units and other types of industrial facilities. In the case of facilities for which CAMD has hourly NO<sub>2</sub> data but not SO<sub>2</sub> data, SO<sub>2</sub> relative

complimentary information, and were first evaluated for matching facility data. However, CAMD lacks SO<sub>2</sub> emissions data for facilities other than electric-generating units. To convert annual total emissions data from the NEI into hourly temporal profiles required for AERMOD, a three tiered prioritization was used, as follows.

1. CAMD hourly concentrations to create relative temporal profiles.
2. EMS-HAP seasonal and diurnal temporal profiles for source categorization codes (SCCs).
3. Flat profiles, that is, a uniform emission rate throughout the day.

Details of these processes were as follows:

*Tier 1: CAMD to NEI Emissions Alignment and Scaling*

Of the 94 major facility stacks within the model domains identified above (11 in Greene County and 45 cross-border and 38 within-state in the St. Louis domain), 35 (11 in Greene County and 7 cross-border and 17 in-state in the St. Louis domain) were able to be matched directly to sources within the CAMD database. Stack matching was based on the facility name, Office of Regulatory Information Systems (ORIS) identification code (when provided) and facility total SO<sub>2</sub> emissions. For these stacks the relative hourly profiles were derived from the hourly values in the CAMD database, and the annual emissions totals were taken from the NEI. Hourly emissions in the CAMD database were scaled to match the NEI annual total emissions by proportionally scaling each hour. Although the CAMD emissions may be more accurate than the corresponding values in the NEI because they are based on direct emissions monitoring, because CAMD emissions estimates were available for only a subset of sources, the NEI emission totals were used so that the emission estimates would be consistent across all sources.

*Tier 2: EMS-HAP to NEI Emissions Profiling*

Of the 94 major facility stacks within the two MO domains, 38 stacks (all of which are cross-border stacks in the St. Louis domain) could not be matched to a stack in the in the CAMD database, but had SCC values that corresponded to SCCs that have temporal profiles included in the EMS-HAP emissions model. In these cases, the SCC-specific seasonal and hourly variation (SEASHR) values from the EMS-HAP model were used to characterize the temporal profiles of emissions for each hour of a typical day by season and day type.

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temporal profiles could be approximated by NO<sub>2</sub> temporal profiles. However, there were no such cases for MO facilities.

### *Tier 3: Other Emissions Profiling*

Of the 94 major facility stacks within the two MO model domains, 21 (all from the St. Louis in-state domain) could not be matched to a stack in CAMD database, or to profiles in the EMS-HAP model by SCC code. In these cases, a flat profile of emissions was assumed. That is, emissions were assumed to be constant for all hours of every day, but with an annual total that equals the values from the NEI. A summary of the point source emissions used for the two modeling domains is given in Table 8-5. Appendix B, Table B.3-1 contains all 94 stacks within the modeling domains and the data source used to determine their emissions profiles.

Nearly all of the point sources in both domains were accounted for directly in the dispersion modeling. Table 8-5 shows the point source contribution captured directly within each modeling domain.

#### ***Port-Related Sources***

Ports were the only non-road sector explicitly simulated in either modeling domain. Only the St. Louis domain had port emissions. All relevant port emissions were directly captured, comprising 51 percent of the total non-road emissions for the domain. Emission profiles for port-related activity were taken from the EMS-HAP model for sectors matching the modeled activity. Table 8-5 shows the port source contribution modeled directly within each modeling domain and compares it to the total non-road emissions.

#### ***Non-Point and Background Sources***

Non-point polygon area sources were developed to capture non-point commercial/institutional and industrial emissions within the domains, as specified in the NEI. For the St. Louis modeling domain, all non-point emissions were included either in gridded area sources over St. Louis City and St. Louis County or a polygon area source over St. Charles County, as described above. For the Greene County modeling domain, commercial/institutional and industrial non-point area source polygons were created to represent the individual census tracts within the county that captured approximately 87 percent of the relevant emissions countywide from the NEI. Other non-point sources, as well as on-road mobile and non-road mobile sources were included in the background source

Because non-point area source and background area source temporal profiles are unknown, staff derived profiles that provided a best-fit match between the model predictions and monitor data. To determine the most representative average non-point area source emission

profile across each modeling domain, we first selected monitors where ambient concentrations were expected to be primarily influenced by area sources. Due to their locations relative to sources, all but one monitor (ID 290770032) in Greene County indicated ambient concentrations were primarily influenced by point source emissions. In St. Louis, all seven ambient monitors (IDs 291890004, 291890006, 291893001, 291895001, 291897003, 295100007, and 295100086) indicated significant influence from area source emissions. Next, simulations were conducted with all sources modeled in detail – except area sources, which were modeled with uniform emission profiles. A weighting function was then determined based on the modeled error for each hour of the day at the one Greene County monitor and as an average of the errors at the seven individual St. Louis area monitors. In both cases, the error function was defined as the ratio of the total observed concentration, minus the total concentration due to all non-point sources, to the concentration predicted by the non-point sources alone. This diurnal error function was then normalized such that its average value is unity. Finally, a corrected non-point emission profile was determined by combining this normalized weighting function with the uniform emission profile.

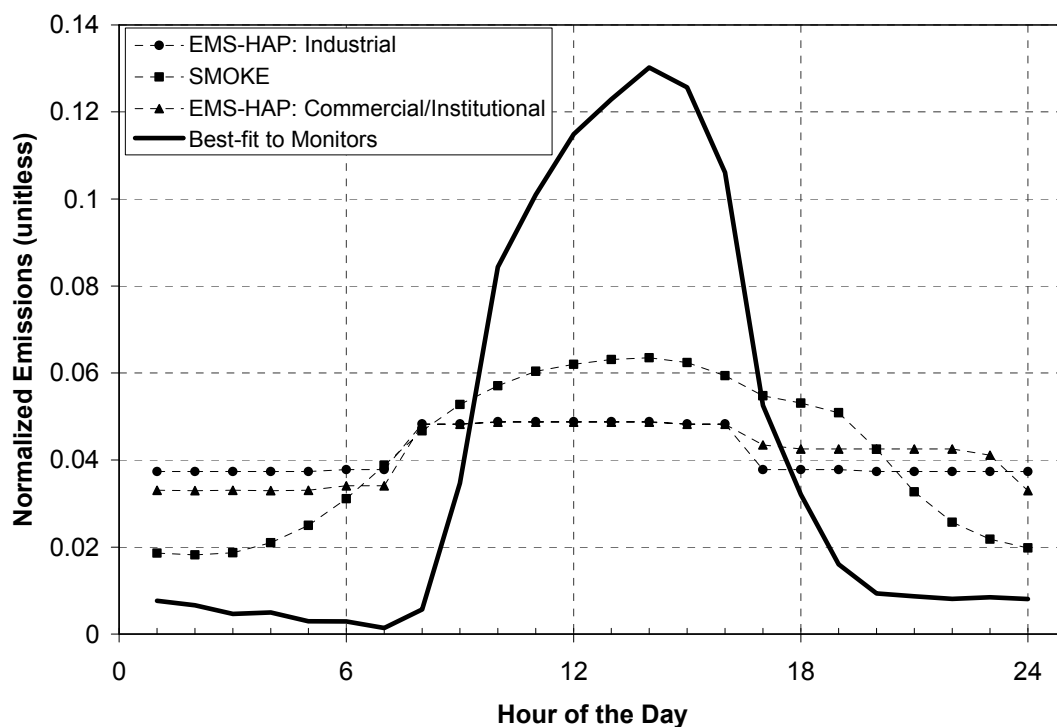
Figures 8-4 and 8-5 show the diurnal emissions profiles derived for both the St. Louis and Greene County domains compared to other profiles for industrial and commercial/institutional area sources derived from commonly used emissions models, such as SMOKE and EMS-HAP. The shape of the derived temporal profiles imply that the emission sources are active almost exclusively during the daytime from approximately 8 am to 8pm, in contrast to those derived from SMOKE and EMS-HAP, which show less extreme daytime-dominated patterns. Given the large uncertainties about the actual emission sources represented by the industrial and commercial/institutional non-point category and given that such sources are likely to be small facilities, it is reasonable to assume that their cumulative emissions occur almost exclusively during daytime hours. Table 8-5 shows the non-point source contribution modeled directly within each modeling domain and compares it to the total non-point emissions.<sup>61</sup>

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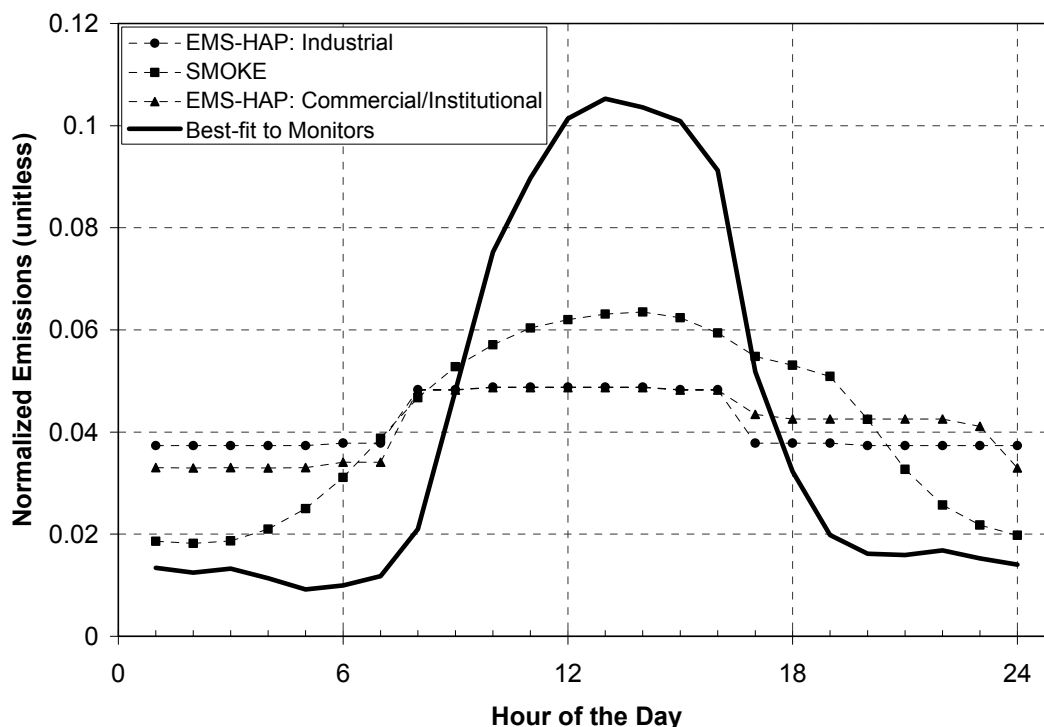
<sup>61</sup> Table 8-5 does not have the relevant background contribution for each domain. This is because the total background in each domain includes not only the counties in the modeling domain (three in the St. Louis domain and one in the Greene County domain), but also adjacent counties that could influence concentrations within the modeling domain. In those cases, the total countywide emissions are included in the background. Thus, directly expressing those values would be confusing and are thus omitted.

**Table 8-5. Summary of NEI emission estimates and total emissions used for dispersion modeling in Greene County and St. Louis modeling domains.**

Modeling Domain	Point Sources			Area Sources			Non-road Sources		
	NEI Emissions (tpy)	Modeled Emissions (tpy)	(%)	NEI Emissions (tpy)	Modeled Emissions (tpy)	(%)	NEI Emissions (tpy)	Modeled Emissions (tpy)	(%)
Greene Co.	9,255	9,047	98%	2,055	1,781	87%	N/A	N/A	N/A
St. Louis	70,016	68,656	98%	15,137	15,137	100 %	3,058	1,559	51%



**Figure 8-4. Derived best-fit non-point area source diurnal emission profile for the St. Louis domain, compared to other possible profiles.**



**Figure 8-5. Derived best-fit non-point area source diurnal emission profile for the Greene County domain, compared to other possible profiles.**

#### 8.4.4 Receptor Locations

Two sets of receptors were chosen to represent the locations of interest within each of the modeling domains. The first set was selected to represent the locations of the residential population of the modeling domain. These receptors were US Census block centroids in the Greene County and St. Louis modeling domains, (Figures 8-2 and 8-3, respectively), that lie within 20 km (12 miles) of any of the major facility stacks.<sup>62</sup> Each of these receptors was modeled at ground level. A total of 17,703 receptors were selected in the St. Louis modeling domain and a total of 5,359 receptors were selected in the Greene County modeling domain.

The second set of receptors included the locations of the available ambient SO<sub>2</sub> monitors. These receptors were used in evaluating the dispersion model performance. In Greene County, there were five ambient monitors with valid ambient monitoring concentrations (Figure 8-2). Within the three St. Louis counties, there were seven monitors (Figure 8-3).

<sup>62</sup> The block centroids used for this analysis are actually population-weighted locations reported in the ESRI database. They were derived from geocoded addresses within the block taken from the Acxiom Corporation InfoBase household database (Skuta and Wombold, 2008; ESRI, 2008). These centroids differ from the “internal points” reported by the US Census, which are often referred to as centroids because they are designed to represent the approximate geographic center of the block.



#### 8.4.5 Modeled Air Quality Evaluation

The hourly SO<sub>2</sub> concentrations estimated from each of the sources within a modeling domain were combined at each receptor. These concentration predictions were then compared with the measured concentrations at ambient SO<sub>2</sub> monitors. Rather than compare concentrations estimated at a single modeled receptor point to the ambient monitor concentrations, a distribution of concentrations was developed for the predicted concentrations for all receptors within a 4 km distance of the monitors. Further, instead of a comparison of central tendency values (mean or median), the full modeled and measurement concentration distributions were used for comparison.

As an initial comparison of modeled versus measured air quality, all modeled receptors within 4 km of each ambient monitor location were used to generate a prediction envelope.<sup>63</sup> This envelope was constructed based on selected percentiles from the modeled concentration distribution at each receptor for comparison to the ambient monitor concentration distribution. The 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles from all monitor distribution percentiles<sup>64</sup> were selected to create the lower and upper bounds of the envelope. The full 1-hour distributions for the ambient measurement data, the modeled monitor receptor,<sup>65</sup> and the prediction envelope were compared using their respective cumulative density functions (CDFs). When illustrating these distributions, the percentiles were plotted on a log-scale as the difference between 100 and the CDF value to allow for visual expansion of the extreme upper percentiles of the distribution. For illustrative purposes, the maximum concentration was defined as 100-99.99 (or 0.01) because the logarithm of zero is undefined.

A second comparison between the modeled and monitored data was performed to evaluate the diurnal variation in SO<sub>2</sub> concentrations. AERMOD receptor concentrations during each hour-of-the-day were averaged (i.e., 365 values for hour 1, 365 values for hour 2, and so on) to generate an annual average SO<sub>2</sub> concentration for each hour at each modeled receptor. Prediction envelopes were constructed similar to that described above from modeled receptors

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<sup>63</sup> 500 m to 4 km is the area of representation of a neighborhood-scale monitor, according to EPA guidance.

<sup>64</sup> As an example, suppose there are 1,000 receptors surrounding a monitor, each receptor containing 8,760 hourly values used to create a concentration distribution. Then say the 73<sup>rd</sup> percentile concentration prediction is to be estimated for each receptor. The lower bound of the 73<sup>rd</sup> percentile of the modeled receptors would be represented by the 2.5<sup>th</sup> percentile of all the calculated 73<sup>rd</sup> percentile concentration predictions, i.e., the 25<sup>th</sup> highest 73<sup>rd</sup> percentile concentration prediction across the 1,000 73<sup>rd</sup> percentile values generated from all of the receptors. Note that at any given percentile along either of the envelope bounds as well as at the central tendency distribution (the receptor 50<sup>th</sup> percentile), the concentration from a different receptor may be used.

<sup>65</sup> The *modeled monitor* is the modeled air quality at the ambient monitoring location.

located within 4 km of each ambient monitor. The measured ambient monitoring data was also averaged to generate the diurnal profile. Then, annual averaged concentrations for the ambient measurement data, the modeled monitor receptor, and the prediction envelope were plotted by hour-of-the-day for comparison.

Staff also evaluated potential impact of the differences between the predicted and measured 1-hour SO<sub>2</sub> concentrations by comparing the modeled and measured number of 5-minute air quality benchmark exceedances that would result from using each 1-hour concentration distribution. The full year of 1-hour ambient monitored and AERMOD modeled SO<sub>2</sub> concentrations (at the monitor receptor location) were used as input to the 5-minute statistical model and processed as described in section 7.2.5. Measured 5-minute maximum SO<sub>2</sub> concentrations were only available for two of the monitors in Greene County (290770026 and 290770040). These monitoring locations were used to generate the number of days per year with at least one benchmark exceedance. Further, the concentration distributions given by the AERMOD prediction envelopes (i.e., the 2.5<sup>th</sup> and 97.5<sup>th</sup>) were used to approximate lower and upper prediction bounds for the number of days per year with 5-minute benchmark exceedances. To do this, first the total numbers of benchmark exceedances in a year<sup>66</sup> were estimated for each monitor using the 1-hour concentration percentiles representing each AERMOD distribution (i.e., the AERMOD monitor receptor, the AERMOD 2.5<sup>th</sup>, and the AERMOD 97.5<sup>th</sup>). Then, scaling factors were calculated by dividing each the AERMOD 2.5<sup>th</sup> and AERMOD 97.5<sup>th</sup> benchmark exceedance results by that of the exceedances estimated using the AERMOD monitor receptor. These scaling factors were then applied to the full AERMOD monitor receptor predictions that estimated the number of days per year with exceedances to estimate the lower and upper bounds.

#### ***8.4.5.1 Greene County Modeled Air Quality Evaluation***

For Greene County, there were five monitors used for comparison with the AERMOD 1-hour concentration estimates. For each monitor, staff plotted the model-predicted versus ambient measured concentrations using two methods; the first used a CDF, the second used the

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<sup>66</sup> Because the AERMOD p2.5 and p97.5 prediction envelopes are not representing a particular time but are a temporal and spatial mixture of low and high concentrations surrounding each monitor, specific counts of days per year could not be calculated. Staff assumed a proportional relationship existed between the total number of exceedances in a year and the number of days per year with exceedances. Thus, scaling factors can be calculated using the AERMOD monitor receptor data, which had both the percentile form and 8,760 concentrations at specific hours of the day and days of the year.

diurnal profile. In each plot, four concentration distributions were used; the distribution of the modeled 1-hour SO<sub>2</sub> concentrations estimated for the monitor receptor, the upper and lower bounds of the receptor envelope (i.e., generated from all receptors within 4 km of monitor receptor), and the hourly concentration distribution measured at each ambient monitor. The results for Greene County are provided in Figures 8-6 to 8-8. The data used to generate the figures are provided in Appendix B.

When considering the total hourly distribution or CDFs, monitor concentration distributions are generally bounded by the modeled distributions. At some of the upper percentiles of the distributions, the deviations were of varying direction (over- or under-prediction) and magnitude (a few ppb to tens of ppb). For example, monitor ID 290770026 (Figure 8-6) exhibits higher measured concentrations at the upper percentiles of the distribution that extend beyond the AERMOD prediction envelope, however the deviation occurred beyond the 99.5<sup>th</sup> percentile (maximum observed = 114 ppb, AERMOD 97.5<sup>th</sup> = 101 ppb). At monitor ID 290770032 (Figure 8-6), the measured concentrations fall below the prediction envelope, beginning just beyond the 95<sup>th</sup> percentile 1-hour concentration.

Even though ambient monitors 290770040 and 290770041 (Figure 8-2) are located approximately 150 m from one another, they exhibited very different measured concentrations at the extreme upper percentiles (Figure 8-7). The greatest difference is in comparing the maximum observed concentrations; 203 ppb versus 33 ppb. The AERMOD predictions followed a similar pattern at the upper percentiles, i.e., the modeled concentrations for the monitor location were greater (50 to 100%) at monitor ID 290770040 when compared with 290770041, but not nearly as great a difference noted at the maximum measured concentrations. The AERMOD prediction envelope was similar for both of these monitors, encompassing the ambient measured concentrations from the 80<sup>th</sup> through the 99.5<sup>th</sup> percentiles for both, while completely enveloping all 1-hour concentrations at monitor ID 290770041.

The pattern in the AERMOD modeled concentrations at the monitor location and the ambient measurement concentration distribution for monitor ID 290770037 is nearly identical. The only difference observed is that the measured concentrations are 1-3 ppb greater than the modeled concentrations within the 99<sup>th</sup> percentile of the distribution. Much of the measured distribution falls within the AERMOD prediction envelope, with deviation occurring just beyond the 99.5<sup>th</sup> percentile.

The diurnal pattern observed at each of the ambient monitors is represented well by the modeled concentrations; in general concentrations are elevated during the midday hours and lowest during the late-night and early-morning hours. In addition, most of the measured concentrations fall within the AERMOD prediction envelopes at all hours of the day, with a few exceptions. For example, all observed concentrations for monitor ID 290770032 are below that of the upper AERMOD prediction envelope, though at monitor ID 290770026, measured concentrations are above those modeled during the early-morning and late-night hours (Figure 8-6). Much of the deviation during these hours-of-the-day is likely a result of the concentrations at or below the 80<sup>th</sup> percentile, where measured concentrations were always greater than any of the predicted concentrations at corresponding percentiles of the distribution. While the prediction envelopes encompassed the diurnal pattern observed at monitor IDs 290770040 and 290770041 (Figure 8-7), the results for the modeled concentrations at the monitor locations were not equally representative. The diurnal pattern and magnitude of concentrations was well reproduced at monitor ID 290770041, while modeled concentrations at the monitor location during the midday and evening hours were greater than the measured concentrations at monitor ID 290770040.

Staff evaluated the potential impact the predicted 1-hour concentrations would have on 5-minute air quality benchmark exceedances (Table 8-6). In general, the results for the estimated numbers of days per year with 5-minute concentrations above benchmark levels followed similar patterns to those observed above when considering comparisons of the 1-hour SO<sub>2</sub> concentration distributions. The numbers of benchmark exceedances at monitor ID 290770026 were under-predicted by AERMOD just as was the 1-hour SO<sub>2</sub> concentrations at that monitoring location. However, the number of days with 5-minute concentrations above the benchmark levels for both the measured and modeled ambient concentrations fell within the range of the AERMOD prediction envelopes. There was good agreement in the number of days per year with air quality benchmark exceedances at each of the four other monitors, whether there were none, a few, or several days with expected benchmark exceedances. These results indicate that the magnitude of observed differences in predicted versus measured 1-hour SO<sub>2</sub> concentration does not result in unexpected differences in the number of days per year having 5-minute SO<sub>2</sub> concentrations above the benchmark levels.

**Table 8-6. Measured and modeled number of days in year 2002 with at least one 5-minute SO<sub>2</sub> benchmark exceedance at ambient monitors in Greene County.**

Monitor ID	5-minute SO <sub>2</sub> Benchmark (ppb)	Number of Days per Year with a 5-minute SO <sub>2</sub> Concentration Above Air Quality Benchmark Level				
		Ambient Monitor <sup>1</sup>		AERMOD <sup>2</sup>		
		Modeled	Measured	p2.5	Monitor	p97.5
290770026	100	57	27	2	19	103
	200	18	0	0	2	9
	300	6	0	0	0	2
	400	2	0	0	0	0
290770032	100	0	-	0	0	0
	200	0	-	0	0	0
	300	0	-	0	0	0
	400	0	-	0	0	0
290770037	100	33	44	1	40	81
	200	14	12	0	13	22
	300	7	1	0	5	6
	400	4	0	0	2	3
290770040	100	7	-	0	25	42
	200	3	-	0	3	5
	300	1	-	0	0	0
	400	0	-	0	0	0
290770041	100	0	-	0	2	17
	200	0	-	0	0	0
	300	0	-	0	0	0
	400	0	-	0	0	0

**Notes:**

<sup>1</sup> The modeled numbers of 5-minute benchmark exceedances were generated from 1-hour SO<sub>2</sub> ambient monitor measurements input to the 5-minute statistical model. The measured numbers of 5-minute benchmark exceedances were calculated from ambient monitors reporting 5-minute SO<sub>2</sub> concentrations. Both of these values were normalized to a full year (n=365 days) for comparison with the AERMOD predictions.

<sup>2</sup> AERMOD monitor 5-minute benchmark exceedances were generated from 1-hour SO<sub>2</sub> ambient predictions (at monitor receptor location) input to the 5-minute statistical model. AERMOD p2.5 and p97.5 benchmark exceedances were generated from the corresponding hourly prediction envelope distribution and input to the 5-minute statistical model.

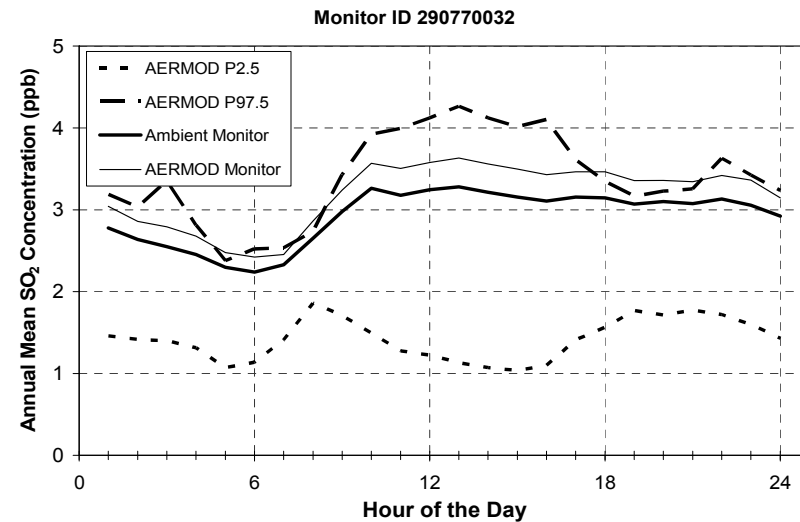
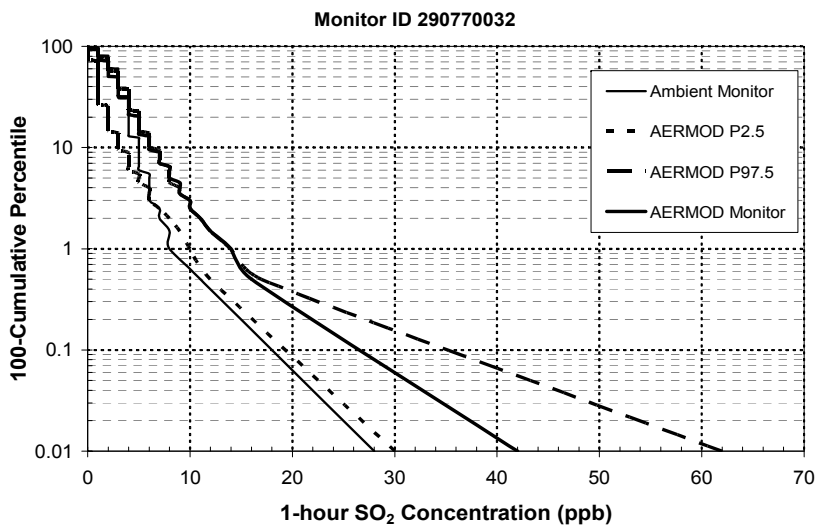
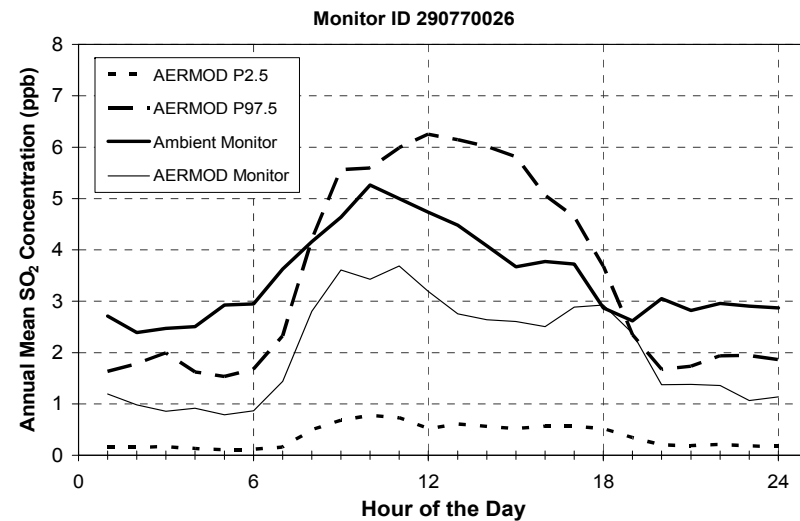
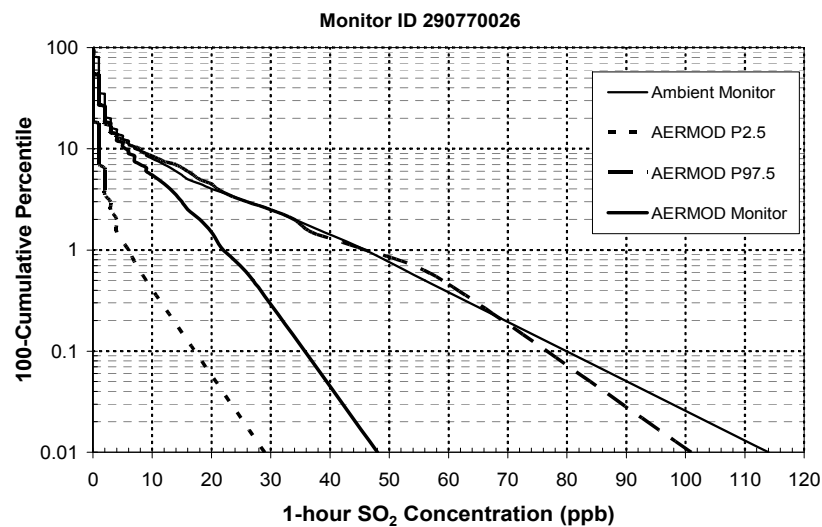


Figure 8-6. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitors 290770026 and 290770032 in Greene County, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.

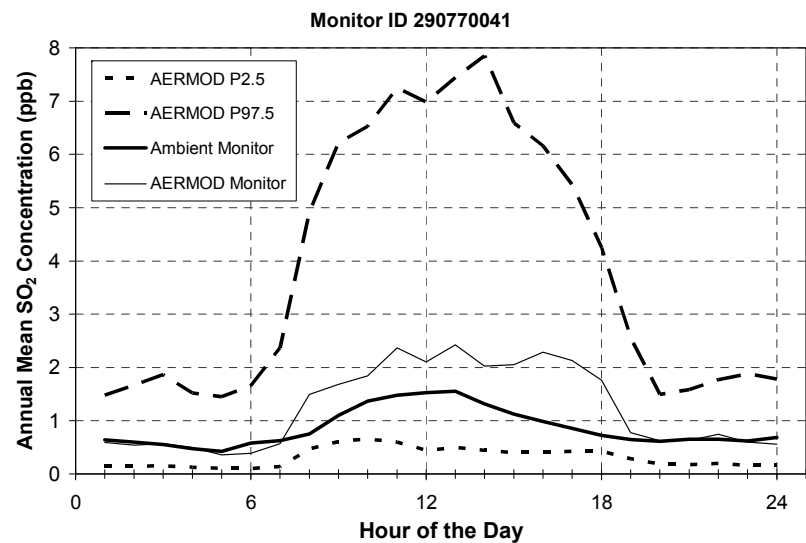
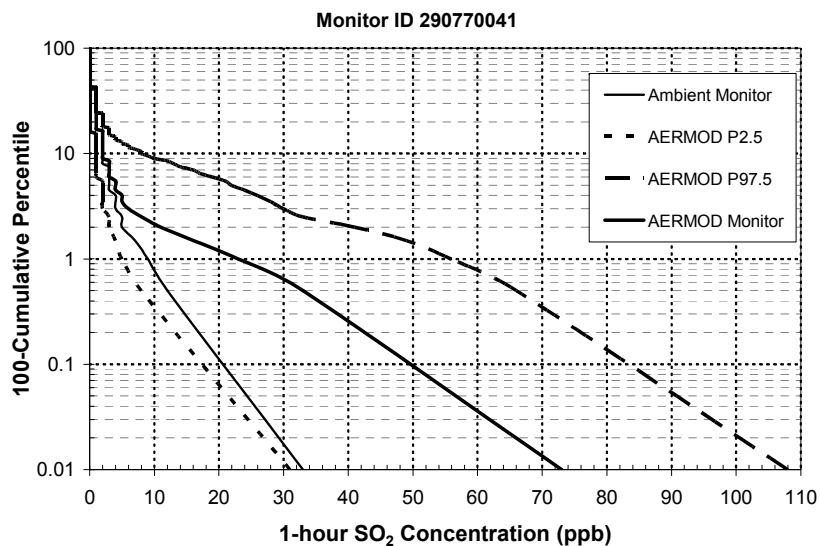
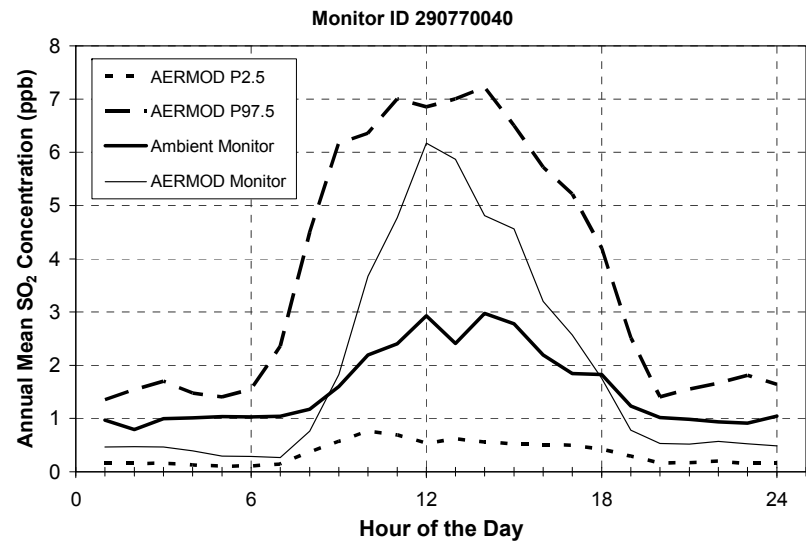
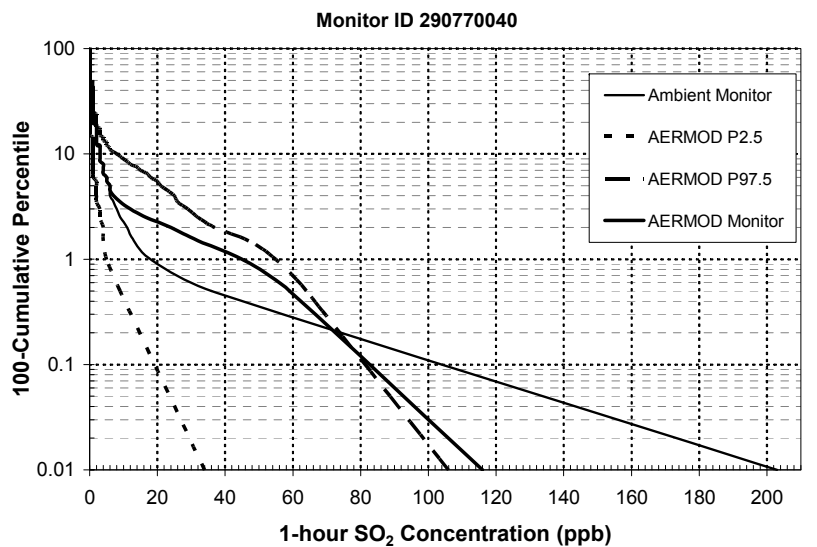
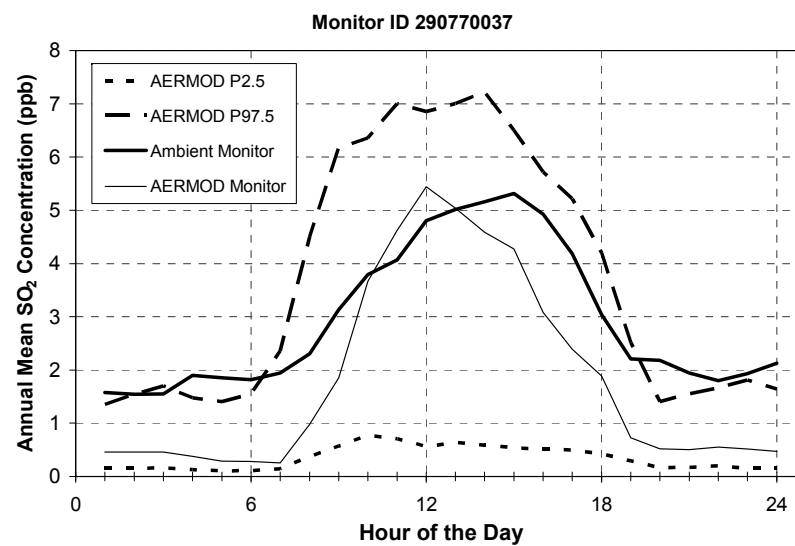
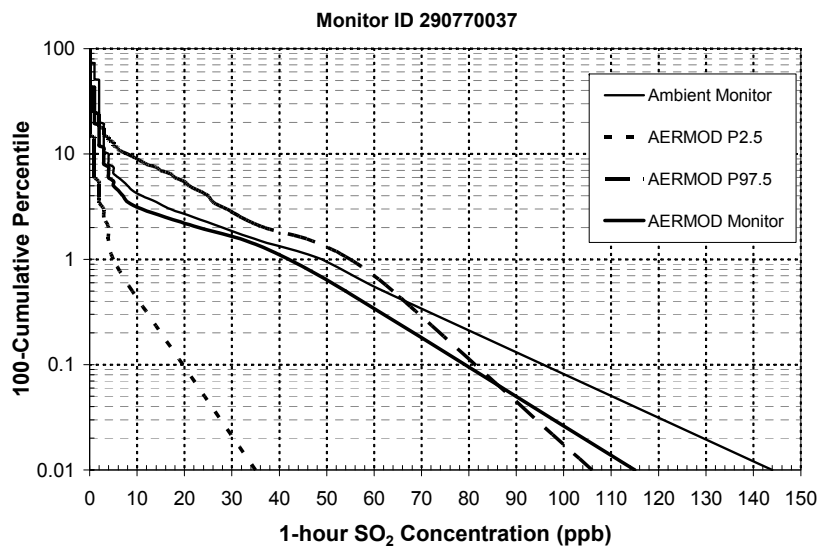


Figure 8-7. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitors 290770040 and 290770041 in Greene County, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.



**Figure 8-8. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitor 290770037 in Greene County, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.**



#### ***8.4.5.2 St. Louis Modeled Air Quality Evaluation***

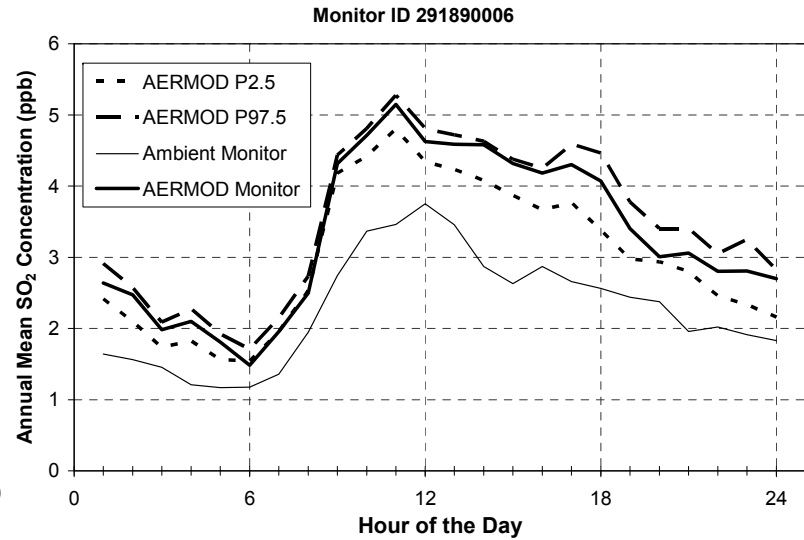
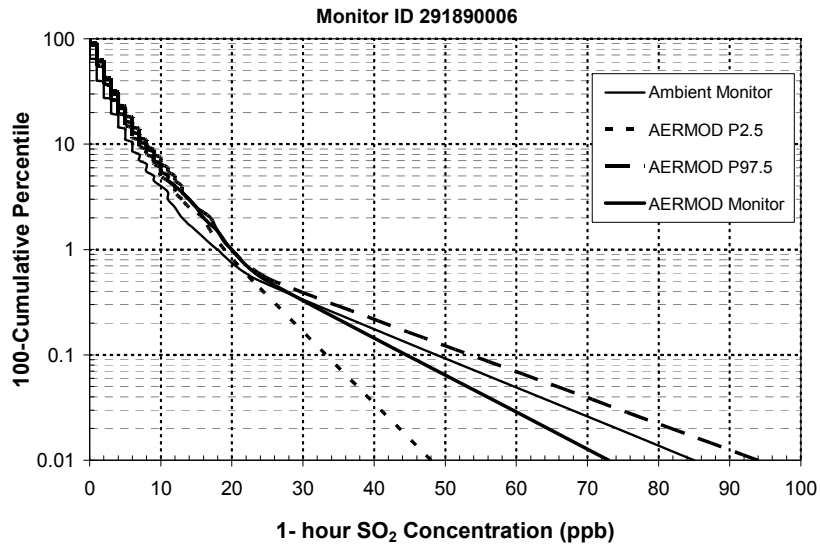
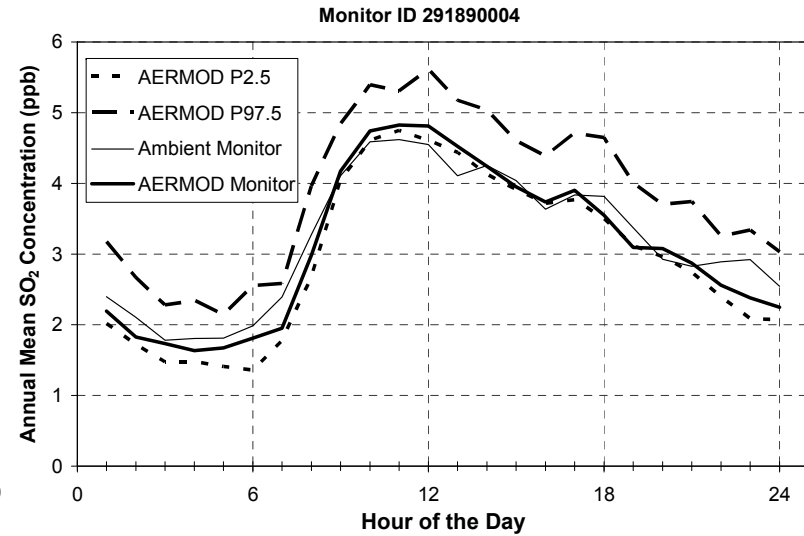
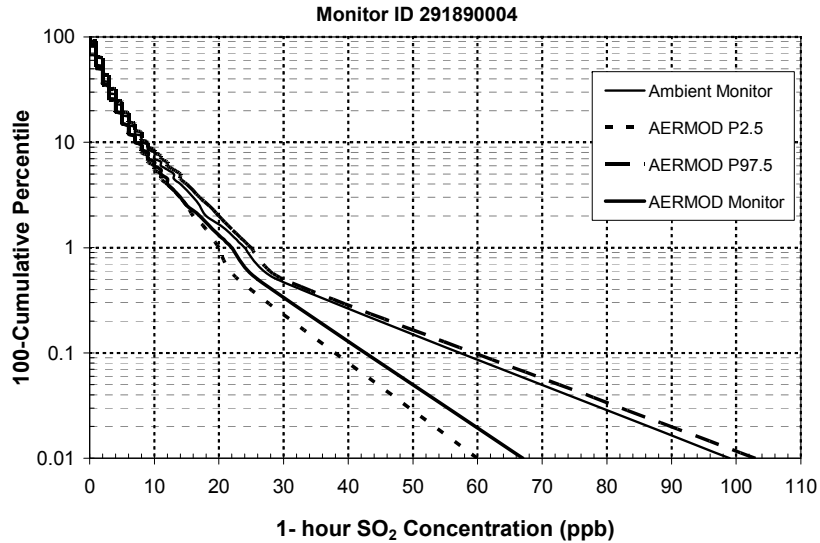
For St. Louis, there were seven monitors used for comparison with the AERMOD concentration estimates. The distribution of the modeled 1-hour SO<sub>2</sub> concentrations estimated for the monitor receptor, the receptor envelope (i.e., all receptors within 4 km of monitor receptor), and the hourly concentration distribution measured at each ambient monitor are provided in Figures 8-9 to 8-12. Data used to generate the figures is provided in Appendix B.

There are distinct differences in the comparison of modeled versus measured concentration distributions at ambient monitoring locations in St. Louis when compared with Greene County. Most noticeable is the width of the prediction envelopes; St. Louis prediction envelopes were not as wide as those generated for Greene County. This indicates that, in comparison with the Greene County modeling domain, there is less spatial variability in the concentrations modeled at receptors surrounding the ambient monitoring locations in St. Louis. This is likely a result of the emission source contributions; four of five ambient monitors in Greene County were primarily influenced by point sources, while most of the concentration contribution for St. Louis monitors was from area source emissions.

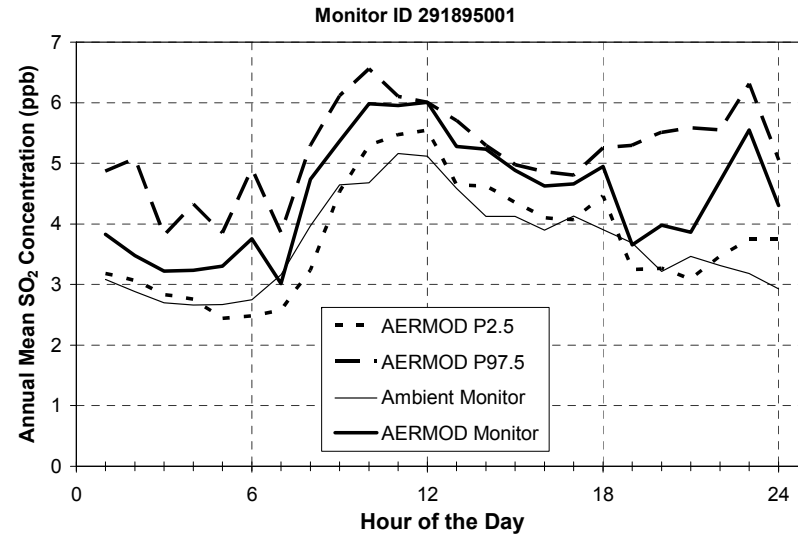
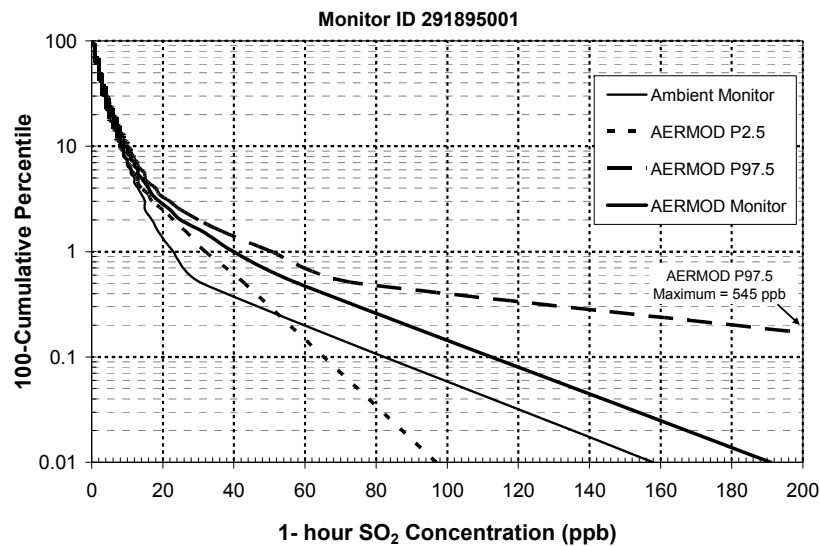
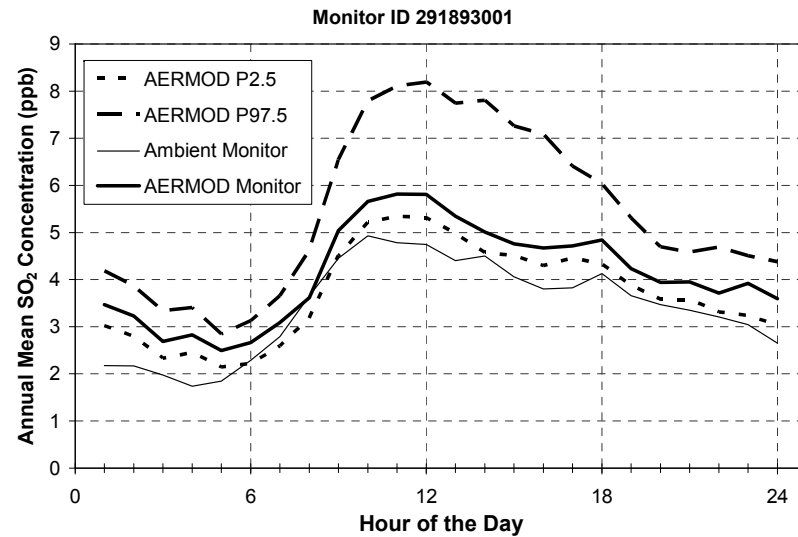
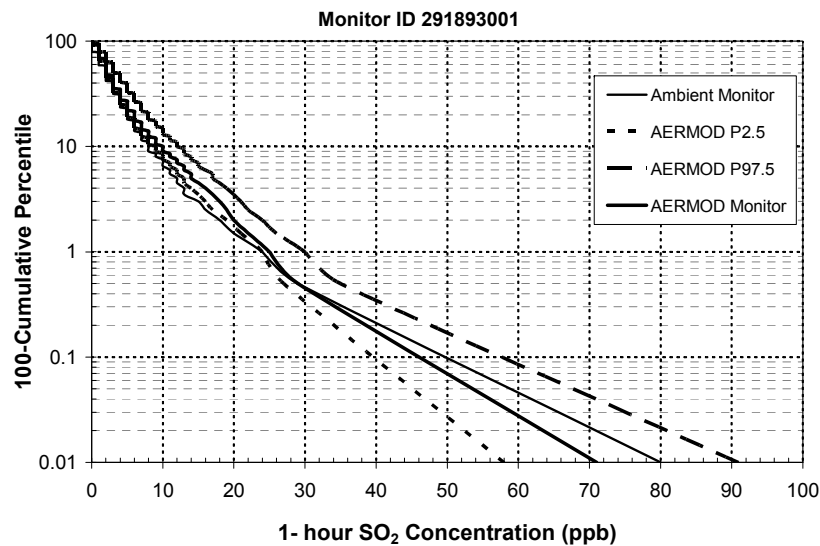
The modeled concentrations at the monitor locations and ambient measured concentration distributions showed better overall agreement at the St. Louis monitors, though many of the measured concentrations are outside of the prediction envelopes. For example, at monitor ID 291890006 all measured concentrations up to the 99<sup>th</sup> percentile fell below the prediction envelope (Figure 8-9) (the maximum was within). Note however that the difference in the measured concentrations was only about 1 ppb when compared with concentrations at any of the envelope percentiles and at most 2 ppb when compared with the modeled concentrations at the monitor receptor. In addition, because most of these under-predictions occur at concentrations well below levels of interest, it is not of great consequence. At the upper percentiles, many of the ambient concentrations fell within the prediction envelopes; 6 of 7 monitors at the maximum percentile were within, 3 of 7 monitors at the 99<sup>th</sup> percentile were within, and 4 of 7 monitors at the 95<sup>th</sup> percentile were within the prediction envelopes. Where measured upper percentile concentrations were outside of the prediction envelopes, it was consistently beneath the 2.5<sup>th</sup> prediction, possibly indicating AERMOD over-prediction at these monitors at certain percentiles of the distribution. When comparing the AERMOD monitor concentrations with the measured ambient concentrations between the 80<sup>th</sup> and 99<sup>th</sup> percentile of the distribution, most of the

predicted values were greater than the measured concentrations. The magnitude of this over-prediction ranged from about 1 to 2 ppb, although one monitor had a 7 ppb difference at the 99<sup>th</sup> percentile. Predictions at the maximum concentrations were more balanced; 4 of the 7 monitors had over-predictions, while all predictions (under or over) were approximately within 10 to 35 ppb of the measured concentrations.

The diurnal pattern was reproduced at the St. Louis monitoring locations, with some of the prediction envelopes encompassing much of the measured ambient concentrations (e.g., Figure 8-9, monitor ID 291890004; Figure 8-11 monitor ID 291897003). Again where deviation did occur at a few of the monitors, the contribution of the lower concentrations (i.e., mostly those beneath the 90<sup>th</sup> percentile) likely played a role in the magnitude of the disagreement. This can be seen at monitor ID 291890006 (Figure 8-10) where most (99%) of the predicted concentrations are consistently above the measure concentrations by 1 to 2 ppb. It is not surprising to see that the difference in comparing the measured versus modeled diurnal profile at every hour-of-the-day is also between 1 to 2 ppb.



**Figure 8-9. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitors 291890004 and 291890006 in St Louis, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.**



**Figure 8-10. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitors 291893001 and 291895001 in St Louis, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.**

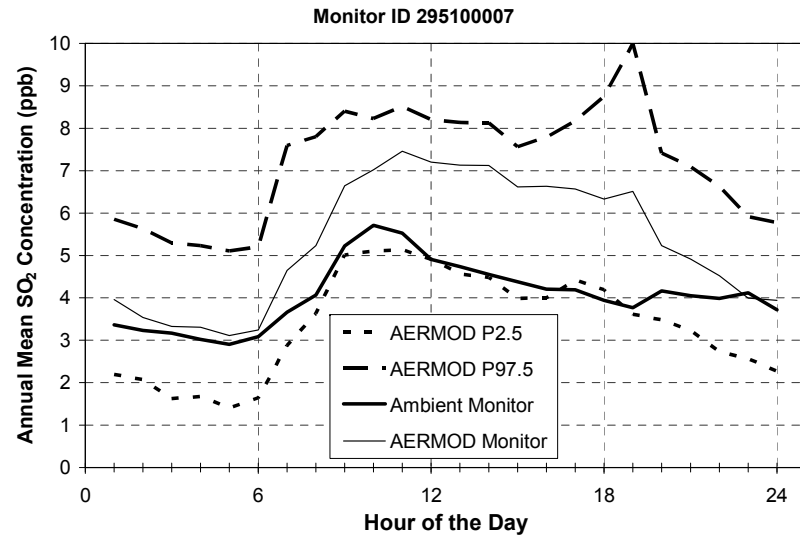
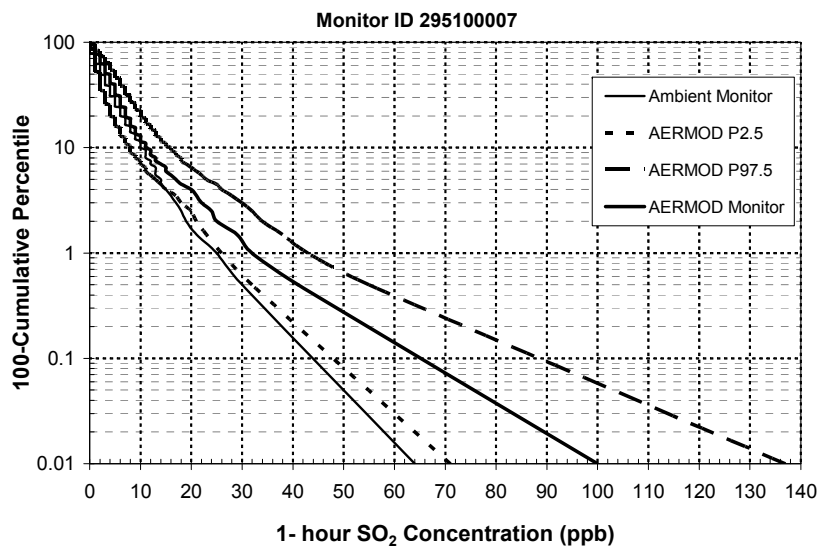
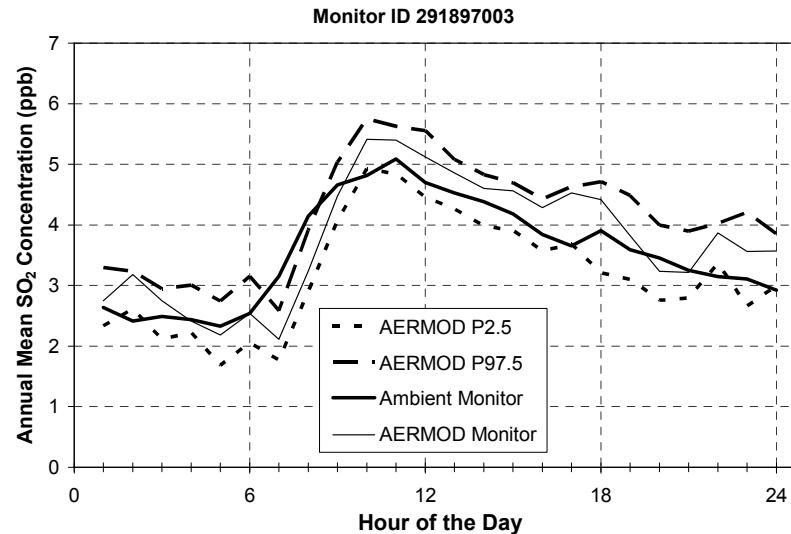
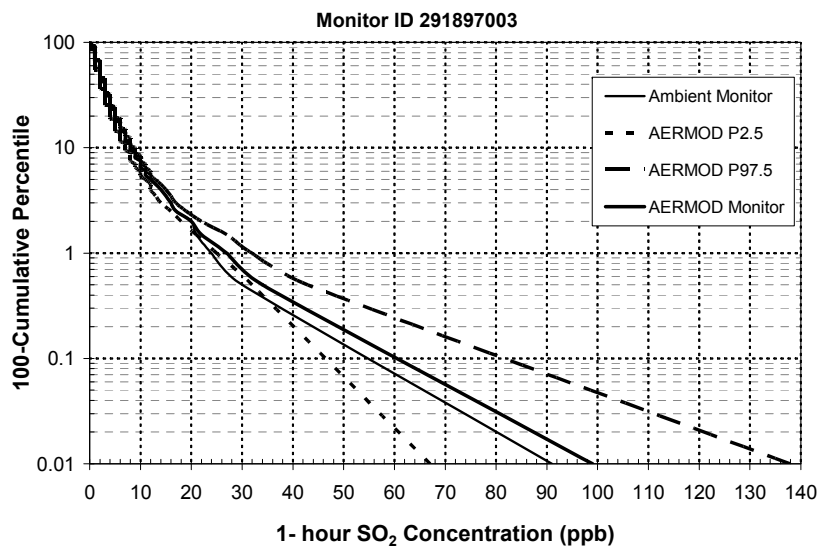
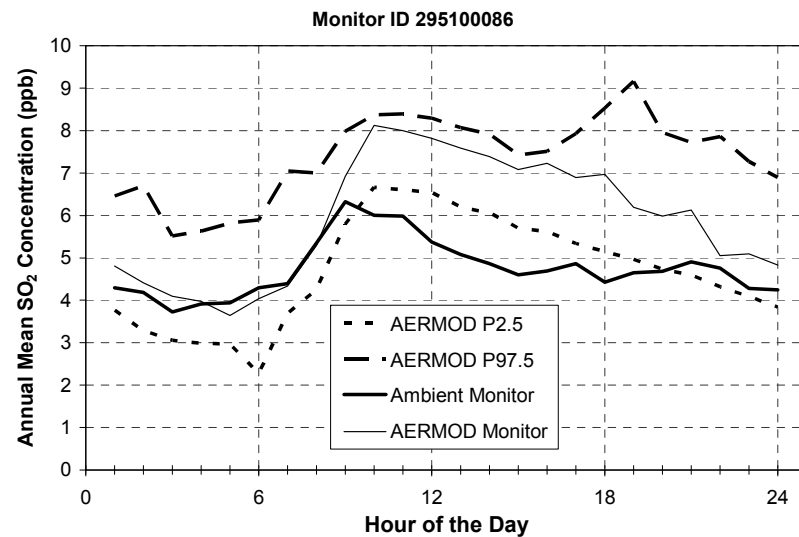
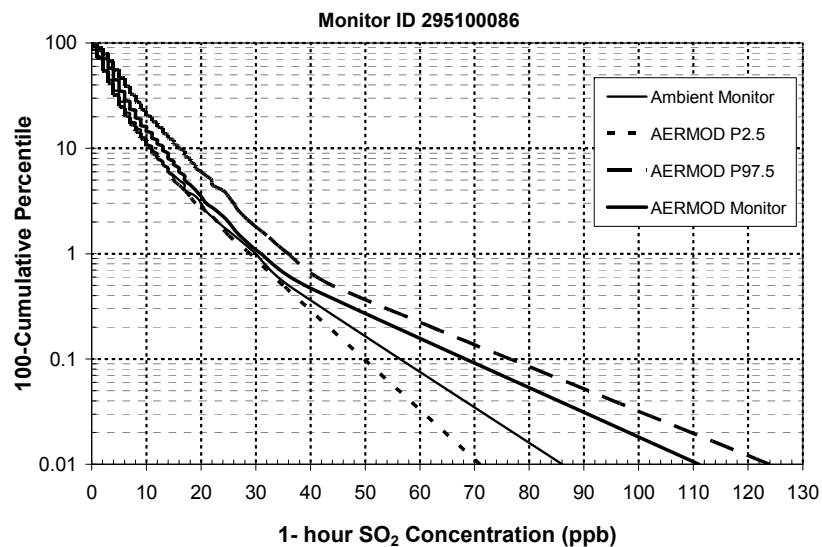


Figure 8-11. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitors 291897003 and 295100007 in St Louis, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.



**Figure 8-12. Comparison of measured ambient monitor SO<sub>2</sub> concentration distribution and diurnal profile with the modeled monitor receptor and receptors within 4 km of monitor 295100086 in St Louis, Mo. Maximum 1-hour concentration percentile is defined as 0.01 (or 100-99.99) because log(0) is undefined.**

#### ***8.4.4.3. Using unadjusted AERMOD predicted SO<sub>2</sub> concentrations***

The SO<sub>2</sub> concentrations estimated using AERMOD do not have a particular directional influence in over- or under-estimating concentrations, save for small over-estimation primarily observed at the lowest concentrations and some difficulty in reproducing some of the maximum measured concentrations. Most ambient monitoring concentrations fell within the modeled prediction envelopes constructed of modeled receptors surrounding the monitor. In generating the modeled air quality, staff made judgments in appropriately modifying model inputs including an adjustment of the area source temporal emission profile to improve the comparison of the model predictions with the measurement data. Staff went through several iterations of evaluating the model performance in each modeling domain following model input adjustments to obtain the current modeled air quality results. Given the time and resources to perform this assessment, the good agreement in the model-to-monitor comparisons, the degree of confidence in the dispersion modeling system, the spatial representation of the monitors compared with receptors modeled, and the number of comparisons available, staff did not perform any further adjustments to the modeled concentrations to improve the relationship between modeled versus measured concentration at each monitor. Additional details on the staff's reasoning are provided in section 8.11.

## **8.5 SIMULATED POPULATION**

APEX takes population characteristics into account to develop accurate representations of study area demographics. Specifically, age- and gender-specific population counts and employment probability estimates, asthma prevalence rates, and home-to-work commuting locations and probabilities were used to develop representative profiles of hypothetical individuals used in the exposure modeling simulation. In addition, body surface area (BSA) and activity-specific ventilation rates are two important attributes used by APEX to characterize when simulated individuals were at moderate or greater activity levels. Each of these is discussed in the following sections.

### **8.5.1 Population Counts and Employment Probabilities**

Block-level population counts were obtained from the 2000 Census of Population and Housing Summary File 1 (SF-1). Estimates of employment were also developed from census information (US Census Bureau, 2007) and separated into gender and age groups. Children

under 16 years of age were assumed to be not employed. Staff also assumed that employment probabilities for a census tract apply uniformly to the constituent census blocks. Further details are provided in Appendix B.2.2.2.

### **8.5.2 Asthma Prevalence**

The population subgroups included in this exposure assessment are asthmatics and asthmatic children. Evaluating exposures of these subgroups with APEX requires the estimation of children's asthma prevalence rates. The proportion of the population of children characterized as being asthmatic was estimated by statistics on asthma prevalence rates recently used in the NAAQS review for O<sub>3</sub> (US EPA, 2007d). See Appendix B, Attachment 2 for details on the derivation. Specifically, an analysis of data provided in the National Health Interview Survey (NHIS) for 2003 (CDC, 2007) generated age and gender specific asthma prevalence rates for children ages 0-17. Staff used these data rather than the aggregate data available at the county level, to retain the variability in asthma prevalence observed with children of different ages. Adult asthma prevalence rates were estimated by gender and for each particular modeling domain based on Missouri regional data (MO DOH, 2002). Table 8-7 provides a summary of the asthma prevalence used in the exposure analysis, stratified by age and gender.

The total population simulated within the two modeling domains was approximately 1.4 million persons, of which there was a total simulated population of about 130,000 asthmatics. The model simulated over 360,000 children ages 5 through 17, of which there were nearly 50,000 asthmatics. The individual populations for each modeling domain and subpopulation of interest are provided in Table 8-8. For comparison, staff weighted the asthma prevalence by population in the three counties reported by the MO Department of Health (2003) for all ages (i.e., St. Charles-8.8%, St. Louis-5.8%, and St. Louis City-16.4%) to generate an asthma prevalence of 8.8%. This asthma prevalence is similar to the 9.2% modeled here using APEX. In Greene County, the reported asthma prevalence was 10.2% (MO Department of Health, 2003), while 9.8% of the simulated population was asthmatic.



**Table 8-7. Asthma prevalence rates by age and gender used in Greene County and St. Louis modeling domains.**

Modeling Domain (Region)	Age <sup>1</sup>	Asthma Prevalence (%)	
		Females	Males
Greene Co. and St. Louis (Midwest)	0	7.0	3.1
	1	7.1	6.3
	2	7.3	10.8
	3	7.5	15.8
	4	8.1	21.6
	5	9.5	17.8
	6	9.2	12.8
	7	9.0	12.1
	8	8.6	12.8
	9	11.0	14.7
	10	16.2	17.7
	11	19.6	19.0
	12	21.2	19.5
	13	17.0	16.9
	14	14.0	16.8
	15	13.3	18.0
	16	14.0	20.1
	17	16.5	23.7
Greene Co.	>17	10.7	6.1
St. Louis	>17	9.3	5.3
<b>Notes:</b> <sup>1</sup> Ages 0-17 from the National Health Interview Survey (NHIS) for 2003 (CDC, 2007); ages >17 from (MO DOH, 2002).			

**Table 8-8. Population modeled in Greene County and St. Louis modeling domains.**

Modeling Domain	Population		Asthmatic Population	
	All Ages	Children (5 – 18)	All Ages	Children (5 – 18)
Green Co.	224,145	54,373	21,948	7,285
St. Louis	1,151,094	308,939	105,456	41,714

### 8.5.3 Commuting Database

Commuting data were originally derived from the 2000 Census, collected as part of the Census Transportation Planning Package (CTPP) (US DOT, 2007). The data used here contain counts of individuals commuting from home-to-work locations at a number of geographic scales. These data were processed to calculate fractions for tract-to-tract flow on a national level (all 50 U.S. states and Washington, D.C.). A software pre-processor was then developed to generate

block-level commuting files for APEX using the tract-level commuting data and finely-resolved land use data, assuming the frequency of commuting to a workplace block within a tract is proportional to the amount of commercial and industrial land in the block. Further details are provided in Appendix B.2.2.2.

Note that while travel on roads was accounted for by APEX for other individuals (e.g., unemployed, children, persons who work at home) it was assumed that the vehicle travel (e.g., car, bus, train) occurred within the block the individual resides.

#### **8.5.4 Body Surface Area**

Age- and gender-specific BSA is estimated for each simulated individual. Briefly, the BSA calculation is based on logarithmic relationships developed by Burmaster (1998) that use body mass (BM) as an independent variable as follows:

$$BSA = e^{-2.2781} BM^{0.6821} \quad \text{equation (8-1)}$$

where,

$BSA$  = body surface area (m<sup>2</sup>)

$BM$  = body mass (kg)

Each simulated individual's body mass was randomly sampled from age- and gender-specific body mass distributions generated from National Health and Nutrition Examination Survey (NHANES) data for the years 1999-2004.<sup>67</sup> Details in their development and the parameter values are provided in Appendix B, Attachment 3.

#### **8.5.5 Activity-Specific Ventilation Rates**

Ventilation is a general term describing the movement of air into and out of the lungs. The rate of ventilation is determined by the type of activity an individual performs which in turn is related to the amount of oxygen required to perform the activity. Minute or total ventilation rate is used to describe the volume of air moved in or out of the lungs per minute.

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<sup>67</sup> Demographic (Demo) and Body Measurement (BMX) datasets for each of the NHANES studies were obtained from [http://www.cdc.gov/nchs/nhanes/nhanes\\_questionnaires.htm](http://www.cdc.gov/nchs/nhanes/nhanes_questionnaires.htm).

Quantitatively, the volume of air breathed in per minute ( $\dot{V}_I$ ) is slightly greater than the volume expired per minute ( $\dot{V}_E$ ). Clinically, however, this difference is not important, and by convention, the ventilation rate is always measured on an expired sample or  $\dot{V}_E$ .

The rate of oxygen consumption ( $\dot{V}_{O_2}$ ) is related to the rate of energy usage in performing activities as follows:

$$\dot{V}_{O_2} = EE \times ECF \quad \text{equation (8-2)}$$

where,

$\dot{V}_{O_2}$  = Oxygen consumption rate (liters O<sub>2</sub>/minute)

$EE$  = Energy expenditure (kcal/minute)

$ECF$  = Energy conversion factor (liters O<sub>2</sub>/kcal).

The ECF shows little variation and typically, a value between 0.20 and 0.21 is used to represent the conversion from energy units to oxygen consumption units. In this REA, APEX randomly sampled from a uniform distribution defined by these lower and upper bounds to estimate an ECF once for each simulated individual. The activity-specific energy expenditure is highly variable and can be estimated using metabolic equivalents (METs). The METs are ratios of the rate of energy consumption for non-rest activities to the resting rate of energy consumption. Thus energy expenditure can be represented by the following:

$$EE = MET \times RMR \quad \text{equation (8-3)}$$

where,

$EE$  = Energy expenditure (kcal/minute)

$MET$  = Metabolic equivalent of work (unitless)

$RMR$  = Resting metabolic rate (kcal/minute)

The CHAD database (EPA, 2002) contains distributions of METs for all activities that might be performed by simulated individuals. APEX randomly samples from the various METs distributions to obtain values for every activity performed by each individual. Age- and gender-

specific RMR are estimated once for each simulated individual using a linear regression model (see Johnson et al., 2000)<sup>68</sup> as follows:

$$RMR = [b_0 + b_1 (BM) + \varepsilon] F \quad \text{equation (8-4)}$$

where,

- $RMR$  = Resting metabolic rate (kcal/min)
- $b_0$  = Regression intercept (MJ/day)
- $b_1$  = Regression slope (MJ/day/kg)
- $BM$  = body mass (kg)
- $\varepsilon$  = randomly sampled error term,  $N\{0, se\}$ <sup>69</sup> (MJ/day)
- $F$  = Factor for converting MJ/day to kcal/min (0.166)

Finally, Graham and McCurdy (2005) describe an approach to estimate  $\dot{V}_E$  using  $\dot{V}_{O_2}$ . In that report, a series of age- and gender-specific multiple linear regression equations were derived from data generated in 32 clinical exercise studies. The algorithm accounts for variability in ventilation rate due to variation in oxygen consumption, the variability within age groups, and both inter- and intra-personal and variability. The basic algorithm follows:

$$\ln(\dot{V}_E / BM) = b_0 + b_1 \ln(\dot{V}_{O_2} / BM) + b_2 \ln(1 + age) + b_3 gender + e_b + e_w \quad \text{equation (8-5)}$$

where,

- $\ln$  = natural logarithm of variable
- $\dot{V}_E / BM$  = activity-specific ventilation rate, body mass normalized (liter air/kg)
- $b_i$  = see below
- $\dot{V}_{O_2} / BM$  = activity-specific oxygen consumption rate, body mass normalized (liter/O<sub>2</sub>/kg)
- $age$  = the age of the individual (years)
- $gender$  = gender value (-1 for males and +1 for females)
- $e_b$  = randomly sampled error term for between persons  $N\{0, se\}$ , (liter air/kg)
- $e_w$  = randomly sampled error term for within persons  $N\{0, se\}$ , (liter air/kg)

<sup>68</sup> The regression equations were adapted by Johnson et al. (2000) using data reported by Schofield (1985). The regression coefficients and error terms used by APEX are provided in Appendix B Attachment 3.

<sup>69</sup> The value used for each individual is sampled from a normal distribution (N) having a mean of zero (0) and variability described by the standard error (se).

As indicated above, the random error ( $\varepsilon$ ) is allocated to two variance components and used to estimate the between-person (inter-individual variability) residuals distribution ( $e_b$ ) and within-person (intra-individual variability) residuals distribution ( $e_w$ ). The regression parameters  $b_0$ ,  $b_1$ ,  $b_2$ , and  $b_3$  are assumed to be constant over time for all simulated persons,  $e_b$  is sampled once per person, while whereas  $e_w$  is sampled from event to event. Point estimates of the regression coefficients and standard errors of the residuals distributions are given in Table 8-9.

**Table 8-9. Ventilation coefficient parameter estimates ( $b_i$ ) and residuals distributions ( $e_i$ ) from Graham and McCurdy (2005).**

Age group	Regression Coefficients <sup>1</sup>				Random Error <sup>1,2</sup>	
	$b_0$	$b_1$	$b_2$	$b_3$	$e_b$	$e_w$
<20	4.3675	1.0751	-0.2714	0.0479	0.0955	0.1117
20-<34	3.7603	1.2491	0.1416	0.0533	0.1217	0.1296
34-<61	3.2440	1.1464	0.1856	0.0380	0.1260	0.1152
61+	2.5826	1.0840	0.2766	-0.0208	0.1064	0.0676
Notes:						
<sup>1</sup> These are the values of the coefficients and residuals distributions described by equation 8-5.						
<sup>2</sup> The unique value used for each individual is sampled from a normal distribution (N) having a mean of zero (0) and variability described by the standard error (se).						

## 8.6 CONSTRUCTION OF LONGITUDINAL ACTIVITY SEQUENCES

Exposure models use human activity pattern data to predict and estimate exposure to pollutants. Different human activities, such as spending time outdoors, indoors, or driving, will result in varying pollutant exposure concentrations. To accurately model individuals and their exposure to pollutants, it is critical to understand their daily activities. EPA's CHAD provides data for where people spend time and the activities they perform. Typical time-activity pattern data available for inhalation exposure modeling consist of a sequence of location/activity combinations spanning 24-hours, with 1 to 3 diary-days for any single study individual.

The exposure assessment performed here requires information on activity patterns over a full year. Long-term multi-day activity patterns were estimated from single days by combining the daily records using an algorithm that represents the day-to-day correlation of activities for individuals. The algorithm first uses cluster analysis to divide the daily activity pattern records into groups that are similar, and then select a single daily record from each group. This limited

number of daily patterns is then used to construct a long-term sequence for a simulated individual, based on empirically-derived transition probabilities. This approach is intermediate between an assumption of no day-to-day correlation (i.e., re-selection of diaries for each time period) and perfect correlation (i.e., selection of a single daily record to represent all days). Details regarding the algorithm and supporting evaluations are provided in Appendix B, Attachments 4 and 5.

## **8.7 CALCULATING MICROENVIRONMENTAL CONCENTRATIONS**

Probabilistic algorithms are used to estimate the pollutant concentration associated with each exposure event. The estimated pollutant concentrations account for temporal and spatial variability in ambient (outdoor) pollutant concentration and factors affecting indoor microenvironments, such as a penetration, air exchange rate, and pollutant decay or deposition rate. APEX calculates air concentrations in the various microenvironments visited by the simulated person by using the ambient air data estimated for the relevant blocks/receptors, the user-specified algorithm, and input parameters specific to each microenvironment. The method used by APEX to estimate the microenvironmental concentration depends on the microenvironment, the data available for input to the algorithm, and the estimation method selected by the user. The current version of APEX calculates hourly concentrations in all the microenvironments at each hour of the simulation for each of the simulated individuals using one of two methods: a mass balance model or a transfer factors method. Details regarding the algorithms used for estimating specific microenvironments and associated input data derivations are provided in Appendix B.

Briefly, the mass balance method simulates an enclosed microenvironment as a well-mixed volume in which the air concentration is spatially uniform at any specific time. The concentration of an air pollutant in such a microenvironment is estimated using the following processes:

- Inflow of air into the microenvironment
- Outflow of air from the microenvironment
- Removal of a pollutant from the microenvironment due to deposition, filtration, and chemical degradation
- Emissions from sources of a pollutant inside the microenvironment.

A transfer factors approach is simpler than the mass balance model; however, most parameters are derived from distributions rather than single values to account for observed variability. The transfer factors approach does not calculate concentration in a microenvironment from the concentration in the previous hour as is done by the mass balance method and contains only two parameters. A proximity factor is used to account for proximity of the microenvironment to sources or sinks of pollution, or other systematic differences between concentrations just outside the microenvironment and the ambient concentrations (at the measurements site or modeled receptor). The second parameter, a penetration factor, quantifies the amount of outdoor pollutant that penetrates into the microenvironment.

#### **8.7.1 Approach for Estimating 5-Minute Maximum SO<sub>2</sub> Concentrations**

Five-minute maximum SO<sub>2</sub> concentrations in each exposure modeling domain were estimated using the empirically-derived PMRs (developed from recent 5-minute SO<sub>2</sub> ambient monitoring data, see section 7.2) and the AERMOD predicted 1-hour SO<sub>2</sub> concentrations. Thus, for every 1-hour SO<sub>2</sub> concentration estimated at every receptor, an associated 5-minute maximum SO<sub>2</sub> concentration was generated (i.e., twenty-four 5-minute maximum SO<sub>2</sub> concentrations per day). These statistically modeled 5-minute maximum SO<sub>2</sub> concentrations were then used to estimate the eleven other 5-minute concentrations that occur within every hour (see below). This spatially complete (at the block level) and consecutive time-series of 5-minute SO<sub>2</sub> concentrations then served as the ambient concentrations input to algorithms within APEX that estimate the microenvironmental concentrations.

The current version of APEX can use ambient concentrations of almost any time step, including an averaging time of 5-minutes. However, if all of the individual block-level receptor files were generated as an input to APEX in this assessment, the size and number of files would become an issue. In this exposure assessment, each of the thousands of receptor files generated by AERMOD would increase by a factor of twelve, creating disk space, pre-processing, and exposure modeling difficulties. In addition, the APEX default exposure output for modeled individuals is the single greatest exposure within a day, thus requiring model changes to obtain output of a different form. Staff believed that to reasonably estimate multiple peak concentrations that might occur within an hour by addressing these issues would further encumber the limited time and resources already available to staff to conduct the assessment.

Staff elected to use a simplified approach to generate all other 5-minute SO<sub>2</sub> concentrations that occur within the hour. The objective of the approach used was not to estimate each of the other eleven 5-minute concentrations with a high degree of certainty; each of these concentrations, by definition, would be lower than the maximum for that hour. While the occurrence of multiple peak concentrations above benchmark levels within an hour is possible, staff assumed that use of the twenty-four 5-minute maximum SO<sub>2</sub> concentrations could provide an accurate estimate of the maximum exposure an individual might experience in a day.<sup>70</sup> Further discussion regarding multiple peak exposures within an hour is given in section 8.11.

The technical approach to estimating SO<sub>2</sub> concentrations real-time within the APEX model rather than modeled externally is as follows. An algorithm was incorporated into the flexible time-step APEX model to estimate the 5-minute maximum SO<sub>2</sub> concentrations using the 1-hour SO<sub>2</sub> concentration, an appropriate PMR (section 7.2), and equation 7-1. The additional eleven 5-minute concentrations within an hour at each receptor were approximated using the following:

$$X = \frac{n\bar{C} - P}{n - 1} \quad \text{equation (8-6)}$$

where,

- $X$  = 5-minute SO<sub>2</sub> concentration in each of non-peak concentration periods in the hour at a receptor (ppb)
- $\bar{C}$  = 1-hour SO<sub>2</sub> concentration estimated at a receptor (ppb)
- $P$  = estimated 5-minute maximum SO<sub>2</sub> concentration at a receptor (ppb) using equation 7-1.
- $n$  = number of time steps within the hour (or 12)

In addition to the level of the 5-minute maximum SO<sub>2</sub> concentration, the actual time of when the contact occurs with a person is also of importance. There is no reason to expect a temporal relationship of the peak concentrations within the hour, thus clock times for peak values were estimated randomly (i.e., any one of the 12 possible time periods within the hour). The PMR assignment also assumes a standard frequency during any hour of the day.

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<sup>70</sup> Note that the model still uses all of the statistically-modeled twenty-four 5-minute maximum SO<sub>2</sub> concentrations (one for every hour in the day) in estimating microenvironmental concentrations and personal exposures.



### 8.7.2 Microenvironments Modeled

In APEX, microenvironments represent the exposure locations for simulated individuals. For exposures to be estimated accurately, it is important to have realistic microenvironments that match closely to the locations where actual people spend time on a daily basis. As discussed above, the two methods available in APEX for calculating pollutant levels within microenvironments are mass balance or a transfer factors approach. Table 8-10 lists the microenvironments used in this study, the calculation method used, and the type of parameters used to calculate the microenvironment concentrations.

**Table 8-10. List of microenvironments modeled and calculation methods used.**

<b>Microenvironment</b>	<b>Calculation Method</b>	<b>Parameter Types used<sup>1</sup></b>
Indoors – Residence	Mass balance	AER and DE
Indoors – Bars and restaurants	Mass balance	AER and DE
Indoors – Schools	Mass balance	AER and DE
Indoors – Day-care centers	Mass balance	AER and DE
Indoors – Office	Mass balance	AER and DE
Indoors – Shopping	Mass balance	AER and DE
Indoors – Other	Mass balance	AER and DE
Outdoors – Near road	Factors	PR
Outdoors – Public garage - parking lot	Factors	PR
Outdoors – Other	Factors	None
In-vehicle – Cars and Trucks	Factors	PE and PR
In-vehicle - Mass Transit (bus, subway, train)	Factors	PE and PR
<sup>1</sup> AER=air exchange rate, DE=decay-deposition rate, PR=proximity factor, PE=penetration factor		

### 8.7.3 Microenvironment Descriptions

#### *8.7.3.1 Microenvironment 1: Indoor-Residence*

The Indoor-Residence microenvironment uses several variables that affect SO<sub>2</sub> exposure: whether or not air conditioning is present, the average outdoor temperature, the SO<sub>2</sub> removal rate, and an indoor concentration source.

#### *Air conditioning prevalence rates*

Since the selection of an air exchange rate distribution is conditioned on the presence or absence of an air-conditioner, for each modeled area the air conditioning status of the residential microenvironments is simulated randomly using the probability that a residence has an air

conditioner. A value of 96% was used to represent the air conditioning prevalence rate in both Greene County and St. Louis, using the data obtained from the St. Louis American Housing Survey of 2004 (AHS, 2005). Air conditioning prevalence is noted as distinct from usage rate, the latter being represented by the air exchange rate distribution and dependent on temperature (see next section).

#### *Air exchange rates*

Air exchange rate data for the indoor residential microenvironment were the same used in APEX for the most recent O<sub>3</sub> NAAQS review (EPA, 2007d; see Appendix B, Attachment 6). Briefly, data were reviewed, compiled and evaluated from the extant literature to generate location-specific AER distributions categorized by influential factors, namely temperature and presence of air conditioning. In general, lognormal distributions provided the best fit, and are defined by a geometric mean (GM) and standard deviation (GSD). To avoid unusually extreme simulated AER values, bounds of 0.1 and 10 were selected for minimum and maximum AER, respectively. Table 8-11 summarizes the AER distributions used in modeling indoor residential exposures, separated by A/C prevalence and temperature categories. See Appendix B, Attachment 6 for additional details.

**Table 8-11. Geometric means (GM) and standard deviations (GSD) for air exchange rates by A/C type and temperature range.**

<b>A/C Type<sup>1</sup></b>	<b>Temp (°C)</b>	<b>N</b>	<b>GM</b>	<b>GSD</b>
Central or Room A/C	<=10	179	0.9185	1.8589
	10-20	338	0.5636	1.9396
	20-25	253	0.4676	2.2011
	25-30	219	0.4235	2.0373
	>30	24	0.5667	1.9447
No A/C	<=10	61	0.9258	2.0836
	10-20	87	0.7333	2.3299
	>20	44	1.3782	2.2757
<b>Notes:</b> <sup>1</sup> All distributions derived from data reported in non-California cities. See Appendix B, Attachment 6 for details in the data used and distribution derivation.				

The AER data obtained was limited in the number of samples, particularly when considering these influential factors. When categorizing by temperature, a range of temperatures was used to maintain a reasonable number of samples within each category to allow for some variability within the category, while still allowing for differences across categories. Several

distribution forms were investigated (i.e., exponential, log-normal, normal, and Weibull) and in general, lognormal distributions provided the best fit. Fitted lognormal distributions were defined by a geometric mean (GM) and standard deviation (GSD). Because no fitted distribution was available specifically for St. Louis or Greene County, distributions were selected from other locations thought to have similar characteristics, qualitatively considering factors that might influence AERs including the age composition of housing stock, construction methods, and other meteorological variables not explicitly treated in the analysis, such as humidity and wind speed patterns.

#### ***SO<sub>2</sub> Removal Rate***

Staff estimated distributions of indoor SO<sub>2</sub> deposition rates by applying a Monte Carlo sampling approach to configurations of indoor microenvironments of interest. The relative composition of particular surface materials (e.g., painted wall board, wall paper, wool carpet, synthetic carpet, synthetic floor covering, cloth) within various sized buildings were probabilistically modeled to estimate 1,000 SO<sub>2</sub> deposition rates that in turn were used to parameterize lognormal distributions (Table 8-12). The modeling was fundamentally based on a review of SO<sub>2</sub> deposition conducted by Grontoft and Raychaudhuri (2004) for a variety of building material surfaces under differing conditions of relative humidity. Details on the data used and derivation of removal rates are provided in Appendix B, section 4.

**Table 8-12. Final parameter estimates of SO<sub>2</sub> deposition distributions in several indoor microenvironments modeled in APEX.**

Microenv- ironment	Heating or Air Conditioning in Use or Low Ambient Humidity <sup>1</sup>				Air Conditioning Not in Use (Summertime Ambient Morning Relative Humidity of 90%)			
	Geom. Mean (hr <sup>-1</sup> )	Geom. Stand. Dev. (hr <sup>-1</sup> )	Lower Limit (hr <sup>-1</sup> )	Upper Limit (hr <sup>-1</sup> )	Geom. Mean (hr <sup>-1</sup> )	Geom. Stand. Dev. (hr <sup>-1</sup> )	Lower Limit (hr <sup>-1</sup> )	Upper Limit (hr <sup>-1</sup> )
Residence	3.14	1.11	2.20	5.34	13.41	1.11	10.31	26.96
Office	3.99	1.04	3.63	4.37	N/A	N/A	N/A	N/A
School/ Day Care Center	4.02	1.02	3.90	4.21	N/A	N/A	N/A	N/A
Restaurant	2.36	1.28	1.64	4.17	N/A	N/A	N/A	N/A
Other Indoors	2.82	1.21	1.71	4.12	N/A	N/A	N/A	N/A
<b>Notes:</b> 1 Summertime ambient afternoon relative humidity of 50%. N/A not applicable, assumed by staff to always have A/C in operation.								

#### **8.7.3.2 Microenvironments 2-7: All Other Indoor Microenvironments**

The remaining six indoor microenvironments, which represent Bars and Restaurants, Schools, Day Care Centers, Office, Shopping, and the broadly defined Other Indoor microenvironments, were all modeled using the same data and functions. An air exchange rate distribution (GM = 1.109, GSD = 3.015, Min = 0.07, Max = 13.8) was based on an indoor air quality study (Persily et al., 2005). This is the same distribution in APEX used for the most recent O<sub>3</sub> NAAQS review (EPA, 2007d) and NO<sub>2</sub> REA (EPA, 2008d). See Appendix B, Attachment 6 for details in the data used and derivation. The SO<sub>2</sub> removal rates in these six indoor microenvironments were estimated as explained in section 8.7.3.1, and described in more detail in Appendix B, section 4. The resulting lognormal distributions for removal rates are presented in Table 8-12. These microenvironments are all assumed to have air-conditioning.

#### **8.7.3.3 Microenvironments 8-10: Outdoor Microenvironments**

All outdoor microenvironmental concentrations are well represented by the modeled concentrations. Therefore, both the penetration factor and proximity factor for this microenvironment were set to 1.

#### **8.7.3.4 Microenvironments 11 and 12: In Vehicle- Cars and Trucks, and Mass Transit**

There were no available measurement data for SO<sub>2</sub> penetration factors, therefore the penetration factors used were developed from NO<sub>2</sub> data provided in Chan and Chung (2003) and used in the recent NO<sub>2</sub> NAAQS review (EPA, 2008d). NO<sub>2</sub> and SO<sub>2</sub> are expected to have similar penetration rates inside vehicles since both are gases. Although the in-vehicle NO<sub>2</sub> measurements used in the in-vehicle-to-outdoor-ratios might include a small amount of in-vehicle emissions, resulting in some discrepancy between effective penetration factors for NO<sub>2</sub> and SO<sub>2</sub>, the additional uncertainty is expected to be small compared to the overall uncertainty implied by the broad uniform distributions.

Inside-vehicle and outdoor NO<sub>2</sub> concentrations were measured for three ventilation conditions: air-recirculation, fresh air intake, and with windows open. Mean in-vehicle-to-outdoor ratio values ranged from about 0.6 to just over 1.0, with higher values associated with increased ventilation (i.e., window open). A uniform distribution U{0.6, 1.0} was selected for the penetration factor for Inside-Cars/Trucks due to the limited data available to describe a more

formal distribution and the lack of data available to reasonably assign potentially influential characteristics such as use of vehicle ventilation systems for each location. Mass transit systems, due to the frequent opening and closing of doors, was assigned a uniform distribution  $U\{0.8, 1.0\}$  based on the reported mean values for fresh-air intake (0.796) and open windows (1.032) on urban streets.

## 8.8 EXPOSURE MEASURES AND HEALTH RISK CHARACTERIZATION

### 8.8.1 Estimation of Exposure

APEX calculates exposure as a time-series of exposure concentrations that a simulated individual experiences during the simulation period. APEX calculates exposure by identifying concentrations in the microenvironments visited by the person according to the composite diary. In this manner, a time-series of event exposures are found. Then, the time-step exposure concentration at any clock hour during the simulation period is calculated using the following equation:

$$C_i = \frac{\sum_{j=1}^N C_{time-step(j)} t_{(j)}}{T} \quad \text{equation (8-7)}$$

where,

$C_i$	=	Time-step exposure concentration at clock hour $i$ of the simulation period (ppm)
$N$	=	Number of events (i.e., microenvironments visited) in time-step $i$ of the simulation period.
$C_{time-step(j)}$	=	Time-step concentration in microenvironment $j$ (ppm)
$t_{(j)}$	=	Time spent in microenvironment $j$ (minutes)
$T$	=	Length of time-step (or 5 minutes in this analysis)

From the time-step exposures, APEX calculates time-series of 5-minute, 1-hour, 24-hour, and annual average exposure concentrations that a simulated individual would experience during the simulation period. APEX then statistically summarizes and tabulates the 5-minute time-step (or daily, or annual average) exposures. From this, APEX can calculate two general types of exposure estimates: counts of the estimated number of people whose exposure exceeded a specified  $SO_2$  concentration level 1 or more times in a year and the number of times per year that they are so exposed; the latter metric is in terms of person-occurrences or person-days. The

former highlights the number of individuals whose exposure exceeded at least *one or more* times per modeling period the health effect benchmark level of interest. APEX can also report counts of individuals with multiple exposures. This person-occurrences measure estimates the number of times per season that individuals are exposed to the exposure indicator of interest and then accumulates these estimates for the entire population residing in an area.

In this exposure assessment, APEX tabulates and displays the two measures for exposures above levels ranging from 0 to 800 ppb by 50 ppb increments for all exposures. These results are tabulated for the total population and subpopulations (i.e., asthmatics, asthmatic children) of interest.

### **8.8.2 Estimation of Target Ventilation Rates**

Human activities are variable over time, a wide range of activities are possible even within a single hour of the day. The type of activity an individual performs, such as sleeping or jogging, will influence their breathing rate. As discussed above in section 8.5.5, APEX estimates minute-by-minute ventilation rates that account for the expected variability in the activities performed by simulated individuals. The ISA indicates that the adverse lung function responses associated with short-term peak exposures at levels below 1,000 ppb coincide with moderate to heavy exertion levels. Therefore, staff needed to identify a target ventilation rate in the simulated individuals to further characterize the estimated exposures of interest.

The target ventilation for adults (both a mix of males and females) experiencing effects from 5-10 minute SO<sub>2</sub> exposures in many of the controlled human exposure studies was approximately between 40-50 L/min (Table 3-1, ISA).<sup>71</sup> Since there were limited controlled human exposure study data available for asthmatic children, the ventilation targets needed to be normalized. Normalized ventilation rates allow for extrapolation of the adult target ventilation rate and, hence the health effect response associated with that ventilation rate to asthmatic children. One method used to normalize ventilation rate is to generate an equivalent ventilation rate (EVR) based on normalizing the simulated individuals activity-specific ventilation rate ( $\dot{V}_E$ ) to their body surface area (BSA). Staff has used EVR in previous O<sub>3</sub> NAAQS reviews to also

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<sup>71</sup> Note that study subjects were free-breathing; thus it is expected that there was a mixture of nasal, oral, and oronasal breathing that occurred across the study subjects. Without information regarding the breathing method used by any subject and their corresponding health response, staff assumed that the mixture in breathing method is representative for the simulated population.

identify comparable activity-specific ventilation rates for children and adults (EPA, 2007d; Whitfield et al., 1996). In these reviews, an EVR ranging from 16-30 L/min-m<sup>2</sup> was associated with moderate exertion over a 1-hour exposure event, while an EVR ranging from 13-27 L/min-m<sup>2</sup> was associated with moderate exertion over an 8-hour exposure event.

As was done in the O<sub>3</sub> NAAQS reviews, target ventilation rates were identified in this exposure assessment by normalizing ventilation rates reported in the clinical studies on adults (i.e., 40-50 L/min, also see Table 9-3) to body surface area (BSA) to allow for such an extrapolation from adults to children. Body surface area was not measured in the controlled human exposure studies and the relevant ventilation data were not separated by gender. Staff obtained median estimates of BSA for males (1.94 m<sup>2</sup>) and females (1.69 m<sup>2</sup>) (EPA, 1997) and calculated a mean value of 1.81 m<sup>2</sup>. Based on this data, an EVR = 40/1.81 = 22 L/min-m<sup>2</sup> was used to characterize the minimum target ventilation rate of interest. Individuals at or above an EVR of 22 L/min-m<sup>2</sup> (children or adult) for a 5-minute exposure event were characterized as performing activities at or above a moderate ventilation rate.

### **8.8.3 Adjustment for Just Meeting the Current and Alternative Standards**

We used a different approach to simulate just meeting the current and alternative standards than was used in the Air Quality Characterization (see section 7.2.4). In this case, instead of proportionally adjusting the ambient concentrations, we proportionally adjusted the health effect benchmark levels used in each exposure modeling domain. The benchmark levels were adjusted rather than the air quality to reduce the processing time associated with the modeling of several thousands of receptors in each of the large exposure modeling domains. A proportional adjustment of the selected benchmark level (i.e., division by the adjustment factor) is mathematically equivalent to a proportional adjustment of the air quality concentrations (i.e., multiplication by the adjustment factor).<sup>72</sup> Therefore, the end effect of adjusting exposure model input concentrations upward versus adjusting exposure model benchmark levels downward is identical.

For example, an adjustment factor of 5.10 was determined for year 2002 in Cuyahoga County to simulate ambient concentrations just meeting the current standard. This value was

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<sup>72</sup> To evaluate the current and most of the proposed alternative standards, 1-hour ambient concentrations were typically adjusted upwards to just meet the standards. This would correspond to downward adjustments to the benchmark levels.

based on an annual average SO<sub>2</sub> concentration of 5.96 ppb observed at an ambient monitor (ID 390350060) for that year (see Appendix A, section A.3). Therefore in the exposure analysis, the 5-minute potential health effect benchmark levels of 100, 200, 300, and 400 ppb were proportionally adjusted downward to 19.6, 39.2, 58.8, and 78.4 ppb, respectively for year 2002. APEX reported the number of days an individual was exposed above each of the adjusted benchmark levels using the *as is* air quality as the ambient concentration input. To illustrate the relationship between the two procedures (air quality adjustment versus benchmark adjustment), a comparison of the distributions and benchmark exceedances is presented in Figure 8-13. This example used the distribution of hourly SO<sub>2</sub> concentrations measured at one ambient monitor (ID 390350045) within the Cuyahoga County modeling domain for year 2002. Staff used the statistical model (section 7.2.3) to estimate 5-minute maximum SO<sub>2</sub> concentrations from both the adjusted and unadjusted 1-hour SO<sub>2</sub> concentrations. If one were interested in the number of days per year with 5-minute SO<sub>2</sub> benchmark exceedances of 400 ppb under the current standard scenario for example, this would be equivalent to counting the number of days with 5-minute maximum SO<sub>2</sub> concentrations above 78.4 ppb using the *as is* air quality.

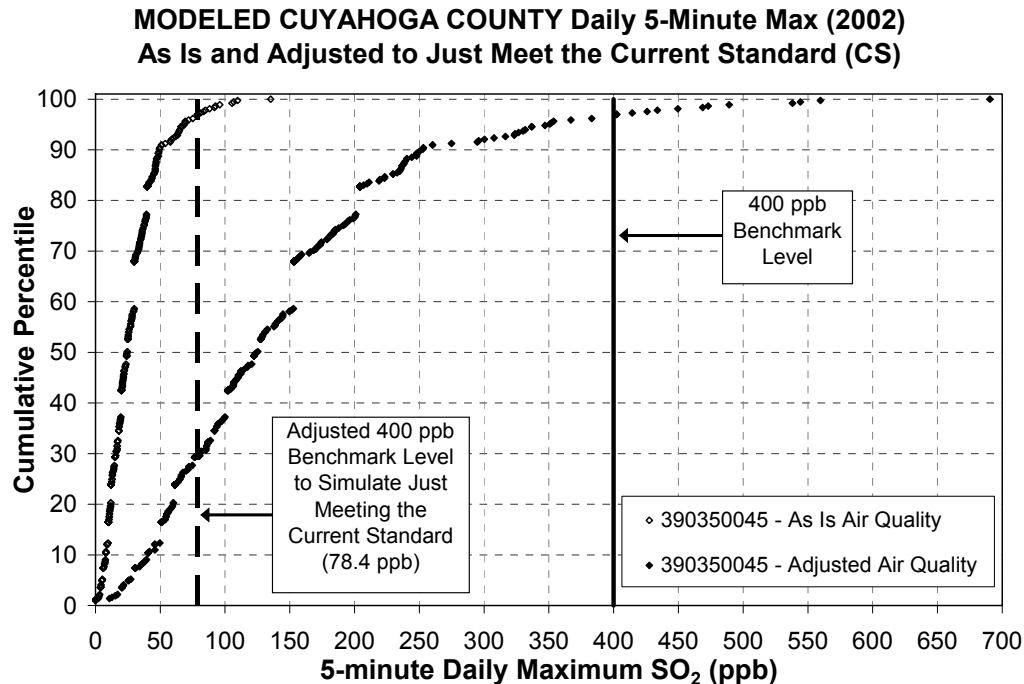
For additional clarity, the same ambient air quality data are presented in Figure 8-14, only with expansion of the highest percentiles on the graph to allow for improved visualization of the number of exceedances. When using the air quality adjusted to just meet the current standard, there were 14 days where the maximum 5-minute concentration was greater than 400 ppb.<sup>73</sup> When considering the *as is* air quality without adjustment but with a downward adjustment of the benchmark by the same factor of 5.10, there are the same number of days with exceedances (i.e., 14 exceedances). Due to the relationship between the two procedures, the estimated number of exceedances at each of the other benchmark levels is identical (Table 8-13).

The values for each adjusted benchmark level considering each of the air quality standard scenarios are given in Table 8-14. Staff applied the benchmark adjustment in each of the exposure modeling domains to simulate exposures associated with just meeting the current and alternative standards.

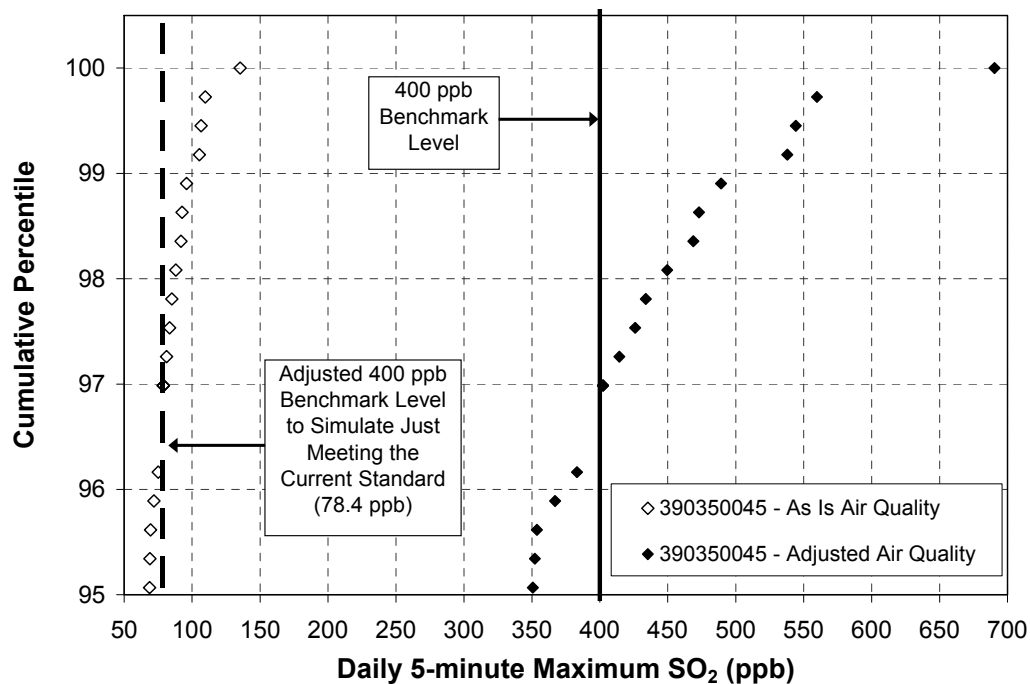
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<sup>73</sup> Only 12 points are observed in Figure 8-13 however, three peak concentrations were identical within each of the simulations.





**Figure 8-13. Comparison of adjusted ambient monitoring concentrations or adjusted benchmark level (dashed line) to simulate just meeting the current annual average standard at one ambient monitor in Cuyahoga County for year 2002.**



**Figure 8-14. Comparison of the upper percentile modeled daily 5-minute maximum SO<sub>2</sub> concentrations using either adjusted 1-hour ambient SO<sub>2</sub> concentrations or an adjusted benchmark level (with *as is* air quality) to simulate just meeting the current annual standard at monitor 390350045 in Cuyahoga County for year 2002. Complete distributions are provided in Figure 8-13.**

**Table 8-13. Comparison of benchmark levels, adjusted benchmark levels to just meet the current standard, the benchmark level distribution percentiles, and the number of 5-minute SO<sub>2</sub> benchmark exceedances at monitor 390350045 in Cuyahoga County for year 2002.**

<b>Benchmark Level (ppb)</b>	<b>Adjusted Benchmark Level<sup>1</sup> (ppb)</b>	<b>Concentration Distribution Percentile<sup>2</sup></b>	<b>Number of Days with a Benchmark Exceedance<sup>3</sup></b>
100	19.6	37.3	230
200	39.2	76.7	86
300	58.8	92.0	30
400	78.4	97.0	14
<p>Notes:</p> <p><sup>1</sup> The adjustment factor to simulate just meeting the current standard was 5.10.</p> <p><sup>2</sup> The percentile of the distribution for each benchmark and adjusted benchmark level was the same.</p> <p><sup>3</sup> The number of days with a benchmark exceedance when using either air quality adjusted to just meet the current standard or applying adjusted benchmarks to <i>as is</i> air quality was the same.</p>			

**Table 8-14. Exposure concentrations and adjusted potential health effect benchmark levels used by APEX to simulate just meeting the current and potential alternative standards in the Greene County and St Louis modeling domains.**

Modeling Domain	Form <sup>1</sup>	Level <sup>2</sup>	Exposure Concentrations and Adjusted Potential Health Effect Benchmark Levels (ppb) <sup>3</sup>															
			50	100	150	200	250	300	350	400	450	500	550	600	650	700	750	800
Greene County	98	200	20.3	40.5	60.8	81	101.3	121.5	141.8	162	182.3	202.5	222.8	243	263.3	283.5	303.8	324
	99	50	94.3	188.7	283	377.3	471.7	566	660.3	754.7	849	943.3	1037.7	1132	1226.3	1320.7	1415	1509.3
	99	100	47.2	94.3	141.5	188.7	235.8	283	330.2	377.3	424.5	471.7	518.8	566	613.2	660.3	707.5	754.7
	99	150	31.4	62.9	94.3	125.8	157.2	188.7	220.1	251.6	283	314.4	345.9	377.3	408.8	440.2	471.7	503.1
	99	200	23.6	47.2	70.8	94.3	117.9	141.5	165.1	188.7	212.3	235.8	259.4	283	306.6	330.2	353.8	377.3
	99	250	18.9	37.7	56.6	75.5	94.3	113.2	132.1	150.9	169.8	188.7	207.5	226.4	245.3	264.1	283	301.9
	CS		14.4	28.8	43.2	57.6	72	86.4	100.8	115.2	129.6	144	158.3	172.7	187.1	201.5	215.9	230.3
	<i>as is</i>		50	100	150	200	250	300	350	400	450	500	550	600	650	700	750	800
St. Louis	98	200	13.3	26.5	39.8	53	66.3	79.5	92.8	106	119.3	132.5	145.8	159	172.3	185.5	198.8	212
	99	50	63.3	126.7	190	253.3	316.7	380	443.3	506.7	570	633.3	696.7	760	823.3	886.7	950	1013.3
	99	100	31.7	63.3	95	126.7	158.3	190	221.7	253.3	285	316.7	348.3	380	411.7	443.3	475	506.7
	99	150	21.1	42.2	63.3	84.4	105.6	126.7	147.8	168.9	190	211.1	232.2	253.3	274.4	295.6	316.7	337.8
	99	200	15.8	31.7	47.5	63.3	79.2	95	110.8	126.7	142.5	158.3	174.2	190	205.8	221.7	237.5	253.3
	99	250	12.7	25.3	38	50.7	63.3	76	88.7	101.3	114	126.7	139.3	152	164.7	177.3	190	202.7
	CS		8	16	24	32	40	48	56	64	72	80	88	96	104	112	120	128
	<i>as is</i>		50	100	150	200	250	300	350	400	450	500	550	600	650	700	750	800

**Notes:**

<sup>1</sup> The form of the standard used to adjust the air quality. 98 is the 98<sup>th</sup> percentile 1-hour daily maximum alternative standard, 99 is the 99<sup>th</sup> percentile 1-hour daily maximum alternative standard, CS is either the current annual average or 24-hour SO<sub>2</sub> NAAQS (whichever had the lowest factor), *as is* is unadjusted air quality.

<sup>2</sup> The level of the potential alternative standards, i.e., the 1-hour daily maximum at the noted percentile of the distribution.

<sup>3</sup> Exposure levels were defined in 50 ppb increments from 0 through 800 ppb even though the selected potential health effect benchmark levels were 100 to 400 ppb in 100 ppb increments.

## 8.9 EXPOSURE MODELING AND HEALTH RISK CHARACTERIZATION RESULTS

Exposure results are presented for simulated asthmatic populations residing in the two modeling domains in Missouri. For each individual, APEX estimates the number of days with a 5-minute SO<sub>2</sub> exposure above the potential health effect benchmark levels year 2002. These short-term exposures were evaluated for all asthmatics and asthmatic children when the exposure corresponded with moderate or greater activity levels (i.e., the simulated individuals EVR during a 5-minute exposure event was  $>22 \text{ L/minute-m}^2$ ). The number of persons and days with at least one 5-minute SO<sub>2</sub> exposure at or above any level from 0 through 800 ppb in 50 ppb increments was reported by APEX. Therefore, for each concentration level, an individual at a moderate (or higher) exertion level while exposed would have at most one exceedance of a particular level per day, or 365 per year.

Multiple air quality scenarios were evaluated, including unadjusted air quality (termed *as is*), air quality adjusted to just meet the current NAAQS, and air quality adjusted to just meet several potential alternative 1-hr daily maximum standards. Exposure results are presented in a series of figures that allow for simultaneous comparison of exposures associated with each air quality scenario. Four types of results are provided for each exposure modeling domain: (1) the number of persons in the simulated subpopulation exposed at or above selected levels 1 or more times in a year, (2) the percent of the simulated subpopulation exposed at or above selected levels 1 or more times in a year, (3) the total number of days in a year the simulated subpopulation is exposed (or person days) at or above selected levels, and (4) the percent of time associated with the exposures at or above the selected levels. Tables summarizing all of the exposure results for each modeling domain, air quality scenario, exposure level, and subpopulation are provided in Appendix B.4.

### 8.9.1 Asthmatic Exposures to 5-minute SO<sub>2</sub> Concentrations in Greene County

When considering the lowest 5-minute benchmark level of 100 ppb, approximately one thousand asthmatics are estimated to be exposed at least once in the year 2002 while at moderate or greater exertion and when considering the current standard air quality scenario (top of Figure 8-15). Each of the potential alternative 1-hr standard air quality scenarios as well as the *as is* air quality scenario result in fewer asthmatics exposed when compared with the current standard scenario, and progressively fewer persons were exposed with decreases in the 1-hour daily

maximum concentration levels of the potential alternative standards. The 99<sup>th</sup> percentile 1-hour daily maximum standard levels of 50 and 100 ppb produced the same number of persons with at least one 5-minute exposure at or above 100 ppb as the *as is* air quality (i.e., 13). With progressive increases in benchmark level, there were corresponding decreases in the number of individuals exposed. None of the asthmatics had a day where 5-minute exposures were above 100 ppb when considering the *as is* air quality scenario. Asthmatic children exhibited similar patterns in the estimated number of exposures at each of the exposure levels, thus comprising a large proportion of the total asthmatics exposed (bottom of Figure 8-15).

The difference between all asthmatics and asthmatic children is best demonstrated by comparing the percent of the subpopulation exposed. Asthmatic children have nearly double the percentage of the subpopulation exposed at any of the benchmark levels considered when compared with that of all asthmatics (Figure 8-16). For example, approximately 1% of asthmatic children experience at least one day with a 5-minute SO<sub>2</sub> exposure at or above 200 ppb in a year in considering the current standard scenario, while approximately 0.6% of all asthmatics experienced a similar exposure. As observed with the numbers of persons exposed, a lower estimated percent of persons was exposed at the higher benchmark levels, though again, the current standard scenario contains the greatest percent of asthmatics exposed when compared with all of the other 1-hour air quality standard scenarios analyzed.

The number of person days or occurrences of exposures is greater than the number of persons exposed, indicating that some of the simulated asthmatics had more than one day with 5-minute exposures above selected benchmark levels (Figure 8-17). For example, when considering all asthmatics and the current standard scenario, there were approximately 22 person days with exposures at or above 300 ppb. This corresponds with the 18 asthmatics estimated to experience at least one day with a 5-minute SO<sub>2</sub> concentration above this level, indicating that a number of persons may have experienced at least 2 benchmark exceedances in the year. For both subpopulations considered, there were no estimated exposures above 300 ppb when considering the 99<sup>th</sup> percentile 1-hour daily maximum alternative standard level of 200 ppb.

Staff evaluated the microenvironments where the peak exposures frequently occurred. There were very few persons exposed to benchmark levels of 100 ppb or higher considering the *as is* air quality, though 99% or greater experienced their 5-minute maximum SO<sub>2</sub> exposure in an outdoor microenvironment (i.e., outdoors or outdoors near-roads) when considering any of the

benchmark levels. For the current standard air quality scenario, approximately 7% of persons were exposed to the 100 ppb benchmark level indoors (i.e., primarily in the persons residence), though with increasing benchmark level (e.g., 300 ppb) the percent of persons with any benchmark exceedances indoors approached zero (i.e., > 99% occurred outdoors). The inside vehicle microenvironment also comprised a small percent of the cases where the exposures above selected levels occurred; at most 2% of benchmark exceedances occurred inside vehicles when considering the lowest benchmark levels.

Two forms of the potential alternative standard were evaluated in Greene County, i.e., the 99<sup>th</sup> and 98<sup>th</sup> forms of a 1-hour daily maximum level of 200 ppb. The difference in the exposure results generated for each of these air quality scenarios is provided in Table 8-15. The 99<sup>th</sup> percentile form of the potential alternative standard results in fewer persons, person-days, and percent of asthmatic persons exposed when compared with estimated exposures using air quality adjusted to just meet a 200 ppb 1-hour daily maximum 98<sup>th</sup> form. The values listed in the table are small, but from a relative perspective, the percent difference can be large. For example, there is approximately a 40% reduction in the percent of persons exposed when considering the 99<sup>th</sup> percentile form and the 100 ppb benchmark level. Where there were other higher benchmark levels that were exceeded, the reduction was greater (66% to 100%). For additional relative comparisons for these two standard forms, see the corresponding Figures 8-15 to 8-17.

**Table 8-15. Absolute difference in APEX exposure estimates for Greene County using either a 98<sup>th</sup> or 99<sup>th</sup> percentile form potential alternative standard at a 1-hour daily maximum level of 200 ppb.**

Population	Benchmark Level (ppb)	Absolute Difference in Estimated Exposures using 98 <sup>th</sup> and 99 <sup>th</sup> form <sup>1</sup>		
		Number of Person-days	Number of Persons	Percentage Points <sup>2</sup>
All Asthmatics (21,948)	100	274	157	0.7
	200	27	27	0.1
	300	13	13	0.1
	400	0	0	0
Asthmatic Children (7,285)	100	161	81	0.4
	200	18	18	0
	300	4	4	0
	400	0	0	0
Notes: <sup>1</sup> Both the 98 <sup>th</sup> and 99 <sup>th</sup> 1-hour daily maximum air quality scenarios were simulated by APEX, using a level of 200 ppb. The value reported is the difference between the 98 <sup>th</sup> and the 99 <sup>th</sup> . <sup>2</sup> Difference between the percent of persons exposed (98 <sup>th</sup> -200 minus the 99 <sup>th</sup> -200) at each benchmark level.				

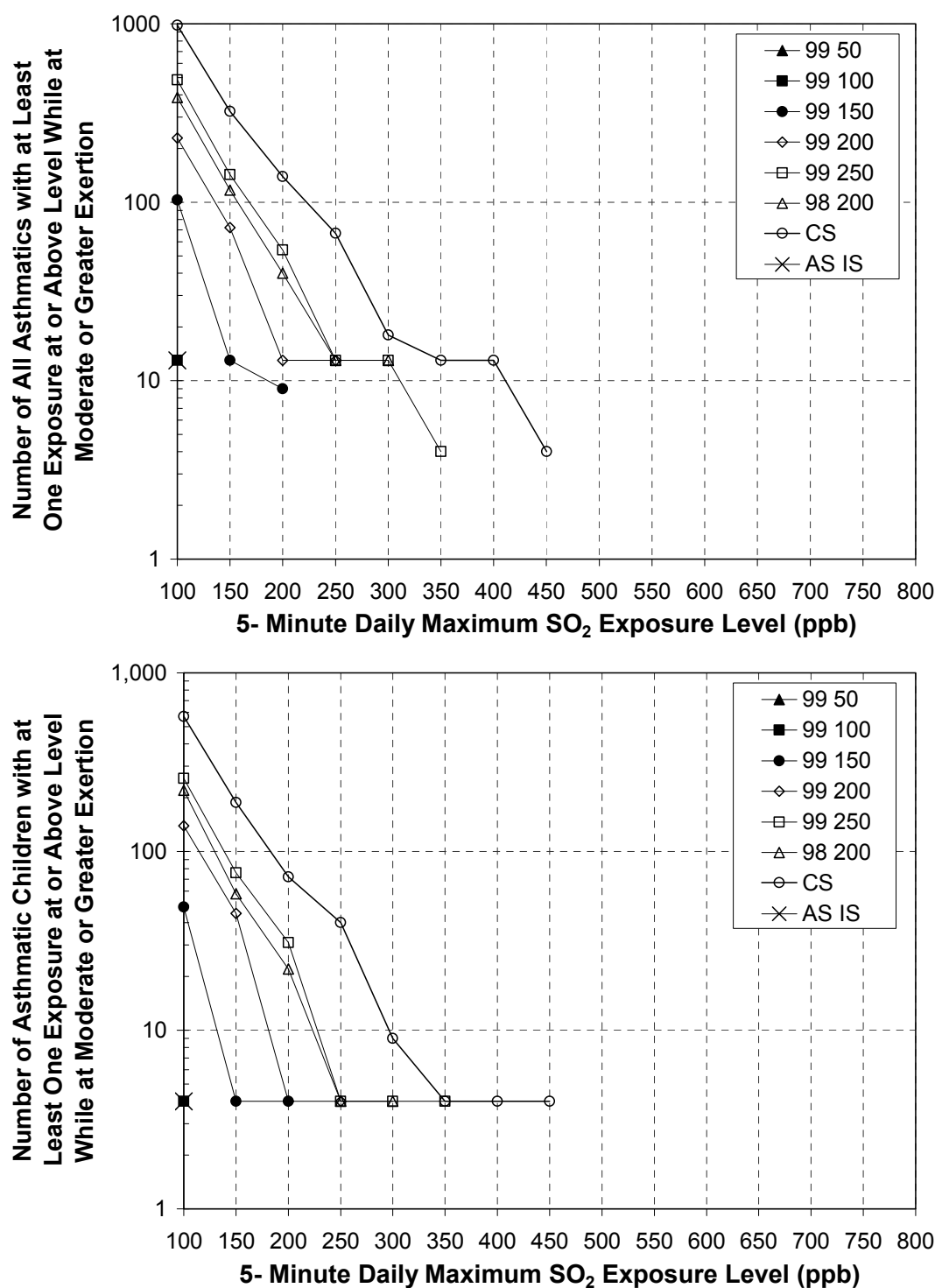


Figure 8-15. Number of all asthmatics (top) and asthmatic children (bottom) experiencing at least one day with a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in Greene County, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.

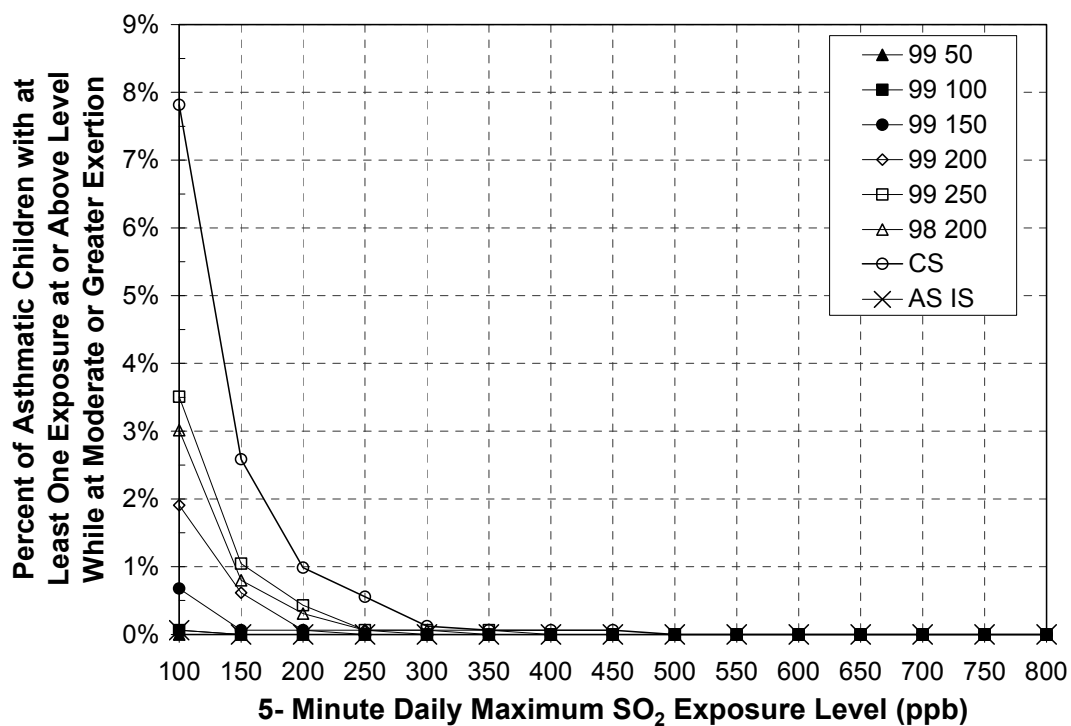
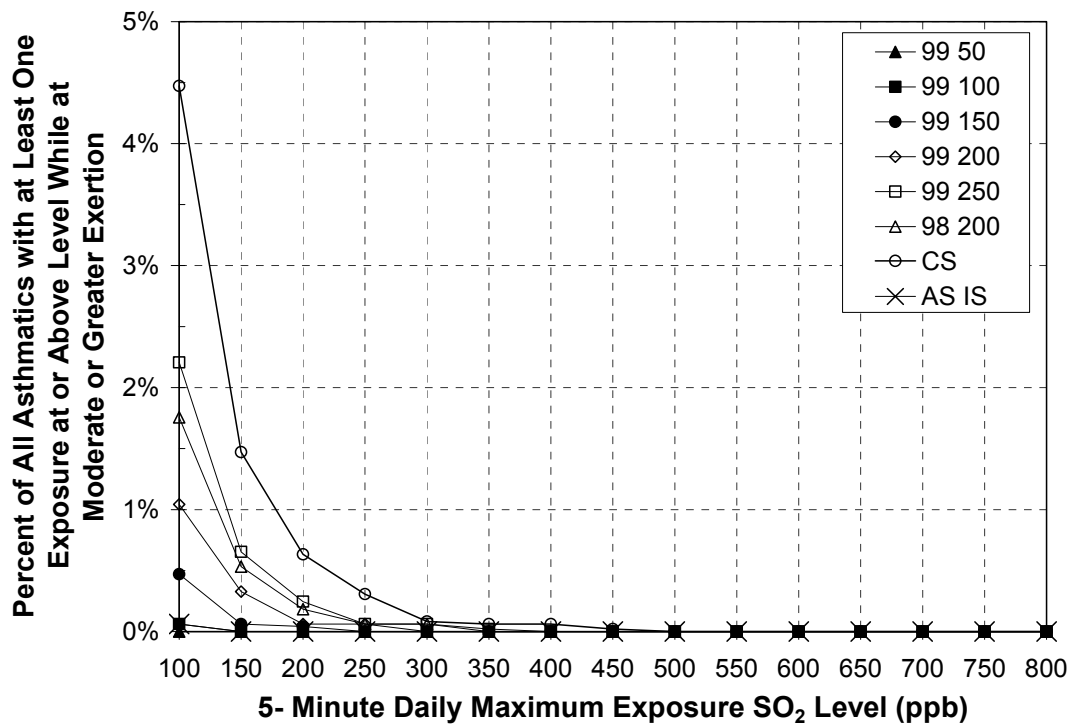


Figure 8-16. Percent of all asthmatics (top) and asthmatic children (bottom) experiencing at least one day with a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in Greene County, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.



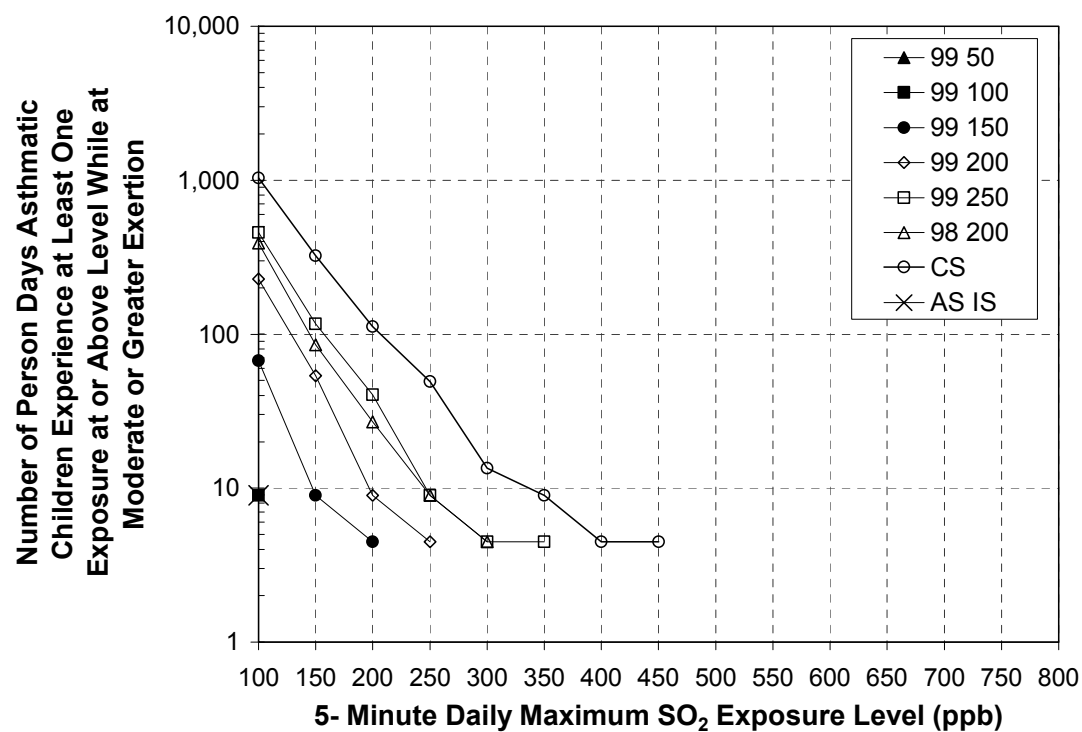
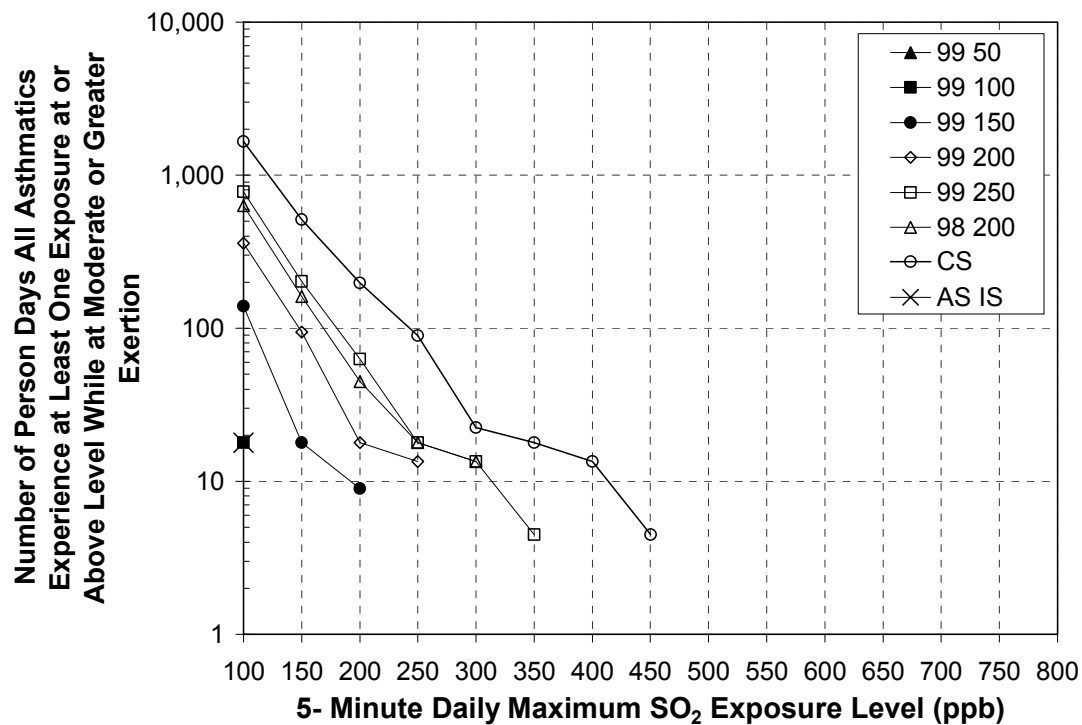


Figure 8-17. Number person days all asthmatics (top) and asthmatic children (bottom) experience a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in Greene County, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.

### **8.9.2 Asthmatic Exposures to 5-minute SO<sub>2</sub> in St. Louis**

The patterns in the number of persons (either asthmatics or asthmatic children) exposed in St. Louis were different from those observed in Greene County; a greater number of persons were estimated to be exposed in St. Louis at each of the corresponding benchmark levels and air quality scenarios (Figure 8-18). For example, nearly 80,000 asthmatics were estimated to experience at least one day with a 5-minute SO<sub>2</sub> exposure at or above 100 ppb when considering the current standard scenario compared to the one thousand asthmatics estimated in Greene County (section 8.9.1). In addition, there were more persons exposed to the higher benchmark levels in St. Louis compared with Greene County. For example, none of the asthmatics experienced a 5-minute SO<sub>2</sub> concentration exposure above 450 ppb in Greene County considering any of the air quality scenarios. In St. Louis many of the air quality scenarios had persons with exceedances of 450 ppb; the estimated number of persons experiencing at least one day with a 5-minute SO<sub>2</sub> exposure above 450 ppb ranged from a low of 16 (the 99<sup>th</sup> percentile 1-hour daily maximum standard level of 100 ppb) to over 10,000 (the current standard air quality scenario). We note though, in considering the *as is* air quality scenario, none of the asthmatics in St. Louis had 5-minute SO<sub>2</sub> exposures above a 450 ppb exposure level.

There were also differences in the estimated percent of asthmatics and asthmatic children exposed to concentrations above the benchmark levels in St. Louis when compared with Greene County. For example, over 40% of asthmatic children were estimated to experience at least one day with a 5-minute exposure above 300 ppb in St. Louis considering the current standard air quality scenario, while less than 1% of asthmatic children in Greene County experienced a similar exposure (Figure 8-19). Just as observed with the Greene County estimates though, there were decreases in the percent of persons exposed with decreases in the 1-hour daily maximum level of the potential alternative standards. For example, less than 3% of asthmatic children were estimated to have at least one day with a 5-minute SO<sub>2</sub> exposure above 300 ppb when considering a 99<sup>th</sup> percentile 1-hour daily maximum standard level of 150 ppb.

The discussion regarding the patterns observed in the number of persons exposed in St. Louis can be extended to the number of person days (i.e., both a greater number and at higher benchmark levels when compared with Greene County). In addition, St. Louis had a greater number of persons with multiple exceedances when compared with Greene County (Figure 8-20). For example, given the 22 person days at or above 300 ppb in Greene County experienced

by the 18 asthmatics considering air quality just meeting the current standard, on average this amounts to approximately 1.2 exposures per person per year. In contrast, approximately 26,000 asthmatics had nearly 50,000 person days at the same benchmark level and air quality scenario in St. Louis; on average each person is estimated to experience 1.9 exposures exceeding this benchmark level in a year.

Staff also evaluated the microenvironments where the peak exposures occurred in St. Louis, and again, there were differences when compared with the exposures in Greene County. In St. Louis, there were a greater percentage of benchmark exceedances within indoor and inside vehicle microenvironments, although overall still comprising a small percentage of where the exceedances were occurring. At the 100 ppb benchmark level, approximately 10% of the exposures occur within indoor microenvironments (i.e., principally inside residences) and about 5% occur inside vehicles considering *as is* air quality (Figure 8-21). The percentage increases when considering air quality adjusted to just meeting the current standard, with approximately 30% of benchmark exceedances of 100 ppb occurring indoors and 20% occurring inside vehicles. Just beyond the benchmark level of 400 ppb, nearly all of the exceedances occur outdoors when considering the *as is* air quality, while indoor microenvironments still contribute to around 10% of exceedances, up to a 5-minute exposure level of 800 ppb. For comparison, air quality adjusted to just meet a 99<sup>th</sup> percentile 1-hour daily maximum standard level of 150 ppb is also shown, and falls within the range of values provided by the *as is* and current standard scenarios.

Two forms of potential alternative standards were also evaluated in St. Louis, using the 99<sup>th</sup> and 98<sup>th</sup> percentile forms of a 1-hour daily maximum level of 200 ppb. The difference in the exposure results generated for each of these air quality scenarios is provided in Table 8-16. The 99<sup>th</sup> percentile form of the potential alternative standard results in fewer persons, person-days, and percent of asthmatic persons exposed when compared with estimated exposures using air quality adjusted to just meet a 200 ppb 1-hour daily maximum 98<sup>th</sup> percentile form. The impact of the different scenario is greater than that observed in Greene County from a pure numbers perspective given so few persons exposed to concentrations above the benchmark levels in Greene County. From a relative perspective, the percent difference between the two scenarios can also be large. The reduction in the percent of persons exposed when considering the 99<sup>th</sup>

percentile form ranges from approximately 10% to 50%. For additional relative comparisons between these two standard forms, see the corresponding Figures 8-18 to 8-20.

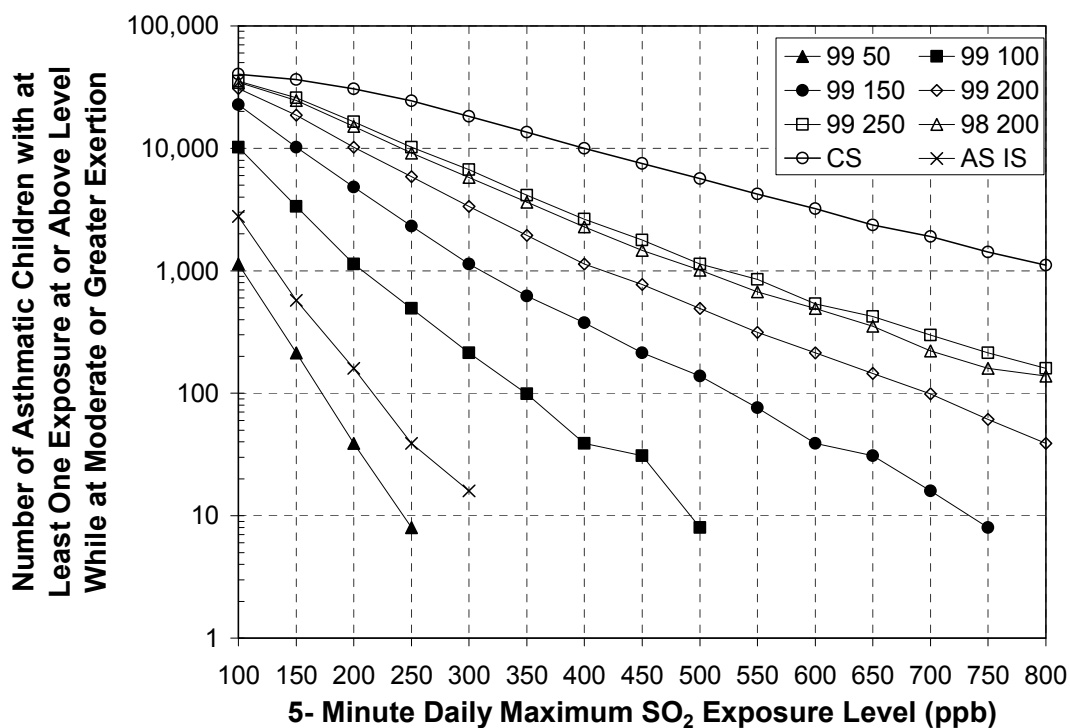
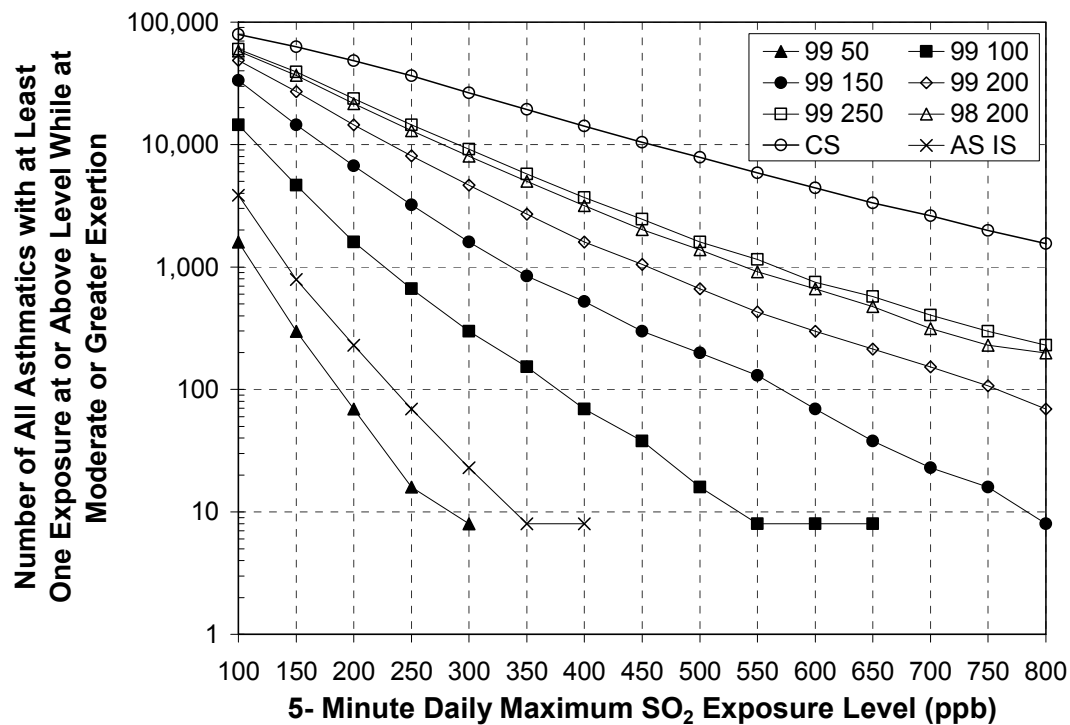


Figure 8-18. Number of all asthmatics (top) and asthmatic children (bottom) experiencing at least one day with a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in St. Louis, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.

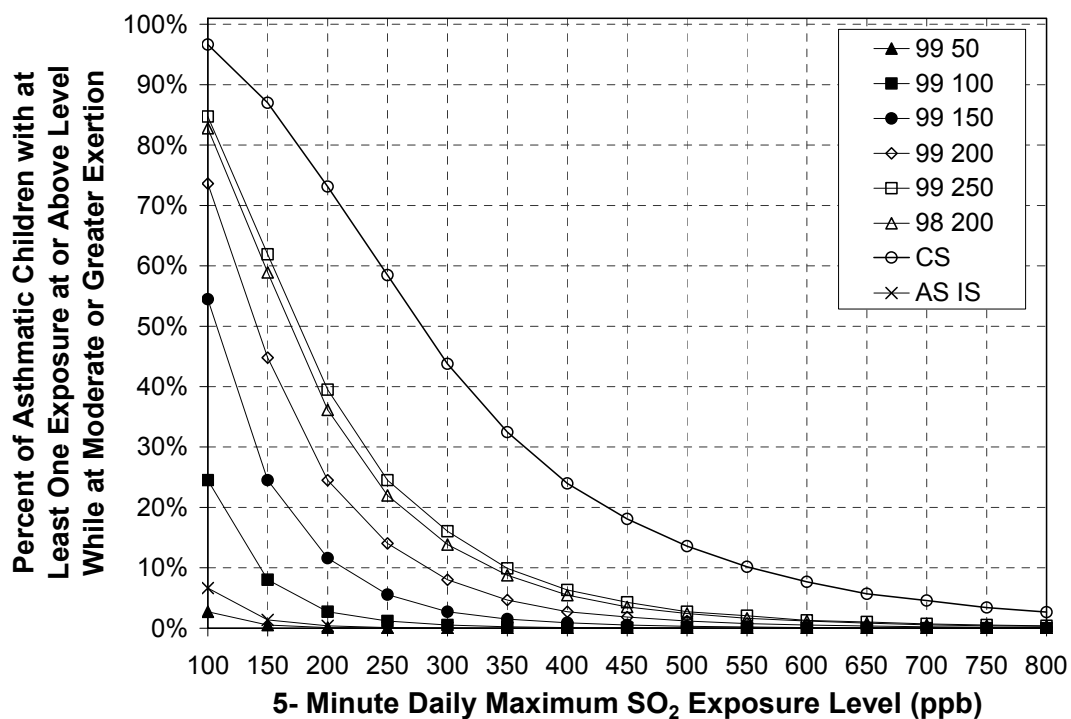
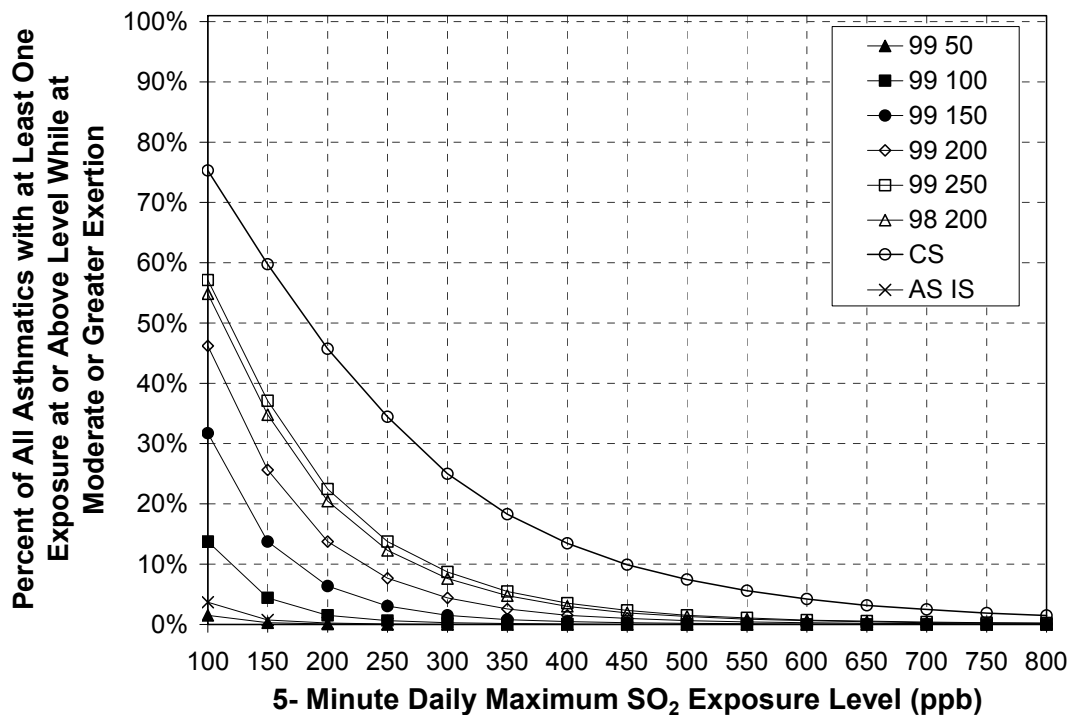


Figure 8-19. Percent of all asthmatics (top) and asthmatic children (bottom) experiencing at least one day with a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in St. Louis, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.

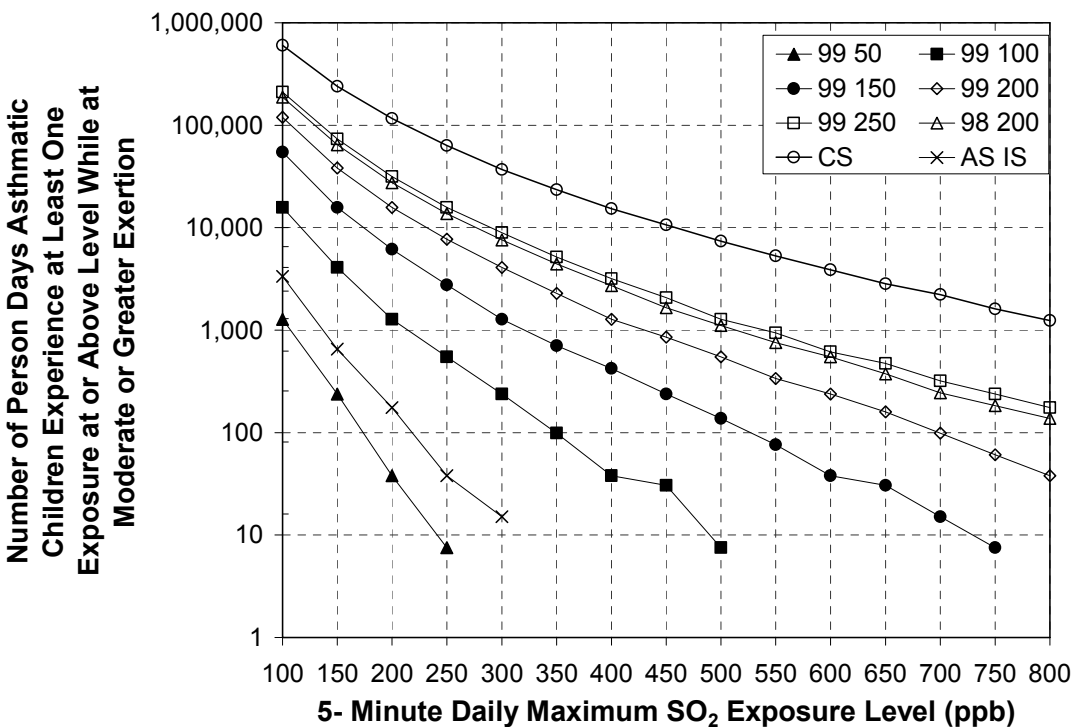
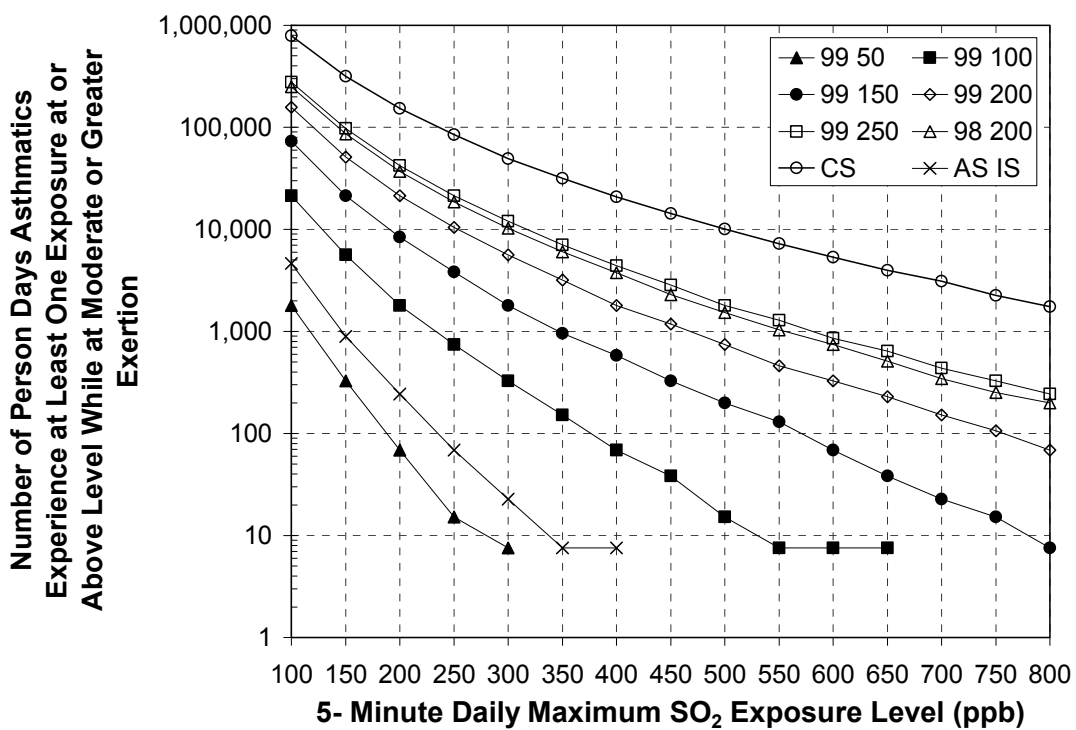
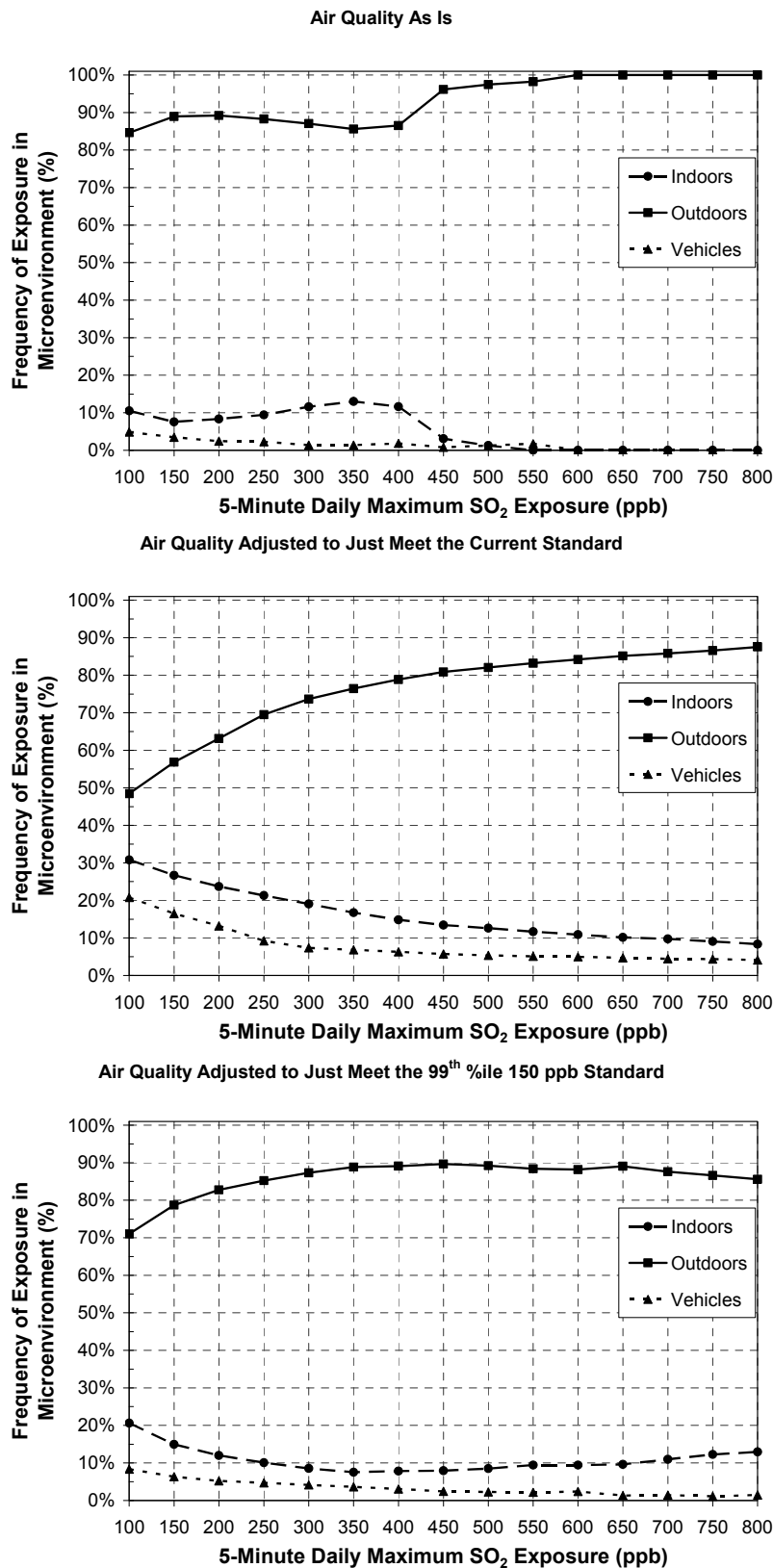


Figure 8-20. Number person days all asthmatics (top) and asthmatic children (bottom) experience a 5-minute SO<sub>2</sub> exposure above selected benchmark levels in St. Louis, year 2002 air quality *as is* and adjusted to just meeting the current and potential alternative standards.



**Figure 8-21. The frequency of estimated exposure level exceedances in indoor, outdoor, and vehicle microenvironments given *as is* air quality (top), air quality adjusted to just meeting the current standard (middle) and that adjusted to just meeting a 99<sup>th</sup> percentile 1-hour daily maximum standard level of 150 ppb (bottom) in St. Louis.**



**Table 8-16. Absolute difference in APEX exposure estimates for St. Louis using either a 98<sup>th</sup> or 99<sup>th</sup> percentile form potential alternative standard at a 1-hour daily maximum level of 200 ppb.**

Population	Benchmark Level (ppb)	Absolute Difference in Estimated Exposures using 98 <sup>th</sup> and 99 <sup>th</sup> form <sup>1</sup>		
		Number of Person-days	Number of Persons	Percentage Points <sup>2</sup>
All Asthmatics (105,456)	100	91490	9142	8.7
	200	64531	22194	6.7
	300	31441	16922	3.2
	400	16705	11330	1.5
Asthmatic Children (41,714)	100	69420	3826	9.2
	200	11682	4856	11.6
	300	3496	2425	5.8
	400	1449	1150	2.8
Notes: <sup>1</sup> Both the 98 <sup>th</sup> and 99 <sup>th</sup> 1-hour daily maximum air quality scenarios were simulated by APEX, using a level of 200 ppb. The value reported is the difference between the 98 <sup>th</sup> and the 99 <sup>th</sup> . <sup>2</sup> Difference between the percent of persons exposed (98 <sup>th</sup> -200 minus the 99 <sup>th</sup> -200) at each benchmark level.				

## 8.10 REPRESENTATIVENESS OF EXPOSURE RESULTS

### 8.10.1 Introduction

Due to time and resource constraints the exposure assessment evaluating the current and alternative standards was only applied to the two locations in Missouri. A natural question is how might the estimates from this assessment of exposures in Greene County and St. Louis compare with other areas in the United States that may have elevated short-term SO<sub>2</sub> concentrations. To address this question, additional data were compiled and analyzed to provide context to the exposure modeling results. Because most estimated exceedances were associated with the outdoor microenvironments, this analysis and discussion is centered on time spent outdoors to allow for comparison of the two modeling domains with several other broad regions. In addition, further context is given regarding the SO<sub>2</sub> emissions and air quality in these locations with respect the 39 other counties evaluated in the air quality characterization. The distribution of air conditioning and asthma prevalence rates in the U.S. U.S. and how that distribution compares with those estimated for the two modeling domains is also discussed.

### 8.10.2 Time spent outdoors

The time spent outdoors by children age 5-17 was calculated from CHAD-Master<sup>74</sup> for five regions of the country. The U.S. states used in the air quality characterization (Chapter 7) were of interest, which already includes Missouri (representing the two exposure modeling domains). Staff analyzed the outdoor time by broad geographic regions because it was thought that the regional climate would have influence on each population. In addition, most of the location descriptors are already broadly defined to protect the identity of persons in CHAD; finer spatial scale such as at a city-level is uncommon. Table 8-17 has the States used to identify CHAD diaries available to populate a data set for each of the five regions. Staff further separated the diaries by time-of-year (school year versus summer)<sup>75</sup> and the day-of-week (weekdays versus weekends), both important factors influencing time spent outdoors (Graham and McCurdy, 2004). Summer days were not separated by day of week; staff assumed that the variation in outdoor time during the summer would not be greatly influenced by this factor for children. The results for time spent outdoors in each region are given in Table 8-18.

**Table 8-17. States used to define five regions of the U.S. and characterize CHAD data diaries.**

Region	States
Mid-Atlantic (MA)	New York, New Jersey, Delaware, Maryland, District of Columbia, Virginia, West Virginia, Pennsylvania
Midwest (MW)	Ohio, Iowa, Missouri, Illinois, Indiana, Kentucky
Northeast (NE)	Maine, New Hampshire, Vermont, Massachusetts, Connecticut, Rhode Island
Southeast (SE)	North Carolina, South Carolina, Georgia, Florida, Tennessee, Alabama, Mississippi, Arkansas, Louisiana
Southwest (SW)	Nevada, Utah, Colorado, Arizona, New Mexico, Texas, Oklahoma

Participation rates for the selected time of year and day of week groupings were similar for each of the regions. In general, a smaller percent of children spend time outdoors during the school year (about 45-50%) compared to the summer (about 70-77%). There was no apparent pattern in the day-of-week participation rates considering the school year days. However, children did spend more time outdoors on weekend days compared to weekdays at all percentiles of the distribution and within all regions. In addition, children consistently spent more time

<sup>74</sup>Currently available through EPA at [mccurdy.tom@epa.gov](mailto:mccurdy.tom@epa.gov).

<sup>75</sup>A traditional school year was considered (months of September-May); summer months included June-August.

outdoors during summer days within all regions. There were few differences in outdoor time when comparing each of the regions. Children in Northeastern States had the widest range in the distributions for time spent outdoors. In this region of the U.S., children spent the least amount of time outdoors during the school-year days-of-the-week and the greatest amount of time outdoors on average during the summer. Based on this analysis, it is not expected that the results generated for the two Missouri modeling domains would be largely different from results generated in most areas of the U.S. when considering time spent outdoors, though there may be differences in exposures estimated in Northeastern states.<sup>76</sup> Depending on when the peak exposure events occur in the year, the exposures estimated in these states may be lower or higher.

**Table 8-18. Time spent outdoors by geographic region for children ages 5-17 based on CHAD time-location-activity diaries.**

Region	Time of Year	Day of Week	Doers <sup>1</sup>		Time Spent Outdoors (minutes)							
			(n)	(%)	Mean	SD	Min	Med	P95	Max	GM	GSD
MA	school	weekdays	400	45	113	97	1	90	301	700	73	3.0
		weekends	317	43	158	159	2	120	365	1440	105	2.7
	summer	all	474	71	193	140	5	165	462	1210	146	2.3
MW	school	weekdays	336	42	109	92	2	88	300	550	73	2.7
		weekends	258	41	152	131	1	116	422	870	102	2.7
	summer	all	154	71	193	180	5	143	565	1250	131	2.6
NE	school	weekdays	70	48	106	89	2	75	290	335	66	3.1
		weekends	54	43	148	128	15	115	480	574	105	2.4
	summer	all	23	77	217	148	30	175	465	635	172	2.1
SE	school	weekdays	641	49	120	98	2	95	325	555	84	2.6
		weekends	593	52	157	126	1	123	404	810	112	2.5
	summer	all	244	70	185	147	5	150	480	935	135	2.4
SW	school	weekdays	253	46	119	106	1	90	315	650	80	2.8
		weekends	232	50	162	142	7	120	405	1390	116	2.4
	summer	all	273	76	187	137	2	150	450	840	136	2.5
<b>Notes:</b> <sup>1</sup> Doers are those engaged in the particular activity, in this case those children that had at least 1 minute of outdoor time recorded in their CHAD time-location-activity diary. The participation rate (%) was estimated by the total number of persons in each subgroup (not included). The <i>n</i> indicates the person-days of diaries used to calculate the outdoor time statistics.												

<sup>76</sup> Note however that all of the Northeastern data have the fewest number of person days available, in particular the summer days (n=23).

### 8.10.3 SO<sub>2</sub> Emissions and Ambient Concentrations

St. Louis was not one of the 40 selected counties for the Air Quality Characterization due to its not meeting the selection criteria (see section 7.2.4.2). To provide additional perspective on the exposure results for both the Greene County and St. Louis modeling domains, staff compared the air quality in each of these locations with the other 39 counties, beginning with the estimated number of benchmark exceedances using the available ambient monitoring data.<sup>77</sup> Five-minute maximum SO<sub>2</sub> concentrations were estimated in St. Louis as was done with the other 40 Counties (including Greene County) using the hourly ambient monitoring data (2001-2006). Staff simulated all air quality scenarios (*as is*, current standard, potential alternative standards) and estimated 5-minute maximum SO<sub>2</sub> concentrations using the statistical model. Then, the mean number of days with a 5-minute maximum concentration above a benchmark level in a year for St. Louis were combined with the exceedance results for the 40-counties and ranked in descending order. In addition, two other rank statistics were generated; the average total SO<sub>2</sub> emissions within 20 km of ambient monitors and the average population within 5km of the ambient monitors, both statistics considering the 40 counties and St. Louis area. Each of the two additional variables was also ranked in descending order.

Greene county estimated air quality exceedances rank within the upper quartile (i.e., having some of the highest estimated number of days with 5-minute benchmark exceedances) for many alternative standard scenarios (Table 8-19). Most scenarios have exceedances ranked within upper 50<sup>th</sup> percentile (including the *as is* scenario), while having the 37<sup>th</sup> highest ranked emissions. The population ranking was moderate (19<sup>th</sup> of 41 locations). St. Louis air quality exceedances rank within the 50<sup>th</sup>-75<sup>th</sup> percentile for most of the alternative standard scenarios, with a few of the scenarios (e.g., the current standard, and the higher alternative standard) ranked in the upper quartile, while having moderately ranked emissions (26<sup>th</sup> highest). The number of days with benchmark exceedances for the *as is* scenario in St. Louis was ranked low in comparison with the other 39 counties (approximately the 90<sup>th</sup>-95<sup>th</sup> percentile). The mean estimated population surrounding the monitors is ranked in the upper quartile (9<sup>th</sup> of 41).

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<sup>77</sup> The exposure modeling domain was comprised of three counties (St. Charles, St. Louis, and St. Louis City), while the available ambient monitoring data was only available for the latter two counties for years 2001-2006.

**Table 8-19. Ranking of selected exposure locations using the modeled number of days with 5-minute benchmark exceedances and the total emissions within 20 km of ambient monitors.**

Exposure Modeling Domain	Air Quality Scenario	Benchmark Exceedance Rank (out of 41) <sup>1</sup>			
		100 ppb	200 ppb	300 ppb	400 ppb
<b>Greene County, MO</b>  Population – 19 <sup>th</sup> Emissions – 37 <sup>th</sup>	<i>as is</i>	31	23	22	21
	Current Standard	40	33	27	23
	99-50	8	4	4	22.5
	99-100	13	6	5	4
	99-150	27	9	7	5
	99-200	32	14	8	8
	99-250	34	22	9	7
	98-200	36	21	9	8
<b>St. Louis, MO</b>  Population – 9 <sup>th</sup> Emissions – 26 <sup>th</sup>	<i>as is</i>	38	37	39	38.5
	Current Standard	2	3	8	14
	99-50	30	22.5	27	22.5
	99-100	20	30	25	24
	99-150	13	27	30	28.5
	99-200	9	21	29	30
	99-250	8	15	27	28
	98-200	8	16	24	26
<b>Notes:</b> <sup>1</sup> Benchmark exceedances for the exposure modeling domains were compared with the 40 counties selected for the air quality characterization.					

Given these ranked statistics and the results of the exposure assessment (i.e., St. Louis had a much higher percent of asthmatics exposed above benchmark levels than Greene County), the number and percent of persons exposed above benchmark levels are likely more a function of the population density and where the persons reside, rather than just total SO<sub>2</sub> emission levels or the number of air quality benchmark exceedances. In addition, total SO<sub>2</sub> emissions are not necessarily a good indicator of estimated air quality exceedances. Greene County has a high ranking for most of the air quality scenarios but only a moderate ranking for total emissions. Ambient monitors with a high COV (>200%) account for the greatest number of days/year with air quality benchmark exceedances. For example, in Gila County AZ, one of the two monitors in the county had a high COV and was located within 2 km of primary smelter emissions. This county ranked 1<sup>st</sup> in days/year with exceedances using *as is* air quality, though ranked only 36<sup>th</sup> for SO<sub>2</sub> emissions (18,000 tpy). Figure 7-10 provided support for the variability bins selected and their relationship with the number of measured air quality benchmark exceedances. Clearly, ambient monitors with the greatest variability in 1-hour SO<sub>2</sub> concentration are the monitors most likely to have 5-minute SO<sub>2</sub> benchmark exceedances.

Greene County was retained in the final exposure assessment based analyses in the 1<sup>st</sup> draft SO<sub>2</sub> REA. At the time of the analysis, it was noted by staff that the county had a number of ambient monitors available for use in calibrating the dispersion model (two of which were rated as having high COVs), there were some measured benchmark exceedances using *as is* air quality, and there was a moderate population density surrounding the monitors/source emissions. However, based on the air quality characterization and exposure modeling performed here that includes St. Louis, it appears that a less dense population surrounding the potentially important SO<sub>2</sub> emission sources in Greene County primarily contributed to the resultant small percent of asthmatics exposed. This is a common attribute noted at the high COV monitors; most of these monitors are located in areas having low population density. Eighty-nine of the 809 monitors in the broader SO<sub>2</sub> monitoring network were rated as having a high COV; 52 of these monitors (58%) were associated with low population density (<10,000 persons within 5km), 28 moderate population density (31%, 10,000-50,000 persons within 5km), and 9 high population density (10%, >50,000 persons within 5km). It is possible that, in areas having several days/year with air quality benchmark exceedances and a low to moderate population density, the exposure results would be similar to that estimated for Greene County. For example, if an exposure assessment was performed in Gila County AZ (ranked 1<sup>st</sup> in *as is* air quality benchmark exceedances), it is possible that the percent of persons exposed would be low (ranked 38<sup>th</sup> in population).

Staff also calculated the total SO<sub>2</sub> emissions from marine vessels, generally referred to as port emissions in this document. Using the data in the 2002 NEI, the total port emissions were calculated for each of the 40 counties used in the air quality characterization and ranked (Table 8-20). The St. Louis modeling domain had the 5<sup>th</sup> highest total port SO<sub>2</sub> emissions when considering the 40 counties, though these emissions only comprise 2% of the total SO<sub>2</sub> emissions in St. Louis. Thirteen of the 40 counties did not have port emissions, one of which was Greene County. The amount of port emissions in St. Louis was also compared with the top 40 counties in the U.S that had the highest port emissions (Table 8-21). The total SO<sub>2</sub> emissions from ports in St. Louis were ranked 28<sup>th</sup>, while seven counties had greater port emissions than Jefferson County TX (one of the 40 counties included in the air quality characterization). Note that most of the counties with the greatest port emissions were not evaluated in the air quality characterization because they did not meet the high SO<sub>2</sub> concentration-based selection criterion.

**Table 8-20. Total SO<sub>2</sub> emissions and total port SO<sub>2</sub> emissions in the St. Louis and the 40 Counties used in the air quality characterization.**

State	County	SO <sub>2</sub> Emissions <sup>1</sup>				
		Total	Ports			
		(tpy)	(tpy)	Rank	% of Total	Rank of %
TX	Jefferson County	33,608	4,489	1	13.4%	3
PA	Allegheny County	56,411	2,666	2	4.7%	9
FL	Hillsborough County	70,231	2,168	3	3.1%	12
NJ	Hudson County	22,300	2,044	4	9.2%	4
MO	St. Louis (3-County Area)	90,135	1,860	5	2.1%	13
DE	New Castle County	53,626	1,693	6	3.2%	11
NJ	Union County	3,840	1,657	7	43.2%	1
TN	Shelby County	31,023	1,243	8	4.0%	10
OH	Cuyahoga County	12,681	631	9	5.0%	8
NY	Bronx County	3,747	295	10	7.9%	7
OH	Lake County	73,316	294	11	0.4%	18
IN	Lake County	40,063	209	12	0.5%	16
WV	Hancock County	2,055	177	13	8.6%	6
MI	Wayne County	74,832	177	14	0.2%	23
WV	Wayne County	1,071	150	15	14.0%	2
MO	Jefferson County	40,481	132	16	0.3%	19
PA	Beaver County	42,685	130	17	0.3%	20
WV	Brooke County	1,355	119	18	8.7%	5
NY	Erie County	50,858	108	19	0.2%	24
OK	Tulsa County	8,181	90	20	1.1%	14
IL	Madison County	27,396	81	21	0.3%	21
IA	Muscatine County	24,890	71	22	0.3%	22
TN	Blount County	5,164	43	23	0.8%	15
PA	Washington County	8,189	41	24	0.5%	17
WV	Monongalia County	92,677	20	25	0.0%	27
IN	Floyd County	48,653	20	26	0.0%	25
NY	Chautauqua County	57,835	9	27	0.0%	28
VA	Fairfax County	3,741	1	28	0.0%	26
IN	Gibson County	127,934	-	29	0.0%	29
IN	Vigo County	66,170	-	29	0.0%	29
PA	Northampton County	58,598	-	29	0.0%	29
MO	Iron County	47,562	-	29	0.0%	29
NH	Merrimack County	31,812	-	29	0.0%	29
TN	Sullivan County	30,999	-	29	0.0%	29
AZ	Gila County	18,594	-	29	0.0%	29
IA	Linn County	17,324	-	29	0.0%	29
OH	Summit County	12,868	-	29	0.0%	29
MO	Greene County	11,819	-	29	0.0%	29
PA	Warren County	5,222	-	29	0.0%	29
VI	St Croix	122	-	29	0.0%	29
IL	Wabash County	55	-	29	0.0%	29

**Notes:**

<sup>1</sup> SO<sub>2</sub> emissions were calculated from the 2002 NEI. Emissions originating from ports were calculated using SCC for marine vessels: 2280002100, 2280002200, 2280003100, 2280003200, 2282020005.

**Table 8-21. The top 40 counties with the greatest total port SO<sub>2</sub> emissions, including SO<sub>2</sub> emissions from ports in the St. Louis modeling domain.**

State	County Name	Port Emissions (tpy)	Rank
CA	Los Angeles	13,817	1
LA	St. John the Baptist Parish	10,605	2
CA	Santa Barbara County	8,831	3
TX	Harris County	8,142	4
CA	San Diego County	5,408	5
MD	Baltimore City	4,582	6
LA	Orleans Parish	4,579	7
TX	Jefferson, Co	4,489	8
TX	Nueces County	3,545	9
LA	East Baton Rouge Parish	3,435	10
LA	Iberville Parish	3,179	11
TX	Galveston County	3,123	12
OR	Multnomah County	3,004	13
LA	Calcasieu Parish	2,728	14
PA	Allegheny County	2,666	15
AL	Mobile County	2,582	16
WV	Cabell County	2,575	17
CA	Ventura County	2,406	18
AK	Valdez-Cordova	2,243	19
NH	Cheshire County	2,231	20
FL	Hillsborough County	2,168	21
NY	Kings County	2,112	22
PA	Philadelphia County	2,069	23
NH	Strafford County	2,044	24
NH	Hillsborough County	1,998	25
MN	St. Louis County	1,987	26
VA	Norfolk City	1,980	27
MO	St. Louis 3-County Area	1,860	28
NY	Richmond County	1,818	29
CA	Orange County	1,770	30
MI	Presque Isle County	1,748	31
CA	Contra Costa County	1,716	32
DE	New Castle County	1,693	33
NH	Union County	1,657	34
CA	Orange County	1,615	35
CA	San Francisco County	1,530	36
TX	Brazoria County	1,367	37
WA	Clallam County	1,356	38
NY	Queens County	1,341	39
MI	Alger County	1,284	40
TN	Shelby County	1,243	41

**Notes:**

<sup>1</sup> SO<sub>2</sub> emissions were calculated from the 2002 NEI. Emissions originating from ports were calculated using SCC for marine vessels: 2280002100, 2280002200, 2280003100, 2280003200, 2282020005.



**Table 8-22. SO<sub>2</sub> emission density the two exposure modeling domains and several counties within selected U.S. Cities.**

State	City	FIPS <sup>1</sup>	County	Total SO <sub>2</sub> Emissions <sup>2</sup> (tpy)	Land Area <sup>3</sup> (miles <sup>2</sup> )	Emission Density (tons/miles <sup>2</sup> )
NY	New York	36005 36047 36061 36081 36085	Bronx Kings New York Queens Richmond	38,036	303	125
OH	Cleveland	39035 39085	Cuyahoga Lake	85,997	686	125
MI	Detroit	26163	Wayne	74,832	614	122
PA	Philadelphia	42101	Philadelphia	11,614	135	86
IN	Gary	18089	Lake County	40,063	497	81
MO	St. Louis	29183, 29189, 29510	St. Charles St. Louis St. Louis (city)	90,135	1,130	80
PA	Pittsburgh	42003	Allegheny	56,411	730	77
FL	Tampa	12057	Hillsborough	70,231	1,051	67
NY	Buffalo	36029	Erie County	50,858	1,044	49
IL	Chicago	17031	Cook	35,191	946	37
TX	Beaumont-Port Arthur	48245	Jefferson	33,608	904	37
TX	Houston	48201	Harris	60,924	1,729	35
GA	Atlanta	13067 13089 13121 13135	Cobb DeKalb Fulton Gwinett	48,606	1,570	31
MA	Boston	25017 25019 25021	Middlesex Norfolk Suffolk	23,712	1,282	19
MO	Springfield	29077	Greene County	11,819	675	18
CA	Los Angeles	06037	Los Angeles	17,175	4,061	4
<b>Notes:</b> <sup>1</sup> Federal Information Processing Standard Code <sup>2</sup> The emissions totals come from tier 1 data in the 2002 NEI (02nei_v3tier_summary_oct_15_2007.zip). <sup>3</sup> The county land area statistics come from the Census 2000 STF1. Available at : <a href="http://factfinder.census.gov/servlet/">http://factfinder.census.gov/servlet/</a>						

Staff evaluated the emission density within the two exposure modeling domains and for counties within several highly populated U.S. Cities. The emission density was calculated by dividing the total emissions (tpy) by the physical area (mile<sup>2</sup>) of the location. These data are presented in Table 8-22. Greene County (or Springfield, Mo.) has one of the lowest emission densities, another attribute of the county that could have led to the few estimated number of persons exposed above benchmark levels. On the other hand, St. Louis has a medium-to-high

emission density, likely one of the factors contributing to the much greater estimated numbers of persons exposed above benchmark levels. The emission density in St. Louis is similar in magnitude with counties in Philadelphia PA, Gary IN, and Pittsburgh PA, though much higher than several counties within large U.S cities such as Atlanta, Boston, Chicago, Houston, and Los Angeles. Three cities had a distinctly higher emission density than St. Louis: New York, Cleveland, and Detroit. We note that four counties within these cities with the greatest emission density were evaluated in the air quality characterization: the Bronx, Cuyahoga, Lake, and Wayne.

In considering the air quality benchmark exceedance rankings of other counties combined with their emissions and population density rankings, one could possibly argue for other locations to conduct an exposure analysis that may provide different results for the *as is* air quality scenario.<sup>78</sup> Staff began assessing two additional locations for detailed exposure modeling, i.e., Allegheny and Cuyahoga counties.<sup>79</sup> Unresolved technical issues remained regarding the agreement between dispersion-modeled and ambient measured concentrations, preventing their inclusion in this final REA. The numbers of estimated air quality benchmark exceedances in these two counties were ranked similarly to St. Louis (both counties were within the 50<sup>th</sup>-75<sup>th</sup> percentiles). In addition, all of the monitors in Allegheny and Cuyahoga County had at most moderately rated COVs (between 100-200%), suggesting that exposure results estimated in those locations would be similar to that estimated in St. Louis. However, the high emission density for Cuyahoga and Lake Counties (Cleveland) could indicate that a greater number of persons might be exposed above benchmark levels when using the *as is* air quality. While locations such as Los Angeles have greater estimated emissions originating from ports, the SO<sub>2</sub> concentration levels measured at ambient monitoring data in these locations did not approach the levels used for selection in the air quality characterization. In addition, the emission density in Los Angeles County was the lowest of all of the cities selected for that evaluation. Given each of the above rankings and available monitoring data, staff judges St. Louis and Greene County as reasonable choices for the detailed exposure assessment, particularly considering the range of air quality scenarios investigated.

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<sup>78</sup> For example, Hillsborough Fl. has a few bin C monitors, ranks 7<sup>th</sup> in population, 21<sup>st</sup> in emissions within 20 km of monitors, 21<sup>st</sup> in countywide port emissions, and medium emission density.

<sup>79</sup> Allegheny county ranked 10<sup>th</sup> in population, 31<sup>st</sup> in SO<sub>2</sub> emissions within 20 km of monitors, and 23<sup>rd</sup> in countywide port emissions. Cuyahoga county ranked 5<sup>th</sup> in population, 25<sup>th</sup> in SO<sub>2</sub> emissions within 20 km of monitors, though not ranked within the top 40 counties using port emissions.

#### **8.10.4 American Housing Survey (AHS) Data**

The American Housing Survey (AHS), conducted by the Bureau of the Census for the Department of Housing and Urban Development (HUD), collects data on the nation's housing. Relevant housing characteristic data, including residential prevalence of air conditioning are summarized for 13 locations using the available metropolitan areas surveyed by the AHS (Table 8-23). Because survey years differ for each location and some locations contained more than one survey, the most recent data or data closest to 2002 were selected (the year for the exposure modeling). The A/C prevalence can vary greatly across urban areas, based largely on climate differences. The air conditioning prevalence can influence the air exchange rate in a residence, potentially affecting the infiltration rate of outdoor air concentrations into the indoors residential microenvironment. St. Louis was estimated to have one of the highest air conditioning prevalence rates, though similar rates could be found in Miami, Phoenix, Atlanta, and Washington D.C. A few of the urban areas listed have much lower A/C prevalence rates, including Los Angeles with 57.4% and Boston with 63.1%. For locations having a low A/C prevalence, it is expected that the number of indoor residential exposures to daily maximum NO<sub>2</sub> concentrations above selected benchmarks would be greater compared to those estimated in St. Louis. However, given the limited contribution of the indoor microenvironment to the number of exceedances even considering much lower A/C prevalence rates (section 8.11.2.2.9; also EPA 2008d), modeled increases in the numbers of persons exposed in these other locations would likely be small.

**Table 8-23. Residential A/C prevalence for housing units in several metropolitan locations in the U.S. (AHS, 2008).**

Location	AHS Survey Year	A/C Prevalence <sup>1</sup> (%)
Atlanta	2004	97.2
Boston	1998	63.1
Chicago	2003	89.6
Cleveland	2004	75.8
Denver	2004	66.9
Detroit	2003	82.4
Los Angeles	2003	57.4
Miami	2002	98.1
New York	2003	83.3
Philadelphia	2003	91.4
Phoenix	2002	94.4
St. Louis <sup>2</sup>	2004	96.7
Washington DC	1998	96.0
<b>Notes:</b> <sup>1</sup> Represents the percent of total year-round housing units having central or room unit air conditioners (AHS, 2008). <sup>2</sup> Note, a truncated value of 96% was used as input to APEX. The effect of this to estimated exposures is negligible. See section 8.11.2.2.9.		

### 8.10.5 Asthma Prevalence

Staff compared regional asthma prevalence statistics for children <18 years in age and all persons. For children, the estimated age-adjusted percents of ever having asthma are presented in Table 8-24 using data from Dey et al. (2004). There are similar prevalence rates for asthmatic children in three of the four regions of the U.S. (Midwest, South, and West), suggesting that exposure analyses conducted in these broader regions may result in similar distributions in the percent of asthmatics exposed to the two Missouri modeling domains used in this assessment. The Northeastern U.S. has a higher percentage of asthmatic children. This suggests that there may be a greater percentage of peak exposures to asthmatic children in the Northeast than compared with the percent modeled in St. Louis or Greene County, holding all other influential variables are constant (e.g., time spent outdoors, a similar air quality distribution).

Staff weighted the BRFSS 2002 state-level adult asthma prevalence rates (self-reported) to generate prevalence rates for five U.S regions (Table 8-25).<sup>80</sup> Similar rates (between 7.6-

<sup>80</sup> <http://www.cdc.gov/asthma/brfss/02/current/tableC1.htm>. Regions were mapped using Table 8-12.

7.9%) were estimated for three of the five regions (Mid-Atlantic, Midwest, and the Southwest), suggesting that exposure analyses conducted in these broader regions may result in similar distributions in the percent of asthmatics exposed to the two Missouri modeling domains used in this assessment. Consistent with that observed for asthmatic children, the Northeastern U.S. has the greatest percent of asthmatic adults. The Southeastern states on average were estimated to have the lowest adult asthma prevalence. This suggests that there may be a greater percentage of peak exposures to asthmatic adults in the Northeast and a lower percentage of peak exposures in the Southeast when compared with the percent modeled in St. Louis or Greene County, holding all other influential variables are constant (e.g., time spent outdoors, a similar air quality distribution).

**Table 8-24. Asthma prevalence rates for children in four regions of the U.S.**

<b>Region</b>	<b>Asthma Prevalence<sup>1</sup> (%)</b>
Northeast	15.2
Midwest	11.6
South	11.9
West	11.1
<b>Notes:</b> <sup>1</sup> prevalence is based on the question, "Has a doctor or other health professional ever told you that [child's name] had asthma?" (Dey et al., 2004)	

**Table 8-25. Asthma prevalence rates for adults in five regions of the U.S.**

<b>Region<sup>1</sup></b>	<b>Asthma Prevalence<sup>2</sup> (%)</b>
Mid-Atlantic	7.9
Midwest	7.7
Northeast	8.9
Southeast	6.9
Southwest	7.6
<b>Notes:</b> <sup>1</sup> Table 8-17 was used in mapping the states to regions. <sup>2</sup> state level data obtained from <a href="http://www.cdc.gov/asthma/brfss/02/current/tableC1.htm">http://www.cdc.gov/asthma/brfss/02/current/tableC1.htm</a> .	

## 8.11 VARIABILITY ANALYSIS AND UNCERTAINTY CHARACTERIZATION

As discussed in section 6.6, there can be variability and uncertainty in risk and exposure assessments. This section presents a summary and discussion of the degree to which variability was incorporated in the exposure analyses and how the uncertainty was characterized for the estimated number of persons and person days with exposure benchmark exceedances.

### 8.11.1 Variability Analysis

To the maximum extent possible given the data, time, and resources available for the assessment, staff accounted for variability within the exposure modeling. APEX has been designed to account for variability in nearly all of the input data, including the physiological variables that are important inputs to determining exertion level. As a result, APEX addresses much of the variability in exposure estimates given variability in factors that affect human exposure. The variability accounted for in this analysis is summarized in Table 8-26.

**Table 8-26. Summary of how variability was incorporated into the exposure assessment.**

Component	Variability Source	Comment
Simulated Individuals	Population data	Individuals are randomly sampled from U.S. census blocks used in model domains, by age and gender.
	Activity patterns	Data diaries are stratified from CHAD based on 30 day-type (summer weekday, non-summer weekday, weekend) and demographic group (males/females, ages 0-4, 5-11, 12-17, 18-64, 65+).
	Block-level commuting	An individuals' commuting location is randomly sampled, using adjusted U.S. census tract data that account for fine-scale land use at the block level.
	Employment	Work status is randomly generated from U.S. census data at the tract-level by age and gender.
Ambient Input	Modeled ambient SO <sub>2</sub> concentrations	Spatial: modeled ambient SO <sub>2</sub> to block-level receptors. Temporal: 1-hour SO <sub>2</sub> for an entire year predicted using AERMOD; 5-minute SO <sub>2</sub> within each hour estimated using APEX.
	Meteorological data	Spatial: Local surface and upper air NWS stations used. Temporal: 1-hour NWS wind data for 2002 (supplemented by 1-minute ASOS data).
Physiological Factors Relevant to Ventilation Rate	Resting metabolic rate	Six age-group and two gender-specific regression equations using body mass as an independent variable (Johnson et al., 2000).
	Metabolic equivalents by activity (METS)	Values randomly sampled from distributions developed for specific activities (some age-specific) (EPA, 2002).
	Oxygen uptake per unit of energy expended	Values randomly sampled from a uniform distribution (Johnson et al., 2000).
	Body mass	Values randomly sampled from lognormal distributions by gender and age (Isaacs and Smith, 2005).

Component	Variability Source	Comment
	Body surface area	Gender specific exponential equations using body mass as independent variable (Burmaster, 1998).
	Height	Separate regression equation for children and adults, both gender and age-specific (4-groups); children use age as an independent variable; adults use body weight (Johnson et al., 2000).
Physical Factors Relevant to Microenvironmental Concentrations	Air exchange rates	Residential values randomly selected from lognormal distributions, stratified by 4 temperature groups and presence/absence of air conditioning. Other indoor values randomly sampled from a separate lognormal distribution.
	Air conditioning prevalence rates	Values randomly sampled AHS survey data for St. Louis.
	Removal rates	Values randomly selected for 5 microenvironment-specific distributions, stratified by air conditioning usage.
	Penetration factors	Indoor/outdoor ratios randomly sampled from two uniform distributions for inside-vehicle microenvironments.

### 8.11.2 Uncertainty Characterization

The methods and the models used in this exposure assessment conform to the most contemporary modeling methodologies available. A similar combined dispersion and exposure modeling approach has been used recently in estimating human exposures for the NO<sub>2</sub> NAAQS REA (EPA, 2008d). This increased level of complexity in the type and number of models used, the overall exposure modeling approaches, and its application in exposure assessments does not necessarily confer decreased levels of uncertainty. Staff believes however, that these types of complex assessments serve as an important step towards raising the degree of confidence in estimating exposures, particularly when the sources of uncertainty are systematically evaluated.

Following the same general approach described in sections 6.6 and 7.8 and adapted from WHO (2008), staff performed a qualitative characterization of the components contributing to uncertainty in the exposure results. First, staff identified the important uncertainties. Then, we qualitatively characterized the magnitude (*low*, *medium*, and *high*) and direction of influence (*over*, *under*, *both*, and *unknown*) the source of uncertainty may have on the estimated number of persons and person days above benchmark levels. Finally, staff also qualitatively rated the uncertainty in the knowledge-base regarding each source using *low*, *medium*, and *high* categories. Even though uncertainties in AERMOD concentrations predictions are an APEX input uncertainty, the uncertainties associated with each of the models are addressed separately here for clarity. Table 8-27 summarizes the results of the qualitative uncertainty analysis conducted by staff for the SO<sub>2</sub> exposure assessment.

**Table 8-27. Summary of qualitative uncertainty analysis for the exposure assessment.**

Source	Type	Influence of Uncertainty on Exposure Benchmark Exceedances		Knowledge-Base Uncertainty	Comments <sup>1</sup>
		Direction	Magnitude		
AERMOD Inputs and Algorithms <sup>2</sup>	Algorithms	Unknown	Low	Low	INF & KB: Multiple historical model evaluations consistently demonstrate unbiased ambient concentrations under variety of conditions. Some potential dispersion scenarios may not be adequately represented and are unknown as to how they apply in this application. However, model-to-monitor comparisons in this application indicate very good agreement.
	Meteorological Data	Unknown	Low – Medium	Low	INF: A limited number of missing hours of wind data remain, potentially leading to under-estimation. Model predictions have low to medium sensitivity to surface roughness characteristics, as long as they are appropriate for the site of the meteorological data inputs. KB: Data are from a well-known and quality-assured source. One minute ASOS wind data used to supplement 1-hour data for improved completeness, reducing the number of calms and missing data.
	Point Source Emissions and Profiles	Both	Low	Low	INF: Temporal emission characteristics are well represented for most modeled point sources. KB: Most temporal data are from a well-known quality-assured source of direct measurements.
	Area Source Emissions and Profiles	Both	Low – Medium	High	INF: Temporal concentration characteristics were well represented when using a generalized area source emission profile, i.e., an aggregate profile covering a variety of emission source types. However, the temporal profile selected can be very influential to 1-hour concentrations where area sources are a significant contributor to emissions. KB: While there were two alternative profiles available, one of which was evaluated, a local generalized temporal emission profile was selected based on yielding the best model-to-monitor agreement. It is largely unknown whether the generalized profile is an appropriate representation of the true temporal profiles that exist for modeled area sources.
APEX Inputs and Algorithms	AERMOD Modeled 1-hour Concentrations	Both	Low – Medium	Medium	INF: Model-to-monitor comparisons indicated very good agreement. Most of the overestimations in concentration occurred at the lowest 1-hour concentrations (Figures 8-8 and 8-9), limiting the magnitude of influence on estimated 5-minute concentrations. The spatial representation of ambient concentrations using modeling is likely an improvement over using concentrations from the limited number of ambient monitors. KB: While model-to-monitor agreement was very good, it is unknown how well all other modeled receptors are represented.



	Accuracy of 5-minute Exposure Estimation	Both	Low – Medium	High	INF: The accuracy of the statistical model used in calculating 5-minute SO <sub>2</sub> ambient concentrations was rated as having at most a medium level of influence (see section 7.4.2.6 and Table 7-16). KB: APEX annual average SO <sub>2</sub> exposures are comparable reported personal exposures of daily to multi-day averaging time. However, there are no 5-minute SO <sub>2</sub> personal exposure data that can be used to evaluate APEX output.
	Population Database	Both	Low	Low	INF & KB: Data are from a reliable, quality assured source. Staff assumed the limited uncertainty in the database would have negligible influence on exposure results.
	Commuting Database and Algorithm	Both	Low	Medium	INF: Most exposures above benchmark levels occur outdoors, not inside vehicles. Also note there is limited modeled spatial heterogeneity in SO <sub>2</sub> concentrations in St. Louis. KB: Data are from a reliable, quality assured source. However land-use data was used as a surrogate for distributing the tract-level commuting data to the block-level.
	Activity Pattern Database	Over	Low – Medium	Medium	INF: Most of the potentially influential factors are within the expected (or assumed) bounds or are controlled for by the exposure modeling approach. Though most components are rated as potentially having a low magnitude of influence in either direction, not accounting for averting behavior by asthmatics could result in a medium level of over-estimation. KB: Data are from a reliable, quality assured source. Available published literature was used for many of the comparisons, though some were limited in direct correspondence and applicability.
	Longitudinal Profile Algorithm	Both	Low – Medium	Medium	INF: The magnitude of potential influence would be mostly directed toward estimates of multiday exposures. KB: Method compared reasonably well with available measurement data and two other methods, however long-term (i.e., monthly, annual) diary profiles do not exist for a population.
	Meteorological Data	Both	Low	Low	INF: Daily maximum temperatures are only used when selecting appropriate diaries to simulate individuals and in selecting air exchange rate distributions. KB: Data are from a well-known and quality-assured source. One minute ASOS wind data used to supplement 1-hour data for improved completeness, reducing the number of calms and missing data.
	Air Exchange Rates	Under	Low – Medium	Medium	INF: Most peak exposures occur outdoors, though indoor exposures may be underestimated when not using all 5-minute concentrations within the hour (section 8.11.2.2.11). KB: Data used are not specific to St. Louis or Greene County Mo.

	A/C Prevalence	Under	Low	Low	INF: Most peak exposures occur outdoors, though indoor exposures may be underestimated when not using all 5-minute concentrations within the hour (section 8.11.2.2.11). However a previous sensitivity analysis (EPA, 2008d) indicates extremely low A/C prevalence has little influence on number and percent of persons exposed. KB: Data used are specific for St. Louis, there is limited variability in the estimate, and compares reasonably with data from a different source.
	Indoor Removal Rate	Unknown	Low	Medium	INF: Most peak exposures occur outdoors, though indoor exposures may be underestimated when not using all 5-minute concentrations within the hour (section 8.11.2.2.11). KB: Data used were obtained from comprehensive review of SO <sub>2</sub> removal rates, however many assumptions were needed in developing the removal rate distributions.
	Occurrence of Multiple Exceedances Within an Hour	Under	Low – Medium	Medium	INF: Analyses indicate that ignoring multiple peaks within the hour underestimates exposure and hence the number of persons exposed upwards to 35%. KB: While the frequency of multiple exceedances within an hour can be estimated, there are limited continuous 5-minute data available. The representativeness of the available data to modeled receptors is unknown.
	Asthma Prevalence Rate	Both	Low – Medium	Low	INF: The percent of asthmatics for Greene county's simulated population was similar to that of another independent estimate. County specific asthma distributions were not used in St. Louis, there may be an over or under estimate in the number of persons exposed. KB: Data for asthma prevalence are from reliable and quality assured sources.

**Notes:**

<sup>1</sup> INF refers to comments associated with the influence rating; KB refers to comments associated with the knowledge-base rating.

<sup>2</sup> The magnitude/direction of influence and the uncertainty associated with the knowledge-base for each source identified for AERMOD is characterized for the predicted 1-hour concentrations, not the 5-minute benchmark exceedances.

#### ***8.11.2.1 Dispersion Modeling Uncertainties***

Air quality data used in the exposure modeling was determined through use of EPA's recommended regulatory air dispersion model, AERMOD (version 07026 (EPA, 2004a)), with meteorological data and emissions data discussed above. Parameterization of meteorology and emissions in the model were made in as accurate a manner as possible to ensure best representation of air quality for exposure modeling. Thus, the resulting air quality values are likely free of systematic errors to the best approximation available through application of modeled data.

The characterization of uncertainty associated with this application of AERMOD is separated into two main sources: 1) model algorithms, and 2) model inputs. While it is convenient to discuss uncertainties in this context, it is also important to recognize that there is some interdependence between the two in the sense that an increase in the complexity of model algorithms may entail an increase in the potential uncertainty associated with model inputs. In the characterization that follows, AERMOD uncertainties are discussed regarding the impact to predicted 1-hour SO<sub>2</sub> concentrations.

##### ***8.11.2.1.1 Algorithms***

The AERMOD model was promulgated by EPA in 2006 as a "refined" dispersion model for near-field applications (with plume transport distances nominally up to 50 kilometers), based on a demonstration that the model produces largely unbiased estimates of ambient concentrations across a range of source characteristics, as well as a wide range of meteorological conditions and topographic settings (Perry, *et al.*, 2005; EPA, 2003). While a majority of the 17 field study databases used in evaluating the performance of AERMOD are associated with elevated plumes from stationary sources (i.e., typically electrical generating units), a number of evaluations included low-level releases. Moreover, the range of dispersion conditions represented by these evaluation studies provides some confidence that the fundamental dispersion formulations within the model will provide robust performance in other settings.

AERMOD is a steady-state, straight-line plume model, which implies limitations on the model's ability to simulate certain aspects of plume dispersion. For example, AERMOD treats each hour of simulation as independent, with no memory of plume impacts from one hour to the next. As a result, AERMOD may not adequately treat dispersion under conditions of

atmospheric stagnation or recirculation when emissions may build up within a region over several hours. This could lead to ambient concentration under-predictions by AERMOD during such periods. On the other hand, AERMOD assumes that each plume may impact the entire domain for each hour, regardless of whether the actual transport time for a particular source-receptor combination exceeds an hour. This could lead to ambient concentration over-predictions by AERMOD. While these assumptions imply some degree of physically unrealistic behavior when considering the impacts of an individual plume simulation, their importance in terms of overall uncertainty will vary depending upon the application. The degree of uncertainty attributable to these basic model assumptions is likely to be more significant for individual plume simulations than for a cumulative analysis based on a large inventory. This question deserves further investigation to better define the limits and capabilities of a modeling system such as AERMOD for large scale exposure assessments such as this. The evidence provided by the model-to-monitor comparisons presented in section 8.4.5 is encouraging as to the viability of the approach in this application when adequate meteorological and other inputs are available. However, each modeling domain and inventory will present its own challenges and will require a separate assessment based on the specifics of the application.

One of the improvements in the AERMOD model formulations relative to the Industrial Source Complex - Short Term (ISCST) model which it replaced is a more refined treatment of enhanced turbulence and other boundary layer processes associated with the nighttime heat island influence in urban areas. The magnitude of the urban influence in AERMOD is scaled based on the urban population specified by the user. Since the sensitivity of AERMOD model concentrations to the user-specified population is roughly proportional to population to the  $1/4^{\text{th}}$  power, this is not a significant source of uncertainty. The population areas of interest for this application are also well-defined, thus reducing any uncertainty associated with specification of the population or with defining the extent of the modeling domain treated as urban.

Therefore, based on the evidence in historical and recent model evaluations and the improved AERMOD model formulations, staff judges that algorithm uncertainty has a low magnitude of influence on the estimated 1-hour concentrations. The direction of influence is largely unknown, given the limitations in determining how the basic model assumptions apply to a large-scale analysis. While the AERMOD model algorithms are not considered to be a significant source of uncertainty for this assessment, the representativeness of modeled

concentrations for any application are strongly dependent on the quality and representativeness of the model inputs. The main categories of model inputs that may contribute to uncertainty are the meteorological input data and emissions estimates. These issues are addressed in the following sections.

#### ***8.11.2.1.2 Meteorological Data***

Details regarding the representativeness of the meteorological data inputs for AERMOD are addressed separately in section 8.4.2 and in Attachment 1 in Appendix B. The data are from a well-known, reliable source (NWS) and assumed vetted for extraordinary values by the database architects and data users. Calm and missing 1-hour wind data have been supplemented with 1-minute ASOS data averaged to the hour, decreasing the number of each within the input data sets used. A limited number of missing values remained (1.1 – 1.5%), however staff expects these to have a negligible effect on the overall 1-hour concentration profile.

An important issue associated with representativeness is the sensitivity of the AERMOD model to surface roughness, because the roughness at the location of the meteorological tower site used to process the meteorological data for use in AERMOD may be very different from the surface roughness across the full domain of sources. This issue has been shown to be more significant for low-level sources due to the importance of mechanical shear-stress induced turbulence on dispersion for such sources. A previous application of the AERMOD model to support the REA for the NO<sub>2</sub> NAAQS review (EPA, 2008d) provided an opportunity for a direct assessment of this issue by comparing AERMOD modeled concentrations based on processed meteorological data from the Atlanta Hartsfield airport (ATL) with concentrations based on processed meteorological data from a Southeast Aerosol Research and Characterization study (SEARCH) monitoring station located on Jefferson Street (JST) near Georgia Tech. The ATL data were representative of an open exposure, low roughness, site typical for an airport meteorological station. The JST data were representative of a higher roughness exposure more typical of many locations within an urban area. Surface roughness lengths were generally about an order of magnitude higher at the JST site relative to the ATL site. A comparison of AERMOD modeled concentrations for the mobile source NO<sub>x</sub> inventory, representing near ground-level emissions, showed relatively good agreement in modeled concentrations based on the two sets of meteorological inputs, at least for the peak of the concentration distribution at four monitor locations across the modeling domain. This suggests that the sensitivity of

AERMOD model results to variations in surface roughness may be less significant than commonly believed, provided that meteorological data inputs are processed with surface characteristics appropriate for the meteorological site.

Therefore, based on the improved completeness of the wind data used and the low sensitivity of peak model predictions to surface roughness characteristics, as long as they are appropriate for the site of the meteorological data inputs, staff judges the potential magnitude of influence from the meteorological data as low to medium. While it is possible that 1-hour concentrations may be under-estimated based on missing wind data, it is largely unknown what the overall direction of influence might be when considering the potential influence of other meteorological parameters such as surface roughness.

#### ***8.11.2.1.3 Point Source Emissions and Profiles***

As explained in section 8.4.3, point source emission levels were derived from the NEI with source locations independently verified with GIS analysis of aerial photography. Temporal profiles were derived from a variety of databases. Temporal profiles for all the modeled point sources in Greene County and almost half of those in the St. Louis modeling domain were derived from the CAMD database, which provides hourly emission profiles. For the remaining modeled stacks inside the St. Louis domain, a uniform temporal profile was used. For most of point sources located outside of the St. Louis domain but close enough to influence its air quality, the temporal profiles were from the EMS-HAP emission model.

Therefore, given that the emissions data are from well-known quality-assured sources, the emission source locations were independently verified, and that the temporal profiles for most of the emission sources were known, staff judges the magnitude of influence from this potential source of uncertainty as low and assumes there is an equal tendency to over- or under-estimate 1-hour SO<sub>2</sub> concentrations. Further, staff also characterizes the knowledge-base for this source as having a low level of uncertainty.

#### ***8.11.2.1.4 Area Source Emissions and Profiles***

Details regarding the modeling of non-point and background area sources in AERMOD were addressed in Section 8.4.3. In the case of SO<sub>2</sub>, the area source emissions category for AERMOD represents a cumulative approximation of several lesser point sources, such as small commercial/industrial boilers, which are not represented as individual sources within the existing emissions inventories due to their limited emissions. There is a lack of detailed information

regarding the location and release characteristics of these small emission sources, thus estimated emissions are typically aggregated at a county level within the emission inventories. Given these limitations in terms the emission inventory, two of the main uncertainties associated with modeling these sources are the temporal and spatial profiles used in simulating their releases. Lacking detailed location information, the emissions are assumed to be uniformly distributed across a specified area, typically at a county or census tract level since the emissions are aggregated at the county level, and spatially allocated using population as one of the surrogates. An additional uncertainty associated with the area source category for SO<sub>2</sub> emissions is the likelihood that the actual emissions may be associated with some plume buoyancy that cannot be explicitly treated using the area source algorithm within the dispersion model. At best, the anticipated aggregate effect of plume buoyancy can be reflected through the release height assigned to the area source.

As discussed in Section 8.4.3, all emissions in the regions of interest were simulated, either through their representative group (point sources, port-related sources, or other non-point area sources) or through cumulative background sources. Staff obtained emission estimates from the 2002 National Emissions Inventory (NEI) however, only annual total emissions at the county level are provided. To better parameterize these emissions for the hourly, census block-level dispersion modeling conducted here, we relied on additional data and an algorithm to optimize model performance based on available model-to-monitor comparisons.

Additional data related to the spatial distribution of non-point emissions was used to spatially allocate county-wide emissions to census tracts in the Greene County domain. Staff used the spatial allocation factors (SAFs), based on land use patterns, from EPA's EMS-HAP database to allocate 87% of the non-point emissions to the subset of specific tracts expected to contain the most emissions. Emissions within each modeled tract were simulated as uniform over the tract, while emissions outside the modeled tracts and other residual emissions were characterized as uniform over an entire county. The performance obtained by using tract-level emission sources in Greene County was verified by model-to-monitor comparisons. In the St. Louis area, model performance evaluations using factors from the EMS-HAP database made it apparent that the spatial allocations were mischaracterized for this area. Thus, in the St. Louis area, spatial bias was avoided by modeling non-point emissions with a uniform density throughout each of the counties of interest instead of allocating emissions to specific census

tracts. In both cases, using spatially uniform emissions resolved to the tract or county level improves spatial representation and reduces the overall level of uncertainty.

Unlike point sources, where the temporal profile was based largely on direct observations via the CAMD database, these non-point emission profiles are based on generalized emissions surrogates and may not well represent a specific source or local group of sources. Model performance evaluations of diurnal profiles suggested that temporal factors derived from the EMS-HAP emission model inadequately represented the true, aggregate, temporal release profile.<sup>81</sup> Unlike the spatial allocations, however, uniformly distributing the emissions in time resulted in significantly worse model-to-monitor agreement than using these sample profiles. In order to account for these uncertainties in the temporal profiles of area source emissions, an algorithm was developed to determine the optimal temporal emission release profile in each area. Examination of the diurnal profiles of modeled and monitored concentrations with uniform and with EMS-HAP emission profiles for monitors in locations dominated by area sources showed that, while monitored concentrations increased during the daytime, modeled concentrations actually decreased. An examination of the dispersion characteristics showed that increased dilution during the daytime overcame the small increase in emission strength predicted using the EMS-HAP profile, which lacks local emission information. Thus, it is reasonable to conclude that industrial and commercial/institutional area source emissions in the St. Louis and Greene County areas would have a more pronounced diurnal cycle than is reflected in the EMS-HAP temporal profile.

This method of determining an appropriate, local, non-point source emission profile has the advantage of preserving total emissions reflected in the emission inventory while deducing what the actual temporal emission profile from these local sources should be, based on the observed trends in each region. Essentially, it derives an emission profile that best agrees with observations when coupled with local meteorology and pollutant dispersion. This is justified given the lack of detail regarding emission characteristics of local area sources. This derived profile implies that the emission sources are active almost exclusively during the daytime from approximately 8 am to 8pm. Given that the emission sources represented by the industrial and commercial/institutional non-point category are small, the possibility that their cumulative

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<sup>81</sup> Figures 8-4 and 8-5 also show the corresponding temporal profile from the SMOKE emission model, which is very similar to the temporal profile obtained from the EMS-HAP model.



emissions occur almost exclusively during daytime hours is plausible. However, in knowing that there are large variations in the assumed local emission characteristics versus limited and broadly defined emission characteristics for potential area sources, there is high level of uncertainty in the knowledge-base. The selected approach though effectively mitigates the magnitude of influence the uncertainty has on the modeling results by the application of a systematic approach to minimize discrepancies between predicted and observed values. Based on the discussion regarding the use of spatial allocation factors and the adjustments made to the area source temporal profile, staff judges the magnitude of influence to range from low to medium.

#### ***8.11.2.2 Exposure Modeling Uncertainties***

APEX is a powerful and flexible model that allows for the reasonable estimation of air pollutant exposure to individuals. Since it is based on actual human time-location-activity diaries and accounts for the most important variables known to affect exposure (i.e., where people are located and what they are doing), it has the ability to effectively approximate actual human exposure conditions. In addition, staff selected to the best available input data to temporally and spatially represent the ambient concentrations and exposures given the time and resources allocated for the assessment. However, there are constraints and uncertainties associated with the input data and modeling approaches that may correspond to uncertainties in the modeling results.

In the characterization that follows, exposure modeling uncertainties are discussed regarding their influence to the estimated number of persons and person-days above benchmark exceedances. Staff primarily focused on the uncertainties and assumptions associated with SO<sub>2</sub> specific exposure model inputs, their utilization, and application in this exposure assessment. Note also that some sensitivity analyses for certain components of APEX (see EPA, 2007d; Langstaff, 2007) or input variables (EPA, 2008d) have been performed previously in other NAAQS reviews. Those previous analyses that are relevant to the current SO<sub>2</sub> NAAQS review are also included, though only summarized below.

##### ***8.11.2.2.1 AERMOD Modeled 1-hour Concentrations***

The AERMOD model-to-monitor comparisons (section 8.4.5) indicated very good agreement. Most over-estimations in 1-hour SO<sub>2</sub> concentrations occurred at the lowest 1-hour concentrations, effectively limiting the potential magnitude of influence on estimated 5-minute

air quality and exposure concentrations. At the upper tails of the distribution (> 80<sup>th</sup> percentile), there was a mixture of over- and under-estimation in 1-hour SO<sub>2</sub> concentrations, most of which were on the order of 1-2 ppb. Staff performed an additional evaluation in Greene County to compare estimated benchmark exceedances resultant from the variable concentration distributions given by the ambient monitoring data and AERMOD predictions (rather than simply comparing the 1-hour concentrations). The results indicated there was not a significant influence to the estimated air quality benchmark exceedances from the limited differences observed in the upper percentiles of the 1-hour concentration distributions.

Further, AERMOD was used in this exposure assessment to improve the spatial representation of ambient concentrations given the limited number of ambient monitors in each modeling domain. The dispersion modeling of SO<sub>2</sub> concentrations to census block receptors is judged by staff as improvement over using monitored concentrations alone as an input to APEX. This may be of greater importance in Greene County where there was greater variability in the modeled concentrations at the receptors surrounding each ambient monitor (see section 8.4.5). In addition, the use of concentrations estimated at the census block centroids is judged by staff as reasonable. This is because the centroids are not expected to be at systematically farther distances from emission source than specific percentages of the population residing within the census block.

Therefore, based on the above discussion, staff judges the potential magnitude of influence from this source of uncertainty as low to medium, recognizing there could be some conditions that would lead to over- or under-estimation of 5-minute SO<sub>2</sub> concentrations. While there are limited differences in the modeled versus measured data, it is unknown how the model-to-monitor agreement represents all other modeled receptors in the absence of additional ambient monitoring data. Based on the discussion above regarding the current and historical AERMOD performance evaluations (section 8.11.2.1.1), staff judges the knowledge-base as having a medium level of uncertainty.

#### ***8.11.2.2.2 Accuracy of 5-minute Exposure Estimation***

Uncertainties in the accuracy of the statistical model used in calculating 5-minute SO<sub>2</sub> ambient concentrations was rated as having between low and medium levels of influence (section 7.4.2.6 and Table 7-16). Staff assumes, because of the strong relationship between ambient concentrations and personal exposures (in the absence of indoor sources), the same

influence rating would apply here with mainly limited opportunities for both over- and under-estimation of 5-minute benchmark exceedances. This strong relationship between ambient concentration and personal exposure though is noted as based solely on longer term averaging times (single day to weeks in duration) and was discussed earlier in section 7.4.2.7.

Staff performed an additional qualitative analysis using the personal exposure measurements reported in the ISA. As a default output from the APEX model, annual average exposures were generated for each simulated individual (i.e., the full population rather than just the identified subpopulation). Exposure results for the entire population (e.g., annual average exposure concentrations) are assumed by staff as representative of exposures the asthmatic population would receive because the asthmatic population should not have its microenvironmental concentrations estimated any differently from those of the total population.<sup>82</sup>

Selected percentiles of the distribution of annual average exposures for the APEX simulated individuals is given in Table 8-28. Annual average AERMOD predicted ambient SO<sub>2</sub> concentrations were calculated for every receptor in the two modeling domains. The selected percentiles of the distribution of annual average concentrations for the AERMOD predicted ambient SO<sub>2</sub> is also given in Table 8-28. As expected, the APEX exposure concentrations are consistently lower than the AERMOD predicted ambient concentrations. The relationship between exposure and ambient, as determined by the ratio of the medians, are approximately 0.18 and 0.23 for St. Louis and Greene Counties, respectively. For general comparison, the range of values developed from personal/ambient concentration linear regression slopes reported by the ISA (ISA, section 2.3.6.2) is generally from 0.07 to 0.13. These measurement values describing the relationship between personal exposure and ambient concentrations may be lower than expected due to presence of personal exposure measurements below the limit of detection. Note, the upper range (i.e., 0.13) was reported from a study containing the greatest percent of samples above the limit of detection (ISA, section 2.3.6.2). We also lack information regarding the value of the regression intercepts in these studies (i.e., if any were non-zero) to approximate ratios that would be more comparable to the modeled values presented here.

For additional comparison, personal exposure measurements conducted in Baltimore, Boston, and Steubenville are presented in Table 8-29 (see ISA Tables 2-14 and 2-15). While

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<sup>82</sup> Assumptions regarding activity patterns of asthmatics and non-asthmatics is discussed further in section 8.11.2.5

there are large differences in averaging time, sample size, study year, and city selected, the personal exposure measurement concentrations compare well with selected percentiles of the APEX exposure concentration distribution for the total simulated population in Greene County and St. Louis.

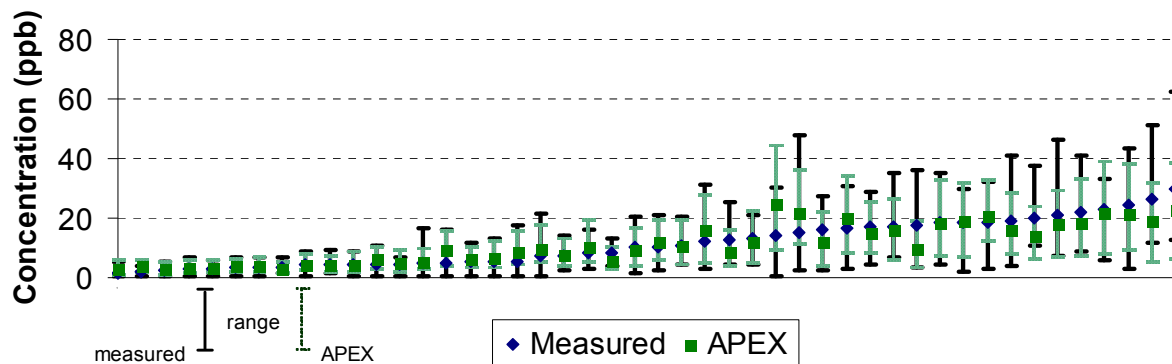
**Table 8-28. Distribution of APEX estimated annual average SO<sub>2</sub> exposures for simulated individuals in the Greene County and St. Louis modeling domains.**

Annual Average SO <sub>2</sub> (ppb) <sup>1</sup>	Greene County (n=50,000) <sup>2</sup>		St. Louis (n=150,000) <sup>2</sup>	
	APEX - Exposure	AERMOD - Ambient	APEX - Exposure	AERMOD - Ambient
mean	0.4	2.0	1.4	8.2
std	0.2	1.5	0.3	2.4
p0	0.1	0.1	0.4	1.2
p1	0.1	0.2	0.8	2.3
p5	0.2	0.2	1.0	4.5
p10	0.2	0.3	1.1	5.7
p25	0.3	0.6	1.2	6.8
p50	0.4	1.6	1.4	7.9
p75	0.5	3.1	1.6	10.0
p90	0.6	4.2	1.8	11.2
p95	0.6	4.7	2.0	11.6
p99	0.8	5.5	2.4	13.2
p100	1.1	6.0	8.6	45.2
<b>Notes:</b> <sup>1</sup> mean is the arithmetic mean; std is the arithmetic standard deviation; percentile of the distribution is given by number following "p" (e.g., p25 is the 25 <sup>th</sup> percentile). <sup>2</sup> number of simulated individuals.				

**Table 8-29. Personal SO<sub>2</sub> exposure measurement data from the extant literature.**

<b>Study<sup>1</sup></b>	<b>Sarnat (2000)</b>	<b>Sarnat (2001)<sup>2</sup></b>	<b>Sarnat (2005)</b>	<b>Sarnat (2005)</b>	<b>Brauer (1989)</b>	<b>Sarnat (2006)</b>	<b>Sarnat (2006)</b>
City	Baltimore	Baltimore	Boston	Boston	Boston	Steubenville	Steubenville
Season	Winter	Winter	Summer	Winter	Summer	Summer	Winter
Averaging Time	12 days	1 day	1 day	1 day	1 day	11 Weeks	12 Weeks
n <sup>3</sup>	14	45	28	29	48	10	10
<b>SO<sub>2</sub> Personal Exposures (ppb)<sup>4</sup></b>							
mean	-	-	0.3 - 0.5	ND - 1.9	-	1.5	0.7
std	-	-	-	-	-	3.3	1.9
p0	ND	-	-	-	-	-	-
p5	-	ND	-	-	-	-	-
p10	-	-	-	-	0.4	-	-
p90	-	-	-	-	1.8	-	-
p95	-	3	-	-	-	-	-
p100	1.2	-	-	-	-	-	-
<b>Notes:</b> <sup>1</sup> See ISA Tables 2-14 and 2-15 for further details regarding study conditions. Reference is provided here using primary author and year of publication. <sup>2</sup> The cohort for Sarnat (2001) consisted of 15 seniors, 15 children, and 15 COPD patients. Seniors and COPD patients had similar exposures, with children having somewhat higher exposure. <sup>3</sup> number persons in study. <sup>4</sup> mean is the arithmetic mean; std is the arithmetic standard deviation; percentile of the distribution is given by number following "p" (e.g., p10 is the 10 <sup>th</sup> percentile); ND is not detected.							

APEX modeled exposures have previously been compared with personal exposure measurements for O<sub>3</sub> (EPA, 2007d). Briefly, APEX O<sub>3</sub> simulation results were compared with weekly personal O<sub>3</sub> concentration measurements for children ages 7-12 (Xue et al., 2005; Geyh et al., 2000). Two separate areas of San Bernardino County were surveyed: urban Upland CA, and the combined small mountain towns of Lake Arrowhead, Crestline, and Running Springs, CA. Available ambient monitoring data for these locations were used as the air quality input to APEX. APEX predicted personal exposures for both locations reasonably well for much of the concentration distribution, but tended to underestimate exposures at the upper percentiles of the distribution. The average difference between the weekly means was less than 1 ppb, with a range of -11 ppb to 8 ppb, though predicted upper bounds for a few weeks with higher exposure concentrations were under-predicted by up to 24 ppb (e.g., Figure 8-22). In addition, modeled exposure concentration variability was less than that observed in the personal exposure measurements. These differences appear to be driven by under-estimation of the spatial variability of the outdoor concentrations (EPA, 2007d).

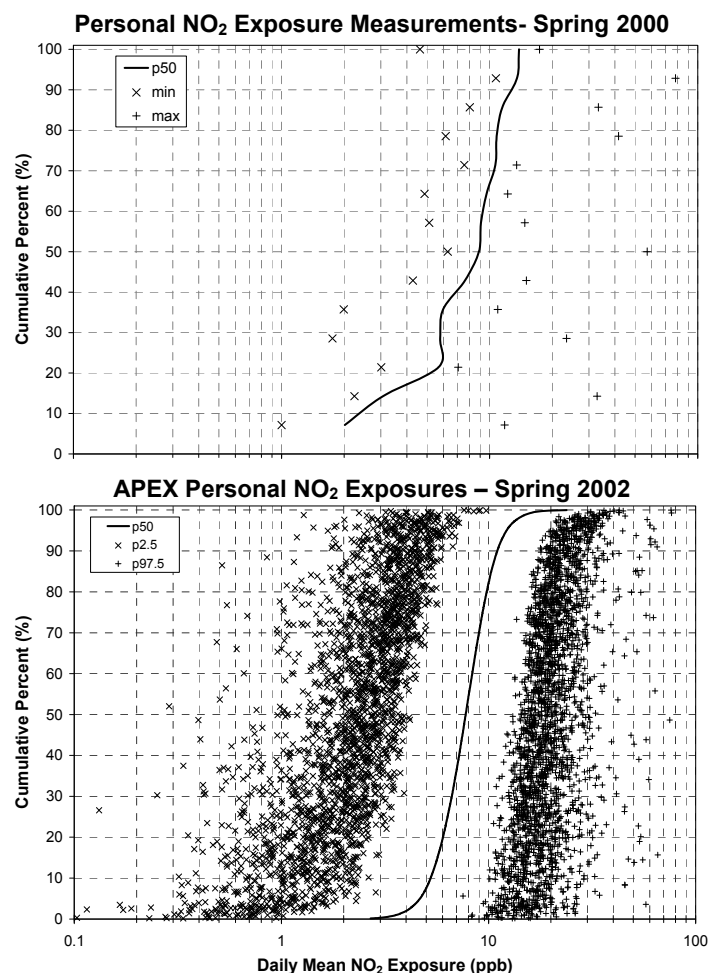


**Figure 8-22. Means of weekly average personal O<sub>3</sub> exposures, measured and modeled (APEX), Upland Ca. Figure obtained from EPA (2007d).**

In addition, APEX modeled exposures have previously been compared with personal NO<sub>2</sub> exposure measurements in Atlanta (EPA, 2008d). Daily personal NO<sub>2</sub> exposure measurements were obtained from Suh (2008) for 30 participants of a 1999-2000 Atlanta epidemiological study conducted by Wheeler et al. (2006) across two seasons.<sup>83</sup> An exposure distribution was constructed for each individual, simply using the individual's minimum, median, and maximum daily mean exposures (e.g., Figure 8-23, top). Daily mean NO<sub>2</sub> exposures estimated using APEX were also evaluated in a similar manner, by stratifying the results based on the same two seasons. The specific period from 1999-2000 was not modeled by APEX; simulation results for year 2002 were used in the comparison. A distribution of each person's estimated daily exposure was also constructed, using the median daily exposure to represent the central tendency and a 95 % prediction interval to represent the lower and upper bounds of exposure (e.g., Figure 8-23, bottom). The distributions of median daily exposures compared better for the spring season, along with the range of estimated daily mean exposures given by the 95% prediction interval. However, APEX estimated exposures were greater during the fall. Median estimated daily exposures were consistently about 2 ppb higher than the personal exposure measurements across most of the percentiles of the distribution, and the APEX

<sup>83</sup> The minimum number of exposure measurements per subject was three days, the maximum was seven days. Fall was designated for sample collection dates reported in the months of September, October, and November 1999; Spring was designated where sample collection dates were reported in the months of April and May 2000. Only personal NO<sub>2</sub> from ambient sources are discussed here.

upper prediction intervals ranged consistently higher (between 10 and 40 ppb) compared with the maximum personal exposure measurement day (between 10 and 20 ppb).<sup>84</sup>



**Figure 8-23. Daily average personal NO<sub>2</sub> exposures, measured and modeled (APEX), Atlanta Ga. Figure obtained from EPA (2008d).**

It is encouraging that the APEX longer-term exposure estimates are comparable to personal exposure measurements. When also noting that there is a strong relationship between ambient SO<sub>2</sub> concentration and exposure, staff believes that the estimated numbers of days with 5-minute exposures above benchmark levels are also likely reasonable. However, without the

<sup>84</sup> While a direct comparison of APEX estimated maximum daily exposure concentrations with the maximum observed daily personal exposure concentrations is considered qualitative given the large discrepancy in sample sizes and the difference in years compared, it should be noted that considering both seasons, approximately 99.1% of APEX simulated persons had their estimated maximum daily exposure concentrations within the maximum observed daily personal exposure measurement of 78.2 ppb.

availability of 5-minute personal exposure measurements that more closely represent the modeled population, the level of uncertainty in the knowledge-base is judged as high.

#### ***8.11.2.2.3 Population Database***

The population data are drawn from U.S. Census data from the year 2000. This is a high quality data source for nationwide population data in the U.S., there is none considered as complete and as appropriate for its application in our exposure assessment. As such, uncertainty regarding the knowledge-base is considered low. The data do have some limitations. The Census used random sampling techniques instead of attempting to reach all households in the U.S., as it has in the past. While the sampling techniques are well established and trusted, they may serve as a limited source of uncertainty in exposure results. The Census has a quality section (<http://www.census.gov/quality/>) that discusses these and other issues with Census data. It is likely the uncertainty in population representation within this data would not affect the APEX exposure results in any particular direction, and given the use of randomly sampled demographics to represent the simulated population, it is expected that the magnitude of influence this source of uncertainty has on the exposure results is low.

#### ***8.11.2.2.4 Commuting Database and Algorithm***

Commuting pattern data were also derived from the 2000 U.S. Census, again a well-documented, quality-assured source. The data are used in addressing home-to-work travel, certainly within the bounds of the objectives associated with the original data collection. Staff had to make a few simplifying assumptions to allow for practical use of this database to reflect a simulated individual's commute. First, there were a few commuter identifications that necessitated a restriction of their movement from a home-block to a work-block. This is not to suggest that they never travelled on roads, only that their home and work blocks were the same and served as the only source of ambient concentration data for those individuals. Persons restricted to a single block for ambient concentrations include the population not employed outside the home, individuals indicated as commuting within their home-block, and individuals that commute over 120 km a day. This could lead to either over- or under-estimations in exposures if they were in fact to visit a block with either higher or lower SO<sub>2</sub> concentrations. Given that the number of individuals who meet these conditions is likely a small fraction of the total population, staff considers the magnitude of influence as low and associated with either small over- or under-estimation of exposure benchmark exceedances.



Second, although several of the APEX microenvironments account for time spent in travel, the travel is assumed to always occur in basically a composite of the home- and work-blocks. No other provision is made for the possibility of passing through other census blocks during travel. This could also contribute to either over- or under-estimating exposure concentrations, dependent on the number of blocks the simulated individual would actually traverse and the spatial variability of the concentration across different blocks. This could potentially affect a large portion of the population, since we expect that at the block-level, many persons would have a commute transect that included more than two blocks, although the actual number of persons and the number of blocks per commute and the spatial variability across blocks has not been directly quantified. In addition, the commuting route (i.e., which roads individuals are traveling on during the commute) is not accounted for. From a practical perspective though, if staff was to consider multi-block commuting in an exposure modeling exercise, further complexity would need to be added to the modeling while also requiring additional input data that is not readily available (e.g., commuting route data for simulated individuals). These model adjustments would come with a number of additional uncertainties and require additional time and resources not available for the assessment. Therefore, staff elected to not account for multi-block commuting. Note however that the modeled spatial variability within 4 km of ambient monitors in St. Louis was much less than that of the modeled spatial variability Greene County, suggesting that ignoring multi-block commuting transects may be of lesser importance in St. Louis.

Furthermore, the estimation of block-to-block commuter flows relied on the assumption that the frequency of commuting to a workplace block within a tract is proportional to the amount of commercial and industrial land in the block. This assumption could result in over-estimating exposures if 1) the blocks with greater commercial/industrial land density also have greater concentrations when compared with lower density commercial/industrial density blocks, and 2) most persons commute to lower commercial/industrial density blocks. It should also be noted that recent surveys, notably the National Household Transportation Survey (NHTS), have found that most trips taken and most VMT accrued by households are non-work trips, particularly social/recreational and shopping-related travel (Hu and Reuscher, 2004). In addition, geographic differences in infrastructure could lead to differences in commuting method that is not weighted by either the CHAD diaries or the Census commuter dataset. These

constitute non-quantified sources of uncertainty that are not addressed by the Census commuter dataset.

Overall, in assessing the influence the commuting database and algorithm have on estimated exposures above benchmark levels, staff judges the magnitude to be low even in Greene County particularly since most benchmark exceedances occur outdoors and not inside vehicles or indoor microenvironments. Even though staff judged the use of land-use is a reasonable surrogate for identifying where people might work, staff believes that, in the absence of block-to-block commuting information to further support this relationship, the uncertainty regarding the knowledge-base is medium.

#### *8.11.2.2.5 Activity Pattern Database*

The CHAD time-location activity diaries used are the most comprehensive source of such data and realistically represent where individuals are located and what they are doing. The diaries are sequential records of each persons activities performed and microenvironments visited. There are, however, uncertainties in the exposure results as a result of the CHAD diaries used for simulating individuals. Specific elements of uncertainty include an evaluation of 1) the representativeness of CHAD in reflecting recent human activity patterns, 2) the approach used to allow for geographical representation of influential characteristics, 3) the similarities of asthmatic and non-asthmatic activity patterns, and 4) response of asthmatics to air quality notifications. Discussion regarding the use of individual CHAD diary days in developing longitudinal profiles is presented in section 8.11.2.2.6.

First, a large percentage of the data used to generate the daily diaries were gathered from survey studies conducted between 20 to 30 years ago. While the trends in people's daily activities may not have changed much over the years, it is certainly possible that some differences do exist such as the amount of time spent outdoors, time spent performing activities at a particular level of exertion, and the microenvironments where moderate or greater exertion is likely to occur. It would be extremely difficult to determine real differences in the distribution of these factors that may influence SO<sub>2</sub> exposure. For example, much of the data that is available to test such differences is survey-based. The survey methods used to collect data are not entirely consistent with one another and most of the studies collecting time-location-activity data did not have exposure modeling objectives in their design (Graham and McCurdy, 2004). If one were to test the hypothesis of no observed differences in time spent outdoors using historical and recent

data, it is likely significant effects would result from differences in survey methods or overall study design rather than measurable changes in population activities. Staff assumed that if there were a difference between the time spent outdoors (the most important microenvironment for SO<sub>2</sub> exposures) for the simulated population and historical data diaries used to represent them, the difference would be negligible. Therefore, staff judges the magnitude of influence on the number of days with exposures above benchmark levels as low.

Second, CHAD is a collection of data from numerous activity pattern surveys, many having differing data collection objectives. Some of the studies were single city surveys, although a large portion of the data is from National surveys designed to be representative of the U.S. population. In addition, study collection periods occur at different times of the year, possibly resulting in seasonal variation not representative of the modeled locations. Furthermore, the CHAD diaries selected by APEX to represent the Greene County and St. Louis population are not necessarily from individuals residing in these cities, the State of Missouri, or from the Midwest, albeit some of the diaries may be. Each of these factors could contribute to uncertainty in the exposure results if there are location-specific characteristics of the CHAD surveyed population that are distinct from those of the simulated population. However, a few of the limitations associated with the use of diaries from different locations or seasons are corrected by the sampling approaches used in the exposure modeling. For example, diaries used are weighted by population demographics (i.e., U.S. census based age and gender distributions at the modeled census block) and temperature is used as a classification variable to account for expected differences in a location's climate and its effect on human activities.

A sensitivity analysis was recently performed to evaluate the effect that using different CHAD studies has on APEX results for the recent O<sub>3</sub> NAAQS review (see Langstaff (2007) and EPA (2007d)). Briefly, O<sub>3</sub> exposure results were generated using APEX with all of the CHAD diaries and compared with results generated from running APEX using only the CHAD diaries from the National Human Activity Pattern Study (NHAPS), a nationally representative study in CHAD. There was good agreement between the APEX exposure results for the 12 metropolitan areas evaluated (one of which was St. Louis), whether all of CHAD or only the NHAPS component of CHAD is used. The absolute difference in percent of persons above a particular concentration level ranged from -1% to about 4%, indicating that the exposure model results are not being overly influenced by any single study in CHAD. It is likely that similar results would

be obtained here for SO<sub>2</sub> exposures. Therefore, staff judges the magnitude of influence from using appropriately sampled CHAD diaries in representing the simulated population as low.

Third, due to limited number of CHAD diaries with health-specific information, all diaries are assumed as appropriate for any simulated individual, provided they concur with age, gender, temperature, and microenvironmental time selection criteria. In addition, data summaries<sup>85</sup> output from the current version of APEX could only be output for the entire simulated population rather than the particular subpopulation. This is a reasonable modeling assumption when considering the calculation of the microenvironmental concentrations, because it is not expected that the asthmatic population would have microenvironmental concentrations different from those of the total population. However, there is uncertainty in the use of all CHAD diaries in simulating any individual without considering the health status of both the surveyed population and the simulated population if in fact health status affects the activity pattern of the simulated individual. In this exposure assessment it was shown that the most important location for contacting the 5-minute peak concentration were outdoor microenvironments. Therefore, if there is a difference in the time spent outdoors (e.g., total time, time-of-day) and activities performed outdoors between asthmatics and healthy individuals, there may be a greater impact to the estimated number of asthmatics exposed (and number of person days) than if there were no difference.

Briefly, the assumption of modeling asthmatics similarly to healthy individuals (i.e., using the same time-location-activity profiles) is supported by the findings of van Gent et al. (2007), at least when considering children 7-10 years in age. These researchers used three different activity-level measurement techniques; an accelerometer recording 1-minute time intervals, a written diary considering 15-minute time blocks, and a categorical scale of activity level. Based on analysis of 5-days of monitoring, van Gent et al. (2007) showed no difference in the activity data collection methods used as well as no difference between asthmatic children and healthy children when comparing their respective activity levels. Contrary to this, an analysis of 2000 BRFSS data by Ford et al. (2003) indicated a statistically significant difference between the percent of current asthmatics (30.9%) and non asthmatics (27.8%) characterized as inactive. In addition, these researchers found significant differences in the percent of asthmatic (26.6%) and

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<sup>85</sup> For example, the time spent in microenvironments at or above a potential health effect benchmark level.

non-asthmatic (28.1%) adults achieving recommended levels of physical activity (i.e., either moderate or greater activity levels).

Note though, the issue is not just outdoor time and activity levels, but the intersection of the two that are of importance as well as recognizing the performance capabilities of persons with asthma. A person's overall physical activity level is strongly linked with their time spent outdoors and is considered an important correlate in encouraging increased physical activity among children and adults alike (e.g., Sallis et al., 1998). In addition, introducing regular exercise has been shown to improve physical fitness in asthmatic children, with statistically significant increases in ventilation measures such as maximum minute ventilation rate ( $VE_{\max}$ ) maximum oxygen uptake ( $VO_{2\max}$ ) (e.g., van Vledhoven et al., 2001). Further, in other related research, Santuz et al. (1997) indicated no statistically significant difference between asthmatic and non asthmatic children when comparing maximum exercise performance levels, provided the individuals were conditioned through habitual exercise. Thus it appears that asthmatics are likely to perform activities at elevated levels and do so in outdoor microenvironments.

To support the assumption that there is no difference in CHAD activity patterns used to represent the asthmatic population, staff compared the amount of time spent outdoors at elevated activity levels obtained from three individual asthma studies with estimates of the same metric using the CHAD database. In addition, some of the studies incorporated in CHAD reported whether the individual was asthmatic, non-asthmatic, or not classified. Therefore, staff categorized the data and results as such in this analysis. Table 8-30 summarizes data reported from the three studies and results generated using CHAD data and the known health status.

When considering the three asthma studies, the amount of time spent outdoors at moderate activity level ranges from a low of approximately 2% to a high of about 11% of waking hours. The estimates of outdoor time associated with moderate activity level using CHAD diaries fall within that range (i.e., between 6.5 and 7.5%) with small differences observed between the CHAD asthmatic and CHAD non-asthmatic population. This limited comparison indicates that the CHAD diaries may reasonably approximate the amount of time spent outdoors at moderate activity levels. In addition, comparison of the CHAD asthmatic and non asthmatic population supports the assumption that all CHAD diaries are appropriate in representing asthmatic individuals, regardless of health status. However, the percent of outdoor time associated with strenuous activities using the CHAD database was lower when compared with

the three asthma studies. It is difficult to judge whether the time spent outdoors at strenuous activity levels is under-represented by CHAD or it is over-represented by the three asthma studies.

Staff recognizes that there are a number of differences that exist among the three asthmatic studies used along with the CHAD diary data that could contribute to variation in the time spent outdoors at elevated activity levels. This would include: the diary/survey collection methods used, the classification of activities performed and associated activity levels, the number of study subjects, and sample selection methods. The particulars regarding how each of these were addressed across the various studies is wide ranging and could potentially influence the results. However, based on the comparable results observed in time spent outdoors at moderate activity levels, staff judges the magnitude of influence as low with no apparent direction in over- or under-estimation.

**Table 8-30. Percent of waking hours spent outdoors at an elevated activity level.**

	EPRI (1988) <sup>1</sup>	EPRI (1992) <sup>2</sup>	Shamoo (1994) <sup>3</sup>		CHAD <sup>4</sup>		
Location	Los Angeles	Cincinnati	Los Angeles		All		
Time of Year	April	August	Summer	Winter	Any		
Population	Asthmatic	Asthmatic	Asthmatic	Asthmatic	Asthmatic	Not Asthmatic	Unknown
n	52	136	48	45	1,475	15,848	4,821
Mean age (min-max)	-	26 (1– 78)	33 (18 – 50)		23 (<0 – 99)	27 (<1 – 93)	31 (<1 – 94)
Activity Level	Percent of Asthmatic Waking Hours Spent Outdoors at Given Activity Level						
Moderate	7	11	1.9	1.7	7.5	6.5	6.7
Strenuous	2.4	3.3	0.2	0.2	0.04	0.01	0.2

**Notes:**

<sup>1</sup> Hour diary questionnaire form used for up to three activities per hour. Non-random sample of 26 mild/moderate, 26 moderate/severe asthmatics selected from voluntary clinical studies.

<sup>2</sup> Hour diary questionnaire form used for up to three activities per hour. Random digit dialing and multiplicity sampling used.

<sup>3</sup> Number of minutes performing three self-rated activity levels for three locations per hour. Non-random sample selected from voluntary clinical studies.

<sup>4</sup> Combination of random and non random selection studies, national and city-specific, as well as varying diary protocol (see Graham and McCurdy, 2004). Original CHAD database (n=22,968; EPA, 2002) was screened for persons with no age (n=223) and no sleep (n=601) reported. Median METS values from each activity-specific distribution were assigned to each person's activities. Moderate and vigorous activity levels were selected based on activities having a METS value of 3 to <6 and ≥6, respectively.

Finally, there is also a possibility that information regarding bad air quality may affect the activities performed by the asthmatic population. There has been research regarding *averting behavior*, that is, there is a reduction in time spent outdoors when the individual is informed of the potential for bad air quality days (e.g., Bresnahan, et al. 1997; Mansfield, 2005; KDEH,

2006; Wen et al., 2009). One study reviewed by staff reported no effect on outdoor time (e.g., Yen et al. 2004). Of the limited studies reviewed by staff, most were focused on the population response to ozone (or smog) air pollution alerts, EPA's Air Quality Index (AQI), or simply self-perceived bad air quality.

In the most recent U.S. study conducted in six states,<sup>86</sup> it was reported that approximately 25-30% of asthmatic adults altered their outdoor activity due to either perceived bad air quality or media alerts, compared with about half as many (12%-16%) non asthmatics altering their outdoor activities (Wen et al., 2009). The media alert response rate was requisite on awareness of the bad air quality media alert for both children (Mansfield et al., 2005) and adults (KDEH, 2006; Wen, 2009). Parents of asthmatic children checked air quality alerts more frequently than parents of non-asthmatic children and, though reported as statistically significant, only about 25% of parents of asthmatic children checked the air quality on a daily basis (Mansfield et al., 2005). Approximately half of asthmatic and non asthmatic adults were aware of the media alerts (Wen et al., 2009), though among all adults living in the Kansas City MSA,<sup>87</sup> the percent aware is much greater (70%; KDEH, 2006). Of the persons that reported altering their outdoor activities, approximately 60% did so three or fewer times per year.

If there is averting behavior by asthmatics in response to air pollution events, the degree to which an asthmatic's SO<sub>2</sub> exposure would be altered is highly uncertain. Staff acknowledges that there may be fewer asthmatics exposed using APEX if accounting for averting behavior. However, information missing from the published studies that are of importance include 1) the amount outdoor time was reduced, 2) the time-of-day the outdoor time reduction occurred, 3) the distinction between all outdoor activities or moderate or greater activities, 4) influence of asthma severity on aversion rate, 4) the relationship between ozone air quality and the occurrence of short-term SO<sub>2</sub> pollution events modeled here. Given the above averting behavior statistics, there could be at most a 30% over-estimation in the number of persons exposed (i.e., a medium level), though the over-estimation is likely to be less given how the unknown conditions noted above affect averting behavior.

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<sup>86</sup> The six states were Colorado, Florida, Indiana, Kansas, Massachusetts, Wisconsin.

<sup>87</sup> Note that Kansas City is in close geographic proximity to both of the Mo. exposure modeling domains.

#### ***8.11.2.2.6 Longitudinal Profile Algorithm***

Some of the surveys comprising CHAD collected only a single diary-day while others collected several diary days per individual. In this exposure assessment, individuals are simulated for an entire year. APEX creates the annual sequences of daily activities for a simulated individual by sampling human activity data from more than one subject. Therefore, each simulated person essentially becomes a composite of several actual people from within the underlying activity data. Certain aspects of the personal profiles are held constant, though in reality they may change as an individual ages (e.g., body mass). This is likely more important for simulations with long timeframes (e.g., over a year or more), particularly when simulating young children. The method used to link the individual activity diaries together could influence the estimated number of persons exposed, although there would be greater uncertainty in estimating multiple exposures per individual per year rather than single exposures per year. Note however, estimating multiple exposures per individual was not a focus of the exposure assessment.

In a prior analysis, staff evaluated the cluster algorithm used in constructing longitudinal profiles against a sequence of available multiday diaries sets collected as part of the Harvard Southern California Chronic Ozone Exposure Study (Xue et al., 2005; Geyh et al., 2000). Diary data were collected from children between the ages 7 and 12 for six consecutive days/month for an entire year. See Appendix B, Attachment 4 and 5 for details of the comparison. Briefly, the activity pattern records were characterized according to time spent in each of five aggregate microenvironments: indoors-home, indoors-school, indoors-other, outdoors, and in-transit. The predicted value for each stratum was compared to the value for the corresponding stratum in the actual diary data using a mean normalized bias statistic. The evaluation indicated the cluster algorithm can replicate the observed sequential diary data, with some exceptions. The predicted time-in-microenvironment averages matched well with the observed values. For combinations of microenvironment/age/gender/season, the normalized bias ranges from -35% to +41%. Sixty percent of the predicted averages have bias between -9% and +9%, and the mean bias across any microenvironment ranges from -9% to +4%. Although on occasion there were large differences in replicating variance across persons and within-person variance subsets, about two-thirds of the predictions for each case were within 30% of the observed time spent in each microenvironment.



The longitudinal approach used in the exposure assessment was an intermediate between random selection of diaries (a new diary used for every day for each person in the year) and perfect correlation (same diary used for every day for each person in the year). The cluster algorithm used here was also compared with two other algorithms; one that used random sampling and the other employing diversity (*D*) and autocorrelation (*A*) statistics (see EPA, 2007g for details on this latter algorithm). The number of persons with at least one or more exposure to a given O<sub>3</sub> concentration was about 30% less when using the cluster algorithm than when using random sampling, while the number of multiple exposures for those persons exposed was greater using the cluster algorithm (by about 50%). The algorithm employing the *D* and *A* statistics exhibited similar patterns, although were lower in magnitude when compared with random sampling (about 5% fewer persons with one or more exposures, about 15% greater multiple exposures). These exposure results using the cluster algorithm in APEX appeared to be the result of a greater correlation of diaries selected in comparison with the other two algorithms. This outcome conforms to an expectation of correlation between the daily activities of individuals. While the evaluation was performed using 8-hour O<sub>3</sub> as the exposure output, it is expected that similar results would be obtained for 5-minute SO<sub>2</sub> exposures. That is, the characteristics of the diaries that contribute greatly to any pollutant exposure above a given threshold (e.g., time spent outdoors, vehicle driving time, time spent indoors) are likely a strong component in developing each longitudinal profile. Given these results and that the REA is not necessarily focused on health effects resulting from multiday exposures, staff judges the longitudinal approach may have a low to medium magnitude of influence on estimated number of persons exposed. When comparing the modeled profiles with the measurement data, there was a balanced mix of over- and under-estimation of microenvironmental time. Therefore, the direction of influence on the estimated number of persons exposed could be in either direction. Uncertainty in the knowledge-base is rated as medium given the limited longitudinal measurement data available for comparison.

#### **8.11.2.2.7 Meteorological Data**

Details regarding the representativeness of the meteorological data inputs for APEX are addressed separately in section 8.4.2 and in Attachment 1 in Appendix B. In addition, uncertainties associated with the data are discussed in section 8.11.2.1.2. Briefly, meteorological data are taken directly from monitoring stations in the assessment areas. Staff assumed that

most of the data used are error free and have undergone required quality assurance review. One strength of these data is that it is relatively easy to see significant errors if they appear in the data. Because general climatic conditions are known for the simulated area, it would have been apparent upon review if there were outliers in the dataset, and at this time none were identified. If there were errors remaining in the data, it would be expected to be limited in extent and occur randomly. In addition, to reduce the number of calms and missing winds in the 1-hour MET data, archived one-minute winds for the ASOS stations in each model domain were used to calculate hourly average wind speed and directions. This approach reduces the number of estimated zero concentrations that would be output by AERMOD if not supplemented by the additional wind data, thus preventing a downward bias in the predicted 1-hour SO<sub>2</sub> concentrations. Therefore, staff judges the MET data as having a low level of influence and equally applied to either under- or over-estimation in the number of persons exposed.

There are some limitations in the use of the meteorological data in APEX. APEX only uses the 1-hour daily maximum temperature in selecting an appropriate CHAD diary and indoor microenvironment air exchange rate. Because the model does not represent hour-to-hour variations in meteorological conditions throughout the day, there could be uncertainty in some of the exposure estimates associated with indoor microenvironments (see the next section).

#### ***8.11.2.2.8 Air Exchange Rates (AER)***

The residential air exchange rate (AER) distributions used to estimate indoor exposures may contribute to uncertainty in the exposure results. Three components of the AER analyzed previously by EPA (2007d) include 1) the extrapolation of air exchange rate distributions between-CMSAs, 2) analysis of within-CMSA uncertainty due to sampling variation, and 3) the uncertainty associated with estimating daily AER distributions from AER measurements with different averaging times. The results of those previous investigations are briefly summarized here. See Appendix B, Attachments 7 and 8 for details in the data used to generate the AER and the sensitivity analyses performed. It should be recognized that in this assessment, the indoor microenvironments have been shown to be largely unimportant in estimating exposure exceedances. Note however, that in ignoring all twelve 5-minute concentrations, the influence of the indoor-residential microenvironment may be under-estimated (section 8.11.2.2.11).

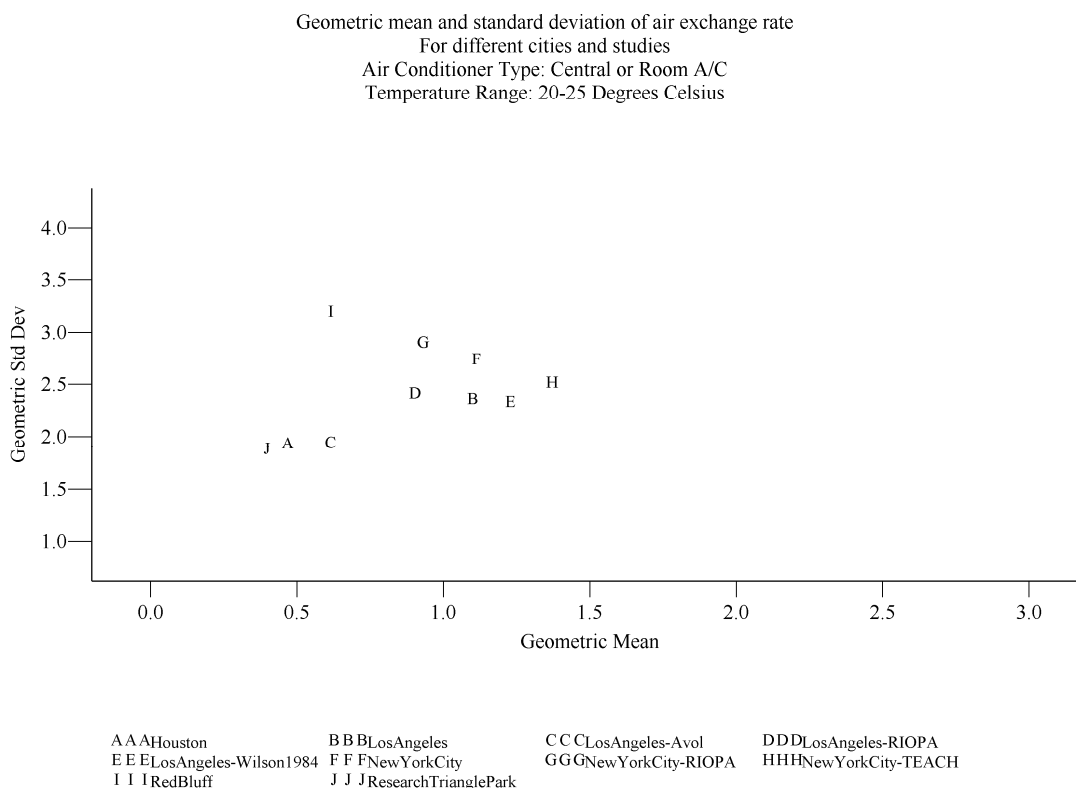
#### ***Extrapolation of AER among locations***

Air exchange rate (AER) distributions were assigned in the APEX model, as described in the indoors-residential microenvironment. Because location-specific AER data for St. Louis and Greene County were not available and that there were no AER data from cities thought to have similar influential characteristics affecting AER,<sup>88</sup> staff constructed an aggregate distribution of the available AER data from cities outside California to represent the distribution of AERs in St. Louis and Greene County (see Appendix B, Attachment 7).

In the absence of location-specific data for the microenvironments modeled by APEX within each model domain, only limited evaluations were performed. To assess the uncertainty associated with deriving AERs from one city and applying those to another city, between-location uncertainty was evaluated by examining the variation of the geometric means and standard deviations across several cities and originating from several different studies. The evaluation showed a relatively wide variation across different cities in their AER geometric means and standard deviations, stratified by air-conditioning status, and temperature range. For example, Figure 8-24 illustrates the GM and GSD of AERs estimated for several cities in the U.S. where A/C was present and within the temperature range of 20-25 °C. The wide range in GM and GSD pairs implies that the modeling results may be very different if the matching of modeled location to a particular study location was changed. For example, the SO<sub>2</sub> exposure estimates may be sensitive to use of an alternative distribution, say those in New York City, compared with results generated using the aggregate non-California AER distributions. It is possible though that the true distribution could be more similar to the selected distribution from all non-California cities than that of the specific locations given the population of available AER data. It is unclear as to the direction of influence given the limited number of data available for comparison. It is likely that the impact to the number of exceedances is low, given that most of the exceedances occurred outdoors for most of the air quality scenarios evaluated.

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<sup>88</sup> Such potential influential factors would include age, composition of housing stock, construction methods used, and other meteorological variables not explicitly treated in the analysis, such as humidity and wind speed patterns.



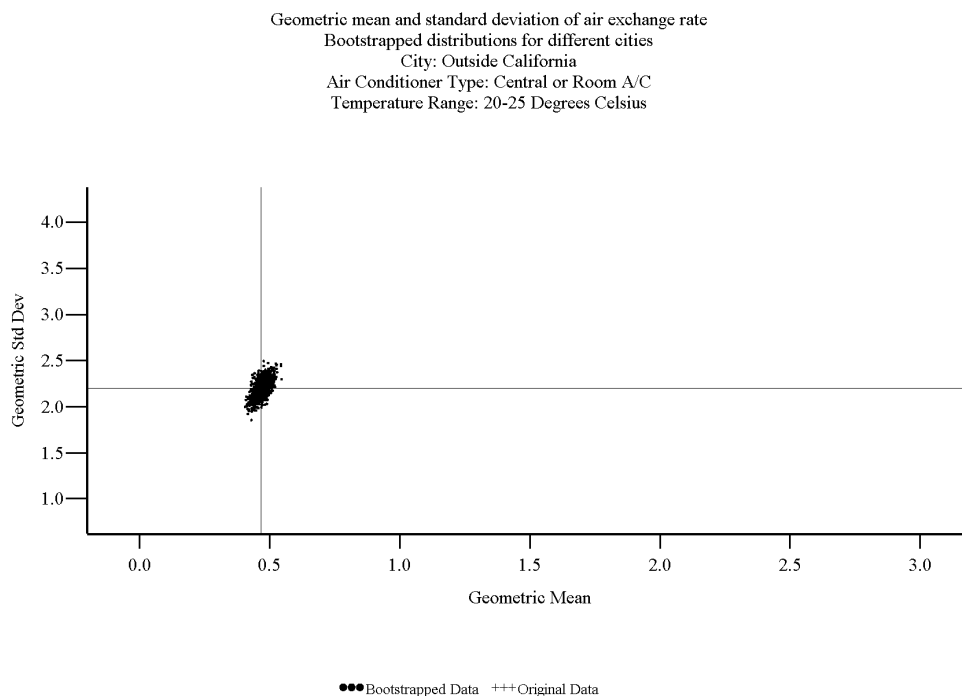
**Figure 8-24. Example comparison of estimated geometric mean and geometric standard deviations of AER ( $\text{h}^{-1}$ ) for homes with air conditioning in several cities.**

#### *Within location uncertainty*

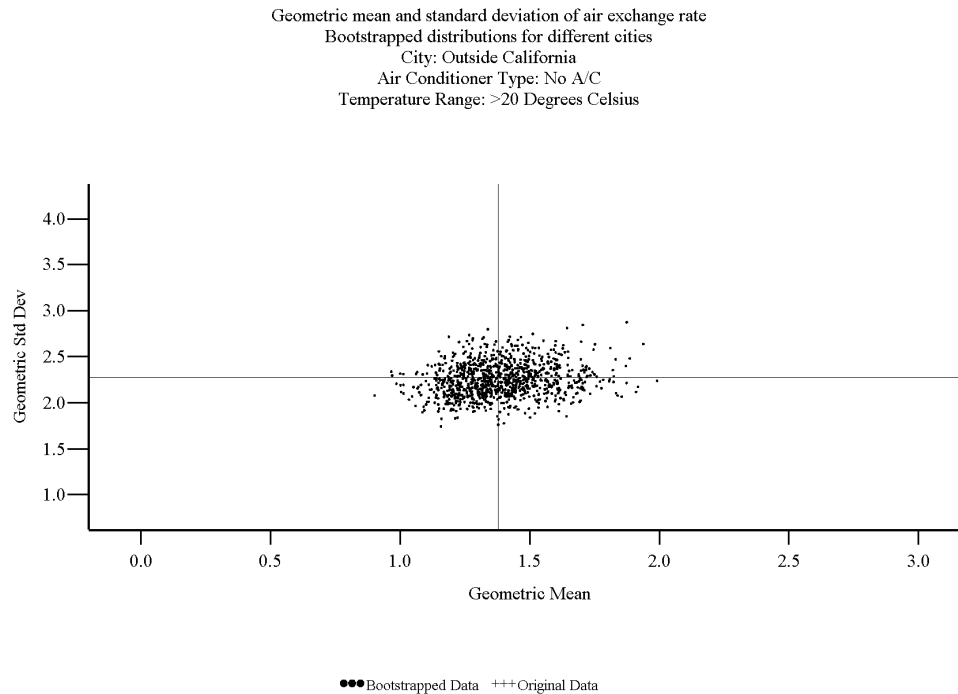
There is also variation in AERs within studies for the same location (e.g., Outside California data), but this is much smaller than the observed variation across different CMSAs. This finding tends to support the approach of combining different studies for a CMSA, where data were available. The within-city uncertainty was assessed by using a bootstrap distribution to estimate the effects of sampling variation on the fitted geometric means and standard deviations for the non-California data used to represent the St. Louis and Greene County AERs. These bootstrap distributions assess the uncertainty due to random sampling variation. They do not address other uncertainties such as the lack of representativeness of the available study data or the variation in the lengths of the AER monitoring periods. Because only the GM and GSD were used, the bootstrap analyses does not account for uncertainties about the true distributional shape, which may not necessarily be lognormal.

One-thousand bootstrap samples were randomly generated for each AER subset (of size  $N$ ), producing a set of 1,000 pairs of geometric mean (GM) and geometric standard deviation

(GSD). The analysis of the non-California city data used to represent Greene County and St. Louis indicated that the GSD uncertainty for a given AER temperature group tended to have a range within  $\pm 0.3$  fitted GSD ( $\text{hr}^{-1}$ ), with smaller intervals surrounding the GM (i.e., about  $\pm 0.10$  fitted GM ( $\text{hr}^{-1}$ ) (Figure 8-25). Broader ranges were generated from the bootstrap simulation for AER distributions used for Greene County and St. Louis homes without A/C (Figure 8-26), although both still within  $\pm 0.5$  of the fitted GM and GSD values. Given the limited range in GMs and GSDs, staff judges the magnitude of influence as low and mainly associated with both under- and over estimation of indoor exposure concentrations. See Appendix B, Attachment 7 for further details.



**Figure 8-25. Example of boot strap simulation results used in evaluating random sampling variation of AER ( $\text{h}^{-1}$ ) distributions (data from cities outside California). Parameters of the original distribution are given by the intersection of the two inner grid lines**



**Figure 8-26. Example of boot strap simulation results used in evaluating random sampling variation of AER ( $\text{h}^{-1}$ ) distributions (data from cities outside California). Parameters of the original distribution are given by the intersection of the two inner grid lines**

#### *Variation in AER measurement averaging times*

Although the averaging periods for the air exchange rates in the study data varied from one day to seven days, the analyses did not take the measurement duration into account and treated the data as if they were a set of statistically independent daily averages. To investigate the uncertainty of this assumption, correlations between consecutive 24-hour air exchange rates measured at the same house were investigated using data from the Research Triangle Park Panel Study (Appendix B, Attachment 8). The results showed extremely strong correlations, providing support for the simplified approach of treating multi-day averaging periods as if they were 24-hour averages. Therefore, staff judges the magnitude of influence as low with unknown direction on the number of persons exposed.

#### *8.11.2.2.9 Air Conditioning Prevalence*

Because the selection of an air exchange rate distribution is conditioned on the presence or absence of an air-conditioner, the air conditioning status of the residential microenvironment was simulated randomly using the probability that a residence has an air conditioner, i.e., the residential air conditioner prevalence rate. For this study we used location-specific data for St. Louis (AHS, 2005) and applied that data to Greene County as well. EPA (2007d) details the

specification of uncertainty estimates in the form of confidence intervals for the air conditioner prevalence rate, and compares these with prevalence rates and confidence intervals developed from the Residential Energy Consumption Survey (RECS) of 2001 for several aggregate geographic subdivision (e.g., states, multi-state Census divisions and regions) (EIA, 2001).

Briefly, the A/C prevalence rates used for St. Louis were 96%, with reported standard errors of 1.7% (AHS, 2003). Estimated 95% confidence intervals were also small and span approximately 6.5 percentage points (AHS, 2003). The RECS prevalence estimate for Census Divisions was 92% (ranging between 86.4% and 98.4%), while the Census Region prevalence estimate was 83.6% (ranging between 80.0% and 87.2%). This suggests that the A/C prevalence used, while likely being representative of a city in Missouri, may be over-estimated for non-urban locations (such as Greene County).

Furthermore, a sensitivity analysis was performed using a low (55%) and high (97%) A/C prevalence rates as input to APEX in an Atlanta, Ga. exposure assessment used for the recent NO<sub>2</sub> NAAQS review (EPA, 2008d). Upper percentile benchmark exceedances were also of interest in that exposure assessment, only the averaging time was 1-hour instead of 5-minutes used here. Indoor microenvironments were also found in the NO<sub>2</sub> exposure assessment to be unimportant in estimating exposure exceedances. Results from the sensitivity analysis indicated that there was no difference in the percent of the asthmatic population with NO<sub>2</sub> exposure benchmark exceedances with a decreased A/C prevalence. Only a few additional persons (about 100 out of a simulated population of 200,000) experienced exposures above exceedances when using the lower A/C prevalence. Based on the above discussion, staff judges the magnitude of influence to estimated exposures as low, particularly given that indoor exposures to concentrations above the benchmark levels rarely occurs.

#### ***8.11.2.2.10 Indoor Removal Rate***

There may be uncertainty in the exposure results when considering the estimated parameters, the form (i.e., lognormal) and limits (limited by the bounds of the measurement data) of the distribution used to represent indoor decay. The data used to develop the distribution were obtained from a review of several studies that analyzed SO<sub>2</sub> removal for a variety of building material surfaces (Grontoft and Raychaudhuri, 2004). Potential influential factors such as humidity and air exchange rate were accounted for in developing and applying the removal distributions within the indoor microenvironments. In addition, the distributions were based on a

large empirical database and likely well represent expected SO<sub>2</sub> removal within indoor microenvironments.

However, several assumptions were made to characterize the materials used within a simulated indoor microenvironment, some of which were data-based, others in the absence of supporting data, were based solely on professional judgment (see Appendix B.4.1). Staff performed a Monte Carlo simulation using the removal data and 1,000 simulated interior rooms of buildings to generate a distribution of SO<sub>2</sub> removal rates, weighted by the approximated room configurations and proportion of materials present. There are many assumptions staff made that could be modified with newly available data, particularly where inputs were based on professional judgment. It is largely unknown what the direction of influence is in the absence of new or refined input data. While some of the assumptions used may be largely uncertain, the magnitude of the influence is judged by staff as low given the relative contribution of the indoor microenvironments to exposure concentrations above the potential health effect benchmark levels.

#### ***8.11.2.2.11 Occurrence of Multiple Exceedances within an Hour***

The statistical model described in section 7.2 was used within APEX to estimate a single 5-minute maximum SO<sub>2</sub> concentration for every hour. However, multiple short-term peak concentrations above selected levels are possible within any hour. Analysis of the 5-minute continuous monitoring data indicates that multiple occurrences of 5-minute concentrations above the 100, 200, 300, and 400 ppb within the same hour can be common. Using the continuous monitoring data obtained from years 1997-2007, multiple peak concentrations (i.e., 2 or more) at or above 400 ppb within the same hour occurred with a 61% frequency (Table 8-31). The frequency of multiple exceedances was similar for the lower 5-minute SO<sub>2</sub> concentration levels, where 63, 56, and 53% of the time there were two or more exceedances within the same hour at the 100, 200, and 300 ppb benchmark levels, respectively. These results may suggest that a single peak approach (i.e., 24 peak concentrations per day) for estimating the number of persons and days with 5-minute SO<sub>2</sub> exposures as a surrogate for all possible peak exposure events may lead to an under-estimate in the number of potential exposures.



**Table 8-31. Number of multiple exceedances of potential health effect benchmark levels within an hour.**

Number of Exceedances of 5-minute SO <sub>2</sub> in 1-hour <sup>1</sup>	Number of Hours with Multiple 5-minute SO <sub>2</sub>			
	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
1	1248	267	76	26
2	658	122	31	20
3	411	78	21	7
4	257	35	10	5
5	242	28	6	4
6	153	25	4	1
7	125	14	5	1
8	89	11	2	1
9	64	6	3	1
10	49	6	1	1
11	50	3	0	0
12	73	5	1	0
<b>Total</b>	<b>3419</b>	<b>600</b>	<b>160</b>	<b>67</b>
<b>Notes:</b> <sup>1</sup> The analysis is based on the 16 monitors reporting all 5-minute SO <sub>2</sub> concentrations in an hour (n=3,328,725).				

In using the data in Table 8-31 alone, the magnitude of the under-estimation may be somewhat overstated however, particularly when considering the benchmark levels of 200, 300, and 400 ppb. A detailed analysis of the multiple exceedances by each monitor indicated that one of the monitors (ID 420070005) was highly influential in generating the values in Table 8-31, contributing greatly to the multiple peak occurrences at the higher benchmark levels. This Beaver Pa. urban-scale monitor is identified as population-based, within a rural setting, and having agricultural land use (Appendix A). Five out of eight of the sources located within 20 km of this monitor had SO<sub>2</sub> emissions <250 tpy, one smelter emitting about 7,000 tpy was within 2.5 km, and two power generating facilities located approximately 3.4 and 7.5 km from the monitor had SO<sub>2</sub> emissions of 3,000 and 30,000 tpy, respectively. Of the number of hours having multiple exceedances, monitor 420070005 contributed to 61, 73, and 80% of the hours with multiple peaks >200, >300, and >400 ppb, respectively. Following removal of this monitor from the full data set, the occurrence of multiple exceedances of each the 200, 300, and 400 ppb benchmark lowered to approximately 40% of all hours having co-occurring peaks.

This suggests there would be increased uncertainty in the exposure results if the continuous monitoring data were used to design an approach for estimating multiple exceedances within an hour. These continuous monitoring data were available only from 16 ambient

monitors, each having a limited number of monitoring years. The analyses above indicated that one of the monitors contributed to most of the hours with multiple peak concentrations. How this one monitor (as well as any other monitor having multiple exceedances) reflects what may occur at the APEX modeled receptors in St. Louis and Greene County (or other different locations) is unknown. There is no simple extrapolation possible using the continuous monitoring data because the time of the peak (and hence multiple peak) concentrations modeled are not known with respect to the simulated individuals' time spent outdoors.

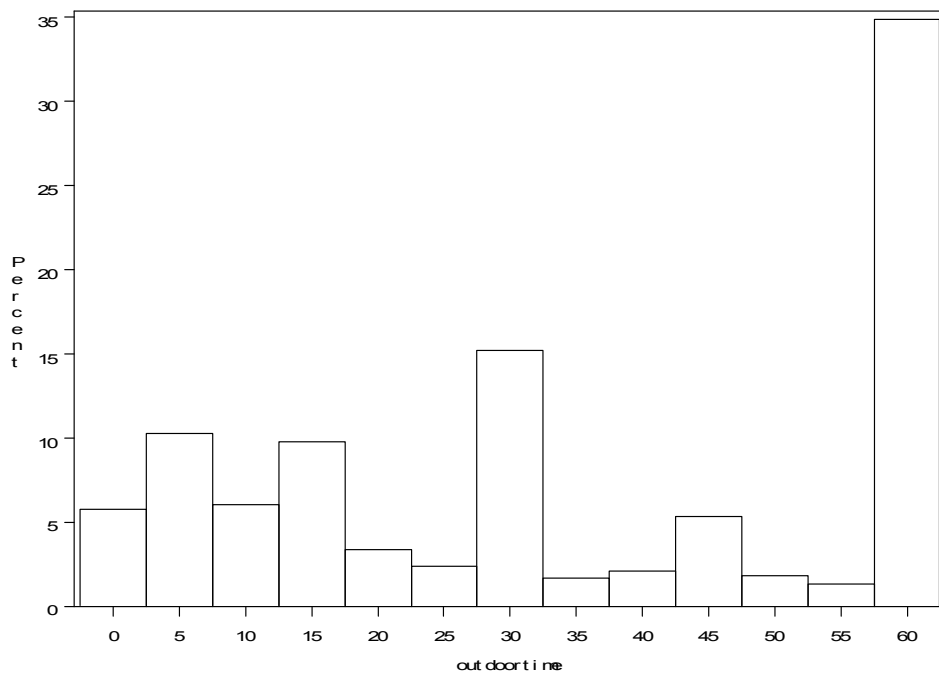
The PMR statistical model is based on both concentration and variability measures, implemented by APEX in estimating a single maximum 5-minute SO<sub>2</sub> concentration for every hour at every receptor. This is based on known concentration and variability relationships described in section 7.2. While APEX can model all twelve 5-minute concentrations, staff chose to normalize the eleven remaining 5-minute SO<sub>2</sub> concentrations within an hour to the 1-hour mean concentration. This decision was based on the already large size of the air quality files used (thousands of receptors across a year) that also required a time consuming post-processing step prior to input in APEX and ultimately, the run time associated with the exposure model simulations. Estimating the 5-minute maximum SO<sub>2</sub> concentrations and the other 11 concentrations within APEX was more efficient than pre-processing all twelve 5-minute SO<sub>2</sub> concentrations.

Having all eleven other 5-minute SO<sub>2</sub> concentrations normalized to the mean could result in under-estimating the number of persons exposed. The exposure simulation could *miss* a persons' exposure that might have occurred if in fact there are multiple peak concentrations within the same hour (a likely event given the continuous monitoring data, roughly between 40-60%). The CHAD time-location-activity diaries used in APEX are fixed, that is, the modeled time spent outdoors is based on the actual time of day and amount of time recorded by the surveyed individual. APEX models exposure on a minute-by-minute basis; if most persons spend time outdoors for a short time (e.g., 5-minutes), then it is possible that persons are not realistically encountering peak concentrations given the normalization of the eleven 5-minute SO<sub>2</sub> concentrations. Therefore, staff analyzed outdoor activities in the CHAD diaries used by APEX to determine the duration of time spent outdoors for each outdoor event.

Figure 8-27 illustrates the distribution of time spent outdoors, given activity outdoor events defined by clock-hour increments (already part of the CHAD design). Thirty-five percent

of all outdoor events are for the entire hour; if the event corresponds with the same hour as a simulated peak concentration, there would be no under-estimation in exposure occurring during these events. Therefore, occurrence of multiple peaks within an hour is potentially not an issue for 35% of all exposure events that occur outdoors. However, at each of the other outdoor events, there is a probability of under-estimating the exposure, given by the duration of the event divided by 60 minutes. For example, approximately 15% of outdoor events were 30 minutes. If these outdoor events occurred at the time where there was a second estimated peak concentration in the same hour, there is a 50% chance that the exposure is missed. The probability of missing a potential exposure increases with decreasing duration of the outdoor event and, given the data in Figure 8-27, this could be a frequent occurrence (i.e., about 65% of outdoor events may have some probability of missing an exposure). This analysis does not account for multiple outdoor events that may increase an individual's chance of an exposure above a benchmark level, regardless of the event duration. It also assumes the each of the outdoor events evaluated have an equal probability of occurring at the time of the peak concentration, which may or may not be the case. In addition, the outdoor time distribution is based on all of the CHAD diary days, potentially not the same distribution of diaries that were used in the APEX exposure simulations.

A better method to determine the potential number of missing exposures is to model the exposures using two input data sets: air quality with all continuous 5-minute measurements, and air quality having the measured 5-minute maximum and the eleven other 5-minute concentrations within the hour normalized to the 1-hour mean. Staff constructed a data set using measurements from the continuous-5 ambient monitoring. While there were two monitors reporting continuous 5-minute measurements in Greene County (monitor IDs 290770037 and 290770026), there were only two years with exceedances of the 200 ppb benchmark level, and no exceedances of the 300 or 400 ppb benchmarks. To explore the maximum effect of multiple peak concentrations within an hour, staff used two years of data from monitor ID 420070005, noted above as having the greatest number of air quality benchmark exceedances in a year (years 2002 and 2005 were selected).



**Figure 8-27. Duration of time spent outdoors (in minutes) using all CHAD events**

First, staff replaced missing concentrations (approximately 5% of each year) using the time-of-day monthly averaged SO<sub>2</sub> concentration. This data set served as the multiple peak air quality data set to be tested; all measured 5-minute concentrations were used *as is*. Next, staff constructed a similar data set, only this second data set had the maximum measured 5-minute concentration retained and all other eleven 5-minute concentrations within the hour were normalized using the 1-hour mean. This single peak data set reflects what was being modeled by APEX. Each of the data sets were used as the air quality input to an APEX simulation, controlling for all model sampling, the algorithms used, microenvironments modeled, and persons simulated. The only difference in the two runs was the air quality input. Fifty thousand persons were simulated using APEX, 13% of which were asthmatic children. Figure 8-28 illustrates the percent of asthmatic children exposed to selected 5-minute maximum concentrations for each of the two scenarios; a multiple peak scenario and a single maximum peak concentration, using two site-years of continuous monitoring data with the greatest number of benchmark exceedances. As expected, there are more asthmatic children exposed when considering the occurrence of multiple peaks in an hour. The difference in the percent of asthmatic children exposed at each of the benchmark levels is small, about 2-5 percentage points

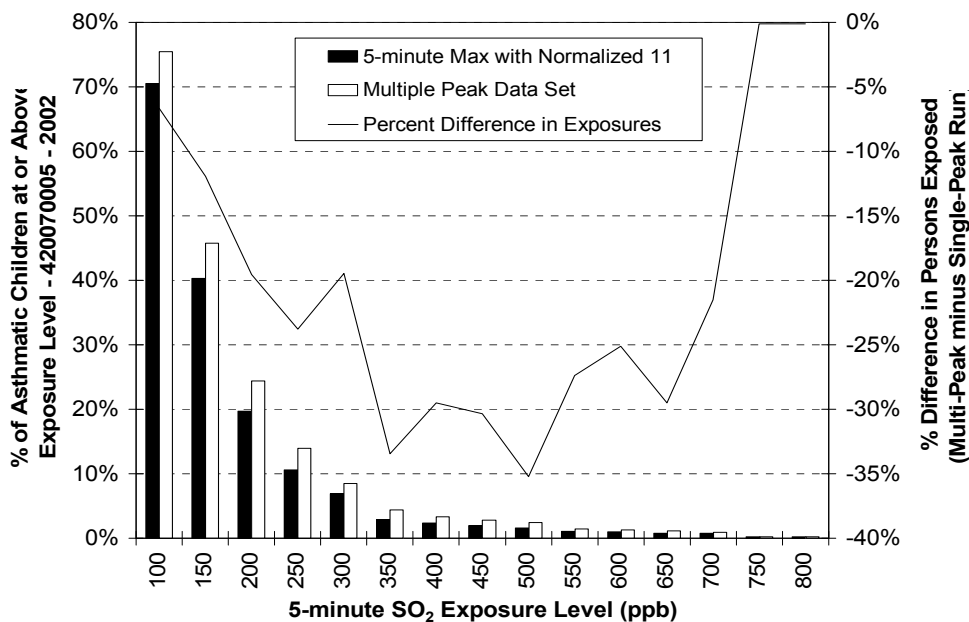
differ between the two simulations. However, considering the percent difference in the numbers of persons exposed at most of the benchmarks levels, the simulations using the single peak air quality method had between 20-35% fewer persons exposed than the multiple peak simulation. Similar results were generated in simulations using the site-year with the 2<sup>nd</sup> highest number of exceedances only the under-estimation using the single peak method was about 15-30% (Figure 8-29). Based on these analyses, at most the estimated number of persons exposed in St. Louis and Greene County may be under-estimated by 35% when using a single peak method. The actual amount of under-estimation is likely smaller given that these results were generated using site-years of monitoring data having the greatest numbers of exceedances and contributing significantly to the high frequency of multiple peak exceedances.

The location where exposures occur may also be influenced by the presence or absence of multiple peak concentrations. In particular, the modeled indoor 5-minute maximum concentrations may be markedly diluted if the indoor air exchange rate is low and all eleven other 5-minute values within the same hour are normalized to the 1-hour mean concentration. APEX estimates all microenvironmental concentrations using a mass balance method for 5-minute time-steps (equation 8-7) that accounts for estimated microenvironmental concentrations from the previous time-step (EPA, 2009b). While dilution of the indoor air is not an unusual circumstance considering the physical process modeled, it is possible that the number of exposure events from indoor sources is under-estimated when the prior time-step concentration is artificially reduced.

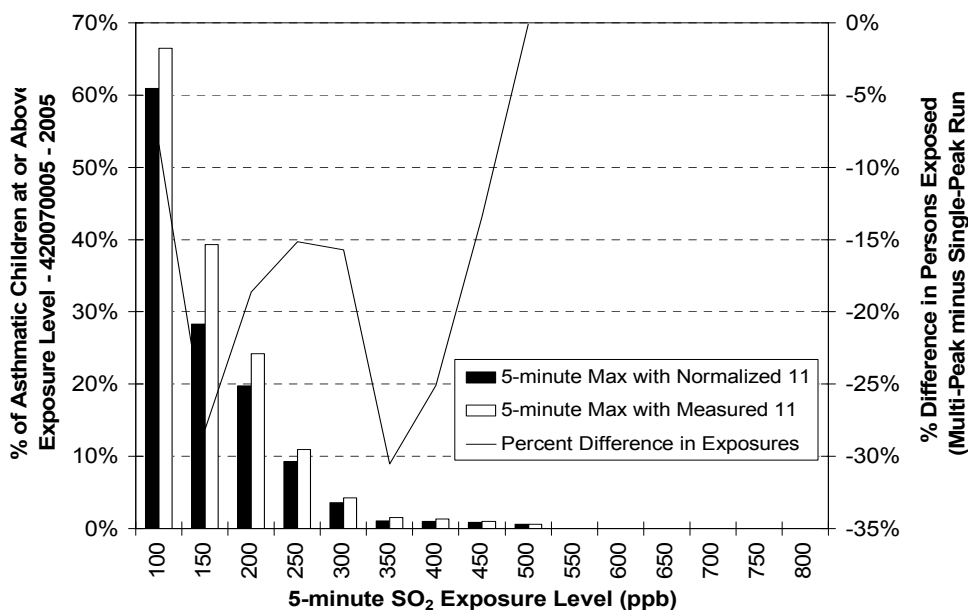
Staff evaluated the microenvironments where peak exposures occurred, by aggregating the time 5-minute exposures occurred into three broad microenvironmental groups: indoors, outdoors, and in-vehicles. A comparison of the APEX simulations using the two air quality input simulations (i.e., multiple peak versus single peak, monitor 420070005 – year 2002) and considering how often peak exposures occur indoors is presented in Figure 8-30. The differences in the percent of indoor exposure exceedances are consistent with the design of the model and the particular input data used. For exposures less than the 400 ppb level, a greater percent of the overall exposures occur indoors using the single peak method than compared with the multiple peak data set. For exposures at or above the 400 ppb level, a smaller percent of the overall exposures occur indoors using the single peak method than compared with the multiple peak data set. In fact, the multiple peak simulation had indoor peak exposures at levels not

observed using the single peak method. This is likely a function of the normalized concentrations, that when used in the mass balance equation as the prior time-step microenvironmental concentration, the microenvironmental concentration at time  $t$  is less than what would be expected.

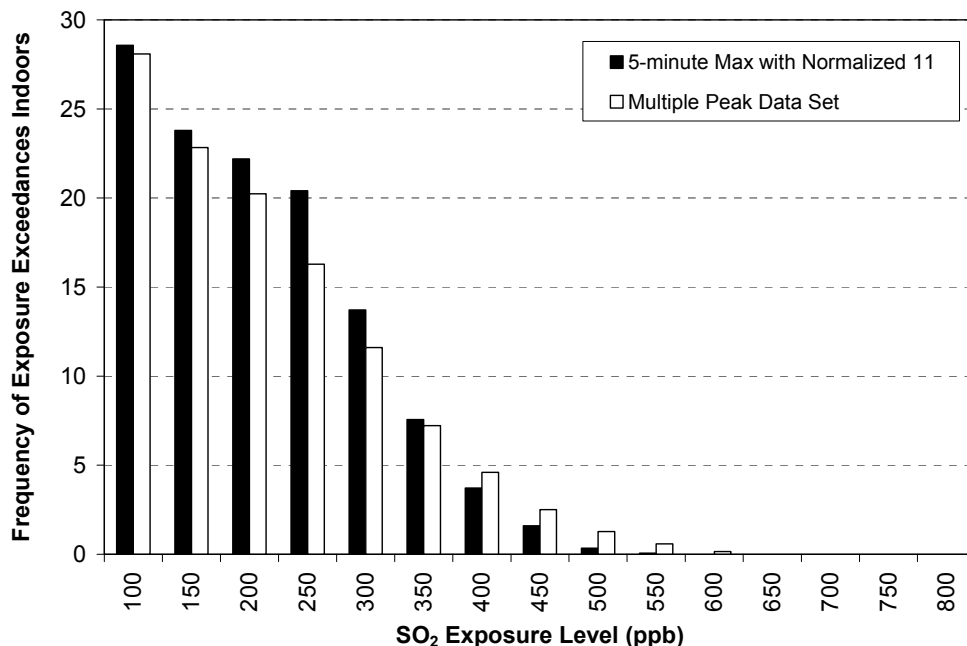
While this analysis and its findings are encouraging, context is needed to assign relevance to the current exposure analyses in St. Louis and Greene County. As stated earlier, the data set used had the greatest number of benchmark exceedances, designed by staff to observe the effect that multiple peaks within the hour has on estimated exposures. The observed differences in the contribution from the indoor microenvironment may be more appropriately applied in discussions regarding air quality scenarios with high concentrations distributions (e.g., air quality adjusted to just meeting the current standard, Figure 8-21). While the differences in the highest benchmark exceedances are likely of greatest interest when investigating the possibility of missing exposure events, it should be noted that the greatest proportion of all exposure events still occur outdoors (in this simulation, >70% of exposures above 400 ppb occurred outdoors). In addition, the differences observed at the lower benchmarks indicated the role of indoor exposures was fairly similar. At most the difference was four percentage points, with the multiple peak simulation having a consistently lower contribution of exceedances from indoor exposures. Therefore, based on the above discussion, staff judges the magnitude of the potential under-estimation as low to medium.



**Figure 8-28. Percent of asthmatic children above given exposure level for two APEX simulations: one using multiple peak concentrations in an hour, the other assuming a single peak concentration. Continuous 5-minute monitoring data (ID 42007005, year 2002) were used as the air quality input.**



**Figure 8-29. Percent of asthmatic children above given exposure level for two APEX simulations: one using multiple peak concentrations in an hour, the other assuming a single peak concentration. Continuous 5-minute monitoring data (ID 42007005, year 2005) were used as the air quality input.**



**Figure 8-30. Frequency of exposure exceedances indoors for two APEX simulations: one using multiple peak concentrations in an hour, the other assuming a single peak concentration. Continuous 5-minute monitoring data (ID 42007005, year 2002) were used as the air quality input.**

#### **8.11.2.2.12 Asthma Prevalence Rate**

The best estimate of asthma prevalence used in this analysis was generated using a comprehensive and widely used data set (CDC, 2007). Staff judged that variability in the asthma prevalence based on age was an important attribute to represent in simulating SO<sub>2</sub> exposures, one of the principal reasons for selection of the particular data set. There are however limitations in using the data that may add to uncertainty in the generated exposure results. The percent of asthmatics simulated by APEX using a combined regional (children by age) and local (adults all ages) prevalence was comparable with an independent estimate of the percent of asthmatics within the four counties modeled (9.3% versus 8.8% of the population, respectively). Therefore, the uncertainty in the overall total percent of asthmatics exposed is likely low, particularly in Greene County. In Greene County, 9.8% of the simulated population was asthmatic and compares well with the 10.2% asthma prevalence reported by MO DOH (2003). However, the asthma prevalence across the three-county domain in St. Louis was variable, with St. Louis City County having a high estimated prevalence rate (16.4%) and St Louis County having a much lower prevalence rate (5.8%). This variable distribution was not represented in the exposure



modeling simulation; all children and adults in each of the counties used the data summarized in Table 8-7. Therefore in St. Louis City County, the asthma prevalence may have been underestimated, while in St. Louis County the asthma prevalence may have been over-estimated. This may add to medium level of influence to the total number of asthmatics exposed in St. Louis (not the percent of asthmatics exposed), though the direction of influence is largely unknown because individual county level exposures are not output by the model.

## 8.12 KEY OBSERVATIONS

Presented below are key observations resulting from the exposure assessment:

- 5-minute exposures to SO<sub>2</sub> were estimated for two areas in Missouri (i.e., Greene County and St. Louis), with both locations having significant SO<sub>2</sub> emission sources. Air quality scenarios investigated by staff included *as is* air quality, air quality adjusted to simulate just meeting the current annual and 24-hour SO<sub>2</sub> standards, and just meeting several alternative 1-hour daily maximum SO<sub>2</sub> standards.
- A number of factors would be expected to contribute to differences in SO<sub>2</sub> exposures across different locations. These include differences such as population density, SO<sub>2</sub> emission density, location and types of SO<sub>2</sub> sources, prevalence of air conditioning, time spent outdoors, and asthma prevalence (section 8.10). As discussed in section 8.10, St. Louis County has a medium-to-high SO<sub>2</sub> emissions density and a medium-to-high population density relative to other urban areas. Relative to the St. Louis study area, Greene County is a more rural county having much lower population density and much lower SO<sub>2</sub> emissions density. Taken together, the estimated exposures for these two locations provide useful insights about urban and rural counties with SO<sub>2</sub> emission sources.
- St. Louis had both a greater number and percent of asthmatic children and adults exposed above the benchmark levels than did Greene County for all air quality scenarios. This is not unexpected given the greater population density and the much greater SO<sub>2</sub> emissions density in St. Louis. Staff believes that the St. Louis exposure estimates provide a useful perspective on the likely overall magnitude and pattern of exposures associated with various SO<sub>2</sub> air quality scenarios in urban areas within the U.S. that have similar population densities, SO<sub>2</sub> emissions densities, and asthma prevalence. Similarly, staff believes that the results for Greene County provide perspective on exposures in more rural areas within the U.S. that have similar emission and population attributes to Greene County.
- Modeled concentrations are reasonable given comparisons to available measurement data
  - AERMOD 1-hour SO<sub>2</sub> concentrations at ambient monitoring receptors and their associated prediction envelopes generally replicate and encompass those measured at the ambient monitor. Model-to-monitor agreement was better in St. Louis than in Greene County.

- The degree of under- or over-estimation of 1-hour SO<sub>2</sub> concentrations by AERMOD at ambient monitoring locations in Greene County did not appreciably affect the estimated number of days per year with 5-minute concentrations above benchmark levels.
- APEX-modeled annual mean SO<sub>2</sub> exposures in St. Louis and Green County (arithmetic means, 0.5-1.4 ppb) are comparable to daily and weekly personal exposure measurements in other locations (arithmetic means, 0.3-1.9 ppb).
- Estimated exposures above 5-minute potential health effect benchmark levels at moderate or greater exertion using APEX occurred most frequently outdoors (around 50 to >90%, depending on the air quality scenario and modeling domain).
- Simulating air quality that just meets the current annual standard resulted in the greatest number and percent of asthmatic persons exposed at all benchmark levels. The value depended on both the benchmark level and modeling domain. For example, the percent of asthmatic children exposed at least one day above a benchmark concentration ranged from 0% (400 ppb benchmark) to 8% (100 ppb benchmark) in Greene County, while in St. Louis the corresponding range was 24% to 97 %.
- The exposure results using *as is* air quality were similar to that estimated using air quality adjusted to a 99<sup>th</sup> percentile 1-hour daily maximum of 50 or 100 ppb in Greene County, though in each of these scenarios, there were only a few persons exposed. In St. Louis, the estimated exposure associated with *as is* air quality was also between that estimated by simulating the 50 and 100 ppb 99<sup>th</sup> percentile 1-hour daily maximum air quality scenario.
- Staff compared exposure results using the 50 ppb 99<sup>th</sup> percentile air quality scenario relative to *as is* air quality in St. Louis to estimate the reduction in the number and percent of asthmatic children exposed above each 5-minute health effect benchmark level. No asthmatic children were exposed above the 400 ppb 5-minute benchmark for either the *as is* or 50 ppb 99<sup>th</sup> percentile alternative standard scenario. There were 121 fewer asthmatic children exposed above the 200 ppb 5-minute benchmark, corresponding to a 76% reduction in exposures, when considering the 50 ppb standard level. Similarly, reductions also were observed at the 100 ppb 5-minute benchmark when considering the 50 ppb standard compared with *as is* air quality: 1,641 (59%) fewer asthmatic children were exposed. (Appendix B.4).
- In both St. Louis and Greene County, there were no reductions in the numbers or percent of persons exposed at any of the 5-minute benchmark levels when comparing exposure results using the 100 ppb 99<sup>th</sup> percentile air quality standard scenario relative to *as is* air quality.
- Using a 99<sup>th</sup> versus a 98<sup>th</sup> percentile form at the same standard level (i.e., 200 ppb) resulted in fewer persons being exposed above benchmark levels when using the 99<sup>th</sup> percentile. Approximately 1,000 to 5,000 fewer asthmatic children, 1,000 to 90,000 fewer person days, and 2 to 12 fewer percent of persons were exposed above benchmark levels in St. Louis.
- Of the fifteen uncertainties qualitatively judged to influence the estimated number of persons with at least one exposure above the 5-minute SO<sub>2</sub> benchmark levels, one may be associated with over-estimation, three could result in under-estimations, while the

remaining uncertainties could affect exposure results in both (nine sources) or unknown direction (two sources) (see Table 8-27). Nine of these eleven sources with bidirectional influence were rated by staff as being low-medium magnitude of influence. The magnitude of influence for three of the four uncertainties associated with either over- or under-estimation was estimated as being low to medium influence, while the remaining source (i.e., A/C prevalence) was ranked as being low or a negligible magnitude of influence. Two of these four sources of uncertainty (i.e., A/C prevalence and indoor AERs) were parameters used to estimate indoor exposures, which staff believes do not contribute significantly to exposures above benchmark levels. The remaining two sources (i.e., uncertainty in the activity pattern database used and the occurrence of multiple exceedances within an hour) could have an offsetting influence in estimating the number of persons exposed. This is because both of these sources were rated by staff as being low to medium in magnitude, though in opposing direction. Based on this overall characterization related to the direction and magnitude of influence for identified sources of uncertainty, we are unable to characterize the likelihood of the estimates being either over- or under-estimated with respect to the number of persons exposed above benchmark levels.

- The knowledge-base uncertainty for sources with unknown or bidirectional influence ranged from low (five sources) to medium (four sources). Note that most of these sources were rated above as being of low-medium magnitude of influence. A high degree of uncertainty in the knowledge-base was assigned to two sources: the area source emission profile (direction of influence characterized as both, with low-medium rated magnitude) and the accuracy of 5-minute exposures estimated by APEX (direction of influence characterized as both, with low-medium rated magnitude). The knowledge-base uncertainty was medium for three of the four sources identified above that were associated with either under- or over-estimating 5-minute exposures (the remaining source was rated as low). Based on this overall characterization, there is a low-medium level of uncertainty in the knowledge-base for most sources. While two sources were rated as having high knowledge-base uncertainty, they were noted as having similar magnitude of influence on the estimated 1-hour or 5-minute concentrations.

## **9. HEALTH RISK ASSESSMENT FOR LUNG FUNCTION RESPONSES IN ASTHMATICS ASSOCIATED WITH 5-MINUTE PEAK EXPOSURES**

### **9.1 INTRODUCTION**

In the previous review, it was clearly established that subjects with asthma are more sensitive to the respiratory effects of SO<sub>2</sub> exposure than healthy individuals (ISA, section 3.1.3.2). As discussed above in section 4.2, asthmatics exposed to SO<sub>2</sub> concentrations as low as 200-300 ppb for 5-10 minutes during exercise have been shown to experience moderate or greater bronchoconstriction, measured as an increase in sRaw ( $\geq 100\%$ ) or decrease in FEV<sub>1</sub> ( $\geq 15\%$ ) after correction for exercise-induced responses in clean air. These studies exposed asthmatic volunteers to SO<sub>2</sub> in the absence of other pollutants that often confound associations in the epidemiological literature. Therefore, these controlled human exposure studies provide direct evidence of a causal relationship between exposure to SO<sub>2</sub> and respiratory health effects. Staff judges the controlled human exposure evidence presented in the ISA with respect to lung function effects in exercising asthmatic subjects as providing an appropriate basis for conducting a quantitative risk assessment for this health endpoint and exposure scenario.

As described in Chapters 5 and 6, staff is utilizing both the epidemiological evidence in the ISA, and an air quality analysis based on U.S. and Canadian ED visit and hospitalization studies for all respiratory causes and asthma to qualitatively inform: (1) the selection of potential 1-hour daily maximum alternative standards to be analyzed in the air quality, exposure, and risk chapters of this document (see Chapter 5), and (2) the adequacy of the current, and potential alternative standards (Chapter 10). However, for the reasons discussed in more detail in section 6.1, staff did not find the overall breadth of the epidemiological evidence to be robust enough to support a quantitative assessment of risk.

A brief description of the approach used to conduct this health risk assessment is presented below. More detailed discussion of the approach can be found in the risk assessment technical support document, prepared by Abt Associates, which is included as Appendix C to this document. The goals of this SO<sub>2</sub> risk assessment are: (1) to develop health risk estimates of the number and percent of the asthmatic population that would experience moderate or greater lung function decrements in response to 5-minute daily maximum peak exposures while engaged in moderate or greater exertion for several air quality scenarios (described below); (2) to develop

a better understanding of the influence of various inputs and assumptions on these risk estimates; and (3) to gain insights into the risk levels and patterns of risk reductions associated with meeting several alternative 1-hour daily maximum SO<sub>2</sub> standards. Health risks for lung function effects in exercising asthmatics have been estimated for the following three scenarios: (1) "*as is*" ambient levels of SO<sub>2</sub>, (2) air quality adjusted to simulate just meeting the current 24-hour standard, and (3) air quality adjusted to simulate just meeting several alternative 1-hour standards.

As discussed in Chapter 8, the geographic scope of the assessment includes selected locations encompassing a variety of SO<sub>2</sub> emission source types in two areas within the state of Missouri (i.e., Greene County and St. Louis). These areas were identified based on the results of a preliminary screening of the 5-minute ambient SO<sub>2</sub> monitoring data that were available. The state of Missouri was one of only a few states having both 5-minute maximum and continuous 5-minute SO<sub>2</sub> ambient monitoring, as well as having over 30 1-hour SO<sub>2</sub> monitors in operation at some time during the period from 1997 to 2007. In addition, the air quality characterization, described in Chapter 7, estimated frequent exceedances above the potential health effect benchmark levels at several of the 1-hour ambient monitors in Missouri. In a ranking of estimated SO<sub>2</sub> emissions reported in the National Emissions Inventory (NEI), Missouri ranked 7th for the number of stacks with > 1000 tpy SO<sub>x</sub> emissions out of all U.S. states. These stack emissions were associated with a variety of source types such as electrical power generating units, chemical manufacturing, cement processing, and smelters. For all these reasons, the current SO<sub>2</sub> lung function risk assessment focuses on Missouri and, within Missouri, on those areas within 20 km of a major point source of SO<sub>2</sub> emissions in Greene County and the St. Louis area.

## **9.2 DEVELOPMENT OF APPROACH FOR 5-MINUTE LUNG FUNCTION RISK ASSESSMENT**

The lung function risk assessment is based on the health effects information evaluated in the ISA and discussed above in Chapter 4. The basic structure of the risk assessment reflects the fact that we have available controlled human exposure study data from several studies involving volunteer asthmatic subjects who were exposed to SO<sub>2</sub> concentrations at specified exposure levels while engaged in moderate or greater exertion for 5- or 10-minute exposures. As discussed in the ISA (section 3.1.3.5), among asthmatics, both the magnitude of SO<sub>2</sub>-induced

lung function decrements observed in responding individuals and the percent of individuals affected in the group exposed have been shown to increase with increasing 5- to 10-minute SO<sub>2</sub> exposure levels in the range of 200 to 1,000 ppb. Therefore, for the SO<sub>2</sub> lung function risk assessment we have developed probabilistic *exposure-response* relationships based on these data. The analysis was based on the combined data set consisting of all available individual data that describe the relationship between a measure of personal exposure to SO<sub>2</sub> and measures of lung function recorded in these studies. For the purposes of this risk assessment, all of the individual data, including both 5- and 10-minute exposure duration, were combined and treated as representing 5-minute responses. These probabilistic exposure-response relationships were then combined with 5-minute daily maximum peak exposure estimates for mild and moderate asthmatics engaged in moderate or greater exertion associated with the various air quality scenarios mentioned above. A more detailed description of the exposure assessment that was the source of the estimated daily maximum 5-minute peak exposures under moderate or greater exertion is provided above in Chapter 8.

### **9.2.1 General Approach**

The major components of the lung function health risk assessment are illustrated in Figure 9-1. As shown in Figure 9-1, under the lung function risk assessment, exposure estimates for mild and moderate asthmatics for a number of different air quality scenarios (i.e., “*as is*” air quality (representing 2002), just meeting the current 24-hour standard, just meeting alternative standards) are combined with probabilistic exposure-response relationships derived using a combined data base consisting of data from several controlled human exposure studies to develop risk estimates. The air quality and exposure analysis components that are integral to this risk assessment are discussed in greater detail in Chapters 7 and 8 of this document and in the Exposure Assessment TSD (included as Appendix B to this document). Only the air quality and exposure aspects affecting the scope of the lung function risk assessment are briefly discussed in section 9.2.2. A description of the overall approach to estimating the exposure-response relationship is included in section 9.2.3 below.

Two types of risk measures were generated for the lung function risk assessment. The first type included estimates of the number and percentage of all asthmatics (or asthmatic children) experiencing one or more occurrences of a defined lung function response associated with 5-minute exposures to SO<sub>2</sub> while engaged in moderate or greater exertion under a given air

quality scenario. The second type of risk measure generated for each defined lung function response is the number of occurrences of the lung function response in asthmatics (or asthmatic children) in a year associated with 5-minute exposures at moderate or greater exertion under a given air quality scenario. Since asthmatic school age children are a subset of all asthmatics, the risk estimates presented for these two groups should not be combined.

To obtain risk estimates associated with SO<sub>2</sub> concentrations under different scenarios, we estimated expected risk given the personal exposures associated with SO<sub>2</sub> concentrations under each scenario – i.e., associated with

- “as is” ambient SO<sub>2</sub> concentrations representing 2002 air quality,
- SO<sub>2</sub> air quality levels simulating just meeting the current 24-hour and annual standards, and
- SO<sub>2</sub> air quality levels simulating just meeting specified alternative 1-hour standards.

Note that, in contrast to the headcount risk estimates calculated for the O<sub>3</sub> health risk assessment, the headcount risk estimates calculated for the SO<sub>2</sub> health risk assessment reflect risks associated with all ambient SO<sub>2</sub> concentrations, not just risks in excess of estimated policy-relevant background ambient SO<sub>2</sub> concentrations. This is because policy-relevant background SO<sub>2</sub> concentrations are estimated to be at most 30 parts per trillion and they contribute less than 1% to present day SO<sub>2</sub> ambient concentrations (ISA, section 2.4.6) and thus would have little impact on the risk estimates.

The first measure of risk (i.e., the number or percent of individuals in the designated population to experience at least one lung function response in a year) is calculated as follows:

- 1) From the exposure modeling described in Chapter 8, we obtain the number of individuals exposed at least once to x ppb SO<sub>2</sub> or higher, for x = 0, 50, 100, ... to 800;
- 2) We then calculate the number of individuals exposed at least once to SO<sub>2</sub> concentrations within each SO<sub>2</sub> exposure bin defined above (item 2 in the illustrative example in Table 9-1 below);
- 3) We then multiply the number of individuals in each exposure bin (item 2 in Table 9-1 below) by the response probability (item 3 in Table 9-1 below) corresponding to the midpoint of the exposure bin (item 1 in Table 9-1 below); and
- 4) We sum the results across all of the bins.

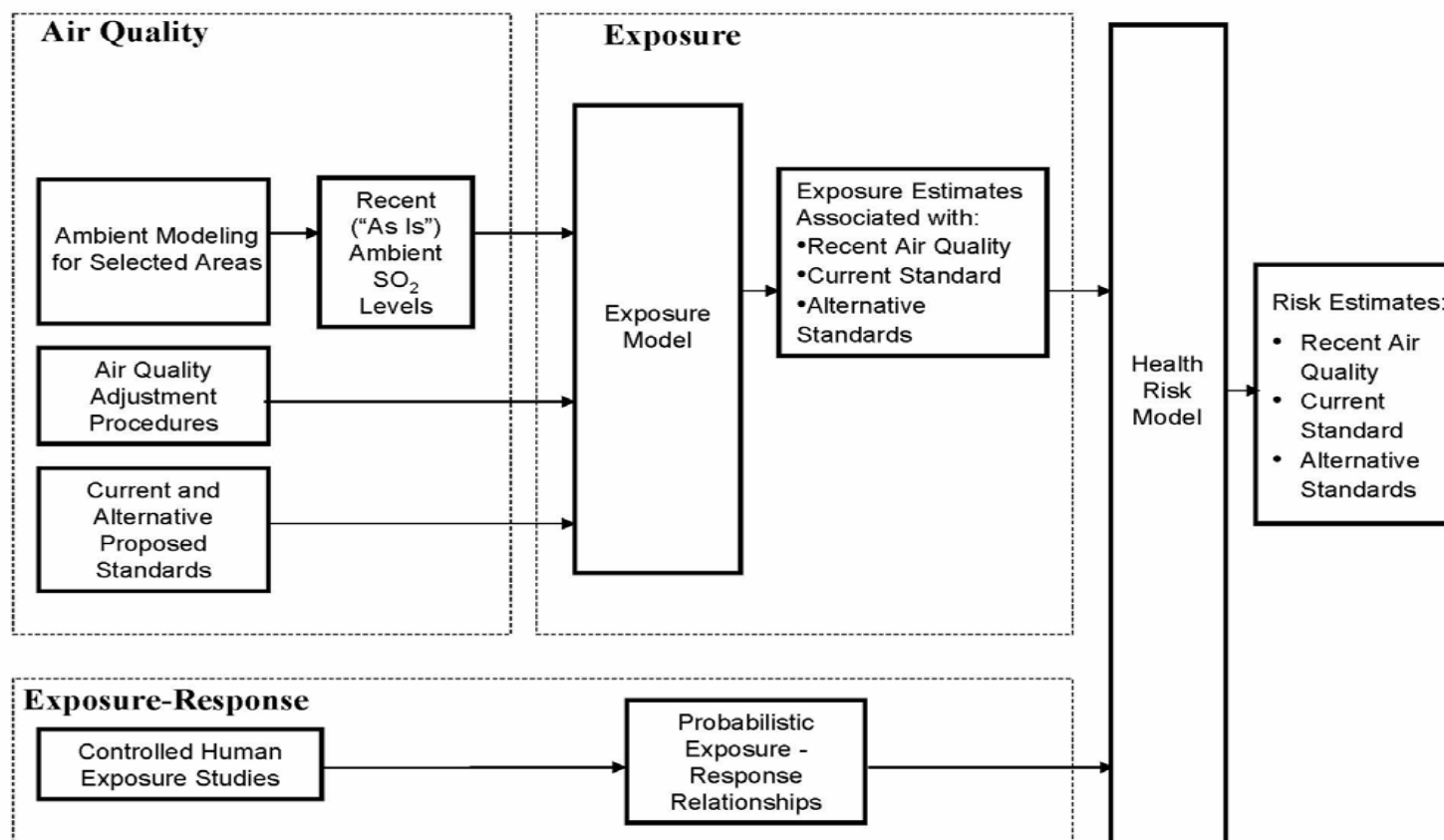


Figure 9-1. Major components of 5-minute peak lung function health risk assessment based on controlled human exposure studies.



Because response probabilities are calculated for each of several percentiles of a probabilistic exposure-response distribution, estimated numbers of individuals with at least one SO<sub>2</sub>-related lung function response are similarly percentile-specific. For example, the k<sup>th</sup> percentile number of individuals, Y<sub>k</sub> associated with SO<sub>2</sub> concentrations under a given air quality scenario is:

$$Y_k = \sum_{j=1}^n NI_j \times (R_k | e_j) \quad (\text{equation 9-1})$$

where:

$e_j$  = (the midpoint of) the j<sup>th</sup> category of personal exposure to SO<sub>2</sub>, given “as is” ambient SO<sub>2</sub> concentrations;

$NI_j$  = the number of individuals whose highest exposure is to  $e_j$  ppb SO<sub>2</sub>, given ambient SO<sub>2</sub> concentrations under the specified air quality scenario;

$RR_k | e_j$  = the k<sup>th</sup> percentile response rate at SO<sub>2</sub> concentration  $e_j$ ; and

$n$  = the number of intervals (categories) of SO<sub>2</sub> personal exposure concentration.

The k<sup>th</sup> percentile estimate of the total number responding is then calculated by multiplying the k<sup>th</sup> percentile risk by the number of people in the relevant population. An example is given in Table 9-1, for the median (i.e., 50<sup>th</sup> percentile) risk estimate using personal exposures associated with a 99<sup>th</sup> percentile 100 ppb 1-hour daily maximum SO<sub>2</sub> standard for asthmatics in the St. Louis modeling domain. We note that this calculation assumes that individuals who do not respond at the highest SO<sub>2</sub> concentration to which they are exposed will not respond to any lower SO<sub>2</sub> concentrations to which they are exposed.

The second type of risk measure, the number of occurrences of a defined lung function response in the designated population (i.e., asthmatics or asthmatic children) in a year associated with SO<sub>2</sub> concentrations under a given air quality scenario is calculated as follows:

- 1) From the exposure modeling described in Chapter 8, we obtain the number of exposure occurrences among the population at and above each benchmark level (i.e., 0 ppb, 50 ppb, 100 ppb, ... 800 ppb);
- 2) We then calculate the number of exposure occurrences within each 50 ppb exposure "bin" (e.g., < 50 ppb, 50-100 ppb, etc.)<sup>89</sup>(item 2 in the illustrative example in Table 9-2 below);

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<sup>89</sup> The final exposure bin was from 750 to 800 ppb SO<sub>2</sub>. In at least one of the alternative standard scenarios, there were a few individuals whose exposure was greater than 800 ppb. For anyone whose exposure exceeded 800 ppb,

**Table 9-1. Example calculation of the number of asthmatics in st. louis engaged in moderate or greater exertion estimated to experience at least one lung function response (defined as an increase in sRaw  $\geq$  100%) associated with exposure to SO<sub>2</sub> concentrations just meeting a 99<sup>th</sup> percentile, 1-hour 100 ppb standard.**

SO <sub>2</sub> Exposure Bin (ppb)			Number of Asthmatics with At Least One Exposure in Bin	Probability of Response at Midpoint SO <sub>2</sub> Level	Estimated Number of Asthmatics Experiencing at Least One Lung Function Response =(2) x (3)
Lower Bound	Upper Bound	Midpoint  (1)			
0	50	25	53711	0.00406	218
50	100	75	34236	0.02334	799
100	150	125	9835	0.05162	508
150	200	175	3059	0.08563	262
200	250	225	929	0.12300	114
250	300	275	368	0.16220	60
300	350	325	145	0.20210	29
350	400	375	84	0.24190	20
400	450	425	31	0.28060	9
450	500	475	22	0.31830	7
500	550	525	8	0.35430	3
550	600	575	0	0.38850	0
600	650	625	0	0.42090	0
650	700	675	8	0.45150	4
700	750	725	0	0.46600	0
750	800	775	0	0.49380	0
Total :			102436	Total:	2032

3) We then multiply the number of occurrences in each exposure bin (item 2 in Table 9-2 below) by the response probability (item 3 in Table 9-2 below) corresponding to the midpoint (item 1 in Table 9-2 below) of the exposure bin; and

4) We sum the results across all of the bins.

Similar to the first type of risk measure discussed above, because response probabilities are calculated for each of several percentiles of a probabilistic exposure-response distribution, estimated numbers of occurrences are similarly percentile-specific. The k<sup>th</sup> percentile number of occurrences,  $O_k$ , associated with SO<sub>2</sub> concentrations under a given air quality scenario is:

$$O_k = \sum_{j=1}^n N_j \times (R_k | e_j) \quad (\text{equation 9-2})$$

where:

$e_j$  = (the midpoint of) the j<sup>th</sup> category of personal exposure to SO<sub>2</sub>;

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we assumed a final bin from 800 to 850 ppb, and assigned them the midpoint value of that bin, 825 ppb. This will result in a slight downward bias in the estimate of risk.

$N_j$  = the number of exposures to  $e_j$  ppb SO<sub>2</sub>, given ambient SO<sub>2</sub> concentrations under the specified air quality scenario;

$R_k | e_j$  = the  $k^{\text{th}}$  percentile response probability at SO<sub>2</sub> concentration  $e_j$ ; and

$n$  = the number of intervals (categories) of SO<sub>2</sub> personal exposure concentration.

An example calculation is given in Table 9-2.

**Table 9-2. Example calculation of number of occurrences of lung function response (defined as an increase in sRaw  $\geq$  100%), among asthmatics in St. Louis engaged in moderate or greater exertion associated with exposure to SO<sub>2</sub> concentrations that just meet a 99<sup>th</sup> percentile 1-hour, 100 ppb standard.**

SO <sub>2</sub> Exposure Bin (ppb)			Number of Exposures	Probability of Response at Midpoint SO <sub>2</sub> Level	Expected Number of Occurrences of Lung Function Response =(2) x (3)
Lower Bound	Upper Bound	Midpoint (1)			
0	50	25	16519000	0.00406	67067
50	100	75	136621	0.02334	3189
100	150	125	15760	0.05162	814
150	200	175	3826	0.08563	328
200	250	225	1051	0.12300	129
250	300	275	413	0.16220	67
300	350	325	175	0.20210	35
350	400	375	83	0.24190	20
400	450	425	31	0.28060	9
450	500	475	24	0.31830	8
500	550	525	8	0.35430	3
550	600	575	0	0.38850	0
600	650	625	0	0.42090	0
650	700	675	8	0.45150	4
700	750	725	0	0.46600	0
750	800	775	0	0.49380	0
Total Number of Exposures:			16677000	Expected Number of Occurrences:	71672

### 9.2.2 Exposure Estimates

As noted above, exposure estimates used in the lung function risk assessment were obtained from running the APEX exposure model for the population of individuals with asthma for selected locations encompassing a variety of SO<sub>2</sub> emission source types within two areas in the state of Missouri (i.e., St. Louis and Greene County). Chapter 8 provides additional details about the inputs and methodology used to estimate 5-minute daily maximum peak SO<sub>2</sub> exposures while engaged in moderate or greater exertion for the asthmatic population in these two areas.

These 5-minute exposure estimates for asthmatic children and adult asthmatics have been combined separately with probabilistic exposure-response relationships for lung function response associated with 5-minute SO<sub>2</sub> exposures. Only the highest 5-minute peak exposure (with moderate or greater exertion) on each day has been considered in the lung function risk assessment, since the controlled human exposure studies have shown an acute-phase response that was followed by a short period where the individual was relatively insensitive to additional SO<sub>2</sub> challenges. Staff recognizes that consideration of only the highest 5-minute exposure (with moderate or greater exertion) on each day likely leads to some underestimation of health risks since we are not including the health impact of other 5-minute exposures (with moderate or greater exertion) occurring on the same day.

As described in section 8.8.1, instead of adjusting upward<sup>90</sup> the air quality concentrations to simulate just meeting the current SO<sub>2</sub> standards and potential alternative 1-hr daily maximum standards, to reduce computer processing time, the exposure assessment simulated exposures associated with just meeting various standards by adjusting the health effect benchmark levels by the same factors described for each specific modeling domain and simulated year (see Table 8-11). Since it is a proportional adjustment, the end effect of adjusting concentrations upwards versus adjusting benchmark levels downward within the model is the same. The same follows for where as is concentrations were in excess of an alternative standard level (e.g., 50 ppb for the 99<sup>th</sup> percentile averaged over three years), only the associated benchmarks are adjusted upwards (i.e., a higher threshold concentration that would simulate lower exposures).

### **9.2.3 Exposure-Response Functions**

Similar to the approach used in the ozone lung function risk assessment (Abt Associates, 2007), we have used a Bayesian Markov Chain Monte Carlo approach to estimate probabilistic exposure-response relationships for lung function decrements associated with 5-minute daily maximum peak exposures while engaged in moderate or greater exertion using the WinBUGS software (Spiegelhalter et al., 1996).<sup>91</sup> The combined data set includes all available individual data from controlled human exposure studies of mild-to-moderate asthmatic individuals exposed for 5- or 10-minutes while engaged in moderate or greater exertion that was summarized in the

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<sup>90</sup> To evaluate the current and most of the alternative 1-hr standards analyzed, “as is” ambient concentrations were lower than air quality that would just meet the standards.

<sup>91</sup> See Gleman et al. (1995) or Gilks et al. (1996) for an explanation of these methods.

final ISA. As noted above, for the purposes of this risk assessment, all of the individual response data, including both 5- and 10-minute exposure durations, have been combined and treated as representing 5-minute responses. Table 9-3 summarizes the available controlled human exposure data that have been used to develop the probabilistic exposure-response relationships for the lung function risk assessment.

**Table 9-3. Percentage of asthmatic individuals in controlled human exposure studies experiencing SO<sub>2</sub>-induced decrements in lung function.**

SO <sub>2</sub> Level (ppb)	Exposure Duration	No. of Subjects	Ventilation (L/min)	Lung Funct.	Cumulative Percentage of Responders (Number of Subjects) <sup>1</sup>			Reference	Respiratory Symptoms: Supporting Studies
					sRaw				
					≥ 100% ↑	≥ 200% ↑	≥ 300% ↑		
					FEV <sub>1</sub>				
					≥ 15% ↓	≥ 20% ↓	≥ 30% ↓		
200	10 min	40	~40	sRaw	5% (2)	0	0	Linn et al. (1987) <sup>2</sup>	Limited evidence of SO <sub>2</sub> -induced increases in respiratory symptoms in some asthmatics: Linn et al. (1983; 1984; 1987; 1988; 1990), Schacter et al. (1984)
	10 min	40	~40	FEV <sub>1</sub>	13% (5)	5% (2)	3% (1)	Linn et al. (1987)	
250	5 min	19	~50-60	sRaw	32% (6)	16% (3)	0	Bethel et al. (1985)	
	5 min	9	~80-90	sRaw	22% (2)	0	0		
	10 min	28	~40	sRaw	4% (1)	0	0	Roger et al. (1985)	
300	10 min	20	~50	sRaw	10% (2)	5% (1)	5% (1)	Linn et al. (1988) <sup>3</sup>	
	10 min	21	~50	sRaw	33% (7)	10% (2)	0	Linn et al. (1990) <sup>3</sup>	
	10 min	20	~50	FEV <sub>1</sub>	15% (3)	0	0	Linn et al. (1988)	
	10 min	21	~50	FEV <sub>1</sub>	24% (5)	14% (3)	10% (2)	Linn et al. (1990)	
400	10 min	40	~40	sRaw	23% (9)	8% (3)	3% (1)	Linn et al. (1987)	Stronger evidence with some statistically significant increases in respiratory symptoms: Balmes et al. (1987) <sup>4</sup> , Gong et al. (1995), Linn et al. (1983; 1987), Roger et al. (1985)
	10 min	40	~40	FEV <sub>1</sub>	30% (12)	23% (9)	13% (5)	Linn et al. (1987)	
500	5 min	10	~50-60	sRaw	60% (6)	40% (4)	20% (2)	Bethel et al. (1983)	
	10 min	28	~40	sRaw	18% (5)	4% (1)	4% (1)	Roger et al. (1985)	
	10 min	45	~30	sRaw	36% (16)	16% (7)	13% (6)	Magnussen et al. (1990) <sup>4</sup>	
600	10 min	40	~40	sRaw	35% (14)	28% (11)	18% (7)	Linn et al. (1987)	Clear and consistent increases

SO <sub>2</sub> Level (ppb)	Exposure Duration	No. of Subjects	Ventilation (L/min)	Lung Funct.	Cumulative Percentage of Responders (Number of Subjects) <sup>1</sup>			Reference	Respiratory Symptoms: Supporting Studies
					sRaw				
					≥ 100% ↑	≥ 200% ↑	≥ 300% ↑		
					FEV <sub>1</sub>				
≥ 15% ↓	≥ 20% ↓	≥ 30% ↓							
	10 min	20	~50	sRaw	60% (12)	35% (7)	10% (2)	Linn et al. (1988)	
	10 min	21	~50	sRaw	62% (13)	29% (6)	14% (3)	Linn et al. (1990)	
	10 min	40	~40	FEV <sub>1</sub>	53% (21)	48% (19)	20% (8)	Linn et al. (1987)	
	10 min	20	~50	FEV <sub>1</sub>	55% (11)	55% (11)	5% (1)	Linn et al. (1988)	
	10 min	21	~50	FEV <sub>1</sub>	43% (9)	33% (7)	14% (3)	Linn et al. (1990)	
1,000	10 min	28	~40	sRaw	50% (14)	25% (7)	14% (4)	Roger et al. (1985)	
	10 min	10	~40	sRaw	60% (6)	20% (2)	0	Kehrl et al. (1987)	

**Notes:**

<sup>1</sup>Data presented from all references from which individual data were available. Percentage of individuals who experienced greater than or equal to a 100, 200, or 300% increase in specific airway resistance (sRaw), or a 15, 20, or 30% decrease in FEV<sub>1</sub>. Lung function decrements are adjusted for effects of exercise in clean air (calculated as the difference between the percent change relative to baseline with exercise/SO<sub>2</sub> and the percent change relative to baseline with exercise/clean air). Quality control of data was performed by two EPA staff scientists.

<sup>2</sup>Responses of mild and moderate asthmatics reported in Linn et al. (1987) have been combined. Data reported only for the first 10 min period of exercise in the first round of exposures.

<sup>3</sup>Analysis includes data from only mild (1988) and moderate (1990) asthmatics who were not receiving supplemental medication.

<sup>4</sup>One subject was not exposed to 1,000 ppb due to excessive wheezing and chest tightness experienced at 500 ppb. For this subject, the values used for 500 ppb were also used for 1,000 ppb under the assumptions that the response at 1,000 ppb would be equal to or greater than the response at 500 ppb.

<sup>5</sup>Indicates studies in which exposures were conducted using a mouthpiece rather than a chamber.

Source: ISA, Table 3-1 (EPA, 2008c, p.3-10).

The combined data set from Linn et al. (1987, 1988, 1990), Bethel et al. (1983, 1985), Roger et al. (1985), and Kehrl et al. (1987), summarized in Table 9-3, provide data with which to estimate exposure-response relationships between responses defined in terms of sRaw and 5-minute exposures to SO<sub>2</sub> at levels of 200, 250, 300, 400, 500, 600, and 1,000 ppb (the exposure levels included in these studies).<sup>92</sup> Two definitions of response have been used: (1) an increase in sRaw  $\geq 100\%$  representing moderate or greater responses and (2) an increase in sRaw  $\geq 200\%$  reflecting severe decrements in lung function.

Likewise, the combined data set from Linn et al. (1987, 1988, 1990), summarized in Table 9-3, provide data with which to estimate exposure-response relationships between responses defined in terms of FEV<sub>1</sub> and 5-minute exposures to SO<sub>2</sub> at levels of 200, 300, 400, and 600 ppb (the exposure levels included in these studies). Again, two definitions of response have been used in the health risk assessment: (1) a decrease in FEV<sub>1</sub>  $\geq 15\%$  representing moderate or greater responses and (2) a decrease in FEV<sub>1</sub>  $\geq 20\%$  representing severe decrements in lung function.

Before estimating exposure-response relationships for 5-minute exposures, we corrected the data from these controlled human exposure studies for the effect of exercise in clean air to remove any systematic bias that might be present in the data attributable to an exercise effect. This correction is reflected in the summary of the response data provided in Table 9-3.<sup>93</sup> Generally, this correction for exercise in clean air is small relative to the total effects measures in the SO<sub>2</sub>-exposed cases.

Public comments on the 2<sup>nd</sup> draft REA stated that there were errors in the data used to create Table 9-3 (UARG, 2009). Johns (2009) describes EPA's evaluation of these data, building upon an initial EPA analysis conducted in the previous NAAQS review (Smith, 1994). The vast majority of the alleged errors were described as rounding errors of the second decimal place introduced by the original study authors. Of the 640

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<sup>92</sup> Data from Magnussen et al. (1990) were not used in the estimation of sRaw exposure-response functions because exposures in this study were conducted using a mouthpiece rather than a chamber.

<sup>93</sup> Corrections were subject-specific. A correction was made by subtracting the subject's percent change (in FEV<sub>1</sub> or sRaw) under the no-SO<sub>2</sub> protocol from his or her percent change (in FEV<sub>1</sub> or sRaw) under the given SO<sub>2</sub> protocol, and rounding the result to the nearest integer. For example, if a subject's percent change in sRaw under the no-SO<sub>2</sub> protocol was 110.12% and his percent change in sRaw under the 0.6 ppm SO<sub>2</sub> protocol was 185.92%, then his percent change in sRaw *due to* SO<sub>2</sub> is 185.92% - 110.12% = 75.8%, which rounds to 76%.



values of sRaw and FEV<sub>1</sub> from Linn et al. (1987), commenters identified 11 discrepancies between the original EPA analysis (Smith, 1994) and what was included in the analysis conducted more recently by EPA (Johns, 2009). EPA has reviewed these comments, and recognizes that some discrepancies were clearly due to transcription errors, while others were due to difficulties reading the last decimal place of the raw data. Commenters also identified 9 cases where the calculated average of individual lung function measurements did not equal the average values presented in Smith (1994). While staff placed more confidence in the average values presented rather than the calculated average of the individual measurements, EPA nonetheless conducted a preliminary re-analysis using the 20 apparent “corrected” values provided by commenters. This resulted in relatively minor and variable changes in SO<sub>2</sub>-induced changes in lung function, which did not substantively change the percent responders as presented in Table 9-3. Further, incorporating these 20 changes resulted in an increase in the percent of responders in three table entries, while no decreases in the percent of responders were observed. Although the data presented in Table 9-3 were subjected to quality control procedures (see Johns, 2009), EPA is currently in the process of conducting a full quality assurance review of the data in response to these public comments and expects to present the quantitative results of its evaluation as part of the record for the November proposal. The risk assessment results presented in this document are based on the Johns (2009) summary.

We considered two different functional forms for the exposure-response functions: a 2-parameter logistic model and a probit model. In particular, we used the data in Table 9-3 to estimate the logistic function,

$$y(x; \beta, \gamma) = \frac{1}{(1 + e^{\beta + \gamma \ln(x)})} \quad (\text{equation 9-3})$$

and the probit function,

$$y(x; \beta, \gamma) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\beta + \gamma \ln(x)} e^{-t^2/2} dt \quad (\text{equation 9-4})$$

for each of the four lung function responses defined above, where  $x$  denotes the SO<sub>2</sub> concentration (in ppm) to which the individual is exposed,  $\ln(x)$  is the natural logarithm of  $x$ ,  $y$  denotes the corresponding probability of response (increase in sRaw  $\geq 100\%$  or  $\geq 200\%$  or decrease in FEV<sub>1</sub>  $\geq 15\%$  or  $\geq 20\%$ ), and  $\beta$  and  $\gamma$  are the two parameters whose values are estimated.<sup>94</sup>

We assumed that the number of responses,  $s_i$ , out of  $N_i$  subjects exposed to a given SO<sub>2</sub> concentration,  $x_i$ , has a binomial distribution with response probability given by equation (9-3) when we assume the logistic model and equation (9-4) when we assume the probit model. The likelihood function is therefore

$$L(\beta, \gamma; data) = \prod_i \binom{N_i}{s_i} y(x_i; \beta, \gamma)^{s_i} [1 - y(x_i; \beta, \gamma)]^{N_i - s_i} . \quad (\text{equation 9-5})$$

Some subjects in the controlled human exposure studies participated in more than one study and were exposed to a given SO<sub>2</sub> concentration more than once. However, because there were insufficient data to estimate subject-specific response probabilities, we assumed a single response probability (for a given definition of response) for all individuals and treated the repeated exposures for a single subject as independent exposures in the binomial distribution.

For each model, we derived a Bayesian posterior distribution using this binomial likelihood function in combination with uniform prior distributions for each of the unknown parameters.<sup>95</sup> We used 4,000 iterations as the “burn-in” period followed by 10,000 iterations, a number sufficient to ensure convergence of the resulting posterior distribution. Each iteration corresponds to a set of values for the parameters of the logistic or probit exposure-response function.

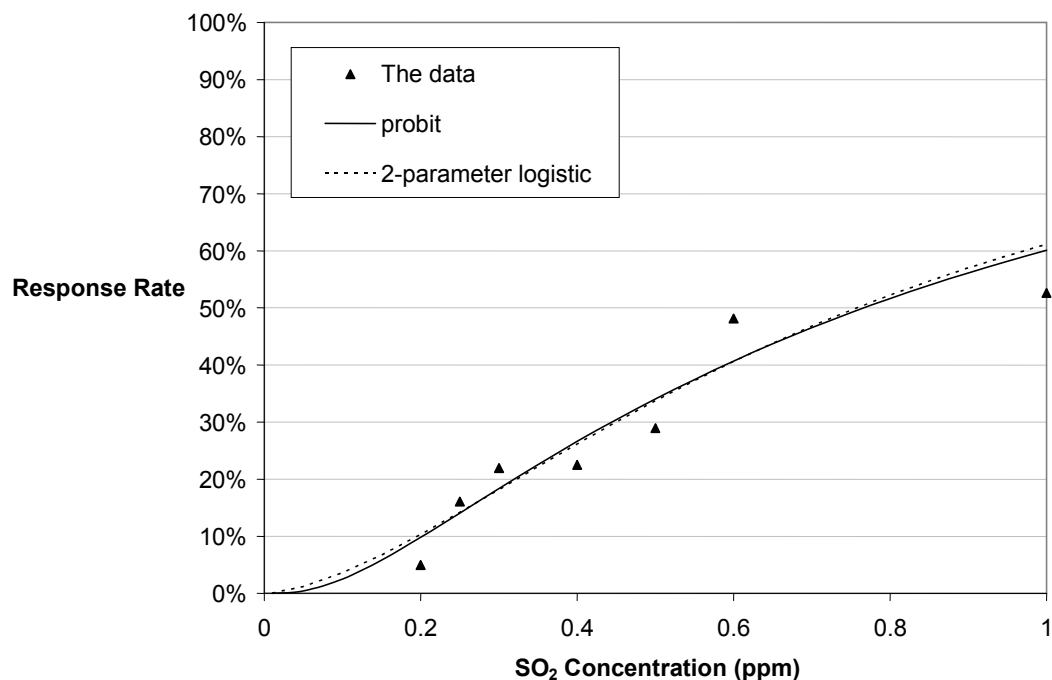
For any SO<sub>2</sub> concentration,  $x$ , we could then derive the  $n^{\text{th}}$  percentile response value, for any  $n$ , by evaluating the exposure-response function at  $x$  using each of the 18,000 sets of parameter values. The resulting median (50<sup>th</sup> percentile) exposure-

<sup>94</sup> For ease of exposition, the same two Greek letters are used to indicate two unknown parameters in the logistic and probit models; this does not imply, however, that the values of these two parameters are the same in the two models.

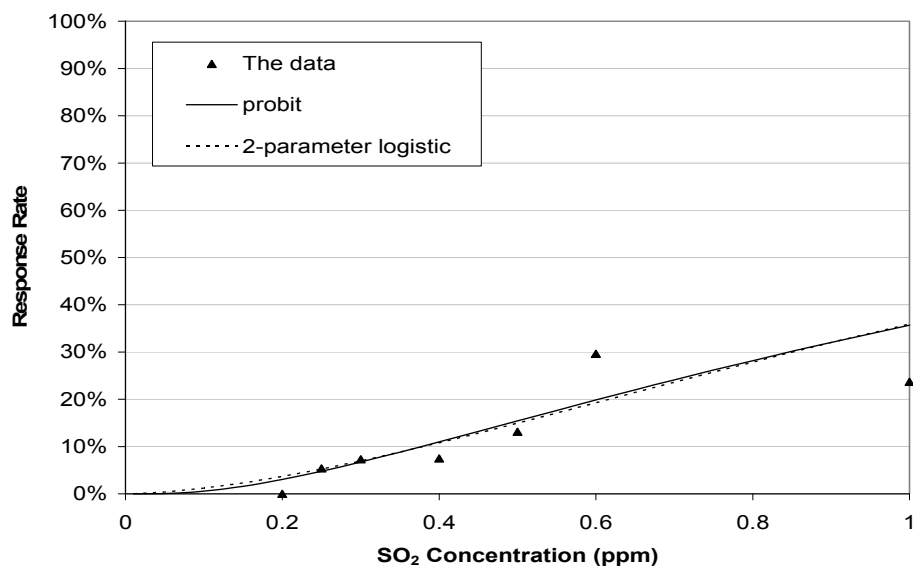
<sup>95</sup> We used the following uniform prior distributions for the 2-parameter logistic model:  $\beta \sim U(-10, 0)$ ; and  $\gamma \sim U(-10, 0)$ ; we used the following normal prior distributions for the probit model:  $\beta \sim N(0, 1000)$ ; and  $\gamma \sim N(0, 1000)$ .

response functions based on the 2-parameter logistic and probit models are shown together, along with the data used to estimate these functions, for increases in sRaw  $\geq$  100% and  $\geq$  200% and decreases in FEV<sub>1</sub>  $\geq$  15% and  $\geq$  20% in Figures 9-2, 9-3, 9-4, and 9-5, respectively. The 2.5<sup>th</sup> percentile, median, and 97.5<sup>th</sup> percentile curves, along with the response data to which they were fit, are shown separately for each of the eight combinations of (four) response definitions and (two) exposure-response models in Appendix C.

We note that there were only limited data with which to estimate the logistic and probit exposure-response functions, and that the logistic and probit models both appear to fit the data equally well. We also note that since the data being fit has already been corrected to account for the lung function response due to exercise in clean air, then the response must by definition be zero associated with 0 ppm SO<sub>2</sub> exposure. While the CASAC panel in its comments on the 2<sup>nd</sup> draft REA suggested a possible *a priori* reason to prefer the probit model (based on a hypothesized lognormal distribution of individual thresholds for response), in staff's judgment there is not sufficient evidence to select one model over the other. Therefore, we have chosen to include both the 2-parameter logistic and probit models to develop the risk estimates associated with exposure to SO<sub>2</sub> under the different air quality scenarios considered. While the estimated exposure-response relationships using the two alternative models do not appear to be that different based on visual inspection of Figures 9-2 through 9-5, the differences do translate into substantial differences in the estimated aggregate number of sRaw and FEV<sub>1</sub> responses for St. Louis as discussed later in this chapter.

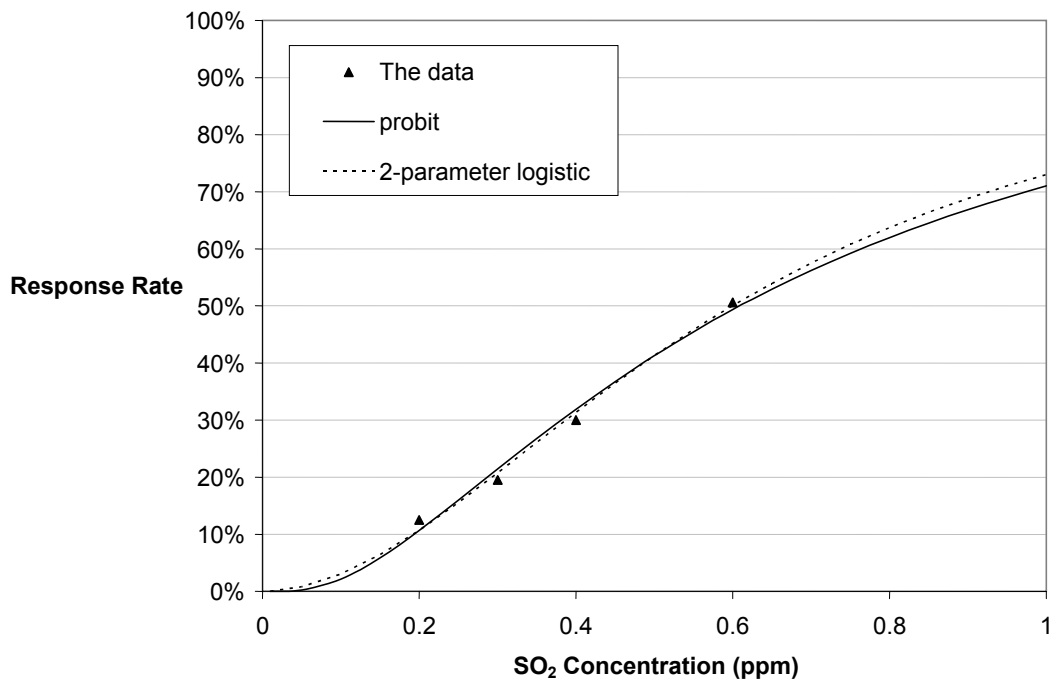


**Figure 9-2. Bayesian-estimated median exposure-response functions: increase in sRaw  $\geq$  100% for 5-Minute exposures of asthmatics under moderate or greater exertion.\***

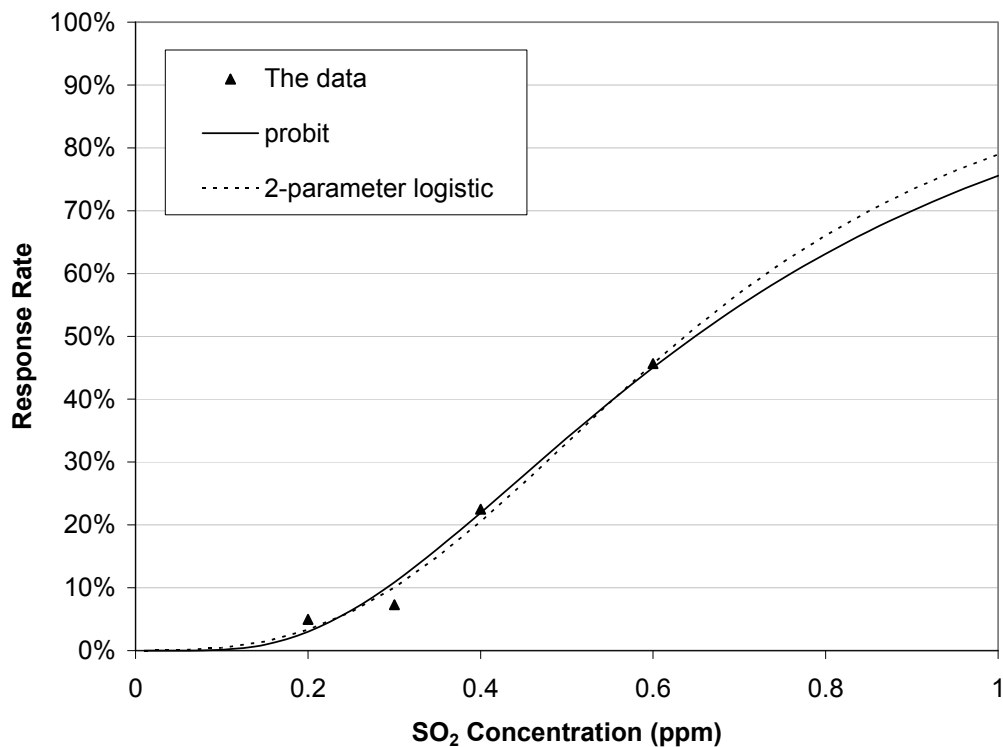


**Figure 9-3. Bayesian-estimated median exposure-response functions: increase in sRaw  $\geq$  200% for 5-minute exposures of asthmatics under moderate or greater exertion.\***

\*Derived using method described in text based on all of the individual response data from Linn et al. (1987), Linn et al. (1988), Linn et al. (1990), Bethel et al. (1983), Bethel et al. (1985), Roger et al. (1985), and Kehrl et al. (1987).



**Figure 9-4. Bayesian-estimated median exposure-response functions: decrease in FEV1  $\geq$  15% for 5-minute exposures of asthmatics under moderate or greater exertion\*.**



**Figure 9-5. Bayesian-estimated median exposure-response functions: decrease in FEV1  $\geq$  20% for 5-minute exposures of asthmatics under moderate or greater exertion.\***

\*Derived using method described in text based on all of the individual response data from Linn et al. (1987), Linn et al. (1988), and Linn et al. (1990).

### 9.3 LUNG FUNCTION RISK ESTIMATES

In this section, we present and discuss risk estimates associated with several air quality scenarios, including “as is” air quality represented by 2002 monitoring data. In addition, risk estimates are presented for several hypothetical scenarios, equivalent to adjusting air quality upward to simulate just meeting the current annual SO<sub>2</sub> 24-hour standard and to adjusting air quality (either up or down) to simulate just meeting potential alternative 98th and 99th percentile daily maximum 1-h standards. As discussed previously in Chapter 5, potential alternative 1-h standards with levels set at 50, 100, 150, 200, and 250 ppb have been included in the risk assessment. Only selected risk estimates are presented in this section and additional risk estimates are presented in Appendix C. Throughout this section and Appendix C the uncertainty surrounding risk estimates resulting from the statistical uncertainty in the SO<sub>2</sub> exposure-response relationships due to sampling error is characterized by ninety-five percent credible intervals around estimates of occurrences, number of asthmatics experiencing one or more lung function response, and percent of total incidence that is SO<sub>2</sub>-related.

Risk estimates for selected lung function responses for all asthmatics and asthmatic children associated with 5-minute exposures to ambient SO<sub>2</sub> concentrations while engaged in moderate or greater exertion are presented in Tables 9-4 through 9-9. Tables 9-4 through 9-6 are for all asthmatics and Tables 9-7 through 9-9 are for asthmatic children. Each table includes risk estimates for both Greene County and St. Louis, Missouri. Each table also includes risk estimates based on use of both the 2-parameter logistic and probit exposure-response models. As discussed in section 9.2.3, the risk assessment included two types of lung function responses (i.e., sRaw and FEV<sub>1</sub>) and two levels of response for each type of lung function response ( $\geq 100$  and 200% increase for sRaw and  $\geq 15$  and 20% decrease for FEV<sub>1</sub>). Risk estimates using sRaw as the measure of lung function response are included in this section because the exposure-response relationships were developed based on a larger set of data from individual subjects, which gives us more confidence in the exposure-response relationship. Additional risk estimates using FEV<sub>1</sub> as the indicator of lung function response are included in Tables 4-3, 4-4, 4-7, and 4-8 in Appendix C and show similar patterns across the current and alternative standards for the two study areas.

Tables 9-4 and 9-5 summarize the estimated number and percent of asthmatics that would experience 1 or more lung function responses in a year, where lung function response was defined as  $\geq 100\%$  and  $\geq 200\%$  increase in sRaw, in all asthmatics associated with ambient 5-minute SO<sub>2</sub> exposures estimated to occur under “as is” air quality (i.e., air quality based on 2002 monitored and modeled SO<sub>2</sub> air quality data) and under air quality representing just meeting the current SO<sub>2</sub> standards and several alternative 1-hour daily maximum SO<sub>2</sub> standards. Tables 9-7 and 9-8 present the same types of estimates for asthmatic children. The median estimates are presented in each cell of the table with the 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the exposure-response relationship shown in parentheses below the median estimates.

Tables 9-6 and 9-9 summarize the estimated number of occurrences of two defined levels of lung function response ( $\geq 100\%$  and  $\geq 200\%$  increase in sRaw) in all asthmatics and in asthmatic children, respectively, associated with ambient 5-minute SO<sub>2</sub> exposures estimated to occur under “as is” air quality (i.e., air quality based on 2002 monitored and modeled SO<sub>2</sub> air quality data) and under air quality representing just meeting the current SO<sub>2</sub> standards and several alternative 1-hour daily maximum SO<sub>2</sub> standards.

The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages. In St. Louis, SO<sub>2</sub> concentrations that are predicted to occur if the current standards were just met are substantially higher than “as is” air quality (based on 2002 monitoring and modeling data) and also substantially higher than they would be under any of the alternative 1-hr standards considered in this analysis. Consequently, the levels of response that would be seen if the current standard were just met are well above the levels that would be seen under the “as is” air quality scenario or under any of the alternative 1-hr standards – for asthmatics and for asthmatic children, and for all four definitions of lung function response. We also note that the only standard resulting in decreases in lung function responses relative to the “as is” scenario is the 50 ppb, 99th percentile 1-hr daily maximum standard (corresponding to the 99/50 column in Tables 9-6 through 9-9).

**Table 9-4. Number of asthmatics engaged in moderate or greater exertion estimated to experience at least one lung function response associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	90 (20 - 390)	210 (80 - 620)	80 (20 - 380)	90 (20 - 390)	100 (20 - 420)	120 (30 - 460)	160 (50 - 520)	140 (40 - 500)
Probit	10 (0 - 180)	110 (40 - 410)	10 (0 - 170)	10 (0 - 180)	20 (0 - 210)	40 (10 - 250)	70 (20 - 310)	60 (20 - 280)
St. Louis, MO								
2-Parameter Logistic	1010 (340 - 3010)	13460 (9740 - 18510)	730 (220 - 2490)	1990 (860 - 4690)	3650 (1900 - 7100)	5520 (3230 - 9490)	7500 (4770 - 11850)	7050 (4410 - 11320)
Probit	500 (140 - 1990)	13050 (9430 - 18100)	290 (70 - 1470)	1340 (520 - 3690)	2930 (1450 - 6200)	4810 (2760 - 8710)	6860 (4310 - 11190)	6400 (3950 - 10640)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	30 (0 - 210)	70 (20 - 310)	30 (0 - 210)	30 (0 - 210)	30 (0 - 220)	40 (10 - 240)	50 (10 - 270)	50 (10 - 260)
Probit	0 (0 - 80)	30 (10 - 180)	0 (0 - 80)	0 (0 - 90)	10 (0 - 100)	10 (0 - 110)	20 (0 - 140)	10 (0 - 130)
St. Louis, MO								
2-Parameter Logistic	330 (70 - 1520)	5520 (3400 - 8960)	230 (40 - 1290)	670 (210 - 2270)	1280 (510 - 3360)	2010 (940 - 4470)	2830 (1470 - 5590)	2640 (1340 - 5330)
Probit	120 (20 - 880)	5180 (3150 - 8570)	60 (10 - 660)	350 (90 - 1590)	870 (310 - 2680)	1560 (690 - 3820)	2380 (1200 - 5000)	2190 (1070 - 4730)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest ten.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.



**Table 9-5. Percent of asthmatics engaged in moderate or greater exertion estimated to experience at least one lung function response associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Associated with exposure to SO <sub>2</sub> concentrations under alternative air quality scenarios in a year:								
Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	0.4% (0.1% - 1.8%)	1% (0.4% - 2.9%)	0.4% (0.1% - 1.8%)	0.4% (0.1% - 1.8%)	0.5% (0.1% - 2%)	0.6% (0.2% - 2.1%)	0.7% (0.2% - 2.4%)	0.7% (0.2% - 2.3%)
Probit	0.1% (0% - 0.8%)	0.5% (0.2% - 1.9%)	0.1% (0% - 0.8%)	0.1% (0% - 0.8%)	0.1% (0% - 1%)	0.2% (0% - 1.2%)	0.3% (0.1% - 1.4%)	0.3% (0.1% - 1.3%)
St. Louis, MO								
2-Parameter Logistic	1% (0.3% - 2.9%)	13.1% (9.5% - 18.1%)	0.7% (0.2% - 2.4%)	1.9% (0.8% - 4.6%)	3.6% (1.9% - 6.9%)	5.4% (3.2% - 9.3%)	7.3% (4.7% - 11.6%)	6.9% (4.3% - 11.1%)
Probit	0.5% (0.1% - 1.9%)	12.7% (9.2% - 17.7%)	0.3% (0.1% - 1.4%)	1.3% (0.5% - 3.6%)	2.9% (1.4% - 6.1%)	4.7% (2.7% - 8.5%)	6.7% (4.2% - 10.9%)	6.2% (3.9% - 10.4%)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	0.1% (0% - 1%)	0.3% (0.1% - 1.5%)	0.1% (0% - 1%)	0.1% (0% - 1%)	0.2% (0% - 1%)	0.2% (0% - 1.1%)	0.2% (0% - 1.3%)	0.2% (0% - 1.2%)
Probit	0% (0% - 0.4%)	0.1% (0% - 0.8%)	0% (0% - 0.4%)	0% (0% - 0.4%)	0% (0% - 0.5%)	0% (0% - 0.5%)	0.1% (0% - 0.6%)	0.1% (0% - 0.6%)
St. Louis, MO								
2-Parameter Logistic	0.3% (0.1% - 1.5%)	5.4% (3.3% - 8.7%)	0.2% (0% - 1.3%)	0.7% (0.2% - 2.2%)	1.3% (0.5% - 3.3%)	2% (0.9% - 4.4%)	2.8% (1.4% - 5.5%)	2.6% (1.3% - 5.2%)
Probit	0.1% (0% - 0.9%)	5.1% (3.1% - 8.4%)	0.1% (0% - 0.6%)	0.3% (0.1% - 1.6%)	0.8% (0.3% - 2.6%)	1.5% (0.7% - 3.7%)	2.3% (1.2% - 4.9%)	2.1% (1% - 4.6%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 9-6. Number of occurrences (in hundreds) of a lung function response among asthmatics engaged in moderate or greater exertion associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
		Response = Increase in sRaw >= 100%						
Greene County, MO								
2-Parameter Logistic	125 (24 - 572)	127 (25 - 577)	125 (24 - 572)	125 (24 - 572)	125 (24 - 573)	126 (24 - 573)	126 (24 - 575)	126 (24 - 574)
Probit	16 (0 - 256)	18 (1 - 261)	16 (0 - 256)	16 (0 - 256)	16 (1 - 257)	16 (1 - 257)	17 (1 - 258)	17 (1 - 258)
St. Louis, MO								
2-Parameter Logistic	657 (128 - 2985)	1672 (663 - 4740)	652 (125 - 2975)	686 (141 - 3041)	762 (176 - 3184)	880 (234 - 3398)	1036 (315 - 3673)	997 (295 - 3604)
Probit	90 (4 - 1346)	933 (393 - 3107)	86 (3 - 1336)	111 (11 - 1402)	170 (33 - 1543)	264 (72 - 1756)	392 (128 - 2031)	360 (114 - 1963)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	38 (4 - 310)	39 (4 - 312)	38 (4 - 310)	38 (4 - 310)	38 (4 - 310)	38 (4 - 310)	39 (4 - 311)	39 (4 - 311)
Probit	2 (0 - 123)	3 (0 - 124)	2 (0 - 122)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)
St. Louis, MO								
2-Parameter Logistic	201 (21 - 1614)	560 (165 - 2407)	199 (20 - 1609)	211 (24 - 1639)	237 (32 - 1703)	278 (47 - 1799)	332 (68 - 1923)	319 (63 - 1892)
Probit	13 (0 - 643)	258 (86 - 1388)	12 (0 - 639)	18 (1 - 666)	33 (5 - 725)	59 (12 - 814)	95 (24 - 930)	86 (21 - 901)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 9-7. number of asthmatic children engaged in moderate or greater exertion estimated to experience at least one lung function response associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	30 (10 - 130)	110 (40 - 270)	30 (10 - 130)	30 (10 - 140)	40 (10 - 150)	50 (20 - 180)	70 (30 - 210)	60 (20 - 200)
Probit	10 (0 - 60)	60 (20 - 200)	0 (0 - 60)	10 (0 - 60)	10 (0 - 80)	20 (10 - 100)	40 (10 - 140)	30 (10 - 130)
St. Louis, MO								
2-Parameter Logistic	590 (220 - 1570)	8020 (6080 - 10370)	400 (130 - 1210)	1220 (560 - 2620)	2240 (1240 - 4010)	3370 (2090 - 5350)	4560 (3060 - 6680)	4290 (2840 - 6390)
Probit	340 (100 - 1150)	7950 (6020 - 10320)	190 (50 - 790)	890 (360 - 2220)	1910 (1000 - 3690)	3080 (1860 - 5110)	4330 (2870 - 6510)	4060 (2640 - 6210)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	10 (0 - 70)	40 (10 - 130)	10 (0 - 70)	10 (0 - 70)	10 (0 - 80)	20 (0 - 90)	20 (10 - 110)	20 (10 - 100)
Probit	0 (0 - 30)	20 (0 - 90)	0 (0 - 30)	0 (0 - 30)	0 (0 - 40)	10 (0 - 50)	10 (0 - 60)	10 (0 - 60)
St. Louis, MO								
2-Parameter Logistic	190 (50 - 780)	3380 (2190 - 5070)	130 (30 - 610)	410 (140 - 1240)	800 (340 - 1870)	1250 (620 - 2500)	1750 (970 - 3140)	1640 (890 - 3000)
Probit	80 (10 - 500)	3290 (2110 - 5000)	40 (10 - 350)	240 (60 - 950)	580 (220 - 1590)	1030 (480 - 2250)	1560 (830 - 2940)	1440 (740 - 2790)

\*Numbers are median (50th percentile) numbers of asthmatic children. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest ten.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 9-8. Percent of asthmatic children engaged in moderate or greater exertion estimated to experience at least one lung function response associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	0.4% (0.1% - 1.8%)	1.4% (0.6% - 3.7%)	0.4% (0.1% - 1.8%)	0.4% (0.1% - 1.9%)	0.5% (0.1% - 2.1%)	0.7% (0.2% - 2.4%)	1% (0.3% - 2.9%)	0.9% (0.3% - 2.7%)
Probit	0.1% (0% - 0.9%)	0.9% (0.3% - 2.7%)	0.1% (0% - 0.8%)	0.1% (0% - 0.9%)	0.2% (0% - 1.1%)	0.3% (0.1% - 1.4%)	0.5% (0.2% - 1.9%)	0.4% (0.1% - 1.7%)
St. Louis, MO								
2-Parameter Logistic	1.4% (0.5% - 3.8%)	19.2% (14.6% - 24.9%)	0.9% (0.3% - 2.9%)	2.9% (1.3% - 6.3%)	5.4% (3% - 9.6%)	8.1% (5% - 12.8%)	10.9% (7.3% - 16%)	10.3% (6.8% - 15.3%)
Probit	0.8% (0.2% - 2.8%)	19.1% (14.4% - 24.7%)	0.4% (0.1% - 1.9%)	2.1% (0.9% - 5.3%)	4.6% (2.4% - 8.8%)	7.4% (4.5% - 12.3%)	10.4% (6.9% - 15.6%)	9.7% (6.3% - 14.9%)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	0.1% (0% - 1%)	0.5% (0.1% - 1.8%)	0.1% (0% - 1%)	0.1% (0% - 1%)	0.2% (0% - 1.1%)	0.2% (0% - 1.3%)	0.3% (0.1% - 1.5%)	0.3% (0.1% - 1.4%)
Probit	0% (0% - 0.4%)	0.2% (0.1% - 1.2%)	0% (0% - 0.4%)	0% (0% - 0.4%)	0% (0% - 0.5%)	0.1% (0% - 0.6%)	0.1% (0% - 0.8%)	0.1% (0% - 0.8%)
St. Louis, MO								
2-Parameter Logistic	0.5% (0.1% - 1.9%)	8.1% (5.3% - 12.2%)	0.3% (0.1% - 1.5%)	1% (0.3% - 3%)	1.9% (0.8% - 4.5%)	3% (1.5% - 6%)	4.2% (2.3% - 7.5%)	3.9% (2.1% - 7.2%)
Probit	0.2% (0% - 1.2%)	7.9% (5% - 12%)	0.1% (0% - 0.8%)	0.6% (0.2% - 2.3%)	1.4% (0.5% - 3.8%)	2.5% (1.2% - 5.4%)	3.7% (2% - 7%)	3.4% (1.8% - 6.7%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 9-9. number of occurrences (in hundreds) of a lung function response among asthmatic children engaged in moderate or greater exertion associated with exposure to SO<sub>2</sub> concentrations under alternative air quality scenarios in a year.\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
			Response = Increase in sRaw >= 100%					
Greene County, MO								
2-Parameter Logistic	71 (13 - 324)	72 (14 - 327)	71 (13 - 324)	71 (14 - 324)	71 (14 - 324)	71 (14 - 325)	71 (14 - 325)	71 (14 - 325)
Probit	9 (0 - 145)	10 (1 - 148)	9 (0 - 145)	9 (0 - 145)	9 (0 - 145)	9 (0 - 146)	10 (0 - 146)	10 (0 - 146)
St. Louis, MO								
2-Parameter Logistic	417 (81 - 1893)	1179 (484 - 3209)	413 (80 - 1885)	439 (91 - 1935)	497 (118 - 2043)	586 (162 - 2206)	704 (222 - 2413)	674 (207 - 2361)
Probit	58 (3 - 855)	692 (296 - 2176)	55 (2 - 847)	74 (8 - 896)	118 (25 - 1004)	189 (53 - 1166)	286 (96 - 1373)	262 (85 - 1321)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	22 (2 - 175)	22 (2 - 177)	22 (2 - 175)	22 (2 - 175)	22 (2 - 175)	22 (2 - 176)	22 (2 - 176)	22 (2 - 176)
Probit	1 (0 - 69)	2 (0 - 71)	1 (0 - 69)	1 (0 - 69)	1 (0 - 69)	1 (0 - 70)	1 (0 - 70)	1 (0 - 70)
St. Louis, MO								
2-Parameter Logistic	128 (13 - 1023)	397 (122 - 1618)	126 (13 - 1019)	135 (15 - 1042)	155 (22 - 1091)	186 (33 - 1164)	227 (49 - 1257)	217 (45 - 1234)
Probit	8 (0 - 408)	192 (65 - 967)	8 (0 - 405)	12 (1 - 425)	24 (4 - 470)	43 (9 - 538)	70 (18 - 625)	63 (16 - 603)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

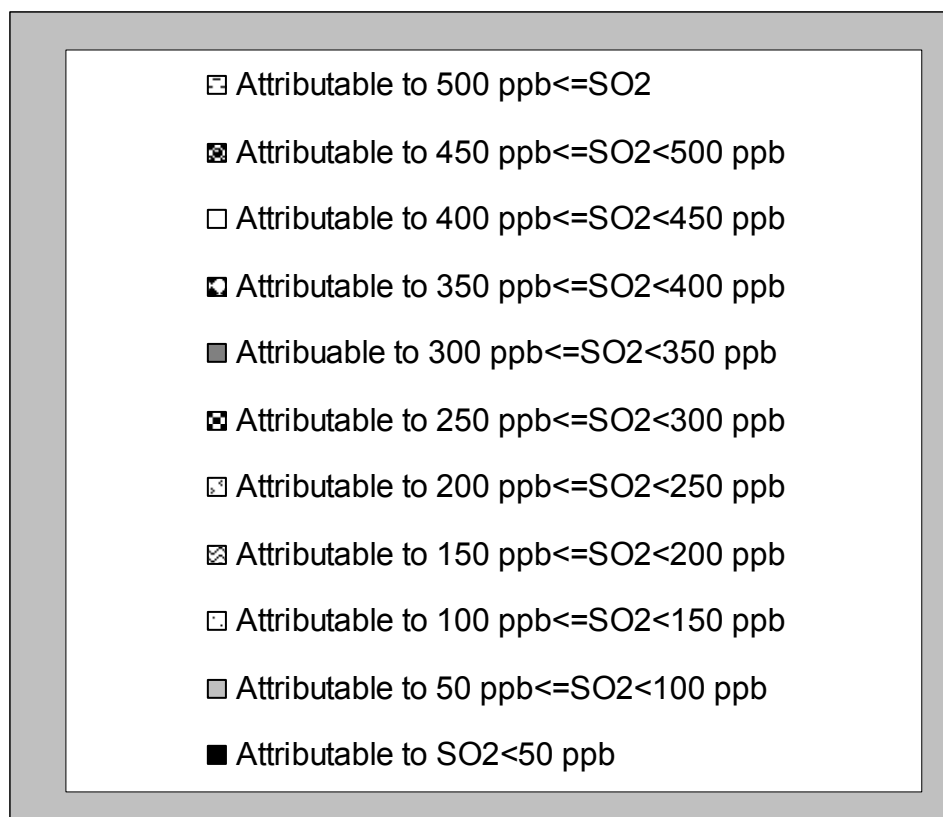
\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

As an illustration of the changes in the number of occurrences of sRaw increases  $\geq 100\%$  in all asthmatics across the range of standards analyzed in the St. Louis modeling domain, under the current SO<sub>2</sub> standards the median estimate is 117,900. These estimated occurrences decrease for increasingly more stringent alternative 1-hour standards with the 50 ppb, 99<sup>th</sup> percentile daily maximum 1-hour standard, the most stringent alternative standard analyzed, reducing the median estimated number of occurrences of this lung function response to 41,300. The pattern of reductions observed for all asthmatics is similar to that observed in asthmatic children.

The estimated occurrences of sRaw responses are much lower in Greene County both due to a smaller population as well as fewer exposure occurrences of elevated 5-minute SO<sub>2</sub> concentrations. We also note that the differences in estimated occurrences of lung function responses associated with all of the air quality scenarios analyzed are much smaller for Greene County than in St. Louis. The minimal differences observed in Greene County among the air quality scenarios analyzed is due to the relatively small differences in the distribution of exposures while engaged in moderate or greater exertion among the air quality scenarios analyzed.

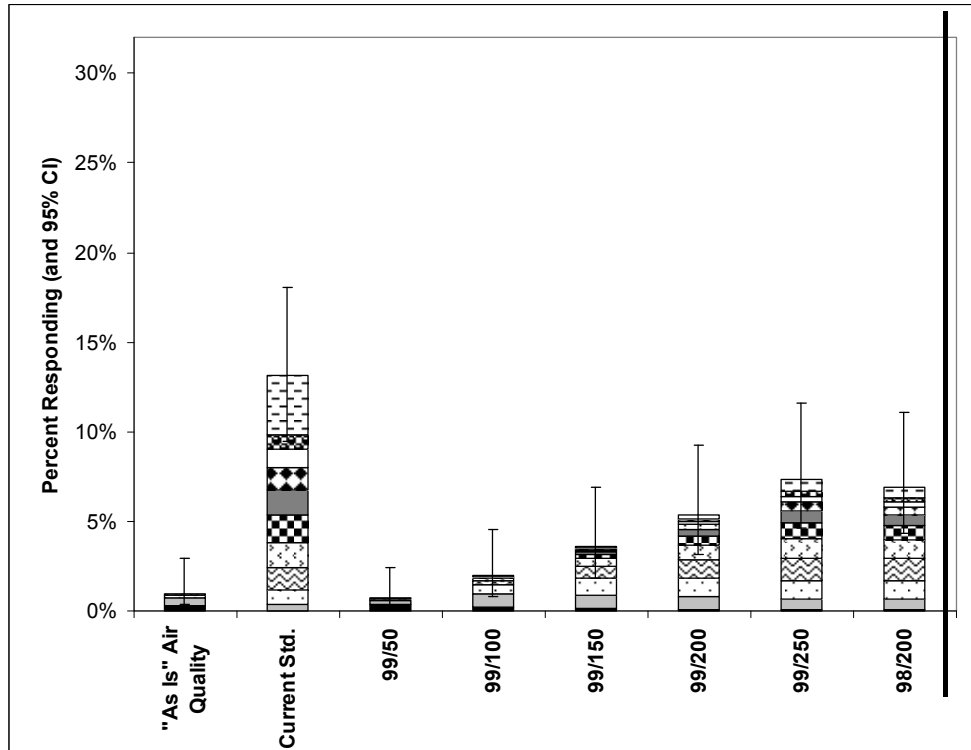
Figures 9-7 (a) and (b) show the percent of asthmatics based on use of the logistic and probit exposure-response models, respectively, engaged in moderate or greater exertion in St. Louis, MO estimated to experience at least one lung function response in a year, defined as an increase in sRaw  $\geq 100\%$ , attributable to exposure to SO<sub>2</sub> in each exposure “bin” or interval. Figures 9-8(a) and (b) show these same estimates for the percent of asthmatic children. Figure 9-6 displays the legend for Figures 9-7 and 9-8 indicating the exposure bins used in these figures and Table 9-10 provides definitions of the figures’ x-axis labels, which represent alternative air quality scenarios. Similar figures are included in Appendix C for lung function responses defined in terms of  $\geq 15\%$  and  $\geq 20\%$  decrements in FEV<sub>1</sub> for both asthmatics and asthmatic children. Appendix C also includes similar figures for the Greene County study area. As apparent in Figures 9-7 (a) and (b) and in Figures 9-8(a) and (b), the pattern of the contribution of exposures from different concentration intervals on lung function response is very similar for this risk metric using the two alternative exposure-response models. In comparing the risk estimates for all asthmatics (Figure 9-7) with the risk estimates for asthmatic children (Figure 9-8) the total percent responding is higher for asthmatic children. This is due to the greater percentage of 5-minute exposures while engaged in moderate or greater exertion for asthmatic



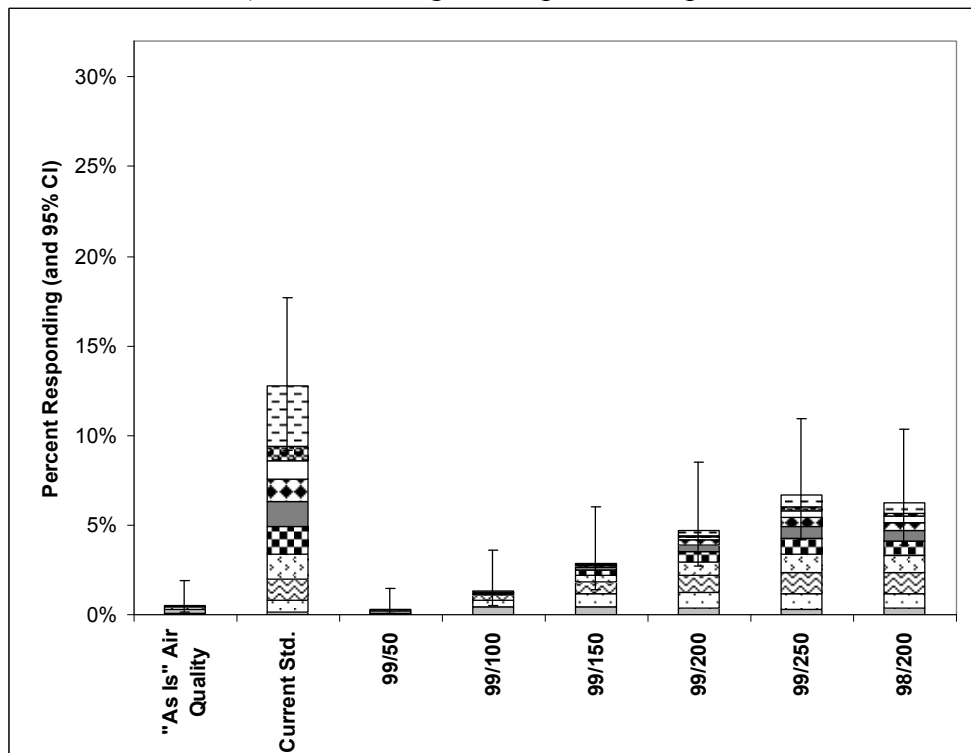
**Figure 9-6. Legend for Figures 9-7 and 9-8 showing total and contribution of risk attributable to SO<sub>2</sub> exposure ranges.**

**Table 9-10. Explanation of labels on the x-axis of Figures 9-7 and 9-8.**

<b>Label</b>	<b>Explanation</b>
"As Is" Air Quality	Reflects air quality in 2002
Current Standard	Refers to the current suite of standards, which includes a 24-hr standard of 0.14 ppm which is not to be exceeded more than once per year and an annual standard set at 0.03 ppm
99/50	Refers to an alternative standard in which the 99th percentile of the 1-hr daily maximum concentrations must be $\leq 50$ ppb.
99/100	Refers to an alternative standard in which the 99th percentile of the 1-hr daily maximum concentrations must be $\leq 100$ ppb.
99/150	Refers to an alternative standard in which the 99th percentile of the 1-hr daily maximum concentrations must be $\leq 150$ ppb.
99/200	Refers to an alternative standard in which the 99th percentile of the 1-hr daily maximum concentrations must be $\leq 200$ ppb.
99/250	Refers to an alternative standard in which the 99th percentile of the 1-hr daily maximum concentrations must be $\leq 250$ ppb.
98/200	Refers to an alternative standard in which the 98th percentile of the 1-hr daily maximum concentrations must be $\leq 200$ ppb.



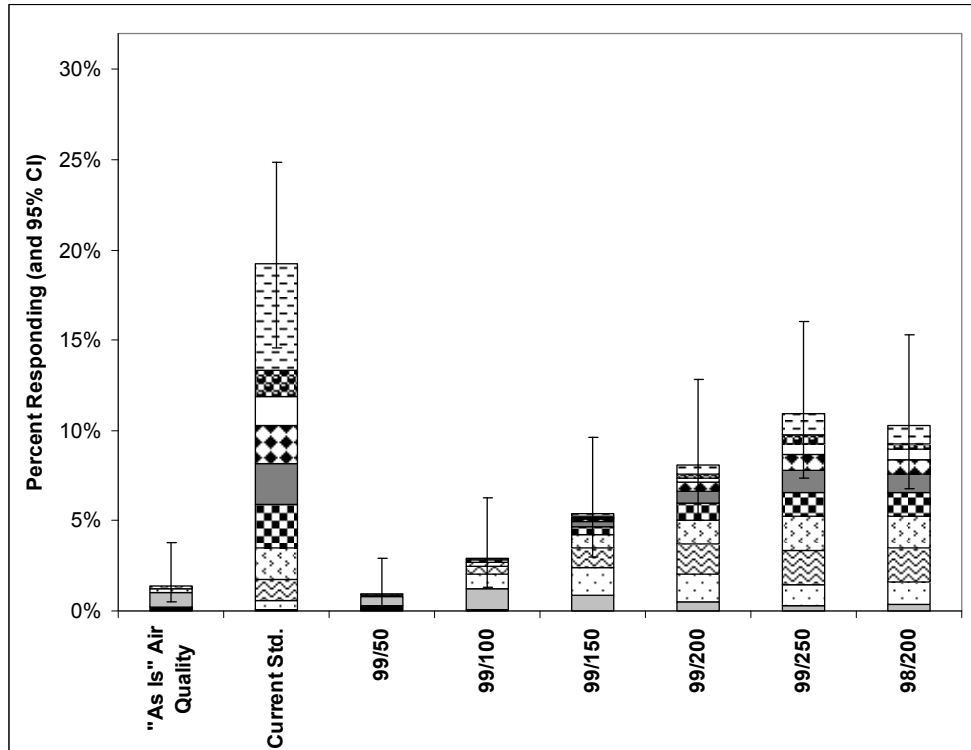
a) Based on Logistic Exposure-Response Model



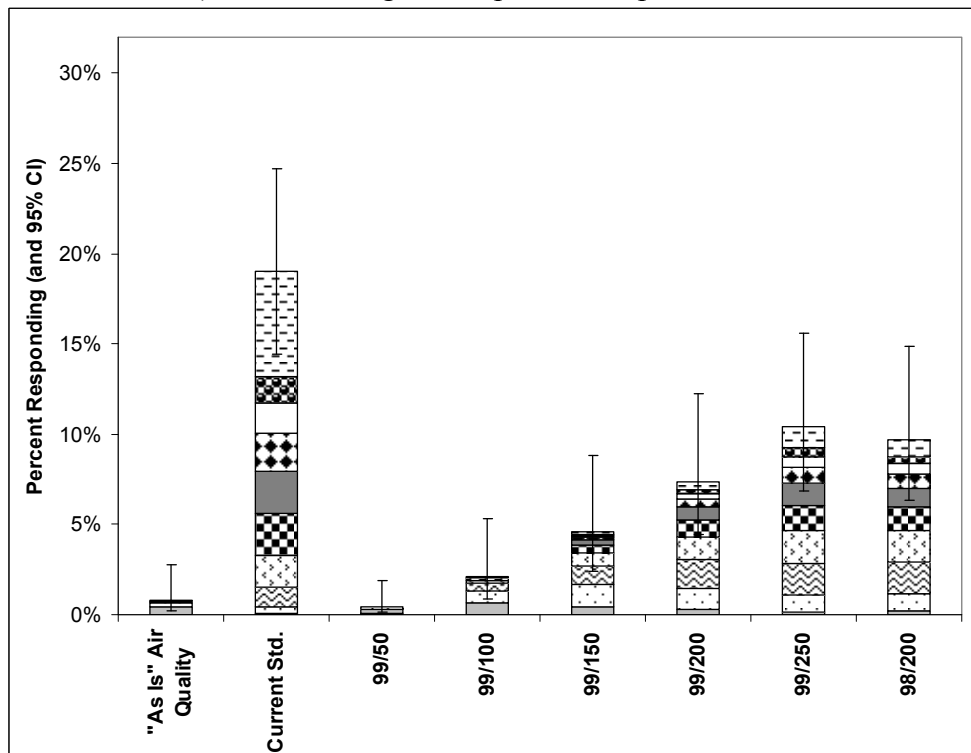
b) Based on Probit Exposure-Response Model

**Figure 9-7. Estimated percent of asthmatics experiencing one or more lung function responses (defined as  $\geq 100\%$  increase in sRaw) per year associated with short-term (5-minute) exposures to  $\text{SO}_2$  concentrations associated with alternative air quality scenarios – total and contribution of 5-minute  $\text{SO}_2$  exposure ranges (see Figure 9-6 for legend and Table 9-10 for description of air quality scenarios included on x-axis).**





a) Based on Logistic Exposure-Response Model



b) Based on Probit Exposure-Response Model

**Figure 9-8. Estimated percent of asthmatic children experiencing one or more lung function responses (defined as  $\geq 100\%$  increase in sRaw) per year associated with short-term (5-minute) exposures to  $\text{SO}_2$  concentrations associated with alternative air quality scenarios – total and contribution of 5-minute  $\text{SO}_2$  exposure ranges (see Figure 9-6 for legend and Table 9-10 for description of air quality scenarios included on x-axis).**

children compared to all asthmatics due to the higher frequency of exercise in children compared to adults. Of course the actual number of persons affected is smaller for asthmatic children since they are a subset of all asthmatics.

The numbers of individuals with at least one lung function response attributable to exposures in the lowest exposure concentration bin (i.e., 0 to 50 ppb) are typically quite small. This is because the calculation of numbers of individuals with at least one lung function response uses individuals' highest exposure only. While individuals may be exposed mostly to low SO<sub>2</sub> concentrations, many are exposed at least occasionally to higher levels. Thus, the percentage of individuals in a designated population with at least one lung function response associated with SO<sub>2</sub> concentrations in the lowest bin is likely to be very small, since most individuals are exposed at least once to higher SO<sub>2</sub> levels. For example, the lowest SO<sub>2</sub> exposure bin accounts for only about 0.2 percent of asthmatics estimated to experience at least 1 SO<sub>2</sub>-related lung function response. For this very small percent of the population, the lowest exposure bin represents their highest SO<sub>2</sub> exposures under moderate exertion in a year. Figure 9-7 (a) shows a relatively small proportion of asthmatics in St. Louis experiencing at least one response to be experiencing those responses because of exposures in that lowest exposure bin.

While exposures in the lowest bin are not responsible for the greatest portion of the estimated risk for the risk metric expressed as incidence or percent incidence of a defined lung function response 1 or more times per year, exposures in the lowest bin (i.e., 0 to 50 ppb) are responsible for the bulk of the risks expressed as total occurrences of a defined lung function response. As noted in public comments on the 2<sup>nd</sup> draft SO<sub>2</sub> REA, the assignment of response probability to the midpoint of the exposure bin combined with the lack of more finely divided intervals in this range can lead to significant overestimation of risks based on total occurrences of a defined lung function response. This is because the distribution of population exposures for occurrences is not evenly distributed across the bin, but rather is more heavily weighted toward the lower range of the bin. Thus, combining all exposures estimated to occur in the lowest bin with a response probability assigned to the midpoint of the bin results in a significant overestimate of the risk. Therefore, staff places less weight on the estimated number of occurrences of lung function responses. This overestimation of total occurrences does not impact the risk metric expressed as incidence or percent incidence of a defined lung function

response 1 or more times per year because the bulk of the exposures contributing to these risk metrics are not skewed toward the lower range of the reported exposure bins.

## 9.4 CHARACTERIZING UNCERTAINTY AND VARIABILITY

An important issue associated with any population health risk assessment is the characterization of uncertainty and variability (see section 6.6 for definitions of uncertainty and variability). This section presents a summary and discussion regarding the degree to which variability was incorporated in the health risk assessment for lung function responses and how the uncertainty was characterized for the risk estimates of number and percent of asthmatics and asthmatic children experiencing defined lung function responses associated with 5-minute SO<sub>2</sub> exposures under moderate or greater exertion associated with alternative air quality scenarios.

With respect to variability, the lung function risk assessment incorporates some of the variability in key inputs to the analysis by its use of location-specific inputs for the exposure analysis (e.g., location specific population data, air exchange rates, air quality, and temperature data). The extent to which there may be variability in exposure-response relationships for the populations included in the risk assessment residing in different geographic areas is currently unknown. Temporal variability also is more difficult to address, because the risk assessment focuses on some unspecified time in the future. To minimize the degree to which values of inputs to the analysis may be different from the values of those inputs at that unspecified time, we have used the most current inputs available.

Our approach to characterizing uncertainty includes both qualitative and quantitative elements. From a quantitative perspective, the statistical uncertainty surrounding the estimated SO<sub>2</sub> exposure-response relationships due to sampling error is reflected in the credible intervals that have been provided for the risk estimates in this document. Staff selected a mainly qualitative approach to address other uncertainties in the assessment given the limited data available to inform a probabilistic uncertainty characterization, and time and resource constraints. Following the same general approach described in sections 6.6, 7.4, and 8.11.2 and adapted from WHO (2008), staff performed a qualitative characterization of the components contributing to uncertainty in the lung function risks for all asthmatics and asthmatic children attributable to 5-minute SO<sub>2</sub> exposures under moderate or greater exertion. First, staff identified the important uncertainties. Then, we qualitatively characterized the magnitude (*low*, *medium*, and *high*) and direction of influence (*over*, *under*, *both*, and *unknown*) the source of uncertainty

may have on the estimated number or percent of persons experiencing a defined lung function response.<sup>96</sup> Finally, staff also qualitatively rated the uncertainty in the knowledge-base regarding each source using *low*, *medium*, and *high* categories. Staff's ratings were based on professional judgment in the context of the knowledge-base for the criteria air pollutants.

Table 9-11 provides a summary of the sources of uncertainty identified in the health risk assessment, the level of uncertainty, and the overall judged bias of each. A brief summary discussion regarding those sources of uncertainty not already examined in Chapters 7 and 8 is included in the comments section of Table 9-11.

The 5-minute daily maximum exposure estimates for asthmatics and asthmatic children while engaged in moderate or greater exertion is an important input to the lung function response risk assessment. A qualitative characterization of uncertainties associated with the exposure model and the inputs to the exposure model are summarized in Table 8-27 and discussed in section 8.11.2.

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<sup>96</sup> Definitions of the rating scales can be found in section 6.6.

**Table 9-11. Characterization of key uncertainties in the lung function response health risk assessment for St. Louis and Greene County, Missouri.**

Source of Uncertainty	Influence of Uncertainty on Lung Function Risk Estimates		Knowledge-Base Uncertainty	Comments <sup>1</sup>
	Direction	Magnitude		
Exposure Model (APEX) Inputs and Algorithms	Unknown	Unknown	Medium to High	See Table 8-27 and section 8.11.2
Spatial representation	Both	Medium	High	See Table 7-16 and discussion in section 7.4.2.4
Air quality adjustment	Both	Low-Medium	Medium	See Table 7-16 and discussion in section 7.4.2.5
Causality	Over	Low-Medium	Low – for levels above 100 ppb  Medium – for levels below 100 ppb	INF: While there is very strong support for SO <sub>2</sub> being causally linked to lung function responses within the range of tested exposure levels (i.e., ≥ 200 ppb) and even down to the 100 ppb level (where SO <sub>2</sub> was administered by mouthpiece (Sheppard et al. 1981; Koenig et al., 1990)), there is increasing uncertainty about whether SO <sub>2</sub> is causally related to lung-function effects at lower exposure levels below 100 ppb. Since this assessment assumes there is a causal relationship at levels below 100 ppb, the influence of this source of uncertainty would be to over-estimate risk. KB: The SO <sub>2</sub> -related lung function responses have been observed in controlled human exposure studies and, thus there is little uncertainty that SO <sub>2</sub> exposures are responsible for the lung function responses observed for SO <sub>2</sub> exposures in the range of levels tested. Given the lack of chamber data at levels below 100 ppb, the KB uncertainty is rated as medium.
Use of 2-parameter logistic and probit models to estimate probabilistic exposure-response relationships	Unknown	Low - for levels at and above 100 ppb  Medium – for levels below 100 ppb	Low - for levels above 100 ppb  Medium – for levels below 100 ppb	KB: It was necessary to estimate responses at SO <sub>2</sub> levels both within the range of exposure levels tested (i.e., 200 to 1,000 ppb) as well as below the lowest exposure levels used in free-breathing controlled human exposure studies (i.e., below 200 ppb). We have developed probabilistic exposure-response relationships using two different functional forms (i.e., probit and 2-parameter logistic). Both functional forms provide reasonable fits to the data in the available range of levels tested. For the risks attributable to exposure levels below 200 ppb, the lowest level tested in free-breathing chamber studies, and particularly below 100 ppb, the lowest level tested in face mask chamber studies, there is greater uncertainty.
Use of 5- and 10-minute lung function response data to estimate 5-	Over	Low	Low	INF: It is reasonable to hypothesize that 10-minute exposures might lead to larger lung function responses, so inclusion of 10-minute response data in the data base used to estimate 5-minute responses would be more likely to result in over-estimating risks. However, there is some evidence that responses generally occur

Source of Uncertainty	Influence of Uncertainty on Lung Function Risk Estimates		Knowledge-Base Uncertainty	Comments <sup>1</sup>
	Direction	Magnitude		
minute lung function risk estimates				in the first few minutes of exposure (see ISA, section 3.1.3.2), suggesting that any overestimation is likely to be very modest in terms of magnitude. KB: The 5-minute lung function risk estimates are based on a combined data set from several controlled human exposure studies, most of which evaluated responses associated with 10-minute exposures. However, since some studies which evaluated responses after 5-minute exposures found responses occurring as early as 5-minutes after exposure, we are using all of the 5- and 10-minute exposure data to represent responses associated with 5-minute exposures. We do not believe that this factor appreciably impacts the risk estimates.
Use of exposure-response data from studies of mild/moderate asthmatics to represent all asthmatics	Under	Medium	Medium	INF & KB: The data set that was used to estimate exposure-response relationships included mild and/or moderate asthmatics. There is uncertainty with regard to how well the population of mild and moderate asthmatics included in the series of SO <sub>2</sub> controlled human exposure studies represent the distribution of mild and moderate asthmatics in the U.S. population. As indicated in the ISA (p. 3-9), the subjects studied represent the responses "among groups of relatively healthy asthmatics and cannot necessarily be extrapolated to the most sensitive asthmatics in the population who are likely more susceptible to the respiratory effects of exposure to SO <sub>2</sub> ." Thus, the influence of this uncertainty is likely to lead to under-estimating risks and we judge the magnitude of the influence of this uncertainty on the lung function risk estimates to be medium.
Reproducibility of SO <sub>2</sub> -induced lung function response	Unknown	Unknown	Low	INF & KB: The risk assessment assumes that the SO <sub>2</sub> -induced responses for individuals are reproducible. We note that this assumption has some support in that one study (Linn et al., 1987) exposed the same subjects on two occasions to 0.6 ppm and the authors reported a high degree of correlation ( $r > 0.7$ for mild asthmatics and $r > 0.8$ for moderate asthmatics, $p < 0.001$ ), while observing much lower and nonsignificant correlations ( $r = 0.0 - 0.4$ ) for the lung function response observed in the clean air with exercise exposures.
Use of adult asthmatic lung function response data to estimate exposure-response relationships for asthmatic children	Unknown	Unknown	Low to Medium	INF & KB: Because the vast majority of controlled human exposure studies investigating lung function responses were conducted with adult subjects, the risk assessment relies on data from adult asthmatic subjects to estimate exposure-response relationships that have been applied to all asthmatic individuals, including children. The ISA (section 3.1.3.5) indicates that there is a strong body of evidence that suggests adolescents may experience many of the same respiratory effects at similar SO <sub>2</sub> levels, but recognizes that these studies administered SO <sub>2</sub> via inhalation through a mouthpiece rather than an exposure chamber. This technique bypasses nasal absorption of SO <sub>2</sub> and can result in an increase in lung SO <sub>2</sub> uptake.

Source of Uncertainty	Influence of Uncertainty on Lung Function Risk Estimates		Knowledge-Base Uncertainty	Comments <sup>1</sup>
	Direction	Magnitude		
				Therefore, the uncertainty is greater in the risk estimates for asthmatic children. The direction and magnitude of this uncertainty on the lung function risk estimates is unknown.
Exposure history	Both	Low	Medium	INF & KB: The risk assessment assumes that the SO <sub>2</sub> -induced response on any given day is independent of previous SO <sub>2</sub> exposures. For some pollutants (e.g., ozone) prior exposure history can lead to both enhanced and diminished lung function responses depending on the pattern of exposure. Since the assessment is only included the highest daily 5-minute exposure under moderate or greater exertion, and the influence of prior exposures might lead to either enhanced or diminished response based on what we know about other pollutants (i.e., ozone), staff rated the magnitude of the influence of this uncertainty to be low. Given the lack of available information to directly assess this uncertainty for SO <sub>2</sub> exposures in chamber studies staff rated the KB uncertainty to be medium.
Assumed no interaction effect of other co-pollutants on SO <sub>2</sub> -related lung function responses	Under	Medium	Medium	INF: Staff judges that it is more likely that exposure to other pollutants might increase the magnitude of lung function response and thus increase the risk estimates. Thus, assuming no interaction is more likely to result in under-estimating risks. KB: Because the controlled human exposure studies used in the risk assessment involved only SO <sub>2</sub> exposures, there is little information to judge whether or not estimates of SO <sub>2</sub> -induced health responses are affected by the presence of other pollutants (e.g., PM <sub>2.5</sub> , O <sub>3</sub> , NO <sub>2</sub> ).
<b>Notes:</b> <sup>1</sup> INF refers to comments associated with the influence rating; KB refers to comments associated with the knowledge-base rating.				

## 9.5 KEY OBSERVATIONS

Presented below are key observations related to the risk assessment for lung function responses in asthmatics and asthmatic children associated with 5-minute exposures to SO<sub>2</sub> while engaged in moderate or greater exertion:

- Lung function responses estimated to result from 5-minute exposures to SO<sub>2</sub> were estimated for two areas in Missouri (i.e., Greene County and St. Louis) which have significant emission sources of SO<sub>2</sub> for 2002 air quality and for air quality adjusted to simulate just meeting the current suite of annual and 24-hour SO<sub>2</sub> standards and just meeting several alternative 1-hour daily maximum SO<sub>2</sub> standards.
- A number of factors would be expected to contribute to differences in estimated SO<sub>2</sub>-related lung function responses across different locations. These include exposure-related differences, such as population density, SO<sub>2</sub> emission density, location and types of SO<sub>2</sub> sources, prevalence of air conditioning, and time spent outdoors, which are discussed in section 8.10, as well as other factors such as differences in population sensitivity to SO<sub>2</sub> and asthma prevalence rates. As discussed in section 8.10, St. Louis County has a medium to high SO<sub>2</sub> emission density and a medium to high population density relative to other medium to high population density urban areas in the U.S. Relative to the St. Louis study area, Greene County is a more rural county with much lower SO<sub>2</sub> emission density and much lower population density. Taken together, the risk estimates for these two locations provide useful insights about urban and rural counties with significant SO<sub>2</sub> emission sources.
- The lung function risk estimates for the St. Louis study area are much higher than for Greene County, which is not unexpected given the greater population density and the much greater SO<sub>2</sub> emission density. Staff believes that the St. Louis risk estimates provide a useful perspective on the likely overall magnitude and pattern of lung function responses associated with various SO<sub>2</sub> air quality scenarios in urban areas within the U.S. that have similar population densities and SO<sub>2</sub> emission densities.
- Risk estimates for Greene County are considerably lower than for the St. Louis study area both with respect to estimated number of asthmatics and the percentage of asthmatics estimated to experience one or more moderate or severe lung function responses. As discussed above, this is not unexpected given the rural nature of Greene County and the fact that it has much lower SO<sub>2</sub> emission density and lower population density than the St. Louis study area.
- Of the alternative regulatory scenarios analyzed, only the 50 ppb/99th percentile daily maximum 1-hr standard is estimated to reduce risks in one of the two modeling study areas (i.e., St. Louis) relative to the "as is" air quality scenario. This reduction is observed for both number and percent of asthmatics and asthmatic children estimated to experience 1 or more lung function responses per year.
- For the St. Louis study area median risk estimates for 1 or more occurrences of moderate lung function responses (i.e., based on sRaw  $\geq$  100%) per year range from about 11% down to 0.9% of asthmatic children using the 2-parameter logistic exposure-response



model compared to 10.4% down to 0.4% of asthmatic children using the probit exposure-response model for alternative 99<sup>th</sup> percentile daily maximum 1-hour standards ranging from 250 ppb down to 50 ppb. In general, the risk estimates associated with the use of the probit exposure-response model are lower than those based on the logistic model.

- For the St. Louis study area median risk estimates for 1 or more occurrences of severe lung function responses (i.e., based on  $sRaw \geq 200\%$ ) per year range from 4.2% down to 0.3% of asthmatic children using the 2-parameter logistic exposure-response model compared to 3.7% down to 0.1% of asthmatic children using the probit exposure-response model for alternative 99<sup>th</sup> percentile daily maximum 1-hour standards ranging from 250 ppb down to 50 ppb.
- In terms of estimated percentage of asthmatics or asthmatic children experiencing 1 or more lung function responses, risks are greater for asthmatic children, likely because they spend more time at higher exertion levels than adults.
- A broad range of SO<sub>2</sub> exposure concentration intervals, as high as 500 ppb, contributes to the estimated risks of experiencing 1 or more lung function responses per year for some of the standards considered in the assessment. For standards in the range of 100 to 150 ppb SO<sub>2</sub> exposure concentration intervals below 200 ppb contribute most of the estimated risks of experiencing 1 or more lung function response per year.
- Important uncertainties and limitations associated with the risk assessment which were discussed above in section 9.3 and which should be kept in mind as one considers the quantitative risk estimates include:
  - uncertainties related to the exposure estimates which are an important input to the risk assessment which staff rated as medium to high with respect to the knowledge base and which staff rated the overall influence of these uncertainties on the magnitude of the lung function risk estimates as unknown;
  - uncertainties associated with the air quality adjustment procedure that was used to simulate just meeting the current annual and several alternative 1-h daily maximum standards which staff rated as medium with respect to the knowledge base uncertainty and low-medium in terms of the influence of this uncertainty on the magnitude of the lung function risk estimates;
  - statistical uncertainty due to sampling error which is characterized in the assessment through presentation of 95% credible intervals;
  - uncertainty about the shape of the exposure-response relationship for lung function responses at levels well below 200 ppb, the lowest level examined in free-breathing single pollutant controlled human exposure studies which staff rated as low for levels at and above 100 ppb and medium for levels below 100 ppb with respect to knowledge base uncertainty and the influence of this uncertainty on the lung function risk estimates;
  - uncertainty with respect to how well the estimated exposure-response relationships reflect asthmatics with more severe disease than those tested in chamber studies which staff rated as medium with respect to knowledge base uncertainty and the influence of this uncertainty on the magnitude of the lung function risk estimates;

- uncertainty about whether the presence of other pollutants in the ambient air would enhance the SO<sub>2</sub>-related responses observed in the controlled human exposure studies which staff rated as medium with respect to knowledge base uncertainty and the influence of this uncertainty on the magnitude of the lung function risk estimates;
- uncertainty about the extent to which the risk estimates presented for the two modeled areas in Missouri are representative of other locations in the U.S. with significant SO<sub>2</sub> point and area sources which staff rated as high with respect to knowledge base uncertainty and medium for the influence of this uncertainty on the magnitude of the lung function risk estimates;
- other uncertainties such as the assumption about causality, use of both 5- and 10-minute data to estimate 5-minute effects, the assumption of reproducible responses, use of adult data to estimate exposure-response for children, and influence of exposure history were generally rated as low to medium with respect to knowledge base uncertainty and low or unknown impact on the magnitude of these uncertainties on the lung function risk estimates.

## **10. EVIDENCE- AND EXPOSURE/RISK-BASED CONSIDERATIONS RELATED TO THE PRIMARY SO<sub>2</sub> NAAQS**

### **10.1 INTRODUCTION**

This chapter considers the scientific evidence in the ISA (EPA, 2008a) and the air quality, exposure and risk characterization results presented in this document as they relate to the adequacy of the current SO<sub>2</sub> primary NAAQS and potential alternative primary SO<sub>2</sub> standards. The available scientific evidence includes epidemiologic, controlled human exposure, and animal toxicological studies. The SO<sub>2</sub> air quality, exposure, and risk analyses described in Chapters 7-9 of this document include characterization of air quality, exposure, and health risks associated with recent SO<sub>2</sub> concentrations and with SO<sub>2</sub> concentrations adjusted to simulate scenarios just meeting the current suite of standards and potential alternative 1-hour standards. In considering the scientific evidence and the exposure- and risk-based information, we have also considered relevant uncertainties. Section 10.2 of this chapter presents our general approach to considering the adequacy of the current standards and the need for potential alternative standards. Sections 10.3 and 10.4 focus on evidence- and exposure-/risk-based considerations related to the adequacy of the current 24-hour and annual standards respectively, while section 10.5 focuses on such considerations related to the need for potential alternative standards (in terms of the indicator, averaging time, form, and level).

These considerations are intended to inform the Agency's policy assessment of a range of options with regard to the SO<sub>2</sub> NAAQS. A final decision will draw upon scientific information and analyses about health effects, population exposure and risks, and policy judgments about the appropriate response to the range of uncertainties that are inherent in the scientific evidence and air quality, exposure, and risk analyses. Our approach to informing these judgments, discussed more fully below, is based on a recognition that the available health effects evidence reflects a continuum consisting of ambient levels at which scientists generally agree that health effects are likely to occur through lower levels at which the likelihood and magnitude of the response become increasingly uncertain. This approach is consistent with the requirements of the NAAQS provisions of the Act and with how EPA and the courts have historically interpreted the Act. These provisions require the Administrator to establish primary standards that, in the Administrator's judgment, are requisite to protect public health with an adequate margin of safety. In so doing, the Administrator seeks to establish standards that are neither more nor less

stringent than necessary for this purpose. The Act does not require that primary standards be set at a zero-risk level but rather at a level of protection that avoids unacceptable risks to public health, including the health of at risk populations.

## **10.2 GENERAL APPROACH**

This section describes the general approach that staff is taking to inform decisions regarding the need to retain or revise the current SO<sub>2</sub> NAAQS. The current standards, a 24-hour average of 0.14 ppm (equivalent to 144 ppb), not to be exceeded more than one time per year, and an annual average of 0.03 ppm (equivalent to 30.4 ppb) were retained by the Administrator in the most recent review completed in 1996 (61 FR 25566). The decision to retain the 24-hour standard was largely based on an assessment of epidemiologic studies that supported a likely association between 24-hour average SO<sub>2</sub> exposure and daily mortality, aggravation of bronchitis, and small, reversible declines in children's lung function (EPA 1982, 1994a). Similarly, the decision to retain the annual standard (see section 10.4) was largely based on an assessment of epidemiologic studies finding an association between respiratory symptoms/illnesses and annual average SO<sub>2</sub> concentrations (EPA 1982, 1994a).

The previous review of the SO<sub>2</sub> NAAQS also addressed the question of whether an additional short-term standard (e.g., 5-minute) was necessary to protect against short-term peak SO<sub>2</sub> exposures. Based on the scientific evidence, the Administrator judged that repeated exposures to 5-minute peak levels  $\geq$  600 ppb could pose a risk of significant health effects for asthmatic individuals at elevated ventilation rates (61 FR 25566). The Administrator also concluded that the likely frequency of such effects should be a consideration in assessing the overall public health risks. Based upon an exposure analysis conducted by EPA (see section 1.1.3), the Administrator concluded that exposure of asthmatics to SO<sub>2</sub> levels that could reliably elicit adverse health effects was likely to be a rare event when viewed in the context of the entire population of asthmatics, and therefore did not pose a broad public health problem for which a NAAQS would be appropriate (61 FR 25566). On May 22, 1996, EPA published its final decision to retain the existing 24-hour and annual standards and not to promulgate a 5-minute standard (61 FR 25566). The decision not to set a 5-minute standard was ultimately challenged by the American Lung Association and remanded back to EPA for further explanation on January 30, 1998 by the D.C. Circuit Court of Appeals (see section 1.1.1). Specifically, the court gave EPA the opportunity to provide additional rationale to support the Agency judgment that 5-

minute peaks of SO<sub>2</sub> do not pose a public health problem when viewed from a national perspective.

To inform the range of options that the Agency will consider in the current review of the primary SO<sub>2</sub> NAAQS, the general approach we have adopted builds upon the approaches used in reviews of other criteria pollutants, including the most recent reviews of the Pb, O<sub>3</sub>, PM, and NO<sub>2</sub> NAAQS (EPA, 2007i; EPA, 2007e; EPA, 2005, EPA 2008d). As in these other reviews, we consider the implications of placing more or less weight or emphasis on different aspects of the scientific evidence and the exposure/risk-based information, recognizing that the weight to be given to various elements of the evidence and exposure/risk information is part of the public health policy judgments that the Administrator will make in reaching decisions on the standards.

A series of general questions frames our approach to considering the scientific evidence and exposure/risk-based information. First, our consideration of the scientific evidence and exposure/risk-based information with regard to the adequacy of the current standards is framed by the following questions:

- To what extent does evidence and exposure/risk-based information that has become available since the last review reinforce or call into question evidence for SO<sub>2</sub>-associated effects that were identified in the last review?
- To what extent has evidence for different health effects and/or sensitive populations become available since the last review?
- To what extent have uncertainties identified in the last review been reduced and/or have new uncertainties emerged?
- To what extent does evidence and exposure/risk-based information that has become available since the last review reinforce or call into question any of the basic elements of the current standards?

To the extent that the available evidence and exposure/risk-based information suggests it may be appropriate to consider revision of the current standards, we consider that evidence and information with regard to its support for consideration of standards that are either more or less protective than the current standards. This evaluation is framed by the following questions:

- Is there evidence that associations, especially causal or likely causal associations, extend to ambient SO<sub>2</sub> concentrations as low as, or lower than, the concentrations that have previously been associated with health effects? If so, what are the important uncertainties associated with that evidence?

- Are exposures above benchmark levels and/or health risks estimated to occur in areas that meet the current standards? If so, are the estimated exposures and health risks important from a public health perspective? What are the important uncertainties associated with the estimated risks?

To the extent that there is support for consideration of a revised standard, we then consider the specific elements of the standard (indicator for gaseous SO<sub>x</sub>, averaging time, form, and level) within the context of the currently available information. In so doing, we address the following questions:

- Does the evidence provide support for considering a different indicator for gaseous SO<sub>x</sub>?
- Does the evidence provide support for considering different averaging times?
- What ranges of levels and forms of alternative standards are supported by the evidence, and what are the associated uncertainties and limitations?
- To what extent do specific averaging times, levels, and forms of alternative standards reduce the estimated exposures above benchmark levels and estimated risks attributable to SO<sub>2</sub>, and what are the uncertainties associated with the estimated exposure and risk reductions?

The following discussion addresses the questions outlined above and presents staff's conclusions regarding the scientific evidence and the exposure-/risk-based information specifically as they relate to the current and potential alternative standards. This discussion is intended to inform the Agency's consideration of policy options that will be presented during the rulemaking process, together with the scientific support for such options. Sections 10.3 and 10.4 consider the adequacy of the current standards while section 10.5 considers potential alternative standards in terms of indicator, averaging time, form, and level. Each of these sections considers key conclusions as well as the uncertainties associated with the evidence and exposure/risk analyses.

## **10.3 ADEQUACY OF THE CURRENT 24-HOUR STANDARD**

### **10.3.1 Introduction**

In the last review of the SO<sub>2</sub> NAAQS, retention of the 24-hour standard was based largely on epidemiologic studies conducted in London in the 1950's and 1960's. The results of those studies suggested an association between 24-hour average levels of SO<sub>2</sub> and increased daily mortality and aggravation of bronchitis when in the presence of elevated levels of PM (53 FR 14927). Additional epidemiologic evidence suggested that elevated SO<sub>2</sub> levels were associated

with the possibility of small, reversible declines in children's lung function (53 FR 14927). However, it was noted that in the locations where these epidemiologic studies were conducted, high SO<sub>2</sub> levels were usually accompanied by high levels of PM, thus making it difficult to disentangle the individual contribution each pollutant had on these health outcomes. It was also noted that rather than 24-hour average SO<sub>2</sub> levels, the health effects observed in these studies may have been related, at least in part, to the occurrence of shorter-term peaks of SO<sub>2</sub> within a 24-hour period (53 FR 14927).

In this review, as described in Chapter 4, the ISA concludes that there is sufficient evidence to infer "a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub>" (ISA, section 5.2). The ISA states that the strongest evidence for this judgment is from human exposure studies demonstrating decreased lung function and/or increased respiratory symptoms in exercising asthmatics exposed for 5-10 minutes to  $\geq 200$  ppb SO<sub>2</sub> (ISA, section 5.2). Supporting this conclusion is a larger body of epidemiologic studies published since the last review observing positive associations between 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations and respiratory symptoms, ED visits, and hospital admissions (ISA, section 5.2). Thus, the ISA bases its causal determination between short-term SO<sub>2</sub> exposure and respiratory morbidity on respiratory effects associated with averaging times from 5-minutes to 24-hours.

Here, we will examine the health information first presented in Chapter 4 as it relates to the adequacy of the current 24-hour standard (as well as the annual standard, see section 10.4). Section 10.3.2 will discuss the epidemiologic results. The epidemiologic literature is particularly relevant for evaluating the adequacy of the current 24-hour standard given that the majority of these studies examined possible associations between 24-hour average SO<sub>2</sub> concentrations and respiratory morbidity endpoints (e.g. ED visits or hospitalizations for all respiratory causes). Section 10.3.3 will then discuss the air quality, exposure, and risk based information as it relates to the adequacy of the current 24-hour standard. These analyses are first presented in Chapters 7-9 and describe exposures and their associated health risks given air quality just meeting the current standards. More specifically, these analyses simulate air quality to just meet the current 24-hour or annual standard, whichever is controlling in a given area, and then describe exposure and health risks associated with 5-minute SO<sub>2</sub> benchmark concentrations. As described in section 6.2, these benchmark concentrations are SO<sub>2</sub> exposure levels found in controlled human

exposure studies to result in decrements in lung function and/or respiratory symptoms in exercising asthmatics. Finally, considering the evidence presented in section 10.3.2 and the air quality, exposure, and risk information presented in section 10.3.3, staff presents conclusions with regard to the overall adequacy of the current 24-hour standard in section 10.3.4.

### **10.3.2 Evidence-based considerations**

As mentioned above, the ISA found supporting evidence for its conclusion that there is a causal relationship between short-term SO<sub>2</sub> exposures and respiratory morbidity from the reported associations observed in epidemiologic studies of respiratory symptoms and ED visits and hospitalizations. In considering the adequacy of the current 24-hour standard, we note that many epidemiologic studies demonstrating positive associations between ambient SO<sub>2</sub> and respiratory symptoms, ED visits, and hospitalizations were conducted in areas where SO<sub>2</sub> concentrations were less than the level of the current 24-hour (as well as the annual; see section 10.4) NAAQS. With regard to these epidemiologic studies, we note that the ISA characterizes the evidence for respiratory effects as consistent and coherent. The evidence is consistent in that positive associations are reported in studies conducted in numerous locations and with a variety of methodological approaches (ISA, section 5.2). It is coherent in the sense that respiratory symptom results from epidemiologic studies predominantly using 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations are generally in agreement with the respiratory symptom results from controlled human exposure studies of 5-10 minutes. These results are also coherent in that the respiratory effects observed in controlled human exposure studies of 5-10 minutes provide a basis for a progression of respiratory morbidity that could lead to the ED visits and hospitalizations observed in epidemiologic studies (ISA, section 5.2).

However, it should be noted that interpretation of the epidemiologic literature is complicated by the fact that SO<sub>2</sub> is but one component of a complex mixture of pollutants present in the ambient air. The matter is further complicated by the fact that SO<sub>2</sub> is a precursor to sulfate, which can be a principal component of PM. Ultimately, this uncertainty calls into question the extent to which effect estimates from epidemiologic studies reflect the independent contribution of SO<sub>2</sub> to the adverse respiratory outcomes assessed in these studies. In order to provide some perspective on this uncertainty, the ISA evaluates epidemiologic studies that employ multi-pollutant models. The ISA concludes that these analyses indicate that although copollutant adjustment has varying degrees of influence on SO<sub>2</sub> effect estimates, the effect of



SO<sub>2</sub> on respiratory health outcomes appears to be generally independent of the effects of gaseous copollutants, including NO<sub>2</sub> and O<sub>3</sub> (ISA, section 5.2). With respect to PM<sub>10</sub>, evidence of an independent SO<sub>2</sub> effect on respiratory health is less consistent, with some of the positive ED visit and hospitalization results becoming negative (although results were not statistically significantly negative) after inclusion of PM<sub>10</sub> in regression models (ISA, section 3.1.4.6). In epidemiologic studies of respiratory symptoms, the SO<sub>2</sub> effect estimate often remained relatively unchanged after inclusion of PM<sub>10</sub> in multipollutant models (although the effect estimate may have lost statistical significance; ISA, section 3.1.4.1). The ISA also finds that SO<sub>2</sub>-effect estimates generally remained relatively unchanged in the limited number of studies that included PM<sub>2.5</sub> and/or PM<sub>10-2.5</sub> in multipollutant models (ISA, section 3.1.4.6). Taken together, the ISA concludes studies employing multi-pollutant models do suggest that SO<sub>2</sub> has an independent effect on respiratory morbidity outcomes (see Chapter 4; ISA, section 5.2). Thus, the results of experimental and epidemiologic studies form a plausible and coherent data set that supports a relationship between SO<sub>2</sub> exposures and respiratory morbidity endpoints, and calls into question the adequacy of the 24-hour standard to protect public health.

### **10.3.3 Air Quality, exposure and risk-based considerations**

In addition to the evidence-based considerations described above, staff has considered the extent to which exposure- and risk-based information can inform decisions regarding the adequacy of the current 24-hour SO<sub>2</sub> standard, taking into account key uncertainties associated with the estimated exposures and risks. For this review, we have employed three approaches. In the first approach, SO<sub>2</sub> air quality levels were used as a surrogate for exposure. In the second approach, modeled estimates of human exposure were developed for all asthmatics and asthmatic children living in Greene County and St. Louis MO. Notably, this second approach considers time spent in different microenvironments, as well as time spent at elevated ventilation rates. In each of the first two approaches, health risks have been characterized by comparing estimates of air quality or exposure to 5-minute potential health effect benchmarks. These benchmarks are based on controlled human exposure studies involving known 5-10 minute SO<sub>2</sub> exposure levels and corresponding decrements in lung function, and/or increases in respiratory symptoms in asthmatics at elevated ventilation rates (e.g., while exercising; see section 6.2 for further discussion of benchmark levels). In addition to these analyses, staff conducted a quantitative risk assessment for lung function responses associated with 5-minute exposures to characterize SO<sub>2</sub>-

related health risks. This assessment combined outputs from the exposure analysis with estimated exposure-response functions derived from the combined individual data from controlled human exposure studies to estimate the number and percent of exposed asthmatics that would experience moderate or greater lung function responses (in terms of FEV<sub>1</sub> and sRaw) at least once per year and to estimate the total number of occurrences of these lung function responses per year (see Chapter 9).

The respiratory effects (i.e., decrements in FEV<sub>1</sub>, increases in sRaw, and/or respiratory symptoms) considered in the air quality, exposure, and risk analyses mentioned above are considered by staff to be adverse to the health of asthmatics. As described in section 4.3, staff bases this conclusion on: 1) guidelines published by the ATS; 2) conclusions from the ISA and previous NAAQS reviews; and 3) advice from CASAC. Being mindful of this conclusion, we note the following key points from the ISA:

- Approximately 5-30% of exercising asthmatics are expected to experience moderate or greater lung function decrements (i.e.,  $\geq 100\%$  increase in sRaw and/or a  $\geq 15\%$  decrease in FEV<sub>1</sub>) following exposure to 200- 300 ppb SO<sub>2</sub> for 5-10 minutes (ISA, section 3.1).
- Approximately 20-60% of exercising asthmatics are expected to experience moderate or greater lung function decrements (i.e.  $\geq 100\%$  increase in sRaw and/or a  $\geq 15\%$  decrease in FEV<sub>1</sub>) following exposure to 400-1000 ppb SO<sub>2</sub> for 5-10 minutes (ISA, Table 5-3).
- At concentrations  $\geq 400$  ppb, moderate or greater statistically significant decrements in lung function are frequently associated with respiratory symptoms (ISA, section 3.1).
- There is no evidence to indicate that exposure to 200-300 ppb SO<sub>2</sub> for 5- 10 minutes represents a threshold below which no respiratory effects occur.

Given the discussion in section 4.3 and the key points presented above, staff concludes that exposure to 5-10 minute SO<sub>2</sub> concentrations at least as low as 200 ppb can result in adverse respiratory effects in some asthmatics. We note that this conclusion is in agreement with CASAC comments offered on the first draft SO<sub>2</sub> REA. The CASAC letter to the Administrator states: “CASAC believes strongly that the weight of clinical and epidemiology evidence indicates there are detectable clinically relevant health effects in sensitive subpopulations down to a level at least as low as 0.2 ppm SO<sub>2</sub> (Henderson 2008).” This CASAC letter also states: “these sensitive subpopulations represent a substantial segment of the at-risk population (Henderson 2008).” As an additional matter, we note that over 20 million people in the U.S. have asthma (EPA 2008d), and therefore, exposure to SO<sub>2</sub> likely represents a significant public health issue.

Thus, staff finds it is appropriate to consider the air quality, exposure and risk results as they relate to the adequacy of the current 24-hour standard (as well as the current annual (see section 10.4) and potential alternative (see section 10.5) standards). This is because these analyses provide useful information with respect to the current 24-hour standard's ability to limit: 1) 5-10 minute SO<sub>2</sub> concentrations associated with decrements in lung function and/or respiratory symptoms in exercising asthmatics; and 2) the estimated number of exercising asthmatics expected to experience a moderate or greater lung function response.

#### ***10.3.3.1 Key Uncertainties***

The way in which air quality, exposure, and risk results will inform ultimate decisions regarding the SO<sub>2</sub> standard will depend upon the weight placed on each of the analyses when uncertainties associated with those analyses are taken into consideration. Sources of uncertainty associated with each of the analyses (air quality, exposure, and quantitative risk) are briefly presented below and are described in more detail in Chapters 7-9 of this document. Although we are discussing these uncertainties within the context of the adequacy of the 24-hour standard, they apply equally to consideration of the annual, as well as alternative 1-hour standards.

##### *Air Quality Analysis*

A number of key uncertainties should be considered when interpreting air quality results with regard to decisions on the standards. A general description of such uncertainties is highlighted below, and these, as well as other sources of uncertainty are discussed in greater depth in section 7.4 of this document.

- Staff used the broader SO<sub>2</sub> ambient monitoring network, in addition to subsets of data from this network, to characterize air quality in the U.S. There was general agreement in the monitor site attributes and emissions sources potentially influencing ambient monitoring concentrations for each set of data analyzed. However, staff noted that the greatest uncertainty, compared to several other sources of uncertainty, was in the spatial representativeness of both the overall monitoring network and the subsets chosen for detailed analyses.
- Staff developed a statistical model to estimate 5-minute maximum SO<sub>2</sub> concentrations at monitors that reported only 1-hour SO<sub>2</sub> concentrations. Cross-validation of the statistical model for where 5-minute SO<sub>2</sub> measurements existed indicated reasonable model performance. The greatest difference in the predicted versus observed numbers of benchmark exceedances occurred at the lower and upper tails of the distribution, indicating greater uncertainty in the predictions at similarly representative monitors.

- The air quality characterization assumes that the ambient monitoring data and the estimated days per year with benchmark exceedances can serve as an indicator of exposure. Longer-term personal SO<sub>2</sub> exposure (i.e., days to weeks) concentrations are correlated with and are a fraction of ambient SO<sub>2</sub> concentrations. However, uncertainty remains in this relationship when considering short-term (i.e., 5-minute) averaging times because of the lack of comparable measurement data.

### *St Louis and Greene Counties Exposure Analysis*

A number of key uncertainties should be considered when interpreting the St. Louis and Greene County exposure results with regard to decisions on the standards. Such uncertainties are highlighted below, and these, as well as other sources of uncertainty, are also discussed in greater depth in section 8.11 of this document.

- It was necessary for staff to derive an area source emission profile rather than use a default profile to improve the agreement between ambient measurements and predicted 1-hour SO<sub>2</sub> concentrations. The improved model performance reduces uncertainty in the 1-hour SO<sub>2</sub> concentrations predictions, but nonetheless remains as an important uncertainty in the absence of actual local source emission profiles.
- Staff performed the exposure assessment to better reflect both the temporal and spatial representation of ambient concentrations and to estimate the rate of contact of individuals with 5-minute SO<sub>2</sub> concentrations while engaged in moderate or greater exertion. Estimated annual average SO<sub>2</sub> exposures in the two exposure modeling domains are consistent with long-term personal exposures (i.e., days to weeks) measured in other U.S. locations. However, uncertainty remains in the estimated number of persons with 5-minute SO<sub>2</sub> concentrations above benchmark levels because of the lack of comparable measurement data, particularly considering both the short-term averaging time and geographic location.
- While all 5-minute ambient SO<sub>2</sub> concentrations were estimated by the exposure model, each hour was comprised of the maximum 5-minute SO<sub>2</sub> concentration and eleven other 5-minute SO<sub>2</sub> concentrations normalized to the 1-hour mean concentration. Staff assumed that this approach would reasonably estimate the number of individuals exposed to peak concentrations. Sensitivity analyses revealed that both the number of persons exposed and where peak exposures occur can vary when considering an actual 5-minute temporal profile.

A number of key uncertainties should be considered when interpreting the St. Louis and Greene County quantitative risk estimated for lung function responses with regard to decisions on the standards. Such uncertainties are highlighted below, and these, as well as other sources of uncertainty, are also discussed in greater depth in section 9.3 of this document.

- It was necessary to estimate responses at SO<sub>2</sub> levels below the lowest exposure levels used in the free-breathing controlled human exposure studies (i.e., below 200 ppb). We have developed probabilistic exposure-response relationships using two different functional forms (i.e., probit and 2-parameter logistic), but nonetheless there remains greater uncertainty in responses below 200 ppb because of the lack of comparable experimental data.
- The risk assessment assumes that the SO<sub>2</sub>-induced responses for individuals are reproducible. We note that this assumption has some support in that one study (Linn et al., 1987) exposed the same subjects on two occasions to 600 ppb and the authors reported a high degree of correlation while observing a much lower correlation for the lung function response observed in the clean air with exercise exposure.
- Because the vast majority of controlled human exposure studies investigating lung function responses were conducted with adult subjects, the risk assessment relies on data from adult asthmatic subjects to estimate exposure-response relationships that have been applied to all asthmatic individuals, including children. The ISA (section 3.1.3.5) indicates that there is a strong body of evidence that suggests adolescents may experience many of the same respiratory effects at similar SO<sub>2</sub> levels, but recognizes that these studies administered SO<sub>2</sub> via inhalation through a mouthpiece (which can result in an increase in lung SO<sub>2</sub> uptake) rather than an exposure chamber. Therefore, the uncertainty is greater in the risk estimates for asthmatic children.
- Because the controlled human exposure studies used in the risk assessment involved only SO<sub>2</sub> exposures, it is assumed that estimates of SO<sub>2</sub>-induced health responses are not affected by the presence of other pollutants (e.g., PM<sub>2.5</sub>, O<sub>3</sub>, NO<sub>2</sub>).

#### ***10.3.3.2 Assessment Results***

As previously mentioned, the ISA finds the evidence for an association between respiratory morbidity and SO<sub>2</sub> exposure to be “sufficient to infer a causal relationship” (ISA, section 5.2) and that the “definitive evidence” for this conclusion comes from the results of controlled human exposure studies demonstrating decrements in lung function and/or respiratory symptoms in exercising asthmatics (ISA, section 5.2). Accordingly, the exposure and risk analyses presented in this document focused on exposures and risks associated with 5-minute peaks of SO<sub>2</sub> in excess of the potential health effect benchmark values of 100, 200, 300, and 400 ppb SO<sub>2</sub> (see section 6.2). In considering the results presented in these analyses, we particularly

note exceedances or exposures with respect to the 200 and 400 ppb 5-minute benchmark levels. We highlight these benchmark levels because (1) 400 ppb represents the lowest concentration in human exposure studies where statistically significant moderate or greater lung function decrements are frequently accompanied by respiratory symptoms; (2) 200 ppb is the lowest level at which effects have been observed (and the lowest level tested) for moderate or greater decrements in lung function in free-breathing human exposure studies. Notably, we also recognize that there is very limited evidence demonstrating small decrements in lung function at 100 ppb from two mouthpiece exposure studies (see section 6.2). However, as previously noted (see section 6.2), the results of these studies are not directly comparable to free-breathing chamber studies, and thus, staff is primarily considering exceedances of the 200 ppb and 400 ppb benchmark levels in its evaluation of the adequacy of the current standards.

Exposures and risks have been estimated for two study areas in Missouri (i.e., Greene County and several counties representing the St. Louis urban area) which have significant emission sources of SO<sub>2</sub>. As noted in section 8.10, there were differences in the number of exposures above benchmark values when the results of the Greene County and St. Louis exposure assessments were compared. Moreover, given that the results of the exposure assessment were used as inputs into the quantitative risk assessment, it was not surprising that there were also far fewer asthmatics at elevated ventilation rates estimated to have a moderate or greater lung function response in Greene county when compared to St. Louis. The difference in the St. Louis and Greene County exposure and quantitative risk results are likely indicative of the different types of locations they represent (see section 8.10). Greene County is a rural county with much lower population and emission densities, compared to the St. Louis study area which has population and emissions density similar to other urban areas in the U.S. It therefore follows that there would be greater exposures, and hence greater numbers and percentages of asthmatics at elevated ventilation rates experiencing moderate or greater lung function responses in the St. Louis study area. Thus, when considering the risk and exposure results as they relate to the adequacy of the current standards (as well as the need for considering potential alternative standards), the St. Louis results are more informative in that they suggest that the current standards may not adequately protect public health. Moreover, staff judges that the exposure and risk estimates for the St. Louis study area provide useful insights into exposures and risks for other urban areas in the U.S. with similar population and SO<sub>2</sub> emissions densities.

### *Air Quality Assessment*

The results of our air quality assessment provide additional perspective on the public health impacts of exposure to ambient levels of SO<sub>2</sub>. In considering these results, we first note that the benchmark values derived from the controlled human exposure literature are associated with a 5-minute averaging time, but very few state and local agencies in the U.S. report measured 5-minute concentrations since such monitoring is not required. As a result, staff developed a statistical relationship to estimate the highest 5-minute level in an hour, given a reported 1-hour average SO<sub>2</sub> concentration (see section 7.2.3). Thus, many of the outputs of the air quality analysis are presented with respect to statistically estimated 5-minute concentrations in excess of potential health effect benchmark values. Results of these analyses, as they relate to the adequacy of the current standards, are discussed below.

A key output of the air quality analysis is the predicted number of statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations above benchmark levels given air quality simulated to just meet the level of the current 24-hour or annual SO<sub>2</sub> standards, whichever is controlling for a given county. Under this scenario, in 40 counties selected for detailed analysis, we note that the predicted yearly mean number of statistically estimated 5-minute daily maximum concentrations > 400 ppb ranges from 1-102 days per year<sup>97</sup>, with most counties in this analysis experiencing a mean of at least 20 days per year when statistically estimated 5-minute daily SO<sub>2</sub> concentrations exceed 400 ppb (Table 7-14). In addition, the predicted yearly mean number of statistically estimated 5-minute daily maximum concentrations >200 ppb ranges from 21-171 days per year, with about half of the counties in this analysis experiencing ≥ 70 days per year when 5-minute daily maximum SO<sub>2</sub> concentrations exceed 200 ppb (Table 7-12).

### *Exposure Assessment*

When considering the St. Louis exposure results as they relate to the adequacy of the current standard, we focus on the number of asthmatics at elevated ventilation rates estimated to experience at least one benchmark exceedance given air quality that is adjusted upward to simulate just meeting the current 24-hour standard (i.e., the controlling standard in St. Louis). We note that in these analyses, if SO<sub>2</sub> concentrations are such that the St. Louis area just meets the current standard, approximately 13% of asthmatics would be estimated to experience at least

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<sup>97</sup> Air quality estimates presented in this section represent the mean number of days per year when 5-minute daily maximum SO<sub>2</sub> concentrations exceed a particular benchmark level given 2001-2006 air quality adjusted to just meet the current standards (see Tables 7-11 to 7-14).

one SO<sub>2</sub> exposure concentration greater than or equal to a 400 ppb benchmark level while at elevated ventilation rates (Figure 8-19). Similarly, approximately 46% of asthmatics would be expected to experience at least one SO<sub>2</sub> exposure concentration greater than or equal to a 200 ppb benchmark level while at elevated ventilation rates. When the St. Louis results are restricted to asthmatic children at elevated ventilation rates, approximately 25% and 73% of these children would be estimated to experience at least one SO<sub>2</sub> exposure concentration greater than or equal to the 400 ppb and 200 ppb benchmark levels, respectively (Figure 8-19).

#### *Risk results*

When considering the St. Louis risk results as they relate to the adequacy of the current standard, we note the percent of asthmatics at elevated ventilation rates likely to experience at least one lung function response given air quality that is adjusted upward to simulate just meeting the current standards. Under this scenario, 12.7% to 13.1% of exposed asthmatics at elevated ventilation rates are estimated to experience at least one moderate lung function response (defined as an increase in sRaw  $\geq$  100% (Table 9-5))<sup>98</sup>. Furthermore, 5.1% to 5.4% of exposed asthmatics at elevated ventilation rates are estimated to experience at least one large lung function response (defined as an increase in sRaw  $\geq$  200% (Table 9-5)). We also note that estimates from this analysis indicate that the percentage of exposed asthmatic children in St. Louis estimated to experience at least one moderate or large lung function response is somewhat greater than the percentage for the asthmatic population as a whole (Table 9-8). In addition, we note that comparable results were observed when moderate or greater lung function responses were defined in terms of FEV<sub>1</sub>.

#### ***10.3.4 Conclusions regarding the adequacy of the 24-hour standard***

As noted above, several lines of scientific evidence are relevant to consider in evaluating the adequacy of the current 24-hour standard to protect the public health. These include causality judgments made in the ISA, as well as the human exposure and epidemiologic evidence supporting those judgments. In particular, we note that numerous epidemiologic studies reporting positive associations between ambient SO<sub>2</sub> and respiratory morbidity endpoints were conducted in locations that met the current 24-hour standard. To the extent that these

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<sup>98</sup> The risk results presented represent the median estimate of exposed asthmatics expected to experience moderate or greater lung function decrements. Results are presented for both the probit and 2-parameter logistic functional forms. The full range of estimates can be found in Chapter 9, and in all instances the smaller estimate is a result of using the probit function to estimate the exposure-response relationship.



considerations are emphasized, the adequacy of the current standard to protect the public health would clearly be called into question. This suggests consideration of a revised 24-hour standard and/or that an additional shorter-averaging time standard may be needed to provide additional health protection for sensitive groups, including asthmatics and individuals who spend time outdoors at elevated ventilation rates. Moreover, this also suggests that an alternative SO<sub>2</sub> standard(s) should protect against health effects ranging from lung function responses and increased respiratory symptoms following 5-10 minute peak SO<sub>2</sub> exposures, to increased respiratory symptoms and respiratory-related ED visits and hospital admissions associated with 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations.

In examining the exposure- and risk-based information with regard to the adequacy of the current 24-hour SO<sub>2</sub> standard to protect the public health, we note that the results described above (and in more detail in Chapters 7-9) indicate that 5-minute exposures that can reasonably be judged important from a public health perspective are associated with air quality adjusted upward to simulate just meeting the current 24-hour standard. Therefore, exposure- and risk-based considerations reinforce the scientific evidence in supporting the conclusion that consideration should be given to revising the current 24-hour standard and/or setting a new shorter averaging time standard (e.g., 1-hour or less) to provide increased public health protection, especially for sensitive groups (e.g., asthmatics), from SO<sub>2</sub>-related adverse health effects.

## **10.4 ADEQUACY OF THE CURRENT ANNUAL STANDARD**

### **10.4.1 Introduction**

In the last review of the SO<sub>2</sub> NAAQS, retention of the annual standard was largely based on an assessment of qualitative evidence gathered from a limited number of epidemiologic studies. The strongest evidence for an association between annual SO<sub>2</sub> concentrations and adverse health effects in the 1982 AQCD was from a study conducted by Lunn et al (1967). The authors found that among children a likely association existed between chronic upper and lower respiratory tract illnesses and annual SO<sub>2</sub> levels of 70 -100 ppb in the presence of 230-301 ug/m<sup>3</sup> black smoke. Three additional studies described in the 1986 Second Addendum also suggested that long-term exposure to SO<sub>2</sub> was associated with adverse respiratory effects. Notably, studies conducted by Chapman et al. (1985) and Dodge et al. (1985) found associations between long-

term SO<sub>2</sub> concentrations (with or without high particle concentrations) and cough in children and young adults. However, it was noted that there was considerable uncertainty associated with these studies because they were conducted in locations subject to high, short-term peak SO<sub>2</sub> concentrations (i.e., locations near point sources); therefore it was difficult to discern whether this increase in cough was the result of long-term, low level SO<sub>2</sub> exposure, or repeated short-term peak SO<sub>2</sub> exposures.

It was concluded in the last review that there was no quantitative rationale to support a specific range for an annual standard (EPA, 1994b). However, it was also found that while no single epidemiologic study provided clear quantitative conclusions, there appeared to be some consistency across studies indicating the possibility of respiratory effects associated with long-term exposure to SO<sub>2</sub> just above the level of the existing annual standard (EPA, 1994b). In addition, air quality analyses conducted during the last review indicated that the short-term standards being considered (1-hour and/or 24-hour) could not by themselves prevent long-term concentrations of SO<sub>2</sub> from exceeding the level of the existing annual standard in several large urban areas. Ultimately, both the scientific evidence and the air quality analyses were used by the Administrator to conclude that retaining the existing annual standard was requisite to protect human health.

#### **10.4.2 Evidence-based considerations**

The ISA presents numerous studies published since the last review examining possible associations between long-term SO<sub>2</sub> exposure and mortality and morbidity outcomes. This includes discussion of additional epidemiologic studies examining possible associations between long-term SO<sub>2</sub> exposure and respiratory effects in children (in part, the basis for retaining the annual standard in the last review; see section 10.4.1). In addition, the ISA presents results from epidemiologic and animal toxicological studies published since the last review examining possible associations between long-term ambient SO<sub>2</sub> concentrations and adverse respiratory, cardiovascular, and birth outcomes, as well as carcinogenesis. The current ISA also discusses the possible association between long-term SO<sub>2</sub> exposure and mortality.

As an initial consideration with regard to the adequacy of the current annual standard, staff notes that the evidence relating long-term (weeks to years) SO<sub>2</sub> exposure to adverse health effects (respiratory morbidity, carcinogenesis, adverse prenatal and neonatal outcomes, and mortality) is judged to be “inadequate to infer the presence or absence of a causal relationship”

(ISA, Table 5-3). That is, the ISA finds this health evidence to be of insufficient quantity, quality, consistency, or statistical power to make a determination as to whether SO<sub>2</sub> is truly associated with these health endpoints (ISA, Table 1-2). With respect specifically to respiratory morbidity in children, the ISA presents recent epidemiologic evidence of an association with long-term exposure to SO<sub>2</sub> (ISA, section 3.4.2). However, the ISA finds the strength of these epidemiologic studies to be limited because of 1) variability in results across studies with respect to specific respiratory morbidity endpoints, 2) high correlations between long-term average SO<sub>2</sub> and co-pollutant concentrations, particularly PM, and 3) a lack of evaluation of potential confounding (ISA, section 3.4.2.1).

We also note that many epidemiologic studies demonstrating positive associations between 1-hour daily maximum or 24-hour average SO<sub>2</sub> concentrations and respiratory symptoms, ED visits, and hospitalizations were conducted in areas where ambient SO<sub>2</sub> concentrations were well below the current annual NAAQS. This evidence suggests that the current annual standard is not providing adequate protection against health effects associated with shorter-term SO<sub>2</sub> concentrations.

#### **10.4.3 Risk-based considerations**

Results of the risk characterization based on the air quality assessment provide additional insight into the adequacy of the current annual standard. Analyses in this document describe the extent to which the current annual standard provides protection against 5-minute peaks of SO<sub>2</sub> in excess of potential health effect benchmark levels. Figure 7-16 counts the number of *measured* 5-minute daily maximum SO<sub>2</sub> concentrations above the 100 -400 ppb benchmark levels for a given annual average SO<sub>2</sub> concentration. None of the monitors in this data set reported annual average SO<sub>2</sub> concentrations above the current NAAQS, but several of the monitors in several of the years frequently reported 5-minute daily maximum concentrations above the potential health effect benchmark levels. Many of these monitors where frequent exceedances were reported had annual average SO<sub>2</sub> concentrations between 5 and 15 ppb, with little to no correlation between the annual average SO<sub>2</sub> concentration and the number of 5-minute daily maximum concentrations above potential health effect benchmark levels. This suggests that the annual standard adds little in the way of protection against 5-minute peaks of SO<sub>2</sub> (see section 7.3.1).

#### **10.4.4 Conclusions regarding the adequacy of the current annual standard**

As noted above, the ISA concludes that the evidence relating long-term (weeks to years) SO<sub>2</sub> exposure to adverse health effects (respiratory morbidity, carcinogenesis, adverse prenatal and neonatal outcomes, and mortality) is “inadequate to infer the presence or absence of a causal relationship” (ISA, Table 5-3). The ISA also reports that many epidemiologic studies demonstrating positive associations between short-term (i.e. 1-hour daily maximum, 24-hour average) SO<sub>2</sub> concentrations and respiratory symptoms, as well as ED visits and hospitalizations, were conducted in areas where annual ambient SO<sub>2</sub> concentrations were well below the level of the current annual NAAQS. In addition, analyses conducted in this REA suggest that the current annual standard is not providing protection against 5-10 minute peaks of SO<sub>2</sub>. Thus, the scientific evidence and the risk and exposure information suggest that the current annual SO<sub>2</sub> standard: 1) is likely not needed to protect against health risks associated with long term exposure to SO<sub>2</sub>; and 2) does not provide adequate protection from the health effects associated with shorter-term (i.e. ≤ 24-hours). This suggests that consideration should be given to either revoking the annual standard or retaining it without revision, in conjunction with setting an appropriate short-term standard(s).

### **10.5 POTENTIAL ALTERNATIVE STANDARDS**

#### **10.5.1 Indicator**

In the last review, EPA focused on SO<sub>2</sub> as the most appropriate indicator for ambient SO<sub>x</sub>. This was in large part because other gaseous sulfur oxides (e.g., SO<sub>3</sub>) are likely to be found at concentrations many orders of magnitude lower than SO<sub>2</sub> in the atmosphere, and because most all of the health effects and exposure information was for SO<sub>2</sub>. The current ISA has again found this to be the case, and although the presence of gaseous SO<sub>x</sub> species other than SO<sub>2</sub> has been recognized, no alternative to SO<sub>2</sub> has been advanced as being a more appropriate surrogate for ambient gaseous SO<sub>x</sub>. Importantly, controlled human exposure studies and animal toxicology studies provide specific evidence for health effects following exposure to SO<sub>2</sub>. Epidemiologic studies also typically report levels of SO<sub>2</sub>, as opposed to other gaseous SO<sub>x</sub>. Because emissions that lead to the formation of SO<sub>2</sub> generally also lead to the formation of other SO<sub>x</sub> oxidation products, measures leading to reductions in population exposures to SO<sub>2</sub> can generally be expected to lead to reductions in population exposures to other gaseous SO<sub>x</sub>. Therefore, meeting an SO<sub>2</sub> standard that protects the public health can also be expected to provide some degree of

protection against potential health effects that may be independently associated with other gaseous SO<sub>x</sub> even though such effects are not discernable from currently available studies indexed by SO<sub>2</sub> alone. Given these key points, staff judges that the available evidence supports the retention of SO<sub>2</sub> as the indicator in the current review. We also note that this would be in agreement with CASAC comments offered on the second draft REA. The consensus CASAC response to Agency charge questions from the second draft REA states: “For indicator, SO<sub>2</sub> is clearly the preferred choice (Samet 2009).”

### **10.5.2 Averaging Time**

EPA established the current 24-hour and annual averaging times for the primary SO<sub>2</sub> NAAQS in 1971. As previously described, (see section 10.3.1) the 24-hour NAAQS was based on epidemiologic studies that observed associations between 24-hour average SO<sub>2</sub> levels and adverse respiratory effects and daily mortality (EPA 1982, 1994b). The annual standard was supported by a few epidemiologic studies that found an association between adverse respiratory effects and annual average SO<sub>2</sub> concentrations (EPA 1982, 1994b). Based on currently available evidence, staff concludes that different averaging time(s) be established for the primary standard(s) as part of the current review. In reaching this conclusion, staff has considered causality judgments from the ISA, results from controlled human exposure and epidemiologic studies, and SO<sub>2</sub> air quality correlations. These considerations are described in more detail below.

#### ***10.5.2.1 Evidence-based considerations***

As an initial consideration regarding the most appropriate averaging time (e.g., short-term, long-term, or a combination of both) for alternative SO<sub>2</sub> standard(s), we note (as in 10.4.1 above) that the ISA finds evidence relating long-term (weeks to years) SO<sub>2</sub> exposures to adverse health effects to be “inadequate to infer the presence or absence of a causal relationship” (ISA, Table 5-3). In contrast, the ISA judges evidence relating short-term (5-minutes to 24-hours) SO<sub>2</sub> exposure to respiratory morbidity to be “sufficient to infer a causal relationship” and short-term exposure to SO<sub>2</sub> and mortality to be “suggestive of a causal relationship” (ISA, Table 5-3). Taken together, these judgments most directly support standard averaging time(s) that focus protection on SO<sub>2</sub> exposures from 5-minutes to 24-hours.

In considering the level of support available for specific short-term averaging times, we first note the strength of evidence from human exposure and epidemiologic studies. Controlled human exposure studies exposed exercising asthmatics to 5-10 minute peak concentrations of SO<sub>2</sub> and consistently found decrements in lung function and/or respiratory symptoms. Importantly, the ISA describes the controlled human exposure studies as being the “definitive evidence” for its conclusion that there is a causal association between short-term (5-minutes to 24-hours) SO<sub>2</sub> exposure and respiratory morbidity (ISA, section 5.2). Supporting the controlled human exposure evidence is a relatively small body of epidemiologic studies describing positive associations between 1-hour daily maximum SO<sub>2</sub> levels and respiratory symptoms as well as hospital admissions and ED visits for all respiratory causes and asthma (ISA Tables 5.4 and 5.5). In addition to the 1-hour daily maximum epidemiologic evidence, there is a considerably larger body of epidemiologic studies reporting positive associations between 24-hour average SO<sub>2</sub> levels and respiratory symptoms, as well as hospitalizations and ED visits for all respiratory causes and asthma. However, as in the last review, there remains considerable uncertainty as to whether these positive associations are due to 24-hour average SO<sub>2</sub> exposures, or exposure (or multiple exposures) to short-term peaks of SO<sub>2</sub> within a 24-hour period. More specifically, when describing epidemiologic studies observing positive associations between ambient SO<sub>2</sub> and respiratory symptoms, the ISA states “that it is possible that these associations are determined in large part by peak exposures within a 24-hour period” (ISA, section 5.2). The ISA also states that the respiratory effects following 5-10 minute SO<sub>2</sub> exposures in controlled human exposure studies provides a basis for a progression of respiratory morbidity that could result in increased ED visits and hospital admissions (ISA, section 5.2).

The controlled human exposure evidence described above provides support for an averaging time that protects against 5-10 minute peak exposures. Results from the epidemiologic evidence provides support for both 1-hour and 24-hour averaging times. However, it is worth noting again that the effects observed in epidemiologic studies also may be due, at least in part and especially in 24-hour epidemiologic studies, to shorter-term peaks of SO<sub>2</sub>. Overall, the evidence mentioned above suggests that a primary concern with regard to averaging time is the level of protection provided against 5-10 minute peak SO<sub>2</sub> exposures. The evidence described above also suggests it would be appropriate to consider the degree of

protection averaging times under consideration provide against both 1-hour daily maximum and 24-hour average SO<sub>2</sub> concentrations.

#### ***10.5.2.2 Air Quality considerations***

The shortest averaging time for the current primary SO<sub>2</sub> standard is 24-hours. We therefore evaluate the potential for a standard based on 24-hour average SO<sub>2</sub> concentrations to limit 5-minute peak SO<sub>2</sub> exposures. Table 10-1 reports the ratio between 99<sup>th</sup> percentile 5-minute daily maximum and 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations for 42 monitors reporting measured 5-minute data for any year between 2004-2006. Across this set of monitors in 2004, ratios of 99<sup>th</sup> percentile 5-minute daily maximum to 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations spanned a range of 2.0 to 14.1, with an average ratio of 6.7 (Table 10-1). These results suggest that a standard based on 24-hour average SO<sub>2</sub> concentrations would not likely be an effective or efficient approach for addressing 5-minute peak SO<sub>2</sub> concentrations. That is, using a 24-hour average standard to address 5-minute peaks would likely result in over-controlling in some areas, while under-controlling in others. This analysis also suggests that a 5-minute standard would not likely be an effective or efficient means for controlling 24-hour average SO<sub>2</sub> concentrations.

Table 10-1 also reports the ratios between 99<sup>th</sup> percentile 5-minute daily maximum and 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> levels from this set of monitors. Compared to the ratios discussed above (5-minute daily maximum to 24-hour average), there is far less variability between 5-minute daily maximum and 1-hour daily maximum ratios. More specifically, 39 of the 42 monitors had 99<sup>th</sup> percentile 5-minute daily maximum to 99<sup>th</sup> percentile 1-hour daily maximum ratios in the range of 1.2 to 2.5 (Table 10-1). The remaining 3 monitors had ratios of 3.6, 4.2 and 4.6 respectively. Overall, this relatively narrow range of ratios suggests that a standard with a 1-hour averaging time would be more efficient and effective at limiting 5-minute peaks of SO<sub>2</sub> than a standard with a 24-hour averaging time. These results also suggest that a 5-minute standard could be a relatively effective means of controlling 1-hour daily maximum SO<sub>2</sub> concentrations.

**Table 10-1 Ratios of 99<sup>th</sup> percentile 5-minute daily maximums to 99<sup>th</sup> percentile 24-hour average and 1-hour daily maximum SO<sub>2</sub> concentrations for monitors reporting measured 5-minute data from years 2004-2006<sup>99</sup>**

<b>Monitor ID</b>	<b># of years</b>	<b>5-minute daily max: 24-hour average</b>	<b>5-minute daily max:1- hour daily maximum</b>
110010041	1	3.8	1.4
191770005	1	4.1	1.7
290930030	1	2.9	1.2
290930031	1	3.4	1.6
370670022	1	5.5	1.6
120890005	2	9.4	2.2
190330018	2	8.2	2
190450019	2	11.2	3.6
191390016	2	6.9	1.5
191390017	2	9.8	2.2
191390020	2	6.2	1.8
191630015	2	4.5	1.5
191770006	2	3.1	1.3
291630002	2	7	1.8
380130002	2	8.4	1.9
380150003	2	4.8	1.6
380590002	2	5.6	1.9
380590003	2	8.4	1.9
540990003	2	2	1.4
540990004	2	5.9	2
540990005	2	5.3	2
541071002	2	8.1	1.6
051190007	3	4.7	2.2
051390006	3	12	2.3
080310002	3	5.5	1.7
290770026	3	6.6	1.7
290770037	3	8.1	2.2
290990004	3	14.1	2.5
291370001	3	2.4	1.3
301110084	3	5.8	1.6
380070002	3	6.3	2.1
380130004	3	6.1	1.8
380171004	3	4.3	1.6
380250003	3	5.1	1.6
380530002	3	4	1.4
380530104	3	7.9	4.2
380530111	3	11.6	4.6
380570004	3	7.5	2.3
380650002	3	7.3	1.9
381050103	3	9.7	2.5
381050105	3	6.4	2.4
420070005	3	10.5	2

<sup>99</sup> 99<sup>th</sup> percentile 5-minute daily maximum, 1-hour daily maximum, and 24-hour average values were identified for each year a given monitor was in operation from 2004-2006. If a monitor was in operation for multiple years over that span, 99<sup>th</sup> percentile values were identified for each year, averaged, and then the appropriate ratio was determined.



Staff further evaluated the potential of the 1-hour daily maximum standards analyzed in this REA to provide protection against 24-hour average SO<sub>2</sub> exposures. The 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations in cities where key U.S. ED visit and hospitalization studies (for all respiratory causes and asthma) were conducted ranged from 16 ppb to 115 ppb (Thompson and Stewart, 2009). Moreover, effect estimates that remained statistically significant in multipollutant models with PM were found in cities with 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations ranging from approximately 36 ppb to 64 ppb. Table 10-2 uses 2004 air quality data and suggests that a 99<sup>th</sup> percentile 1-hour daily maximum standard set at a level of 50- 100 ppb would limit 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations observed in epidemiologic studies where statistically significant results were observed in multi-pollutant models with PM. That is, given a 50 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard, none of the 39 counties analyzed would be expected to have 24-hour average SO<sub>2</sub> concentrations  $\geq$  36 ppb; and, given a 100 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard, only 6 of the 39 counties (Linn, Union, Bronx, Fairfax, Hudson, and Wayne) included in this analysis would be estimated to have 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations  $\geq$  36 ppb. This analysis was also done for the years 2005 and 2006 and similar results were found (Appendix D).

**Table 10-2. 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations for 2004 given just meeting the alternative 1-hour daily maximum 99<sup>th</sup> and 98<sup>th</sup> percentile standards analyzed in the air quality assessment (note: concentrations in ppb)<sup>100</sup>.**

State	County	99 <sup>th</sup> percentile					98 <sup>th</sup> percentile	
		50	100	150	200	250	100	200
AZ	Gila	6	12	18	25	31	16	32
DE	New Castle	12	23	35	47	59	28	56
FL	Hillsborough	10	20	30	40	50	28	55
IL	Madison	12	24	36	48	60	28	56
IL	Wabash	7	13	20	27	33	19	38
IN	Floyd	8	15	23	31	39	20	41
IN	Gibson	9	18	27	36	45	20	41
IN	Lake	12	24	36	48	60	31	62
IN	Vigo	10	19	29	39	48	24	48
IA	Linn	21	42	64	85	106	49	98
IA	Muscatine	17	34	51	68	85	38	76
MI	Wayne	17	33	50	66	83	37	74
MO	Greene	12	24	36	48	60	31	62
MO	Jefferson	9	18	27	36	45	25	51
NH	Merrimack	17	33	50	66	83	39	79
NJ	Hudson	19	38	57	76	95	48	96
NJ	Union	18	36	54	72	90	44	89
NY	Bronx	23	47	70	93	117	54	107
NY	Chautauqua	13	27	40	54	67	32	65
NY	Erie	14	27	41	54	68	30	61
OH	Cuyahoga	17	34	51	67	84	40	80
OH	Lake	10	19	29	39	48	23	47
OH	Summit	12	24	36	48	61	27	55
OK	Tulsa	16	32	47	63	79	36	72
PA	Allegheny	12	23	35	47	59	30	60
PA	Beaver	10	20	30	40	51	25	49
PA	Northampton	11	23	34	45	56	36	72
PA	Warren	11	22	33	44	56	28	56
PA	Washington	15	31	46	62	77	36	71
TN	Blount	15	31	46	61	77	35	71
TN	Shelby	17	34	51	68	85	41	81
TN	Sullivan	8	16	24	32	39	23	46
TX	Jefferson	9	17	26	35	44	21	41
VA	Fairfax	23	46	69	92	116	52	103
WV	Brooke	12	24	37	49	61	31	62
WV	Hancock	15	29	44	58	73	35	69
WV	Monongalia	10	20	30	40	50	25	51
WV	Wayne	30	59	89	119	149	67	133
VI	St Croix	14	27	41	54	68	51	101

<sup>100</sup> 99<sup>th</sup> or 98<sup>th</sup> percentile 1-hour daily maximum concentrations were determined for each monitor in a given county for the years completed data were available from 2004-2006. These concentrations were averaged, and the monitor with the highest average in a given county was determined. Based on this highest average, all monitors in a given county were adjusted to just meet the potential alternative standards defined above, and for each of the years, the 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentration was identified. Iron County did not meet completeness criteria for any of these years and is therefore not part of this analysis. Results for the years 2005 and 2006 are presented in Appendix D.

As an additional matter, we note that a 99<sup>th</sup> percentile 1-hour daily maximum standard at a level of 50-150 ppb could have the effect of maintaining SO<sub>2</sub> concentrations below the level of the current 24-hour and annual standards. That is, under these alternative standard scenarios (using 2004 air quality data), there would be no counties in this analysis with a 2<sup>nd</sup> highest 24-hour average greater than 144 ppb (Table 10-3). Similarly, under these alternative standard scenarios (using 2004 air quality data), there would be no counties in this analysis with an annual average SO<sub>2</sub> concentration in excess of the current annual standard (30.4 ppb; Table 10-4). These analyses were also done with air quality from the years 2005 and 2006 and similar results were found (Appendix D).

**Table 10-3. 2<sup>nd</sup> highest 24-hour average SO<sub>2</sub> concentrations (i.e., the current 24-hour standard) for 2004 given just meeting the alternative 1-hour daily maximum 99<sup>th</sup> and 98<sup>th</sup> percentile standards analyzed in the air quality assessment (note: concentrations in ppb).<sup>101</sup>**

State	County	99 <sup>th</sup> percentile					98 <sup>th</sup> percentile	
		50	100	150	200	250	100	200
AZ	Gila	7	14	21	27	34	18	36
DE	New Castle	12	38	57	76	95	45	91
FL	Hillsborough	11	23	34	45	57	31	63
IL	Madison	14	28	42	55	69	32	65
IL	Wabash	10	19	29	39	48	28	55
IN	Floyd	8	17	25	34	42	22	44
IN	Gibson	11	21	32	43	53	24	48
IN	Lake	15	29	44	58	73	38	76
IN	Vigo	10	20	30	40	50	25	50
IA	Linn	28	57	85	113	142	65	130
IA	Muscatine	17	38	57	75	94	43	86
MI	Wayne	19	38	56	75	94	42	84
MO	Greene	17	34	51	67	84	44	87
MO	Jefferson	11	22	33	45	56	31	63
NH	Merrimack	18	37	55	74	92	44	88
NJ	Hudson	21	43	64	86	107	54	109
NJ	Union	19	38	57	77	96	47	95
NY	Bronx	25	51	76	102	127	59	117
NY	Chautauqua	21	42	63	83	104	50	100
NY	Erie	15	31	46	61	77	35	69
OH	Cuyahoga	19	38	58	77	96	47	91
OH	Lake	13	27	40	54	67	32	65
OH	Summit	17	35	52	70	87	39	79
OK	Tulsa	19	38	57	76	95	43	87
PA	Allegheny	13	28	42	56	70	32	71
PA	Beaver	10	21	31	42	52	25	51
PA	Northampton	15	30	45	60	75	48	96
PA	Warren	13	27	40	54	67	34	68
PA	Washington	16	31	50	67	84	36	77
TN	Blount	17	34	50	67	84	39	78
TN	Shelby	19	38	57	76	95	45	90
TN	Sullivan	10	21	31	42	52	30	60
TX	Jefferson	13	25	38	50	63	29	59
VA	Fairfax	26	52	78	104	130	58	117
WV	Brooke	18	36	54	72	90	46	91
WV	Hancock	17	35	52	69	86	41	82
WV	Monongalia	12	24	35	47	59	30	60
WV	Wayne	33	67	100	134	167	75	150
VI	St Croix	17	34	51	68	85	63	126

<sup>101</sup> 99<sup>th</sup> or 98<sup>th</sup> percentile 1-hour daily maximum concentrations were determined for each monitor in a given county for the years completed data were available from 2004-2006. These concentrations were averaged, and the monitor with the highest average in a given county was determined. Based on this highest average, all monitors in a given county were adjusted to just meet the potential alternative standards defined above, and for each of the years, the 2<sup>nd</sup> highest 24-hour maximum concentration was identified. Iron County did not meet completeness criteria for any of these years and is therefore not part of this analysis. Results for years 2005 and 2006 are presented in Appendix D.

**Table 10-4. Annual average SO<sub>2</sub> concentrations for 2004 given just meeting the alternative 99<sup>th</sup> and 98<sup>th</sup> percentile 1-hour daily maximum standards analyzed in the air quality assessment (note: concentrations in ppb).<sup>102</sup>**

State	County	99 <sup>th</sup> percentile					98 <sup>th</sup> percentile	
		50	100	150	200	250	100	200
AZ	Gila	1.7	3.4	5.1	6.8	8.5	4.5	9.0
DE	New Castle	2.0	4.0	6.0	7.9	9.9	4.7	9.5
FL	Hillsborough	1.6	3.2	4.7	6.3	7.9	4.4	8.7
IL	Madison	1.8	3.6	5.4	7.2	9.0	4.2	8.5
IL	Wabash	0.8	1.6	2.3	3.1	3.9	2.2	4.4
IN	Floyd	1.8	3.6	5.3	7.1	8.9	4.7	9.4
IN	Gibson	1.5	2.9	4.4	5.9	7.3	3.3	6.7
IN	Lake	2.0	4.1	6.1	8.2	10.2	5.3	10.7
IN	Vigo	1.5	3.1	4.6	6.1	7.7	3.8	7.6
IA	Linn	1.8	3.5	5.3	7.0	8.8	4.0	8.1
IA	Muscatine	2.5	5.0	7.5	10.0	12.5	5.6	11.2
MI	Wayne	2.5	5.1	7.6	10.2	12.7	5.7	11.3
MO	Greene	2.0	4.1	6.1	8.2	10.2	5.3	10.6
MO	Jefferson	1.5	2.9	4.4	5.8	7.3	4.1	8.3
NH	Merrimack	2.2	4.4	6.5	8.7	10.9	5.2	10.4
NJ	Hudson	6.4	12.8	19.3	25.7	32.1	16.2	32.5
NJ	Union	6.4	12.7	19.1	25.4	31.8	15.7	31.4
NY	Bronx	7.6	15.1	22.7	30.2	37.8	17.4	34.8
NY	Chautauqua	2.6	5.3	7.9	10.5	13.2	6.3	12.7
NY	Erie	3.1	6.1	9.2	12.2	15.3	6.9	13.8
OH	Cuyahoga	3.9	7.7	11.6	15.5	19.3	9.2	18.4
OH	Lake	2.3	4.7	7.0	9.3	11.6	5.6	11.2
OH	Summit	2.6	5.1	7.7	10.2	12.8	5.8	11.5
OK	Tulsa	3.9	7.8	11.7	15.5	19.4	8.9	17.7
PA	Allegheny	2.9	5.8	8.7	11.6	14.5	7.4	14.8
PA	Beaver	2.5	5.1	7.6	10.1	12.7	6.1	12.3
PA	Northampton	4.6	9.1	13.7	18.3	22.8	14.6	29.1
PA	Warren	2.3	4.5	6.7	9.0	11.2	5.7	11.3
PA	Washington	4.3	8.7	13.0	17.4	21.7	10.0	20.0
TN	Blount	3.0	6.1	9.1	12.1	15.2	7.0	14.0
TN	Shelby	3.5	7.0	10.4	13.9	17.4	8.2	16.5
TN	Sullivan	2.1	4.2	6.3	8.4	10.4	6.0	12.0
TX	Jefferson	1.3	2.6	3.9	5.3	6.6	3.1	6.2
VA	Fairfax	7.7	15.5	23.2	30.9	38.6	17.3	34.6
WV	Brooke	4.8	9.6	14.3	19.1	23.9	12.1	24.2
WV	Hancock	4.0	8.0	12.0	16.1	20.1	9.5	19.1
WV	Monongalia	2.2	4.3	6.5	8.7	10.9	5.5	11.1
WV	Wayne	6.1	12.2	18.3	24.4	30.6	13.7	27.4
VI	St Croix	1.2	2.4	3.7	4.9	6.1	4.5	9.1

<sup>102</sup> 99<sup>th</sup> or 98<sup>th</sup> percentile 1-hour daily maximum concentrations were determined for each monitor in a given county for the years completed data were available from 2004-2006. These concentrations were averaged, and the monitor with the highest average in a given county was determined. Based on this highest average, all monitors in a given county were adjusted to just meet the potential alternative standards defined above, and for each of the years, the annual concentration was calculated. Iron County did not meet completeness criteria for any of these years and is therefore not part of this analysis. Results for the years 2005 and 2006 are presented in Appendix D

### ***10.5.2.3 Conclusions regarding averaging time***

The air quality analyses presented above strongly support that it is likely an alternative 99<sup>th</sup> percentile (see form discussion in 10.5.3) 1-hour daily maximum standard set at an appropriate level (see level discussion in 10.5.4) can substantially reduce: (1) 5-10 minute peaks of SO<sub>2</sub> shown in human exposure studies to result in respiratory symptoms and/or decrements in lung function in exercising asthmatics, (2) 99<sup>th</sup> percentile 1-hour daily maximum air quality concentrations in cities observing positive effect estimates in epidemiologic studies of hospital admissions and ED visits for all respiratory causes and asthma, and (3) 99<sup>th</sup> percentile 24-hour average air quality concentrations found in U.S. cities where ED visit and hospitalization studies (for all respiratory causes and asthma) observed statistically significant associations in multi-pollutant models with PM (i.e., 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentration  $\geq$  36 ppb). Thus, staff concludes that a 1-hour daily maximum standard, with an appropriate form and level, can provide adequate protection against the range of health outcomes associated with averaging times from 5-minutes to 24-hours. As an additional matter, we note that this conclusion is in agreement with CASAC comments offered on the second draft SO<sub>2</sub> REA. The CASAC letter to the Administrator states: “CASAC is in agreement with having a short-term standard and finds that the REA supports a one-hour standard as protective of public health (Samet 2009).”

We note that based solely on the controlled human exposure evidence, staff also considered a 5-minute averaging time. However, staff does not favor such an approach. As in past NAAQS reviews, we have considered the stability of the design of pollution control programs in considering the elements of a NAAQS, since more stable programs are more effective, and hence result in enhanced public safety. In this review, staff has concerns about the stability of a 5-minute averaging time standard. Specific concerns relate to the number of monitors needed and the placement of such monitors given the temporal and spatial heterogeneity of 5-minute SO<sub>2</sub> concentrations. Moreover, staff is concerned that compared to longer averaging times (e.g., 1-hour, 24-hour), year-to-year variation in 5-minute SO<sub>2</sub> concentrations is likely to be substantially more temporally and spatially diverse. Consequently, staff judges that a 5-minute averaging time would not provide a stable regulatory target and therefore, is not the preferred approach to provide adequate public health protection. However, as noted above, staff’s view is that a 1-hour averaging time, given an appropriate form (see

10.5.3) and level (see 10.5.4), can adequately limit 5-minute SO<sub>2</sub> exposures and provide a more stable regulatory target than setting a 5-minute standard.

### **10.5.3 Form**

When evaluating alternative forms in conjunction with specific levels, staff considers the adequacy of the public health protection provided by the combination of level and form to be the foremost consideration. In addition, we recognize that it is important that the standard have a form that is reasonably stable. As just explained in the context of a five-minute averaging time, a standard set with a high degree of instability could have the effect of reducing public health protection because shifting in and out of attainment could disrupt an area's ongoing implementation plans and associated control programs.

#### ***10.5.3.1 Evidence-based considerations***

As previously mentioned, staff recognizes that the adequacy of the public health protection provided by a 1-hour daily maximum potential alternative standard will be dependent on the combination of form and level. It is therefore important that the particular form selected for a 1-hour daily maximum potential alternative standard reflect the nature of the health risks posed by increasing SO<sub>2</sub> concentrations. That is, the form of the standard should reflect results from human exposure studies demonstrating that the percentage of asthmatics affected, and the severity of the respiratory response (i.e. decrements in lung function, respiratory symptoms) increases as SO<sub>2</sub> concentrations increase (see section 4.2.2). Taking this into consideration, staff finds that a concentration-based form is more appropriate than an exceedance-based form. This is because a concentration-based form averaged over three years (see below) would give proportionally greater weight to 1-hour daily maximum SO<sub>2</sub> concentrations that are well above the level of the standard, than to 1-hour daily maximum SO<sub>2</sub> concentrations that are just above the level of the standard. In contrast, an expected exceedance form would give the same weight to 1-hour daily maximum SO<sub>2</sub> concentrations that are just above the level of the standard, as to 1-hour daily maximum SO<sub>2</sub> concentrations that are well above the level of the standard. Therefore, a concentration-based form better reflects the continuum of health risks posed by increasing SO<sub>2</sub> concentrations (i.e. the percentage of asthmatics affected and the severity of the response increases with increasing SO<sub>2</sub> concentrations).

### ***10.5.3.2 Risk-based considerations***

In considering specific concentration-based forms, we recognize the importance of: 1) minimizing the number of days per year that an area could exceed the level of the standard and still attain the standard; 2) limiting the prevalence of 5-minute peaks of SO<sub>2</sub>; and 3) providing a stable regulatory target to prevent areas from frequently shifting in and out of attainment. Given this, we have focused on 98<sup>th</sup> and 99<sup>th</sup> percentile forms averaged over 3 years. We first note that in most locations analyzed, the 99<sup>th</sup> percentile form of a 1-hour daily maximum standard would correspond to the 4<sup>th</sup> highest daily maximum concentration in a year, while a 98<sup>th</sup> percentile form would correspond approximately to the 7<sup>th</sup> to 8<sup>th</sup> highest daily maximum concentration in a year (Table 10-5; see Thompson, 2009). In addition, results from the air quality analysis suggest that at a given SO<sub>2</sub> standard level, a 99<sup>th</sup> percentile form is appreciably more effective at limiting 5-minute peak SO<sub>2</sub> concentrations than a 98<sup>th</sup> percentile form (Figures 7-27 and 7-28<sup>103</sup>). Compared to the same standard with a 99<sup>th</sup> percentile form, a 98<sup>th</sup> percentile 1-hour daily maximum standard set at 200 ppb allows for on average, an estimated 68 and 86% more days per year when 5-minute SO<sub>2</sub> concentrations are greater than 200 and 400 ppb respectively (Figure 7-27). Similarly, compared to the same standard with a 99<sup>th</sup> percentile form, a 98<sup>th</sup> percentile 1-hour daily maximum standard at 100 ppb allows for on average, an estimated 90 and 74% more days per year when SO<sub>2</sub> concentrations are greater than 200 and 400 ppb respectively<sup>104</sup> (Figure 7-28). We also note that in the 40 counties selected for detailed air quality analysis, the estimated number of benchmark exceedances using a 98<sup>th</sup> percentile 1-hour daily maximum standard level of 200 ppb was similar to the corresponding 99<sup>th</sup> percentile standard at 250 ppb (Tables 7-11 through 7-14). Similarly, the estimated number of benchmark exceedances considering a 98<sup>th</sup> percentile standard at 100 ppb fell within the range of benchmark exceedances estimated for 99<sup>th</sup> percentile standards at 100 and 150 ppb (Tables 7-11 through 7-14).

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<sup>103</sup> In these figures, the two air quality scenarios were compared on a monitor-to-monitor basis (see section 7.3)

<sup>104</sup> Compared to a 200 ppb standard, a standard at 100 ppb results in far fewer site-years experiencing benchmark exceedances (see Figures 7-27 and 7-28).



**Table 10-5. SO<sub>2</sub> concentrations (ppb) corresponding to the 2<sup>nd</sup>-9<sup>th</sup> daily maximum and 98<sup>th</sup>/99<sup>th</sup> percentile forms for alternative 1-hour daily maximum standards (2004-2006).<sup>105</sup>**

County	State	SO <sub>2</sub> Daily Maximums								Percentiles	
		2nd	3 <sup>rd</sup>	4th	5 <sup>th</sup>	6th	7th	8th	9th	99th	98th
Gila	AZ	36	33	28	26	25	23	22	21	28	22
New Castle	DE	17	15	15	13	13	12	12	12	15	12
Hillsborough	FL	13	12	12	11	11	10	9	8	12	9
Madison	IL	16	15	14	14	13	13	12	12	14	12
Wabash	IL	21	19	17	17	15	13	13	12	15	13
Floyd	IN	21	19	17	16	14	14	13	12	17	13
Lake	IN	15	12	11	11	10	9	9	8	11	9
Vigo	IN	15	13	13	12	11	11	10	10	13	10
Linn	IA	11	10	10	9	10	9	10	9	10	8
Muscatine	IA	15	15	14	13	13	12	12	12	14	12
Wayne	MI	14	13	13	12	12	12	11	11	13	12
Greene	MO	10	9	8	7	7	6	6	6	8	6
Jefferson	MO	50	43	41	34	31	29	28	27	35	25
Merrimack	NH	16	16	15	14	14	13	13	13	15	13
Hudson	NJ	6	6	6	6	5	5	5	5	6	5
Union	NJ	7	7	6	5	5	5	5	4	6	5
Bronx	NY	8	7	7	7	6	6	6	6	6	6
Chautauqua	NY	11	11	10	10	9	9	8	8	10	8
Erie	NY	17	15	13	12	12	12	11	11	13	11
Cuyahoga	OH	9	9	8	7	7	7	7	7	8	7
Lake	OH	19	19	18	17	16	15	15	14	18	15
Summit	OH	17	16	15	14	14	14	13	13	15	13
Tulsa	OK	11	9	8	8	7	7	7	7	8	7
Allegheny	PA	13	12	11	10	10	9	9	9	11	9
Beaver	PA	30	25	23	22	20	19	19	18	13	11
Northampton	PA	19	17	15	14	12	10	9	9	15	9
Warren	PA	26	24	23	22	19	18	18	18	23	18
Washington	PA	12	11	10	10	9	9	9	9	10	9
Blount	TN	21	20	19	19	18	17	17	16	19	17
Shelby	TN	12	10	9	8	8	8	7	7	9	7
Sullivan	TN	24	22	21	19	16	15	14	14	21	14
Jefferson	TX	15	14	13	12	12	11	11	10	13	11
Fairfax	VA	5	5	4	4	4	4	4	4	4	4
Brooke	WV	21	18	16	14	14	13	12	12	16	12
Hancock	WV	18	17	16	15	15	14	13	13	16	13
Monongalia	WV	23	22	18	17	16	15	14	14	17	14
St Croix	VI	16	13	9	8	5	5	5	4	5	4

As an additional matter, staff compared trends in 98<sup>th</sup> and 99<sup>th</sup> percentile design values, as well as design values based on the 4<sup>th</sup> highest daily maximum from 54 sites located in the 40 counties selected for detailed analysis (see Thompson, 2009). These results suggest that at the

<sup>105</sup> Table 10-5 displays the 2nd through 9th highest, and the 99th and 98th percentiles of the daily maximums for each of the counties. For the alternative daily metrics (the nth maximum and percentiles), the statistics were computed for each year and then averaged over 2004-2006 (see Thompson 2009).

vast majority of sites, there would have been similar changes in 98<sup>th</sup> and 99<sup>th</sup> percentile design values over the last ten years (i.e. based evaluating overlapping three year intervals over the last ten years; see Thompson, 2009). These results also demonstrate that design values based on the 4<sup>th</sup> highest daily maximum are virtually indistinguishable from design values based on the 99<sup>th</sup> percentile. For illustrative purposes, design value trends for four of these sites are presented in Figure 10-1. As part of this analysis, all of the design values over this ten year period for all 54 sites were aggregated and the standard deviation calculated (see Thompson, 2009). Results demonstrate similar standard deviations – i.e. similar stability -- based on aggregated 98<sup>th</sup> or aggregated 99<sup>th</sup> percentile design values over the ten year period (Figure 10-2; see Thompson 2009).

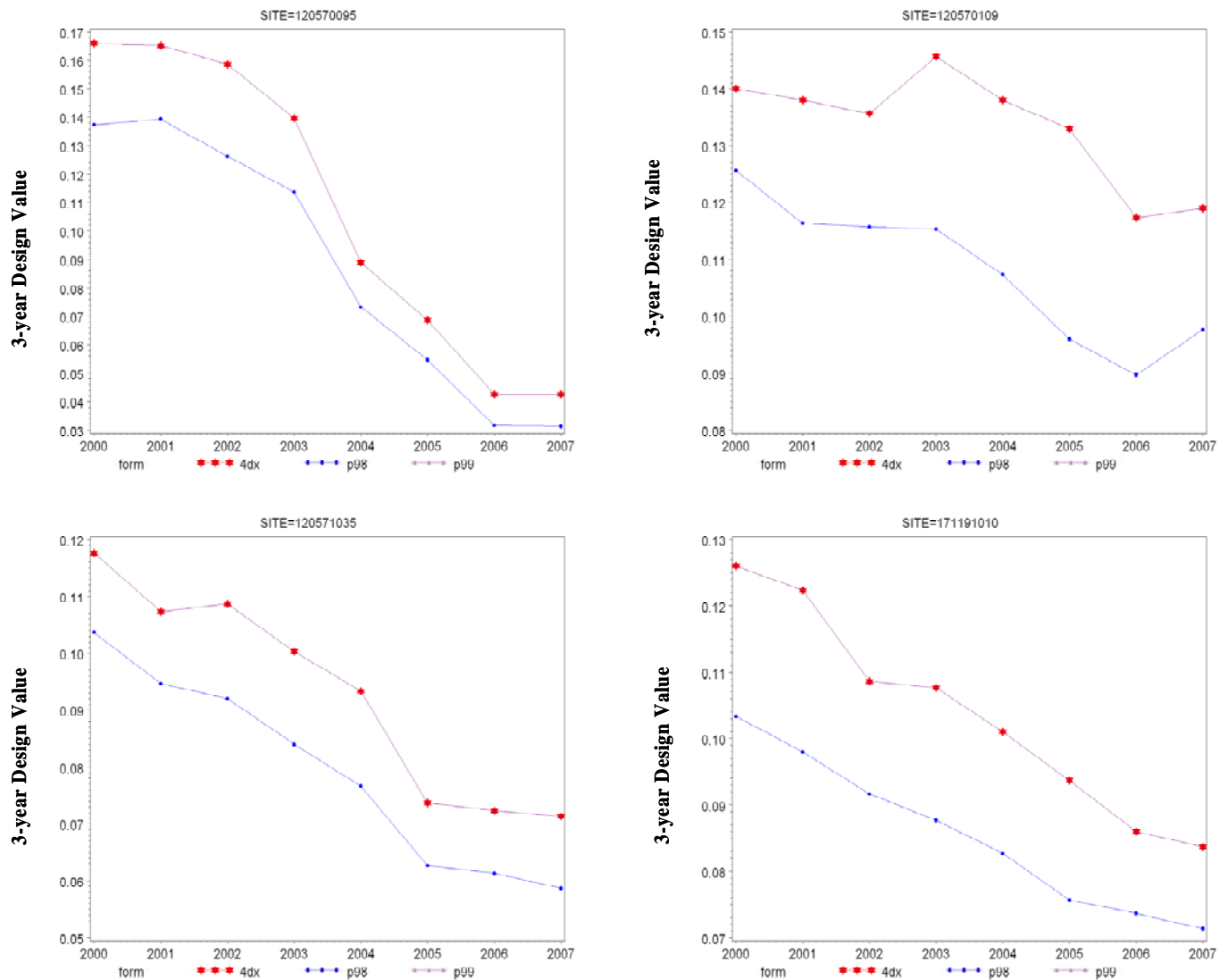
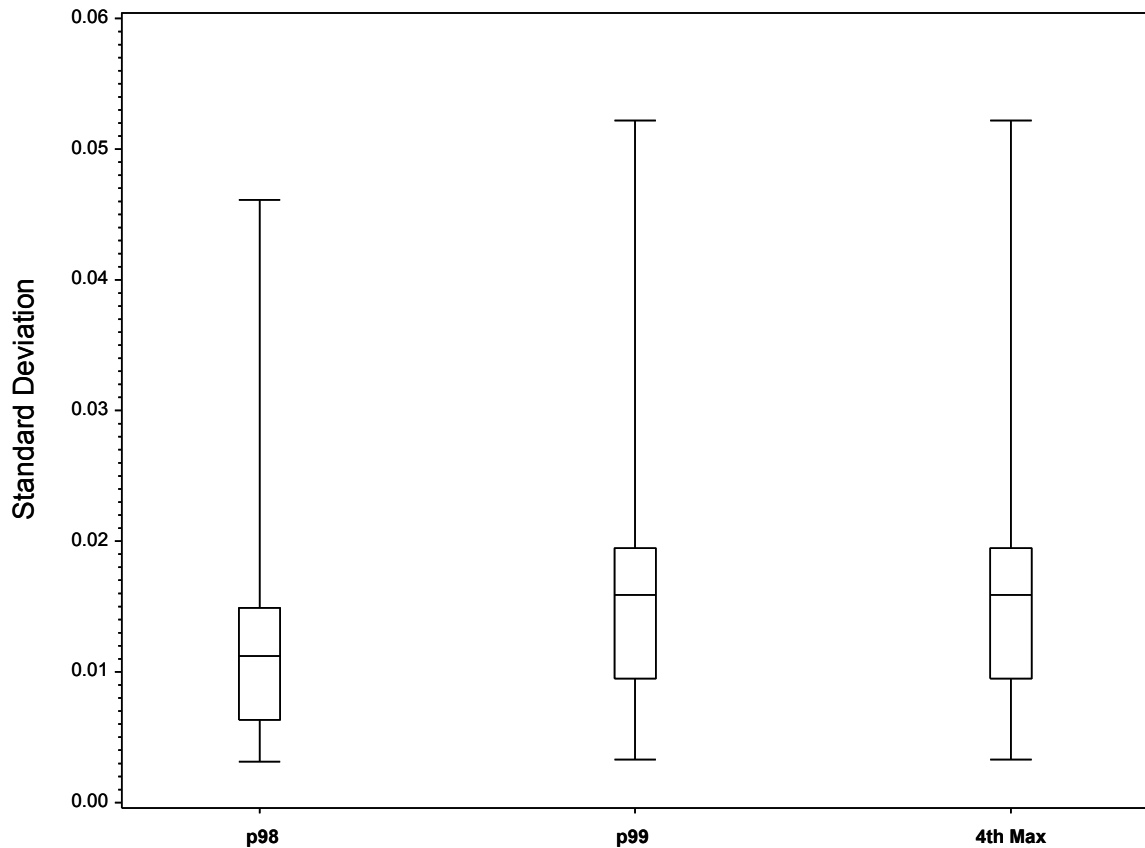


Figure 10-1. Design value trends from 4 of the 54 sites analyzed in Thompson 2009.<sup>106</sup>

<sup>106</sup> There were 8 possible 3-year design values from 1997 to 2007 (e.g. 1997-1999, 1998-2000, etc.). Thus, the design values presented in Figure 10-1 represent the 3-year average of the annual 98<sup>th</sup> percentile or 99<sup>th</sup> percentile 1-hour daily maximum, or the 3-year average of 4<sup>th</sup> highest of the 1-hour daily maximum. (Thompson 2009).



**Figure 10-2. Boxplots of the distributions of standard deviations for alternative air quality standard forms.**

### ***10.5.3.3 Conclusions regarding form***

Staff concludes that a concentration-based form provides the best protection against the health risks posed by increasing SO<sub>2</sub> concentrations (see 10.5.3.1). We also find that at a given standard level, a 99<sup>th</sup> percentile or 4<sup>th</sup> highest daily maximum form provides appreciably more public health protection against 5-minute peaks than a 98<sup>th</sup> percentile or 7<sup>th</sup> - 8<sup>th</sup> highest daily maximum form (see 10.5.3.2). In addition, over the last 10 years and for the vast majority of the sites examined, there appears to be little difference in 98<sup>th</sup> and 99<sup>th</sup> percentile design value stability (see 10.5.3.2). Thus, staff concludes that consideration be given primarily to a 1-hour daily maximum standard with a 99<sup>th</sup> percentile or 4<sup>th</sup> highest daily maximum form.

#### **10.5.4 Level**

In sections 10.3.3.3 and 10.4.4 staff concluded that the health evidence presented above in Chapter 4 and the air quality, exposure, and risk information presented in Chapters 7-9 clearly call into question the adequacy of the current SO<sub>2</sub> standards to protect public health with an adequate margin of safety from the respiratory effects of SO<sub>2</sub>. In considering potential alternative standards that would provide increased public health protection against these respiratory effects, staff concluded in section 10.5.1 that the most appropriate indicator remains SO<sub>2</sub>. In section 10.5.2, staff concluded that an alternative standard with a 1-hour averaging time, set at an appropriate level, can provide adequate protection against the range of respiratory effects observed in both controlled human exposure studies of 5-10 minutes, as well as epidemiologic studies using longer averaging times. In addition, section 10.5.3 concluded that a 99th percentile or 4<sup>th</sup> highest daily maximum form averaged over three years was most appropriate for potential standards using a 1-hour averaging time. Here, we consider 99<sup>th</sup> percentile 1-hour daily maximum alternative standard levels that would provide greater public health protection against SO<sub>2</sub>-related adverse respiratory effects than that afforded by the current standards. As an initial consideration, we note that Table 10-6 demonstrates that although all counties in the U.S. meet the current 24-hour and annual standards, all of the potential alternative 1-hour daily maximum standard levels (50-250 ppb) analyzed in the air quality, exposure, and risk analyses would be estimated to result in counties in the U.S. with air quality above the level of the given alternative standard. Thus, to varying extents, meeting any of the potential alternative 1-hour daily maximum standards analyzed in this document would represent reductions in ambient SO<sub>2</sub> levels based on air quality from 2004-2006, as well as reductions from SO<sub>2</sub> concentrations that would be allowed under the current standards. All of these potential standards would consequently result in some increased public health protection.

**Table 10-6. Percent of counties that may be above the level of alternative standards (based on years 2004-2006)**

Alternative Standards and Levels (ppb)	Percent of counties, total and by region not likely to meet a given standard								
	Total Counties (population in millions)	Northeast	Southeast	Industrial Midwest	Upper Midwest	Southwest	Northwest	Southern CA	Outside Regions
<b>Number of counties with monitors</b>	<b>211 (96.5)</b>	<b>52</b>	<b>40</b>	<b>75</b>	<b>19</b>	<b>7</b>	<b>9</b>	<b>6</b>	<b>3</b>
3 year 99 <sup>th</sup> percentile daily 1-hour max:									
250	1 (0.4)	0	0	1	0	14	0	0	33
200	3 (0.8)	0	3	4	0	14	0	0	33
150	10 (2.4)	2	5	20	5	14	0	0	33
100	22 (13.5)	8	13	47	5	14	0	0	33
50	54 (43.5)	38	55	81	37	14	22	0	33
3 year 98 <sup>th</sup> percentile daily 1-hour max:									
200	1 (0.4)	0	0	1	0	14	0	0	33

#### ***10.5.4.1 Evidence, Air Quality, Exposure and Risk-based considerations***

Chapter 4 discussed the controlled human exposure and epidemiologic evidence with respect to the judgments of causality presented in the ISA. In Chapter 5, our evaluation of the health evidence informed the selection of potential alternative SO<sub>2</sub> standards that would be analyzed in the air quality, exposure, and risk analyses. In Chapter 6, potential health effect benchmark values for use in the air quality and exposure analyses were derived from SO<sub>2</sub> concentrations found in controlled human exposure studies to result in decrements in lung function and/or respiratory symptoms in exercising asthmatics. In this chapter, staff also used the controlled human exposure and the epidemiologic evidence to inform judgments about the adequacy of the current SO<sub>2</sub> standards, and to inform staff conclusions about the indicator, averaging time, and form for potential alternative SO<sub>2</sub> standards.

Staff now considers the health evidence as it relates to evaluating 99<sup>th</sup> percentile 1-hour daily maximum alternative standard levels.<sup>107</sup> In doing so, we have considered the extent to which a variety of alternative standard levels would limit the magnitude and frequency of 1-hour SO<sub>2</sub> concentrations to provide sufficient protection for at-risk populations against experiencing various respiratory health effects including moderate or greater decrements in lung function, respiratory symptoms, and respiratory-related ED visits and hospitalizations. We note that these health endpoints are logically linked together in that the controlled human exposure evidence demonstrating moderate or greater decrements in lung function and/or respiratory symptoms in exercising asthmatics is recognized by the ISA as supporting the plausibility of associations between ambient SO<sub>2</sub> and the respiratory morbidity endpoints (i.e., respiratory symptoms, emergency department visits, and hospital admissions) reported in epidemiologic studies.

In assessing the extent to which potential alternative standard levels with a 1-hour averaging time and a 99<sup>th</sup> percentile form limit the array of health outcomes reported in both controlled human exposure and epidemiologic studies, we first note the air quality information provided by authors of key U.S. ED visit and hospitalization epidemiologic studies. This information was presented earlier in Figures 5-1 to 5-4 and is described in detail in Thompson and Stewart (2009). This information characterizes 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> air quality levels in cities and time periods corresponding to key U.S. studies of ED visits and

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<sup>107</sup> We note that these considerations are also relevant for consideration of alternative standard levels in conjunction with a 4<sup>th</sup> highest daily maximum form.

hospitalizations for all respiratory causes and asthma. This information provides the most direct evidence for effects in cities with particular 99<sup>th</sup> percentile 1-hour SO<sub>2</sub> levels, and hence, is of particular relevance here. This information suggests that the strongest epidemiologic evidence of an association between ambient SO<sub>2</sub> and ED visits and hospitalizations is in cities where 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations ranged from about 75 to 150 ppb. In this range, there are numerous studies that reported positive associations between ambient SO<sub>2</sub> and respiratory related ED visits and hospitalizations (although all results were not statistically significant). In addition, this range of SO<sub>2</sub> levels importantly contains a cluster of epidemiologic studies demonstrating statistically significant results in multi-pollutant models with PM. More specifically, in epidemiologic studies conducted in the Bronx, NY (78 ppb; NYDOH 2006,) and in NYC, NY (82 ppb; Ito et al., 2007), the SO<sub>2</sub> effect estimate remained positive and statistically significant in multi-pollutant models with PM<sub>2.5</sub> (ISA, Table 5-5). Moreover, in an epidemiologic study conducted in New Haven, CT (150 ppb; Schwartz et al., 1995), the SO<sub>2</sub> effect estimate remained positive and statistically significant in a multi-pollutant model with PM<sub>10</sub>. Staff notes that while statistical significance in co-pollutant models is an important consideration, it is not necessary for appropriate consideration of and reliance on such epidemiologic evidence.<sup>108</sup> However, the existence of these studies particularly supports consideration of standards levels at and below the range observed in these studies. Given this body of epidemiologic evidence, staff concludes that alternative standard levels at and below 75 ppb should be considered to provide protection against the effects observed in these studies.

With regard to the epidemiologic studies mentioned above, we also note that most of the ED visit and hospitalization effect estimates reported in these studies are with respect to *24-hour average SO<sub>2</sub> concentrations*. Thus, staff investigated whether a 99<sup>th</sup> percentile 1-hour daily maximum standard at approximately 75 ppb would also limit the *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations* observed in the cluster of studies finding statistically significant results in multipollutant models with PM. Considering these studies, we note that the lowest *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentration* reported in a study location finding statistically significant associations in a multipollutant model with PM was 36 ppb in Bronx, NY (NYDOH

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<sup>108</sup> For example, evidence of a pattern of results from a group of studies that find effect estimates similar in direction and magnitude would warrant consideration of and reliance on such studies even if the studies did not all report statistically significant associations in single- or multi-pollutant models



2006). A standard of approximately 75 ppb was not analyzed in the air quality analysis, but given a 50 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard, none of the counties analyzed in our analysis would be expected to have *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations  $\geq$  36 ppb* (Table 10-2). However, given a 100 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard, six of the counties included in the 40-county air quality analysis would be estimated to have *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations  $\geq$  36 ppb*<sup>109</sup>. Thus, although not directly analyzed, a 1-hour standard set at 75 ppb would be expected to limit 24-hour average concentrations from exceeding 36 ppb in most, if not all, these counties. This analysis further indicates that a 99<sup>th</sup> percentile 1-hour daily maximum standard level should be considered at or below 75 ppb to provide protection against the effects observed in this cluster of epidemiologic studies.

Staff also considered findings from controlled human exposure studies when evaluating potential alternative standard levels. In doing so, we again note that the ISA finds that the most consistent evidence of decrements in lung function and/or respiratory symptoms is from controlled human exposure studies exposing exercising asthmatics to SO<sub>2</sub> concentrations  $\geq$  400 ppb (ISA, section 3.1.3.5). At SO<sub>2</sub> concentrations  $\geq$  400 ppb, moderate or greater bronchoconstriction occurs in 20-60% of exercising asthmatics, and compared to exposures at 200- 300 ppb, a larger percentage of subjects experience severe bronchoconstriction. Moreover, at concentrations  $\geq$  400 ppb, statistically significant moderate or greater bronchoconstriction is frequently accompanied by respiratory symptoms (ISA, Table 5-1). Controlled human exposure evidence has also demonstrated decrements in lung function in exercising asthmatics following 5-10 minute SO<sub>2</sub> exposures starting as low as 200-300 ppb in free-breathing chamber studies. At concentrations ranging from 200 - 300 ppb, the lowest levels tested in free breathing chamber studies, 5-30% percent of exercising asthmatics are likely to experience moderate or greater bronchoconstriction. However, at these lower levels, moderate or greater bronchoconstriction has not been shown to be statistically significant, nor is it frequently accompanied by respiratory symptoms. On the other hand, for understandable ethical reasons, it must also be noted that the subjects participating in these controlled human exposure studies do not necessarily represent the most SO<sub>2</sub> sensitive individuals (e.g. severe asthmatics). Thus, it is reasonable to anticipate that

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<sup>109</sup> Given a 99<sup>th</sup> percentile 1-hour daily maximum standard at 100 ppb, 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations are estimated to be greater than 36 ppb in Linn, Union, Bronx, Hudson, Fairfax, and Wayne counties (Table 10-2)

individuals who are more SO<sub>2</sub> sensitive would have a greater response at 200-300 ppb SO<sub>2</sub>, and/or would respond to SO<sub>2</sub> concentrations even lower than 200 ppb. Similarly, there is no evidence to suggest that 200 ppb represents a threshold below which no adverse respiratory effects occur. In fact, very limited evidence from two mouthpiece exposure studies suggests that exposure to 100 ppb SO<sub>2</sub> can result in small decrements in lung function<sup>110</sup>. Moreover, while not directly comparable to free-breathing chamber studies, findings from these mouthpiece studies may be particularly relevant to those asthmatics who breathe oronasally even at rest (EPA, 1994b). Taken together, staff concludes that the level of a 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> standard should be set so as to substantially limit the number of estimated 5-minute peaks  $\geq$  400 ppb, while also appreciably limiting SO<sub>2</sub> concentrations  $\geq$  200 ppb.

In evaluating the extent to which alternative standard levels provide substantial protection against 5-minute SO<sub>2</sub> concentrations  $\geq$  400 ppb, we first note the results of our 40 county air quality analysis. As described above, epidemiologic studies support consideration of levels of a 99<sup>th</sup> percentile 1-hour daily maximum standard at or below 75 ppb. Thus, it would be instructive to determine if a standard set at approximately 75 ppb would also substantially limit 5-minute SO<sub>2</sub> concentrations  $>$  400 ppb. Results of the air quality analysis indicate that just meeting a 99<sup>th</sup> percentile 1-hour daily maximum standard at 50 ppb would result in 0 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations are  $>$  400 ppb, whereas a standard at 100 ppb would result in at most 2 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations are  $>$  400 ppb (Table 7-14)<sup>111</sup>. Given the results associated with 99<sup>th</sup> percentile 1-hour daily maximum standards at 50 and 100 ppb, it is reasonable to conclude that a 99<sup>th</sup> percentile 1-hour daily maximum standard at 75 ppb would also substantially limit ambient 5-minute SO<sub>2</sub> concentrations  $\geq$  400 ppb.

In further evaluating the extent to which potential alternative standard levels limit 5-minute SO<sub>2</sub> exposures  $\geq$  400 ppb, we consider the results of the St. Louis exposure analysis.<sup>112</sup>

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<sup>110</sup> As first noted in Chapter 6, studies utilizing a mouthpiece exposure system cannot be directly compared to studies involving freely breathing subjects, as nasal absorption of SO<sub>2</sub> is bypassed during oral breathing, thus allowing a greater fraction of inhaled SO<sub>2</sub> to reach the tracheobronchial airways. As a result, individuals exposed to SO<sub>2</sub> through a mouthpiece are likely to experience greater respiratory effects from a given SO<sub>2</sub> exposure. Nonetheless, these studies do provide very limited evidence for SO<sub>2</sub>-induced respiratory effects at 100 ppb.

<sup>111</sup> Air quality estimates presented in this section represent the mean number of days per year when 5-minute daily maximum SO<sub>2</sub> concentrations exceed a particular benchmark level given 2001-2006 air quality adjusted to just meet alternative 99<sup>th</sup> percentile 1-hour daily maximum standards at 50, 100, or 150 ppb (see Tables 7-11 to 7-14).

<sup>112</sup> As described in section 10.3.3.2, staff is primarily considering the St. Louis exposure and risk results when evaluating the adequacy of the current and potential alternative 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> standards.

Results indicate air quality just meeting a 99<sup>th</sup> percentile 1-hour daily maximum standard at 50 or 100 ppb would result in an estimated < 1% of asthmatics at elevated ventilation rates experiencing at least one 5-minute daily maximum SO<sub>2</sub> exposure  $\geq$  400 ppb (Figure 8-19). Similarly, this analysis also indicates that air quality just meeting a 50 or 100 ppb standard would result in an estimated < 1% of asthmatic children at elevated ventilation rates experiencing at least one 5-minute daily maximum SO<sub>2</sub> exposure  $\geq$  400 ppb. These results necessarily suggest that a standard at approximately 75 ppb would also substantially limit exposures of all asthmatics and asthmatic children to SO<sub>2</sub> concentrations  $\geq$  400 ppb.

We next evaluated the extent to which 99<sup>th</sup> percentile 1-hour daily maximum standard levels provide appreciable protection against 5-minute SO<sub>2</sub> concentrations  $\geq$  200 ppb. Results of the 40 county air quality analysis indicate that a standard level of 50 ppb would result in at most 2 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be > 200 ppb, whereas a standard level of 100 ppb would result in at most 13 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be > 200 ppb (Table 7-12). Thus, a standard set at 75 ppb would result in somewhere between 2 and 13 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be > 200 ppb.

Results from the St. Louis exposure analysis estimate that air quality just meeting a 50 ppb, or 100 ppb 1-hour daily maximum standard would result in a corresponding < 1% or 1.5% of asthmatics at elevated ventilation rates experiencing at least one 5-minute daily maximum SO<sub>2</sub> exposure  $\geq$  200 ppb (Figure 8-19). Moreover, just meeting a 50 ppb, or 100 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard would be estimated to result in a corresponding <1% or 2.7% of asthmatic children at elevated ventilation rates experiencing at least one 5-minute daily maximum SO<sub>2</sub> exposure  $\geq$  200 ppb (Figure 8-19). Thus, a standard set at 75 ppb would be estimated to result in somewhere between <1 and 1.5% of asthmatics, or <1 and 2.7% of asthmatic children, at elevated ventilation rates experiencing at least one 5-minute daily maximum SO<sub>2</sub> exposure  $\geq$  200 ppb.

As an additional consideration, we note the results of the St. Louis risk assessment indicate that a 99<sup>th</sup> percentile 1-hour daily maximum standard at 75 ppb would likely provide appreciable protection against moderate or greater lung function responses. More specifically, given a 99<sup>th</sup> percentile 1-hour daily maximum standard at 50 ppb, the median percentage of asthmatics at elevated ventilation rates estimated to experience at least one  $\geq$  100% increase in

sRaw ranges from 0.3% to 0.7% (and 0.4% to 0.9% for asthmatic children)<sup>113</sup>. In addition, given air quality just meeting a 100 ppb standard, the estimated median percentage of asthmatics at elevated ventilation rates experiencing at least one  $\geq 100\%$  increase in sRaw ranges from 1.3 to 1.9% (and 2.1 to 2.9% for asthmatic children) (Table 9-5). Thus, we can expect that a standard at 75 ppb would limit risk estimates to somewhere between the risks associated with the 50 and 100 ppb, 99th percentile 1-hour daily maximum standards.

Being mindful that the most severe effects associated with SO<sub>2</sub> exposure are those observed in epidemiologic studies (i.e. respiratory-related ED visits and hospitalizations), staff concludes that consideration also should be given to a standard level of 50 ppb. A 99<sup>th</sup> percentile 1-hour daily maximum standard at 50 ppb would provide an increased margin safety against the air quality levels observed in the cluster of epidemiologic studies observing statistically significant positive associations between SO<sub>2</sub> and respiratory-related ED visits and hospitalizations in studies with multipollutant models with PM (i.e. 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> concentrations  $\geq 78$  ppb). Moreover, as demonstrated in Table 10-2, a 99<sup>th</sup> percentile 1-hour daily maximum standard set at 50 ppb would also be expected to limit *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations* significantly. That is, given a 1-hour daily maximum standard set at 50 ppb, Table 10-2 demonstrates that most counties included in the 40-county air quality analysis would have *99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations* below 15 ppb, ranging from 6-30 ppb.

Recognizing that there are important uncertainties associated with the controlled human exposure evidence, we note that a 99<sup>th</sup> percentile 1-hour daily maximum standard set at 50 ppb could also be considered if emphasis is placed on the: 1) uncertainty that the participants in controlled human exposure studies do not represent the most SO<sub>2</sub> sensitive individuals; and/or 2) very limited evidence suggesting decrements in lung function down to 100 ppb when SO<sub>2</sub> is administered via mouthpiece (see section 6.2). Under this scenario, we note that a standard set at 50 ppb would provide increased protection against 5-minute SO<sub>2</sub> concentrations  $\geq 100$  ppb. Results from the 40 county air quality analysis indicate that a 99<sup>th</sup> percentile 1-hour daily maximum standard set at 50 ppb would be estimated to result in at most 13 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations are  $> 100$  ppb (Table 7-11).

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<sup>113</sup> As first noted in section 10.3.3.2, results are presented for both the probit and 2-parameter logistic functional forms. The full range of estimates can be found in Chapter 9, and in all instances the smaller estimate is a result of using the probit function to estimate the exposure-response relationship.

In addition, the St. Louis exposure analysis estimates that a 50 ppb 99<sup>th</sup> percentile 1-hour daily maximum standard would likely result in 1.5% of asthmatics, and 2.7% of asthmatic children at elevated ventilation rates experiencing at least one SO<sub>2</sub> concentration  $\geq$  100 ppb per year (Figure 8-19).

In considering alternative standard levels  $>$  100 ppb, we first note that as mentioned in section 10.3.3, staff concluded that exposure to 5-10 minute SO<sub>2</sub> concentrations at least as low as 200 ppb can result in adverse respiratory effects in some asthmatics. Thus, in order to limit 5-10 minute SO<sub>2</sub> concentrations from exceeding 200 ppb, the level of a 99<sup>th</sup> percentile 1-hour daily maximum standard would have to be  $<$  200 ppb. We note that this conclusion is in accord with consensus CASAC comments following their review of the second draft REA. The CASAC letter to the Administrator states: “the draft REA appropriately implies that levels greater than 150 ppb are not adequately supported.”

This letter also stated that “an upper limit of 150 ppb posited in Chapter 10 could be justified under some interpretations of weight of evidence, uncertainties, and policy choices regarding margin of safety” (Samet 2009). A 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> standard set in this range would have to place considerable weight on the uncertainties in the epidemiologic health evidence presented in the ISA. That is, the emphasis on the uncertainties would have to lead to a judgment that effects reported in epidemiologic studies are due in large part to co-occurring pollutants, rather than to SO<sub>2</sub>. Under this scenario, results of the 40 county air quality analysis indicate that just meeting a 99<sup>th</sup> percentile 1-hour daily maximum standard set at a level of 150 ppb would result in at most 7 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be  $>$  400 ppb (Table 7-14). In addition, the St. Louis exposure analysis indicates that a 99<sup>th</sup> percentile 1-hour daily maximum standard at 150 ppb would be estimated to result in  $\leq$  1% of asthmatics, or asthmatic children at elevated ventilation rates experiencing at least one SO<sub>2</sub> exposure  $\geq$  400 ppb (Figure 8-19). Taken together, it can reasonable be concluded that a 99<sup>th</sup> percentile 1-hour daily maximum standard up to 150 ppb could similarly limit SO<sub>2</sub> exposures  $\geq$  400 ppb when compared to standards in the range of 50-100 ppb<sup>114</sup>.

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<sup>114</sup> Given a 50 or 100 ppb standard, the 40 county air quality analysis estimated at most 0 to 2 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be  $\geq$  400 ppb. In addition, the St. Louis exposure analysis indicated that  $\leq$  1% of asthmatics, or asthmatic children at elevated ventilation rates would be expected to experience at least one SO<sub>2</sub> exposure  $\geq$  400 ppb.

However, it is important to note that a 99<sup>th</sup> percentile 1-hour daily maximum standard up to 150 ppb would provide considerably less protection against 5-minute SO<sub>2</sub> concentrations  $\geq$  200 ppb than standards in the range of 50 -100 ppb. Results of the 40 county air quality analysis indicate that a 99<sup>th</sup> percentile 1-hour daily maximum standard at 150 ppb would result in at most 24 days per year when statistically estimated 5-minute daily maximum SO<sub>2</sub> concentrations would be  $> 200$  ppb. Moreover, the St. Louis exposure analysis indicates that a 150 ppb standard would be estimated to result in 6.4% of all asthmatics, and 11.6% of asthmatic children experiencing an SO<sub>2</sub> exposure  $\geq 200$  ppb (Figure 8-19). Finally, we consider the results of the St. Louis risk assessment. This assessment indicates that given a 150 ppb standard, the estimated median percentage of exposed asthmatics at elevated ventilation rates estimated to experience at least one  $\geq 100\%$  increase in sRaw per year ranges from 2.9% to 3.6% (and 4.6% to 5.4% for asthmatic children). Several aspects of these assessment results raise questions as to the sufficiency of the protection that would be provided by a standard set at this level, when compared to similar standards at or below 75 ppb.

#### ***10.5.4.1 Conclusions regarding level***

Staff concludes that the health evidence and the air quality, exposure, and risk information presented above most strongly support consideration of 99<sup>th</sup> percentile 1-hour daily maximum standards in the range of 50- 75 ppb. However, if significant weight is placed on the uncertainties in the epidemiologic and controlled human exposure evidence, levels up to 150 ppb could be considered, recognizing the questions that would be raised by levels at the higher end of this range. Staff recognizes that selecting an appropriate level that will protect public health with an adequate margin of safety will be based on the relative weight given to different types of information from the air quality, exposure, and risk assessment, as well as to the evidence, and the uncertainties associated with the evidence and assessments.

#### ***10.5.4.2 Implications for the Current SO<sub>2</sub> Standards***

Finally, staff recognizes that the particular level selected for a new 1-hour daily maximum standard will have implications for reaching decisions on whether to retain or revoke the current 24-hour and annual standards. That is, with respect to SO<sub>2</sub>-induced respiratory morbidity, the lower the level selected for a 99<sup>th</sup> percentile 1-hour daily maximum standard, the less additional public health protection the current standards would be expected to provide. As

an initial consideration, we note that all 99<sup>th</sup> percentile 1-hour daily maximum SO<sub>2</sub> standard levels being considered (i.e. 50 – 150 ppb) are expected to prevent ambient SO<sub>2</sub> concentrations in the 40 counties analyzed in the air quality analysis from exceeding the levels of the current 24-hour and annual standards (Tables 10-3 and 10-4). Moreover, Table 10-6 demonstrates that given any of the potential alternative 1-hour daily maximum standards in this range, there would be counties in the U.S. expected to have air quality above the level of that standard. However, this does not rule out the possibility that the current standards could still offer some degree of additional protection in some parts of the country not currently monitoring for SO<sub>2</sub>.

Based on these considerations, staff finds it reasonable to conclude that if a new 99<sup>th</sup> percentile 1-hour daily maximum standard is selected with a level from the upper end of the range that staff has identified for consideration, then in addition to setting a 99<sup>th</sup> percentile 1-hour daily maximum standard, consideration should also be given to retaining the existing 24-hour and/or annual standards. However, if the selected level of a 99<sup>th</sup> percentile 1-hour daily maximum standard is in the lower end of the range, it could reasonably be concluded that consideration should be given to revoking the current 24-hour and/or annual NAAQS.

## 10.6 KEY OBSERVATIONS

The following observations reflect staff's views and conclusions:

- The scientific evidence and the risk and exposure information call into question the adequacy of the current standards to protect public health with an adequate margin of safety.
- In considering potential alternative standards, SO<sub>2</sub> remains the most appropriate indicator ambient SO<sub>x</sub>.
- A 1-hour daily maximum standard, set at an appropriate level, can provide adequate protection against the range of health outcomes associated with averaging times from 5-minutes to 24-hours.
- Consideration should be given primarily to establishing a new 1-hour daily maximum standard with a 99<sup>th</sup> percentile or 4<sup>th</sup> highest daily maximum form.
- The health evidence and the air quality, exposure, and risk information presented above most strongly support consideration of 99<sup>th</sup> percentile (or 4<sup>th</sup> highest) 1-hour daily maximum standards in the range of 50- 75 ppb. Consideration should also be given to standard levels above this range, up to 150 ppb, to the extent that significant weight is placed on the uncertainties in the epidemiologic and controlled human exposure evidence, recognizing the questions that would be raised by levels at the higher end of this range.

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## **Appendix A: Supplement to the SO<sub>2</sub> Air Quality Characterization**



## Overview

This appendix contains supplementary information on the SO<sub>2</sub> ambient monitoring data used in the air quality characterization described in Chapter 7 of the SO<sub>2</sub> REA. Included in this appendix are spatial and temporal attributes important for understanding the relationship between the ambient monitor and those sources affecting air quality measurements.

In section A.1, important spatial characteristics described include the physical locations of the ambient monitors (e.g., U.S. states, counties, territories, and cities). Temporal attributes of interest include, for example, the number of samples collected, sample averaging times, and years of monitoring data available. Attributes of the monitors that reported both the 5-minute maximum and the 1-hour SO<sub>2</sub> concentrations are given in Tables A.1-1 and A.1-2, while the supplemental characteristics of the broader ambient monitoring network are given in Table A.1-3 and A.1-4. The method for calculating the proximity of the ambient monitors follows, along with the distance and emission results summarized in Table A.1-5.

Section A.2 details the analyses performed on simultaneous concentrations, some of which are the result of co-located monitoring instruments, others the result of duplicate reporting. Simultaneous measurements were identified by staff using monitor IDs and multiple concentrations present given the hour-of-day on each available date. Staff estimated a relative percent difference between the simultaneous measurements at each monitor.

Section A-3 has the tables summarizing the COV and GSD peak-to-mean ratio (PMRs). Section A-4 has tables summarizing the individual factors used in adjusting ambient air quality to just meet the current and potential alternative SO<sub>2</sub> air quality standards. Section A-5 summarizes measured 1-hour concentrations and number of days per year with air quality benchmark exceedances occurring at the 98 monitors reporting 5-minute maximum SO<sub>2</sub> concentrations.

## **A.1 Spatial and Temporal Attributes of Ambient SO<sub>2</sub> Monitors**

**Table A.1-1. Meta-data for 98 ambient monitors reporting 5-minute maximum and corresponding 1-hour SO<sub>2</sub> concentrations.**

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height (m)	Years		
										n	First	Last
AR	Pulaski	051190007	34.756111	-92.275833	POP	URB	COM	NEI	4	6	2002	2007
AR	Pulaski	051191002	34.830556	-92.259444	HIC	RUR	FOR	NEI	4	5	1997	2001
AR	Union	051390006	33.215	-92.668889	UNK	URB	COM		4	11	1997	2007
CO	Denver	080310002	39.75119	-104.98762	HIC	URB	COM	NEI	5	10	1997	2006
DC	District of Columbia	110010041	38.897222	-76.952778	POP	URB	RES	NEI		6	2000	2007
DE	New Castle	100031008	39.577778	-75.611111	UNK	RUR	AGR			2	1997	1998
FL	Nassau	120890005	30.658333	-81.463333	HIC	SUB	IND	NEI	2	4	2002	2005
IA	Cerro Gordo	190330018	43.16944	-93.202426	UNK	SUB	RES		4	5	2001	2005
IA	Clinton	190450019	41.823283	-90.211982	UNK	URB	IND	MID		5	2001	2005
IA	Muscatine	191390016	41.419429	-91.070975	UNK	URB	RES		3	5	2001	2005
IA	Muscatine	191390017	41.387969	-91.054504	UNK	SUB	IND		4	5	2001	2005
IA	Muscatine	191390020	41.407796	-91.062646	UNK	SUB	IND		4	5	2001	2005
IA	Scott	191630015	41.530011	-90.587611	HIC	URB	RES	NEI	4	5	2001	2005
IA	Van Buren	191770005	40.689167	-91.994444	UNK	RUR	FOR		3	4	2001	2004
IA	Van Buren	191770006	40.695078	-92.006318	GEN	RUR	FOR		3	2	2004	2005
IA	Woodbury	191930018	42.399444	-96.355833	POP	URB	RES		3	2	2001	2002
LA	West Baton Rouge	221210001	30.501944	-91.209722	HIC	SUB	COM		2	4	1997	2000
MO	Buchanan	290210009	39.731389	-94.8775	GEN	URB	IND	NEI	3	4	1997	2000
MO	Buchanan	290210011	39.731389	-94.868333	GEN	URB	IND	NEI	3	4	2000	2003
MO	Greene	290770026	37.128333	-93.261667	POP	SUB	RES		3	11	1997	2007
MO	Greene	290770037	37.11	-93.251944	POP	RUR	RES		4	11	1997	2007
MO	Iron	290930030	37.466389	-90.69	SRC	RUR	RES	NEI	4	8	1997	2004
MO	Iron	290930031	37.519444	-90.7125	UNK	RUR	AGR		2	8	1997	2004
MO	Jefferson	290990004	38.2633	-90.3785	POP	RUR	IND		3	4	2004	2007
MO	Jefferson	290990014	38.267222	-90.379444	OTH	RUR	RES	NEI	4	5	1997	2001
MO	Jefferson	290990017	38.252778	-90.393333	UNK	SUB	RES		5	4	1998	2001
MO	Jefferson	290990018	38.297694	-90.384333	HIC	SUB	RES	NEI	5	3	2001	2003
MO	Monroe	291370001	39.473056	-91.789167	UNK	RUR	UNK			11	1997	2007
MO	Pike	291630002	39.3726	-90.9144	HIC	RUR	RES	NEI	3	3	2005	2007
MO	Saint Charles	291830010	38.579167	-90.841111	UNK	RUR	AGR		3	2	1997	1998

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height (m)	Years		
										n	First	Last
MO	Saint Charles	291831002	38.8725	-90.226389	UNK	RUR	AGR		2	4	1997	2000
MT	Yellowstone	301110066	45.788318	-108.459536	SRC	RUR	RES	NEI	3.5	7	1997	2003
MT	Yellowstone	301110079	45.769439	-108.574292	POP	SUB	COM		4.5	4	1997	2003
MT	Yellowstone	301110080	45.777149	-108.47436	UNK	RUR	AGR		4	5	1997	2001
MT	Yellowstone	301110082	45.783889	-108.515	POP	URB	COM	NEI	3	3	2001	2003
MT	Yellowstone	301110083	45.795278	-108.455833	SRC	SUB	AGR		4	5	1999	2003
MT	Yellowstone	301110084	45.831453	-108.449964	POP	SUB	RES	NEI	4.5	4	2003	2006
MT	Yellowstone	301112008	45.786389	-108.523056	UNK	URB	RES		3	1	1997	1997
NC	Forsyth	370670022	36.110556	-80.226667	POP	URB	RES	NEI	3	8	1997	2004
NC	New Hanover	371290006	34.268403	-77.956529	GEN	RUR	IND	URB	3	4	1999	2002
ND	Billings	380070002	46.8943	-103.37853	GEN	RUR	AGR	REG	12.2	10	1998	2007
ND	Billings	380070003	46.9619	-103.356699	HIC	RUR	IND	URB	4	1	1997	1997
ND	Burke	380130002	48.9904	-102.7815	SRC	RUR	AGR	REG	4	7	1999	2005
ND	Burke	380130004	48.64193	-102.4018	REG	RUR	AGR	REG	4	5	2003	2007
ND	Burleigh	380150003	46.825425	-100.76821	POP	SUB	RES	URB	4	3	2005	2007
ND	Cass	380171003	46.910278	-96.795	POP	SUB	RES	URB	4	2	1997	1998
ND	Cass	380171004	46.933754	-96.85535	POP	SUB	AGR	URB	3	10	1998	2007
ND	Dunn	380250003	47.3132	-102.5273	GEN	RUR	AGR	REG	4	11	1997	2007
ND	McKenzie	380530002	47.5812	-103.2995	GEN	RUR	AGR	REG	4	9	1997	2007
ND	McKenzie	380530104	47.575278	-103.968889	SRC	RUR	AGR	URB	3	10	1998	2007
ND	McKenzie	380530111	47.605556	-104.017222	SRC	RUR	IND	URB	3	10	1998	2007
ND	Mercer	380570001	47.258853	-101.783035	POP	SUB	RES	NEI	5	3	1997	1999
ND	Mercer	380570004	47.298611	-101.766944	POP	RUR	AGR	URB	4	9	1999	2007
ND	Morton	380590002	46.84175	-100.870059	SRC	SUB	IND	NEI	4	9	1997	2005
ND	Morton	380590003	46.873075	-100.905039	SRC	SUB	IND	NEI	4	8	1998	2005
ND	Oliver	380650002	47.185833	-101.428056	SRC	RUR	AGR	URB	3	11	1997	2007
ND	Steele	380910001	47.599703	-97.899009	GEN	RUR	AGR	REG	3	4	1997	2000
ND	Williams	381050103	48.408834	-102.90765	SRC	RUR	IND	URB	4	6	2002	2007
ND	Williams	381050105	48.392644	-102.910233	SRC	RUR	IND	URB	4	6	2002	2007
PA	Allegheny	420030002	40.500556	-80.071944	POP	SUB	RES	NEI	6	3	1997	1999
PA	Allegheny	420030021	40.413611	-79.941389	POP	SUB	RES	NEI	6	4	1997	2002
PA	Allegheny	420030031	40.443333	-79.990556	POP	URB	COM	NEI	13	3	1997	1999
PA	Allegheny	420030032	40.414444	-79.942222	UNK	SUB	RES		5	3	1997	1999

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height (m)	Years		
										n	First	Last
PA	Allegheny	420030064	40.323611	-79.868333	POP	SUB	RES	NEI	8	4	1997	2002
PA	Allegheny	420030067	40.381944	-80.185556	GEN	RUR	RES	NEI	9	3	1997	1999
PA	Allegheny	420030116	40.473611	-80.077222	POP	SUB	RES	NEI	5	4	1997	2002
PA	Allegheny	420031301	40.4025	-79.860278	HIC	SUB	RES	NEI	9	3	1997	1999
PA	Allegheny	420033003	40.318056	-79.881111	POP	SUB	IND		5	4	1997	2002
PA	Allegheny	420033004	40.305	-79.888889	UNK	SUB	RES		8	3	1997	1999
PA	Beaver	420070002	40.56252	-80.503948	REG	RUR	AGR	REG	3	2	1997	1998
PA	Beaver	420070005	40.684722	-80.359722	POP	RUR	AGR	URB	3	8	1997	2007
PA	Berks	420110009	40.320278	-75.926667	HIC	SUB	RES	NEI	4	3	1997	1999
PA	Cambria	420210011	40.309722	-78.915	HIC	URB	COM	NEI	12	3	1997	1999
PA	Erie	420490003	42.14175	-80.038611	HIC	SUB	COM	NEI	4	3	1997	1999
PA	Philadelphia	421010022	39.916667	-75.188889	HIC	URB	IND	NEI	7	5	1997	2001
PA	Philadelphia	421010048	39.991389	-75.080833	UNK	RUR	RES		5	3	1997	1999
PA	Philadelphia	421010136	39.9275	-75.222778	POP	URB	RES	NEI	4	7	1997	2003
PA	Warren	421230003	41.857222	-79.1375	HIC	SUB	RES	NEI	4	2	1997	1998
PA	Warren	421230004	41.844722	-79.169722	HIC	RUR	FOR	NEI	4	2	1997	1998
PA	Washington	421250005	40.146667	-79.902222	POP	SUB	COM	NEI	2	3	1997	1999
PA	Washington	421250200	40.170556	-80.261389	POP	SUB	RES	NEI	4	3	1997	1999
PA	Washington	421255001	40.445278	-80.420833	REG	RUR	AGR	REG	4	2	1997	1998
SC	Barnwell	450110001	33.320344	-81.465537	SRC	RUR	FOR	URB	3.1	3	2000	2002
SC	Charleston	450190003	32.882289	-79.977538	POP	URB	COM	NEI	4.3	3	2000	2002
SC	Charleston	450190046	32.941023	-79.657187	SRC	RUR	FOR	REG	4	3	2000	2002
SC	Georgetown	450430006	33.362014	-79.294251	SRC	URB	IND	NEI	2.13	3	2000	2002
SC	Greenville	450450008	34.838814	-82.402918	POP	URB	COM	NEI	4	3	2000	2002
SC	Lexington	450630008	34.051017	-81.15495	SRC	SUB	COM	NEI	3.35	2	2001	2002
SC	Oconee	450730001	34.805261	-83.2377	REG	RUR	FOR	REG	4.3	3	2000	2002
SC	Richland	450790007	34.093959	-80.962304	OTH	SUB	COM	NEI	3	3	2000	2002
SC	Richland	450790021	33.81468	-80.781135	GEN	RUR	FOR	URB	4.42	3	2000	2002
SC	Richland	450791003	34.024497	-81.036248	POP	URB	COM	MID	4	2	2001	2002
UT	Salt Lake	490352004	40.736389	-112.210278	HIC	RUR	IND			2	1997	1998
WV	Wayne	540990002	38.39186	-82.583923	POP	RUR	IND	NEI	4	1	2002	2002
WV	Wayne	540990003	38.390278	-82.585833	HIC	RUR	RES	NEI	3	4	2002	2005
WV	Wayne	540990004	38.380278	-82.583889	HIC	RUR	RES	NEI	3	4	2002	2005

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height (m)	Years		
										n	First	Last
WV	Wayne	540990005	38.372222	-82.588889	HIC	RUR	RES	NEI	3	4	2002	2005
WV	Wood	541071002	39.323533	-81.552367	POP	SUB	IND	URB	4	5	2001	2005
<b>Notes:</b> <sup>1</sup> Objectives are POP=Population Exposure; HIC=Highest Concentration; SRC=Source Oriented; GEN=General/Background; REG=Regional Transport; OTH=Other; UNK=Unknown <sup>2</sup> Settings are R=Rural; U=Urban and Center City; S=Suburban <sup>3</sup> Land Uses are AGR=Agricultural; COM=Commercial; IND=Industrial; FOR=Forest; RES=Residential; UNK=Unknown <sup>4</sup> Scales are NEI=Neighborhood; MID=Middle; URB=URBAN; REG=Regional												

1  
2

**Table A.1-2. Population density, concentration variability, and total SO<sub>2</sub> emissions associated with 98 ambient monitors reporting 5-minute maximum and corresponding 1-hour SO<sub>2</sub> concentrations.**

State	County	Monitor ID	Population Residing Within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5 km	10 km	15 km	20 km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
AR	Pulaski	051190007	67784	178348	270266	334649	hi	a	a	20
AR	Pulaski	051191002	45800	109372	230200	310362	mid	a	a	20
AR	Union	051390006	21877	29073	32652	36340	mid	b	a	2527
CO	Denver	080310002	189782	574752	1158644	1608099	hi	b	b	26354
DE	New Castle	100031008	5386	80025	192989	391157	low	b	b	39757
DC	District of Columbia	110010041	216129	813665	1461563	2029936	hi	a	a	18325
FL	Nassau	120890005	17963	21386	38521	48316	mid	c	b	5050
IA	Cerro Gordo	190330018	21247	30341	39284	45105	mid	c	c	10737
IA	Clinton	190450019	24561	37638	42404	45947	mid	b	c	9388
IA	Muscatine	191390016	20360	27101	31886	40248	mid	b	b	31137
IA	Muscatine	191390017	11109	27101	31696	36604	mid	b	b	31054
IA	Muscatine	191390020	20360	27101	31886	40290	mid	c	c	31054
IA	Scott	191630015	90863	201277	268535	293627	hi	b	c	9415
IA	Van Buren	191770005	994	2252	3764	6984	low	b	b	
IA	Van Buren	191770006	994	2252	3764	6984	low	a	b	
IA	Woodbury	191930018	4449	44815	92956	112802	low	b	c	36833
LA	West Baton Rouge	221210001	21249	137455	239718	366741	mid	b	b	31242
MO	Buchanan	290210009	23253	72613	87121	93365	mid	c	b	3563
MO	Buchanan	290210011	28224	75073	86317	93365	mid	b	b	3563
MO	Greene	290770026	41036	146752	224445	256158	mid	c	b	9206
MO	Greene	290770037	21784	110681	210953	254437	mid	c	b	9206
MO	Iron	290930030	1121	1121	4507	8447	low	c	c	43340
MO	Iron	290930031	0	3799	6585	8436	low	c	b	43340
MO	Jefferson	290990004	15049	33379	64516	124301	mid	c	c	55725
MO	Jefferson	290990014	11967	35082	61963	125932	mid	c	b	55725
MO	Jefferson	290990017	19711	36471	60199	116882	mid	c	b	55725
MO	Jefferson	290990018	12258	41709	79196	170110	mid	c	b	32468
MO	Monroe	291370001	0	1439	2093	5612	low	a	a	
MO	Pike	291630002	645	2077	6916	11249	low	b	b	13495
MO	Saint Charles	291830010	2637	6349	34541	90953	low	b	b	47610
MO	Saint Charles	291831002	4587	95765	273147	431484	low	b	b	67735

State	County	Monitor ID	Population Residing Within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5 km	10 km	15 km	20 km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
MT	Yellowstone	301110066	27389	79644	98733	107178	mid	b	c	5480
MT	Yellowstone	301110079	61645	89282	102887	114640	hi	b	a	5480
MT	Yellowstone	301110080	33774	86065	104825	108399	mid	b	b	5480
MT	Yellowstone	301110082	58256	94753	103200	106046	hi	b	a	5480
MT	Yellowstone	301110083	27620	76641	98733	109475	mid	b	b	5480
MT	Yellowstone	301110084	22577	59919	97912	110980	mid	b	b	15298
MT	Yellowstone	301112008	61335	95574	103200	106046	hi	b	b	5480
NC	Forsyth	370670022	61669	170320	258102	325974	hi	b	b	3945
NC	New Hanover	371290006	17957	83529	145330	170260	mid	c	c	30020
ND	Billings	380070002	0	0	1887	1887	low	a	a	283
ND	Billings	380070003	0	888	1887	1887	low	a	a	283
ND	Burke	380130002	0	0	0	625	low	b	b	
ND	Burke	380130004	655	655	655	655	low	b	b	426
ND	Burleigh	380150003	49591	67377	83082	84415	mid	b	a	4592
ND	Cass	380171003	48975	134561	144878	154455	mid	b	a	771
ND	Cass	380171004	2118	91149	145789	148002	low	a	b	756
ND	Dunn	380250003	0	0	0	537	low	a	a	5
ND	McKenzie	380530002	0	596	596	596	low	a	a	210
ND	McKenzie	380530104	0	521	521	2283	low	b	a	
ND	McKenzie	380530111	0	0	2283	5771	low	c	a	823
ND	Mercer	380570001	3280	3280	5902	6465	low	b	b	91617
ND	Mercer	380570004	3280	4428	5902	7455	low	b	a	91617
ND	Morton	380590002	17925	67959	75685	84415	mid	c	c	4592
ND	Morton	380590003	10305	31348	75685	82584	mid	b	b	4592
ND	Oliver	380650002	0	0	2057	2670	low	b	b	28565
ND	Steele	380910001	0	934	934	934	low	a	a	
ND	Williams	381050103	0	1259	1259	1827	low	c	b	1605
ND	Williams	381050105	0	1259	1259	1827	low	b	c	1605
PA	Allegheny	420030002	83332	277442	651551	961378	hi	b	b	1964
PA	Allegheny	420030021	170777	560187	921490	1142754	hi	b	b	52447
PA	Allegheny	420030031	183843	580429	877668	1145039	hi	a	b	46957
PA	Allegheny	420030032	174072	558904	922097	1144558	hi	b	b	52447
PA	Allegheny	420030064	64846	201143	520438	943781	hi	b	c	11490



State	County	Monitor ID	Population Residing Within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5 km	10 km	15 km	20 km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
PA	Allegheny	420030067	13277	86792	324154	610975	mid	a	b	1167
PA	Allegheny	420030116	96820	331624	704601	996267	hi	b	b	1964
PA	Allegheny	420031301	115432	411867	766188	1088115	hi	b	b	52100
PA	Allegheny	420033003	55221	202092	509708	944188	hi	b	c	11490
PA	Allegheny	420033004	38588	170065	461433	904760	mid	b	b	11501
PA	Beaver	420070002	3434	28961	68617	120780	low	b	b	187257
PA	Beaver	420070005	17292	77240	143738	224631	mid	b	c	41385
PA	Berks	420110009	121330	203799	250610	309553	hi	a	b	14817
PA	Cambria	420210011	50440	79710	102905	124592	hi	a	b	16779
PA	Erie	420490003	81199	150626	190212	209983	hi	b	b	4122
PA	Philadelphia	421010022	316944	985213	1726387	2446142	hi	a	b	18834
PA	Philadelphia	421010048	262592	1102727	1938877	2607877	hi	b	b	6214
PA	Philadelphia	421010136	382995	985957	1718068	2381173	hi	b	b	21700
PA	Warren	421230003	14142	19940	25715	32490	mid	b	b	4890
PA	Warren	421230004	13965	18884	28805	33523	mid	b	c	4890
PA	Washington	421250005	31276	68512	111222	183285	mid	a	b	8484
PA	Washington	421250200	32125	52910	83324	118188	mid	b	b	7
PA	Washington	421255001	1359	15854	43364	126091	low	b	b	2566
SC	Barnwell	450110001	0	4022	13647	21554	low	a	a	65
SC	Charleston	450190003	40872	132716	273298	364953	mid	b	b	34934
SC	Charleston	450190046	1103	1103	9529	22255	low	b	a	
SC	Georgetown	450430006	10567	18215	22467	34357	mid	b	b	40841
SC	Greenville	450450008	70221	173012	284047	379022	hi	a	a	1067
SC	Lexington	450630008	42208	131361	257820	355854	mid	b	b	10433
SC	Oconee	450730001	0	2260	11136	26182	low	a	a	5
SC	Richland	450790007	35872	121006	255135	353072	mid	a	a	613
SC	Richland	450790021	1666	4643	13324	33098	low	b	a	40492
SC	Richland	450791003	87097	213836	300874	396116	hi	a	a	12935
UT	Salt Lake	490352004	0	4074	35159	124394	low	a	a	3735
WV	Wayne	540990002	17320	62645	124477	178576	mid	a	b	10172
WV	Wayne	540990003	17320	59989	123349	177744	mid	b	b	10172
WV	Wayne	540990004	16553	54251	122072	179815	mid	b	b	10172
WV	Wayne	540990005	13314	48330	114824	173807	mid	b	b	10172

State	County	Monitor ID	Population Residing Within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5 km	10 km	15 km	20 km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
WV	Wood	541071002	24917	70324	104458	128127	mid	b	b	48124
<b>Notes:</b> <sup>1</sup> Population bins: low ( $\leq 10,000$ ); mid (10,001 to 50,000); hi ( $> 50,000$ ) using population within 5 km of ambient monitor. <sup>2</sup> COV bins: a ( $\leq 100\%$ ); b ( $> 100$ to $\leq 200$ ); c ( $> 200$ ). <sup>3</sup> GSD bins: a ( $\leq 2.17$ ); b ( $> 2.17$ to $\leq 2.94$ ); c ( $> 2.94$ ). <sup>4</sup> Sum of emissions within 20 km radius of ambient monitor based on 2002 NEI.										

**Table A.1-3. Meta-data for 809 ambient monitors in the broader SO<sub>2</sub> monitoring network.**

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
AL	Colbert	010330044	34.690556	-87.821389	UNK	RUR	AGR			9	1997	2005
AL	Jackson	010710020	34.876944	-85.720833	UNK	RUR	AGR		4	9	1997	2005
AL	Jefferson	010731003	33.485556	-86.915	HIC	SUB	RES	NEI	4	9	1997	2006
AL	Lawrence	010790003	34.589571	-87.109445	UNK	RUR	AGR	URB		2	1998	1999
AL	MOB	010970028	30.958333	-88.028333	HIC	SUB	IND	NEI	4	3	1997	1999
AL	MOB	010972005	30.474674	-88.14114	POP	RUR	AGR	NEI	1	3	2002	2004
AL	Montgomery	011011002	32.40712	-86.256367	HIC	SUB	COM	NEI	6	1	1997	1997
AZ	Gila	040070009	33.399135	-110.858896	SRC	URB	RES			7	1999	2005
AZ	Gila	040071001	33.006179	-110.785797	SRC	URB	IND		4	7	1999	2005
AZ	Maricopa	040130019	33.48385	-112.14257	UNK	SUB	RES			1	1998	1998
AZ	Maricopa	040133002	33.45793	-112.04601	HIC	URB	RES	NEI	11.3	9	1997	2006
AZ	Maricopa	040133003	33.47968	-111.91721	POP	SUB	RES	NEI	5.8	7	1998	2006
AZ	Pima	040191011	32.208333	-110.872222	POP	SUB	RES	NEI	5	9	1998	2006
AZ	Pinal	040212001	32.600479	-110.633598	POP	SUB	RES		4	4	1998	2005
AR	Pulaski	051190007	34.756111	-92.275833	POP	URB	COM	NEI	4	5	2002	2006
AR	Pulaski	051191002	34.830556	-92.259444	HIC	RUR	FOR	NEI	4	5	1997	2001
AR	Union	051390006	33.215	-92.668889	UNK	URB	COM		4	7	1997	2006
CA	Alameda	060010010	37.7603	-122.1925	POP	SUB	RES	NEI		1	2002	2002
CA	Contra Costa	060130002	37.936	-122.0262	SRC	SUB	RES	NEI	8.3	9	1997	2005
CA	Contra Costa	060130006	37.9478	-122.3651	UNK	URB	IND	NEI	8.5	9	1997	2005
CA	Contra Costa	060130010	38.0313	-122.1318	POP	URB	COM	NEI		1	2002	2002
CA	Contra Costa	060131001	38.055556	-122.219722	SRC	SUB	IND		7	8	1997	2004
CA	Contra Costa	060131002	38.010556	-121.641389	UNK	RUR	AGR		7	9	1997	2005
CA	Contra Costa	060131003	37.964167	-122.339167	UNK	URB	COM		6	4	1998	2001
CA	Contra Costa	060131004	37.96028	-122.35667	POP	URB	COM		20	3	2003	2005
CA	Contra Costa	060132001	38.013056	-122.133611	UNK	URB	RES		9	9	1997	2005
CA	Contra Costa	060133001	38.029167	-121.902222	HIC	URB	RES	NEI	7	9	1997	2005
CA	Imperial	060250005	32.676111	-115.483333	UNK	SUB	RES			6	1999	2005
CA	Los Angeles	060371002	34.17605	-118.31712	UNK	URB	COM		5	7	1998	2005
CA	Los Angeles	060371103	34.06659	-118.22688	UNK	URB	RES		11	6	1997	2005
CA	Los Angeles	060374002	33.82376	-118.18921	POP	SUB	RES	NEI	7	9	1997	2005

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
CA	Los Angeles	060375001	33.92288	-118.37026	POP	URB	COM	NEI	2	7	1997	2003
CA	Los Angeles	060375005	33.9508	-118.43043	UPW	SUB	RES	NEI	4	1	2005	2005
CA	Orange	060591003	33.67464	-117.92568	UNK	SUB	RES	MID	6	9	1997	2005
CA	Riverside	060658001	33.99958	-117.41601	POP	SUB	RES	NEI	7	7	1997	2005
CA	Sacramento	060670002	38.712778	-121.38	UNK	SUB	RES		5	7	1997	2006
CA	Sacramento	060670006	38.614167	-121.366944	HIC	SUB	RES	NEI	5	9	1997	2006
CA	San Bernardino	060710012	34.426111	-117.563056	UNK	RUR	COM			1	1997	1997
CA	San Bernardino	060710014	34.5125	-117.33	UNK	SUB	RES		4	3	1997	1999
CA	San Bernardino	060710306	34.51	-117.330556	UNK	SUB	RES		4	7	2000	2006
CA	San Bernardino	060711234	35.763889	-117.396111	OTH	RUR	DES		1	8	1998	2006
CA	San Bernardino	060712002	34.10002	-117.49201	POP	SUB	IND	NEI	5	5	1997	2005
CA	San Bernardino	060714001	34.418056	-117.284722	UNK	SUB	RES			1	1997	1997
CA	San Diego	060730001	32.631231	-117.059075	POP	SUB	RES	NEI	7	9	1997	2005
CA	San Diego	060731007	32.709172	-117.153975	POP	URB	COM	NEI	5	8	1997	2004
CA	San Diego	060732007	32.552164	-116.937772	POP	RUR	MOB	NEI	5	8	1997	2004
CA	San Francisco	060750005	37.766	-122.3991	UNK	URB	IND			9	1997	2005
CA	San Luis Obispo	060791005	35.043889	-120.580278	UNK	RUR	COM		4	5	1997	2001
CA	San Luis Obispo	060792001	35.125	-120.633333	UNK	SUB	RES	NEI	5	5	1997	2002
CA	San Luis Obispo	060792004	35.022222	-120.569444	UNK	RUR	IND		4	9	1997	2006
CA	San Luis Obispo	060794002	35.028333	-120.387222	POP	RUR	RES	REG	4	7	2000	2006
CA	Santa Barbara	060830008	34.462222	-120.024444	POP	RUR	UNK	REG	4	9	1997	2005
CA	Santa Barbara	060831012	34.451944	-120.457778	UNK	RUR	AGR	REG		1	1997	1997
CA	Santa Barbara	060831013	34.725556	-120.427778	UNK	RUR	AGR	NEI		9	1997	2005
CA	Santa Barbara	060831015	34.478056	-120.210833	UNK	RUR	AGR	NEI		1	1997	1997
CA	Santa Barbara	060831016	34.477778	-120.205556	UNK	RUR	AGR	NEI		1	1997	1997
CA	Santa Barbara	060831019	34.475278	-120.188889	UNK	RUR	AGR	NEI		1	1997	1997
CA	Santa Barbara	060831020	34.415278	-119.878611	UNK	RUR	AGR	NEI		6	1997	2005
CA	Santa Barbara	060831025	34.489722	-120.045833	UNK	RUR	AGR	NEI		9	1997	2005
CA	Santa Barbara	060831026	34.479444	-120.0325	UNK	RUR	AGR	NEI		2	1997	1998
CA	Santa Barbara	060831027	34.469167	-120.039444	UNK	RUR	AGR	NEI		2	1997	1998
CA	Santa Barbara	060832004	34.6375	-120.456389	POP	URB	COM	NEI		9	1997	2005
CA	Santa Barbara	060832011	34.445278	-119.827778	POP	SUB	RES	NEI		9	1997	2005
CA	Santa Barbara	060834003	34.596111	-120.630278	UNK	RUR	AGR	NEI		8	1997	2005

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
CA	Santa Cruz	060870003	37.011944	-122.193333	UNK	RUR	RES			9	1997	2006
CA	Solano	060950001	38.052222	-122.144722	UNK	URB	COM		6	1	1997	1997
CA	Solano	060950004	38.1027	-122.2382	UNK	URB	COM		8	9	1997	2005
CA	Ventura	061113001	34.255	-119.1425	HIC	RUR	RES	NEI	4	7	1997	2003
CO	Adams	080010007	39.8	-104.910833	POP	URB	RES	NEI	4	2	2002	2003
CO	Adams	080013001	39.83818	-104.94984	POP	RUR	AGR	NEI	4	9	1997	2005
CO	Denver	080310002	39.75119	-104.98762	HIC	URB	COM	NEI	5	8	1997	2006
CO	El Paso	080416001	38.633611	-104.715556	UNK	RUR	IND		4	4	1997	2000
CO	El Paso	080416004	38.921389	-104.8125	UNK	URB	RES		4	3	1997	1999
CO	El Paso	080416011	38.846667	-104.827222	UNK	URB	RES		3	4	1997	2000
CO	El Paso	080416018	38.811389	-104.751389	UNK	URB	COM		3	3	1998	2000
CT	Fairfield	090010012	41.195	-73.163333	HIC	URB	RES	NEI	3	9	1997	2005
CT	Fairfield	090010017	41.003611	-73.585	UNK	SUB	RES		3	1	1997	1997
CT	Fairfield	090011123	41.399167	-73.443056	UNK	SUB	RES		3	9	1997	2005
CT	Fairfield	090012124	41.063056	-73.528889	HIC	URB	RES	NEI		8	1997	2004
CT	Fairfield	090019003	41.118333	-73.336667	POP	RUR	FOR	NEI		8	1998	2005
CT	Hartford	090031005	42.015833	-72.518056	POP	RUR	AGR	REG	3	2	1997	1998
CT	Hartford	090031018	41.760833	-72.670833	POP	URB	COM	NEI	3	1	1997	1997
CT	Hartford	090032006	41.7425	-72.634444	HIC	SUB	IND	NEI	9	9	1997	2005
CT	New Haven	090090027	41.301111	-72.902778	POP	URB	COM	NEI	3.67	1	2005	2005
CT	New Haven	090091003	41.310556	-72.915556	UNK	SUB	IND		5	1	1997	1997
CT	New Haven	090091123	41.310833	-72.916944	HIC	URB	RES	NEI	5	7	1997	2003
CT	New Haven	090092123	41.550556	-73.043611	POP	URB	MOB	NEI	5	9	1997	2005
CT	New London	090110007	41.361111	-72.08	UNK	SUB	RES		3	2	1997	1998
CT	Tolland	090130003	41.73	-72.213611	UNK	SUB	COM	NEI	3	2	1997	1998
DE	New Castle	100031003	39.761111	-75.491944	HIC	SUB	RES	NEI	4	6	1997	2002
DE	New Castle	100031007	39.551111	-75.730833	UNK	RUR	AGR			3	2002	2006
DE	New Castle	100031008	39.577778	-75.611111	UNK	RUR	AGR			8	1997	2006
DE	New Castle	100031013	39.773889	-75.496389	POP	SUB	RES			2	2004	2006
DE	New Castle	100032002	39.757778	-75.546389	POP	URB	COM	NEI	6	2	1997	1998
DE	New Castle	100032004	39.739444	-75.558056	UNK	URB	COM			6	2000	2006
DC	District of Columbia	110010041	38.897222	-76.952778	POP	URB	RES	NEI		10	1997	2006

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
FL	Broward	120110010	26.128611	-80.167222	HIC	SUB	RES	NEI	4	8	1997	2005
FL	Duval	120310032	30.356111	-81.635556	HIC	SUB	COM	NEI	3	8	1997	2004
FL	Duval	120310080	30.308889	-81.6525	HIC	SUB	COM	MID	3	8	1997	2005
FL	Duval	120310081	30.422222	-81.621111	HIC	SUB	RES	MID	4	8	1997	2005
FL	Duval	120310097	30.367222	-81.594167	POP	SUB	COM	NEI	5	8	1997	2005
FL	Escambia	120330004	30.525	-87.204167	POP	SUB	IND	NEI	4	9	1997	2005
FL	Escambia	120330022	30.544722	-87.216111	HIC	SUB	COM	NEI	6	8	1997	2005
FL	Hamilton	120470015	30.411111	-82.783611	UNK	RUR	IND		3	10	1997	2006
FL	Hillsborough	120570021	27.947222	-82.453333	HIC	RUR	RES	NEI	2	3	1997	1999
FL	Hillsborough	120570053	27.886389	-82.481389	POP	SUB	RES	NEI	4	9	1997	2005
FL	Hillsborough	120570081	27.739722	-82.465278	UNK	UNK	UNK		4	8	1997	2005
FL	Hillsborough	120570095	27.9225	-82.401389	HIC	SUB	COM	NEI	4	9	1997	2005
FL	Hillsborough	120570109	27.856389	-82.383667	POP	SUB	COM	NEI	3	9	1997	2005
FL	Hillsborough	120571035	27.928056	-82.454722	POP	SUB	RES	NEI	4	9	1997	2005
FL	Hillsborough	120574004	27.9925	-82.125833	HIC	SUB	RES	NEI	4	6	2000	2005
FL	Manatee	120813002	27.632778	-82.546111	POP	RUR	IND	NEI	4	5	1999	2004
FL	Miami-Dade	120860019	25.8975	-80.38	POP	UNK	UNK	NEI	4	7	1997	2003
FL	Nassau	120890005	30.658333	-81.463333	HIC	SUB	IND	NEI	2	8	1997	2006
FL	Nassau	120890009	30.686389	-81.4475	HIC	SUB	RES	NEI	4	1	1997	1997
FL	Orange	120952002	28.599444	-81.363056	HIC	URB	COM	NEI	4	9	1997	2005
FL	Palm Beach	120993004	26.369722	-80.074444	HIC	SUB	COM	NEI	10	6	1997	2002
FL	Pinellas	121030023	27.863333	-82.623333	POP	RUR	IND	NEI	4	9	1997	2005
FL	Pinellas	121033002	27.871389	-82.691667	HIC	SUB	COM	NEI	3	9	1997	2005
FL	Pinellas	121035002	28.09	-82.700833	HIC	RUR	RES	NEI	4	9	1997	2005
FL	Pinellas	121035003	28.141667	-82.739722	HIC	SUB	RES	NEI	4	7	1999	2005
FL	Polk	121050010	27.856111	-82.017778	HIC	RUR	IND	NEI	2	8	1997	2004
FL	Polk	121052006	27.896944	-81.960278	HIC	SUB	IND	NEI	4	6	1997	2002
FL	Putnam	121071008	29.6875	-81.656667	HIC	RUR	IND	NEI		10	1997	2006
FL	Sarasota	121151002	27.299722	-82.524444	HIC	SUB	RES	NEI	5	1	1997	1997
FL	Sarasota	121151005	27.306944	-82.570556	POP	SUB	RES	URB	4	4	1997	2000
FL	Sarasota	121151006	27.350278	-82.48	POP	SUB	RES	NEI	5	4	2000	2003
GA	Baldwin	130090001	33.153258	-83.235807	SRC	RUR	RES	NEI	5	3	1998	2006
GA	Bartow	130150002	34.103333	-84.915278	POP	SUB	AGR	REG	5	5	1997	2004

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
GA	Bibb	130210012	32.805244	-83.543628	POP	RUR	IND	URB	4	3	1998	2003
GA	Chatham	130510019	32.093889	-81.151111	HIC	SUB	IND	URB	4	1	2000	2000
GA	Chatham	130510021	32.06905	-81.048949	SRC	SUB	COM	NEI	10	6	1998	2006
GA	Chatham	130511002	32.090278	-81.130556	POP	URB	IND	NEI	5	3	2004	2006
GA	Dougherty	130950006	31.567778	-84.102778	HIC	SUB	RES	MID	4	1	1998	1998
GA	Fannin	131110091	34.985556	-84.375278	POP	URB	IND	NEI	3	9	1997	2006
GA	Floyd	131150003	34.261113	-85.323018	POP	RUR	RES	NEI	4	10	1997	2006
GA	Fulton	131210048	33.779189	-84.395843	HIC	URB	COM	NEI	5	8	1999	2006
GA	Fulton	131210055	33.720428	-84.357449	POP	SUB	COM	NEI	5	10	1997	2006
GA	Glynn	131270006	31.16953	-81.496046	POP	SUB	RES	NEI	8	1	1999	1999
GA	Muscogee	132150008	32.521099	-84.944695	POP	SUB	RES	NEI	4	2	1999	2005
GA	Richmond	132450003	33.393611	-82.006389	POP	SUB	IND	NEI	4	3	1997	2001
HI	Honolulu	150030010	21.329167	-158.093333	SRC	RUR	IND			9	1997	2005
HI	Honolulu	150030011	21.337222	-158.119167	SRC	RUR	COM	NEI	4	6	2000	2005
HI	Honolulu	150031001	21.310278	-157.858056	POP	URB	COM	NEI	10	7	1998	2004
HI	Honolulu	150031006	21.3475	-158.113333	UNK	RUR	IND			9	1997	2005
ID	Bannock	160050004	42.916389	-112.515833	HIC	RUR	IND	NEI	3	9	1997	2005
ID	Caribou	160290003	42.661298	-111.591443	POP	URB	RES	NEI	3	3	1999	2001
ID	Caribou	160290031	42.695278	-111.593889	SRC	RUR	DES	MIC	4	4	2002	2005
ID	Power	160770011	42.9125	-112.535556	SRC	RUR	IND			1	2004	2004
IL	Adams	170010006	39.93301	-91.404237	POP	URB	COM	NEI	9	10	1997	2006
IL	Champaign	170190004	40.123796	-88.229531	POP	SUB	RES	NEI	5	4	1997	2000
IL	Cook	170310050	41.70757	-87.568574	POP	SUB	IND	NEI	8	10	1997	2006
IL	Cook	170310059	41.6875	-87.536111	HIC	SUB	IND	NEI	10	4	1997	2000
IL	Cook	170310063	41.876969	-87.63433	POP	URB	MOB	NEI	3	10	1997	2006
IL	Cook	170310064	41.790787	-87.601646	POP	SUB	RES	NEI	15	1	1997	1997
IL	Cook	170310076	41.7514	-87.713488	POP	SUB	RES	URB	4	3	2004	2006
IL	Cook	170311018	41.773889	-87.815278	HIC	SUB	IND	NEI	4	8	1997	2004
IL	Cook	170311601	41.66812	-87.99057	POP	SUB	RES	NEI	4	10	1997	2006
IL	Cook	170312001	41.662109	-87.696467	HIC	SUB	IND	NEI	9	7	1997	2003
IL	Cook	170314002	41.855243	-87.75247	POP	SUB	RES	NEI	4	10	1997	2006
IL	Cook	170314201	42.139996	-87.799227	POP	SUB	RES	URB	8	2	2004	2005
IL	Cook	170318003	41.631389	-87.568056	POP	SUB	RES	NEI	4	6	1997	2002

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
IL	DuPage	170436001	41.813049	-88.072827	POP	SUB	AGR	NEI	14	4	1997	2000
IL	La Salle	170990007	41.293015	-89.049425	SRC	SUB	IND	NEI	5	1	2006	2006
IL	Macon	171150013	39.866834	-88.925594	POP	SUB	IND	NEI	5	10	1997	2006
IL	Macoupin	171170002	39.396075	-89.809739	POP	RUR	AGR	REG	5	10	1997	2006
IL	Madison	171190008	38.890186	-90.148031	SRC	SUB	IND	NEI	15	6	1997	2002
IL	Madison	171190017	38.701944	-90.149167	HIC	URB	MOB	NEI	3	4	1997	2000
IL	Madison	171191010	38.828303	-90.058433	SRC	SUB	IND	NEI	5	10	1997	2006
IL	Madison	171193007	38.860669	-90.105851	POP	SUB	IND	NEI	10	10	1997	2006
IL	Madison	171193009	38.865984	-90.070571	SRC	SUB	COM	NEI	7	9	1997	2006
IL	Peoria	171430024	40.68742	-89.606943	POP	SUB	COM	NEI	5	10	1997	2006
IL	Randolph	171570001	38.176278	-89.788459	GEN	RUR	IND	NEI	5	10	1997	2006
IL	Rock Island	171610003	41.511944	-90.514167	HIC	URB	COM	NEI	8	4	1997	2000
IL	Saint Clair	171630010	38.612034	-90.160477	POP	SUB	IND	NEI	5	10	1997	2006
IL	Saint Clair	171631010	38.592192	-90.165081	HIC	SUB	IND	NEI	7	6	1997	2002
IL	Saint Clair	171631011	38.235	-89.841944	SRC	RUR	IND	NEI	5	5	1997	2001
IL	Sangamon	171670006	39.800614	-89.591225	SRC	SUB	IND	NEI	8	10	1997	2006
IL	Tazewell	171790004	40.55646	-89.654028	SRC	SUB	IND	NEI	6	10	1997	2006
IL	Wabash	171850001	38.397222	-87.773611	HIC	URB	MOB	NEI	2	5	1997	2005
IL	Wabash	171851001	38.369444	-87.834444	HIC	RUR	AGR	NEI	2	6	1997	2005
IL	Will	171970013	41.459963	-88.182019	SRC	RUR	IND	NEI	13	10	1997	2006
IN	Daviess	180270002	38.572778	-87.214722	HIC	RUR	AGR	NEI	2	9	1997	2005
IN	Dearborn	180290004	39.092778	-84.855	HIC	SUB	COM	NEI	5	9	1997	2005
IN	Floyd	180430004	38.367778	-85.833056	HIC	RUR	AGR	NEI	5	5	1997	2005
IN	Floyd	180430007	38.273333	-85.836389	SRC	RUR	RES	NEI	4	5	1997	2005
IN	Floyd	180431004	38.308056	-85.834167	POP	SUB	RES	NEI	5	10	1997	2006
IN	Fountain	180450001	39.964167	-87.421389	HIC	RUR	AGR	NEI	2	6	1997	2005
IN	Gibson	180510001	38.361389	-87.748611	HIC	RUR	AGR	NEI	5	5	1997	2005
IN	Gibson	180510002	38.392778	-87.748333	HIC	RUR	AGR	NEI	9	5	1997	2004
IN	Hendricks	180630001	39.876944	-86.473889	HIC	RUR	IND			2	2004	2005
IN	Hendricks	180630002	39.863361	-86.47075	HIC	SUB	COM			2	2004	2005
IN	Hendricks	180630003	39.880833	-86.542194	HIC	SUB	COM			2	2004	2005
IN	Jasper	180730002	41.187778	-87.053333	HIC	RUR	AGR	NEI	3	10	1997	2006
IN	Jasper	180730003	41.135833	-86.987778	HIC	RUR	AGR	URB	3	6	1997	2002



State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
IN	Jefferson	180770004	38.776667	-85.407222	HIC	SUB	COM	NEI	5	8	1997	2004
IN	Lake	180890022	41.606667	-87.304722	UNK	URB	IND			8	1998	2005
IN	Lake	180892008	41.639444	-87.493611	HIC	SUB	COM	NEI	5	9	1997	2006
IN	LaPorte	180910005	41.716944	-86.9075	HIC	URB	IND	NEI	4	10	1997	2006
IN	LaPorte	180910007	41.679722	-86.852778	HIC	RUR	RES	NEI	3	6	1997	2002
IN	Marion	180970042	39.646254	-86.248784	POP	RUR	AGR	URB	4	10	1997	2006
IN	Marion	180970054	39.730278	-86.196111	HIC	URB	IND	NEI	9	1	1997	1997
IN	Marion	180970057	39.749019	-86.186314	HIC	URB	RES	NEI	4	10	1997	2006
IN	Marion	180970072	39.768056	-86.16	POP	URB	COM	MID	3	4	1997	2000
IN	Marion	180970073	39.789167	-86.060833	POP	URB	RES	NEI	5	9	1997	2005
IN	Morgan	181091001	39.515	-86.391667	HIC	SUB	RES	NEI	2	2	1997	2005
IN	Perry	181230006	37.99433	-86.763457	UNK	RUR	IND			5	1998	2003
IN	Perry	181230007	37.983773	-86.772202	UNK	RUR	IND			5	1998	2003
IN	Pike	181250005	38.519167	-87.249722	HIC	RUR	AGR	NEI	4	8	1997	2005
IN	Porter	181270011	41.633889	-87.101389	HIC	RUR	IND	NEI	4	10	1997	2006
IN	Porter	181270017	41.621944	-87.116389	HIC	RUR	IND	NEI		6	1997	2002
IN	Porter	181270023	41.616667	-87.145833	HIC	SUB	IND	NEI		6	1997	2002
IN	Spencer	181470002	37.9825	-86.96638	HIC	RUR	AGR	NEI	5	5	1997	2001
IN	Spencer	181470010	37.95536	-87.0318	HIC	RUR	AGR	NEI	5	4	2002	2005
IN	Sullivan	181530004	39.099444	-87.470556	HIC	RUR	AGR	NEI	2	7	1997	2005
IN	Vanderburgh	181630012	38.021667	-87.569444	POP	URB	COM	NEI	5	10	1997	2006
IN	Vanderburgh	181631002	37.9025	-87.671389	UNK	RUR	AGR		9	10	1997	2006
IN	Vigo	181670018	39.486111	-87.401389	POP	URB	RES	NEI	5	10	1997	2006
IN	Vigo	181671014	39.514722	-87.407778	HIC	RUR	COM	NEI	5	8	1997	2005
IN	Warrick	181730002	37.9375	-87.314167	HIC	RUR	IND	NEI	4	5	1997	2006
IN	Warrick	181731001	37.938056	-87.345833	HIC	RUR	IND	NEI	4	4	1997	2002
IN	Wayne	181770006	39.812222	-84.89	HIC	SUB	IND	NEI	5	10	1997	2006
IN	Wayne	181770007	39.795833	-84.880833	HIC	RUR	IND	NEI	9	10	1997	2006
IA	Cerro Gordo	190330018	43.16944	-93.202426	UNK	SUB	RES		4	9	1998	2006
IA	Clinton	190450018	41.824722	-90.212778	UNK	SUB	RES		4	1	1997	1997
IA	Clinton	190450019	41.823283	-90.211982	UNK	URB	IND	MID		10	1997	2006
IA	Clinton	190450020	41.845833	-90.216389	HIC	SUB	COM	URB	7	1	1997	1997
IA	Lee	191110006	40.392222	-91.4	UNK	URB	IND		5	2	1997	1998

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
IA	Lee	191111007	40.5825	-91.4275	UNK	RUR	IND		15	2	1998	2000
IA	Linn	191130028	41.910556	-91.651944	HIC	SUB	COM	NEI	8	5	1997	2001
IA	Linn	191130029	41.974722	-91.666667	HIC	URB	COM	NEI	16	9	1997	2006
IA	Linn	191130031	41.983333	-91.662778	SRC	URB	RES	MID	4	10	1997	2006
IA	Linn	191130032	41.964722	-91.664722	UNK	URB	RES			2	1998	1999
IA	Linn	191130034	41.971111	-91.645278	UNK	URB	RES			2	1998	1999
IA	Linn	191130038	41.941111	-91.633889	SRC	SUB	IND	MID	4.5	8	1999	2006
IA	Linn	191130039	41.934167	-91.6825	SRC	URB	IND			1	2001	2001
IA	Muscatine	191390016	41.419429	-91.070975	UNK	URB	RES		3	10	1997	2006
IA	Muscatine	191390017	41.387969	-91.054504	UNK	SUB	IND		4	10	1997	2006
IA	Muscatine	191390020	41.407796	-91.062646	UNK	SUB	IND		4	10	1997	2006
IA	Scott	191630015	41.530011	-90.587611	HIC	URB	RES	NEI	4	8	1997	2005
IA	Scott	191630017	41.467236	-90.688451	UNK	RUR	IND	NEI	4	1	1997	1997
IA	Van Buren	191770004	40.711111	-91.975278	HIC	RUR	FOR		3	2	1997	1998
IA	Van Buren	191770005	40.689167	-91.994444	UNK	RUR	FOR		3	4	2000	2003
IA	Van Buren	191770006	40.695078	-92.006318	GEN	RUR	FOR		3	2	2005	2006
IA	Woodbury	191930018	42.399444	-96.355833	POP	URB	RES		3	1	2002	2002
KS	Linn	201070002	38.135833	-94.731944	REG	RUR	AGR	REG	4	6	1999	2004
KS	Montgomery	201250006	37.046944	-95.613333	POP	URB	RES	NEI	4	7	1998	2005
KS	Pawnee	201450001	38.17625	-99.108028	POP	SUB	RES	NEI	3	1	1997	1997
KS	Sedgwick	201730010	37.701111	-97.313889	POP	URB	RES	NEI	4	1	1997	1997
KS	Sumner	201910002	37.476944	-97.366389	REG	RUR	RES	REG	4	3	2001	2005
KS	Trego	201950001	38.770278	-99.763611	GEN	RUR	AGR	REG	4	3	2002	2005
KS	Wyandotte	202090001	39.113056	-94.624444	HIC	URB	COM	NEI	15	2	1997	1998
KS	Wyandotte	202090020	39.151389	-94.6175	POP	URB	IND	NEI	9	1	1997	1997
KS	Wyandotte	202090021	39.1175	-94.635556	POP	URB	RES	NEI	4	4	2000	2005
KY	Boyd	210190015	38.465833	-82.621111	POP	URB	RES	NEI	4	3	1997	2000
KY	Boyd	210190017	38.459167	-82.640556	POP	SUB	RES	NEI	3	4	2002	2005
KY	Boyd	210191003	38.388611	-82.6025	POP	SUB	IND	NEI	5	3	1997	1999
KY	Campbell	210370003	39.065556	-84.451944	POP	SUB	RES	NEI	4	6	2000	2005
KY	Campbell	210371001	39.108611	-84.476111	POP	URB	RES	NEI	4	3	1997	1999
KY	Daviess	210590005	37.780833	-87.075556	POP	SUB	COM	NEI	4	9	1997	2005
KY	Fayette	210670012	38.065	-84.5	POP	SUB	RES	NEI	4	9	1997	2005

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
KY	Greenup	210890007	38.548333	-82.731667	POP	SUB	RES	NEI	4	9	1997	2005
KY	Hancock	210910012	37.938889	-86.896944	POP	RUR	RES	NEI	4	7	1998	2004
KY	Henderson	211010013	37.858889	-87.575278	POP	SUB	RES	NEI	4	5	1997	2001
KY	Henderson	211010014	37.871389	-87.463333	POP	RUR	COM	NEI	4	2	2004	2005
KY	Jefferson	211110032	38.1825	-85.861667	HIC	SUB	RES	NEI	4	3	1997	2001
KY	Jefferson	211110051	38.060833	-85.896111	POP	SUB	RES	NEI	4	10	1997	2006
KY	Jefferson	211111041	38.23163	-85.82672	POP	SUB	IND	NEI	5	8	1997	2006
KY	Livingston	211390004	37.070833	-88.334167	HIC	RUR	AGR	NEI	4	9	1997	2005
KY	McCracken	211450001	37.131667	-88.813333	HIC	RUR	IND	NEI	5	3	1997	1999
KY	McCracken	211451024	37.058056	-88.5725	POP	SUB	COM	NEI	4	6	2000	2005
KY	McCracken	211451026	37.040833	-88.541111	POP	SUB	RES	NEI	6	2	1997	1998
KY	Warren	212270008	37.036667	-86.250556	POP	RUR	RES	URB	4	3	2003	2005
LA	Bossier	220150008	32.53626	-93.74891	POP	URB	COM		3	10	1997	2006
LA	Calcasieu	220190008	30.261667	-93.284167	POP	RUR	IND	NEI	5	10	1997	2006
LA	East Baton Rouge	220330009	30.46198	-91.17922	HIC	URB	COM	NEI	5	10	1997	2006
LA	Ouachita	220730004	32.509713	-92.046093	GEN	URB	IND		4	10	1997	2006
LA	St. Bernard	220870002	29.981944	-89.998611	SRC	SUB	RES		2	7	1998	2004
LA	West Baton Rouge	221210001	30.501944	-91.209722	HIC	SUB	COM		2	10	1997	2006
ME	Androscoggin	230010011	44.089406	-70.214219	HIC	URB	COM	NEI	4	4	1997	2002
ME	Aroostook	230030009	47.351667	-68.303611	UNK	SUB	RES		1	1	1997	1997
ME	Aroostook	230030012	47.354444	-68.314167	UNK	URB	IND		9	1	1997	1997
ME	Aroostook	230031003	47.351667	-68.311389	UNK	SUB	RES		3	1	1997	1997
ME	Aroostook	230031013	46.123889	-67.829722	UNK	URB	COM		4	1	1997	1997
ME	Aroostook	230031018	46.660899	-67.902066	SRC	RUR	IND	NEI		1	2004	2004
ME	Cumberland	230050014	43.659722	-70.261389	HIC	URB	COM	NEI	4	1	1997	1997
ME	Cumberland	230050027	43.661944	-70.265833	HIC	URB	IND	NEI	4	7	2000	2006
ME	Oxford	230172007	44.543056	-70.545833	UNK	SUB	IND		4	8	1997	2004
MD	Allegany	240010006	39.649722	-78.762778	POP	URB	COM	NEI	5	1	1997	1997
MD	Anne Arundel	240032002	39.159722	-76.511667	POP	SUB	RES	NEI	5	4	1999	2002
MD	Baltimore	240053001	39.310833	-76.474444	POP	SUB	RES	NEI	5	2	2004	2005
MD	Baltimore (City)	245100018	39.314167	-76.613333	POP	URB	RES	NEI	4	2	1997	1998

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
MD	Baltimore (City)	245100036	39.265	-76.536667	HIC	URB	RES	NEI	5	1	1997	1997
MA	Bristol	250051004	41.683279	-71.169171	HIC	SUB	COM	NEI	5	9	1997	2006
MA	Essex	250090005	42.709444	-71.146389	HIC	URB	RES	NEI	4	5	1997	2001
MA	Essex	250091004	42.515556	-70.931389	UNK	SUB	RES			1	1997	1997
MA	Essex	250091005	42.525	-70.934167	UNK	SUB	RES			1	1997	1997
MA	Essex	250095004	42.772222	-71.061111	OTH	SUB	RES		9	3	1997	2000
MA	Hampden	250130016	42.108581	-72.590614	POP	URB	COM	NEI	4	9	1997	2006
MA	Hampden	250131009	42.085556	-72.579722	HIC	SUB	RES	NEI	5	3	1997	1999
MA	Hampshire	250154002	42.298279	-72.333904	OTH	RUR	FOR	URB	5	9	1998	2006
MA	Middlesex	250171701	42.474444	-71.111111	UNK	SUB	RES			2	1997	1999
MA	Middlesex	250174003	42.383611	-71.213889	POP	RUR	AGR	NEI	4	2	1997	1998
MA	Suffolk	250250002	42.348873	-71.097163	HIC	URB	COM	NEI	5	8	1997	2006
MA	Suffolk	250250019	42.316394	-70.967773	OTH	RUR	RES		5	9	1997	2005
MA	Suffolk	250250020	42.309417	-71.055573	OTH	URB	COM		5	8	1997	2005
MA	Suffolk	250250021	42.377833	-71.027138	HIC	URB	RES	NEI	4	9	1997	2005
MA	Suffolk	250250040	42.340251	-71.03835	POP	URB	IND	NEI	4	9	1997	2005
MA	Suffolk	250250042	42.3294	-71.0825	POP	URB	COM	NEI	5	5	2001	2006
MA	Suffolk	250251003	42.401667	-71.031111	POP	SUB	RES	NEI	4	3	1997	1999
MA	Worcester	250270020	42.267222	-71.798889	HIC	URB	COM	NEI	3	4	1998	2002
MA	Worcester	250270023	42.263877	-71.794186	POP	URB	COM	URB	4	3	2004	2006
MI	Delta	260410902	45.796667	-87.089444	UNK	RUR	IND			7	1997	2003
MI	Genesee	260490021	43.047224	-83.670159	POP	URB	RES	NEI	4	8	1997	2006
MI	Genesee	260492001	43.168336	-83.461541	GEN	RUR	AGR			1	2004	2004
MI	Kent	260810020	42.984173	-85.671339	POP	URB	IND	NEI	5	8	1997	2005
MI	Macomb	260991003	42.51334	-83.005971	POP	SUB	RES	NEI	3	10	1997	2006
MI	Missaukee	261130001	44.310555	-84.891865	GEN	RUR	FOR			1	2003	2003
MI	St. Clair	261470005	42.953336	-82.456229	HIC	SUB	RES	NEI	4	10	1997	2006
MI	Schoolcraft	261530001	46.288877	-85.950227	GEN	RUR	FOR			1	2005	2005
MI	Wayne	261630001	42.22862	-83.2082	POP	SUB	COM	NEI	4	1	1997	1997
MI	Wayne	261630005	42.267231	-83.132086	HIC	SUB	IND	NEI	4	4	1997	2000
MI	Wayne	261630015	42.302786	-83.10653	HIC	URB	COM	NEI	4	9	1997	2006
MI	Wayne	261630016	42.357808	-83.096033	POP	URB	RES	NEI	4	9	1997	2006
MI	Wayne	261630019	42.43084	-83.000138	POP	SUB	RES	NEI	4	7	1997	2006

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
MI	Wayne	261630025	42.423063	-83.426263	POP	SUB	COM	NEI	4	1	1997	1997
MI	Wayne	261630027	42.292231	-83.106807	HIC	URB	IND	MID	3	3	1997	1999
MI	Wayne	261630033	42.306674	-83.148754	HIC	SUB	IND	MID	5	2	1997	1998
MI	Wayne	261630062	42.340833	-83.0625	POP	URB	RES	NEI	5	1	1997	1997
MI	Wayne	261630092	42.296111	-83.116944	HIC	URB	RES	MID	7	1	1997	1997
MN	Anoka	270031002	45.13768	-93.20772	POP	SUB	RES	URB	4.57	4	2003	2006
MN	Carlton	270176316	46.733611	-92.418889	SRC	RUR	AGR		3	2	2001	2002
MN	Dakota	270370020	44.76323	-93.03255	UNK	RUR	IND	NEI	3	8	1997	2006
MN	Dakota	270370423	44.77553	-93.06299	UNK	RUR	IND	NEI	3.66	9	1997	2006
MN	Dakota	270370439	44.748039	-93.043266	UNK	RUR	IND		4	1	1999	1999
MN	Dakota	270370441	44.7468	-93.02611	UNK	RUR	IND		3	7	2000	2006
MN	Dakota	270370442	44.73857	-93.00496	UNK	RUR	AGR	NEI	3.5	6	2001	2006
MN	Hennepin	270530954	44.980995	-93.273719	HIC	URB	COM	NEI	3	7	1997	2006
MN	Hennepin	270530957	45.021111	-93.281944	HIC	URB	IND	MID	10	6	1997	2002
MN	Koochiching	270711240	48.605278	-93.402222	UNK	URB	IND		10	2	1997	1999
MN	Ramsey	271230864	44.991944	-93.183056	POP	SUB	RES	NEI	6	6	1997	2002
MN	Sherburne	271410003	45.420278	-93.871667	UNK	RUR	AGR			1	1997	1997
MN	Sherburne	271410011	45.394444	-93.8975	UNK	RUR	IND	NEI		2	1997	1998
MN	Sherburne	271410012	45.394444	-93.885	UNK	URB	MOB	NEI		1	1997	1997
MN	Sherburne	271410013	45.369444	-93.898056	UNK	RUR	IND	NEI		2	1997	1998
MN	Washington	271630436	44.84737	-92.9954	UNK	SUB	IND	MID	4.88	9	1997	2006
MN	Wright	271710007	45.329167	-93.835833	UNK	RUR	AGR			1	1997	1997
MS	Harrison	280470007	30.446806	-89.029139	HIC	SUB	RES	NEI	4	8	1997	2004
MS	Hinds	280490018	32.296806	-90.188306	POP	URB	COM	NEI	4	9	1997	2005
MS	Jackson	280590006	30.378425	-88.533985	POP	URB	COM	NEI		7	1997	2006
MS	Lee	280810004	34.263333	-88.759722	UNK	SUB	COM		4	1	1997	1997
MO	Buchanan	290210009	39.731389	-94.8775	GEN	URB	IND	NEI	3	3	1997	1999
MO	Buchanan	290210011	39.731389	-94.868333	GEN	URB	IND	NEI	3	1	2001	2001
MO	Clay	290470025	39.183889	-94.4975	POP	SUB	RES	NEI	4	5	1997	2001
MO	Greene	290770026	37.128333	-93.261667	POP	SUB	RES		3	10	1997	2006
MO	Greene	290770032	37.205278	-93.283333	UNK	URB	RES		3	10	1997	2006
MO	Greene	290770037	37.11	-93.251944	POP	RUR	RES		4	10	1997	2006
MO	Greene	290770040	37.108889	-93.252778	SRC	SUB	RES			4	2003	2006

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
MO	Greene	290770041	37.108611	-93.272222	SRC	SUB	RES			4	2003	2006
MO	Iron	290930030	37.466389	-90.69	SRC	RUR	RES	NEI	4	7	1997	2003
MO	Iron	290930031	37.519444	-90.7125	UNK	RUR	AGR		2	6	1997	2003
MO	Jackson	290950034	39.104722	-94.570556	UNK	URB	COM			9	1997	2006
MO	Jefferson	290990004	38.2633	-90.3785	POP	RUR	IND		3	3	2004	2006
MO	Jefferson	290990014	38.267222	-90.379444	OTH	RUR	RES	NEI	4	4	1997	2000
MO	Jefferson	290990017	38.252778	-90.393333	UNK	SUB	RES		5	2	1999	2000
MO	Jefferson	290990018	38.297694	-90.384333	HIC	SUB	RES	NEI	5	1	2002	2002
MO	Monroe	291370001	39.473056	-91.789167	UNK	RUR	UNK			10	1997	2006
MO	Pike	291630002	39.3726	-90.9144	HIC	RUR	RES	NEI	3	1	2006	2006
MO	Platte	291650023	39.3	-94.7	UNK	SUB	MOB		3	8	1997	2004
MO	Saint Charles	291830010	38.579167	-90.841111	UNK	RUR	AGR		3	1	1997	1997
MO	Saint Charles	291831002	38.8725	-90.226389	UNK	RUR	AGR		2	3	1997	1999
MO	Saint Louis	291890001	38.521667	-90.343611	POP	SUB	RES	NEI	3	1	1997	1997
MO	Saint Louis	291890004	38.5325	-90.382778	POP	SUB	RES	NEI	3	6	1999	2004
MO	Saint Louis	291890006	38.613611	-90.495833	UNK	RUR	RES		4	8	1997	2004
MO	Saint Louis	291890014	38.7109	-90.4759	HIC	RUR	RES	NEI	3	1	2006	2006
MO	Saint Louis	291893001	38.641389	-90.345833	UNK	SUB	COM		4	10	1997	2006
MO	Saint Louis	291895001	38.766111	-90.285833	UNK	SUB	COM		2	8	1997	2004
MO	Saint Louis	291897002	38.727222	-90.379444	POP	SUB	RES	NEI	4	4	1997	2000
MO	Saint Louis	291897003	38.720917	-90.367028	POP	SUB	RES	NEI	4	2	2002	2003
MO	St. Louis City	295100007	38.5425	-90.263611	HIC	URB	RES	NEI	4	10	1997	2006
MO	St. Louis City	295100072	38.624167	-90.198611	POP	URB	COM	NEI	14	4	1997	2000
MO	St. Louis City	295100080	38.682778	-90.246667	UNK	URB	RES		4	3	1997	1999
MO	St. Louis City	295100086	38.672222	-90.238889	POP	URB	RES	NEI	4	7	2000	2006
MT	Cascade	300132000	47.532222	-111.271111	SRC	SUB	AGR		3	3	1997	1999
MT	Cascade	300132001	47.53	-111.283611	SRC	SUB	IND	NEI	3.5	5	2001	2005
MT	Jefferson	300430903	46.557679	-111.918098	UNK	RUR	AGR			4	1997	2000
MT	Jefferson	300430911	46.548056	-111.873333	UNK	RUR	AGR		4	4	1997	2000
MT	Jefferson	300430913	46.534722	-111.861389	UNK	RUR	AGR		4	4	1997	2000
MT	Lewis and Clark	300490702	46.583333	-111.934444	UNK	RUR	AGR		3	4	1997	2000
MT	Lewis and Clark	300490703	46.593889	-111.92	UNK	RUR	RES		3	4	1997	2000
MT	Rosebud	300870700	45.886944	-106.628056	UNK	SUB	RES		4	4	1998	2001

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
MT	Rosebud	300870701	45.901944	-106.637778	UNK	RUR	AGR		5	3	1997	1999
MT	Rosebud	300870702	45.863889	-106.557778	UNK	RUR	AGR		5	2	1997	2000
MT	Rosebud	300870760	45.668056	-106.518889	SRC	RUR	FOR		4	5	1998	2003
MT	Rosebud	300870761	45.603056	-106.464167	SRC	RUR	FOR			5	1997	2003
MT	Rosebud	300870762	45.648333	-106.556667	OTH	RUR	FOR			5	1998	2003
MT	Rosebud	300870763	45.976667	-106.660556	UNK	RUR	IND		3	1	1997	1997
MT	Yellowstone	301110016	45.656389	-108.765833	UNK	RUR	AGR		4	9	1997	2005
MT	Yellowstone	301110066	45.788318	-108.459536	SRC	RUR	RES	NEI	3.5	10	1997	2006
MT	Yellowstone	301110079	45.769439	-108.574292	POP	SUB	COM		4.5	2	2002	2003
MT	Yellowstone	301110080	45.777149	-108.47436	UNK	RUR	AGR		4	4	1997	2000
MT	Yellowstone	301110082	45.783889	-108.515	POP	URB	COM	NEI	3	2	2002	2003
MT	Yellowstone	301110083	45.795278	-108.455833	SRC	SUB	AGR		4	3	2000	2002
MT	Yellowstone	301110084	45.831453	-108.449964	POP	SUB	RES	NEI	4.5	3	2004	2006
MT	Yellowstone	301111065	45.801944	-108.426111	UNK	SUB	RES		4	9	1997	2005
MT	Yellowstone	301112005	45.803889	-108.445556	UNK	SUB	IND		4	9	1997	2005
MT	Yellowstone	301112006	45.81	-108.413056	OTH	SUB	AGR		3	8	1997	2004
MT	Yellowstone	301112007	45.832778	-108.377778	OTH	RUR	RES		3	9	1997	2005
NE	Douglas	310550048	41.323889	-95.942778	HIC	URB	RES	NEI	5	1	1997	1997
NE	Douglas	310550050	41.332778	-95.956389	HIC	URB	RES	NEI	6	2	2002	2003
NE	Douglas	310550053	41.297778	-95.9375	POP	URB	IND	NEI	4	4	2002	2006
NE	Douglas	310550055	41.362433	-95.976112	HIC	SUB	RES	NEI	8	2	2005	2006
NV	Clark	320030022	36.390775	-114.90681	REG	RUR	IND	NEI	3.5	5	1998	2002
NV	Clark	320030078	35.46505	-114.919615	REG	RUR	DES	REG	4	2	2001	2002
NV	Clark	320030539	36.144444	-115.085556	POP	SUB	MOB	URB	3.5	8	1998	2005
NV	Clark	320030601	35.978889	-114.844167	POP	SUB	COM	NEI	4	1	2002	2002
NH	Cheshire	330050007	42.930556	-72.277778	UNK	URB	COM	NEI		7	1997	2003
NH	Coos	330070019	44.488611	-71.180278	POP	UNK	UNK	NEI	4	5	1997	2001
NH	Coos	330070022	44.458333	-71.154167	UNK	RUR	IND			1	1997	1997
NH	Coos	330071007	44.596667	-71.516667	POP	URB	IND	NEI	5	4	1997	2001
NH	Hillsborough	330110016	42.992778	-71.459444	HIC	URB	COM	NEI	5	1	1997	1997
NH	Hillsborough	330110019	43.000556	-71.468056	UNK	URB	COM		5	1	2000	2000
NH	Hillsborough	330110020	43.000556	-71.468056	UNK	URB	COM	NEI	5	5	2002	2006
NH	Hillsborough	330111009	42.764444	-71.4675	HIC	URB	COM	NEI	3	3	1997	2001

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
NH	Hillsborough	330111010	42.701944	-71.445	UNK	SUB	IND	MIC	5	6	1997	2002
NH	Merrimack	330130007	43.206944	-71.534167	UNK	URB	COM	NEI		6	1997	2003
NH	Merrimack	330131003	43.177222	-71.4625	UNK	RUR	RES	NEI	3	7	1997	2003
NH	Merrimack	330131006	43.132444	-71.45827	OTH	SUB	RES	NEI	3	4	2003	2006
NH	Merrimack	330131007	43.218491	-71.45827	OTH	URB	COM	URB	9	1	2005	2005
NH	Rockingham	330150009	43.078056	-70.762778	UNK	SUB	COM		3	3	1997	2000
NH	Rockingham	330150014	43.075278	-70.748056	POP	URB	RES	NEI	2	3	2004	2006
NH	Rockingham	330150015	43.0825	-70.761944	POP	SUB	COM	NEI	4	1	2002	2002
NH	Sullivan	330190003	43.364444	-72.338333	UNK	URB	RES	NEI		5	1997	2001
NJ	Atlantic	340010005	39.53024	-74.46069	UNK	RUR	RES		4	8	1997	2005
NJ	Bergen	340035001	40.88237	-74.04217	POP	URB	COM	NEI	4	9	1997	2005
NJ	Burlington	340051001	40.07806	-74.85772	HIC	URB	COM	NEI	4	9	1997	2005
NJ	Camden	340070003	39.92304	-75.09762	POP	SUB	RES	NEI	5	8	1997	2005
NJ	Camden	340071001	39.68425	-74.86149	GEN	RUR	COM	URB	4	9	1997	2005
NJ	Cumberland	340110007	39.42227	-75.0252	UNK	RUR	IND		4	9	1997	2005
NJ	Essex	340130011	40.726667	-74.144167	UNK	URB	IND		4	2	1997	1998
NJ	Essex	340130016	40.722222	-74.146944	POP	URB	IND	NEI	5	1	2002	2002
NJ	Gloucester	340150002	39.80034	-75.21212	UNK	RUR	AGR		4	9	1997	2005
NJ	Hudson	340170006	40.67025	-74.12608	POP	URB	COM	NEI	5	9	1997	2005
NJ	Hudson	340171002	40.73169	-74.06657	HIC	URB	COM	NEI	4	8	1997	2005
NJ	Middlesex	340232003	40.50888	-74.2682	HIC	URB	COM	NEI	5	9	1997	2005
NJ	Morris	340273001	40.78763	-74.6763	UNK	RUR	AGR		5	9	1997	2005
NJ	Union	340390003	40.66245	-74.21474	POP	URB	COM	MID	5	9	1997	2005
NJ	Union	340390004	40.64144	-74.20836	HIC	SUB	IND	NEI	4	9	1997	2005
NM	Dona Ana	350130008	31.930556	-106.630556	UNK	RUR	AGR		2	6	1997	2002
NM	Dona Ana	350130017	31.795833	-106.5575	SRC	SUB	COM	URB		9	1997	2005
NM	Eddy	350151004	32.855556	-104.411389	SRC	URB	COM	NEI		9	1997	2005
NM	Grant	350170001	32.759444	-108.131389	UNK	SUB	IND		4	5	1997	2001
NM	Grant	350171003	32.691944	-108.124444	SRC	RUR	IND	NEI		5	1999	2005
NM	Hidalgo	350230005	31.783333	-108.497222	UNK	RUR	UNK		3	5	1997	2001
NM	San Juan	350450008	36.735833	-108.238333	UNK	RUR	DES			6	1997	2002
NM	San Juan	350450009	36.742222	-107.976944	SRC	RUR	IND	NEI		3	1997	2005
NM	San Juan	350450017	36.752778	-108.716667	UNK	RUR	UNK		3	1	1997	1997



State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
NM	San Juan	350451005	36.796667	-108.4725	UNK	UNK	UNK		9	7	1997	2005
NY	Albany	360010012	42.68069	-73.75689	HIC	RUR	AGR	NEI	5	10	1997	2006
NY	Bronx	360050073	40.811389	-73.91	UNK	URB	RES		13	2	1997	1998
NY	Bronx	360050080	40.83608	-73.92021	HIC	URB	RES	MID	12	3	1997	1999
NY	Bronx	360050083	40.86586	-73.88075	UNK	URB	COM			6	2001	2006
NY	Bronx	360050110	40.81616	-73.90207	OTH	URB	RES			5	2000	2006
NY	Chautauqua	360130005	42.29073	-79.58958	POP	URB	IND		5	4	1997	2000
NY	Chautauqua	360130006	42.49945	-79.31888	HIC	URB	IND	NEI	4	7	2000	2006
NY	Chautauqua	360130011	42.29073	-79.58658	POP	RUR	AGR	REG	4	10	1997	2006
NY	Chemung	360150003	42.11105	-76.80249	UNK	URB	COM		4	9	1998	2006
NY	Erie	360290005	42.87684	-78.80988	POP	URB	RES	NEI	4	10	1997	2006
NY	Erie	360294002	42.99549	-78.90157	HIC	SUB	IND	NEI	4	10	1997	2006
NY	Erie	360298001	42.818889	-78.840833	HIC	URB	IND	NEI	4	2	1997	1998
NY	Essex	360310003	44.39309	-73.85892	GEN	RUR	FOR	NEI	4	10	1997	2006
NY	Franklin	360330004	44.434309	-74.24601	GEN	RUR	COM			2	2005	2006
NY	Hamilton	360410005	43.44957	-74.51625	POP	RUR	COM	URB	5	10	1997	2006
NY	Herkimer	360430005	43.68578	-74.98538	POP	RUR	FOR	REG	4	8	1997	2006
NY	Kings	360470011	40.73277	-73.94722	HIC	URB	IND	NEI	13	1	1998	1998
NY	Kings	360470076	40.67185	-73.97824	POP	URB	RES		11	2	1997	1999
NY	Madison	360530006	42.73046	-75.78443	POP	RUR	AGR	REG		10	1997	2006
NY	Monroe	360551004	43.16545	-77.55479	POP	SUB	RES	NEI	4	7	1997	2003
NY	Monroe	360551007	43.146198	-77.54813	POP	URB	RES			2	2005	2006
NY	Monroe	360556001	43.161	-77.60357	HIC	URB	COM	NEI	12	7	1997	2003
NY	Nassau	360590005	40.74316	-73.58549	UNK	SUB	COM	NEI	5	9	1997	2006
NY	New York	360610010	40.739444	-73.986111	HIC	URB	RES	NEI	38	2	1997	1999
NY	New York	360610056	40.75917	-73.96651	HIC	URB	COM	MID	10	8	1997	2006
NY	Niagara	360632008	43.08216	-79.00099	POP	SUB	IND	NEI	4	8	1999	2006
NY	Onondaga	360671015	43.05238	-76.0592	POP	SUB	COM	NEI	5	10	1997	2006
NY	Putnam	360790005	41.44151	-73.70762	UNK	RUR	FOR			10	1997	2006
NY	Queens	360810097	40.75527	-73.75861	GEN	URB	RES		12	3	1999	2001
NY	Queens	360810124	40.7362	-73.82317	POP	SUB	RES			5	2002	2006
NY	Rensselaer	360830004	42.78187	-73.46361	OTH	RUR	FOR			3	2002	2004
NY	Rensselaer	360831005	42.72444	-73.43166	GEN	RUR	FOR		5	3	1998	2000

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
NY	Richmond	360850067	40.59733	-74.12619	POP	SUB	RES	NEI	20	3	1997	1999
NY	Schenectady	360930003	42.79963	-73.94019	POP	SUB	RES	NEI	5	10	1997	2006
NY	Suffolk	361030002	40.74529	-73.41919	HIC	SUB	IND	NEI	5	2	1997	1998
NY	Suffolk	361030009	40.8275	-73.05694	UNK	SUB	RES			7	2000	2006
NY	Ulster	361111005	42.1438	-74.49414	POP	RUR	COM	URB	5	9	1997	2006
NC	Alexander	370030003	35.903611	-81.184167	GEN	SUB	COM	URB		2	1999	2003
NC	Beaufort	370130003	35.3575	-76.779722	SRC	RUR	IND	NEI	3	3	1997	1999
NC	Beaufort	370130004	35.377241	-76.748997	HIC	RUR	FOR	NEI	3	2	1997	1998
NC	Beaufort	370130006	35.377778	-76.766944	SRC	RUR	IND	NEI	3	5	2001	2006
NC	Chatham	370370004	35.757222	-79.159722	GEN	RUR	AGR	MIC		2	1998	2001
NC	Cumberland	370511003	34.968889	-78.9625	POP	SUB	COM	NEI		2	1999	2006
NC	Davie	370590002	35.809289	-80.559115	GEN	SUB	IND			2	1997	2000
NC	Duplin	370610002	34.954823	-77.960781	GEN	URB	RES	NEI		1	1999	1999
NC	Edgecombe	370650099	35.988333	-77.582778	GEN	RUR	AGR	REG	4	2	1999	2004
NC	Forsyth	370670022	36.110556	-80.226667	POP	URB	RES	NEI	3	8	1997	2004
NC	Johnston	371010002	35.590833	-78.461944	GEN	RUR	AGR	URB		1	1999	1999
NC	Lincoln	371090004	35.438556	-81.27675	GEN	RUR	RES	NEI		2	1997	2000
NC	Martin	371170001	35.81069	-76.89782	GEN	RUR	AGR	URB	5	2	1998	2001
NC	Mecklenburg	371190034	35.248611	-80.766389	POP	SUB	RES	NEI	5	2	1997	1998
NC	Mecklenburg	371190041	35.2401	-80.785683	POP	URB	RES	NEI	5	6	2000	2006
NC	New Hanover	371290002	34.364167	-77.838611	POP	RUR	AGR	URB	3	1	2005	2005
NC	New Hanover	371290006	34.268403	-77.956529	GEN	RUR	IND	URB	3	10	1997	2006
NC	Northampton	371310002	36.48438	-77.61998	SRC	RUR	COM	URB		2	1997	2000
NC	Person	371450003	36.306965	-79.09197	GEN	RUR	AGR	URB	4	2	1998	2004
NC	Pitt	371470099	35.583333	-77.598889	GEN	RUR	COM	REG		2	1997	2000
NC	Swain	371730002	35.435509	-83.443697	GEN	SUB	RES	NEI		2	1998	2004
ND	Billings	380070002	46.8943	-103.37853	GEN	RUR	AGR	REG	12.2	5	2000	2006
ND	Billings	380070111	47.296667	-103.095556	HIC	RUR	IND	NEI	4	1	1997	1997
ND	Burke	380130002	48.9904	-102.7815	SRC	RUR	AGR	REG	4	6	2000	2005
ND	Burke	380130004	48.64193	-102.4018	REG	RUR	AGR	REG	4	3	2004	2006
ND	Burleigh	380150003	46.825425	-100.76821	POP	SUB	RES	URB	4	1	2006	2006
ND	Cass	380171003	46.910278	-96.795	POP	SUB	RES	URB	4	1	1997	1997
ND	Cass	380171004	46.933754	-96.85535	POP	SUB	AGR	URB	3	8	1999	2006

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
ND	Dunn	380250003	47.3132	-102.5273	GEN	RUR	AGR	REG	4	10	1997	2006
ND	McKenzie	380530002	47.5812	-103.2995	GEN	RUR	AGR	REG	4	6	1997	2006
ND	McKenzie	380530104	47.575278	-103.968889	SRC	RUR	AGR	URB	3	9	1998	2006
ND	McKenzie	380530111	47.605556	-104.017222	SRC	RUR	IND	URB	3	7	2000	2006
ND	McLean	380550113	47.606667	-102.036389	POP	RUR	AGR	URB	3	6	1998	2003
ND	Mercer	380570001	47.258853	-101.783035	POP	SUB	RES	NEI	5	1	1997	1997
ND	Mercer	380570004	47.298611	-101.766944	POP	RUR	AGR	URB	4	8	1999	2006
ND	Mercer	380570102	47.325	-101.765833	SRC	RUR	IND	URB	3	10	1997	2006
ND	Mercer	380570118	47.371667	-101.780833	SRC	RUR	IND	URB	3	10	1997	2006
ND	Mercer	380570123	47.385725	-101.862917	SRC	RUR	IND	URB	4	10	1997	2006
ND	Mercer	380570124	47.400619	-101.92865	SRC	RUR	IND	URB	4	10	1997	2006
ND	Morton	380590002	46.84175	-100.870059	SRC	SUB	IND	NEI	4	8	1997	2004
ND	Morton	380590003	46.873075	-100.905039	SRC	SUB	IND	NEI	4	6	1999	2004
ND	Oliver	380650002	47.185833	-101.428056	SRC	RUR	AGR	URB	3	9	1997	2006
ND	Steele	380910001	47.599703	-97.899009	GEN	RUR	AGR	REG	3	2	1997	1999
ND	Williams	381050103	48.408834	-102.90765	SRC	RUR	IND	URB	4	9	1997	2006
ND	Williams	381050105	48.392644	-102.910233	SRC	RUR	IND	URB	4	9	1997	2005
OH	Adams	390010001	38.795	-83.535278	POP	SUB	RES	NEI	5	10	1997	2006
OH	Allen	390030002	40.772222	-84.051944	POP	UNK	AGR	URB	6	10	1997	2006
OH	Ashtabula	390071001	41.959444	-80.5725	POP	SUB	RES	URB	8	10	1997	2006
OH	Belmont	390133002	39.968056	-80.7475	POP	SUB	IND	NEI	6	7	2000	2006
OH	Butler	390170004	39.383333	-84.544167	POP	SUB	COM	NEI	7	10	1997	2006
OH	Butler	390171004	39.53	-84.3925	POP	SUB	COM	NEI	4	10	1997	2006
OH	Clark	390230003	39.855556	-83.9975	POP	RUR	AGR	NEI	4	10	1997	2006
OH	Clermont	390250021	38.961273	-84.09445	HIC	URB	RES	URB	5	8	1997	2004
OH	Columbiana	390290016	40.634722	-80.546389	POP	SUB	RES	NEI	7	1	1997	1997
OH	Columbiana	390290022	40.635	-80.546667	POP	SUB	COM	MIC	6	5	2002	2006
OH	Columbiana	390292001	40.620278	-80.580833	POP	URB	COM	NEI	20	1	1998	1998
OH	Cuyahoga	390350038	41.476944	-81.681944	HIC	URB	IND	NEI	4	9	1997	2006
OH	Cuyahoga	390350045	41.471667	-81.657222	POP	URB	IND	NEI	4	10	1997	2006
OH	Cuyahoga	390350060	41.493955	-81.678542	POP	URB	COM	NEI	4	10	1997	2006
OH	Cuyahoga	390350065	41.446389	-81.661944	HIC	URB	RES	NEI	5	9	1998	2006
OH	Cuyahoga	390356001	41.504722	-81.623889	POP	SUB	COM	NEI	6	6	1997	2002

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
OH	Franklin	390490004	39.992222	-83.041667	HIC	SUB	COM	NEI	5	3	1997	1999
OH	Franklin	390490034	40.0025	-82.994444	POP	URB	COM	NEI	4	9	1997	2006
OH	Gallia	390530002	38.944167	-82.112222	POP	SUB	RES	NEI	10	5	2002	2006
OH	Hamilton	390610010	39.214931	-84.690723	POP	RUR	IND	NEI	5	9	1998	2006
OH	Hamilton	390612003	39.228889	-84.448889	HIC	SUB	IND	NEI	3	1	1997	1997
OH	Jefferson	390810016	40.362778	-80.615556	POP	URB	COM	NEI	10	4	1999	2002
OH	Jefferson	390810017	40.366104	-80.615002	HIC	URB	COM	NEI	3	3	2004	2006
OH	Jefferson	390811001	40.321944	-80.606389	HIC	URB	IND	MID	6	6	1998	2003
OH	Lake	390850003	41.673056	-81.4225	UNK	SUB	RES	NEI	5	10	1997	2006
OH	Lake	390853002	41.7225	-81.241944	HIC	SUB	COM	MID	16	10	1997	2006
OH	Lawrence	390870006	38.520278	-82.666667	POP	SUB	RES	NEI	8	9	1998	2006
OH	Lorain	390930017	41.368056	-82.110556	POP	URB	COM	NEI	6	3	2001	2003
OH	Lorain	390930026	41.471667	-82.143611	POP	SUB	IND	NEI	5	6	1997	2002
OH	Lorain	390931003	41.365833	-82.108333	HIC	URB	COM	NEI	9	3	1997	1999
OH	Lucas	390950008	41.663333	-83.476667	HIC	URB	IND	NEI	8	7	1998	2006
OH	Lucas	390950024	41.644167	-83.546667	POP	URB	IND	NEI	8	8	1999	2006
OH	Mahoning	390990009	41.098333	-80.651944	HIC	URB	COM	NEI	6	3	1997	1999
OH	Mahoning	390990013	41.096111	-80.658611	GEN	URB	RES	NEI	6	7	2000	2006
OH	Meigs	391051001	39.037778	-82.045556	POP	SUB	RES	URB	4	10	1997	2006
OH	Montgomery	391130025	39.758333	-84.2	HIC	URB	COM	NEI	3	7	1997	2003
OH	Morgan	391150003	39.631667	-81.673056	HIC	RUR	AGR	URB	5	9	1997	2005
OH	Morgan	391150004	39.634221	-81.670038	SRC	RUR	AGR	URB	4	1	2006	2006
OH	Scioto	391450013	38.754167	-82.9175	HIC	SUB	IND	MID	10	10	1997	2006
OH	Scioto	391450020	38.609048	-82.822911	HIC	RUR	FOR	NEI	4	2	2005	2006
OH	Scioto	391450022	38.588034	-82.834973	UPW	RUR	IND	NEI	4	2	2005	2006
OH	Stark	391510016	40.827778	-81.378611	HIC	SUB	RES	NEI	5	7	1997	2003
OH	Summit	391530017	41.063333	-81.468611	HIC	SUB	IND	NEI	4	10	1997	2006
OH	Summit	391530022	41.080278	-81.516389	POP	URB	COM	NEI	3	10	1997	2006
OH	Tuscarawas	391570003	40.516389	-81.476389	POP	URB	IND	URB	5	6	1997	2002
OH	Tuscarawas	391570006	40.511416	-81.639149	POP	RUR	RES	NEI	10	3	2004	2006
OK	Cherokee	400219002	35.85408	-94.985964	REG	RUR	RES	NEI	3	4	2001	2005
OK	Kay	400710602	36.705328	-97.087656	UNK	URB	RES		4	8	1997	2005
OK	Kay	400719003	36.662778	-97.074444	POP	RUR	RES	NEI	3	2	2002	2003

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
OK	Kay	400719010	36.956222	-97.03135	GEN	RUR	AGR	NEI	3	2	2004	2005
OK	Mayes	400979014	36.228408	-95.249943	GEN	RUR	AGR	NEI	3	1	2005	2005
OK	Muskogee	401010167	35.793134	-95.302235	SRC	RUR	COM	NEI	5	9	1997	2005
OK	Oklahoma	401090025	35.553056	-97.623611	POP	SUB	RES	URB	4	4	1999	2002
OK	Oklahoma	401091037	35.614131	-97.475083	POP	SUB	RES	URB	4	2	2004	2005
OK	Ottawa	401159004	36.922222	-94.838889	UNK	RUR	RES	NEI		3	2001	2004
OK	Tulsa	401430175	36.149877	-96.011664	UNK	SUB	IND	NEI	4	9	1997	2005
OK	Tulsa	401430235	36.126945	-95.998941	SRC	URB	IND	MID	4	9	1997	2005
OK	Tulsa	401430501	36.16127	-96.015784	UNK	URB	COM			6	2000	2005
PA	Allegheny	420030002	40.500556	-80.071944	POP	SUB	RES	NEI	6	8	1997	2006
PA	Allegheny	420030010	40.445577	-80.016155	POP	URB	COM	URB	4	9	1998	2006
PA	Allegheny	420030021	40.413611	-79.941389	POP	SUB	RES	NEI	6	7	1997	2006
PA	Allegheny	420030031	40.443333	-79.990556	POP	URB	COM	NEI	13	3	1997	1999
PA	Allegheny	420030032	40.414444	-79.942222	UNK	SUB	RES		5	2	1997	1998
PA	Allegheny	420030064	40.323611	-79.868333	POP	SUB	RES	NEI	8	10	1997	2006
PA	Allegheny	420030067	40.381944	-80.185556	GEN	RUR	RES	NEI	9	9	1997	2006
PA	Allegheny	420030116	40.473611	-80.077222	POP	SUB	RES	NEI	5	7	1997	2005
PA	Allegheny	420031301	40.4025	-79.860278	HIC	SUB	RES	NEI	9	4	1997	2000
PA	Allegheny	420033003	40.318056	-79.881111	POP	SUB	IND		5	7	1997	2005
PA	Allegheny	420033004	40.305	-79.888889	UNK	SUB	RES		8	4	1997	2000
PA	Beaver	420070002	40.56252	-80.503948	REG	RUR	AGR	REG	3	10	1997	2006
PA	Beaver	420070004	40.635575	-80.230605	HIC	URB	IND	NEI	4	2	1997	1998
PA	Beaver	420070005	40.684722	-80.359722	POP	RUR	AGR	URB	3	10	1997	2006
PA	Beaver	420070014	40.747796	-80.316442	POP	URB	RES	URB	4	10	1997	2006
PA	Berks	420110009	40.320278	-75.926667	HIC	SUB	RES	NEI	4	9	1997	2005
PA	Berks	420110100	40.335278	-75.922778	UNK	URB	COM		4	2	1997	1998
PA	Blair	420130801	40.535278	-78.370833	POP	SUB	IND	NEI	6	10	1997	2006
PA	Bucks	420170012	40.107222	-74.882222	POP	SUB	RES	NEI	2	10	1997	2006
PA	Cambria	420210011	40.309722	-78.915	HIC	URB	COM	NEI	12	10	1997	2006
PA	Centre	420270100	40.811389	-77.877028	POP	RUR	AGR	NEI	3	3	2004	2006
PA	Dauphin	420430401	40.245	-76.844722	HIC	RUR	COM	NEI	4	10	1997	2006
PA	Delaware	420450002	39.835556	-75.3725	HIC	URB	IND	NEI	4	10	1997	2006
PA	Delaware	420450109	39.818715	-75.413973	UNK	URB	IND			3	1997	1999

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
PA	Erie	420490003	42.14175	-80.038611	HIC	SUB	COM	NEI	4	10	1997	2006
PA	Indiana	420630004	40.56333	-78.919972	POP	RUR	COM	NEI	3	2	2005	2006
PA	Lackawanna	420692006	41.442778	-75.623056	HIC	SUB	RES	NEI	4	10	1997	2006
PA	Lancaster	420710007	40.046667	-76.283333	HIC	SUB	IND	NEI	4	10	1997	2006
PA	Lawrence	420730015	40.995848	-80.346442	POP	SUB	IND	NEI	4	10	1997	2006
PA	Lehigh	420770004	40.611944	-75.4325	POP	SUB	COM	NEI	3	10	1997	2006
PA	Luzerne	420791101	41.265556	-75.846389	POP	SUB	RES	NEI	4	9	1997	2005
PA	Lycoming	420810100	41.2508	-76.9238	POP	URB	RES	URB	3.5	5	2002	2006
PA	Lycoming	420810403	41.246111	-76.989722	POP	URB	COM	NEI	8	4	1997	2000
PA	Mercer	420850100	41.215014	-80.484779	POP	URB	COM	NEI	3	9	1997	2006
PA	Montgomery	420910013	40.112222	-75.309167	POP	SUB	RES	NEI	4	10	1997	2006
PA	Northampton	420950025	40.628056	-75.341111	POP	SUB	COM	NEI	3	9	1998	2006
PA	Northampton	420950100	40.676667	-75.216667	UNK	SUB	IND		3	2	1997	1998
PA	Northampton	420958000	40.692224	-75.237156	POP	SUB	RES	NEI	4	7	2000	2006
PA	Perry	420990301	40.456944	-77.165556	GEN	RUR	UNK	REG	4	10	1997	2006
PA	Philadelphia	421010004	40.008889	-75.097778	POP	URB	RES	NEI	7	8	1997	2004
PA	Philadelphia	421010022	39.916667	-75.188889	HIC	URB	IND	NEI	7	2	1997	1998
PA	Philadelphia	421010024	40.076389	-75.011944	UNK	SUB	IND		4	2	1997	1998
PA	Philadelphia	421010027	40.010556	-75.151944	UNK	URB	MOB		5	2	1997	1998
PA	Philadelphia	421010029	39.957222	-75.173056	POP	URB	COM	NEI	11	8	1997	2004
PA	Philadelphia	421010047	39.944722	-75.166111	POP	URB	RES	NEI	4	2	1997	1998
PA	Philadelphia	421010048	39.991389	-75.080833	UNK	RUR	RES		5	2	1997	1998
PA	Philadelphia	421010055	39.922517	-75.186783	POP	URB	RES	NEI	4	1	2005	2005
PA	Philadelphia	421010136	39.9275	-75.222778	POP	URB	RES	NEI	4	7	1997	2004
PA	Schuylkill	421070003	40.820556	-76.212222	POP	RUR	RES	NEI	4	9	1998	2006
PA	Warren	421230003	41.857222	-79.1375	HIC	SUB	RES	NEI	4	10	1997	2006
PA	Warren	421230004	41.844722	-79.169722	HIC	RUR	FOR	NEI	4	10	1997	2006
PA	Washington	421250005	40.146667	-79.902222	POP	SUB	COM	NEI	2	10	1997	2006
PA	Washington	421250200	40.170556	-80.261389	POP	SUB	RES	NEI	4	10	1997	2006
PA	Washington	421255001	40.445278	-80.420833	REG	RUR	AGR	REG	4	10	1997	2006
PA	Westmoreland	421290008	40.304694	-79.505667	POP	SUB	COM	URB	4	9	1998	2006
PA	York	421330008	39.965278	-76.699444	HIC	SUB	RES	NEI	4	10	1997	2006
RI	Providence	440070012	41.825556	-71.405278	POP	URB	COM	NEI	20	10	1997	2006

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
RI	Providence	440071005	41.878333	-71.378889	HIC	URB	RES	NEI	6	1	1997	1997
RI	Providence	440071009	41.823611	-71.411667	HIC	URB	COM	NEI	3	10	1997	2006
SC	Aiken	450030003	33.342226	-81.788731	HIC	SUB	RES	URB	4.02	2	1997	1998
SC	Barnwell	450110001	33.320344	-81.465537	SRC	RUR	FOR	URB	3.1	10	1997	2006
SC	Charleston	450190003	32.882289	-79.977538	POP	URB	COM	NEI	4.3	10	1997	2006
SC	Charleston	450190046	32.941023	-79.657187	SRC	RUR	FOR	REG	4	8	1997	2006
SC	Georgetown	450430006	33.362014	-79.294251	SRC	URB	IND	NEI	2.13	7	1997	2006
SC	Greenville	450450008	34.838814	-82.402918	POP	URB	COM	NEI	4	9	1997	2006
SC	Greenville	450450009	34.899141	-82.31307	WEL	SUB	RES	NEI	4	2	2005	2006
SC	Lexington	450630008	34.051017	-81.15495	SRC	SUB	COM	NEI	3.35	9	1997	2006
SC	Oconee	450730001	34.805261	-83.2377	REG	RUR	FOR	REG	4.3	9	1997	2006
SC	Orangeburg	450750003	33.29959	-80.442218	SRC	RUR	FOR	NEI	3.2	1	2003	2003
SC	Richland	450790007	34.093959	-80.962304	OTH	SUB	COM	NEI	3	7	1999	2006
SC	Richland	450790021	33.81468	-80.781135	GEN	RUR	FOR	URB	4.42	4	2002	2005
SC	Richland	450791003	34.024497	-81.036248	POP	URB	COM	MID	4	10	1997	2006
SC	Richland	450791006	33.817902	-80.826596	GEN	RUR	FOR	MIC	5	2	1997	1999
SD	Custer	460330132	43.5578	-103.4839	REG	RUR	FOR	REG	3.35	2	2005	2006
SD	Jackson	460710001	43.74561	-101.941218	GEN	RUR	AGR	REG	3	2	2005	2006
SD	Minnehaha	460990007	43.537626	-96.682001	POP	URB	RES	NEI	4	3	2004	2006
TN	Anderson	470010028	36.027778	-84.151389	UNK	SUB	RES		3	8	1997	2006
TN	Blount	470090002	35.775	-83.965833	HIC	RUR	COM	MID	4	8	1997	2006
TN	Blount	470090006	35.768056	-83.976667	HIC	SUB	RES	MID	4	8	1997	2006
TN	Blount	470090101	35.63149	-83.943512	GEN	RUR	FOR	REG	10	1	1999	1999
TN	Bradley	470110102	35.283164	-84.759371	UNK	URB	RES			8	1997	2006
TN	Coffee	470310004	35.582222	-86.015556	UNK	RUR	AGR		4	1	1998	1998
TN	Davidson	470370011	36.205	-86.744722	POP	URB	RES	NEI	13	10	1997	2006
TN	Hawkins	470730002	36.366944	-82.977778	UNK	RUR	AGR		1	6	1998	2004
TN	Humphreys	470850020	36.051944	-87.965	UNK	RUR	AGR		4	8	1997	2006
TN	McMinn	471070101	35.29733	-84.75076	HIC	SUB	AGR	NEI	4	8	1997	2005
TN	Montgomery	471250006	36.520056	-87.394167	UNK	RUR	IND	NEI	3	10	1997	2006
TN	Montgomery	471250106	36.504529	-87.396675	HIC	RUR	RES	MID	4	10	1997	2006
TN	Polk	471390003	35.026111	-84.384722	POP	SUB	COM	NEI	8	9	1997	2005
TN	Polk	471390007	34.988333	-84.371667	POP	URB	COM	NEI	1	9	1997	2005

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										n	First	Last
TN	Polk	471390008	34.995833	-84.368333	UNK	RUR	RES		3	3	1998	2000
TN	Polk	471390009	34.989722	-84.383889	UNK	RUR	IND		4	3	1997	2000
TN	Roane	471450009	35.947222	-84.522222	UNK	SUB	RES		4	6	1998	2005
TN	Shelby	471570034	35.0434	-90.0136	HIC	SUB	RES	NEI	3	4	2002	2005
TN	Shelby	471570043	35.087778	-90.025278	HIC	SUB	COM	NEI	3	2	1997	1998
TN	Shelby	471570046	35.272778	-89.961389	POP	SUB	IND	URB		10	1997	2006
TN	Shelby	471571034	35.087222	-90.133611	UNK	RUR	AGR	MID	3	10	1997	2006
TN	Stewart	471610007	36.389722	-87.633333	OTH	RUR	AGR		3	7	1997	2005
TN	Sullivan	471630007	36.534804	-82.517078	HIC	SUB	RES	NEI	3	9	1998	2006
TN	Sullivan	471630009	36.513971	-82.560968	HIC	RUR	RES	NEI	3	10	1997	2006
TN	Sumner	471651002	36.341667	-86.398333	OTH	RUR	AGR		3	7	1997	2004
TX	Cameron	480610006	25.892509	-97.493824	HIC	URB	COM	NEI		3	1998	2000
TX	Dallas	481130069	32.819952	-96.860082	POP	URB	COM	NEI	6	10	1997	2006
TX	Ellis	481390015	32.436944	-97.025	HIC	SUB	AGR	NEI	4	9	1998	2006
TX	Ellis	481390016	32.482222	-97.026944	GEN	SUB	AGR	NEI	4	7	1998	2006
TX	Ellis	481390017	32.473611	-97.0425	OTH	RUR	RES			1	2005	2005
TX	El Paso	481410037	31.768281	-106.501253	POP	URB	COM	NEI	3	9	1998	2006
TX	El Paso	481410053	31.758504	-106.501023	HIC	URB	COM	NEI	5	8	1999	2006
TX	El Paso	481410058	31.893928	-106.425813	POP	URB	RES	NEI	5	5	2001	2005
TX	Galveston	481670005	29.385236	-94.931526	HIC	URB	RES	NEI		2	2005	2006
TX	Galveston	481671002	29.398611	-94.933333	HIC	SUB	RES	NEI	5	7	1997	2003
TX	Gregg	481830001	32.37871	-94.711834	GEN	RUR	RES	NEI	4	6	2000	2005
TX	Harris	482010046	29.8275	-95.283611	POP	SUB	RES	NEI	4	8	1997	2006
TX	Harris	482010051	29.623611	-95.473611	SRC	SUB	RES	NEI	4	8	1997	2006
TX	Harris	482010059	29.705833	-95.281111	HIC	SUB	RES	NEI	6	1	1997	1997
TX	Harris	482010062	29.625833	-95.2675	POP	SUB	RES	NEI	5	9	1997	2006
TX	Harris	482010070	29.735129	-95.315583	GEN	SUB	RES	NEI	11	6	2001	2006
TX	Harris	482011035	29.733713	-95.257591	POP	SUB	IND	NEI	6	9	1997	2006
TX	Harris	482011050	29.583032	-95.015535	HIC	SUB	RES	MID	11	5	2002	2006
TX	Jefferson	482450009	30.036446	-94.071073	HIC	SUB	RES	NEI	6.31	10	1997	2006
TX	Jefferson	482450011	29.89403	-93.987898	SRC	URB	IND	NEI	4	10	1997	2006
TX	Jefferson	482450020	30.06607	-94.077383	SRC	URB	IND	NEI	5	8	1998	2006
TX	Kaufman	482570005	32.564969	-96.31766	HIC	SUB	COM	NEI	5	6	2001	2006



State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
TX	Nueces	483550025	27.76534	-97.434272	POP	URB	RES	NEI	4	9	1997	2005
TX	Nueces	483550026	27.832409	-97.555381	HIC	URB	RES	NEI	6	8	1998	2005
TX	Nueces	483550032	27.804482	-97.431553	POP	SUB	RES		4	8	1998	2005
UT	Cache	490050004	41.731111	-111.8375	POP	URB	COM		4	3	2003	2005
UT	Davis	490110001	40.886389	-111.882222	POP	SUB	COM		3	6	1997	2002
UT	Davis	490110004	40.902967	-111.884467	POP	SUB	RES	NEI	4	2	2004	2005
UT	Salt Lake	490350012	40.8075	-111.921111	UNK	SUB	IND		4	6	1999	2004
UT	Salt Lake	490351001	40.708611	-112.094722	HIC	SUB	RES	NEI	6	9	1997	2005
UT	Salt Lake	490352004	40.736389	-112.210278	HIC	RUR	IND			7	1997	2003
VT	Chittenden	500070003	44.478889	-73.211944	HIC	URB	COM	NEI	4	3	1997	1999
VT	Chittenden	500070014	44.4762	-73.2106	POP	URB	COM	MID		1	2004	2004
VT	Rutland	500210002	43.608056	-72.982778	POP	URB	COM	NEI	4	7	1997	2005
VA	Charles	510360002	37.343294	-77.260034	HIC	SUB	RES	NEI	5	10	1997	2006
VA	Fairfax	510590005	38.893889	-77.465278	POP	RUR	AGR	NEI	4	9	1997	2006
VA	Fairfax	510590018	38.7425	-77.0775	UNK	SUB	RES		4	1	1997	1997
VA	Fairfax	510591004	38.868056	-77.143056	UNK	SUB	COM		11	4	1997	2000
VA	Fairfax	510591005	38.837517	-77.163231	POP	SUB	RES			4	2003	2006
VA	Fairfax	510595001	38.931944	-77.198889	UNK	SUB	RES		4	9	1998	2006
VA	Madison	511130003	38.521944	-78.436111	UNK	RUR	FOR			3	2000	2003
VA	Roanoke	511611004	37.285556	-79.884167	HIC	SUB	RES	NEI	4	10	1997	2006
VA	Rockingham	511650002	38.389444	-78.914167	POP	RUR	AGR	NEI	7	6	1998	2003
VA	Rockingham	511650003	38.47732	-78.81904	POP	SUB	COM	NEI	6	2	2005	2006
VA	Alexandria City	515100009	38.810833	-77.044722	POP	URB	RES	NEI	10	10	1997	2006
VA	Hampton City	516500004	37.003333	-76.399167	HIC	SUB	RES	NEI	4	10	1997	2006
VA	Norfolk City	517100023	36.850278	-76.257778	POP	URB	COM	NEI	5	8	1997	2004
VA	Richmond City	517600024	37.562778	-77.465278	HIC	URB	COM	NEI	5	8	1999	2006
WA	Clallam	530090010	48.113333	-123.399167	UNK	SUB	RES		4	1	1997	1997
WA	Clallam	530090012	48.0975	-123.425556	UNK	SUB	RES	NEI	5	5	1999	2004
WA	King	530330057	47.563333	-122.3406	HIC	SUB	IND	NEI	11	2	1997	1998
WA	King	530330080	47.568333	-122.308056	POP	URB	RES	URB	5	4	2001	2004
WA	Pierce	530530021	47.281111	-122.374167	HIC	SUB	RES	NEI	5	1	1997	1997
WA	Pierce	530530031	47.2656	-122.3858	POP	SUB	IND	NEI	5	1	1997	1997
WA	Skagit	530570012	48.493611	-122.551944	UNK	SUB	RES		5	1	1997	1997

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
WA	Skagit	530571003	48.486111	-122.549444	UNK	RUR	IND		3	1	1997	1997
WA	Snohomish	530610016	47.983333	-122.209722	UNK	URB	COM		4	1	1997	1997
WA	Whatcom	530730011	48.750278	-122.482778	UNK	URB	IND		12	1	1998	1998
WV	Brooke	540090005	40.341023	-80.596635	POP	SUB	IND	NEI	4	10	1997	2006
WV	Brooke	540090007	40.389655	-80.586235	POP	RUR	RES	NEI	4	10	1997	2006
WV	Cabell	540110006	38.424133	-82.4259	POP	SUB	COM	NEI	13.6	10	1997	2006
WV	Greenbrier	540250001	37.819444	-80.5125	UNK	RUR	AGR		4	1	1997	1997
WV	Hancock	540290005	40.529021	-80.576067	POP	SUB	RES	URB	4	10	1997	2006
WV	Hancock	540290007	40.460138	-80.576567	POP	RUR	RES	URB		10	1997	2006
WV	Hancock	540290008	40.61572	-80.56	POP	SUB	RES	NEI	5	10	1997	2006
WV	Hancock	540290009	40.427372	-80.592318	POP	SUB	RES	NEI		10	1997	2006
WV	Hancock	540290011	40.394583	-80.612017	POP	SUB	RES	NEI		10	1997	2006
WV	Hancock	540290014	40.43552	-80.600579	POP	SUB	RES	MID		7	1997	2003
WV	Hancock	540290015	40.618353	-80.540616	POP	URB	RES	URB	4	10	1997	2006
WV	Hancock	540290016	40.411944	-80.601667	HIC	SUB	RES		4	7	1997	2003
WV	Hancock	540291004	40.421539	-80.580717	HIC	SUB	RES	NEI	3	10	1997	2006
WV	Kanawha	540390004	38.343889	-81.619444	POP	SUB	COM	NEI	8	2	1997	1998
WV	Kanawha	540390010	38.3456	-81.628317	POP	URB	COM	URB	13	6	2001	2006
WV	Kanawha	540392002	38.416944	-81.846389	HIC	SUB	IND	NEI	4	1	1997	1997
WV	Marshall	540511002	39.915961	-80.733858	POP	SUB	RES	URB	4	10	1997	2006
WV	Monongalia	540610003	39.649367	-79.920867	POP	SUB	COM	URB	4.6	10	1997	2006
WV	Monongalia	540610004	39.633056	-79.957222	UNK	SUB	RES			4	1997	2000
WV	Monongalia	540610005	39.648333	-79.957778	UNK	SUB	RES	URB	10.7	9	1997	2005
WV	Ohio	540690007	40.12043	-80.699265	HIC	SUB	RES	NEI	8	6	1997	2002
WV	Wayne	540990002	38.39186	-82.583923	POP	RUR	IND	NEI	4	6	1997	2002
WV	Wayne	540990003	38.390278	-82.585833	HIC	RUR	RES	NEI	3	8	1997	2005
WV	Wayne	540990004	38.380278	-82.583889	HIC	RUR	RES	NEI	3	8	1997	2005
WV	Wayne	540990005	38.372222	-82.588889	HIC	RUR	RES	NEI	3	8	1997	2005
WV	Wood	541071002	39.323533	-81.552367	POP	SUB	IND	URB	4	10	1997	2006
WI	Brown	550090005	44.516667	-87.993889	POP	URB	RES	NEI	11	7	1997	2005
WI	Dane	550250041	43.100833	-89.357222	POP	URB	RES	NEI	5	2	1997	1998
WI	FOR	550410007	45.56498	-88.80859	GEN	RUR	FOR	REG	6	2	2004	2005
WI	Marathon	550730005	45.028333	-89.652222	HIC	RUR	FOR	MID	5	3	1997	1999

State	County	Monitor ID	Latitude	Longitude	Objective <sup>1</sup>	Setting <sup>2</sup>	Land Use <sup>3</sup>	Scale <sup>4</sup>	Height	Years		
										n	First	Last
WI	Milwaukee	550790007	43.047222	-87.920278	POP	URB	COM	NEI	7	4	1997	2000
WI	Milwaukee	550790026	43.061111	-87.9125	POP	URB	COM	NEI	9	4	2002	2005
WI	Milwaukee	550790041	43.075278	-87.884444	HIC	URB	RES	NEI	7	4	1997	2001
WI	Oneida	550850996	45.645278	-89.4125	UNK	URB	IND		6	9	1997	2005
WI	Sauk	551110007	43.435556	-89.680278	GEN	RUR	FOR	REG	6	1	2003	2003
WI	Vilas	551250001	46.048056	-89.653611	GEN	RUR	FOR	REG	15	1	2003	2003
WI	Wood	551410016	44.3825	-89.819167	POP	URB	RES	NEI	7	2	1998	1999
WY	Campbell	560050857	44.277222	-105.375	SRC	RUR	IND	NEI	4	3	2002	2004
PR	Barceloneta	720170003	18.436111	-66.580556	UNK	RUR	RES		3	5	1997	2005
PR	Bayamon	720210004	18.412778	-66.132778	HIC	SUB	IND	NEI		6	1997	2004
PR	Bayamon	720210006	18.416667	-66.150833	POP	SUB	IND	NEI	3	7	1997	2005
PR	Catano	720330004	18.430556	-66.142222	UNK	SUB	RES		4	7	1997	2005
PR	Catano	720330007	18.444722	-66.116111	POP	URB	RES	NEI	2	1	2002	2002
PR	Catano	720330008	18.440028	-66.127076	POP	URB	COM			1	2005	2005
PR	Catano	720330009	18.449964	-66.149043	POP	URB	RES			1	2005	2005
PR	Guayama	720570009	17.966844	-66.188014	SRC	RUR	COM	NEI	4	4	2002	2005
PR	Salinas	721230001	17.963002	-66.254749	SRC	RUR	AGR			1	2004	2004
VI	St Croix	780100006	17.706944	-64.780556	HIC	RUR	IND	NEI	4	5	1998	2004
VI	St Croix	780100011	17.719167	-64.775	HIC	RUR	IND	NEI	4	5	1997	2004
VI	St Croix	780100013	17.7225	-64.776667	POP	SUB	RES	NEI		5	1999	2004
VI	St Croix	780100014	17.734444	-64.783333	POP	RUR	AGR	NEI	4	5	1999	2004
VI	St Croix	780100015	17.741667	-64.751944	SRC	RUR	AGR	NEI	4	4	2000	2004

**Notes:**

<sup>1</sup> Objectives are POP=Population Exposure; HIC=Highest Concentration; SRC=Source Oriented; GEN=General/Background; REG=Regional Transport; OTH=Other; UNK=Unknown; UPW=Upwind Background; WEL=Welfare Related Impacts

<sup>2</sup> Settings are R=Rural; U=Urban and Center City; S=Suburban

<sup>3</sup> Land Uses are AGR=Agricultural; COM=Commercial; IND=Industrial; FOR=Forest; RES=Residential; UNK=Unknown; DES=Desert; MOB=Mobile.

<sup>4</sup> Scales are NEI=Neighborhood; MID=Middle; URB=URBAN; REG=Regional; MIC=Micro

**Table A.1-4. Population density, concentration variability, and total SO<sub>2</sub> emissions associated with 809 ambient monitors in the broader SO<sub>2</sub> monitoring network.**

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
AL	Colbert	010330044	2195	7954	25394	62838	low	c	a	50041
AL	Jackson	010710020	1902	8137	19317	29686	low	c	b	45357
AL	Jefferson	010731003	76802	196682	344386	489181	hi	b	b	6478
AL	Lawrence	010790003	3952	28674	73092	91057	low	b	b	8937
AL	Mobile	010970028	5966	7758	17087	39111	low	c	c	66130
AL	Mobile	010972005	3017	18106	52682	111608	low	b	a	1187
AL	Montgomery	011011002	45389	156786	213606	259730	mod	a	a	3650
AR	Pulaski	051190007	67784	178348	270266	334649	hi	a	a	20
AR	Pulaski	051191002	45800	109372	230200	310362	mod	a	a	20
AR	Union	051390006	21877	29073	32652	36340	mod	b	a	2527
AZ	Gila	040070009	7801	14076	17280	17633	low	b	b	
AZ	Gila	040071001	1359	1359	3098	5401	low	c	c	18438
AZ	Maricopa	040130019	197458	613618	1036233	1447648	hi	a	b	186
AZ	Maricopa	040133002	144581	490123	980730	1612687	hi	a	a	185
AZ	Maricopa	040133003	91955	340325	829051	1518806	hi	a	a	180
AZ	Pima	040191011	111215	354473	561487	639921	hi	a	a	3119
AZ	Pinal	040212001	4375	7679	9577	10125	low	c	a	
CA	Alameda	060010010	236320	532827	841443	1342267	hi	a	a	369
CA	Contra Costa	060130002	136288	303088	445297	598861	hi	b	a	15056
CA	Contra Costa	060130006	119088	231479	471471	968983	hi	b	a	5032
CA	Contra Costa	060130010	29809	123220	403137	685185	mod	a	a	17834
CA	Contra Costa	060131001	53051	181259	321500	610171	hi	b	a	19592
CA	Contra Costa	060131002	4033	39708	117118	173196	low	a	a	79
CA	Contra Costa	060131003	146336	256417	420619	856435	hi	a	a	5032
CA	Contra Costa	060131004	125350	233220	433669	876585	hi	a	a	5032
CA	Contra Costa	060132001	34743	155226	433934	807706	mod	a	a	17834
CA	Contra Costa	060133001	64019	152758	303597	478310	hi	b	a	8105
CA	Imperial	060250005	27033	31895	56234	84405	mod	b	c	7
CA	Los Angeles	060371002	167653	827729	2001363	3286038	hi	a	a	51
CA	Los Angeles	060371103	378843	1618324	3027507	4530714	hi	a	a	551

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
CA	Los Angeles	060374002	240505	913176	1850549	3218392	hi	a	b	5869
CA	Los Angeles	060375001	276378	890302	2071144	3561110	hi	a	a	6282
CA	Los Angeles	060375005	94836	652173	1628468	2848126	hi	a	a	2304
CA	Orange	060591003	200253	744882	1303743	1829713	hi	a	a	68
CA	Riverside	060658001	78757	360234	734267	1141466	hi	a	a	299
CA	Sacramento	060670002	92433	328190	645533	916197	hi	a	a	5
CA	Sacramento	060670006	132584	472019	866437	1180898	hi	a	a	58
CA	San Bernardino	060710012	6720	17620	29756	69717	low	a	a	8
CA	San Bernardino	060710014	58937	114149	193928	224008	hi	a	a	251
CA	San Bernardino	060710306	59772	114149	193046	224008	hi	a	a	251
CA	San Bernardino	060711234	0	0	1911	1911	low	a	a	290
CA	San Bernardino	060712002	89732	314392	650533	1142460	hi	a	a	203
CA	San Bernardino	060714001	40799	114888	174610	219525	mod	a	a	32
CA	San Diego	060730001	168237	528890	866015	1177835	hi	a	a	21
CA	San Diego	060731007	169117	616102	1097387	1449106	hi	a	a	34
CA	San Diego	060732007	9376	15849	218480	452120	low	a	a	21
CA	San Francisco	060750005	433367	827164	1227784	1729715	hi	a	a	399
CA	San Luis Obispo	060791005	4725	56677	85064	152491	low	c	b	3755
CA	San Luis Obispo	060792001	39236	55657	61709	121393	mod	a	a	3755
CA	San Luis Obispo	060792004	2135	34056	113260	162669	low	b	c	3755
CA	San Luis Obispo	060794002	0	51508	95245	141786	low	b	b	3755
CA	Santa Barbara	060830008	655	1678	17486	67965	low	a	a	118
CA	Santa Barbara	060831012	0	0	960	3201	low	a	a	1109
CA	Santa Barbara	060831013	6617	41576	59590	89777	low	a	a	1109
CA	Santa Barbara	060831015	0	0	2391	17826	low	a	a	18
CA	Santa Barbara	060831016	0	0	4034	17826	low	a	a	18
CA	Santa Barbara	060831019	0	0	4689	17826	low	a	a	18
CA	Santa Barbara	060831020	39222	71015	117832	170206	mod	a	a	118
CA	Santa Barbara	060831025	655	1678	11216	56132	low	a	a	118
CA	Santa Barbara	060831026	655	1678	15659	63963	low	a	a	118
CA	Santa Barbara	060831027	655	1678	13618	62298	low	b	a	118
CA	Santa Barbara	060832004	38688	49356	58271	59279	mod	a	a	1109
CA	Santa Barbara	060832011	55496	105491	170865	181894	hi	a	a	118

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
CA	Santa Barbara	060834003	0	0	8430	51692	low	a	a	1109
CA	Santa Cruz	060870003	0	6016	51831	124792	low	a	a	722
CA	Solano	060950001	27872	130319	359105	620107	mod	a	a	17821
CA	Solano	060950004	102003	166693	247861	374613	hi	a	a	17763
CA	Ventura	061113001	47248	227525	401656	427503	mod	a	b	19
CO	Adams	080010007	45071	360261	903964	1344766	mod	b	b	24028
CO	Adams	080013001	81896	334611	784343	1205604	hi	b	b	23817
CO	Denver	080310002	189782	574752	1158644	1608099	hi	b	b	26354
CO	El Paso	080416001	0	24520	54194	111518	low	b	b	5010
CO	El Paso	080416004	84979	242841	368203	430076	hi	a	a	8547
CO	El Paso	080416011	97849	288563	407401	448545	hi	b	b	8547
CO	El Paso	080416018	93065	266008	388801	438812	hi	a	a	8537
CT	Fairfield	090010012	164887	291072	393358	528453	hi	b	b	4671
CT	Fairfield	090010017	30184	188214	330125	672435	mod	b	b	757
CT	Fairfield	090011123	72689	126452	191805	277225	hi	a	b	
CT	Fairfield	090012124	121109	209567	343909	476656	hi	b	b	766
CT	Fairfield	090019003	28181	151905	313449	546288	mod	b	b	5039
CT	Hartford	090031005	33414	147625	319902	484462	mod	a	b	1268
CT	Hartford	090031018	152497	329646	523045	693079	hi	a	b	113
CT	Hartford	090032006	91965	333744	510929	671515	hi	a	b	83
CT	New Haven	090090027	140329	290735	389117	529118	hi	b	b	4761
CT	New Haven	090091003	156879	293853	414381	552021	hi	b	b	5085
CT	New Haven	090091123	154781	292598	417546	557442	hi	b	b	5085
CT	New Haven	090092123	104191	189838	276310	447334	hi	a	b	430
CT	New London	090110007	58457	97870	141173	182476	hi	a	a	3898
CT	Tolland	090130003	23441	47285	78649	115317	mod	a	a	
DC	District of Columbia	110010041	216129	813665	1461563	2029936	hi	a	a	18325
DE	New Castle	100031003	68790	223079	369450	603736	hi	b	b	33133
DE	New Castle	100031007	14297	67478	178295	274942	mod	b	b	34382
DE	New Castle	100031008	5386	80025	192989	391157	low	b	b	39757
DE	New Castle	100031013	79498	221315	386624	618604	hi	b	b	33133
DE	New Castle	100032002	111236	245832	400217	624587	hi	b	b	28868
DE	New Castle	100032004	111609	245173	411000	600168	hi	b	b	59518

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
FL	Broward	120110010	173204	475485	953527	1459284	hi	c	a	19178
FL	Duval	120310032	81831	270954	439516	620929	hi	b	b	38010
FL	Duval	120310080	70468	288474	506828	704506	hi	b	b	38015
FL	Duval	120310081	23862	152805	305323	463770	mod	c	b	38001
FL	Duval	120310097	59980	225163	418997	600591	hi	b	b	38010
FL	Escambia	120330004	43464	133022	233520	303319	mod	b	b	43573
FL	Escambia	120330022	32534	122295	223566	291695	mod	c	b	43573
FL	Hamilton	120470015	582	1733	6459	12479	low	b	a	2264
FL	Hillsborough	120570021	90125	287073	539627	762352	hi	c	b	89751
FL	Hillsborough	120570053	54303	140247	307460	668911	hi	b	b	89830
FL	Hillsborough	120570081	5101	24672	48751	228142	low	b	b	122051
FL	Hillsborough	120570095	28554	192630	493886	719140	mod	c	b	65362
FL	Hillsborough	120570109	11493	81649	287436	509661	mod	c	b	65352
FL	Hillsborough	120571035	63839	244436	463185	764479	hi	b	b	89751
FL	Hillsborough	120574004	32134	66598	149341	346648	mod	b	a	8617
FL	Manatee	120813002	2043	18810	82190	281383	low	b	b	365
FL	Miami-Dade	120860019	54755	283528	685044	1386189	hi	a	a	235
FL	Nassau	120890005	17963	21386	38521	48316	mod	c	c	5050
FL	Nassau	120890009	8627	18803	27645	59574	low	b	b	5050
FL	Orange	120952002	85060	389159	808816	1031221	hi	b	a	46
FL	Palm Beach	120993004	54596	222249	446441	718156	hi	b	a	235
FL	Pinellas	121030023	40222	180398	488170	901428	mod	b	c	24819
FL	Pinellas	121033002	74280	310490	633807	907997	hi	b	c	24813
FL	Pinellas	121035002	58164	184586	401002	655181	hi	b	b	30797
FL	Pinellas	121035003	48341	174960	304905	492683	mod	b	b	30797
FL	Polk	121050010	1499	21899	60024	142707	low	b	a	21475
FL	Polk	121052006	8128	49090	125120	198136	low	b	b	21989
FL	Putnam	121071008	10853	21601	35511	44711	mod	b	b	29894
FL	Sarasota	121151002	78620	180672	237782	332704	hi	b	b	
FL	Sarasota	121151005	28895	140026	244918	356779	mod	b	a	
FL	Sarasota	121151006	65360	188269	295631	386824	hi	b	a	143
GA	Baldwin	130090001	7410	22059	44230	50761	low	c	b	73950
GA	Bartow	130150002	1628	15879	50084	91503	low	c	a	162418

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GA	Bibb	130210012	5430	38736	102539	153254	low	b	a	2694
GA	Chatham	130510019	24119	107149	188444	220328	mod	b	b	19069
GA	Chatham	130510021	47852	121273	183343	220814	mod	b	b	19069
GA	Chatham	130511002	40337	113925	186077	222588	mod	c	b	19069
GA	Dougherty	130950006	28572	73138	101552	117779	mod	b	a	6773
GA	Fannin	131110091	3943	9432	19045	24026	low	c	b	1900
GA	Floyd	131150003	2671	22348	46960	74655	low	c	b	32455
GA	Fulton	131210048	139962	429736	806001	1253530	hi	b	b	30375
GA	Fulton	131210055	103612	409533	779857	1209013	hi	b	b	30375
GA	Glynn	131270006	22992	38643	61789	67649	mod	b	a	2464
GA	Muscogee	132150008	63822	167389	234866	254253	hi	b	a	6960
GA	Richmond	132450003	30694	124609	206847	298992	mod	b	a	20025
HI	Honolulu	150030010	24951	89592	181585	344307	mod	a	a	15617
HI	Honolulu	150030011	16119	58440	160177	277456	mod	a	a	15617
HI	Honolulu	150031001	197479	344436	483321	672198	hi	b	a	3130
HI	Honolulu	150031006	16676	66976	180191	300444	mod	b	a	15617
IA	Cerro Gordo	190330018	21247	30341	39284	45105	mod	c	c	10737
IA	Clinton	190450018	24561	37638	42404	45947	mod	b	b	9388
IA	Clinton	190450019	24561	37638	42404	45947	mod	b	c	9388
IA	Clinton	190450020	25544	36227	41370	48214	mod	b	b	9388
IA	Lee	191110006	11675	18308	24246	25010	mod	b	c	29
IA	Lee	191111007	1202	11474	20995	34036	low	b	c	208
IA	Linn	191130028	9112	77687	143283	189856	low	b	a	15400
IA	Linn	191130029	72325	146914	168250	179312	hi	b	b	15400
IA	Linn	191130031	76896	148919	170320	179312	hi	c	b	15400
IA	Linn	191130032	66674	131315	169310	183904	hi	b	a	15400
IA	Linn	191130034	63548	146044	170320	185547	hi	c	b	15400
IA	Linn	191130038	30007	108042	163636	180807	mod	c	c	15400
IA	Linn	191130039	30134	106631	160903	180968	mod	b	a	15400
IA	Muscatine	191390016	20360	27101	31886	40248	mod	c	c	31137
IA	Muscatine	191390017	11109	27101	31696	36604	mod	b	b	31054
IA	Muscatine	191390020	20360	27101	31886	40290	mod	c	c	31054
IA	Scott	191630015	90863	201277	268535	293627	hi	b	c	9415



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IA	Scott	191630017	3486	43003	159186	245960	low	c	a	14841
IA	Van Buren	191770004	0	2252	3764	7809	low	a	b	
IA	Van Buren	191770005	994	2252	3764	6984	low	b	b	
IA	Van Buren	191770006	994	2252	3764	6984	low	a	b	
IA	Woodbury	191930018	4449	44815	92956	112802	low	b	b	36833
ID	Bannock	160050004	16523	57823	64147	69313	mod	b	c	1609
ID	Caribou	160290003	0	1351	3211	4218	low	c	b	12572
ID	Caribou	160290031	0	604	3211	3211	low	c	c	12572
ID	Power	160770011	7702	50773	64147	69313	low	b	a	1609
IL	Adams	170010006	40173	49711	54168	64300	mod	b	b	3859
IL	Champaign	170190004	91239	126127	134689	152309	hi	b	b	362
IL	Cook	170310050	162765	649556	1310508	1997666	hi	b	b	42308
IL	Cook	170310059	67237	496359	1055079	1759830	hi	b	b	36403
IL	Cook	170310063	307232	1205813	2476802	3318024	hi	b	b	23944
IL	Cook	170310064	299183	965573	1758392	2786664	hi	b	b	50763
IL	Cook	170310076	289574	1034471	2000564	2971446	hi	a	b	33488
IL	Cook	170311018	113572	617444	1657665	3102521	hi	b	b	24023
IL	Cook	170311601	23495	167647	466741	1000711	mod	b	b	45681
IL	Cook	170312001	138992	604707	1380464	2117578	hi	b	b	39578
IL	Cook	170314002	406933	1482581	2777797	3752141	hi	b	b	24553
IL	Cook	170314201	63731	232428	627873	1254146	hi	b	b	659
IL	Cook	170318003	111959	456791	1004517	1682955	hi	b	b	30075
IL	DuPage	170436001	83416	401929	787802	1266818	hi	b	b	35837
IL	La Salle	170990007	4862	26956	37974	63052	low	c	c	3561
IL	Macon	171150013	54806	92426	103292	112667	hi	b	b	13757
IL	Macoupin	171170002	0	5005	16518	19043	low	b	a	
IL	Madison	171190008	36580	84254	152472	330907	mod	b	b	67657
IL	Madison	171190017	37113	201161	536687	950679	mod	b	b	35077
IL	Madison	171191010	9382	70816	176153	323143	low	b	b	26719
IL	Madison	171193007	32393	71861	172196	353090	mod	b	b	72660
IL	Madison	171193009	27788	69631	136629	273179	mod	b	b	72512
IL	Peoria	171430024	76341	167513	232727	269180	hi	b	c	73334
IL	Randolph	171570001	5095	10038	16360	29336	low	c	b	26296

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IL	Rock Island	171610003	87160	228445	275180	296786	hi	b	a	9449
IL	Saint Clair	171630010	48405	274406	621019	999843	mod	b	b	13346
IL	Saint Clair	171631010	49630	269778	593969	973751	mod	b	b	13346
IL	Saint Clair	171631011	1148	9915	18231	27769	low	c	b	26296
IL	Sangamon	171670006	41165	123641	154447	171401	mod	c	b	10849
IL	Tazewell	171790004	32800	50160	99136	194767	mod	c	b	73270
IL	Wabash	171850001	8738	9493	13312	27993	low	c	b	127357
IL	Wabash	171851001	1069	10899	11617	21643	low	c	b	127357
IL	Will	171970013	12237	66320	171777	249868	mod	b	b	46347
IN	Daviess	180270002	905	9377	21937	32380	low	b	c	65217
IN	Dearborn	180290004	11932	21347	69595	151228	mod	b	b	151052
IN	Floyd	180430004	17205	86512	201325	363262	mod	b	c	52000
IN	Floyd	180430007	65510	228353	408246	607160	hi	b	b	67211
IN	Floyd	180431004	45432	169258	351938	532952	mod	c	b	66977
IN	Fountain	180450001	788	2536	9505	19361	low	c	b	55655
IN	Gibson	180510001	792	10900	18174	30700	low	c	b	127357
IN	Gibson	180510002	6276	9493	16779	29981	low	c	c	127357
IN	Hendricks	180630001	4657	29661	66108	183728	low	c	b	
IN	Hendricks	180630002	7481	31567	79685	205437	low	b	b	147
IN	Hendricks	180630003	1776	11450	41400	79693	low	b	b	
IN	Jasper	180730002	991	8080	16959	28865	low	b	a	27494
IN	Jasper	180730003	1688	4551	12127	20725	low	b	b	27494
IN	Jefferson	180770004	11228	22061	32050	36387	mod	b	b	38198
IN	Lake	180890022	40318	152401	292371	500754	mod	b	b	50716
IN	Lake	180892008	97669	293157	745205	1339901	hi	b	b	36590
IN	LaPorte	180910005	28928	42982	60818	97304	mod	b	b	12499
IN	LaPorte	180910007	29106	54698	82651	112181	mod	b	b	9198
IN	Marion	180970042	19283	109791	306701	564512	mod	b	b	51880
IN	Marion	180970054	53595	301941	612446	863127	hi	b	b	51077
IN	Marion	180970057	79478	349455	640054	909257	hi	b	b	51077
IN	Marion	180970072	115856	380088	684608	922620	hi	b	b	51096
IN	Marion	180970073	100599	357454	585925	880596	hi	b	b	50949
IN	Morgan	181091001	4178	26279	53331	105208	low	b	c	18019

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IN	Perry	181230006	6348	13158	20298	30372	low	c	c	56262
IN	Perry	181230007	6153	15700	19228	29270	low	b	c	56262
IN	Pike	181250005	3991	7372	12598	29314	low	b	a	65217
IN	Porter	181270011	12202	44110	101993	210946	mod	b	b	39173
IN	Porter	181270017	14162	59080	118122	223900	mod	b	b	29995
IN	Porter	181270023	13645	79678	136098	256849	mod	b	b	29975
IN	Spencer	181470002	1935	4701	13255	32146	low	b	b	109391
IN	Spencer	181470010	2483	5934	14936	32405	low	b	b	60394
IN	Sullivan	181530004	1735	8313	15494	25746	low	a	a	27810
IN	Vanderburgh	181630012	45373	141869	184521	225094	mod	b	b	9032
IN	Vanderburgh	181631002	1289	30177	123286	201383	low	b	b	9032
IN	Vigo	181670018	50963	82314	98561	115726	hi	b	b	65055
IN	Vigo	181671014	25046	72089	100022	118986	mod	b	c	65055
IN	Warrick	181730002	2200	27584	60538	123354	low	b	b	109088
IN	Warrick	181731001	11943	28798	80348	155370	mod	b	b	109088
IN	Wayne	181770006	34483	51601	59606	71062	mod	b	c	12892
IN	Wayne	181770007	31811	48948	59606	72278	mod	b	c	12892
KS	Linn	201070002	1728	3741	4705	6412	low	b	a	
KS	Montgomery	201250006	9331	14142	17807	21677	low	b	b	1873
KS	Pawnee	201450001	5329	6038	6038	6038	low	a	a	
KS	Sedgwick	201730010	102842	276624	380868	426333	hi	a	a	806
KS	Sumner	201910002	1476	13125	56924	120034	low	b	a	806
KS	Trego	201950001	0	0	578	578	low	a	a	
KS	Wyandotte	202090001	63756	288005	588511	868652	hi	b	a	19433
KS	Wyandotte	202090020	41751	237368	491118	742170	mod	b	a	19433
KS	Wyandotte	202090021	61336	271585	571758	840225	hi	b	a	19427
KY	Boyd	210190015	31077	78140	124766	179511	mod	b	b	11909
KY	Boyd	210190017	34804	79205	119732	161810	mod	b	b	11933
KY	Boyd	210191003	14960	58723	117154	181371	mod	b	b	10172
KY	Campbell	210370003	67933	285451	616440	910551	hi	b	b	74986
KY	Campbell	210371001	153388	421973	754366	1016145	hi	b	b	5111
KY	Daviess	210590005	25889	70609	81162	92902	mod	b	b	60963
KY	Fayette	210670012	92980	195446	267016	309266	hi	a	b	626

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KY	Greenup	210890007	19411	45899	85066	109294	mod	b	b	4806
KY	Hancock	210910012	3345	4280	20931	39607	low	b	b	109458
KY	Henderson	211010013	21591	35051	126144	202537	mod	b	b	9026
KY	Henderson	211010014	2594	30452	135741	194289	low	b	b	109476
KY	Jefferson	2111110032	49825	208276	375535	586335	mod	b	b	86910
KY	Jefferson	2111110051	13446	52332	121743	257453	mod	b	b	39110
KY	Jefferson	211111041	81560	281755	485759	676730	hi	b	b	68947
KY	Livingston	211390004	1695	8508	15337	31298	low	b	b	1775
KY	McCracken	211450001	1336	15733	28279	64951	low	b	b	61380
KY	McCracken	211451024	17904	48907	63098	83436	mod	b	a	1760
KY	McCracken	211451026	9706	42285	62036	82624	low	b	b	1760
KY	Warren	212270008	1865	8137	23083	68407	low	a	a	52
LA	Bossier	220150008	43077	149478	247738	295731	mod	a	a	153
LA	Calcasieu	220190008	12932	68406	137949	154942	mod	b	b	53630
LA	East Baton Rouge	220330009	76518	193981	321486	408305	hi	c	b	39378
LA	Ouachita	220730004	24260	87999	116037	131643	mod	a	a	2166
LA	St. Bernard	220870002	97021	407863	672107	856519	hi	b	b	7543
LA	West Baton Rouge	221210001	21249	137455	239718	366741	mod	b	b	31242
MA	Bristol	250051004	89767	169077	221707	372963	hi	b	b	44817
MA	Essex	250090005	125952	225058	376322	598605	hi	b	b	1626
MA	Essex	250091004	123377	309194	545716	906225	hi	a	b	20202
MA	Essex	250091005	109921	314258	523212	870238	hi	b	b	20170
MA	Essex	250095004	57974	128881	316108	422519	hi	a	a	1235
MA	Hampden	250130016	136483	296109	450050	532663	hi	a	b	7360
MA	Hampden	250131009	127283	278577	447646	541476	hi	b	b	2065
MA	Hampshire	250154002	5182	23547	50329	123102	low	a	b	859
MA	Middlesex	250171701	109401	512228	1210094	1773702	hi	b	b	7670
MA	Middlesex	250174003	164954	629764	1334022	1860034	hi	b	b	7254
MA	Suffolk	250250002	486825	1141656	1582622	1955479	hi	a	b	7999
MA	Suffolk	250250019	6913	437626	1118549	1681211	low	a	a	7791
MA	Suffolk	250250020	320320	899106	1461574	1895175	hi	a	a	8024
MA	Suffolk	250250021	243006	887256	1488386	1966520	hi	a	a	7921
MA	Suffolk	250250040	261273	962956	1475999	1921168	hi	b	a	7952

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MA	Suffolk	250250042	441455	1048879	1536036	1941989	hi	a	a	7987
MA	Suffolk	250251003	260061	829040	1436251	1951612	hi	b	b	22045
MA	Worcester	250270020	155688	248143	316330	404489	hi	a	a	690
MA	Worcester	250270023	151851	252264	318317	403312	hi	a	a	690
MD	Allegany	240010006	28416	49750	66814	79171	mod	a	a	1363
MD	Anne Arundel	240032002	40618	134276	372761	829885	mod	b	b	64947
MD	Baltimore	240053001	99648	383980	785111	1155009	hi	b	b	97428
MD	Baltimore (City)	245100018	360916	823207	1195508	1472306	hi	a	a	65129
MD	Baltimore (City)	245100036	105632	490543	1004531	1351499	hi	a	b	97338
ME	Androscoggin	230010011	46561	61938	83767	101615	mod	b	b	283
ME	Aroostook	230030009	3403	4534	6561	9030	low	b	b	90
ME	Aroostook	230030012	3403	4534	6561	9030	low	b	b	90
ME	Aroostook	230031003	3403	4534	6561	9030	low	c	b	90
ME	Aroostook	230031013	6476	6476	10298	11213	low	b	b	48
ME	Aroostook	230031018	2387	8245	15656	21187	low	b	b	772
ME	Cumberland	230050014	65123	122951	151066	187005	hi	b	b	3201
ME	Cumberland	230050027	67865	124508	153138	190157	hi	b	b	3201
ME	Oxford	230172007	5903	10118	12717	17231	low	a	a	499
MI	Delta	260410902	7503	26225	28725	31746	low	a	a	4222
MI	Genesee	260490021	94710	227235	323367	388490	hi	b	b	166
MI	Genesee	260492001	4058	17555	47495	126929	low	b	b	127
MI	Kent	260810020	122533	294283	453477	553989	hi	a	a	541
MI	Macomb	260991003	116002	549258	1171414	1769656	hi	b	b	718
MI	Missaukee	261130001	0	2308	7840	14456	low	a	a	58
MI	St. Clair	261470005	32599	64545	82832	98014	mod	b	c	1572
MI	Schoolcraft	261530001	0	0	0	1389	low	b	b	
MI	Wayne	261630001	151437	338726	682793	1135095	hi	b	b	64065
MI	Wayne	261630005	86804	350207	804947	1386398	hi	b	b	64412
MI	Wayne	261630015	98193	423093	975303	1647773	hi	b	c	34236
MI	Wayne	261630016	203577	654802	1283000	1934280	hi	b	b	34225
MI	Wayne	261630019	210099	695836	1189529	1756001	hi	b	b	31238
MI	Wayne	261630025	81534	280589	668415	1150319	hi	b	b	81
MI	Wayne	261630027	79205	384693	915619	1574294	hi	b	c	64407

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MI	Wayne	261630033	150194	544634	1115397	1730610	hi	b	b	34236
MI	Wayne	261630062	123532	491879	1104610	1743263	hi	b	b	34225
MI	Wayne	261630092	96517	429048	973432	1618949	hi	b	b	64407
MN	Anoka	270031002	57502	226660	496686	903982	hi	b	a	13324
MN	Carlton	270176316	9236	17582	28511	56009	low	b	a	362
MN	Dakota	270370020	3854	64533	221239	432974	low	b	b	9155
MN	Dakota	270370423	8572	101147	265053	574966	low	b	a	13685
MN	Dakota	270370439	1487	55052	218201	411081	low	b	a	8949
MN	Dakota	270370441	1487	36683	191183	384938	low	b	a	8639
MN	Dakota	270370442	2705	24656	153752	332905	low	b	a	5567
MN	Hennepin	270530954	224357	608888	1082178	1517123	hi	b	b	21921
MN	Hennepin	270530957	157024	542309	1022041	1489863	hi	b	a	18443
MN	Koochiching	270711240	6444	8075	8923	10210	low	c	a	67
MN	Ramsey	271230864	112909	599029	1052764	1510602	hi	b	a	20773
MN	Sherburne	271410003	5629	7667	35016	50427	low	a	a	26742
MN	Sherburne	271410011	5629	9806	29985	51661	low	b	a	26742
MN	Sherburne	271410012	5629	9806	29774	50884	low	a	a	26742
MN	Sherburne	271410013	0	10957	33889	58410	low	a	a	26742
MN	Washington	271630436	46665	149177	354337	679510	mod	b	b	11441
MN	Wright	271710007	5377	28368	39511	77671	low	a	a	26794
MO	Buchanan	290210009	23253	72613	87121	93365	mod	c	b	3563
MO	Buchanan	290210011	28224	75073	86317	93365	mod	b	b	3563
MO	Clay	290470025	40627	163217	366686	617013	mod	b	a	25233
MO	Greene	290770026	41036	146752	224445	256158	mod	c	b	9206
MO	Greene	290770032	96594	180831	208384	244406	hi	a	a	9206
MO	Greene	290770037	21784	110681	210953	254437	mod	c	b	9206
MO	Greene	290770040	18988	109888	210953	254437	mod	b	a	9206
MO	Greene	290770041	24455	120781	213312	256766	mod	a	a	9206
MO	Iron	290930030	1121	1121	4507	8447	low	c	c	43340
MO	Iron	290930031	0	3799	6585	8436	low	c	b	43340
MO	Jackson	290950034	84236	310816	605775	921037	hi	c	b	19433
MO	Jefferson	290990004	15049	33379	64516	124301	mod	c	c	55725
MO	Jefferson	290990014	11967	35082	61963	125932	mod	c	b	55725

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MO	Jefferson	290990017	19711	36471	60199	116882	mod	c	b	55725
MO	Jefferson	290990018	12258	41709	79196	170110	mod	c	b	32468
MO	Monroe	291370001	0	1439	2093	5612	low	a	a	
MO	Pike	291630002	645	2077	6916	11249	low	b	b	13495
MO	Platte	291650023	2159	36438	113990	238276	low	a	a	11030
MO	Saint Charles	291830010	2637	6349	34541	90953	low	b	b	47610
MO	Saint Charles	291831002	4587	95765	273147	431484	low	b	b	67735
MO	Saint Louis	291890001	95190	327257	630767	966432	hi	b	b	24466
MO	Saint Louis	291890004	61422	315539	647834	1020228	hi	b	b	22816
MO	Saint Louis	291890006	68741	235858	488837	927852	hi	b	b	190
MO	Saint Louis	291890014	48016	223506	550275	1005593	mod	b	b	265
MO	Saint Louis	291893001	117492	487564	929037	1305061	hi	b	b	10737
MO	Saint Louis	291895001	108578	358731	617042	941386	hi	b	b	66892
MO	Saint Louis	291897002	82790	336688	729925	1170973	hi	b	b	697
MO	Saint Louis	291897003	88786	383007	764342	1192267	hi	b	b	7262
MO	St. Louis City	295100007	107568	375790	678820	979578	hi	b	b	24933
MO	St. Louis City	295100072	101305	393971	726063	1097105	hi	b	b	13346
MO	St. Louis City	295100080	154740	463092	861774	1168442	hi	b	b	13502
MO	St. Louis City	295100086	145966	473923	857733	1177204	hi	b	b	13486
MS	Harrison	280470007	18607	88520	139495	181694	mod	c	b	25071
MS	Hinds	280490018	54986	171385	273630	332464	hi	b	a	256
MS	Jackson	280590006	39463	49647	65034	75787	mod	b	b	34318
MS	Lee	280810004	24421	44442	61390	74867	mod	a	a	
MT	Cascade	300132000	40281	64778	68296	70181	mod	b	b	702
MT	Cascade	300132001	42971	64778	70181	70181	mod	c	b	702
MT	Jefferson	300430903	1767	25076	47509	49340	low	b	b	234
MT	Jefferson	300430911	0	11616	36425	49340	low	c	b	234
MT	Jefferson	300430913	0	6845	27041	47509	low	c	b	234
MT	Lewis and Clark	300490702	10126	38881	49340	49340	mod	c	c	234
MT	Lewis and Clark	300490703	7706	31421	48723	49340	low	b	c	234
MT	Rosebud	300870700	2353	2353	2353	3131	low	b	a	16735
MT	Rosebud	300870701	2353	2353	3131	3131	low	b	a	16735
MT	Rosebud	300870702	0	2353	2353	3131	low	b	a	16735

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MT	Rosebud	300870760	0	0	643	2928	low	c	a	
MT	Rosebud	300870761	0	643	3524	3524	low	b	a	
MT	Rosebud	300870762	0	0	2928	2928	low	a	a	
MT	Rosebud	300870763	0	1536	3131	3131	low	a	a	16735
MT	Yellowstone	301110016	8526	9747	14953	39121	low	b	c	
MT	Yellowstone	301110066	27389	79644	98733	107178	mod	b	c	5480
MT	Yellowstone	301110079	61645	89282	102887	114640	hi	b	a	5480
MT	Yellowstone	301110080	33774	86065	104825	108399	mod	b	b	5480
MT	Yellowstone	301110082	58256	94753	103200	106046	hi	b	a	5480
MT	Yellowstone	301110083	27620	76641	98733	109475	mod	b	b	5480
MT	Yellowstone	301110084	22577	59919	97912	110980	mod	b	b	15298
MT	Yellowstone	301111065	13350	59574	97912	110980	mod	b	b	5480
MT	Yellowstone	301112005	24420	68288	97912	109475	mod	b	b	5480
MT	Yellowstone	301112006	11205	46767	86788	110980	mod	b	c	15298
MT	Yellowstone	301112007	5391	26316	69446	104067	low	b	c	15298
NC	Alexander	370030003	7574	16738	40689	80547	low	a	a	
NC	Beaufort	370130003	1085	1762	5519	8488	low	a	a	4730
NC	Beaufort	370130004	0	1762	6616	8488	low	b	a	4730
NC	Beaufort	370130006	0	1762	6616	8488	low	b	b	4730
NC	Chatham	370370004	4146	12138	23134	72477	low	a	a	474
NC	Cumberland	370511003	32970	108671	203822	280713	mod	a	a	1477
NC	Davie	370590002	4799	16224	44277	93569	low	a	a	7795
NC	Duplin	370610002	850	6058	12866	29813	low	a	a	414
NC	Edgecombe	370650099	0	11321	25673	51492	low	a	a	325
NC	Forsyth	370670022	61669	170320	258102	325974	hi	b	b	3945
NC	Johnston	371010002	9854	32163	67759	129979	low	a	a	29
NC	Lincoln	371090004	10568	32515	62768	125735	mod	a	a	10
NC	Martin	371170001	573	5282	14427	26518	low	a	a	3426
NC	Mecklenburg	371190034	90874	276915	474624	629520	hi	b	a	1030
NC	Mecklenburg	371190041	105796	295729	494494	647110	hi	b	b	821
NC	New Hanover	371290002	2584	20636	67021	127088	low	b	a	29923
NC	New Hanover	371290006	17957	83529	145330	170260	mod	b	b	30020
NC	Northampton	371310002	12284	29917	38134	46966	mod	a	a	2416



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NC	Person	371450003	2620	8081	24203	41995	low	b	b	96752
NC	Pitt	371470099	5860	10688	23742	72588	low	a	a	28
NC	Swain	371730002	3268	8992	15036	18230	low	a	a	
ND	Billings	380070002	0	0	1887	1887	low	a	a	283
ND	Billings	380070111	0	0	0	0	low	b	a	
ND	Burke	380130002	0	0	0	625	low	b	b	
ND	Burke	380130004	655	655	655	655	low	b	b	426
ND	Burleigh	380150003	49591	67377	83082	84415	mod	b	a	4592
ND	Cass	380171003	48975	134561	144878	154455	mod	b	a	771
ND	Cass	380171004	2118	91149	145789	148002	low	a	b	756
ND	Dunn	380250003	0	0	0	537	low	a	a	5
ND	McKenzie	380530002	0	596	596	596	low	a	a	210
ND	McKenzie	380530104	0	521	521	2283	low	c	a	
ND	McKenzie	380530111	0	0	2283	5771	low	c	a	823
ND	McLean	380550113	0	632	698	698	low	b	a	
ND	Mercer	380570001	3280	3280	5902	6465	low	b	b	91617
ND	Mercer	380570004	3280	4428	5902	7455	low	b	a	91617
ND	Mercer	380570102	1574	4428	5902	7455	low	b	b	91617
ND	Mercer	380570118	0	1574	6898	7455	low	b	b	91617
ND	Mercer	380570123	0	557	3837	5981	low	b	b	91617
ND	Mercer	380570124	557	557	557	3903	low	b	b	91617
ND	Morton	380590002	17925	67959	75685	84415	mod	c	c	4592
ND	Morton	380590003	10305	31348	75685	82584	mod	b	b	4592
ND	Oliver	380650002	0	0	2057	2670	low	b	b	28565
ND	Steele	380910001	0	934	934	934	low	a	a	
ND	Williams	381050103	0	1259	1259	1827	low	b	a	1605
ND	Williams	381050105	0	1259	1259	1827	low	b	c	1605
NE	Douglas	310550048	50168	209209	371395	532173	hi	c	b	31850
NE	Douglas	310550050	45166	187855	367828	525602	mod	b	a	31850
NE	Douglas	310550053	82663	264396	424100	578351	hi	c	b	31850
NE	Douglas	310550055	13902	109385	299381	473231	mod	b	a	11535
NH	Cheshire	330050007	16719	30003	39998	53389	mod	a	b	81
NH	Coos	330070019	9280	13603	14203	14928	low	b	c	638

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NH	Coos	330070022	8360	12552	14928	14928	low	b	b	638
NH	Coos	330071007	2438	2438	6025	8364	low	c	b	18
NH	Hillsborough	330110016	107911	145660	196209	270491	hi	b	b	30806
NH	Hillsborough	330110019	104650	140235	189502	266391	hi	b	b	30806
NH	Hillsborough	330110020	104650	140235	189502	266391	hi	b	b	30806
NH	Hillsborough	330111009	72131	130360	219169	438168	hi	a	a	454
NH	Hillsborough	330111010	37423	145620	333540	467236	mod	b	b	772
NH	Merrimack	330130007	27595	54309	75576	101847	mod	b	b	30833
NH	Merrimack	330131003	8787	45710	74945	138179	low	c	c	30833
NH	Merrimack	330131006	8066	35862	104656	218207	low	b	c	30833
NH	Merrimack	330131007	9351	43118	73240	98696	low	b	b	30833
NH	Rockingham	330150009	25227	48762	88743	157669	mod	b	b	13706
NH	Rockingham	330150014	25984	48762	78775	148875	mod	b	b	13706
NH	Rockingham	330150015	25227	48762	92738	152363	mod	b	b	13706
NH	Sullivan	330190003	11339	17306	34644	48414	mod	a	a	220
NJ	Atlantic	340010005	6123	33910	71617	160179	low	a	a	
NJ	Bergen	340035001	209619	973093	3404473	5751193	hi	a	b	27848
NJ	Burlington	340051001	71953	261206	561157	1133142	hi	b	b	15099
NJ	Camden	340070003	193686	806251	1761045	2534030	hi	b	b	10733
NJ	Camden	340071001	8015	46392	121996	262931	low	a	b	17
NJ	Cumberland	340110007	26454	77939	109030	160091	mod	a	b	646
NJ	Essex	340130011	209592	1133321	2811759	5933785	hi	a	b	27424
NJ	Essex	340130016	200779	1136145	2763272	5837087	hi	b	b	27638
NJ	Gloucester	340150002	32432	107924	537340	1392192	mod	b	b	26452
NJ	Hudson	340170006	158136	930071	3370494	5894707	hi	a	b	27538
NJ	Hudson	340171002	343775	1754575	5021807	8159098	hi	a	b	29856
NJ	Middlesex	340232003	95281	371119	839280	1615249	hi	a	b	1675
NJ	Morris	340273001	13515	60394	181888	361716	mod	b	b	38
NJ	Union	340390003	221266	868022	1790660	3314852	hi	a	b	23181
NJ	Union	340390004	194256	750485	1727936	3277263	hi	a	a	23146
NM	Dona Ana	350130008	10195	49347	114220	181522	mod	a	a	37
NM	Dona Ana	350130017	40832	158545	258940	387481	mod	c	b	574
NM	Eddy	350151004	12050	12050	14785	16465	mod	b	b	4233

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NM	Grant	350170001	4292	6951	21790	23982	low	c	b	263
NM	Grant	350171003	1429	5721	9904	24316	low	c	b	263
NM	Hidalgo	350230005	0	0	0	0	low	c	c	
NM	San Juan	350450008	22921	41258	51483	68906	mod	b	a	17344
NM	San Juan	350450009	2930	18431	32213	58595	low	b	a	585
NM	San Juan	350450017	0	6492	10898	10936	low	b	b	
NM	San Juan	350451005	491	2247	11772	16909	low	b	c	50191
NV	Clark	320030022	0	0	0	10778	low	a	a	178
NV	Clark	320030078	0	0	2836	2836	low	a	a	
NV	Clark	320030539	226197	557934	933583	1236711	hi	a	a	
NV	Clark	320030601	13570	22316	71616	97845	mod	a	a	
NY	Albany	360010012	108841	255221	371301	484970	hi	b	b	362
NY	Bronx	360050073	1215989	3522226	5762144	8036800	hi	a	b	27101
NY	Bronx	360050080	1278526	3040232	5159927	7489995	hi	a	b	26825
NY	Bronx	360050083	1162835	2294809	4245952	6315293	hi	a	b	6659
NY	Bronx	360050110	1205886	3444711	5621679	7878863	hi	a	a	26965
NY	Chautauqua	360130005	3605	6928	15645	22519	low	b	c	
NY	Chautauqua	360130006	14144	29535	39906	47684	mod	c	b	52177
NY	Chautauqua	360130011	3605	6928	15645	22519	low	b	c	
NY	Chemung	360150003	41915	68619	82014	101244	mod	a	a	404
NY	Erie	360290005	150194	458758	680793	839570	hi	b	b	40734
NY	Erie	360294002	80118	328976	575596	768392	hi	c	c	41722
NY	Erie	360298001	66153	237799	503575	729503	hi	b	b	40659
NY	Essex	360310003	492	2054	7005	10934	low	b	b	
NY	Franklin	360330004	0	2880	5697	11358	low	b	b	
NY	Hamilton	360410005	0	0	454	2054	low	b	c	
NY	Herkimer	360430005	2043	2043	2043	2043	low	b	c	
NY	Kings	360470011	1301071	3958499	6872002	8807020	hi	a	b	29050
NY	Kings	360470076	1173879	3316779	5595972	7596057	hi	a	b	28686
NY	Madison	360530006	806	4985	7448	17313	low	b	b	
NY	Monroe	360551004	149439	384621	579436	665760	hi	b	b	50379
NY	Monroe	360551007	129608	381741	570995	669909	hi	b	a	50379
NY	Monroe	360556001	222716	407438	582031	678777	hi	b	b	50379

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NY	Nassau	360590005	172837	677944	1424915	2365352	hi	b	b	1806
NY	New York	360610010	1062324	3421130	6487922	8988411	hi	a	b	28873
NY	New York	360610056	1289280	3673609	6607580	8980807	hi	a	a	29021
NY	Niagara	360632008	60505	96530	176040	348603	hi	b	a	40748
NY	Onondaga	360671015	56156	207136	329787	395331	hi	a	a	3280
NY	Putnam	360790005	15437	57790	111398	223357	mod	b	c	
NY	Queens	360810097	378415	1589364	3438261	7138176	hi	a	b	8183
NY	Queens	360810124	823992	2441512	5839274	8419326	hi	b	b	8043
NY	Rensselaer	360830004	1987	5975	22806	118285	low	b	c	379
NY	Rensselaer	360831005	1222	6357	19071	69278	low	b	c	188
NY	Richmond	360850067	282277	653196	2026407	4371801	hi	a	b	24733
NY	Schenectady	360930003	100404	157970	233426	383092	hi	a	a	96
NY	Suffolk	361030002	80740	526254	950326	1417428	hi	a	b	1404
NY	Suffolk	361030009	101641	341308	551178	802861	hi	a	b	7344
NY	Ulster	361111005	755	1541	7851	10684	low	b	c	
OH	Adams	390010001	4630	6792	15822	22444	low	b	b	19670
OH	Allen	390030002	15401	67353	90874	114512	mod	b	b	3977
OH	Ashtabula	390071001	11409	17288	23848	42433	mod	b	b	8655
OH	Belmont	390133002	17529	41346	95392	120821	mod	b	c	138904
OH	Butler	390170004	68823	163124	276076	487924	hi	b	b	9979
OH	Butler	390171004	47209	96458	152032	287701	mod	b	b	13912
OH	Clark	390230003	19786	66337	175311	410155	mod	b	b	2034
OH	Clermont	390250021	7297	20144	53435	96496	low	b	b	91822
OH	Columbiana	390290016	21336	46769	67377	101068	mod	b	b	186262
OH	Columbiana	390290022	21336	46769	67377	101068	mod	b	c	186262
OH	Columbiana	390292001	25779	43920	64319	92597	mod	b	b	179205
OH	Cuyahoga	390350038	136697	547523	932680	1214114	hi	b	b	7403
OH	Cuyahoga	390350045	151001	564795	962245	1221356	hi	b	b	7403
OH	Cuyahoga	390350060	116933	512974	907112	1201852	hi	b	b	7403
OH	Cuyahoga	390350065	132176	562942	968826	1244026	hi	b	b	7403
OH	Cuyahoga	390356001	191842	529243	883601	1165619	hi	b	b	74869
OH	Franklin	390490004	133697	467572	806703	1042146	hi	b	b	450
OH	Franklin	390490034	157233	482749	868013	1090438	hi	b	b	450

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OH	Gallia	390530002	1087	13134	30170	49474	low	b	b	190311
OH	Hamilton	390610010	15310	124569	345879	632705	mod	b	c	92654
OH	Hamilton	390612003	71390	325799	683493	1079723	hi	b	b	7257
OH	Jefferson	390810016	28019	70995	96550	122094	mod	b	c	223185
OH	Jefferson	390810017	30069	71838	96408	122094	mod	b	c	223185
OH	Jefferson	390811001	21833	53684	91514	119322	mod	b	c	78071
OH	Lake	390850003	48791	145694	238216	407417	mod	b	b	72266
OH	Lake	390853002	40430	92415	141902	209471	mod	b	c	4799
OH	Lawrence	390870006	26563	71376	94538	131453	mod	b	b	11400
OH	Lorain	390930017	58361	129195	249878	362235	hi	b	b	495
OH	Lorain	390930026	54867	114602	202571	298148	hi	b	b	53
OH	Lorain	390931003	58580	124277	251182	365323	hi	b	b	495
OH	Lucas	390950008	62606	205665	356815	487567	hi	b	b	37337
OH	Lucas	390950024	134960	319708	466184	528531	hi	b	b	37450
OH	Mahoning	390990009	79207	210961	293714	378289	hi	b	b	21074
OH	Mahoning	390990013	78376	214611	294367	375287	hi	b	b	21074
OH	Meigs	391051001	5440	15029	21812	31834	low	b	b	190311
OH	Montgomery	391130025	123978	304826	511565	645130	hi	b	b	9652
OH	Morgan	391150003	1122	3168	9162	22426	low	c	c	115526
OH	Morgan	391150004	1122	3168	9871	24252	low	c	b	115526
OH	Scioto	391450013	15699	47369	61292	77940	mod	b	b	
OH	Scioto	391450020	4530	11216	45697	87756	low	b	c	4351
OH	Scioto	391450022	3469	12081	40548	82103	low	b	c	4351
OH	Stark	391510016	99075	208779	291216	350367	hi	a	b	1269
OH	Summit	391530017	104817	292059	470747	574282	hi	b	c	11053
OH	Summit	391530022	140332	329963	454363	570258	hi	b	b	11053
OH	Tuscarawas	391570003	26914	40238	61526	85938	mod	b	b	2579
OH	Tuscarawas	391570006	2710	15439	38518	72765	low	b	b	2556
OK	Cherokee	400219002	993	22584	28182	36130	low	c	a	
OK	Kay	400710602	25029	31461	31461	36740	mod	b	b	7003
OK	Kay	400719003	6614	29697	32746	35459	low	b	b	7003
OK	Kay	400719010	1123	3516	16273	20121	low	b	a	
OK	Mayes	400979014	1947	14224	26265	29243	low	a	b	19079

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
OK	Muskogee	401010167	5633	39252	56271	64455	low	b	b	30011
OK	Oklahoma	401090025	78654	254952	384825	552894	hi	a	a	182
OK	Oklahoma	401091037	46197	141934	258441	459371	mod	a	a	182
OK	Ottawa	401159004	6272	22614	29716	37508	low	b	a	62
OK	Tulsa	401430175	53094	207546	357175	485641	hi	b	c	9377
OK	Tulsa	401430235	65020	235972	405434	515780	hi	b	c	9377
OK	Tulsa	401430501	46840	187023	333482	468989	mod	b	b	9377
PA	Allegheny	420030002	83332	277442	651551	961378	hi	b	b	1964
PA	Allegheny	420030010	168140	536314	842237	1114184	hi	a	b	4688
PA	Allegheny	420030021	170777	560187	921490	1142754	hi	b	b	52447
PA	Allegheny	420030031	183843	580429	877668	1145039	hi	a	b	46957
PA	Allegheny	420030032	174072	558904	922097	1144558	hi	b	b	52447
PA	Allegheny	420030064	64846	201143	520438	943781	hi	b	b	11490
PA	Allegheny	420030067	13277	86792	324154	610975	mod	a	b	1167
PA	Allegheny	420030116	96820	331624	704601	996267	hi	b	b	1964
PA	Allegheny	420031301	115432	411867	766188	1088115	hi	b	b	52100
PA	Allegheny	420033003	55221	202092	509708	944188	hi	b	c	11490
PA	Allegheny	420033004	38588	170065	461433	904760	mod	b	b	11501
PA	Beaver	420070002	3434	28961	68617	120780	low	b	b	187257
PA	Beaver	420070004	35152	104660	203430	317823	mod	a	a	41170
PA	Beaver	420070005	17292	77240	143738	224631	mod	b	c	41385
PA	Beaver	420070014	36335	82468	134467	220614	mod	b	b	44003
PA	Berks	420110009	121330	203799	250610	309553	hi	b	b	14817
PA	Berks	420110100	118553	202746	254794	310286	hi	a	b	14774
PA	Blair	420130801	44392	72996	94779	124536	mod	b	b	441
PA	Bucks	420170012	85719	324327	638218	1212911	hi	a	b	15117
PA	Cambria	420210011	50440	79710	102905	124592	hi	b	b	16779
PA	Centre	420270100	60659	76595	96267	107078	hi	a	b	4359
PA	Dauphin	420430401	86638	219394	324647	384070	hi	a	b	857
PA	Delaware	420450002	74840	237232	510590	1091830	hi	a	b	38833
PA	Delaware	420450109	59762	209503	446058	812243	hi	a	b	38470
PA	Erie	420490003	81199	150626	190212	209983	hi	a	a	4122
PA	Indiana	420630004	1110	8662	23057	57759	low	b	b	14389

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
PA	Lackawanna	420692006	68522	144913	189515	246604	hi	a	b	66
PA	Lancaster	420710007	97205	174296	254789	344292	hi	b	b	375
PA	Lawrence	420730015	40803	57962	81815	118770	mod	b	b	28854
PA	Lehigh	420770004	133092	298181	395772	501878	hi	a	b	9143
PA	Luzerne	420791101	68639	157363	215050	265123	hi	a	b	467
PA	Lycoming	420810100	15088	60400	83910	108961	mod	b	b	83
PA	Lycoming	420810403	41897	69102	80935	103969	mod	a	b	83
PA	Mercer	420850100	40443	69465	96468	184589	mod	b	b	28
PA	Montgomery	420910013	91275	239337	706445	1623890	hi	a	b	4794
PA	Northampton	420950025	79756	173911	398867	513651	hi	a	b	12167
PA	Northampton	420950100	71422	118395	209567	317220	hi	a	b	32680
PA	Northampton	420958000	71626	133639	228524	330629	hi	b	b	32714
PA	Perry	420990301	6450	13169	26326	49400	low	b	b	
PA	Philadelphia	421010004	400078	1147634	1971579	2631448	hi	b	b	6228
PA	Philadelphia	421010022	316944	985213	1726387	2446142	hi	a	b	18834
PA	Philadelphia	421010024	197076	588104	1351349	2063868	hi	b	b	1663
PA	Philadelphia	421010027	472813	1348135	2026206	2632847	hi	a	b	6246
PA	Philadelphia	421010029	484661	1229942	1999611	2574304	hi	b	b	17550
PA	Philadelphia	421010047	410380	1153434	1989848	2573573	hi	a	b	17536
PA	Philadelphia	421010048	262592	1102727	1938877	2607877	hi	b	b	6214
PA	Philadelphia	421010055	341893	1020004	1774411	2476647	hi	a	b	18848
PA	Philadelphia	421010136	382995	985957	1718068	2381173	hi	b	b	21700
PA	Schuylkill	421070003	19152	30388	59370	100508	mod	a	b	4987
PA	Warren	421230003	14142	19940	25715	32490	mod	b	b	4890
PA	Warren	421230004	13965	18884	28805	33523	mod	b	c	4890
PA	Washington	421250005	31276	68512	111222	183285	mod	a	a	8484
PA	Washington	421250200	32125	52910	83324	118188	mod	a	b	7
PA	Washington	421255001	1359	15854	43364	126091	low	b	b	2566
PA	Westmoreland	421290008	35656	82661	148990	213978	mod	a	b	72
PA	York	421330008	85574	156166	216656	284208	hi	b	b	80487
PR	Barceloneta	720170003	29823	83433	134176	243828	mod	b	a	
PR	Bayamon	720210004	192976	679576	1002864	1292141	hi	b	b	
PR	Bayamon	720210006	208167	587003	956783	1256603	hi	b	b	

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
PR	Catano	720330004	154575	583552	958456	1233122	hi	b	b	
PR	Catano	720330007	95500	576841	983702	1219701	hi	b	b	
PR	Catano	720330008	99778	594607	972270	1238188	hi	b	b	
PR	Catano	720330009	110439	457427	883511	1164315	hi	b	b	
PR	Guayama	720570009	12086	49373	90444	174005	mod	a	a	
PR	Salinas	721230001	20645	31312	68199	174332	mod	b	b	
RI	Providence	440070012	223521	487990	638092	816597	hi	a	b	2228
RI	Providence	440071005	148802	390751	615465	809993	hi	b	b	2265
RI	Providence	440071009	226940	493584	646894	821476	hi	a	b	2253
SC	Aiken	450030003	752	6505	18533	55485	low	a	a	21498
SC	Barnwell	450110001	0	4022	13647	21554	low	a	a	65
SC	Charleston	450190003	40872	132716	273298	364953	mod	b	b	34934
SC	Charleston	450190046	1103	1103	9529	22255	low	b	a	
SC	Georgetown	450430006	10567	18215	22467	34357	mod	b	b	40841
SC	Greenville	450450008	70221	173012	284047	379022	hi	a	b	1067
SC	Greenville	450450009	56686	151862	279293	356410	hi	b	a	1082
SC	Lexington	450630008	42208	131361	257820	355854	mod	b	b	10433
SC	Oconee	450730001	0	2260	11136	26182	low	a	a	5
SC	Orangeburg	450750003	2904	7856	14446	24656	low	b	a	7166
SC	Richland	450790007	35872	121006	255135	353072	mod	a	a	613
SC	Richland	450790021	1666	4643	13324	33098	low	b	b	40492
SC	Richland	450791003	87097	213836	300874	396116	hi	b	a	12935
SC	Richland	450791006	1666	5435	15920	47548	low	b	a	42894
SD	Custer	460330132	0	0	3940	4686	low	b	a	
SD	Jackson	460710001	0	0	0	0	low	a	a	
SD	Minnehaha	460990007	65647	119287	138918	147218	hi	b	a	496
TN	Anderson	470010028	11872	59225	153931	292415	mod	c	b	44761
TN	Blount	470090002	28887	70731	105939	198408	mod	b	c	4263
TN	Blount	470090006	36020	72290	104178	189214	mod	b	b	4263
TN	Blount	470090101	0	12650	44702	81010	low	b	a	4263
TN	Bradley	470110102	2540	11940	46188	84762	low	b	a	5437
TN	Coffee	470310004	1286	9718	23113	35158	low	b	a	
TN	Davidson	470370011	77459	228349	410925	583532	hi	a	b	8019



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			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
TN	Hawkins	470730002	6748	14441	22457	39857	low	c	c	35493
TN	Humphreys	470850020	2474	6672	13621	23460	low	c	b	111597
TN	McMinn	471070101	2540	11940	37322	84929	low	b	a	5501
TN	Montgomery	471250006	21032	79399	112883	139621	mod	a	a	1330
TN	Montgomery	471250106	16569	74449	109087	138438	mod	a	a	1330
TN	Polk	471390003	1613	9042	14124	24537	low	b	a	1900
TN	Polk	471390007	2491	9432	19726	24026	low	c	b	1900
TN	Polk	471390008	2491	9432	17401	25902	low	a	a	1900
TN	Polk	471390009	2491	10239	17235	24026	low	b	a	1900
TN	Roane	471450009	8848	21677	37175	57683	low	c	a	77881
TN	Shelby	471570034	74216	277713	497847	695164	hi	a	a	21675
TN	Shelby	471570043	94449	325228	534950	751299	hi	a	b	21675
TN	Shelby	471570046	18782	113964	273306	473443	mod	b	a	3945
TN	Shelby	471571034	886	97506	277857	484234	low	b	b	21847
TN	Stewart	471610007	787	4566	8854	20362	low	b	a	16682
TN	Sullivan	471630007	28689	78826	112565	153445	mod	b	c	30097
TN	Sullivan	471630009	28254	77403	117095	151856	mod	b	c	30156
TN	Sumner	471651002	5070	38555	53602	119241	low	c	b	34373
TX	Cameron	480610006	70071	151247	160048	167993	hi	a	a	
TX	Dallas	481130069	93552	455917	991123	1609774	hi	a	a	307
TX	Ellis	481390015	6089	13876	35210	113413	low	b	b	7972
TX	Ellis	481390016	7883	18193	68740	191352	low	b	c	7972
TX	Ellis	481390017	5723	17592	50332	152699	low	c	b	7972
TX	El Paso	481410037	56009	182473	337222	522824	hi	b	b	574
TX	El Paso	481410053	49083	163206	325118	519008	mod	b	b	574
TX	El Paso	481410058	78658	126481	299419	524259	hi	b	a	614
TX	Galveston	481670005	37427	62491	98724	182464	mod	b	b	7976
TX	Galveston	481671002	38619	65658	98768	196215	mod	b	b	7976
TX	Gregg	481830001	1349	17138	52116	105781	low	c	b	66443
TX	Harris	482010046	65125	350122	756166	1283440	hi	b	a	17583
TX	Harris	482010051	123431	372470	896497	1380154	hi	b	a	26
TX	Harris	482010059	151412	475338	902121	1392348	hi	b	c	25608
TX	Harris	482010062	73770	352818	695432	1108749	hi	b	b	25677

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
TX	Harris	482010070	153479	511407	991134	1610993	hi	b	b	24501
TX	Harris	482011035	99581	451485	891195	1287766	hi	b	b	25635
TX	Harris	482011050	23794	83705	224120	405297	mod	a	a	11195
TX	Jefferson	482450009	33143	87386	182005	237033	mod	b	c	13807
TX	Jefferson	482450011	13164	93985	121116	140687	mod	b	c	26962
TX	Jefferson	482450020	35739	101563	177284	223336	mod	b	c	1362
TX	Kaufman	482570005	6583	9190	28396	43226	low	a	a	
TX	Nueces	483550025	99888	186846	231717	280479	hi	b	b	7954
TX	Nueces	483550026	16215	28033	92841	177008	mod	b	a	8056
TX	Nueces	483550032	48320	128230	228351	272861	mod	b	b	7954
UT	Cache	490050004	49600	64094	80592	86020	mod	a	a	5
UT	Davis	490110001	56718	82741	178141	311810	hi	b	b	2807
UT	Davis	490110004	52464	83909	154925	295333	hi	b	a	2807
UT	Salt Lake	490350012	57910	183684	370433	630857	hi	b	a	2807
UT	Salt Lake	490351001	31709	107346	260423	522228	mod	b	a	5832
UT	Salt Lake	490352004	0	4074	35159	124394	low	b	a	3735
VA	Charles	510360002	3370	32169	76679	176978	low	b	b	86717
VA	Fairfax	510590005	34561	183637	408647	687195	mod	a	b	156
VA	Fairfax	510590018	87725	293189	730360	1388941	hi	a	b	18204
VA	Fairfax	510591004	215952	660586	1410007	2092422	hi	a	a	18303
VA	Fairfax	510591005	203219	670880	1238334	1844099	hi	a	a	18405
VA	Fairfax	510595001	80603	358173	1098236	2041931	hi	a	a	17221
VA	Madison	511130003	1316	4823	13930	28417	low	b	c	7
VA	Roanoke	511611004	33161	123148	197615	235072	mod	a	a	677
VA	Rockingham	511650002	17897	58020	76316	85276	mod	a	a	277
VA	Rockingham	511650003	13821	47577	71219	92912	mod	a	a	235
VA	Alexandria City	515100009	137533	622283	1320784	1894197	hi	b	b	18293
VA	Hampton City	516500004	73011	182507	356676	601943	hi	b	b	4274
VA	Norfolk City	517100023	124263	379455	703082	871632	hi	b	b	36499
VA	Richmond City	517600024	109306	309672	524083	656099	hi	b	b	2675
VI	St Croix	780100006	0	0	0	0	low	b	c	
VI	St Croix	780100011	0	0	0	0	low	b	c	
VI	St Croix	780100013	0	0	0	0	low	c	c	

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			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
VI	St Croix	780100014	0	0	0	0	low	c	c	
VI	St Croix	780100015	0	0	0	0	low	c	c	
VT	Chittenden	500070003	50990	89229	110853	133530	hi	b	a	6
VT	Chittenden	500070014	54166	87471	110853	133749	hi	a	a	6
VT	Rutland	500210002	21330	30052	35316	46525	mod	b	c	
WA	Clallam	530090010	17871	26073	30255	37672	mod	b	b	756
WA	Clallam	530090012	20830	27014	30036	36843	mod	b	a	756
WA	King	530330057	131605	394412	730218	1093083	hi	a	a	1203
WA	King	530330080	116769	423064	811856	1157199	hi	b	a	1203
WA	Pierce	530530021	68072	250876	548806	839357	hi	b	b	538
WA	Pierce	530530031	55628	275358	555755	820805	hi	b	a	538
WA	Skagit	530570012	3580	22573	32120	70660	low	b	b	8951
WA	Skagit	530571003	1733	21622	32120	75069	low	b	b	8951
WA	Snohomish	530610016	46071	152230	303720	432356	mod	a	a	381
WA	Whatcom	530730011	60525	83632	111425	126291	hi	a	a	4391
WI	Brown	550090005	79060	158940	201226	215144	hi	b	b	23888
WI	Dane	550250041	79610	189421	306132	353861	hi	b	b	9049
WI	Forest	550410007	1330	3913	5514	6669	low	b	a	5
WI	Marathon	550730005	5095	42173	61417	93151	low	c	a	12120
WI	Milwaukee	550790007	248317	606921	865925	1037293	hi	b	b	15753
WI	Milwaukee	550790026	214859	572784	834939	1014161	hi	b	a	15753
WI	Milwaukee	550790041	137816	455868	765734	964876	hi	b	b	15753
WI	Oneida	550850996	8351	17018	17018	23821	low	c	c	2304
WI	Sauk	551110007	2743	15039	24240	43368	low	b	a	63
WI	Vilas	551250001	934	934	8639	10755	low	a	a	
WI	Wood	551410016	19525	33790	43315	50360	mod	c	b	14245
WV	Brooke	540090005	25010	64711	92813	118070	mod	b	b	78071
WV	Brooke	540090007	30794	70187	95823	120385	mod	b	b	223185
WV	Cabell	540110006	50835	88879	125923	164495	hi	b	b	7504
WV	Greenbrier	540250001	2158	9273	18280	23902	low	a	a	
WV	Hancock	540290005	6006	23418	77160	125873	low	b	b	176554
WV	Hancock	540290007	14924	44311	83167	128126	mod	b	b	148520
WV	Hancock	540290008	24095	49351	63727	91485	mod	b	b	186262

State	County	Monitor ID	Population Residing within:				Analysis Bins			Emissions (tpy) <sup>4</sup>
			5km	10km	15km	20km	Population <sup>1</sup>	COV <sup>2</sup>	GSD <sup>3</sup>	
WV	Hancock	540290009	20946	61117	90717	115283	mod	b	b	148404
WV	Hancock	540290011	31890	76198	95992	115162	mod	b	b	223185
WV	Hancock	540290014	22857	58620	89998	120724	mod	b	b	148520
WV	Hancock	540290015	20793	45848	65851	102031	mod	b	b	186262
WV	Hancock	540290016	19278	70483	96151	114992	mod	b	b	169771
WV	Hancock	540291004	24761	63677	91977	115615	mod	b	b	169771
WV	Kanawha	540390004	46977	80511	120631	164476	mod	b	b	6115
WV	Kanawha	540390010	48231	83340	123101	172217	mod	b	b	6115
WV	Kanawha	540392002	21694	61059	111812	164912	mod	b	b	113491
WV	Marshall	540511002	13403	32048	55054	95735	mod	b	c	138904
WV	Monongalia	540610003	43902	65672	80405	98315	mod	b	b	91984
WV	Monongalia	540610004	44079	63708	80385	98966	mod	b	b	97887
WV	Monongalia	540610005	46591	61019	77800	99544	mod	b	b	96396
WV	Ohio	540690007	20818	60048	91967	126981	mod	b	b	74781
WV	Wayne	540990002	17320	62645	124477	178576	mod	a	b	10172
WV	Wayne	540990003	17320	59989	123349	177744	mod	b	b	10172
WV	Wayne	540990004	16553	54251	122072	179815	mod	b	b	10172
WV	Wayne	540990005	13314	48330	114824	173807	mod	b	b	10172
WV	Wood	541071002	24917	70324	104458	128127	mod	b	b	48124
WY	Campbell	560050857	3288	11413	23902	25752	low	b	b	10106
<b>Notes:</b> <sup>1</sup> Population bins: low ( $\leq 10,000$ ); mid (10,001 to 50,000); hi ( $> 50,000$ ) using population within 5 km of ambient monitor. <sup>2</sup> COV bins: a ( $\leq 100\%$ ); b ( $> 100$ to $\leq 200$ ); c ( $> 200$ ). <sup>3</sup> GSD bins: a ( $\leq 2.17$ ); b ( $> 2.17$ to $\leq 2.94$ ); c ( $> 2.94$ ). <sup>4</sup> Sum of emissions within 20 km radius of ambient monitor based on 2002 NEI.										

### A.1.2 Analysis of SO<sub>2</sub> Emission Sources Surrounding Ambient Monitors

Distances of the 5-minute and 1-hour ambient monitoring sites to stationary sources emitting SO<sub>2</sub> were estimated using data from the 2002 National Emissions Inventory<sup>1</sup> (NEI). The NEI database reports emissions of SO<sub>2</sub> in tons per year (tpy) for 98,667 unique emission sources at various points of release. The release locations were all taken from the latitude longitude values within the NEI. First, all SO<sub>2</sub> emissions were summed for identical latitude and longitude entries while retaining source codes for the emissions (e.g., Standard Industrial Code (SIC), or North American Industrial Classification System (NAICS)). Therefore, any facility containing similar emission processes were summed at the stack location, resulting in 32,521 observations. These data were then screened for sources with emissions greater than 5 tpy, yielding 6,104 unique SO<sub>2</sub> emission sources. Locations of these stationary source emissions were compared with ambient monitoring locations using the following formula:

$$d = \arccos(\sin(lat_1) \times \sin(lat_2) + \cos(lat_1) \times \cos(lat_2) \times \cos(lon_2 - lon_1)) \times r$$

where

$d$	=	distance (kilometers)
$lat_1$	=	latitude of a monitor (radians)
$lat_2$	=	latitude of source emission (radians)
$lon_1$	=	longitude of monitor (radians)
$lon_2$	=	longitude of source emission (radians)
$r$	=	approximate radius of the earth (or 6,371 km)

Location data for monitors and sources provided in the AQS and NEI data bases were given in units of degrees therefore, these were first converted to radians by dividing by  $180/\pi$ . For each monitor, source emissions within 20 km of the monitor were retained.

Table A.1-5 contains the summary of the distance of stationary source emissions to each of the monitors in the broader SO<sub>2</sub> monitoring network. There were varying numbers of sources emitting >5 tpy of SO<sub>2</sub> and located within a 20 km radius for many of the monitors. Some of the monitors are point-source oriented, that is, sited to measure ambient concentrations potentially

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<sup>1</sup> 2002 National Emissions Inventory Data & Documentation. Office of Air Quality Planning and Standards, Research Triangle Park, NC. Available at: <http://www.epa.gov/ttn/chief/net/2002inventory.html>.

influenced by a specific single sources (e.g., Missouri monitor IDs 290210009, 290210011, 290930030), or by several sources (e.g., Pennsylvania monitor IDs 420030021, 420030031) of varying emission strength. A few of the monitors contained no source emissions >5 tpy (e.g., Iowa monitor IDs 191770005, 191770006).

**Table A.1-5. Distance of ambient SO<sub>2</sub> monitors (all used in analysis) to stationary sources emitting > 5 tons of SO<sub>2</sub> per year, within a 20 kilometer distance of monitoring site, and SO<sub>2</sub> emissions associated with those stationary sources.**

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
010330044	3	16680	28821	30	30	51	49960	49960	6.0	0.7	5.5	5.5	5.9	6.8	6.8
010710020	3	15119	25004	98	98	1276	43983	43983	5.7	2.4	3.1	3.1	6.2	7.8	7.8
010731003	43	151	227	5	5	38	786	982	11.4	5.5	1.1	1.2	13.1	16.8	19.8
010790003	5	1787	3416	6	6	58	7852	7852	8.4	1.7	5.5	5.5	8.6	9.8	9.8
010970028	10	6613	13057	14	14	214	38917	38917	7.5	5.8	1.4	1.4	6.1	19.1	19.1
010972005	9	132	154	5	5	72	440	440	7.7	2.1	4.3	4.3	7.2	10.1	10.1
011011002	4	913	1183	180	180	403	2663	2663	12.7	7.2	4.5	4.5	13.2	19.9	19.9
040070009	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
040071001	2	9219	10723	1637	1637	9219	16801	16801	1.3	0.5	0.9	0.9	1.3	1.6	1.6
040130019	8	23	19	10	10	19	69	69	11.0	3.6	5.6	5.6	10.2	16.9	16.9
040133002	9	21	19	10	10	14	69	69	10.8	6.6	1.9	1.9	11.2	19.2	19.2
040133003	9	20	19	6	6	14	69	69	12.5	4.7	5.5	5.5	12.4	18.5	18.5
040191011	1	3119		3119	3119	3119	3119	3119	6.1	0.0	6.1	6.1	6.1	6.1	6.1
040212001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
051190007	1	20		20	20	20	20	20	6.3	0.0	6.3	6.3	6.3	6.3	6.3
051191002	1	20		20	20	20	20	20	13.7	0.0	13.7	13.7	13.7	13.7	13.7
051390006	6	421	689	8	8	22	1689	1689	7.7	4.2	1.9	1.9	8.8	11.7	11.7
060010010	7	53	66	5	5	14	187	187	8.9	5.4	1.2	1.2	9.0	16.8	16.8
060130002	15	1004	2007	6	6	58	7009	7009	13.5	2.8	9.6	9.6	13.3	17.8	17.8
060130006	9	559	789	5	5	38	1829	1829	13.0	6.4	2.5	2.5	15.0	19.3	19.3
060130010	15	1189	1977	6	6	419	7009	7009	8.3	5.6	1.6	1.6	6.4	19.7	19.7
060131001	13	1507	2036	6	6	793	7009	7009	10.1	5.9	0.2	0.2	9.9	19.8	19.8
060131002	3	26	21	6	6	25	48	48	11.7	1.4	10.1	10.1	12.4	12.7	12.7
060131003	9	559	789	5	5	38	1829	1829	12.6	4.8	5.4	5.4	12.2	19.0	19.0
060131004	9	559	789	5	5	38	1829	1829	12.8	5.7	4.1	4.1	13.5	19.1	19.1
060132001	15	1189	1977	6	6	419	7009	7009	8.8	5.4	2.3	2.3	6.7	19.9	19.9
060133001	16	507	1104	6	6	48	4337	4337	9.8	6.1	0.7	0.7	11.4	18.6	18.6
060250005	1	7		7	7	7	7	7	18.0	0.0	18.0	18.0	18.0	18.0	18.0
060371002	3	17	7	10	10	17	24	24	6.8	2.1	4.7	4.7	6.9	8.8	8.8
060371103	15	37	36	7	7	29	119	119	13.8	5.1	6.3	6.3	12.5	19.8	19.8
060374002	32	183	313	5	5	46	1503	1503	10.4	5.2	4.1	4.1	9.3	19.5	19.5
060375001	31	203	342	5	5	61	1503	1503	13.4	5.9	3.7	3.7	16.4	19.6	19.6

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
060375005	12	192	332	6	6	33	1119	1119	9.1	5.9	2.3	2.3	6.0	19.8	19.8
060591003	7	10	5	5	5	7	18	18	13.9	5.7	5.3	5.3	15.6	19.7	19.7
060658001	4	75	76	17	17	50	181	181	16.8	4.7	9.8	9.8	18.8	19.6	19.6
060670002	1	5		5	5	5	5	5	14.8	0.0	14.8	14.8	14.8	14.8	14.8
060670006	1	58		58	58	58	58	58	9.7	0.0	9.7	9.7	9.7	9.7	9.7
060710012	1	8		8	8	8	8	8	11.9	0.0	11.9	11.9	11.9	11.9	11.9
060710014	2	126	132	32	32	126	219	219	8.0	3.0	5.9	5.9	8.0	10.1	10.1
060710306	2	126	132	32	32	126	219	219	8.1	3.3	5.7	5.7	8.1	10.4	10.4
060711234	3	97	85	6	6	110	175	175	4.9	5.8	1.3	1.3	1.9	11.7	11.7
060712002	2	102	112	22	22	102	181	181	13.2	2.9	11.2	11.2	13.2	15.3	15.3
060714001	1	32		32	32	32	32	32	6.5	0.0	6.5	6.5	6.5	6.5	6.5
060730001	1	21		21	21	21	21	21	4.0	0.0	4.0	4.0	4.0	4.0	4.0
060731007	3	11	9	5	5	7	21	21	12.9	1.3	11.8	11.8	12.5	14.4	14.4
060732007	1	21		21	21	21	21	21	16.4	0.0	16.4	16.4	16.4	16.4	16.4
060750005	6	66	83	5	5	39	224	224	13.3	6.2	1.8	1.8	15.2	18.3	18.3
060791005	7	536	1369	6	6	24	3642	3642	1.0	0.2	0.8	0.8	1.0	1.5	1.5
060792001	7	536	1369	6	6	24	3642	3642	10.5	0.2	10.2	10.2	10.4	10.9	10.9
060792004	7	536	1369	6	6	24	3642	3642	2.5	0.1	2.3	2.3	2.6	2.7	2.7
060794002	7	536	1369	6	6	24	3642	3642	18.4	0.1	18.3	18.3	18.5	18.5	18.5
060830008	3	39	43	10	10	18	89	89	9.7	6.2	2.8	2.8	11.3	14.9	14.9
060831012	2	554	357	302	302	554	807	807	16.5	0.1	16.4	16.4	16.5	16.5	16.5
060831013	2	554	357	302	302	554	807	807	14.1	0.1	14.1	14.1	14.1	14.2	14.2
060831015	1	18		18	18	18	18	18	15.6	0.0	15.6	15.6	15.6	15.6	15.6
060831016	1	18		18	18	18	18	18	15.2	0.0	15.2	15.2	15.2	15.2	15.2
060831019	1	18		18	18	18	18	18	13.7	0.0	13.7	13.7	13.7	13.7	13.7
060831020	3	39	43	10	10	18	89	89	7.3	8.2	2.0	2.0	3.2	16.7	16.7
060831025	3	39	43	10	10	18	89	89	10.9	8.9	0.8	0.8	14.2	17.7	17.7
060831026	3	39	43	10	10	18	89	89	9.9	8.0	0.9	0.9	12.6	16.2	16.2
060831027	3	39	43	10	10	18	89	89	10.3	7.7	1.7	1.7	12.8	16.4	16.4
060832004	2	554	357	302	302	554	807	807	4.2	0.1	4.2	4.2	4.2	4.2	4.2
060832011	3	39	43	10	10	18	89	89	10.4	8.4	3.9	3.9	7.5	20.0	20.0
060834003	2	554	357	302	302	554	807	807	16.4	0.1	16.4	16.4	16.4	16.5	16.5
060870003	1	722		722	722	722	722	722	0.8	0.0	0.8	0.8	0.8	0.8	0.8
060950001	13	1371	2071	6	6	790	7009	7009	7.1	3.3	2.1	2.1	7.5	13.8	13.8
060950004	12	1480	2124	6	6	791	7009	7009	13.0	4.9	5.5	5.5	13.6	19.6	19.6
061113001	2	9	3	7	7	9	11	11	10.4	5.1	6.8	6.8	10.4	14.0	14.0



Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
080010007	24	1001	3352	8	8	25	15958	15958	9.8	6.1	2.4	2.4	8.2	19.7	19.7
080013001	20	1191	3657	8	8	28	15958	15958	8.3	5.8	1.6	1.6	5.9	19.8	19.8
080310002	24	1098	3356	6	6	28	15958	15958	9.2	4.5	3.9	3.9	7.0	19.5	19.5
080416001	3	1670	2857	7	7	34	4969	4969	6.2	8.6	0.8	0.8	1.8	16.1	16.1
080416004	3	2849	4920	7	7	10	8530	8530	13.0	3.9	10.7	10.7	10.9	17.6	17.6
080416011	3	2849	4920	7	7	10	8530	8530	9.9	7.6	2.5	2.5	9.6	17.7	17.7
080416018	2	4268	6026	7	7	4268	8530	8530	6.8	0.5	6.5	6.5	6.8	7.2	7.2
090010012	11	425	1198	5	5	21	4024	4024	6.1	4.9	2.1	2.1	4.8	19.7	19.7
090010017	3	252	423	5	5	11	741	741	9.6	6.4	5.7	5.7	6.2	17.0	17.0
090011123	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
090012124	4	192	366	5	5	10	741	741	7.4	6.0	2.3	2.3	6.5	14.4	14.4
090019003	10	504	1257	5	5	10	4024	4024	13.2	5.1	4.0	4.0	14.0	19.5	19.5
090031005	28	45	106	5	5	12	522	522	14.2	3.6	3.0	3.0	14.2	19.9	19.9
090031018	7	16	9	5	5	15	30	30	7.7	6.3	1.9	1.9	3.7	18.4	18.4
090032006	6	14	7	5	5	15	25	25	4.5	5.1	0.5	0.5	1.8	11.4	11.4
090090027	8	595	1388	5	5	32	4012	4012	6.3	7.3	1.0	1.0	3.1	18.6	18.6
090091003	9	565	1302	5	5	43	4012	4012	7.4	8.2	0.7	0.7	2.6	19.7	19.7
090091123	9	565	1302	5	5	43	4012	4012	7.3	8.2	0.8	0.8	2.7	19.7	19.7
090092123	5	86	96	9	9	28	198	198	9.0	5.7	0.8	0.8	8.9	15.2	15.2
090110007	6	650	1088	7	7	110	2755	2755	8.4	3.0	3.3	3.3	8.7	12.7	12.7
090130003	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
100031003	34	975	1619	5	5	112	6720	6720	9.0	5.2	1.5	1.5	8.1	19.8	19.8
100031007	11	3126	6528	15	15	103	19923	19923	10.5	2.2	9.1	9.1	9.8	16.2	16.2
100031008	24	1657	4554	5	5	60	19923	19923	10.9	6.9	2.2	2.2	13.9	19.7	19.7
100031013	34	975	1619	5	5	112	6720	6720	9.1	4.8	2.8	2.8	8.3	18.9	18.9
100032002	36	802	1272	5	5	97	5051	5051	10.2	6.2	1.1	1.1	9.4	19.7	19.7
100032004	39	1526	3681	5	5	116	19923	19923	10.9	6.2	1.3	1.3	11.1	19.8	19.8
110010041	13	1410	4437	7	7	24	16141	16141	11.7	6.5	0.6	0.6	11.5	19.8	19.8
120110010	8	2397	6653	17	17	41	18861	18861	11.0	6.1	5.1	5.1	7.5	19.2	19.2
120310032	14	2715	5784	5	5	287	20908	20908	9.0	4.2	1.3	1.3	9.1	18.5	18.5
120310080	15	2534	5617	5	5	257	20908	20908	12.0	4.7	1.1	1.1	13.3	19.7	19.7
120310081	13	2923	5965	5	5	317	20908	20908	7.9	4.6	1.3	1.3	6.5	15.5	15.5
120310097	14	2715	5784	5	5	287	20908	20908	9.2	4.7	3.1	3.1	7.7	19.5	19.5
120330004	6	7262	14101	6	6	330	35417	35417	9.3	4.2	4.9	4.9	8.9	14.6	14.6
120330022	6	7262	14101	6	6	330	35417	35417	7.6	4.4	2.4	2.4	8.4	12.3	12.3
120470015	3	755	1268	18	18	27	2218	2218	3.0	0.5	2.6	2.6	2.7	3.6	3.6

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
120570021	18	4986	11445	6	6	341	47103	47103	11.6	6.5	1.4	1.4	14.3	18.2	18.2
120570053	19	4728	11180	6	6	104	47103	47103	10.8	3.5	5.9	5.9	12.1	17.3	17.3
120570081	18	6781	13097	6	6	1116	47103	47103	14.4	4.5	8.1	8.1	15.1	19.6	19.6
120570095	17	3845	11285	6	6	61	47103	47103	10.1	6.2	2.5	2.5	14.2	19.3	19.3
120570109	16	4084	11610	6	6	83	47103	47103	9.9	4.0	6.7	6.7	7.4	19.9	19.9
120571035	18	4986	11445	6	6	341	47103	47103	10.6	5.8	1.6	1.6	12.9	16.3	16.3
120574004	3	2872	4949	11	11	19	8587	8587	14.2	8.5	4.4	4.4	18.9	19.3	19.3
120813002	5	73	93	6	6	9	208	208	7.2	8.3	0.7	0.7	2.2	16.5	16.5
120860019	7	34	45	5	5	12	130	130	9.6	5.8	2.6	2.6	6.9	19.1	19.1
120890005	4	1262	1594	11	11	765	3509	3509	4.5	5.0	1.1	1.1	2.5	12.0	12.0
120890009	4	1262	1594	11	11	765	3509	3509	4.2	4.6	1.1	1.1	2.4	11.0	11.0
120952002	5	9	4	5	5	10	14	14	13.8	2.8	10.1	10.1	13.6	17.6	17.6
120993004	6	39	38	5	5	32	103	103	12.0	3.1	7.0	7.0	12.0	16.7	16.7
121030023	7	3546	7041	6	6	104	18822	18822	7.4	6.4	2.3	2.3	3.7	19.6	19.6
121033002	6	4136	7521	23	23	156	18822	18822	10.3	4.2	3.5	3.5	10.0	15.4	15.4
121035002	2	15398	21767	7	7	15398	30790	30790	13.6	0.1	13.5	13.5	13.6	13.7	13.7
121035003	2	15398	21767	7	7	15398	30790	30790	9.8	4.0	7.0	7.0	9.8	12.6	12.6
121050010	9	2386	2929	6	6	1210	8587	8587	10.4	3.1	3.7	3.7	10.8	14.4	14.4
121052006	13	1691	2627	6	6	230	8587	8587	11.9	6.2	2.7	2.7	13.7	19.9	19.9
121071008	3	9965	12565	12	12	5799	24083	24083	5.8	3.4	2.6	2.6	5.6	9.3	9.3
121151002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
121151005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
121151006	2	71	90	7	7	71	135	135	15.8	0.8	15.2	15.2	15.8	16.4	16.4
130090001	2	36975	52282	6	6	36975	73943	73943	11.3	5.4	7.5	7.5	11.3	15.1	15.1
130150002	4	40604	80047	21	21	862	160673	160673	10.4	5.2	2.5	2.5	13.0	13.0	13.0
130210012	11	245	468	6	6	17	1576	1576	10.1	5.2	1.5	1.5	8.8	19.9	19.9
130510019	14	1362	2664	8	8	235	7969	7969	7.1	4.1	0.4	0.4	6.6	12.0	12.0
130510021	14	1362	2664	8	8	235	7969	7969	6.8	4.4	1.4	1.4	7.2	14.0	14.0
130511002	14	1362	2664	8	8	235	7969	7969	6.2	3.4	1.6	1.6	6.6	10.0	10.0
130950006	4	1693	2220	5	5	932	4905	4905	6.3	5.3	2.2	2.2	4.4	14.1	14.1
131110091	1	1900		1900	1900	1900	1900	1900	1.6	0.0	1.6	1.6	1.6	1.6	1.6
131150003	8	4057	9625	5	5	101	27594	27594	1.4	0.4	1.1	1.1	1.2	2.3	2.3
131210048	7	4339	10445	68	68	169	27993	27993	10.3	2.1	8.4	8.4	9.2	14.0	14.0
131210055	7	4339	10445	68	68	169	27993	27993	15.1	3.3	8.0	8.0	15.6	18.1	18.1
131270006	3	821	948	14	14	586	1865	1865	3.6	2.8	1.8	1.8	2.2	6.8	6.8
132150008	4	1740	3214	8	8	197	6559	6559	12.5	2.6	10.1	10.1	12.4	15.1	15.1

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
132450003	15	1335	2379	8	8	545	8275	8275	8.0	1.4	4.7	4.7	8.2	10.0	10.0
150030010	7	2231	2339	79	79	1566	6978	6978	5.0	4.6	2.5	2.5	3.3	15.3	15.3
150030011	7	2231	2339	79	79	1566	6978	6978	5.7	5.3	2.2	2.2	4.1	17.5	17.5
150031001	3	1043	1509	6	6	350	2774	2774	10.1	8.2	0.7	0.7	13.8	15.7	15.7
150031006	7	2231	2339	79	79	1566	6978	6978	6.1	4.8	1.8	1.8	5.0	16.5	16.5
160050004	2	804	606	376	376	804	1233	1233	1.3	0.1	1.2	1.2	1.3	1.4	1.4
160290003	13	967	2904	7	7	33	10544	10544	2.9	0.4	2.7	2.7	2.8	4.3	4.3
160290031	13	967	2904	7	7	33	10544	10544	1.4	1.1	0.8	0.8	1.2	4.9	4.9
160770011	2	804	606	376	376	804	1233	1233	0.8	0.1	0.7	0.7	0.8	0.8	0.8
170010006	4	965	614	392	392	817	1834	1834	4.8	4.5	1.9	1.9	2.9	11.5	11.5
170190004	3	121	182	10	10	21	331	331	1.8	0.9	0.8	0.8	2.3	2.4	2.4
170310050	47	900	1775	5	5	65	5951	8443	11.0	5.0	2.1	3.4	10.2	19.7	19.8
170310059	40	910	1928	5	5	65	7381	8443	7.5	5.2	1.5	1.5	5.8	19.3	19.5
170310063	23	1041	1800	5	5	17	6229	6229	11.0	6.8	0.9	0.9	9.3	19.7	19.7
170310064	50	1015	1902	5	5	51	6229	8443	14.6	4.1	3.9	6.4	16.4	19.9	19.9
170310076	36	930	1976	5	5	26	8443	8443	13.2	4.0	4.9	4.9	13.3	19.7	19.7
170311018	26	924	1721	5	5	16	6229	6229	10.7	6.8	0.5	0.5	11.6	19.8	19.8
170311601	12	3807	5540	7	7	1090	15934	15934	14.1	6.4	4.0	4.0	18.5	19.3	19.3
170312001	43	920	1807	5	5	64	6229	8443	16.5	3.2	3.4	8.4	17.7	19.3	19.9
170314002	25	982	1738	5	5	17	6229	6229	9.0	3.1	3.9	3.9	9.5	18.5	18.5
170314201	4	165	230	7	7	77	498	498	18.0	3.0	13.4	13.4	19.4	19.7	19.7
170318003	36	835	1797	5	5	70	8443	8443	8.8	2.4	2.9	2.9	8.4	14.7	14.7
170436001	12	2986	5690	6	6	17	15934	15934	16.5	5.1	1.5	1.5	18.1	19.8	19.8
170990007	4	890	1527	6	6	189	3178	3178	7.2	6.1	0.5	0.5	6.7	14.8	14.8
171150013	11	1251	2596	22	22	164	8032	8032	3.3	2.3	1.8	1.8	3.2	9.9	9.9
171170002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
171190008	15	4510	11972	6	6	111	45960	45960	10.1	3.7	3.2	3.2	9.5	19.7	19.7
171190017	40	877	2339	6	6	117	9663	12063	9.5	6.6	0.5	0.7	11.2	19.0	19.6
171191010	28	954	2564	6	6	183	12063	12063	10.5	6.8	0.7	0.7	15.6	18.4	18.4
171193007	28	2595	8875	6	6	214	45960	45960	12.2	6.9	2.4	2.4	16.1	18.9	18.9
171193009	26	2789	9193	6	6	247	45960	45960	12.4	7.5	2.9	2.9	14.7	19.8	19.8
171430024	10	7333	11752	5	5	67	35748	35748	13.2	5.8	1.3	1.3	15.5	18.8	18.8
171570001	2	13148	18554	28	28	13148	26268	26268	6.4	0.4	6.0	6.0	6.4	6.7	6.7
171610003	10	945	1612	7	7	169	4963	4963	11.4	5.6	2.3	2.3	12.3	17.2	17.2
171630010	30	445	1152	6	6	68	6250	6250	9.3	4.1	1.3	1.3	9.6	18.5	18.5
171631010	30	445	1152	6	6	68	6250	6250	10.4	4.3	1.1	1.1	11.7	19.4	19.4

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
171631011	2	13148	18554	28	28	13148	26268	26268	4.0	0.6	3.6	3.6	4.0	4.4	4.4
171670006	5	2170	3169	9	9	202	7210	7210	7.3	3.6	4.9	4.9	5.6	13.5	13.5
171790004	6	12212	13311	22	22	10290	35748	35748	5.4	5.2	0.8	0.8	3.6	13.8	13.8
171850001	3	42452	25439	27097	27097	28443	71817	71817	2.9	0.1	2.8	2.8	2.9	3.1	3.1
171851001	3	42452	25439	27097	27097	28443	71817	71817	5.9	0.1	5.8	5.8	5.8	6.0	6.0
171970013	19	2439	6269	6	6	37	25224	25224	6.6	4.8	1.1	1.1	5.2	18.6	18.6
180270002	6	10869	16456	9	9	2241	41536	41536	6.3	0.6	5.8	5.8	6.0	7.3	7.3
180290004	7	21579	32930	174	174	1574	85699	85699	4.2	4.1	1.2	1.2	3.4	12.8	12.8
180430004	8	6500	10778	12	12	484	23995	23995	13.4	3.1	8.8	8.8	12.3	17.7	17.7
180430007	10	6721	10131	12	12	516	23995	23995	9.2	6.4	1.1	1.1	7.3	19.9	19.9
180431004	9	7442	10470	12	12	798	23995	23995	10.0	3.5	5.0	5.0	9.8	14.7	14.7
180450001	3	18552	32099	10	10	28	55617	55617	9.8	8.7	4.5	4.5	5.1	19.8	19.8
180510001	3	42452	25439	27097	27097	28443	71817	71817	2.0	0.1	1.8	1.8	2.0	2.1	2.1
180510002	3	42452	25439	27097	27097	28443	71817	71817	2.9	0.0	2.9	2.9	2.9	3.0	3.0
180630001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
180630002	1	147		147	147	147	147	147	19.2	0.0	19.2	19.2	19.2	19.2	19.2
180630003	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
180730002	4	6874	1422	6085	6085	6204	9002	9002	4.3	1.0	3.5	3.5	4.0	5.8	5.8
180730003	4	6874	1422	6085	6085	6204	9002	9002	10.2	1.2	9.5	9.5	9.7	12.1	12.1
180770004	2	19099	1297	18182	18182	19099	20016	20016	4.3	0.1	4.3	4.3	4.3	4.4	4.4
180890022	50	1014	1502	5	6	188	5951	6318	14.1	4.0	0.8	1.8	14.6	19.8	19.9
180892008	39	938	1945	5	5	72	8443	8443	6.4	4.1	1.6	1.6	5.6	17.6	17.6
180910005	3	4166	4640	20	20	3301	9178	9178	9.1	9.7	0.4	0.4	7.3	19.6	19.6
180910007	2	4599	6476	20	20	4599	9178	9178	6.0	0.8	5.4	5.4	6.0	6.5	6.5
180970042	22	2358	6820	5	5	36	30896	30896	11.2	2.9	7.8	7.8	11.0	17.0	17.0
180970054	20	2554	7138	5	5	23	30896	30896	3.3	2.3	0.9	0.9	2.4	9.2	9.2
180970057	20	2554	7138	5	5	23	30896	30896	4.2	2.0	0.9	0.9	4.3	9.8	9.8
180970072	21	2433	6980	5	5	19	30896	30896	6.9	3.5	0.8	0.8	6.6	18.7	18.7
180970073	20	2547	7141	5	5	18	30896	30896	13.7	2.3	6.2	6.2	14.5	15.3	15.3
181091001	3	6006	9709	242	242	561	17216	17216	4.3	2.4	2.1	2.1	4.0	6.9	6.9
181230006	8	7033	17145	7	7	38	49028	49028	7.7	4.3	2.8	2.8	7.0	14.3	14.3
181230007	8	7033	17145	7	7	38	49028	49028	6.8	4.2	2.1	2.1	5.7	13.1	13.1
181250005	6	10869	16456	9	9	2241	41536	41536	3.0	4.7	0.9	0.9	1.1	12.7	12.7
181270011	23	1703	2266	20	20	1062	9178	9178	6.7	6.2	2.2	2.2	3.6	18.7	18.7
181270017	22	1363	1612	20	20	1029	6318	6318	5.4	5.7	2.0	2.0	2.6	17.8	17.8
181270023	21	1427	1623	23	23	1062	6318	6318	4.1	4.4	1.1	1.1	2.4	14.6	14.6

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
181470002	7	15627	24405	7	7	66	53196	53196	13.0	3.6	8.0	8.0	15.0	16.6	16.6
181470010	4	15099	25616	20	20	3589	53196	53196	12.3	6.6	3.3	3.3	14.0	17.9	17.9
181530004	3	9270	8089	10	10	12846	14955	14955	12.1	6.4	4.8	4.8	14.7	16.8	16.8
181630012	5	1806	2589	5	5	382	6004	6004	13.1	7.7	3.1	3.1	18.0	19.6	19.6
181631002	5	1806	2589	5	5	382	6004	6004	8.5	5.3	3.4	3.4	9.5	16.5	16.5
181670018	6	10842	25028	12	12	417	61901	61901	6.8	3.6	5.0	5.0	5.5	14.1	14.1
181671014	6	10842	25028	12	12	417	61901	61901	6.8	5.9	1.9	1.9	5.5	17.3	17.3
181730002	8	13636	16457	50	50	3559	41049	41049	2.9	0.4	2.5	2.5	3.0	3.3	3.3
181731001	8	13636	16457	50	50	3559	41049	41049	3.0	0.5	2.5	2.5	2.9	3.7	3.7
181770006	2	6446	9089	19	19	6446	12873	12873	2.1	1.4	1.1	1.1	2.1	3.1	3.1
181770007	2	6446	9089	19	19	6446	12873	12873	3.2	2.5	1.4	1.4	3.2	5.0	5.0
190330018	4	2684	3305	20	20	1934	6850	6850	3.9	3.7	0.4	0.4	3.2	8.8	8.8
190450018	2	4694	839	4101	4101	4694	5287	5287	1.4	0.9	0.7	0.7	1.4	2.0	2.0
190450019	2	4694	839	4101	4101	4694	5287	5287	1.3	1.0	0.6	0.6	1.3	2.0	2.0
190450020	2	4694	839	4101	4101	4694	5287	5287	3.4	0.6	3.0	3.0	3.4	3.8	3.8
191110006	1	29		29	29	29	29	29	3.7	0.0	3.7	3.7	3.7	3.7	3.7
191111007	2	104	105	29	29	104	179	179	13.3	6.3	8.8	8.8	13.3	17.7	17.7
191130028	7	2200	2428	12	12	1954	5480	5480	5.8	2.4	2.8	2.8	6.7	8.8	8.8
191130029	7	2200	2428	12	12	1954	5480	5480	3.8	3.1	0.5	0.5	4.0	9.2	9.2
191130031	7	2200	2428	12	12	1954	5480	5480	4.3	3.2	0.5	0.5	4.7	9.3	9.3
191130032	7	2200	2428	12	12	1954	5480	5480	3.5	2.7	0.6	0.6	3.1	8.8	8.8
191130034	7	2200	2428	12	12	1954	5480	5480	3.6	2.5	0.2	0.2	2.9	7.4	7.4
191130038	7	2200	2428	12	12	1954	5480	5480	3.9	1.9	0.6	0.6	4.2	6.2	6.2
191130039	7	2200	2428	12	12	1954	5480	5480	4.6	3.0	1.1	1.1	4.2	10.3	10.3
191390016	5	6227	6934	83	83	3790	15901	15901	8.7	6.9	2.4	2.4	7.4	19.2	19.2
191390017	4	7763	6956	463	463	7345	15901	15901	3.8	3.6	0.6	0.6	3.1	8.5	8.5
191390020	4	7763	6956	463	463	7345	15901	15901	4.9	4.4	0.9	0.9	4.0	10.4	10.4
191630015	7	1345	1810	17	17	336	4963	4963	9.5	5.0	1.1	1.1	11.7	15.1	15.1
191630017	7	2120	3515	17	17	303	8983	8983	9.6	4.2	1.1	1.1	11.2	13.6	13.6
191770004	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
191770005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
191770006	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
191930018	4	9208	10818	15	15	7845	21127	21127	6.4	4.3	0.7	0.7	7.1	10.7	10.7
201070002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
201250006	4	468	464	11	11	428	1006	1006	5.8	9.3	0.5	0.5	1.6	19.7	19.7
201450001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
201730010	3	269	448	6	6	15	785	785	11.4	3.1	9.0	9.0	10.2	14.9	14.9
201910002	3	269	448	6	6	15	785	785	16.3	2.4	13.6	13.6	17.3	18.0	18.0
201950001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
202090001	14	1388	2341	6	6	34	7625	7625	9.2	5.9	3.5	3.5	7.1	19.8	19.8
202090020	14	1388	2341	6	6	34	7625	7625	9.0	6.1	0.6	0.6	7.7	18.9	18.9
202090021	13	1494	2402	6	6	40	7625	7625	8.6	5.5	3.4	3.4	6.6	19.1	19.1
210190015	9	1323	2058	25	25	401	6285	6285	12.3	5.5	1.6	1.6	14.6	17.7	17.7
210190017	10	1193	1983	25	25	343	6285	6285	12.8	5.4	2.9	2.9	13.8	19.5	19.5
210191003	8	1271	2194	25	25	343	6285	6285	9.3	5.4	1.3	1.3	9.9	15.4	15.4
210370003	11	6817	20950	12	12	268	69953	69953	12.0	3.0	8.1	8.1	10.8	17.8	17.8
210371001	11	465	664	12	12	213	1848	1848	8.5	3.4	4.2	4.2	7.5	15.5	15.5
210590005	4	15241	25506	26	26	3871	53196	53196	7.4	6.8	2.2	2.2	5.5	16.5	16.5
210670012	3	209	316	12	12	42	573	573	3.2	2.2	1.2	1.2	2.7	5.6	5.6
210890007	5	961	1147	25	25	401	2589	2589	10.9	6.2	5.1	5.1	7.6	19.8	19.8
210910012	9	12162	22226	7	7	38	53196	53196	10.4	5.1	1.2	1.2	10.6	18.9	18.9
211010013	4	2256	2755	5	5	1508	6004	6004	10.2	5.5	2.0	2.0	12.7	13.3	13.3
211010014	10	10948	15581	5	5	2980	41049	41049	12.9	1.4	11.5	11.5	12.8	16.6	16.6
211110032	14	6208	8948	38	38	516	23995	23995	11.4	5.6	2.4	2.4	13.7	18.3	18.3
211110051	12	3259	5326	38	38	168	14977	14977	10.6	7.5	1.6	1.6	14.6	18.7	18.7
211111041	11	6268	9779	12	12	234	23995	23995	9.1	7.3	1.3	1.3	7.7	19.3	19.3
211390004	4	444	869	6	6	11	1747	1747	8.0	6.9	3.1	3.1	5.4	17.9	17.9
211450001	7	8769	13010	174	174	7435	37077	37077	7.5	3.4	2.0	2.0	9.4	11.2	11.2
211451024	3	587	1005	6	6	7	1747	1747	18.2	2.1	15.8	15.8	19.3	19.5	19.5
211451026	3	587	1005	6	6	7	1747	1747	15.3	2.2	12.7	12.7	16.5	16.7	16.7
212270008	1	52		52	52	52	52	52	19.1	0.0	19.1	19.1	19.1	19.1	19.1
220150008	2	77	21	62	62	77	91	91	8.7	0.1	8.6	8.6	8.7	8.8	8.8
220190008	16	3352	5531	6	6	184	18851	18851	7.6	6.1	1.2	1.2	5.8	16.7	16.7
220330009	28	1406	3913	6	6	45	18680	18680	5.8	5.6	1.5	1.5	3.2	20.0	20.0
220730004	1	2166		2166	2166	2166	2166	2166	10.1	0.0	10.1	10.1	10.1	10.1	10.1
220870002	18	419	846	8	8	52	3009	3009	8.8	4.2	0.5	0.5	7.8	19.0	19.0
221210001	28	1116	3650	6	6	33	18680	18680	5.4	4.7	2.4	2.4	3.4	18.1	18.1
230010011	9	31	41	5	5	23	140	140	6.6	4.3	1.3	1.3	6.5	13.3	13.3
230030009	1	90		90	90	90	90	90	1.9	0.0	1.9	1.9	1.9	1.9	1.9
230030012	1	90		90	90	90	90	90	1.0	0.0	1.0	1.0	1.0	1.0	1.0
230031003	1	90		90	90	90	90	90	1.3	0.0	1.3	1.3	1.3	1.3	1.3
230031013	3	16	17	5	5	7	36	36	4.7	4.5	1.4	1.4	2.8	9.9	9.9

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
230031018	4	193	233	7	7	133	499	499	8.5	5.6	0.3	0.3	10.3	13.0	13.0
230050014	12	267	628	5	5	16	2091	2091	6.1	4.8	1.2	1.2	5.0	16.8	16.8
230050027	12	267	628	5	5	16	2091	2091	6.0	4.7	0.8	0.8	4.8	16.6	16.6
230172007	2	249	344	6	6	249	492	492	1.0	0.1	1.0	1.0	1.0	1.1	1.1
240010006	2	681	685	197	197	681	1166	1166	8.9	4.0	6.0	6.0	8.9	11.7	11.7
240032002	20	3247	9622	5	5	21	39974	39974	11.9	4.5	2.7	2.7	13.3	19.9	19.9
240053001	22	4429	11101	5	5	27	39974	39974	11.9	3.3	4.6	4.6	12.1	19.2	19.2
245100018	21	3101	9402	5	5	22	39974	39974	9.1	4.6	1.4	1.4	7.6	16.7	16.7
245100036	21	4635	11331	5	5	22	39974	39974	6.6	3.3	1.6	1.6	6.8	16.0	16.0
250051004	24	1867	8085	6	6	31	39593	39593	7.5	6.7	0.1	0.1	3.8	18.9	18.9
250090005	25	65	148	6	6	26	762	762	9.6	6.6	0.3	0.3	9.2	19.9	19.9
250091004	23	878	3071	5	5	16	14132	14132	11.3	6.3	0.8	0.8	12.8	20.0	20.0
250091005	22	917	3137	5	5	16	14132	14132	11.2	6.3	0.7	0.7	11.9	18.6	18.6
250095004	14	88	197	8	8	25	762	762	8.6	4.2	0.7	0.7	10.1	14.7	14.7
250130016	34	216	907	5	5	14	5282	5282	7.6	5.2	0.5	0.5	7.4	19.2	19.2
250131009	32	65	148	5	5	13	671	671	8.4	4.7	1.7	1.7	7.4	18.9	18.9
250154002	12	72	113	6	6	29	363	363	15.8	3.4	9.1	9.1	16.8	19.7	19.7
250171701	55	139	678	5	5	15	640	5007	13.3	4.6	0.4	2.9	15.0	19.4	20.0
250174003	57	127	663	5	5	13	460	5007	12.2	4.0	0.6	5.6	12.4	19.5	19.7
250250002	62	129	639	5	5	14	640	5007	9.6	6.1	0.7	1.1	8.6	19.5	19.7
250250019	50	156	710	5	5	14	640	5007	12.0	3.8	0.7	4.2	12.0	18.1	18.4
250250020	58	138	660	5	5	15	640	5007	10.0	5.0	1.1	3.0	9.1	19.2	19.2
250250021	58	137	660	5	5	14	640	5007	10.6	4.7	1.8	3.4	9.3	19.5	20.0
250250040	59	135	654	5	5	14	640	5007	10.2	5.3	1.0	1.4	9.5	19.5	19.8
250250042	60	133	649	5	5	14	640	5007	9.4	5.8	0.5	0.7	9.1	19.1	19.3
250251003	58	380	1952	5	5	15	5007	14132	11.0	4.6	1.0	2.1	10.4	19.3	19.4
250270020	28	25	35	6	6	12	178	178	5.0	5.9	0.1	0.1	2.8	19.5	19.5
250270023	28	25	35	6	6	12	178	178	5.1	5.8	0.6	0.6	2.9	19.1	19.1
260410902	3	1407	1264	671	671	685	2867	2867	2.5	1.5	0.8	0.8	3.3	3.4	3.4
260490021	4	42	24	7	7	48	63	63	10.9	4.5	4.2	4.2	13.1	13.1	13.1
260492001	2	64	79	7	7	64	120	120	19.0	0.4	18.8	18.8	19.0	19.3	19.3
260810020	9	60	96	9	9	12	280	280	10.5	5.6	4.3	4.3	10.6	19.4	19.4
260991003	3	239	287	10	10	148	560	560	14.0	3.4	10.2	10.2	15.2	16.7	16.7
261130001	1	58		58	58	58	58	58	10.3	0.0	10.3	10.3	10.3	10.3	10.3
261470005	3	524	431	31	31	715	826	826	8.7	5.9	3.8	3.8	6.9	15.2	15.2
261530001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
261630001	36	1780	5390	5	5	109	30171	30171	10.9	4.0	5.4	5.4	9.6	20.0	20.0
261630005	34	1894	5529	5	5	117	30171	30171	6.1	5.4	1.2	1.2	4.4	19.0	19.0
261630015	32	1070	2436	5	5	117	8913	8913	5.5	4.2	1.5	1.5	3.8	17.9	17.9
261630016	31	1104	2469	5	5	121	8913	8913	9.0	2.7	3.6	3.6	8.6	17.0	17.0
261630019	23	1358	2828	10	10	121	8913	8913	17.3	4.5	3.7	3.7	18.9	19.8	19.8
261630025	6	13	14	5	5	9	42	42	14.8	2.4	11.2	11.2	15.2	17.8	17.8
261630027	33	1952	5605	5	5	121	30171	30171	5.5	5.2	0.4	0.4	3.9	19.7	19.7
261630033	32	1070	2436	5	5	117	8913	8913	5.0	4.5	0.4	0.4	4.2	15.8	15.8
261630062	31	1104	2469	5	5	121	8913	8913	9.0	2.9	3.1	3.1	8.5	17.2	17.2
261630092	33	1952	5605	5	5	121	30171	30171	5.4	5.1	0.9	0.9	3.0	19.9	19.9
270031002	10	1332	4067	5	5	11	12904	12904	14.3	4.4	4.7	4.7	15.5	18.9	18.9
270176316	5	72	84	5	5	26	190	190	13.7	6.8	2.2	2.2	16.4	19.7	19.7
270370020	15	610	1015	9	9	104	3071	3071	11.9	6.1	0.9	0.9	12.4	19.6	19.6
270370423	17	805	1227	9	9	205	3821	3821	11.6	5.5	0.4	0.4	12.4	18.8	18.8
270370439	14	639	1047	9	9	79	3071	3071	12.5	5.8	2.6	2.6	13.1	20.0	20.0
270370441	12	720	1114	9	9	79	3071	3071	11.6	5.7	1.6	1.6	12.6	19.0	19.0
270370442	11	506	873	9	9	54	2869	2869	12.2	5.5	2.3	2.3	13.8	18.8	18.8
270530954	24	913	2729	5	5	48	12904	12904	10.9	5.8	0.6	0.6	12.2	19.0	19.0
270530957	21	878	2877	5	5	12	12904	12904	10.7	5.3	0.9	0.9	10.9	18.3	18.3
270711240	1	67		67	67	67	67	67	0.3	0.0	0.3	0.3	0.3	0.3	0.3
271230864	27	769	2540	5	5	46	12904	12904	12.0	4.8	3.9	3.9	12.6	19.7	19.7
271410003	1	26742		26742	26742	26742	26742	26742	4.9	0.0	4.9	4.9	4.9	4.9	4.9
271410011	1	26742		26742	26742	26742	26742	26742	1.7	0.0	1.7	1.7	1.7	1.7	1.7
271410012	1	26742		26742	26742	26742	26742	26742	1.8	0.0	1.8	1.8	1.8	1.8	1.8
271410013	1	26742		26742	26742	26742	26742	26742	1.1	0.0	1.1	1.1	1.1	1.1	1.1
271630436	21	545	997	7	7	104	3821	3821	11.1	5.6	0.9	0.9	11.4	18.4	18.4
271710007	2	13397	18873	52	52	13397	26742	26742	11.8	6.5	7.2	7.2	11.8	16.3	16.3
280470007	2	12535	17718	6	6	12535	25064	25064	6.5	7.9	0.9	0.9	6.5	12.1	12.1
280490018	5	51	45	15	15	30	128	128	7.3	5.4	3.2	3.2	6.0	16.6	16.6
280590006	7	4903	10049	12	12	96	27207	27207	7.0	4.9	3.3	3.3	5.4	17.3	17.3
280810004	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
290210009	1	3563		3563	3563	3563	3563	3563	0.7	0.0	0.7	0.7	0.7	0.7	0.7
290210011	1	3563		3563	3563	3563	3563	3563	0.9	0.0	0.9	0.9	0.9	0.9	0.9
290470025	15	1682	2364	6	6	105	7625	7625	11.9	4.8	2.8	2.8	10.8	18.2	18.2
290770026	4	2302	2728	5	5	1772	5657	5657	8.2	4.5	2.3	2.3	9.3	11.8	11.8
290770032	4	2302	2728	5	5	1772	5657	5657	7.8	3.9	3.0	3.0	8.5	11.0	11.0



Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
290770037	4	2302	2728	5	5	1772	5657	5657	9.2	6.1	0.6	0.6	11.0	14.0	14.0
290770040	4	2302	2728	5	5	1772	5657	5657	9.2	6.2	0.5	0.5	11.0	14.1	14.1
290770041	4	2302	2728	5	5	1772	5657	5657	8.6	5.5	1.2	1.2	9.7	13.8	13.8
290930030	1	43340		43340	43340	43340	43340	43340	1.7	0.0	1.7	1.7	1.7	1.7	1.7
290930031	1	43340		43340	43340	43340	43340	43340	4.6	0.0	4.6	4.6	4.6	4.6	4.6
290950034	14	1388	2341	6	6	34	7625	7625	8.7	4.9	1.4	1.4	8.1	15.4	15.4
290990004	5	11145	10277	243	243	15223	23258	23258	9.7	7.4	0.2	0.2	11.4	17.1	17.1
290990014	5	11145	10277	243	243	15223	23258	23258	9.8	7.4	0.7	0.7	11.9	17.5	17.5
290990017	5	11145	10277	243	243	15223	23258	23258	10.2	7.1	1.6	1.6	10.6	17.3	17.3
290990018	4	8117	8927	243	243	7889	16447	16447	8.3	6.6	1.4	1.4	8.2	15.3	15.3
291370001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
291630002	2	6747	934	6087	6087	6747	7408	7408	7.3	6.6	2.7	2.7	7.3	12.0	12.0
291650023	4	2757	3602	19	19	1693	7625	7625	17.8	1.3	16.0	16.0	18.1	19.1	19.1
291830010	1	47610		47610	47610	47610	47610	47610	1.7	0.0	1.7	1.7	1.7	1.7	1.7
291831002	15	4516	11970	6	6	136	45960	45960	12.6	3.4	4.3	4.3	13.5	17.3	17.3
291890001	14	1748	4547	8	8	35	16447	16447	14.8	4.4	6.4	6.4	15.9	19.7	19.7
291890004	9	2535	5610	8	8	13	16447	16447	14.0	3.1	9.8	9.8	15.2	18.2	18.2
291890006	7	27	48	6	6	8	136	136	14.7	4.6	8.4	8.4	15.7	19.9	19.9
291890014	8	33	47	6	6	10	136	136	11.9	6.2	3.2	3.2	11.3	19.7	19.7
291893001	29	370	1164	6	6	60	6250	6250	15.2	4.2	5.1	5.1	16.0	20.0	20.0
291895001	35	1911	7823	6	6	111	45960	45960	15.1	3.1	6.7	6.7	15.9	20.0	20.0
291897002	14	50	75	6	6	16	277	277	13.2	5.7	3.9	3.9	14.6	20.0	20.0
291897003	18	403	1461	6	6	37	6250	6250	14.1	5.4	3.5	3.5	16.2	19.4	19.4
295100007	19	1312	3936	8	8	50	16447	16447	12.5	6.0	0.5	0.5	14.0	19.6	19.6
295100072	30	445	1152	6	6	68	6250	6250	8.8	3.8	2.0	2.0	9.7	19.2	19.2
295100080	34	397	1088	6	6	61	6250	6250	10.7	4.3	0.4	0.4	10.5	19.7	19.7
295100086	32	421	1118	6	6	68	6250	6250	9.8	3.9	1.7	1.7	10.0	18.6	18.6
300132000	2	351	481	11	11	351	691	691	4.1	3.6	1.5	1.5	4.1	6.7	6.7
300132001	2	351	481	11	11	351	691	691	4.1	4.9	0.7	0.7	4.1	7.5	7.5
300430903	1	234		234	234	234	234	234	3.3	0.0	3.3	3.3	3.3	3.3	3.3
300430911	1	234		234	234	234	234	234	4.5	0.0	4.5	4.5	4.5	4.5	4.5
300430913	1	234		234	234	234	234	234	4.9	0.0	4.9	4.9	4.9	4.9	4.9
300490702	1	234		234	234	234	234	234	6.2	0.0	6.2	6.2	6.2	6.2	6.2
300490703	1	234		234	234	234	234	234	7.3	0.0	7.3	7.3	7.3	7.3	7.3
300870700	1	16735		16735	16735	16735	16735	16735	19.8	0.0	19.8	19.8	19.8	19.8	19.8
300870701	1	16735		16735	16735	16735	16735	16735	19.0	0.0	19.0	19.0	19.0	19.0	19.0

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
300870702	1	16735		16735	16735	16735	16735	16735	19.8	0.0	19.8	19.8	19.8	19.8	19.8
300870760	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
300870761	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
300870762	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
300870763	1	16735		16735	16735	16735	16735	16735	15.2	0.0	15.2	15.2	15.2	15.2	15.2
301110016	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
301110066	4	1370	1322	75	75	1135	3135	3135	3.1	0.5	2.6	2.6	3.1	3.7	3.7
301110079	4	1370	1322	75	75	1135	3135	3135	7.8	3.0	5.8	5.8	6.7	12.2	12.2
301110080	4	1370	1322	75	75	1135	3135	3135	2.4	1.8	0.9	0.9	1.9	5.0	5.0
301110082	4	1370	1322	75	75	1135	3135	3135	3.4	2.7	1.7	1.7	2.3	7.3	7.3
301110083	4	1370	1322	75	75	1135	3135	3135	3.4	0.7	2.7	2.7	3.4	4.4	4.4
301110084	6	2550	2627	75	75	1976	7415	7415	10.3	6.6	3.1	3.1	7.4	18.6	18.6
301111065	4	1370	1322	75	75	1135	3135	3135	4.7	2.7	0.7	0.7	5.7	6.7	6.7
301112005	4	1370	1322	75	75	1135	3135	3135	4.1	1.8	1.5	1.5	4.6	5.7	5.7
301112006	6	2550	2627	75	75	1976	7415	7415	10.1	7.2	1.1	1.1	7.6	18.8	18.8
301112007	6	2550	2627	75	75	1976	7415	7415	11.4	3.9	4.7	4.7	11.2	15.3	15.3
310550048	5	6370	9218	6	6	58	20257	20257	12.7	7.5	0.5	0.5	13.6	19.3	19.3
310550050	5	6370	9218	6	6	58	20257	20257	13.4	7.5	1.0	1.0	14.7	19.6	19.6
310550053	5	6370	9218	6	6	58	20257	20257	11.3	5.7	3.3	3.3	10.6	18.0	18.0
310550055	3	3845	6637	6	6	20	11509	11509	13.0	7.3	4.7	4.7	16.1	18.2	18.2
320030022	4	45	27	16	16	44	75	75	3.9	0.0	3.8	3.8	3.9	3.9	3.9
320030078	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
320030539	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
320030601	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
330050007	1	81		81	81	81	81	81	0.3	0.0	0.3	0.3	0.3	0.3	0.3
330070019	1	638		638	638	638	638	638	1.7	0.0	1.7	1.7	1.7	1.7	1.7
330070022	1	638		638	638	638	638	638	2.3	0.0	2.3	2.3	2.3	2.3	2.3
330071007	2	9	4	6	6	9	12	12	0.6	0.1	0.6	0.6	0.6	0.7	0.7
330110016	3	10269	10386	149	149	9754	20902	20902	17.3	1.3	16.5	16.5	16.6	18.8	18.8
330110019	3	10269	10386	149	149	9754	20902	20902	17.0	2.3	15.7	15.7	15.7	19.6	19.6
330110020	3	10269	10386	149	149	9754	20902	20902	17.0	2.3	15.7	15.7	15.7	19.6	19.6
330111009	11	41	42	6	6	20	149	149	12.7	6.0	4.4	4.4	14.7	19.0	19.0
330111010	16	48	42	6	6	38	149	149	13.0	3.0	7.2	7.2	12.0	19.0	19.0
330130007	4	7708	9906	41	41	4945	20902	20902	7.3	3.9	1.4	1.4	9.0	9.6	9.6
330131003	4	7708	9906	41	41	4945	20902	20902	7.7	5.4	4.0	4.0	5.6	15.4	15.4
330131006	4	7708	9906	41	41	4945	20902	20902	8.2	8.8	1.3	1.3	5.8	19.8	19.8

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
330131007	4	7708	9906	41	41	4945	20902	20902	9.3	2.1	7.5	7.5	8.6	12.3	12.3
330150009	9	1523	2990	6	6	52	8057	8057	9.0	6.9	2.0	2.0	4.4	19.2	19.2
330150014	9	1523	2990	6	6	52	8057	8057	9.6	7.0	1.0	1.0	5.5	19.9	19.9
330150015	9	1523	2990	6	6	52	8057	8057	8.9	7.1	1.9	1.9	4.1	19.5	19.5
330190003	2	110	81	53	53	110	168	168	2.5	1.7	1.3	1.3	2.5	3.7	3.7
340010005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
340035001	61	457	2442	6	6	22	2302	18958	14.8	3.7	2.2	5.2	15.7	19.7	19.9
340051001	21	719	3104	5	5	35	14266	14266	10.7	6.7	1.5	1.5	12.3	19.9	19.9
340070003	60	179	644	5	5	25	2378	4450	9.7	3.4	2.0	2.8	9.6	17.2	19.9
340071001	2	8	1	8	8	8	9	9	10.2	0.5	9.9	9.9	10.2	10.5	10.5
340110007	4	161	198	28	28	81	456	456	7.5	6.6	1.8	1.8	5.7	16.8	16.8
340130011	59	465	2471	5	6	25	1845	18958	13.1	4.9	1.6	2.2	14.2	19.2	19.4
340130016	61	453	2431	5	6	25	1845	18958	13.4	5.0	1.8	2.7	14.3	19.8	19.9
340150002	50	529	1281	5	6	44	4450	6720	13.2	3.7	2.1	4.6	12.9	19.2	19.7
340170006	59	467	2471	5	5	25	1845	18958	13.0	4.6	2.0	3.2	13.5	19.9	19.9
340171002	71	421	2267	5	5	18	2302	18958	11.9	5.0	0.8	0.8	11.6	19.7	19.8
340232003	21	80	206	6	6	16	958	958	8.6	4.6	1.8	1.8	9.2	15.8	15.8
340273001	2	19	8	13	13	19	25	25	17.7	3.1	15.5	15.5	17.7	19.8	19.8
340390003	38	610	3074	5	5	19	18958	18958	11.5	5.3	2.3	2.3	12.4	20.0	20.0
340390004	38	609	3075	5	5	19	18958	18958	11.2	5.6	0.7	0.7	12.1	19.9	19.9
350130008	1	37		37	37	37	37	37	17.9	0.0	17.9	17.9	17.9	17.9	17.9
350130017	13	44	92	5	5	11	345	345	14.8	4.0	1.7	1.7	15.7	17.7	17.7
350151004	4	1058	973	168	168	983	2099	2099	8.6	8.4	0.9	0.9	8.7	16.1	16.1
350170001	1	263		263	263	263	263	263	6.1	0.0	6.1	6.1	6.1	6.1	6.1
350171003	1	263		263	263	263	263	263	1.5	0.0	1.5	1.5	1.5	1.5	1.5
350230005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
350450008	7	2478	2496	11	11	2554	5919	5919	17.2	3.5	11.9	11.9	19.2	19.3	19.3
350450009	2	293	378	25	25	293	560	560	3.3	2.0	2.0	2.0	3.3	4.7	4.7
350450017	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
350451005	8	6274	10983	11	11	2630	32847	32847	6.1	3.8	3.2	3.2	3.5	11.9	11.9
360010012	9	40	46	7	7	20	153	153	10.8	5.2	3.5	3.5	9.0	18.0	18.0
360050073	68	399	2309	5	6	22	2302	18958	10.0	4.9	3.4	3.4	9.1	19.2	19.7
360050080	66	406	2344	5	6	18	2302	18958	10.6	5.0	1.8	3.0	9.6	19.5	19.9
360050083	56	119	355	6	6	19	1129	2302	11.2	5.6	1.6	1.8	11.3	19.6	19.6
360050110	67	402	2326	5	6	21	2302	18958	10.1	4.9	2.7	2.8	9.0	19.2	19.7
360130005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
360130006	1	52177		52177	52177	52177	52177	52177	2.0	0.0	2.0	2.0	2.0	2.0	2.0
360130011	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360150003	2	202	270	11	11	202	393	393	10.2	13.6	0.6	0.6	10.2	19.9	19.9
360290005	10	4073	12273	8	8	182	38999	38999	10.2	4.7	2.5	2.5	11.1	15.4	15.4
360294002	16	2608	9706	8	8	166	38999	38999	10.4	6.2	1.6	1.6	12.3	18.3	18.3
360298001	9	4518	12932	8	8	247	38999	38999	13.5	5.6	4.6	4.6	14.7	19.0	19.0
360310003	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360330004	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360410005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360430005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360470011	77	377	2178	5	5	18	2302	18958	10.3	5.5	0.7	1.9	10.8	19.2	19.7
360470076	67	428	2333	5	5	17	2302	18958	11.6	4.8	2.3	3.1	11.5	19.4	19.9
360530006	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360551004	4	12595	14519	8	8	11988	26395	26395	11.0	4.2	7.6	7.6	10.0	16.5	16.5
360551007	4	12595	14519	8	8	11988	26395	26395	11.3	4.1	6.4	6.4	11.9	15.0	15.0
360556001	4	12595	14519	8	8	11988	26395	26395	10.5	6.8	5.2	5.2	8.5	19.8	19.8
360590005	12	151	301	6	6	26	1057	1057	11.8	4.8	1.9	1.9	11.8	19.1	19.1
360610010	77	375	2178	5	5	17	2302	18958	10.4	5.4	0.3	1.4	11.1	19.4	19.6
360610056	76	382	2192	5	5	18	2302	18958	9.9	5.4	0.3	1.4	10.6	19.9	19.9
360632008	13	3134	10777	8	8	118	38999	38999	9.3	7.3	0.3	0.3	12.2	19.8	19.8
360671015	4	820	1602	8	8	24	3223	3223	5.9	4.3	1.9	1.9	5.2	11.5	11.5
360790005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
360810097	60	136	358	5	6	22	1129	2302	14.8	4.0	2.9	5.0	15.5	19.9	20.0
360810124	66	122	342	5	6	21	1129	2302	12.5	4.0	2.1	2.3	12.4	19.5	20.0
360830004	3	126	106	10	10	153	217	217	18.4	1.8	16.3	16.3	19.3	19.6	19.6
360831005	2	94	124	6	6	94	182	182	17.6	1.6	16.5	16.5	17.6	18.8	18.8
360850067	48	515	2737	5	6	17	1845	18958	14.0	4.0	5.5	6.2	14.2	19.6	19.9
360930003	4	24	26	6	6	14	62	62	9.5	6.6	2.0	2.0	9.7	16.5	16.5
361030002	9	156	344	6	6	19	1057	1057	9.3	5.8	1.9	1.9	7.3	18.2	18.2
361030009	10	734	2013	11	11	42	6453	6453	11.3	5.7	2.0	2.0	11.9	19.3	19.3
361111005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
370030003	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
370130003	1	4730		4730	4730	4730	4730	4730	2.2	0.0	2.2	2.2	2.2	2.2	2.2
370130004	1	4730		4730	4730	4730	4730	4730	2.7	0.0	2.7	2.7	2.7	2.7	2.7
370130006	1	4730		4730	4730	4730	4730	4730	1.1	0.0	1.1	1.1	1.1	1.1	1.1
370370004	4	119	71	12	12	148	165	165	17.2	3.7	11.8	11.8	18.6	19.9	19.9

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
370511003	5	295	264	17	17	173	675	675	15.8	2.5	11.5	11.5	16.5	17.9	17.9
370590002	4	1949	3658	13	13	175	7432	7432	15.3	4.3	10.4	10.4	15.6	19.6	19.6
370610002	5	83	132	6	6	36	317	317	12.3	4.9	4.1	4.1	13.1	17.0	17.0
370650099	1	325		325	325	325	325	325	16.1	0.0	16.1	16.1	16.1	16.1	16.1
370670022	9	438	848	5	5	46	2591	2591	6.3	5.7	1.2	1.2	3.9	17.8	17.8
371010002	2	15	4	12	12	15	17	17	10.3	7.5	5.0	5.0	10.3	15.6	15.6
371090004	1	10		10	10	10	10	10	10.7	0.0	10.7	10.7	10.7	10.7	10.7
371170001	2	1713	2329	66	66	1713	3360	3360	6.6	7.8	1.1	1.1	6.6	12.2	12.2
371190034	12	86	121	5	5	11	320	320	13.3	4.7	6.3	6.3	12.8	19.8	19.8
371190041	12	68	103	5	5	11	320	320	12.7	5.0	6.3	6.3	12.2	19.8	19.8
371290002	9	3325	6800	6	6	313	20865	20865	14.5	4.9	2.3	2.3	15.4	19.0	19.0
371290006	12	2502	5987	6	6	50	20865	20865	6.9	4.8	0.6	0.6	7.1	14.5	14.5
371310002	3	805	759	16	16	871	1529	1529	4.2	1.8	2.1	2.1	5.1	5.3	5.3
371450003	3	32251	54874	5	5	1136	95610	95610	18.8	0.5	18.4	18.4	18.7	19.3	19.3
371470099	2	14	3	12	12	14	16	16	1.3	0.0	1.3	1.3	1.3	1.3	1.3
371730002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
380070002	1	283		283	283	283	283	283	11.4	0.0	11.4	11.4	11.4	11.4	11.4
380070111	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
380130002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
380130004	1	426		426	426	426	426	426	18.6	0.0	18.6	18.6	18.6	18.6	18.6
380150003	1	4592		4592	4592	4592	4592	4592	9.8	0.0	9.8	9.8	9.8	9.8	9.8
380171003	3	257	226	15	15	294	462	462	7.7	6.9	3.0	3.0	4.6	15.7	15.7
380171004	2	378	119	294	294	378	462	462	9.0	1.1	8.2	8.2	9.0	9.7	9.7
380250003	1	5		5	5	5	5	5	13.9	0.0	13.9	13.9	13.9	13.9	13.9
380530002	1	210		210	210	210	210	210	17.3	0.0	17.3	17.3	17.3	17.3	17.3
380530104	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
380530111	2	411	522	42	42	411	781	781	16.1	0.1	16.1	16.1	16.1	16.2	16.2
380550113	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
380570001	2	45808	55924	6264	6264	45808	85352	85352	2.5	2.6	0.7	0.7	2.5	4.3	4.3
380570004	2	45808	55924	6264	6264	45808	85352	85352	2.7	2.0	1.3	1.3	2.7	4.1	4.1
380570102	2	45808	55924	6264	6264	45808	85352	85352	5.4	2.3	3.8	3.8	5.4	7.0	7.0
380570118	2	45808	55924	6264	6264	45808	85352	85352	10.7	2.2	9.1	9.1	10.7	12.2	12.2
380570123	2	45808	55924	6264	6264	45808	85352	85352	14.3	1.4	13.3	13.3	14.3	15.3	15.3
380570124	2	45808	55924	6264	6264	45808	85352	85352	18.6	1.0	17.9	17.9	18.6	19.3	19.3
380590002	1	4592		4592	4592	4592	4592	4592	2.6	0.0	2.6	2.6	2.6	2.6	2.6
380590003	1	4592		4592	4592	4592	4592	4592	5.1	0.0	5.1	5.1	5.1	5.1	5.1

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
380650002	1	28565		28565	28565	28565	28565	28565	8.5	0.0	8.5	8.5	8.5	8.5	8.5
380910001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
381050103	1	1605		1605	1605	1605	1605	1605	2.8	0.0	2.8	2.8	2.8	2.8	2.8
381050105	1	1605		1605	1605	1605	1605	1605	1.8	0.0	1.8	1.8	1.8	1.8	1.8
390010001	1	19670		19670	19670	19670	19670	19670	11.4	0.0	11.4	11.4	11.4	11.4	11.4
390030002	9	442	535	16	16	45	1469	1469	8.5	0.4	7.9	7.9	8.3	9.3	9.3
390071001	5	1731	3761	12	12	34	8458	8458	17.3	0.6	16.6	16.6	17.2	18.2	18.2
390133002	5	27781	23029	795	795	35454	56009	56009	14.5	5.1	6.0	6.0	15.8	19.8	19.8
390170004	11	907	1265	56	56	233	3998	3998	14.7	6.9	0.9	0.9	18.5	19.3	19.3
390171004	9	1546	2186	56	56	309	6275	6275	6.5	6.5	1.7	1.7	3.3	19.8	19.8
390230003	4	509	349	105	105	492	946	946	12.2	6.1	5.8	5.8	12.0	19.2	19.2
390250021	6	15304	28111	26	26	145	69953	69953	15.0	2.7	12.7	12.7	14.1	18.7	18.7
390290016	9	20696	19955	18	18	24766	59928	59928	12.7	3.6	7.2	7.2	13.5	18.1	18.1
390290022	9	20696	19955	18	18	24766	59928	59928	12.7	3.6	7.2	7.2	13.6	18.2	18.2
390292001	8	22401	20621	18	18	25596	59928	59928	11.4	4.1	4.6	4.6	10.8	19.3	19.3
390350038	10	740	916	15	15	382	2453	2453	9.8	4.9	1.9	1.9	11.7	14.3	14.3
390350045	10	740	916	15	15	382	2453	2453	10.1	5.5	1.2	1.2	10.4	15.8	15.8
390350060	10	740	916	15	15	382	2453	2453	10.4	5.7	1.0	1.0	13.3	15.5	15.5
390350065	10	740	916	15	15	382	2453	2453	9.8	4.3	2.0	2.0	9.8	14.5	14.5
390356001	13	5759	16867	8	8	382	61629	61629	13.8	7.1	1.7	1.7	16.8	20.0	20.0
390490004	6	75	74	5	5	64	192	192	8.7	3.4	2.9	2.9	9.2	12.9	12.9
390490034	6	75	74	5	5	64	192	192	9.5	3.0	3.4	3.4	10.4	11.5	11.5
390530002	6	31718	26583	9	9	29551	74452	74452	7.0	7.4	1.0	1.0	3.6	16.5	16.5
390610010	10	9265	26865	12	12	537	85699	85699	16.1	3.0	8.6	8.6	16.8	19.7	19.7
390612003	11	660	817	12	12	268	2164	2164	8.7	5.5	0.4	0.4	8.0	19.4	19.4
390810016	17	13129	20063	10	10	361	59928	59928	9.5	7.1	1.7	1.7	5.6	19.0	19.0
390810017	17	13129	20063	10	10	361	59928	59928	9.6	6.9	2.0	2.0	5.9	18.6	18.6
390811001	13	6005	15392	10	10	234	53414	53414	4.9	5.6	0.3	0.3	2.9	18.0	18.0
390850003	6	12044	24426	8	8	2390	61629	61629	9.1	4.2	5.6	5.6	7.4	15.2	15.2
390853002	3	1600	2615	18	18	163	4618	4618	5.3	6.0	1.1	1.1	2.6	12.3	12.3
390870006	8	1425	2178	25	25	343	6285	6285	13.7	6.0	2.2	2.2	15.5	19.3	19.3
390930017	3	165	241	6	6	47	442	442	11.4	2.2	8.9	8.9	12.5	12.8	12.8
390930026	2	27	29	6	6	27	47	47	3.3	0.5	3.0	3.0	3.3	3.6	3.6
390931003	3	165	241	6	6	47	442	442	11.6	2.1	9.2	9.2	12.5	13.1	13.1
390950008	9	4149	4513	204	204	3712	13581	13581	8.1	5.5	2.5	2.5	4.5	14.6	14.6
390950024	10	3745	4443	113	113	2406	13581	13581	11.4	6.4	3.9	3.9	9.5	18.6	18.6

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
390990009	10	2107	5350	6	6	353	17244	17244	12.4	7.3	2.0	2.0	15.6	19.6	19.6
390990013	10	2107	5350	6	6	353	17244	17244	12.4	7.5	1.7	1.7	15.8	19.6	19.6
391051001	6	31718	26583	9	9	29551	74452	74452	13.6	2.2	11.6	11.6	13.0	17.8	17.8
391130025	6	1609	2326	105	105	753	6275	6275	13.4	5.4	7.3	7.3	13.4	19.4	19.4
391150003	2	57763	38696	30401	30401	57763	85125	85125	4.8	0.2	4.6	4.6	4.8	4.9	4.9
391150004	2	57763	38696	30401	30401	57763	85125	85125	5.1	0.3	4.9	4.9	5.1	5.3	5.3
391450013	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
391450020	3	1450	1306	25	25	1737	2589	2589	9.6	6.9	4.6	4.6	6.7	17.5	17.5
391450022	3	1450	1306	25	25	1737	2589	2589	8.4	7.5	2.8	2.8	5.4	16.9	16.9
391510016	7	181	213	10	10	43	510	510	6.6	1.5	4.5	4.5	5.9	8.7	8.7
391530017	4	2763	2244	863	863	2091	6009	6009	5.0	2.4	1.4	1.4	6.0	6.6	6.6
391530022	4	2763	2244	863	863	2091	6009	6009	3.9	0.7	3.0	3.0	4.1	4.6	4.6
391570003	7	368	741	15	15	38	2017	2017	12.0	6.4	0.6	0.6	13.3	18.6	18.6
391570006	6	426	795	15	15	38	2017	2017	6.4	6.1	0.4	0.4	5.3	14.2	14.2
400219002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
400710602	2	3502	457	3178	3178	3502	3825	3825	3.4	2.3	1.8	1.8	3.4	5.0	5.0
400719003	2	3502	457	3178	3178	3502	3825	3825	1.8	2.0	0.4	0.4	1.8	3.2	3.2
400719010	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
400979014	6	3180	5200	173	173	713	13428	13428	4.7	1.3	2.7	2.7	5.5	5.7	5.7
401010167	8	3751	4529	23	23	1130	9866	9866	5.9	4.2	3.7	3.7	3.7	15.8	15.8
401090025	2	91	110	13	13	91	169	169	8.7	4.5	5.6	5.6	8.7	11.9	11.9
401091037	2	91	110	13	13	91	169	169	8.8	7.9	3.2	3.2	8.8	14.4	14.4
401159004	1	62		62	62	62	62	62	5.2	0.0	5.2	5.2	5.2	5.2	5.2
401430175	10	938	1088	9	9	263	2729	2729	11.8	6.9	1.4	1.4	13.9	18.3	18.3
401430235	10	938	1088	9	9	263	2729	2729	10.7	6.9	1.5	1.5	13.4	18.1	18.1
401430501	10	938	1088	9	9	263	2729	2729	12.6	6.8	2.7	2.7	14.2	19.2	19.2
420030002	19	103	137	7	7	30	468	468	7.4	5.9	0.6	0.6	8.6	18.1	18.1
420030010	55	85	101	5	7	49	407	468	14.2	5.6	2.5	2.5	15.5	20.0	20.0
420030021	64	819	5274	5	7	47	5395	42018	11.7	3.3	3.2	4.8	13.1	18.0	18.7
420030031	62	757	5327	5	7	46	468	42018	13.9	5.1	1.3	1.4	14.4	18.7	19.8
420030032	64	819	5274	5	7	47	5395	42018	11.7	3.3	3.1	4.7	13.2	18.1	18.7
420030064	54	213	741	5	6	52	1164	5395	6.0	5.2	2.0	2.0	3.1	17.9	18.2
420030067	16	73	105	7	7	29	407	407	15.1	3.5	6.1	6.1	15.7	19.7	19.7
420030116	19	103	137	7	7	30	468	468	7.4	5.1	2.1	2.1	7.7	17.0	17.0
420031301	57	914	5587	5	7	47	5395	42018	9.9	4.6	1.1	1.1	11.0	17.5	17.8
420033003	54	213	741	5	6	52	1164	5395	5.6	5.4	1.0	1.0	2.3	17.8	17.8

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
420033004	55	209	735	5	6	49	1164	5395	5.9	6.0	0.6	0.7	3.3	18.8	18.8
420070002	10	18726	19819	18	18	15912	59928	59928	13.0	3.2	9.2	9.2	11.4	18.6	18.6
420070004	7	5881	11104	9	9	118	30312	30312	14.5	5.1	7.4	7.4	16.0	19.8	19.8
420070005	8	5173	10474	9	9	157	30312	30312	9.6	5.6	2.5	2.5	8.8	17.1	17.1
420070014	10	4400	9400	8	8	157	30312	30312	12.0	3.1	7.1	7.1	12.0	17.2	17.2
420110009	13	1140	3818	14	14	37	13841	13841	9.8	7.1	1.3	1.3	10.3	19.8	19.8
420110100	12	1231	3973	14	14	34	13841	13841	8.7	6.3	1.5	1.5	7.5	17.2	17.2
420130801	1	441		441	441	441	441	441	1.3	0.0	1.3	1.3	1.3	1.3	1.3
420170012	22	687	3033	5	5	27	14266	14266	11.1	6.5	1.2	1.2	12.4	19.6	19.6
420210011	4	4195	5171	34	34	3004	10738	10738	8.5	7.4	1.5	1.5	8.9	14.9	14.9
420270100	4	1090	1267	53	53	834	2638	2638	10.4	6.2	2.3	2.3	11.4	16.6	16.6
420430401	8	107	99	10	10	78	313	313	5.4	4.0	0.8	0.8	3.7	12.1	12.1
420450002	57	681	1415	5	5	47	5051	6720	13.6	5.5	1.3	1.9	15.8	19.8	19.8
420450109	45	855	1553	5	5	91	5051	6720	12.4	6.4	0.5	1.6	13.3	19.9	20.0
420490003	5	824	1068	10	10	228	2398	2398	3.1	1.9	1.2	1.2	2.6	5.4	5.4
420630004	3	4796	5156	1497	1497	2154	10738	10738	18.4	1.4	17.0	17.0	18.4	19.8	19.8
420692006	5	13	5	6	6	15	18	18	10.9	7.4	2.1	2.1	8.2	19.6	19.6
420710007	5	75	109	6	6	23	264	264	3.7	3.7	0.6	0.6	2.7	10.1	10.1
420730015	9	3206	8423	6	6	28	25551	25551	12.5	5.6	0.6	0.6	13.2	18.0	18.0
420770004	13	703	1041	7	7	120	2888	2888	12.5	5.8	0.3	0.3	12.0	19.3	19.3
420791101	4	117	160	9	9	53	351	351	12.3	3.4	7.8	7.8	12.9	15.8	15.8
420810100	3	28	28	6	6	18	59	59	11.3	0.7	10.6	10.6	11.2	12.0	12.0
420810403	3	28	28	6	6	18	59	59	15.8	1.1	14.9	14.9	15.4	16.9	16.9
420850100	2	14	4	11	11	14	17	17	10.8	11.8	2.4	2.4	10.8	19.1	19.1
420910013	28	171	704	5	5	15	3753	3753	15.3	4.5	1.4	1.4	16.2	20.0	20.0
420950025	18	676	1020	7	7	86	2888	2888	13.1	4.3	4.0	4.0	14.1	19.7	19.7
420950100	15	2179	5602	7	7	120	22057	22057	10.4	5.5	2.5	2.5	10.7	19.3	19.3
420958000	16	2045	5439	7	7	86	22057	22057	10.1	5.9	0.6	0.6	9.1	18.8	18.8
420990301	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
421010004	61	102	316	5	6	20	560	2378	10.5	5.2	1.0	1.3	10.9	19.2	19.7
421010022	66	285	1022	5	5	26	4450	6720	8.0	5.6	0.9	1.0	7.0	19.4	20.0
421010024	36	46	77	5	5	13	407	407	13.0	3.8	6.3	6.3	12.6	19.9	19.9
421010027	63	99	311	5	6	20	560	2378	9.8	4.6	0.8	1.7	11.0	19.7	19.7
421010029	67	262	1007	5	5	24	4450	6720	8.3	4.7	1.1	1.8	6.8	18.9	19.6
421010047	65	270	1022	5	5	26	4450	6720	7.9	4.5	0.6	0.8	6.4	17.6	17.9
421010048	60	104	318	5	6	22	560	2378	10.4	4.9	0.9	1.7	10.7	18.6	19.2



Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
421010055	66	286	1022	5	5	26	4450	6720	7.9	5.4	1.3	1.4	6.8	18.8	20.0
421010136	68	319	1042	5	5	27	4450	6720	8.8	5.4	1.1	1.4	9.3	18.7	19.8
421070003	6	831	687	8	8	674	1743	1743	10.4	7.4	3.3	3.3	8.8	19.2	19.2
421230003	2	2445	659	1979	1979	2445	2911	2911	4.0	1.2	3.2	3.2	4.0	4.9	4.9
421230004	2	2445	659	1979	1979	2445	2911	2911	3.0	1.6	1.9	1.9	3.0	4.1	4.1
421250005	33	257	945	5	5	47	5395	5395	15.7	4.7	1.1	1.1	17.5	18.7	18.7
421250200	1	7		7	7	7	7	7	1.1	0.0	1.1	1.1	1.1	1.1	1.1
421255001	8	321	439	7	7	82	1017	1017	15.9	4.1	9.3	9.3	17.2	19.7	19.7
421290008	3	24	9	16	16	22	34	34	9.8	1.4	8.7	8.7	9.3	11.5	11.5
421330008	9	8943	22698	14	14	171	68932	68932	9.3	5.8	0.8	0.8	10.1	17.7	17.7
440070012	54	41	90	5	5	13	392	521	8.4	5.8	0.3	0.4	5.9	18.9	19.0
440071005	55	41	89	5	5	13	392	521	9.1	5.5	0.9	1.0	8.4	18.5	19.0
440071009	55	41	89	5	5	13	392	521	8.6	6.0	0.1	0.4	6.3	19.5	19.9
450030003	13	1654	2599	8	8	549	8275	8275	15.3	1.5	11.4	11.4	15.3	17.5	17.5
450110001	1	65		65	65	65	65	65	13.2	0.0	13.2	13.2	13.2	13.2	13.2
450190003	16	2183	6339	6	6	28	25544	25544	7.2	5.0	1.1	1.1	6.2	16.3	16.3
450190046	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
450430006	7	5834	14038	6	6	24	37622	37622	4.6	4.3	0.2	0.2	3.4	13.2	13.2
450450008	12	89	136	6	6	20	411	411	11.7	4.5	2.1	2.1	10.7	17.4	17.4
450450009	13	83	132	6	6	19	411	411	10.1	5.7	4.0	4.0	5.4	17.3	17.3
450630008	11	948	2944	5	5	9	9820	9820	11.5	5.4	0.5	0.5	13.0	19.2	19.2
450730001	1	5		5	5	5	5	5	14.9	0.0	14.9	14.9	14.9	14.9	14.9
450750003	5	1433	1913	5	5	211	4088	4088	8.5	5.1	3.4	3.4	9.6	15.8	15.8
450790007	10	61	103	5	5	18	343	343	14.0	4.1	6.4	6.4	15.9	18.7	18.7
450790021	8	5061	12720	7	7	89	36378	36378	14.7	1.2	12.3	12.3	15.3	15.6	15.6
450791003	13	995	2730	5	5	52	9820	9820	10.9	5.9	1.4	1.4	10.9	18.5	18.5
450791006	10	4289	11350	7	7	89	36378	36378	17.5	3.3	8.2	8.2	18.9	19.1	19.1
460330132	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
460710001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
460990007	1	496		496	496	496	496	496	17.5	0.0	17.5	17.5	17.5	17.5	17.5
470010028	8	5595	14808	7	7	34	42188	42188	12.2	6.5	0.9	0.9	12.8	18.8	18.8
470090002	3	1421	2325	6	6	153	4104	4104	5.7	5.7	0.7	0.7	4.5	11.9	11.9
470090006	3	1421	2325	6	6	153	4104	4104	5.4	5.3	1.4	1.4	3.3	11.3	11.3
470090101	3	1421	2325	6	6	153	4104	4104	12.1	6.9	4.2	4.2	15.4	16.7	16.7
470110102	2	2719	3687	112	112	2719	5326	5326	2.5	1.2	1.6	1.6	2.5	3.4	3.4
470310004	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
470370011	9	891	2248	9	9	60	6842	6842	10.4	3.6	5.6	5.6	10.7	17.6	17.6
470730002	3	11831	10420	6	6	15822	19666	19666	2.9	2.1	1.7	1.7	1.7	5.2	5.2
470850020	6	18599	44191	12	12	281	108788	108788	3.2	1.8	1.6	1.6	2.6	6.3	6.3
471070101	3	1834	3024	64	64	112	5326	5326	7.6	10.2	0.5	0.5	3.0	19.3	19.3
471250006	6	222	401	8	8	35	1025	1025	6.2	6.9	1.0	1.0	2.5	15.0	15.0
471250106	6	222	401	8	8	35	1025	1025	7.1	7.3	1.5	1.5	3.5	16.3	16.3
471390003	1	1900		1900	1900	1900	1900	1900	3.1	0.0	3.1	3.1	3.1	3.1	3.1
471390007	1	1900		1900	1900	1900	1900	1900	1.6	0.0	1.6	1.6	1.6	1.6	1.6
471390008	1	1900		1900	1900	1900	1900	1900	1.4	0.0	1.4	1.4	1.4	1.4	1.4
471390009	1	1900		1900	1900	1900	1900	1900	1.0	0.0	1.0	1.0	1.0	1.0	1.0
471450009	4	19470	22311	9	9	19188	39495	39495	10.9	6.7	5.3	5.3	9.5	19.1	19.1
471570034	18	1204	2391	5	5	32	6540	6540	11.4	2.2	4.8	4.8	11.8	15.3	15.3
471570043	18	1204	2391	5	5	32	6540	6540	9.6	1.7	5.3	5.3	10.0	11.4	11.4
471570046	2	1973	2640	106	106	1973	3839	3839	6.0	6.7	1.3	1.3	6.0	10.8	10.8
471571034	19	1150	2336	5	5	35	6540	6540	3.5	5.6	0.5	0.5	0.7	18.0	18.0
471610007	3	5561	5107	21	21	6580	10081	10081	1.8	0.2	1.7	1.7	1.7	1.9	1.9
471630007	10	3010	5303	22	22	495	16855	16855	3.7	2.6	1.7	1.7	2.6	10.7	10.7
471630009	12	2513	4935	13	13	286	16855	16855	5.7	6.0	2.0	2.0	2.7	18.7	18.7
471651002	4	8593	10129	88	88	7029	20226	20226	4.2	1.8	2.9	2.9	3.5	6.9	6.9
480610006	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
481130069	9	34	25	9	9	18	69	69	12.1	5.7	2.0	2.0	12.9	20.0	20.0
481390015	12	664	993	13	13	57	3003	3003	9.5	5.8	2.3	2.3	9.4	16.6	16.6
481390016	12	664	993	13	13	57	3003	3003	9.0	6.3	2.9	2.9	6.1	17.4	17.4
481390017	12	664	993	13	13	57	3003	3003	9.6	6.9	1.9	1.9	7.6	18.6	18.6
481410037	13	44	92	5	5	11	345	345	9.7	1.8	4.5	4.5	10.0	12.0	12.0
481410053	13	44	92	5	5	11	345	345	9.7	1.6	5.1	5.1	9.9	11.9	11.9
481410058	16	38	83	5	5	12	345	345	13.9	2.3	9.5	9.5	14.7	16.0	16.0
481670005	43	185	611	5	6	22	1937	3599	2.3	1.3	1.2	1.3	2.0	3.3	9.5
481671002	43	185	611	5	6	22	1937	3599	3.6	1.1	2.5	2.5	3.3	4.6	9.5
481830001	5	13289	12287	6	6	19024	24837	24837	18.9	0.5	18.6	18.6	18.7	19.9	19.9
482010046	29	606	1182	6	6	161	5097	5097	12.8	3.1	6.2	6.2	13.1	19.6	19.6
482010051	2	13	8	7	7	13	18	18	19.1	0.6	18.7	18.7	19.1	19.5	19.5
482010059	38	674	1486	6	6	48	6968	6968	10.3	5.9	1.8	1.8	8.5	19.5	19.5
482010062	37	694	1503	6	6	49	6968	6968	14.8	3.8	7.8	7.8	15.7	20.0	20.0
482010070	31	790	1622	6	6	161	6968	6968	10.7	5.3	2.2	2.2	8.7	19.5	19.5
482011035	39	657	1470	6	6	46	6968	6968	8.6	5.4	1.6	1.6	7.7	17.6	17.6

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
482011050	46	243	1028	6	7	36	829	6968	16.5	3.9	5.0	5.3	17.9	19.1	19.9
482450009	16	863	2732	6	6	80	11064	11064	14.8	6.8	0.4	0.4	18.7	19.7	19.7
482450011	27	999	2362	6	6	45	11064	11064	9.0	5.3	2.8	2.8	7.0	18.1	18.1
482450020	8	170	306	6	6	64	908	908	10.8	8.1	1.8	1.8	11.3	19.9	19.9
482570005	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
483550025	17	468	1086	6	6	43	3955	3955	6.7	3.0	4.2	4.2	5.2	16.4	16.4
483550026	19	424	1032	6	6	43	3955	3955	10.0	3.3	4.6	4.6	11.0	13.6	13.6
483550032	17	468	1086	6	6	43	3955	3955	3.9	4.1	0.4	0.4	1.7	16.0	16.0
490050004	1	5		5	5	5	5	5	1.8	0.0	1.8	1.8	1.8	1.8	1.8
490110001	6	468	500	8	8	366	1332	1332	8.2	5.8	1.5	1.5	8.1	17.7	17.7
490110004	6	468	500	8	8	366	1332	1332	9.7	6.0	2.3	2.3	9.8	19.2	19.2
490350012	6	468	500	8	8	366	1332	1332	4.9	3.7	0.6	0.6	4.5	8.9	8.9
490351001	7	833	1006	8	8	712	2788	2788	13.0	6.5	2.1	2.1	13.0	19.6	19.6
490352004	3	1245	1415	8	8	939	2788	2788	9.8	8.0	2.4	2.4	8.9	18.3	18.3
500070003	1	6		6	6	6	6	6	1.6	0.0	1.6	1.6	1.6	1.6	1.6
500070014	1	6		6	6	6	6	6	1.9	0.0	1.9	1.9	1.9	1.9	1.9
500210002	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
510360002	18	4818	17274	7	7	35	73839	73839	12.1	7.2	2.0	2.0	13.6	19.9	19.9
510590005	5	31	46	8	8	11	114	114	17.2	1.6	15.0	15.0	17.3	19.4	19.4
510590018	10	1820	5043	8	8	74	16141	16141	13.5	3.9	8.4	8.4	15.7	17.5	17.5
510591004	11	1664	4813	7	7	59	16141	16141	10.9	3.5	3.7	3.7	11.2	16.3	16.3
510591005	13	1416	4435	7	7	59	16141	16141	13.6	4.3	4.6	4.6	13.8	19.0	19.0
510595001	11	1566	4837	6	6	24	16141	16141	14.8	4.4	5.1	5.1	16.0	19.8	19.8
511130003	1	7		7	7	7	7	7	10.8	0.0	10.8	10.8	10.8	10.8	10.8
511611004	8	85	117	5	5	34	341	341	9.3	5.5	2.9	2.9	9.7	19.1	19.1
511650002	7	40	36	8	8	32	108	108	12.3	5.1	5.1	5.1	13.9	17.8	17.8
511650003	6	39	40	5	5	25	108	108	11.4	5.4	6.3	6.3	10.3	17.9	17.9
515100009	11	1663	4813	7	7	59	16141	16141	9.6	5.1	1.1	1.1	8.6	17.9	17.9
516500004	15	285	505	6	6	92	1983	1983	11.1	4.9	4.0	4.0	11.3	17.9	17.9
517100023	21	1738	7026	5	5	85	32344	32344	8.3	3.4	3.6	3.6	8.3	18.8	18.8
517600024	14	191	363	6	6	16	1148	1148	9.4	5.8	1.2	1.2	10.3	20.0	20.0
530090010	1	756		756	756	756	756	756	5.6	0.0	5.6	5.6	5.6	5.6	5.6
530090012	1	756		756	756	756	756	756	5.3	0.0	5.3	5.3	5.3	5.3	5.3
530330057	5	241	301	63	63	117	771	771	4.0	6.0	0.6	0.6	1.3	14.7	14.7
530330080	5	241	301	63	63	117	771	771	5.0	4.2	2.5	2.5	3.1	12.5	12.5
530530021	3	179	213	11	11	109	419	419	3.2	1.1	2.1	2.1	3.2	4.3	4.3

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
530530031	3	179	213	11	11	109	419	419	1.8	0.9	1.2	1.2	1.3	2.8	2.8
530570012	4	2238	2630	21	21	1793	5345	5345	2.2	0.8	1.3	1.3	2.3	3.1	3.1
530571003	4	2238	2630	21	21	1793	5345	5345	1.7	0.6	1.1	1.1	1.7	2.4	2.4
530610016	2	191	194	53	53	191	328	328	0.5	0.1	0.4	0.4	0.5	0.6	0.6
530730011	9	488	695	8	8	349	2286	2286	16.9	6.2	0.5	0.5	19.3	19.7	19.7
540090005	13	6005	15392	10	10	234	53414	53414	5.3	5.3	0.9	0.9	2.7	16.8	16.8
540090007	17	13129	20063	10	10	361	59928	59928	10.7	5.3	3.9	3.9	8.3	18.8	18.8
540110006	5	1501	2677	124	124	401	6285	6285	13.2	7.1	0.5	0.5	16.2	17.2	17.2
540250001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
540290005	8	22069	20983	18	18	25596	59928	59928	9.3	5.3	4.7	4.7	7.5	17.6	17.6
540290007	16	9282	17668	10	10	238	59928	59928	13.1	3.8	4.8	4.8	13.1	18.3	18.3
540290008	9	20696	19955	18	18	24766	59928	59928	12.1	4.2	6.3	6.3	11.2	19.8	19.8
540290009	15	9894	18112	10	10	243	59928	59928	11.0	3.5	1.0	1.0	12.0	17.7	17.7
540290011	17	13129	20063	10	10	361	59928	59928	10.7	5.2	3.2	3.2	8.8	18.8	18.8
540290014	16	9282	17668	10	10	238	59928	59928	11.8	4.0	1.5	1.5	11.1	19.4	19.4
540290015	9	20696	19955	18	18	24766	59928	59928	12.1	3.5	7.1	7.1	12.4	18.2	18.2
540290016	16	10611	17732	10	10	302	59928	59928	10.8	4.3	1.1	1.1	10.6	18.3	18.3
540291004	16	10611	17732	10	10	302	59928	59928	11.5	3.9	1.8	1.8	11.8	19.8	19.8
540390004	4	1529	1146	854	854	1008	3245	3245	10.2	4.3	6.0	6.0	10.0	14.8	14.8
540390010	4	1529	1146	854	854	1008	3245	3245	9.7	4.6	5.2	5.2	9.8	14.0	14.0
540392002	5	22698	47491	750	750	1009	107633	107633	9.1	5.6	2.3	2.3	6.7	15.5	15.5
540511002	5	27781	23029	795	795	35454	56009	56009	10.1	4.7	2.2	2.2	11.4	15.0	15.0
540610003	2	45992	63840	850	850	45992	91134	91134	4.6	1.4	3.6	3.6	4.6	5.6	5.6
540610004	4	24472	44468	850	850	2952	91134	91134	11.8	8.9	0.8	0.8	13.5	19.4	19.4
540610005	3	32132	51128	850	850	4412	91134	91134	9.2	9.7	1.0	1.0	6.7	19.9	19.9
540690007	2	37391	22660	21367	21367	37391	53414	53414	13.9	1.8	12.7	12.7	13.9	15.2	15.2
540990002	8	1271	2194	25	25	343	6285	6285	9.7	5.5	1.7	1.7	10.6	16.0	16.0
540990003	8	1271	2194	25	25	343	6285	6285	9.6	5.5	1.5	1.5	10.7	15.8	15.8
540990004	8	1271	2194	25	25	343	6285	6285	9.6	6.0	1.0	1.0	11.3	15.8	15.8
540990005	8	1271	2194	25	25	343	6285	6285	9.5	6.4	0.9	0.9	11.4	16.2	16.2
541071002	11	4375	9095	7	7	1517	31006	31006	8.5	5.4	2.7	2.7	8.8	17.0	17.0
550090005	7	3413	5045	9	9	850	13470	13470	4.2	3.4	1.1	1.1	3.1	9.7	9.7
550250041	7	1293	2743	7	7	71	7417	7417	7.4	4.7	2.8	2.8	5.2	14.7	14.7
550410007	1	5		5	5	5	5	5	8.3	0.0	8.3	8.3	8.3	8.3	8.3
550730005	3	4040	6715	24	24	303	11792	11792	10.7	9.2	0.1	0.1	15.8	16.2	16.2
550790007	9	1750	4858	5	5	28	14686	14686	6.5	3.4	1.8	1.8	5.9	12.9	12.9

Monitor ID	n	SO <sub>2</sub> emissions (tpy) from sources within 20 km of monitor <sup>1</sup>							Distance of monitor to SO <sub>2</sub> emission source (km) <sup>1</sup>						
		mean	std	min	p2.5	p50	p97.5	p100	mean	std	min	p2.5	p50	p97.5	p100
550790026	9	1750	4858	5	5	28	14686	14686	7.6	3.0	3.5	3.5	7.5	12.8	12.8
550790041	9	1750	4858	5	5	28	14686	14686	10.1	3.0	5.9	5.9	10.2	14.5	14.5
550850996	2	1152	1617	9	9	1152	2295	2295	0.9	0.1	0.9	0.9	0.9	1.0	1.0
551110007	2	31	35	7	7	31	56	56	14.7	7.4	9.5	9.5	14.7	19.9	19.9
551250001	0								0.0	0.0	0.0	0.0	0.0	0.0	0.0
551410016	6	2374	2368	6	6	2032	5782	5782	5.3	2.6	2.3	2.3	4.9	9.8	9.8
560050857	4	2527	3868	23	23	896	8291	8291	4.6	6.5	1.1	1.1	1.6	14.4	14.4

**Notes:**

<sup>1</sup> Mean, std , min, p2.5, p50, p97.5, max are the arithmetic average, standard deviation, minimum, 2.5<sup>th</sup>, 50<sup>th</sup>, 97.5<sup>th</sup> percentiles, and maximum distances and emissions.

<sup>2</sup> There were no emissions above 5 tpy for sources located within 20 km of the monitors sited in Puerto Rico and the Virgin Islands.

## A.2 Analysis of Duplicate SO<sub>2</sub> Values at Ambient Monitor Locations

During the screening of each of the ambient monitoring data sets, it became evident that simultaneous measurements were present. Staff analyzed the duplicate SO<sub>2</sub> measurements to discern if there were any differences in the reported/measured values because ultimately only one value would be selected for use in each of the final screened data sets. Staff was not interested in whether multiple monitors were present at a particular monitoring site or if there were duplicate reporting of SO<sub>2</sub> concentrations, only to determine that the selection of a particular value used in the final data sets were not biased.

In selecting which of the duplicate concentrations to use for final REA data sets, staff made the following judgements. First, the ambient monitor POC containing the greatest number of samples was used to populate the max-5 data set. Second, where continuous-5 measurements were available and coincided with max-5 measurements, staff selected the 5-minute maximum SO<sub>2</sub> concentration from the continuous-5 data set. And finally, where continuous-5 data were available and used to estimate a 1-hour average SO<sub>2</sub> concentration that coincided with a reported 1-hour ambient monitor concentration, the continuous-5 1-hour average concentration was used. Staff designed the following analyses to explore the effect the selection of one concentration over another may have on the final data set used.

Staff calculated the relative percent difference (RPD) for each duplicate concentration, considering measurements within the 5-max data set (n=300,438), duplicate reporting between the continuous-5 and the max-5 data sets (n=29,058), and duplicate values between the 1-hour and the continuous-5 data sets (n=258,457), separately. We anticipated that small fluctuations in concentration between the duplicate data would have a greater influence on the RPD at lower concentrations than at higher concentrations. Therefore, staff separated the duplicate values into concentration groups for this analysis. Two groups were constructed; one with concentrations ≤ 10 ppb and the other containing concentrations > 10 ppb. The following formula calculates the RPD for each duplicate value:

$$RPD = \frac{(C_1 - C_2)}{(C_1 + C_2)} \times 200 \quad \text{equation A.2-1}$$

where,

*RPD* = Relative percent difference (%)

$C_1$  = First SO<sub>2</sub> concentration value  
 $C_2$  = Second SO<sub>2</sub> concentration value

Depending on the difference in concentration, the value for the calculated RPD could be as low as -200 or as high +200, indicating the maximum difference between any two values, while an RPD of zero indicates no difference. The sign of the value can also indicate the direction of bias when comparing the first concentration to the second.

In the first comparison (i.e., the within max-5 duplicates),  $C_1$  was selected as the ambient monitor containing the overall greater sample size/duration. Table A.2-1 summarizes the distribution of RPDs for where duplicate values of SO<sub>2</sub> concentrations were less than 10 ppb within the max-5 monitoring data set. On average, there were relatively small differences in the duplicate values reported at each of the monitoring locations. Most duplicate values were within +/-67% of one another, although some were noted at or above 100% (absolute difference). In considering that these maximum 5-minute SO<sub>2</sub> concentrations are well below that of potential interest in the exposure and risk analysis, this degree of agreement between the two values at these concentration levels is acceptable.

**Table A.2-1. Distribution of the relative percent difference (RPD) between duplicate 5-minute maximum SO<sub>2</sub> values at max-5 monitors, where concentrations were ≤ 10 ppb.**

Monitor ID	n	Relative Percent Difference (%) <sup>1</sup>						
		mean	std	min	p5	p50	p95	max
290210009	25868	0	34	-196	-50	0	67	100
290210011	22247	-7	22	-143	-40	0	18	67
290930030	54904	8	34	-181	-40	0	67	100
290930031	48417	-14	29	-122	-67	0	67	67
290990004	22788	-8	27	-120	-50	0	67	100
290990014	33245	-12	29	-133	-67	0	29	67
290990017	21460	2	30	-120	-50	0	67	120
290990018	17025	2	25	-156	-40	0	67	100
291630002	11528	-3	34	-164	-40	0	67	67
<b>Notes:</b> <sup>1</sup> the mean, std, min, p5, p50, p95, max are the arithmetic average, standard deviation, minimum, 5 <sup>th</sup> , median, 95 <sup>th</sup> , and maximum, respectively.								

When considering duplicate values > 10 ppb, the RPD was much lower at each of the monitors (Table A.2-2). Most of the RPDs are within +/-10%, indicating excellent agreement among the duplicate values. A small negative bias may exist with selection of the monitor with

the greatest number of samples as the base monitor, but on average the difference was typically less than 3%.

**Table A.2-2. Distribution of the relative percent difference (RPD) between duplicate 5-minute maximum SO<sub>2</sub> values at max-5 monitors, where concentrations were > 10 ppb.**

Monitor ID	n	Relative Percent Difference (%) <sup>1</sup>						
		mean	std	min	p5	p50	p95	max
290210009	2333	-2	6	-133	-10	0	6	18
290210011	2344	0	3	-66	-6	0	5	18
290930030	8068	-1	6	-120	-9	0	4	24
290930031	7652	-3	6	-134	-13	-2	0	10
290990004	8627	-1	4	-100	-7	0	5	20
290990014	4973	2	16	-17	-8	0	9	184
290990017	5138	-1	7	-137	-11	0	10	32
290990018	2626	0	6	-81	-7	0	10	32
291630002	1195	-6	32	-137	-133	0	11	29
<b>Notes:</b> <sup>1</sup> the mean, std, min, p5, p50, p95, max are the arithmetic average, standard deviation, minimum, 5 <sup>th</sup> , median, 95 <sup>th</sup> , and maximum, respectively.								

Staff also analyzed data where the max-5 sampling times corresponded with the continuous-5 monitoring at the same location. Of the 29,058 duplicate measurement values, only 312 contained different values among the two sample types (i.e, a non-zero RPD). This indicates that the majority of the data are duplicate values reported in each of the two data sets. Since there were very few samples with RPDs deviating from zero (i.e., 1.1%), the following analysis included only the samples that had a non-zero difference and at any concentration levels. The distribution for the RPD given these monitors and duplicate monitoring events is provided in Table A.2-3. On average there may be a small positive bias in selecting the continuous-5 monitoring concentrations where differences existed, however given that there were only 1% of samples that differed among the two data sets, the overall impact to the below estimation procedure is determined as negligible. In addition, selection of the continuous-5 measurement preserves the relationship between the actual 5-minute maximum and the calculated 1-hour concentration derived from the multiple 5-minute measurements that occurred within the hour, not adding to uncertainty regarding the true relationship between the 1-hour and 5-minute maximum concentrations.



**Table A.2-3. Distribution of the relative percent difference (RPD) between simultaneous 5-minute SO<sub>2</sub> maximum values in the max-5 and continuous-5 data sets, where concentrations > 0 ppb.**

Monitor ID	n <sup>1</sup>	Relative Percent Difference (%) <sup>2</sup>						
		mean	std	min	p5	p50	p95	max
301110066	76	26	57	-143	-117	16	133	160
301110079	149	27	48	-178	-67	29	67	164
301110082	47	25	52	-67	-67	29	67	186
301110083	40	78	64	-120	-53	67	160	160
<b>Notes:</b> <sup>1</sup> This distribution is for the number of samples where the RPD was non-zero. The majority of the duplicate measures (n=28,746) were identical. <sup>2</sup> the mean, std, min, p5, p50, p95, max are the arithmetic average, standard deviation, minimum, 5 <sup>th</sup> , median, 95 <sup>th</sup> , and maximum, respectively.								

In the last comparison (i.e., the 1-hour concentration duplicates), the 1-hour concentration from the continuous-5 ambient monitors was selected as C<sub>1</sub> in equation A.2-1. Table A.2-4 summarizes the distribution of RPDs for where duplicate measurements of SO<sub>2</sub> concentrations were less than 10 ppb within the max-5 monitoring data set. While nearly 20% had no difference between the duplicate values, on average, there were greater differences in the duplicate 1-hour values at most of the monitors than was observed for the 5-minute duplicates. Nearly 20% of the concentrations were noted at or above 100% one another (absolute difference), however all of these were due to where reported values were zero at the 1-hour monitor and concentrations of 1 ppb were reported for the continuous-5 monitor. This factor contributes to the observed positive bias at most of the monitors, however in considering that these 1-hour SO<sub>2</sub> concentrations are below that of potential interest in the exposure and risk analysis, this degree of limited agreement between the two data sets at these concentration levels should be acceptable.

**Table A.2-4. Distribution of the relative percent difference (RPD) between duplicate 1-hour SO<sub>2</sub> values in the continuous-5 and 1-hour data sets, where concentrations were ≤ 10 ppb.**

Monitor ID	n	Relative Percent Difference (%) <sup>1</sup>						
		mean	std	min	p5	p50	p95	max
110010041	2049	0	7	-34	-12	0	12	45
120890005	25163	88	99	-175	-11	15	200	200
290770026	24286	91	99	-105	-9	15	200	200
290770037	24822	41	80	-46	-13	0	200	200
301110066	6640	24	62	-100	-13	0	200	200
301110079	7906	119	95	-133	-9	200	200	200
301110082	7930	69	92	-165	-13	12	200	200
301110083	4757	82	96	-105	-9	15	200	200
371290006	27954	-45	83	-193	-133	-59	200	200

Monitor ID	n	Relative Percent Difference (%) <sup>1</sup>						
		mean	std	min	p5	p50	p95	max
420030021	4594	34	81	-175	-18	2	200	200
420030064	5174	20	71	-172	-29	-4	200	200
420030116	4231	3	25	-61	-18	0	19	200
420033003	4640	23	69	-67	-23	-1	200	200
420070005	30386	63	91	-133	-10	6	200	200
540990002	6592	1	10	-40	-13	0	19	90
541071002	23864	1	11	-156	-13	0	17	200
<b>Notes:</b> <sup>1</sup> the mean, std, min, p5, p50, p95, max are the arithmetic average, standard deviation, minimum, 5 <sup>th</sup> , median, 95 <sup>th</sup> , and maximum, respectively.								

When considering duplicate 1-hour concentrations > 10 ppb, the RPD was much lower at each of these same monitors (Table A.2-5). Most RPD distributions were within +/-5%, indicating excellent agreement among the duplicate 1-hour values at concentrations above 10 ppb. A very small positive bias may exist with selection of the continuous-5 monitor data for use in the air quality characterization when compared with the reported 1-hour concentrations, but on average, the difference was typically less than 1% when considering concentrations above 10 ppb.

**Table A.2-5. Distribution of the relative percent difference (RPD) between duplicate 1-hour SO<sub>2</sub> values in the continuous-5 and 1-hour data sets, where concentrations were > 10 ppb.**

Monitor ID	n	Relative Percent Difference (%) <sup>1</sup>						
		mean	std	min	p5	p50	p95	max
110010041	202	0	2	-5	-4	0	4	5
120890005	2400	0	4	-90	-3	0	3	34
290770026	1906	0	2	-10	-3	0	3	7
290770037	1373	0	2	-5	-3	0	3	7
301110066	1616	0	5	-50	-3	0	4	173
301110079	71	0	3	-6	-4	-1	4	6
301110082	176	0	2	-5	-3	0	4	6
301110083	85	1	3	-4	-3	1	5	20
371290006	3747	1	25	-108	-15	-2	12	186
420030021	1852	1	14	-59	-4	0	4	200
420030064	2892	-2	2	-10	-6	-2	0	11
420030116	1145	0	9	-34	-4	0	4	200
420033003	2625	-1	5	-36	-5	-1	2	187
420070005	15034	0	2	-23	-3	0	3	73
540990002	2062	0	2	-5	-3	0	4	10
541071002	10283	0	2	-87	-3	0	3	65
<b>Notes:</b> <sup>1</sup> the mean, std, min, p5, p50, p95, max are the arithmetic average, standard deviation, minimum, 5 <sup>th</sup> , median, 95 <sup>th</sup> , and maximum, respectively.								

### A.3 Peak-To-Mean Ratio Distributions

Peak-to-mean ratios (PMR) were calculated using the measured values for each the 5-minute maximum and 1-hour SO<sub>2</sub> concentrations. PMRs were separated into 19 groups<sup>2</sup> based on the observed variability (3 bins) and concentrations ranges (7 bins) in measured 1-hour ambient monitor concentrations (n=2,367,686). Table A.3-1 summarizes the PMR distributions used for estimating 5-minute maximum concentrations from 1-hour measurements where ambient monitors were characterized by the 1-hour coefficient of variation (COV). These are the PMR distributions used in the statistical modeling of 5-minute maximum SO<sub>2</sub> concentrations in the air quality characterization and in the exposure modeling.<sup>3</sup> Table A.3-2 summarizes the PMR distributions used for estimating 5-minute maximum SO<sub>2</sub> concentrations from 1-hour measurements where ambient monitors were characterized by the 1-hour coefficient of variation (GSD). Peak-to-mean ratios estimated by categorizing the ambient monitors by GSD were used only in evaluating an alternative method of estimating 5-minute SO<sub>2</sub> concentrations.

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<sup>2</sup> Although there are 21 PMR distributions possible (i.e.,  $3 \times 7$ ), the COV < 100% and GSD < 2.17 categories had only three 1-hour concentrations above 150 ppb. Therefore, the two highest concentration bins do not have a distribution, and concentrations > 75 ppb constituted the highest concentration bin in the low COV or low GSD bins

<sup>3</sup> Note that the minimum and maximum values of each distribution were not used in the final statistical model to estimate 5-minute maximum concentrations. This was determined in the model evaluations described in section 7.2.5 of the SO<sub>2</sub> REA.

**Table A.3-1. Distribution of 5-minute maximum peak to 1-hour mean SO<sub>2</sub> concentration ratios (PMRs) using ambient monitors categorized by 1-hour coefficient of variation (COV) and 1-hour mean concentration.**

	COV ≤ 100%					100% < COV ≤ 200%							COV > 200 %						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
Pct <sup>2</sup> - 0	1.00	1.00	1.00	1.00	1.01	1.00	1.00	1.00	1.00	1.00	1.05	1.02	1.00	1.00	1.00	1.00	1.00	1.08	1.13
- 1	1.00	1.00	1.00	1.03	1.02	1.00	1.00	1.00	1.00	1.05	1.08	1.04	1.00	1.00	1.00	1.12	1.14	1.18	1.25
- 2	1.00	1.00	1.00	1.03	1.02	1.00	1.00	1.00	1.03	1.07	1.12	1.14	1.00	1.00	1.06	1.17	1.18	1.21	1.28
- 3	1.00	1.00	1.00	1.04	1.04	1.00	1.00	1.00	1.04	1.08	1.14	1.14	1.00	1.00	1.08	1.21	1.21	1.22	1.29
- 4	1.00	1.00	1.00	1.04	1.04	1.00	1.00	1.05	1.06	1.10	1.16	1.15	1.00	1.00	1.08	1.24	1.24	1.25	1.30
- 5	1.00	1.00	1.05	1.05	1.05	1.00	1.00	1.06	1.06	1.11	1.20	1.16	1.00	1.00	1.09	1.26	1.26	1.28	1.33
- 6	1.00	1.00	1.06	1.06	1.06	1.00	1.00	1.06	1.07	1.12	1.21	1.18	1.00	1.00	1.10	1.29	1.28	1.31	1.34
- 7	1.00	1.00	1.06	1.06	1.07	1.00	1.00	1.07	1.08	1.13	1.21	1.18	1.00	1.00	1.11	1.31	1.30	1.34	1.37
- 8	1.00	1.00	1.06	1.07	1.08	1.00	1.00	1.08	1.09	1.14	1.22	1.22	1.00	1.00	1.14	1.33	1.32	1.37	1.38
- 9	1.00	1.00	1.07	1.07	1.09	1.00	1.00	1.08	1.10	1.15	1.23	1.24	1.00	1.00	1.15	1.36	1.33	1.40	1.43
- 10	1.00	1.00	1.07	1.07	1.09	1.00	1.00	1.08	1.10	1.16	1.23	1.24	1.00	1.00	1.17	1.38	1.35	1.42	1.47
- 11	1.00	1.00	1.08	1.07	1.10	1.00	1.05	1.09	1.11	1.17	1.25	1.24	1.00	1.00	1.18	1.40	1.37	1.44	1.48
- 12	1.00	1.00	1.08	1.08	1.10	1.00	1.08	1.09	1.12	1.18	1.27	1.30	1.00	1.00	1.20	1.42	1.38	1.47	1.50
- 13	1.00	1.00	1.08	1.08	1.11	1.00	1.11	1.10	1.12	1.19	1.27	1.30	1.00	1.06	1.20	1.44	1.40	1.49	1.51
- 14	1.00	1.00	1.08	1.09	1.11	1.00	1.11	1.10	1.13	1.19	1.28	1.32	1.00	1.11	1.22	1.46	1.42	1.51	1.53
- 15	1.00	1.00	1.08	1.09	1.11	1.00	1.11	1.10	1.14	1.20	1.28	1.33	1.00	1.11	1.24	1.48	1.43	1.54	1.54
- 16	1.00	1.00	1.08	1.10	1.12	1.00	1.13	1.10	1.15	1.21	1.29	1.34	1.00	1.13	1.26	1.50	1.45	1.57	1.57
- 17	1.00	1.00	1.09	1.10	1.13	1.00	1.13	1.11	1.15	1.22	1.30	1.36	1.00	1.13	1.27	1.52	1.46	1.59	1.58
- 18	1.00	1.04	1.09	1.11	1.14	1.00	1.13	1.13	1.16	1.23	1.31	1.36	1.00	1.14	1.30	1.53	1.48	1.60	1.59
- 19	1.00	1.11	1.09	1.11	1.15	1.00	1.13	1.13	1.17	1.24	1.32	1.37	1.00	1.14	1.30	1.55	1.50	1.64	1.59
- 20	1.00	1.11	1.09	1.11	1.15	1.00	1.14	1.14	1.17	1.24	1.32	1.38	1.00	1.14	1.33	1.57	1.51	1.65	1.61
- 21	1.00	1.11	1.10	1.12	1.16	1.00	1.14	1.14	1.18	1.25	1.34	1.39	1.00	1.14	1.34	1.59	1.53	1.68	1.61
- 22	1.00	1.11	1.10	1.12	1.17	1.00	1.14	1.15	1.19	1.26	1.34	1.43	1.00	1.17	1.36	1.61	1.54	1.72	1.63
- 23	1.00	1.13	1.10	1.12	1.17	1.00	1.14	1.16	1.20	1.27	1.35	1.45	1.00	1.17	1.38	1.62	1.56	1.75	1.64
- 24	1.00	1.13	1.10	1.13	1.18	1.00	1.15	1.17	1.20	1.28	1.36	1.45	1.00	1.17	1.40	1.64	1.57	1.76	1.64
- 25	1.00	1.13	1.10	1.13	1.18	1.00	1.17	1.17	1.21	1.29	1.37	1.46	1.00	1.17	1.42	1.66	1.59	1.78	1.67
- 26	1.00	1.13	1.11	1.13	1.19	1.00	1.17	1.18	1.22	1.30	1.38	1.46	1.00	1.17	1.44	1.68	1.60	1.80	1.69
- 27	1.00	1.13	1.12	1.14	1.19	1.00	1.17	1.18	1.23	1.30	1.38	1.46	1.00	1.18	1.46	1.70	1.62	1.81	1.71
- 28	1.00	1.13	1.13	1.14	1.20	1.00	1.17	1.19	1.23	1.31	1.38	1.47	1.00	1.20	1.50	1.71	1.64	1.83	1.73
- 29	1.00	1.14	1.13	1.15	1.20	1.00	1.17	1.20	1.24	1.32	1.39	1.47	1.00	1.20	1.50	1.73	1.65	1.87	1.73

	COV ≤ 100%					100% < COV ≤ 200%							COV > 200 %						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 30	1.00	1.14	1.13	1.15	1.23	1.00	1.17	1.20	1.25	1.33	1.40	1.48	1.00	1.20	1.53	1.75	1.67	1.90	1.76
- 31	1.00	1.14	1.14	1.16	1.23	1.00	1.18	1.20	1.26	1.34	1.42	1.49	1.00	1.20	1.55	1.76	1.69	1.91	1.77
- 32	1.00	1.14	1.14	1.16	1.23	1.00	1.20	1.20	1.27	1.35	1.42	1.51	1.00	1.20	1.57	1.78	1.70	1.93	1.78
- 33	1.00	1.14	1.15	1.16	1.24	1.00	1.20	1.21	1.28	1.36	1.43	1.51	1.00	1.20	1.60	1.80	1.73	1.96	1.79
- 34	1.00	1.14	1.15	1.17	1.24	1.00	1.20	1.22	1.28	1.36	1.44	1.51	1.00	1.20	1.62	1.81	1.74	1.97	1.79
- 35	1.00	1.15	1.16	1.17	1.24	1.00	1.20	1.23	1.29	1.38	1.44	1.54	1.00	1.20	1.64	1.83	1.77	1.99	1.80
- 36	1.00	1.17	1.17	1.18	1.24	1.00	1.20	1.24	1.30	1.38	1.46	1.54	1.00	1.22	1.67	1.85	1.78	2.02	1.81
- 37	1.00	1.17	1.17	1.18	1.25	1.00	1.20	1.25	1.31	1.39	1.46	1.55	1.00	1.24	1.69	1.87	1.80	2.05	1.82
- 38	1.00	1.17	1.17	1.19	1.26	1.04	1.20	1.26	1.32	1.40	1.48	1.55	1.00	1.25	1.71	1.88	1.82	2.08	1.82
- 39	1.00	1.17	1.18	1.19	1.29	1.08	1.20	1.27	1.33	1.41	1.49	1.56	1.00	1.27	1.74	1.90	1.84	2.10	1.83
- 40	1.00	1.17	1.18	1.19	1.29	1.11	1.22	1.27	1.34	1.42	1.50	1.57	1.00	1.29	1.76	1.92	1.86	2.14	1.84
- 41	1.00	1.17	1.18	1.20	1.29	1.13	1.22	1.29	1.35	1.43	1.51	1.57	1.00	1.29	1.80	1.94	1.88	2.16	1.84
- 42	1.00	1.17	1.18	1.21	1.30	1.18	1.24	1.29	1.36	1.44	1.52	1.58	1.00	1.33	1.82	1.96	1.90	2.18	1.87
- 43	1.00	1.17	1.19	1.21	1.30	1.22	1.25	1.30	1.37	1.45	1.53	1.60	1.00	1.33	1.84	1.98	1.93	2.20	1.89
- 44	1.00	1.17	1.20	1.22	1.31	1.25	1.25	1.30	1.38	1.46	1.55	1.64	1.00	1.33	1.87	2.00	1.95	2.21	1.91
- 45	1.00	1.20	1.20	1.22	1.31	1.25	1.27	1.31	1.39	1.47	1.57	1.64	1.00	1.34	1.90	2.02	1.97	2.23	1.91
- 46	1.00	1.20	1.20	1.23	1.32	1.25	1.29	1.33	1.40	1.48	1.57	1.65	1.00	1.38	1.92	2.04	1.99	2.24	1.93
- 47	1.00	1.20	1.20	1.23	1.32	1.25	1.29	1.33	1.41	1.49	1.58	1.67	1.00	1.40	1.94	2.06	2.01	2.26	1.94
- 48	1.00	1.20	1.20	1.24	1.34	1.25	1.29	1.35	1.42	1.50	1.59	1.68	1.00	1.40	2.00	2.08	2.04	2.28	1.96
- 49	1.00	1.20	1.21	1.24	1.35	1.29	1.33	1.36	1.43	1.51	1.61	1.68	1.00	1.40	2.00	2.10	2.06	2.30	1.96
- 50	1.00	1.20	1.21	1.25	1.35	1.33	1.33	1.36	1.44	1.52	1.62	1.69	1.00	1.40	2.03	2.12	2.09	2.31	1.97
- 51	1.00	1.20	1.22	1.25	1.36	1.33	1.33	1.38	1.46	1.54	1.62	1.72	1.00	1.43	2.07	2.14	2.12	2.34	1.97
- 52	1.00	1.20	1.23	1.26	1.37	1.33	1.33	1.40	1.47	1.55	1.63	1.72	1.00	1.44	2.09	2.17	2.15	2.36	1.98
- 53	1.00	1.20	1.24	1.27	1.39	1.33	1.33	1.40	1.48	1.56	1.64	1.74	1.00	1.50	2.11	2.19	2.18	2.38	2.01
- 54	1.00	1.20	1.25	1.27	1.40	1.33	1.37	1.41	1.50	1.57	1.65	1.74	1.00	1.50	2.15	2.21	2.21	2.41	2.02
- 55	1.00	1.20	1.25	1.28	1.41	1.33	1.38	1.42	1.51	1.58	1.67	1.76	1.00	1.50	2.18	2.24	2.24	2.43	2.04
- 56	1.00	1.20	1.25	1.28	1.42	1.42	1.40	1.44	1.52	1.60	1.68	1.78	1.00	1.56	2.20	2.26	2.27	2.44	2.06
- 57	1.00	1.22	1.27	1.29	1.42	1.43	1.40	1.45	1.54	1.61	1.70	1.81	1.00	1.57	2.24	2.29	2.30	2.47	2.08
- 58	1.05	1.22	1.27	1.30	1.45	1.50	1.40	1.47	1.55	1.62	1.71	1.82	1.04	1.60	2.27	2.31	2.34	2.50	2.09
- 59	1.11	1.24	1.27	1.31	1.45	1.50	1.40	1.49	1.57	1.63	1.73	1.82	1.11	1.60	2.30	2.34	2.36	2.53	2.13
- 60	1.20	1.25	1.29	1.31	1.46	1.50	1.40	1.50	1.58	1.65	1.74	1.83	1.17	1.63	2.34	2.37	2.40	2.57	2.14
- 61	1.25	1.25	1.29	1.32	1.46	1.50	1.43	1.50	1.60	1.66	1.75	1.83	1.25	1.67	2.38	2.39	2.44	2.60	2.15
- 62	1.25	1.25	1.30	1.32	1.47	1.50	1.43	1.53	1.61	1.67	1.75	1.86	1.25	1.67	2.41	2.42	2.48	2.62	2.17

	COV ≤ 100%					100% < COV ≤ 200%							COV > 200 %						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 63	1.25	1.29	1.30	1.33	1.52	1.50	1.45	1.55	1.63	1.69	1.77	1.90	1.25	1.74	2.45	2.45	2.52	2.64	2.17
- 64	1.25	1.29	1.31	1.34	1.54	1.50	1.50	1.56	1.65	1.70	1.79	1.93	1.33	1.78	2.50	2.48	2.56	2.67	2.19
- 65	1.30	1.29	1.31	1.35	1.55	1.50	1.50	1.58	1.67	1.71	1.81	1.93	1.33	1.80	2.53	2.51	2.60	2.70	2.21
- 66	1.33	1.33	1.33	1.36	1.57	1.50	1.50	1.60	1.68	1.72	1.82	1.93	1.33	1.83	2.56	2.54	2.66	2.73	2.24
- 67	1.33	1.33	1.33	1.37	1.58	1.58	1.55	1.62	1.70	1.74	1.83	1.96	1.33	1.86	2.60	2.57	2.71	2.77	2.27
- 68	1.33	1.33	1.35	1.38	1.58	1.67	1.57	1.64	1.72	1.76	1.86	1.99	1.42	1.89	2.64	2.61	2.76	2.80	2.28
- 69	1.33	1.33	1.36	1.39	1.60	1.67	1.58	1.67	1.74	1.78	1.88	2.02	1.50	2.00	2.69	2.64	2.80	2.84	2.30
- 70	1.33	1.33	1.36	1.40	1.64	1.67	1.60	1.68	1.76	1.79	1.90	2.02	1.50	2.00	2.73	2.68	2.85	2.88	2.31
- 71	1.43	1.33	1.38	1.42	1.64	1.75	1.60	1.70	1.78	1.81	1.92	2.04	1.50	2.00	2.77	2.72	2.89	2.90	2.33
- 72	1.50	1.38	1.39	1.42	1.65	1.85	1.63	1.73	1.80	1.83	1.93	2.06	1.50	2.10	2.82	2.76	2.95	2.93	2.33
- 73	1.50	1.38	1.40	1.44	1.65	2.00	1.67	1.75	1.82	1.84	1.96	2.07	1.50	2.14	2.87	2.80	3.01	2.97	2.35
- 74	1.50	1.40	1.40	1.44	1.65	2.00	1.67	1.78	1.85	1.86	1.98	2.07	1.50	2.18	2.92	2.84	3.06	2.99	2.37
- 75	1.50	1.40	1.42	1.46	1.66	2.00	1.71	1.80	1.87	1.88	2.00	2.08	1.50	2.22	2.96	2.89	3.11	3.02	2.41
- 76	1.50	1.40	1.43	1.47	1.67	2.00	1.75	1.83	1.90	1.90	2.02	2.09	1.60	2.29	3.00	2.93	3.16	3.06	2.44
- 77	1.50	1.40	1.45	1.48	1.68	2.00	1.78	1.86	1.92	1.93	2.05	2.11	1.67	2.34	3.07	2.97	3.22	3.10	2.49
- 78	1.50	1.40	1.46	1.50	1.69	2.00	1.80	1.90	1.96	1.95	2.06	2.13	1.71	2.40	3.13	3.03	3.30	3.16	2.52
- 79	1.50	1.43	1.48	1.52	1.70	2.00	1.83	1.92	1.98	1.97	2.08	2.16	1.85	2.46	3.18	3.09	3.35	3.19	2.53
- 80	1.50	1.44	1.50	1.52	1.71	2.00	1.86	1.96	2.01	2.00	2.14	2.20	2.00	2.56	3.25	3.14	3.41	3.24	2.55
- 81	1.58	1.50	1.50	1.54	1.74	2.00	1.89	2.00	2.05	2.02	2.15	2.23	2.00	2.60	3.31	3.20	3.47	3.26	2.57
- 82	1.67	1.50	1.53	1.57	1.75	2.00	2.00	2.05	2.08	2.05	2.17	2.25	2.00	2.67	3.38	3.26	3.57	3.32	2.60
- 83	1.75	1.50	1.55	1.59	1.77	2.00	2.00	2.09	2.12	2.08	2.22	2.29	2.00	2.78	3.46	3.33	3.65	3.38	2.64
- 84	2.00	1.55	1.58	1.61	1.77	2.00	2.00	2.14	2.15	2.11	2.25	2.29	2.00	2.83	3.54	3.41	3.72	3.42	2.65
- 85	2.00	1.57	1.60	1.64	1.82	2.00	2.11	2.19	2.20	2.14	2.27	2.31	2.00	3.00	3.62	3.48	3.80	3.49	2.67
- 86	2.00	1.60	1.63	1.67	1.85	2.11	2.17	2.24	2.24	2.17	2.39	2.32	2.00	3.00	3.70	3.57	3.90	3.55	2.70
- 87	2.00	1.60	1.65	1.70	1.86	2.33	2.20	2.30	2.29	2.20	2.47	2.39	2.00	3.17	3.80	3.67	4.00	3.62	2.71
- 88	2.00	1.63	1.69	1.72	1.88	2.50	2.29	2.36	2.35	2.23	2.50	2.39	2.00	3.29	3.90	3.77	4.10	3.69	2.74
- 89	2.00	1.67	1.71	1.76	1.91	2.50	2.35	2.43	2.40	2.27	2.53	2.39	2.00	3.40	4.00	3.90	4.21	3.80	2.82
- 90	2.00	1.71	1.75	1.80	1.98	2.94	2.43	2.50	2.46	2.31	2.58	2.50	2.00	3.56	4.12	4.04	4.35	3.88	2.84
- 91	2.00	1.78	1.80	1.85	2.10	3.00	2.56	2.60	2.54	2.37	2.66	2.51	2.44	3.68	4.25	4.18	4.44	3.94	2.94
- 92	2.00	1.80	1.83	1.89	2.25	3.00	2.65	2.70	2.62	2.43	2.73	2.57	2.67	3.86	4.39	4.35	4.62	4.07	2.98
- 93	2.00	1.86	1.90	1.96	2.26	3.00	2.80	2.81	2.71	2.48	2.77	2.59	3.00	4.00	4.56	4.55	4.82	4.18	3.03
- 94	2.00	2.00	1.95	2.02	2.30	3.33	3.00	2.94	2.81	2.56	2.81	2.65	3.00	4.29	4.76	4.77	5.03	4.28	3.09
- 95	2.00	2.00	2.05	2.10	2.50	4.00	3.14	3.10	2.93	2.66	2.91	2.65	3.75	4.57	5.00	5.03	5.24	4.40	3.13

	COV ≤ 100%					100% < COV ≤ 200%							COV > 200 %						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 96	2.33	2.14	2.14	2.22	2.53	4.00	3.38	3.30	3.08	2.82	3.11	2.66	4.75	4.88	5.27	5.37	5.48	4.48	3.33
- 97	2.67	2.29	2.27	2.37	2.56	5.00	3.67	3.56	3.28	3.01	3.25	2.71	6.00	5.29	5.69	5.80	5.94	4.63	3.38
- 98	3.00	2.50	2.50	2.63	3.12	6.00	4.17	3.93	3.56	3.33	3.30	3.16	10.00	5.86	6.30	6.51	6.48	5.06	3.48
- 99	4.00	2.89	2.91	3.02	3.61	10.00	5.00	4.64	4.07	3.77	3.82	3.27	10.00	6.86	7.27	7.50	7.29	5.36	3.70
- 100	11.67	10.60	10.08	6.81	6.10	11.75	11.67	11.94	11.41	8.51	6.63	3.51	11.75	11.50	11.93	11.45	11.39	6.48	5.39
n <sup>3</sup>	352735	74053	42876	6895	147	802624	259701	179452	53053	3807	398	104	475572	55341	35502	20077	4019	989	341
<b>Notes:</b> <sup>1</sup> 1-hour SO <sub>2</sub> concentration bins are: 0 = 1-hour mean < 5 ppb; 1 = 5 ≤ 1-hour mean < 10 ppb; 2 = 10 ≤ 1-hour mean < 25 ppb; 3 = 25 ≤ 1-hour mean < 75 ppb; 4 = 75 ≤ 1-hour mean < 150 ppb; 5 = 150 ≤ 1-hour mean 250 ppb; 6 = 1-hour mean > 250 ppb. <sup>2</sup> pct – x indicates the percentile of the distribution. <sup>3</sup> n is the number of 5-minute maximum and 1-hour SO <sub>2</sub> measurements used to develop distribution.																			

**Table A.3-2. Distribution of 5-minute maximum peak to 1-hour mean SO<sub>2</sub> concentration ratios (PMRs) using ambient monitors categorized by 1-hour geometric standard deviation (GSD) and 1-hour mean concentration.**

	GSD ≤ 2.17					2.17 < GSD ≤ 2.94							GSD > 2.94						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
Pct <sup>2</sup> - 0	1.00	1.00	1.00	1.00	1.01	1.00	1.00	1.00	1.00	1.00	1.05	1.13	1.00	1.00	1.00	1.00	1.00	1.07	1.02
- 1	1.00	1.00	1.00	1.03	1.07	1.00	1.00	1.00	1.00	1.05	1.13	1.19	1.00	1.00	1.00	1.05	1.08	1.14	1.14
- 2	1.00	1.00	1.00	1.04	1.17	1.00	1.00	1.00	1.03	1.08	1.21	1.26	1.00	1.00	1.04	1.07	1.10	1.17	1.16
- 3	1.00	1.00	1.00	1.04	1.21	1.00	1.00	1.00	1.04	1.10	1.23	1.28	1.00	1.00	1.06	1.08	1.12	1.20	1.18
- 4	1.00	1.00	1.00	1.06	1.22	1.00	1.00	1.05	1.05	1.12	1.26	1.29	1.00	1.00	1.07	1.09	1.14	1.21	1.24
- 5	1.00	1.00	1.00	1.07	1.24	1.00	1.00	1.06	1.06	1.14	1.28	1.30	1.00	1.00	1.08	1.10	1.15	1.21	1.25
- 6	1.00	1.00	1.00	1.08	1.25	1.00	1.00	1.06	1.07	1.16	1.29	1.33	1.00	1.00	1.08	1.12	1.17	1.22	1.29
- 7	1.00	1.00	1.04	1.10	1.27	1.00	1.00	1.07	1.08	1.17	1.31	1.34	1.00	1.03	1.09	1.13	1.18	1.23	1.30
- 8	1.00	1.00	1.06	1.11	1.29	1.00	1.00	1.07	1.08	1.18	1.32	1.35	1.00	1.05	1.10	1.14	1.19	1.25	1.31
- 9	1.00	1.00	1.06	1.12	1.30	1.00	1.00	1.08	1.09	1.20	1.34	1.37	1.00	1.07	1.10	1.15	1.20	1.27	1.33
- 10	1.00	1.00	1.07	1.13	1.30	1.00	1.00	1.08	1.10	1.21	1.35	1.38	1.00	1.09	1.11	1.16	1.21	1.28	1.36
- 11	1.00	1.00	1.07	1.14	1.31	1.00	1.00	1.08	1.11	1.23	1.38	1.43	1.00	1.10	1.12	1.17	1.22	1.30	1.37
- 12	1.00	1.00	1.07	1.14	1.32	1.00	1.05	1.09	1.12	1.24	1.39	1.45	1.00	1.11	1.13	1.18	1.24	1.32	1.38
- 13	1.00	1.00	1.08	1.15	1.32	1.00	1.10	1.09	1.12	1.25	1.40	1.46	1.00	1.11	1.14	1.19	1.25	1.35	1.45
- 14	1.00	1.00	1.08	1.16	1.33	1.00	1.11	1.10	1.13	1.26	1.42	1.47	1.00	1.13	1.15	1.20	1.26	1.36	1.46
- 15	1.00	1.00	1.08	1.17	1.34	1.00	1.11	1.10	1.14	1.28	1.43	1.48	1.00	1.13	1.16	1.21	1.27	1.38	1.46
- 16	1.00	1.00	1.08	1.19	1.35	1.00	1.11	1.10	1.15	1.29	1.44	1.50	1.00	1.13	1.17	1.22	1.29	1.39	1.47
- 17	1.00	1.00	1.09	1.19	1.36	1.00	1.13	1.10	1.15	1.30	1.46	1.51	1.00	1.14	1.18	1.23	1.30	1.42	1.49
- 18	1.00	1.00	1.09	1.20	1.36	1.00	1.13	1.11	1.16	1.31	1.47	1.52	1.00	1.14	1.18	1.24	1.31	1.43	1.51
- 19	1.00	1.00	1.09	1.21	1.37	1.00	1.13	1.12	1.17	1.32	1.49	1.53	1.00	1.14	1.19	1.25	1.32	1.44	1.54
- 20	1.00	1.00	1.09	1.22	1.40	1.00	1.13	1.13	1.17	1.34	1.50	1.54	1.00	1.15	1.20	1.26	1.33	1.46	1.55
- 21	1.00	1.00	1.10	1.23	1.43	1.00	1.14	1.13	1.18	1.35	1.52	1.54	1.00	1.16	1.20	1.27	1.34	1.49	1.57
- 22	1.00	1.07	1.10	1.24	1.44	1.00	1.14	1.14	1.19	1.36	1.53	1.56	1.00	1.17	1.21	1.29	1.35	1.50	1.58
- 23	1.00	1.11	1.10	1.25	1.44	1.00	1.14	1.15	1.20	1.38	1.55	1.58	1.00	1.17	1.23	1.30	1.36	1.53	1.60
- 24	1.00	1.11	1.10	1.26	1.46	1.00	1.14	1.15	1.21	1.39	1.57	1.58	1.00	1.17	1.24	1.31	1.37	1.56	1.61
- 25	1.00	1.11	1.10	1.27	1.47	1.00	1.14	1.16	1.21	1.40	1.57	1.58	1.00	1.18	1.25	1.32	1.39	1.58	1.64
- 26	1.00	1.13	1.11	1.28	1.48	1.00	1.17	1.17	1.22	1.41	1.59	1.59	1.00	1.19	1.25	1.33	1.40	1.60	1.64
- 27	1.00	1.13	1.12	1.29	1.49	1.00	1.17	1.17	1.23	1.42	1.60	1.59	1.00	1.20	1.27	1.34	1.41	1.62	1.64
- 28	1.00	1.13	1.13	1.30	1.50	1.00	1.17	1.18	1.24	1.43	1.61	1.61	1.00	1.20	1.27	1.35	1.42	1.64	1.68
- 29	1.00	1.14	1.14	1.30	1.50	1.00	1.17	1.18	1.25	1.44	1.64	1.63	1.00	1.20	1.29	1.37	1.43	1.65	1.68



	GSD ≤ 2.17					2.17 < GSD ≤ 2.94							GSD > 2.94						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 30	1.00	1.14	1.14	1.31	1.52	1.00	1.17	1.18	1.26	1.46	1.65	1.64	1.00	1.20	1.30	1.38	1.45	1.67	1.74
- 31	1.00	1.14	1.15	1.32	1.53	1.00	1.17	1.19	1.27	1.47	1.68	1.65	1.00	1.20	1.30	1.39	1.46	1.71	1.76
- 32	1.00	1.14	1.16	1.33	1.55	1.00	1.17	1.20	1.28	1.48	1.70	1.66	1.00	1.22	1.32	1.40	1.47	1.73	1.77
- 33	1.00	1.14	1.17	1.34	1.57	1.00	1.18	1.20	1.29	1.50	1.72	1.68	1.00	1.23	1.33	1.42	1.48	1.75	1.79
- 34	1.00	1.15	1.17	1.35	1.58	1.00	1.20	1.20	1.30	1.51	1.74	1.69	1.00	1.25	1.34	1.43	1.50	1.76	1.81
- 35	1.00	1.17	1.18	1.36	1.59	1.00	1.20	1.21	1.31	1.52	1.75	1.69	1.00	1.25	1.36	1.44	1.51	1.77	1.83
- 36	1.00	1.17	1.18	1.37	1.60	1.00	1.20	1.21	1.32	1.54	1.76	1.70	1.00	1.26	1.36	1.46	1.52	1.80	1.83
- 37	1.00	1.17	1.18	1.39	1.60	1.00	1.20	1.23	1.32	1.55	1.78	1.72	1.00	1.28	1.38	1.47	1.53	1.82	1.84
- 38	1.00	1.17	1.20	1.40	1.62	1.00	1.20	1.23	1.33	1.57	1.80	1.73	1.03	1.29	1.39	1.49	1.54	1.85	1.90
- 39	1.00	1.17	1.20	1.40	1.63	1.00	1.20	1.25	1.35	1.58	1.82	1.73	1.05	1.29	1.40	1.50	1.56	1.90	1.91
- 40	1.00	1.17	1.20	1.42	1.63	1.00	1.20	1.25	1.36	1.60	1.83	1.74	1.11	1.32	1.42	1.52	1.57	1.92	1.91
- 41	1.00	1.17	1.20	1.43	1.64	1.00	1.20	1.26	1.37	1.61	1.85	1.76	1.11	1.33	1.43	1.53	1.58	1.94	1.93
- 42	1.00	1.18	1.21	1.44	1.65	1.00	1.20	1.27	1.38	1.63	1.86	1.77	1.11	1.33	1.45	1.55	1.60	1.96	1.96
- 43	1.00	1.20	1.22	1.44	1.66	1.00	1.22	1.27	1.39	1.64	1.89	1.78	1.15	1.33	1.46	1.56	1.61	1.99	1.96
- 44	1.00	1.20	1.23	1.46	1.67	1.00	1.22	1.29	1.40	1.66	1.90	1.78	1.18	1.35	1.47	1.58	1.62	2.02	1.96
- 45	1.00	1.20	1.25	1.47	1.70	1.00	1.25	1.29	1.42	1.67	1.91	1.79	1.21	1.37	1.50	1.59	1.64	2.03	1.97
- 46	1.00	1.20	1.25	1.48	1.70	1.04	1.25	1.30	1.43	1.69	1.93	1.80	1.25	1.38	1.50	1.61	1.65	2.08	1.98
- 47	1.00	1.20	1.27	1.50	1.71	1.11	1.25	1.30	1.44	1.70	1.95	1.80	1.25	1.40	1.53	1.63	1.67	2.12	1.98
- 48	1.00	1.20	1.27	1.51	1.72	1.13	1.29	1.31	1.45	1.72	1.97	1.81	1.25	1.40	1.54	1.64	1.68	2.16	2.00
- 49	1.00	1.20	1.27	1.52	1.74	1.20	1.29	1.33	1.47	1.74	1.99	1.82	1.25	1.40	1.56	1.66	1.69	2.17	2.01
- 50	1.00	1.20	1.29	1.54	1.74	1.25	1.29	1.33	1.48	1.75	2.00	1.82	1.29	1.41	1.58	1.68	1.71	2.21	2.03
- 51	1.00	1.20	1.30	1.56	1.75	1.25	1.29	1.35	1.49	1.77	2.02	1.82	1.33	1.43	1.59	1.69	1.72	2.22	2.04
- 52	1.00	1.20	1.30	1.57	1.76	1.25	1.33	1.36	1.51	1.79	2.05	1.83	1.33	1.44	1.61	1.71	1.74	2.24	2.06
- 53	1.00	1.20	1.31	1.59	1.76	1.25	1.33	1.37	1.52	1.81	2.06	1.84	1.33	1.47	1.63	1.73	1.77	2.26	2.08
- 54	1.00	1.20	1.32	1.61	1.77	1.25	1.33	1.38	1.54	1.83	2.09	1.84	1.33	1.50	1.65	1.75	1.78	2.28	2.09
- 55	1.00	1.22	1.33	1.63	1.78	1.29	1.33	1.40	1.56	1.84	2.11	1.87	1.38	1.50	1.67	1.77	1.80	2.31	2.11
- 56	1.00	1.25	1.35	1.65	1.80	1.33	1.33	1.40	1.57	1.86	2.14	1.88	1.43	1.50	1.69	1.79	1.82	2.37	2.14
- 57	1.00	1.25	1.36	1.67	1.81	1.33	1.38	1.42	1.59	1.88	2.15	1.89	1.43	1.54	1.71	1.80	1.84	2.38	2.15
- 58	1.00	1.27	1.37	1.69	1.81	1.33	1.38	1.43	1.61	1.90	2.17	1.91	1.48	1.57	1.73	1.82	1.86	2.41	2.16
- 59	1.07	1.29	1.38	1.71	1.83	1.33	1.40	1.45	1.62	1.92	2.19	1.91	1.50	1.58	1.76	1.84	1.88	2.43	2.18
- 60	1.14	1.29	1.40	1.72	1.83	1.33	1.40	1.46	1.64	1.94	2.21	1.93	1.50	1.60	1.79	1.86	1.91	2.47	2.19
- 61	1.22	1.32	1.40	1.74	1.84	1.33	1.40	1.47	1.66	1.96	2.22	1.94	1.50	1.60	1.81	1.88	1.93	2.50	2.20
- 62	1.25	1.33	1.42	1.75	1.91	1.43	1.40	1.50	1.68	1.98	2.25	1.95	1.50	1.63	1.83	1.90	1.96	2.52	2.26

	GSD ≤ 2.17					2.17 < GSD ≤ 2.94							GSD > 2.94						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 63	1.25	1.33	1.44	1.78	1.93	1.50	1.40	1.50	1.70	1.99	2.27	1.96	1.50	1.67	1.86	1.92	1.98	2.56	2.28
- 64	1.29	1.33	1.45	1.80	1.93	1.50	1.43	1.52	1.72	2.02	2.29	2.00	1.54	1.67	1.89	1.95	2.01	2.59	2.29
- 65	1.33	1.33	1.47	1.81	1.99	1.50	1.43	1.54	1.74	2.04	2.31	2.02	1.62	1.70	1.92	1.97	2.04	2.62	2.31
- 66	1.33	1.38	1.50	1.84	2.00	1.50	1.44	1.56	1.76	2.07	2.32	2.04	1.67	1.72	1.94	2.00	2.07	2.63	2.31
- 67	1.33	1.40	1.50	1.87	2.05	1.50	1.50	1.58	1.79	2.10	2.35	2.06	1.67	1.76	1.99	2.02	2.10	2.67	2.33
- 68	1.33	1.40	1.53	1.89	2.08	1.50	1.50	1.60	1.81	2.12	2.37	2.07	1.71	1.80	2.00	2.04	2.14	2.70	2.35
- 69	1.36	1.40	1.55	1.91	2.09	1.50	1.50	1.62	1.83	2.15	2.41	2.08	1.80	1.80	2.03	2.07	2.18	2.72	2.36
- 70	1.50	1.40	1.58	1.94	2.11	1.50	1.56	1.64	1.85	2.17	2.43	2.11	1.86	1.83	2.07	2.10	2.21	2.77	2.37
- 71	1.50	1.40	1.60	1.97	2.15	1.50	1.57	1.67	1.88	2.20	2.44	2.13	2.00	1.86	2.10	2.13	2.24	2.81	2.39
- 72	1.50	1.43	1.62	2.00	2.18	1.50	1.60	1.69	1.91	2.23	2.49	2.14	2.00	1.89	2.14	2.15	2.29	2.85	2.41
- 73	1.50	1.43	1.64	2.02	2.19	1.67	1.60	1.71	1.94	2.26	2.51	2.15	2.00	1.98	2.18	2.18	2.33	2.90	2.44
- 74	1.50	1.49	1.67	2.04	2.23	1.67	1.60	1.73	1.97	2.30	2.55	2.17	2.00	2.00	2.21	2.21	2.37	2.93	2.50
- 75	1.50	1.50	1.69	2.07	2.26	1.68	1.67	1.77	2.00	2.33	2.61	2.17	2.00	2.00	2.25	2.25	2.42	2.98	2.51
- 76	1.50	1.50	1.71	2.10	2.27	1.75	1.67	1.80	2.03	2.36	2.63	2.21	2.00	2.03	2.29	2.28	2.48	3.01	2.53
- 77	1.50	1.56	1.73	2.12	2.28	2.00	1.71	1.82	2.06	2.41	2.69	2.23	2.00	2.13	2.33	2.32	2.54	3.03	2.53
- 78	1.50	1.57	1.77	2.15	2.31	2.00	1.75	1.86	2.10	2.46	2.73	2.24	2.00	2.17	2.38	2.36	2.60	3.09	2.54
- 79	1.67	1.60	1.80	2.19	2.40	2.00	1.80	1.90	2.13	2.49	2.75	2.27	2.00	2.20	2.43	2.40	2.68	3.12	2.56
- 80	1.75	1.60	1.83	2.22	2.46	2.00	1.80	1.93	2.17	2.54	2.79	2.27	2.00	2.24	2.49	2.44	2.77	3.17	2.58
- 81	2.00	1.63	1.87	2.27	2.47	2.00	1.83	2.00	2.22	2.60	2.84	2.30	2.00	2.31	2.54	2.48	2.85	3.21	2.60
- 82	2.00	1.67	1.91	2.30	2.47	2.00	1.86	2.00	2.26	2.67	2.87	2.31	2.29	2.37	2.60	2.53	2.95	3.25	2.64
- 83	2.00	1.71	1.94	2.36	2.49	2.00	1.96	2.08	2.31	2.72	2.89	2.33	2.50	2.40	2.65	2.58	3.05	3.30	2.65
- 84	2.00	1.77	2.00	2.43	2.53	2.00	2.00	2.11	2.36	2.78	2.92	2.37	2.50	2.50	2.71	2.63	3.13	3.35	2.69
- 85	2.00	1.80	2.00	2.48	2.68	2.00	2.00	2.18	2.41	2.85	2.97	2.44	2.75	2.57	2.79	2.69	3.24	3.39	2.71
- 86	2.00	1.83	2.09	2.56	2.74	2.00	2.11	2.23	2.47	2.90	3.00	2.48	3.00	2.63	2.87	2.75	3.35	3.46	2.73
- 87	2.00	1.86	2.13	2.63	2.78	2.00	2.17	2.30	2.54	2.97	3.11	2.56	3.00	2.73	2.94	2.82	3.47	3.54	2.81
- 88	2.00	1.97	2.20	2.69	2.81	2.00	2.20	2.38	2.60	3.06	3.19	2.59	3.33	2.83	3.00	2.89	3.62	3.59	2.84
- 89	2.00	2.00	2.27	2.79	2.85	2.00	2.30	2.45	2.68	3.14	3.25	2.62	3.33	2.94	3.10	2.96	3.73	3.68	2.84
- 90	2.00	2.00	2.33	2.88	2.96	2.25	2.40	2.54	2.76	3.26	3.31	2.66	4.00	3.00	3.20	3.06	3.86	3.78	2.94
- 91	2.00	2.14	2.42	2.97	3.06	2.50	2.50	2.64	2.86	3.36	3.41	2.67	4.67	3.18	3.31	3.16	4.03	3.88	2.97
- 92	2.00	2.20	2.54	3.08	3.24	2.50	2.60	2.75	2.96	3.44	3.55	2.68	5.00	3.33	3.46	3.28	4.22	3.98	3.02
- 93	2.00	2.29	2.64	3.24	3.39	3.00	2.78	2.89	3.08	3.59	3.67	2.70	5.50	3.50	3.61	3.41	4.41	4.10	3.06
- 94	2.25	2.40	2.79	3.50	3.55	3.00	2.92	3.02	3.22	3.74	3.84	2.71	10.00	3.71	3.77	3.57	4.65	4.18	3.12
- 95	2.50	2.56	2.93	3.61	3.68	3.00	3.14	3.21	3.40	3.92	3.92	3.01	10.00	4.00	4.00	3.78	4.94	4.35	3.16

	GSD ≤ 2.17					2.17 < GSD ≤ 2.94							GSD > 2.94						
Concbin <sup>1</sup>	0	1	2	3	4	0	1	2	3	4	5	6	0	1	2	3	4	5	6
- 96	3.00	2.71	3.13	3.91	3.93	3.50	3.40	3.44	3.62	4.12	4.22	3.11	10.00	4.25	4.23	4.07	5.23	4.44	3.27
- 97	3.00	3.00	3.40	4.23	4.13	4.00	3.75	3.73	3.93	4.35	4.38	3.41	10.00	4.67	4.57	4.48	5.59	4.58	3.33
- 98	3.50	3.29	3.85	4.71	4.49	5.00	4.20	4.17	4.37	4.84	4.52	3.44	10.00	5.22	5.04	5.06	6.11	4.89	3.35
- 99	4.00	4.00	4.68	5.58	5.09	6.00	5.14	5.00	5.20	5.50	5.13	3.79	10.00	6.20	5.91	6.19	6.89	5.45	3.51
- 100	11.75	11.57	11.94	10.14	6.10	11.75	11.50	11.93	11.41	9.67	6.48	5.39	11.67	11.67	11.93	11.45	11.39	6.63	3.62
n <sup>3</sup>	456580	54454	16117	1925	150	876986	271059	186098	49555	3888	613	219	297365	63582	55615	28545	3952	759	224
<b>Notes:</b> <sup>1</sup> 1-hour SO <sub>2</sub> concentration bins are: 0 = 1-hour mean < 5 ppb; 2 = 5 ≤ 1-hour mean < 10 ppb; 2 = 10 ≤ 1-hour mean < 25 ppb; 3 = 25 ≤ 1-hour mean < 75 ppb; 4 = 75 ≤ 1-hour mean < 150 ppb ; 5 = 150 ≤ 1-hour mean 250 ppb; 6 = 1-hour mean > 250 ppb. <sup>2</sup> pct – x indicates the percentile of the distribution. <sup>3</sup> n is the number of 5-minute maximum and 1-hour SO <sub>2</sub> measurements used to develop distribution.																			

## **A.4 Factors Used in Adjusting Air Quality to Just Meet the Current and Potential Alternative SO<sub>2</sub> Air Quality Standards**

The adjustment factors used for simulating just meeting particular forms and levels of SO<sub>2</sub> standards are described here in two sections. This was done given the difference in how the adjustment factors were derived and applied to each of the air quality scenarios and given the number of factors generated for the potential alternative standards. The first section includes the factors used for adjusting air quality to just meet the current standards (either the 24-hour or annual average), while the second section note the concentrations used in deriving the factors applied to simulate just meeting potential alternative standards.

### **A.4.1 Adjustment factors for just meeting the current standard**

Both annual and daily adjustment factors were calculated for all selected counties in evaluating the current annual and daily standards however, the lowest value of the two was selected for use in adjusting concentrations (see REA section 7.2.4). The adjustment factors for each county, year, and the standard from which the factors were derived is given in Table A.4-1. In addition, the coefficient of variation (i.e., COV) was used as a measure to indicate the variability associated with each of the calculated factors when considering all of the monitors in a county. Within a given year, the COV generally indicates the extent of spatial variability in ambient concentrations, considering the number of monitors in operation. Variation in the COV across different years can indicate the temporal variability in a county however, year-to-year differences in the number and location of ambient monitors may confound this comparison. Lower COVs indicate similarity in that concentration metric in the county, while higher values indicate less homogeneity in concentrations (whether spatially or temporally).

**Table A.4-1. Adjustment factors used in simulating air quality just meeting the current SO<sub>2</sub> NAAQS in selected counties by year.**

<b>State Abbreviation</b>	<b>County</b>	<b>Year</b>	<b>Monitors (n)</b>	<b>Adjustment Factor</b>	<b>COV</b>	<b>Closest Standard<sup>1</sup></b>
AZ	Gila	2001	2	3.12	4	D
AZ	Gila	2002	2	3.53	5	A
AZ	Gila	2003	2	3.82	12	A
AZ	Gila	2004	2	3.04	21	A
AZ	Gila	2005	2	3.33	5	D
AZ	Gila	2006	2	4.40	1	D
DE	New Castle	2001	4	3.38	16	D
DE	New Castle	2002	4	2.67	9	D

<b>State Abbreviation</b>	<b>County</b>	<b>Year</b>	<b>Monitors (n)</b>	<b>Adjustment Factor</b>	<b>COV</b>	<b>Closest Standard<sup>1</sup></b>
DE	New Castle	2003	5	2.75	9	D
DE	New Castle	2004	4	2.58	13	D
DE	New Castle	2005	4	2.73	11	D
DE	New Castle	2006	4	2.68	14	D
FL	Hillsborough	2001	7	3.14	13	D
FL	Hillsborough	2002	7	3.09	16	D
FL	Hillsborough	2003	6	3.09	19	D
FL	Hillsborough	2004	6	4.95	32	D
FL	Hillsborough	2005	6	4.40	25	D
FL	Hillsborough	2006	6	4.19	29	D
IL	Madison	2001	4	3.51	7	D
IL	Madison	2002	4	2.88	12	D
IL	Madison	2003	3	3.60	6	D
IL	Madison	2004	3	3.61	18	D
IL	Madison	2005	3	4.19	11	D
IL	Madison	2006	3	4.90	16	D
IL	Wabash	2001	2	3.25	1	D
IL	Wabash	2002	2	3.33	3	D
IL	Wabash	2003	2	2.95	5	D
IL	Wabash	2004	2	3.98	1	D
IL	Wabash	2005	2	3.80	7	D
IL	Wabash	2006	2	3.01	5	D
IN	Floyd	2001	3	3.98	2	D
IN	Floyd	2002	3	4.85	6	D
IN	Floyd	2003	3	4.14	5	D
IN	Floyd	2004	2	5.04	6	A
IN	Floyd	2005	3	3.98	11	A
IN	Floyd	2006	3	3.64	5	D
IN	Gibson	2001	2	2.34	6	D
IN	Gibson	2002	2	2.68	19	D
IN	Gibson	2003	2	1.17	13	D
IN	Gibson	2004	2	2.99	10	D
IN	Gibson	2005	2	4.78	3	D
IN	Gibson	2006	2	1.67	16	D
IN	Lake	2001	2	4.87	0	D
IN	Lake	2002	2	4.43	17	D
IN	Lake	2003	2	4.94	7	D
IN	Lake	2004	2	4.39	14	D
IN	Lake	2005	2	3.39	16	D
IN	Lake	2006	1	8.12	0	A
IN	Vigo	2001	2	2.47	16	D
IN	Vigo	2002	2	4.65	18	A
IN	Vigo	2003	2	4.06	13	A
IN	Vigo	2004	2	5.28	1	D
IN	Vigo	2005	2	4.57	5	D
IN	Vigo	2006	2	6.97	5	D
IA	Linn	2001	5	3.53	18	D
IA	Linn	2002	3	4.70	5	D

<b>State Abbreviation</b>	<b>County</b>	<b>Year</b>	<b>Monitors (n)</b>	<b>Adjustment Factor</b>	<b>COV</b>	<b>Closest Standard<sup>1</sup></b>
IA	Linn	2003	3	3.45	5	D
IA	Linn	2004	3	2.29	10	D
IA	Linn	2005	3	3.41	9	D
IA	Linn	2006	3	4.10	35	D
IA	Muscatine	2001	3	4.20	12	D
IA	Muscatine	2002	3	3.87	11	D
IA	Muscatine	2003	3	4.09	11	D
IA	Muscatine	2004	3	2.78	16	D
IA	Muscatine	2005	3	2.90	17	D
IA	Muscatine	2006	3	2.94	10	D
MI	Wayne	2001	6	3.21	9	D
MI	Wayne	2002	3	2.97	15	D
MI	Wayne	2003	3	3.30	5	D
MI	Wayne	2004	3	2.99	12	D
MI	Wayne	2005	3	3.35	7	D
MI	Wayne	2006	3	2.95	13	D
MO	Greene	2001	3	3.57	17	D
MO	Greene	2002	5	3.47	32	D
MO	Greene	2003	5	5.12	26	D
MO	Greene	2004	5	5.29	29	D
MO	Greene	2005	5	4.87	34	D
MO	Greene	2006	5	4.46	19	D
MO	Iron	2001	2	2.26	0	D
MO	Iron	2002	2	2.11	2	D
MO	Iron	2003	2	2.44	2	D
MO	Iron	2004	2	7.96	22	A
MO	Jefferson	2001	3	5.74	10	D
MO	Jefferson	2002	1	3.89	0	D
MO	Jefferson	2003	1	5.65	0	D
MO	Jefferson	2004	1	1.87	0	D
MO	Jefferson	2005	1	2.13	0	D
MO	Jefferson	2006	1	1.93	0	D
NH	Merrimack	2001	2	3.07	21	D
NH	Merrimack	2002	3	3.71	18	D
NH	Merrimack	2003	3	3.31	10	D
NH	Merrimack	2004	2	2.59	17	D
NH	Merrimack	2005	2	2.70	18	D
NH	Merrimack	2006	2	2.51	28	D
NJ	Hudson	2001	2	3.39	6	A
NJ	Hudson	2002	1	5.26	0	A
NJ	Hudson	2003	2	3.52	6	A
NJ	Hudson	2004	2	3.67	4	A
NJ	Hudson	2005	2	3.67	1	A
NJ	Hudson	2006	2	6.25	5	D
NJ	Union	2001	2	3.71	7	A
NJ	Union	2002	2	3.52	11	A
NJ	Union	2003	2	3.70	8	A
NJ	Union	2004	2	3.99	8	A

<b>State Abbreviation</b>	<b>County</b>	<b>Year</b>	<b>Monitors (n)</b>	<b>Adjustment Factor</b>	<b>COV</b>	<b>Closest Standard<sup>1</sup></b>
NJ	Union	2005	2	4.12	7	A
NJ	Union	2006	2	7.98	4	D
NY	Bronx	2001	1	2.95	0	A
NY	Bronx	2002	2	3.04	3	A
NY	Bronx	2003	2	2.82	1	D
NY	Bronx	2004	2	2.96	3	A
NY	Bronx	2005	1	3.26	0	A
NY	Bronx	2006	2	3.44	6	A
NY	Chautauqua	2001	3	1.85	12	D
NY	Chautauqua	2002	2	2.34	18	D
NY	Chautauqua	2003	2	2.30	13	D
NY	Chautauqua	2004	2	3.42	16	D
NY	Chautauqua	2005	2	5.78	11	D
NY	Chautauqua	2006	2	9.47	2	D
NY	Erie	2001	2	2.66	13	D
NY	Erie	2002	2	2.01	16	D
NY	Erie	2003	2	1.85	16	D
NY	Erie	2004	2	3.65	20	D
NY	Erie	2005	2	4.14	14	D
NY	Erie	2006	2	4.72	17	D
OH	Cuyahoga	2001	5	4.05	6	D
OH	Cuyahoga	2002	5	5.10	11	A
OH	Cuyahoga	2003	5	3.98	5	D
OH	Cuyahoga	2004	4	4.54	11	D
OH	Cuyahoga	2005	4	3.43	6	D
OH	Cuyahoga	2006	4	4.25	8	D
OH	Lake	2001	2	3.78	8	A
OH	Lake	2002	2	3.34	15	A
OH	Lake	2003	2	2.79	10	D
OH	Lake	2004	2	3.05	13	D
OH	Lake	2005	2	1.87	13	D
OH	Lake	2006	2	2.51	16	D
OH	Summit	2001	2	3.25	3	D
OH	Summit	2002	2	2.39	8	D
OH	Summit	2003	2	2.65	2	D
OH	Summit	2004	2	2.75	11	D
OH	Summit	2005	2	3.76	14	A
OH	Summit	2006	2	3.79	9	D
OK	Tulsa	2001	3	4.16	10	A
OK	Tulsa	2002	3	4.51	2	D
OK	Tulsa	2003	3	3.65	6	D
OK	Tulsa	2004	3	4.07	3	D
OK	Tulsa	2005	3	4.57	4	A
OK	Tulsa	2006	4	5.69	59	D
PA	Allegheny	2001	7	2.72	5	D
PA	Allegheny	2002	5	2.80	4	A
PA	Allegheny	2003	7	2.23	5	D
PA	Allegheny	2004	7	2.81	6	D

<b>State Abbreviation</b>	<b>County</b>	<b>Year</b>	<b>Monitors (n)</b>	<b>Adjustment Factor</b>	<b>COV</b>	<b>Closest Standard<sup>1</sup></b>
PA	Allegheny	2005	7	2.17	7	D
PA	Allegheny	2006	6	2.97	8	D
PA	Beaver	2001	3	2.01	5	D
PA	Beaver	2002	3	1.91	6	D
PA	Beaver	2003	3	1.73	6	D
PA	Beaver	2004	3	2.64	6	A
PA	Beaver	2005	3	2.42	7	A
PA	Beaver	2006	3	2.67	8	D
PA	Northampton	2001	2	2.15	28	A
PA	Northampton	2002	2	5.01	0	A
PA	Northampton	2003	2	3.73	18	A
PA	Northampton	2004	2	2.28	21	A
PA	Northampton	2005	2	3.55	3	A
PA	Northampton	2006	2	0.98	19	D
PA	Warren	2001	2	1.66	11	D
PA	Warren	2002	2	1.45	15	D
PA	Warren	2003	2	1.40	11	D
PA	Warren	2004	2	2.37	15	D
PA	Warren	2005	2	1.91	17	D
PA	Warren	2006	2	1.68	19	D
PA	Washington	2001	3	2.95	6	A
PA	Washington	2002	3	3.11	6	A
PA	Washington	2003	3	2.99	8	A
PA	Washington	2004	3	3.42	2	A
PA	Washington	2005	3	3.07	5	D
PA	Washington	2006	3	3.48	6	A
TN	Blount	2001	2	1.62	18	D
TN	Blount	2002	2	2.05	10	D
TN	Blount	2003	2	1.88	12	D
TN	Blount	2004	2	2.22	1	D
TN	Blount	2005	2	1.61	7	D
TN	Blount	2006	2	1.79	10	D
TN	Shelby	2001	3	3.47	19	D
TN	Shelby	2002	3	4.79	20	D
TN	Shelby	2003	3	3.75	21	D
TN	Shelby	2004	3	4.46	20	D
TN	Shelby	2005	4	3.90	46	D
TN	Shelby	2006	3	4.12	44	D
TN	Sullivan	2001	2	2.95	8	A
TN	Sullivan	2002	2	3.26	10	D
TN	Sullivan	2003	2	3.28	4	D
TN	Sullivan	2004	2	3.33	3	D
TN	Sullivan	2005	2	3.72	4	D
TN	Sullivan	2006	2	3.33	3	D
TX	Jefferson	2001	3	2.68	8	D
TX	Jefferson	2002	3	4.82	4	D
TX	Jefferson	2003	3	4.30	4	D
TX	Jefferson	2004	3	4.47	13	D



State Abbreviation	County	Year	Monitors (n)	Adjustment Factor	COV	Closest Standard <sup>1</sup>
TX	Jefferson	2005	3	5.67	7	D
TX	Jefferson	2006	3	4.31	4	D
VA	Fairfax	2001	2	4.50	18	A
VA	Fairfax	2002	2	4.49	14	A
VA	Fairfax	2003	3	4.89	15	A
VA	Fairfax	2004	3	4.80	19	A
VA	Fairfax	2005	3	4.79	19	A
VA	Fairfax	2006	3	5.35	18	A
WV	Brooke	2001	2	2.13	5	A
WV	Brooke	2002	2	2.49	4	A
WV	Brooke	2003	2	2.63	3	A
WV	Brooke	2004	2	2.02	6	A
WV	Brooke	2005	2	2.16	5	A
WV	Brooke	2006	2	2.50	8	A
WV	Hancock	2001	9	2.20	3	A
WV	Hancock	2002	9	2.38	3	D
WV	Hancock	2003	9	2.30	3	D
WV	Hancock	2004	7	2.38	4	A
WV	Hancock	2005	7	2.22	5	A
WV	Hancock	2006	7	2.34	4	A
WV	Monongalia	2001	3	2.37	3	D
WV	Monongalia	2002	2	2.22	2	D
WV	Monongalia	2003	2	3.26	1	D
WV	Monongalia	2004	2	3.25	1	D
WV	Monongalia	2005	2	3.13	3	A
WV	Monongalia	2006	2	3.20	1	D
WV	Wayne	2001	4	2.85	4	D
WV	Wayne	2002	4	3.31	3	A
WV	Wayne	2003	4	3.41	7	D
WV	Wayne	2004	3	2.87	9	D
WV	Wayne	2005	3	2.02	11	D
VI	St Croix	2001	5	3.41	83	D
VI	St Croix	2002	5	3.46	64	D
VI	St Croix	2003	5	3.66	66	D
VI	St Croix	2004	5	3.26	56	D
VI	St Croix	2005	5	9.25	15	D
VI	St Croix	2006	5	4.59	25	D

**Notes:**

<sup>1</sup> Ambient SO<sub>2</sub> concentrations were closest to either the annual (A) or daily (D) NAAQS level.

#### A.4.2 Adjustment factors for just meeting the potential alternative standards

Five potential alternative standards (i.e., 50, 100, 150, 200, and 250 ppb daily maximum 1-hour) given a 99<sup>th</sup> percentile form and one alternative standard (200 ppb daily maximum 1-hour) given a 98<sup>th</sup> percentile form were selected for evaluation (for details, see REA chapter 5). Adjustment factors were derived for each of two 3-year groups of recent air quality (i.e., 2001-2003 and 2004-2006). For the sake of brevity, only the maximum 3-year averaged concentrations for each of the percentile forms are provided in Table A.4-2, rather than all of the adjustment factors. The actual adjustment factors used in simulating air quality can be derived for each of the concentration levels by dividing by the county concentration for each year group. For example, the adjustment factor applied to the 2002 hourly mean concentrations in New Castle DE to simulate just meeting a 99<sup>th</sup> percentile daily maximum 1-hour of 100 ppb is  $100/164 = 0.61$ . That is to say, to meet this particular standard, the hourly concentrations need to be adjusted downward by a factor of 0.61. The COV is also used to represent the temporal variability over the three years of monitoring (where such data exist).

**Table A.4-2. Concentrations used in developing adjustment factors when simulating air quality just meeting potential alternative SO<sub>2</sub> NAAQS in selected counties by year.**

State Abbreviation	County	Year Group	98 <sup>th</sup> Percentile			99 <sup>th</sup> Percentile		
			Years (n)	Conc (ppb)	COV (%)	Years (n)	Conc (ppb)	COV (%)
AZ	Gila	2001-2003	3	226	10	3	260	10
AZ	Gila	2004-2006	2	222	6	2	294	1
DE	New Castle	2001-2003	2	138	5	2	164	0
DE	New Castle	2004-2006	3	123	20	3	147	31
FL	Hillsborough	2001-2003	3	117	12	3	146	2
FL	Hillsborough	2004-2006	2	93	8	2	128	8
IA	Linn	2001-2003	3	82	21	3	105	12
IA	Linn	2004-2006	3	96	17	3	111	27
IA	Muscatine	2001-2003	3	92	13	3	113	9
IA	Muscatine	2004-2006	3	120	10	3	135	8
IL	Madison	2001-2003	3	110	22	3	144	24
IL	Madison	2004-2006	3	123	5	3	144	7
IL	Wabash	2001-2003	1	139		1	216	
IL	Wabash	2004-2006	1	131		1	187	
IN	Floyd	2001-2003	3	124	17	1	151	
IN	Floyd	2004-2006	3	129	14	3	170	6
IN	Gibson	2001-2003	2	185	12	2	235	19

State Abbreviation	County	Year Group	98 <sup>th</sup> Percentile			99 <sup>th</sup> Percentile		
			Years (n)	Conc (ppb)	COV (%)	Years (n)	Conc (ppb)	COV (%)
IN	Gibson	2004-2006	1	199		1	226	
IN	Lake	2001-2003	3	68	5	2	84	52
IN	Lake	2004-2006	2	87	1	2	113	3
IN	Vigo	2001-2003	3	114	7	3	159	25
IN	Vigo	2004-2006	2	110	8	2	136	2
MI	Wayne	2001-2003	2	102	3	2	126	4
MI	Wayne	2004-2006	3	115	2	3	128	2
MO	Greene	2001-2003	3	81	13	3	94	13
MO	Greene	2004-2006	3	63	29	3	81	25
MO	Iron	2001-2003	3	289	20	3	341	9
MO	Iron	2004-2006	1	20		1	22	
MO	Jefferson	2001-2003	1	230		1	234	
MO	Jefferson	2004-2006	3	244	10	3	346	16
NH	Merrimack	2001-2003	3	110	30	3	125	34
NH	Merrimack	2004-2006	3	127	2	3	151	9
NJ	Hudson	2001-2003	2	54	9	2	61	1
NJ	Hudson	2004-2006	2	51	3	2	65	1
NJ	Union	2001-2003	3	52	13	3	57	7
NJ	Union	2004-2006	2	49	10	2	60	9
NY	Bronx	2001-2003	2	64	1	2	71	7
NY	Bronx	2004-2006	2	59	7	2	68	2
NY	Chautauqua	2001-2003	3	238	2	3	285	12
NY	Chautauqua	2004-2006	3	84	47	3	101	54
NY	Erie	2001-2003	3	206	10	3	225	8
NY	Erie	2004-2006	3	114	33	3	129	24
OH	Cuyahoga	2001-2003	2	76	1	2	101	1
OH	Cuyahoga <sup>1</sup>	2004-2006	3	67	8	3	80	9
OH	Cuyahoga <sup>1</sup>	2004-2006	3	67	18			
OH	Lake	2001-2003	3	129	10	3	145	4
OH	Lake	2004-2006	3	146	5	3	175	9
OH	Summit	2001-2003	3	131	12	3	148	12
OH	Summit	2004-2006	3	133	9	3	150	13
OK	Tulsa	2001-2003	3	63	22	3	76	7
OK	Tulsa	2004-2006	2	82	32	2	93	33
PA	Allegheny	2001-2003	1	149		1	164	
PA	Allegheny	2004-2006	2	144	16	2	183	36
PA	Beaver	2001-2003	3	200	28	3	245	31
PA	Beaver	2004-2006	3	188	6	3	228	8
PA	Northampton	2001-2003	3	55	9	3	65	3
PA	Northampton	2004-2006	3	92	41	3	146	65
PA	Warren	2001-2003	3	218	6	3	270	12
PA	Warren	2004-2006	3	180	22	3	226	15
PA	Washington	2001-2003	3	99	10	3	111	11
PA	Washington	2004-2006	3	89	10	3	102	11
TN	Blount	2001-2003	1	189		1	204	
TN	Blount	2004-2006	3	168	5	3	194	6
TN	Shelby	2001-2003	3	70	29	3	101	35

State Abbreviation	County	Year Group	98 <sup>th</sup> Percentile			99 <sup>th</sup> Percentile		
			Years (n)	Conc (ppb)	COV (%)	Years (n)	Conc (ppb)	COV (%)
TN	Shelby <sup>1</sup>	2004-2006	3	72	35	3	85	33
TN	Shelby <sup>1</sup>	2004-2006	3	72	2			
TN	Sullivan	2001-2003	3	157	13	3	195	19
TN	Sullivan	2004-2006	3	145	7	3	208	17
TX	Jefferson	2001-2003	3	92	20	3	103	16
TX	Jefferson	2004-2006	3	109	49	3	129	46
VA	Fairfax	2001-2003	3	38	15	3	48	24
VA	Fairfax	2004-2006	3	37	8	3	41	11
VI	St Croix	2001-2003	2	103	6	2	126	18
VI	St Croix	2004-2006	1	70		1	130	
WV	Brooke	2001-2003	3	154	20	3	180	17
WV	Brooke	2004-2006	3	125	8	3	158	19
WV	Hancock	2001-2003	3	182	17	3	217	23
WV	Hancock	2004-2006	3	134	24	3	159	19
WV	Monongalia	2001-2003	3	163	22	3	218	26
WV	Monongalia	2004-2006	2	148	3	2	188	16
WV	Wayne	2001-2003	3	93	7	3	109	14
WV	Wayne	2004-2006	2	67	11	2	75	0

**Notes:**

<sup>1</sup> Two monitors in the county had the same average 98<sup>th</sup> percentile daily 1-hour maximum concentrations. Concentrations, monitoring years, and COVs for both monitors are indicated.

## **A.5 Supplementary Results Tables for 5-minute Measurement Data**

**Table A.5-1. Annual average SO<sub>2</sub> concentrations and number of measured 5-minute daily maximum SO<sub>2</sub> concentrations above potential health effect benchmark levels. Data used were from 98 monitors that reported both the 5-minute maximum and 1-hour SO<sub>2</sub> concentrations for years 1997 through 2007.**

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
AR	Pulaski	051190007	2002	339	7138	2.76	1.43	2.44	1.65	1	0	0	0
AR	Pulaski	051190007	2003	365	7799	2.47	1.3	2.18	1.64	0	0	0	0
AR	Pulaski	051190007	2004	359	7687	2.08	1.61	1.69	1.84	0	0	0	0
AR	Pulaski	051190007	2005	350	6702	1.91	1.17	1.65	1.69	0	0	0	0
AR	Pulaski	051190007	2006	365	8356	3.2	1.13	3.03	1.39	0	0	0	0
AR	Pulaski	051190007	2007	90	2062	2.88	1.12	2.71	1.39	0	0	0	0
AR	Pulaski	051191002	1997	365	6607	2.33	1.5	1.99	1.74	0	0	0	0
AR	Pulaski	051191002	1998	329	5997	1.62	1.3	1.35	1.74	0	0	0	0
AR	Pulaski	051191002	1999	275	3833	2.31	1.51	1.85	2.04	0	0	0	0
AR	Pulaski	051191002	2000	352	5596	2.38	1.63	1.77	2.44	0	0	0	0
AR	Pulaski	051191002	2001	364	6529	2.28	1.18	2.02	1.63	0	0	0	0
AR	Union	051390006	1997	365	7624	5.27	11.3	3.28	2.15	30	11	5	0
AR	Union	051390006	1998	313	6766	6.4	7.45	5.14	1.73	17	3	1	0
AR	Union	051390006	1999	275	5101	5.39	6.94	3.66	2.44	12	1	0	0
AR	Union	051390006	2000	357	5792	6.21	10.95	3.76	2.29	44	7	2	0
AR	Union	051390006	2001	364	7474	3.09	3.86	2.28	2.06	5	1	1	1
AR	Union	051390006	2002	275	6296	2.92	2.27	2.5	1.65	1	0	0	0
AR	Union	051390006	2003	364	7239	2.14	5.13	1.59	1.88	2	2	2	1
AR	Union	051390006	2004	334	4267	2.15	2.74	1.63	1.89	3	2	0	0
AR	Union	051390006	2005	249	4922	2.36	2.58	1.94	1.76	2	1	0	0
AR	Union	051390006	2006	365	8364	2.89	2.19	2.61	1.49	1	1	1	0
AR	Union	051390006	2007	90	2061	2.99	1.3	2.81	1.39	0	0	0	0
CO	Denver	080310002	1997	365	7014	6.77	9.36	3.75	2.86	23	0	0	0
CO	Denver	080310002	1998	360	4311	7.37	9.45	4.29	2.79	18	2	0	0
CO	Denver	080310002	1999	156	1626	6.77	8.21	4.01	2.76	3	0	0	0
CO	Denver	080310002	2000	137	2434	6.53	8.62	3.84	2.69	4	0	0	0
CO	Denver	080310002	2001	360	5575	6.63	8.85	3.84	2.75	8	0	0	0
CO	Denver	080310002	2002	365	6830	5.36	7.27	3.11	2.67	6	0	0	0
CO	Denver	080310002	2003	362	6250	3.83	4.62	2.54	2.34	1	0	0	0
CO	Denver	080310002	2004	337	4412	3.68	4.09	2.48	2.31	0	0	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
CO	Denver	080310002	2005	337	3599	3.92	4.2	2.57	2.42	0	0	0	0
CO	Denver	080310002	2006	349	6199	3.38	3.62	2.33	2.26	1	0	0	0
DE	New Castle	100031008	1997	330	7490	10.29	17.99	5.23	2.86	103	33	1	0
DE	New Castle	100031008	1998	257	4898	8.86	14.99	4.35	3.03	64	16	2	0
DC	District of Columbia	110010041	2000	160	3731	8.64	6.17	7.25	1.77	1	0	0	0
DC	District of Columbia	110010041	2001	358	7774	7	6.51	4.83	2.45	3	1	1	0
DC	District of Columbia	110010041	2002	365	8365	6.89	5.62	5.29	2.11	1	0	0	0
DC	District of Columbia	110010041	2003	181	4267	8.63	5.92	7.28	1.75	5	1	1	1
DC	District of Columbia	110010041	2004	119	2765	7.88	5.51	6.3	2.06	1	0	0	0
DC	District of Columbia	110010041	2007	268	6394	5.05	3.74	4.24	1.76	1	1	1	0
FL	Nassau	120890005	2002	357	8415	6.39	15.33	2.65	2.95	69	23	6	2
FL	Nassau	120890005	2003	365	8662	3.44	8.95	1.6	2.5	26	5	1	0
FL	Nassau	120890005	2004	275	6507	3.2	7.18	1.68	2.37	11	5	1	1
FL	Nassau	120890005	2005	175	4120	4.06	10.16	1.65	2.71	26	4	0	0
IA	Cerro Gordo	190330018	2001	38	513	1.22	3.38	0.44	3.16	0	0	0	0
IA	Cerro Gordo	190330018	2002	254	3325	1.16	3.83	0.33	4.07	0	0	0	0
IA	Cerro Gordo	190330018	2003	296	5032	1.88	7.57	0.27	4.83	4	0	0	0
IA	Cerro Gordo	190330018	2004	366	8141	0.8	2.84	0.23	3.4	0	0	0	0
IA	Cerro Gordo	190330018	2005	173	3528	0.69	1.49	0.31	3.16	0	0	0	0
IA	Clinton	190450019	2001	70	1276	2.14	1.69	1.52	2.54	0	0	0	0
IA	Clinton	190450019	2002	345	6516	3.29	3.37	1.96	3.02	3	0	0	0
IA	Clinton	190450019	2003	333	5939	2.89	3.2	1.68	3.14	4	1	0	0
IA	Clinton	190450019	2004	353	7093	2.83	3.06	1.67	3.12	3	0	0	0
IA	Clinton	190450019	2005	177	3323	3.99	4.31	2.35	3.11	2	0	0	0
IA	Muscatine	191390016	2001	91	1733	3.27	4.61	1.89	2.93	0	0	0	0
IA	Muscatine	191390016	2002	365	7391	4.07	5.36	2.78	2.39	4	0	0	0
IA	Muscatine	191390016	2003	353	6570	3.87	7.01	2.21	2.86	4	0	0	0
IA	Muscatine	191390016	2004	365	6664	3.92	5.67	2.43	2.7	5	0	0	0
IA	Muscatine	191390016	2005	181	3629	4.22	7.55	2.34	2.79	9	0	0	0
IA	Muscatine	191390017	2001	83	1373	2.14	1.86	1.42	2.66	0	0	0	0
IA	Muscatine	191390017	2002	364	7242	3.12	3.82	2.05	2.62	3	1	0	0
IA	Muscatine	191390017	2003	365	7586	3.93	4.26	2.69	2.51	4	0	0	0
IA	Muscatine	191390017	2004	363	7322	3.56	3.92	2.24	2.79	2	0	0	0
IA	Muscatine	191390017	2005	181	3441	3.16	4.14	2.03	2.59	4	0	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
IA	Muscatine	191390020	2001	92	1909	5.36	9.76	2.04	3.95	1	0	0	0
IA	Muscatine	191390020	2002	363	7682	5.27	10.27	2.22	3.61	31	1	0	0
IA	Muscatine	191390020	2003	365	7695	5.31	11.2	2.11	3.71	42	5	0	0
IA	Muscatine	191390020	2004	366	7757	7.36	15.39	3.02	3.2	60	14	0	0
IA	Muscatine	191390020	2005	181	3931	5.55	13.61	2.02	3.64	27	12	1	0
IA	Scott	191630015	2001	85	1345	1.15	2.13	0.45	4	0	0	0	0
IA	Scott	191630015	2002	364	7505	2.28	3.17	0.87	4.7	0	0	0	0
IA	Scott	191630015	2003	364	7451	2.09	2.68	1.02	3.79	0	0	0	0
IA	Scott	191630015	2004	336	6696	2.11	2.65	1.06	3.68	0	0	0	0
IA	Scott	191630015	2005	177	3436	2.56	3.05	1.17	4.17	0	0	0	0
IA	Van Buren	191770005	2001	65	597	0.9	0.92	0.64	2.33	0	0	0	0
IA	Van Buren	191770005	2002	353	6350	1.03	0.92	0.72	2.48	0	0	0	0
IA	Van Buren	191770005	2003	358	7118	1.1	0.91	0.78	2.48	0	0	0	0
IA	Van Buren	191770005	2004	305	5011	0.88	1.45	0.5	2.87	0	0	0	0
IA	Van Buren	191770006	2004	53	877	0.85	0.94	0.55	2.53	0	0	0	0
IA	Van Buren	191770006	2005	181	3349	0.9	0.79	0.69	2.09	0	0	0	0
IA	Woodbury	191930018	2001	85	1578	1.32	2.28	0.77	2.45	0	0	0	0
IA	Woodbury	191930018	2002	280	3875	1.5	2.94	0.7	3.14	0	0	0	0
LA	West Baton Rouge	221210001	1997	277	4966	7.04	12.51	3.94	2.65	42	13	4	1
LA	West Baton Rouge	221210001	1998	353	7566	7.52	10.67	5.03	2.29	50	18	2	1
LA	West Baton Rouge	221210001	1999	354	7272	6.4	9.59	4.01	2.44	55	12	1	1
LA	West Baton Rouge	221210001	2000	361	7360	7.3	11.13	4.51	2.46	76	26	7	1
MO	Buchanan	290210009	1997	361	8484	8.3	31.64	2.77	2.8	94	79	57	39
MO	Buchanan	290210009	1998	364	8161	7.06	24.17	2.8	2.64	92	67	44	19
MO	Buchanan	290210009	1999	362	7415	2.77	3.07	2.08	2	3	0	0	0
MO	Buchanan	290210009	2000	264	5297	2.37	3.04	1.81	1.88	7	0	0	0
MO	Buchanan	290210011	2000	72	1672	5.27	8.53	3.45	2.15	8	0	0	0
MO	Buchanan	290210011	2001	329	6412	3.7	5.3	2.52	2.15	6	0	0	0
MO	Buchanan	290210011	2002	331	6457	4.01	7.33	2.52	2.23	21	0	0	0
MO	Buchanan	290210011	2003	253	5141	4.06	7.04	2.59	2.25	13	0	0	0
MO	Greene	290770026	1997	339	4763	4.32	9.65	2.02	2.69	20	2	0	0
MO	Greene	290770026	1998	350	5810	5.73	11.66	2.35	3.07	39	1	0	0
MO	Greene	290770026	1999	362	7242	4.09	7.53	2.22	2.5	13	1	0	0
MO	Greene	290770026	2000	366	8721	4.97	10.21	2.41	2.67	52	1	0	0



State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
MO	Greene	290770026	2001	365	8304	4.52	9.62	2.17	2.63	36	0	0	0
MO	Greene	290770026	2002	360	7054	4.28	9.08	1.94	2.72	27	0	0	0
MO	Greene	290770026	2003	362	7935	3.5	6.16	2.02	2.36	5	0	0	0
MO	Greene	290770026	2004	274	6574	3.21	6.41	1.64	2.45	3	0	0	0
MO	Greene	290770026	2005	365	8756	2.95	5.94	1.58	2.35	5	0	0	0
MO	Greene	290770026	2006	365	8753	3.15	6.77	1.58	2.42	8	0	0	0
MO	Greene	290770026	2007	272	6520	3.2	7.07	1.59	2.43	9	0	0	0
MO	Greene	290770037	1997	356	6559	4.98	14.73	1.89	2.78	52	21	8	5
MO	Greene	290770037	1998	361	8134	4.27	7.37	2.76	2.18	30	2	0	0
MO	Greene	290770037	1999	363	8554	3.13	7.72	1.72	2.23	31	3	0	0
MO	Greene	290770037	2000	341	5318	6.36	17.9	2.13	3.04	46	23	3	0
MO	Greene	290770037	2001	355	6707	4.04	10.65	1.91	2.49	37	9	2	0
MO	Greene	290770037	2002	335	6373	4	9.68	2.15	2.27	40	11	1	0
MO	Greene	290770037	2003	363	8179	3.32	6.96	1.93	2.21	19	1	0	0
MO	Greene	290770037	2004	274	6575	2.71	4.79	1.79	2.05	13	0	0	0
MO	Greene	290770037	2005	365	8760	3.05	6.06	1.93	2.11	20	1	0	0
MO	Greene	290770037	2006	365	8745	3.26	8.44	1.57	2.38	37	4	0	0
MO	Greene	290770037	2007	272	6496	2.42	6.03	1.37	2.08	16	0	0	0
MO	Iron	290930030	1997	365	8575	8.24	26.43	3.12	2.89	93	78	63	54
MO	Iron	290930030	1998	365	8475	7.9	25.09	2.73	2.99	85	70	62	52
MO	Iron	290930030	1999	356	6546	9.33	28.07	3.29	3.09	83	74	63	49
MO	Iron	290930030	2000	324	4071	14.3	46.11	3.2	3.95	95	77	69	55
MO	Iron	290930030	2001	356	5388	9.32	32.18	2.37	3.41	88	74	64	56
MO	Iron	290930030	2002	354	7960	6.95	23.55	2.2	2.98	99	73	58	52
MO	Iron	290930030	2003	363	6963	7.58	23.2	2.69	2.94	99	81	64	48
MO	Iron	290930030	2004	90	1846	2.47	2.56	1.76	2.11	0	0	0	0
MO	Iron	290930031	1997	352	6177	8.09	24.57	2.92	3.17	77	55	37	27
MO	Iron	290930031	1998	363	7991	7.56	22.94	3.03	2.94	88	57	37	22
MO	Iron	290930031	1999	341	7918	8.41	25.99	3.93	2.63	92	54	37	23
MO	Iron	290930031	2000	332	5170	8.27	24.93	2.81	3.21	86	53	35	23
MO	Iron	290930031	2001	365	8426	6.62	23.42	2.47	2.79	95	60	40	22
MO	Iron	290930031	2002	365	8665	6.32	18.53	3.19	2.35	88	54	28	19
MO	Iron	290930031	2003	350	8230	6.6	21.05	2.89	2.64	88	54	39	23
MO	Iron	290930031	2004	91	2172	3.82	2.74	3.2	1.74	0	0	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
MO	Jefferson	290990004	2004	346	8033	10.32	22.63	4.78	2.96	106	41	26	13
MO	Jefferson	290990004	2005	351	7144	11.41	24.87	4.62	3.34	118	68	47	28
MO	Jefferson	290990004	2006	343	6524	13.12	27.2	4.3	4.02	134	78	53	41
MO	Jefferson	290990004	2007	90	2125	6.31	11.92	3.08	2.88	21	8	4	1
MO	Jefferson	290990014	1997	359	7174	8.38	19	4.14	2.79	87	54	31	23
MO	Jefferson	290990014	1998	365	7770	4.57	9.67	2.62	2.48	37	23	13	6
MO	Jefferson	290990014	1999	363	7591	4.6	9.49	2.48	2.57	32	19	11	5
MO	Jefferson	290990014	2000	361	6588	3.87	7.06	2.36	2.35	28	7	4	2
MO	Jefferson	290990014	2001	132	2433	3.15	5.64	1.95	2.25	7	1	0	0
MO	Jefferson	290990017	1998	289	5721	7.37	18.87	3.47	2.87	59	33	22	16
MO	Jefferson	290990017	1999	360	7289	8.65	22.19	3.8	3.01	90	57	42	29
MO	Jefferson	290990017	2000	355	7153	6.06	16.54	2.87	2.77	59	40	26	17
MO	Jefferson	290990017	2001	74	1044	7.72	16.53	3.69	3.02	13	9	5	3
MO	Jefferson	290990018	2001	219	3492	5.33	11.74	2.53	2.84	34	18	9	6
MO	Jefferson	290990018	2002	352	6305	5.51	14.84	2.59	2.75	56	36	24	18
MO	Jefferson	290990018	2003	272	6009	4.41	10.38	2.4	2.54	27	18	10	9
MO	Monroe	291370001	1997	364	8280	2.92	2.86	2.38	1.79	0	0	0	0
MO	Monroe	291370001	1998	364	8411	2.35	2.25	1.86	1.87	0	0	0	0
MO	Monroe	291370001	1999	365	8714	3.58	2.36	3.13	1.63	0	0	0	0
MO	Monroe	291370001	2000	366	8617	2.93	2.06	2.54	1.65	0	0	0	0
MO	Monroe	291370001	2001	309	4346	1.78	1.44	1.47	1.74	0	0	0	0
MO	Monroe	291370001	2002	321	5358	1.81	1.48	1.48	1.75	0	0	0	0
MO	Monroe	291370001	2003	336	5948	1.82	1.48	1.51	1.73	0	0	0	0
MO	Monroe	291370001	2004	316	5123	2.29	2.31	1.77	1.91	0	0	0	0
MO	Monroe	291370001	2005	348	6518	2.03	1.81	1.63	1.81	0	0	0	0
MO	Monroe	291370001	2006	338	6169	1.73	1.26	1.47	1.68	0	0	0	0
MO	Monroe	291370001	2007	51	526	1.86	2	1.48	1.8	0	0	0	0
MO	Pike	291630002	2005	311	4879	4.37	5.43	2.89	2.33	5	0	0	0
MO	Pike	291630002	2006	348	6469	3.94	4.67	2.78	2.2	3	0	0	0
MO	Pike	291630002	2007	68	1019	3.08	3.69	2.09	2.24	0	0	0	0
MO	Saint Charles	291830010	1997	365	8152	4.35	7.95	2.6	2.45	5	1	1	1
MO	Saint Charles	291830010	1998	230	4810	4.32	5.69	2.77	2.38	1	0	0	0
MO	Saint Charles	291831002	1997	365	8514	5.72	6.95	3.65	2.5	23	2	1	0
MO	Saint Charles	291831002	1998	362	8122	6.31	7.9	4.02	2.5	25	0	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
MO	Saint Charles	291831002	1999	363	7969	5.61	7.24	3.58	2.5	17	2	0	0
MO	Saint Charles	291831002	2000	331	6421	4.6	5.45	3.01	2.42	5	0	0	0
MT	Yellowstone	301110066	1997	362	6873	8.06	10.76	4.4	3	45	7	1	1
MT	Yellowstone	301110066	1998	357	7198	7.14	9.49	4	2.9	42	5	1	0
MT	Yellowstone	301110066	1999	352	5767	7.75	9.65	4.31	2.99	34	5	0	0
MT	Yellowstone	301110066	2000	355	6099	7.72	10.26	4.25	2.97	66	7	2	1
MT	Yellowstone	301110066	2001	365	6872	7.77	10.46	4.13	3.06	56	4	0	0
MT	Yellowstone	301110066	2002	364	8347	6.81	11.61	3.46	3.04	52	4	2	1
MT	Yellowstone	301110066	2003	347	5691	7.37	9.92	4.06	2.93	39	2	0	0
MT	Yellowstone	301110079	1997	180	3166	3.84	4.06	2.65	2.28	1	0	0	0
MT	Yellowstone	301110079	2001	55	837	4.64	3.71	3.43	2.23	0	0	0	0
MT	Yellowstone	301110079	2002	353	8034	1.9	1.91	1.48	1.83	0	0	0	0
MT	Yellowstone	301110079	2003	350	5107	3.02	2.55	2.3	2.06	0	0	0	0
MT	Yellowstone	301110080	1997	363	5433	7.54	10.11	4.29	2.86	59	11	3	0
MT	Yellowstone	301110080	1998	358	5371	6.85	9.12	3.98	2.79	38	14	6	0
MT	Yellowstone	301110080	1999	350	5588	6.36	7.81	3.79	2.75	47	7	4	2
MT	Yellowstone	301110080	2000	360	5999	6.22	7.65	3.68	2.74	59	10	1	0
MT	Yellowstone	301110080	2001	150	2015	5.55	6.3	3.54	2.56	12	2	1	1
MT	Yellowstone	301110082	2001	169	2605	4.19	4.62	2.87	2.32	1	0	0	0
MT	Yellowstone	301110082	2002	365	8212	2.32	2.77	1.7	1.99	0	0	0	0
MT	Yellowstone	301110082	2003	361	5173	2.93	3.25	2.11	2.11	1	1	0	0
MT	Yellowstone	301110083	1999	112	2087	8.07	8.01	5.01	2.81	4	0	0	0
MT	Yellowstone	301110083	2000	341	3845	4.68	5.36	3	2.49	10	1	1	1
MT	Yellowstone	301110083	2001	357	5604	4.36	5.59	2.71	2.51	11	1	0	0
MT	Yellowstone	301110083	2002	360	6847	2.31	3.21	1.65	1.98	1	0	0	0
MT	Yellowstone	301110083	2003	166	1641	2.29	3.08	1.62	1.99	0	0	0	0
MT	Yellowstone	301110084	2003	99	759	2.99	4.51	1.99	2.19	0	0	0	0
MT	Yellowstone	301110084	2004	294	2465	3.48	5.45	2.14	2.37	2	0	0	0
MT	Yellowstone	301110084	2005	291	2577	2.96	4.98	1.79	2.28	2	0	0	0
MT	Yellowstone	301110084	2006	273	1983	2.75	4.56	1.71	2.23	1	0	0	0
MT	Yellowstone	301112008	1997	177	2579	3.96	4.57	2.65	2.35	2	0	0	0
NC	Forsyth	370670022	1997	362	7822	7.06	6.91	5.13	2.2	10	0	0	0
NC	Forsyth	370670022	1998	364	7122	6.98	7.54	4.72	2.48	13	1	1	1
NC	Forsyth	370670022	1999	352	6428	5.85	5.92	4.13	2.29	3	0	0	0

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NC	Forsyth	370670022	2000	266	5203	5.52	5.58	3.77	2.39	1	0	0	0
NC	Forsyth	370670022	2001	361	7634	5.12	5.64	3.46	2.38	5	0	0	0
NC	Forsyth	370670022	2002	362	7022	6.12	8.19	3.87	2.51	15	3	0	0
NC	Forsyth	370670022	2003	363	8075	5.87	6.19	4.17	2.24	11	0	0	0
NC	Forsyth	370670022	2004	259	4710	5.56	8.21	3.37	2.55	6	1	0	0
NC	New Hanover	371290006	1999	360	8208	4.1	8.34	1.92	2.73	54	8	4	3
NC	New Hanover	371290006	2000	335	7980	4.67	8.92	2.13	2.87	76	6	3	0
NC	New Hanover	371290006	2001	358	8168	5.71	13.73	2.08	3.09	109	54	10	3
NC	New Hanover	371290006	2002	352	8028	6.44	13.85	2.61	3.12	127	39	7	2
ND	Billings	380070002	1998	143	1940	1.31	1.04	1.16	1.48	0	0	0	0
ND	Billings	380070002	1999	276	3216	1.38	1.04	1.21	1.53	0	0	0	0
ND	Billings	380070002	2000	248	2724	1.42	1.1	1.24	1.56	0	0	0	0
ND	Billings	380070002	2001	283	2860	1.37	1.12	1.2	1.51	0	0	0	0
ND	Billings	380070002	2002	275	3113	1.43	1.11	1.26	1.53	0	0	0	0
ND	Billings	380070002	2003	26	341	1.48	0.87	1.32	1.54	0	0	0	0
ND	Billings	380070002	2004	164	1256	1.24	0.85	1.13	1.41	0	0	0	0
ND	Billings	380070002	2005	128	835	1.44	0.92	1.27	1.55	0	0	0	0
ND	Billings	380070002	2006	106	418	1.53	1.25	1.29	1.64	0	0	0	0
ND	Billings	380070002	2007	43	221	1.5	1.26	1.29	1.6	0	0	0	0
ND	Billings	380070003	1997	167	2657	1.72	1.52	1.43	1.7	0	0	0	0
ND	Burke	380130002	1999	297	3852	2.79	4.61	1.65	2.31	3	0	0	0
ND	Burke	380130002	2000	347	5268	2.96	5.77	1.77	2.27	7	1	1	0
ND	Burke	380130002	2001	338	5653	2.72	4.97	1.62	2.25	3	1	0	0
ND	Burke	380130002	2002	346	5367	2.64	4.72	1.58	2.24	4	0	0	0
ND	Burke	380130002	2003	353	6328	2.6	4.77	1.62	2.16	7	1	0	0
ND	Burke	380130002	2004	340	5229	2.77	5.03	1.65	2.26	6	0	0	0
ND	Burke	380130002	2005	263	3098	2.88	4.99	1.67	2.33	4	0	0	0
ND	Burke	380130004	2003	63	882	2.89	3.99	1.84	2.26	0	0	0	0
ND	Burke	380130004	2004	315	3198	2.76	3.59	1.83	2.21	0	0	0	0
ND	Burke	380130004	2005	244	2238	2.47	3.18	1.72	2.09	0	0	0	0
ND	Burke	380130004	2006	302	3152	2.27	3.16	1.59	2.02	1	0	0	0
ND	Burke	380130004	2007	99	1227	3.8	5.18	2.27	2.53	1	0	0	0
ND	Burleigh	380150003	2005	60	683	3.4	2.97	2.47	2.2	0	0	0	0
ND	Burleigh	380150003	2006	294	3686	2.33	2.6	1.68	2.04	0	0	0	0

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ND	Burleigh	380150003	2007	97	947	3.77	4.32	2.49	2.36	0	0	0	0
ND	Cass	380171003	1997	206	2254	1.74	2.31	1.32	1.79	0	0	0	0
ND	Cass	380171003	1998	132	2943	1.88	1.83	1.5	1.8	0	0	0	0
ND	Cass	380171004	1998	162	2501	1.11	0.43	1.07	1.27	0	0	0	0
ND	Cass	380171004	1999	246	3325	1.32	0.75	1.2	1.46	0	0	0	0
ND	Cass	380171004	2000	213	1868	1.37	0.84	1.23	1.5	0	0	0	0
ND	Cass	380171004	2001	203	1686	1.34	0.93	1.2	1.49	0	0	0	0
ND	Cass	380171004	2002	274	2476	1.12	0.43	1.08	1.27	0	0	0	0
ND	Cass	380171004	2003	200	1297	1.25	0.82	1.15	1.41	0	0	0	0
ND	Cass	380171004	2004	256	3140	1.21	0.6	1.13	1.37	0	0	0	0
ND	Cass	380171004	2005	146	928	1.24	0.68	1.15	1.41	0	0	0	0
ND	Cass	380171004	2006	358	7385	0.39	0.42	0.28	2.19	0	0	0	0
ND	Cass	380171004	2007	116	2256	0.55	0.74	0.33	2.6	0	0	0	0
ND	Dunn	380250003	1997	224	3313	1.38	1.14	1.2	1.54	0	0	0	0
ND	Dunn	380250003	1998	242	2688	1.78	2.07	1.39	1.79	0	0	0	0
ND	Dunn	380250003	1999	323	5099	1.5	1.56	1.26	1.62	0	0	0	0
ND	Dunn	380250003	2000	353	7455	1.4	1.44	1.2	1.55	0	0	0	0
ND	Dunn	380250003	2001	276	3575	1.6	1.48	1.34	1.66	0	0	0	0
ND	Dunn	380250003	2002	334	4484	1.31	1.09	1.16	1.48	0	0	0	0
ND	Dunn	380250003	2003	355	7289	1.5	1.28	1.29	1.58	0	0	0	0
ND	Dunn	380250003	2004	347	6019	1.34	1.13	1.17	1.51	0	0	0	0
ND	Dunn	380250003	2005	183	1314	1.48	1.53	1.23	1.62	0	0	0	0
ND	Dunn	380250003	2006	262	2213	1.53	1.57	1.26	1.65	0	0	0	0
ND	Dunn	380250003	2007	79	667	1.65	1.5	1.37	1.69	0	0	0	0
ND	McKenzie	380530002	1997	238	2552	1.5	1.23	1.28	1.61	0	0	0	0
ND	McKenzie	380530002	1998	144	1989	1.66	1.57	1.36	1.7	0	0	0	0
ND	McKenzie	380530002	2001	108	754	1.31	0.84	1.18	1.47	0	0	0	0
ND	McKenzie	380530002	2002	262	3361	1.23	0.77	1.13	1.4	0	0	0	0
ND	McKenzie	380530002	2003	305	5345	1.5	1.29	1.28	1.6	0	0	0	0
ND	McKenzie	380530002	2004	303	4614	1.4	1.19	1.22	1.55	0	0	0	0
ND	McKenzie	380530002	2005	225	2515	1.29	0.82	1.17	1.46	0	0	0	0
ND	McKenzie	380530002	2006	276	2896	1.28	0.85	1.16	1.45	0	0	0	0
ND	McKenzie	380530002	2007	73	511	1.64	1.34	1.38	1.67	0	0	0	0
ND	McKenzie	380530104	1998	224	1525	2.38	4.92	1.59	2.04	4	0	0	0

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ND	McKenzie	380530104	1999	240	1500	2.3	3.7	1.66	1.97	3	3	1	0
ND	McKenzie	380530104	2000	294	2755	1.96	4.07	1.44	1.85	5	2	1	1
ND	McKenzie	380530104	2001	283	2281	1.68	1.75	1.38	1.72	1	0	0	0
ND	McKenzie	380530104	2002	236	1526	1.9	4.04	1.34	1.83	9	2	0	0
ND	McKenzie	380530104	2003	293	2333	1.98	5.29	1.3	1.84	15	3	1	0
ND	McKenzie	380530104	2004	271	2231	1.34	1.34	1.19	1.49	1	0	0	0
ND	McKenzie	380530104	2005	245	1900	1.32	2.32	1.14	1.46	2	0	0	0
ND	McKenzie	380530104	2006	234	1827	1.32	1.78	1.14	1.46	4	1	0	0
ND	McKenzie	380530104	2007	71	764	1.44	1.13	1.26	1.56	0	0	0	0
ND	McKenzie	380530111	1998	258	2063	3.11	7.34	1.8	2.29	7	2	0	0
ND	McKenzie	380530111	1999	294	2379	2.36	5.4	1.56	2.02	7	2	1	1
ND	McKenzie	380530111	2000	329	2805	2.68	8.27	1.65	2.1	7	5	4	2
ND	McKenzie	380530111	2001	336	3183	1.81	2.09	1.4	1.81	0	0	0	0
ND	McKenzie	380530111	2002	297	2255	1.87	3.52	1.38	1.8	8	3	1	0
ND	McKenzie	380530111	2003	288	2243	2.03	3.84	1.44	1.87	7	2	1	0
ND	McKenzie	380530111	2004	308	2857	1.82	5.94	1.27	1.72	3	1	1	0
ND	McKenzie	380530111	2005	296	2790	1.39	3.28	1.14	1.5	5	2	0	0
ND	McKenzie	380530111	2006	304	2896	1.35	2.43	1.16	1.48	4	1	0	0
ND	McKenzie	380530111	2007	78	722	1.61	1.89	1.3	1.69	1	1	0	0
ND	Mercer	380570001	1997	243	2824	2.93	4.29	1.87	2.26	0	0	0	0
ND	Mercer	380570001	1998	319	4735	3.33	6.47	2.09	2.28	5	2	0	0
ND	Mercer	380570001	1999	14	320	5.18	3.12	4.43	1.73	0	0	0	0
ND	Mercer	380570004	1999	334	5584	2.6	3.94	1.66	2.2	3	1	0	0
ND	Mercer	380570004	2000	362	7348	2.29	3.8	1.55	2.06	3	1	0	0
ND	Mercer	380570004	2001	338	4647	2.9	5.34	1.76	2.26	8	0	0	0
ND	Mercer	380570004	2002	336	3701	2.65	4.59	1.73	2.17	2	1	0	0
ND	Mercer	380570004	2003	351	5555	2.21	3.11	1.55	2.01	1	0	0	0
ND	Mercer	380570004	2004	344	4678	2.62	3.57	1.73	2.19	1	0	0	0
ND	Mercer	380570004	2005	273	3037	2.43	3.25	1.68	2.08	0	0	0	0
ND	Mercer	380570004	2006	301	2755	2.77	3.37	1.86	2.21	0	0	0	0
ND	Mercer	380570004	2007	107	1133	2.48	3.44	1.7	2.1	0	0	0	0
ND	Morton	380590002	1997	346	6547	9.31	20.26	2.93	3.67	102	19	1	0
ND	Morton	380590002	1998	290	4696	9.3	22.47	2.78	3.75	75	8	0	0
ND	Morton	380590002	1999	359	6837	7.7	16.99	2.53	3.55	90	4	0	0

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ND	Morton	380590002	2000	363	7964	6.47	14.58	2.22	3.31	73	3	0	0
ND	Morton	380590002	2001	346	5947	7.48	13.57	2.81	3.5	66	2	0	0
ND	Morton	380590002	2002	355	6258	6.26	12.03	2.49	3.25	59	1	0	0
ND	Morton	380590002	2003	365	8033	6.25	13.66	2.33	3.18	82	3	1	0
ND	Morton	380590002	2004	363	7532	6.74	13.2	2.62	3.29	76	2	0	0
ND	Morton	380590002	2005	111	1450	4.85	6.08	2.7	2.82	1	0	0	0
ND	Morton	380590003	1998	95	1924	3.71	7.47	2.01	2.48	8	0	0	0
ND	Morton	380590003	1999	353	6522	5.06	8.84	2.48	2.88	41	2	1	0
ND	Morton	380590003	2000	351	5984	4.71	8.04	2.44	2.74	24	0	0	0
ND	Morton	380590003	2001	357	6345	4.94	8.17	2.54	2.81	27	1	0	0
ND	Morton	380590003	2002	342	5245	4.41	7.53	2.35	2.68	26	1	0	0
ND	Morton	380590003	2003	364	7991	3.55	6.34	1.96	2.49	27	0	0	0
ND	Morton	380590003	2004	344	6338	4.44	7.03	2.5	2.59	24	0	0	0
ND	Morton	380590003	2005	106	1012	3.84	5.1	2.42	2.39	1	0	0	0
ND	Oliver	380650002	1997	244	2356	4.28	7.23	2.3	2.63	7	0	0	0
ND	Oliver	380650002	1998	319	4175	3.92	7.23	2.1	2.58	12	1	0	0
ND	Oliver	380650002	1999	349	4856	3.47	6.94	1.93	2.42	15	1	0	0
ND	Oliver	380650002	2000	351	4765	3.14	5.54	1.89	2.32	8	0	0	0
ND	Oliver	380650002	2001	214	2404	3.42	5.86	1.96	2.42	1	0	0	0
ND	Oliver	380650002	2002	350	4482	2.71	4.75	1.69	2.21	4	0	0	0
ND	Oliver	380650002	2003	357	6953	2.37	5.58	1.47	2.05	10	1	0	0
ND	Oliver	380650002	2004	354	6138	2.76	5.16	1.65	2.24	7	1	1	0
ND	Oliver	380650002	2005	275	2443	3.86	6.7	2.05	2.62	6	2	0	0
ND	Oliver	380650002	2006	325	3369	2.85	4.32	1.77	2.28	1	0	0	0
ND	Oliver	380650002	2007	101	780	4.12	6.99	2.35	2.53	2	0	0	0
ND	Steele	380910001	1997	216	3134	1.41	0.74	1.28	1.5	0	0	0	0
ND	Steele	380910001	1998	202	2804	2.22	2.1	1.72	1.91	0	0	0	0
ND	Steele	380910001	1999	152	1845	1.25	0.79	1.14	1.42	0	0	0	0
ND	Steele	380910001	2000	83	805	1.11	0.4	1.07	1.26	0	0	0	0
ND	Williams	381050103	2002	319	2724	3.18	7.56	1.68	2.36	8	3	1	0
ND	Williams	381050103	2003	339	3323	2.48	3.71	1.64	2.13	3	0	0	0
ND	Williams	381050103	2004	348	3438	2.52	5.21	1.62	2.12	5	3	1	0
ND	Williams	381050103	2005	301	2331	3.51	8	1.85	2.45	20	3	1	0
ND	Williams	381050103	2006	322	2976	1.88	2.32	1.4	1.87	0	0	0	0

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ND	Williams	381050103	2007	86	834	3.35	4.62	2.07	2.4	0	0	0	0
ND	Williams	381050105	2002	302	2843	6.77	10.88	2.93	3.34	35	4	1	0
ND	Williams	381050105	2003	342	3523	5.67	9.39	2.55	3.12	13	1	0	0
ND	Williams	381050105	2004	346	4129	5.64	10.64	2.55	3.1	19	2	2	1
ND	Williams	381050105	2005	349	4492	6.79	13	2.49	3.46	52	12	1	0
ND	Williams	381050105	2006	262	2938	3.74	6.66	1.91	2.62	14	1	0	0
ND	Williams	381050105	2007	24	263	3.59	5.63	1.99	2.53	1	0	0	0
PA	Allegheny	420030002	1997	357	7821	12.57	15.05	7.69	2.68	70	8	2	0
PA	Allegheny	420030002	1998	3	72	43.18	32.27	31.63	2.43	3	1	0	0
PA	Allegheny	420030002	1999	325	6986	11.04	11.16	7.36	2.53	31	2	0	0
PA	Allegheny	420030021	1997	355	7830	18.11	18.87	11.07	2.93	87	19	5	2
PA	Allegheny	420030021	1998	3	72	10.22	8.23	7.48	2.27	0	0	0	0
PA	Allegheny	420030021	1999	362	8279	9	7.94	6.64	2.2	3	0	0	0
PA	Allegheny	420030021	2002	313	7291	7.32	7.33	4.49	2.85	3	0	0	0
PA	Allegheny	420030031	1997	362	8000	10.98	9.63	8.05	2.24	12	1	0	0
PA	Allegheny	420030031	1998	3	68	11.38	9.36	8.2	2.3	0	0	0	0
PA	Allegheny	420030031	1999	360	7443	8.98	7.84	6.43	2.33	1	0	0	0
PA	Allegheny	420030032	1997	364	7951	15.4	19.34	9.39	2.73	84	15	6	4
PA	Allegheny	420030032	1998	3	60	35.2	20.65	27.51	2.26	2	0	0	0
PA	Allegheny	420030032	1999	210	4326	8.18	7.8	5.66	2.41	2	0	0	0
PA	Allegheny	420030064	1997	361	7526	11.9	13.08	7.16	2.86	17	2	0	0
PA	Allegheny	420030064	1998	3	71	20.11	7.99	18.41	1.56	0	0	0	0
PA	Allegheny	420030064	1999	355	7232	12.11	14.34	7.35	2.78	18	3	2	1
PA	Allegheny	420030064	2002	350	8239	10.9	13.26	5.91	3.15	18	5	1	0
PA	Allegheny	420030067	1997	364	8231	10.43	11.13	6.69	2.62	12	2	1	1
PA	Allegheny	420030067	1998	3	72	17.01	12.54	12.63	2.25	0	0	0	0
PA	Allegheny	420030067	1999	257	5891	10.05	8.81	7.35	2.22	1	0	0	0
PA	Allegheny	420030116	1997	361	7767	13.26	17.76	8.33	2.6	60	19	12	8
PA	Allegheny	420030116	1998	3	70	17	11.04	12.59	2.46	0	0	0	0
PA	Allegheny	420030116	1999	299	5684	12.12	16.01	7.82	2.54	50	26	13	8
PA	Allegheny	420030116	2002	232	5403	7	7.96	4.56	2.5	3	0	0	0
PA	Allegheny	420031301	1997	363	7663	9.37	9.8	6.25	2.48	21	4	1	1
PA	Allegheny	420031301	1998	3	70	12.66	6.88	11.29	1.58	0	0	0	0
PA	Allegheny	420031301	1999	363	8161	9.64	9.62	6.57	2.44	21	3	1	1



State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
PA	Allegheny	420033003	1997	356	7422	11.8	13.86	7.01	2.85	27	1	0	0
PA	Allegheny	420033003	1998	2	45	11.47	6.31	9.35	2.09	0	0	0	0
PA	Allegheny	420033003	1999	350	6998	13.59	19.91	7.86	2.86	37	2	2	2
PA	Allegheny	420033003	2002	316	7363	12.66	18.25	6.32	3.29	53	8	5	3
PA	Allegheny	420033004	1997	362	7461	9.18	9.66	6.17	2.47	12	2	0	0
PA	Allegheny	420033004	1998	3	66	13.12	6.01	11.71	1.65	0	0	0	0
PA	Allegheny	420033004	1999	361	7408	8.55	9.09	5.79	2.47	6	3	2	0
PA	Beaver	420070002	1997	351	7889	11.83	15.38	6.83	2.84	91	11	1	1
PA	Beaver	420070002	1998	270	6205	12.96	16.48	7.8	2.71	74	6	2	0
PA	Beaver	420070005	1997	359	7447	16.57	25.11	8.65	3.14	98	39	17	11
PA	Beaver	420070005	1998	277	6388	16.14	26.85	8.36	3.01	92	39	21	13
PA	Beaver	420070005	2002	361	8491	14.24	26.51	5.28	4.12	113	49	23	13
PA	Beaver	420070005	2003	365	8706	10.79	17.07	4.38	3.83	75	16	3	2
PA	Beaver	420070005	2004	364	8656	11.59	17.68	5.55	3.39	74	22	10	3
PA	Beaver	420070005	2005	362	8578	12.57	18.18	6.82	3.04	75	26	12	7
PA	Beaver	420070005	2006	361	8457	9.26	18.5	3.49	3.78	71	30	11	5
PA	Beaver	420070005	2007	324	7556	9.79	13.98	4.94	3.26	45	12	4	1
PA	Berks	420110009	1997	350	7805	8.66	8.87	5.87	2.44	35	4	0	0
PA	Berks	420110009	1998	365	8641	8.93	7.56	7.11	1.92	33	3	0	0
PA	Berks	420110009	1999	119	2790	9.22	8.38	6.86	2.17	9	1	0	0
PA	Cambria	420210011	1997	361	8129	9.76	9.15	6.72	2.47	8	0	0	0
PA	Cambria	420210011	1998	356	7908	8.78	9.69	5.65	2.62	16	1	0	0
PA	Cambria	420210011	1999	120	2835	9.74	7.99	7.61	1.99	1	0	0	0
PA	Erie	420490003	1997	363	8169	9.76	11.22	6.68	2.33	60	9	1	0
PA	Erie	420490003	1998	363	8416	10.57	13.5	7.09	2.35	60	12	1	0
PA	Erie	420490003	1999	120	2778	11.48	15.12	7.46	2.48	26	7	3	0
PA	Philadelphia	421010022	1997	364	8297	8.56	8.74	5.63	2.57	7	1	0	0
PA	Philadelphia	421010022	1998	363	8065	7.3	7.04	4.82	2.56	2	0	0	0
PA	Philadelphia	421010022	1999	137	2665	7.79	8.26	4.76	2.79	4	1	0	0
PA	Philadelphia	421010022	2000	179	3630	7.63	6.88	5.05	2.62	1	0	0	0
PA	Philadelphia	421010022	2001	98	2094	7.53	7.17	5.16	2.44	0	0	0	0
PA	Philadelphia	421010048	1997	365	8456	8.88	18.38	4.96	2.74	59	40	27	23
PA	Philadelphia	421010048	1998	356	7285	6.27	6.03	4.21	2.48	0	0	0	0
PA	Philadelphia	421010048	1999	178	3939	6.08	6.57	3.95	2.53	1	1	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
PA	Philadelphia	421010136	1997	360	7532	4.99	5.52	3.29	2.43	1	0	0	0
PA	Philadelphia	421010136	1998	339	6491	5.25	5.52	3.5	2.44	2	0	0	0
PA	Philadelphia	421010136	1999	337	7144	5.63	6.04	3.71	2.48	2	1	0	0
PA	Philadelphia	421010136	2000	351	7044	5.76	5.97	3.74	2.54	0	0	0	0
PA	Philadelphia	421010136	2001	266	5149	6.77	7.43	4.38	2.55	2	0	0	0
PA	Philadelphia	421010136	2002	359	7271	5.38	5.7	3.57	2.47	3	0	0	0
PA	Philadelphia	421010136	2003	119	2585	6.74	6.71	4.62	2.42	2	0	0	0
PA	Warren	421230003	1997	346	7157	10.53	11.59	6.64	2.68	26	3	0	0
PA	Warren	421230003	1998	89	2126	7.62	7.38	5.41	2.26	0	0	0	0
PA	Warren	421230004	1997	355	7022	17.14	28.18	7.47	3.66	148	44	14	8
PA	Warren	421230004	1998	89	1966	13.97	21.76	6.8	3.18	30	6	2	0
PA	Washington	421250005	1997	364	8374	8.95	8.41	6.45	2.25	7	0	0	0
PA	Washington	421250005	1998	362	8540	8.88	7.78	6.68	2.14	4	0	0	0
PA	Washington	421250005	1999	120	2821	8.32	7.68	6.36	2.02	1	0	0	0
PA	Washington	421250200	1997	364	8369	10.52	11.23	6.99	2.45	17	0	0	0
PA	Washington	421250200	1998	365	8656	10.46	10.49	7.18	2.37	15	1	0	0
PA	Washington	421250200	1999	120	2829	10.15	9.81	7	2.4	3	1	0	0
PA	Washington	421255001	1997	365	8425	12.71	15.24	8.39	2.36	57	5	1	0
PA	Washington	421255001	1998	277	6559	13.46	13.09	10.28	1.97	42	3	0	0
SC	Barnwell	450110001	2000	100	789	3.95	2.83	3.39	1.66	0	0	0	0
SC	Barnwell	450110001	2001	267	2625	2.72	2.61	2.13	1.93	1	0	0	0
SC	Barnwell	450110001	2002	202	2544	2.11	1.72	1.67	1.88	0	0	0	0
SC	Charleston	450190003	2000	114	1703	6.24	5.36	4.77	2.02	1	0	0	0
SC	Charleston	450190003	2001	344	4806	4.16	4.12	2.95	2.22	1	0	0	0
SC	Charleston	450190003	2002	201	3509	2.85	3.49	1.97	2.16	0	0	0	0
SC	Charleston	450190046	2000	100	1252	4.61	3.9	3.71	1.84	0	0	0	0
SC	Charleston	450190046	2001	269	3497	2.64	2.6	1.99	2	0	0	0	0
SC	Charleston	450190046	2002	189	2927	2.34	2.89	1.68	2.02	0	0	0	0
SC	Georgetown	450430006	2000	71	604	4.92	4.35	3.97	1.82	0	0	0	0
SC	Georgetown	450430006	2001	241	2218	4.76	6.11	3.13	2.33	3	0	0	0
SC	Georgetown	450430006	2002	140	1169	2.5	4.33	1.67	2.08	1	0	0	0
SC	Greenville	450450008	2000	113	1987	4.84	3.75	3.95	1.82	0	0	0	0
SC	Greenville	450450008	2001	356	6418	4.24	3.86	3.18	2.1	3	0	0	0
SC	Greenville	450450008	2002	212	4679	3.06	2.8	2.29	2.09	1	0	0	0

State	County	Monitor ID	Year	Days (n)	Hours (n)	Annual Hourly (ppb)				Number of 5-minute Daily Maximum			
						Mean	std	GM	GSD	> 100 ppb	> 200 ppb	> 300 ppb	> 400 ppb
SC	Lexington	450630008	2001	263	3941	4.2	7.8	2.37	2.44	26	3	0	0
SC	Lexington	450630008	2002	211	4242	4.5	8.74	2.33	2.61	22	3	0	0
SC	Oconee	450730001	2000	89	1218	3.85	2.87	3.26	1.7	0	0	0	0
SC	Oconee	450730001	2001	288	4304	2.9	2.1	2.35	1.89	0	0	0	0
SC	Oconee	450730001	2002	188	3063	1.82	1.52	1.43	1.95	0	0	0	0
SC	Richland	450790007	2000	110	1808	4.48	2.81	3.86	1.69	0	0	0	0
SC	Richland	450790007	2001	365	6419	3.88	3.47	2.99	2.02	0	0	0	0
SC	Richland	450790007	2002	210	4335	2.95	2.71	2.23	2.04	0	0	0	0
SC	Richland	450790021	2000	109	911	4.43	5.47	3.4	1.85	0	0	0	0
SC	Richland	450790021	2001	283	2700	3.73	4.89	2.64	2.1	0	0	0	0
SC	Richland	450790021	2002	202	2505	2.94	4.85	1.92	2.16	0	0	0	0
SC	Richland	450791003	2001	193	3346	3.14	2.8	2.46	1.96	0	0	0	0
SC	Richland	450791003	2002	212	4323	2.87	2.8	2.16	2.04	0	0	0	0
UT	Salt Lake	490352004	1997	335	4524	2.31	2.5	1.76	1.94	6	1	0	0
UT	Salt Lake	490352004	1998	354	5792	1.94	1.66	1.58	1.78	0	0	0	0
WV	Wayne	540990002	2002	365	8711	7.49	7.14	5.13	2.42	1	0	0	0
WV	Wayne	540990003	2002	361	7417	8.48	9.1	5.21	2.75	7	2	1	1
WV	Wayne	540990003	2003	362	8057	8.76	9.73	5.56	2.58	8	0	0	0
WV	Wayne	540990003	2004	366	8659	9.21	9.46	6.38	2.31	5	1	0	0
WV	Wayne	540990003	2005	365	8141	9.58	11.8	5.96	2.61	6	0	0	0
WV	Wayne	540990004	2002	362	8560	9.21	9.18	6.37	2.37	22	1	1	1
WV	Wayne	540990004	2003	365	8570	8.53	9.77	5.84	2.35	26	4	3	1
WV	Wayne	540990004	2004	366	8673	7.22	6.66	5.36	2.12	6	0	0	0
WV	Wayne	540990004	2005	363	8586	7.67	6.39	5.97	2	7	0	0	0
WV	Wayne	540990005	2002	365	8283	8.44	9.75	5.38	2.58	67	3	0	0
WV	Wayne	540990005	2003	365	7927	8.31	11.03	5.02	2.7	52	20	5	0
WV	Wayne	540990005	2004	366	8681	7.03	5.92	5.25	2.16	2	0	0	0
WV	Wayne	540990005	2005	365	8453	6.68	5.52	4.89	2.26	4	1	0	0
WV	Wood	541071002	2001	92	2152	7.76	12.51	4.04	3.04	9	3	2	1
WV	Wood	541071002	2002	365	8648	9.9	11.29	6.21	2.63	42	7	1	0
WV	Wood	541071002	2003	365	8641	9.48	12.26	5.8	2.61	53	9	2	0
WV	Wood	541071002	2004	366	8581	10.88	13.25	7	2.55	57	13	3	1
WV	Wood	541071002	2005	266	6219	8.34	12.71	4.07	3.23	42	12	1	1

## **Appendix B: Supplement to the SO<sub>2</sub> Exposure Assessment**

## **B.1 OVERVIEW**

This appendix contains supplemental descriptions of the methods and data used in the SO<sub>2</sub> exposure assessment, as well as detailed results from the exposure analyses performed. First, a broad description of the exposure modeling approach is described (section B.2), applicable to the two exposure modeling domains conducted: Greene County, Mo. and St. Louis, MO. Supplementary input data used in AERMOD are provided in section B.3, as well as the model predictions and ambient monitor measurements in each modeling domain. Section B.4 has additional input and output data for APEX.

A series of Attachments also follow, further documenting some of the data sources and modeling approaches used, as well as previously conducted uncertainty analyses on selected input parameters in APEX:

Attachment 1. Technical Memorandum on Meteorological Data Preparation for AERMOD for  
SO<sub>2</sub> REA for Greene County And St. Louis Modeling Domains, Year 2002.

Attachment 2. Technical Memorandum on the Analysis of NHIS Asthma Prevalence Data.

Attachment 3. Technical Memorandum on Estimating Physiological Parameters for the Exposure  
Model

Attachment 4. Technical Memorandum on Longitudinal Diary Construction Approach

Attachment 5. Technical Memorandum on the Evaluation Cluster-Markov Algorithm

Attachment 6. Technical Memorandum on Analysis of Air Exchange Rate Data

Attachment 7. Technical Memorandum on the Uncertainty Analysis of Residential Air Exchange  
Rate Distributions

Attachment 8. Technical Memorandum on the Distributions of Air Exchange Rate Averages  
Over Multiple Days

## **B.2 HUMAN EXPOSURE MODELING USING APEX**

The Air Pollutants Exposure model (APEX) is a personal computer (PC)-based program designed to estimate human exposure to criteria and air toxic pollutants at the local, urban, and consolidated metropolitan levels. APEX, also known as TRIM.Expo, is the human inhalation exposure module of EPA's Total Risk Integrated Methodology (TRIM) model framework (US EPA, 1999), a modeling system with multimedia capabilities for assessing human health and ecological risks from hazardous and criteria air pollutants. It is developed to support evaluations with a scientifically sound, flexible, and user-friendly methodology. Additional information on the TRIM modeling system, as well as downloads of the APEX Model, user's guide, and other supporting documentation, are on EPA's Technology Transfer Network (TTN) at <http://www.epa.gov/ttn/fera>.

### **B.2.1 History**

APEX was derived from the National Ambient Air Quality Standards (NAAQS) Exposure Model (NEM) series of models, developed to estimate exposure to the criteria pollutants (e.g., carbon monoxide (CO), ozone O<sub>3</sub>). In 1979, EPA began by assembling a database of human activity patterns that could be used to estimate exposures to indoor and outdoor pollutants (Roddin et al., 1979). These data were then combined with measured outdoor concentrations in NEM to estimate exposures to CO (Biller et al., 1981; Johnson and Paul, 1983). In 1988, OAQPS began to incorporate probabilistic elements into the NEM methodology and use activity pattern data based on various human activity diary studies to create an early version of probabilistic NEM for O<sub>3</sub> (i.e., pNEM/O<sub>3</sub>). In 1991, a probabilistic version of NEM was extended to CO (pNEM/CO) that included a one-compartment mass-balance model to estimate CO concentrations in indoor microenvironments. The application of this model to Denver, Colorado has been documented in Johnson et al. (1992). Additional enhancements to pNEM/O<sub>3</sub> in the early- to mid-1990's allowed for probabilistic exposure assessments in nine urban areas for the general population, outdoor children, and outdoor workers (Johnson et al., 1996a; 1996b; 1996c). Between 1999 and 2001, updated versions of pNEM/CO (versions 2.0 and 2.1) were developed that relied on activity diary data from EPA's Consolidated Human Activities Database (CHAD) and enhanced algorithms for simulating gas stove usage, estimating alveolar ventilation rate (a measure of human respiration), and modeling home-to-work commuting patterns.

The first version of APEX was essentially identical to pNEM/CO (version 2.0) except that it was capable of running on a PC instead of a mainframe. The next version, APEX2, was substantially different, particularly in the use of a personal profile approach (i.e., simulation of individuals) rather than a cohort simulation (i.e., groups of similar persons). APEX3 introduced a number of new features including automatic site selection from national databases, a series of new output tables providing summary exposure and dose statistics, and a thoroughly reorganized method of describing microenvironments and their parameters. Most of the spatial and temporal constraints of pNEM and APEX1 were removed or relaxed by version 3.

The version of APEX used in this exposure assessment is APEX4.3, described in the APEX User's Guide and the APEX Technical Support Document (US EPA, 2009a; 2009b) and referred to here as the APEX User's Guide and TSD. This latest version has the added flexibility of addressing user defined exposure timesteps within an hour.

### **B.2.2 APEX Model Overview**

APEX estimates human exposure to criteria and toxic air pollutants at the local, urban, or consolidated metropolitan area levels using a stochastic, microenvironmental approach. The model randomly selects data for a sample of hypothetical individuals from an actual population database and simulates each hypothetical individual's movements through time and space (e.g., at home, in vehicles) to estimate their exposure to a pollutant. APEX simulates commuting, and thus exposures that occur at home and work locations, for individuals who work in different areas than they live.

A **microenvironment** is a three-dimensional space in which human contact with an environmental pollutant takes place and which can be treated as a well-characterized, relatively homogeneous location with respect to pollutant concentrations for a specified time period.

APEX can be conceptualized as a simulated field study that would involve selecting an actual sample of specific individuals who live in (or work and live in) a geographic area and then continuously monitoring their activities and subsequent inhalation exposure to a specific air pollutant during a specific period of time.

The main differences between APEX and an actual field study are that in APEX:

- The sample of individuals is a virtual sample, not actual persons. However, the population of individuals appropriately balanced according to various demographic

- variables and census data using their relative frequencies, in order to obtain a representative sample (to the extent possible) of the actual people in the study area
- The activity patterns of the sampled individuals (e.g., the specification of indoor and other microenvironments visited and the time spent in each) are assumed by the model to be comparable to individuals with similar demographic characteristics, according to activity data such as diaries compiled in EPA's Consolidated Human Activity Database (or CHAD; US EPA, 2002; McCurdy et al., 2000)
  - The pollutant exposure concentrations are estimated by the model using a set of user-input ambient outdoor concentrations (either modeled or measured) and information on the behavior of the pollutant in various microenvironments;
  - Variation in ambient air quality levels can be simulated by either adjusting air quality concentrations to just meet alternative ambient standards, or by reducing source emissions and obtaining resulting air quality modeling outputs that reflect these potential emission reductions, and
  - The model accounts for the most significant factors contributing to inhalation exposure – the temporal and spatial distribution of people and pollutant concentrations throughout the study area and among microenvironments – while also allowing the flexibility to adjust some of these factors for alternative scenarios and sensitivity analyses.

APEX is designed to simulate human population exposure to criteria and air toxic pollutants at local, urban, and regional scales. The user specifies the geographic area to be modeled and the number of individuals to be simulated to represent this population. APEX then generates a personal profile for each simulated person that specifies various parameter values required by the model. The model next uses diary-derived time/activity data matched to each personal profile to generate an exposure event sequence (also referred to as *activity pattern* or *diary*) for the modeled individual that spans a specified time period, such as one year. Each event in the sequence specifies a start time, exposure duration, geographic location, microenvironment, and activity performed. Probabilistic algorithms are used to estimate the pollutant concentration associated with each exposure event. The estimated pollutant concentrations account for the effects of ambient (outdoor) pollutant concentration, penetration factors, air exchange rates, decay/deposition rates, and proximity to emission sources, depending



on the microenvironment, available data, and estimation method selected by the user. Because the modeled individuals represent a random sample of the population of interest, the distribution of modeled individual exposures can be extrapolated to the larger population. Additional discussion regarding the five basic exposure modeling steps noted in the SO<sub>2</sub> REA are described in sections that follow.

#### **B.2.2.1 Study Area Characterization**

The APEX study area has traditionally been on the scale of a city or slightly larger metropolitan area, although it is now possible to model larger areas such as combined statistical areas (CSAs). In the exposure analyses performed as part of this NAAQS review, the study area is defined by either a single or a few counties. The demographic data used by the model to create personal profiles is provided at the census block level. For each block the model requires demographic information representing the distribution of age, gender, race, and work status within the study population. Each block has a location specified by latitude and longitude for some representative point (e.g., geographic center). The current release of APEX includes input files that already contain this demographic and location data for all census tracts, block groups, and blocks in the 50 United States, based on the 2000 Census. In this assessment, exposures were evaluated at the block level.

#### ***Air Quality Data***

Air quality data can be input to the model as measured data from an ambient monitor or that generated by air quality modeling. This exposure analysis used modeled air quality data, whereas the principal emission sources included both mobile and stationary sources as well as fugitive emissions. Air quality data used for input to APEX were generated using AERMOD, a steady-state, Gaussian plume model (US EPA, 2004). The following steps were performed using AERMOD.

In APEX, the ambient air quality data are assigned to geographic areas called districts. The districts are used to assign pollutant concentrations to the blocks/tracts and microenvironments being modeled. The ambient air quality data are provided by the user as hourly time series for each district. As with blocks/tracts, each district has a representative location (latitude and longitude). APEX calculates the distance from each block/tract to each district center, and assigns the block/tract to the nearest district, provided the block/tract representative location point (e.g., geographic center) is in the district. Each block/tract can be

assigned to only one district. In this assessment the district was synonymous with the receptor modeled in the dispersion modeling.

### ***Meteorological Data***

Ambient temperatures are input to APEX for different sites (locations). As with districts, APEX calculates the distance from each block to each temperature site and assigns each block to the nearest site. Hourly temperature data are from the National Climatic Data Center Surface Airways Hourly TD-3280 dataset (NCDC Surface Weather Observations). Daily average and 1-hour maxima are computed from these hourly data.

There are two files that are used to provide meteorological data to APEX. One file, the meteorological station location file, contains the locations of meteorological data recordings expressed in latitude and longitude coordinates. This file also contains start and end dates for the data recording periods. The temperature data file contains the data from the locations in the temperature zone location file. This file contains hourly temperature readings for the period being modeled for the meteorological stations in and around the study area.

#### **B.2.2.2 Generate Simulated Individuals**

APEX stochastically generates a user-specified number of simulated persons to represent the population in the study area. Each simulated person is represented by a personal profile, a summary of personal attributes that define the individual. APEX generates the simulated person or profile by probabilistically selecting values for a set of profile variables (Table B.2-1). The profile variables could include:

- Demographic variables, generated based on the census data;
- Physical variables, generated based on sets of distribution data;
- Other daily varying variables, generated based on literature-derived distribution data that change daily during the simulation period.

APEX first selects demographic and physical attributes for each specified individual, and then follows the individual over time and calculates his or her time series of exposure.

**Table B.2-1. Examples of profile variables in APEX.**

<b>Variable Type</b>	<b>Profile Variables</b>	<b>Description</b>
Demographic	Age	Age (years)
	Gender	Male or Female
	Home block	Block in which a simulated person lives
	Work tract	Tract in which a simulated person works
	Employment status	Indicates employment outside home
Physical	Air conditioner	Indicates presence of air conditioning at home
	Gas Stove	Indicates presence of gas stove at home

### ***Population Demographics***

APEX takes population characteristics into account to develop accurate representations of study area demographics. Specifically, population counts by area and employment probability estimates are used to develop representative profiles of hypothetical individuals for the simulation.

APEX is flexible in the resolution of population data provided. As long as the data are available, any resolution can be used (e.g., county, census tract, census block). For this application of the model, census block level data were used. Block-level population counts come from the 2000 Census of Population and Housing Summary File 1 (SF-1). This file contains the 100-percent data, which is the information compiled from the questions asked of all people and about every housing unit.

As part of the population demographics inputs, it is important to integrate working patterns into the assessment. In the 2000 U.S. Census, estimates of employment were developed by census information (US Census Bureau, 2007). The employment statistics are broken down by gender and age group, so that each gender/age group combination is given an employment probability fraction (ranging from 0 to 1) within each census tract. The age groupings used are: 16-19, 20-21, 22-24, 25-29, 30-34, 35-44, 45-54, 55-59, 60-61, 62-64, 65-69, 70-74, and >75. Children under 16 years of age were assumed to be not employed.

Since this analysis was conducted at the census block level, block level employment probabilities were required. It was assumed that the employment probabilities for a census tract apply uniformly to the constituent census blocks.

### ***Commuting***

In addition to using estimates of employment by tract, APEX also incorporates home-to-work commuting data. Commuting data were originally derived from the 2000 Census and were collected as part of the Census Transportation Planning Package (CTPP) (US DOT, 2007). The data used contain counts of individuals commuting from home to work locations at a number of geographic scales. These data were processed to calculate fractions for each tract-to-tract flow to create the national commuting data distributed with APEX. This database contains commuting data for each of the 50 states and Washington, D.C.

### ***Commuting within the Home Tract***

The APEX data set does not differentiate people that work at home from those that commute within their home tract.

### ***Commuting Distance Cutoff***

A preliminary data analysis of the home-work counts showed that a graph of log(flows) versus log(distance) had a near-constant slope out to a distance of around 120 kilometers. Beyond that distance, the relationship also had a fairly constant slope but it was flatter, meaning that flows were not as sensitive to distance. A simple interpretation of this result is that up to 120 km, the majority of the flow was due to persons traveling back and forth daily, and the numbers of such persons decrease rapidly with increasing distance. Beyond 120 km, the majority of the flow is comprised of persons who stay at the workplace for extended times, in which case the separation distance is not as crucial in determining the flow.

To apply the home-work data to commuting patterns in APEX, a simple rule was chosen. It was assumed that all persons in home-work flows up to 120 km are daily commuters, and no persons in more widely separated flows commute daily. This meant that the list of destinations for each home tract was restricted to only those work tracts that are within 120 km of the home tract. When the same cutoff was performed on the 1990 census data, it resulted in 4.75% of the home-work pairs in the nationwide database being eliminated, representing 1.3% of the workers. The assumption is that this 1.3% of workers do not commute from home to work on a daily basis. It is expected that the cutoff reduced the 2000 data by similar amounts.

### ***Eliminated Records***

A number of tract-to-tract pairs were eliminated from the database for various reasons. A fair number of tract-to-tract pairs represented workers who either worked outside of the U.S.

(9,631 tract pairs with 107,595 workers) or worked in an unknown location (120,830 tract pairs with 8,940,163 workers). An additional 515 workers in the commuting database whose data were missing from the original files, possibly due to privacy concerns or errors, were also deleted.

### ***Commuting outside the study area***

APEX allows for some flexibility in the treatment of persons in the modeled population who commute to destinations outside the study area. By specifying “KeepLeavers = No” in the simulation control parameters file, people who work inside the study area but live outside of it are not modeled, nor are people who live in the study area but work outside of it. By specifying “KeepLeavers = Yes,” these commuters are modeled. This triggers the use of two additional parameters, called *LeaverMult* and *LeaverAdd*. While a commuter is at work, if the workplace is outside the study area, then the ambient concentration is assumed to be related to the average concentration over all air districts at the same point in time, and is calculated as:

$$\text{Ambient Concentration} = \text{LeaverMult} \times \text{avg}(t) + \text{LeaverAdd} \quad \text{equation (B-1)}$$

where:

<i>Ambient Concentration</i>	=	Calculated ambient air concentrations for locations outside of the study area (ppm or ppm)
<i>LeaverMult</i>	=	Multiplicative factor for city-wide average concentration, applied when working outside study area
<i>avg(t)</i>	=	Average ambient air concentration over all air districts in study area, for time <i>t</i> (ppm or ppm)
<i>LeaverAdd</i>	=	Additive term applied when working outside study area

All microenvironmental concentrations for locations outside of the study area are determined from this ambient concentration by the same function as applies inside the study area.

### ***Block-level commuting***

For census block simulations, APEX requires block-level commuting file. A special software preprocessor was created to generate these files for APEX on the basis of the tract-level

commuting data and finely-resolved land use data. The software calculates commuting flows between census blocks for the employed population according equation (B-2).

$$Flow_{block} = Flow_{tract} \times F_{pop} \times F_{land} \quad \text{equation (B-2)}$$

where:

- $Flow_{block}$  = flow of working population between a home block and a work block.
- $Flow_{tract}$  = flow of working population between a home tract and a work tract.
- $F_{pop}$  = fraction of home tract's working population residing in the home block.
- $F_{land}$  = fraction of work tract's commercial/industrial land area in the work block

Thus, it is assumed that the frequency of commuting to a workplace block within a tract is proportional to the amount of commercial and industrial land in the block.

### ***Profile Functions***

A *Profile Functions* file contains settings used to generate results for variables related to simulated individuals. While certain settings for individuals are generated automatically by APEX based on other input files, including demographic characteristics, others can be specified using this file. For example, the file may contain settings for determining whether the profiled individual's residence has an air conditioner, a gas stove, etc. As an example, the *Profile Functions* file contains fractions indicating the prevalence of air conditioning in the cities modeled in this assessment (Figure B.2-1). APEX uses these fractions to stochastically generate air conditioning status for each individual. The derivation of particular data used in specific microenvironments is provided below.

```
AC_Home
! Has air conditioning at home
TABLE
INPUT1 PROBABILITY 2    "A/C probabilities"
0.85 0.15
RESULT INTEGER 2        "Yes/No"
1 2
#
```

**Figure B.2-1. Example of a profile function file for A/C prevalence.**

### **B.2.2.3 Longitudinal Activity Pattern Sequences**

Exposure models use human activity pattern data to predict and estimate exposure to pollutants. Different human activities, such as spending time outdoors, indoors, or driving, will have varying pollutant exposure concentrations. To accurately model individuals and their exposure to pollutants, it is critical to understand their daily activities.

The Consolidated Human Activity Database (CHAD) provides data for where people spend time and the activities performed. CHAD was designed to provide a basis for conducting multi-route, multi-media exposure assessments (McCurdy et al., 2000). The data contained within CHAD come from multiple activity pattern surveys with varied structures (Table B.2-2), however the surveys have commonality in containing daily diaries of human activities and personal attributes (e.g., age and gender).

There are four CHAD-related input files used in APEX. Two of these files can be downloaded directly from the CHADNet (<http://www.epa.gov/chadnet1>), and adjusted to fit into the APEX framework. These are the human activity diaries file and the personal data file, and are discussed below. A third input file contains metabolic information for different activities listed in the diary file, these are not used in this exposure analysis. The fourth input file maps five-digit location codes used in the diary file to APEX microenvironments; this file is discussed in the section describing microenvironmental calculations (Section B.2.2.4.4).

#### ***Personal Information file***

Personal attribute data are contained in the CHAD questionnaire file that is distributed with APEX. This file also has information for each day individuals have diaries. The different variables in this file are:

- The study, person, and diary day identifiers
- Day of week
- Gender
- Employment status
- Age in years
- Maximum temperature in degrees Celsius for this diary day
- Mean temperature in degrees Celsius for this diary day
- Occupation code

- Time, in minutes, during this diary day for which no data are included in the database

### ***Diary Events file***

The human activity diary data are contained in the events file that is distributed with APEX. This file contains the activities for the nearly 23,000 people with intervals ranging from one minute to one hour. An individuals' diary varies in length from one to 15 days. This file contains the following variables:

- The study, person, and diary day identifiers
- Start time of this activity
- Number of minutes for this activity
- Activity code (a record of what the individual was doing)
- Location code (a record of where the individual was)



**Table B.2-2. Summary of activity pattern studies used in CHAD.**

<b>Study Name</b>	<b>Location</b>	<b>Study time period</b>	<b>Ages</b>	<b>Persons</b>	<b>Person -days</b>	<b>Diary type /study design</b>	<b>Reference</b>
Baltimore	A single building in Baltimore	01/1997-02/1997, 07/1998-08/1998	72-93	26	292	Diary	Williams et al. (2000)
California Adolescents and Adults (CARB)	California	10/1987-09/1988	12-17 18-94	181 1,552	181 1,552	Recall /Random	Robinson et al. (1989); Wiley et al. (1991a)
California Children (CARB)	California	04/1989-02/1990	0-11	1,200	1,200	Recall /Random	Wiley et al. (1991b)
Cincinnati (EPRI)	Cincinnati MSA	03/1985-04/1985, 08/1985	0-86	888	2,587	Diary /Random	Johnson (1989)
Denver (EPA)	Denver MSA	11/1982-02/1983	18-70	432	791	Diary /Random	Johnson (1984); Akland et al. (1985)
Los Angeles: Elementary School Children	Los Angeles	10/1989	10-12	17	51	Diary	Spier et al. (1992)
Los Angeles: High School Adolescents	Los Angeles	09/1990-10/1990	13-17	19	42	Diary	Spier et al. (1992)
National: NHAPS-Air	National	09/1992-10/1994	0-93	4,326	4,326	Recall /Random	Klepeis et al. (1996); Tsang and Klepeis (1996)
National: NHAPS-Water	National	09/1992-10/1994	0-93	4,332	4,332	Recall /Random	Klepeis et al. (1996); Tsang and Klepeis (1996)
Washington, D.C. (EPA)	Wash. DC MSA	11/1982-02/1983	18-98	639	639	Diary /Random	Hartwell et al. (1984); Akland et al. (1985)

### ***Construction of Longitudinal Activity Sequences***

Typical time-activity pattern data available for inhalation exposure modeling consist of a sequence of location/activity combinations spanning a 24-hour duration, with 1 to 3 diary-days for any single individual. Exposure modeling requires information on activity patterns over longer periods of time, e.g., a full year. For example, even for pollutant health effects with short averaging times (e.g., SO<sub>2</sub> 5-minute average concentration) it may be desirable to know the frequency of exceedances of a concentration over a long period of time (e.g., the annual number of exceedances of a 24-hour average SO<sub>2</sub> concentration of 100 ppb for each simulated individual).

Long-term multi-day activity patterns can be estimated from single days by combining the daily records in various ways, and the method used for combining them will influence the variability of the long-term activity patterns across the simulated population. This in turn will influence the ability of the model to accurately represent either long-term average high-end exposures, or the number of individuals exposed multiple times to short-term high-end concentrations.

A common approach for constructing long-term activity patterns from short-term records is to re-select a daily activity pattern from the pool of data for each day, with the implicit assumption that there is no correlation between activities from day to day for the simulated individual. This approach tends to result in long-term activity patterns that are very similar across the simulated population. Thus, the resulting exposure estimates are likely to underestimate the variability across the population, and therefore, underestimate the high-end exposure concentrations or the frequency of exceedances.

A contrasting approach is to select a single activity pattern (or a single pattern for each season and/or weekday-weekend) to represent a simulated individual's activities over the duration of the exposure assessment. This approach has the implicit assumption that an individual's day-to-day activities are perfectly correlated. This approach tends to result in long-term activity patterns that are very different across the simulated population, and therefore may over-estimate the variability across the population.

### ***Cluster-Markov Algorithm***

A new algorithm has been developed and incorporated into APEX to represent the day-to-day correlation of activities for individuals. The algorithms first use cluster analysis to divide the daily activity pattern records into groups that are similar, and then select a single daily record from each group. This limited number of daily patterns is then used to construct a long-term sequence for a simulated individual, based on empirically-derived transition probabilities. This approach is intermediate between the assumption of no day-to-day correlation (i.e., re-selection for each time period) and perfect correlation (i.e., selection of a single daily record to represent all days).

The steps in the algorithm are as follows.

1. For each demographic group (age, gender, employment status), temperature range, and day-of-week combination, the associated time-activity records are partitioned into 3

groups using cluster analysis. The clustering criterion is a vector of 5 values: the time spent in each of 5 microenvironment categories (indoors – residence; indoors – other building; outdoors – near road; outdoors – away from road; in vehicle).

2. For each simulated individual, a single time-activity record is randomly selected from each cluster.
3. A Markov process determines the probability of a given time-activity pattern occurring on a given day based on the time-activity pattern of the previous day and cluster-to-cluster transition probabilities. The cluster-to-cluster transition probabilities are estimated from the available multi-day time-activity records. If insufficient multi-day time-activity records are available for a demographic group, season, day-of-week combination, then the cluster-to-cluster transition probabilities are estimated from the frequency of time-activity records in each cluster in the CHAD data base.

Details regarding the Cluster-Markov algorithm and supporting evaluations are provided in Attachments 4 and 5.

#### **B.2.2.4 Calculating Microenvironmental Concentrations**

Probabilistic algorithms estimate the pollutant concentration associated with each exposure event. The estimated pollutant concentrations account for the effects of ambient (outdoor) pollutant concentration, penetration factor, air exchange rate, decay/deposition rate, and proximity to microenvironments can use the transfer factors method while the others use the mass balance emission sources, depending on the microenvironment, available data, and the estimation method selected by the user.

APEX calculates air concentrations in the various microenvironments visited by the simulated person by using the ambient air data for the relevant blocks, the user-specified estimation method, and input parameters specific to each microenvironment. APEX calculates hourly concentrations in all the microenvironments at each hour of the simulation for each of the simulated individuals using one of two methods: by mass balance or a transfer factors method.

##### ***Mass Balance Model***

The mass balance method simulates an enclosed microenvironment as a well-mixed volume in which the air concentration is spatially uniform at any specific time. The following processes are used estimate the concentration of an air pollutant in such a microenvironment:

- Inflow of air into the microenvironment

- Outflow of air from the microenvironment
- Removal of a pollutant from the microenvironment due to deposition, filtration, and chemical degradation
- Pollutant emissions inside the microenvironment.

Table B.2-3 lists the parameters required by the mass balance method to calculate concentrations in a microenvironment. A proximity factor ( $f_{proximity}$ ) is used to account for differences in ambient concentrations between the geographic location represented by the ambient air quality data (e.g., a regional fixed-site monitor or modeled concentration) and the geographic location of the microenvironment (e.g., near a roadway). This factor could take a value either greater than or less than 1. Emission source (ES) represents the emission rate for the emission source and concentration source (CS) is the mean air concentration resulting from the source.  $R_{removal}$  is defined as the removal rate of a pollutant from a microenvironment due to deposition, filtration, and chemical reaction. The air exchange rate ( $R_{air\ exchange}$ ) is expressed in air changes per hour.

**Table B.2-3. Mass balance model parameters.**

Variable	Definition	Units	Value Range
$f_{proximity}$	Proximity factor	unitless	$f_{proximity} \geq 0$
CS	Concentration source	ppb	$CS \geq 0$
$R_{removal}$	Removal rate due to deposition, filtration, and chemical reaction	1/hr	$R_{removal} \geq 0$
$R_{air\ exchange}$	Air exchange rate	1/hr	$R_{air\ exchange} \geq 0$
V	Volume of microenvironment	m <sup>3</sup>	$V > 0$

The mass balance equation for a pollutant in a microenvironment is described by:

$$\frac{dC_{ME}(t)}{dt} = \Delta C_{in} - \Delta C_{out} - \Delta C_{removal} + \Delta C_{source} \quad \text{equation (B-3)}$$

where:

$$\begin{aligned} dC_{ME}(t) &= \text{Change in concentration in a microenvironment at time } t \text{ (ppb),} \\ \Delta C_{in} &= \text{Rate of change in microenvironmental concentration due to influx of air (ppb/hour),} \\ \Delta C_{out} &= \text{Rate of change in microenvironmental concentration due to outflux of air (ppb/hour),} \end{aligned}$$

$\Delta C_{removal}$  = Rate of change in microenvironmental concentration due to removal processes (ppb/hour), and

$\Delta C_{source}$  = Rate of change in microenvironmental concentration due to an emission source inside the microenvironment (ppb/hour).

Within the timestep selected, each of the rates of change,  $\Delta C_{in}$ ,  $\Delta C_{out}$ ,  $\Delta C_{removal}$ , and  $\Delta C_{source}$ , is assumed to be constant. At each timestep of the simulation period, APEX estimates the equilibrium, ending, and mean concentrations using a series of equations that account for concentration changes expected to occur due to these physical processes. Details regarding these equations are provided in the APEX TSD (US EPA, 2009b). The calculation continues to the next timestep by using the end concentration for the previous timestep as the initial microenvironmental concentration. A brief description of the input parameters estimates used for microenvironments using the mass balance approach is provided below.

### ***Factors Model***

The factors method is simpler than the mass balance method. It does not calculate concentration in a microenvironment from the concentration in the previous hour and it has fewer parameters. Table B.2-4 lists the parameters required by the factors method to calculate concentrations in a microenvironment without emissions sources.

**Table B.2-4. Factors model parameters.**

Variable	Definition	Units	Value Range
$f_{proximity}$	Proximity factor	unitless	$f_{proximity} \geq 0$
$f_{penetration}$	Penetration factor	unitless	$0 \leq f_{penetration} \leq 1$

The factors method uses the following equation to calculate the timestep concentration in a microenvironment from the user-provided hourly air quality data:

$$C_{ME}^{timestep} = C_{ambient} \times f_{proximity} \times f_{penetration} \quad \text{equation (B-4)}$$

where:

$C_{ME}^{timestep}$  = Timestep concentration in a microenvironment (ppb)

$C_{ambient}$  = Timestep concentration in ambient environment (ppb)

$f_{proximity}$  = Proximity factor (unitless)

$f_{penetration}$  = Penetration factor (unitless)

The ambient NO<sub>2</sub> concentrations are from the air quality data input file. The proximity factor is a unitless parameter that represents the proximity of the microenvironment to a monitoring station. The penetration factor is a unitless parameter that represents the fraction of pollutant entering a microenvironment from outside the microenvironment via air exchange. The development of the specific proximity and penetration factors used in this analysis are discussed below for each microenvironment using this approach.

### ***Microenvironments Modeled***

In APEX, microenvironments represent the exposure locations for simulated individuals. For exposures to be estimated accurately, it is important to have realistic microenvironments that match closely to the locations where actual people spend time on a daily basis. As discussed above, the two methods available in APEX for calculating pollutant levels within microenvironments are: 1) factors and 2) mass balance. A list of microenvironments used in this study, the calculation method used, and the parameters used to calculate the microenvironment concentrations can be found in Table B.2-5.

Each of the microenvironments is designed to simulate an environment in which people spend time during the day. CHAD locations are linked to the different microenvironments in the *Microenvironment Mapping* File (see below). There are many more CHAD locations than microenvironment locations (there are 113 CHAD codes versus 12 microenvironments in this assessment), therefore most of the microenvironments have multiple CHAD locations mapped to them.

**Table B.2-5. List of microenvironments and calculation methods used.**

Microenvironment		Calculation Method	Parameter Types used <sup>1</sup>
No.	Name		
1	Indoors – Residence	Mass balance	AER and DE
2	Indoors – Bars and restaurants	Mass balance	AER and DE
3	Indoors – Schools	Mass balance	AER and DE
4	Indoors – Day-care centers	Mass balance	AER and DE
5	Indoors – Office	Mass balance	AER and DE
6	Indoors – Shopping	Mass balance	AER and DE
7	Indoors – Other	Mass balance	AER and DE
8	Outdoors – Near road	Factors	PR
9	Outdoors – Public garage - parking lot	Factors	PR
10	Outdoors – Other	Factors	None
11	In-vehicle – Cars and Trucks	Factors	PE and PR
12	In-vehicle - Mass Transit (bus, subway, train)	Factors	PE and PR
0	Not modeled		
<sup>1</sup> AER=air exchange rate, DE=decay-deposition rate, PR=proximity factor, PE=penetration factor			

### *Mapping of APEX Microenvironments to CHAD Diaries*

The *Microenvironment Mapping* file matches the APEX Microenvironments to CHAD Location codes. Table B.2-6 gives the mapping used for the APEX simulations.

**Table B.2-6. Mapping of CHAD activity locations to APEX microenvironments.**

CHAD Loc.	Description	APEX micro	
-----	-----	-----	-----
U	Uncertain of correct code	=	-1 Unknown
X	No data	=	-1 Unknown
30000	Residence, general	=	1 Indoors-Residence
30010	Your residence	=	1 Indoors-Residence
30020	Other residence	=	1 Indoors-Residence
30100	Residence, indoor	=	1 Indoors-Residence
30120	Your residence, indoor	=	1 Indoors-Residence
30121	..., kitchen	=	1 Indoors-Residence
30122	..., living room or family room	=	1 Indoors-Residence
30123	..., dining room	=	1 Indoors-Residence
30124	..., bathroom	=	1 Indoors-Residence
30125	..., bedroom	=	1 Indoors-Residence
30126	..., study or office	=	1 Indoors-Residence
30127	..., basement	=	1 Indoors-Residence
30128	..., utility or laundry room	=	1 Indoors-Residence
30129	..., other indoor	=	1 Indoors-Residence
30130	Other residence, indoor	=	1 Indoors-Residence
30131	..., kitchen	=	1 Indoors-Residence
30132	..., living room or family room	=	1 Indoors-Residence
30133	..., dining room	=	1 Indoors-Residence
30134	..., bathroom	=	1 Indoors-Residence
30135	..., bedroom	=	1 Indoors-Residence

30136	..., study or office	=	1	Indoors-Residence
30137	..., basement	=	1	Indoors-Residence
30138	..., utility or laundry room	=	1	Indoors-Residence
30139	..., other indoor	=	1	Indoors-Residence
30200	Residence, outdoor	=	10	Outdoors-Other
30210	Your residence, outdoor	=	10	Outdoors-Other
30211	..., pool or spa	=	10	Outdoors-Other
30219	..., other outdoor	=	10	Outdoors-Other
30220	Other residence, outdoor	=	10	Outdoors-Other
30221	..., pool or spa	=	10	Outdoors-Other
30229	..., other outdoor	=	10	Outdoors-Other
30300	Residential garage or carport	=	7	Indoors-Other
30310	..., indoor	=	7	Indoors-Other
30320	..., outdoor	=	10	Outdoors-Other
30330	Your garage or carport	=	1	Indoors-Residence
30331	..., indoor	=	1	Indoors-Residence
30332	..., outdoor	=	10	Outdoors-Other
30340	Other residential garage or carport	=	1	Indoors-Residence
30341	..., indoor	=	1	Indoors-Residence
30342	..., outdoor	=	10	Outdoors-Other
30400	Residence, none of the above	=	1	Indoors-Residence
31000	Travel, general	=	11	In Vehicle-Cars_and_Trucks
31100	Motorized travel	=	11	In Vehicle-Cars_and_Trucks
31110	Car	=	11	In Vehicle-Cars_and_Trucks
31120	Truck	=	11	In Vehicle-Cars_and_Trucks
31121	Truck (pickup or van)	=	11	In Vehicle-Cars_and_Trucks
31122	Truck (not pickup or van)	=	11	In Vehicle-Cars_and_Trucks
31130	Motorcycle or moped	=	8	Outdoors-Near_Road
31140	Bus	=	12	In Vehicle-Mass_Transit
31150	Train or subway	=	12	In Vehicle-Mass_Transit
31160	Airplane	=	0	Zero_concentration
31170	Boat	=	10	Outdoors-Other
31171	Boat, motorized	=	10	Outdoors-Other
31172	Boat, other	=	10	Outdoors-Other
31200	Non-motorized travel	=	10	Outdoors-Other
31210	Walk	=	10	Outdoors-Other
31220	Bicycle or inline skates/skateboard	=	10	Outdoors-Other
31230	In stroller or carried by adult	=	10	Outdoors-Other
31300	Waiting for travel	=	10	Outdoors-Other
31310	..., bus or train stop	=	8	Outdoors-Near_Road
31320	..., indoors	=	7	Indoors-Other
31900	Travel, other	=	11	In Vehicle-Cars_and_Trucks
31910	..., other vehicle	=	11	In Vehicle-Cars_and_Trucks
32000	Non-residence indoor, general	=	7	Indoors-Other
32100	Office building/ bank/ post office	=	5	Indoors-Office
32200	Industrial/ factory/ warehouse	=	5	Indoors-Office
32300	Grocery store/ convenience store	=	6	Indoors-Shopping
32400	Shopping mall/ non-grocery store	=	6	Indoors-Shopping
32500	Bar/ night club/ bowling alley	=	2	Indoors-Bars_and_Restaurants
32510	Bar or night club	=	2	Indoors-Bars_and_Restaurants
32520	Bowling alley	=	2	Indoors-Bars_and_Restaurants
32600	Repair shop	=	7	Indoors-Other
32610	Auto repair shop/ gas station	=	7	Indoors-Other
32620	Other repair shop	=	7	Indoors-Other
32700	Indoor gym /health club	=	7	Indoors-Other
32800	Childcare facility	=	4	Indoors-Day_Care_Centers
32810	..., house	=	1	Indoors-Residence
32820	..., commercial	=	4	Indoors-Day_Care_Centers
32900	Large public building	=	7	Indoors-Other
32910	Auditorium/ arena/ concert hall	=	7	Indoors-Other
32920	Library/ courtroom/ museum/ theater	=	7	Indoors-Other
33100	Laundromat	=	7	Indoors-Other
33200	Hospital/ medical care facility	=	7	Indoors-Other
33300	Barber/ hair dresser/ beauty parlor	=	7	Indoors-Other
33400	Indoors, moving among locations	=	7	Indoors-Other



33500	School	=	3	Indoors-Schools
33600	Restaurant	=	2	Indoors-Bars_and_Restaurants
33700	Church	=	7	Indoors-Other
33800	Hotel/ motel	=	7	Indoors-Other
33900	Dry cleaners	=	7	Indoors-Other
34100	Indoor parking garage	=	7	Indoors-Other
34200	Laboratory	=	7	Indoors-Other
34300	Indoor, none of the above	=	7	Indoors-Other
35000	Non-residence outdoor, general	=	10	Outdoors-Other
35100	Sidewalk, street	=	8	Outdoors-Near_Road
35110	Within 10 yards of street	=	8	Outdoors-Near_Road
35200	Outdoor public parking lot /garage	=	9	Outdoors-Public_Garage-Parking
35210	..., public garage	=	9	Outdoors-Public_Garage-Parking
35220	..., parking lot	=	9	Outdoors-Public_Garage-Parking
35300	Service station/ gas station	=	10	Outdoors-Other
35400	Construction site	=	10	Outdoors-Other
35500	Amusement park	=	10	Outdoors-Other
35600	Playground	=	10	Outdoors-Other
35610	..., school grounds	=	10	Outdoors-Other
35620	..., public or park	=	10	Outdoors-Other
35700	Stadium or amphitheater	=	10	Outdoors-Other
35800	Park/ golf course	=	10	Outdoors-Other
35810	Park	=	10	Outdoors-Other
35820	Golf course	=	10	Outdoors-Other
35900	Pool/ river/ lake	=	10	Outdoors-Other
36100	Outdoor restaurant/ picnic	=	10	Outdoors-Other
36200	Farm	=	10	Outdoors-Other
36300	Outdoor, none of the above	=	10	Outdoors-Other

### B.2.2.5 Exposure Calculations

APEX calculates exposure as a time series of exposure concentrations that a simulated individual experiences during the simulation period. APEX determines the exposure using hourly ambient air concentrations, calculated concentrations in each microenvironment based on these ambient air concentrations (and indoor sources if present), and the minutes spent in a sequence of microenvironments visited according to the composite diary. The hourly exposure concentration at any clock hour during the simulation period is determined using the following equation:

$$C_i = \frac{\sum_{j=1}^N C_{ME(j)}^{timestep} t_{(j)}}{T} \quad \text{equation (B-5)}$$

where:

- $C_i$  = Hourly exposure concentration at clock hour  $i$  of the simulation period (ppb)
- $N$  = Number of events (i.e., microenvironments visited) in clock hour  $i$  of the simulation period.
- $C_{ME(j)}^{timestep}$  = Timestep concentration in microenvironment  $j$  (ppm)
- $t_{(j)}$  = Time spent in microenvironment  $j$  (minutes)

$T$  = Length of timestep (minutes)

From the timestep exposures, APEX calculates time series of 1-hour, 8-hour and daily average exposures that a simulated individual would experience during the simulation period. APEX then statistically summarizes and tabulates the timestep, hourly, 8-hour, and daily exposures. Note that if the APEX timestep is greater than an hour, the 1-hour and 8-hour exposures are not calculated and the corresponding tables are not produced. Exposures are calculated independently for all pollutants in the simulation.

From the timestep exposures, APEX can calculate the time-series of 1-hour, 8-hour, and daily average exposures that a simulated individual would experience during the simulation period. APEX then statistically summarizes and tabulates the timestep (or hourly, daily, annual average) exposures. In this analysis, the exposure indicator is 5-minute exposures above potential health effect benchmark levels. From this, APEX can calculate two general types of exposure estimates: counts of the estimated number of people exposed to a specified SO<sub>2</sub> concentration level and the number of times per year that they are so exposed; the latter metric is typically expressed in terms of person-occurrences or person-days. The former highlights the number of individuals exposed at least *one or more* times per modeling period to the health effect benchmark level of interest. APEX can also report counts of individuals with multiple exposures. This person-occurrences measure estimates the number of times per season that individuals are exposed to the exposure indicator of interest and then accumulates these estimates for the entire population residing in an area.

APEX tabulates and displays the two measures for exposures above levels ranging from any number of benchmark levels, by any increment (e.g., 0 to 800 ppb by 50 ppb increments for 5-minute exposures). These exposure results are tabulated for the population and subpopulations of interest.

### ***Exposure Model Output***

All of the output files written by APEX are ASCII text files. Table B.2-7 lists each of the output data files written for these simulations and provides descriptions of their content. Additional output files that can be produced by APEX are given in Table 5-1 of the APEX User's Guide, and include hourly exposure, ventilation, and energy expenditures, and even detailed event-level information, if desired. The names and locations, as well as the output table levels

(e.g., output percentiles, cut-points), for these output files are specified by the user in the simulation control parameters file.

**Table B.2-7. Example of APEX output files.**

<b>Output File Type</b>	<b>Description</b>
<i>Log</i>	The <i>Log</i> file contains the record of the APEX model simulation as it progresses. If the simulation completes successfully, the log file indicates the input files and parameter settings used for the simulation and reports on a number of different factors. If the simulation ends prematurely, the log file contains error messages describing the critical errors that caused the simulation to end.
<i>Profile Summary</i>	The <i>Profile Summary</i> file provides a summary of each individual modeled in the simulation.
<i>Microenvironment Summary</i>	The <i>Microenvironment Summary</i> file provides a summary of the time and exposure by microenvironment for each individual modeled in the simulation.
<i>Sites</i>	The <i>Sites</i> file lists the tracts, districts, and zones in the study area, and identifies the mapping between them.
<i>Output Tables</i>	The <i>Output Tables</i> file contains a series of tables summarizing the results of the simulation. The percentiles and cut-off points used in these tables are defined in the simulation control parameters file.

### **B.3 Supplemental AERMOD Dispersion Modeling Data**

## B.3-1 AERMOD Input data

**Table B.3-1. Emission parameters by stack for all major facility stacks in Missouri.**

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
5049	LABADIE	AMERENUE-LABADIE PLANT	NEI 7514	688,392	4,270,394	10,970	213	444	6.2	28	Tier 1
5050	LABADIE	AMERENUE-LABADIE PLANT	NEI 7514	688,357	4,270,439	14,753	213	444	6.2	28	Tier 1
5051	LABADIE	AMERENUE-LABADIE PLANT	NEI 7514	688,461	4,270,338	14,285	213	444	8.8	28	Tier 1
5054	LABADIE	AMERENUE-LABADIE PLANT	NEI 7514	688,442	4,270,322	7,602	213	444	8.8	28	Tier 1
5063	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,842	4,106,944	1,137	107	422	2.5	15	Tier 2
5064	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,853	4,106,922	1,433	107	422	2.5	15	Tier 1
5066	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,913	4,106,929	757	61	422	3.7	6	Tier 1
5068	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,884	4,106,932	159	61	422	3.7	6	Tier 1
5069	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,890	4,106,922	660	61	422	3.7	5	Tier 1
5070	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,918	4,106,919	567	61	422	3.7	5	Tier 1
5073	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,919	4,106,930	218	60	422	3.7	6	Tier 1
5074	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD	NEI 7525			255	60	422	3.7	6	Tier 1

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
	FIELD	MISSOURI-JAMES RIVER POWER PLANT		476,952	4,106,940						
5076	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	477,050	4,106,880	219	60	422	3.7	6	Tier 1
5077	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-JAMES RIVER POWER PLANT	NEI 7525	476,992	4,106,881	252	60	422	3.7	6	Tier 1
5084	SPRING-FIELD	CITY UTILITIES OF SPRINGFIELD MISSOURI-SOUTHWEST POWER PLANT	NEI 12640	465,416	4,111,816	3,390	117	397	3.4	21	Tier 2
5113	WEST ALTON	AMERENUE-SIOUX PLANT	NEI 7516	735,034	4,310,876	24,932	183	427	5.8	29	Tier 1
5114	WEST ALTON	AMERENUE-SIOUX PLANT	NEI 7516	735,027	4,310,819	21,025	183	427	5.8	29	Tier 1
5115	WEST ALTON	AMERENUE-SIOUX PLANT	NEI 7516	734,948	4,310,864	2	65	436	1.4	15	Tier 1
5131	HERCULANEUM	DOE RUN COMPANY-HERCULANEUM SMELTER	NEI 34412	729,589	4,238,084	2	3	295	0.0	0	Tier 2
5141	HERCULANEUM	DOE RUN COMPANY-HERCULANEUM SMELTER	NEI 34412	729,543	4,237,936	2	9	287	0.3	6	Tier 3
5145	HERCULANEUM	DOE RUN COMPANY-HERCULANEUM SMELTER	NEI 34412	729,537	4,237,973	15,219	168	350	6.1	18	Tier 2
5147	FESTUS	AMERENUE-RUSH ISLAND PLANT	NEI 12618	739,910	4,223,934	2	76	577	1.5	9	Tier 1
5148	FESTUS	AMERENUE-RUSH ISLAND PLANT	NEI 12618	739,893	4,223,827	10,511	213	405	8.8	25	Tier 1
5149	FESTUS	AMERENUE-RUSH ISLAND PLANT	NEI 12618	739,931	4,223,869	12,744	213	405	8.8	25	Tier 1
5244	STE. GENEVIEVE	MISSISSIPPI LIME COMPANY-MISSISSIPPI LIME CO	NEI MO1860001	757,358	4,207,065	62	23	519	3.2	4	Tier 3
5245	STE. GENEVIEVE	MISSISSIPPI LIME COMPANY-MISSISSIPPI LIME CO	NEI MO1860001	757,384	4,207,015	89	23	469	3.4	6	Tier 3

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
5246	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,697	4,206,939	103	23	469	3.4	6	Tier 3
5247	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,666	4,206,950	106	23	469	3.4	6	Tier 3
5248	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,697	4,206,981	105	23	469	3.4	6	Tier 3
5261	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,561	4,206,988	1,290	35	343	1.7	11	Tier 3
5262	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,735	4,206,971	1,394	35	343	1.7	11	Tier 3
5263	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,727	4,206,997	1,505	35	344	1.7	13	Tier 3
5264	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,550	4,206,964	67	35	346	2.1	9	Tier 3
5265	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,524	4,206,924	77	35	346	2.1	9	Tier 3
5267	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,633	4,206,999	2	20	367	1.1	15	Tier 2
5270	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,627	4,206,989	1	20	362	1.2	11	Tier 3
5271	STE. GENE-VIEVE	MISSISSIPPI LIME COMPANY- MISSISSIPPI LIME CO	NEI MO1860001	757,540	4,206,931	1,199	35	343	1.7	11	Tier 3
5276	ST. LOUIS	AMERENUE-MERAMEC PLANT	NEI 7515	732,584	4,253,799	5,195	107	463	4.9	33	Tier 1
5277	ST. LOUIS	AMERENUE-MERAMEC PLANT	NEI 7515	732,631	4,253,790	6,463	107	447	4.3	31	Tier 1
5278	ST. LOUIS	AMERENUE-MERAMEC PLANT	NEI 7515	732,677	4,253,784	2,359	76	436	3.4	27	Tier 1
5279	ST. LOUIS	AMERENUE-MERAMEC PLANT	NEI 7515	732,714	4,253,779	2,430	76	436	3.2	27	Tier 1
5293	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,736	4,275,786	2	30	371	1.2	3	Tier 2

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
5295	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,775	4,275,743	176	69	450	3.0	6	Tier 2
5296	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,750	4,275,704	256	69	450	3.0	6	Tier 2
5297	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,781	4,275,753	249	69	450	3.0	6	Tier 2
5298	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,800	4,275,764	158	69	450	3.0	6	Tier 2
5299	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,759	4,275,714	3,066	69	461	3.0	6	Tier 2
5302	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,739	4,275,677	2,339	69	439	3.0	6	Tier 2
5304	ST. LOUIS	ANHEUSER-BUSCH INC-ST LOUIS	NEI 34732	742,711	4,275,740	4	22	486	1.2	9	Tier 2

**Notes:**

<sup>1</sup> UTM Zone 15 values in all cases.

<sup>2</sup> Three methods were possible to convert annual total emissions data from the NEI into hourly temporal profiles required for AERMOD, based on availability of data:

Tier 1: CAMD hourly concentrations to create relative temporal profiles.

Tier 2: EMS-HAP seasonal and diurnal temporal profiles for source categorization codes (SCCs).

Tier 3: Flat profiles



**Table B.3-2. Emission parameters by stack for all major cross-border facility stacks in the St. Louis scenario.**

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
1	East Alton	DYNEGY MIDWEST GENERATION INC	NEI52119	748,654	4,305,518	1536.2	76.2	427.6	5.2	8.5	Tier 1
2	East Alton	DYNEGY MIDWEST GENERATION INC	NEI52119	748,654	4,305,518	5725.8	106.7	416.5	4.6	34.6	Tier 1
3	Baldwin	DYNEGY MIDWEST GENERATION INC	NEI52781	775,316	4,233,202	9931.4	184.4	425.4	5.9	39.7	Tier 1
4	Baldwin	DYNEGY MIDWEST GENERATION INC	NEI52781	775,316	4,233,202	9053	184.4	428.7	5.9	38.3	Tier 1
5	Baldwin	DYNEGY MIDWEST GENERATION INC	NEI52781	775,316	4,233,202	7283	184.4	424.8	5.9	38.4	Tier 1
9	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	753,003	4,302,381	131.95786	33.5	533.2	1.5	3.1	Tier 2
10	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,783	4,302,408	907.24	19.9	502.0	1.1	6.5	Tier 2
11	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,886	4,302,285	132.9	24.1	519.3	2.1	7.0	Tier 2

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
12	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,883	4,302,377	106.67	24.4	533.2	1.8	2.6	Tier 2
13	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	753,003	4,302,381	79	36.0	533.2	1.2	3.1	Tier 2
14	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,886	4,302,285	66.43	16.8	677.6	1.8	6.2	Tier 2
15	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,783	4,302,408	4.90219	35.4	570.4	1.5	7.8	Tier 2
16	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	753,003	4,302,381	171.36006	30.5	533.2	1.5	4.1	Tier 2
17	Hartford	Premcor Refining Group (prev. Clark Oil and Refining Corp.)	NEI52159	752,783	4,302,408	7.42	30.7	513.2	1.7	11.4	Tier 2
18	Sauget	BIG RIVER ZINC CORP	NEI53013	746,429	4,276,339	1.34	21.3	317.6	0.7	10.6	Tier 2
19	Sauget	BIG RIVER ZINC CORP	NEI53013	746,429	4,276,339	1377.28	25.9	422.0	0.9	41.3	Tier 2
20	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,000	4,302,599	15.38	106.7	472.0	4.6	11.4	Tier 2

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
21	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,000	4,302,599	7.27	106.7	463.7	4.6	0.3	Tier 2
22	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,188	4,302,550	1.2	45.7	628.2	2.3	7.9	Tier 1
23	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,405	4,303,105	1.25	56.4	432.6	2.4	6.7	Tier 2
24	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,997	4,302,691	1.45	61.0	672.0	3.7	6.7	Tier 2
25	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,505	4,302,984	1.53	95.1	483.7	4.3	0.3	Tier 2
26	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,994	4,302,783	3.39	40.2	491.5	2.1	13.2	Tier 2
27	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,084	4,303,003	1.15	45.7	699.8	2.3	7.0	Tier 1
28	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,658	4,302,515	385.25	36.9	754.8	3.4	5.9	Tier 2
29	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,994	4,302,783	3.24	45.7	431.5	3.0	15.9	Tier 2
30	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,000	4,302,599	16.73	106.7	483.7	4.6	0.3	Tier 2
31	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	754,658	4,302,515	11677.82	10.1	293.7	0.1	0.1	Tier 2
32	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,231	4,302,561	212.41	45.7	699.8	2.4	8.8	Tier 2

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
33	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,231	4,302,561	206.96	45.7	672.0	2.4	8.2	Tier 2
34	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	753,801	4,303,085	110.6	38.1	792.0	2.2	5.4	Tier 2
35	Roxana	ConocoPhillips Co. (prev. Phillips 66 Co.)	NEI55835	755,231	4,302,561	108.6	45.7	672.0	2.4	4.3	Tier 2
36	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	747,795	4,286,723	61.88	30.5	616.5	2.1	17.9	Tier 2
37	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	750,041	4,286,824	506.7	46.3	441.5	2.1	10.6	Tier 2
38	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	749,778	4,286,692	228.47	24.5	372.0	1.5	6.2	Tier 2
39	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	749,897	4,286,788	421.58883	68.6	460.9	4.3	4.5	Tier 2
40	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	749,970	4,286,761	375.19	15.4	453.7	0.9	9.9	Tier 2
41	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	750,041	4,286,824	351.93	46.3	441.5	2.1	1.2	Tier 2
42	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	749,847	4,286,849	264.95442	61.0	460.9	3.4	3.1	Tier 2

Stack ID	City	Facility Name	NEI Site ID	UTM X (m) <sup>1</sup>	UTM Y (m) <sup>1</sup>	SO <sub>2</sub> Emissions (tpy)	Stack Height (m)	Exit Temp. (K)	Stack Diam. (m)	Exit Velocity (m/s)	Profile Method <sup>2</sup>
43	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	750,280	4,286,925	923.52	43.5	538.7	2.0	9.2	Tier 2
44	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	749,828	4,286,663	501.19	43.5	538.7	2.0	9.2	Tier 2
46	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	747,842	4,286,755	85.86	30.5	616.5	2.1	17.9	Tier 2
47	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	750,180	4,286,983	20.99	24.9	335.9	1.5	8.9	Tier 2
50	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	748,053	4,287,055	8	19.2	323.7	2.1	13.1	Tier 2
51	Granite City	NATIONAL STEEL CORP - GRANITE CITY DIV	NEI55848	750,255	4,286,924	959.82	43.5	538.7	2.0	9.2	Tier 2

**Notes:**

<sup>1</sup> UTM Zone 15 values in all cases.

<sup>2</sup> Three methods were possible to convert annual total emissions data from the NEI into hourly temporal profiles required for AERMOD, based on availability of data:

Tier 1: CAMD hourly concentrations to create relative temporal profiles.

Tier 2: EMS-HAP seasonal and diurnal temporal profiles for source categorization codes (SCCs).

Tier 3: Flat profiles

### B.3.2 AERMOD Air Quality Evaluation Data

**Table B.3-2. Measured ambient monitor SO<sub>2</sub> concentration distributions and the modeled monitor receptor and receptors within 4 km of the ambient monitors in Greene County for year 2002.**

Ambient Monitor ID	Receptor(s) <sup>1</sup>	Percentile Concentration (ppb)									
		100	99	95	90	80	70	60	50	25	0
290770026	AERMOD P2.5	29	6	2	1	0	0	0	0	0	0
	AERMOD P50	48	12	4	2	1	1	0	0	0	0
	AERMOD P97.5	101	46	18	8	2	1	1	1	0	0
	Ambient Monitor	114	46	16	7	3	2	1	1	1	0
	AERMOD Monitor	48	22	11	6	2	1	1	1	0	0
290770032	AERMOD P2.5	30	10	5	3	2	1	1	1	0	0
	AERMOD P50	41	12	6	4	3	2	2	2	1	0
	AERMOD P97.5	62	14	8	6	5	4	3	3	2	0
	Ambient Monitor	28	8	6	5	4	4	3	3	2	0
	AERMOD Monitor	42	14	8	6	5	4	3	3	1	0
290770037	AERMOD P2.5	35	5	2	1	0	0	0	0	0	0
	AERMOD P50	53	13	3	2	1	0	0	0	0	0
	AERMOD P97.5	106	55	21	8	2	1	1	0	0	0
	Ambient Monitor	144	49	8	4	2	2	2	2	0	0
	AERMOD Monitor	115	42	5	3	1	1	1	0	0	0
290770040	AERMOD P2.5	34	5	2	1	0	0	0	0	0	0
	AERMOD P50	53	13	3	2	1	0	0	0	0	0
	AERMOD P97.5	106	55	21	8	2	1	1	0	0	0
	Ambient Monitor	203	18	6	3	2	1	1	0	0	0
	AERMOD Monitor	116	45	6	3	1	1	1	0	0	0
290770041	AERMOD P2.5	31	5	2	1	0	0	0	0	0	0
	AERMOD P50	52	14	3	2	1	0	0	0	0	0
	AERMOD P97.5	108	56	22	8	2	1	1	0	0	0
	Ambient Monitor	33	9	3	2	1	1	1	0	0	0
	AERMOD Monitor	73	23	4	2	1	1	1	0	0	0
<b>Notes:</b> <sup>1</sup> AERMOD concentrations are for the given percentile (p2.5 = 2.5 <sup>th</sup> ; p50 = 50 <sup>th</sup> ; p97.5 = 97.5 <sup>th</sup> ) of the modeled distribution of all modeled air quality receptors within 4 km of ambient monitor. <i>AERMOD monitor</i> is the concentration prediction at the ambient monitor location using AERMOD.											

**Table B.3-3. Measured ambient monitor SO<sub>2</sub> concentration diurnal profile and the modeled monitor receptor and receptors within 4 km of the ambient monitors in Greene County for year 2002.**

Monitor ID	Hour of Day	Annual Average SO <sub>2</sub> Concentration (ppb) at Given Receptor				
		AERMOD P2.5	AERMOD P50	AERMOD P97.5	Ambient Monitor	AERMOD Monitor
290770026	1	0.2	0.4	1.6	2.7	1.2
	2	0.2	0.4	1.8	2.4	1.0
	3	0.2	0.4	2.0	2.5	0.9
	4	0.1	0.3	1.6	2.5	0.9
	5	0.1	0.3	1.5	2.9	0.8
	6	0.1	0.3	1.7	2.9	0.9
	7	0.2	0.7	2.3	3.6	1.4
	8	0.5	1.5	4.2	4.2	2.8
	9	0.7	1.5	5.6	4.6	3.6
	10	0.8	1.6	5.6	5.3	3.4
	11	0.7	1.4	6.0	5.0	3.7
	12	0.5	1.2	6.3	4.7	3.2
	13	0.6	1.1	6.1	4.5	2.8
	14	0.6	1.0	6.0	4.1	2.6
	15	0.5	1.0	5.8	3.7	2.6
	16	0.6	1.0	5.1	3.8	2.5
	17	0.6	1.3	4.6	3.7	2.9
	18	0.5	1.2	3.7	2.9	2.9
	19	0.3	0.9	2.3	2.6	2.4
	20	0.2	0.5	1.7	3.0	1.4
	21	0.2	0.5	1.7	2.8	1.4
	22	0.2	0.5	1.9	3.0	1.4
	23	0.2	0.4	1.9	2.9	1.1
	24	0.2	0.4	1.9	2.9	1.1
290770032	1	1.5	2.3	3.2	2.8	3.0
	2	1.4	2.1	3.0	2.6	2.9
	3	1.4	2.2	3.4	2.5	2.8
	4	1.3	2.0	2.8	2.5	2.7
	5	1.1	1.7	2.4	2.3	2.5
	6	1.1	1.8	2.5	2.2	2.4
	7	1.4	2.0	2.5	2.3	2.5
	8	1.9	2.2	2.7	2.7	2.9
	9	1.7	2.3	3.4	3.0	3.2
	10	1.5	2.3	3.9	3.3	3.6
	11	1.3	2.2	4.0	3.2	3.5
	12	1.2	2.2	4.1	3.2	3.6
	13	1.1	2.1	4.3	3.3	3.6
	14	1.1	2.0	4.1	3.2	3.6
	15	1.0	2.0	4.0	3.2	3.5
	16	1.1	2.1	4.1	3.1	3.4
	17	1.4	2.4	3.6	3.2	3.5
	18	1.6	2.4	3.3	3.1	3.5
	19	1.8	2.4	3.2	3.1	3.4

Monitor ID	Hour of Day	Annual Average SO <sub>2</sub> Concentration (ppb) at Given Receptor				
		AERMOD P2.5	AERMOD P50	AERMOD P97.5	Ambient Monitor	AERMOD Monitor
	20	1.7	2.5	3.2	3.1	3.4
	21	1.8	2.4	3.3	3.1	3.3
	22	1.7	2.6	3.6	3.1	3.4
	23	1.6	2.5	3.4	3.1	3.4
	24	1.4	2.4	3.2	2.9	3.1
290770037	1	0.2	0.2	1.4	1.6	0.5
	2	0.2	0.2	1.5	1.5	0.5
	3	0.2	0.2	1.7	1.5	0.5
	4	0.1	0.2	1.5	1.9	0.4
	5	0.1	0.2	1.4	1.9	0.3
	6	0.1	0.2	1.6	1.8	0.3
	7	0.1	0.4	2.4	1.9	0.3
	8	0.4	1.0	4.5	2.3	1.0
	9	0.6	1.2	6.2	3.1	1.9
	10	0.8	1.5	6.4	3.8	3.7
	11	0.7	1.4	7.0	4.1	4.6
	12	0.6	1.3	6.9	4.8	5.4
	13	0.6	1.3	7.0	5.0	5.0
	14	0.6	1.2	7.2	5.2	4.6
	15	0.5	1.2	6.5	5.3	4.3
	16	0.5	1.2	5.7	4.9	3.1
	17	0.5	1.2	5.2	4.2	2.4
	18	0.4	1.0	4.2	3.0	1.9
	19	0.3	0.5	2.5	2.2	0.7
	20	0.2	0.3	1.4	2.2	0.5
	21	0.2	0.3	1.5	1.9	0.5
	22	0.2	0.3	1.7	1.8	0.6
	23	0.2	0.2	1.8	1.9	0.5
	24	0.2	0.2	1.6	2.1	0.5
290770040	1	0.2	0.2	1.4	1.0	0.5
	2	0.2	0.2	1.5	0.8	0.5
	3	0.2	0.2	1.7	1.0	0.5
	4	0.1	0.2	1.5	1.0	0.4
	5	0.1	0.2	1.4	1.0	0.3
	6	0.1	0.2	1.6	1.0	0.3
	7	0.1	0.4	2.4	1.0	0.3
	8	0.4	1.0	4.5	1.2	0.8
	9	0.6	1.2	6.2	1.6	1.8
	10	0.8	1.5	6.4	2.2	3.7
	11	0.7	1.4	7.0	2.4	4.8
	12	0.5	1.3	6.9	2.9	6.2
	13	0.6	1.3	7.0	2.4	5.9
	14	0.6	1.2	7.2	3.0	4.8
	15	0.5	1.2	6.5	2.8	4.6
	16	0.5	1.2	5.7	2.2	3.2
	17	0.5	1.1	5.2	1.8	2.6
	18	0.4	1.0	4.2	1.8	1.8



Monitor ID	Hour of Day	Annual Average SO <sub>2</sub> Concentration (ppb) at Given Receptor				
		AERMOD P2.5	AERMOD P50	AERMOD P97.5	Ambient Monitor	AERMOD Monitor
	19	0.3	0.5	2.5	1.2	0.8
	20	0.2	0.3	1.4	1.0	0.5
	21	0.2	0.3	1.5	1.0	0.5
	22	0.2	0.3	1.7	0.9	0.6
	23	0.2	0.2	1.8	0.9	0.5
	24	0.2	0.2	1.6	1.0	0.5
290770041	1	0.2	0.3	1.5	0.6	0.6
	2	0.2	0.3	1.7	0.6	0.5
	3	0.2	0.3	1.9	0.6	0.6
	4	0.1	0.2	1.5	0.5	0.5
	5	0.1	0.2	1.4	0.4	0.4
	6	0.1	0.2	1.7	0.6	0.4
	7	0.1	0.6	2.4	0.6	0.6
	8	0.5	1.2	4.9	0.8	1.5
	9	0.6	1.4	6.2	1.1	1.7
	10	0.7	1.7	6.5	1.4	1.8
	11	0.6	1.5	7.2	1.5	2.4
	12	0.4	1.4	7.0	1.5	2.1
	13	0.5	1.4	7.4	1.6	2.4
	14	0.4	1.3	7.9	1.3	2.0
	15	0.4	1.2	6.6	1.1	2.1
	16	0.4	1.3	6.2	1.0	2.3
	17	0.4	1.2	5.4	0.9	2.1
	18	0.4	1.1	4.2	0.7	1.8
	19	0.3	0.5	2.6	0.6	0.8
	20	0.2	0.3	1.5	0.6	0.6
	21	0.2	0.3	1.6	0.7	0.6
	22	0.2	0.4	1.8	0.7	0.7
	23	0.2	0.3	1.9	0.6	0.6
	24	0.2	0.3	1.8	0.7	0.6

**Table B.3-4. Measured ambient monitor SO<sub>2</sub> concentration distributions and the modeled monitor receptor and receptors within 4 km of the ambient monitors in St. Louis for year 2002.**

Ambient Monitor ID	Receptor(s) <sup>1</sup>	Percentile Concentration (ppb)									
		100	99	95	90	80	70	60	50	25	0
291890004	AERMOD P2.5	60	20	11	7	4	3	2	2	1	0
	AERMOD P50	69	22	12	8	5	3	2	2	1	0
	AERMOD P97.5	103	25	14	9	5	4	3	2	1	0
	Ambient Monitor	99	24	13	8	5	3	2	1	0	0
	AERMOD Monitor	67	22	11	7	4	3	2	2	1	0
291890006	AERMOD P2.5	48	19	10	7	4	3	2	2	1	0
	AERMOD P50	55	20	11	7	5	3	2	2	1	0
	AERMOD P97.5	94	20	12	8	5	4	3	2	1	0
	Ambient Monitor	85	18	9	6	3	2	1	1	0	0
	AERMOD Monitor	73	20	11	8	5	3	3	2	1	0
291893001	AERMOD P2.5	58	24	13	9	5	4	3	2	1	0
	AERMOD P50	75	26	14	10	6	4	3	2	1	0
	AERMOD P97.5	91	30	17	12	8	6	5	3	2	0
	Ambient Monitor	80	24	12	8	5	4	3	2	1	0
	AERMOD Monitor	71	25	14	10	6	4	3	2	1	0
291895001	AERMOD P2.5	97	32	13	8	5	4	3	2	1	0
	AERMOD P50	168	38	14	9	6	4	3	2	1	0
	AERMOD P97.5	545	51	15	10	6	4	3	2	1	0
	Ambient Monitor	158	23	12	8	5	4	3	2	1	0
	AERMOD Monitor	191	40	14	9	6	4	3	2	1	0
291897003	AERMOD P2.5	67	25	11	7	4	3	2	2	1	0
	AERMOD P50	89	28	12	8	5	3	3	2	1	0
	AERMOD P97.5	138	32	13	9	5	4	3	2	1	0
	Ambient Monitor	91	24	12	8	5	4	3	2	1	0
	AERMOD Monitor	99	27	12	8	5	4	3	2	1	0
295100007	AERMOD P2.5	71	26	13	8	4	3	2	2	1	0
	AERMOD P50	93	31	18	11	7	5	4	3	1	0
	AERMOD P97.5	137	43	23	16	10	8	6	5	2	0
	Ambient Monitor	64	25	14	10	6	5	3	2	1	0
	AERMOD Monitor	100	32	17	11	7	6	5	4	2	0
295100086	AERMOD P2.5	71	29	15	11	7	5	4	3	1	0
	AERMOD P50	91	32	18	13	9	6	5	4	2	0
	AERMOD P97.5	124	36	22	16	11	8	6	5	3	0
	Ambient Monitor	86	30	16	11	7	5	4	3	1	0
	AERMOD Monitor	111	31	17	13	8	6	5	4	2	0
<b>Notes:</b> <sup>1</sup> AERMOD concentrations are for the given percentile (p2.5 = 2.5 <sup>th</sup> ; p50 = 50 <sup>th</sup> ; p97.5 = 97.5 <sup>th</sup> ) of the modeled distribution of all modeled air quality receptors within 4 km of ambient monitor. <i>AERMOD monitor</i> is the concentration prediction at the ambient monitor location using AERMOD.											

**Table B.3-5. Measured ambient monitor SO<sub>2</sub> concentration diurnal profile and the modeled monitor receptor and receptors within 4 km of the ambient monitors in St. Louis for year 2002.**

Ambient Monitor ID	Hour of Day	Annual Average SO <sub>2</sub> Concentration (ppb) at Given Receptor				
		AERMOD P2.5	AERMOD P50	AERMOD P97.5	Ambient Monitor	AERMOD Monitor
291890004	1	2.0	2.6	3.2	2.4	2.2
	2	1.7	2.2	2.7	2.1	1.8
	3	1.5	1.9	2.3	1.8	1.7
	4	1.5	1.9	2.3	1.8	1.6
	5	1.4	1.8	2.1	1.8	1.7
	6	1.4	1.8	2.6	2.0	1.8
	7	1.8	2.2	2.6	2.4	2.0
	8	2.7	3.2	4.0	3.3	3.0
	9	4.0	4.5	4.8	4.1	4.2
	10	4.6	5.0	5.4	4.6	4.7
	11	4.8	5.0	5.3	4.6	4.8
	12	4.6	5.2	5.6	4.5	4.8
	13	4.4	4.9	5.2	4.1	4.5
	14	4.1	4.7	5.0	4.3	4.2
	15	3.9	4.3	4.6	4.0	4.0
	16	3.7	4.2	4.4	3.6	3.7
	17	3.8	4.4	4.7	3.8	3.9
	18	3.5	4.2	4.6	3.8	3.5
	19	3.1	3.6	4.0	3.4	3.1
	20	3.0	3.5	3.7	2.9	3.1
	21	2.7	3.4	3.7	2.8	2.9
	22	2.4	2.9	3.3	2.9	2.6
	23	2.1	2.7	3.3	2.9	2.4
	24	2.1	2.6	3.0	2.5	2.2
291890006	1	2.4	2.6	2.9	1.6	2.6
	2	2.1	2.3	2.6	1.6	2.5
	3	1.7	1.9	2.1	1.5	2.0
	4	1.8	2.0	2.3	1.2	2.1
	5	1.6	1.8	1.9	1.2	1.8
	6	1.5	1.6	1.7	1.2	1.5
	7	2.0	2.0	2.1	1.4	2.0
	8	2.5	2.7	2.7	1.9	2.5
	9	4.2	4.3	4.4	2.7	4.3
	10	4.4	4.6	4.8	3.4	4.7
	11	4.8	4.9	5.3	3.5	5.1
	12	4.3	4.5	4.8	3.8	4.6
	13	4.2	4.3	4.7	3.5	4.6
	14	4.1	4.2	4.6	2.9	4.6
	15	3.9	4.0	4.4	2.6	4.3
	16	3.7	3.8	4.2	2.9	4.2
	17	3.8	4.0	4.6	2.7	4.3
	18	3.4	3.7	4.5	2.6	4.1
	19	3.0	3.3	3.8	2.4	3.4

	20	2.9	3.1	3.4	2.4	3.0
	21	2.8	3.0	3.4	2.0	3.1
	22	2.5	2.7	3.0	2.0	2.8
	23	2.3	2.8	3.2	1.9	2.8
	24	2.2	2.4	2.8	1.8	2.7
291893001	1	3.0	3.6	4.2	2.2	3.5
	2	2.8	3.2	3.9	2.2	3.2
	3	2.3	2.7	3.3	2.0	2.7
	4	2.5	2.8	3.4	1.7	2.8
	5	2.1	2.5	2.8	1.8	2.5
	6	2.2	2.6	3.1	2.3	2.7
	7	2.6	3.0	3.7	2.8	3.1
	8	3.2	3.7	4.6	3.7	3.6
	9	4.5	5.1	6.5	4.5	5.0
	10	5.2	5.6	7.8	4.9	5.7
	11	5.3	5.8	8.1	4.8	5.8
	12	5.3	5.8	8.2	4.7	5.8
	13	5.0	5.4	7.7	4.4	5.3
	14	4.6	5.1	7.8	4.5	5.0
	15	4.5	4.8	7.3	4.1	4.8
	16	4.3	4.7	7.1	3.8	4.7
	17	4.5	4.8	6.4	3.8	4.7
	18	4.3	4.8	6.0	4.1	4.8
	19	3.9	4.3	5.3	3.7	4.2
	20	3.6	3.9	4.7	3.5	3.9
	21	3.6	4.0	4.6	3.4	4.0
	22	3.3	3.8	4.7	3.2	3.7
	23	3.2	3.8	4.5	3.0	3.9
	24	3.0	3.7	4.4	2.7	3.6
291895001	1	3.2	3.7	4.9	3.1	3.8
	2	3.1	3.7	5.1	2.9	3.5
	3	2.8	3.2	3.8	2.7	3.2
	4	2.8	3.3	4.3	2.7	3.2
	5	2.4	3.0	3.9	2.7	3.3
	6	2.5	3.6	4.9	2.8	3.8
	7	2.6	3.2	3.9	3.2	3.0
	8	3.2	4.3	5.3	4.0	4.7
	9	4.5	5.5	6.1	4.6	5.4
	10	5.3	6.0	6.6	4.7	6.0
	11	5.5	5.9	6.1	5.2	6.0
	12	5.6	5.7	6.0	5.1	6.0
	13	4.6	5.3	5.7	4.6	5.3
	14	4.6	5.1	5.3	4.1	5.2
	15	4.4	4.8	5.0	4.1	4.9
	16	4.1	4.6	4.9	3.9	4.6
	17	4.1	4.5	4.8	4.1	4.7
	18	4.5	4.8	5.3	3.9	4.9
	19	3.2	4.2	5.3	3.7	3.7
	20	3.3	4.1	5.5	3.2	4.0
	21	3.1	4.3	5.6	3.5	3.9

	22	3.5	4.4	5.6	3.3	4.7
	23	3.7	4.4	6.3	3.2	5.6
	24	3.8	4.3	5.1	2.9	4.3
291897003	1	2.3	2.8	3.3	2.6	2.7
	2	2.6	3.0	3.2	2.4	3.2
	3	2.1	2.6	2.9	2.5	2.7
	4	2.2	2.5	3.0	2.4	2.4
	5	1.7	2.2	2.7	2.3	2.2
	6	2.1	2.5	3.2	2.5	2.5
	7	1.8	2.1	2.6	3.2	2.1
	8	2.9	3.4	3.9	4.1	3.2
	9	4.1	4.4	5.0	4.7	4.5
	10	4.9	5.3	5.8	4.8	5.4
	11	4.8	5.2	5.6	5.1	5.4
	12	4.5	5.0	5.6	4.7	5.1
	13	4.3	4.7	5.1	4.5	4.9
	14	4.0	4.4	4.8	4.4	4.6
	15	3.9	4.4	4.7	4.2	4.6
	16	3.6	4.1	4.4	3.8	4.3
	17	3.7	4.3	4.6	3.7	4.5
	18	3.2	4.2	4.7	3.9	4.4
	19	3.1	3.8	4.5	3.6	3.8
	20	2.8	3.2	4.0	3.5	3.2
	21	2.8	3.4	3.9	3.3	3.2
	22	3.4	3.7	4.0	3.1	3.9
	23	2.7	3.3	4.2	3.1	3.6
	24	3.0	3.4	3.8	2.9	3.6
295100007	1	2.2	3.7	5.8	3.4	4.0
	2	2.1	3.4	5.6	3.2	3.5
	3	1.6	3.0	5.3	3.2	3.3
	4	1.7	3.1	5.2	3.0	3.3
	5	1.4	3.0	5.1	2.9	3.1
	6	1.6	3.1	5.2	3.1	3.2
	7	2.9	4.5	7.6	3.7	4.6
	8	3.6	5.2	7.8	4.1	5.2
	9	5.0	6.6	8.4	5.2	6.6
	10	5.1	6.8	8.2	5.7	7.0
	11	5.1	7.1	8.5	5.5	7.5
	12	4.9	7.0	8.2	4.9	7.2
	13	4.6	6.9	8.1	4.7	7.1
	14	4.5	6.8	8.1	4.6	7.1
	15	4.0	6.3	7.6	4.4	6.6
	16	4.0	6.2	7.8	4.2	6.6
	17	4.4	6.2	8.2	4.2	6.6
	18	4.2	6.0	8.7	3.9	6.3
	19	3.6	5.7	10.0	3.8	6.5
	20	3.5	5.1	7.4	4.2	5.2
	21	3.2	4.8	7.1	4.1	4.9
	22	2.7	4.4	6.6	4.0	4.5
	23	2.6	4.0	5.9	4.1	4.0

	24	2.3	3.9	5.8	3.7	3.9
295100086	1	3.8	4.9	6.5	4.3	4.8
	2	3.3	4.7	6.7	4.2	4.4
	3	3.1	4.3	5.5	3.7	4.1
	4	3.0	4.0	5.6	3.9	4.0
	5	3.0	3.8	5.8	3.9	3.6
	6	2.3	3.9	5.9	4.3	4.0
	7	3.7	4.4	7.0	4.4	4.3
	8	4.2	5.5	7.0	5.4	5.3
	9	5.8	7.0	8.0	6.3	6.9
	10	6.7	8.1	8.4	6.0	8.1
	11	6.6	8.0	8.4	6.0	8.0
	12	6.5	7.8	8.3	5.4	7.8
	13	6.2	7.7	8.1	5.1	7.6
	14	6.1	7.5	7.9	4.9	7.4
	15	5.7	7.1	7.4	4.6	7.1
	16	5.6	7.2	7.5	4.7	7.2
	17	5.3	7.2	7.9	4.9	6.9
	18	5.2	7.2	8.5	4.4	7.0
	19	5.0	6.5	9.2	4.6	6.2
	20	4.7	6.2	8.0	4.7	6.0
	21	4.6	6.3	7.7	4.9	6.1
	22	4.3	5.6	7.9	4.8	5.1
	23	4.1	5.4	7.3	4.3	5.1
	24	3.8	5.1	6.9	4.2	4.8

## B.4 SUPPLEMENTAL APEX EXPOSURE MODELING DATA

### B.4.1 APEX Input Data Distributions for SO<sub>2</sub> deposition

In recognizing the relationship between SO<sub>2</sub> deposition rate and various surface types within indoor microenvironments and that the presence of these surfaces would vary in proportions dependent on the microenvironment, staff estimated the APEX input SO<sub>2</sub> deposition rate distributions using a Monte Carlo sampling approach. First, 1,000 different hypothetical indoor microenvironments were simulated, each with a different ratio of wall area to floor area and furniture area to floor area. Based on these ratios, surface area to volume ratios were estimated in each sample indoor microenvironment. Then, surface area to volume ratios were used to convert the deposition velocities to deposition rates in hr<sup>-1</sup> by dividing the velocities by the surface area to volume ratio and then making an appropriate unit conversion. And finally, the deposition rate for each surface type was combined using a weighted average to estimate an effective deposition rate, as follows:

$$D_{eff} = \frac{D_{floor} + D_{ceiling} * \frac{A_{ceiling}}{A_{floor}} + D_{furniture} * \frac{A_{furniture}}{A_{floor}}}{1 + \frac{A_{ceiling}}{A_{floor}} + \frac{A_{furniture}}{A_{floor}}} \quad \text{equation (B-6)}$$

where D denotes deposition rate, A denotes area of the indoor microenvironment, and D<sub>eff</sub> is the effective deposition rate. If more than one surface type is present in the sample indoor microenvironment (e.g. both carpet and non-carpeted floors), these values were first averaged using the fraction of the room that contains each. Details regarding the data used for estimating the SO<sub>2</sub> deposition rate within simulated indoor microenvironments are provided in the following sections.

#### B.4.1.1 Surface deposition data and surface type mapping

Staff obtained SO<sub>2</sub> deposition velocities from a literature review conducted by Grøntoft and Raychaudhuri (2004). These authors categorized the data by several relative humidities and considering several different surface types. Staff mapped the

surface classes reported in Grøntoft and Raychaudhuri (2004) to surface types typically found within indoor microenvironments (Table B.4-1).

**Table B.4-1. Classification of SO<sub>2</sub> deposition data for several microenvironmental surfaces.**

Surface Category	Surface Type	Surface Class <sup>1</sup>	Deposition in cm/s <sup>1</sup>		
			50% Relative Humidity	70% Relative Humidity	90% Relative Humidity
Floor	Carpet	Average of the wool and synthetic carpet values	0.0625	0.075	0.117
	Floor	Synthetic Floor Covering – medium worn	0.007	0.015	0.032
Ceiling	Ceiling Tile	Coarse composite panels	0.14	0.15	0.18
	Ceiling Wallboard	Treated gypsum wallboard	0.048	0.16	0.27
Wall	Wallpaper	Wall paper	0.036	0.043	0.068
	Wall Wallboard	Treated gypsum wallboard	0.048	0.16	0.27
	Wood paneling	Surface treated wood work and wall boards	0.014	0.047	0.078
Furniture	Furniture	Cloth	0.019	0.023	0.036
<b>Notes:</b> <sup>1</sup> Obtained from Table 6 of Grøntoft and Raychaudhuri (2004).					

#### **B.4.1.2 Indoor Microenvironment Configurations**

Because the configuration of rooms within a building will affect the wall area to floor area ratio, staff first estimated the areas of several indoor microenvironments. Staff had to make several assumptions due to the limited availability of data. The first broad assumption was that a single room within the indoor microenvironment could represent all potential rooms within the particular building type. Secondly, staff assumed all rooms were square to calculate the area distributions. Additional assumptions specific to the type of indoor microenvironment are provided below, along with the estimated indoor microenvironment area distributions.



### ***Residential area distributions***

In residences, the American Housing Survey (AHS, 2008) provides a matrix that gives the number of survey homes within a given total square footage and a given number of rooms category. Staff converted the data to probabilities using the total number of homes in each category (Table B.4-2). In calculating the room area using these distributions, a series of two independent random numbers were used to select a square footage category and then to find the number of rooms within that square footage category, accounting for the inherent correlation of the number of rooms in a given building with the total square footage. Staff derived a representative room area by dividing the square footage by the number of rooms.

**Table B.4-2. Distributions used to calculate a representative room size in an indoor residential microenvironment.**

		Square Footage					
		250	750	1250	1750	2250	2750
	Cumulative probability for each square footage class →	0.01	0.10	0.35	0.60	0.77	1.00
Cumulative probability for number of rooms within each square footage class ↓	Rooms						
	1	0.07	0.00	0.00	0.00	0.00	0.00
	2	0.13	0.00	0.00	0.00	0.00	0.00
	3	0.40	0.07	0.01	0.00	0.00	0.00
	4	0.64	0.47	0.13	0.04	0.02	0.01
	5	0.81	0.80	0.54	0.28	0.15	0.08
	6	0.90	0.94	0.86	0.65	0.41	0.23
	7	0.97	0.98	0.96	0.90	0.71	0.46
	8	0.99	0.99	0.99	0.98	0.92	0.71
	9	0.99	1.00	1.00	0.99	0.98	0.87
	10	1.00			1.00	1.00	1.00

### ***Non-residential area distributions***

An office can contain many different rooms, each with either one or two occupants (usually a smaller office) or a collection of cubicles (usually a larger office).

Staff used the Building Assessment Survey and Evaluation study (BASE; US EPA, 2008a) to generate representative office areas for simulated buildings. The BASE data provided the mean, standard deviation, minimum, and maximum of the total square footage and the number of people per square meter of occupied space (Table B.4-3).<sup>1</sup> Based on this, staff represented the data as a normal distribution and set the lower and upper limits using the minimum and maximum observations. BASE (US EPA, 2008a) also provides the average number of occupants in private or semi-private work areas (40%) compared to shared space (60%).<sup>2</sup> Staff assumed that the private and semi-private offices have an average of two people in each and the shared spaces have an average of six people in each. In calculating the area, two independent random numbers were used to select the total floor area and the number of occupants in that floor area. The total square footage of the office was then divided by the number of rooms to obtain the representative office area.

For schools, the distribution of the total building square footage is available from the Commercial Building Energy Consumption Survey (CBECS; US DOE, 2003); however, information on the number of rooms in each square footage class is not available. As an alternate data source, information was available on the range of the square footage of a typical school classroom (600 to 1,400 square feet) to generate a uniform distribution bounded by these extremes (NCBG, 2008; US Army Corps of Engineers, 2002). For restaurants and other buildings, staff assumed that the entire building was one room; therefore, the CBECS (US DOE, 2003) provided data for this building category to estimate square footage distributions (Table B.4-3).

**Table B.4-3. Distributions used to calculate representative room size for non-residential microenvironments.**

Microenvironment	Parameter 1 <sup>a</sup>	Parameter 2 <sup>b</sup>	Parameter 3 <sup>c</sup>	Parameter 4 <sup>d</sup>	Distribution Type
Office, Building size (ft <sup>2</sup> )	16,632	8,035	4,612	69,530	Normal
Office, number of people per m <sup>2</sup> .	4.0	1.5	1.5	8.5	Normal

<sup>1</sup> [http://www.epa.gov/iaq/base/pdfs/test\\_space\\_characteristics/tc-0.pdf](http://www.epa.gov/iaq/base/pdfs/test_space_characteristics/tc-0.pdf)

<sup>2</sup> [http://www.epa.gov/iaq/base/pdfs/test\\_space\\_characteristics/tc-1.pdf](http://www.epa.gov/iaq/base/pdfs/test_space_characteristics/tc-1.pdf)

School (ft <sup>2</sup> )	600	1,400	N/A	N/A	Uniform
Restaurant (ft <sup>2</sup> )	5,340	31	668	42,699	Lognormal
Other Buildings (ft <sup>2</sup> )	3,750	24	750	18,796	Lognormal
<b>Notes:</b> <sup>a</sup> Mean for normal, geometric mean for lognormal, lower limit for uniform distribution. <sup>b</sup> Standard deviation for normal, geometric standard deviation for lognormal, upper limit for uniform. <sup>c</sup> Minimum value for normal and lognormal. <sup>d</sup> Maximum value for normal and lognormal.					

### *Additional specifications*

Two additional specifications were required to calculate the room volumes and surface areas: the ceiling heights and surface area of furniture within the rooms. Table B.4-4 provides the data values and sources used to estimate each of these variables.

**Table B.4-4. Ceiling heights and furniture surface area to floor ratios for simulated indoor microenvironments.**

Indoor Microenvironment	Ceiling Height <sup>a</sup>	Furniture Surface Area to Floor Ratio
Residence	8 ft	2 <sup>b</sup>
Office	10 ft	4 <sup>c</sup>
School	10 ft	4 <sup>c</sup>
Restaurant	10 ft	4 <sup>c</sup>
Other Buildings	10 ft	4 <sup>c</sup>
<b>Notes:</b> <sup>a</sup> Assumed by staff. <sup>b</sup> Thatcher et al. (2002) and Singer et al. (2002). <sup>c</sup> The surface area to volume ratio was assumed higher in the commercial microenvironments than in residences. A value of 4 was selected since it kept the range of total surface area to volume ratio within a typical range of 2 to 4 (Lawrence Berkeley National Laboratory., 2003).		

#### **B.4.1.3 Surface type probabilities**

Following the calculation of the basic dimensions of the simulated room, staff performed additional probabilistic sampling to specify the surface types present. In some microenvironments, it is possible that only a single surface type be present (e.g., a public access building likely contains only hard floors and no carpet). However, in other cases, a typical building may have multiple surface types (e.g., a residence may have a mixture

of both hard floor and carpet). Thus, in each microenvironment, staff estimated a probability of occurrence for each surface type. If more than one surface type is possible at the same time, then staff also approximated the fraction of each. Table B.4-5 summarizes both the probabilities and fractions assumed by staff for each microenvironment.

#### **B.4.1.4 Final SO<sub>2</sub> deposition distributions**

Following the estimation of the room dimensions and surface types within each simulated indoor microenvironment, an effective deposition rate was estimated for all 1,000 sample buildings. The geometric mean and geometric standard deviation were calculated across all 1,000 samples and used to parameterize a lognormal distribution (Table B.4-6). In applying these to the relative humidity conditions in the study areas, staff assumed that the relative humidity is below 50% when the air conditioning or heating unit is on. If the building has no air conditioner, the ambient summer humidity was used (90 % in the morning, 50% in the afternoon). Staff also assumed that all non-residential buildings had air-conditioning.

As far as mapping to the APEX microenvironments, residences, offices, and restaurants are explicitly modeled microenvironments. The daycare microenvironment used the school deposition distribution, while other indoor microenvironments (i.e., shopping or other) used the other building deposition distribution.

**Table B.4-5. Probability of occurrence and fractional quantity for surface types in indoor micronenvironments.**

Indoor Microenvironment	Floor		Ceiling		Wall		
	Carpet	Hard floor	Wallboard	Ceiling Tile	Wallboard	Wallpaper	Wood Paneling
Residence	P = 1  F = N{0.52, 0.23} <sup>a</sup>	P = 1  F = 1 - fraction carpeted <sup>a</sup>	P = 1 <sup>c</sup>	P = 0 <sup>c</sup>	P = 1  F = 5/6 if wallpaper is present <sup>c</sup>	P = 0.225  F = 1/6 if wallpaper is present <sup>d</sup>	P = 0 <sup>c</sup>
Office	P = 1  F = 5/6 if hard floor present <sup>c</sup>	P = 0.34  F = 1/6 if hard floor is present <sup>b</sup>	P = 0 <sup>c</sup>	P = 1 <sup>c</sup>	P = 1  F is adjusted if wallpaper and/or wood paneling is present <sup>c</sup>	P = 0.11  F = 1/6 if wallpaper is present <sup>b</sup>	P = 0.13  F = 1/6 if wood paneling is present <sup>b</sup>
School	P = 0 <sup>c</sup>	P = 1 <sup>c</sup>	P = 0 <sup>c</sup>	P = 1 <sup>c</sup>	P = 1 <sup>c</sup>	P = 0 <sup>c</sup>	P = 0 <sup>c</sup>
Restaurant	P = 0.1 <sup>d</sup>	P = 0.9 <sup>d</sup>	P = 0.55 <sup>d</sup>	P = 0.45 <sup>d</sup>	P = 1  F is adjusted if wallpaper and/or wood paneling is present <sup>c</sup>	P = 0.09  F = 1/2 if wallpaper is present <sup>d</sup>	P = 0.25  F = 1/10 If wood paneling is present <sup>d</sup>
Other Buildings	P = 0.1 <sup>d</sup>	P = 0.9 <sup>d</sup>	P = 0.19 <sup>d</sup>	P = 0.81 <sup>d</sup>	P = 1  F is adjusted if wallpaper and/or wood paneling is present <sup>c</sup>	P = 0.09  F = 1/2 if wallpaper is present <sup>d</sup>	P = 0.045  F=1/10 if wood paneling is present <sup>d</sup>

**Notes:**

<sup>a</sup> US EPA, 2008b.

<sup>b</sup> BASE study, Table 4 (US EPA, 2008a); the fraction of 1/6 is based on professional judgment.

<sup>c</sup> Assumed by staff.

<sup>d</sup> Source Ranking Database (SRD, US EPA, 2004b). The fraction of buildings value in the database was used to specify a probability each surface type occurs in the microenvironment. SRD names were matched to the APEX environments. Most categories in the SRD have the same fraction of building values. To map to the necessary surface types: Carpet – Networx represented carpet; Ceiling tile represented ceiling tile; vinyl coated wallpaper represented wallpaper; and Hardwood plywood paneling represented wood paneling. Fractions were assumed by staff. Then, probabilities in the remaining surface types were calculated assuming either only one type could be present or multiple types could be present.

**Table B.4-6. Final parameter estimates of SO<sub>2</sub> deposition distributions in several indoor microenvironments modeled in APEX.**

Microenv- ironment	Heating or Air Conditioning in Use				Air Conditioning Not in Use (Summertime Ambient Morning Relative Humidity of 90%)			
	Geom. Mean (hr <sup>-1</sup> )	Geom. Stand. Dev. (hr <sup>-1</sup> )	Lower Limit (hr <sup>-1</sup> )	Upper Limit (hr <sup>-1</sup> )	Geom. Mean (hr <sup>-1</sup> )	Geom. Stand. Dev. (hr <sup>-1</sup> )	Lower Limit (hr <sup>-1</sup> )	Upper Limit (hr <sup>-1</sup> )
Residence	3.14	1.11	2.20	5.34	13.41	1.11	10.31	26.96
Office	3.99	1.04	3.63	4.37	N/A	N/A	N/A	N/A
School	4.02	1.02	3.90	4.21	N/A	N/A	N/A	N/A
Restaurant	2.36	1.28	1.64	4.17	N/A	N/A	N/A	N/A
Other Buildings	2.82	1.21	1.71	4.12	N/A	N/A	N/A	N/A
<b>Notes:</b> N/A not applicable, assumed by staff to always have A/C in operation.								

## B.4.2 APEX Exposure Output

**Table B.4-7. APEX estimated SO<sub>2</sub> exposures in Greene County (as is air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	309	193	DMTS,ASTHMA,MOD	0.01
100	18	13	DMTS,ASTHMA,MOD	0.00
150	0	0	DMTS,ASTHMA,MOD	0.00
200	0	0	DMTS,ASTHMA,MOD	0.00
250	0	0	DMTS,ASTHMA,MOD	0.00
300	0	0	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	193	108	DMTS,ASTHMACHILD,MOD	0.01
100	9	4	DMTS,ASTHMACHILD,MOD	0.00
150	0	0	DMTS,ASTHMACHILD,MOD	0.00
200	0	0	DMTS,ASTHMACHILD,MOD	0.00
250	0	0	DMTS,ASTHMACHILD,MOD	0.00
300	0	0	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-8. APEX estimated SO<sub>2</sub> exposures in Greene County (current standard air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	9598	4322	DMTS,ASTHMA,MOD	0.20
100	1659	982	DMTS,ASTHMA,MOD	0.04
150	511	323	DMTS,ASTHMA,MOD	0.01
200	197	139	DMTS,ASTHMA,MOD	0.01
250	90	67	DMTS,ASTHMA,MOD	0.00
300	22	18	DMTS,ASTHMA,MOD	0.00
350	18	13	DMTS,ASTHMA,MOD	0.00
400	13	13	DMTS,ASTHMA,MOD	0.00
450	4	4	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	6393	2609	DMTS,ASTHMACHILD,MOD	0.36
100	1036	569	DMTS,ASTHMACHILD,MOD	0.08
150	323	188	DMTS,ASTHMACHILD,MOD	0.03
200	112	72	DMTS,ASTHMACHILD,MOD	0.01
250	49	40	DMTS,ASTHMACHILD,MOD	0.01
300	13	9	DMTS,ASTHMACHILD,MOD	0.00
350	9	4	DMTS,ASTHMACHILD,MOD	0.00
400	4	4	DMTS,ASTHMACHILD,MOD	0.00
450	4	4	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00



**Table B.4-9. APEX estimated SO<sub>2</sub> exposures in Greene County (99<sup>th</sup> %ile, 50 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	18	13	DMTS,ASTHMA,MOD	0.00
100	0	0	DMTS,ASTHMA,MOD	0.00
150	0	0	DMTS,ASTHMA,MOD	0.00
200	0	0	DMTS,ASTHMA,MOD	0.00
250	0	0	DMTS,ASTHMA,MOD	0.00
300	0	0	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	9	4	DMTS,ASTHMACHILD,MOD	0.00
100	0	0	DMTS,ASTHMACHILD,MOD	0.00
150	0	0	DMTS,ASTHMACHILD,MOD	0.00
200	0	0	DMTS,ASTHMACHILD,MOD	0.00
250	0	0	DMTS,ASTHMACHILD,MOD	0.00
300	0	0	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-10. APEX estimated SO<sub>2</sub> exposures in Greene County (99<sup>th</sup> %ile, 100 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	359	229	DMTS,ASTHMA,MOD	0.01
100	18	13	DMTS,ASTHMA,MOD	0.00
150	0	0	DMTS,ASTHMA,MOD	0.00
200	0	0	DMTS,ASTHMA,MOD	0.00
250	0	0	DMTS,ASTHMA,MOD	0.00
300	0	0	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	229	139	DMTS,ASTHMACHILD,MOD	0.02
100	9	4	DMTS,ASTHMACHILD,MOD	0.00
150	0	0	DMTS,ASTHMACHILD,MOD	0.00
200	0	0	DMTS,ASTHMACHILD,MOD	0.00
250	0	0	DMTS,ASTHMACHILD,MOD	0.00
300	0	0	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-11. APEX estimated SO<sub>2</sub> exposures in Greene County (99<sup>th</sup> %ile, 150 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	1327	811	DMTS,ASTHMA,MOD	0.04
100	139	103	DMTS,ASTHMA,MOD	0.00
150	18	13	DMTS,ASTHMA,MOD	0.00
200	9	9	DMTS,ASTHMA,MOD	0.00
250	0	0	DMTS,ASTHMA,MOD	0.00
300	0	0	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	798	466	DMTS,ASTHMACHILD,MOD	0.06
100	67	49	DMTS,ASTHMACHILD,MOD	0.01
150	9	4	DMTS,ASTHMACHILD,MOD	0.00
200	4	4	DMTS,ASTHMACHILD,MOD	0.00
250	0	0	DMTS,ASTHMACHILD,MOD	0.00
300	0	0	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-12. APEX estimated SO<sub>2</sub> exposures in Greene County (99<sup>th</sup> %ile, 200 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	2779	1600	DMTS,ASTHMA,MOD	0.07
100	359	229	DMTS,ASTHMA,MOD	0.01
150	94	72	DMTS,ASTHMA,MOD	0.00
200	18	13	DMTS,ASTHMA,MOD	0.00
250	13	13	DMTS,ASTHMA,MOD	0.00
300	0	0	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	1757	955	DMTS,ASTHMACHILD,MOD	0.13
100	229	139	DMTS,ASTHMACHILD,MOD	0.02
150	54	45	DMTS,ASTHMACHILD,MOD	0.01
200	9	4	DMTS,ASTHMACHILD,MOD	0.00
250	4	4	DMTS,ASTHMACHILD,MOD	0.00
300	0	0	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-13. APEX estimated SO<sub>2</sub> exposures in Greene County (99<sup>th</sup> %ile, 250 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	4918	2726	DMTS,ASTHMA,MOD	0.12
100	780	484	DMTS,ASTHMA,MOD	0.02
150	202	143	DMTS,ASTHMA,MOD	0.01
200	63	54	DMTS,ASTHMA,MOD	0.00
250	18	13	DMTS,ASTHMA,MOD	0.00
300	13	13	DMTS,ASTHMA,MOD	0.00
350	4	4	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	3201	1659	DMTS,ASTHMACHILD,MOD	0.23
100	457	256	DMTS,ASTHMACHILD,MOD	0.04
150	117	76	DMTS,ASTHMACHILD,MOD	0.01
200	40	31	DMTS,ASTHMACHILD,MOD	0.00
250	9	4	DMTS,ASTHMACHILD,MOD	0.00
300	4	4	DMTS,ASTHMACHILD,MOD	0.00
350	4	4	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-14. APEX estimated SO<sub>2</sub> exposures in Greene County (98<sup>th</sup> %ile, 200 ppb alternative standard scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Number of Person Days	Number of Persons	Subpopulation	Fraction of Total Population
0	3218000	21262	DMTS,ASTHMA,MOD	0.97
50	4138	2304	DMTS,ASTHMA,MOD	0.10
100	632	386	DMTS,ASTHMA,MOD	0.02
150	161	117	DMTS,ASTHMA,MOD	0.01
200	45	40	DMTS,ASTHMA,MOD	0.00
250	18	13	DMTS,ASTHMA,MOD	0.00
300	13	13	DMTS,ASTHMA,MOD	0.00
350	0	0	DMTS,ASTHMA,MOD	0.00
400	0	0	DMTS,ASTHMA,MOD	0.00
450	0	0	DMTS,ASTHMA,MOD	0.00
500	0	0	DMTS,ASTHMA,MOD	0.00
550	0	0	DMTS,ASTHMA,MOD	0.00
600	0	0	DMTS,ASTHMA,MOD	0.00
650	0	0	DMTS,ASTHMA,MOD	0.00
700	0	0	DMTS,ASTHMA,MOD	0.00
750	0	0	DMTS,ASTHMA,MOD	0.00
800	0	0	DMTS,ASTHMA,MOD	0.00
0	1821000	7280	DMTS,ASTHMACHILD,MOD	1.00
50	2654	1390	DMTS,ASTHMACHILD,MOD	0.19
100	390	220	DMTS,ASTHMACHILD,MOD	0.03
150	85	58	DMTS,ASTHMACHILD,MOD	0.01
200	27	22	DMTS,ASTHMACHILD,MOD	0.00
250	9	4	DMTS,ASTHMACHILD,MOD	0.00
300	4	4	DMTS,ASTHMACHILD,MOD	0.00
350	0	0	DMTS,ASTHMACHILD,MOD	0.00
400	0	0	DMTS,ASTHMACHILD,MOD	0.00
450	0	0	DMTS,ASTHMACHILD,MOD	0.00
500	0	0	DMTS,ASTHMACHILD,MOD	0.00
550	0	0	DMTS,ASTHMACHILD,MOD	0.00
600	0	0	DMTS,ASTHMACHILD,MOD	0.00
650	0	0	DMTS,ASTHMACHILD,MOD	0.00
700	0	0	DMTS,ASTHMACHILD,MOD	0.00
750	0	0	DMTS,ASTHMACHILD,MOD	0.00
800	0	0	DMTS,ASTHMACHILD,MOD	0.00

**Table B.4-15. APEX estimated SO<sub>2</sub> exposures in St. Louis (as is air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	24405	44100	0.23
100	DMTS,ASTHMA,MOD	3866	4631	0.04
150	DMTS,ASTHMA,MOD	789	896	0.01
200	DMTS,ASTHMA,MOD	229	244	0.00
250	DMTS,ASTHMA,MOD	69	69	0.00
300	DMTS,ASTHMA,MOD	23	23	0.00
350	DMTS,ASTHMA,MOD	8	8	0.00
400	DMTS,ASTHMA,MOD	8	8	0.00
450	DMTS,ASTHMA,MOD	0	0	0.00
500	DMTS,ASTHMA,MOD	0	0	0.00
550	DMTS,ASTHMA,MOD	0	0	0.00
600	DMTS,ASTHMA,MOD	0	0	0.00
650	DMTS,ASTHMA,MOD	0	0	0.00
700	DMTS,ASTHMA,MOD	0	0	0.00
750	DMTS,ASTHMA,MOD	0	0	0.00
800	DMTS,ASTHMA,MOD	0	0	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	16938	32800	0.41
100	DMTS,ASTHMACHILD,MOD	2776	3357	0.07
150	DMTS,ASTHMACHILD,MOD	575	651	0.01
200	DMTS,ASTHMACHILD,MOD	160	176	0.00
250	DMTS,ASTHMACHILD,MOD	39	38	0.00
300	DMTS,ASTHMACHILD,MOD	16	15	0.00
350	DMTS,ASTHMACHILD,MOD	0	0	0.00
400	DMTS,ASTHMACHILD,MOD	0	0	0.00
450	DMTS,ASTHMACHILD,MOD	0	0	0.00
500	DMTS,ASTHMACHILD,MOD	0	0	0.00
550	DMTS,ASTHMACHILD,MOD	0	0	0.00
600	DMTS,ASTHMACHILD,MOD	0	0	0.00
650	DMTS,ASTHMACHILD,MOD	0	0	0.00
700	DMTS,ASTHMACHILD,MOD	0	0	0.00
750	DMTS,ASTHMACHILD,MOD	0	0	0.00
800	DMTS,ASTHMACHILD,MOD	0	0	0.00

**Table B.4-16. APEX estimated SO<sub>2</sub> exposures in St. Louis (current standard air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	93692	2889400	0.89
100	DMTS,ASTHMA,MOD	79422	793000	0.75
150	DMTS,ASTHMA,MOD	63016	316400	0.60
200	DMTS,ASTHMA,MOD	48211	153990	0.46
250	DMTS,ASTHMA,MOD	36315	84540	0.34
300	DMTS,ASTHMA,MOD	26363	49440	0.25
350	DMTS,ASTHMA,MOD	19278	31700	0.18
400	DMTS,ASTHMA,MOD	14181	20719	0.13
450	DMTS,ASTHMA,MOD	10448	14242	0.10
500	DMTS,ASTHMA,MOD	7853	10060	0.07
550	DMTS,ASTHMA,MOD	5880	7229	0.06
600	DMTS,ASTHMA,MOD	4431	5343	0.04
650	DMTS,ASTHMA,MOD	3336	3972	0.03
700	DMTS,ASTHMA,MOD	2631	3099	0.02
750	DMTS,ASTHMA,MOD	1985	2253	0.02
800	DMTS,ASTHMA,MOD	1556	1747	0.01
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	41607	2158300	1.00
100	DMTS,ASTHMACHILD,MOD	40319	602800	0.97
150	DMTS,ASTHMACHILD,MOD	36287	239310	0.87
200	DMTS,ASTHMACHILD,MOD	30504	116260	0.73
250	DMTS,ASTHMACHILD,MOD	24386	63570	0.58
300	DMTS,ASTHMACHILD,MOD	18254	36830	0.44
350	DMTS,ASTHMACHILD,MOD	13539	23507	0.32
400	DMTS,ASTHMACHILD,MOD	9991	15304	0.24
450	DMTS,ASTHMACHILD,MOD	7547	10636	0.18
500	DMTS,ASTHMACHILD,MOD	5658	7420	0.14
550	DMTS,ASTHMACHILD,MOD	4237	5295	0.10
600	DMTS,ASTHMACHILD,MOD	3204	3901	0.08
650	DMTS,ASTHMACHILD,MOD	2376	2851	0.06
700	DMTS,ASTHMACHILD,MOD	1909	2231	0.05
750	DMTS,ASTHMACHILD,MOD	1426	1609	0.03
800	DMTS,ASTHMACHILD,MOD	1111	1240	0.03



**Table B.4-17. APEX estimated SO<sub>2</sub> exposures in St. Louis (99<sup>th</sup> %ile, 50 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	14488	21379	0.14
100	DMTS,ASTHMA,MOD	1595	1794	0.02
150	DMTS,ASTHMA,MOD	298	328	0.00
200	DMTS,ASTHMA,MOD	69	69	0.00
250	DMTS,ASTHMA,MOD	16	15	0.00
300	DMTS,ASTHMA,MOD	8	8	0.00
350	DMTS,ASTHMA,MOD	0	0	0.00
400	DMTS,ASTHMA,MOD	0	0	0.00
450	DMTS,ASTHMA,MOD	0	0	0.00
500	DMTS,ASTHMA,MOD	0	0	0.00
550	DMTS,ASTHMA,MOD	0	0	0.00
600	DMTS,ASTHMA,MOD	0	0	0.00
650	DMTS,ASTHMA,MOD	0	0	0.00
700	DMTS,ASTHMA,MOD	0	0	0.00
750	DMTS,ASTHMA,MOD	0	0	0.00
800	DMTS,ASTHMA,MOD	0	0	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	10229	15835	0.25
100	DMTS,ASTHMACHILD,MOD	1135	1272	0.03
150	DMTS,ASTHMACHILD,MOD	214	237	0.01
200	DMTS,ASTHMACHILD,MOD	39	38	0.00
250	DMTS,ASTHMACHILD,MOD	8	8	0.00
300	DMTS,ASTHMACHILD,MOD	0	0	0.00
350	DMTS,ASTHMACHILD,MOD	0	0	0.00
400	DMTS,ASTHMACHILD,MOD	0	0	0.00
450	DMTS,ASTHMACHILD,MOD	0	0	0.00
500	DMTS,ASTHMACHILD,MOD	0	0	0.00
550	DMTS,ASTHMACHILD,MOD	0	0	0.00
600	DMTS,ASTHMACHILD,MOD	0	0	0.00
650	DMTS,ASTHMACHILD,MOD	0	0	0.00
700	DMTS,ASTHMACHILD,MOD	0	0	0.00
750	DMTS,ASTHMACHILD,MOD	0	0	0.00
800	DMTS,ASTHMACHILD,MOD	0	0	0.00

**Table B.4-18. APEX estimated SO<sub>2</sub> exposures in St. Louis (99<sup>th</sup> %ile, 100 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	48725	158000	0.46
100	DMTS,ASTHMA,MOD	14488	21379	0.14
150	DMTS,ASTHMA,MOD	4654	5619	0.04
200	DMTS,ASTHMA,MOD	1595	1794	0.02
250	DMTS,ASTHMA,MOD	666	742	0.01
300	DMTS,ASTHMA,MOD	298	328	0.00
350	DMTS,ASTHMA,MOD	153	152	0.00
400	DMTS,ASTHMA,MOD	69	69	0.00
450	DMTS,ASTHMA,MOD	38	38	0.00
500	DMTS,ASTHMA,MOD	16	15	0.00
550	DMTS,ASTHMA,MOD	8	8	0.00
600	DMTS,ASTHMA,MOD	8	8	0.00
650	DMTS,ASTHMA,MOD	8	8	0.00
700	DMTS,ASTHMA,MOD	0	0	0.00
750	DMTS,ASTHMA,MOD	0	0	0.00
800	DMTS,ASTHMA,MOD	0	0	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	30703	119350	0.74
100	DMTS,ASTHMACHILD,MOD	10229	15835	0.25
150	DMTS,ASTHMACHILD,MOD	3349	4100	0.08
200	DMTS,ASTHMACHILD,MOD	1135	1272	0.03
250	DMTS,ASTHMACHILD,MOD	491	551	0.01
300	DMTS,ASTHMACHILD,MOD	214	237	0.01
350	DMTS,ASTHMACHILD,MOD	99	99	0.00
400	DMTS,ASTHMACHILD,MOD	39	38	0.00
450	DMTS,ASTHMACHILD,MOD	31	31	0.00
500	DMTS,ASTHMACHILD,MOD	8	8	0.00
550	DMTS,ASTHMACHILD,MOD	0	0	0.00
600	DMTS,ASTHMACHILD,MOD	0	0	0.00
650	DMTS,ASTHMACHILD,MOD	0	0	0.00
700	DMTS,ASTHMACHILD,MOD	0	0	0.00
750	DMTS,ASTHMACHILD,MOD	0	0	0.00
800	DMTS,ASTHMACHILD,MOD	0	0	0.00

**Table B.4-19. APEX estimated SO<sub>2</sub> exposures in St. Louis (99<sup>th</sup> %ile 150 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	68830	429400	0.65
100	DMTS,ASTHMA,MOD	33447	73000	0.32
150	DMTS,ASTHMA,MOD	14488	21379	0.14
200	DMTS,ASTHMA,MOD	6702	8403	0.06
250	DMTS,ASTHMA,MOD	3212	3817	0.03
300	DMTS,ASTHMA,MOD	1595	1794	0.02
350	DMTS,ASTHMA,MOD	844	958	0.01
400	DMTS,ASTHMA,MOD	521	582	0.00
450	DMTS,ASTHMA,MOD	298	328	0.00
500	DMTS,ASTHMA,MOD	198	198	0.00
550	DMTS,ASTHMA,MOD	130	130	0.00
600	DMTS,ASTHMA,MOD	69	69	0.00
650	DMTS,ASTHMA,MOD	38	38	0.00
700	DMTS,ASTHMA,MOD	23	23	0.00
750	DMTS,ASTHMA,MOD	16	15	0.00
800	DMTS,ASTHMA,MOD	8	8	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	38024	325900	0.91
100	DMTS,ASTHMACHILD,MOD	22721	54890	0.54
150	DMTS,ASTHMACHILD,MOD	10229	15835	0.25
200	DMTS,ASTHMACHILD,MOD	4843	6177	0.12
250	DMTS,ASTHMACHILD,MOD	2323	2767	0.06
300	DMTS,ASTHMACHILD,MOD	1135	1272	0.03
350	DMTS,ASTHMACHILD,MOD	621	705	0.01
400	DMTS,ASTHMACHILD,MOD	376	422	0.01
450	DMTS,ASTHMACHILD,MOD	214	237	0.01
500	DMTS,ASTHMACHILD,MOD	138	137	0.00
550	DMTS,ASTHMACHILD,MOD	76	76	0.00
600	DMTS,ASTHMACHILD,MOD	39	38	0.00
650	DMTS,ASTHMACHILD,MOD	31	31	0.00
700	DMTS,ASTHMACHILD,MOD	16	15	0.00
750	DMTS,ASTHMACHILD,MOD	8	8	0.00
800	DMTS,ASTHMACHILD,MOD	0	0	0.00

**Table B.4-20. APEX estimated SO<sub>2</sub> exposures in St. Louis (99<sup>th</sup> %ile, 200 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	79775	813700	0.76
100	DMTS,ASTHMA,MOD	48725	158000	0.46
150	DMTS,ASTHMA,MOD	27030	51270	0.26
200	DMTS,ASTHMA,MOD	14488	21379	0.14
250	DMTS,ASTHMA,MOD	8097	10427	0.08
300	DMTS,ASTHMA,MOD	4654	5619	0.04
350	DMTS,ASTHMA,MOD	2707	3198	0.03
400	DMTS,ASTHMA,MOD	1595	1794	0.02
450	DMTS,ASTHMA,MOD	1050	1180	0.01
500	DMTS,ASTHMA,MOD	666	742	0.01
550	DMTS,ASTHMA,MOD	428	458	0.00
600	DMTS,ASTHMA,MOD	298	328	0.00
650	DMTS,ASTHMA,MOD	214	229	0.00
700	DMTS,ASTHMA,MOD	153	152	0.00
750	DMTS,ASTHMA,MOD	107	107	0.00
800	DMTS,ASTHMA,MOD	69	69	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	40388	618700	0.97
100	DMTS,ASTHMACHILD,MOD	30703	119350	0.74
150	DMTS,ASTHMACHILD,MOD	18690	38210	0.45
200	DMTS,ASTHMACHILD,MOD	10229	15835	0.25
250	DMTS,ASTHMACHILD,MOD	5856	7718	0.14
300	DMTS,ASTHMACHILD,MOD	3349	4100	0.08
350	DMTS,ASTHMACHILD,MOD	1947	2292	0.05
400	DMTS,ASTHMACHILD,MOD	1135	1272	0.03
450	DMTS,ASTHMACHILD,MOD	773	857	0.02
500	DMTS,ASTHMACHILD,MOD	491	551	0.01
550	DMTS,ASTHMACHILD,MOD	314	336	0.01
600	DMTS,ASTHMACHILD,MOD	214	237	0.01
650	DMTS,ASTHMACHILD,MOD	145	160	0.00
700	DMTS,ASTHMACHILD,MOD	99	99	0.00
750	DMTS,ASTHMACHILD,MOD	61	61	0.00
800	DMTS,ASTHMACHILD,MOD	39	38	0.00

**Table B.4-21. APEX estimated SO<sub>2</sub> exposures in St. Louis (99<sup>th</sup> %ile, 250 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	85784	1276000	0.81
100	DMTS,ASTHMA,MOD	60235	278550	0.57
150	DMTS,ASTHMA,MOD	39121	97390	0.37
200	DMTS,ASTHMA,MOD	23681	42330	0.22
250	DMTS,ASTHMA,MOD	14488	21379	0.14
300	DMTS,ASTHMA,MOD	9180	12037	0.09
350	DMTS,ASTHMA,MOD	5750	7061	0.05
400	DMTS,ASTHMA,MOD	3696	4416	0.04
450	DMTS,ASTHMA,MOD	2452	2843	0.02
500	DMTS,ASTHMA,MOD	1595	1794	0.02
550	DMTS,ASTHMA,MOD	1150	1287	0.01
600	DMTS,ASTHMA,MOD	751	858	0.01
650	DMTS,ASTHMA,MOD	574	643	0.01
700	DMTS,ASTHMA,MOD	405	435	0.00
750	DMTS,ASTHMA,MOD	298	328	0.00
800	DMTS,ASTHMA,MOD	229	244	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	41147	967000	0.99
100	DMTS,ASTHMACHILD,MOD	35351	210680	0.85
150	DMTS,ASTHMACHILD,MOD	25834	73310	0.62
200	DMTS,ASTHMACHILD,MOD	16477	31530	0.39
250	DMTS,ASTHMACHILD,MOD	10229	15835	0.25
300	DMTS,ASTHMACHILD,MOD	6686	8975	0.16
350	DMTS,ASTHMACHILD,MOD	4138	5166	0.10
400	DMTS,ASTHMACHILD,MOD	2637	3173	0.06
450	DMTS,ASTHMACHILD,MOD	1786	2070	0.04
500	DMTS,ASTHMACHILD,MOD	1135	1272	0.03
550	DMTS,ASTHMACHILD,MOD	849	941	0.02
600	DMTS,ASTHMACHILD,MOD	536	613	0.01
650	DMTS,ASTHMACHILD,MOD	422	475	0.01
700	DMTS,ASTHMACHILD,MOD	298	321	0.01
750	DMTS,ASTHMACHILD,MOD	214	237	0.01
800	DMTS,ASTHMACHILD,MOD	160	176	0.00

**Table B.4-21. APEX estimated SO<sub>2</sub> exposures in St. Louis (98<sup>th</sup> %ile 200 ppb air quality scenario) while at moderate or greater exertion level.**

5-minute Exposure Level (ppb)	Subpopulation	Number of Persons	Number of Person Days	Fraction of Total Population
0	DMTS,ASTHMA,MOD	102436	16677000	0.97
50	DMTS,ASTHMA,MOD	84633	1159900	0.80
100	DMTS,ASTHMA,MOD	57867	249490	0.55
150	DMTS,ASTHMA,MOD	36682	85910	0.35
200	DMTS,ASTHMA,MOD	21576	37060	0.20
250	DMTS,ASTHMA,MOD	12925	18498	0.12
300	DMTS,ASTHMA,MOD	8014	10304	0.08
350	DMTS,ASTHMA,MOD	5022	6041	0.05
400	DMTS,ASTHMA,MOD	3174	3772	0.03
450	DMTS,ASTHMA,MOD	2023	2299	0.02
500	DMTS,ASTHMA,MOD	1387	1539	0.01
550	DMTS,ASTHMA,MOD	913	1035	0.01
600	DMTS,ASTHMA,MOD	666	742	0.01
650	DMTS,ASTHMA,MOD	474	512	0.00
700	DMTS,ASTHMA,MOD	314	344	0.00
750	DMTS,ASTHMA,MOD	229	252	0.00
800	DMTS,ASTHMA,MOD	198	198	0.00
0	DMTS,ASTHMACHILD,MOD	41714	10560000	1.00
50	DMTS,ASTHMACHILD,MOD	41070	880000	0.98
100	DMTS,ASTHMACHILD,MOD	34529	188770	0.83
150	DMTS,ASTHMACHILD,MOD	24576	64600	0.59
200	DMTS,ASTHMACHILD,MOD	15085	27517	0.36
250	DMTS,ASTHMACHILD,MOD	9168	13677	0.22
300	DMTS,ASTHMACHILD,MOD	5774	7596	0.14
350	DMTS,ASTHMACHILD,MOD	3648	4446	0.09
400	DMTS,ASTHMACHILD,MOD	2285	2721	0.05
450	DMTS,ASTHMACHILD,MOD	1464	1655	0.04
500	DMTS,ASTHMACHILD,MOD	1011	1110	0.02
550	DMTS,ASTHMACHILD,MOD	675	759	0.02
600	DMTS,ASTHMACHILD,MOD	491	551	0.01
650	DMTS,ASTHMACHILD,MOD	352	375	0.01
700	DMTS,ASTHMACHILD,MOD	222	245	0.01
750	DMTS,ASTHMACHILD,MOD	160	183	0.00
800	DMTS,ASTHMACHILD,MOD	138	137	0.00

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**ATTACHMENT 1. TECHNICAL MEMORANDUM ON  
METEOROLOGICAL DATA PREPARATION FOR AERMOD  
FOR SO<sub>2</sub> REA FOR GREENE COUNTY AND ST. LOUIS  
MODELING DOMAINS, YEAR 2002**

# Meteorological data preparation for AERMOD for SO<sub>2</sub> REA for Greene County, MO and St. Louis, MO

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## 1. Introduction

National Weather Service (NWS) meteorological data are often used as the source of input meteorological data for AERMOD (U. S. EPA, 2004a). For the SO<sub>2</sub> Risk and Exposure Assessment, two study areas were chosen: Greene County, Missouri, which includes the city of Springfield, and St. Louis, Missouri. Tables 1 and 2 list the surface and upper air NWS stations chosen for the two areas. Figure 1 shows the relationship between each surface station and its paired upper air station.

For the St. Louis domain, two other stations were also considered: Spirit of St. Louis Airport (SUS) and St. Louis Downtown Airport (CPS). SUS and CPS were used in the 1st draft REA (U.S. EPA 2008a). The spatial relationship between the St. Louis area stations is shown in Figure 2. Preliminary analysis of the three stations for the St. Louis domain revealed that CPS and SUS contained significantly more calms and missing hours than STL. It was therefore determined that STL would be more representative for the majority of emission sources for the St. Louis modeling domain, and would be used for all of the St. Louis modeling. Given the distances shown in Figures 2 and 3 between the stations, the choice was not unreasonable.

Table 1. Surface stations for the SO<sub>2</sub> study areas. Latitude and longitude are the best approximation coordinates of the meteorological towers.

Area	Station	Identifier	WMO (WBAN)	Latitude	Longitude	Elevation (m)	GMT offset
Greene County	Springfield-Branson Regional AP	SGF	724400 (13995)	37.23528	-93.40028	387	6
St. Louis	Lambert-St. Louis International AP	STL	724340 (13994)	38.7525	-90.37361	161	6

Table 2. Upper air stations for the SO<sub>2</sub> study areas.

Area	Station	Identifier	WMO (WBAN)	Latitude	Longitude	Elevation (m)	GMT offset
Greene County	Springfield-Branson Regional AP	SGF	724400 (13995)	37.23	-93.40	394	6
St. Louis	Lincoln-Logan County AP, IL	ILX	724340 (4833)	40.15	-89.33	178	6

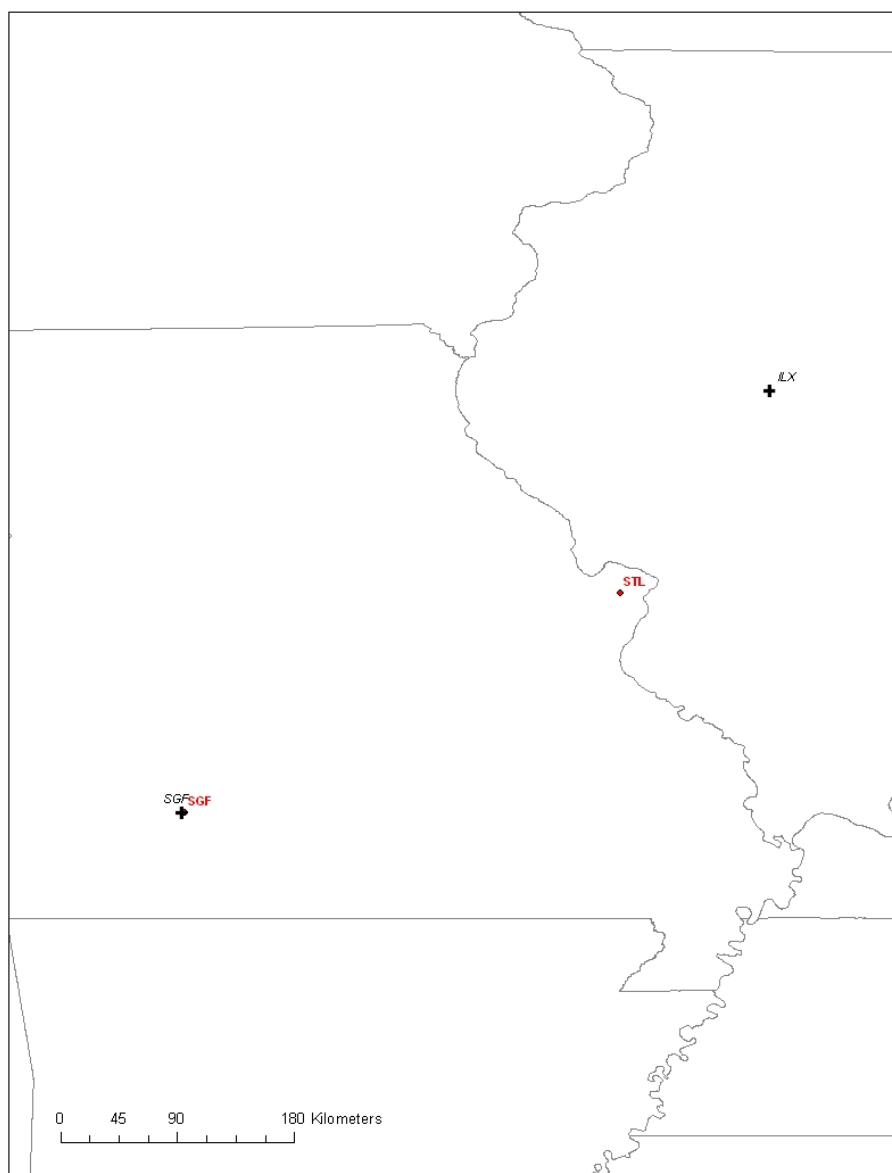


Figure 1. Location of surface stations (red dots) relative to upper air stations (crosses) for Greene County and St. Louis, MO.

A potential concern related to the use of NWS meteorological data for dispersion modeling is the often high incidence of calms and variable wind conditions reported for the Automated Surface Observing Stations (ASOS) in use at most NWS stations since the mid-1990's. A variable wind observation may include wind speeds up to 6 knots, but the wind direction is reported as missing. The AERMOD model currently cannot simulate dispersion under these conditions. To reduce the number of calms and missing winds in the surface data for each of the four stations, archived one-minute winds for the ASOS stations were used to calculate hourly average wind speed and directions, which were used to supplement the standard archive of winds reported for each station in the Integrated Surface Hourly (ISH) database. Details regarding this procedure are described below.

Section 2 describes preparation of the surface and upper data from the ISH database and FSL website including the preparation of data and calculation of hourly winds from one-minute ASOS data, Section 3 describes AERSURFACE processing for surface characteristics, and Section 4 describes the AERMET processing. Section 5 provides a brief analysis of the AERMET output for the stations. References are listed in Section 6.

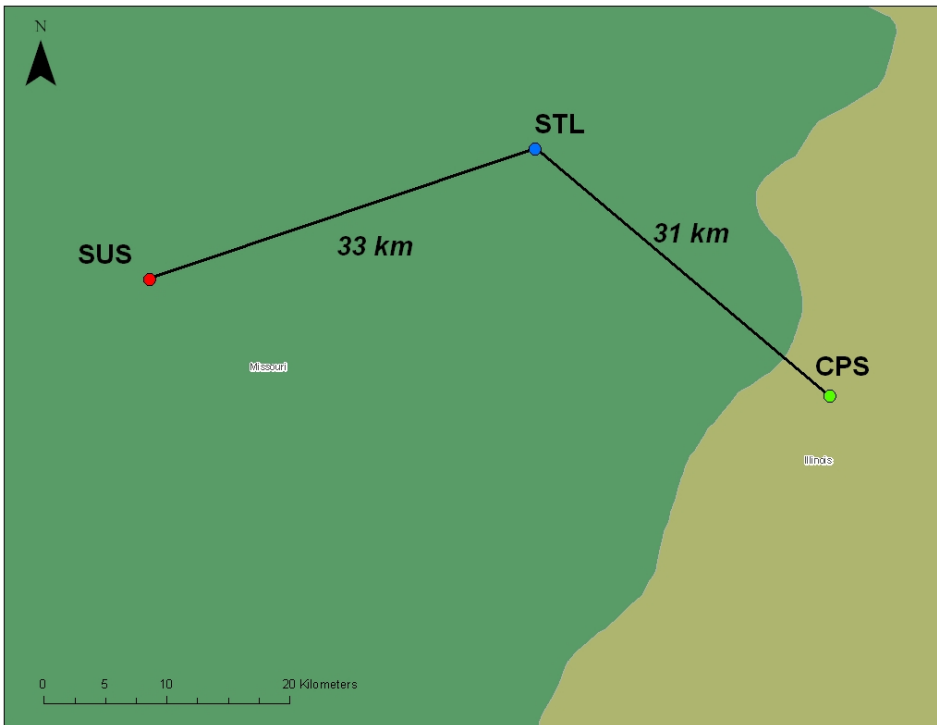


Figure 2. Distance between STL and SUS and CPS.

## 2. Surface and upper air data preparation

### 2.1 Surface data and hourly averaged wind calculations

One year of surface data for 2002 for each of the stations listed in Table 1 were downloaded from the ISH archive at NCDC. Surface data from NWS locations often contain a large number of calms and variable winds. This is due to the implementation of the ASOS program to replace observer-based data beginning in the mid-1990's, and the adoption of the METAR standard for reporting NWS observations in July 1996. Currently, the wind speed and direction used to represent the hour in AERMOD is based on a single two-minute average, usually reported about 10 minutes before the hour. The METAR system reports winds of less than three knots as calm (coded as 0 knots), and winds up to six knots will be reported as variable when the variation in the 2-minute wind direction is more than 60 degrees. This variable wind is reported as a non-zero wind speed with a missing wind direction. The number of calms and variable winds can influence concentration calculations in AERMOD because concentrations are not calculated for calms or variable wind hours. Significant numbers of calm and variable hours may compromise the representativeness of NWS surface data for AERMOD applications. This is especially of concern for applications involving low-level releases since the worst-case dispersion conditions for such sources are associated with low wind speeds, and the hours being discarded as calm or variable are biased toward this condition.

Recently, NCDC began archiving the two-minute average wind speeds for each minute of the hour for most ASOS stations for public access. These values have not been subjected to the METAR coding for calm and variable winds. Recent work in AQMG has focused on utilizing these 1 minute winds to calculate hourly average winds to reduce the number of calms and variable winds for a given station and year. For data input into AERMOD, one minute winds for SGF and STL were used to calculate hourly average winds for 2002 (the 1-minute ASOS wind data were not available for SUS or CPS for 2002). These hourly average winds are input to AERMET and replace the winds reported for the hour from the ISH dataset. Following is the methodology used to calculate the hourly average winds for this application:

One minute data files are monthly, so each month for 2002 was downloaded.

1. Each line of the data file was read and QA performed on the format of the line to check if the line is valid data line. Currently, the one minute data files loosely follow a fixed format, but there are numerous exceptions. The program performed several checks on the line to ensure that wind direction and wind speed were in the correct general location. If a minute was listed twice, the second line for that minute was assumed to be the correct line. In the files, wind directions were recorded at the nearest whole degree and wind speed to the nearest whole knot.
2. If the reported wind speed was less than 2 knots, the wind speed was reset to 1 knot. This was done because anything less than 2 knots was considered below the instrument threshold (if the anemometer is not a sonic anemometer, which was the case for SGF and STL for 2002). This generally conforms to the meteorological monitoring guidance recommendation of applying a wind speed of one half the threshold value to each wind

sample below threshold when processing samples to obtain hourly averages. At the same time, the x- and y-components of the wind direction were calculated using equations 1 and 2 below, which are the functions inside the summation of equations 6.2.17 and 6.2.18 of the meteorological guidance document (U.S. EPA, 2000). The components were only calculated for minutes that did not require resetting.

$$v_x = -\sin \theta \quad (1)$$

$$v_y = -\cos \theta \quad (2)$$

where  $v_x$  and  $v_y$  are the x- and y-components of the one minute wind direction  $\theta$ .

3. For all minutes that passed the QA check in step 1, the wind speeds were converted from knots to m/s.
4. Before calculating hourly averages, the number of valid minutes (those with wind directions) was checked for each hour. An hourly average would be calculated if there were at least two valid 2-minute averages reported for the hour. This could be even minutes, odd minutes, or a mixture of non-overlapping even and odd minutes. Even minutes were given priority over odd. If at least two valid minutes were found, then all available (non-overlapping) minutes would be used to calculate hourly averages. The most observations that could be used were 30 2-minute values (30 even or 30 odd).
5. For wind speed averages, all available non-overlapping minutes' speeds were used, even those subject to resets as described in step 2. The hourly wind speed was an arithmetic average of the wind speeds used.
6. For wind directions, the x- and y-components were summed according to equations 6.2.17 and 6.2.18 of the meteorological monitoring guidance (U.S. EPA, 2000), summarized in equations 3 and 4 below with  $v_{xi}$  and  $v_{yi}$  calculated in equations 1 and 2. The hourly wind direction was calculated based on a unit-vector approach, using equation 6.2.19 of the meteorological monitoring guidance (U.S. EPA, 2000), summarized in equation 5. The one minute average wind directions do not use the flow correction as shown in equation 6.2.19, since the calculated direction is the direction from which the wind was blowing, not the direction in which it is blowing, as shown by the flow correction in 6.2.19. Instead, the one minute program corrected for the direction from which the wind was blowing.

$$V_x = \frac{1}{N} \sum_{i=1}^N v_{xi} \quad (3)$$

$$V_y = \frac{1}{N} \sum_{i=1}^N v_{yi} \quad (4)$$

$$\theta = \text{Arc tan} \left( \frac{V_x}{V_y} \right) + \text{CORR} \quad (5)$$

Where  $V_x$  and  $V_y$  are the hourly averaged x- and y-components of the wind,  $\theta$  is the hourly averaged wind direction,  $N$  is the number of observations used for the hour, and

$$\begin{aligned}
&= 180 \text{ for } V_x > 0 \text{ and } V_y > 0 \text{ or } V_x < 0 \text{ and } V_y > 0 \\
\text{CORR} &= 0 \text{ for } V_x < 0 \text{ and } V_y < 0 \\
&= 360 \text{ for } V_x \geq 0 \text{ and } V_y < 0
\end{aligned}$$

## 2.2 Upper air data

For AERMET processing, an upper air station must be paired with the surface station, as shown in Table 2. Upper air data in the Forecast System Laboratory (FSL) format was downloaded from the FSL, (currently named Global Systems Division) website, <http://www.fsl.noaa.gov/>. The data period chosen was January 1, 2002 through December 31, 2002 for all times and all levels. The selected wind speed units were chosen as tenths of a meter per second. Each station was downloaded as a separate file.



### 3. AERSURFACE

The AERSURFACE tool (U.S. EPA, 2008b) was used to determine surface characteristics (albedo, Bowen ratio, and surface roughness) for input to AERMET. Surface characteristics were calculated for the location of the ASOS meteorological towers. As noted in the AERSURFACE User's Guide (U.S. EPA, 2008), AERSURFACE should be run for the location of the actual meteorological tower to ensure accurate representation of the conditions around the site. The approximate locations of the meteorological towers were determined using aerial photos and the station history from NCDC. The coordinates used are listed in Table 1.

A draft version of AERSURFACE (08256) that utilizes 2001 NLCD was used to determine the surface characteristics for this application since the 2001 land cover data will be more representative of the meteorological data period than the 1992 NLCD data supported by the current version of AERSURFACE available on EPA's SCRAM website. All stations were considered "at an airport" for the low, medium, and high intensity developed categories. SGF and STL did not have continuous snow cover as outlined in the 1st draft SO<sub>2</sub> REA (U.S. EPA, 2008a). Monthly seasonal assignments did not follow the defaults as outlined in the AERSURFACE User's Guide (U.S. EPA, 2008a) and the monthly seasonal assignments were defined as shown in Table 3. Since the default seasonal assignments were not used, the surface characteristics were output by month.

Table 3. Seasonal monthly assignments.

Station	Winter (no snow)	Spring	Summer	Autumn
SGF	December, January, February, March	April, May	June, July, August	September, October, November
STL	December, January, February	March, April, May	June, July, August	September, October, November
Seasonal definitions				
Winter (no snow)	Late autumn after frost and harvest, or winter with no snow			
Spring	Transitional spring with partial green coverage or short annuals			
Summer	Midsummer with lush vegetation			
Autumn	Autumn with unharvested cropland			

Moisture conditions (average, dry or wet) for Bowen ratio were based on annual precipitation using the methodology outlined in the AERSURFACE User's Guide (U.S. EPA, 2008b): Years in the top 30% of the 30-year precipitation distribution are considered wet. Those in the bottom 30% of the distribution are dry. Otherwise, a given year is considered average. For the two surface stations, the 2007 local climatological database was used to look at 30 years (1978-2007) annual precipitation. For SGF, 2002 was considered dry while STL was considered average. The ranked 30 year distributions are shown in Table 4 with time series of the annual precipitation in Figure 3.

Table 4. Annual precipitation (inches) for Springfield and St. Louis. Years in green are top 30% of distribution (wettest), years in brown are the bottom 30% of the distribution (driest) and years in white are the middle 40%. 2002 is denoted in bold. 30 year averages are denoted by yellow rows.

Springfield		St. Louis	
Year	Precipitation (inches)	Year	Precipitation (inches)
1990	63.19	1982	54.97
1985	56.50	1993	54.76
1993	55.78	1984	51.65
1987	55.49	1985	50.73
1994	49.02	2003	46.06
1979	48.94	1981	45.52
1998	48.47	1990	45.09
1988	48.46	1983	44.80
1992	48.04	1996	43.67
1982	47.67	1998	43.62
1984	45.78	2004	42.27
2001	45.29	1995	41.68
1983	45.05	<b>2002</b>	<b>40.95</b>
1996	44.86	1987	38.38
2007	44.27	2005	37.85
1978	43.95	1978	37.71
1981	43.72	2000	37.37
2004	43.23	2001	35.29
2003	42.61	1986	34.88
1995	41.86	1994	34.70
1999	41.53	1999	34.06
1986	40.19	1988	33.93
2006	38.87	1992	33.49
1997	38.48	1991	33.48
<b>2002</b>	<b>37.82</b>	1997	31.23
1991	37.59	2007	30.57
2000	35.36	2006	29.93
2005	35.32	1979	29.48
1989	31.50	1989	28.60
1980	27.36	1980	27.48
30-year average	40.21	30-year average	39.14

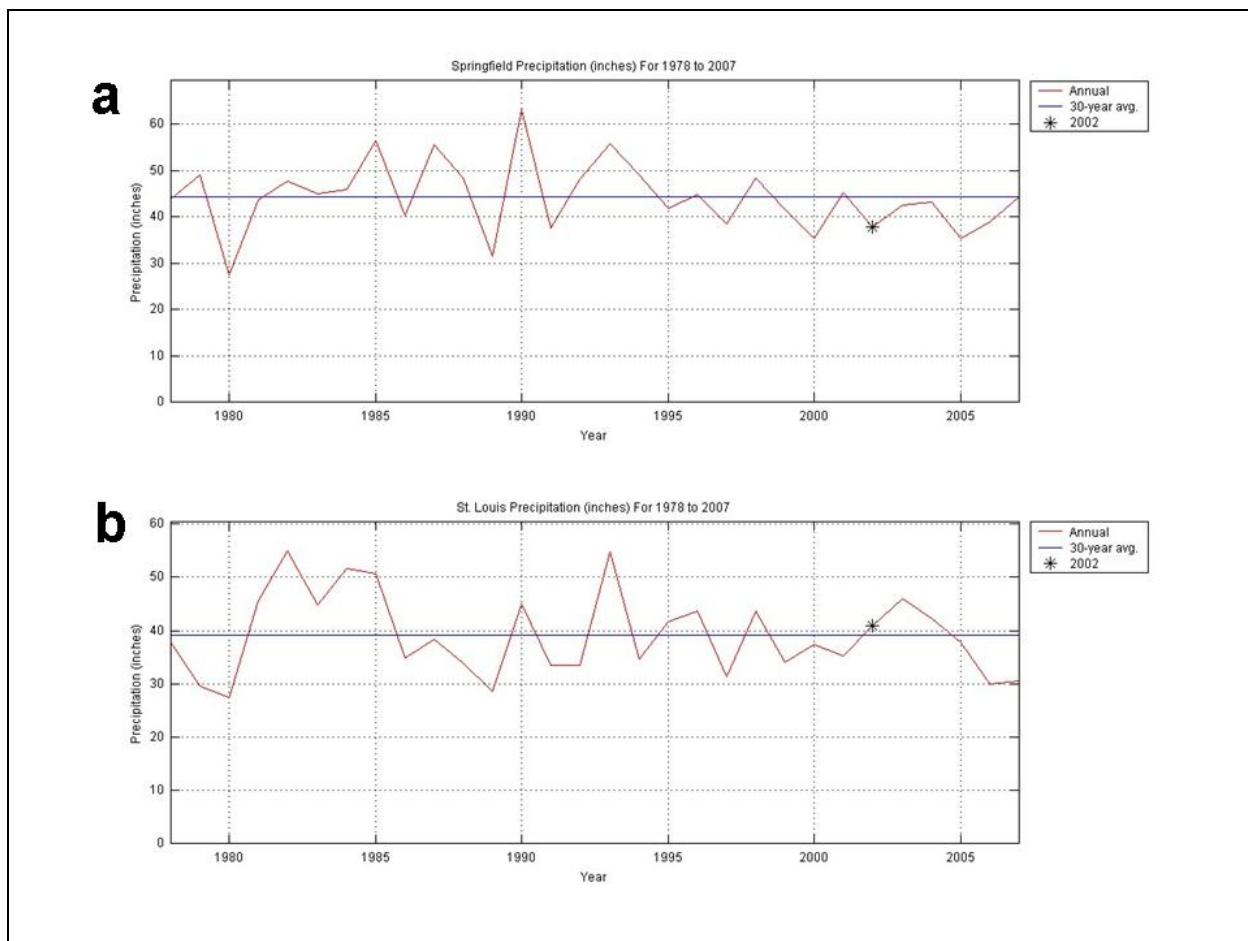


Figure 3. 30 year time series of annual precipitation (inches) for a) Springfield, and b) St. Louis. Annual averages are in red, 30-year averages in blue, and 2002 denoted by asterisk.

AERSURFACE also allows for the surface roughness to be defined by up to 12 sectors. The landuse around SGF and STL were analyzed using the NLCD data and aerial photographs. The resulting sectors are shown in Figures 4 and 5.

After determining the moisture conditions and surface roughness sectors, AERSURFACE was run for each station with output by month and sector. The resulting surface characteristics were input into AERMET stage 3. The surface characteristics are shown in Appendix A.

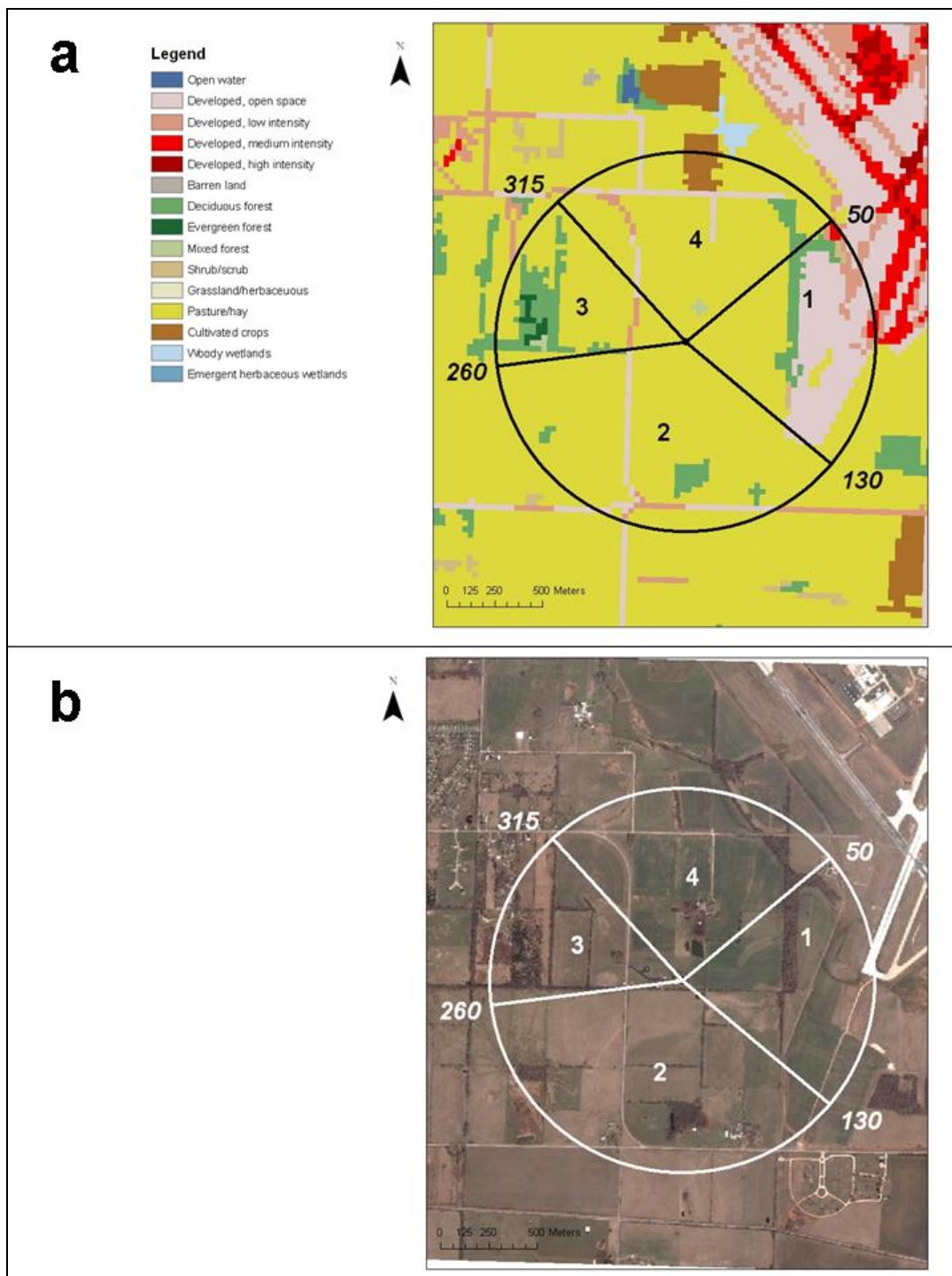


Figure 4. Surface roughness sectors for SGF with a) 2001 NLCD landuse and b) 2003 aerial photograph.

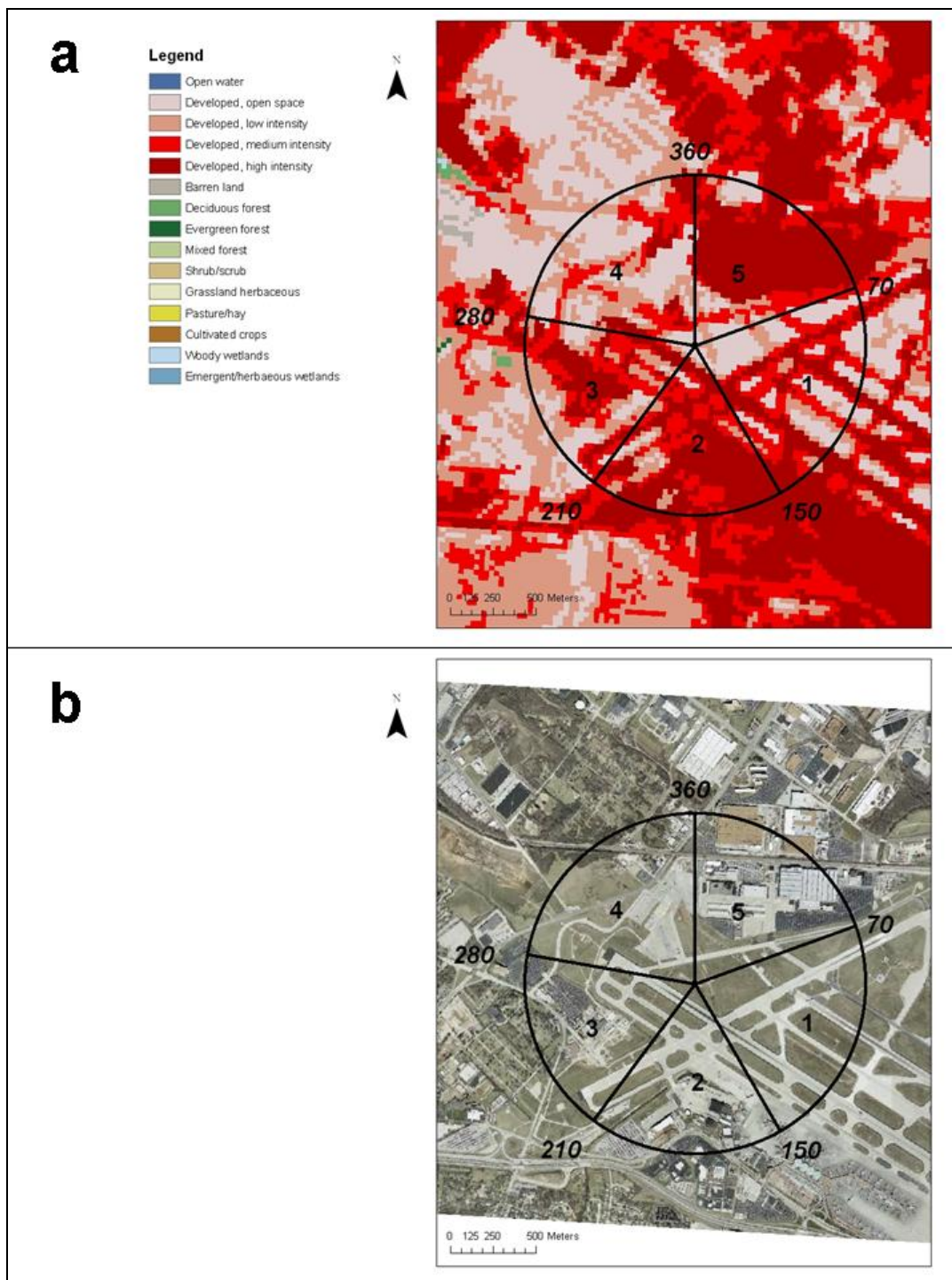


Figure 5. Surface roughness sectors for STL with a) 2001 NLCD landuse and b) 2002 aerial photograph.

#### 4. AERMET

The meteorological data files for each station (upper air, ISH data, one minute data) were processed in AERMET (U.S. EPA, 2004), which includes three “Stages” for processing of meteorological data. Stage 1 was used to read in all the data files and perform initial QA. The upper air data was processed via the UPPERAIR pathway. The ISH data was processed via the SURFACE pathway, and the one minute hourly average winds were processed via the ONSITE pathway. Hourly averaged winds were read into AERMET for the one minute hourly average winds. For the hourly averaged one minute winds, the threshold was set to 0.01 m/s. The lowest wind speeds for SGF and STL, including one minute data, was around 0.54 m/s.

In Stage 2, the upper air, ISH surface data, and hourly averaged winds were merged together for each station. After Stage 2, Stage 3 was run to create the input files for AERMOD. When hourly averaged winds were available, those winds would be used for the hour and all other data would come from the ISH data (temperature, cloud cover, precipitation, etc.) If no hourly averaged winds were available for the hour, all surface data came from the ISH data via the SUBNWS keyword in the Stage 3 input file. As noted in Section 3, surface characteristics from AERSURFACE are input into Stage 3. The resulting output from Stage 3 were the .SFC and .PFL files input into AERMOD.

An AERMOD run, using a single source and receptor, was used to determine the number of calms and missing hours for each station. Missing hours can be due to missing winds, temperatures or soundings. Missing hours can also result from variable winds. The number of calms and missing hours for each station are shown in Table 5. Also shown in Table 5 are the number of calms and missing when using only the ISH winds for surface winds, no hourly averaged one minute winds included. Note that including the hourly averaged winds dramatically reduces the number of calms and missing hours.

Table 5. Number of calms and missing hours for each station. Totals reflect the use of hourly averaged one minute winds.

Station	With hourly averaged winds		Without hourly averaged winds	
	Calms	Missing	Calms	Missing
SGF	116	135	830	448
STL	67	98	648	401



## 5. Analysis

Wind roses for 2002 for the two stations are shown in Figure 6. For SGF, the wind was predominantly from the south and south-southeast. For STL, winds were predominantly from the south but strong components of the wind were from the westerly direction (northwest, west, and southwest).

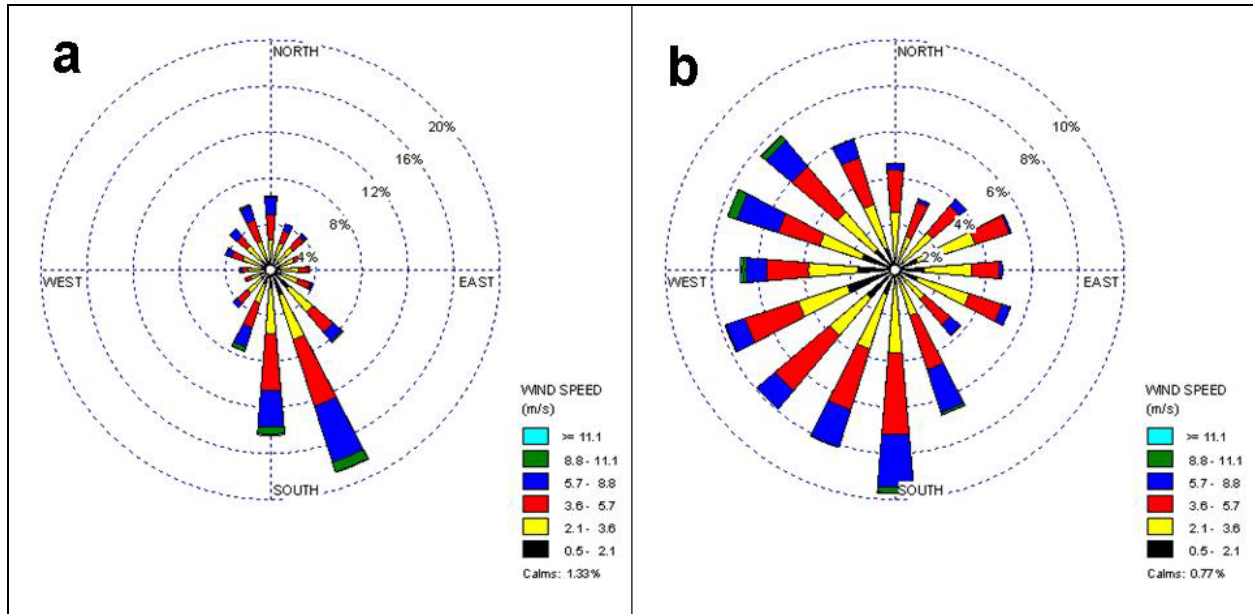


Figure 6. 2002 wind roses after AERMET processing for a) SGF and b) STL.

For SGF and STL, 2002 was compared against 30-year climatology for precipitation and temperature. Precipitation has been discussed in Section 3 (Table 4 and Figure 3). A distribution of the annual mean temperatures from 1978 to 2007 is shown in Table 6 with time series of mean temperatures shown in Figure 7. For Springfield, 2002 was drier than the 30-year average (Table 4 and Figure 3) and about average for the mean temperature (Table 6 and Figure 7). For St. Louis, the precipitation was slightly above the 30-year average (Table 4 and Figure 3) with the mean temperature about one degree above the 30-year average (Table 6 and Figure 7).

Table 6. 30-year distribution of mean annual temperatures (Fahrenheit) for Springfield and St. Louis. 2002 is denoted in bold.

Springfield		St. Louis	
Year	Temperature	Year	Temperature
2006	58.9	1991	59.2
2007	58.1	1990	59.0
1998	57.9	1998	58.7
2005	57.9	2006	58.5
1990	57.8	2007	58.3
1999	57.6	1987	58.2
1991	57.5	1999	58.0
1987	57.3	2005	58.0
1986	57.2	<b>2002</b>	<b>57.9</b>
1980	57.1	1994	57.7
1981	57.1	2001	57.7
1994	57.1	1986	57.6
1984	56.7	2004	57.6
2004	56.7	1992	57.2
2001	56.6	1988	57.0
1982	56.3	1995	57.0
1992	56.3	2003	56.5
1995	56.2	1980	56.4
<b>2002</b>	<b>56.2</b>	1984	56.4
1983	56.0	2000	56.2
2000	55.9	1981	56.1
2003	55.8	1983	55.9
1988	55.3	1989	55.7
1985	55.1	1993	55.6
1993	54.9	1985	55.2
1997	54.5	1997	55.1
1996	54.4	1996	54.9
1989	54.0	1982	54.8
1978	53.9	1979	54.1
1979	53.5	1978	53.2
<b>30-year average</b>	<b>56.3</b>	<b>30-year average</b>	<b>56.8</b>



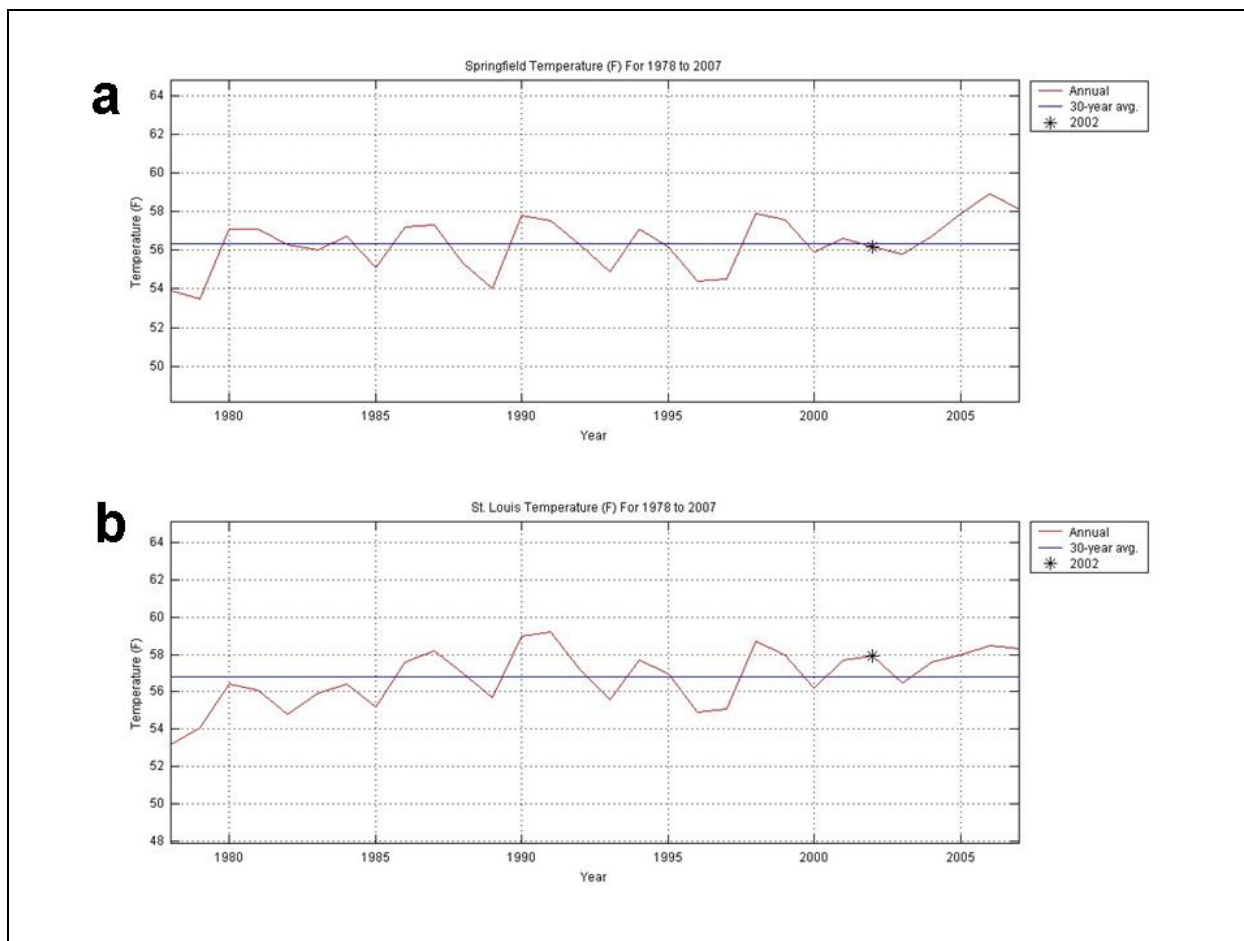


Figure 7. 30 year time series of mean annual temperatures (Fahrenheit) for a) Springfield, and b) St. Louis. Annual averages are in red, 30-year averages in blue, and 2002 denoted by asterisk.

## 6. References

- U.S. EPA, 2000: Meteorological Monitoring Guidance for Regulatory Modeling Applications. EPA-454/R-99-005. U.S. Environmental Protection Agency, Research Triangle Park, NC 27711.
- U.S. EPA, 2004: User's Guide for the AERMOD Meteorological Preprocessor (AERMET). EPA-454/B-03-002. U.S. Environmental Protection Agency, Research Triangle Park, NC 27711.
- U.S. EPA, 2008a: Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standard: First Draft. EPA-452/P-08-003. U.S. Environmental Protection Agency, Research Triangle Park, NC 27711.
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[http://www.epa.gov/scram001/7thconf/aermod/aersurface\\_userguide.pdf](http://www.epa.gov/scram001/7thconf/aermod/aersurface_userguide.pdf)

## Appendix A. Surface characteristics.

Tables A1 and A2 show the surface characteristics for Springfield and St. Louis for 2002 based on 2001 landuse.

Table A1. Springfield monthly surface characteristics by sector.

Month	Sector	Albedo	Bowen ratio	Surface roughness	Month	Sector	Albedo	Bowen ratio	Surface roughness
January	1	0.18	2.06	0.022	July	1	0.18	1.36	0.102
	2	0.18	2.06	0.021		2	0.18	1.36	0.146
	3	0.18	2.06	0.037		3	0.18	1.36	0.206
	4	0.18	2.06	0.022		4	0.18	1.36	0.147
February	1	0.18	2.06	0.022	August	1	0.18	1.36	0.102
	2	0.18	2.06	0.021		2	0.18	1.36	0.146
	3	0.18	2.06	0.037		3	0.18	1.36	0.206
	4	0.18	2.06	0.022		4	0.18	1.36	0.147
March	1	0.18	2.06	0.022	September	1	0.18	2.06	0.095
	2	0.18	2.06	0.021		2	0.18	2.06	0.145
	3	0.18	2.06	0.037		3	0.18	2.06	0.205
	4	0.18	2.06	0.022		4	0.18	2.06	0.145
April	1	0.15	1.2	0.033	October	1	0.18	2.06	0.095
	2	0.15	1.2	0.032		2	0.18	2.06	0.145
	3	0.15	1.2	0.055		3	0.18	2.06	0.205
	4	0.15	1.2	0.034		4	0.18	2.06	0.145
May	1	0.15	1.2	0.033	November	1	0.18	2.06	0.095
	2	0.15	1.2	0.032		2	0.18	2.06	0.145
	3	0.15	1.2	0.055		3	0.18	2.06	0.205
	4	0.15	1.2	0.034		4	0.18	2.06	0.145
June	1	0.18	1.36	0.102	December	1	0.18	2.06	0.022
	2	0.18	1.36	0.146		2	0.18	2.06	0.021
	3	0.18	1.36	0.206		3	0.18	2.06	0.037
	4	0.18	1.36	0.147		4	0.18	2.06	0.022

Table A2. St. Louis monthly surface characteristics by sector.

Month	Sector	Albedo	Bowen ratio	Surface roughness	Month	Sector	Albedo	Bowen ratio	Surface roughness
January	1	0.18	1.02	0.036	July	1	0.17	0.81	0.048
	2	0.18	1.02	0.077		2	0.17	0.81	0.081
	3	0.18	1.02	0.059		3	0.17	0.81	0.065
	4	0.18	1.02	0.036		4	0.17	0.81	0.046
	5	0.18	1.02	0.041		5	0.17	0.81	0.051
February	1	0.18	1.02	0.036	August	1	0.17	0.81	0.048
	2	0.18	1.02	0.077		2	0.17	0.81	0.081
	3	0.18	1.02	0.059		3	0.17	0.81	0.065
	4	0.18	1.02	0.036		4	0.17	0.81	0.046
	5	0.18	1.02	0.041		5	0.17	0.81	0.051
March	1	0.16	0.76	0.043	September	1	0.17	1.02	0.043
	2	0.16	0.76	0.079		2	0.17	1.02	0.079
	3	0.16	0.76	0.063		3	0.17	1.02	0.063
	4	0.16	0.76	0.041		4	0.17	1.02	0.041
	5	0.16	0.76	0.047		5	0.17	1.02	0.047
April	1	0.16	0.76	0.043	October	1	0.17	1.02	0.043
	2	0.16	0.76	0.079		2	0.17	1.02	0.079
	3	0.16	0.76	0.063		3	0.17	1.02	0.063
	4	0.16	0.76	0.041		4	0.17	1.02	0.041
	5	0.16	0.76	0.047		5	0.17	1.02	0.047
May	1	0.16	0.76	0.043	November	1	0.17	1.02	0.043
	2	0.16	0.76	0.079		2	0.17	1.02	0.079
	3	0.16	0.76	0.063		3	0.17	1.02	0.063
	4	0.16	0.76	0.041		4	0.17	1.02	0.041
	5	0.16	0.76	0.047		5	0.17	1.02	0.047
June	1	0.17	0.81	0.048	December	1	0.18	1.02	0.036
	2	0.17	0.81	0.081		2	0.18	1.02	0.077
	3	0.17	0.81	0.065		3	0.18	1.02	0.059
	4	0.17	0.81	0.046		4	0.18	1.02	0.036
	5	0.17	0.81	0.051		5	0.18	1.02	0.041

## **ATTACHMENT 2. TECHNICAL MEMORANDUM ON THE ANALYSIS OF NHIS ASTHMA PREVALENCE DATA**



## DRAFT MEMORANDUM

**To:** John Langstaff  
**From:** Jonathan Cohen, Arlene Rosenbaum  
**Date:** September 30, 2005  
**Re:** EPA 68D01052, Work Assignment 3-08. Analysis of NHIS Asthma Prevalence Data

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This memorandum describes our analysis of children's asthma prevalence data from the National Health Interview Survey (NHIS) for 2003. Asthma prevalence rates for children aged 0 to 17 years were calculated for each age, gender, and region. The regions defined by NHIS are "Midwest," "Northeast," "South," and "West." For this project, asthma prevalence was defined as the probability of a Yes response to the question CASHMEV: "Ever been told that ... had asthma?" among those that responded Yes or No to this question. The responses were weighted to take into account the complex survey design of the NHIS survey. Standard errors and confidence intervals for the prevalence were calculated using a logistic model, taking into account the survey design. Prevalence curves showing the variation of asthma prevalence against age for a given gender and region were plotted. A scatterplot smoothing technique using the LOESS smoother was applied to smooth the prevalence curves and compute the standard errors and confidence intervals for the smoothed prevalence estimates. Logistic analysis of the prevalence curves shows statistically significant differences in prevalence by gender and by region. Therefore we did not combine the prevalence rates for different genders or regions.

### Logistic Models

NHIS survey data for 2003 were provided by EPA. One obvious approach to calculate prevalence rates and their uncertainties for a given gender, region, and age is to calculate the proportion of Yes responses among the Yes and No responses for that demographic group, weighting each response by the survey weight. Although that approach was initially used, two problems are that the distributions of the estimated prevalence rates are not well approximated by normal distributions, and that the estimated confidence intervals based on the normal approximation often extend outside the [0, 1] interval. A better approach is to use a logistic transformation and fit a model of the form:

$$\text{Prob (asthma)} = \exp(\text{beta}) / (1 + \exp(\text{beta}) ),$$

where beta may depend on the explanatory variables for age, gender, or region. This is equivalent to the model:

$$\text{Beta} = \text{logit} \{ \text{prob} (\text{asthma}) \} = \log \{ \text{prob} (\text{asthma}) / [1 - \text{prob} (\text{asthma})] \}.$$

The distribution of the estimated values of beta is more closely approximated by a normal distribution than the distribution of the corresponding estimates of prob (asthma). By applying a logit transformation to the confidence intervals for beta, the corresponding confidence intervals for prob (asthma) will always be inside [0, 1]. Another advantage of the logistic modeling is that it can be used to compare alternative statistical models, such as models where the prevalence probability depends upon age, region, and gender, or on age and region but not gender.

A variety of logistic models for asthma prevalence were fit and compared, where the transformed probability variable beta is a given function of age, gender, and region. SAS's SURVEYLOGISTIC procedure was used to fit the logistic models, taking into account the NHIS survey weights and survey design (stratification and clustering).

The following Table G-1 lists the models fitted and their log-likelihood goodness-of-fit measures. 16 models were fitted. The Strata column lists the four possible stratifications: no stratification, by gender, by region, by region and gender. For example, "4. region, gender" means that separate prevalence estimates were made for each combination of region and gender. As another example, "2. gender" means that separate prevalence estimates were made for each gender, so that for each gender, the prevalence is assumed to be the same for each region. The prevalence estimates are independently calculated for each stratum.

**Table G-1. Alternative logistic models for asthma prevalence.**

Model	Description	Strata	- 2 Log Likelihood	DF
1	1. logit(prob) = linear in age	1. none	54168194.62	2
2	1. logit(prob) = linear in age	2. gender	53974657.17	4
3	1. logit(prob) = linear in age	3. region	54048602.57	8
4	1. logit(prob) = linear in age	4. region, gender	53837594.97	16
5	2. logit(prob) = quadratic in age	1. none	53958021.20	3
6	2. logit(prob) = quadratic in age	2. gender	53758240.99	6
7	2. logit(prob) = quadratic in age	3. region	53818198.13	12
8	2. logit(prob) = quadratic in age	4. region, gender	53593569.84	24
9	3. logit(prob) = cubic in age	1. none	53849072.76	4
10	3. logit(prob) = cubic in age	2. gender	53639181.24	8
11	3. logit(prob) = cubic in age	3. region	53694710.66	16
12	3. logit(prob) = cubic in age	4. region, gender	53441122.98	32
13	4. logit(prob) = f(age)	1. none	53610093.48	18

Model	Description	Strata	- 2 Log Likelihood	DF
<b>14</b>	4. logit(prob) = f(age)	2. gender	53226610.02	36
<b>15</b>	4. logit(prob) = f(age)	3. region	53099749.33	72
<b>16</b>	4. logit(prob) = f(age)	4. region, gender	52380000.19	144

The Description column describes how beta depends upon the age:

- Linear in age:  $\text{Beta} = \alpha + \beta \times \text{age}$ , where  $\alpha$  and  $\beta$  vary with the strata.
- Quadratic in age:  $\text{Beta} = \alpha + \beta \times \text{age} + \gamma \times \text{age}^2$  where  $\alpha$ ,  $\beta$  and  $\gamma$  vary with the strata.
- Cubic in age:  $\text{Beta} = \alpha + \beta \times \text{age} + \gamma \times \text{age}^2 + \delta \times \text{age}^3$  where  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$  vary with the strata.
- f(age)  $\text{Beta} = \text{arbitrary function of age}$ , with different functions for different strata

The category f(age) is equivalent to making age one of the stratification variables, and is also equivalent to making beta a polynomial of degree 16 in age (since the maximum age for children is 17), with coefficients that may vary with the strata.

The fitted models are listed in order of complexity, where the simplest model (1) is an unstratified linear model in age and the most complex model (16) has a prevalence that is an arbitrary function of age, gender, and region. Model 16 is equivalent to calculating independent prevalence estimates for each of the 144 combinations of age, gender, and region.

Table G-1 also includes the -2 Log Likelihood, a goodness-of-fit measure, and the degrees of freedom, DF, which is the total number of estimated parameters. Two models can be compared using their -2 Log Likelihood values; lower values are preferred. If the first model is a special case of the second model, then the approximate statistical significance of the first model is estimated by comparing the difference in the -2 Log Likelihood values with a chi-squared random variable with r degrees of freedom, where r is the difference in the DF. This is a likelihood ratio test. For all pairs of models from Table G-1, all the differences are at least 70,000 and the likelihood ratios are all extremely statistically significant at levels well below 5 percent. Therefore the model 16 is clearly preferred and was used to model the prevalences.

The SURVEYLOGISTIC model predictions are tabulated in Table G-2 below and plotted in Figures 1 and 3 below. Also shown in Table G-2 and in Figures 2 and 4 are results for smoothed curves calculated using a LOESS scatterplot smoother, as discussed below.

The SURVEYLOGISTIC procedure produces estimates of the beta values and their 95 % confidence intervals for each combination of age, region, and gender. Applying the inverse logit transformation,

$$\text{Prob (asthma)} = \exp(\text{beta}) / (1 + \exp(\text{beta})),$$

converted the beta values and 95 % confidence intervals into predictions and 95 % confidence intervals for the prevalence, as shown in Table G-2 and Figures 1 and 3. The standard error for the prevalence was estimated as



$$\text{Std Error } \{\text{Prob (asthma)}\} = \text{Std Error (beta)} \times \exp(-\text{beta}) / (1 + \exp(\text{beta}))^2,$$

which follows from the delta method (a first order Taylor series approximation).

## Loess Smoother

The estimated prevalence curves shows that the prevalence is not a smooth function of age. The linear, quadratic, and cubic functions of age modeled by SURVEYLOGISTIC were one strategy for smoothing the curves, but they did not provide a good fit to the data. One reason for this might be due to the attempt to fit a global regression curve to all the age groups, which means that the predictions for age A are affected by data for very different ages. We instead chose to use a local regression approach that separately fits a regression curve to each age A and its neighboring ages, giving a regression weight of 1 to the age A, and lower weights to the neighboring ages using a tri-weight function:

$$\text{Weight} = \{1 - [|\text{age} - A| / q]^3\}, \text{ where } |\text{age} - A| \leq q.$$

The parameter q defines the number of points in the neighborhood of the age a. Instead of calling q the smoothing parameter, SAS defines the smoothing parameter as the proportion of points in each neighborhood. We fitted a quadratic function of age to each age neighborhood, separately for each gender and region combination. We fitted these local regression curves to the beta values, the logits of the asthma prevalence estimates, and then converted them back to estimated prevalence rates by applying the inverse logit function  $\exp(\text{beta}) / (1 + \exp(\text{beta}))$ . In addition to the tri-weight variable, each beta value was assigned a weight of  $1 / [\text{std error (beta)}]^2$ , to account for their uncertainties.

The SAS LOESS procedure was applied to estimate smoothed curves for beta, the logit of the prevalence, as a function of age, separately for each region and gender. We fitted curves using the choices 0.4, 0.5, 0.6, 0.7, 0.8, 0.9, and 1.0 for the smoothing parameter in an effort to determine the optimum choice based on various regression diagnostics.<sup>3,4</sup>

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<sup>3</sup> Two outlier cases were adjusted to avoid wild variations in the “smoothed” curves: For the West region, males, age 0, there were 97 children surveyed that all gave No answers to the asthma question, leading to an estimated value of -15.2029 for beta with a standard error of 0.14. For the Northeast region, females, age 0, there were 29 children surveyed that all gave No answers to the asthma question, leading to an estimated value of -15.2029 for beta with a standard error of 0.19. In both cases the raw probability of asthma equals zero, so the corresponding estimated beta would be negative infinity, but SAS’s software gives -15.2029 instead. To reduce the impact of these outlier cases, we replaced their estimated standard errors by 4, which is approximately four times the maximum standard error for all other region, gender, and age combinations.

<sup>4</sup> With only 18 points, a smoothing parameter of 0.2 cannot be used because the weight function assigns zero weights to all ages except age A, and a quadratic model cannot be uniquely fitted to a single value. A smoothing parameter of 0.3 also cannot be used because that choice assigns a neighborhood of 5 points only ( $0.3 \times 18 = 5$ , rounded down), of which the two outside ages have assigned weight zero, making the local quadratic model fit exactly at every point except for the end points (ages 0, 1, 16 and 17). Usually one uses a smoothing parameter below one so that not all the data are used for the local regression at a given x value.

Quantities predicted in these smoothing parameter tests were the predicted value, standard error, confidence interval lower bound and confidence interval upper bound for the betas, and the corresponding values for the prevalence rates.

The polygonal curves joining values for different ages show the predicted values with vertical lines indicating the confidence intervals in Figures 3 and 4 for smoothing parameters 0 (i.e., no smoothing) and 0.5, respectively. Note that the confidence intervals are not symmetric about the predicted values because of the inverse logit transformation.

Note that in our application of LOESS, we used weights of  $1 / [\text{std error (beta)}]^2$ , so that  $\sigma^2 = 1$  for this application. The LOESS procedure estimates  $\sigma^2$  from the weighted sum of squares. Since in our application we assume  $\sigma^2 = 1$ , we multiplied the estimated standard errors by  $1 / \text{estimated } \sigma$ , and adjusted the widths of the confidence intervals by the same factor.

Additionally, because the true value of  $\sigma$  equals 1, the best choices of smoothing parameter should give residual standard errors close to one. Using this criterion the best choice varies with gender and region between smoothing parameters 0.4 (3 cases), 0.5 (2 cases), 0.6 (1 case), and 0.7 (1 case).

As a further regression diagnostic the residual errors from the LOESS model were divided by std error (beta) to make their variances approximately constant. These approximately studentized residuals, 'student,' should be approximately normally distributed with a mean of zero and a variance of  $\sigma^2 = 1$ . To test this assumption, normal probability plots of the residuals were created for each smoothing parameter, combining all the studentized residuals across genders, regions, and ages. The plots for smoothing parameters seem to be equally straight for each smoothing parameter.

The final regression diagnostic is a plot of the studentized residuals against the smoothed beta values. Ideally there should be no obvious pattern and an average studentized residual close to zero. The plots indeed showed no unusual patterns, and the results for smoothing parameters 0.5 and 0.6 seem to showed a fitted LOESS close to the studentized residual equals zero line.

The regression diagnostics suggested the choice of smoothing parameter as 0.4 or 0.5. Normal probability plots did not suggest any preferred choices. The plots of residuals against smoothed predictions suggest the choices of 0.5 or 0.6. We therefore chose the final value of 0.5. These predictions, standard errors, and confidence intervals are presented in tabular form below as Table G-2.

Figure 1. Raw asthma prevalence rates by age and gender for each region  
region=Midwest

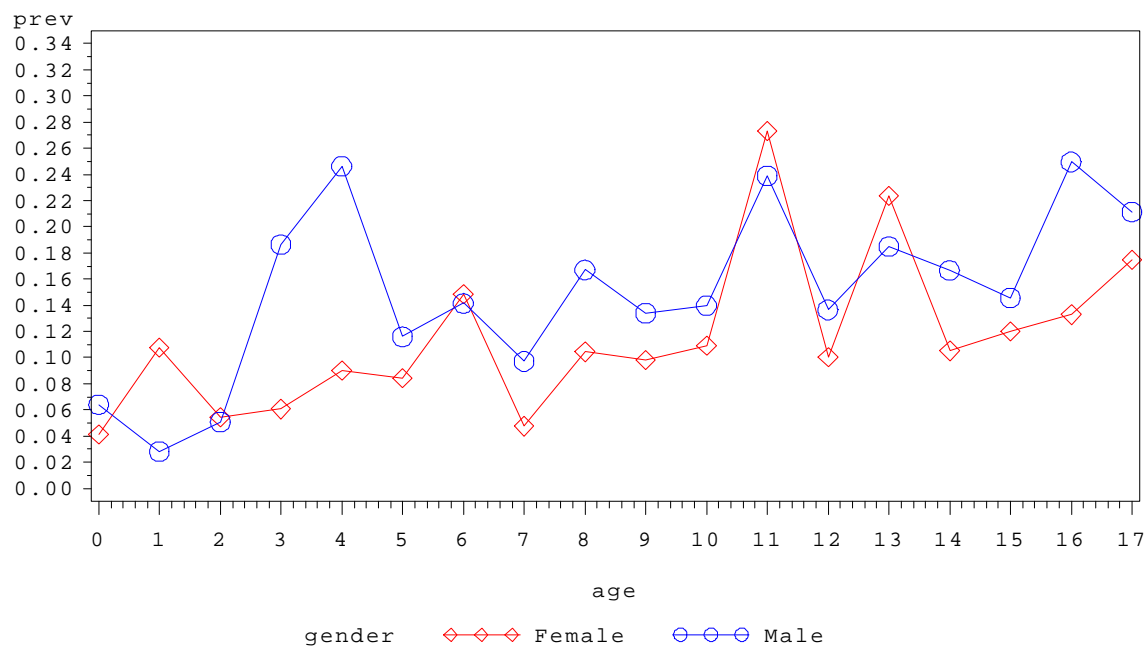


Figure 1. Raw asthma prevalence rates by age and gender for each region  
region=Northeast

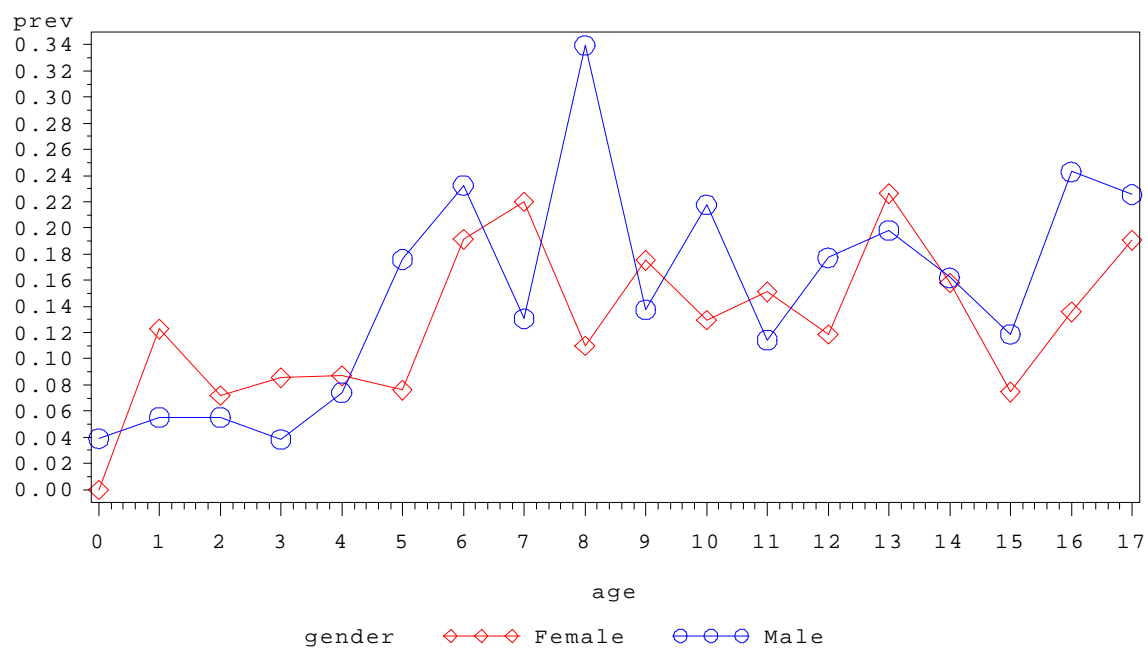


Figure 1. Raw asthma prevalence rates by age and gender for each region  
region=South

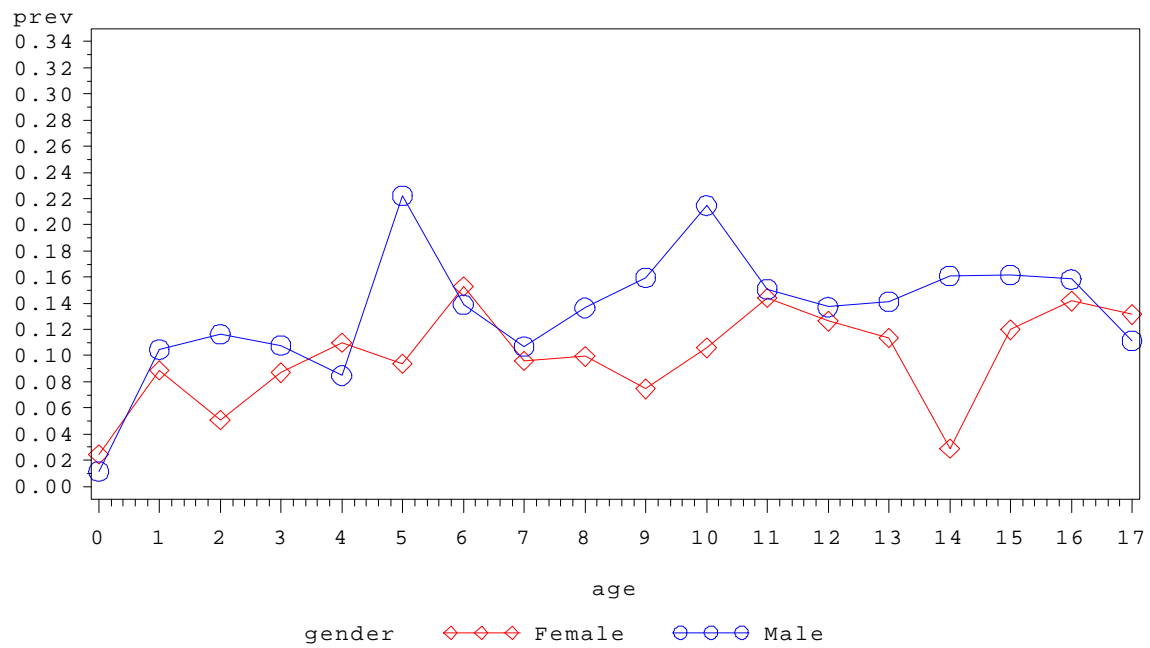
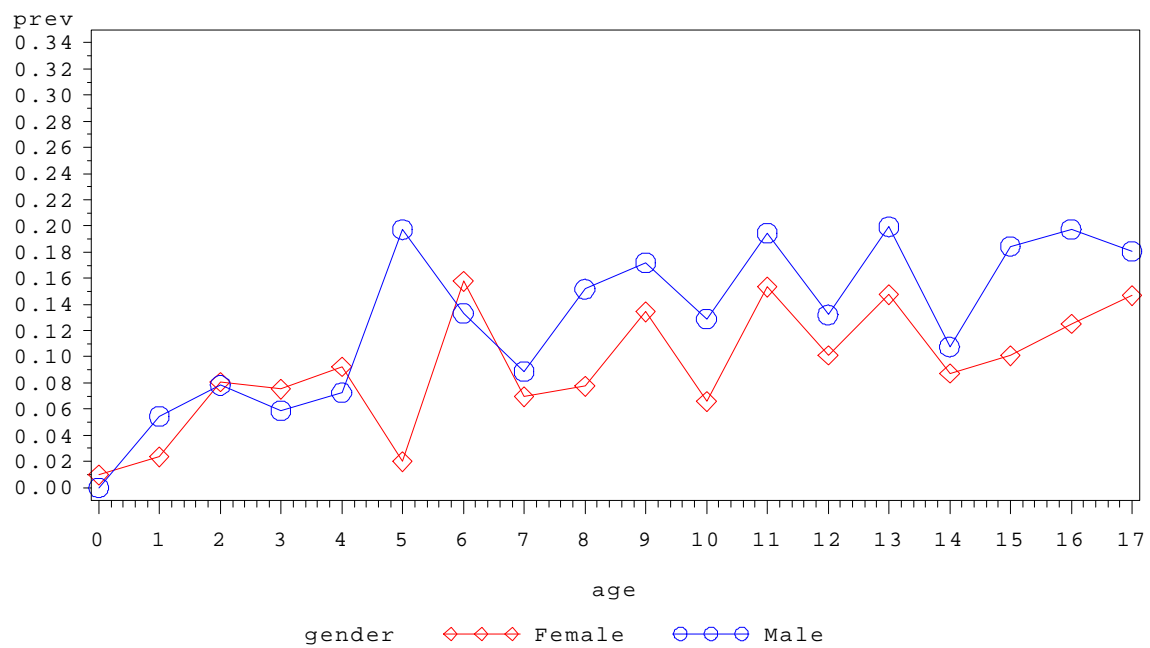
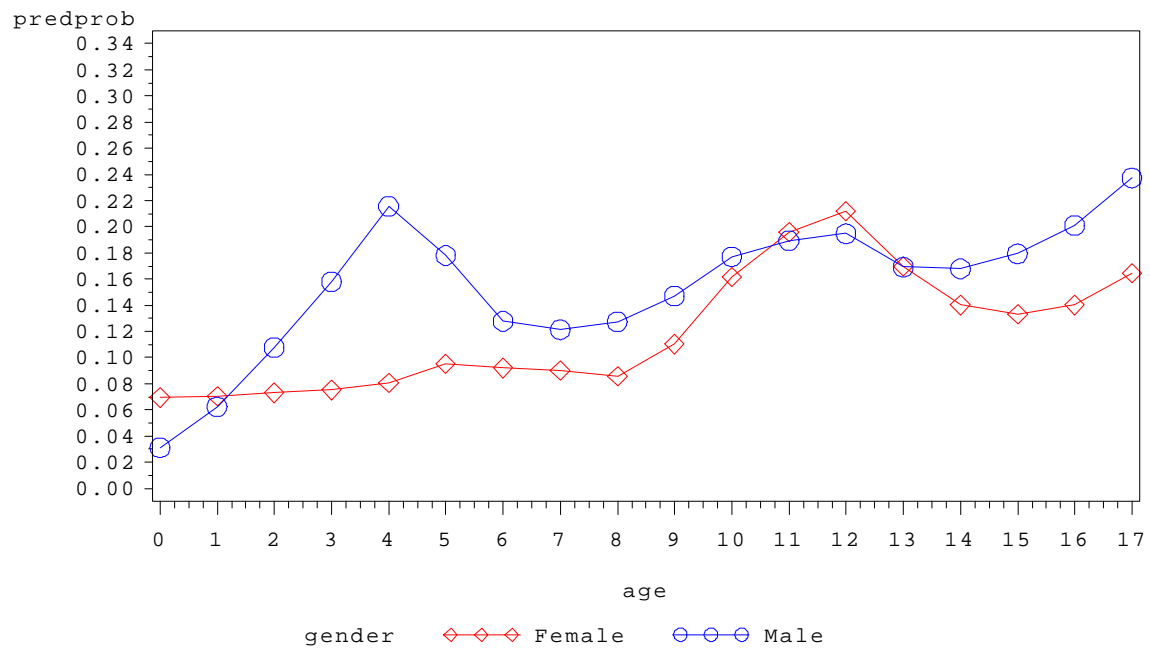


Figure 1. Raw asthma prevalence rates by age and gender for each region  
region=West



**Figure 2. Smoothed asthma prevalence rates by age for each region and gender**  
region=Midwest



**Figure 2. Smoothed asthma prevalence rates by age for each region and gender**  
region=Northeast

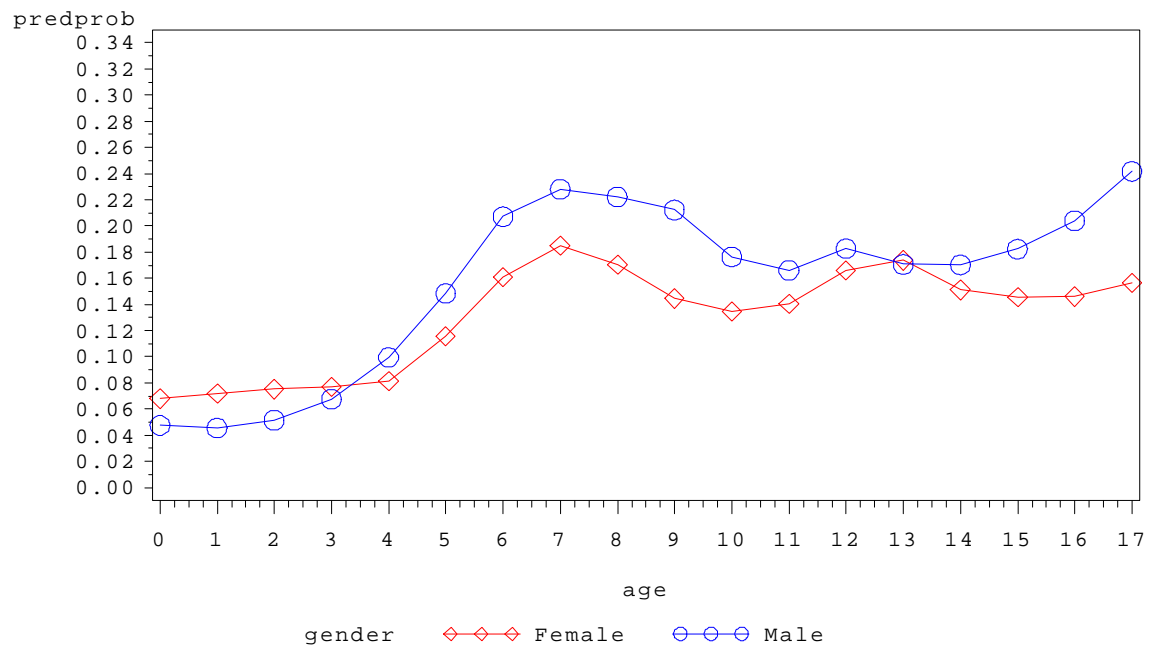


Figure 2. Smoothed asthma prevalence rates by age for each region and gender  
region=South

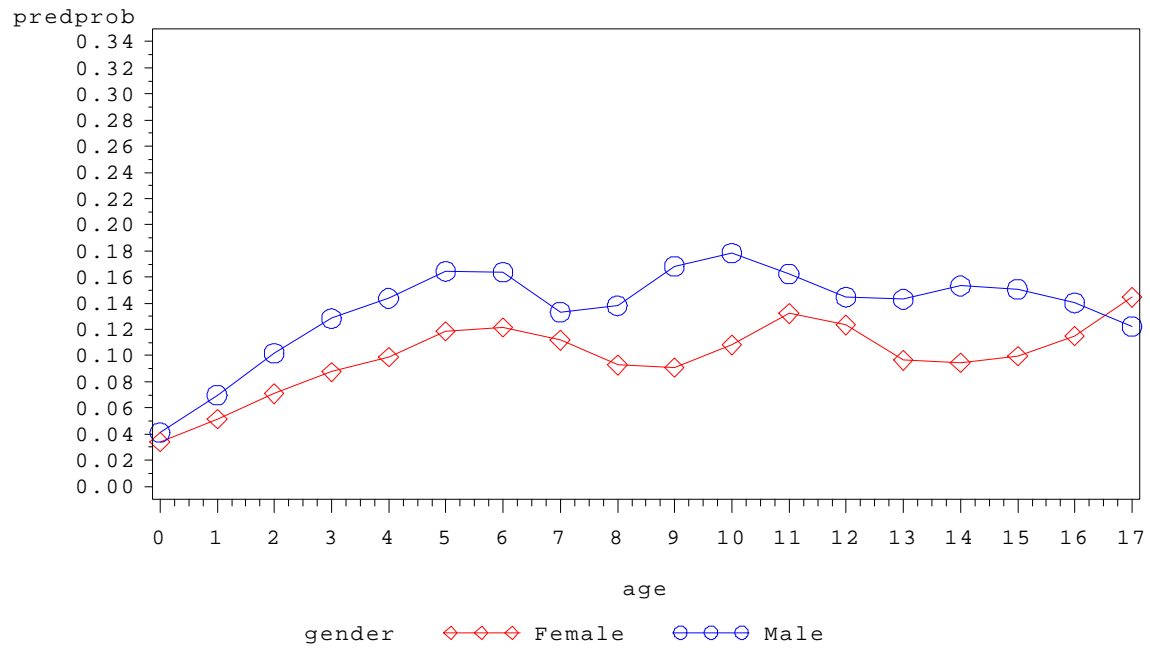


Figure 2. Smoothed asthma prevalence rates by age for each region and gender  
region=West

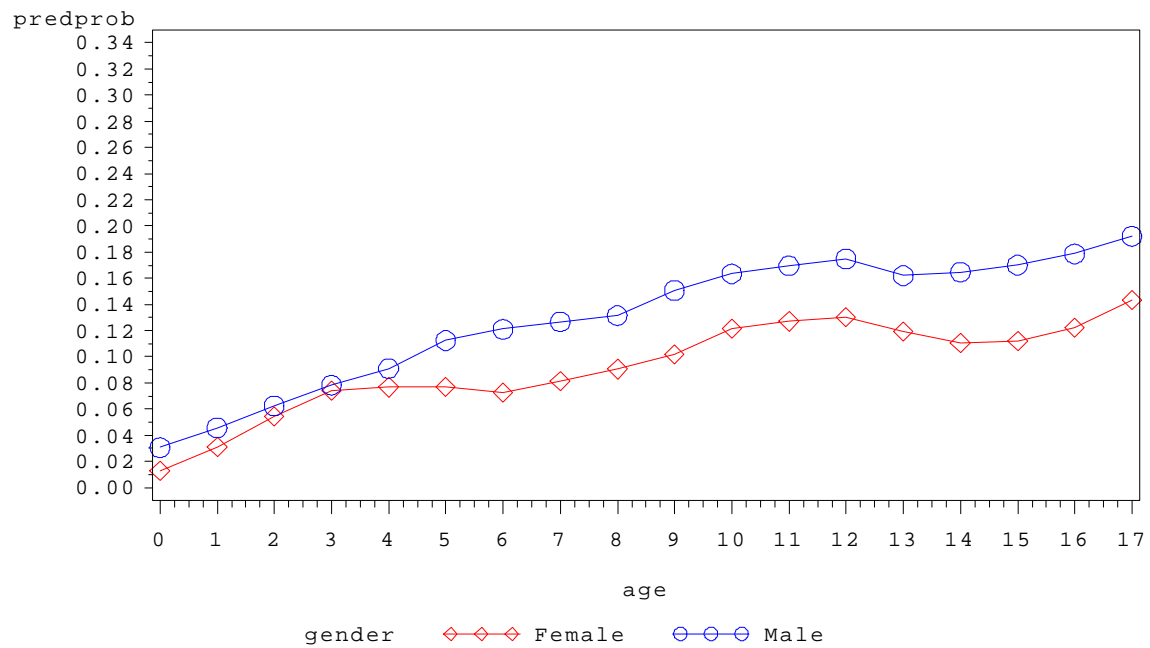


Figure 3. Raw asthma prevalence rates and confidence intervals  
region=Midwest

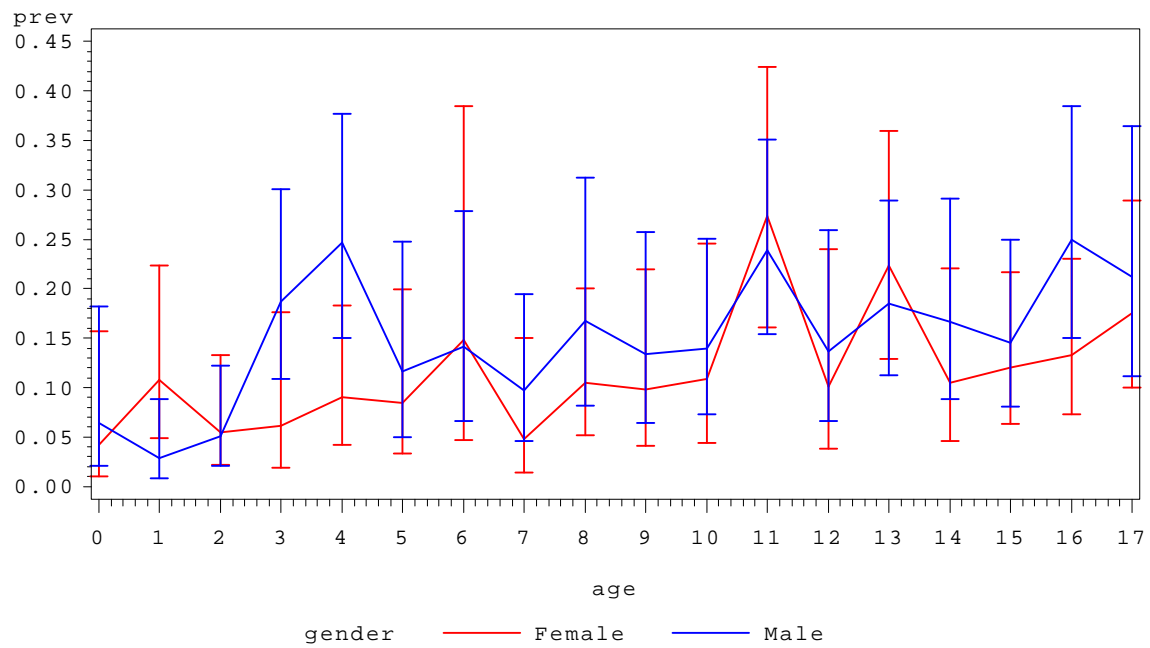


Figure 3. Raw asthma prevalence rates and confidence intervals  
region=Northeast

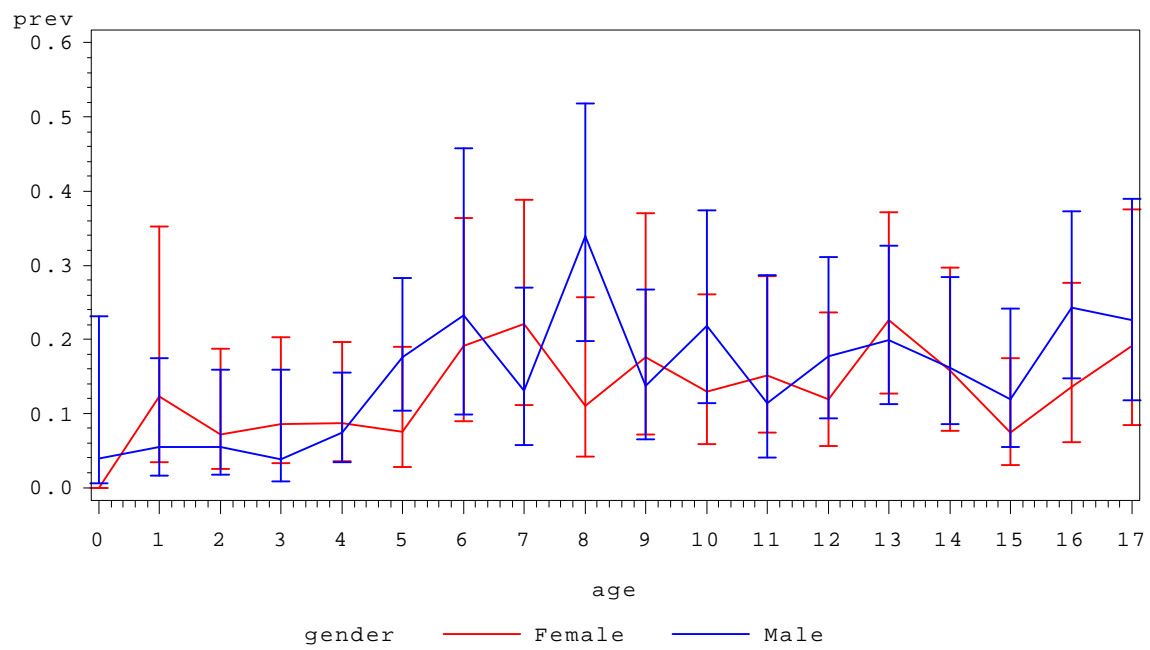


Figure 3. Raw asthma prevalence rates and confidence intervals  
region=South

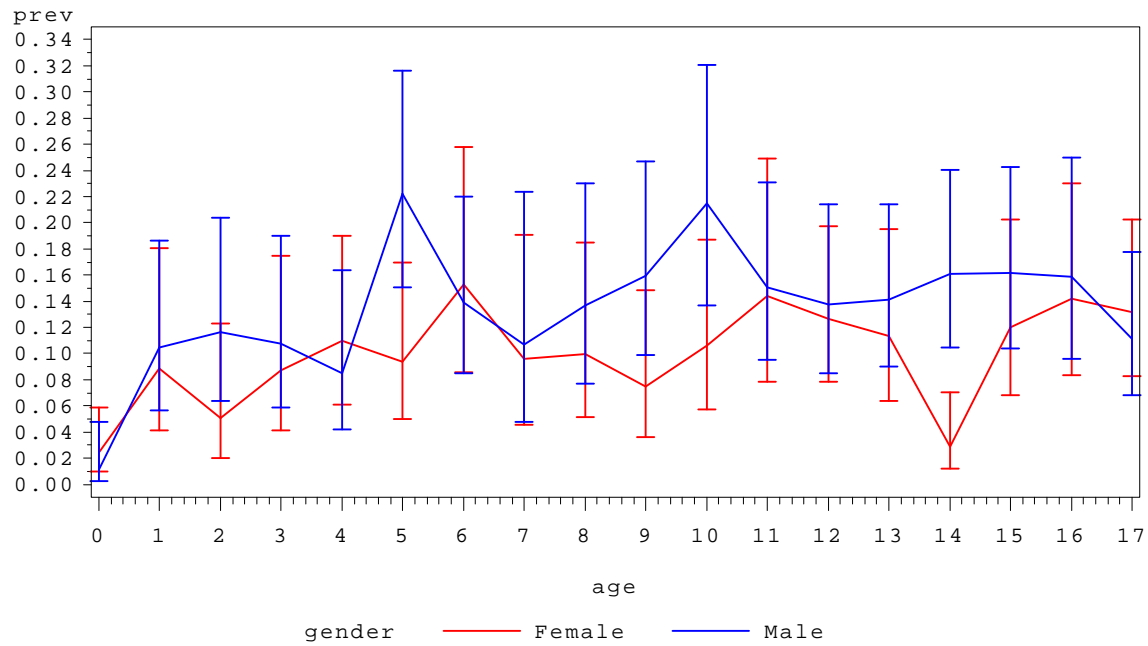


Figure 3. Raw asthma prevalence rates and confidence intervals  
region=West

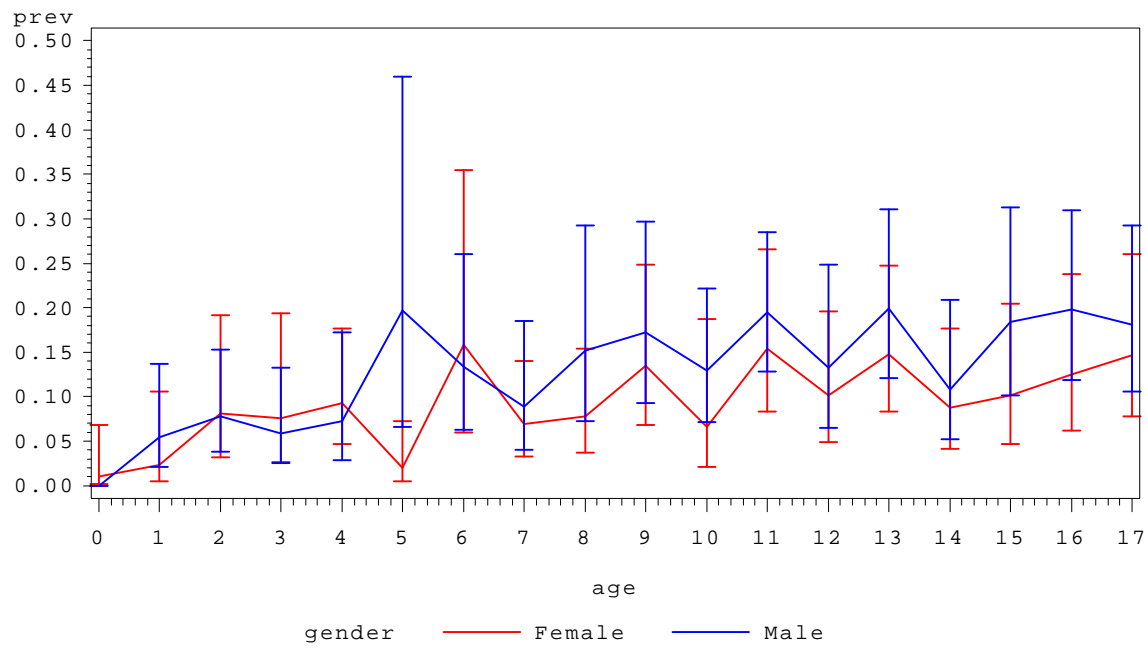




Figure 4. Smoothed asthma prevalence rates and confidence intervals  
region=Midwest

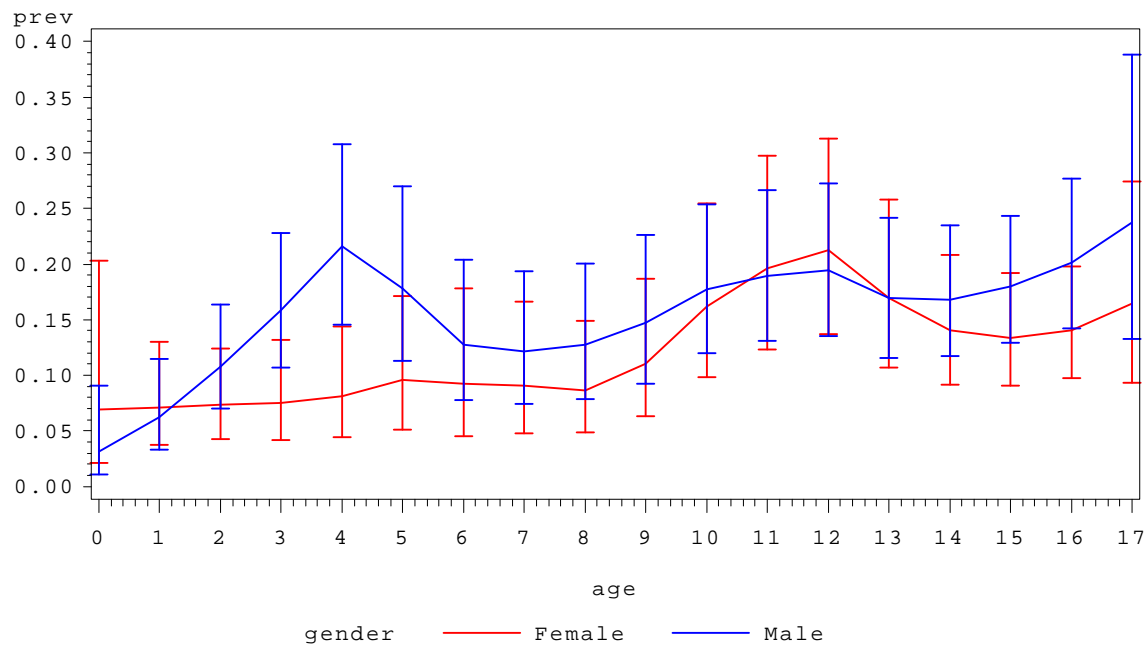


Figure 4. Smoothed asthma prevalence rates and confidence intervals  
region=Northeast

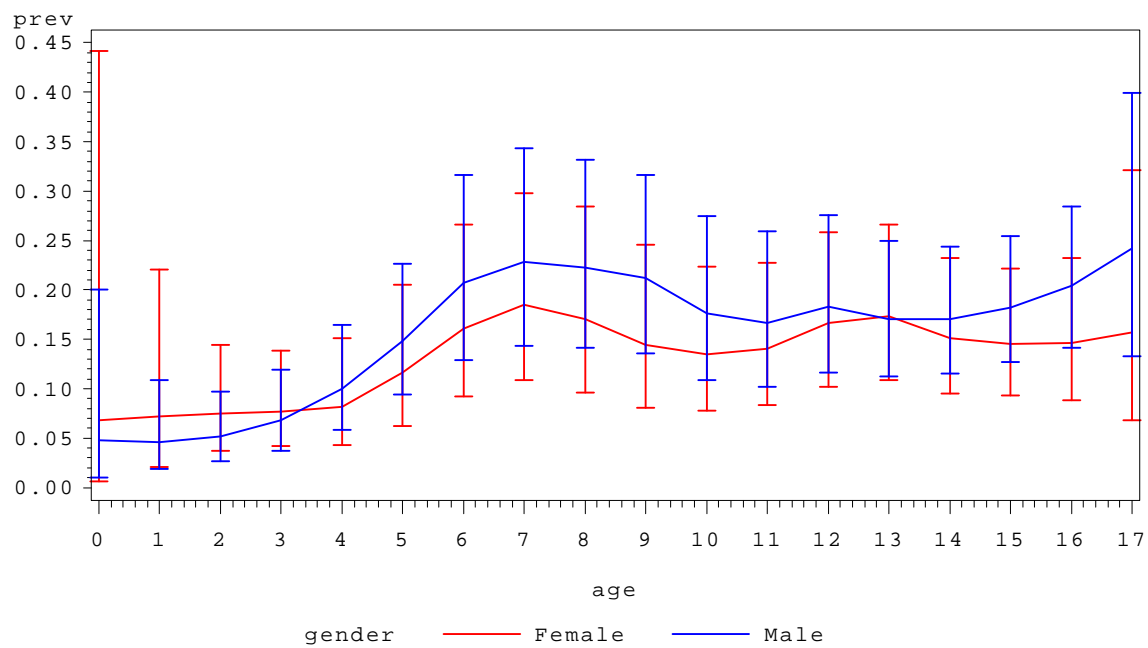


Figure 4. Smoothed asthma prevalence rates and confidence intervals  
region=South

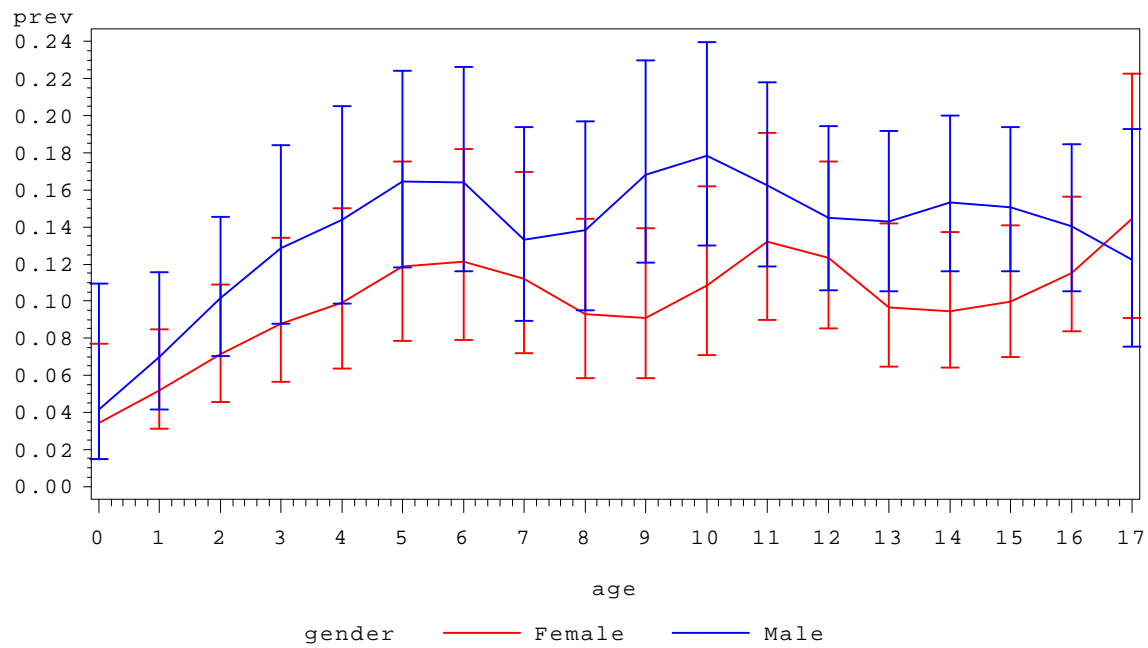
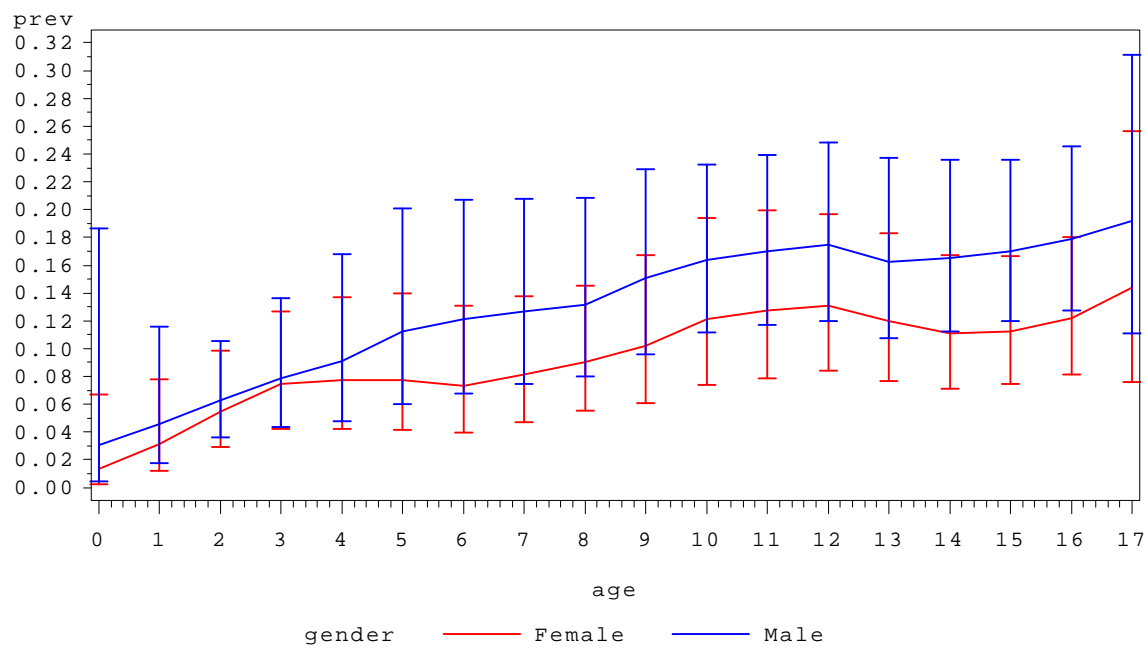


Figure 4. Smoothed asthma prevalence rates and confidence intervals  
region=West



**Table G-2. Raw and smoothed prevalence rates, with confidence intervals, by region, gender, and age.**

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
1	Midwest	Female	0	No	0.04161	0.02965	0.01001	0.15717
2	Midwest	Female	0	Yes	0.06956	0.03574	0.02143	0.20330
3	Midwest	Female	1	No	0.10790	0.04254	0.04840	0.22336
4	Midwest	Female	1	Yes	0.07078	0.01995	0.03736	0.13008
5	Midwest	Female	2	No	0.05469	0.02578	0.02131	0.13325
6	Midwest	Female	2	Yes	0.07324	0.01778	0.04228	0.12395
7	Midwest	Female	3	No	0.06094	0.03474	0.01936	0.17579
8	Midwest	Female	3	Yes	0.07542	0.01944	0.04205	0.13163
9	Midwest	Female	4	No	0.09049	0.03407	0.04233	0.18298
10	Midwest	Female	4	Yes	0.08100	0.02163	0.04417	0.14393
11	Midwest	Female	5	No	0.08463	0.03917	0.03317	0.19942
12	Midwest	Female	5	Yes	0.09540	0.02613	0.05106	0.17131
13	Midwest	Female	6	No	0.14869	0.08250	0.04643	0.38520
14	Midwest	Female	6	Yes	0.09210	0.02854	0.04534	0.17808
15	Midwest	Female	7	No	0.04757	0.02927	0.01389	0.15051
16	Midwest	Female	7	Yes	0.09032	0.02563	0.04728	0.16571
17	Midwest	Female	8	No	0.10444	0.03638	0.05160	0.19997
18	Midwest	Female	8	Yes	0.08612	0.02181	0.04842	0.14857
19	Midwest	Female	9	No	0.09836	0.04283	0.04062	0.21943
20	Midwest	Female	9	Yes	0.11040	0.02709	0.06298	0.18643
21	Midwest	Female	10	No	0.10916	0.04859	0.04400	0.24600
22	Midwest	Female	10	Yes	0.16190	0.03486	0.09838	0.25484
23	Midwest	Female	11	No	0.27341	0.06817	0.16112	0.42437
24	Midwest	Female	11	Yes	0.19597	0.03920	0.12296	0.29763
25	Midwest	Female	12	No	0.10055	0.04780	0.03816	0.23952
26	Midwest	Female	12	Yes	0.21214	0.03957	0.13724	0.31309
27	Midwest	Female	13	No	0.22388	0.05905	0.12907	0.35959

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
28	Midwest	Female	13	Yes	0.16966	0.03371	0.10716	0.25807
29	Midwest	Female	14	No	0.10511	0.04233	0.04637	0.22104
30	Midwest	Female	14	Yes	0.14020	0.02603	0.09164	0.20857
31	Midwest	Female	15	No	0.12026	0.03805	0.06327	0.21670
32	Midwest	Female	15	Yes	0.13341	0.02266	0.09056	0.19226
33	Midwest	Female	16	No	0.13299	0.03933	0.07288	0.23037
34	Midwest	Female	16	Yes	0.14040	0.02235	0.09764	0.19777
35	Midwest	Female	17	No	0.17497	0.04786	0.09970	0.28884
36	Midwest	Female	17	Yes	0.16478	0.04037	0.09320	0.27468
37	Midwest	Male	0	No	0.06419	0.03612	0.02068	0.18227
38	Midwest	Male	0	Yes	0.03134	0.01537	0.01042	0.09046
39	Midwest	Male	1	No	0.02824	0.01694	0.00859	0.08879
40	Midwest	Male	1	Yes	0.06250	0.01751	0.03321	0.11457
41	Midwest	Male	2	No	0.05102	0.02343	0.02040	0.12189
42	Midwest	Male	2	Yes	0.10780	0.02078	0.06960	0.16328
43	Midwest	Male	3	No	0.18650	0.04864	0.10898	0.30057
44	Midwest	Male	3	Yes	0.15821	0.02705	0.10696	0.22775
45	Midwest	Male	4	No	0.24649	0.05823	0.15035	0.37686
46	Midwest	Male	4	Yes	0.21572	0.03661	0.14543	0.30774
47	Midwest	Male	5	No	0.11609	0.04818	0.04973	0.24793
48	Midwest	Male	5	Yes	0.17822	0.03525	0.11280	0.27003
49	Midwest	Male	6	No	0.14158	0.05280	0.06576	0.27873
50	Midwest	Male	6	Yes	0.12788	0.02799	0.07751	0.20375
51	Midwest	Male	7	No	0.09726	0.03614	0.04588	0.19448
52	Midwest	Male	7	Yes	0.12145	0.02642	0.07391	0.19317
53	Midwest	Male	8	No	0.16718	0.05814	0.08134	0.31276
54	Midwest	Male	8	Yes	0.12757	0.02700	0.07864	0.20031
55	Midwest	Male	9	No	0.13406	0.04783	0.06458	0.25769
56	Midwest	Male	9	Yes	0.14718	0.02976	0.09254	0.22603
57	Midwest	Male	10	No	0.13986	0.04422	0.07331	0.25050

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
58	Midwest	Male	10	Yes	0.17728	0.02996	0.12020	0.25366
59	Midwest	Male	11	No	0.23907	0.05031	0.15449	0.35075
60	Midwest	Male	11	Yes	0.18961	0.03044	0.13100	0.26639
61	Midwest	Male	12	No	0.13660	0.04784	0.06668	0.25946
62	Midwest	Male	12	Yes	0.19487	0.03078	0.13541	0.27221
63	Midwest	Male	13	No	0.18501	0.04498	0.11230	0.28945
64	Midwest	Male	13	Yes	0.16939	0.02841	0.11528	0.24195
65	Midwest	Male	14	No	0.16673	0.05094	0.08886	0.29104
66	Midwest	Male	14	Yes	0.16795	0.02631	0.11734	0.23459
67	Midwest	Male	15	No	0.14583	0.04241	0.08054	0.24967
68	Midwest	Male	15	Yes	0.17953	0.02561	0.12951	0.24347
69	Midwest	Male	16	No	0.24965	0.06037	0.15033	0.38489
70	Midwest	Male	16	Yes	0.20116	0.03048	0.14187	0.27721
71	Midwest	Male	17	No	0.21152	0.06481	0.11131	0.36490
72	Midwest	Male	17	Yes	0.23741	0.05816	0.13243	0.38835
73	Northeast	Female	0	No	0.00000	0.00000	0.00000	0.00000
74	Northeast	Female	0	Yes	0.06807	0.06565	0.00670	0.44174
75	Northeast	Female	1	No	0.12262	0.07443	0.03476	0.35164
76	Northeast	Female	1	Yes	0.07219	0.03765	0.02088	0.22109
77	Northeast	Female	2	No	0.07217	0.03707	0.02561	0.18713
78	Northeast	Female	2	Yes	0.07522	0.02212	0.03764	0.14468
79	Northeast	Female	3	No	0.08550	0.03991	0.03324	0.20269
80	Northeast	Female	3	Yes	0.07709	0.02021	0.04162	0.13840
81	Northeast	Female	4	No	0.08704	0.03804	0.03596	0.19592
82	Northeast	Female	4	Yes	0.08171	0.02252	0.04269	0.15080
83	Northeast	Female	5	No	0.07597	0.03754	0.02801	0.18998
84	Northeast	Female	5	Yes	0.11603	0.03012	0.06258	0.20515
85	Northeast	Female	6	No	0.19149	0.06960	0.08937	0.36372
86	Northeast	Female	6	Yes	0.16106	0.03737	0.09219	0.26629
87	Northeast	Female	7	No	0.22034	0.07076	0.11195	0.38783

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
88	Northeast	Female	7	Yes	0.18503	0.04087	0.10844	0.29764
89	Northeast	Female	8	No	0.11002	0.05128	0.04241	0.25654
90	Northeast	Female	8	Yes	0.17054	0.04039	0.09628	0.28407
91	Northeast	Female	9	No	0.17541	0.07488	0.07159	0.36981
92	Northeast	Female	9	Yes	0.14457	0.03538	0.08042	0.24618
93	Northeast	Female	10	No	0.12980	0.04964	0.05930	0.26087
94	Northeast	Female	10	Yes	0.13487	0.03098	0.07799	0.22319
95	Northeast	Female	11	No	0.15128	0.05287	0.07366	0.28547
96	Northeast	Female	11	Yes	0.14072	0.03068	0.08367	0.22704
97	Northeast	Female	12	No	0.11890	0.04426	0.05568	0.23597
98	Northeast	Female	12	Yes	0.16615	0.03375	0.10211	0.25877
99	Northeast	Female	13	No	0.22638	0.06285	0.12650	0.37158
100	Northeast	Female	13	Yes	0.17374	0.03402	0.10861	0.26626
101	Northeast	Female	14	No	0.15807	0.05513	0.07694	0.29719
102	Northeast	Female	14	Yes	0.15137	0.02946	0.09519	0.23220
103	Northeast	Female	15	No	0.07460	0.03409	0.02971	0.17506
104	Northeast	Female	15	Yes	0.14564	0.02761	0.09279	0.22127
105	Northeast	Female	16	No	0.13603	0.05328	0.06081	0.27686
106	Northeast	Female	16	Yes	0.14601	0.03095	0.08805	0.23241
107	Northeast	Female	17	No	0.19074	0.07382	0.08451	0.37568
108	Northeast	Female	17	Yes	0.15662	0.05374	0.06784	0.32151
109	Northeast	Male	0	No	0.03904	0.03829	0.00547	0.23095
110	Northeast	Male	0	Yes	0.04768	0.03299	0.00991	0.20023
111	Northeast	Male	1	No	0.05533	0.03425	0.01596	0.17461
112	Northeast	Male	1	Yes	0.04564	0.01831	0.01850	0.10821
113	Northeast	Male	2	No	0.05525	0.03119	0.01781	0.15872
114	Northeast	Male	2	Yes	0.05161	0.01505	0.02680	0.09709
115	Northeast	Male	3	No	0.03842	0.02923	0.00840	0.15853
116	Northeast	Male	3	Yes	0.06766	0.01784	0.03734	0.11955
117	Northeast	Male	4	No	0.07436	0.02906	0.03393	0.15522

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
118	Northeast	Male	4	Yes	0.09964	0.02330	0.05859	0.16441
119	Northeast	Male	5	No	0.17601	0.04519	0.10393	0.28234
120	Northeast	Male	5	Yes	0.14854	0.02948	0.09428	0.22623
121	Northeast	Male	6	No	0.23271	0.09319	0.09832	0.45756
122	Northeast	Male	6	Yes	0.20731	0.04235	0.12875	0.31640
123	Northeast	Male	7	No	0.13074	0.05195	0.05785	0.26922
124	Northeast	Male	7	Yes	0.22820	0.04524	0.14338	0.34311
125	Northeast	Male	8	No	0.33970	0.08456	0.19726	0.51855
126	Northeast	Male	8	Yes	0.22240	0.04298	0.14157	0.33157
127	Northeast	Male	9	No	0.13761	0.05024	0.06507	0.26785
128	Northeast	Male	9	Yes	0.21238	0.04071	0.13589	0.31617
129	Northeast	Male	10	No	0.21785	0.06659	0.11464	0.37465
130	Northeast	Male	10	Yes	0.17652	0.03731	0.10824	0.27460
131	Northeast	Male	11	No	0.11448	0.05849	0.04005	0.28601
132	Northeast	Male	11	Yes	0.16617	0.03516	0.10200	0.25907
133	Northeast	Male	12	No	0.17736	0.05489	0.09349	0.31067
134	Northeast	Male	12	Yes	0.18279	0.03589	0.11611	0.27581
135	Northeast	Male	13	No	0.19837	0.05450	0.11222	0.32635
136	Northeast	Male	13	Yes	0.17078	0.03078	0.11288	0.25000
137	Northeast	Male	14	No	0.16201	0.04973	0.08618	0.28386
138	Northeast	Male	14	Yes	0.17033	0.02889	0.11547	0.24408
139	Northeast	Male	15	No	0.11894	0.04584	0.05417	0.24139
140	Northeast	Male	15	Yes	0.18246	0.02858	0.12740	0.25438
141	Northeast	Male	16	No	0.24306	0.05798	0.14759	0.37326
142	Northeast	Male	16	Yes	0.20406	0.03216	0.14187	0.28447
143	Northeast	Male	17	No	0.22559	0.06980	0.11748	0.38930
144	Northeast	Male	17	Yes	0.24185	0.06066	0.13291	0.39898
145	South	Female	0	No	0.02459	0.01116	0.01002	0.05906
146	South	Female	0	Yes	0.03407	0.01282	0.01465	0.07723
147	South	Female	1	No	0.08869	0.03373	0.04118	0.18067

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
148	South	Female	1	Yes	0.05182	0.01167	0.03127	0.08472
149	South	Female	2	No	0.05097	0.02373	0.02012	0.12319
150	South	Female	2	Yes	0.07110	0.01386	0.04584	0.10869
151	South	Female	3	No	0.08717	0.03240	0.04122	0.17500
152	South	Female	3	Yes	0.08759	0.01718	0.05624	0.13394
153	South	Female	4	No	0.11010	0.03209	0.06113	0.19035
154	South	Female	4	Yes	0.09897	0.01914	0.06387	0.15025
155	South	Female	5	No	0.09409	0.02943	0.05015	0.16968
156	South	Female	5	Yes	0.11870	0.02157	0.07855	0.17548
157	South	Female	6	No	0.15318	0.04317	0.08611	0.25777
158	South	Female	6	Yes	0.12150	0.02282	0.07925	0.18182
159	South	Female	7	No	0.09608	0.03538	0.04565	0.19105
160	South	Female	7	Yes	0.11192	0.02171	0.07204	0.16985
161	South	Female	8	No	0.09955	0.03288	0.05111	0.18493
162	South	Female	8	Yes	0.09287	0.01897	0.05850	0.14436
163	South	Female	9	No	0.07477	0.02719	0.03606	0.14864
164	South	Female	9	Yes	0.09117	0.01786	0.05855	0.13929
165	South	Female	10	No	0.10602	0.03214	0.05750	0.18732
166	South	Female	10	Yes	0.10821	0.02026	0.07077	0.16201
167	South	Female	11	No	0.14411	0.04267	0.07875	0.24907
168	South	Female	11	Yes	0.13237	0.02251	0.08989	0.19071
169	South	Female	12	No	0.12646	0.02981	0.07860	0.19723
170	South	Female	12	Yes	0.12346	0.02004	0.08543	0.17519
171	South	Female	13	No	0.11376	0.03270	0.06365	0.19510
172	South	Female	13	Yes	0.09653	0.01717	0.06458	0.14190
173	South	Female	14	No	0.02915	0.01339	0.01174	0.07054
174	South	Female	14	Yes	0.09469	0.01619	0.06436	0.13721
175	South	Female	15	No	0.11985	0.03357	0.06801	0.20259
176	South	Female	15	Yes	0.09988	0.01586	0.06978	0.14099
177	South	Female	16	No	0.14183	0.03685	0.08366	0.23028



<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
178	South	Female	16	Yes	0.11501	0.01620	0.08365	0.15612
179	South	Female	17	No	0.13141	0.03007	0.08280	0.20226
180	South	Female	17	Yes	0.14466	0.02946	0.09067	0.22291
181	South	Male	0	No	0.01164	0.00852	0.00275	0.04790
182	South	Male	0	Yes	0.04132	0.01867	0.01487	0.10956
183	South	Male	1	No	0.10465	0.03216	0.05629	0.18635
184	South	Male	1	Yes	0.06981	0.01623	0.04125	0.11576
185	South	Male	2	No	0.11644	0.03486	0.06353	0.20382
186	South	Male	2	Yes	0.10189	0.01672	0.07024	0.14557
187	South	Male	3	No	0.10794	0.03253	0.05874	0.19005
188	South	Male	3	Yes	0.12852	0.02139	0.08793	0.18405
189	South	Male	4	No	0.08480	0.02973	0.04190	0.16410
190	South	Male	4	Yes	0.14393	0.02379	0.09861	0.20534
191	South	Male	5	No	0.22243	0.04227	0.15052	0.31592
192	South	Male	5	Yes	0.16450	0.02373	0.11821	0.22430
193	South	Male	6	No	0.13908	0.03392	0.08485	0.21964
194	South	Male	6	Yes	0.16386	0.02460	0.11613	0.22617
195	South	Male	7	No	0.10695	0.04272	0.04747	0.22347
196	South	Male	7	Yes	0.13329	0.02322	0.08951	0.19392
197	South	Male	8	No	0.13660	0.03841	0.07712	0.23049
198	South	Male	8	Yes	0.13818	0.02276	0.09484	0.19702
199	South	Male	9	No	0.15978	0.03742	0.09920	0.24720
200	South	Male	9	Yes	0.16839	0.02450	0.12062	0.23012
201	South	Male	10	No	0.21482	0.04702	0.13676	0.32086
202	South	Male	10	Yes	0.17848	0.02453	0.13021	0.23972
203	South	Male	11	No	0.15078	0.03440	0.09492	0.23112
204	South	Male	11	Yes	0.16247	0.02224	0.11881	0.21820
205	South	Male	12	No	0.13727	0.03260	0.08489	0.21438
206	South	Male	12	Yes	0.14480	0.01976	0.10610	0.19453
207	South	Male	13	No	0.14136	0.03119	0.09049	0.21409

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
208	South	Male	13	Yes	0.14318	0.01928	0.10537	0.19165
209	South	Male	14	No	0.16110	0.03444	0.10438	0.24037
210	South	Male	14	Yes	0.15339	0.01875	0.11612	0.19992
211	South	Male	15	No	0.16172	0.03519	0.10394	0.24291
212	South	Male	15	Yes	0.15088	0.01746	0.11598	0.19398
213	South	Male	16	No	0.15836	0.03879	0.09614	0.24974
214	South	Male	16	Yes	0.14038	0.01773	0.10533	0.18467
215	South	Male	17	No	0.11156	0.02737	0.06810	0.17746
216	South	Male	17	Yes	0.12247	0.02596	0.07537	0.19286
217	West	Female	0	No	0.00983	0.00990	0.00135	0.06802
218	West	Female	0	Yes	0.01318	0.00987	0.00248	0.06700
219	West	Female	1	No	0.02367	0.01862	0.00497	0.10522
220	West	Female	1	Yes	0.03105	0.01312	0.01204	0.07769
221	West	Female	2	No	0.08097	0.03759	0.03170	0.19166
222	West	Female	2	Yes	0.05440	0.01482	0.02948	0.09825
223	West	Female	3	No	0.07528	0.03851	0.02679	0.19404
224	West	Female	3	Yes	0.07444	0.01842	0.04257	0.12701
225	West	Female	4	No	0.09263	0.03196	0.04621	0.17703
226	West	Female	4	Yes	0.07696	0.02064	0.04194	0.13701
227	West	Female	5	No	0.01976	0.01347	0.00513	0.07302
228	West	Female	5	Yes	0.07737	0.02123	0.04157	0.13949
229	West	Female	6	No	0.15792	0.07301	0.06009	0.35487
230	West	Female	6	Yes	0.07298	0.01985	0.03947	0.13107
231	West	Female	7	No	0.06955	0.02567	0.03321	0.13989
232	West	Female	7	Yes	0.08146	0.01987	0.04691	0.13776
233	West	Female	8	No	0.07753	0.02825	0.03731	0.15417
234	West	Female	8	Yes	0.09062	0.01994	0.05507	0.14558
235	West	Female	9	No	0.13440	0.04481	0.06802	0.24832
236	West	Female	9	Yes	0.10215	0.02347	0.06061	0.16709
237	West	Female	10	No	0.06573	0.03719	0.02102	0.18736

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
238	West	Female	10	Yes	0.12152	0.02660	0.07376	0.19374
239	West	Female	11	No	0.15354	0.04584	0.08329	0.26584
240	West	Female	11	Yes	0.12719	0.02688	0.07852	0.19950
241	West	Female	12	No	0.10120	0.03594	0.04934	0.19631
242	West	Female	12	Yes	0.13054	0.02498	0.08440	0.19650
243	West	Female	13	No	0.14759	0.04125	0.08346	0.24769
244	West	Female	13	Yes	0.11968	0.02369	0.07629	0.18284
245	West	Female	14	No	0.08748	0.03284	0.04105	0.17675
246	West	Female	14	Yes	0.11063	0.02132	0.07145	0.16744
247	West	Female	15	No	0.10099	0.03841	0.04674	0.20471
248	West	Female	15	Yes	0.11236	0.02051	0.07428	0.16645
249	West	Female	16	No	0.12538	0.04343	0.06188	0.23755
250	West	Female	16	Yes	0.12224	0.02210	0.08108	0.18021
251	West	Female	17	No	0.14672	0.04582	0.07743	0.26052
252	West	Female	17	Yes	0.14371	0.03992	0.07558	0.25621
253	West	Male	0	No	0.00000	0.00000	0.00000	0.00000
254	West	Male	0	Yes	0.03075	0.02534	0.00437	0.18642
255	West	Male	1	No	0.05457	0.02662	0.02056	0.13695
256	West	Male	1	Yes	0.04584	0.01889	0.01729	0.11595
257	West	Male	2	No	0.07833	0.02789	0.03833	0.15342
258	West	Male	2	Yes	0.06254	0.01442	0.03627	0.10573
259	West	Male	3	No	0.05897	0.02530	0.02500	0.13281
260	West	Male	3	Yes	0.07844	0.01913	0.04398	0.13607
261	West	Male	4	No	0.07267	0.03354	0.02870	0.17208
262	West	Male	4	Yes	0.09122	0.02482	0.04765	0.16763
263	West	Male	5	No	0.19732	0.10033	0.06632	0.45969
264	West	Male	5	Yes	0.11262	0.02937	0.06021	0.20092
265	West	Male	6	No	0.13335	0.04859	0.06322	0.25970
266	West	Male	6	Yes	0.12119	0.02916	0.06799	0.20680
267	West	Male	7	No	0.08881	0.03493	0.04015	0.18508

<b>Obs</b>	<b>Region</b>	<b>Gender</b>	<b>Age</b>	<b>Smoothed</b>	<b>Prevalence</b>	<b>Std Error</b>	<b>95 % Conf Interval – Lower Bound</b>	<b>95 % Conf Interval – Upper Bound</b>
268	West	Male	7	Yes	0.12691	0.02806	0.07464	0.20758
269	West	Male	8	No	0.15183	0.05484	0.07210	0.29200
270	West	Male	8	Yes	0.13161	0.02705	0.08037	0.20811
271	West	Male	9	No	0.17199	0.05164	0.09260	0.29715
272	West	Male	9	Yes	0.15079	0.02837	0.09590	0.22915
273	West	Male	10	No	0.12897	0.03747	0.07151	0.22159
274	West	Male	10	Yes	0.16356	0.02584	0.11192	0.23279
275	West	Male	11	No	0.19469	0.04002	0.12785	0.28505
276	West	Male	11	Yes	0.16965	0.02623	0.11699	0.23956
277	West	Male	12	No	0.13214	0.04542	0.06547	0.24865
278	West	Male	12	Yes	0.17494	0.02738	0.12002	0.24792
279	West	Male	13	No	0.19947	0.04814	0.12127	0.31029
280	West	Male	13	Yes	0.16217	0.02773	0.10747	0.23732
281	West	Male	14	No	0.10759	0.03838	0.05220	0.20880
282	West	Male	14	Yes	0.16487	0.02644	0.11214	0.23582
283	West	Male	15	No	0.18459	0.05348	0.10138	0.31235
284	West	Male	15	Yes	0.17018	0.02480	0.11996	0.23578
285	West	Male	16	No	0.19757	0.04862	0.11892	0.30993
286	West	Male	16	Yes	0.17888	0.02540	0.12718	0.24569
287	West	Male	17	No	0.18078	0.04735	0.10548	0.29227
288	West	Male	17	Yes	0.19218	0.04291	0.11118	0.31153

**ATTACHMENT 3. TECHNICAL MEMORANDUM ON  
ESTIMATING PHYSIOLOGICAL PARAMETERS FOR THE  
EXPOSURE MODEL**

## TECHNICAL MEMORANDUM

**TO:** Tom McCurdy, WA-COR, NERL WA 10  
**FROM:** Kristin Isaacs and Luther Smith, Alion Science and Technology  
**DATE:** December 20, 2005  
**SUBJECT:** New Values for Physiological Parameters for the Exposure Model  
Input File Physiology.txt.

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# 1. INTRODUCTION

The purpose of this memo is to present an updated version of the physiological parameters input file (Physiology.txt) for the APEX model. Portions of this file are also used as input for SHEDS-PM and SHEDS-AirToxics.

The physiology file contains age- and gender-based information for several physiological parameters used in human exposure modeling. This information includes distributional shapes and parameters for all age and gender cohorts from age 0 to 100 years for normalized maximal oxygen uptake (nvo2max), body mass, resting metabolic rate (RMR), and blood hemoglobin content. In addition, a parameter called blood volume factor (BVF), which is a cohort-dependent parameter in the equation for blood volume as a function of body mass, is present in the file as well.

New age- and gender-dependent distributions were developed based the best available physiological data from the literature. In this report, a summary of the current state of the physiology file is presented, followed by the derivation of new physiological data for body mass, normalized vo2max, and hemoglobin content. Portions of the SAS code used for analysis are included (Appendices A-C), as is the new Physiology.txt file (Appendix D). The final appendix (Appendix E) contains tables of all the derived physiological parameters.

## 2. EVALUATION OF THE CURRENT PHYSIOLOGY FILE DATA

The physiology.txt file was originally generated for the PNEM model by T. Johnson. It was last updated 6/11/1998, as documented in the report *User's Guide: Software for Estimating Ventilation (Respiration) Rates for Use in Dosimetry Models*, (T. Johnson and J. Capel). In that report, the original references for the data in the file were provided. An evaluation of the data in the file was included in a previous memo to the WA-COR under this work assignment. A summary of those findings is repeated here.

### 2.1 Normalized Maximal Oxygen Uptake (nvo2max).

The nvo2max data were derived from a number of sources. The data for males, especially, were pieced together from a variety of studies (a total of 6), leading to discontinuities in the distributional parameters. However, in each age and gender cohort, the distributions parameters were derived from a single published study. Additionally, much of the nvo2max data is quite old. The data for males at age 20 and at 28-69 came from a study from 1960 [1]. Data for males aged 0-8 and 16-19, and females 0-19 came from a figure in a textbook from 1977 [2], which in turn was based on limited earlier data. An additional issue with the 1977 data is (according to the report mentioned above) that values for certain ages (very young or elderly) were acquired by simple tangential extrapolation of the data in the figure.



In addition, in some cases it was not clear how the parameters were derived from the referenced studies. For example, Heil et al. [3] was referenced as the source of the values for females aged 66-100. However, an examination of that study provided no clues as to how the values were actually determined. As far as can be determined, in no place did the authors break down the means and SDs of their data into groups separated by both gender and age simultaneously.

## **2.2 Body Mass.**

The current body mass data were derived from an in-depth analysis [4, 5] of the second CDC National Health and Nutrition Examination Survey (NHANES II) body mass data [6]. The data were relatively comprehensive, and the methods used to generate the lognormal distributions were sound. However, the NHANES II data were compiled for the years 1976-1980, so an analysis of more recent data is necessary to accurately account for changes in human activity patterns in adults and especially children.

## **2.3 Resting Metabolic Rate.**

Not included for evaluation, per discussion with WA-COR.

## **2.4 Hemoglobin Content and Blood Volume Factor.**

The original references for the hemoglobin content or blood volume factor values given in the current physiology.txt file could not be identified. Therefore, their validity could not be evaluated and it was desirable that new statistics be calculated.

## **2.5 Summary of Findings**

- In some cases, especially for nvo2max, the data are unnecessarily and confusingly disjointed across ages.
- It is also unclear how some of the nvo2max values were derived from the referenced studies.
- With the exception of the Schofield equations for the BM/RMR regression, parameter distributions at each age and gender cohort were derived from data from a single study.
- Many of the studies used are very old (ex. 1960, 1977).
- Some the data is of questionable validity (for example, the extrapolation of a textbook figure is used), although it may have been the best available at the time of the compilation of the file.
- The original source of the hemoglobin content and blood volume factor data could not be identified.

- Given these conclusions, we recommended a full review and update of the current physiology.txt file data. Specifically, we recommended that where possible, new distributions or equations should be developed based on thorough, compiled data from appropriate studies.

### 3. DERIVATION OF NEW DISTRIBUTIONS FOR BODY MASS

#### 3.1 The NHANES Body Mass Dataset.

New body mass distributions were generated from data from the National Health and Nutrition Examination Survey (NHANES). This survey is an ongoing study carried out by the National Center for Health Statistics of the Centers for Disease Control. EPA recognizes the utility of this dataset in characterizing the American population for risk assessment and policy support purposes [7].

Older NHANES data (for the years 1976-1980) have been used previously to develop population estimates of body mass distributions [4,5]. The current Physiology.txt file body mass distributions are based on this work. However, the analysis presented here is based on the most recent NHANES data, for the years 1999-2004 [8].

Demographic (Demo) and Body Measurement (BMX) datasets for each of the NHANES studies were downloaded from the NHANES website. The files were downloaded as SAS xpt datasets. The downloaded files were as follows:

<b>1999-2000</b>	<b>2001-2002</b>	<b>2003-2004</b>
BMX.xpt	BMX_b_r.xpt	BMX_c.xpt
Demo.xpt	Demo_b.xpt	Demo_c.xpt

The Demographic datasets contained the age and gender values for each survey participant, while the Body Measurement datasets contained the body weights for each subject. The combined dataset comprised 31,126 individuals. This resulted in approximately 400-500 persons in each age 0-18 year cohort, and approximately 80-150 persons in each age 19-85 year cohort (the NHANES studies more heavily sampled children).

### 3.2 Calculation of the New Sampling Weights for the Combined NHANES Dataset.

In the analysis of the NHANES data, sampling weights must be used to ensure that the data are weighted to appropriately represent the national population. Sampling weights for the combined NHANES body mass dataset were derived as recommended by the documentation provided with the most recent NHANES release [9]. Specifically, the sampling weight for each subject was calculated as:

$$w_{combined} = \frac{1}{3} w_{2003-2004} \quad (1)$$

$$w_{combined} = \frac{2}{3} w_{1999-2002} \quad (2)$$

where  $w_{combined}$  is the sampling weight for the combined dataset,  $w_{2003-2004}$  is the weight for the subjects in the most recent study, and  $w_{1999-2002}$  is the weight for subjects in combined 4-year (1999-2000 and 2001-2002) NHANES dataset. (Both weights are provided with the appropriate NHANES release. The combined 1999-2002 weight, which is not a simply half of that for the corresponding 2-year periods, was explicitly calculated for researcher use by CDC since the two 2-year periods use different census data.)

By using the sampling weights, one can consider any 2-year NHANES dataset or any combination of datasets as a nationally representative sample.

### 3.3 Fitting the Body Mass Data.

In the current physiology file, body mass is modeled as a two-parameter lognormal distribution. The NHANES body mass data were fit to several types of distributions (including normal, beta, and three-parameter lognormal distributions). It was determined that overall, the distribution that provided the best combination of good behavior over ages and good fit to the data was a two-parameter lognormal distribution.

The data were fit to the lognormal distributions using the SAS PROC UNIVARIATE procedure. The FREQ option of the procedure was used to apply the sampling weights. The SAS code used to generate the body mass distributions is provided in Appendix A.

As the NHANES 1999-2003 studies only covered persons up to age 85, linear forecasts were made for ages 86-100, as based on the data for ages 60 and greater.

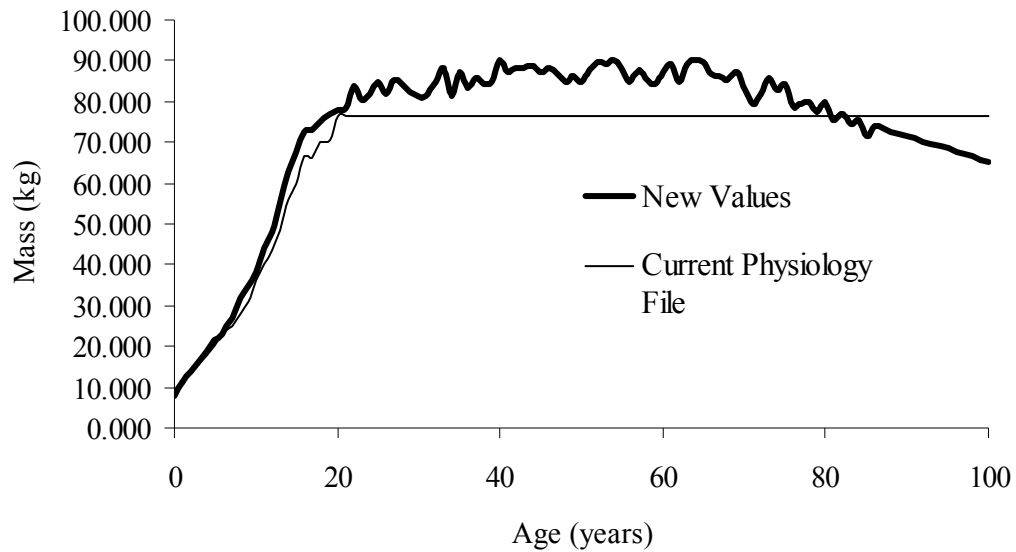
#### 3.4 Body Mass Results.

Geometric means and standard deviations (SD) for the best-fit lognormal distributions for body mass are given in Figures 1 and 2. The means behaved fairly smoothly across ages. Note that for children age 0-18, the values of the new fits are similar, but slightly higher than those in the current Physiology.txt file, which were derived from earlier NHANES studies. The new means also capture the trend towards decreasing body weight in older persons that was previously neglected in the Physiology.txt file.

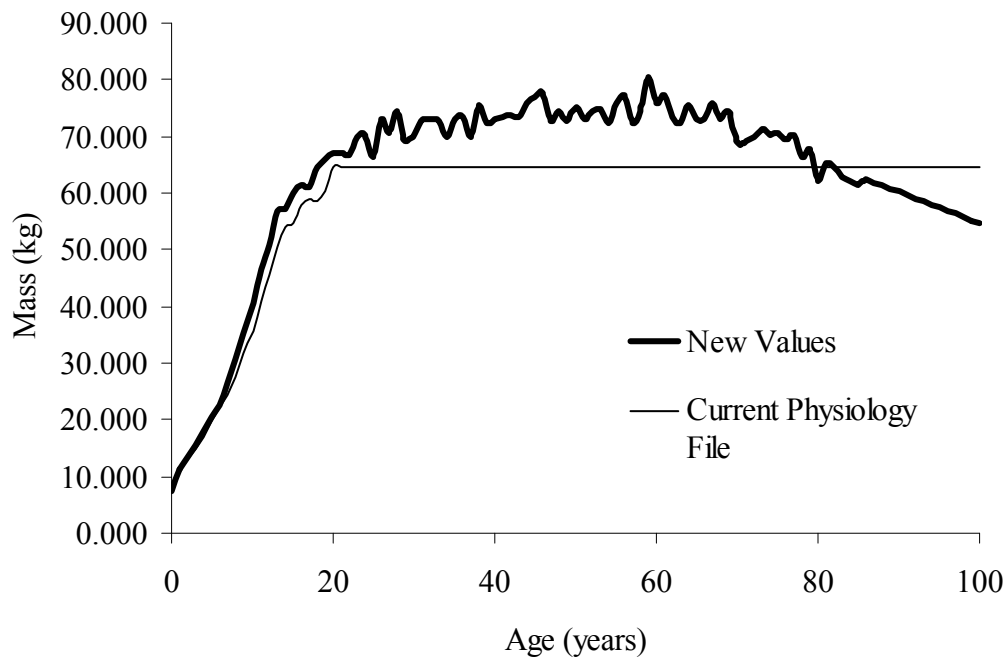
The maximum and minimum values for the distributions are presented in Figures 3 and 4. The minimums and maximums were calculated as the 1<sup>st</sup> and 99<sup>th</sup> percentile of the raw body mass data for the cohort. (Note that these values differ from the 1<sup>st</sup> and 99<sup>th</sup> percentiles of the fitted lognormals.) While the minimum value is consistent with the current Physiology.txt (which was based on earlier NHANES studies), the new cohort maximums are generally higher than before.

The behavior of several of the body mass parameters (especially the SD) is fairly noisy, especially for adults. This is most likely due to the smaller number of samples for adults as compared to children. Therefore, it may be desirable to use age-grouped data or running averages over years in these age ranges. While the attached prepared Physiology.txt file uses the “raw” parameters, smoothed results using 5-year running averages are provided in the attached data tables (Appendix E, plots not shown). These could be used at the direction of EPA; changing the “official” release Physiology.txt file would be trivial.

### MALES: Body Mass Geometric Mean

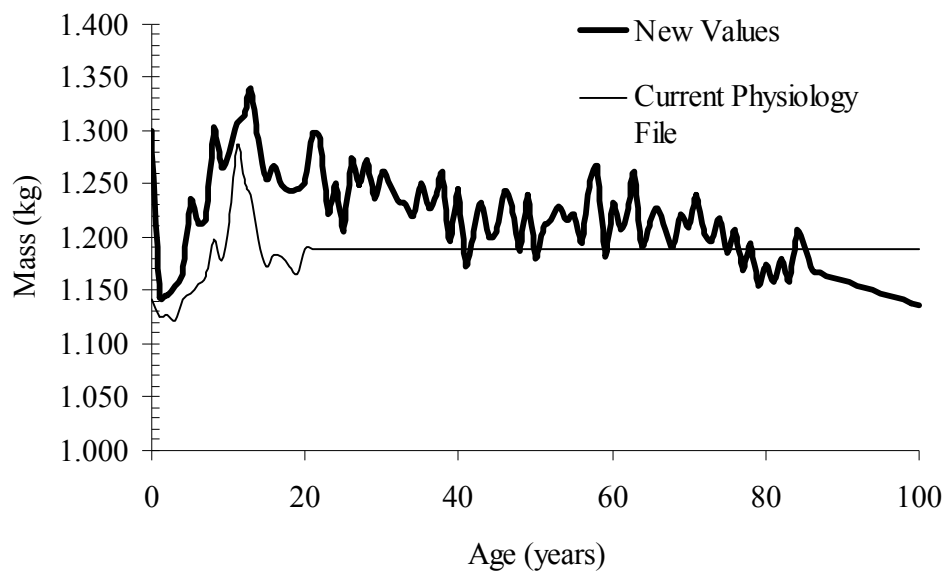


### FEMALES: Body Mass Geometric Mean

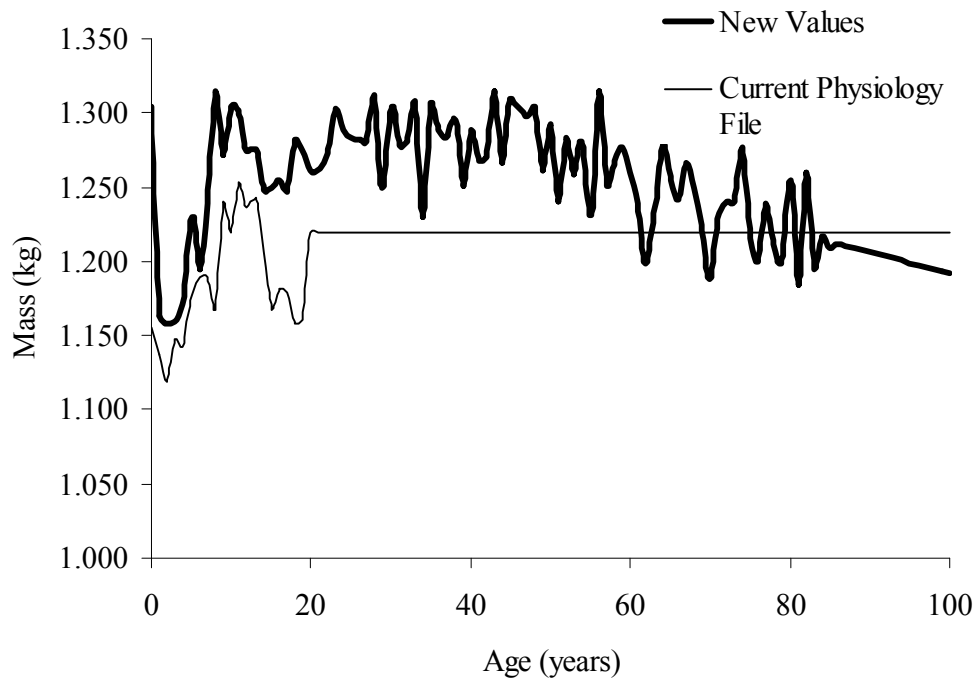


**Figure 8. Geometric Means for the Best-fit Lognormal Distributions for Body Mass as a Function of Age, Derived from NHANES 1999-2004 Study Data.**

### MALES: Body Mass GSD

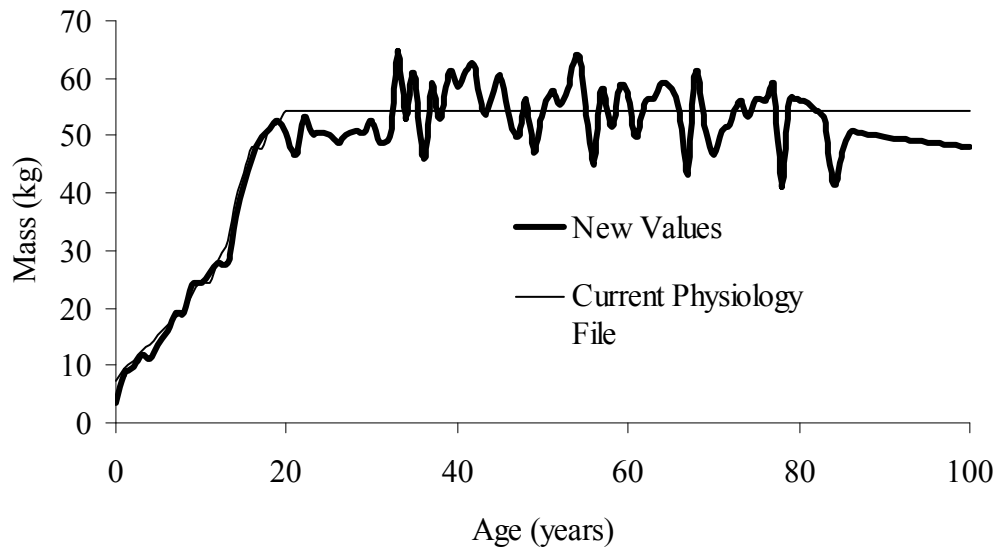


### FEMALES: Body Mass GSD

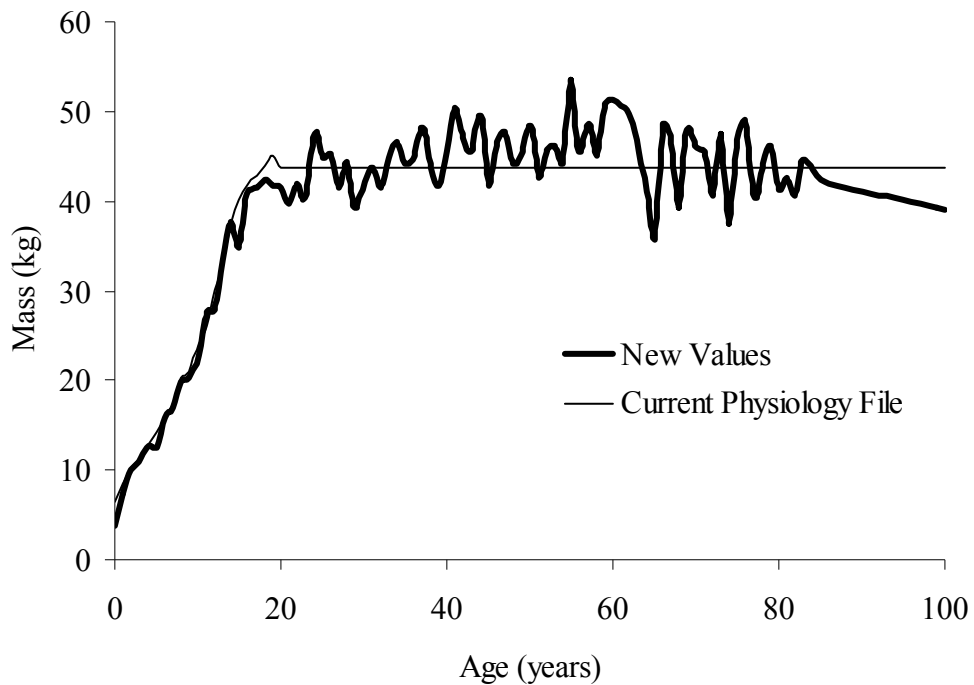


**Figure 9. Geometric Standard Deviations for the Best-fit Lognormal Distributions for Body Mass as a Function of Age, Derived from NHANES 1999-2004 Study Data.**

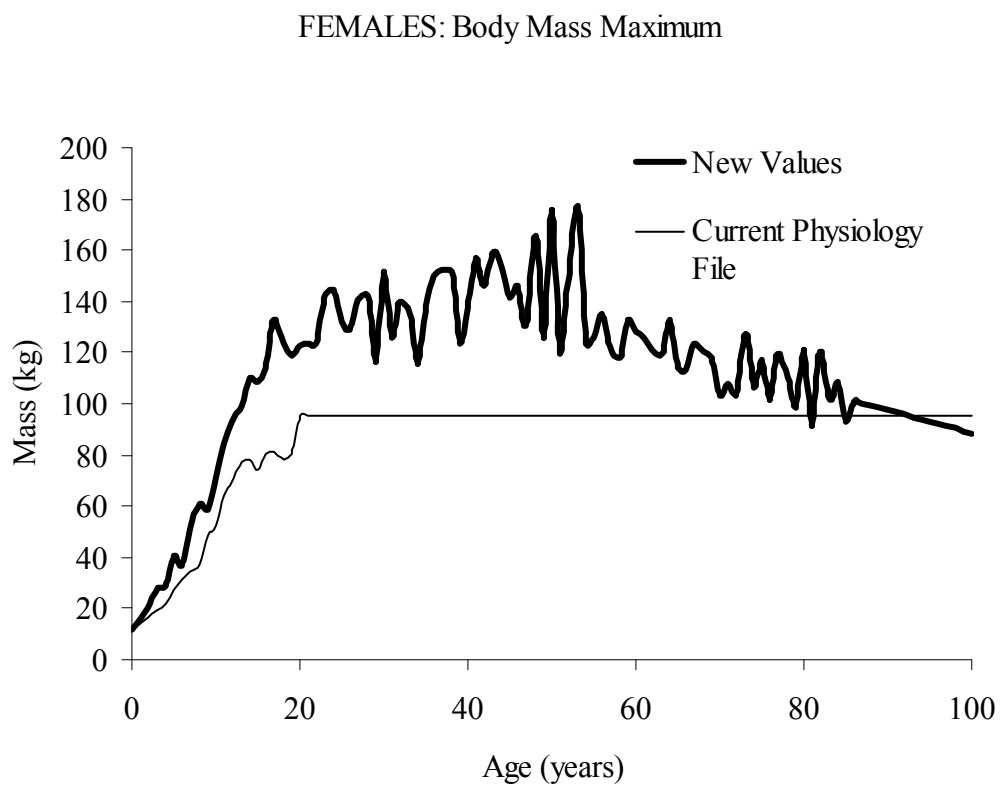
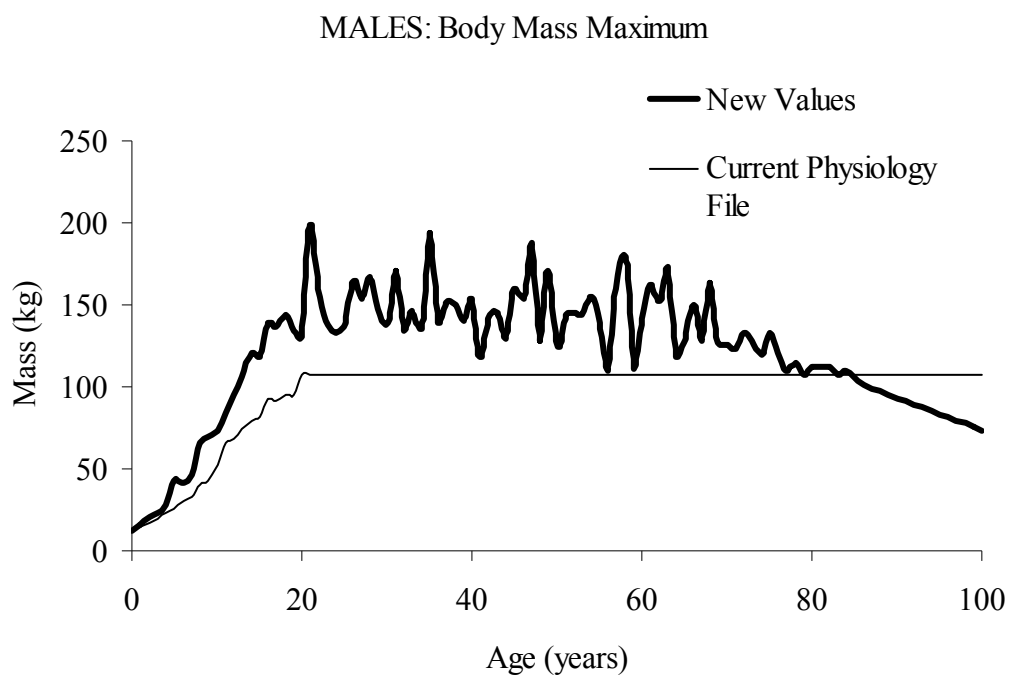
### MALES: Body Mass Minimum



### FEMALES: Body Mass Minimum



**Figure 10. Minimums (1<sup>st</sup> Percentile) for Body Mass as a Function of Age, Derived from NHANES 1999-2004 Study Data.**



**Figure 11. Maximums (99<sup>th</sup> Percentile) for Body Mass as a Function of Age, Derived from NHANES 1999-2004 Study Data.**



## 4. DERIVATION OF NEW DISTRIBUTIONS FOR NORMALIZED VO2MAX

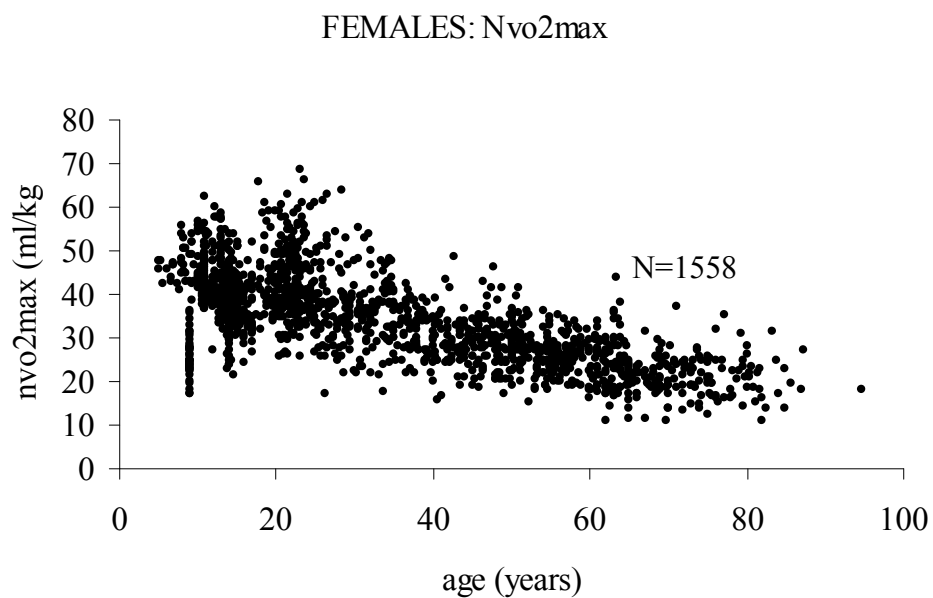
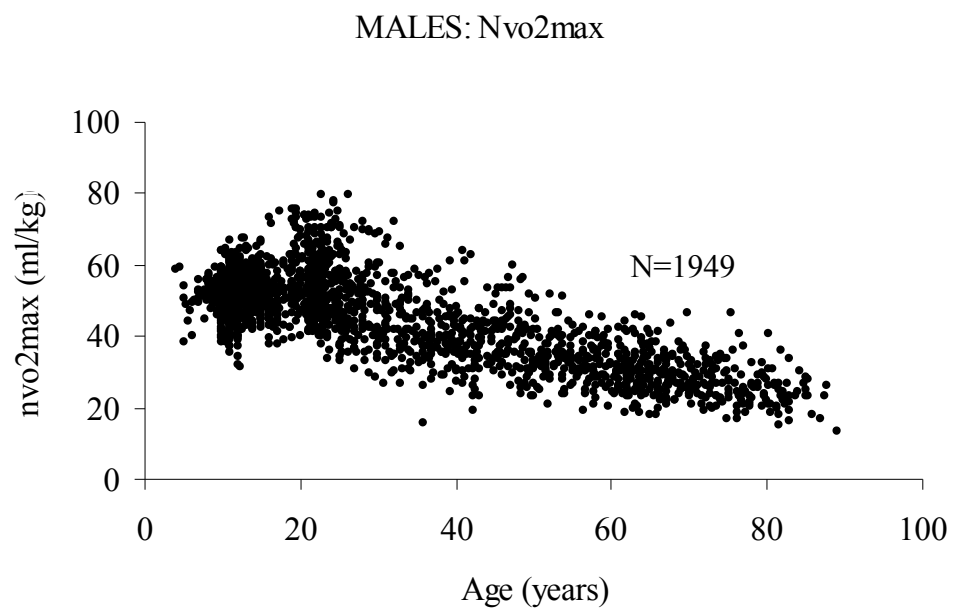
### 4.1 The Nvo2max Data

The NHANES studies do report data for vo2max in individuals. However, the NHANES vo2max values are estimated values, i.e. they are not measured directly. Such estimated values are not appropriate for use in this context (as per discussion with the WA-COR). Therefore, nvo2max distributional shapes were determined from a large database of experimental and literature vo2max measurements for different age/gender cohorts.

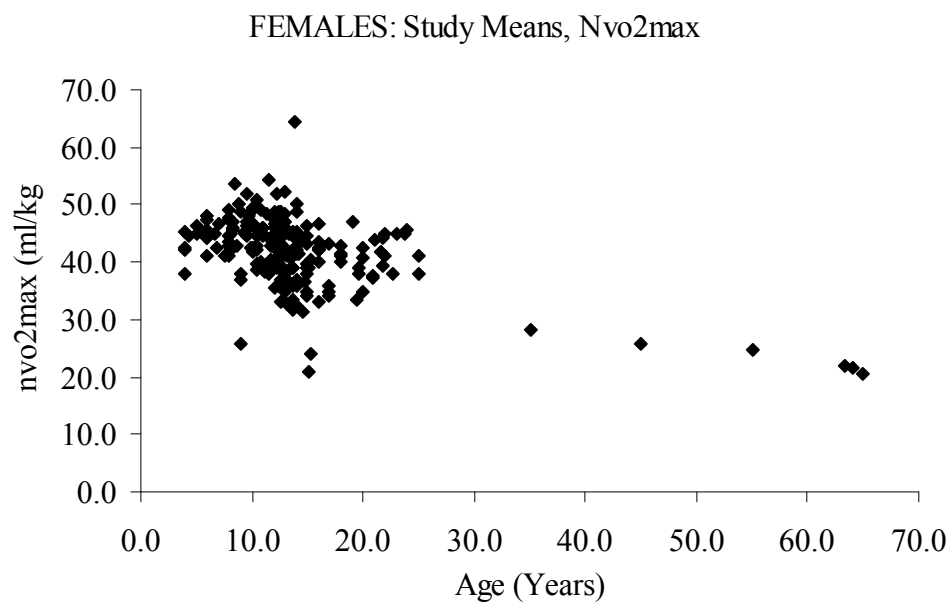
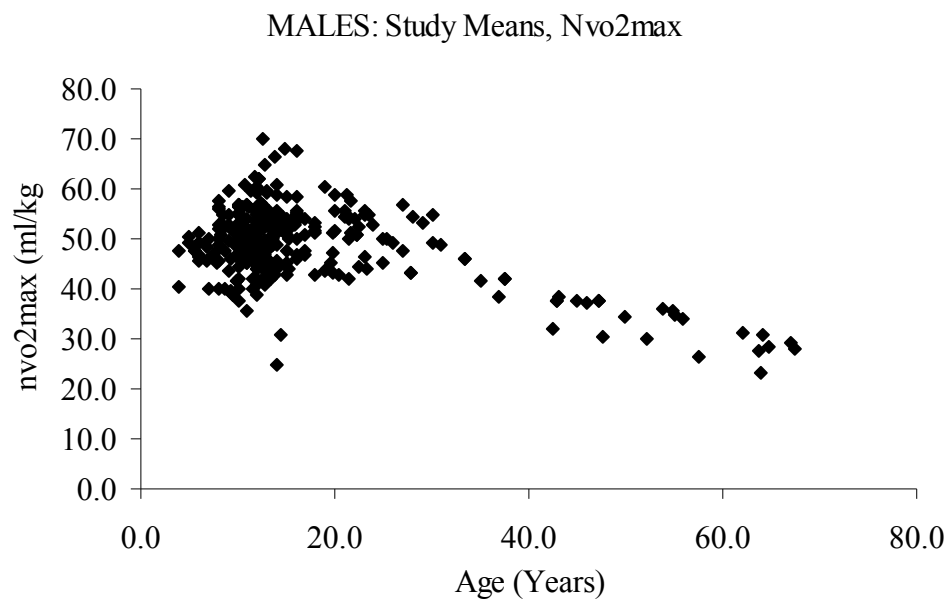
A PubMed-based literature search located a number of studies in which vo2max was directly measured. In addition, a large number of scientific papers (~350) reporting vo2max were also provided to Alion by the WA-COR. All the studies were evaluated for use by determining if: 1) any normalized vo2max data for individuals were reported or 2) any group means for narrow age-gender cohorts were reported. Studies in which the studied age group was very broad or contained both males and females were discarded. Also discarded were any studies in which vo2max was not normalized by body mass, or for which no age data were reported. Data for ill or highly-trained individuals were not used; however, studies in which subjects underwent mild or moderate exercise training were included. Two large databases, one of individual vo2max data and one of grouped means and SDs, were constructed from the valid studies.

The database of individual data comprised age versus nvo2max data for 1949 men and 1558 women. The data were pulled from either tables or graphs in 20 published studies [11-30]. Additional raw experimental data were provided by the WA-COR [31]. In the case of the graphical data, the original source was digitized and the data points were pulled from the digital figure using graphics software. (This was accomplished by calibrating the pixels of the digitized image with the range of age and nvo2max values.) The individual nvo2max data for males and females are shown in Figure 5.

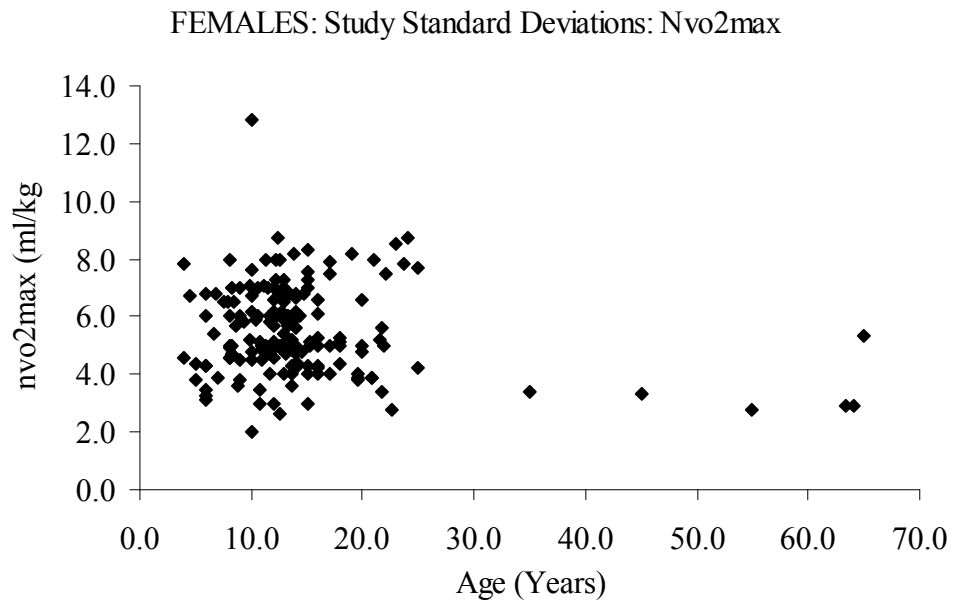
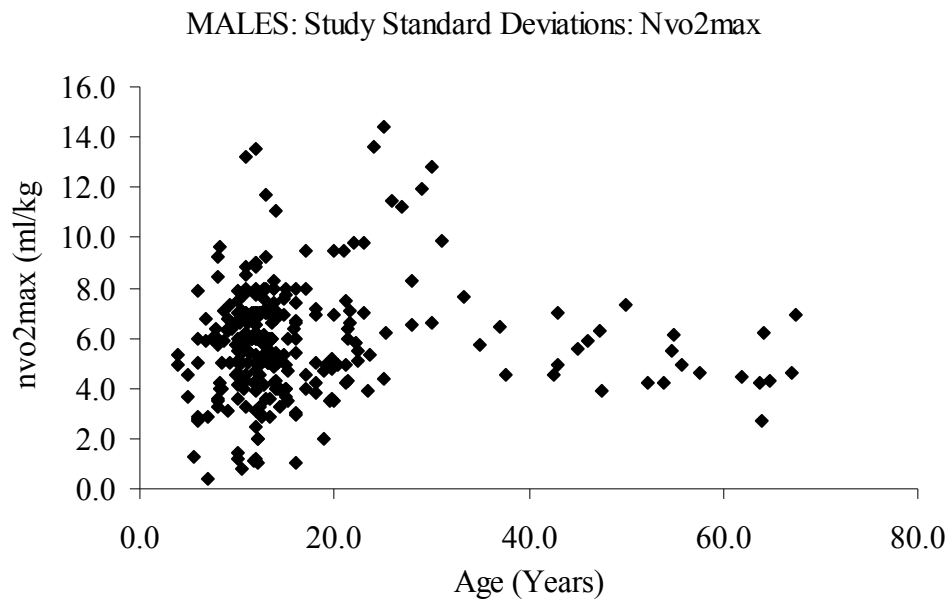
The grouped mean and SD data were derived from 136 studies [32-167]. These data comprised approximately 550 means and SDs for different age/gender cohorts. Single age/gender cohort means and SD values for the Adams data [31] were also included in this dataset. Only data for subject groups having an age SD of less than approximately 2-3 years were considered. The grouped mean values for men and women are shown in Figure 6, while the group SD values are shown in Figure 7.



**Figure 12. Individual Nvo2max Measurements for Males and Females, Derived from Literature Studies and Experimental Measurements.**



**Figure 13. Grouped Mean Nvo2max Measurements for Males and Females, Derived from Literature Studies.**



**Figure 14. Nvo2max Standard Deviations for Males and Females, Derived from Literature Studies.**

## 4.2 Determining the NVo2max Distributions

Both the grouped mean and the individual datasets were evaluated for use in deriving the nvo2max parameters.

The group means and SD were combined into single age/gender cohort values. The combined means were calculated as mean of the group means, weighted by the number of subjects. The group SD were calculated by transforming each group SD to a group variance, calculating the mean variance (weighted by the number of subjects in each study) and retransforming the variances to SDs. The combined group means and SDs are given in Figures 8 and 9.

The combined group means were fairly well-behaved across age and gender cohorts (see Figure 8), while the SD data (Figure 9) were noisier. These data may be appropriate for use in the Physiology.txt file; however, it was noted that the group mean data, while plentiful for children, were not very well represented in the adult (30+ years) age range (especially for women). This is mainly due to the fact that very few investigators use narrow age cohorts when studying adults, rather, it was far more common for broader age groups to be used. These data were not included in the grouped mean analysis, as the mean nvo2max for a broad age group cannot be assumed valid for the cohort represented by the study age mean. Therefore, we opted to use the database of individual nvo2max measurements to develop new distributions for the Physiology.txt file.

The individual nvo2max data were fit to several types of distributions (including normal, beta, and lognormal distributions). It was determined that the normal distribution fit the data best. The parameters (means and standard deviations) of the best-fit distributions were obtained using the SAS PROC UNIVARIATE procedure. The SAS code used to fit the data is given in Appendix B.

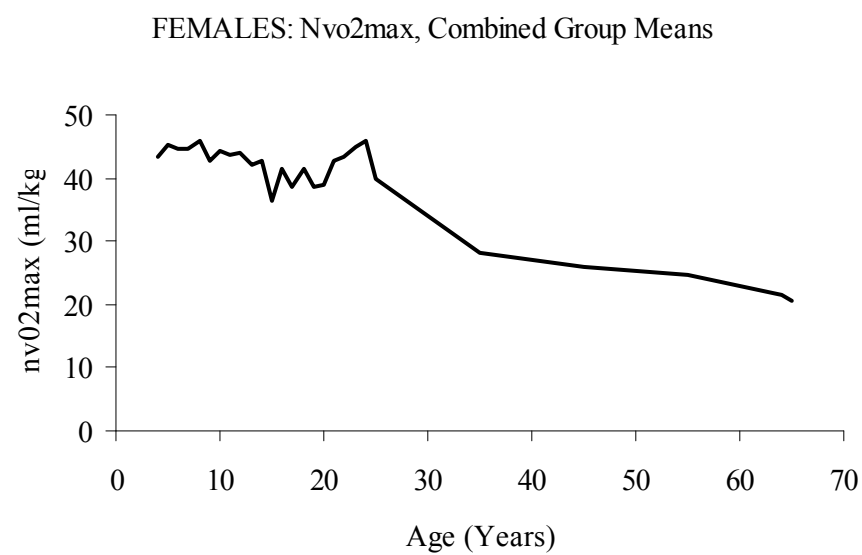
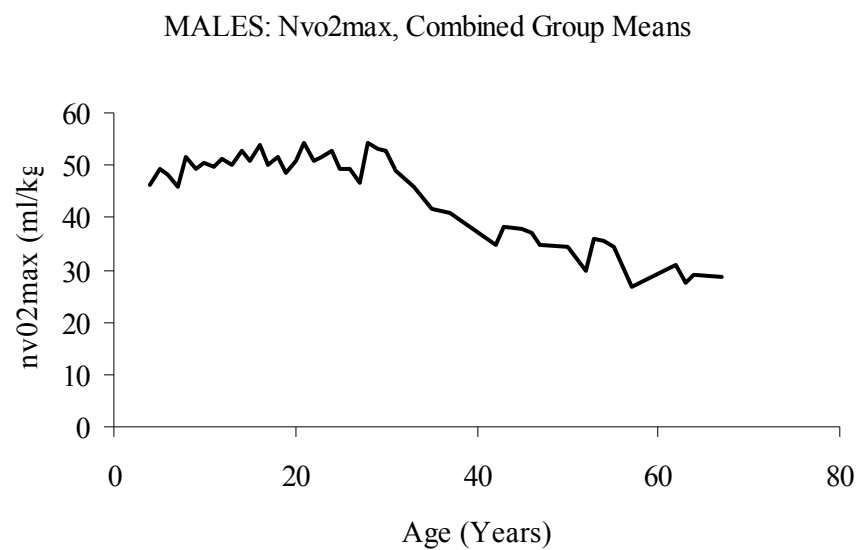
Both raw and smoothed nvo2max fits were calculated. Calculating 5-year running averages did not smooth the data considerably. Therefore, the smoothed fits were determined by choosing a best-fit functional form for the nv02max data. The data were fit to functions as follows:

Mean (Age 0-20): Linear function  
Mean (Age 21-100): Parabolic function  
SD (Age 0-26): Linear function  
SD (Age 27-100): Parabolic function

Fitting the data in this manner also allowed for all age/gender cohorts to be represented. Since only cohorts having  $N > 10$  were fit to distributions, there were some cohorts for which no parameters were calculated. The raw and smoothed fits for means are given in Figure 10; analogous data for SD is given in Figure 11. The raw nvo2max parameters were not as clean across ages as the body mass data (probably due to the much smaller sample size), and thus the smoothed fits were selected for use in the attached Physiology.txt file. As with body mass, the raw fits may be used at the direction of EPA.

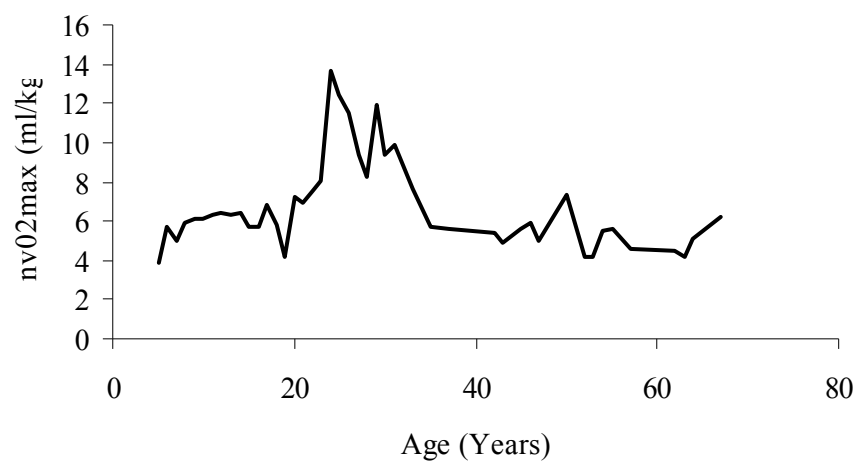
The results for the nvo2max means were in fact quite close to those in the current file. However, the values exhibited much more consistent behavior across ages, and the values for elderly persons were lower than previously. The SD values were also in the same range as the current values, yet they no longer demonstrate nonsensical discontinuities across ages.

The minimum and maximum nvo2max values were assumed to be the 1<sup>st</sup> and 99<sup>th</sup> percentile of the best-fit lognormal distribution. (**Note:** this is different from the method used for estimating the body mass limits. In that case, the samples were large enough that the percentiles of the raw data were appropriate for use as minimum and maximum. As the nvo2max data cohorts had much smaller N than the NHANES studies, the raw percentiles were less appropriate.) The maximum and minimum values are shown in Figures 12 and 13.



**Figure 15. Combined Nvo2max Group Means for Males and Females**

MALES: Nvo2max, Combined Group SD

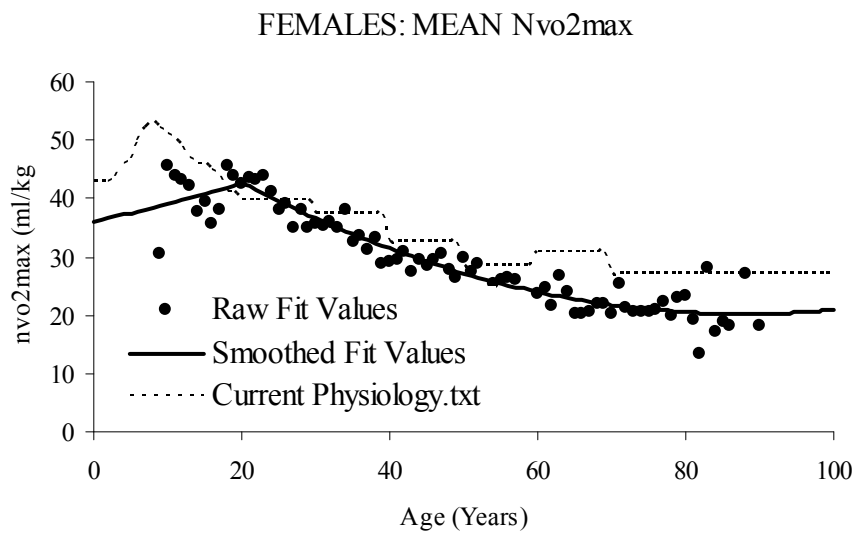
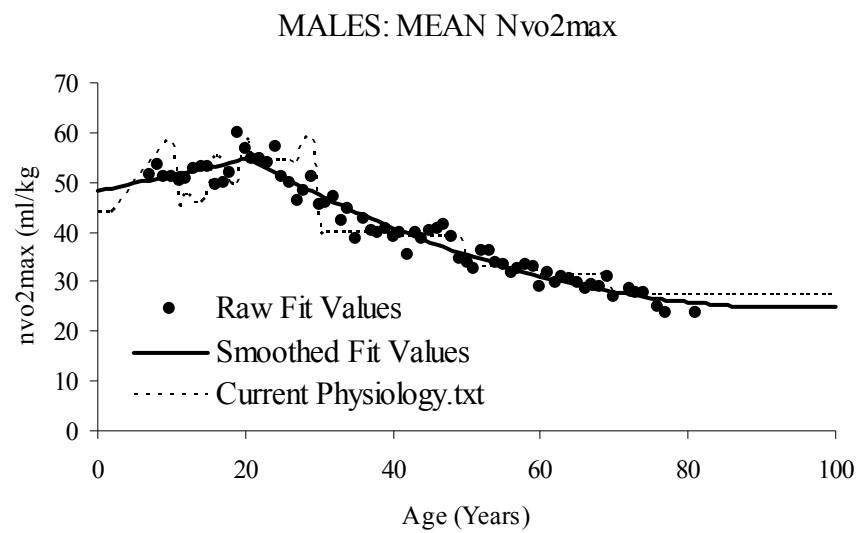


FEMALES: Nvo2max, Combined Group SD

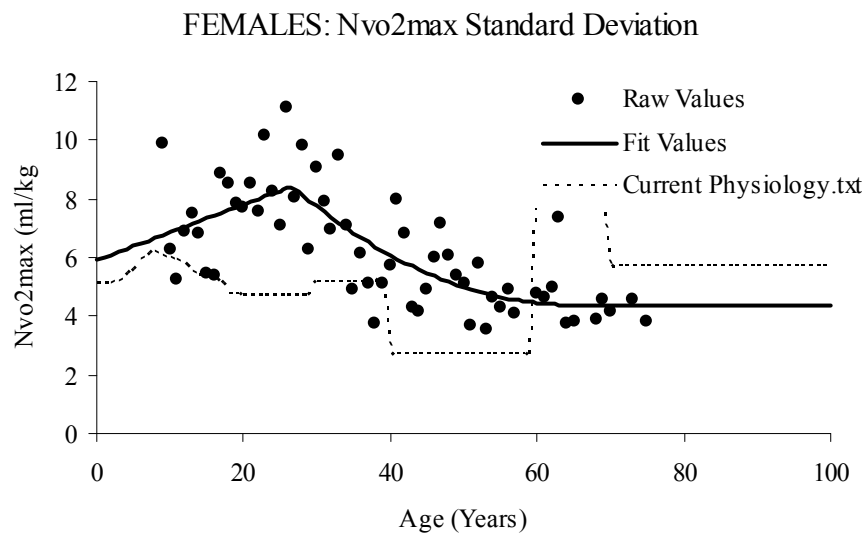
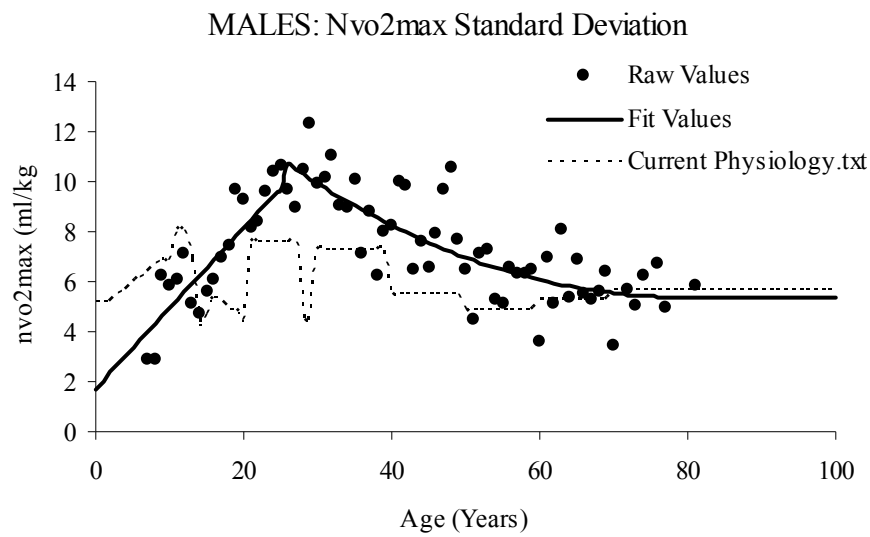


**Figure 16. Combined Nvo2max Group Standard Deviations.**





**Figure 17. Nvo2max Normal Distribution Fits: Raw Fit Means and Smoothed Fits.**



**Figure 18. Nvo2max Normal Distribution Fits: Raw Fit Standard Deviations and Smoothed Fits.**

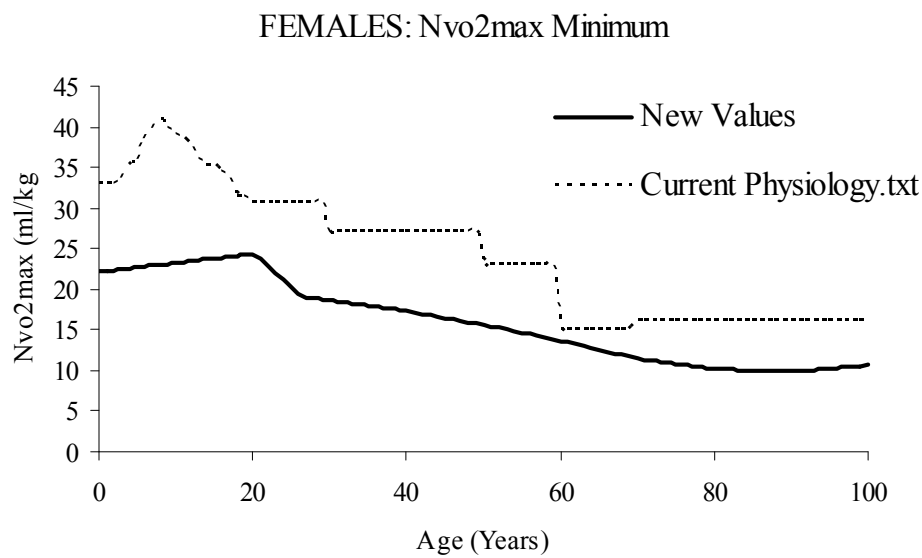
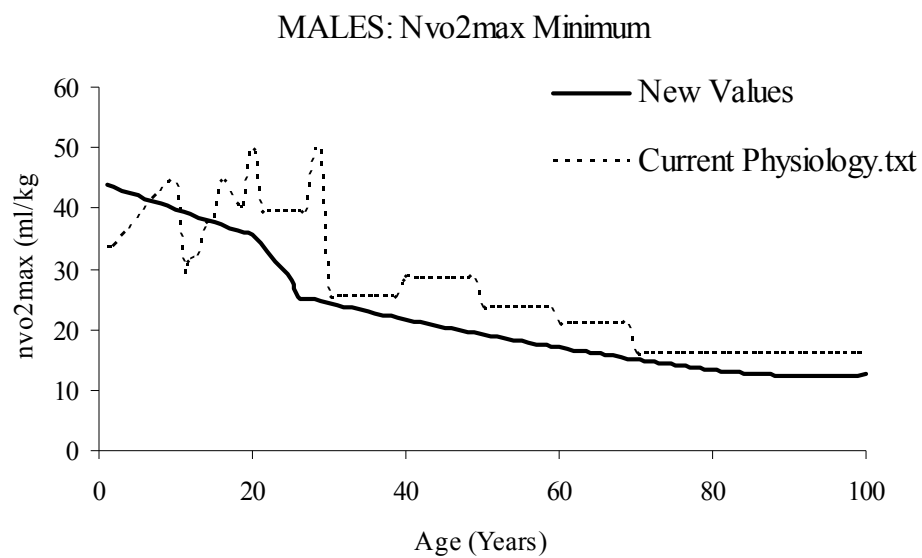


Figure 19. Nvo2max Minimums. 1<sup>st</sup> Percentile of the Best-fit Normal Distribution.

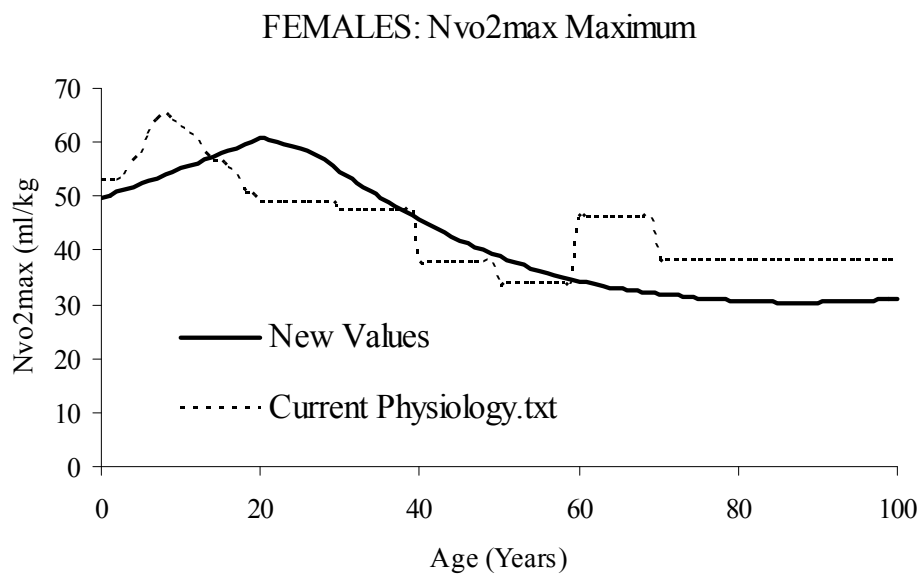
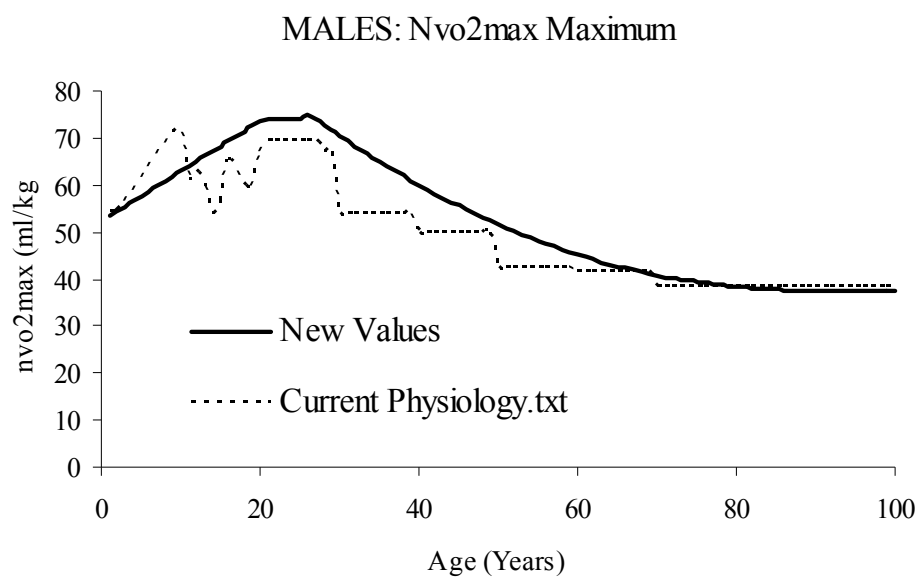


Figure 20. Nvo2max Maximums. 99<sup>th</sup> Percentile of the Best-fit Normal Distribution.

## 5. Derivation of New Distributions for Hemoglobin Content (Hemoglobin Density)

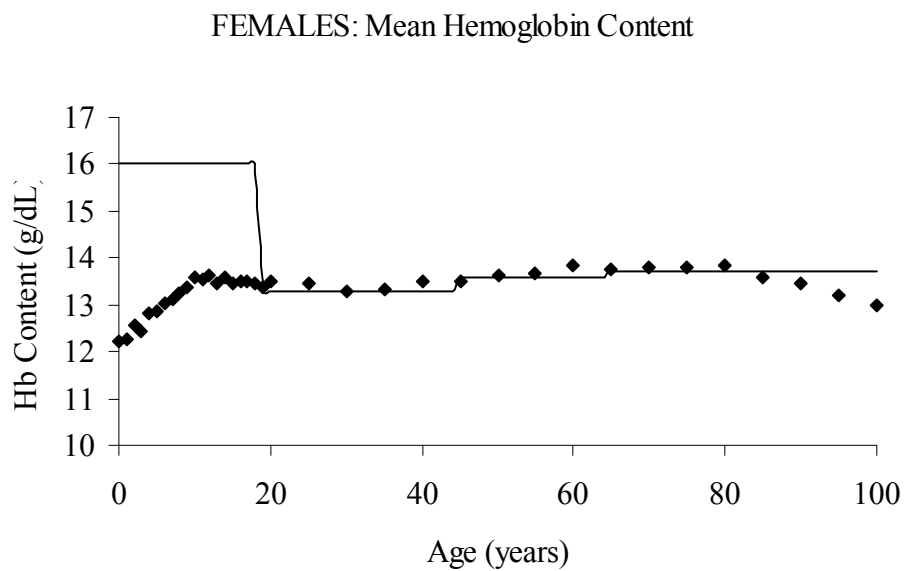
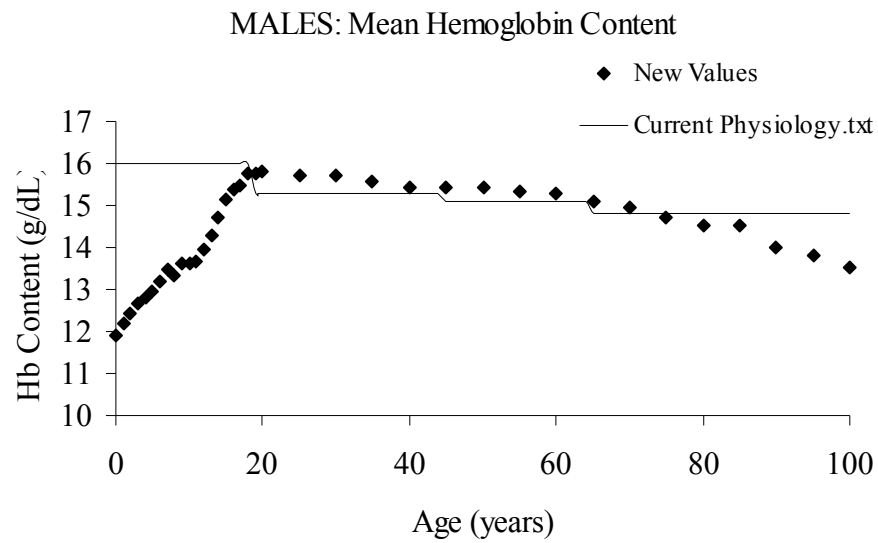
The new hemoglobin content values were derived from the combined NHANES 1999-2000 and 2001-2002 datasets. As of December 2005, hemoglobin data had not yet been released for the 2003-2004 study. The age data was provided in the Demographic datasets (Demo.xpt and Demo\_b.xpt, previously downloaded for the body mass analysis) for the two survey periods, while hemoglobin content (in g/dL) was provided in the Laboratory #25 (Complete Blood Count) datasets (lab25.xpt and l25\_b.xpt, which were downloaded for this analysis). The dataset comprised 20,321 individuals; appropriate sample weights were used for the combined 4-year (1999-2002) dataset as provided with the NHANES 2001-2002 data release. Similarly to the body mass data, the hemoglobin content values were analyzed in SAS. The age and hemoglobin datasets were merged and fit to normal distributions using the SAS PROC UNIVARIATE procedure. The FREQ option of the procedure was used to apply the sampling weights. The SAS code is provided in the Appendix C.

Hemoglobin content statistics were estimated for single-year age and gender cohorts for ages 1-19, as the behavior of the means were smooth in this age range. For persons 20 and over, the data were grouped in 5-year cohorts (20-24, 25-29, etc.) No blood count data were available for subjects under 1 year of age or greater than 90. The age 0 mean values were obtained by a linear regression of ages 1-20 (males) or 1 to 11 (females) back to age 0. These were the ages for which the hemoglobin content demonstrated an increase with age. The 91-95 and 96-100 mean values were obtained by a linear regression of the 61-65 and older age groups. As the standard deviations did not appear to behave as smoothly with age as did the mean values, the age 0 value was assumed equal to the age 1 value, and the age 91-95 and 96-100 value was assumed equal to the age 90-94 value.

The resulting means and standard deviations for the best-fit normal distributions for hemoglobin content are given in Figures 14 and 15. The current hemoglobin content values are shown for comparison.

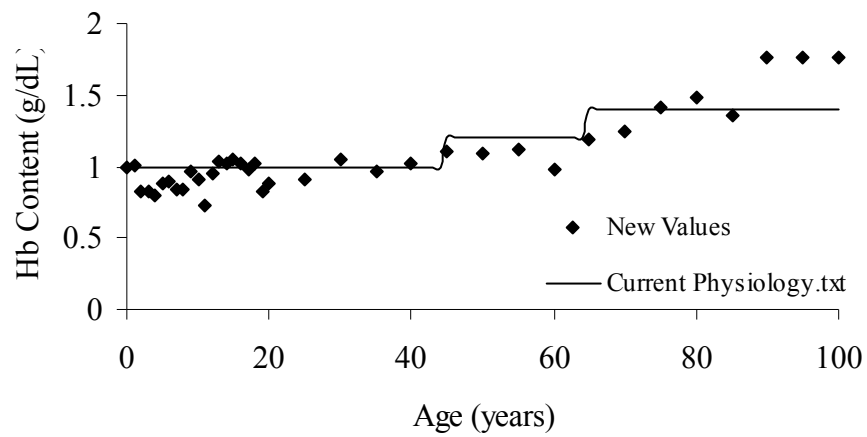
The main conclusion that can be made is that the current Physiology.txt input file overestimates mean hemoglobin content in children and in older persons. The standard deviation values in the current physiology.txt file are fairly close to those found in this analysis. The new values are not very smooth over ages; EPA may elect to continue to use the current values. It should be noted that the original reference for the current hemoglobin statistics is unknown.

Note: In the current implementation of APEX, the hemoglobin content statistics affect only the CO dose algorithm calculations.

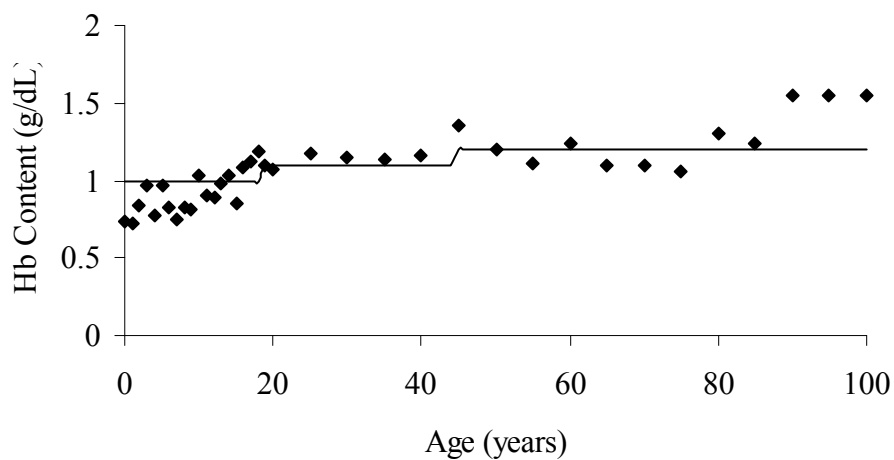


**Figure 21. Mean Values of Hemoglobin Content as Derived from the 1999-2002 NHANES Dataset, with Comparison to Current Physiology.txt Values**

### MALES: Hemoglobin Content Standard Deviation



### FEMALES: Hemoglobin Content Standard Deviation



**Figure 22. Values of Hemoglobin Content Standard Deviation as Derived from the 1999-2002 NHANES Dataset, with Comparison to Current Physiology.txt Values**

## 6. BLOOD VOLUME AS A FUNCTION OF HEIGHT AND WEIGHT

In APEX, blood volume is estimated as a function of height and weight by the following equation:

$$V_{blood} = BVF * Weight + K * Height^3 - 30$$

where  $V_{blood}$  is the blood volume (ml), Weight is in pounds, and height is in inches. BVF is the blood volume factor that is read in from the physiology file, and K is a gender-dependent constant (0.00683 for males, 0.00678 for females). This is a modification of Allen's equation [168] to include the age/gender dependent BVF and adjusted for the given units.

As previously mentioned, the data upon which the BVF values in the physiology file were based could not be identified. The available documentation for pNEM documents a non-age-dependent use of these equations.

In addition, no appropriate data were found for deriving new estimates for the BVF variable as a function of age and gender for use with the Allen equations. It should be noted however, that these equations were modified by Nadler [169]. These equations seem to be used somewhat more often than the originals in the literature.

In addition, other (more recent) equations exist for estimation of blood volume from height and weight specifically in children [170,171] or body surface area [172]. In particular, Linderkamp et al. [170] derived prediction equations for blood volume as a function of a number of physiological parameters for children in three different age groups. It is recommended that further analysis of this study and others be undertaken.

However, inclusion of new blood volume equations in APEX would require changes beyond the current physiology file (i.e. other, more intensive, code changes would be needed). Thus, at the present time, no specific improvements to the current BVF values in the physiology file can be made.



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## Appendix A. SAS Code for Estimating the Body Mass Distributions

```
/* This program calculates lognormal distributions for BM from the NHANES 1999-2004 Data

K K Isaacs 10/2005
Alion Science and Technology

Distributions are derived from raw body mass and age data downloaded from the CDC site at
http://www.cdc.gov/nchs/about/major/nhanes/

The data are stored in the downloaded datasets:

1999-2000 (SAS export files)
BMX.xpt (NHANES Body Measurement Data, contains body wt in kg)
Demo.xpt (NHANES Demographic Data, contains age in years or months)

2001-2002 (SAS export files)
BMX_b_r.xpt (NHANES Body Measurement Data, contains body wt in kg)
Demo_b.xpt (NHANES Demographic Data, contains age in years or months)

2003-2004 (SAS export files)
BMX_c.xpt (NHANES Body Measurement Data, contains body wt in kg)
Demo_c.xpt (NHANES Demographic Data, contains age in years or months)

*/

* Merge the Body Measurement and Demographics datasets;

Data weight;
  merge Demo Demo_b Demo_c Bmx Bmx_b_r Bmx_c;
  by SEQN;
  mass=BMXWT;
  gen=RIAGENDR;
  ageyrs=RIDAGEYR;
  agemoths=RIDAGEEX;
  wt = (2/3)*WTMEC4YR;
  if (SEQN>21004) THEN wt=(1/3)*WTMEC2YR;
  if agemoths<12 and agemoths>0 THEN ageyrs=0;
  keep SEQN mass gen ageyrs agemoths wt;
run;

proc sort data=weight;
  by gen ageyrs;
run;

Proc univariate data=weight;
by gen ageyrs;
var mass;
freq wt;
histogram mass / lognormal;

run;
```

## APPENDIX B. SAS CODE FOR ESTIMATING THE NORMALIZED VO2MAX DISTRIBUTIONS

```
/******
/* This is a program to fit the V02Max (Adams and others) data to different
distributional shapes.

Adams experimental data provided in Excel form by Stephen Graham and Tom McCurdy, EPA

Other data collected by Alion Science and Tech.

This work was performed for WA 10, APEX/SHEDS Physiology File Update
```

K. K. Isaacs October 2005

Alion Science and Technology

```
/*****
```

```
*load datasets;
```

```
Data alldata ;
```

```
infile 'H:\kki-05-PHYSIOLOGY_10\NVO2MAX\vo2max.csv' DLM="," END=eof;
```

```
input age nvo2max gender;
```

```
output alldata;
```

```
proc sort data=alldata;
```

```
by gender age;
```

```
run;
```

```
Proc univariate data=alldata;
```

```
by gender age;
```

```
var nvo2max;
```

```
histogram nvo2max / normal;
```

```
output out=outputdata1 N=samplesize mean=Mean
```

```
std=StdDeviation ProbN=NormalFit;
```

```
run;
```

```
Proc export data=outputdata1 outfile="H:\kki-05-PHYSIOLOGY_10\Alldata_vo2max.csv"
```

```
replace;
```

```
run;
```

## APPENDIX C. SAS CODE FOR ESTIMATING THE HEMOGLOBIN CONTENT DATA

```
/* This program calculates best fit normal distributions for hemoglobin content  
from the NHANES 1999-2000 and 2001-2002 datasets.
```

Alion Science and Technology

K K Isaacs 12/2005

Distributions are derived from hemoglobin content and age data downloaded from the CDC  
site at

<http://www.cdc.gov/nchs/about/major/nhanes/nhanes99-00.htm>

and

<http://www.cdc.gov/nchs/about/major/nhanes/nhanes01-02.htm>

The data are stored in the downloaded datasets:

1999-2000

lab25.xpt (NHANES Lab dataset #25)

Demo.xpt (NHANES Demographic Data, contains age in years or months)

2001-2002

l25\_b.xpt (NHANES Lab dataset #25)

Demo\_b.xpt (NHANES Demographic Data, contains age in years or months)

```
*/
```

\*Data are read into SAS by loading the xpt files.

\* Merge the Laboratory and Demographics datasets;

```
Data Hb;
```

```
merge Demo Lab25 Demo_b L25_b;
```

```
by SEQN;
```

```
Hb=LBXHGB;
```

```
gen=RIAGENDR;
```

```
ageyrs=RIDAGEYR;
```

```
agemonths=RIDAGEEX;
```

```
wt = WTMEC4YR;
```

```
if agemoths<12 and agemoths>0 THEN ageyrs=0; * Age 0;
```

```
if ageyrs>20 then ageyrs=(floor(ageyrs/5)+1)*5; * Bin in 5-year incs;
```



```

    keep SEQN Hb gen ageyrs agemoths wt;
run;

proc sort data=Hb;
    by gen ageyrs;
run;

Proc univariate data=Hb;
by gen ageyrs;
var Hb;
req wt;                                * Apply sample weights;
histogram Hb / normal;                 * Fit to Normal;
output out=outputs N=samplesize mean=Mean
        std=StdDeviation ProbN=NormalFit;
run;

Proc export data=outputs outfile="H:\kki-05-PHYSIOLOGY_10\Hemoglobin\HbFitswt.csv"
replace;
run;

```

## APPENDIX D. THE NEW PHYSIOLOGY.TXT FILE

Note: The values contained in the file conform to the current APEX read formats. That is, the number of decimal places for each parameter is dictated by the APEX code. It is likely that this will change in the future, at which point more significant digits could be added to the Physiology.txt file.

Males age 0-100, then females age 0-100 (last revised 12-20-05)							
NVO2max distribution							
Age	Source	Distr	Mean	SD	Lower	Upper	Assumptions
0	NA	Normal	48.3	1.7	44.3	52.2	
1	NA	Normal	48.6	2.0	43.8	53.3	
2	NA	Normal	48.9	2.4	43.4	54.4	
3	NA	Normal	49.2	2.7	43.0	55.4	
4	NA	Normal	49.5	3.0	42.5	56.5	
5	NA	Normal	49.8	3.3	42.1	57.6	
6	NA	Normal	50.1	3.7	41.6	58.6	
7	NA	Normal	50.4	4.0	41.2	59.7	
8	NA	Normal	50.8	4.3	40.8	60.8	
9	NA	Normal	51.1	4.6	40.3	61.8	
10	NA	Normal	51.4	5.0	39.9	62.9	
11	NA	Normal	51.7	5.3	39.4	64.0	
12	NA	Normal	52.0	5.6	39.0	65.0	
13	NA	Normal	52.3	5.9	38.6	66.1	
14	NA	Normal	52.6	6.2	38.1	67.2	
15	NA	Normal	53.0	6.6	37.7	68.2	
16	NA	Normal	53.3	6.9	37.3	69.3	
17	NA	Normal	53.6	7.2	36.8	70.4	
18	NA	Normal	53.9	7.5	36.4	71.4	
19	NA	Normal	54.2	7.9	35.9	72.5	
20	NA	Normal	54.5	8.2	35.5	73.6	
21	NA	Normal	54.2	8.5	34.5	74.0	
22	NA	Normal	53.4	8.8	32.9	74.0	
23	NA	Normal	52.6	9.2	31.4	73.9	
24	NA	Normal	51.8	9.5	29.8	73.9	
25	NA	Normal	51.1	9.8	28.3	73.9	
26	NA	Normal	50.3	10.7	25.5	75.2	
27	NA	Normal	49.6	10.5	25.2	74.0	
28	NA	Normal	48.8	10.3	24.9	72.8	
29	NA	Normal	48.1	10.1	24.6	71.6	
30	NA	Normal	47.4	9.9	24.3	70.4	
31	NA	Normal	46.7	9.7	24.0	69.3	
32	NA	Normal	46.0	9.6	23.8	68.2	
33	NA	Normal	45.3	9.4	23.5	67.1	
34	NA	Normal	44.6	9.2	23.2	66.0	
35	NA	Normal	44.0	9.0	23.0	65.0	
36	NA	Normal	43.3	8.9	22.7	64.0	
37	NA	Normal	42.7	8.7	22.4	62.9	
38	NA	Normal	42.1	8.6	22.2	61.9	
39	NA	Normal	41.4	7.3	25.5	54.1	
40	NA	Normal	40.8	5.5	28.4	50.0	
41	NA	Normal	40.2	5.5	28.4	50.0	
42	NA	Normal	39.7	5.5	28.4	50.0	
43	NA	Normal	39.1	5.5	28.4	50.0	
44	NA	Normal	38.5	5.5	28.4	50.0	
45	NA	Normal	38.0	5.5	28.4	50.0	
46	NA	Normal	37.4	5.5	28.4	50.0	
47	NA	Normal	36.9	5.5	28.4	50.0	
48	NA	Normal	36.4	5.5	28.4	50.0	
49	NA	Normal	35.9	5.5	28.4	50.0	
50	NA	Normal	35.4	4.9	23.5	42.7	
51	NA	Normal	34.9	4.9	23.5	42.7	
52	NA	Normal	34.5	4.9	23.5	42.7	
53	NA	Normal	34.0	4.9	23.5	42.7	
54	NA	Normal	33.6	4.9	23.5	42.7	
55	NA	Normal	33.1	4.9	23.5	42.7	
56	NA	Normal	32.7	4.9	23.5	42.7	
57	NA	Normal	32.3	4.9	23.5	42.7	
58	NA	Normal	31.9	4.9	23.5	42.7	
59	NA	Normal	31.5	4.9	23.5	42.7	
60	NA	Normal	31.1	5.3	21.0	41.8	
61	NA	Normal	30.7	5.3	21.0	41.8	
62	NA	Normal	30.4	5.3	21.0	41.8	
63	NA	Normal	30.0	5.3	21.0	41.8	

64	NA	Normal	29.7	5.3	21.0	41.8
65	NA	Normal	29.4	5.3	21.0	41.8
66	NA	Normal	29.1	5.3	21.0	41.8
67	NA	Normal	28.8	5.3	21.0	41.8
68	NA	Normal	28.5	5.3	21.0	41.8
69	NA	Normal	28.2	5.3	21.0	41.8
70	NA	Normal	27.9	5.7	16.1	38.3
71	NA	Normal	27.7	5.7	16.1	38.3
72	NA	Normal	27.4	5.7	16.1	38.3
73	NA	Normal	27.2	5.7	16.1	38.3
74	NA	Normal	27.0	5.7	16.1	38.3
75	NA	Normal	26.7	5.7	16.1	38.3
76	NA	Normal	26.5	5.7	16.1	38.3
77	NA	Normal	26.4	5.7	16.1	38.3
78	NA	Normal	26.2	5.7	16.1	38.3
79	NA	Normal	26.0	5.7	16.1	38.3
80	NA	Normal	25.8	5.7	16.1	38.3
81	NA	Normal	25.7	5.7	16.1	38.3
82	NA	Normal	25.6	5.7	16.1	38.3
83	NA	Normal	25.4	5.7	16.1	38.3
84	NA	Normal	25.3	5.7	16.1	38.3
85	NA	Normal	25.2	5.7	16.1	38.3
86	NA	Normal	25.1	5.7	16.1	38.3
87	NA	Normal	25.1	5.7	16.1	38.3
88	NA	Normal	25.0	5.7	16.1	38.3
89	NA	Normal	24.9	5.7	16.1	38.3
90	NA	Normal	24.9	5.7	16.1	38.3
91	NA	Normal	24.9	5.7	16.1	38.3
92	NA	Normal	24.8	5.7	16.1	38.3
93	NA	Normal	24.8	5.7	16.1	38.3
94	NA	Normal	24.8	5.7	16.1	38.3
95	NA	Normal	24.8	5.7	16.1	38.3
96	NA	Normal	24.9	5.7	16.1	38.3
97	NA	Normal	24.9	5.7	16.1	38.3
98	NA	Normal	25.0	5.7	16.1	38.3
99	NA	Normal	25.0	5.7	16.1	38.3
100	NA	Normal	25.1	5.7	16.1	38.3
0	NA	Normal	35.9	5.9	22.2	49.6
1	NA	Normal	36.2	6.0	22.3	50.2
2	NA	Normal	36.5	6.1	22.4	50.7
3	NA	Normal	36.9	6.2	22.5	51.3
4	NA	Normal	37.2	6.3	22.6	51.8
5	NA	Normal	37.5	6.4	22.7	52.4
6	NA	Normal	37.9	6.5	22.8	52.9
7	NA	Normal	38.2	6.6	22.9	53.5
8	NA	Normal	38.5	6.7	23.0	54.0
9	NA	Normal	38.9	6.8	23.1	54.6
10	NA	Normal	39.2	6.9	23.3	55.1
11	NA	Normal	39.5	7.0	23.4	55.7
12	NA	Normal	39.9	7.0	23.5	56.2
13	NA	Normal	40.2	7.1	23.6	56.8
14	NA	Normal	40.5	7.2	23.7	57.3
15	NA	Normal	40.9	7.3	23.8	57.9
16	NA	Normal	41.2	7.4	23.9	58.5
17	NA	Normal	41.5	7.5	24.0	59.0
18	NA	Normal	41.8	7.6	24.1	59.6
19	NA	Normal	42.2	7.7	24.2	60.1
20	NA	Normal	42.5	7.8	24.4	60.7
21	NA	Normal	42.1	7.9	23.7	60.5
22	NA	Normal	41.5	8.0	22.9	60.1
23	NA	Normal	40.8	8.1	22.0	59.6
24	NA	Normal	40.2	8.2	21.1	59.2
25	NA	Normal	39.6	8.3	20.3	58.8
26	NA	Normal	39.0	8.4	19.5	58.4
27	NA	Normal	38.4	8.4	18.9	57.8
28	NA	Normal	37.8	8.1	18.8	56.7
29	NA	Normal	37.2	7.9	18.7	55.6
30	NA	Normal	36.6	7.7	18.6	54.6
31	NA	Normal	36.0	7.6	18.5	53.6
32	NA	Normal	35.5	7.4	18.4	52.6
33	NA	Normal	34.9	7.2	18.2	51.7
34	NA	Normal	34.4	7.0	18.1	50.7
35	NA	Normal	33.9	6.8	18.0	49.8
36	NA	Normal	33.4	6.7	17.8	48.9
37	NA	Normal	32.9	6.5	17.7	48.0
38	NA	Normal	32.4	6.4	17.6	47.2
39	NA	Normal	31.9	6.2	17.4	46.4
40	NA	Normal	31.4	6.1	17.3	45.6
41	NA	Normal	31.0	6.0	17.1	44.8
42	NA	Normal	30.5	5.8	17.0	44.0
43	NA	Normal	30.1	5.7	16.8	43.3

44	NA	Normal	29.6	5.6	16.6	42.6	
45	NA	Normal	29.2	5.5	16.5	41.9	
46	NA	Normal	28.8	5.4	16.3	41.2	
47	NA	Normal	28.4	5.3	16.1	40.6	
48	NA	Normal	28.0	5.2	16.0	40.0	
49	NA	Normal	27.6	5.1	15.8	39.4	
50	NA	Normal	27.2	5.0	15.6	38.8	
51	NA	Normal	26.8	4.9	15.4	38.3	
52	NA	Normal	26.5	4.8	15.2	37.7	
53	NA	Normal	26.1	4.8	15.1	37.2	
54	NA	Normal	25.8	4.7	14.9	36.7	
55	NA	Normal	25.5	4.7	14.7	36.3	
56	NA	Normal	25.2	4.6	14.5	35.9	
57	NA	Normal	24.9	4.6	14.3	35.4	
58	NA	Normal	24.6	4.5	14.1	35.1	
59	NA	Normal	24.3	4.5	13.9	34.7	
60	NA	Normal	24.0	4.5	13.6	34.3	
61	NA	Normal	23.7	4.4	13.4	34.0	
62	NA	Normal	23.5	4.4	13.2	33.7	
63	NA	Normal	23.2	4.4	13.0	33.4	
64	NA	Normal	23.0	4.4	12.8	33.2	
65	NA	Normal	22.7	4.4	12.5	33.0	
66	NA	Normal	22.5	4.4	12.3	32.7	
67	NA	Normal	22.3	4.4	12.1	32.5	
68	NA	Normal	22.1	4.4	11.9	32.3	
69	NA	Normal	21.9	4.4	11.7	32.1	
70	NA	Normal	21.7	4.4	11.5	32.0	
71	NA	Normal	21.6	4.4	11.4	31.8	
72	NA	Normal	21.4	4.4	11.2	31.6	
73	NA	Normal	21.3	4.4	11.1	31.5	
74	NA	Normal	21.1	4.4	10.9	31.3	
75	NA	Normal	21.0	4.4	10.8	31.2	
76	NA	Normal	20.9	4.4	10.7	31.1	
77	NA	Normal	20.8	4.4	10.6	31.0	
78	NA	Normal	20.7	4.4	10.4	30.9	
79	NA	Normal	20.6	4.4	10.4	30.8	
80	NA	Normal	20.5	4.4	10.3	30.7	
81	NA	Normal	20.4	4.4	10.2	30.6	
82	NA	Normal	20.3	4.4	10.1	30.6	
83	NA	Normal	20.3	4.4	10.1	30.5	
84	NA	Normal	20.3	4.4	10.0	30.5	
85	NA	Normal	20.2	4.4	10.0	30.4	
86	NA	Normal	20.2	4.4	10.0	30.4	
87	NA	Normal	20.2	4.4	10.0	30.4	
88	NA	Normal	20.2	4.4	10.0	30.4	
89	NA	Normal	20.2	4.4	10.0	30.4	
90	NA	Normal	20.2	4.4	10.0	30.4	
91	NA	Normal	20.2	4.4	10.0	30.4	
92	NA	Normal	20.3	4.4	10.1	30.5	
93	NA	Normal	20.3	4.4	10.1	30.5	
94	NA	Normal	20.4	4.4	10.2	30.6	
95	NA	Normal	20.4	4.4	10.2	30.6	
96	NA	Normal	20.5	4.4	10.3	30.7	
97	NA	Normal	20.6	4.4	10.4	30.8	
98	NA	Normal	20.7	4.4	10.5	30.9	
99	NA	Normal	20.8	4.4	10.6	31.0	
100	NA	Normal	20.9	4.4	10.7	31.1	
Males age 0-100, then females age 0-100 (last revised 12-20-05)							
Body mass distribution, kg							
Age	Source	Distr	GM	GSD	Lower	Upper	Assumptions
0	CDC	LN	7.8	1.301	3.6	11.8	
1	CDC	LN	11.4	1.143	8.2	16.1	
2	CDC	LN	13.9	1.146	9.8	20.9	
3	CDC	LN	16.0	1.154	11.7	23.7	
4	CDC	LN	18.5	1.165	11.1	28.1	
5	CDC	LN	21.6	1.234	13.7	42.4	
6	CDC	LN	23.1	1.213	16.1	41.1	
7	CDC	LN	27.1	1.216	19.3	46.8	
8	CDC	LN	31.7	1.302	19.1	66.2	
9	CDC	LN	34.7	1.265	24.0	69.9	
10	CDC	LN	38.3	1.280	24.3	72.9	
11	CDC	LN	44.1	1.308	26.2	83.8	
12	CDC	LN	48.0	1.315	27.7	94.8	
13	CDC	LN	55.4	1.340	27.7	106.6	
14	CDC	LN	62.8	1.293	35.7	121.0	
15	CDC	LN	67.7	1.255	41.5	117.9	
16	CDC	LN	72.5	1.267	45.8	139.1	
17	CDC	LN	73.1	1.248	49.9	136.6	
18	CDC	LN	75.1	1.243	51.2	144.2	
19	CDC	LN	77.2	1.245	52.6	134.5	
20	CDC	LN	78.0	1.250	50.5	130.0	

21	CDC	LN	78.2	1.297	46.8	199.2
22	CDC	LN	83.8	1.292	53.3	155.4
23	CDC	LN	80.6	1.222	50.5	137.6
24	CDC	LN	81.7	1.251	50.6	132.6
25	CDC	LN	84.8	1.206	50.2	136.1
26	CDC	LN	81.8	1.273	48.9	164.5
27	CDC	LN	85.2	1.249	50.0	153.9
28	CDC	LN	84.3	1.272	51.0	167.2
29	CDC	LN	82.1	1.236	50.6	147.2
30	CDC	LN	81.6	1.262	52.5	139.0
31	CDC	LN	81.3	1.249	48.8	170.6
32	CDC	LN	84.7	1.235	49.7	135.8
33	CDC	LN	88.2	1.231	64.8	146.3
34	CDC	LN	81.2	1.221	53.1	136.9
35	CDC	LN	87.2	1.251	61.0	193.3
36	CDC	LN	83.4	1.228	45.8	140.5
37	CDC	LN	85.8	1.241	59.3	150.9
38	CDC	LN	84.1	1.260	52.8	149.7
39	CDC	LN	84.6	1.196	61.2	140.6
40	CDC	LN	90.1	1.246	58.5	154.0
41	CDC	LN	87.4	1.173	61.3	117.7
42	CDC	LN	88.3	1.205	62.2	144.0
43	CDC	LN	88.4	1.233	54.0	145.3
44	CDC	LN	88.5	1.200	56.6	128.9
45	CDC	LN	87.1	1.205	60.6	160.2
46	CDC	LN	88.2	1.243	54.2	154.3
47	CDC	LN	86.5	1.229	49.9	188.3
48	CDC	LN	84.8	1.186	56.3	128.3
49	CDC	LN	86.2	1.240	47.0	171.3
50	CDC	LN	84.7	1.179	53.4	124.4
51	CDC	LN	88.0	1.208	57.9	143.6
52	CDC	LN	89.9	1.216	55.2	144.9
53	CDC	LN	89.0	1.228	58.2	143.3
54	CDC	LN	90.1	1.216	64.1	155.2
55	CDC	LN	88.3	1.222	55.1	138.6
56	CDC	LN	84.8	1.195	45.0	110.3
57	CDC	LN	87.5	1.253	58.3	160.0
58	CDC	LN	85.1	1.266	51.6	179.0
59	CDC	LN	84.2	1.182	58.7	112.4
60	CDC	LN	87.0	1.232	57.3	141.7
61	CDC	LN	89.0	1.207	49.9	162.8
62	CDC	LN	84.8	1.228	56.0	152.1
63	CDC	LN	89.1	1.262	56.3	171.6
64	CDC	LN	90.0	1.193	59.1	119.0
65	CDC	LN	89.9	1.215	58.1	126.3
66	CDC	LN	86.8	1.228	54.0	150.1
67	CDC	LN	86.2	1.207	43.1	127.5
68	CDC	LN	85.2	1.191	61.2	163.2
69	CDC	LN	87.1	1.222	50.7	127.2
70	CDC	LN	82.8	1.210	46.5	125.5
71	CDC	LN	79.6	1.240	51.0	122.8
72	CDC	LN	82.0	1.204	51.9	132.7
73	CDC	LN	85.6	1.196	56.2	128.3
74	CDC	LN	83.0	1.217	53.3	120.0
75	CDC	LN	84.5	1.185	56.5	133.5
76	CDC	LN	78.7	1.207	55.9	121.1
77	CDC	LN	79.4	1.170	58.7	109.3
78	CDC	LN	79.9	1.195	41.1	115.1
79	CDC	LN	77.6	1.155	56.4	107.8
80	CDC	LN	79.9	1.174	56.0	111.9
81	CDC	LN	75.4	1.157	55.8	111.9
82	CDC	LN	76.8	1.180	54.4	111.8
83	CDC	LN	74.6	1.158	53.2	107.0
84	CDC	LN	75.3	1.205	41.5	109.5
85	CDC	LN	71.8	1.191	46.9	105.8
86	CDC	LN	74.0	1.170	50.6	101.1
87	CDC	LN	73.4	1.170	50.4	99.1
88	CDC	LN	72.7	1.160	50.2	97.2
89	CDC	LN	72.1	1.160	50.0	95.2
90	CDC	LN	71.5	1.160	49.8	93.2
91	CDC	LN	70.9	1.160	49.6	91.3
92	CDC	LN	70.3	1.160	49.4	89.3
93	CDC	LN	69.6	1.150	49.3	87.4
94	CDC	LN	69.0	1.150	49.1	85.4
95	CDC	LN	68.4	1.150	48.9	83.4
96	CDC	LN	67.8	1.150	48.7	81.5
97	CDC	LN	67.1	1.140	48.5	79.5
98	CDC	LN	66.5	1.140	48.3	77.6
99	CDC	LN	65.9	1.140	48.1	75.6
100	CDC	LN	65.3	1.140	47.9	73.6
0	CDC	LN	7.4	1.304	3.7	12.1

1	CDC	LN	11.1	1.163	7.4	15.3
2	CDC	LN	13.3	1.158	10.1	20.4
3	CDC	LN	15.6	1.160	11.0	27.9
4	CDC	LN	18.0	1.171	12.8	29.1
5	CDC	LN	20.4	1.229	12.6	40.4
6	CDC	LN	22.5	1.194	15.9	36.7
7	CDC	LN	26.5	1.239	16.9	51.0
8	CDC	LN	30.5	1.315	19.8	60.8
9	CDC	LN	35.2	1.271	20.3	58.6
10	CDC	LN	40.6	1.304	22.7	71.2
11	CDC	LN	46.6	1.302	27.7	84.6
12	CDC	LN	50.7	1.274	27.8	93.3
13	CDC	LN	56.6	1.275	33.4	99.5
14	CDC	LN	57.2	1.248	37.7	110.0
15	CDC	LN	60.1	1.249	34.9	108.4
16	CDC	LN	61.6	1.255	40.9	113.8
17	CDC	LN	61.2	1.248	41.5	133.1
18	CDC	LN	64.6	1.281	42.4	123.6
19	CDC	LN	66.2	1.274	41.6	118.5
20	CDC	LN	67.0	1.262	41.5	122.6
21	CDC	LN	67.2	1.262	39.7	123.7
22	CDC	LN	66.8	1.273	42.0	123.5
23	CDC	LN	69.7	1.304	40.3	143.0
24	CDC	LN	70.3	1.289	47.5	144.5
25	CDC	LN	66.3	1.283	44.8	131.8
26	CDC	LN	73.0	1.281	45.3	128.9
27	CDC	LN	70.6	1.281	41.4	140.9
28	CDC	LN	74.4	1.312	44.3	142.1
29	CDC	LN	69.1	1.250	39.3	116.3
30	CDC	LN	70.6	1.305	42.1	151.5
31	CDC	LN	73.0	1.278	43.7	125.9
32	CDC	LN	72.9	1.281	41.5	139.7
33	CDC	LN	72.7	1.307	44.9	135.2
34	CDC	LN	69.8	1.230	46.6	115.3
35	CDC	LN	73.0	1.306	44.2	138.4
36	CDC	LN	73.5	1.289	44.6	150.1
37	CDC	LN	70.0	1.284	48.1	152.1
38	CDC	LN	75.6	1.295	43.7	151.7
39	CDC	LN	72.3	1.251	41.6	123.1
40	CDC	LN	72.9	1.289	45.5	137.4
41	CDC	LN	73.4	1.268	50.5	156.9
42	CDC	LN	73.7	1.270	47.1	146.1
43	CDC	LN	73.4	1.314	45.6	159.5
44	CDC	LN	75.7	1.266	49.5	153.0
45	CDC	LN	76.8	1.308	41.6	141.5
46	CDC	LN	77.5	1.304	46.6	145.8
47	CDC	LN	72.8	1.298	47.8	130.6
48	CDC	LN	74.6	1.303	44.2	166.0
49	CDC	LN	72.8	1.261	45.1	125.5
50	CDC	LN	75.2	1.292	48.4	175.7
51	CDC	LN	72.9	1.240	42.5	120.2
52	CDC	LN	74.5	1.283	45.7	146.6
53	CDC	LN	74.7	1.259	46.2	176.6
54	CDC	LN	72.4	1.281	44.3	123.1
55	CDC	LN	76.0	1.231	53.6	125.6
56	CDC	LN	77.3	1.315	45.6	134.9
57	CDC	LN	72.4	1.252	48.6	122.6
58	CDC	LN	74.5	1.267	45.0	117.7
59	CDC	LN	80.6	1.277	50.9	133.0
60	CDC	LN	75.8	1.260	51.3	128.3
61	CDC	LN	77.1	1.240	50.7	125.6
62	CDC	LN	73.3	1.198	49.7	121.1
63	CDC	LN	72.3	1.238	46.9	119.9
64	CDC	LN	75.4	1.281	41.1	132.5
65	CDC	LN	72.9	1.254	35.9	113.7
66	CDC	LN	73.1	1.242	48.4	113.3
67	CDC	LN	75.8	1.266	47.2	123.8
68	CDC	LN	73.2	1.250	39.3	120.7
69	CDC	LN	74.4	1.225	48.0	118.0
70	CDC	LN	69.0	1.188	45.9	102.8
71	CDC	LN	69.1	1.232	45.5	108.1
72	CDC	LN	69.9	1.240	40.7	103.8
73	CDC	LN	71.4	1.240	47.4	127.6
74	CDC	LN	70.4	1.277	37.4	106.4
75	CDC	LN	70.5	1.216	46.8	117.4
76	CDC	LN	69.5	1.199	48.8	101.7
77	CDC	LN	70.1	1.240	40.3	119.8
78	CDC	LN	66.4	1.211	44.1	109.8
79	CDC	LN	67.8	1.200	46.2	98.4
80	CDC	LN	62.2	1.255	41.2	121.4
81	CDC	LN	65.4	1.184	42.7	91.4

82	CDC	LN	64.8	1.260	40.6	120.0		
83	CDC	LN	62.9	1.196	44.7	101.2		
84	CDC	LN	62.2	1.216	43.5	108.4		
85	CDC	LN	61.5	1.209	42.3	93.2		
86	CDC	LN	62.4	1.210	41.9	101.2		
87	CDC	LN	61.8	1.210	41.7	100.3		
88	CDC	LN	61.3	1.210	41.5	99.4		
89	CDC	LN	60.7	1.210	41.3	98.4		
90	CDC	LN	60.2	1.210	41.1	97.5		
91	CDC	LN	59.6	1.200	40.9	96.6		
92	CDC	LN	59.1	1.200	40.7	95.7		
93	CDC	LN	58.5	1.200	40.5	94.8		
94	CDC	LN	58.0	1.200	40.3	93.9		
95	CDC	LN	57.4	1.200	40.1	93.0		
96	CDC	LN	56.9	1.200	39.9	92.1		
97	CDC	LN	56.3	1.200	39.7	91.2		
98	CDC	LN	55.8	1.190	39.5	90.3		
99	CDC	LN	55.2	1.190	39.3	89.4		
100	CDC	LN	54.7	1.190	39.1	88.5		
Males age 0-100 then females age 0-100 (last revised 6-11-98)								
Regression equation Estimate for RMR								
Age	Source	DV	IV	Slope	Interc	SE	Units	med. wgt
0	R47g	BMR	BM	0.244	-0.127	0.290	MJ/day	2.1
1	R47g	BMR	BM	0.244	-0.127	0.290	MJ/day	2.7
2	R47g	BMR	BM	0.244	-0.127	0.280	MJ/day	3.2
3	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	3.6
4	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	3.8
5	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	4.0
6	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	4.3
7	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	4.5
8	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	4.8
9	R47h	BMR	BM	0.095	2.110	0.280	MJ/day	5.0
10	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	5.4
11	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	5.7
12	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	6.0
13	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	6.3
14	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	6.9
15	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	7.2
16	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	7.7
17	R47i	BMR	BM	0.074	2.754	0.440	MJ/day	7.6
18	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.3
19	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.4
20	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
21	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
22	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
23	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
24	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
25	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
26	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
27	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
28	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
29	R47j	BMR	BM	0.063	2.896	0.640	MJ/day	7.7
30	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
31	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
32	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
33	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
34	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
35	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
36	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
37	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
38	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
39	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
40	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
41	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
42	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
43	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
44	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
45	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
46	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
47	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
48	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
49	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
50	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
51	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
52	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
53	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
54	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
55	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
56	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
57	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
58	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3

59	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
60	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
61	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
62	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
63	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
64	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
65	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
66	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
67	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
68	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
69	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
70	R47k	BMR	BM	0.048	3.653	0.700	MJ/day	7.3
71	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
72	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
73	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
74	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
75	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
76	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
77	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
78	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
79	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
80	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
81	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
82	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
83	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
84	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
85	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
86	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
87	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
88	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
89	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
90	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
91	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
92	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
93	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
94	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
95	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
96	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
97	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
98	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
99	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
100	R47l	BMR	BM	0.049	2.459	0.690	MJ/day	6.2
0	R47a	BMR	BM	0.244	-0.130	0.250	MJ/day	2.0
1	R47a	BMR	BM	0.244	-0.130	0.250	MJ/day	2.5
2	R47a	BMR	BM	0.244	-0.130	0.250	MJ/day	3.0
3	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	3.3
4	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	3.5
5	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	3.7
6	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	3.9
7	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	4.1
8	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	4.4
9	R47b	BMR	BM	0.085	2.033	0.290	MJ/day	4.7
10	R47c	BMR	BM	0.056	2.898	0.470	MJ/day	4.9
11	R47c	BMR	BM	0.056	2.898	0.470	MJ/day	5.2



39	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
40	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
41	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
42	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
43	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
44	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
45	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
46	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
47	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
48	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
49	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
50	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
51	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
52	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
53	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
54	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
55	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
56	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
57	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
58	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
59	R47e	BMR	BM	0.034	3.538	0.470	MJ/day	5.7
60	R47e	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
61	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
62	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
63	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
64	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
65	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
66	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
67	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
68	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
69	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
70	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
71	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
72	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
73	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
74	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
75	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
76	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
77	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
78	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
79	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
80	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
81	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
82	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
83	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
84	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
85	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
86	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
87	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
88	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
89	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
90	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
91	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
92	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
93	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
94	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
95	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
96	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
97	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
98	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
99	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
100	R47f	BMR	BM	0.038	2.755	0.450	MJ/day	5.2
Males age 0-100 then females age 0-100 (HG last revised 12-20-05)								
Blood Volume factor and Hemoglobin content								
Age	BLDFAC	HGMN	HGSTD					
0	17.0	11.9	1.0					
1	17.0	12.2	1.0					
2	17.0	12.4	0.8					
3	17.0	12.7	0.8					
4	17.0	12.8	0.8					
5	17.0	13.0	0.9					
6	17.0	13.2	0.9					
7	17.0	13.5	0.8					
8	17.0	13.4	0.8					
9	17.0	13.6	1.0					
10	17.0	13.6	0.9					
11	17.0	13.7	0.7					
12	17.0	14.0	1.0					
13	17.0	14.3	1.0					
14	17.0	14.7	1.0					
15	17.0	15.1	1.0					

16	17.0	15.4	1.0
17	17.0	15.5	1.0
18	17.0	15.7	1.0
19	20.4	15.8	0.8
20	20.4	15.8	0.9
21	20.4	15.7	0.9
22	20.4	15.7	0.9
23	20.4	15.7	0.9
24	20.4	15.7	0.9
25	20.4	15.7	0.9
26	20.4	15.7	1.0
27	20.4	15.7	1.0
28	20.4	15.7	1.0
29	20.4	15.7	1.0
30	20.4	15.7	1.0
31	20.4	15.6	1.0
32	20.4	15.6	1.0
33	20.4	15.6	1.0
34	20.4	15.6	1.0
35	20.4	15.6	1.0
36	20.4	15.4	1.0
37	20.4	15.4	1.0
38	20.4	15.4	1.0
39	20.4	15.4	1.0
40	20.4	15.4	1.0
41	20.4	15.4	1.1
42	20.4	15.4	1.1
43	20.4	15.4	1.1
44	20.4	15.4	1.1
45	20.4	15.4	1.1
46	20.4	15.4	1.1
47	20.4	15.4	1.1
48	20.4	15.4	1.1
49	20.4	15.4	1.1
50	20.4	15.4	1.1
51	20.4	15.3	1.1
52	20.4	15.3	1.1
53	20.4	15.3	1.1
54	20.4	15.3	1.1
55	20.4	15.3	1.1
56	20.4	15.3	1.0
57	20.4	15.3	1.0
58	20.4	15.3	1.0
59	20.4	15.3	1.0
60	20.4	15.3	1.0
61	20.4	15.1	1.2
62	20.4	15.1	1.2
63	20.4	15.1	1.2
64	20.4	15.1	1.2
65	20.4	15.1	1.2
66	20.4	15.0	1.2
67	20.4	15.0	1.2
68	20.4	15.0	1.2
69	20.4	15.0	1.2
70	20.4	15.0	1.2
71	20.4	14.7	1.4
72	20.4	14.7	1.4
73	20.4	14.7	1.4
74	20.4	14.7	1.4
75	20.4	14.7	1.4
76	20.4	14.5	1.5
77	20.4	14.5	1.5
78	20.4	14.5	1.5
79	20.4	14.5	1.5
80	20.4	14.5	1.5
81	20.4	14.5	1.4
82	20.4	14.5	1.4
83	20.4	14.5	1.4
84	20.4	14.5	1.4
85	20.4	14.5	1.4
86	20.4	14.0	1.8
87	20.4	14.0	1.8
88	20.4	14.0	1.8
89	20.4	14.0	1.8
90	20.4	14.0	1.8
91	20.4	13.8	1.8
92	20.4	13.8	1.8
93	20.4	13.8	1.8
94	20.4	13.8	1.8
95	20.4	13.8	1.8
96	20.4	13.5	1.8

97	20.4	13.5	1.8
98	20.4	13.5	1.8
99	20.4	13.5	1.8
100	20.4	13.5	1.8
0	17.0	12.2	0.7
1	17.0	12.3	0.7
2	17.0	12.6	0.8
3	17.0	12.5	1.0
4	17.0	12.8	0.8
5	17.0	12.9	1.0
6	17.0	13.0	0.8
7	17.0	13.1	0.8
8	17.0	13.3	0.8
9	17.0	13.4	0.8
10	17.0	13.6	1.0
11	17.0	13.5	0.9
12	17.0	13.6	0.9
13	17.0	13.5	1.0
14	17.0	13.6	1.0
15	17.0	13.5	0.9
16	17.0	13.5	1.1
17	17.0	13.5	1.1
18	17.0	13.5	1.2
19	14.6	13.4	1.1
20	14.6	13.5	1.1
21	14.6	13.5	1.2
22	14.6	13.5	1.2
23	14.6	13.5	1.2
24	14.6	13.5	1.2
25	14.6	13.5	1.2
26	14.6	13.3	1.1
27	14.6	13.3	1.1
28	14.6	13.3	1.1
29	14.6	13.3	1.1
30	14.6	13.3	1.1
31	14.6	13.3	1.1
32	14.6	13.3	1.1
33	14.6	13.3	1.1
34	14.6	13.3	1.1
35	14.6	13.3	1.1
36	14.6	13.5	1.2
37	14.6	13.5	1.2
38	14.6	13.5	1.2
39	14.6	13.5	1.2
40	14.6	13.5	1.2
41	14.6	13.5	1.3
42	14.6	13.5	1.3
43	14.6	13.5	1.3
44	14.6	13.5	1.3
45	14.6	13.5	1.3
46	14.6	13.6	1.2
47	14.6	13.6	1.2
48	14.6	13.6	1.2
49	14.6	13.6	1.2
50	14.6	13.6	1.2
51	14.6	13.7	1.1
52	14.6	13.7	1.1
53	14.6	13.7	1.1
54	14.6	13.7	1.1
55	14.6	13.7	1.1
56	14.6	13.8	1.2
57	14.6	13.8	1.2
58	14.6	13.8	1.2
59	14.6	13.8	1.2
60	14.6	13.8	1.2
61	14.6	13.8	1.1
62	14.6	13.8	1.1
63	14.6	13.8	1.1
64	14.6	13.8	1.1
65	14.6	13.8	1.1
66	14.6	13.8	1.1
67	14.6	13.8	1.1
68	14.6	13.8	1.1
69	14.6	13.8	1.1
70	14.6	13.8	1.1
71	14.6	13.8	1.1
72	14.6	13.8	1.1
73	14.6	13.8	1.1
74	14.6	13.8	1.1
75	14.6	13.8	1.1
76	14.6	13.8	1.3

77	14.6	13.8	1.3
78	14.6	13.8	1.3
79	14.6	13.8	1.3
80	14.6	13.8	1.3
81	14.6	13.6	1.2
82	14.6	13.6	1.2
83	14.6	13.6	1.2
84	14.6	13.6	1.2
85	14.6	13.6	1.2
86	14.6	13.4	1.6
87	14.6	13.4	1.6
88	14.6	13.4	1.6
89	14.6	13.4	1.6
90	14.6	13.4	1.6
91	14.6	13.2	1.6
92	14.6	13.2	1.6
93	14.6	13.2	1.6
94	14.6	13.2	1.6
95	14.6	13.2	1.6
96	14.6	13.0	1.6
97	14.6	13.0	1.6
98	14.6	13.0	1.6
99	14.6	13.0	1.6
100	14.6	13.0	1.6

## Appendix E. All Derived Physiological Parameters

Table 7. Nv02max Values for Males: Raw and Smoothed Fits.

Age	MALES					
	MEAN	MEAN	SD	SD	MIN	MAX
	Raw Fit Values	Smoothed Fit Values	Raw Fit Values	Smoothed Fit Values	(1st Pctl)	(99th Pctl)
0.00		48.25		1.71	44.26	52.24
1.00		48.56		2.04	43.82	53.30
2.00		48.88		2.36	43.39	54.37
3.00		49.19		2.68	42.95	55.43
4.00		49.50		3.01	42.51	56.50
5.00		49.82		3.33	42.07	57.56
6.00		50.13		3.65	41.63	58.63
7.00	51.37	50.44	2.86	3.98	41.19	59.70
8.00	53.46	50.76	2.86	4.30	40.76	60.76
9.00	51.10	51.07	6.26	4.62	40.32	61.83
10.00	51.28	51.39	5.87	4.95	39.88	62.89
11.00	50.13	51.70	6.04	5.27	39.44	63.96
12.00	50.70	52.01	7.13	5.59	39.00	65.02
13.00	52.74	52.33	5.13	5.92	38.56	66.09
14.00	52.93	52.64	4.72	6.24	38.13	67.16
15.00	53.18	52.95	5.57	6.56	37.69	68.22
16.00	49.46	53.27	6.06	6.89	37.25	69.29
17.00	49.77	53.58	6.93	7.21	36.81	70.35
18.00	51.98	53.90	7.48	7.53	36.37	71.42
19.00	59.88	54.21	9.65	7.86	35.93	72.48
20.00	56.80	54.52	9.31	8.18	35.50	73.55
21.00	54.60	54.23	8.17	8.50	34.45	74.01
22.00	54.61	53.42	8.40	8.83	32.89	73.95
23.00	53.76	52.63	9.60	9.15	31.35	73.91
24.00	57.23	51.84	10.44	9.47	29.81	73.88
25.00	50.90	51.07	10.63	9.80	28.29	73.86
26.00	50.06	50.31	9.66	10.69	25.45	75.17
27.00	46.38	49.56	8.95	10.49	25.16	73.96
28.00	48.32	48.82	10.47	10.29	24.88	72.77
29.00	51.02	48.10	12.31	10.10	24.60	71.59
30.00	45.59	47.38	9.91	9.92	24.32	70.44
31.00	45.86	46.67	10.14	9.73	24.04	69.31
32.00	46.90	45.98	11.03	9.55	23.76	68.20
33.00	42.08	45.30	9.08	9.38	23.49	67.10
34.00	44.48	44.63	8.95	9.20	23.22	66.03
35.00	38.63	43.97	10.10	9.03	22.95	64.98
36.00	42.63	43.32	7.11	8.87	22.69	63.95
37.00	40.41	42.68	8.81	8.71	22.42	62.94
38.00	39.70	42.05	6.22	8.55	22.16	61.94

39.00	40.62	41.44	8.01	8.40	21.90	60.97
40.00	39.02	40.83	8.28	8.25	21.64	60.02
41.00	39.72	40.24	9.96	8.10	21.39	59.09
42.00	35.58	39.66	9.85	7.96	21.14	58.18
43.00	39.98	39.09	6.46	7.82	20.89	57.28
44.00	38.65	38.53	7.60	7.69	20.64	56.41
45.00	40.15	37.98	6.59	7.56	20.40	55.56
46.00	40.67	37.44	7.89	7.43	20.16	54.73
47.00	41.51	36.92	9.68	7.31	19.91	53.92
48.00	38.92	36.40	10.52	7.19	19.68	53.12
49.00	34.65	35.90	7.68	7.07	19.44	52.35
50.00	33.85	35.41	6.49	6.96	19.21	51.60
51.00	32.52	34.92	4.51	6.86	18.98	50.87
52.00	36.31	34.45	7.08	6.75	18.75	50.16
53.00	36.23	34.00	7.31	6.65	18.52	49.47
54.00	33.91	33.55	5.29	6.56	18.30	48.79
55.00	33.40	33.11	5.08	6.46	18.08	48.14
56.00	31.68	32.69	6.52	6.37	17.86	47.51
57.00	32.47	32.27	6.33	6.29	17.64	46.90
58.00	33.24	31.87	6.32	6.21	17.43	46.31
59.00	33.05	31.48	6.45	6.13	17.22	45.74
60.00	29.02	31.10	3.59	6.06	17.01	45.19
61.00	31.68	30.73	6.95	5.99	16.80	44.66
62.00	29.72	30.37	5.09	5.92	16.60	44.14
63.00	30.90	30.02	8.06	5.86	16.40	43.65
64.00	30.65	29.69	5.32	5.80	16.20	43.18
65.00	29.86	29.36	6.90	5.75	16.00	42.73
66.00	28.60	29.05	5.51	5.70	15.80	42.30
67.00	29.47	28.75	5.25	5.65	15.61	41.89
68.00	28.95	28.46	5.63	5.61	15.42	41.50
69.00	31.13	28.18	6.43	5.57	15.23	41.13
70.00	27.12	27.91	3.44	5.53	15.05	40.78
71.00		27.65		5.50	14.86	40.45
72.00	28.56	27.41	5.71	5.47	14.68	40.13
73.00	27.62	27.17	5.03	5.45	14.50	39.84
74.00	27.84	26.95	6.27	5.43	14.33	39.57
75.00		26.74		5.41	14.15	39.32
76.00	25.05	26.54	6.68	5.40	13.98	39.09
77.00	23.74	26.35	4.99	5.39	13.81	38.88
78.00		26.17		5.38	13.65	38.69
79.00		26.00		5.38	13.48	38.52
80.00		25.84		5.39	13.32	38.37
81.00	23.68	25.70	5.88	5.39	13.17	38.22
82.00		25.57		5.39	13.04	38.09
83.00		25.44		5.39	12.92	37.97
84.00		25.33		5.39	12.81	37.86
85.00		25.23		5.39	12.70	37.76
86.00		25.14		5.39	12.62	37.67
87.00		25.06		5.39	12.54	37.59
88.00		25.00		5.39	12.47	37.52

89.00	24.94	5.39	12.42	37.47
90.00	24.90	5.39	12.37	37.42
91.00	24.86	5.39	12.34	37.39
92.00	24.84	5.39	12.32	37.37
93.00	24.83	5.39	12.31	37.36
94.00	24.83	5.39	12.31	37.36
95.00	24.84	5.39	12.32	37.37
96.00	24.87	5.39	12.34	37.39
97.00	24.90	5.39	12.37	37.43
98.00	24.95	5.39	12.42	37.47
99.00	25.00	5.39	12.48	37.53
100.00	25.07	5.39	12.54	37.60

**Table 8. Nv02max Values for Females: Raw and Smoothed Fits**

Age	FEMALES					MAX (99th Pctl)
	MEAN	MEAN	SD	SD	MIN	
	Raw Fit Values	Smoothed Fit Values	Raw Fit Values	Smoothed Fit Values	(1st Pctl)	
0.00		35.88		5.90	22.15	49.61
1.00		36.21		6.00	22.26	50.17
2.00		36.54		6.09	22.37	50.72
3.00		36.87		6.19	22.48	51.27
4.00		37.20		6.28	22.59	51.82
5.00		37.54		6.38	22.70	52.37
6.00		37.87		6.47	22.81	52.93
7.00		38.20		6.57	22.92	53.48
8.00		38.53		6.66	23.03	54.03
9.00	30.56	38.86	9.90	6.76	23.14	54.58
10.00	45.53	39.19	6.27	6.85	23.25	55.13
11.00	43.88	39.52	5.26	6.95	23.36	55.69
12.00	43.03	39.85	6.88	7.04	23.47	56.24
13.00	42.00	40.18	7.48	7.14	23.58	56.79
14.00	37.57	40.51	6.79	7.23	23.69	57.34
15.00	39.57	40.85	5.43	7.33	23.80	57.89
16.00	35.51	41.18	5.36	7.42	23.91	58.45
17.00	38.22	41.51	8.86	7.52	24.02	59.00
18.00	45.67	41.84	8.53	7.61	24.13	59.55
19.00	43.87	42.17	7.83	7.71	24.24	60.10
20.00	42.52	42.50	7.69	7.80	24.35	60.65
21.00	43.45	42.10	8.51	7.90	23.73	60.48
22.00	43.22	41.45	7.59	7.99	22.86	60.05
23.00	43.87	40.81	10.13	8.09	21.99	59.63
24.00	41.14	40.18	8.22	8.18	21.14	59.22
25.00	38.20	39.56	7.09	8.28	20.30	58.82
26.00	38.98	38.95	11.12	8.37	19.47	58.43
27.00	34.94	38.35	8.02	8.35	18.93	57.76

28.00	38.08	37.75	9.80	8.14	18.82	56.69
29.00	35.13	37.17	6.30	7.94	18.71	55.64
30.00	35.79	36.60	9.10	7.74	18.59	54.61
31.00	35.22	36.04	7.89	7.55	18.47	53.60
32.00	36.06	35.48	6.93	7.37	18.35	52.62
33.00	34.95	34.94	9.51	7.19	18.23	51.66
34.00	38.13	34.41	7.08	7.01	18.10	50.72
35.00	32.63	33.88	4.88	6.84	17.97	49.80
36.00	33.59	33.37	6.17	6.68	17.83	48.91
37.00	31.11	32.87	5.13	6.52	17.70	48.04
38.00	33.12	32.37	3.76	6.37	17.55	47.19
39.00	28.80	31.89	5.14	6.22	17.41	46.37
40.00	29.06	31.42	5.74	6.08	17.26	45.57
41.00	29.54	30.95	8.00	5.95	17.11	44.79
42.00	30.90	30.50	6.82	5.82	16.96	44.03
43.00	27.60	30.05	4.32	5.70	16.80	43.30
44.00	29.33	29.62	4.17	5.58	16.64	42.59
45.00	28.53	29.19	4.90	5.47	16.48	41.90
46.00	29.41	28.78	6.00	5.36	16.31	41.24
47.00	30.49	28.37	7.15	5.26	16.14	40.60
48.00	27.92	27.97	6.05	5.16	15.97	39.98
49.00	26.48	27.59	5.36	5.07	15.79	39.38
50.00	29.80	27.21	5.13	4.99	15.61	38.81
51.00	27.49	26.84	3.66	4.91	15.43	38.26
52.00	28.95	26.49	5.83	4.83	15.24	37.73
53.00	23.77	26.14	3.56	4.77	15.06	37.23
54.00	25.34	25.80	4.61	4.70	14.86	36.74
55.00	26.05	25.48	4.29	4.65	14.67	36.29
56.00	26.30	25.16	4.91	4.60	14.47	35.85
57.00	26.06	24.85	4.07	4.55	14.27	35.44
58.00		24.55		4.51	14.06	35.05
59.00		24.27		4.48	13.85	34.68
60.00	23.67	23.99	4.81	4.45	13.64	34.33
61.00	24.70	23.72	4.65	4.43	13.43	34.01
62.00	21.63	23.46	4.99	4.41	13.21	33.71
63.00	26.64	23.21	7.38	4.40	12.99	33.44
64.00	23.84	22.97	3.77	4.39	12.76	33.18
65.00	20.26	22.74	3.83	4.39	12.53	32.95
66.00	20.38	22.52		4.39	12.31	32.73
67.00	20.49	22.31		4.39	12.10	32.52
68.00	22.05	22.11	3.90	4.39	11.90	32.32
69.00	21.92	21.92	4.56	4.39	11.71	32.13
70.00	20.38	21.74	4.15	4.39	11.53	31.95
71.00	25.30	21.57		4.39	11.36	31.78
72.00	21.21	21.41		4.39	11.20	31.62
73.00	20.46	21.26	4.59	4.39	11.05	31.47
74.00	20.63	21.12		4.39	10.91	31.33
75.00	20.60	20.99	3.80	4.39	10.78	31.20
76.00	20.91	20.87		4.39	10.66	31.08
77.00	22.27	20.76		4.39	10.55	30.97



78.00	19.93	20.65	4.39	10.44	30.86
79.00	22.80	20.56	4.39	10.35	30.77
80.00	23.19	20.48	4.39	10.27	30.69
81.00	19.29	20.41	4.39	10.20	30.62
82.00	13.44	20.34	4.39	10.13	30.55
83.00	28.03	20.29	4.39	10.08	30.50
84.00	17.00	20.25	4.39	10.04	30.46
85.00	18.69	20.21	4.39	10.00	30.42
86.00	18.18	20.19	4.39	9.98	30.40
87.00		20.18	4.39	9.97	30.39
88.00	27.15	20.17	4.39	9.96	30.38
89.00		20.18	4.39	9.97	30.39
90.00	18.18	20.20	4.39	9.98	30.41
91.00		20.22	4.39	10.01	30.43
92.00		20.26	4.39	10.05	30.47
93.00		20.30	4.39	10.09	30.51
94.00		20.36	4.39	10.15	30.57
95.00		20.42	4.39	10.21	30.63
96.00		20.50	4.39	10.28	30.71
97.00		20.58	4.39	10.37	30.79
98.00		20.67	4.39	10.46	30.88
99.00		20.78	4.39	10.57	30.99
100.00		20.89	4.39	10.68	31.10

**Table 3. Body Mass Raw Fits.**

Age	MALES				FEMALES			
	Geometric Mean	GSD	Min	Max	Geometric Mean	GSD	Min	Max
0.00	7.767	1.301	3.6	11.8	7.429	1.304	3.7	12.1
1.00	11.440	1.143	8.2	16.1	11.119	1.163	7.4	15.3
2.00	13.932	1.146	9.8	20.9	13.258	1.158	10.1	20.4
3.00	15.967	1.154	11.7	23.7	15.587	1.160	11	27.9
4.00	18.475	1.165	11.1	28.1	18.005	1.171	12.8	29.1
5.00	21.618	1.234	13.7	42.4	20.353	1.229	12.6	40.4
6.00	23.142	1.213	16.1	41.1	22.454	1.194	15.9	36.7
7.00	27.072	1.216	19.3	46.8	26.483	1.239	16.9	51
8.00	31.651	1.302	19.1	66.2	30.534	1.315	19.8	60.8
9.00	34.656	1.265	24	69.9	35.235	1.271	20.3	58.6
10.00	38.329	1.280	24.3	72.9	40.550	1.304	22.7	71.2
11.00	44.149	1.308	26.2	83.8	46.579	1.302	27.7	84.6
12.00	47.988	1.315	27.7	94.8	50.673	1.274	27.8	93.3
13.00	55.364	1.340	27.7	106.6	56.649	1.275	33.4	99.5
14.00	62.832	1.293	35.7	121	57.214	1.248	37.7	110
15.00	67.650	1.255	41.5	117.9	60.091	1.249	34.9	108.4
16.00	72.460	1.267	45.8	139.1	61.582	1.255	40.9	113.8
17.00	73.081	1.248	49.9	136.6	61.229	1.248	41.5	133.1

18.00	75.060	1.243	51.2	144.2	64.591	1.281	42.4	123.6
19.00	77.182	1.245	52.6	134.5	66.156	1.274	41.6	118.5
20.00	77.952	1.250	50.5	130	66.981	1.262	41.5	122.6
21.00	78.239	1.297	46.8	199.2	67.218	1.262	39.7	123.7
22.00	83.845	1.292	53.3	155.4	66.823	1.273	42	123.5
23.00	80.607	1.222	50.5	137.6	69.721	1.304	40.3	143
24.00	81.706	1.251	50.6	132.6	70.284	1.289	47.5	144.5
25.00	84.818	1.206	50.2	136.1	66.300	1.283	44.8	131.8
26.00	81.812	1.273	48.9	164.5	72.973	1.281	45.3	128.9
27.00	85.166	1.249	50	153.9	70.604	1.281	41.4	140.9
28.00	84.321	1.272	51	167.2	74.363	1.312	44.3	142.1
29.00	82.144	1.236	50.6	147.2	69.110	1.250	39.3	116.3
30.00	81.581	1.262	52.5	139	70.616	1.305	42.1	151.5
31.00	81.275	1.249	48.8	170.6	73.039	1.278	43.7	125.9
32.00	84.715	1.235	49.7	135.8	72.938	1.281	41.5	139.7
33.00	88.188	1.231	64.8	146.3	72.710	1.307	44.9	135.2
34.00	81.163	1.221	53.1	136.9	69.773	1.230	46.6	115.3
35.00	87.192	1.251	61	193.3	73.044	1.306	44.2	138.4
36.00	83.404	1.228	45.8	140.5	73.547	1.289	44.6	150.1
37.00	85.759	1.241	59.3	150.9	70.019	1.284	48.1	152.1
38.00	84.132	1.260	52.8	149.7	75.587	1.295	43.7	151.7
39.00	84.611	1.196	61.2	140.6	72.295	1.251	41.6	123.1
40.00	90.071	1.246	58.5	154	72.888	1.289	45.5	137.4
41.00	87.425	1.173	61.3	117.7	73.363	1.268	50.5	156.9
42.00	88.290	1.205	62.2	144	73.697	1.270	47.1	146.1
43.00	88.423	1.233	54	145.3	73.438	1.314	45.6	159.5
44.00	88.528	1.200	56.6	128.9	75.742	1.266	49.5	153
45.00	87.102	1.205	60.6	160.2	76.795	1.308	41.6	141.5
46.00	88.157	1.243	54.2	154.3	77.544	1.304	46.6	145.8
47.00	86.547	1.229	49.9	188.3	72.849	1.298	47.8	130.6
48.00	84.793	1.186	56.3	128.3	74.646	1.303	44.2	166
49.00	86.235	1.240	47	171.3	72.844	1.261	45.1	125.54
50.00	84.659	1.179	53.4	124.4	75.217	1.292	48.4	175.7
51.00	87.975	1.208	57.9	143.6	72.941	1.240	42.5	120.2
52.00	89.886	1.216	55.2	144.9	74.472	1.283	45.7	146.6
53.00	89.012	1.228	58.2	143.3	74.733	1.259	46.2	176.6
54.00	90.098	1.216	64.1	155.2	72.413	1.281	44.3	123.1
55.00	88.268	1.222	55.1	138.6	75.951	1.231	53.6	125.6
56.00	84.796	1.195	45	110.3	77.322	1.315	45.6	134.9
57.00	87.501	1.253	58.3	160	72.378	1.252	48.6	122.6
58.00	85.116	1.266	51.6	179	74.548	1.267	45	117.7
59.00	84.190	1.182	58.7	112.4	80.638	1.277	50.9	133
60.00	87.044	1.232	57.3	141.7	75.777	1.260	51.3	128.3
61.00	89.007	1.207	49.9	162.8	77.121	1.240	50.7	125.6
62.00	84.788	1.228	56.04	152.1	73.347	1.198	49.7	121.1
63.00	89.137	1.262	56.3	171.6	72.308	1.238	46.9	119.9
64.00	89.974	1.193	59.1	119	75.440	1.281	41.1	132.5
65.00	89.891	1.215	58.1	126.3	72.910	1.254	35.9	113.7
66.00	86.814	1.228	54	150.1	73.101	1.242	48.4	113.3
67.00	86.207	1.207	43.1	127.5	75.835	1.266	47.2	123.8

68.00	85.172	1.191	61.2	163.2	73.207	1.250	39.3	120.7
69.00	87.116	1.222	50.7	127.2	74.368	1.225	48	118
70.00	82.775	1.210	46.5	125.5	68.977	1.188	45.9	102.8
71.00	79.630	1.240	51	122.8	69.083	1.232	45.5	108.1
72.00	82.011	1.204	51.9	132.7	69.898	1.240	40.7	103.8
73.00	85.590	1.196	56.2	128.3	71.360	1.240	47.4	127.6
74.00	83.001	1.217	53.3	120	70.410	1.277	37.4	106.4
75.00	84.465	1.185	56.5	133.5	70.526	1.216	46.8	117.4
76.00	78.733	1.207	55.9	121.1	69.549	1.199	48.8	101.7
77.00	79.376	1.170	58.7	109.3	70.128	1.240	40.3	119.8
78.00	79.909	1.195	41.1	115.1	66.375	1.211	44.1	109.8
79.00	77.629	1.155	56.4	107.8	67.780	1.200	46.2	98.4
80.00	79.866	1.174	56	111.9	62.214	1.255	41.2	121.4
81.00	75.405	1.157	55.8	111.9	65.397	1.184	42.7	91.4
82.00	76.798	1.180	54.4	111.8	64.755	1.260	40.6	120
83.00	74.611	1.158	53.2	107	62.886	1.196	44.7	101.2
84.00	75.325	1.205	41.5	109.5	62.215	1.216	43.5	108.4
85.00	71.776	1.191	46.9	105.8	61.453	1.209	42.3	93.2
86.00	73.986494	1.17	50.57	101.07	62.400356	1.21	41.85	101.16
87.00	73.364276	1.17	50.38	99.113	61.847614	1.21	41.66	100.26
88.00	72.742058	1.16	50.19	97.154	61.294872	1.21	41.47	99.351
89.00	72.11984	1.16	50	95.194	60.74213	1.21	41.27	98.445
90.00	71.497622	1.16	49.81	93.235	60.189388	1.21	41.08	97.538
91.00	70.875404	1.16	49.62	91.276	59.636646	1.2	40.88	96.632
92.00	70.253186	1.16	49.44	89.317	59.083904	1.2	40.69	95.726
93.00	69.630968	1.15	49.25	87.358	58.531162	1.2	40.49	94.82
94.00	69.00875	1.15	49.06	85.399	57.97842	1.2	40.3	93.914
95.00	68.386532	1.15	48.87	83.44	57.425678	1.2	40.1	93.008
96.00	67.764314	1.15	48.68	81.481	56.872936	1.2	39.91	92.102
97.00	67.142096	1.14	48.49	79.522	56.320194	1.2	39.71	91.195
98.00	66.519878	1.14	48.3	77.563	55.767452	1.19	39.52	90.289
99.00	65.89766	1.14	48.11	75.604	55.21471	1.19	39.32	89.383
100.00	65.275442	1.14	47.92	73.645	54.661968	1.19	39.13	88.477

\*\*Dark shading (age 86+) designates linear forecast.

**Table 4. Body Mass Smoothed Fits (5-Year Running Averages).**

Age	MALES				FEMALES			
	Geometric Mean	GSD	Min	Max	Geometric Mean	GSD	Min	Max
0.00	7.767209794	1.300901	3.6	11.8	7.428916349	1.304229	3.7	12.1
1.00	11.44008024	1.143324	8.2	16.1	11.11947416	1.162608	7.4	15.3
2.00	13.93227373	1.145566	9.8	20.9	13.25797158	1.158434	10.1	20.4
3.00	15.96664726	1.153689	11.7	23.7	15.58684049	1.159883	11	27.9
4.00	18.47458493	1.164972	11.1	28.1	18.00506307	1.17108	12.8	29.1
5.00	21.61756114	1.233822	13.7	42.4	20.35285099	1.229237	12.6	40.4

6.00	23.14243627	1.213499	16.1	41.1	22.45431948	1.194119	15.9	36.7
7.00	27.07246068	1.215834	19.3	46.8	26.48323788	1.23892	16.9	51
8.00	31.6505017	1.301873	19.1	66.2	30.53391399	1.315137	19.8	60.8
9.00	34.65600448	1.265317	24	69.9	35.23472141	1.271364	20.3	58.6
10.00	38.32939135	1.279707	24.3	72.9	40.54996835	1.303997	22.7	71.2
11.00	44.14863459	1.30753	26.2	83.8	46.57910267	1.302182	27.7	84.6
12.00	47.98795299	1.314848	27.7	94.8	50.67329267	1.273946	27.8	93.3
13.00	55.36374737	1.33952	27.7	106.6	56.64881107	1.275455	33.4	99.5
14.00	62.83159173	1.292533	35.7	121	57.21362103	1.24795	37.7	110
15.00	67.65031426	1.254999	41.5	117.9	60.09135575	1.24897	34.9	108.4
16.00	72.45980541	1.267468	45.8	139.1	61.58214656	1.255162	40.9	113.8
17.00	73.08089659	1.248405	49.9	136.6	61.22931022	1.248057	41.5	133.1
18.00	75.06031573	1.243204	51.2	144.2	64.59054256	1.281298	42.4	123.6
19.00	77.18236513	1.244928	52.6	134.5	66.15556407	1.274083	41.6	118.5
20.00	77.95205826	1.250326	50.5	130	66.98146906	1.261822	41.5	122.6
21.00	78.45564692	1.265585	50.88	152.66	66.35375002	1.270386	41.44	122.38
22.00	79.56489519	1.261251	50.74	151.34	67.37976393	1.274844	41.02	126.26
23.00	80.46958232	1.262527	50.34	150.96	68.20537834	1.277813	42.2	131.46
24.00	81.84267254	1.253588	50.28	152.18	68.06901959	1.282127	42.86	133.3
25.00	82.55729313	1.248802	50.7	145.24	69.21992781	1.285979	43.98	134.34
26.00	82.82151847	1.240222	50.04	144.94	69.97607936	1.287735	43.86	137.82
27.00	83.56439112	1.250399	50.14	150.86	70.90453453	1.289413	44.66	137.64
28.00	83.65195203	1.247428	50.14	153.78	70.66975978	1.28161	43.02	132
29.00	83.00459482	1.258753	50.6	154.36	71.53295767	1.285847	42.48	135.94
30.00	82.89721864	1.253937	50.58	155.58	71.54621552	1.285108	42.16	135.34
31.00	82.80701235	1.251132	50.52	151.96	72.01313142	1.28495	42.18	135.1
32.00	83.58034187	1.242848	53.28	147.78	71.6826276	1.283915	42.3	133.72
33.00	83.38418057	1.239735	53.78	145.72	71.81523165	1.280002	43.76	133.52
34.00	84.50647805	1.237533	55.48	156.58	72.30094254	1.280205	44.18	130.9
35.00	84.9321819	1.233184	54.88	150.56	72.40264379	1.282492	44.36	135.74
36.00	85.14102649	1.234298	56.8	153.58	71.81884258	1.283151	45.68	138.22
37.00	84.32994666	1.240177	54.4	154.26	72.3941641	1.280709	45.44	141.52
38.00	85.01958212	1.235131	56.02	155	72.89859355	1.284821	44.44	143.08
39.00	85.59524544	1.233983	55.52	147.14	72.86733489	1.281407	44.7	142.88
40.00	86.39949423	1.223065	58.62	142.58	72.830387	1.277165	45.88	144.24
41.00	86.90564401	1.215924	59.2	141.2	73.56585153	1.274483	45.68	143.04
42.00	87.76379051	1.210495	59.44	140.32	73.13604869	1.278433	46.06	144.6
43.00	88.54719729	1.211458	58.52	137.98	73.82543503	1.281514	47.64	150.58
44.00	87.95342484	1.203416	58.94	139.22	74.60684165	1.285327	46.86	151.4
45.00	88.09985934	1.217379	57.52	146.54	75.44302619	1.292445	46.08	149.18
46.00	87.751282	1.222211	55.06	155.4	75.27348935	1.29795	46.22	146.08
47.00	87.02523405	1.212835	55.52	152	75.51517243	1.295658	45.94	147.38
48.00	86.56661258	1.220669	53.6	160.48	74.93569966	1.29459	45.06	141.888
49.00	86.07815707	1.215489	52.16	153.32	74.62001355	1.291492	46.42	148.728
50.00	86.04175058	1.208607	52.9	151.18	73.69947055	1.278764	45.6	143.608
51.00	86.70964624	1.206031	53.96	142.5	74.02400492	1.27574	45.18	146.808
52.00	87.55345712	1.2144	54.34	145.5	74.04127315	1.266893	45.58	148.928
53.00	88.32616726	1.209663	57.76	142.28	73.95491798	1.270898	45.42	148.44
54.00	89.04784314	1.218268	58.1	145.12	74.10188224	1.25873	46.46	138.42
55.00	88.4120991	1.215526	55.52	138.46	74.97813364	1.273724	47.08	141.36

56.00	87.93495739	1.222906	56.14	141.48	74.55937637	1.267547	47.66	136.56
57.00	87.15584772	1.230391	54.82	148.62	74.52242942	1.269258	47.42	124.78
58.00	85.97418819	1.223617	53.74	140.06	76.16748501	1.268509	48.74	126.76
59.00	85.72952642	1.22558	54.18	140.68	76.13252691	1.274205	48.28	127.3
60.00	86.57173577	1.228074	55.16	151.18	76.09221736	1.259138	49.3	125.44
61.00	86.0292098	1.222944	54.708	149.6	76.28599922	1.248419	49.52	125.14
62.00	86.83331368	1.22222	55.648	148.12	75.83796229	1.242552	49.9	125.58
63.00	87.99005122	1.224363	55.728	149.44	74.79845832	1.243365	47.94	125.48
64.00	88.55927286	1.220869	55.888	146.36	74.22522224	1.242246	44.86	122.56
65.00	88.12051692	1.225034	56.708	143.82	73.42130739	1.242692	44.4	120.1
66.00	88.40439667	1.220898	54.12	138.9	73.91902253	1.256261	43.9	120.64
67.00	87.61146369	1.206714	55.1	137.22	74.09892054	1.258602	42.38	120.8
68.00	87.03986775	1.212565	53.42	138.86	73.88448789	1.247351	43.76	117.9
69.00	85.61667034	1.211601	51.1	138.7	73.09783811	1.234088	45.76	115.72
70.00	84.17987726	1.213949	50.5	133.24	72.29417333	1.23216	45.18	114.68
71.00	83.34052655	1.213444	52.26	134.28	71.10679374	1.227009	43.88	110.68
72.00	83.42413149	1.214423	51.26	127.3	70.73734313	1.225132	45.5	112.06
73.00	82.60108145	1.213508	51.78	125.86	69.94568967	1.235452	43.38	109.74
74.00	82.93914453	1.208433	53.78	127.46	70.25540111	1.241	43.56	112.66
75.00	82.75981666	1.201809	54.76	127.12	70.34868617	1.23444	44.22	111.38
76.00	82.23282472	1.19492	56.12	122.44	70.39465694	1.234265	44.14	114.58
77.00	81.09670348	1.194698	53.1	119.8	69.39757689	1.228511	43.48	111.02
78.00	80.02242628	1.182282	53.72	117.36	68.87151054	1.213229	45.24	109.42
79.00	79.10265965	1.180128	53.62	113.04	67.20924709	1.221048	44.12	110.22
80.00	78.43698141	1.170309	53.6	111.2	66.37891246	1.217987	42.9	108.16
81.00	77.92142176	1.17229	52.74	111.7	65.30424541	1.222093	42.96	108.2
82.00	76.86173441	1.164819	55.16	110.08	64.60647334	1.219074	43.08	106.48
83.00	76.40090269	1.174796	52.18	110.42	63.49351577	1.22226	42.54	108.48
84.00	74.7828307	1.17822	50.36	109.2	63.34131978	1.213219	42.76	102.84
85.00	74.4992137	1.180574	49.31362	107.0343	62.74189138	1.218822	42.59095	104.7926
86.00	73.81250877	1.177977	48.50949	104.4969	62.16041309	1.208932	42.80295	100.844
87.00	73.43871959	1.179377	47.90759	102.5276	61.84223228	1.211505	42.15598	100.4742
88.00	72.79767378	1.170756	49.60794	99.66646	61.5476723	1.209819	41.71005	98.48308
89.00	72.74205786	1.164535	50.19052	97.15354	61.29487188	1.209189	41.46516	99.35077
90.00	72.11983988	1.162177	50.00172	95.19446	60.74212987	1.207753	41.27036	98.44462
91.00	71.4976219	1.159818	49.81292	93.23538	60.18938785	1.206317	41.07556	97.53846
92.00	70.87540393	1.157459	49.62412	91.27631	59.63664584	1.20488	40.88075	96.63231
93.00	70.25318595	1.1551	49.43532	89.31723	59.08390383	1.203444	40.68595	95.72615
94.00	69.63096798	1.152742	49.24652	87.35815	58.53116181	1.202008	40.49115	94.82
95.00	69.00875	1.150383	49.05772	85.39908	57.9784198	1.200571	40.29634	93.91385
96.00	68.38653203	1.148024	48.86892	83.44	57.42567778	1.199135	40.10154	93.00769
97.00	67.76431405	1.145665	48.68012	81.48092	56.87293577	1.197699	39.90674	92.10154
98.00	67.14209607	1.143307	48.49132	79.52185	56.32019375	1.196263	39.71193	91.19538
99.00	66.5198781	1.140948	48.30252	77.56277	55.76745174	1.194826	39.51713	90.28923
100.00	66.20876911	1.139769	48.20812	76.58323	55.49108073	1.194108	39.41973	89.83615

**Table 5. Hemoglobin Content.**

Age	MALES		FEMALES	
	MEAN	STD		
0	11.927	0.993545	12.209	0.729499905
1	12.20959	1.013091	12.27307	0.719158646
2	12.42075	0.823171	12.55018	0.843436666
3	12.69015	0.83159	12.4519	0.965868504
4	12.8006	0.80152	12.83442	0.773409545
5	12.95822	0.878515	12.87154	0.969254536
6	13.19574	0.893008	13.01866	0.828912341
7	13.46198	0.836639	13.09899	0.754370806
8	13.35161	0.833121	13.25291	0.826349227
9	13.59742	0.971019	13.36671	0.808377267
10	13.63062	0.906785	13.58919	1.034306588
11	13.66	0.726155	13.52681	0.90041802
12	13.9727	0.955869	13.6273	0.884271668
13	14.28293	1.036749	13.46986	0.97623121
14	14.70654	1.020254	13.58878	1.034527514
15	15.13583	1.04546	13.47154	0.856131982
16	15.36442	1.021623	13.50562	1.088863466
17	15.45945	0.979296	13.49842	1.117860417
18	15.7487	1.02514	13.46091	1.18250671
19	15.76812	0.831813	13.35445	1.090493585
20	15.79371	0.880956	13.5016	1.072791517
25	15.71703	0.91072	13.47168	1.170602542
30	15.70837	1.045808	13.2967	1.145254677
35	15.55635	0.959964	13.34583	1.134192006
40	15.43525	1.021741	13.4881	1.163867696
45	15.44038	1.105939	13.48617	1.348669176
50	15.41492	1.096952	13.61113	1.193756618
55	15.31983	1.123792	13.67737	1.106237392
60	15.27653	0.97796	13.83717	1.237714453
65	15.07274	1.192645	13.76529	1.093354796
70	14.96193	1.24457	13.81911	1.093565513
75	14.72786	1.418355	13.79013	1.056812752
80	14.51	1.476879	13.84426	1.30818261
85	14.52915	1.352814	13.57546	1.238910845
90	13.97647	1.757686	13.43767	1.552685662
95	13.801	1.757686	13.2085	1.552685662
100	13.534	1.757686	13.005	1.552685662

## **ATTACHMENT 4. TECHNICAL MEMORANDUM ON LONGITUDINAL DIARY CONSTRUCTION APPROACH**



## TECHNICAL MEMORANDUM

**TO:** Stephen Graham and John Langstaff, US EPA  
**FROM:** Arlene Rosenbaum  
**DATE:** February 29, 2008  
**SUBJECT:** The Cluster-Markov algorithm in APEX

### Background

The goals of population exposure assessment generally include an accurate estimate of both the average exposure concentration and the high end of the exposure distribution. One of the factors influencing the number of exposures at the high end of the concentration distribution is time-activity patterns that differ from the average, e.g., a disproportionate amount of time spent near roadways. Whether a model represents these exposure scenarios well depends on whether the treatment of activity pattern data accurately characterizes differences among individuals.

Human time-activity data for population exposure models are generally derived from demographic surveys of individuals' daily activities, the amount of time spent engaged in those activities, and the ME locations where the activities occur. Typical time-activity pattern data available for inhalation exposure modeling consist of a sequence of location/activity combinations spanning a 24-hour duration, with 1 to 3 records for any single individual. But modeling assessments of exposure to air pollutants typically require information on activity patterns over long periods of time, e.g., a full year. For example, even for pollutant health effects with short averaging times (e.g., ozone 8-hour average) it may be desirable to know the frequency of exceedances of a threshold concentration over a long period of time (e.g., the annual number of exceedances of an 8-hour average ozone concentration of 0.07 ppm for each simulated individual).

Long-term activity patterns can be estimated from daily ones by combining the daily records in various ways, and the method used for combining them will influence the variability of the long-term activity patterns across the simulated population. This in turn will influence the ability of the model to accurately represent either long-term average high-end exposures, or the number of individuals exposed multiple times to short-term high-end concentrations.

A common approach for constructing long-term activity patterns from short-term records is to re-select a daily activity pattern from the pool of data for each day, with the implicit assumption that there is no correlation between activities from day to day for the simulated individual. This approach tends to result in long-term activity patterns that are very similar across the simulated population. Thus, the resulting exposure estimates are likely to



underestimate the variability across the population, and therefore, underestimate the high-end concentrations.

A contrasting approach is to select a single activity pattern (or a single pattern for each season and/or weekday-weekend) to represent a simulated individual's activities over the modeling period. This approach has the implicit assumption that an individual's day to day activities are perfectly correlated. This approach tends to result in long-term activity patterns that are very different across the simulated population, and therefore may over-estimate the variability across the population.

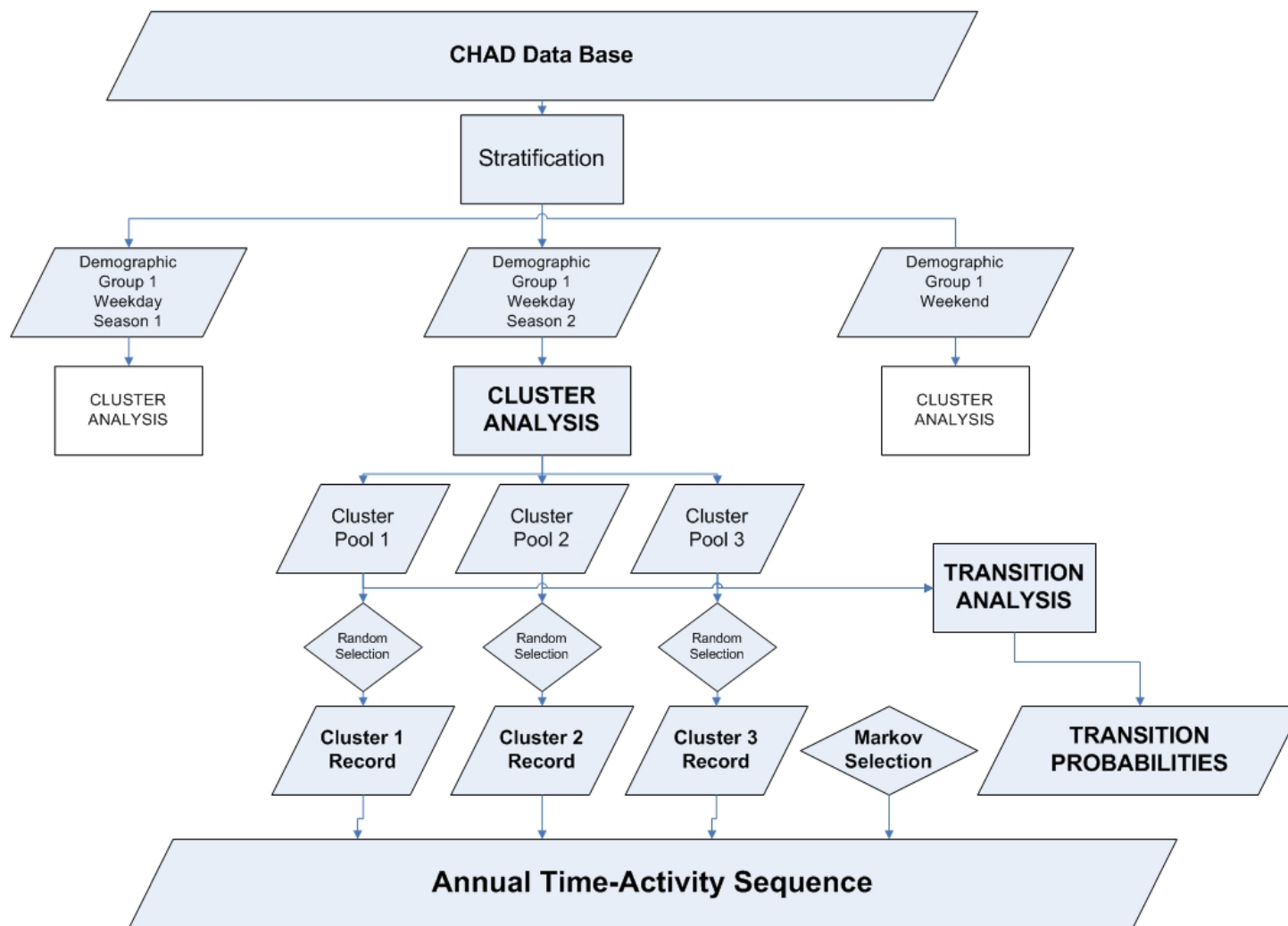
### **The Cluster-Markov Algorithm**

Recently, a new algorithm has been developed and incorporated into APEX that attempts to more realistically represent the day-to-day correlation of activities for individuals. The algorithms first use cluster analysis to divide the daily activity pattern records into groups that are similar, and then select a single daily record from each group. This limited number of daily patterns is then used to construct a long-term sequence for a simulated individual, based on empirically-derived transition probabilities. This approach is intermediate between the assumption of no day-to-day correlation (i.e., re-selection for each time period) and perfect correlation (i.e., selection of a single daily record to represent all days).

The steps in the algorithm are as follows.

- For each demographic group (age, gender, employment status), temperature range, and day-of-week combination, the associated time-activity records are partitioned into 3 groups using cluster analysis. The clustering criterion is a vector of 5 values: the time spent in each of 5 microenvironment categories (indoors – residence; indoors – other building; outdoors – near road; outdoors – away from road; in vehicle).
- For each simulated individual, a single time-activity record is randomly selected from each cluster.
- Next the Markov process determines the probability of a given time-activity pattern occurring on a given day based on the time-activity pattern of the previous day and cluster-to-cluster transition probabilities. The cluster-to-cluster transition probabilities are estimated from the available multi-day time-activity records. (If insufficient multi-day time-activity records are available for a demographic group, season, day-of-week combination, then the cluster-to-cluster transition probabilities are estimated from the frequency of time-activity records in each cluster in the CHAD data base.).

Figure 1 illustrates the Cluster-Markov algorithm in flow chart format.



**Figure 1.** Flow chart of Cluster-Markov algorithm used for constructing longitudinal time-activity diaries.

### Evaluation of modeled diary profiles versus observed diary profiles

The Cluster-Markov algorithm is also incorporated into the Hazardous Air Pollutant Exposure Model (HAPEM). Rosebaum and Cohen (2004) incorporated the algorithm in HAPEM and tested modeled longitudinal profiles with multi-day diary data sets collected as part of the Harvard Southern California Chronic Ozone Exposure Study (Xue et al. 2005, Geyh et al. 2000). In this study, 224 children in ages between 7 and 12 yr were followed for 1 year from June 1995 to May 1996, for 6 consecutive days each month. The subjects resided in two separate areas of San Bernardino County: urban Upland CA, and the small mountain towns of Lake Arrowhead, Crestline, and Running Springs, CA.

For purposes of clustering the activity pattern records were characterized according to time spent in each of 5 aggregate microenvironments: indoors-home, indoors-school, indoors-other, outdoors, and in-transit. For purposes of defining diary pools and for clustering and calculating transition probabilities the activity pattern records were divided by day type (i.e., weekday, weekend), season (i.e., summer or ozone season, non-summer or non-ozone season), age (7-10 and 11-12), and gender.

Week-long sequences (Wednesday through Tuesday) for each of 100 people in each age/gender group for each season were simulated. To evaluate the algorithm the following statistics were calculated for the predicted multi-day activity patterns and compared them with the actual multi-day diary data.

- For each age/gender group for each season, the average time in each microenvironment
- For each simulated person-week and microenvironment, the average of the within-person variance across all simulated persons. (The within-person variance was defined as the variance of the total time per day spent in the microenvironment across the week.)
- For each simulated person-week the variance across persons of the mean time spent in each microenvironment.

In each case the predicted statistic for the stratum was compared to the statistic for the corresponding stratum in the actual diary data. The mean normalized bias for the statistic, which is a common performance measure used in dispersion model performance and was also calculated as follows.

$$NBIAS = \frac{100}{N} \sum_1^N \frac{(predicted - observed)}{observed}$$

The predicted time-in-microenvironment averages matched well with the observed values. For combinations of microenvironment/age/gender/season the normalized bias ranges from -35% to +41%. Sixty percent of the predicted averages have bias between -9% and +9%, and the mean bias across any microenvironment ranges from -9% to +4%. Fourteen predictions have positive bias and 23 have negative bias.

For the variance across persons for the average time spent in each microenvironment, the bias ranged from -40% to +120% for any microenvironment/age/gender/season. Sixty-five percent of the predicted variances had bias between -22% and +24%. The mean normalized bias across any microenvironment ranged from -10% to +28%. Eighteen predictions had positive bias and 20 had negative bias.

For the within-person variance for time spent in each microenvironment, the bias ranged from -47% to +150% for any microenvironment/age/gender/season. Seventy percent of the predicted variances had bias between -25% and +30%. The mean normalized bias across any microenvironment ranged from -11% to +47%. Twenty-eight predictions had positive bias and 12 had negative bias, suggesting some tendency for overprediction of this variance measure.

The overall conclusion was that the proposed algorithm appeared to be able to replicate the observed data reasonably well. Although some discrepancies were rather large for some of the “variance across persons” and “within-person variance” subsets, about two-thirds of the predictions for each case were within 30% of the observed value. A detailed description of the evaluation using HAPEM is presented in Attachment 5.

### **Comparison of Cluster-Markov approach with other algorithms**

As part of the application of APEX in support of US EPA’s recent review of the ozone NAAQS several sensitivity analyses were conducted (US EPA, 2007). One of these was to make parallel simulations using each of the three algorithms for constructing multi-day time-activity sequences that are incorporated into APEX.

Table 1 presents the results for the number of persons in Atlanta population groups with moderate exertion exposed to 8-hour average concentrations exceeding 0.07 ppm. The results show that the predictions made with alternative algorithm Cluster-Markov algorithm are substantially different from those made with simple re-sampling or with the Diversity-Autocorrelation algorithm (“base case”). Note that for the cluster algorithm approximately 30% of the individuals with 1 or more exposure have 3 or more exposures. The corresponding values for the other algorithms range from about 13% to 21%.

Table 2 presents the results for the mean and standard deviation of number of days/person with 8-hour average exposures exceeding 0.07 ppm with moderate or greater exertion. The results show that although the mean for the Cluster-Markov algorithm is similar to the other approaches, the standard deviation is substantially higher, i.e., the Cluster-Markov algorithm results in substantially higher inter-individual variability.

**Table 1.** Sensitivity to longitudinal diary algorithm: 2002 simulated counts of Atlanta general population and children (ages 5-18) with any or three or more 8-hour ozone exposures above 0.07 ppm concomitant with moderate or greater exertion (after US EPA 2007).

Population Group	One or more exposures			Three or more exposures		
	Simple re-sampling	Diversity-Autocorrelation	Cluster-Markov	Simple re-sampling	Diversity-Autocorrelation	Cluster-Markov
General Population	979,533	939,663 (-4%)	668,004 (-32%)	124,687	144,470 (+16%)	188,509 (+51%)
Children (5-18)	411,429	389,372 (-5%)	295,004 (-28%)	71,174	83,377 (+17%)	94,216 (+32%)

**Table 2.** Sensitivity to longitudinal diary algorithm: 2002 days per person with 8-hour ozone exposures above 0.07 ppm concomitant with moderate or greater exertion for Atlanta general population and children (ages 5-18) (after US EPA 2007).

Population Group	Mean Days/Person			Standard Deviation		
	Simple re-sampling	Base case	Cluster-Markov	Simple re-sampling	Base case	Cluster-Markov
General Population	0.332	0.335 (+1%)	0.342 (+3%)	0.757	0.802 (+6%)	1.197 (+58%)
Children (5-18)	0.746	0.755 (+1%)	0.758 (+2%)	1.077	1.171 (+9%)	1.652 (+53%)

## References

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## **ATTACHMENT 5. TECHNICAL MEMORANDUM ON THE EVALUATION CLUSTER-MARKOV ALGORITHM**



## TECHNICAL MEMORANDUM

**TO:** Ted Palma, US EPA  
**FROM:** Arlene Rosenbaum and Jonathan Cohen, ICF Consulting  
**DATE:** November 4, 2004  
**SUBJECT:** Evaluation of a multi-day activity pattern algorithm for creating longitudinal activity patterns.

### BACKGROUND

In previous work ICF reviewed the HAPEM4 modeling approach for developing annual average activity patterns from the CHAD database and recommended an approach to improve the model's pattern selection process to better represent the variability among individuals. This section summarizes the recommended approach. (For details see Attachment 4)

Using cluster analysis, first the CHAD daily activity patterns are grouped into either two or three categories of similar patterns for each of the 30 combinations of day type (summer weekday, non-summer weekday, and weekend) and demographic group (males or females; age groups: 0-4, 5-11, 12-17, 18-64, 65+). Next, for each combination of day type and demographic group, category-to-category transition probabilities are defined by the relative frequencies of each second-day category associated with each given first-day category, where the same individual was observed for two consecutive days. (Consecutive day activity pattern records for a single individual constitute a small subset of the CHAD data.)

To implement the proposed algorithm, for each day type and demographic group, one daily activity pattern per category is randomly selected from the corresponding CHAD data to represent that category. That is, if there are 3 cluster categories for each of 3 day types, 9 unique activity patterns are selected to be averaged together to create an annual average activity pattern to represent an individual in a given demographic group and census tract.

The weighting for each of the 9 activity patterns used in the averaging process is determined by the product of two factors. The first is the relative frequency of its day type, i.e., 0.18 for summer weekdays, 0.54 for non-summer weekdays, and 0.28 for weekends.

The second factor in the weighting for the selected activity pattern is determined by simulating a sequence of category-types as a one-stage Markov chain process using the transition probabilities. The category for the first day is selected according to the relative frequencies of each category. The category for the second day is selected according to the category-to-category transition probabilities for the category selected for the first day. The category for the third day is selected according to the transition probabilities for the category

selected for the second day. This is repeated for all days in the day type (65 for summer weekdays, 195 for non-summer weekdays, 104 for weekends), producing a sequence of daily categories. The relative frequency of the category-type in the sequence associated with the selected activity pattern is the second factor in the weighting.

## PROPOSED ALGORITHM STEPS

The proposed algorithm is summarized in Figure 1. Each step is explained in this section.

### ***Data Preparation***

Step 1: Each daily activity pattern in the CHAD data base is summarized by the total minutes in each of five micro-environments: indoors – residence; indoors – other building; outdoors – near road; outdoors – away from road; in vehicle. These five numbers are assumed to represent the most important features of the activity pattern for their exposure impact.

Step 2: All CHAD activity patterns for a given day-type and demographic group are subjected to cluster analysis, resulting in 2 or 3 cluster categories. Each daily activity pattern is tagged with a cluster category.

Step 3: For each day-type and demographic group, the relative frequency of each day-type in the CHAD data base is determined.

Step 4: All CHAD activity patterns for a given day-type and demographic group that are consecutive days for a single individual, are analyzed to determine the category-to-category transition frequencies in the CHAD data base. These transition frequencies are used to calculate category-to-category transition probabilities.

For example, if there are 2 categories, A and B, then

$P_{AA}$  = the probability that a type A pattern is followed by a type A pattern,

$P_{AB}$  = the probability that a type A pattern is followed by a type B pattern ( $P_{AB} = 1 - P_{AA}$ ),

$P_{BB}$  = the probability that a type B pattern is followed by a type B pattern, and

$P_{BA}$  = the probability that a type B pattern is followed by a type A pattern ( $P_{BA} = 1 - P_{BB}$ ).

### ***Activity Pattern Selection***

For each day-type and demographic group in each census tract:

Step 5: One activity pattern is randomly selected from each cluster category group (i.e., 2 to 3 activity patterns)

### ***Creating Weights for Day-type Averaging***

For each day-type and demographic group in each census tract:



Step 6: A cluster category is selected for the first day of the day-type sequence, according to the relative frequency of the cluster category days in the CHAD data set.

Step 7: A cluster category is selected for each subsequent day in the day-type sequence day by day using the category-to-category transition probabilities.

Step 8: The relative frequency of each cluster category in the day-type sequence is determined.

Step 9: The activity patterns selected for each cluster category (Step 5) are averaged together using the cluster category frequencies (Step 8) as weights, to create a day-type average activity pattern.

#### ***Creating Annual Average Activity Patterns***

For each demographic group in each census tract:

Step 10: The day-type average activity patterns are averaged together using the relative frequency of day-types as weights, to create an annual average activity pattern.

#### ***Creating Replicates***

For each demographic group in each census tract:

Step 11: Steps 5 through 10 are repeated 29 times to create 30 annual average activity patterns.

### **EVALUATING THE ALGORITHM**

The purpose of this study is to evaluate how well the proposed one-stage Markov chain algorithm can reproduce observed multi-day activity patterns with respect to demographic group means and inter-individual variability, while using one-day selection.

In order to accomplish this we propose to apply the algorithm to observed multi-day activity patterns provided by the WAM, and compare the means and variances of the predicted multi-day patterns with the observed patterns.

#### **Current APEX Algorithm**

Because the algorithm is being considered for incorporation into APEX, we would like the evaluation to be consistent with the approach taken in APEX for selection of activity patterns for creating multi-day sequences. The APEX approach for creating multi-day activity sequences is as follows.

Step1: A profile for a simulated individual is generated by selection of gender, age group, and home sector from a given set of distributions consistent with the population of the study area.

Step 2: A specific age within the age group is selected from a uniform distribution.

Step 3: The employment status is simulated as a function of the age.

Step 4: For each simulated day, the user defines an initial pool of possible diary days based on a user-specified function of the day type (e.g., weekday/weekend) and temperature.

Step 5: The pool is further restricted to match the target gender and employment status exactly and the age within  $2A$  years for some parameter  $A$ . The diary days within the pool are assigned a weight of 1 if the age is within  $A$  years of the target age and a weight of  $w$  (user-defined parameter) if the age difference is between  $A$  and  $2A$  years. For each simulated day, the probability of selecting a given diary day is equal to the age weight divided by the total of the age weights for all diary days in the pool for that day.

### **Approach to Incorporation of Day-to-Day Dependence into APEX Algorithm**

If we were going to incorporate day-to-day dependence of activity patterns into the APEX model, we would propose preparing the data with cluster analysis and transition probabilities as described in Steps 1-4 for the proposed HAPEM 5 algorithm, with the following modifications.

- For Step 2 the activity patterns would be divided into groups based on day-type (weekday, weekend), temperature, gender, employment status, and age, with cluster analysis applied to each group. However, because the day-to-day transitions in the APEX activity selection algorithm can cross temperature bins, we would propose to use broad temperature bins for the clustering and transition probability calculations so that the cluster definitions would be fairly uniform across temperature bins. Thus we would probably define the bins according to season (e.g., summer, non-summer).
- In contrast to HAPEM, the sequence of activity patterns may be important in APEX. Therefore, for Step 4 transition probabilities would be specified for transitions between days with the same day-type and season, as in HAPEM, and also between days with different day-types and/or seasons. For example, transition probabilities would be specified for transitions between summer weekdays of each category and summer weekends of each category.

Another issue for dividing the CHAD activity records for the purposes of clustering and calculating transition probabilities is that the diary pools specified for the APEX activity selection algorithm use varying and overlapping age ranges. One way to address this problem would be to simply not include consideration of age in the clustering process, under the assumption that cluster categories are similar across age groups, even if the frequency of each cluster category varies by age group. This assumption could be tested by examination of the cluster categories stratified by age group that were developed for HAPEM5. If the assumption is found to be valid, then the cluster categories could be pre-determined for input

to APEX, while the transition probabilities could be calculated within APEX during the simulation for each age range specified for dairy pools.

If the assumption is found to be invalid, then an alternative approach could be implemented that would create overlapping age groups for purposes of clustering as follows. APEX age group ranges and age window percentages would be constrained to some maximum values. Then a set of overlapping age ranges that would be at least as large as the largest possible dairy pool age ranges would be defined for the purposes of cluster analysis and transition probability calculation. The resulting sets of cluster categories and transition probabilities would be pre-determined for input into APEX and the appropriate set used by APEX for each dairy pool used during the simulation.

The actual activity pattern sequence selection would be implemented as follows. The activity pattern for first day in the year would be selected exactly as is currently done in APEX, as described above. For the selecting the second day's activity pattern, each age weight would be multiplied by the transition probability  $P_{AB}$  where A is the cluster for the first day's activity pattern and B is the cluster for a given activity pattern in the available pool of diary days for day 2. (Note that day 2 may be a different day-type and/or season than day 1). The probability of selecting a given diary day on day 2 is equal to the age weight times  $P_{AB}$  divided by the total of the products of age weight and  $P_{AB}$  for all diary days in the pool for day 2. Similarly, for the transitions from day 2 to day 3, day 3 to day 4, etc.

### **Testing the Approach with the Multi-day Data set**

We tested this approach using the available multi-day data set. For purposes of clustering we characterized the activity pattern records according to time spent in each of 5 microenvironments: indoors-home, indoors-school, indoors-other, outdoors (aggregate of the 3 outdoor microenvironments), and in-transit.

For purposes of defining diary pools and for clustering and calculating transition probabilities we divided the activity pattern records by day type (i.e., weekday, weekend), season (i.e., summer or ozone season, non-summer or non-ozone season), age (6-10 and 11-12), and gender. Since all the subjects are 6-12 years of age and all are presumably unemployed, we need not account for differences in employment status. For each day type, season, age, and gender, we found that the activity patterns appeared to group in three clusters.

In this case, we simulated week-long sequences (Wednesday through Tuesday) for each of 100 people in each age/gender group for each season, using the transition probabilities. To evaluate the algorithm we calculated the following statistics for the predicted multi-day activity patterns for comparison with the actual multi-day diary data.

- For each age/gender group for each season, the average time in each microenvironment
- For each age/gender group, season, and microenvironment, the average of the within-person variance across all simulated persons (We defined the within-

person variance as the variance of the total time per day spent in the microenvironment across the week.)

- For each age/gender group, season, and microenvironment, the variance across persons of the mean time spent in that microenvironment

In each case we compared the predicted statistic for the stratum to the statistic for the corresponding stratum in the actual diary data.<sup>5</sup>

We also calculated the mean normalized bias for the statistic, which is a common performance measure used in dispersion model performance and which is calculated as follows.

$$NBIAS = \frac{100}{N} \sum_{i=1}^N \frac{(predicted - observed)}{observed} \%$$

## RESULTS

Comparisons of simulated and observed data for time in each of the 5 microenvironments are presented in Tables 1 – 3 and Figures 2-5.

### Average Time in Microenvironment

Table 1 and Figure 2 show the comparisons for the average time spent in each of the 5 microenvironments for each age/gender group and season. Figure 3 shows the comparison for all the microenvironments except indoor, home in order to highlight the lower values.

Table 1 and the figures show that the predicted time-in-microenvironment averages match well with the observed values. For combinations of microenvironment/age/gender/season the normalized bias ranges from –35% to +41%. Sixty percent of the predicted averages have bias between –9% and +9%, and the mean bias across any microenvironment ranges from -9% to +4%. Fourteen predictions have positive bias and 23 have negative bias. A Wilcoxon signed rank test that the median bias across the 40 combinations = 0 % was not significant (p-value = 0.40) supporting the conclusion of no overall bias.

### Variance Across Persons

Table 2 and Figure 4 show the comparisons for the variance across persons for the average time spent in each microenvironment. In this case the bias ranges from –40% to +120% for any microenvironment/age/gender/season. Sixty-five percent of the predicted variances have bias between –22% and +24%. The mean normalized bias across any microenvironment ranges from –10% to +28%. Eighteen predictions have positive bias and 20 have negative bias. Figure 4 suggests a reasonably good match of predicted to observed

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<sup>5</sup> For the diary data, because the number of days per person varies, the average of the within-person variances was calculated as a weighted average, where the weight is the degrees of freedom, i.e., one less than the number of days simulated. Similarly, the variance across persons of the mean time was appropriately adjusted for the different degrees of freedom using analysis of variance.

variance in spite of 2 or 3 outliers. A Wilcoxon signed rank test that the median bias across the 40 combinations = 0 % was not significant (p-value = 0.93) supporting the conclusion of no overall bias.

### **Within-Person Variance for Persons**

Table 3 and Figure 5 show the comparisons for the within-person variance for time spent in each microenvironment. In this case the bias ranges from -47% to +150% for any microenvironment/age/gender/season. Seventy percent of the predicted variances have bias between -25% and +30%. The mean normalized bias across any microenvironment ranges from -11% to +47%. Twenty-eight predictions have positive bias and 12 have negative bias, suggesting some tendency for overprediction of this variance measure. And indeed a Wilcoxon signed rank test that the median bias across the 40 combinations = 0 % was very significant (p-value = 0.01) showing that the within-person variance was significantly overpredicted. Still, Figure 4 suggests a reasonably good match of predicted to observed variance in most cases, with a few overpredicting outliers at the higher end of the distribution. So although the positive bias is significant in a statistical sense (i.e., the variance is more likely to be overpredicted than underpredicted), it is not clear whether the bias is large enough to be important.

### **CONCLUSIONS**

The proposed algorithm appears to be able to replicate the observed data reasonably well, although the within-person variance is somewhat overpredicted.

It would be informative to compare this algorithm with the earlier alternative approaches in order to gain perspective on the degree of improvement, if any, afforded by this approach.

Two earlier approaches were:

1. Select a single activity pattern for each day-type/season combination from the appropriate set, and use that pattern for every day in the multi-day sequence that corresponds to that day-type and season.
2. Re-select an activity pattern for each day in the multi-day sequence from the appropriate set for the corresponding day-type and season.

Goodness-of-fit statistics could be developed to compare the three approaches and find which model best fits the data for a given stratum.

**Table 1.** Average time spent in each microenvironment: comparison of predicted and observed.

<b>Microenvironment</b>	<b>Demographic Group</b>	<b>Season</b>	<b>Observed (hours/day)</b>	<i>Predicted (hours/day)</i>	<b>Normalized Bias</b>
Indoor, home	Girls, 6-10	Summer	15.5	16.5	6%
		Not Summer	15.8	15.5	-2%
	Boys, 6-10	Summer	15.7	15.2	-3%
		Not Summer	15.8	16.4	4%
	Girls, 11-12	Summer	16.2	15.3	-5%
		Not Summer	16.5	16.5	0%
	Boys, 11-12	Summer	16.0	15.6	-3%
		Not Summer	16.2	16.1	-1%
	MEAN				-1%
Indoor, school	Girls, 6-10	Summer	0.7	0.7	-9%
		Not Summer	2.3	2.5	7%
	Boys, 6-10	Summer	0.8	0.5	-34%
		Not Summer	2.2	2.2	0%
	Girls, 11-12	Summer	0.7	0.7	6%
		Not Summer	2.1	2.4	13%
	Boys, 11-12	Summer	0.6	0.9	38%
		Not Summer	2.4	2.7	11%
	MEAN				4%
Indoor, other	Girls, 6-10	Summer	2.9	2.4	-14%
		Not Summer	2.4	2.7	13%
	Boys, 6-10	Summer	2.2	2.7	21%
		Not Summer	1.9	1.8	-3%
	Girls, 11-	Summer	2.2	1.6	-25%

	12				
		Not Summer	2.2	2.1	-2%
	Boys, 11- 12	Summer	2.3	2.2	-5%
		Not Summer	1.9	2.0	4%
	MEAN				-2%
Outdoors	Girls, 6-10	Summer	3.7	3.5	-6%
		Not Summer	2.5	2.5	0%
	Boys, 6-10	Summer	4.1	4.3	4%
		Not Summer	3.1	2.7	-12%
	Girls, 11- 12	Summer	3.7	5.2	41%
		Not Summer	2.3	2.1	-5%
	Boys, 11- 12	Summer	3.9	4.3	9%
		Not Summer	2.6	2.4	-7%
	MEAN				3%
In-vehicle	Girls, 6-10	Summer	1.1	0.9	-20%
		Not Summer	1.0	0.9	-13%
	Boys, 6-10	Summer	1.1	1.3	13%
		Not Summer	1.0	0.9	-16%
	Girls, 11- 12	Summer	1.2	1.1	-12%
		Not Summer	0.9	0.8	-15%
	Boys, 11- 12	Summer	1.1	1.0	-5%
		Not Summer	0.9	0.8	-7%
	MEAN				-9%

**Table 2.** Variance across persons for time spent in each microenvironment: comparison of predicted and observed.

Microenvironment	Demographic Group	Season	Observed (hours/day) <sup>2</sup>	Predicted (hours/day) <sup>2</sup>	Normalized Bias
Indoor, home	Girls, 6-10	Summer	70	42	-40%
		Not Summer	67	60	-9%
	Boys, 6-10	Summer	54	49	-9%
		Not Summer	35	30	-12%
	Girls, 11-12	Summer	56	47	-17%
		Not Summer	42	38	-10%
	Boys, 11-12	Summer	57	63	12%
		Not Summer	39	42	8%
	MEAN				-10%
Indoor, school	Girls, 6-10	Summer	6.0	5.2	-13%
		Not Summer	9.5	5.9	-38%
	Boys, 6-10	Summer	5.6	3.8	-32%
		Not Summer	5.3	8.2	53%
	Girls, 11-12	Summer	4.9	5.5	11%
		Not Summer	5.4	5.3	-1%
	Boys, 11-12	Summer	5.6	6.0	6%
		Not Summer	9.2	11	23%
	MEAN				1%
Indoor, other	Girls, 6-10	Summer	46	32	-30%
		Not Summer	44	46.	6%
	Boys, 6-10	Summer	34	33	-4%
		Not Summer	23	16	-27%
	Girls, 11-	Summer	21	18	-15%

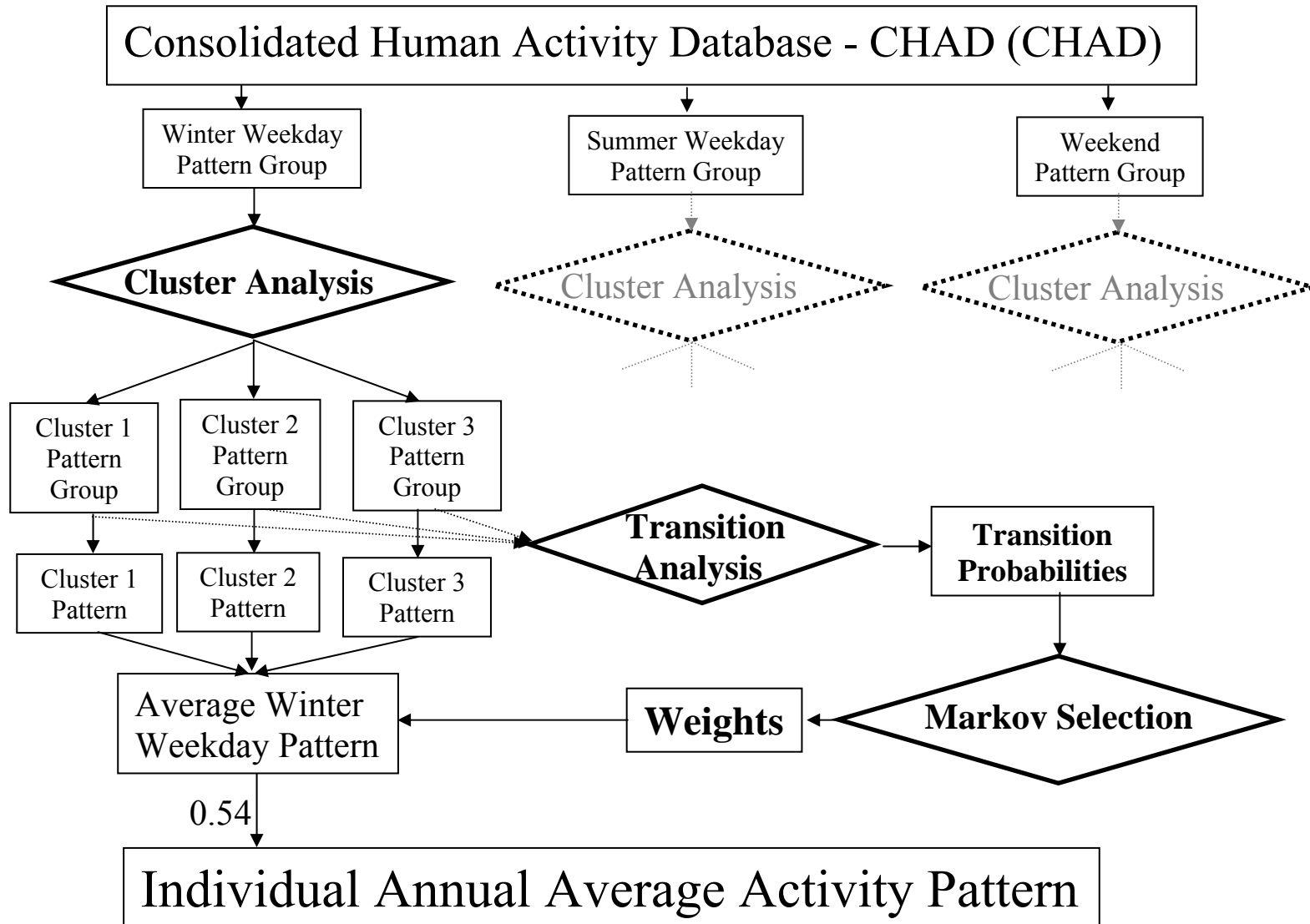


	12				
		Not Summer	28	22	-22%
	Boys, 11-12	Summer	33	31	-6%
		Not Summer	30	30	0%
	MEAN				-12%
Outdoors	Girls, 6-10	Summer	17	23	37%
		Not Summer	9.3	6.8	-27%
	Boys, 6-10	Summer	17	18	3%
		Not Summer	8.3	7.6	-8%
	Girls, 11-12	Summer	22	22	0%
		Not Summer	9.0	9.1	1%
	Boys, 11-12	Summer	13	29	120%
		Not Summer	10	11	8%
	MEAN				17%
In-vehicle	Girls, 6-10	Summer	1.9	2.3	24%
		Not Summer	1.8	1.6	-11%
	Boys, 6-10	Summer	2.5	4.7	93%
		Not Summer	1.5	1.6	9%
	Girls, 11-12	Summer	3.5	4.7	34%
		Not Summer	2.8	2.0	-28%
	Boys, 11-12	Summer	3.2	5.4	69%
		Not Summer	1.3	1.7	35%
	MEAN				28%

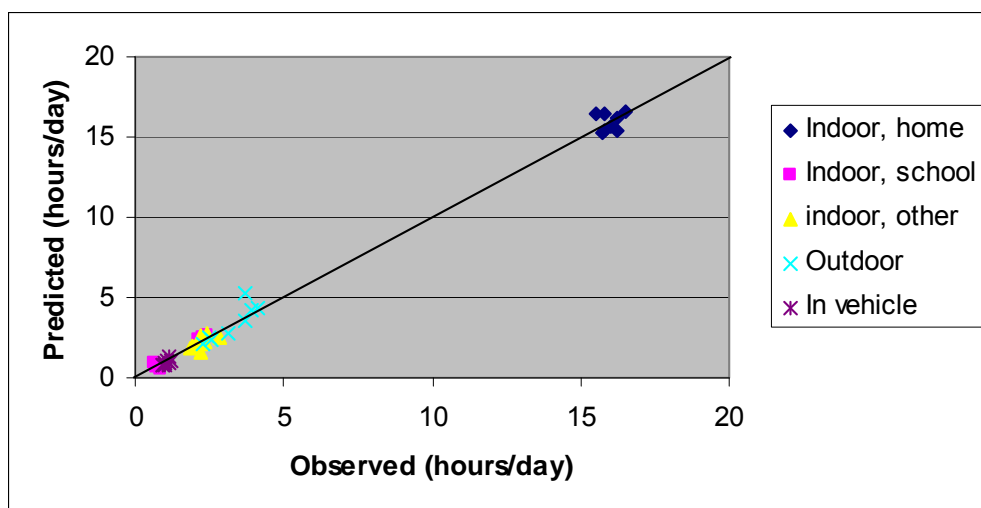
**Table 3.** Average within person variance for time spent in each microenvironment: comparison of predicted and observed.

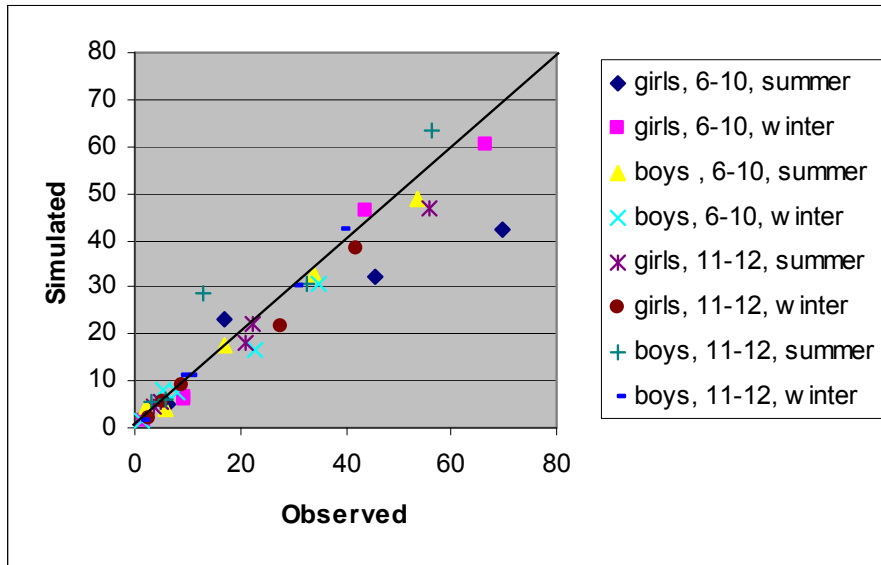
Microenvironment	Demographic Group	Season	Observed (hours/day) <sup>2</sup>	Predicted (hours/day) <sup>2</sup>	Normalized Bias
Indoor, home	Girls, 6-10	Summer	20	29	49%
		Not Summer	18	23	25%
	Boys, 6-10	Summer	17	30	75%
		Not Summer	15	24	64%
	Girls, 11-12	Summer	22	42	93%
		Not Summer	22	25	13%
	Boys, 11-12	Summer	21	24	16%
		Not Summer	17	24	38%
	MEAN				47%
Indoor, school	Girls, 6-10	Summer	2.3	2.4	5%
		Not Summer	7.3	6.4	-12%
	Boys, 6-10	Summer	2.0	1.5	-25%
		Not Summer	6.7	5.8	-14%
	Girls, 11-12	Summer	1.7	2.1	29%
		Not Summer	7.4	7.6	3%
	Boys, 11-12	Summer	1.4	2.9	101%
		Not Summer	7.3	7.8	6%
	MEAN				12%
Indoor, other	Girls, 6-10	Summer	14	14	-4%
		Not Summer	14	18	30%
	Boys, 6-10	Summer	12	17	42%
		Not Summer	10	13	26%
	Girls, 11-	Summer	10	10	1%

	12				
		Not Summer	14	15	7%
	Boys, 11- 12	Summer	11	14	26%
		Not Summer	12	13	7%
	MEAN				17%
Outdoors	Girls, 6-10	Summer	8.4	9.5	13%
		Not Summer	3.4	3.2	-3%
	Boys, 8-10	Summer	6.7	9.5	42%
		Not Summer	3.4	4.4	28%
	Girls, 11- 12	Summer	10	25	150%
		Not Summer	4.0	4.5	11%
	Boys, 11- 12	Summer	9.2	7.4	-20%
		Not Summer	4.3	3.7	-15%
	MEAN				26%
In-vehicle	Girls, 6-10	Summer	1.0	0.90	-13%
		Not Summer	0.90	0.48	-47%
	Boys, 6-10	Summer	1.1	1.4	31%
		Not Summer	0.81	0.71	-12%
	Girls, 11- 12	Summer	1.3	1.3	4%
		Not Summer	1.3	1.1	-16%
	Boys, 11- 12	Summer	2.4	1.6	-34%
		Not Summer	0.85	0.85	1%
	MEAN				-11%

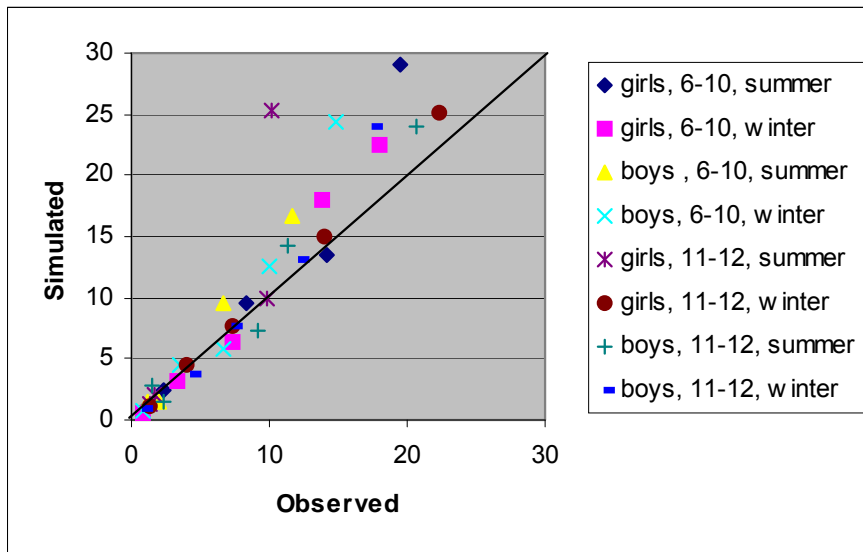


**Figure 1.** Flow diagram of proposed algorithm for creating annual average activity patterns for HAPEM5.





**Figure 4.** Comparison of predicted and observed variance across persons for time spent in each of 5 microenvironments for age/gender groups and seasons.



**Figure 5.** Comparison of predicted and observed the average within-person variance for time spent in each of 5 microenvironments by age/gender groups and seasons.

## **ATTACHMENT 6. TECHNICAL MEMORANDUM ON ANALYSIS OF AIR EXCHANGE RATE DATA**



## DRAFT MEMORANDUM

**To:** John Langstaff  
**From:** Jonathan Cohen, Hemant Mallya, Arlene Rosenbaum  
**Date:** September 30, 2005  
**Re:** EPA 68D01052, Work Assignment 3-08. Analysis of Air Exchange Rate Data

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EPA is planning to use the APEX exposure model to estimate ozone exposure in 12 cities / metropolitan areas: Atlanta, GA; Boston, MA; Chicago, IL; Cleveland, OH; Detroit, MI; Houston, TX; Los Angeles, CA; New York, NY; Philadelphia, PA; Sacramento, CA; St. Louis, MO-IL; Washington, DC. As part of this effort, ICF Consulting has developed distributions of residential and non-residential air exchange rates (AER) for use as APEX inputs for the cities to be modeled. This memorandum describes the analysis of the AER data and the proposed APEX input distributions. Also included in this memorandum are proposed APEX inputs for penetration and proximity factors for selected microenvironments.

### Residential Air Exchange Rates

**Studies.** Residential air exchange rate (AER) data were obtained from the following seven studies:

**Avol:** Avol et al, 1998. In this study, ozone concentrations and AERs were measured at 126 residences in the greater Los Angeles metropolitan area between February and December, 1994. Measurements were taken in four communities: Lancaster, Lake Gregory, Riverside, and San Dimas. Data included the daily average outdoor temperature, the presence or absence of an air conditioner (either central or room), and the presence or absence of a swamp (evaporative) cooler. Air exchange rates were computed based on the total house volume and based on the total house volume corrected for the furniture. These data analyses used the corrected AERs.

**RTP Panel:** Williams et al, 2003a, 2003b. In this study particulate matter concentrations and daily average AERs were measured at 37 residences in central North Carolina during 2000 and 2001 (averaging about 23 AER measurements per residence). The residences belong to two specific cohorts: a mostly Caucasian, non-smoking group aged at least 50 years having cardiac defibrillators living in Chapel Hill; a group of non-smoking, African Americans aged at least 50 years with controlled hypertension living in a low-to-moderate SES neighborhood in Raleigh. Data included the daily average outdoor temperature, and the number of air conditioner units (either central or room). Every residence had at least one air conditioner unit.

**RIOPA:** Meng et al, 2004, Weisel et al, 2004. The Relationship of Indoor, Outdoor, and Personal Air (RIOPA) study was undertaken to estimate the impact of outdoor sources of air toxics to indoor concentrations and personal exposures. Volatile organic compounds,



carbonyls, fine particles and AERs were measured once or twice at 310 non-smoking residences from summer 1999 to spring 2001. Measurements were made at residences in Elizabeth, NJ, Houston TX, and Los Angeles CA. Residences in California were randomly selected. Residences in New Jersey and Texas were preferentially selected to be close ( $< 0.5$  km) to sources of air toxics. The AER measurements (generally over 48 hours) used a PMCH tracer. Data included the daily average outdoor temperature, and the presence or absence of central air conditioning, room air conditioning, or a swamp (evaporative) cooler.

**TEACH:** Chillrud et al, 2004, Kinney et al, 2002, Sax et al, 2004. The Toxic Exposure Assessment, a Columbia/Harvard (TEACH) study was designed to characterize levels of and factors influencing exposures to air toxics among high school students living in inner-city neighborhoods of New York City and Los Angeles, CA. Volatile organic compounds, aldehydes, fine particles, selected trace elements, and AER were measured at 87 high school student's residences in New York City and Los Angeles in 1999 and 2000. Data included the presence or absence of an air conditioner (central or room) and hourly outdoor temperatures (which were converted to daily averages for these analyses).

**Wilson 1984:** Wilson et al, 1986, 1996. In this 1984 study, AER and other data were collected at about 600 southern California homes with three seven-day tests (in March and July 1984, and January, 1985) for each home. We obtained the data directly from Mr. Wilson. The available data consisted of the three seven-day averages, the month, the residence zip code, the presence or absence of a central air conditioner, and the presence or absence of a window air conditioner. We matched these data by month and zip code to the corresponding monthly average temperatures obtained from EPA's SCRAM website as well as from the archives in [www.wunderground.com](http://www.wunderground.com) (personal and airport meteorological stations). Residences more than 25 miles away from the nearest available meteorological station were excluded from the analysis. For our analyses, the city/location was defined by the meteorological station, since grouping the data by zip code would not have produced sufficient data for most of the zip codes.

**Wilson 1991:** Wilson et al, 1996. Colome et al, 1993, 1994. In this 1991 study, AER and other data were collected at about 300 California homes with one two-day test in the winter for each home. We obtained the data directly from Mr. Wilson. The available data consisted of the two-day averages, the date, city name, the residence zip code, the presence or absence of a central air conditioner, the presence or absence of a swamp (evaporative) cooler, and the presence or absence of a window air conditioner. We matched these data by date, city, and zip code to the corresponding daily average temperatures obtained from EPA's SCRAM website as well as from the archives in [www.wunderground.com](http://www.wunderground.com) (personal and airport meteorological stations). Residences more than 25 miles away from the nearest available meteorological station were excluded from the analysis. For our analyses, the city/location was defined by the meteorological station, since grouping the data by zip code would not have produced sufficient data for most of the zip codes.

**Murray and Burmaster:** Murray and Burmaster (1995). For this article, Murray and Burmaster corrected and compiled nationwide residential AER data from several studies conducted between 1982 and 1987. These data were originally compiled by the Lawrence Berkeley National Laboratory. We acknowledge Mr. Murray's assistance in obtaining

these data for us. The available data consisted of AER measurements, dates, cities, and degree-days. Information on air conditioner presence or absence was not available.

Table A-1 summarizes these studies.

For each of the studies, air conditioner usage, window status (open or closed), and fan status (on or off) was not part of the experimental design, although some of these studies included information on whether air conditioners or fans were used (and for how long) and whether windows were closed during the AER measurements (and for how long).

As described above, in the following studies the homes were deliberately sampled from specific subsets of the population at a given location rather than the entire population: The RTP Panel study selected two specific cohorts of older subjects with specific diseases. The RIOPA study was biased towards residences near air toxics sources. The TEACH study focused on inner-city neighborhoods. Nevertheless, we included all these studies because we determined that any potential bias would be likely to be small and we preferred to keep as much data as possible.

**Table A-1. Summary of Studies of Residential Air Exchange Rates**

	<b>Avol</b>	<b>RTP Panel</b>	<b>RIOPA</b>	<b>TEACH</b>	<b>Wilson 1984</b>	<b>Wilson 1991</b>	<b>Murray and Burmaster</b>
<b>Locations</b>	Lancaster, Lake Gregory, Riverside, San Dimas. All in Southern CA	Research Triangle Park, NC	CA; NJ; TX	Los Angeles, CA; New York City, NY	Southern CA	Southern CA	AZ, CA, CO, CT, FL, ID, MD, MN, MT, NJ
<b>Years</b>	1994	2000; 2001	1999; 2000; 2001	1999; 2000	1984, 1985	1984	1982 – 1987
<b>Months/Seasons</b>	Feb; Mar; Apr; May; Jun; Jul; Aug; Sep; Oct; Nov	2000 (Jun; Jul; Aug; Sep; Oct; Nov), 2001 (Jan; Feb; Apr; May)	1999 (July to Dec); 2000 (all months); 2001 (Jan and Feb)	1999 (Feb; Mar; Apr; Jul; Aug); 2000 (Jan; Feb; Mar; Sep; Oct)	Mar 1984, Jul 1984, Jan 1985	Jan, Mar, Jul	Various
<b>Number of Homes</b>	86	37	284	85	581	288	1,884
<b>Total AER Measurements</b>	161	854	524	151	1,362	316	2,844
<b>Average Number of Measurements per Home</b>	1.87	23.08	1.85	1.78	2.34	1.10	1.51
<b>Measurement Duration</b>	Not Available	24 hour	24 to 96 hours	Sample time (hours) reported. Ranges from about 1 to 7 days.	7 days	7 days	Not available
<b>Measurement Technique</b>	Not Available	Perfluorocarbon tracer.	PMCH tracer	Perfluorocarbon tracer.	Perfluorocarbon tracer.	Perfluorocarbon tracer.	Not available
<b>Min AER Value</b>	0.01	0.02	0.08	0.12	0.03	0.01	0.01
<b>Max AER Value</b>	2.70	21.44	87.50	8.87	11.77	2.91	11.77
<b>Mean AER Value</b>	0.80	0.72	1.41	1.71	1.05	0.57	0.76
<b>Min Temperature (C)</b>	-0.04	-2.18	-6.82	-1.36	11.00	3.00	Not available

	<b>Avol</b>	<b>RTP Panel</b>	<b>RIOPA</b>	<b>TEACH</b>	<b>Wilson 1984</b>	<b>Wilson 1991</b>	<b>Murray and Burmaster</b>
<b>Max Temperature (C)</b>	36.25	30.81	32.50	32.00	28.00	25.00	Not available
<b>Air Conditioner Categories</b>	No A/C; Central or Room A/C; Swamp Cooler only; Swamp + [Central or Room]	Central or Room A/C (Y/N)	Window A/C (Y/N); Evap Coolers (Y/N)	Central or Room A/C (Y/N)	Central A/C (Y/N); Room A/C (Y/N);	Central A/C (Y/N); Room A/C (Y/N); Swamp Cooler(Y/N)	Not available
<b>Air Conditioner Measurements</b>	A/C use in minutes	Not Available	Duration measurements in Hrs and Mins	Not Available	Not Available	Not Available	Not available
<b>Fan Categories</b>	Not available	Fan (Y/N)	Fan (Y/N)	Not Available	Not Available	Not Available	Not available
<b>Fan Measurements</b>	Time on or off for various fan types during sampling was recorded, but not included in database provided.	Not Available	Duration measurements in Hrs and Mins	Not Available	Not Available	Not Available	Not available
<b>Window Open/Closed Data</b>	Duration open between times 6am-12 pm; 12pm - 6 pm; and 6pm - 6am	Windows (open / closed along with duration open in inch-hours units	Windows (Open / Closed) along with window open duration measurements	Not Available	Not Available	Not Available	Not available
<b>Comments</b>			CA sample was a random sample of homes. NJ and TX homes were deliberately chosen to be near to ambient sources.	Restricted to inner-city homes with high school students.	Contemporaneous temperature data obtained for these analyses from SCRAM and <a href="http://www.wunderground.com">www.wunderground.com</a> meteorological data.	Contemporaneous temperature data obtained for these analyses from SCRAM and <a href="http://www.wunderground.com">www.wunderground.com</a> meteorological data.	

We compiled the data from these seven studies to create the following variables, of which some had missing values:

- Study
- Date
- Time – Time of the day that the AER measurement was made
- House\_ID – Residence identifier
- Measurement\_ID – Uniquely identifies each AER measurement for a given study
- AER – Air Exchange Rate (per hour)
- AER\_Duration – Length of AER measurement period
- Have\_AC – Indicates if the residence has any type of air conditioner (A/C), either a room A/C or central A/C or swamp cooler or any of them in combination. “Y” = “Yes.” “N” = “No.”
- Type\_of\_AC1 – Indicates the types of A/C or swamp cooler available in each house measured. Possible values: “Central A/C” “Central and Room A/C” “Central or Room A/C” “No A/C” “Swamp + (Central or Room)” “Swamp Cooler only” “Window A/C” “Window and Evap”
- Type\_of\_AC2 – Indicates if a house measured has either no A/C or some A/C. Possible values are “No A/C” and “Central or Room A/C.”
- Have\_Fan – Indicates if the house studied has any fans
- Mean\_Temp – Daily average outside temperature
- Min\_Temp – Minimum hourly outside temperature
- Max\_Temp – Maximum hourly outside temperature
- State
- City
- Location – Two character abbreviation
- Flag – Data status. Murray and Burmaster study: “Used” or “Not Used.” Other studies: “Used”; “Missing” (missing values for AER, Type\_of\_AC2, and/or Mean\_Temp); “Outlier”.

The main data analysis was based on the first six studies. The Murray and Burmaster data were excluded because of the absence of information on air conditioner presence. (However, a subset of these data was used for a supplementary analysis described below.) .

Based on our review of the AER data we excluded seven outlying high AER values – above 10 per hour. The main data analysis used all the remaining data that had non-missing values for AER, Type\_of\_AC2, and Mean\_Temp. We decided to base the A/C type variable on the broad characterization “No A/C” versus “Central or Room A/C” since this variable could be calculated from all of the studies (excluding Murray and Burmaster). Information on the presence or absence of swamp coolers was not available from all the studies, and, also importantly, the corresponding information on swamp cooler prevalence for the subsequent ozone modeling cities was not available from the American Housing Survey. It is plausible that AER distributions

depend upon the presence or absence of a swamp cooler. It is also plausible that AER distributions also depend upon whether the residence specifically has a central A/C, room or window A/C, or both. However we determined to use the broader A/C type definition, which in effect assumes that the exact A/C type and the presence of a swamp cooler are approximately proportionately represented in the surveyed residences.

Most of the studies had more than one AER measurement for the same house. It is reasonable to assume that the AER varies with the house as well as other factors such as the temperature. (The A/C type can be assumed to be the same for each measurement of the same house). We expected the temperature to be an important factor since the AER will be affected by the use of the available ventilation (air conditioners, windows, fans), which in turn will depend upon the outside meteorology. Therefore it is not appropriate to average data for the same house under different conditions, which might have been one way to account for dependence between multiple measurements on the same house. To simplify the data analysis, we chose to ignore possible dependence between measurements on the same house on different days and treat all the AER values as if they were statistically independent.

**Summary Statistics.** We computed summary statistics for AER and its natural logarithm LOG\_AER on selected strata defined from the study, city, A/C type, and mean temperature. Cities were defined as in the original databases, except that for Los Angeles we combined all the data in the Los Angeles ozone modeling region, i.e. the counties of Los Angeles, Orange, Ventura, Riverside, and San Bernardino. A/C type was defined from the Type\_of\_AC2 variable, which we abbreviated as “NA” = “No A/C” and “AC” = “Central or Room A/C.” The mean temperature was grouped into the following temperature bins: -10 to 0 °C, 0 to 10 °C, 10 to 20 °C, 20 to 25 °C, 25 to 30 °C, 30 to 40 °C. (Values equal to the lower bounds are excluded from each interval.) Also included were strata defined by study = “All” and/or city = “All,” and/or A/C type = “All” and/or temperature bin = “All.” The following summary statistics for AER and LOG\_AER were computed:

- Number of values
- Arithmetic Mean
- Arithmetic Standard Deviation
- Arithmetic Variance
- Deciles (Min, 10<sup>th</sup>, 20<sup>th</sup> ... 90<sup>th</sup> percentiles, Max)

These calculations exclude all seven outliers and results are not used for strata with 10 or fewer values, since those summary statistics are extremely unreliable.

Examination of these summary tables clearly demonstrates that the AER distributions vary greatly across cities and A/C types and temperatures, so that the selected AER distributions for the modeled cities should also depend upon the city, A/C type and temperature. For example, the mean AER for residences with A/C ranges from 0.39 for Los Angeles between 30 and 40 °C to 1.73 for New York between 20 and 25 °C. The mean AER for residences without A/C ranges from 0.46 for San Francisco between 10 and 20 °C to 2.29 for New York between 20 and 25 °C. The need to account for the city as well as the A/C type and temperature is illustrated by the

result that for residences with A/C and between 20 and 25 °C, the mean AER ranges from 0.52 for Research Triangle Park to 1.73 for New York. Statistical comparisons are described below.

**Statistical Comparisons.** Various statistical comparisons were carried out between the different strata, for the AER and its logarithm. The various strata are defined as in the Summary Statistics section, excluding the “All” cases. For each analysis, we fixed one or two of the variables Study, City, A/C type, temperature, and tested for statistically significant differences among other variables. The comparisons are listed in Table A-2.

**Table A-2. Summary of Comparisons of Means**

Comparison Analysis Number.	Comparison Variable(s) “Groups Compared”	Stratification Variable(s) (not missing in worksheet)	Total Comparisons	Cases with significantly different means (5 % level)	
				AER	Log AER
1.	City	Type of A/C AND Temp. Range	12	8	8
2.	Temp. Range	Study AND City	12	5	5
3.	Type of A/C	Study AND City	15	5	5
4.	City	Type of A/C	2	2	2
5.	City	Temp. Range	6	5	6
6.	Type of A/C AND Temp. Range	Study AND City	17	6	6

For example, the first set of comparisons fix the Type of A/C and the temperature range; there are twelve such combinations. For each of these twelve combinations, we compare the AER distributions across different cities. This analysis determines whether the AER distribution is appropriately defined by the A/C type and temperature range, without specifying the city. Similarly, for the sixth set of comparisons, the study and city are held fixed (17 combinations) and in each case we compare AER distributions across groups defined by the combination of the A/C type and the temperature range.

The F Statistic comparisons compare the mean values between groups using a one way analysis of variance (ANOVA). This test assumes that the AER or log(AER) values are normally distributed with a mean that may vary with the comparison variable(s) and a constant variance. We calculated the F Statistic and its P-value. P-values above 0.05 indicate cases where all the group means are not statistically significantly different at the 5 percent level. Those results are summarized in the last two columns of the above table “Summary of Comparisons of Means” which gives the number of cases where the means are significantly different. Comparison analyses 2, 3, and 6 show that for a given study and city, slightly less than half of the comparisons show significant differences in the means across temperature ranges, A/C types, or both. Comparison analyses 1, 4, and 5 show that for the majority of cases, means vary significantly across cities, whether you first stratify by temperature range, A/C type, or both.

The Kruskal-Wallis Statistic comparisons are non-parametric tests that are extensions of the more familiar Wilcoxon tests to two or more groups. The analysis is valid if the AER minus the group median has the same distribution for each group, and tests whether the group medians are equal. (The test is also consistent under weaker assumptions against more general alternatives) The P-values show similar patterns to the parametric F test comparisons of the means. Since the logarithm is a strictly increasing function and the test is non-parametric, the Kruskal-Wallis tests give identical results for AER and Log (AER).

The Mood Statistic comparisons are non-parametric tests that compare the scale statistics for two or more groups. The scale statistic measures variation about the central value, which is a non-parametric generalization of the standard deviation. Specifically, suppose there is a total of N AER or log(AER) values, summing across all the groups. These N values are ranked from 1 to N, and the j'th highest value is given a score of  $\{j - (N+1)/2\}^2$ . The Mood statistic uses a one way ANOVA statistic to compare the total scores for each group. Generally, the Mood statistics show that in most cases the scale statistics are not statistically significantly different. Since the logarithm is a strictly increasing function and the test is non-parametric, the Mood tests give identical results for AER and Log (AER).

**Fitting Distributions.** Based on the summary statistics and the statistical comparisons, the need to fit different AER distributions to each combination of A/C type, city, and temperature is apparent. For each combination with a minimum of 11 AER values, we fitted and compared exponential, log-normal, normal, and Weibull distributions to the AER values.

The first analysis used the same stratifications as in the above “Summary Statistics” and “Statistical Comparisons” sections. Results are not reported for all strata because of the minimum data requirement of 11 values. Results for each combination of A/C type, city, and temperature (i.e., A, C, and T) were analyzed. Each combination has four rows, one for each fitted distribution. For each distribution we report the fitted parameters (mean, standard deviation, scale, shape) and the p-value for three standard goodness-of-fit tests: Kolmogorov-Smirnov (K-S), Cramer-Von-Mises (C-M), Anderson-Darling (A-D). Each goodness-of-fit test compares the empirical distribution of the AER values to the fitted distribution. The K-S and C-M tests are different tests examining the overall fit, while the Anderson-Darling test gives more weight to the fit in the tails of the distribution. For each combination, the best-fitting of the four distributions has the highest p-value and is marked by an x in the final three columns. The mean and standard deviation (Std\_Dev) are the values for the fitted distribution. The scale and shape parameters are defined by:

- Exponential: density =  $\sigma^{-1} \exp(-x/\sigma)$ , where shape = mean =  $\sigma$
- Log-normal: density =  $\{\sigma x \sqrt{(2\pi)}\}^{-1} \exp\{-(\log x - \zeta)^2 / (2\sigma^2)\}$ , where shape =  $\sigma$  and scale =  $\zeta$ . Thus the geometric mean and geometric standard deviation are given by  $\exp(\zeta)$  and  $\exp(\sigma)$ , respectively.
- Normal: density =  $\{\sigma \sqrt{(2\pi)}\}^{-1} \exp\{-(x - \mu)^2 / (2\sigma^2)\}$ , where mean =  $\mu$  and standard deviation =  $\sigma$
- Weibull: density =  $(c/\sigma) (x/\sigma)^{c-1} \exp\{-(x/\sigma)^c\}$ , where shape = c and scale =  $\sigma$



Generally, the log-normal distribution was the best-fitting of the four distributions, and so, for consistency, we recommend using the fitted log-normal distributions for all the cases.

One limitation of the initial analysis was that distributions were available only for selected cities, and yet the summary statistics and comparisons demonstrate that the AER distributions depend upon the city as well as the temperature range and A/C type. As one option to address this issue, we considered modeling cities for which distributions were not available by using the AER distributions across all cities and dates for a given temperature range and A/C type.

Another important limitation of the initial analysis was that distributions were not fitted to all of the temperature ranges due to inadequate data. There are missing values between temperature ranges, and the temperature ranges are all bounded. To address this issue, the temperature ranges were regrouped to cover the entire range of temperatures from minus to plus infinity, although obviously the available data to fit these ranges have finite temperatures. Stratifying by A/C type, city, and the new temperature ranges produces results for four cities: Houston (AC and NA); Los Angeles (AC and NA); New York (AC and NA); Research Triangle Park (AC). For each of the fitted distributions we created histograms to compare the fitted distributions with the empirical distributions.

**AER Distributions for The First Nine Cities.** Based upon the results for the above four cities and the corresponding graphs, we propose using those fitted distributions for the three cities Houston, Los Angeles, and New York. For another 6 of the cities to be modeled, we propose using the distribution for one of the four cities thought to have similar characteristics to the city to be modeled with respect to factors that might influence AERs. These factors include the age composition of housing stock, construction methods, and other meteorological variables not explicitly treated in the analysis, such as humidity and wind speed patterns. The distributions proposed for these cities are as follows:

- Atlanta, GA, A/C: Use log-normal distributions for Research Triangle Park. Residences with A/C only.
- Boston, MA: Use log-normal distributions for New York
- Chicago, IL: Use log-normal distributions for New York
- Cleveland, OH: Use log-normal distributions for New York
- Detroit, MI: Use log-normal distributions for New York
- Houston, TX: Use log-normal distributions for Houston
- Los Angeles, CA: Use log-normal distributions for Los Angeles
- New York, NY: Use log-normal distributions for New York
- Philadelphia, PA: Use log-normal distributions for New York

Since the AER data for Research Triangle Park was only available for residences with air conditioning, AER distributions for Atlanta residences without air conditioning are discussed below.

To avoid unusually extreme simulated AER values, we propose to set a minimum AER value of 0.01 and a maximum AER value of 10.

Obviously, we would prefer to model each city using data from the same city, but this approach was chosen as a reasonable alternative, given the available AER data.

**AER Distributions for Sacramento and St. Louis.** For these two cities, a direct mapping to one of the four cities Houston, Los Angeles, New York, and Research Triangle Park is not recommended because the cities are likely to be too dissimilar. Instead, we decided to use the distribution for the inland parts of Los Angeles to represent Sacramento and to use the aggregate distributions for all cities outside of California to represent St. Louis. The results for the city Sacramento were obtained by combining all the available AER data for Sacramento, Riverside, and San Bernardino counties. The results for the city St. Louis were obtained by combining all non-California AER data.

**AER Distributions for Washington DC.** Washington DC was judged likely to have similar characteristics both to Research Triangle Park and to New York City. To choose between these two cities, we compared the Murray and Burmaster AER data for Maryland with AER data from each of those cities. The Murray and Burmaster study included AER data for Baltimore and for Gaithersburg and Rockville, primarily collected in March, April, and May 1987, although there is no information on mean daily temperatures or A/C type. We collected all the March, April, and May AER data for Research Triangle Park and for New York City, and compared those distributions with the Murray and Burmaster Maryland data for the same three months.

The results for the means and central values show significant differences at the 5 percent level between the New York and Maryland distributions. Between Research Triangle Park and Maryland, the central values and the mean AER values are not statistically significantly different, and the differences in the mean log (AER) values are much less statistically significant than between New York and Maryland. The scale statistic comparisons are not statistically significantly different between New York and Maryland, but were statistically significantly different between Research Triangle Park and Maryland. Since matching central and mean values is generally more important than matching the scales, we propose to model Washington DC residences with air conditioning using the Research Triangle Park distributions, stratified by temperature:

- Washington DC, A/C: Use log-normal distributions for Research Triangle Park. Residences with A/C only.

Since the AER data for Research Triangle Park was only available for residences with air conditioning, the estimated AER distributions for Washington DC residences without air conditioning are discussed below.

**AER Distributions for Washington DC and Atlanta GA Residences With No A/C.** For Atlanta and Washington DC we have proposed to use the AER distributions for Research Triangle Park. However, all the Research Triangle Park data (from the RTP Panel study) were from houses with air conditioning, so there are no available distributions for the “No A/C” cases. For these two cities, one option is to use AER distributions fitted to all the study data for residences without A/C, stratified by temperature. We propose applying the “No A/C”

distributions for modeling these two cities for residences without A/C. However, since Atlanta and Washington DC residences are expected to be better represented by residences outside of California, we instead propose to use the “No A/C” AER distributions aggregated across cities outside of California, which is the same as the recommended choice for the St. Louis “No A/C” AER distributions.

**A/C Type and Temperature Distributions.** Since the proposed AER distribution is conditional on the A/C type and temperature range, these values also need to be simulated using APEX in order to select the appropriate AER distribution. Mean daily temperatures are one of the available APEX inputs for each modeled city, so that the temperature range can be determined for each modeled day according to the mean daily temperature. To simulate the A/C type, we obtained estimates of A/C prevalence from the American Housing Survey. Thus for each city/metropolitan area, we obtained the estimated fraction of residences with Central or Room A/C (see Table A-3), which gives the probability  $p$  for selecting the A/C type “Central or Room A/C.” Obviously,  $1-p$  is the probability for “No A/C.” For comparison with Washington DC and Atlanta, we have included the A/C type percentage for Charlotte, NC (representing Research Triangle Park, NC). As discussed above, we propose modeling the 96-97 % of Washington DC and Atlanta residences with A/C using the Research Triangle Park AER distributions, and modeling the 3-4 % of Washington DC and Atlanta residences without A/C using the combined study No A/C AER distributions.

**Table A-3. Fraction of residences with central or room A/C (from American Housing Survey)**

CITY	SURVEY AREA & YEAR	PERCENTAGE
Atlanta	Atlanta, 2003	97.01
Boston	Boston, 2003	85.23
Chicago	Chicago, 2003	87.09
Cleveland	Cleveland, 2003	74.64
Detroit	Detroit, 2003	81.41
Houston	Houston, 2003	98.70
Los Angeles	Los Angeles, 2003	55.05
New York	New York, 2003	81.57
Philadelphia	Philadelphia, 2003	90.61
Sacramento	Sacramento, 2003	94.63
St. Louis	St. Louis, 2003	95.53
Washington DC	Washington DC, 2003	96.47
Research Triangle Park	Charlotte, 2002	96.56

### Other AER Studies

We recently became aware of some additional residential and non-residential AER studies that might provide additional information or data. Indoor / outdoor ozone and PAN distributions were studied by Jakobi and Fabian (1997). Liu et al (1995) studied residential ozone and AER distributions in Toronto, Canada. Weschler and Shields (2000) describes a modeling study of

ventilation and air exchange rates. Weschler (2000) includes a useful overview of residential and non-residential AER studies.

### **AER Distributions for Other Indoor Environments**

To estimate AER distributions for non-residential, indoor environments (e.g., offices and schools), we obtained and analyzed two AER data sets: “Turk” (Turk et al, 1989); and “Persily” (Persily and Gorfain 2004; Persily et al. 2005).

The earlier “Turk” data set (Turk et al, 1989) includes 40 AER measurements from offices (25 values), schools (7 values), libraries (3 values), and multi-purpose (5 values), each measured using an SF6 tracer over two- or four-hours in different seasons of the year.

The more recent “Persily” data (Persily and Gorfain 2004; Persily et al. 2005) were derived from the U.S. EPA Building Assessment Survey and Evaluation (BASE) study, which was conducted to assess indoor air quality, including ventilation, in a large number of randomly selected office buildings throughout the U.S. The data base consists of a total of 390 AER measurements in 96 large, mechanically ventilated offices; each office was measured up to four times over two days, Wednesday and Thursday AM and PM. The office spaces were relatively large, with at least 25 occupants, and preferably 50 to 60 occupants. AERs were measured both by a volumetric method and by a CO2 ratio method, and included their uncertainty estimates. For these analyses, we used the recommended “Best Estimates” defined by the values with the lower estimated uncertainty; in the vast majority of cases the best estimate was from the volumetric method.

Another study of non-residential AERs was performed by Lagus Applied Technology (1995) using a tracer gas method. That study was a survey of AERs in 16 small office buildings, 6 large office buildings, 13 retail establishments, and 14 schools. We plan to obtain and analyze these data and compare those results with the Turk and Persily studies.

Due to the small sample size of the Turk data, the data were analyzed without stratification by building type and/or season. For the Persily data, the AER values for each office space were averaged, rather using the individual measurements, to account for the strong dependence of the AER measurements for the same office space over a relatively short period.

Summary statistics of AER and log (AER) for the two studies are presented in Table A-4.

**Table A-4. AER summary statistics for offices and other non-residential buildings**

<b>Study</b>	<b>Variable</b>	<b>N</b>	<b>Mean</b>	<b>Std Dev</b>	<b>Min</b>	<b>25<sup>th</sup> %ile</b>	<b>Median</b>	<b>75<sup>th</sup> %ile</b>	<b>Max</b>
Persily	AER	96	1.9616	2.3252	0.0712	0.5009	1.0795	2.7557	13.8237
Turk	AER	40	1.5400	0.8808	0.3000	0.8500	1.5000	2.0500	4.1000
Persily	Log(AER)	96	0.1038	1.1036	-2.6417	-0.6936	0.0765	1.0121	2.6264
Turk	Log(AER)	40	0.2544	0.6390	-1.2040	-0.1643	0.4055	0.7152	1.4110

The mean values are similar for the two studies, but the standard deviations are about twice as high for the Persily data. The proposed AER distributions were derived from the more recent Persily data only.

Similarly to the analyses of the residential AER distributions, we fitted exponential, log-normal, normal, and Weibull distributions to the 96 office space average AER values. The results are shown in Table A-5.

**Table A-5. Best fitting office AER distributions from the Persily et al. (2004, 2005)**

Scale	Shape	Mean	Std_Dev	Distribution	P-Value Kolmogorov- Smirnov	P-Value Cramer- von Mises	P-Value Anderson- Darling
1.9616		1.9616	1.9616	Exponential	0.13	0.04	0.05
0.1038	1.1036	2.0397	3.1469	Lognormal	0.15	0.46	0.47
		1.9616	2.3252	Normal	0.01	0.01	0.01
1.9197	0.9579	1.9568	2.0433	Weibull		0.01	0.01

(For an explanation of the Kolmogorov-Smirnov, Cramer-von Mises, and Anderson-Darling P-values see the discussion residential AER distributions above.) According to all three goodness-of-fit measures the best-fitting distribution is the log-normal. Reasonable choices for the lower and upper bounds are the observed minimum and maximum AER values.

We therefore propose the following indoor, non-residential AER distributions.

- AER distribution for indoor, non-residential microenvironments: Lognormal, with scale and shape parameters 0.1038 and 1.1036, i.e., geometric mean = 1.1094, geometric standard deviation = 3.0150. Lower Bound = 0.07. Upper bound = 13.8.

### **Proximity and Penetration Factors For Outdoors, In-vehicle, and Mass Transit**

For the APEX modeling of the outdoor, in-vehicle, and mass transit micro-environments, an approach using proximity and penetration factors is proposed, as follows.

#### Outdoors Near Road

Penetration factor = 1.

For the Proximity factor, we propose using ratio distributions developed from the Cincinnati Ozone Study (American Petroleum Institute, 1997, Appendix B; Johnson et al. 1995). The field study was conducted in the greater Cincinnati metropolitan area in August and September, 1994. Vehicle tests were conducted according to an experimental design specifying the vehicle type, road type, vehicle speed, and ventilation mode. Vehicle types were defined by the three study vehicles: a minivan, a full-size car, and a compact car. Road types were interstate highways (interstate), principal urban arterial roads (urban), and local roads (local). Nominal vehicle

speeds (typically met over one minute intervals within 5 mph) were at 35 mph, 45 mph, or 55 mph. Ventilation modes were as follows:

- Vent Open: Air conditioner off. Ventilation fan at medium. Driver's window half open. Other windows closed.
- Normal A/C: Air conditioner at normal. All windows closed.
- Max A/C: Air conditioner at maximum. All windows closed.

Ozone concentrations were measured inside the vehicle, outside the vehicle, and at six fixed site monitors in the Cincinnati area.

The proximity factor can be estimated from the distributions of the ratios of the outside-vehicle ozone concentrations to the fixed-site ozone concentrations, reported in Table 8 of Johnson et al. (1995). Ratio distributions were computed by road type (local, urban, interstate, all) and by the fixed-site monitor (each of the six sites, as well as the nearest monitor to the test location). For this analysis we propose to use the ratios of outside-vehicle concentrations to the concentrations at the nearest fixed site monitor, as shown in Table A-6.

**Table A-6. Ratio of outside-vehicle ozone to ozone at nearest fixed site<sup>1</sup>**

Road Type <sup>1</sup>	Number of cases <sup>1</sup>	Mean <sup>1</sup>	Standard Deviation <sup>1</sup>	25 <sup>th</sup> Percentile <sup>1</sup>	50 <sup>th</sup> Percentile <sup>1</sup>	75 <sup>th</sup> Percentile <sup>1</sup>	Estimated 5 <sup>th</sup> Percentile <sup>2</sup>
Local	191	0.755	0.203	0.645	0.742	0.911	0.422
Urban	299	0.754	0.243	0.585	0.722	0.896	0.355
Interstate	241	0.364	0.165	0.232	0.369	0.484	0.093
All	731	0.626	0.278	0.417	0.623	0.808	0.170

1. From Table 8 of Johnson et al. (1995). Data excluded if fixed-site concentration < 40 ppb.
2. Estimated using a normal approximation as Mean – 1.64 × Standard Deviation

For the outdoors-near- road microenvironment, we recommend using the distribution for local roads, since most of the outdoors-near-road ozone exposure will occur on local roads. The summary data from the Cincinnati Ozone Study are too limited to allow fitting of distributions, but the 25<sup>th</sup> and 75<sup>th</sup> percentiles appear to be approximately equidistant from the median (50<sup>th</sup> percentile). Therefore we propose using a normal distribution with the observed mean and standard deviation. A plausible upper bound for the proximity factor equals 1. Although the normal distribution allows small positive values and can even produce impossible, negative values (with a very low probability), the titration of ozone concentrations near a road is limited. Therefore, as an empirical approach, we recommend a lower bound of the estimated 5<sup>th</sup> percentile, as shown in the final column of the above table. Therefore in summary we propose:

- Penetration factor for outdoors, near road: 1.

- Proximity factor for outdoors, near road: Normal distribution. Mean = 0.755. Standard Deviation = 0.203. Lower Bound = 0.422. Upper Bound = 1.

#### Outdoors, Public Garage / Parking Lot

This micro-environment is similar to the outdoors-near-road microenvironment. We therefore recommend the same distributions as for outdoors-near-road:

- Penetration factor for outdoors, public garage / parking lot: 1.
- Proximity factor for outdoors, public garage / parking lot: Normal distribution. Mean = 0.755. Standard Deviation = 0.203. Lower Bound = 0.422. Upper Bound = 1.

#### Outdoors, Other

The outdoors, other ozone concentrations should be well represented by the ambient monitors. Therefore we propose:

- Penetration factor for outdoors, other: 1.
- Proximity factor for outdoors, other: 1.

#### In-Vehicle

For the proximity factor for in-vehicle, we also recommend using the results of the Cincinnati Ozone Study presented in Table A-6. For this microenvironment, the ratios depend upon the road type, and the relative prevalences of the road types can be estimated by the proportions of vehicle miles traveled in each city. The proximity factors are assumed, as before, to be normally distributed, the upper bound to be 1, and the lower bound to be the estimated 5<sup>th</sup> percentile.

- Proximity factor for in-vehicle, local roads: Normal distribution. Mean = 0.755. Standard Deviation = 0.203. Lower Bound = 0.422. Upper Bound = 1.
- Proximity factor for in-vehicle, urban roads: Normal distribution. Mean = 0.754. Standard Deviation = 0.243. Lower Bound = 0.355. Upper Bound = 1.
- Proximity factor for in-vehicle, interstates: Normal distribution. Mean = 0.364. Standard Deviation = 0.165. Lower Bound = 0.093. Upper Bound = 1.

To complete the specification, the distribution of road type needs to be estimated for each city to be modeled. Vehicle miles traveled (VMT) in 2003 by city (defined by the Federal-Aid urbanized area) and road type were obtained from the Federal Highway Administration. (<http://www.fhwa.dot.gov/policy/ohim/hs03/htm/hm71.htm>). For local and interstate road types, the VMT for the same DOT categories were used. For urban roads, the VMT for all other road types was summed (Other freeways/expressways, Other principal arterial, Minor arterial, Collector). The computed VMT ratios for each city are shown in Table A-7.

**Table A-7. Vehicle Miles Traveled by City and Road Type in 2003 (FHWA, October 2004)**

FEDERAL-AID URBANIZED AREA	FRACTION VMT BY ROAD TYPE		
	INTERSTATE	URBAN	LOCAL
Atlanta	0.38	0.45	0.18
Boston	0.31	0.55	0.14
Chicago	0.30	0.59	0.12
Cleveland	0.39	0.45	0.16
Detroit	0.26	0.63	0.11
Houston	0.24	0.72	0.04
Los Angeles	0.29	0.65	0.06
New York	0.18	0.67	0.15
Philadelphia	0.23	0.65	0.11
Sacramento	0.21	0.69	0.09
St. Louis	0.36	0.45	0.19
Washington	0.31	0.61	0.08

Note that a "Federal-Aid Urbanized Area" is an area with 50,000 or more persons that at a minimum encompasses the land area delineated as the urbanized area by the Bureau of the Census. Urbanized areas that have been combined with others for reporting purposes are not shown separately. The Illinois portion of Round Lake Beach-McHenry-Grayslake has been reported with Chicago.

Thus to simulate the proximity factor in APEX, we propose to first select the road type according to the above probability table of road types, then select the AER distribution (normal) for that road type as defined in the last set of bullets.

For the penetration factor for in-vehicle, we recommend using the inside-vehicle to outside-vehicle ratios from the Cincinnati Ozone Study. The ratio distributions were summarized for all the data and for stratifications by vehicle type, vehicle speed, road type, traffic (light, moderate, or heavy), and ventilation. The overall results and results by ventilation type are shown in Table A-8.

**Table A-8. Ratio of inside-vehicle ozone to outside-vehicle ozone<sup>1</sup>**

Ventilation <sup>1</sup>	Number of cases <sup>1</sup>	Mean <sup>1</sup>	Standard Deviation <sup>1</sup>	25 <sup>th</sup> Percentile <sup>1</sup>	50 <sup>th</sup> Percentile <sup>1</sup>	75 <sup>th</sup> Percentile <sup>1</sup>	Estimated 5 <sup>th</sup> Percentile <sup>2</sup>
Vent Open	226	0.361	0.217	0.199	0.307	0.519	0.005
Normal A/C	332	0.417	0.211	0.236	0.408	0.585	0.071
Maximum A/C	254	0.093	0.088	0.016	0.071	0.149	0.000 <sup>3</sup>
All	812	0.300	0.232	0.117	0.251	0.463	0.000 <sup>3</sup>



1. From Table 7 of Johnson et al.(1995). Data excluded if outside-vehicle concentration < 20 ppb.
2. Estimated using a normal approximation as Mean – 1.64 × Standard Deviation
3. Negative estimate (impossible value) replaced by zero.

Although the data in Table A-8 indicate that the inside-to-outside ozone ratios strongly depend upon the ventilation type, it would be very difficult to find suitable data to estimate the ventilation type distributions for each modeled city. Furthermore, since the Cincinnati Ozone Study was scripted, the ventilation conditions may not represent real-world vehicle ventilation scenarios. Therefore, we propose to use the overall average distributions.

- Penetration factor for in-vehicle: Normal distribution. Mean = 0.300. Standard Deviation = 0.232. Lower Bound = 0.000. Upper Bound = 1.

### Mass Transit

The mass transit microenvironment is expected to be similar to the in-vehicle microenvironment. Therefore we recommend using the same APEX modeling approach:

- Proximity factor for mass transit, local roads: Normal distribution. Mean = 0.755. Standard Deviation = 0.203. Lower Bound = 0.422. Upper Bound = 1.
- Proximity factor for mass transit, urban roads: Normal distribution. Mean = 0.754. Standard Deviation = 0.243. Lower Bound = 0.355. Upper Bound = 1.
- Proximity factor for mass transit, interstates: Normal distribution. Mean = 0.364. Standard Deviation = 0.165. Lower Bound = 0.093. Upper Bound = 1.
- Road type distributions for mass transit: See Table A-6
- Penetration factor for mass transit: Normal distribution. Mean = 0.300. Standard Deviation = 0.232. Lower Bound = 0.000. Upper Bound = 1.

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**ATTACHMENT 7. TECHNICAL MEMORANDUM ON THE  
UNCERTAINTY ANALYSIS OF RESIDENTIAL AIR EXCHANGE  
RATE DISTRIBUTIONS**



## MEMORANDUM

**To:** John Langstaff, EPA OAQPS  
**From:** Jonathan Cohen, Arlene Rosenbaum, ICF International  
**Date:** June 5, 2006  
**Re:** Uncertainty analysis of residential air exchange rate distributions

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This memorandum describes our assessment of some of the sources of the uncertainty of city-specific distributions of residential air exchange rates that were fitted to the available study data. City-specific distributions for use with the APEX ozone model were developed for 12 modeling cities, as detailed in the memorandum by Cohen, Mallya and Rosenbaum, 2005<sup>6</sup> (Appendix A of this report). In the first part of the memorandum, we analyze the between-city uncertainty by examining the variation of the geometric means and standard deviations across cities and studies. In the second part of the memorandum, we assess the within-city uncertainty by using a bootstrap distribution to estimate the effects of sampling variation on the fitted geometric means and standard deviations for each city. The bootstrap distributions assess the uncertainty due to random sampling variation but do not address uncertainties due to the lack of representativeness of the available study data, the matching of the study locations to the modeled cities, and the variation in the lengths of the AER monitoring periods.

### Variation of geometric means and standard deviations across cities and studies

The memorandum by Cohen, Mallya and Rosenbaum, 2005 (Attachment 6 of this report) describes the analysis of residential air exchange rate (AER) data that were obtained from seven studies. The AER data were subset by location, outside temperature range, and the A/C type, as defined by the presence or absence of an air conditioner (central or window). In each case we chose to fit a log-normal distribution to the AER data, so that the logarithm of the AER for a given city, temperature range, and A/C type is assumed to be normally distributed. If the AER data has geometric mean GM and geometric standard deviation GSD, then the logarithm of the AER is assumed to have a normal distribution with mean  $\log(\text{GM})$  and standard deviation  $\log(\text{GSD})$ .

Table D-1 shows the assignment of the AER data to the 12 modeled cities. Note that for Atlanta, GA and Washington DC, the Research Triangle Park, NC data for houses with A/C was used to represent the AER distributions for houses with A/C, and the non-California data for houses without A/C was used to represent the AER distributions for houses without A/C. Sacramento, CA AER distributions were estimated using the AER data from the inland California counties of Sacramento, Riverside, and San Bernardino; these combined data are referred to by the City

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<sup>6</sup> Cohen, J., H. Mallya, and A. Rosenbaum. 2005. Memorandum to John Langstaff. *EPA 68D01052, Work Assignment 3-08. Analysis of Air Exchange Rate Data*. September 30, 2005.

Name “Inland California.” St Louis, MO AER distributions were estimated using the AER data from all states except for California and so are referred to be the City Name “Outside California.”

Table D-1. Assignment of Residential AER distributions to modeled cities

Modeled city	AER distribution
Atlanta, GA, A/C	Research Triangle Park, A/C only
Atlanta, GA, no A/C	All non-California, no A/C (“Outside California”)
Boston, MA	New York
Chicago, IL	New York
Cleveland, OH	New York
Detroit, MI	New York
Houston, TX	Houston
Los Angeles, CA	Los Angeles
New York, NY	New York
Philadelphia, PA	New York
Sacramento	Inland parts of Los Angeles (“Inland California”)
St. Louis	All non-California (“Outside California”)
Washington, DC, A/C	Research Triangle Park, A/C only
Washington, DC, no A/C	All non-California, no A/C (“Outside California”)

It is evident from Table D-1 that for some of the modeled cities, some potentially large uncertainty was introduced because we modeled their AER distributions using available data from another city or group of cities thought to be representative of the first city on the basis of geography and other characteristics. This was necessary for cities where we did not have any or sufficient AER data measured in the same city that also included the necessary temperature and A/C type information. One way to assess the impact of these assignments on the uncertainty of the AER distributions is to evaluate the variation of the fitted log-normal distributions across the cities with AER data. In this manner we can examine the effect on the AER distribution if a different allocation of study data to the modeled cities had been used.

Even for the cities where we have study AER data, there is uncertainty about the fitted AER distributions. First, the studies used different measurement and residence selection methods. In some cases the residences were selected by a random sampling method designed to represent the entire population. In other cases the residences were selected to represent sub-populations. For example, for the RTP study, the residences belong to two specific cohorts: a mostly Caucasian, non-smoking group aged at least 50 years having cardiac defibrillators living in Chapel Hill; a

group of non-smoking, African Americans aged at least 50 years with controlled hypertension living in a low-to-moderate SES neighborhood in Raleigh. The TEACH study was restricted to residences of inner-city high school students. The RIOPA study was a random sample for Los Angeles, but was designed to preferentially sample locations near major air toxics sources for Elizabeth, NJ and Houston TX. Furthermore, some of the studies focused on different towns or cities within the larger metropolitan areas, so that, for example, the Los Angeles data from the Avol study was only measured in Lancaster, Lake Gregory, Riverside, and San Dimas but the Los Angeles data from the Wilson studies were measured in multiple cities in Southern California. One way to assess the uncertainty of the AER distributions due to variations of study methodologies and study sampling locations is to evaluate the variation of the fitted log-normal distributions within each modeled city across the different studies.

We evaluated the variation between cities, and the variation within cities and between studies, by tabulating and plotting the AER distributions for all the study/city combinations. Since the original analyses by Cohen, Mallya and Rosenbaum, 2005 clearly showed that the AER distribution depends strongly on the outside temperature and the A/C type (whether or not the residence has air conditioning), this analysis was stratified by the outside temperature range and the A/C type. Otherwise, study or city differences would have been confounded by the temperature and A/C type differences and you would not be able to tell how much of the AER difference was due to the variation of temperature and A/C type across cities or studies. In order to be able to compare cities and studies we could not use different temperature ranges for the different modeled cities as we did for the original AER distribution modeling. For these analyses we stratified the temperature into the ranges  $\leq 10$ , 10-20, 20-25, and  $>25$  °C and categorized the A/C type as “Central or Window A/C” versus “No A/C,” giving 8 temperature and A/C type strata.

Table D-2 shows the geometric means and standard deviations by city and study. These geometric mean and standard deviation pairs are plotted in Figure D-1 through D-8. Each figure shows the variation across cities and studies for a given temperature range and A/C type. The results for a city with only one available study are shown with a blank study name. For cities with multiple studies, results are shown for the individual studies and the city overall distribution is designated by a blank value for the study name.

**Table D-2. Geometric means and standard deviations by city and study.**

A/C Type	Temperature	City	Study*	N	Geo Mean	Geo Std Dev**
Central or Room A/C	$\leq 10$	Houston		2	0.32	1.80
Central or Room A/C	$\leq 10$	Los Angeles		5	0.62	1.51
Central or Room A/C	$\leq 10$	Los Angeles	Avol	2	0.72	1.22
Central or Room A/C	$\leq 10$	Los Angeles	RIOPA	1	0.31	
Central or Room A/C	$\leq 10$	Los Angeles	Wilson 1991	2	0.77	1.12
Central or Room A/C	$\leq 10$	New York City		20	0.71	2.02
Central or Room A/C	$\leq 10$	Research Triangle Park		157	0.96	1.81
Central or Room A/C	$\leq 10$	Sacramento		3	0.38	1.82
Central or Room A/C	$\leq 10$	San Francisco		2	0.43	1.00
Central or Room A/C	$\leq 10$	Stockton		7	0.48	1.64
Central or Room A/C	10-20	Arcata		1	0.17	
Central or Room A/C	10-20	Bakersfield		2	0.36	1.34

**Table D-2. Geometric means and standard deviations by city and study.**

A/C Type	Temperature	City	Study*	N	Geo Mean	Geo Std Dev**
Central or Room A/C	10-20	Fresno		8	0.30	1.62
Central or Room A/C	10-20	Houston		13	0.42	2.19
Central or Room A/C	10-20	Los Angeles		716	0.59	1.90
Central or Room A/C	10-20	Los Angeles	Avol	33	0.48	1.87
Central or Room A/C	10-20	Los Angeles	RIOPA	11	0.60	1.87
Central or Room A/C	10-20	Los Angeles	TEACH	1	0.68	
Central or Room A/C	10-20	Los Angeles	Wilson 1984	634	0.59	1.89
Central or Room A/C	10-20	Los Angeles	Wilson 1991	37	0.64	2.11
Central or Room A/C	10-20	New York City		5	1.36	2.34
Central or Room A/C	10-20	New York City	RIOPA	4	1.20	2.53
Central or Room A/C	10-20	New York City	TEACH	1	2.26	
Central or Room A/C	10-20	Redding		1	0.31	
Central or Room A/C	10-20	Research Triangle Park		320	0.56	1.91
Central or Room A/C	10-20	Sacramento		7	0.26	1.67
Central or Room A/C	10-20	San Diego		23	0.41	1.55
Central or Room A/C	10-20	San Francisco		5	0.42	1.25
Central or Room A/C	10-20	Santa Maria		1	0.23	
Central or Room A/C	10-20	Stockton		4	0.73	1.42
Central or Room A/C	20-25	Houston		20	0.47	1.94
Central or Room A/C	20-25	Los Angeles		273	1.10	2.36
Central or Room A/C	20-25	Los Angeles	Avol	32	0.61	1.95
Central or Room A/C	20-25	Los Angeles	RIOPA	26	0.90	2.42
Central or Room A/C	20-25	Los Angeles	Wilson 1984	215	1.23	2.33
Central or Room A/C	20-25	New York City		37	1.11	2.74
Central or Room A/C	20-25	New York City	RIOPA	20	0.93	2.91
Central or Room A/C	20-25	New York City	TEACH	17	1.37	2.52
Central or Room A/C	20-25	Red Bluff		2	0.61	3.20
Central or Room A/C	20-25	Research Triangle Park		196	0.40	1.89
Central or Room A/C	> 25	Houston		79	0.43	2.17
Central or Room A/C	> 25	Los Angeles		114	0.72	2.60
Central or Room A/C	> 25	Los Angeles	Avol	25	0.37	3.10
Central or Room A/C	> 25	Los Angeles	RIOPA	10	0.94	1.71
Central or Room A/C	> 25	Los Angeles	Wilson 1984	79	0.86	2.33
Central or Room A/C	> 25	New York City		19	1.24	2.18
Central or Room A/C	> 25	New York City	RIOPA	14	1.23	2.28
Central or Room A/C	> 25	New York City	TEACH	5	1.29	2.04
Central or Room A/C	> 25	Research Triangle Park		145	0.38	1.71
No A/C	<= 10	Houston		13	0.66	1.68
No A/C	<= 10	Los Angeles		18	0.54	3.09
No A/C	<= 10	Los Angeles	Avol	14	0.51	3.60
No A/C	<= 10	Los Angeles	RIOPA	2	0.72	1.11
No A/C	<= 10	Los Angeles	Wilson 1991	2	0.60	1.00
No A/C	<= 10	New York City		48	1.02	2.14
No A/C	<= 10	New York City	RIOPA	44	1.04	2.20
No A/C	<= 10	New York City	TEACH	4	0.79	1.28
No A/C	<= 10	Sacramento		3	0.58	1.30



**Table D-2. Geometric means and standard deviations by city and study.**

A/C Type	Temperature	City	Study*	N	Geo Mean	Geo Std Dev**
No A/C	<= 10	San Francisco		9	0.39	1.42
No A/C	10-20	Bakersfield		1	0.85	
No A/C	10-20	Fresno		4	0.90	2.42
No A/C	10-20	Houston		28	0.63	2.92
No A/C	10-20	Los Angeles		390	0.75	2.09
No A/C	10-20	Los Angeles	Avol	23	0.78	2.55
No A/C	10-20	Los Angeles	RIOPA	87	0.78	1.96
No A/C	10-20	Los Angeles	TEACH	9	2.32	2.05
No A/C	10-20	Los Angeles	Wilson 1984	241	0.70	2.06
No A/C	10-20	Los Angeles	Wilson 1991	30	0.75	1.82
No A/C	10-20	New York City		59	0.79	2.04
No A/C	10-20	Sacramento		1	1.09	
No A/C	10-20	San Diego		49	0.47	1.95
No A/C	10-20	San Francisco		15	0.34	3.05
No A/C	10-20	Santa Maria		2	0.27	1.23
No A/C	20-25	Houston		10	0.92	2.41
No A/C	20-25	Los Angeles		148	1.37	2.28
No A/C	20-25	Los Angeles	Avol	19	0.95	1.87
No A/C	20-25	Los Angeles	RIOPA	38	1.30	2.11
No A/C	20-25	Los Angeles	Wilson 1984	91	1.52	2.40
No A/C	20-25	New York City		26	1.62	2.24
No A/C	20-25	New York City	RIOPA	19	1.50	2.30
No A/C	20-25	New York City	TEACH	7	1.99	2.11
No A/C	20-25	Red Bluff		1	0.55	
No A/C	> 25	Houston		2	0.92	3.96
No A/C	> 25	Los Angeles		25	0.99	1.97
No A/C	> 25	Los Angeles	Avol	6	1.56	1.36
No A/C	> 25	Los Angeles	RIOPA	4	1.33	1.37
No A/C	> 25	Los Angeles	TEACH	3	0.86	1.02
No A/C	> 25	Los Angeles	Wilson 1984	12	0.74	2.29
No A/C	> 25	New York City		6	1.54	1.65
No A/C	> 25	New York City	RIOPA	3	1.73	2.00
No A/C	> 25	New York City	TEACH	3	1.37	1.38

\* For a given city, if AER data were available from only one study, then the study name is missing. If AER data were available for two or more studies, then the overall city distribution is shown in the row where the study name is missing, and the distributions by study and city are shown in the rows with a specific study name.

\*\* The geometric standard deviation is undefined if the sample size equals 1.

In general, there is a relatively wide variation across different cities. This implies that the AER modeling results would be very different if the matching of modeled cities to study cities was changed, although a sensitivity study using the APEX model would be needed to assess the impact on the ozone exposure estimates. In particular the ozone exposure estimates may be sensitive to the assumption that the St. Louis AER distributions can be represented by the combined non-California AER data. One way to address this is to perform a Monte Carlo analysis where the first stage is to randomly select a city outside of California, the second stage picks the A/C type, and the third stage picks the AER value from the assigned distribution for the city, A/C type and temperature range. Note that this will result in a very different distribution to

the current approach that fits a single log-normal distribution to all the non-California data for a given temperature range and A/C type. The current approach weights each data point equally, so that cities like New York with most of the data values get the greatest statistical weight. The Monte Carlo approach gives the same total statistical weight for each city and fits a mixture of log-normal distributions rather than a single distribution.

In general, there is also some variation within studies for the same city, but this is much smaller than the variation across cities. This finding tends to support the approach of combining different studies. Note that the graphs can be deceptive in this regard because some of the data points are based on very small sample sizes (N) ; those data points are less precise and the differences would not be statistically significant. For example, for the No A/C data in the range 10-20 °C, the Los Angeles TEACH study had a geometric mean of 2.32 based on only nine AER values, but the overall geometric mean, based on 390 values, was 0.75 and the geometric means for the Los Angeles Avol, RIOPA, Wilson 1984, and Wilson 1991 studies were each close to 0.75. One noticeable case where the studies show big differences for the same city is for the A/C houses in Los Angeles in the range 20-25 °C where the study geometric means are 0.61 (Avol, N=32), 0.90 (RIOPA, N=26) and 1.23 (Wilson 1984, N=215).

### Bootstrap analyses

The 39 AER subsets defined in the Cohen, Mallya, and Rosenbaum, 2005 memorandum (Appendix A of this report) and their allocation to the 12 modeled cities are shown in Table D-3. To make the distributions sufficiently precise in each AER subset and still capture the variation across temperature and A/C type, different modeled cities were assigned different temperature range and A/C type groupings. Therefore these temperature range groupings are sometimes different to those used to develop Table D-2 and Figure D-1 through D-8.

**Table D-3. AER subsets by city, A/C type, and temperature range.**

Subset City Name	Study Cities	Represents Modeled Cities:	A/C Type	Temperature Range (°C)
Houston	Houston	Houston, TX	Central or Room A/C	<=20
Houston	Houston	Houston, TX	Central or Room A/C	20-25
Houston	Houston	Houston, TX	Central or Room A/C	25-30
Houston	Houston	Houston, TX	Central or Room A/C	>30
Houston	Houston	Houston, TX	No A/C	<=10
Houston	Houston	Houston, TX	No A/C	10-20
	Houston	Houston, TX	No A/C	>20
Inland California	Sacramento, Riverside, and San Bernardino counties, CA	Sacramento, CA	Central or Room A/C	<=25
Inland California	Sacramento, Riverside, and San Bernardino counties, CA	Sacramento, CA	Central or Room A/C	>25
Inland California	Sacramento, Riverside, and San Bernardino counties, CA	Sacramento, CA	No A/C	<=10
Inland California	Sacramento, Riverside, and San Bernardino counties, CA	Sacramento, CA	No A/C	10-20
Inland California	Sacramento, Riverside,	Sacramento, CA	No A/C	20-25

**Table D-3. AER subsets by city, A/C type, and temperature range.**

<b>Subset City Name</b>	<b>Study Cities</b>	<b>Represents Modeled Cities:</b>	<b>A/C Type</b>	<b>Temperature Range (°C)</b>
	and San Bernardino counties, CA			
Inland California	Sacramento, Riverside, and San Bernardino counties, CA	Sacramento, CA	No A/C	>25
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	Central or Room A/C	≤20
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	Central or Room A/C	20-25
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	Central or Room A/C	25-30
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	Central or Room A/C	>30
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	No A/C	≤10
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	No A/C	10-20
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	No A/C	20-25
Los Angeles	Los Angeles, Orange, Riverside, San Bernardino, and Ventura counties, CA	Los Angeles, CA	No A/C	>25
New York City	New York, NY	Boston, MA, Chicago, IL, Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA	Central or Room A/C	≤10
New York City	New York, NY	Boston, MA, Chicago, IL, Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA	Central or Room A/C	10-25
New York City	New York, NY	Boston, MA, Chicago, IL, Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA	Central or Room A/C	>25
New York City	New York, NY	Boston, MA, Chicago, IL,	No A/C	≤10

**Table D-3. AER subsets by city, A/C type, and temperature range.**

Subset City Name	Study Cities	Represents Modeled Cities:	A/C Type	Temperature Range (°C)
		Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA		
New York City	New York, NY	Boston, MA, Chicago, IL, Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA	No A/C	10-20
New York City	New York, NY	Boston, MA, Chicago, IL, Cleveland, OH, Detroit, MI, New York, NY, Philadelphia, PA	No A/C	>20
Outside California	Cities outside CA	St. Louis, MO	Central or Room A/C	≤10
Outside California	Cities outside CA	St. Louis, MO	Central or Room A/C	10-20
Outside California	Cities outside CA	St. Louis, MO	Central or Room A/C	20-25
Outside California	Cities outside CA	St. Louis, MO	Central or Room A/C	25-30
Outside California	Cities outside CA	St. Louis, MO	Central or Room A/C	>30
Outside California	Cities outside CA	St. Louis, MO Atlanta, GA Washington DC	No A/C	≤10
Outside California	Cities outside CA	St. Louis, MO Atlanta, GA Washington DC	No A/C	10-20
Outside California	Cities outside CA	St. Louis, MO Atlanta, GA Washington DC	No A/C	>20
Research Triangle Park	Research Triangle Park, NC	Atlanta, GA Washington DC	Central or Room A/C	≤10
Research Triangle Park	Research Triangle Park, NC	Atlanta, GA Washington DC	Central or Room A/C	10-20
Research Triangle Park	Research Triangle Park, NC	Atlanta, GA Washington DC	Central or Room A/C	20-25
Research Triangle Park	Research Triangle Park, NC	Atlanta, GA Washington DC	Central or Room A/C	>25

The GM and GSD values that define the fitted log-normal distributions for these 39 AER subsets are shown in Table D-4. Examples of these pairs are also plotted in Figures D-9 through D-19, to be further described below. Each of the example figures D-9 through D-19 corresponds to a single GM/GSD “Original Data” pair. The GM and GSD values for the “Original Data” are at the intersection of the horizontal and vertical lines that are parallel to the x- and y-axes in the figures.

**Table D-4. Geometric means and standard deviations for AER subsets by city, A/C type, and temperature range.**

Subset City Name	A/C Type	Temperature Range (°C)	N	Geometric Mean	Geometric Standard Deviation
Houston	Central or Room A/C	<=20	15	0.4075	2.1135
Houston	Central or Room A/C	20-25	20	0.4675	1.9381
Houston	Central or Room A/C	25-30	65	0.4221	2.2579
Houston	Central or Room A/C	>30	14	0.4989	1.7174
Houston	No A/C	<=10	13	0.6557	1.6794
Houston	No A/C	10-20	28	0.6254	2.9162
	No A/C	>20	12	0.9161	2.4512
Inland California	Central or Room A/C	<=25	226	0.5033	1.9210
Inland California	Central or Room A/C	>25	83	0.8299	2.3534
Inland California	No A/C	<=10	17	0.5256	3.1920
Inland California	No A/C	10-20	52	0.6649	2.1743
Inland California	No A/C	20-25	13	1.0536	1.7110
Inland California	No A/C	>25	14	0.8271	2.2646
Los Angeles	Central or Room A/C	<=20	721	0.5894	1.8948
Los Angeles	Central or Room A/C	20-25	273	1.1003	2.3648
Los Angeles	Central or Room A/C	25-30	102	0.8128	2.4151
Los Angeles	Central or Room A/C	>30	12	0.2664	2.7899
Los Angeles	No A/C	<=10	18	0.5427	3.0872
Los Angeles	No A/C	10-20	390	0.7470	2.0852
Los Angeles	No A/C	20-25	148	1.3718	2.2828
Los Angeles	No A/C	>25	25	0.9884	1.9666
New York City	Central or Room A/C	<=10	20	0.7108	2.0184
New York City	Central or Room A/C	10-25	42	1.1392	2.6773
New York City	Central or Room A/C	>25	19	1.2435	2.1768
New York City	No A/C	<=10	48	1.0165	2.1382
New York City	No A/C	10-20	59	0.7909	2.0417
New York City	No A/C	>20	32	1.6062	2.1189
Outside California	Central or Room A/C	<=10	179	0.9185	1.8589
Outside California	Central or Room A/C	10-20	338	0.5636	1.9396
Outside California	Central or Room A/C	20-25	253	0.4676	2.2011
Outside California	Central or Room A/C	25-30	219	0.4235	2.0373

**Table D-4. Geometric means and standard deviations for AER subsets by city, A/C type, and temperature range.**

Subset City Name	A/C Type	Temperature Range (°C)	N	Geometric Mean	Geometric Standard Deviation
Outside California	Central or Room A/C	>30	24	0.5667	1.9447
Outside California	No A/C	<=10	61	0.9258	2.0836
Outside California	No A/C	10-20	87	0.7333	2.3299
Outside California	No A/C	>20	44	1.3782	2.2757
Research Triangle Park	Central or Room A/C	<=10	157	0.9617	1.8094
Research Triangle Park	Central or Room A/C	10-20	320	0.5624	1.9058
Research Triangle Park	Central or Room A/C	20-25	196	0.3970	1.8887
Research Triangle Park	Central or Room A/C	>25	145	0.3803	1.7092

To evaluate the uncertainty of the GM and GSD values, a bootstrap simulation was performed, as follows. Suppose that a given AER subset has N values. A bootstrap sample is obtained by sampling N times at random with replacement from the N AER values. The first AER value in the bootstrap sample is selected randomly from the N values, so that each of the N values is equally likely. The second, third, ..., N'th values in the bootstrap sample are also selected randomly from the N values, so that for each selection, each of the N values is equally likely. The same value can be selected more than once. Using this bootstrap sample, the geometric mean and geometric standard deviation of the N values in the bootstrap sample was calculated. This pair of values is plotted as one of the points in a figure for that AER subset. 1,000 bootstrap samples were randomly generated for each AER subset, producing a set of 1,000 geometric mean and geometric standard deviation pairs, which were plotted in example Figures D-9 through D-19.

The bootstrap distributions display the part of the uncertainty of the GM and GSD that is entirely due to random sampling variation. The analysis is based on the assumption that the study AER data are a random sample from the population distribution of AER values for the given city, temperature range, and A/C type. On that basis, the 1,000 bootstrap GM and GSD pairs estimate the variation of the GM and GSD across all possible samples of N values from the population. Since each GM, GSD pair uniquely defines a fitted log-normal distribution, the pairs also estimate the uncertainty of the fitted log-normal distribution. The choice of 1,000 was made as a compromise between having enough pairs to accurately estimate the GM, GSD distribution and not having too many pairs so that the graph appears as a smudge of overlapped points. Note that even if there were infinitely many bootstrap pairs, the uncertainty distribution would still be an estimate of the true uncertainty because the N is finite, so that the empirical distribution of the N measured AER values does not equal the unknown population distribution.

In most cases the uncertainty distribution appears to be a roughly circular or elliptical geometric mean and standard deviation region. The size of the region depends upon the sample size and on the variability of the AER values; the region will be smallest when the sample size N is large

and/or the variability is small, so that there are a large number of values that are all close together.

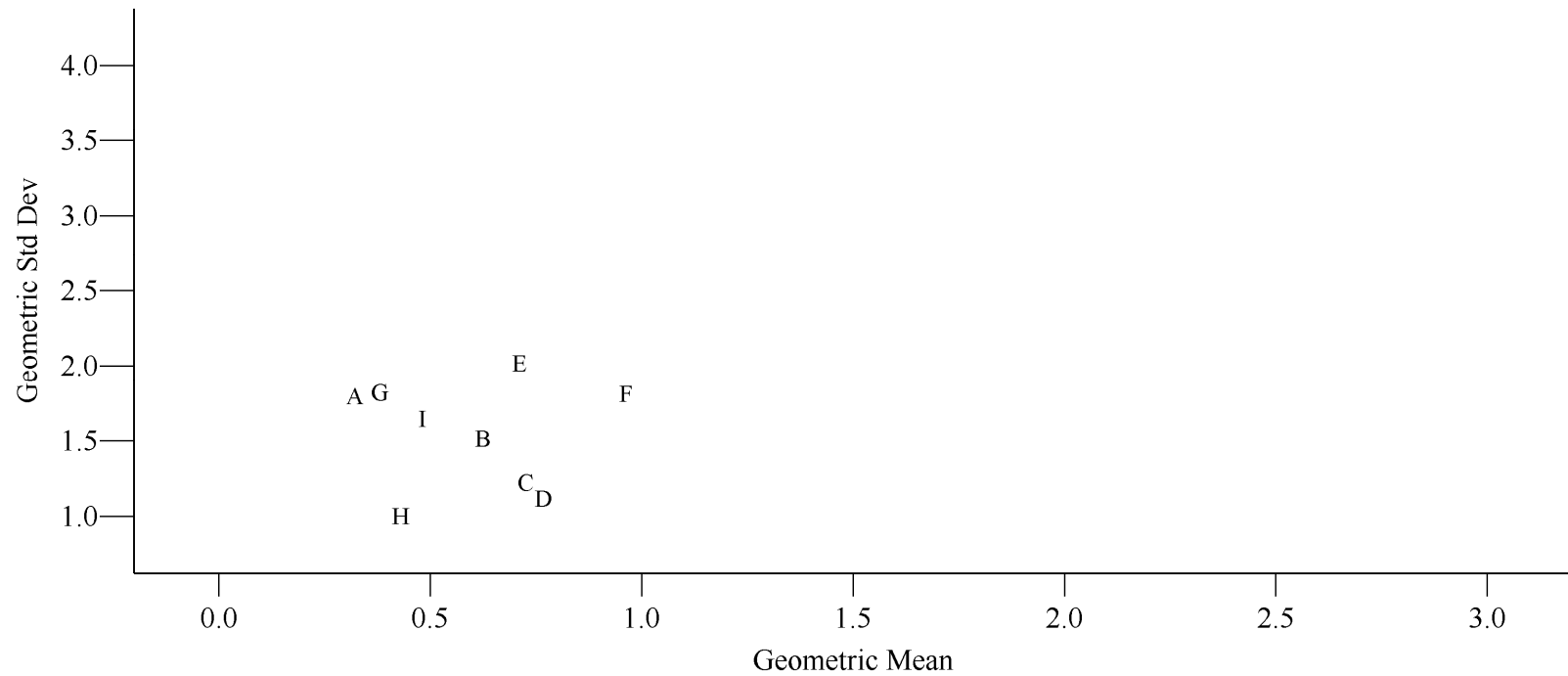
The bootstrap analyses show that the geometric standard deviation uncertainty for a given CMSA/air-conditioning-status/temperature-range combination tends to have a range of at most from “fitted GSD-1.0 hr<sup>-1</sup>” to “fitted GSD+1.0 hr<sup>-1</sup>”, but the intervals based on larger AER sample sizes are frequently much narrower. The ranges for the geometric means tend to be approximately from “fitted GM-0.5 hr<sup>-1</sup>” to “fitted GM+0.5 hr<sup>-1</sup>”, but in some cases were much smaller.

The bootstrap analysis only evaluates the uncertainty due to the random sampling. It does not account for the uncertainty due to the lack of representativeness, which in turn is due to the fact that the samples were not always random samples from the entire population of residences in a city, and were sometimes used to represent different cities. Since only the GM and GSD were used, the bootstrap analyses does not account for uncertainties about the true distributional shape, which may not necessarily be log-normal. Furthermore, the bootstrap uncertainty does not account for the effect of the calendar year (possible trends in AER values) or of the uncertainty due to the AER measurement period; the distributions were intended to represent distributions of 24 hour average AER values although the study AER data were measured over a variety of measurement periods.

To use the bootstrap distributions to estimate the impact of sample size on the fitted distributions, a Monte Carlo approach could be used with the APEX model. Instead of using the Original Data distributions, a bootstrap GM, GSD pair could be selected at random and the AER value could be selected randomly from the log-normal distribution with the bootstrap GM and GSD.

**Figure D-1**

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: Central or Room A/C  
Temperature Range:  $\leq 10$  Degrees Celsius



A A A Houston  
E E E New York City  
I I I Stockton

B B B Los Angeles  
F F F Research Triangle Park

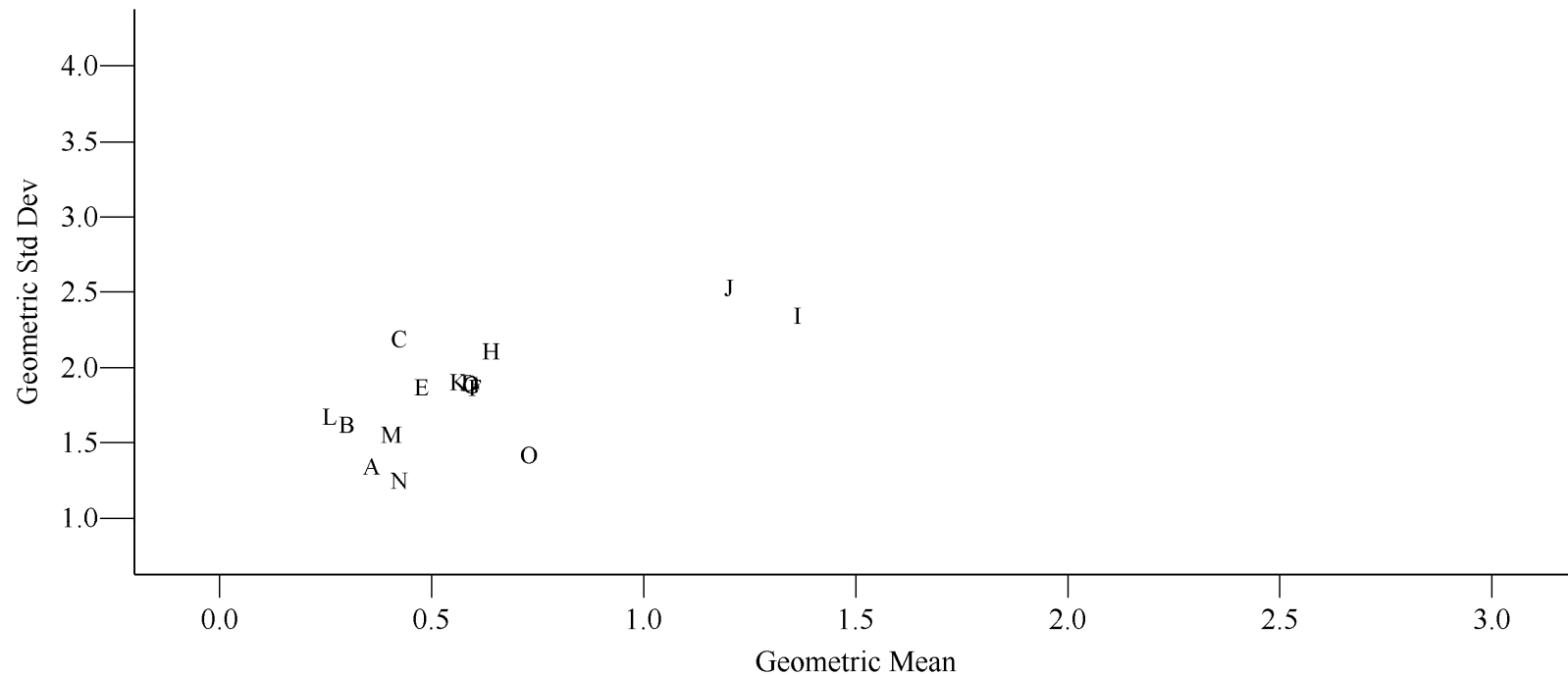
C C C Los Angeles-Avol  
G G G Sacramento

D D D Los Angeles-Wilson 1991  
H H H San Francisco



## Figure D-2

Geometric mean and standard deviation of air exchange rate  
 For different cities and studies  
 Air Conditioner Type: Central or Room A/C  
 Temperature Range: 10-20 Degrees Celsius



A A A Bakersfield  
 E E E LosAngeles-Avol  
 I I I NewYorkCity  
 M M M SanDiego

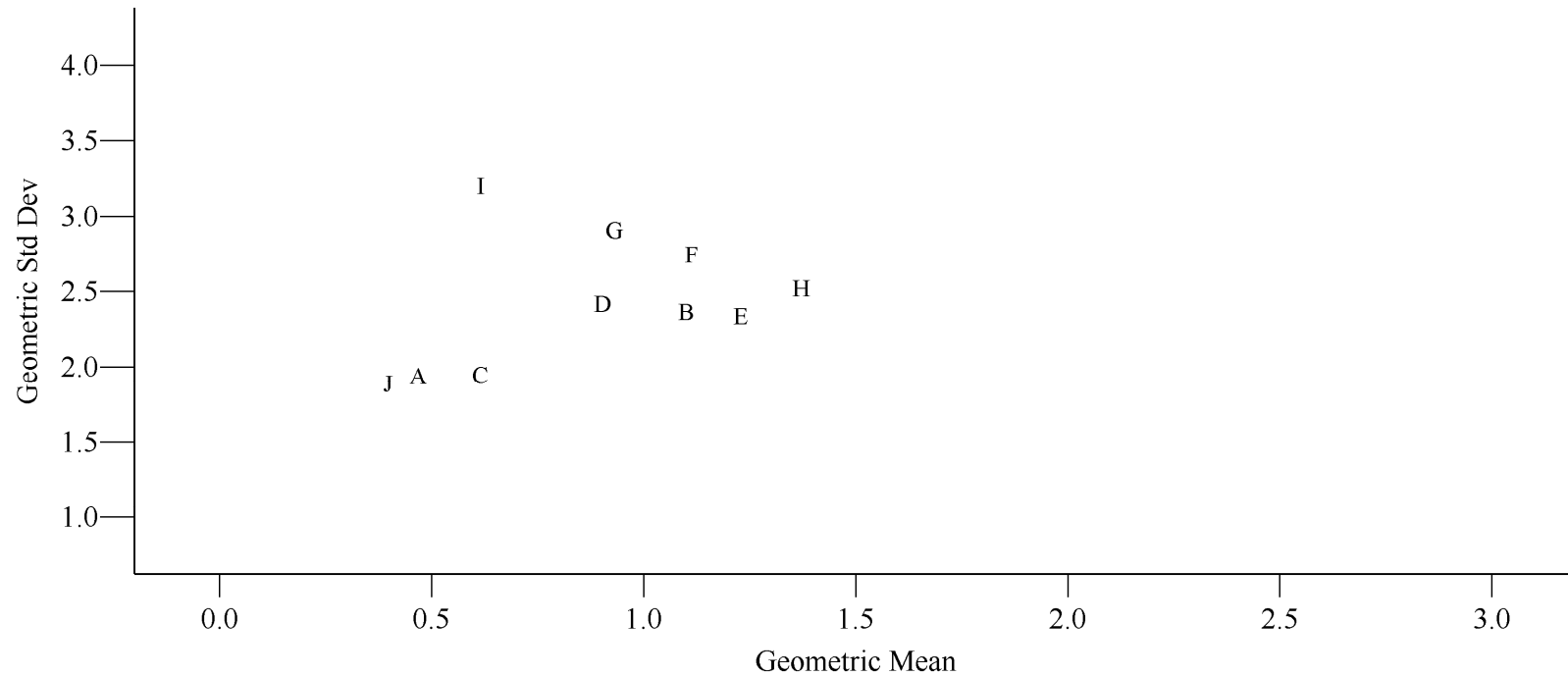
B B B Fresno  
 F F F LosAngeles-RIOPA  
 J J J NewYorkCity-RIOPA  
 N N N SanFrancisco

C C C Houston  
 G G G LosAngeles-Wilson1984  
 K K K ResearchTrianglePark  
 O O O Stockton

D D D LosAngeles  
 H H H LosAngeles-Wilson1991  
 L L L Sacramento

**Figure D-3**

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 20-25 Degrees Celsius



A A A Houston

B B B Los Angeles

C C C Los Angeles-Avol

D D D Los Angeles-RIOPA

E E E Los Angeles-Wilson1984

F F F New York City

G G G New York City-RIOPA

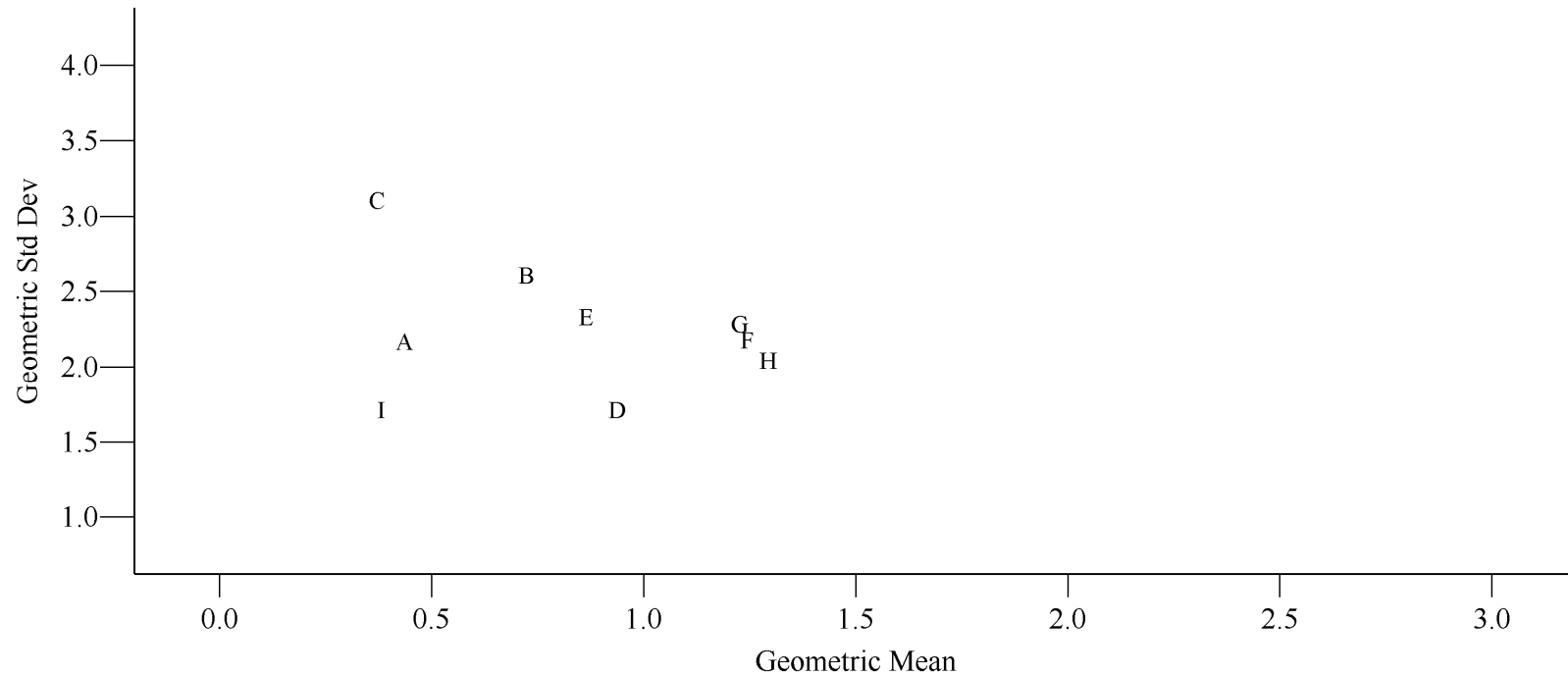
H H H New York City-TEACH

I I I Red Bluff

J J J Research Triangle Park

**Figure D-4**

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: Central or Room A/C  
Temperature Range: > 25 Degrees Celsius



A A A Houston

B B B Los Angeles

C C C Los Angeles-Avol

D D D Los Angeles-RIOPA

E E E Los Angeles-Wilson1984

F F F New York City

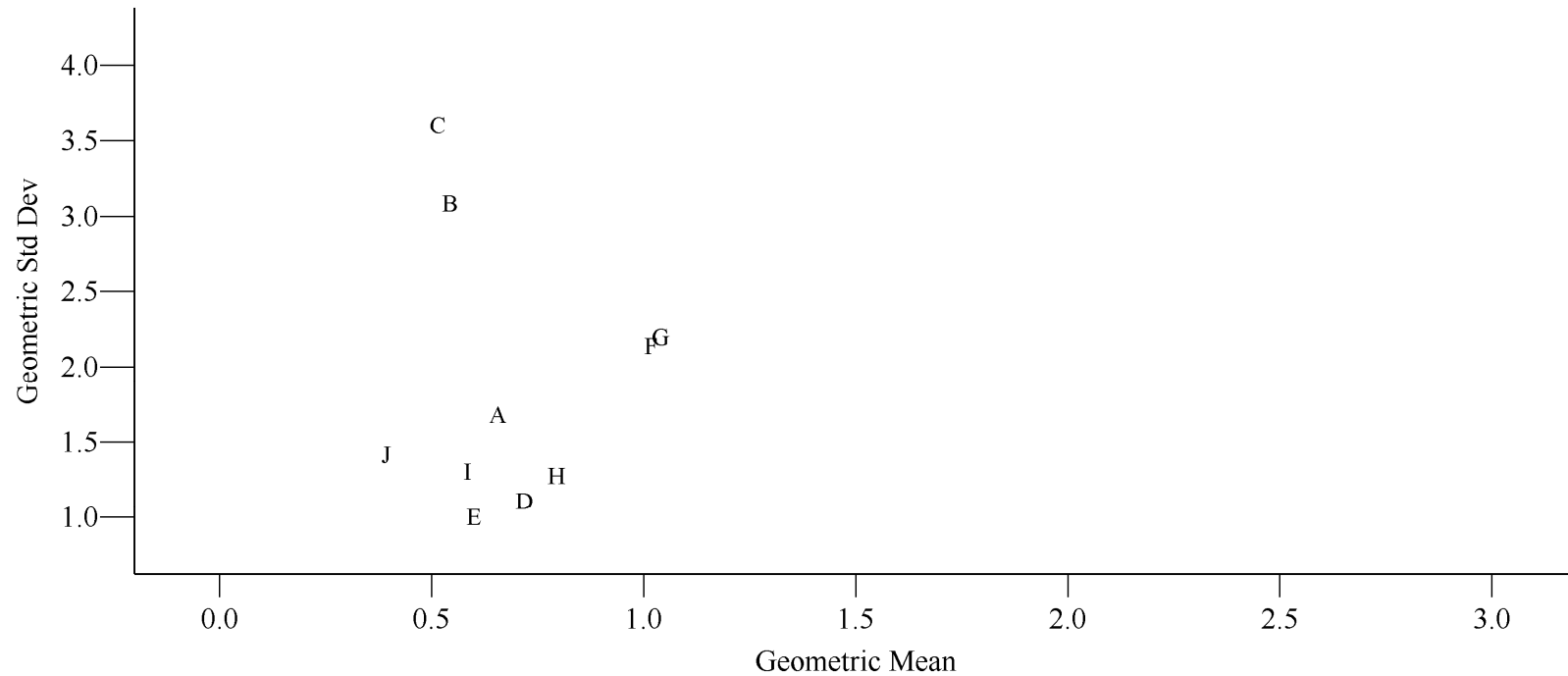
G G G New York City-RIOPA

H H H New York City-TEACH

I I I Research Triangle Park

**Figure D-5**

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: No A/C  
Temperature Range:  $\leq 10$  Degrees Celsius



A A A Houston

B B B Los Angeles

C C C Los Angeles-Avol

D D D Los Angeles-RIOPA

E E E Los Angeles-Wilson1991

F F F New York City

G G G New York City-RIOPA

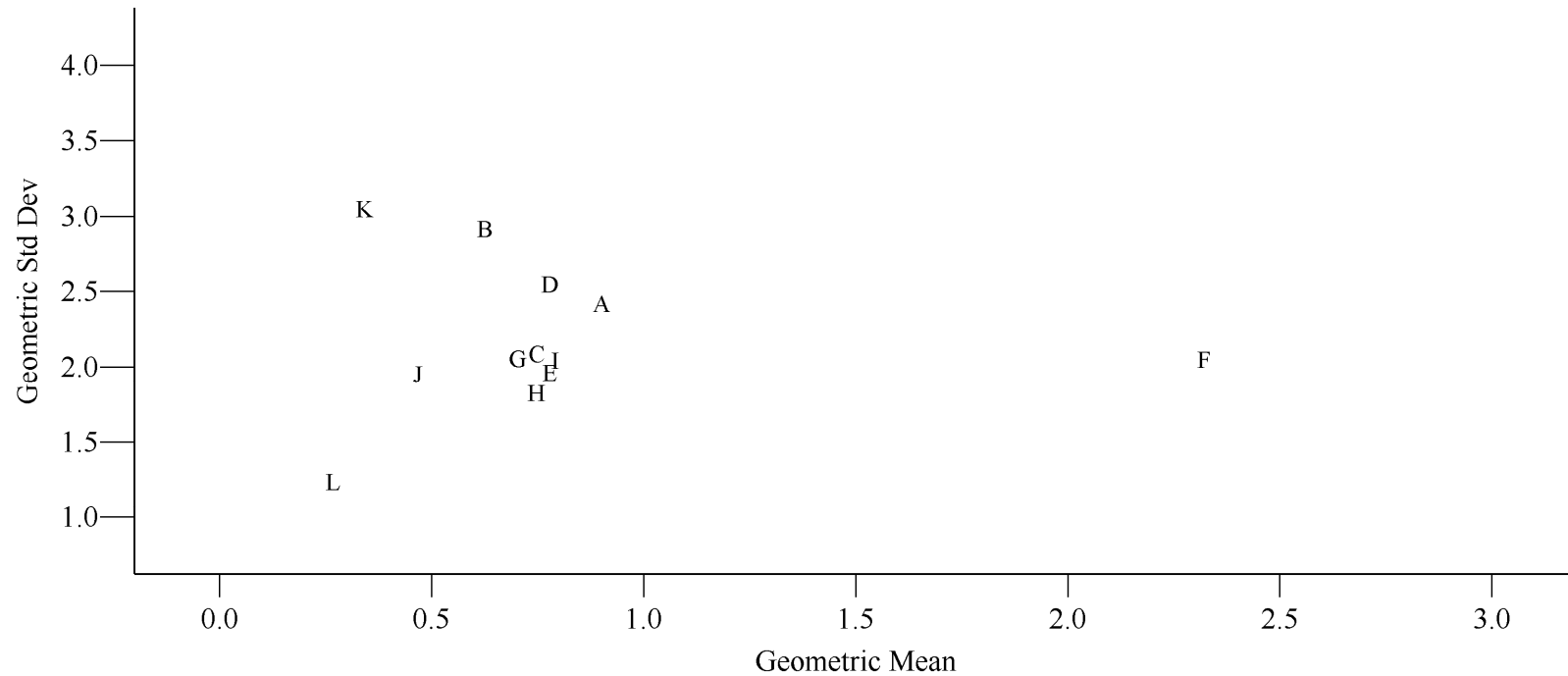
H H H New York City-TEACH

I I I Sacramento

J J J San Francisco

**Figure D-6**

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: No A/C  
Temperature Range: 10-20 Degrees Celsius



A A A Fresno  
E E E Los Angeles-RIOPA  
I I I New York City

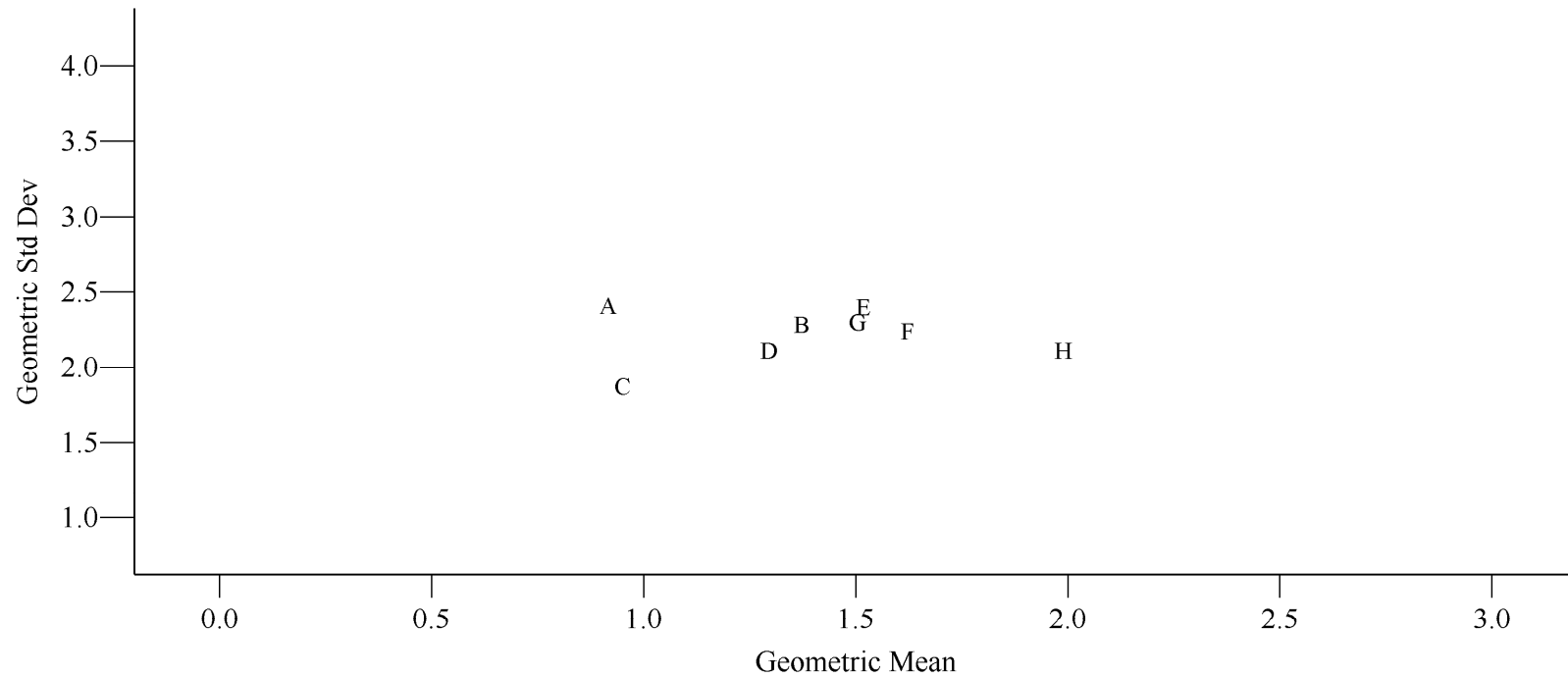
B B B Houston  
F F F Los Angeles-TEACH  
J J J San Diego

C C C Los Angeles  
G G G Los Angeles-Wilson1984  
K K K San Francisco

D D D Los Angeles-Avol  
H H H Los Angeles-Wilson1991  
L L L Santa Maria

## Figure D-7

Geometric mean and standard deviation of air exchange rate  
For different cities and studies  
Air Conditioner Type: No A/C  
Temperature Range: 20-25 Degrees Celsius



A A A Houston

B B B Los Angeles

C C C Los Angeles-Avol

D D D Los Angeles-RIOPA

E E E Los Angeles-Wilson1984

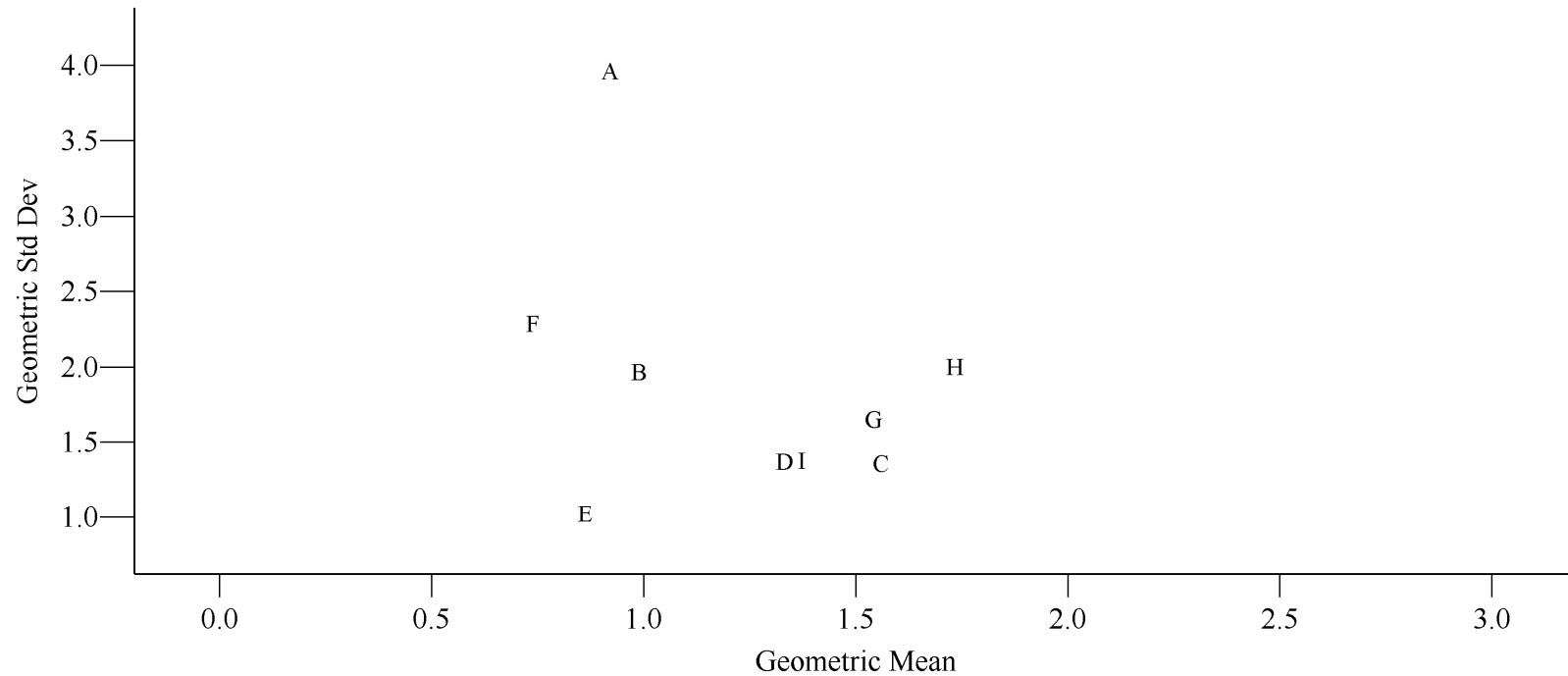
F F F New York City

G G G New York City-RIOPA

H H H New York City-TEACH

## Figure D-8

Geometric mean and standard deviation of air exchange rate  
 For different cities and studies  
 Air Conditioner Type: No A/C  
 Temperature Range: > 25 Degrees Celsius



AAA Houston  
 EEE Los Angeles-TEACH  
 III New York City-TEACH

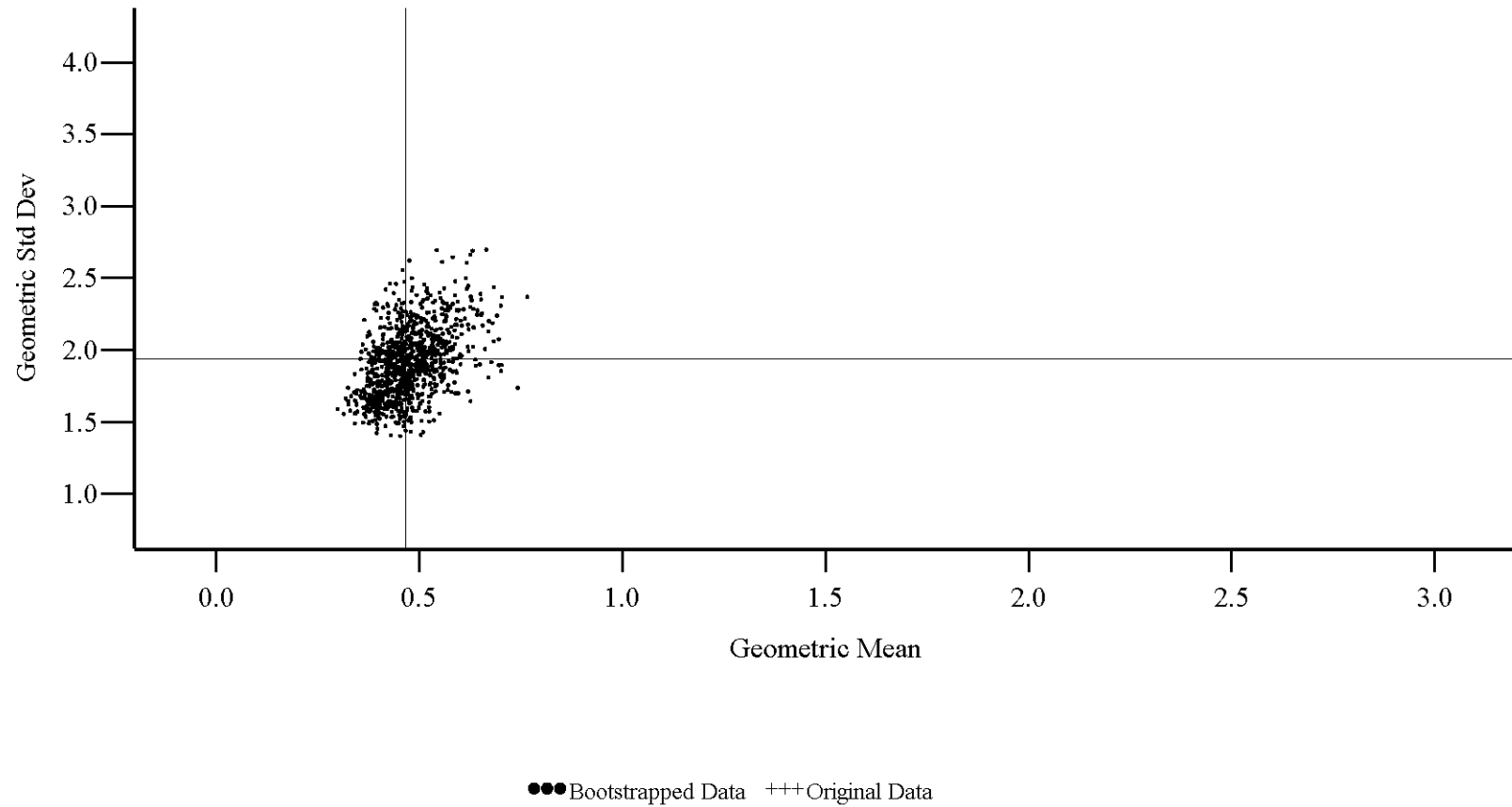
BBB Los Angeles  
 FFF Los Angeles-Wilson1984

CCC Los Angeles-Avol  
 GGG New York City

DDD Los Angeles-RIOPA  
 HHH New York City-RIOPA

### Figure D-9

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Houston  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 20-25 Degrees Celsius





**Figure D-10**

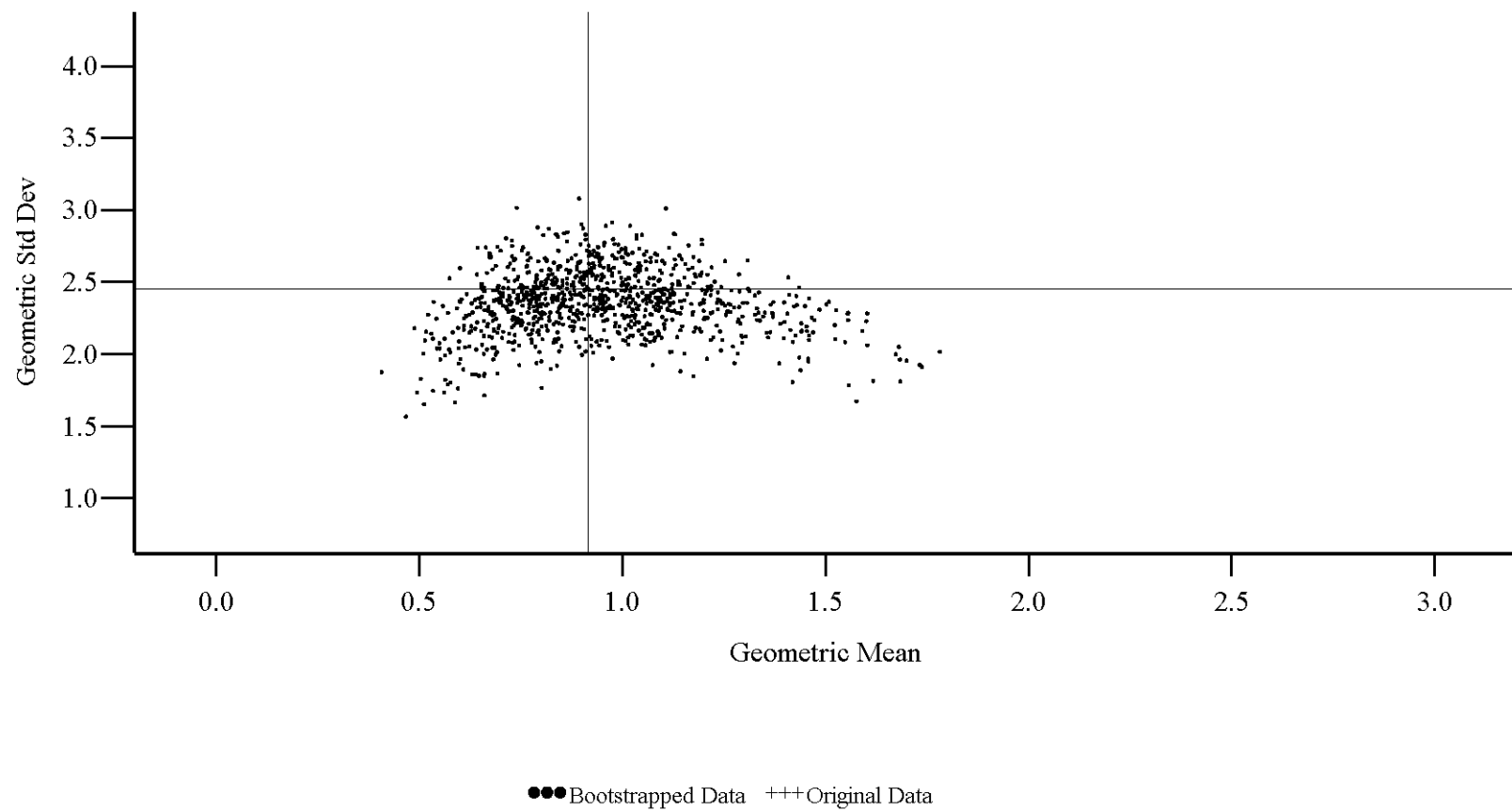
Geometric mean and standard deviation of air exchange rate

Bootstrapped distributions for different cities

City: Houston

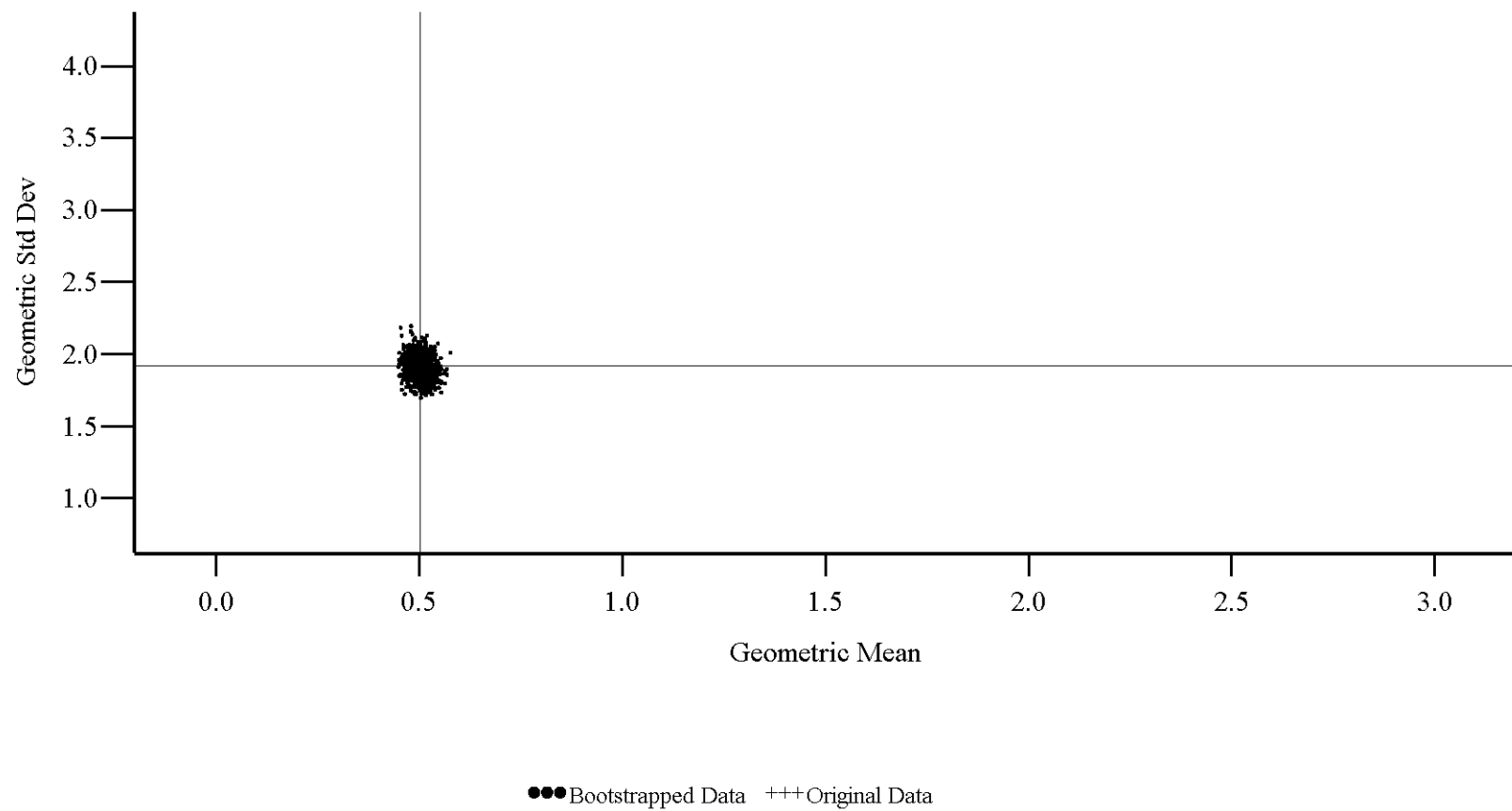
Air Conditioner Type: No A/C

Temperature Range: >20 Degrees Celsius



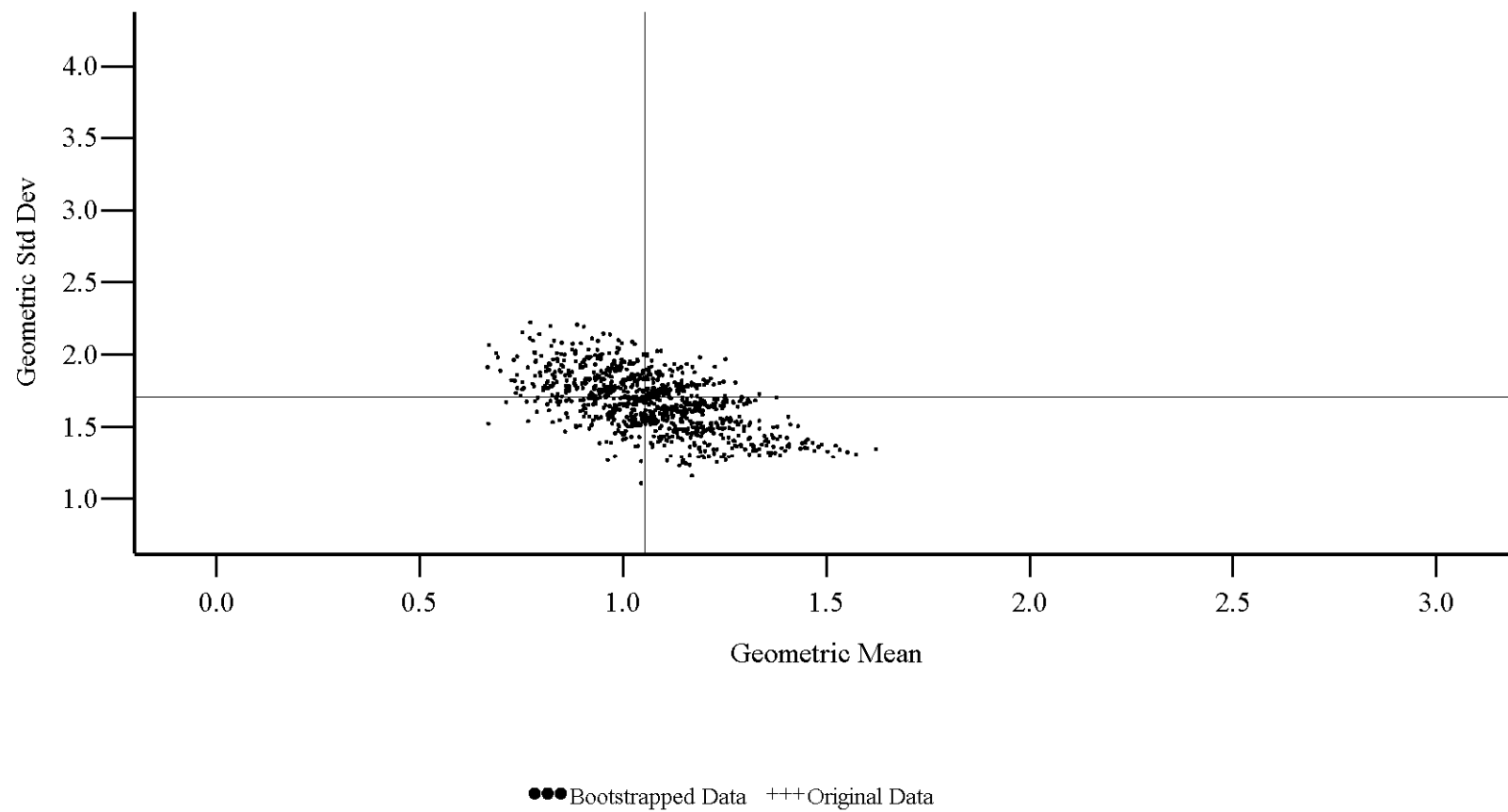
**Figure D-11**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Inland California  
Air Conditioner Type: Central or Room A/C  
Temperature Range:  $\leq 25$  Degrees Celsius



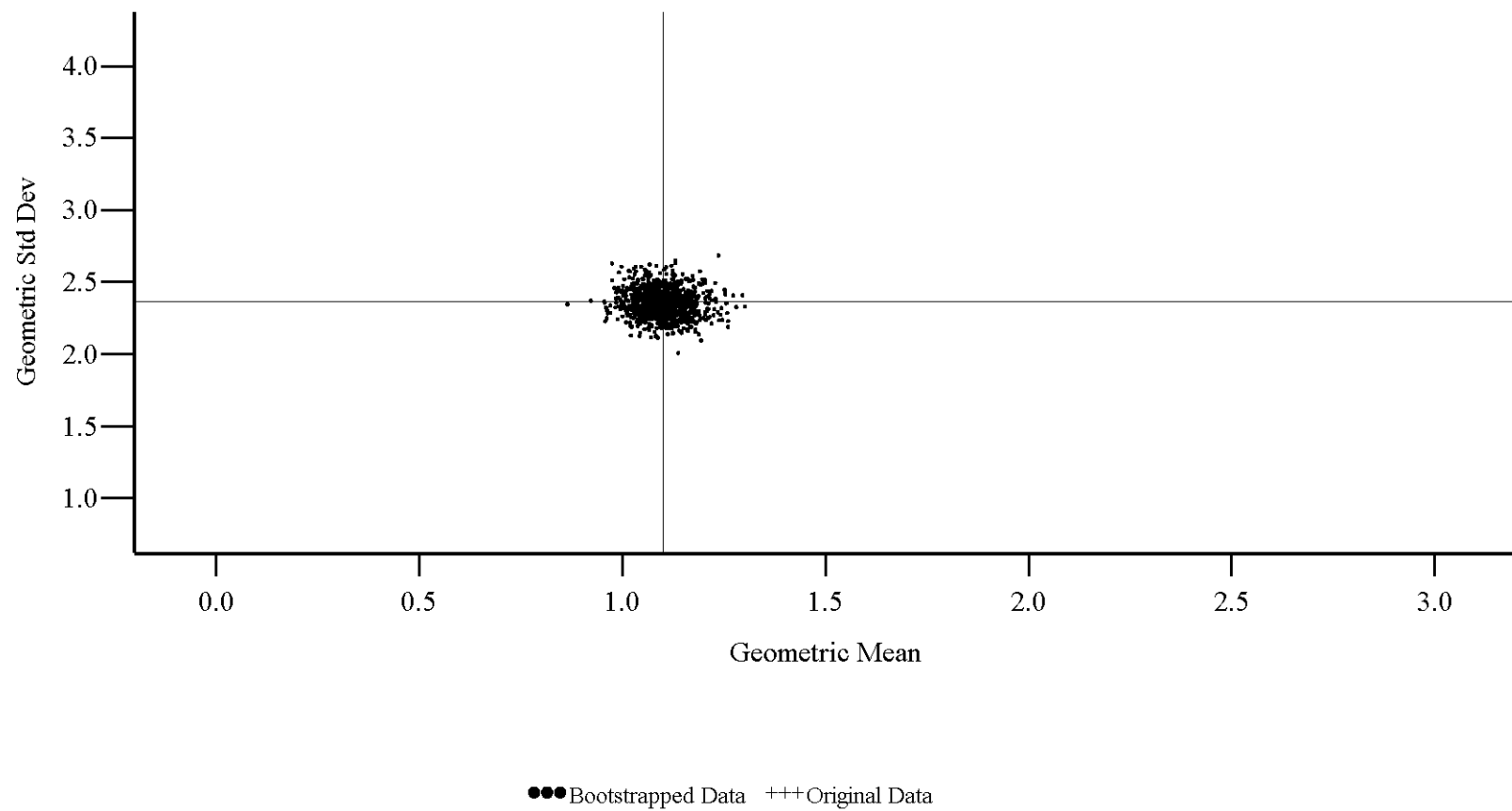
**Figure D-12**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Inland California  
Air Conditioner Type: No A/C  
Temperature Range: 20-25 Degrees Celsius



**Figure D-13**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Los Angeles  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 20-25 Degrees Celsius



## Figure D-14

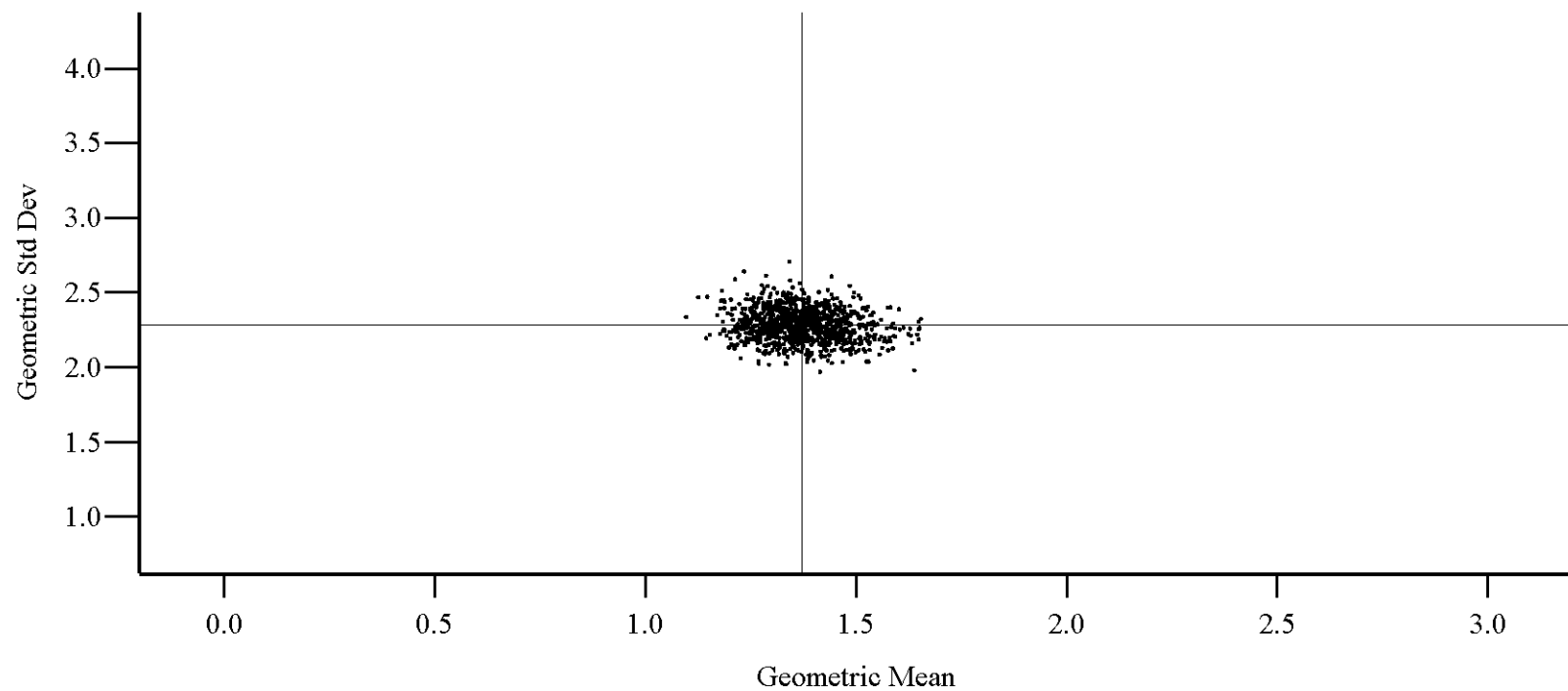
Geometric mean and standard deviation of air exchange rate

Bootstrapped distributions for different cities

City: Los Angeles

Air Conditioner Type: No A/C

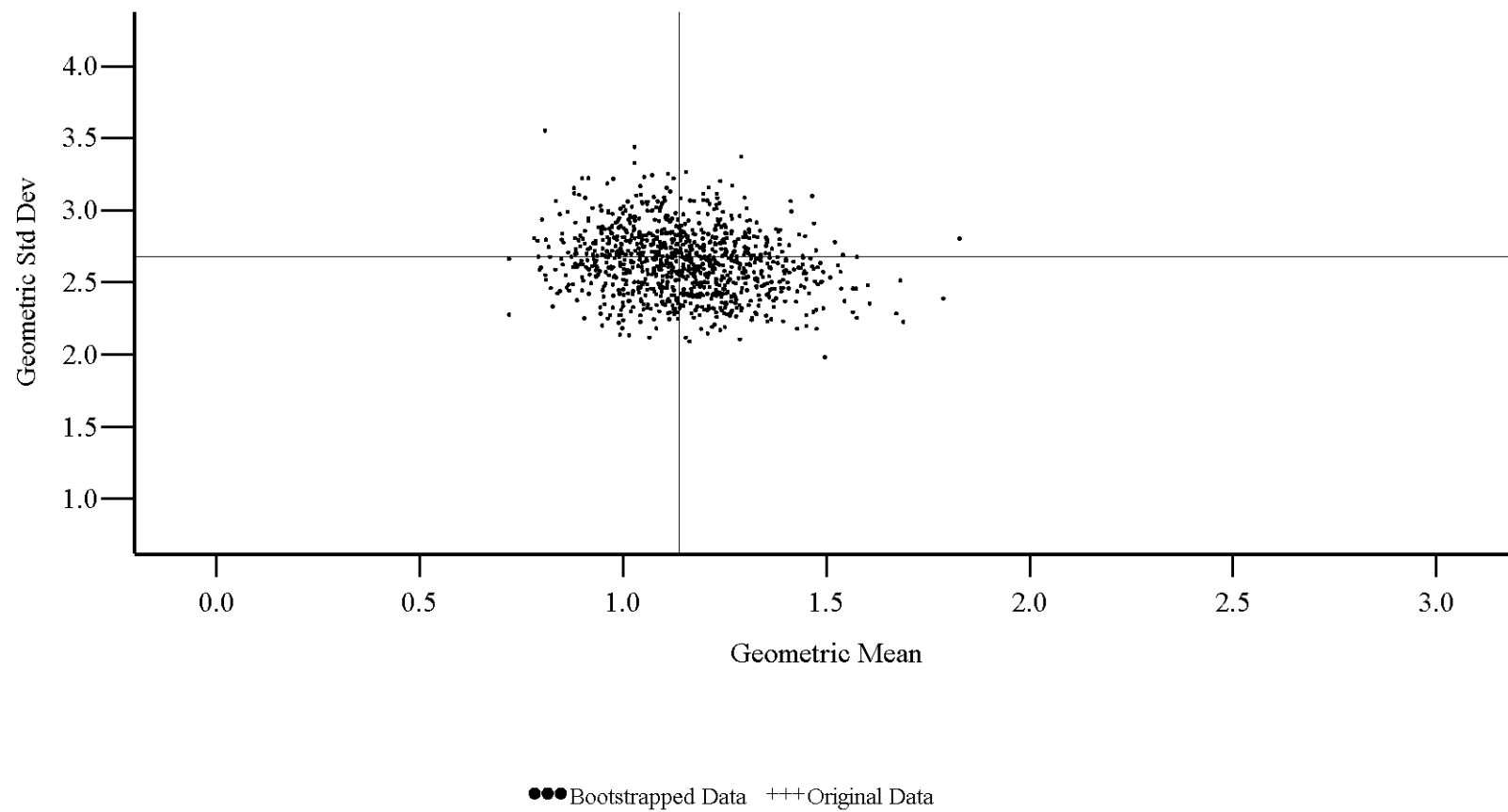
Temperature Range: 20-25 Degrees Celsius



●●● Bootstrapped Data    +++ Original Data

**Figure D-15**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: New York City  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 10-25 Degrees Celsius



**Figure D-16**

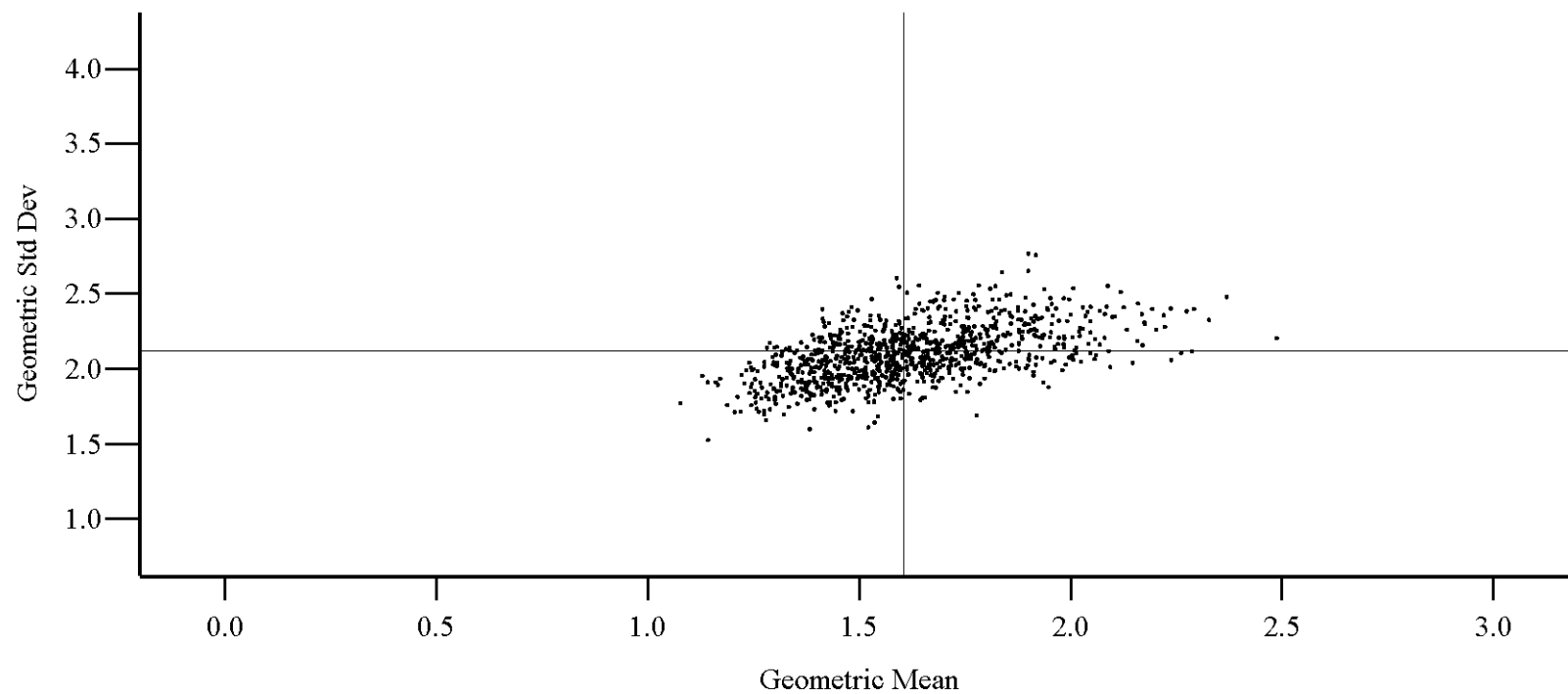
Geometric mean and standard deviation of air exchange rate

Bootstrapped distributions for different cities

City: New York City

Air Conditioner Type: No A/C

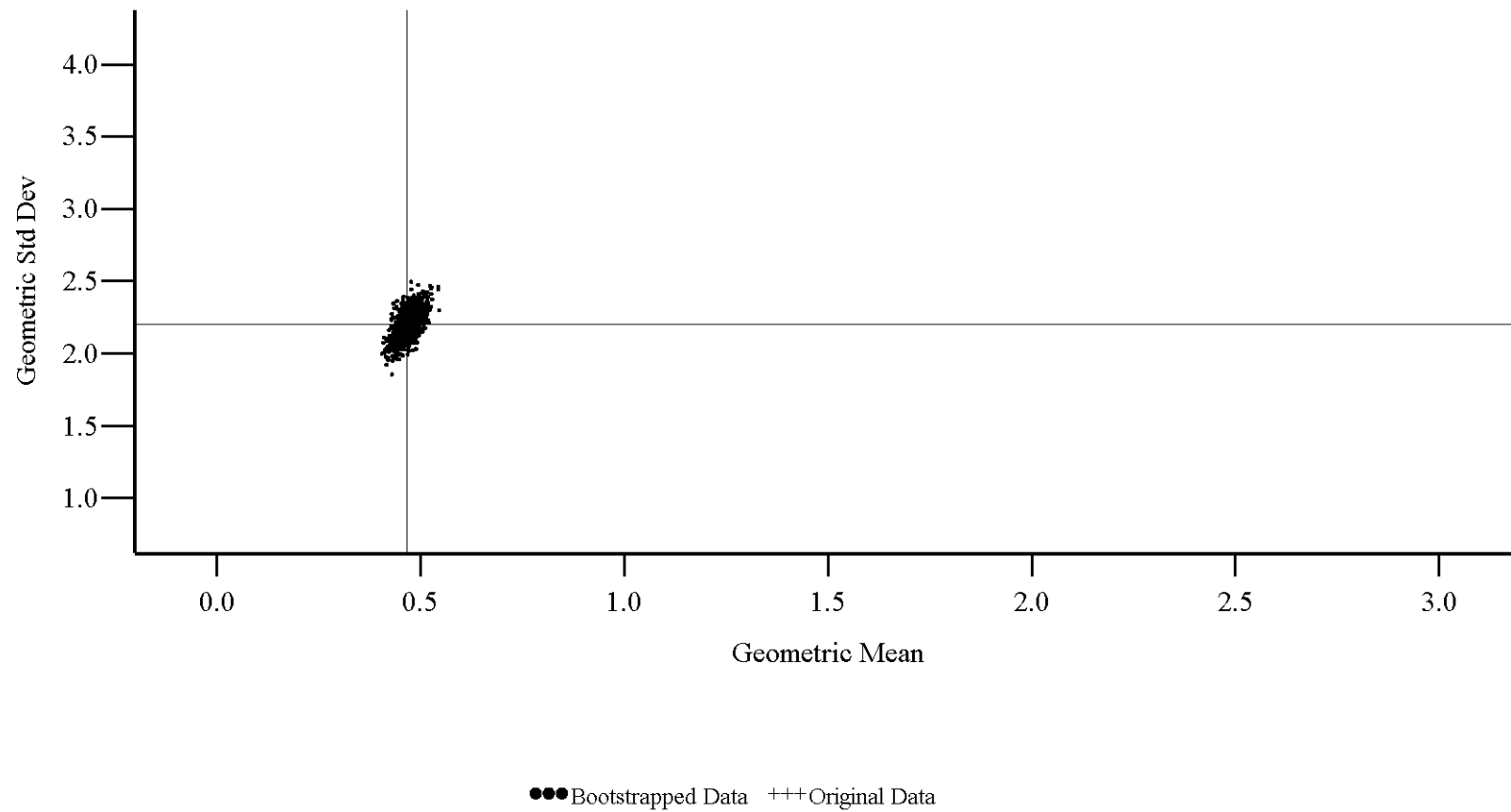
Temperature Range: >20 Degrees Celsius



●●● Bootstrapped Data    +++ Original Data

**Figure D-17**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Outside California  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 20-25 Degrees Celsius





## Figure D-18

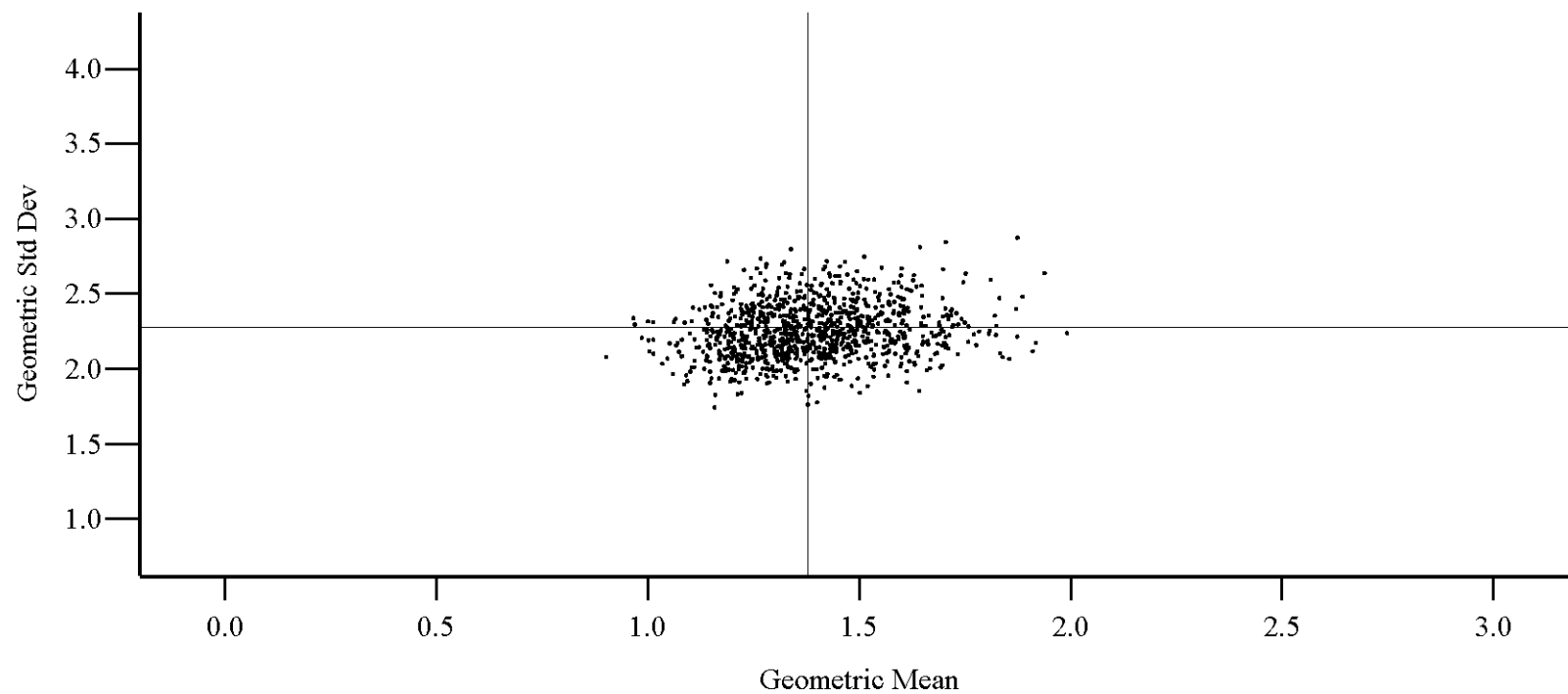
Geometric mean and standard deviation of air exchange rate

Bootstrapped distributions for different cities

City: Outside California

Air Conditioner Type: No A/C

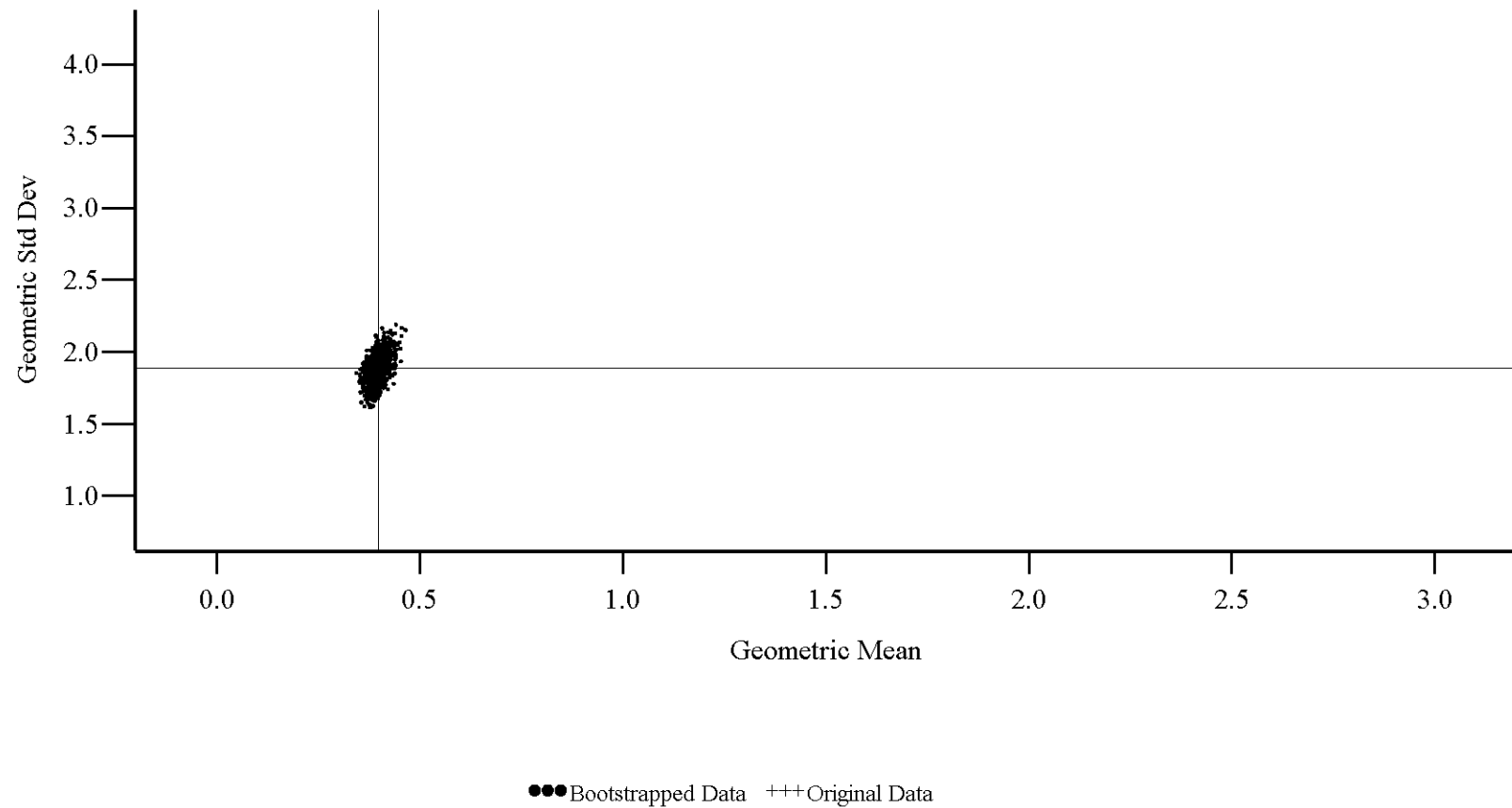
Temperature Range: >20 Degrees Celsius



●●● Bootstrapped Data    +++ Original Data

**Figure D-19**

Geometric mean and standard deviation of air exchange rate  
Bootstrapped distributions for different cities  
City: Research Triangle Park  
Air Conditioner Type: Central or Room A/C  
Temperature Range: 20-25 Degrees Celsius



**ATTACHMENT 8. TECHNICAL MEMORANDUM ON THE  
DISTRIBUTIONS OF AIR EXCHANGE RATE AVERAGES  
OVER MULTIPLE DAYS**



## MEMORANDUM

**To:** John Langstaff, EPA OAQPS  
**From:** Jonathan Cohen, Arlene Rosenbaum, ICF International  
**Date:** June 8, 2006  
**Re:** Distributions of air exchange rate averages over multiple days

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As detailed in the memorandum by Cohen, Mallya and Rosenbaum, 2005<sup>7</sup> (Appendix A of this report) we have proposed to use the APEX model to simulate the residential air exchange rate (AER) using different log-normal distributions for each combination of outside temperature range and the air conditioner type, defined as the presence or absence of an air conditioner (central or room).

Although the averaging periods for the air exchange rates in the study databases varied from one day to seven days, our analyses did not take the measurement duration into account and treated the data as if they were a set of statistically independent daily averages. In this memorandum we present some analyses of the Research Triangle Park Panel Study that show extremely strong correlations between consecutive 24-hour air exchange rates measured at the same house. This provides support for the simplified approach of treating all averaging periods as if they were 24-hour averages.

In the current version of the APEX model, there are several options for stratification of time periods with respect to AER distributions, and for when to re-sample from a distribution for a given stratum. The options selected for this current set of simulations resulted in a uniform AER for each 24-hour period and re-sampling of the 24-hour AER for each simulated day. This re-sampling for each simulated day implies that the simulated AERs on consecutive days in the same microenvironment are statistically independent. Although we have not identified sufficient data to test the assumption of uniform AERs throughout a 24-hour period, the analyses described in this memorandum suggest that AERs on consecutive days are highly correlated. Therefore, we performed sensitivity simulations to assess the impact of the assumption of temporally independent air exchange rates, but found little difference between APEX predictions for the two scenarios (i.e., temporally independent and autocorrelated air exchange rates).

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<sup>7</sup> Cohen, J., H. Mallya, and A. Rosenbaum. 2005. Memorandum to John Langstaff. *EPA 68D01052, Work Assignment 3-08. Analysis of Air Exchange Rate Data*. September 30, 2005.

## Distributions of multi-day averages from the RTP Panel Study

The RTP Panel study included measurements of 24-hour averages at 38 residences for up to four periods of at least seven days. These periods were in different seasons and/or calendar years. Daily outside temperatures were also provided. All the residences had either window or room air conditioners or both. We used these data to compare the distributions of daily averages taken over 1, 2, 3, .. 7 days.

The analysis is made more complicated because the previous analyses showed the dependence of the air exchange rate on the outside temperature, and the daily temperatures often varied considerably. Two alternative approaches were employed to group consecutive days. For the first approach, A, we sorted the data by the HOUSE\_ID number and date and began a new group of days for each new HOUSE\_ID and whenever the sorted measurement days on the same HOUSE\_ID were 30 days or more apart. In most cases, a home was measured over four different seasons for seven days, potentially giving  $38 \times 4 = 152$  groups; the actual number of groups was 124. For the second approach, B, we again sorted the data by the HOUSE\_ID number and date, but this time we began a new group of days for each new HOUSE\_ID and whenever the sorted measurement days on the same HOUSE\_ID were 30 days or more apart or were for different temperature ranges. We used the same four temperature ranges chosen for the analysis in the Cohen, Mallya, and Rosenbaum, 2005, memorandum (Appendix A):  $\leq 10$ , 10-20, 20-25, and  $> 25$  °C. For example, if the first week of measurements on a given HOUSE\_ID had the first three days in the  $\leq 10$  °C range, the next day in the 10-20 °C range, and the last three days in the  $\leq 10$  °C range, then the first approach would treat this as a single group of days. The second approach would treat this as three groups of days, i.e., the first three days, the fourth day, and the last three days. Using the first approach, the days in each group can be in different temperature ranges. Using the second approach, every day in a group is in the same temperature range. Using the first approach we treat groups of days as being independent following a transition to a different house or season. Using the second approach we treat groups of days as being independent following a transition to a different house or season or temperature range.

To evaluate the distributions of multi-day air exchange rate (AER) averages, we averaged the AERs over consecutive days in each group. To obtain a set of one-day averages, we took the AERs for the first day of each group. To obtain a set of two-day averages, we took the average AER over the first two days from each group. We continued this process to obtain three-, four-, five-, six-, and seven-day averages. There were insufficiently representative data for averaging periods longer than seven days. Averages over non-consecutive days were excluded. Each averaging period was assigned the temperature range using the average of the daily temperatures for the averaging period. Using Approach A, some or all of the days in the averaging period might be in different temperature ranges than the overall average. . Using Approach B, every day is in the same temperature range as the overall average. For each averaging period and temperature range, we calculated the mean, standard deviation, and variance of the period average AER and of its natural logarithm. Note that the geometric mean equals  $e$  raised to the power Mean log (AER) and the geometric standard deviation equals  $e$  raised to the power Std Dev log (AER). The results are shown in Tables E-1 (Approach A) and E-2 (Approach B).

**Table E-1. Distribution of AER averaged over K days and its logarithm. Groups defined by Approach A.**

Temperature (°C)	K	Groups	Mean AER	Mean log(AER)	Std Dev AER	Std Dev log(AER)	Variance AER	Variance log(AER)
<= 10	1	35	1.109	-0.066	0.741	0.568	0.549	0.322
<= 10	2	30	1.149	-0.009	0.689	0.542	0.474	0.294
<= 10	3	28	1.065	-0.088	0.663	0.546	0.440	0.298
<= 10	4	28	1.081	-0.090	0.690	0.584	0.476	0.341
<= 10	5	24	1.103	-0.082	0.754	0.598	0.568	0.358
<= 10	6	24	1.098	-0.083	0.753	0.589	0.567	0.347
<= 10	7	29	1.054	-0.109	0.704	0.556	0.496	0.309
10-20	1	48	0.652	-0.659	0.417	0.791	0.174	0.625
10-20	2	55	0.654	-0.598	0.411	0.607	0.169	0.368
10-20	3	51	0.641	-0.622	0.416	0.603	0.173	0.363
10-20	4	50	0.683	-0.564	0.440	0.619	0.194	0.384
10-20	5	53	0.686	-0.546	0.419	0.596	0.175	0.355
10-20	6	49	0.677	-0.533	0.379	0.544	0.144	0.296
10-20	7	34	0.638	-0.593	0.343	0.555	0.118	0.308
20-25	1	32	0.500	-1.005	0.528	0.760	0.279	0.577
20-25	2	28	0.484	-0.972	0.509	0.623	0.259	0.388
20-25	3	27	0.495	-0.933	0.491	0.604	0.241	0.365
20-25	4	17	0.536	-0.905	0.623	0.652	0.389	0.425
20-25	5	17	0.543	-0.905	0.672	0.649	0.452	0.421
20-25	6	17	0.529	-0.899	0.608	0.617	0.370	0.381
20-25	7	14	0.571	-0.889	0.745	0.683	0.555	0.466
> 25	1	9	0.470	-1.058	0.423	0.857	0.179	0.734
> 25	2	11	0.412	-1.123	0.314	0.742	0.098	0.551
> 25	3	12	0.411	-1.036	0.243	0.582	0.059	0.339
> 25	4	23	0.385	-1.044	0.176	0.429	0.031	0.184
> 25	5	23	0.390	-1.028	0.175	0.425	0.031	0.181
> 25	6	23	0.399	-1.010	0.193	0.435	0.037	0.189
> 25	7	17	0.438	-0.950	0.248	0.506	0.061	0.256

Using both approaches, Tables E-1 and E-2 show that the mean values for the AER and its logarithm are approximately constant for the same temperature range but different averaging periods. This is expected if the daily AER values all have the same statistical distribution, regardless of whether or not they are independent. More interesting is the observation that the standard deviations and variances are also approximately constant for the same temperature range but different averaging periods, except for the data at > 25 °C where the standard deviations and variances tend to decrease as the length of the averaging period increases. If the daily AER values were statistically independent, then the variance of an average over K days is given by  $\text{Var} / K$ , where Var is the variance of a single daily AER value. Clearly this formula does not apply. Since the variance is approximately constant for different values of K in the same temperature range (except for the relatively limited data at > 25 °C), this shows that the daily AER values are strongly correlated. Of course the correlation is not perfect, since otherwise the AER for a given day would be identical to the AER for the next day, if the temperature range were the same, which did not occur.

**Table E-2. Distribution of AER averaged over K days and its logarithm. Groups defined by Approach B.**

Temperature (°C)	K	Groups	Mean AER	Mean log(AER)	Std Dev AER	Std Dev log(AER)	Variance AER	Variance log(AER)
<= 10	1	62	1.125	-0.081	0.832	0.610	0.692	0.372
<= 10	2	41	1.059	-0.063	0.595	0.481	0.355	0.231
<= 10	3	32	1.104	-0.040	0.643	0.530	0.413	0.281
<= 10	4	17	1.292	0.115	0.768	0.531	0.590	0.282
<= 10	5	5	1.534	0.264	1.087	0.608	1.182	0.370
10-20	1	109	0.778	-0.482	0.579	0.721	0.336	0.520
10-20	2	81	0.702	-0.532	0.451	0.603	0.204	0.363
10-20	3	63	0.684	-0.540	0.409	0.580	0.167	0.336
10-20	4	27	0.650	-0.626	0.414	0.663	0.171	0.440
10-20	5	22	0.629	-0.660	0.417	0.654	0.174	0.428
10-20	6	12	0.614	-0.679	0.418	0.638	0.175	0.407
10-20	7	6	0.720	-0.587	0.529	0.816	0.280	0.667
20-25	1	107	0.514	-0.915	0.518	0.639	0.269	0.409
20-25	2	63	0.511	-0.930	0.584	0.603	0.341	0.364
20-25	3	23	0.577	-0.837	0.641	0.659	0.411	0.434
20-25	4	3	1.308	-0.484	1.810	1.479	3.277	2.187
> 25	1	54	0.488	-0.949	0.448	0.626	0.201	0.392
> 25	2	32	0.486	-0.900	0.351	0.595	0.123	0.354
> 25	3	23	0.427	-0.970	0.218	0.506	0.048	0.256
> 25	4	12	0.401	-1.029	0.207	0.509	0.043	0.259
> 25	5	12	0.410	-1.003	0.207	0.507	0.043	0.257
> 25	6	6	0.341	-1.164	0.129	0.510	0.017	0.261
> 25	7	6	0.346	-1.144	0.125	0.494	0.016	0.244

These arguments suggest that, based on the RTP Panel study data, to a reasonable approximation, the distribution of an AER measurement does not depend upon the length of the averaging period for the measurement, although it does depend upon the average temperature. This supports the methodology used in the Cohen, Mallya, and Rosenbaum, 2005, analyses that did not take into account the length of the averaging period.

The above argument suggests that the assumption that daily AER values are statistically independent is not justified. Statistical modeling of the correlation structure between consecutive daily AER values is not easy because of the problem of accounting for temperature effects, since temperatures vary from day to day. In the next section we present some statistical models of the daily AER values from the RTP Panel Study.

### **Statistical models of AER auto-correlations from the RTP Panel Study**

We used the MIXED procedure from SAS to fit several mixed models with fixed effects and random effects to the daily values of AER and log(AER). The fixed effects are the population average values of AER or log(AER), and are assumed to depend upon the temperature range. The random effects have expected values of zero and define the correlations between pairs of

measurements from the same Group, where the Groups are defined either using Approach A or Approach B above. As described above, a Group is a period of up to 14 consecutive days of measurements at the same house. For these mixed model analyses we included periods with one or more missing days. For all the statistical models, we assume that AER values in different Groups are statistically independent, which implies that data from different houses or in different seasons are independent.

The main statistical model for AER was defined as follows:

$$\text{AER} = \text{Mean(Temp Range)} + \text{A(Group, Temp Range)} \\ + \text{B(Group, Day Number)} + \text{Error(Group, Day Number)}$$

Mean(Temp Range) is the fixed effects term. There is a different overall mean value for each of the four temperature ranges.

A(Group, Temp Range) is the random effect of temperature. For each Group, four error terms are independently drawn from four different normal distributions, one for each temperature range. These normal distributions all have mean zero, but may have different variances. Because of this term, there is a correlation between AER values measured in the same Group of days for a pair of days in the same temperature range.

B(Group, Day Number) is the repeated effects term. The day number is defined so that the first day of a Group has day number 1, the next calendar day has day number 2, and so on. In some cases AER's were missing for some of the day numbers. B(Group, Day Number) is a normally distributed error term for each AER measurement. The expected value (i.e., the mean) is zero. The variance is V. The covariance between B(Group g, day i) and B(Group h, day j) is zero for days in different Groups g and h, and equals  $V \times \exp(d \times |i-j|)$  for days in the same Group. V and d are fitted parameters. This is a first order auto-regressive model. Because of this term, there is a correlation between AER values measured in the same Group of days, and the correlation decreases if the days are further apart.

Finally, Error(Group, Day Number) is the Residual Error term. There is one such error term for every AER measurement, and all these terms are independently drawn from the same normal distribution, with mean 0 and variance W.

We can summarize this rather complicated model as follows. The AER measurements are uncorrelated if they are from different Groups. If they are in the same Group, they have a correlation that decreases with the day difference, and they have an additional correlation if they are in the same temperature range.

Probably the most interesting parameter for these models is the parameter d, which defines the strength of the auto-correlation between pairs of days. This parameter d lies between -1 (perfect negative correlation) and +1 (perfect positive correlation) although values exactly equal to +1 or -1 are impossible for a stationary model. Negative values of d would be unusual since they would imply a tendency for a high AER day to be followed by a low AER day, and vice versa. The case d=0 is for no auto-correlation.



Table E-3 gives the fitted values of  $d$  for various versions of the model. The variants considered were:

- model AER or  $\log(\text{AER})$
- include or exclude the term  $A(\text{Group}, \text{Temp Range})$  (the “random” statement in the SAS code)
- use Approach A or Approach B to define the Groups

Since Approach B forces the temperature ranges to be the same for every day in a Group, the random temperature effect term is difficult to distinguish from the other terms. Therefore this term was not fitted using Approach B.

**Table E-3. Autoregressive parameter  $d$  for various statistical models for the RTP Panel Study AERs.**

Dependent variable	Include $A(\text{Group}, \text{Temp Range})$ ?	Approach	$d$
AER	Yes	A	0.80
AER	No	A	0.82
AER	No	B	0.80
$\log(\text{AER})$	Yes	A	0.87
$\log(\text{AER})$	No	A	0.87
$\log(\text{AER})$	No	B	0.85

In all cases, the parameter  $d$  is 0.8 or above, showing the very strong correlations between AER measurements on consecutive or almost consecutive days.

### **Impact of accounting for daily average AER auto-correlation**

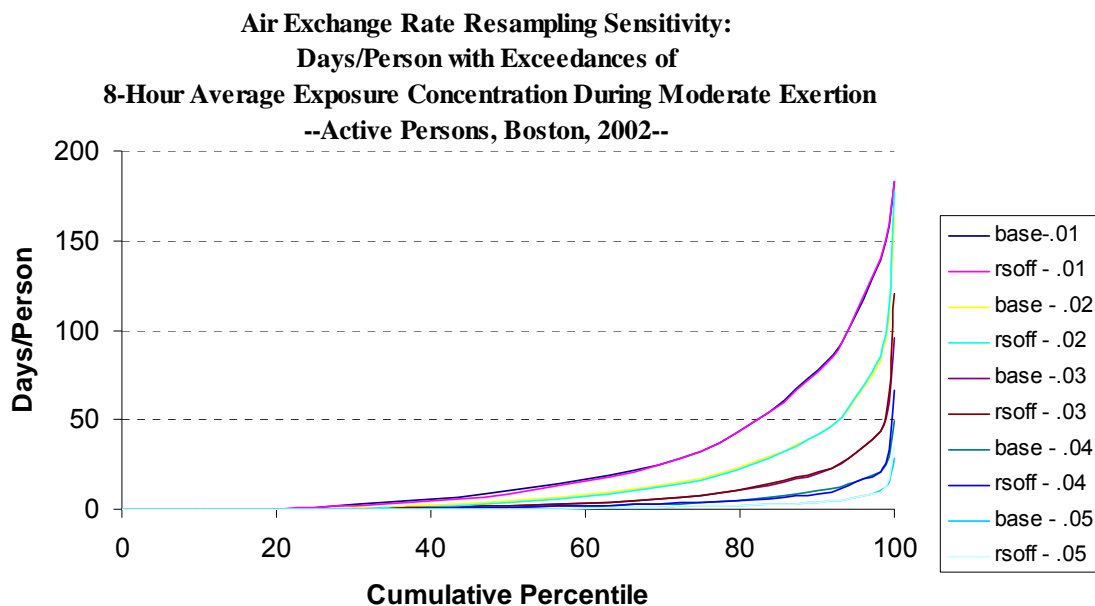
In the current version of the APEX model, there are several options for stratification of time periods with respect to AER distributions, and for when to re-sample from a distribution for a given stratum. The options selected for this current set of simulations resulted in a uniform AER for each 24-hour period and re-sampling of the 24-hour AER for each simulated day. This re-sampling for each simulated day implies that the simulated AERs on consecutive days in the same microenvironment are statistically independent. Although we have not identified sufficient data to test the assumption of uniform AERs throughout a 24-hour period, the analyses described in this memorandum suggest that AERs on consecutive days are highly correlated.

Therefore, in order to determine if bias was introduced into the APEX estimates with respect to either the magnitudes or variability of exposure concentrations by implicitly assuming uncorrelated air exchange rates, we re-ran the 2002 base case simulations using the option to not re-sample the AERs. For this option APEX selects a single AER for each microenvironment/stratum combination and uses it throughout the simulation.

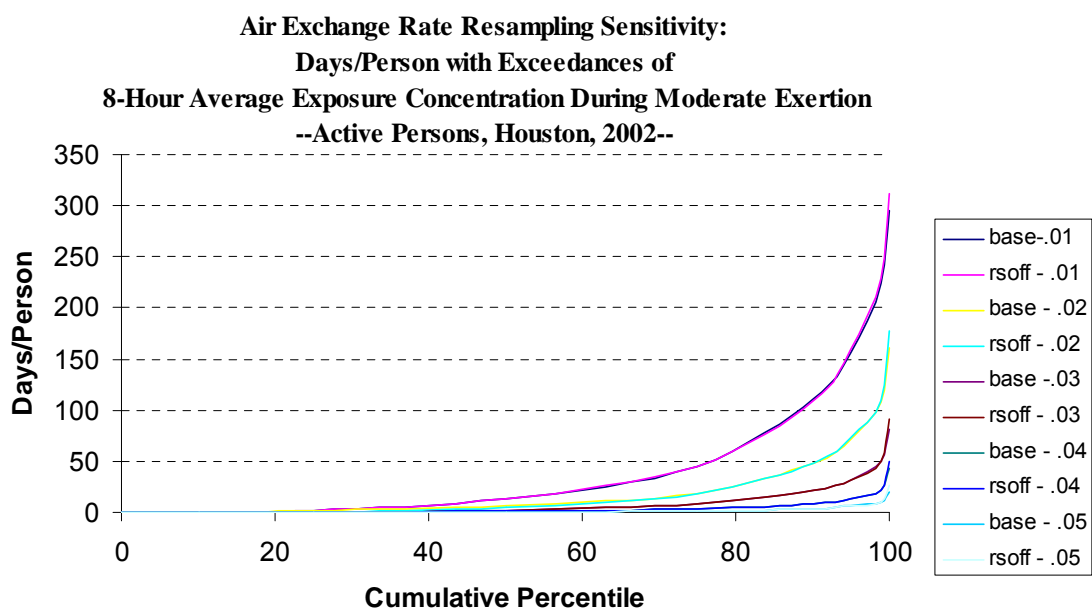
The comparison of the two scenarios indicates little difference in APEX predictions, probably because the AERs pertain only to indoor microenvironments and for the base cases most exposure to elevated concentrations occurs in the “other outdoors” microenvironment. Figures E-

1 and E-2 below present the comparison for exceedances of 8-hour average concentration during moderate exertion for active person in Boston and Houston, respectively.

**Figure E-1**



**Figure E-2**



# **Appendix C**

## **Sulfur Dioxide Health Risk Assessment**

Prepared for  
Office of Air Quality Planning and Standards  
U.S. Environmental Protection Agency  
Research Triangle Park, NC

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June 2009

## **DISCLAIMER**

This report is being furnished to the U.S. Environmental Protection Agency (EPA) by Abt Associates Inc. in partial fulfillment of Contract No. EP-W-05-022, Work Assignments 2-63 and 3-63, and Contract No. EP-D-08-100, Work Assignment 0-4. Any opinions, findings, conclusions, or recommendations are those of the authors and do not necessarily reflect the views of the EPA or Abt Associates. Any questions concerning this document should be addressed to Harvey Richmond, U.S. Environmental Protection Agency, Office of Air Quality Planning and Standards, C504-06, Research Triangle Park, North Carolina 27711 (email: richmond.harvey@epa.gov).

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# Sulfur Dioxide Health Risk Assessment

## 1 INTRODUCTION

The U.S. Environmental Protection Agency (EPA) is presently conducting a review of the national ambient air quality standards (NAAQS) for sulfur dioxide (SO<sub>2</sub>). Sections 108 and 109 of the Clean Air Act (Act) govern the establishment and periodic review of the NAAQS. These standards are established for pollutants that may reasonably be anticipated to endanger public health and welfare, and whose presence in the ambient air results from numerous or diverse mobile or stationary sources. The NAAQS are to be based on air quality criteria, which are to accurately reflect the latest scientific knowledge useful in indicating the kind and extent of identifiable effects on public health or welfare that may be expected from the presence of the pollutant in ambient air. The EPA Administrator is to promulgate and periodically review, at five-year intervals, “primary” (health-based) and “secondary” (welfare-based) NAAQS for such pollutants.<sup>1</sup> Based on periodic reviews of the air quality criteria and standards, the Administrator is to make revisions in the criteria and standards, and promulgate any new standards, as may be appropriate. The Act also requires that an independent scientific review committee advise the Administrator as part of this NAAQS review process, a function performed by the Clean Air Scientific Advisory Committee (CASAC).

EPA’s plan and schedule for this SO<sub>2</sub> NAAQS review is presented in the “Integrated Plan for Review of the Primary National Ambient Air Quality Standards for Sulfur Oxides” (U.S. EPA, 2007a). The plan discusses the preparation of two key components in the NAAQS review process: an Integrated Science Assessment (ISA) and risk/exposure assessments. The ISA critically evaluates and integrates scientific information on the health effects associated with exposure to oxides of sulfur (SO<sub>x</sub>) in the ambient air. The risk/exposure assessments develop, as appropriate, quantitative estimates of human exposure and health risk and related variability and uncertainties, drawing upon the information summarized in the ISA.

In May 2008 EPA’s National Center for Environmental Assessment (NCEA) released a draft version of the “Integrated Science Assessment for Oxides of Sulfur – Health Criteria, henceforth referred to as the draft ISA (U.S. EPA, 2008a). In June 2008, EPA’s Office of Air Quality Planning and Standards (OAQPS) released a first draft of its “Risk and Exposure Assessment to Support the Review of the SO<sub>2</sub> Primary National Ambient Air Quality Standard,” henceforth referred to as the 1<sup>st</sup> draft REA (U.S. EPA, 2008b). Both of these documents were reviewed by the CASAC SO<sub>2</sub> Panel on July 30-31, 2008. Based on its review of the draft ISA, OAQPS decided to expand the health risk assessment to include a quantitative assessment of lung function responses indicative of

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<sup>1</sup> Section 109(b)(1) [42 U.S.C. 7409] of the Act defines a primary standard as one “the attainment and maintenance of which in the judgment of the Administrator, based on such criteria and allowing an adequate margin of safety, are requisite to protect the public health.”

bronchoconstriction experienced by asthmatic subjects associated with 5 to 10 minute exposures to SO<sub>2</sub> while engaged in moderate or greater exertion. In September 2008, NCEA released the final version of the ISA, “Integrated Science Assessment for Oxides of Sulfur – Health Criteria, henceforth referred to as the ISA (U.S. EPA, 2008c). A second draft REA (EPA, 2009a) was made available to the CASAC and public in March 2009. The second draft REA was reviewed by the CASAC SO<sub>2</sub> Panel on April 16-17, 2009. This final report has been informed by comments from CASAC and the public on the second draft REA, as well as findings and conclusions contained in the final ISA.

SO<sub>2</sub> is one of a group of compounds known as sulfur oxides (SO<sub>x</sub>), which include multiple chemicals (e.g., SO<sub>2</sub>, SO, SO<sub>3</sub>). However only SO<sub>2</sub> is present at concentrations significant for human exposures and the ISA indicates there is limited adverse health effect data for the other gaseous compounds. Therefore, as in past NAAQS reviews, SO<sub>2</sub> is considered as a surrogate for gaseous SO<sub>x</sub> species in this assessment, with the secondarily formed particulate species (i.e., sulfate or SO<sub>4</sub>) addressed as part of the particulate matter (PM) NAAQS review.

In the previous review, concluded in 1996, it was clearly established that subjects with asthma are more sensitive to the respiratory effects of SO<sub>2</sub> exposure than healthy individuals (ISA, section 3.1.3.2). Asthmatics exposed to SO<sub>2</sub> concentrations as low as 0.2-0.3 ppm for 5-10 minutes during exercise have been shown to experience significant bronchoconstriction, measured as an increase in specific airway resistance (sRaw) (≥100%) or a decrease in forced expiratory volume in one second (FEV<sub>1</sub>) (≥15%) after correction for exercise-induced responses in clean air.

The basic structure of the SO<sub>2</sub> health risk assessment described in this document reflects the fact that we have available controlled human exposure study data from several studies involving volunteer asthmatic subjects who were exposed to SO<sub>2</sub> concentrations at specified exposure levels while engaged in moderate or greater exertion for 5- or 10-minute exposures.<sup>2</sup> The risk assessment estimates lung function risks for (1) recent ambient levels of SO<sub>2</sub>, (2) air quality adjusted to simulate just meeting the current primary 24-hour and annual standards,<sup>3</sup> and (3) air quality adjusted to simulate just meeting selected alternative 1-hour standards in selected locations encompassing a variety of SO<sub>2</sub> emission source types in the Greene County and the St. Louis area within Missouri.

The SO<sub>2</sub> health risk assessment builds upon the methodology, analyses, and lessons learned from the assessments conducted for the last SO<sub>2</sub> NAAQS review in 1996, as well as the methodology and lessons learned from the health risk assessment work conducted for the recently concluded O<sub>3</sub> NAAQS review (Abt Associates, 2007a) – in

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<sup>2</sup> An additional characterization of risk may involve use of concentration-response functions, if sufficient and relevant epidemiological data are identified in the ISA to support development of functions that are related to ambient SO<sub>2</sub> concentrations.

<sup>3</sup> There is a 3-hr secondary standard as well. However, this risk assessment is taking into account only the primary standards. The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

particular, the assessment of risk based on controlled human exposure studies described in Chapter 3 of that document. The SO<sub>2</sub> risk assessment is based on our current understanding of the SO<sub>2</sub> scientific literature as reflected in the evaluation provided in the final ISA.

The goals of this SO<sub>2</sub> health risk assessment are: (1) to develop health risk estimates of the number and percent of the asthmatic population in the selected study area locations that would experience moderate or greater lung function decrements in response to daily 5-minute maximum peak exposures while engaged in moderate or greater exertion for a recent year of air quality and under a scenario in which the SO<sub>2</sub> concentrations are adjusted to simulate just meeting the current 24-hour standard; (2) to develop a better understanding of the influence of various inputs and assumptions on the risk estimates; and (3) to gain insights into the risk levels and patterns of risk reductions associated with air quality simulating just meeting alternative 1-hour SO<sub>2</sub> standards. The risk assessment is intended as a tool that, together with other information on lung function and other health effects evaluated in the SO<sub>2</sub> ISA, can aid the Administrator in judging whether the current primary standards protect public health with an adequate margin of safety, or whether revisions to the standards are appropriate.

Preliminary considerations and the basic structure of the risk assessment are described in section 2. Section 3 describes the methods used, and section 4 presents the results of the risk assessment.

## 2 PRELIMINARY CONSIDERATIONS

The health risk assessment described in this document estimated lung function decrements (measured as increases in sRaw or decreases in FEV<sub>1</sub>) associated with SO<sub>2</sub> exposures under several scenarios: (1) recent ambient levels of SO<sub>2</sub>, (2) air quality adjusted to simulate just meeting the current 24-hour and annual standards, and (3) air quality adjusted to simulate just meeting several alternative 1-hour standards. In this section we address preliminary considerations. Section 2.1 briefly discusses the broad empirical basis for a relationship between SO<sub>2</sub> exposures and adverse health effects. Section 2.2 describes the basic structure of the risk assessment. Finally, section 2.3 addresses air quality considerations.

### 2.1 The Broad Empirical Basis for a Relationship Between SO<sub>2</sub> and Adverse Health Effects

The ISA concludes that the health evidence “*is sufficient to infer a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub>*” (ISA, p. 3-33). In support of this conclusion, the ISA notes the following:

The strongest evidence for this causal relationship comes from human clinical studies reporting respiratory symptoms and decreased lung function following peak exposures of 5-10 min duration to SO<sub>2</sub>. These effects have been observed consistently across studies involving exercising mild to moderate asthmatics. Statistically significant decrements in lung function accompanied by respiratory symptoms including wheeze and chest tightness have been clearly demonstrated following exposure to 0.4-0.6 ppm SO<sub>2</sub>. Although studies have not reported statistically significant respiratory effects following exposure to 0.2-0.3 ppm SO<sub>2</sub>, some asthmatic subjects (5-30%) have been shown to experience moderate to large decrements in lung function at these exposure concentrations.

A larger body of evidence supporting this determination of causality comes from numerous epidemiological studies reporting associations with respiratory symptoms, ED visits, and hospital admissions with short-term SO<sub>2</sub> exposures, generally of 24-h avg. Important new multicity studies and several other studies have found an association between 24-h avg ambient SO<sub>2</sub> concentrations and respiratory symptoms in children, particularly those with asthma....

... Collectively, the findings from both human clinical and epidemiological studies provide a strong basis for concluding a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub>.

## 2.2 Basic Structure of the Risk Assessment

As noted above, this SO<sub>2</sub> health risk assessment is based on controlled human exposure studies involving volunteer subjects who were exposed while engaged in different exercise regimens to specified levels of SO<sub>2</sub> under controlled conditions for 5 or 10 minute periods. The responses measured in these studies were measures of lung function decrements, including increases in sRaw and decreases in FEV<sub>1</sub>. We used probabilistic exposure-response relationships, based on analysis of individual data, that describe the relationships between a measure of personal exposure to SO<sub>2</sub> and the measure(s) of lung function recorded in these studies. These probabilistic exposure-response relationships were combined with daily 5-minute maximum peak exposure estimates associated with the air quality scenarios mentioned above for mild and moderate asthmatics engaged in moderate or greater exertion. Estimates of personal exposures to varying ambient concentrations associated with several air quality scenarios including recent air quality levels, and air quality levels simulating just meeting the current SO<sub>2</sub> primary standard and several alternative primary 1-hour standards were derived through exposure modeling. The details of the exposure modeling are described in Chapter 8 and Appendix B of the final REA (EPA, 2009b).

The characteristics that are relevant to carrying out a risk assessment based on controlled human exposure studies can be summarized as follows:

- A risk assessment based on controlled human exposure studies uses exposure-response functions, and therefore requires as input (modeled) personal exposures to SO<sub>2</sub>.
- Controlled human exposure studies, carried out in laboratory settings, are generally not specific to any particular real world location. A controlled human exposure studies-based risk assessment can therefore appropriately be carried out for any location for which there are adequate air quality data on which to base the modeling of personal exposures.

The methods for the SO<sub>2</sub> risk assessment are discussed in section 3 below. The risk assessment was implemented within a new probabilistic version of TRIM.Risk, the component of EPA's Total Risk Integrated Methodology (TRIM) model that estimates human health risks.<sup>4</sup>

## 2.3 Air Quality Considerations

The SO<sub>2</sub> health risk assessment estimates lung function risks associated with (1) "as is" ambient levels of SO<sub>2</sub>, (2) air quality simulating just meeting the current 24-hour and annual standards, and (3) air quality simulating just meeting several alternative 1-

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<sup>4</sup> TRIM.Risk was most recently applied to EPA's O<sub>3</sub> health risk assessment. A User's Guide for the Application of TRIM.Risk to the O<sub>3</sub> health risk assessment (Abt Associates, 2007b) is available online at: [http://epa.gov/ttn/fera/data/trim/trimrisk\\_ozone\\_ra\\_userguide\\_8-6-07.pdf](http://epa.gov/ttn/fera/data/trim/trimrisk_ozone_ra_userguide_8-6-07.pdf).

hour standards in a recent year (2002) in two selected locations encompassing a variety of SO<sub>2</sub> emission source types in Greene County, Missouri and St. Louis, Missouri.

In order to estimate health risks associated with just meeting the current 24-hour and annual standards and alternative 1-hour SO<sub>2</sub> standards, it is necessary to estimate the distribution of short-term (5-minute) SO<sub>2</sub> concentrations that would occur under any given standard. Since compliance with the current SO<sub>2</sub> standards is based on a single year, air quality data from 2002 were used to determine the change in SO<sub>2</sub> concentrations required to meet the current standards. Estimated design values were used to determine the adjustment necessary to just meet the current 24-hour and annual standards. The approach to simulating just meeting the current standards and alternative 1-hour standards is described in section 8.8.1 of the final REA (EPA, 2009b).

The risk estimates developed for the recently concluded PM and O<sub>3</sub> NAAQS reviews represented risks associated with PM and O<sub>3</sub> levels in excess of estimated policy-relevant background (PRB) levels in the U.S. PRB levels have been historically defined by EPA as concentrations of a pollutant that would occur in the U.S. in the absence of anthropogenic emissions in continental North America (defined as the United States, Canada, and Mexico). The ISA notes that PRB SO<sub>2</sub> concentrations are below 10 parts per trillion (ppt) over much of the United States and are generally less than 30 ppt. With the exception of a few locations on the West Coast and locations in Hawaii, where volcanic SO<sub>2</sub> emissions cause high PRB concentrations, PRB contributes less than 1% to present-day SO<sub>2</sub> concentrations in surface air. Since PRB is well below concentrations that might cause potential health effects, there was no adjustment made for risks associated with PRB concentrations in the current SO<sub>2</sub> health risk assessment.

### 3 METHODS

The major components of the SO<sub>2</sub> lung function risk assessment are illustrated in Figure 3-1. The air quality and exposure analysis components that are integral to the risk assessment are discussed in Chapters 6 and 7, respectively, of the 2<sup>nd</sup> draft REA. As described in the ISA and the 2<sup>nd</sup> draft REA, there are numerous controlled human exposure studies reporting lung function decrements (as measured by increases in SRaw and/or decreases in FEV<sub>1</sub>) among mild and/or moderate asthmatic adults associated with short-term (5 or 10 minute) peak exposures to various levels of SO<sub>2</sub> while engaged in moderate or greater exercise. The SO<sub>2</sub> lung function risk assessment focuses on these lung function responses among asthmatic children and adults.

#### 3.1 Selection of health endpoints and target population

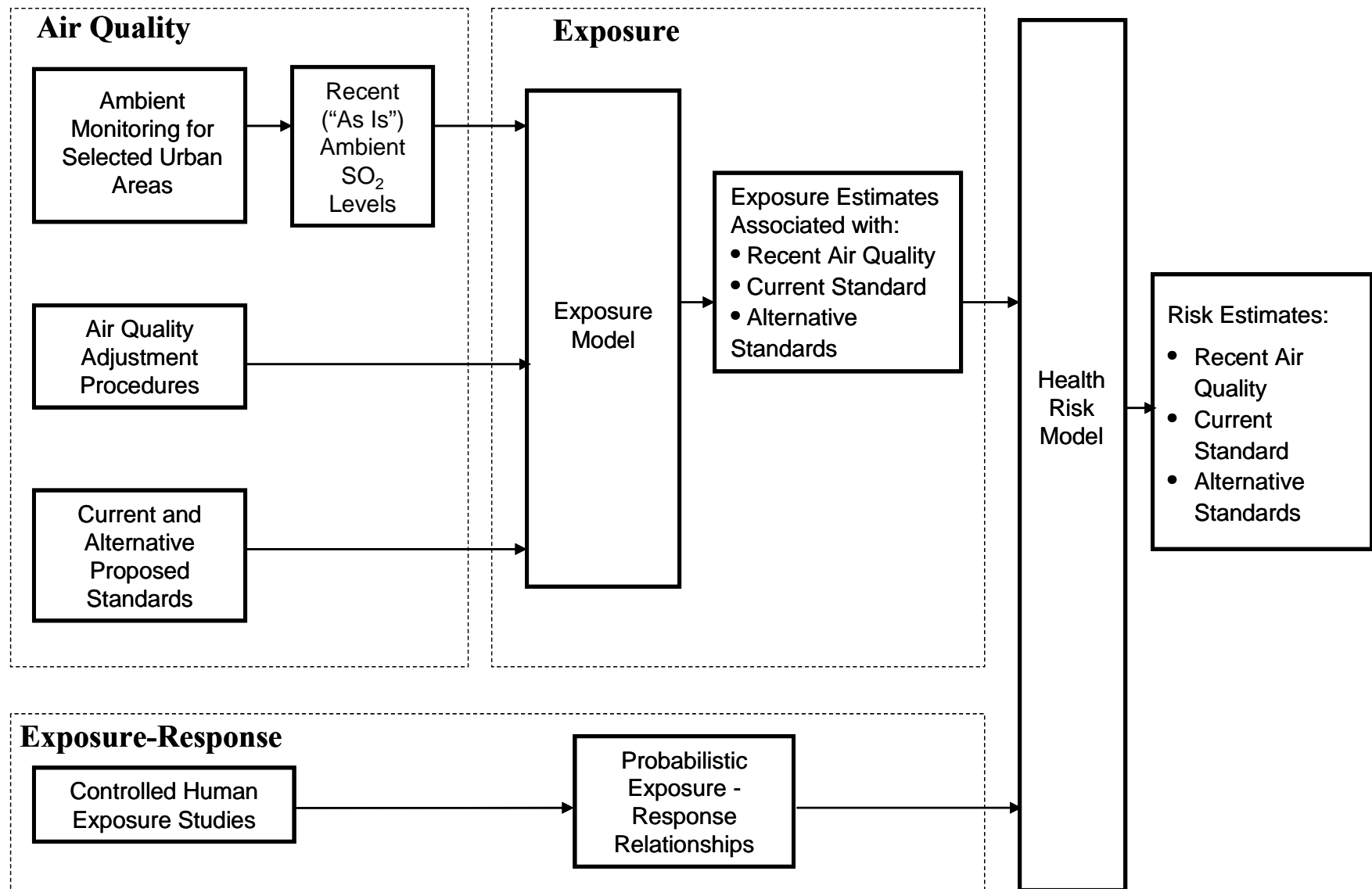
The ISA concluded that there is sufficient evidence to infer a causal relationship between respiratory morbidity and short-term exposure to SO<sub>2</sub> (ISA, section 5.2). This determination was based in large part on controlled human exposure studies demonstrating a relationship between short-term (5- or 10-minute) peak SO<sub>2</sub> exposures and adverse effects on the respiratory system in exercising asthmatics. More specifically, the ISA found consistent evidence from numerous controlled human exposure studies demonstrating increased respiratory symptoms (e.g. cough, chest tightness, wheeze) and decrements in lung function in a substantial proportion of exercising asthmatics (generally classified as mild to moderate asthmatics) following short-term peak exposures to SO<sub>2</sub> at concentrations  $\geq 0.4$  ppm (400 ppb). As in previous reviews, the ISA also concluded that at concentrations below 1.0 ppm (1,000 ppb), healthy individuals are relatively insensitive to the respiratory effects of short-term peak SO<sub>2</sub> exposures (ISA, sections 3.1.3.2). Therefore, the SO<sub>2</sub> lung function risk assessment focuses on asthmatics. Exposure estimates for asthmatic children and adult asthmatics were combined separately with probabilistic exposure-response relationships (described below) for lung function response associated with daily 5-minute maximum peak exposures while engaged in moderate or greater exertion.<sup>5</sup>

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<sup>5</sup> Only the highest 5-minute peak exposure (with moderate or greater exertion) on each day will be considered in the lung function risk assessment, since the controlled human exposure studies have shown an acute-phase response that was followed by a short refractory period where the individual was relatively insensitive to additional SO<sub>2</sub> challenges.



Figure 3-1. Components of SO<sub>2</sub> Health Risk Assessment Based on Controlled Human Exposure Studies



Two measures of lung function response – specific airway resistance (sRaw) and forced expiratory volume in one second (FEV<sub>1</sub>) – have been used in the controlled human exposure studies that have focused on the effects of exposure to SO<sub>2</sub> on exercising asthmatics. Negative effects are measured as the percent increase in sRaw or the percent decrease in FEV<sub>1</sub>. As explained below, we estimated exposure-response relationships for four different definitions of response:

- An increase in sRaw  $\geq 100\%$
- An increase in sRaw  $\geq 200\%$
- A decrease in FEV<sub>1</sub>  $\geq 15\%$
- A decrease in FEV<sub>1</sub>  $\geq 20\%$ .

### 3.2 Development of exposure-response functions

We used a Bayesian Markov Chain Monte Carlo approach to estimate probabilistic exposure-response relationships for lung function decrements associated with 5- or 10-minute exposures at moderate or greater exertion, using the WinBUGS software (Spiegelhalter et al. (1996)). For an explanation of these methods, see Gelman et al. (1995) or Gilks et al. (1996). We treated both 5- and 10-minute exposures as if they were all 5-minute exposures.

The combined data set from Linn et al. (1987, 1988, 1990), Bethel et al. (1983, 1985), Roger et al. (1985), and Kehrl et al. (1987) provide data with which to estimate exposure-response relationships between responses defined in terms of sRaw and 5- or 10-minute exposures to SO<sub>2</sub> at levels of 0.2, 0.25, 0.3, 0.4, 0.5, 0.6, and 1.0 ppm.<sup>6</sup> As noted above, two definitions of response were used: (1) an increase in sRaw  $\geq 100\%$  and (2) an increase in sRaw  $\geq 200\%$ .

The combined data set from Linn et al. (1987, 1988, 1990) provide data with which to estimate exposure-response relationships between responses defined in terms of FEV<sub>1</sub> and 5- or 10-minute exposures to SO<sub>2</sub> at levels of 0.2, 0.3, 0.4, and 0.6 ppm. As noted above, two definitions of response were used: a decrease in FEV<sub>1</sub>  $\geq 15\%$  and a decrease in FEV<sub>1</sub>  $\geq 20\%$ .

Before being used to estimate exposure-response relationships for 5-minute exposures, the data from these controlled human exposure studies were corrected for the effect of exercising in clean air to remove any systematic bias that might be present in the data attributable to an exercise effect.<sup>7</sup> Generally, this correction for exercise in clean air is small relative to the total effects measures in the SO<sub>2</sub>-exposed cases. The resulting study-specific results, based on the corrected data, are shown in Table 3-1.

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<sup>6</sup> Data from Magnussen et al. (1990) were not used in the estimation of sRaw exposure-response functions because exposures in this study were conducted using a mouthpiece rather than a chamber.

<sup>7</sup> Corrections were subject-specific. A correction was made by subtracting the subject's percent change (in FEV<sub>1</sub> or sRaw) under the no-SO<sub>2</sub> protocol from his or her percent change (in FEV<sub>1</sub> or sRaw) under the given SO<sub>2</sub> protocol, and rounding the result to the nearest integer. For example, if a subject's percent change in sRaw under the no-SO<sub>2</sub> protocol was 110.12% and his percent change in sRaw under the 0.6 ppm (600 ppb) SO<sub>2</sub> protocol was 185.92%, then his percent change in sRaw *due to* SO<sub>2</sub> is 185.92% - 110.12% = 75.8%, which rounds to 76%.

**Table 3-1. Study-Specific SO<sub>2</sub> Exposure-Response Data for Lung Function Decrements**

Study and SO <sub>2</sub> Level	Increase in sRaw $\geq$ 100%		Increase in sRaw $\geq$ 200%		Decrease in FEV <sub>1</sub> $\geq$ 15%		Decrease in FEV <sub>1</sub> $\geq$ 20%	
	Number Exposed	Number Responding	Number Exposed	Number Responding	Number Exposed	Number Responding	Number Exposed	Number Responding
<b>0.2 ppm SO<sub>2</sub></b>								
Linn et al. (1987)	40	2	40	0	40	5	40	2
<b>0.25 ppm SO<sub>2</sub></b>								
Bethel et al. (1985)	19	6	19	3				
	9	2	9	0				
Roger et al. (1985)	28	1	28	0				
<b>0.3 ppm SO<sub>2</sub></b>								
Linn et al. (1988)	20	2	20	1	20	3	20	0
Linn et al. (1990)	21	7	21	2	21	5	21	3
<b>0.4 ppm SO<sub>2</sub></b>								
Linn et al. (1987)	40	9	40	3	40	12	40	9
<b>0.5 ppm SO<sub>2</sub></b>								
Bethel et al. (1983)	10	6	10	4				
Roger et al. (1985)	28	5	28	1				
Magnussen et al. (1990)*	45	16	45	7				
<b>0.6 ppm SO<sub>2</sub></b>								
Linn et al. (1987)	40	14	40	11	40	21	40	19
Linn et al. (1988)	20	12	20	7	20	11	20	11
Linn et al. (1990)	21	13	21	6	21	9	21	7
<b>1.0 ppm SO<sub>2</sub></b>								
Roger et al. (1985)	28	14	28	7				
Kehrl et al. (1987)	10	6	10	2				

\*Data from Magnussen et al. (1990) were not used in the estimation of sRaw exposure-response functions because exposures in this study were conducted using a mouthpiece rather than a chamber.

We considered two different functional forms for the exposure-response functions: a 2-parameter logistic model and a probit model. In particular, we used the data in Table 3-1 to estimate the logistic function,

$$y(x; \beta, \gamma) = \frac{1}{(1 + e^{\beta + \gamma \ln(x)})} \quad (3-1)$$

and the probit function,

$$y(x; \beta, \gamma) = \frac{1}{(2\pi)^{1/2}} \int_{-\infty}^{\beta + \gamma \ln(x)} e^{-t^2/2} dt \quad (3-2)$$

for each of the four lung function responses defined above, where  $x$  denotes the SO<sub>2</sub> concentration (in ppb) to which the individual is exposed,  $\ln(x)$  is the natural logarithm of  $x$ ,  $y$  denotes the corresponding probability of response (increase in sRaw  $\geq 100\%$  or  $\geq 200\%$  or decrease in FEV<sub>1</sub>  $\geq 15\%$  or  $\geq 20\%$ ), and  $\beta$  and  $\gamma$  are the two parameters whose values are estimated.<sup>8</sup>

We assumed that the number of responses,  $s_i$ , out of  $N_i$  subjects exposed to a given SO<sub>2</sub> concentration,  $x_i$ , has a binomial distribution with response probability given by equation (3-1) when we assume the logistic model and equation (3-2) when we assume the probit model. The likelihood function is therefore

$$L(\beta, \gamma; data) = \prod_i \binom{N_i}{s_i} y(x_i; \beta, \gamma)^{s_i} [1 - y(x_i; \beta, \gamma)]^{N_i - s_i}.$$

In some of the controlled human exposure studies, subjects were exposed to a given SO<sub>2</sub> concentration more than once. However, because there were insufficient data to estimate subject-specific response probabilities, we assumed a single response probability (for a given definition of response) for all individuals and treated the repeated exposures for a single subject as independent exposures in the binomial distribution.

For each model, we derived a Bayesian posterior distribution using this binomial likelihood function in combination with uniform prior distributions for each of the unknown parameters.<sup>9</sup> We used 4000 iterations as the “burn-in” period followed by a sufficient number of iterations to ensure convergence of the resulting posterior density. Each iteration corresponds to a set of values for the parameters of the logistic or probit exposure-response function.

<sup>8</sup> For ease of exposition, we use the same two Greek letters to indicate two unknown parameters in the logistic and probit models; this does not imply, however, that the values of these two parameters are the same in the two models.

<sup>9</sup> We used the following uniform prior distributions for the 2-parameter logistic model:  $\beta \sim U(-10, 0)$ ; and  $\gamma \sim U(-10, 0)$ ; we used the following normal prior distributions for the probit model:  $\beta \sim N(0, 1000)$ ; and  $\gamma \sim N(0, 1000)$ .

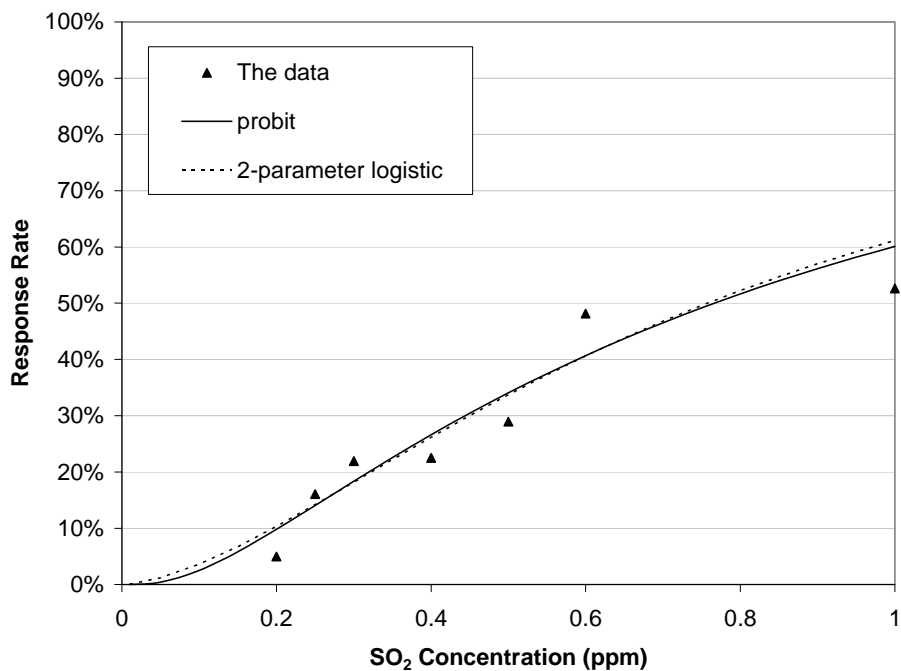
For any SO<sub>2</sub> concentration,  $x$ , we could then derive the  $n^{\text{th}}$  percentile response value, for any  $n$ , by evaluating the exposure-response function at  $x$  using each of the 18,000 sets of parameter values. The resulting median (50<sup>th</sup> percentile) logistic and probit exposure-response functions are shown together, along with the data used to estimate these functions, for increases in sRaw  $\geq 100\%$  and  $\geq 200\%$  and decreases in FEV<sub>1</sub>  $\geq 15\%$  and  $\geq 20\%$  in Figures 3-2, 3-3, 3-4, and 3-5, respectively.

As can be seen in Figures 3-2 through 3-5, there were only limited data with which to estimate the logistic and probit exposure-response functions, and in all cases it wasn't clear that one function fit the data better than the other. In fact, for each of the four lung function response definitions there was little difference between the estimated logistic and probit models in the range of the data used to estimate the functions. However, most of the exposures occur below the range of the data, where there are differences between the two functions.<sup>10</sup> We therefore estimated the risks associated with exposure to SO<sub>2</sub> under the different air quality scenarios considered using both the logistic and the probit exposure-response functions. The 2.5<sup>th</sup> percentile, median, and 97.5<sup>th</sup> percentile logistic and probit exposure-response curves, along with the response data to which they were fit, are shown separately for each of the four response definitions in Appendix A.

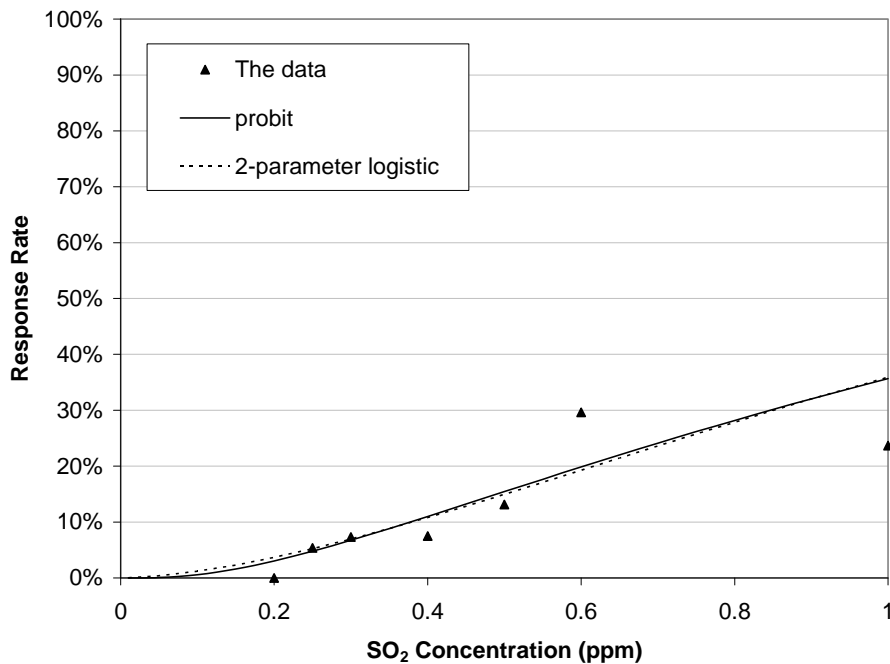
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<sup>10</sup> The differences are relatively small, as can be seen in Figures 3-2 through 3-2; however, even these relatively small differences result in substantial differences in estimates of risk.

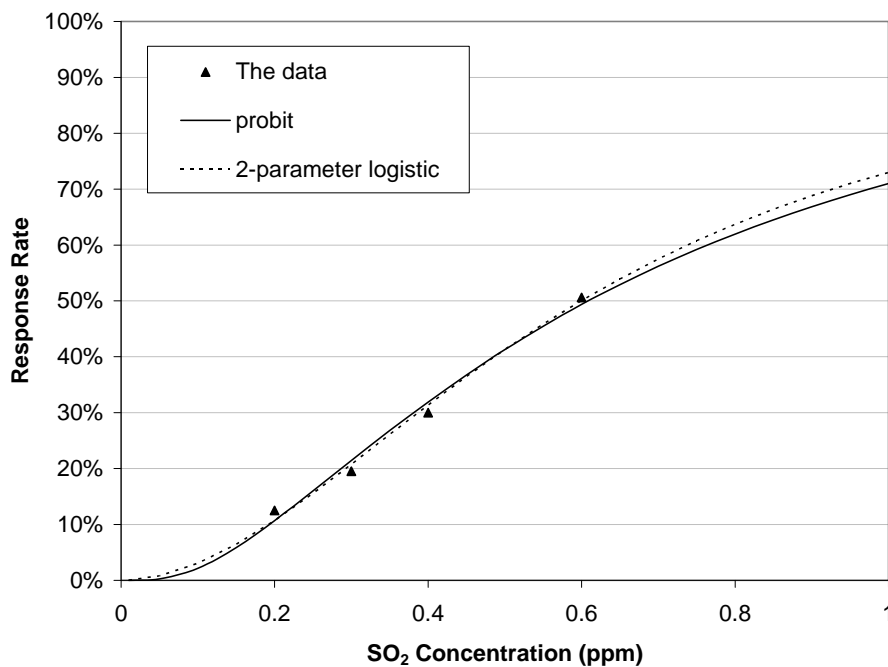
**Figure 3-2. Bayesian-Estimated Median Exposure-Response Functions: Increase in sRaw  $\geq 100\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



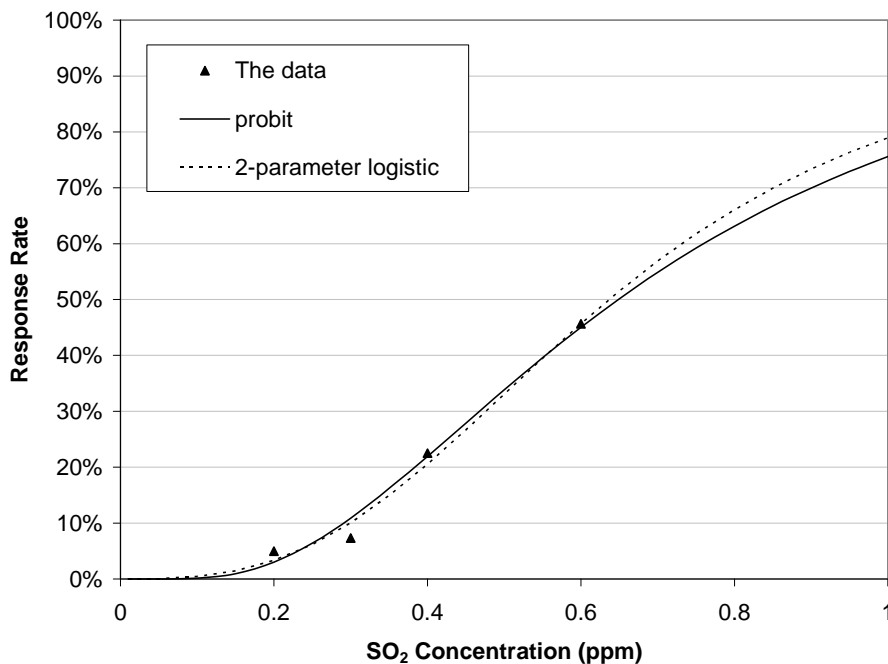
**Figure 3-3. Bayesian-Estimated Median Exposure-Response Functions: Increase in sRaw  $\geq 200\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



**Figure 3-4. Bayesian-Estimated Median Exposure-Response Functions: Decrease in FEV<sub>1</sub> ≥ 15% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



**Figure 3-5. Bayesian-Estimated Median Exposure-Response Functions: Decrease in FEV<sub>1</sub> ≥ 20% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



### 3.2.1 Calculation of risk estimates

We generated two measures of risk for each of the lung function response definitions. The first measure of risk is simply the number of occurrences of the lung function response in the designated population (e.g., asthmatics) in a year associated with SO<sub>2</sub> concentrations under a given air quality scenario. To calculate this measure of risk we started with the number of exposures among the population that are at or above each benchmark level (i.e., 0 ppb, 50 ppb, 100 ppb, etc.), estimated from the exposure modeling. From this we calculated the number of exposures within each 50 ppb exposure “bin” (e.g., < 50 ppb, 50 – 100 ppb, etc.).<sup>11</sup> We then calculated the number of occurrences of lung function response by multiplying the number of exposures in an exposure bin by the response probability (given by our logistic or probit exposure-response function for the specified definition of lung function response) associated with the midpoint of that bin and summing the results across the bins.

Because response probabilities are calculated for each of several percentiles of a probabilistic exposure-response distribution, estimated numbers of occurrences are similarly percentile-specific. The k<sup>th</sup> percentile number of occurrences,  $O_k$ , associated with SO<sub>2</sub> concentrations under a given air quality scenario is:

$$O_k = \sum_{j=1}^n N_j x(R_k | e_j) \quad (3-3)$$

where:

$e_j$  = (the midpoint of) the jth category of personal exposure to SO<sub>2</sub>;

$N_j$  = the number of exposures to  $e_j$  ppb SO<sub>2</sub>, given ambient SO<sub>2</sub> concentrations under the specified air quality scenario;

$R_k | e_j$  = the k<sup>th</sup> percentile response probability at SO<sub>2</sub> concentration  $e_j$ ; and

$n$  = the number of intervals (categories) of SO<sub>2</sub> personal exposure concentration.

An example calculation, using the logistic exposure-response function, is given in Table 3-2.

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<sup>11</sup> The final exposure bin was from 750 to 800 ppb SO<sub>2</sub>. In at least one of the alternative standard scenarios, there were exposures greater than 800 ppb. For any exposures that exceeded 800 ppb, we assumed a final bin from 800 to 850 ppb, and assigned them the midpoint value of that bin, 825 ppb. This will result in a slight downward bias in the estimate of risk.



**Table 3-2. Example: Calculation of Number of Occurrences of Lung Function Response, Defined as an Increase in sRaw  $\geq$  100%, Among Asthmatics in St. Louis Engaged in Moderate or Greater Exertion Associated with Exposure to SO<sub>2</sub> Concentrations that Just Meet an Alternative 1-Hour 99<sup>th</sup> Percentile 100 ppb Standard\***

SO <sub>2</sub> Exposure Bin (ppb)			Number of Exposures	Probability of Response at Midpoint SO <sub>2</sub> Level	Expected Number of Occurrences of Lung Function Response =(2) x (3)
Lower Bound	Upper Bound	Midpoint (1)			
0	50	25	16519000	0.00406	67067
50	100	75	136621	0.02334	3189
100	150	125	15760	0.05162	814
150	200	175	3826	0.08563	328
200	250	225	1051	0.12300	129
250	300	275	413	0.16220	67
300	350	325	175	0.20210	35
350	400	375	83	0.24190	20
400	450	425	31	0.28060	9
450	500	475	24	0.31830	8
500	550	525	8	0.35430	3
550	600	575	0	0.38850	0
600	650	625	0	0.42090	0
650	700	675	8	0.45150	4
700	750	725	0	0.46600	0
750	800	775	0	0.49380	0
Total Number of Exposures:			16677000	Expected Number of Occurrences:	71672

\*Calculations were made using the logistic exposure-response function.

The second measure of risk generated for each lung function response definition is the number of individuals in the designated population to experience at least one lung function response in a year associated with SO<sub>2</sub> concentrations under a specified air quality scenario. The calculation of this measure of risk is similar to the calculation of the first measure of risk – however, here we started with estimates, from the exposure modeling, of the number of individuals exposed at least once to  $x$  ppb SO<sub>2</sub> or higher, for  $x = 0, 50, 100$ , etc. From this we calculated the number of individuals exposed at least once to SO<sub>2</sub> concentrations within each SO<sub>2</sub> exposure bin defined above. We then multiplied the numbers of individuals in an exposure bin by the response probability (given by our logistic or probit exposure-response function for the specified definition of lung function response) corresponding to the midpoint of the exposure bin, and summed the results across the bins.

Because response probabilities are calculated for each of several percentiles of a probabilistic exposure-response distribution, estimated numbers of individuals with at least one lung function response are similarly percentile-specific. The  $k^{\text{th}}$  percentile number of individuals,  $Y_k$ , associated with SO<sub>2</sub> concentrations under a given air quality scenario is:

$$Y_k = \sum_{j=1}^n NI_j x (R_k | e_j) \quad (3-4)$$

Where  $e_j$ ,  $R_k | e_j$ , and  $n$  are as defined above, and  $NI_j$  is the number of individuals whose highest exposure is to  $e_j$  ppb SO<sub>2</sub>, given ambient SO<sub>2</sub> concentrations under the specified air

quality scenario. An example calculation, using the logistic exposure-response function, is given in Table 3-3.

**Table 3-3. Example: Calculation of the Number of Asthmatics in St. Louis Engaged in Moderate or Greater Exertion Estimated to Experience at Least One Lung Function Response, Defined as an Increase in sRaw  $\geq$  100%, Associated with Exposure to SO<sub>2</sub> Concentrations that Just Meet an Alternative 1-Hour 99<sup>th</sup> Percentile 100 ppb Standard\***

SO <sub>2</sub> Exposure Bin (ppb)			Number of Asthmatics with At Least One Exposure in Bin (2)	Probability of Response at Midpoint SO <sub>2</sub> Level (3)	Estimated Number of Asthmatics Experiencing at Least One Lung Function Response =(2) x (3)
Lower Bound	Upper Bound	Midpoint (1)			
0	50	25	53711	0.00406	218
50	100	75	34236	0.02334	799
100	150	125	9835	0.05162	508
150	200	175	3059	0.08563	262
200	250	225	929	0.12300	114
250	300	275	368	0.16220	60
300	350	325	145	0.20210	29
350	400	375	84	0.24190	20
400	450	425	31	0.28060	9
450	500	475	22	0.31830	7
500	550	525	8	0.35430	3
550	600	575	0	0.38850	0
600	650	625	0	0.42090	0
650	700	675	8	0.45150	4
700	750	725	0	0.46600	0
750	800	775	0	0.49380	0
Total :			102436	Total:	2032

\*Calculations were made using the logistic exposure-response function.

Note that this calculation assumes that individuals who do not respond at the highest SO<sub>2</sub> concentration to which they are exposed will not respond to any lower SO<sub>2</sub> concentrations to which they are exposed.

Note also that, in contrast to the risk estimates calculated for the O<sub>3</sub> health risk assessment, the risk estimates calculated for the SO<sub>2</sub> health risk assessment do not subtract out risk given the personal exposures associated with estimated policy relevant background (PRB) ambient SO<sub>2</sub> concentrations, because PRB SO<sub>2</sub> concentrations are so low (see section 2.3).

### 3.2.2 Selection of urban areas

Although it would be useful to characterize SO<sub>2</sub>-related lung function risks associated with “as is” SO<sub>2</sub> ambient concentrations and SO<sub>2</sub> concentrations that just meet the current and alternative SO<sub>2</sub> standards nationwide, because the modeling of personal exposures is both time and labor intensive, a regional and source-oriented approach was selected instead. The selection of areas to include in the exposure analysis, and therefore the risk assessment, took into consideration the availability of ambient monitoring, the desire to represent a range of

geographic areas considering SO<sub>2</sub> emission sources, population demographics, general climatology, and results of the ambient air quality characterization.

The first area of interest was initially identified based on the results of a preliminary screening of the 5-minute ambient SO<sub>2</sub> monitoring data that were available. The state of Missouri was one of only a few states having both 5-minute maximum and continuous 5-minute SO<sub>2</sub> ambient monitoring, as well as having over 30 1-hour SO<sub>2</sub> monitors in operation at some time during the period from 1997 to 2007. In addition, the air quality characterization, described in Chapter 6 of the 1<sup>st</sup> draft REA (EPA, 2008b), estimated frequent exceedances above the potential health effect benchmark levels at several of the 1-hour ambient monitors. In a ranking of estimated SO<sub>2</sub> emissions reported in the National Emissions Inventory (NEI), Missouri ranked 7th for the number of stacks with > 1000 tpy SO<sub>x</sub> emissions out of all U.S. states. These stack emissions were associated with a variety of source types such as electrical power generating units, chemical manufacturing, cement processing, and smelters. For all these reasons, the current SO<sub>2</sub> lung function risk assessment focuses on Missouri and, within Missouri, on those areas within 20 km of a major point source of SO<sub>2</sub> emissions in Greene County and the St. Louis area.

### 3.2.3 Addressing variability and uncertainty

Any estimation of risks associated with “as is” SO<sub>2</sub> concentrations or with SO<sub>2</sub> concentrations that just meet the current or alternative SO<sub>2</sub> standards should address both the variability and uncertainty that generally underlie such an analysis. *Uncertainty* refers to the lack of knowledge regarding the actual values of model input variables (parameter uncertainty) and of physical systems or relationships (model uncertainty – e.g., the shapes of exposure-response and concentration-response functions). The goal of the analyst is to reduce uncertainty to the maximum extent possible. Uncertainty can be reduced by improved measurement and improved model formulation. In a health risk assessment, however, significant uncertainty often remains.

The degree of uncertainty can be characterized, sometimes quantitatively. For example, the statistical uncertainty surrounding the estimated SO<sub>2</sub> coefficients in the exposure-response functions is reflected in confidence or credible intervals provided for the risk estimates.

As described in section 3.2 above, we used a Bayesian Markov Chain Monte Carlo approach to estimate exposure-response functions as well as to characterize uncertainty attributable to sampling error based on sample size considerations. Using this approach, we could derive the  $n^{\text{th}}$  percentile response value, for any  $n$ , for any SO<sub>2</sub> concentration,  $x$ , as described above (see section 3.2). Because our exposure estimates were generated at the midpoints of 0.05 ppm intervals (i.e., for 0.025 ppm, 0.075 ppm, etc.), we derived 2.5<sup>th</sup> percentile, 50<sup>th</sup> percentile (median), and 97.5<sup>th</sup> percentile response estimates for SO<sub>2</sub> concentrations at these midpoint values. The 2.5<sup>th</sup> percentile and 97.5<sup>th</sup> percentile response estimates comprise the lower and upper bounds of the credible interval around each point estimate (median estimate) of response.

In addition to uncertainties arising from sampling variability, other uncertainties associated with the use of the exposure-response relationships for lung function responses are briefly summarized below. Additional uncertainties with respect to the exposure inputs to the risk assessment are described in section 8.11 of the final REA (EPA, 2009b). The main additional uncertainties with respect to the approach used to estimate exposure-response relationships include:

- Length of exposure. The 5-minute lung function risk estimates are based on a combined data set from several controlled human exposure studies, most of which evaluated responses associated with 10-minute exposures. However, since some studies which evaluated responses after 5-minute exposures found responses occurring as early as 5-minutes after exposure, we used all of the 5- and 10- minute exposure data to represent responses associated with 5-minute exposures. We do not believe that this approach would appreciably impact the risk estimates.
- Exposure-response for mild/moderate asthmatics. The data set that was used to estimate exposure-response relationships included mild and/or moderate asthmatics. There is uncertainty with regard to how well the population of mild and moderate asthmatics included in the series of controlled human exposure studies represent the distribution of mild and moderate asthmatics in the U.S. population. As indicated in the ISA (p. 3-9), the subjects studied represent the responses “among groups of relatively healthy asthmatics and cannot necessarily be extrapolated to the most sensitive asthmatics in the population who are likely more susceptible to the respiratory effects of exposure to SO<sub>2</sub>.”
- Extrapolation of exposure-response relationships. It was necessary to estimate responses at SO<sub>2</sub> levels below the lowest exposure levels used in free-breathing controlled human studies (i.e., 0.2 ppm or 200 ppb). We did not include alternative models that incorporate hypothetical population thresholds, given the lack of evidence supporting the choice of potential hypothetical threshold levels. As discussed later in this document, we have presented information on the contribution of different exposure intervals to the total estimated lung function risk. This information provides insights on how much of the estimated risk is attributed to SO<sub>2</sub> exposures at the lower exposure levels (i.e., 0 to 50 ppb, 50 to 100 ppb, 100 to 150 ppb, etc.). One can use this information to get a rough sense of the SO<sub>2</sub>-related risk that would exist under alternative threshold assumptions.
- Reproducibility of SO<sub>2</sub>-induced responses. The risk assessment assumed that the SO<sub>2</sub>-induced responses for individuals are reproducible. We note that this assumption has some support in that one study (Linn et al., 1987) exposed the same subjects on two occasions to 0.6 ppm (600 ppb) and the authors reported a high degree of correlation ( $r > 0.7$  for mild asthmatics and  $r > 0.8$  for moderate asthmatics,  $p < 0.001$ ), while observing much lower and nonsignificant correlations ( $r = 0.0 - 0.4$ ) for the lung function response observed in the clean air with exercise exposures.
- Age and lung function response. Because the vast majority of controlled human exposure studies investigating lung function responses were conducted with adult subjects, the risk assessment relies on data from adult asthmatic subjects to estimate exposure-response relationships that were applied to all asthmatic individuals, including children. The ISA

(section 3.1.3.5) indicates that there is a strong body of evidence that suggests adolescents may experience many of the same respiratory effects at similar SO<sub>2</sub> levels, but recognizes that these studies administered SO<sub>2</sub> via inhalation through a mouthpiece rather than an exposure chamber. This technique bypasses nasal absorption of SO<sub>2</sub> and can result in an increase in lung SO<sub>2</sub> uptake. Therefore, the uncertainty will be greater in the risk estimates for asthmatic children.

- Exposure history. The risk assessment assumed that the SO<sub>2</sub>-induced response on any given day is independent of previous SO<sub>2</sub> exposures.
- Interaction between SO<sub>2</sub> and other pollutants. Because the controlled human exposure studies used in the risk assessment involved only SO<sub>2</sub> exposures, it was assumed that estimates of SO<sub>2</sub>-induced health responses would not be affected by the presence of other pollutants (e.g., PM<sub>2.5</sub>, O<sub>3</sub>, NO<sub>2</sub>).

*Variability* refers to the heterogeneity in a population or parameter. Even if there is no uncertainty surrounding inputs to the analysis, there may still be variability. For example, there may be variability among exposure-response functions describing the relationship between SO<sub>2</sub> and lung function in different locations. This variability does not imply uncertainty about the exposure-response function in any location, but only that these functions are different in the different locations, reflecting differences in the populations and/or other factors that may affect the relationship between SO<sub>2</sub> and the associated health endpoint. In general, it is possible to have uncertainty but no variability (if, for instance, there is a single parameter whose value is uncertain) or variability but little or no uncertainty (for example, people's heights vary considerably but can be accurately measured with little uncertainty).

The SO<sub>2</sub> lung function risk assessment addresses variability-related concerns by using location-specific inputs for the exposure analysis (e.g., location-specific population data, air exchange rates, air quality and temperature data). The extent to which there may be variability in exposure-response relationships for the populations included in the risk assessment residing in different geographic areas is currently unknown.

Temporal variability is more difficult to address, because the risk assessment focuses on some unspecified time in the future. To minimize the degree to which values of inputs to the analysis may be different from the values of those inputs at that unspecified time, we are using the most current inputs available.

## 4 RESULTS

The results of the SO<sub>2</sub> risk assessment are presented in Tables 4-1 through 4-12. Each table includes results for both of the locations included in the risk assessment and for all of the air quality scenarios considered, using both 2-parameter logistic and probit exposure-response functions. Tables 4-1 and 4-2 show the numbers of occurrences of lung function response in a year, defined in terms of sRaw, for asthmatics and for asthmatic children, respectively, engaged in moderate or greater exertion associated with SO<sub>2</sub> concentrations under each of the different air quality scenarios considered in each of the two locations. Tables 4-3 and 4-4 show the corresponding results when lung function response is defined in terms of FEV<sub>1</sub>. Tables 4-5 and 4-6 show the numbers of asthmatics and asthmatic children, respectively, engaged in moderate or greater exertion estimated to experience at least one lung function response in a year, defined in terms of sRaw, under each of the different air quality scenarios in each of the two locations. Tables 4-7 and 4-8 show the corresponding results when lung function response is defined in terms of FEV<sub>1</sub>. Finally, Tables 4-9 through 4-12 show results analogous to those shown in Tables 4-5 through 4-8, only as percentages of all asthmatics (asthmatic children) engaged in moderate or greater exertion.

In addition, responses attributable to exposure to SO<sub>2</sub> within different concentration ranges are shown in Figures 4-1 through 4-16. The exposure ranges are in 50 ppb increments – i.e., SO<sub>2</sub> < 50 ppb, 50 ppb ≤ SO<sub>2</sub> < 100 ppb, 100 ppb ≤ SO<sub>2</sub> < 150 ppb, ... , SO<sub>2</sub> ≥ 500 ppb. Figures 4-1a and b show the percent of asthmatics engaged in moderate or greater exertion in St. Louis, MO, using the logistic and probit exposure-response functions, respectively, estimated to experience at least one lung function response in a year, defined as an increase in sRaw ≥ 100%, attributable to exposure to SO<sub>2</sub> in each exposure “bin.” Figures 4-2a and b show the corresponding percents for asthmatic children engaged in moderate or greater exertion in St. Louis, MO, using the logistic and probit exposure-response functions, respectively. Figures 4-3a and b, and 4-4a and b, show the corresponding percents for asthmatics and asthmatic children, respectively, in St. Louis, MO, when lung function response is defined as a decrease in FEV<sub>1</sub> ≥ 15%.

Figures 4-5a and b show the number of occurrences of lung function response, defined as an increase in sRaw ≥ 100%, among asthmatics engaged in moderate or greater exertion in St. Louis, MO, using the logistic and probit exposure-response functions, respectively, attributable to exposure to SO<sub>2</sub> in each exposure “bin.” Figures 4-6a and b show the corresponding numbers of occurrences among asthmatic children in St. Louis, MO. Figures 4-7a and b and 4-8a and b show the corresponding numbers of occurrences of lung function response for asthmatics and asthmatic children, respectively, when lung function response is defined as a decrease in FEV<sub>1</sub> ≥ 15%. Figures 4-9a and b through 4-16a and b are the corresponding figures for Greene Co., MO. Figure 4-17 shows the legend that is used in Figures 4-1 through 4-16.

**Table 4-1. Number of Occurrences (in Hundreds) of Lung Function Response (Defined in Terms of sRaw) Among Asthmatics Engaged in Moderate or Greater Exertion Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	125 (24 - 572)	127 (25 - 577)	125 (24 - 572)	125 (24 - 572)	125 (24 - 573)	126 (24 - 573)	126 (24 - 575)	126 (24 - 574)
Probit	16 (0 - 256)	18 (1 - 261)	16 (0 - 256)	16 (0 - 256)	16 (1 - 257)	16 (1 - 257)	17 (1 - 258)	17 (1 - 258)
St. Louis, MO								
2-Parameter Logistic	657 (128 - 2985)	1672 (663 - 4740)	652 (125 - 2975)	686 (141 - 3041)	762 (176 - 3184)	880 (234 - 3398)	1036 (315 - 3673)	997 (295 - 3604)
Probit	90 (4 - 1346)	933 (393 - 3107)	86 (3 - 1336)	111 (11 - 1402)	170 (33 - 1543)	264 (72 - 1756)	392 (128 - 2031)	360 (114 - 1963)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	38 (4 - 310)	39 (4 - 312)	38 (4 - 310)	38 (4 - 310)	38 (4 - 310)	38 (4 - 310)	39 (4 - 311)	39 (4 - 311)
Probit	2 (0 - 123)	3 (0 - 124)	2 (0 - 122)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)	2 (0 - 123)
St. Louis, MO								
2-Parameter Logistic	201 (21 - 1614)	560 (165 - 2407)	199 (20 - 1609)	211 (24 - 1639)	237 (32 - 1703)	278 (47 - 1799)	332 (68 - 1923)	319 (63 - 1892)
Probit	13 (0 - 643)	258 (86 - 1388)	12 (0 - 639)	18 (1 - 666)	33 (5 - 725)	59 (12 - 814)	95 (24 - 930)	86 (21 - 901)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-2. Number of Occurrences (in Hundreds) of Lung Function Response (Defined in Terms of sRaw) Among Asthmatic Children Engaged in Moderate or Greater Exertion Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	71 (13 - 324)	72 (14 - 327)	71 (13 - 324)	71 (14 - 324)	71 (14 - 324)	71 (14 - 325)	71 (14 - 325)	71 (14 - 325)
Probit	9 (0 - 145)	10 (1 - 148)	9 (0 - 145)	9 (0 - 145)	9 (0 - 145)	9 (0 - 146)	10 (0 - 146)	10 (0 - 146)
St. Louis, MO								
2-Parameter Logistic	417 (81 - 1893)	1179 (484 - 3209)	413 (80 - 1885)	439 (91 - 1935)	497 (118 - 2043)	586 (162 - 2206)	704 (222 - 2413)	674 (207 - 2361)
Probit	58 (3 - 855)	692 (296 - 2176)	55 (2 - 847)	74 (8 - 896)	118 (25 - 1004)	189 (53 - 1166)	286 (96 - 1373)	262 (85 - 1321)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	22 (2 - 175)	22 (2 - 177)	22 (2 - 175)	22 (2 - 175)	22 (2 - 175)	22 (2 - 176)	22 (2 - 176)	22 (2 - 176)
Probit	1 (0 - 69)	2 (0 - 71)	1 (0 - 69)	1 (0 - 69)	1 (0 - 69)	1 (0 - 70)	1 (0 - 70)	1 (0 - 70)
St. Louis, MO								
2-Parameter Logistic	128 (13 - 1023)	397 (122 - 1618)	126 (13 - 1019)	135 (15 - 1042)	155 (22 - 1091)	186 (33 - 1164)	227 (49 - 1257)	217 (45 - 1234)
Probit	8 (0 - 408)	192 (65 - 967)	8 (0 - 405)	12 (1 - 425)	24 (4 - 470)	43 (9 - 538)	70 (18 - 625)	63 (16 - 603)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.



**Table 4-3. Number of Occurrences (in Hundreds) of Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Among Asthmatics Engaged in Moderate or Greater Exertion Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV1 >= 15%								
Greene County, MO								
2-Parameter Logistic	69 (6 - 675)	71 (7 - 680)	69 (6 - 675)	69 (6 - 675)	69 (6 - 675)	69 (6 - 676)	70 (6 - 677)	70 (6 - 677)
Probit	6 (0 - 418)	8 (0 - 424)	6 (0 - 417)	6 (0 - 418)	6 (0 - 418)	6 (0 - 419)	7 (0 - 421)	6 (0 - 420)
St. Louis, MO								
2-Parameter Logistic	366 (33 - 3520)	1341 (454 - 5632)	361 (32 - 3507)	391 (41 - 3587)	461 (66 - 3759)	570 (108 - 4016)	718 (169 - 4346)	681 (154 - 4264)
Probit	36 (1 - 2189)	866 (322 - 4471)	33 (0 - 2175)	55 (5 - 2262)	109 (20 - 2448)	198 (49 - 2727)	322 (94 - 3084)	291 (82 - 2995)
Response = Decrease in FEV1 >= 20%								
Greene County, MO								
2-Parameter Logistic	3 (0 - 53)	3 (0 - 54)	3 (0 - 53)	3 (0 - 53)	3 (0 - 53)	3 (0 - 53)	3 (0 - 53)	3 (0 - 53)
Probit	0 (0 - 5)	0 (0 - 7)	0 (0 - 5)	0 (0 - 5)	0 (0 - 5)	0 (0 - 6)	0 (0 - 6)	0 (0 - 6)
St. Louis, MO								
2-Parameter Logistic	15 (1 - 279)	310 (133 - 1045)	14 (0 - 276)	20 (2 - 299)	35 (7 - 351)	62 (17 - 435)	104 (34 - 550)	93 (30 - 521)
Probit	1 (0 - 32)	240 (120 - 697)	0 (0 - 30)	3 (1 - 47)	13 (5 - 89)	33 (14 - 158)	65 (29 - 256)	57 (25 - 232)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-4. Number of Occurrences (in Hundreds) of Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Among Asthmatic Children Engaged in Moderate or Greater Exertion Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV1 >= 15%								
Greene County, MO								
2-Parameter Logistic	39 (3 - 382)	40 (4 - 386)	39 (3 - 382)	39 (3 - 382)	39 (3 - 382)	39 (3 - 383)	40 (4 - 384)	40 (4 - 383)
Probit	3 (0 - 236)	4 (0 - 240)	3 (0 - 236)	3 (0 - 236)	3 (0 - 237)	4 (0 - 237)	4 (0 - 238)	4 (0 - 238)
St. Louis, MO								
2-Parameter Logistic	232 (21 - 2231)	965 (338 - 3816)	229 (20 - 2222)	252 (27 - 2282)	304 (46 - 2412)	387 (77 - 2608)	499 (123 - 2857)	471 (112 - 2795)
Probit	23 (1 - 1389)	648 (242 - 3101)	21 (0 - 1379)	38 (4 - 1444)	79 (15 - 1585)	146 (37 - 1797)	239 (70 - 2066)	216 (62 - 1999)
Response = Decrease in FEV1 >= 20%								
Greene County, MO								
2-Parameter Logistic	1 (0 - 30)	2 (0 - 31)	1 (0 - 30)	1 (0 - 30)	1 (0 - 30)	2 (0 - 30)	2 (0 - 30)	2 (0 - 30)
Probit	0 (0 - 3)	0 (0 - 4)	0 (0 - 3)	0 (0 - 3)	0 (0 - 3)	0 (0 - 3)	0 (0 - 3)	0 (0 - 3)
St. Louis, MO								
2-Parameter Logistic	10 (0 - 178)	231 (99 - 753)	9 (0 - 175)	13 (1 - 192)	24 (5 - 232)	45 (13 - 295)	76 (26 - 382)	68 (22 - 360)
Probit	0 (0 - 21)	180 (90 - 521)	0 (0 - 19)	2 (1 - 32)	10 (3 - 63)	25 (10 - 116)	49 (21 - 190)	43 (18 - 171)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest whole number.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-5. Number of Asthmatics Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of sRaw) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	90 (20 - 390)	210 (80 - 620)	80 (20 - 380)	90 (20 - 390)	100 (20 - 420)	120 (30 - 460)	160 (50 - 520)	140 (40 - 500)
Probit	10 (0 - 180)	110 (40 - 410)	10 (0 - 170)	10 (0 - 180)	20 (0 - 210)	40 (10 - 250)	70 (20 - 310)	60 (20 - 280)
St. Louis, MO								
2-Parameter Logistic	1010 (340 - 3010)	13460 (9740 - 18510)	730 (220 - 2490)	1990 (860 - 4690)	3650 (1900 - 7100)	5520 (3230 - 9490)	7500 (4770 - 11850)	7050 (4410 - 11320)
Probit	500 (140 - 1990)	13050 (9430 - 18100)	290 (70 - 1470)	1340 (520 - 3690)	2930 (1450 - 6200)	4810 (2760 - 8710)	6860 (4310 - 11190)	6400 (3950 - 10640)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	30 (0 - 210)	70 (20 - 310)	30 (0 - 210)	30 (0 - 210)	30 (0 - 220)	40 (10 - 240)	50 (10 - 270)	50 (10 - 260)
Probit	0 (0 - 80)	30 (10 - 180)	0 (0 - 80)	0 (0 - 90)	10 (0 - 100)	10 (0 - 110)	20 (0 - 140)	10 (0 - 130)
St. Louis, MO								
2-Parameter Logistic	330 (70 - 1520)	5520 (3400 - 8960)	230 (40 - 1290)	670 (210 - 2270)	1280 (510 - 3360)	2010 (940 - 4470)	2830 (1470 - 5590)	2640 (1340 - 5330)
Probit	120 (20 - 880)	5180 (3150 - 8570)	60 (10 - 660)	350 (90 - 1590)	870 (310 - 2680)	1560 (690 - 3820)	2380 (1200 - 5000)	2190 (1070 - 4730)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest ten.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-6. Number of Asthmatic Children Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of sRaw) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	30 (10 - 130)	110 (40 - 270)	30 (10 - 130)	30 (10 - 140)	40 (10 - 150)	50 (20 - 180)	70 (30 - 210)	60 (20 - 200)
Probit	10 (0 - 60)	60 (20 - 200)	0 (0 - 60)	10 (0 - 60)	10 (0 - 80)	20 (10 - 100)	40 (10 - 140)	30 (10 - 130)
St. Louis, MO								
2-Parameter Logistic	590 (220 - 1570)	8020 (6080 - 10370)	400 (130 - 1210)	1220 (560 - 2620)	2240 (1240 - 4010)	3370 (2090 - 5350)	4560 (3060 - 6680)	4290 (2840 - 6390)
Probit	340 (100 - 1150)	7950 (6020 - 10320)	190 (50 - 790)	890 (360 - 2220)	1910 (1000 - 3690)	3080 (1860 - 5110)	4330 (2870 - 6510)	4060 (2640 - 6210)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	10 (0 - 70)	40 (10 - 130)	10 (0 - 70)	10 (0 - 70)	10 (0 - 80)	20 (0 - 90)	20 (10 - 110)	20 (10 - 100)
Probit	0 (0 - 30)	20 (0 - 90)	0 (0 - 30)	0 (0 - 30)	0 (0 - 40)	10 (0 - 50)	10 (0 - 60)	10 (0 - 60)
St. Louis, MO								
2-Parameter Logistic	190 (50 - 780)	3380 (2190 - 5070)	130 (30 - 610)	410 (140 - 1240)	800 (340 - 1870)	1250 (620 - 2500)	1750 (970 - 3140)	1640 (890 - 3000)
Probit	80 (10 - 500)	3290 (2110 - 5000)	40 (10 - 350)	240 (60 - 950)	580 (220 - 1590)	1030 (480 - 2250)	1560 (830 - 2940)	1440 (740 - 2790)

\*Numbers are median (50th percentile) numbers of asthmatic children. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest ten.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-7. Number of Asthmatics Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV <sub>1</sub> >= 15%								
Greene County, MO								
2-Parameter Logistic	50 (10 - 460)	170 (50 - 730)	50 (0 - 450)	50 (10 - 460)	60 (10 - 490)	80 (20 - 540)	110 (30 - 610)	100 (20 - 590)
Probit	10 (0 - 290)	100 (30 - 590)	0 (0 - 280)	10 (0 - 290)	20 (0 - 330)	30 (10 - 380)	50 (10 - 460)	50 (10 - 430)
St. Louis, MO								
2-Parameter Logistic	750 (180 - 3580)	15220 (10280 - 22530)	510 (100 - 2950)	1700 (580 - 5590)	3460 (1520 - 8500)	5570 (2880 - 11400)	7910 (4550 - 14280)	7370 (4160 - 13640)
Probit	410 (80 - 2880)	15040 (10140 - 22670)	220 (30 - 2200)	1250 (370 - 5070)	2970 (1230 - 8210)	5130 (2580 - 11280)	7550 (4280 - 14280)	6990 (3880 - 13610)
Response = Decrease in FEV <sub>1</sub> >= 20%								
Greene County, MO								
2-Parameter Logistic	0 (0 - 40)	30 (10 - 130)	0 (0 - 40)	0 (0 - 40)	0 (0 - 50)	10 (0 - 60)	20 (0 - 80)	10 (0 - 80)
Probit	0 (0 - 10)	20 (10 - 80)	0 (0 - 0)	0 (0 - 10)	0 (0 - 10)	0 (0 - 20)	10 (0 - 40)	10 (0 - 40)
St. Louis, MO								
2-Parameter Logistic	100 (20 - 570)	9240 (6110 - 13840)	50 (10 - 380)	350 (110 - 1290)	1020 (430 - 2680)	2100 (1060 - 4450)	3540 (1990 - 6540)	3190 (1760 - 6050)
Probit	40 (10 - 320)	9260 (6200 - 13820)	20 (0 - 170)	240 (80 - 960)	870 (390 - 2340)	1950 (1020 - 4170)	3430 (1980 - 6340)	3070 (1740 - 5830)

\*Numbers are median (50th percentile) numbers of asthmatics. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest ten.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-8. Number of Asthmatic Children Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV <sub>1</sub> >= 15%								
Greene County, MO								
2-Parameter Logistic	20 (0 - 160)	90 (30 - 320)	20 (0 - 150)	20 (0 - 160)	30 (0 - 180)	40 (10 - 210)	50 (10 - 250)	50 (10 - 240)
Probit	0 (0 - 100)	60 (20 - 280)	0 (0 - 100)	0 (0 - 100)	10 (0 - 120)	20 (0 - 160)	30 (10 - 200)	30 (10 - 180)
St. Louis, MO								
2-Parameter Logistic	460 (120 - 1870)	9310 (6620 - 12680)	290 (60 - 1440)	1080 (390 - 3130)	2200 (1030 - 4810)	3510 (1930 - 6440)	4950 (3030 - 8070)	4630 (2780 - 7720)
Probit	280 (50 - 1630)	9320 (6630 - 12800)	150 (20 - 1160)	840 (260 - 2990)	1970 (860 - 4800)	3350 (1790 - 6510)	4870 (2930 - 8190)	4530 (2660 - 7830)
Response = Decrease in FEV <sub>1</sub> >= 20%								
Greene County, MO								
2-Parameter Logistic	0 (0 - 10)	20 (10 - 70)	0 (0 - 10)	0 (0 - 10)	0 (0 - 20)	0 (0 - 30)	10 (0 - 40)	10 (0 - 40)
Probit	0 (0 - 0)	10 (0 - 40)	0 (0 - 0)	0 (0 - 0)	0 (0 - 10)	0 (0 - 10)	0 (0 - 20)	0 (0 - 20)
St. Louis, MO								
2-Parameter Logistic	70 (10 - 350)	6150 (4190 - 8700)	30 (10 - 220)	240 (80 - 820)	700 (300 - 1710)	1430 (740 - 2830)	2410 (1400 - 4160)	2170 (1240 - 3850)
Probit	30 (10 - 220)	6210 (4280 - 8780)	10 (0 - 110)	170 (60 - 650)	610 (280 - 1560)	1370 (730 - 2750)	2380 (1410 - 4140)	2140 (1240 - 3820)

\*Numbers are median (50th percentile) numbers of asthmatic children. Numbers in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Numbers are rounded to the nearest hundred.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-9. Percent of Asthmatics Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of sRaw) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	0.4% (0.1% - 1.8%)	1% (0.4% - 2.9%)	0.4% (0.1% - 1.8%)	0.4% (0.1% - 1.8%)	0.5% (0.1% - 2%)	0.6% (0.2% - 2.1%)	0.7% (0.2% - 2.4%)	0.7% (0.2% - 2.3%)
Probit	0.1% (0% - 0.8%)	0.5% (0.2% - 1.9%)	0.1% (0% - 0.8%)	0.1% (0% - 0.8%)	0.1% (0% - 1%)	0.2% (0% - 1.2%)	0.3% (0.1% - 1.4%)	0.3% (0.1% - 1.3%)
St. Louis, MO								
2-Parameter Logistic	1% (0.3% - 2.9%)	13.1% (9.5% - 18.1%)	0.7% (0.2% - 2.4%)	1.9% (0.8% - 4.6%)	3.6% (1.9% - 6.9%)	5.4% (3.2% - 9.3%)	7.3% (4.7% - 11.6%)	6.9% (4.3% - 11.1%)
Probit	0.5% (0.1% - 1.9%)	12.7% (9.2% - 17.7%)	0.3% (0.1% - 1.4%)	1.3% (0.5% - 3.6%)	2.9% (1.4% - 6.1%)	4.7% (2.7% - 8.5%)	6.7% (4.2% - 10.9%)	6.2% (3.9% - 10.4%)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	0.1% (0% - 1%)	0.3% (0.1% - 1.5%)	0.1% (0% - 1%)	0.1% (0% - 1%)	0.2% (0% - 1%)	0.2% (0% - 1.1%)	0.2% (0% - 1.3%)	0.2% (0% - 1.2%)
Probit	0% (0% - 0.4%)	0.1% (0% - 0.8%)	0% (0% - 0.4%)	0% (0% - 0.4%)	0% (0% - 0.5%)	0% (0% - 0.5%)	0.1% (0% - 0.6%)	0.1% (0% - 0.6%)
St. Louis, MO								
2-Parameter Logistic	0.3% (0.1% - 1.5%)	5.4% (3.3% - 8.7%)	0.2% (0% - 1.3%)	0.7% (0.2% - 2.2%)	1.3% (0.5% - 3.3%)	2% (0.9% - 4.4%)	2.8% (1.4% - 5.5%)	2.6% (1.3% - 5.2%)
Probit	0.1% (0% - 0.9%)	5.1% (3.1% - 8.4%)	0.1% (0% - 0.6%)	0.3% (0.1% - 1.6%)	0.8% (0.3% - 2.6%)	1.5% (0.7% - 3.7%)	2.3% (1.2% - 4.9%)	2.1% (1% - 4.6%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-10. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of sRaw) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Increase in sRaw >= 100%								
Greene County, MO								
2-Parameter Logistic	0.4% (0.1% - 1.8%)	1.4% (0.6% - 3.7%)	0.4% (0.1% - 1.8%)	0.4% (0.1% - 1.9%)	0.5% (0.1% - 2.1%)	0.7% (0.2% - 2.4%)	1% (0.3% - 2.9%)	0.9% (0.3% - 2.7%)
Probit	0.1% (0% - 0.9%)	0.9% (0.3% - 2.7%)	0.1% (0% - 0.8%)	0.1% (0% - 0.9%)	0.2% (0% - 1.1%)	0.3% (0.1% - 1.4%)	0.5% (0.2% - 1.9%)	0.4% (0.1% - 1.7%)
St. Louis, MO								
2-Parameter Logistic	1.4% (0.5% - 3.8%)	19.2% (14.6% - 24.9%)	0.9% (0.3% - 2.9%)	2.9% (1.3% - 6.3%)	5.4% (3% - 9.6%)	8.1% (5% - 12.8%)	10.9% (7.3% - 16%)	10.3% (6.8% - 15.3%)
Probit	0.8% (0.2% - 2.8%)	19.1% (14.4% - 24.7%)	0.4% (0.1% - 1.9%)	2.1% (0.9% - 5.3%)	4.6% (2.4% - 8.8%)	7.4% (4.5% - 12.3%)	10.4% (6.9% - 15.6%)	9.7% (6.3% - 14.9%)
Response = Increase in sRaw >= 200%								
Greene County, MO								
2-Parameter Logistic	0.1% (0% - 1%)	0.5% (0.1% - 1.8%)	0.1% (0% - 1%)	0.1% (0% - 1%)	0.2% (0% - 1.1%)	0.2% (0% - 1.3%)	0.3% (0.1% - 1.5%)	0.3% (0.1% - 1.4%)
Probit	0% (0% - 0.4%)	0.2% (0.1% - 1.2%)	0% (0% - 0.4%)	0% (0% - 0.4%)	0% (0% - 0.5%)	0.1% (0% - 0.6%)	0.1% (0% - 0.8%)	0.1% (0% - 0.8%)
St. Louis, MO								
2-Parameter Logistic	0.5% (0.1% - 1.9%)	8.1% (5.3% - 12.2%)	0.3% (0.1% - 1.5%)	1% (0.3% - 3%)	1.9% (0.8% - 4.5%)	3% (1.5% - 6%)	4.2% (2.3% - 7.5%)	3.9% (2.1% - 7.2%)
Probit	0.2% (0% - 1.2%)	7.9% (5% - 12%)	0.1% (0% - 0.8%)	0.6% (0.2% - 2.3%)	1.4% (0.5% - 3.8%)	2.5% (1.2% - 5.4%)	3.7% (2% - 7%)	3.4% (1.8% - 6.7%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.



**Table 4-11. Percent of Asthmatics Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV1 >= 15%								
Greene County, MO								
2-Parameter Logistic	0.2% (0% - 2.1%)	0.8% (0.2% - 3.4%)	0.2% (0% - 2.1%)	0.2% (0% - 2.1%)	0.3% (0% - 2.3%)	0.4% (0.1% - 2.5%)	0.5% (0.1% - 2.9%)	0.5% (0.1% - 2.8%)
Probit	0% (0% - 1.3%)	0.5% (0.1% - 2.8%)	0% (0% - 1.3%)	0% (0% - 1.4%)	0.1% (0% - 1.5%)	0.1% (0% - 1.8%)	0.3% (0.1% - 2.1%)	0.2% (0% - 2%)
St. Louis, MO								
2-Parameter Logistic	0.7% (0.2% - 3.5%)	14.9% (10% - 22%)	0.5% (0.1% - 2.9%)	1.7% (0.6% - 5.5%)	3.4% (1.5% - 8.3%)	5.4% (2.8% - 11.1%)	7.7% (4.4% - 13.9%)	7.2% (4.1% - 13.3%)
Probit	0.4% (0.1% - 2.8%)	14.7% (9.9% - 22.1%)	0.2% (0% - 2.1%)	1.2% (0.4% - 4.9%)	2.9% (1.2% - 8%)	5% (2.5% - 11%)	7.4% (4.2% - 13.9%)	6.8% (3.8% - 13.3%)
Response = Decrease in FEV1 >= 20%								
Greene County, MO								
2-Parameter Logistic	0% (0% - 0.2%)	0.1% (0% - 0.6%)	0% (0% - 0.2%)	0% (0% - 0.2%)	0% (0% - 0.2%)	0% (0% - 0.3%)	0.1% (0% - 0.4%)	0.1% (0% - 0.4%)
Probit	0% (0% - 0%)	0.1% (0% - 0.4%)	0% (0% - 0%)	0% (0% - 0%)	0% (0% - 0.1%)	0% (0% - 0.1%)	0% (0% - 0.2%)	0% (0% - 0.2%)
St. Louis, MO								
2-Parameter Logistic	0.1% (0% - 0.6%)	9% (6% - 13.5%)	0.1% (0% - 0.4%)	0.3% (0.1% - 1.3%)	1% (0.4% - 2.6%)	2.1% (1% - 4.3%)	3.5% (1.9% - 6.4%)	3.1% (1.7% - 5.9%)
Probit	0% (0% - 0.3%)	9% (6% - 13.5%)	0% (0% - 0.2%)	0.2% (0.1% - 0.9%)	0.8% (0.4% - 2.3%)	1.9% (1% - 4.1%)	3.4% (1.9% - 6.2%)	3% (1.7% - 5.7%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Table 4-12. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion Estimated to Experience At Least One Lung Function Response (Defined in Terms of FEV<sub>1</sub>) Associated with Exposure to "As Is" SO<sub>2</sub> Concentrations, SO<sub>2</sub> Concentrations that Just Meet the Current Standards, and SO<sub>2</sub> Concentrations that Just Meet Alternative Standards\***

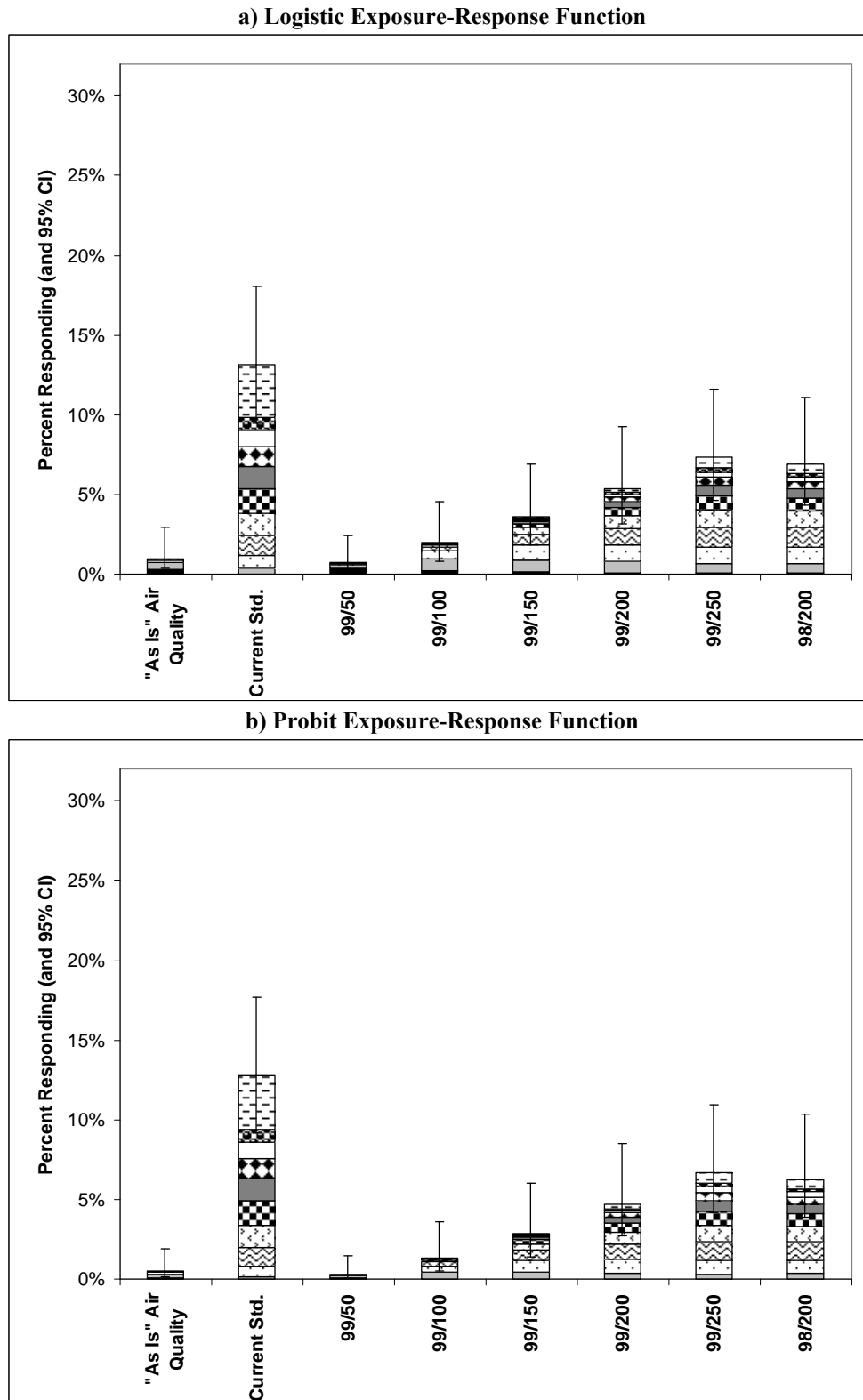
Exposure-Response Model	"As is" SO <sub>2</sub> Concentrations**	SO <sub>2</sub> Concentrations that Just Meet the Current Standards***	SO <sub>2</sub> Concentrations that Just Meet Alternative nth Percentile 1-Hr Daily Maximum Standards, with Levels (in ppb) of m (Standard Denoted n/m):					
			99/50	99/100	99/150	99/200	99/250	98/200
Response = Decrease in FEV1 >= 15%								
Greene County, MO								
2-Parameter Logistic	0.2% (0% - 2.2%)	1.2% (0.4% - 4.4%)	0.2% (0% - 2.1%)	0.2% (0% - 2.2%)	0.3% (0.1% - 2.4%)	0.5% (0.1% - 2.9%)	0.7% (0.2% - 3.5%)	0.6% (0.2% - 3.2%)
Probit	0% (0% - 1.4%)	0.8% (0.2% - 3.8%)	0% (0% - 1.3%)	0% (0% - 1.4%)	0.1% (0% - 1.7%)	0.2% (0% - 2.1%)	0.4% (0.1% - 2.8%)	0.3% (0.1% - 2.5%)
St. Louis, MO								
2-Parameter Logistic	1.1% (0.3% - 4.5%)	22.3% (15.9% - 30.4%)	0.7% (0.2% - 3.5%)	2.6% (0.9% - 7.5%)	5.3% (2.5% - 11.5%)	8.4% (4.6% - 15.4%)	11.9% (7.3% - 19.3%)	11.1% (6.7% - 18.5%)
Probit	0.7% (0.1% - 3.9%)	22.3% (15.9% - 30.7%)	0.4% (0.1% - 2.8%)	2% (0.6% - 7.2%)	4.7% (2.1% - 11.5%)	8% (4.3% - 15.6%)	11.7% (7% - 19.6%)	10.9% (6.4% - 18.8%)
Response = Decrease in FEV1 >= 20%								
Greene County, MO								
2-Parameter Logistic	0% (0% - 0.2%)	0.2% (0.1% - 0.9%)	0% (0% - 0.2%)	0% (0% - 0.2%)	0% (0% - 0.3%)	0.1% (0% - 0.4%)	0.1% (0% - 0.5%)	0.1% (0% - 0.5%)
Probit	0% (0% - 0%)	0.1% (0.1% - 0.6%)	0% (0% - 0%)	0% (0% - 0%)	0% (0% - 0.1%)	0% (0% - 0.2%)	0.1% (0% - 0.3%)	0% (0% - 0.3%)
St. Louis, MO								
2-Parameter Logistic	0.2% (0% - 0.8%)	14.7% (10.1% - 20.8%)	0.1% (0% - 0.5%)	0.6% (0.2% - 2%)	1.7% (0.7% - 4.1%)	3.4% (1.8% - 6.8%)	5.8% (3.4% - 10%)	5.2% (3% - 9.2%)
Probit	0.1% (0% - 0.5%)	14.9% (10.3% - 21%)	0% (0% - 0.3%)	0.4% (0.1% - 1.6%)	1.5% (0.7% - 3.7%)	3.3% (1.7% - 6.6%)	5.7% (3.4% - 9.9%)	5.1% (3% - 9.2%)

\*Percents are median (50th percentile) percents of asthmatic children. Percents in parentheses below the median are 95% credible intervals based on statistical uncertainty surrounding the SO<sub>2</sub> coefficient in the logistic and probit exposure-response functions. Percents are rounded to the nearest tenth.

\*\*The "as is" exposure scenario was based on monitoring and modeling using 2002 air quality information.

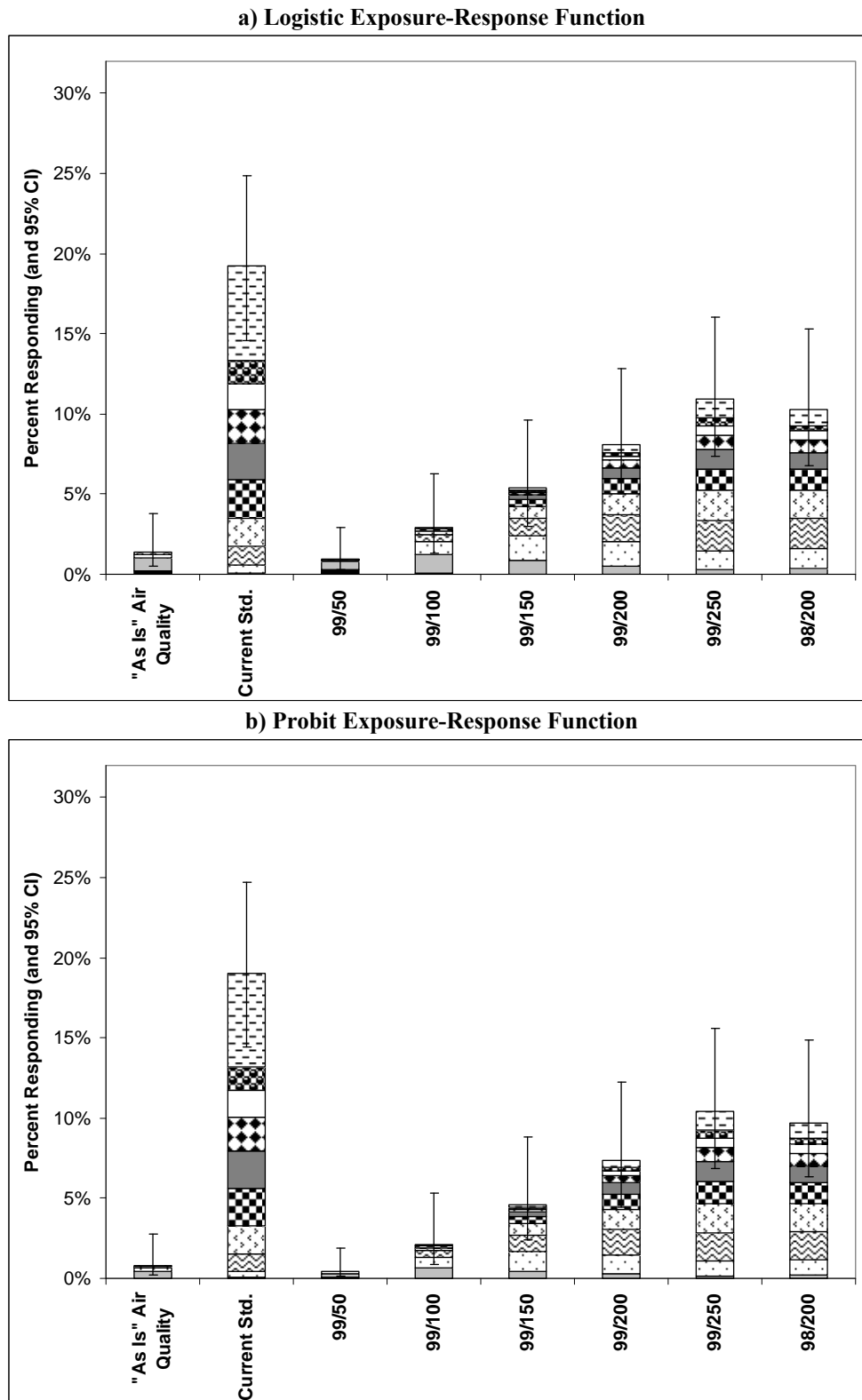
\*\*\*The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages.

**Figure 4-1. Percent of Asthmatics Engaged in Moderate or Greater Exertion in St. Louis Exhibiting Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***



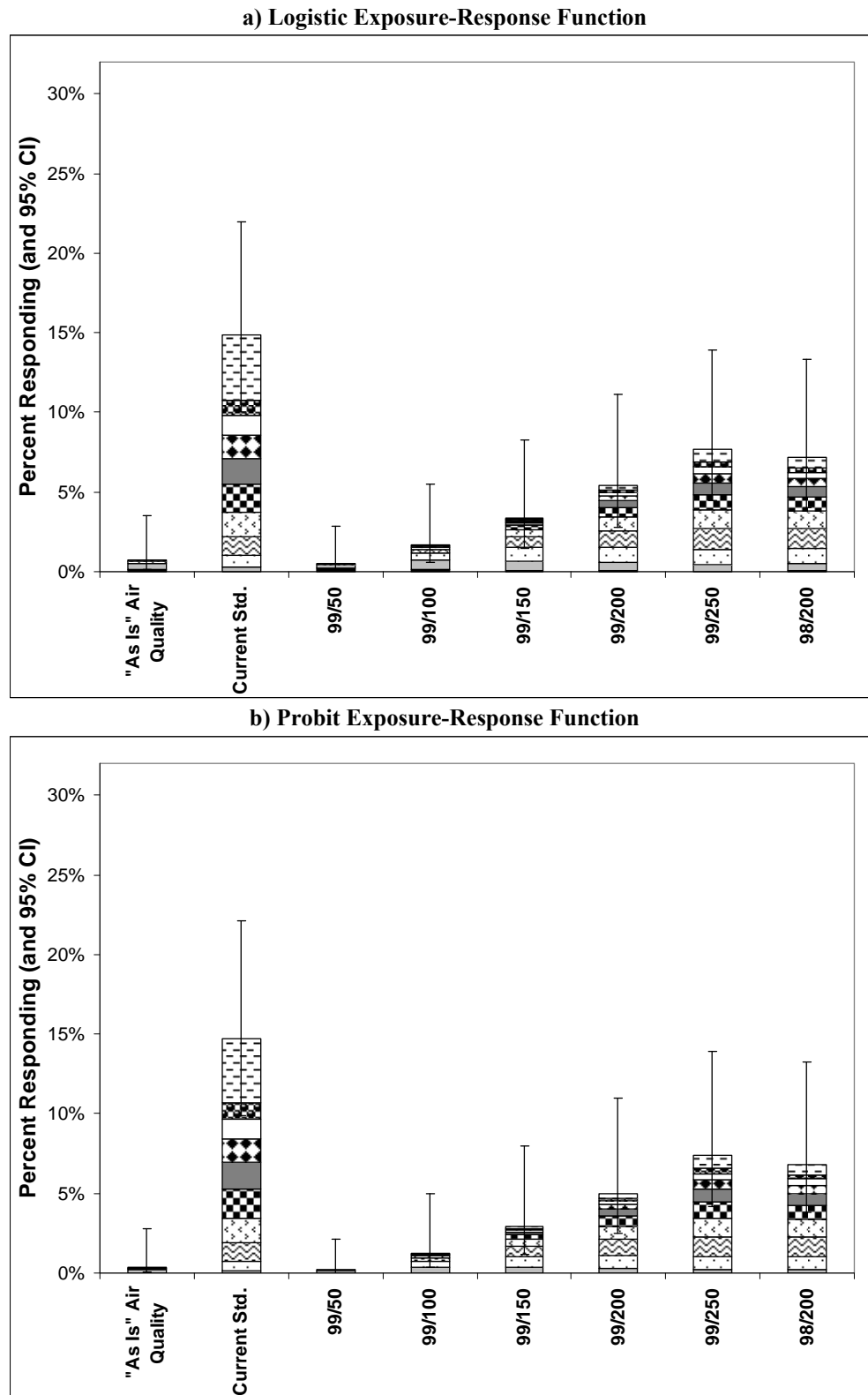
\*For the legend for these figures see Figure 4-17.

**Figure 4-2. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion in St. Louis Exhibiting Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***



\*For the legend for these figures see Figure 4-17.

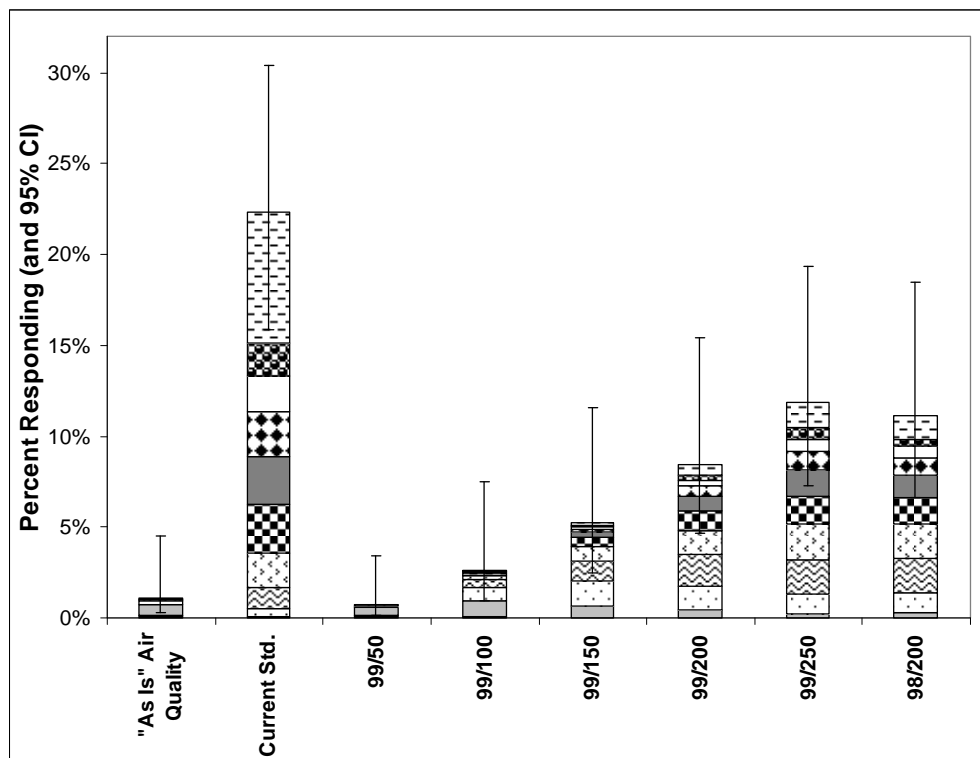
**Figure 4-3. Percent of Asthmatics Engaged in Moderate or Greater Exertion in St. Louis Exhibiting Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***



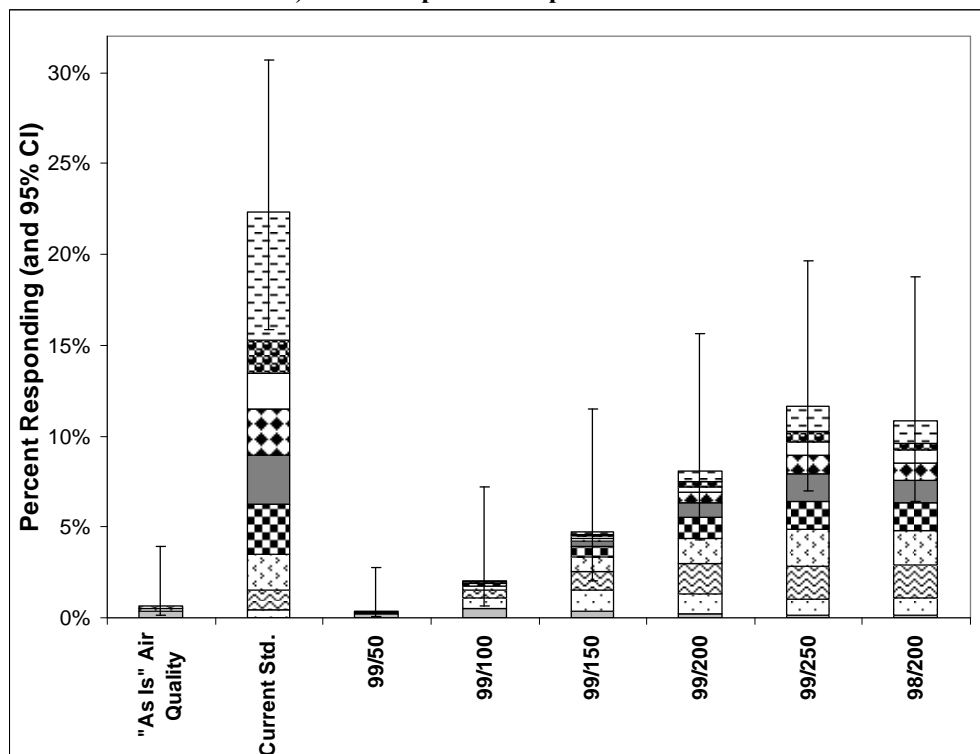
\*For the legend for these figures see Figure 4-17.

**Figure 4-4. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion in St. Louis Exhibiting Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



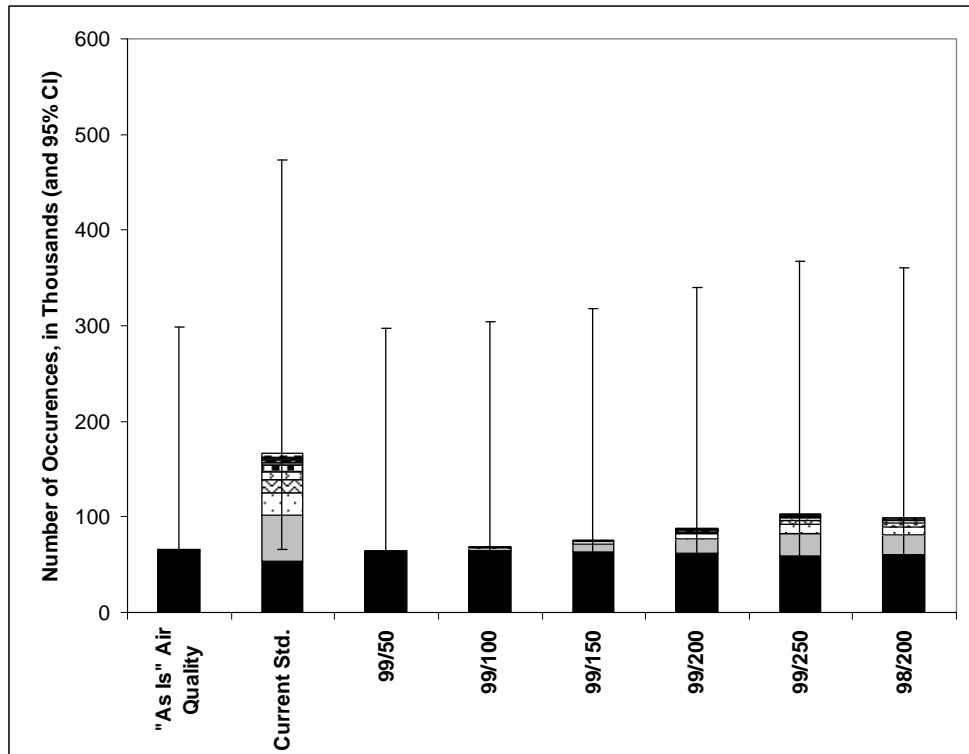
**b) Probit Exposure-Response Function**



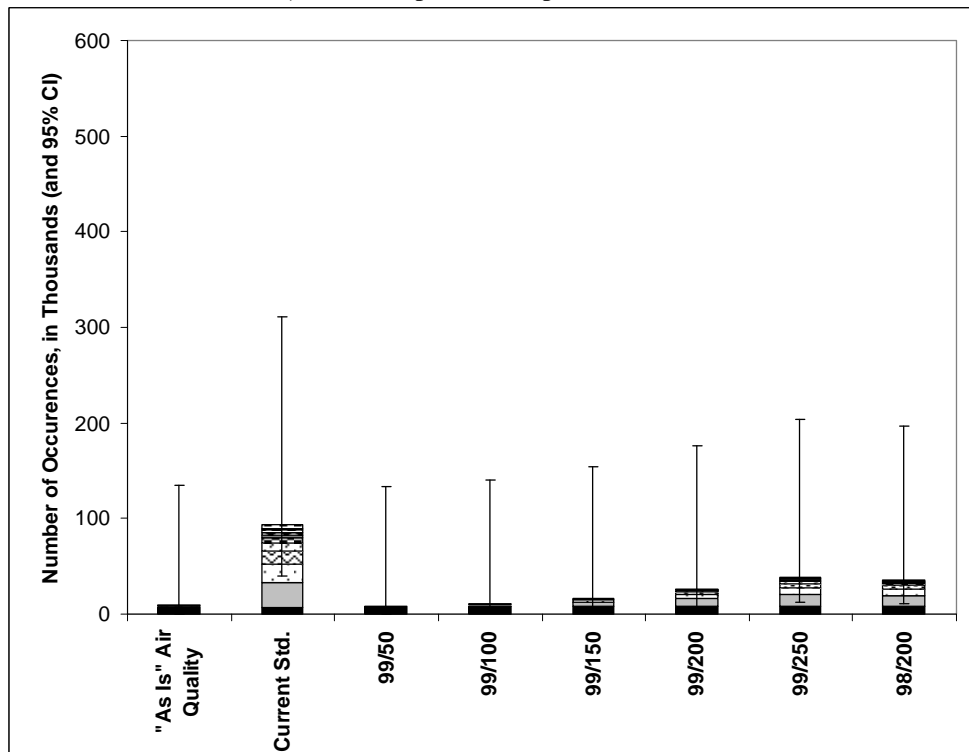
\*For the legend for these figures see Figure 4-17.

**Figure 4-5. Number of Occurrences of Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Among Asthmatics Engaged in Moderate or Greater Exertion in St. Louis Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



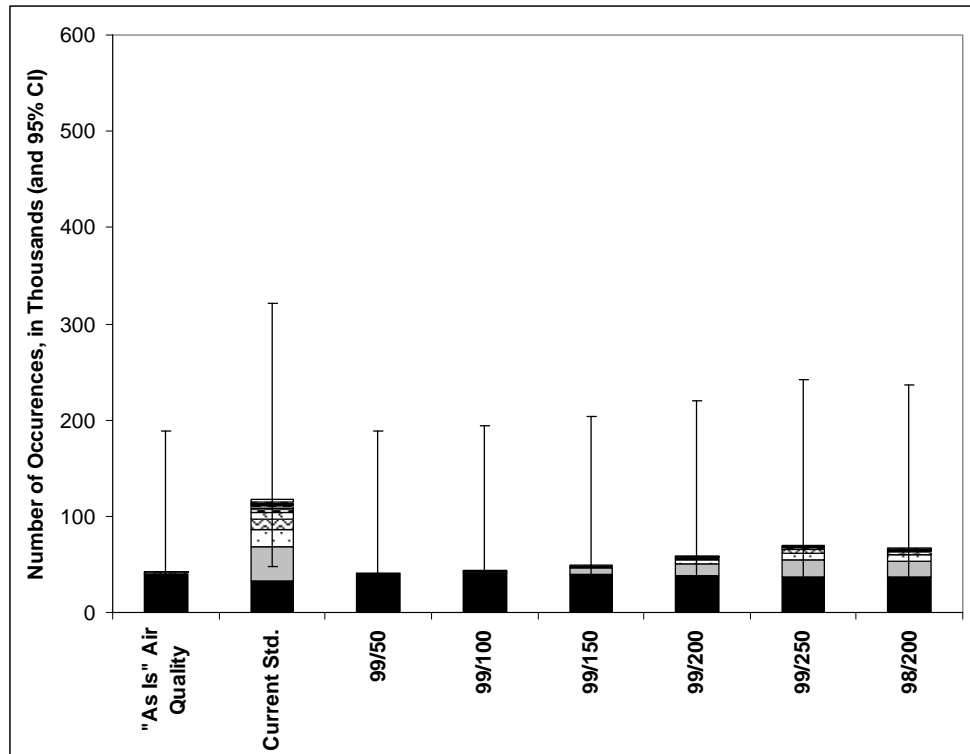
**b) Probit Exposure-Response Function**



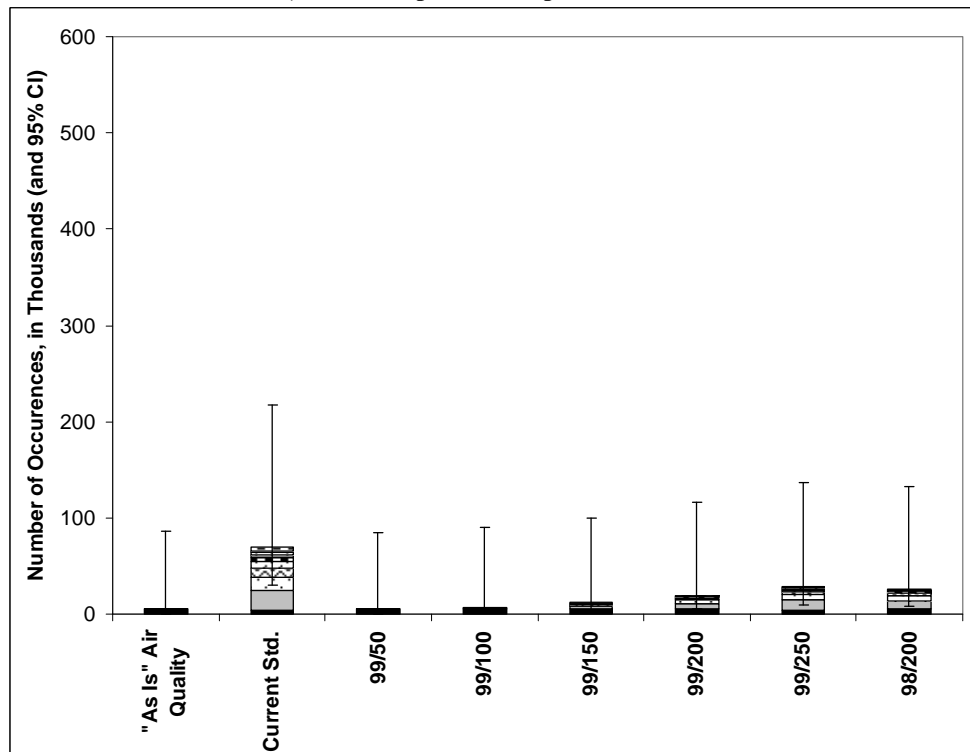
\*For the legend for these figures see Figure 4-17.

**Figure 4-6. Number of Occurrences of Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Among Asthmatic Children Engaged in Moderate or Greater Exertion in St. Louis Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



**b) Probit Exposure-Response Function**

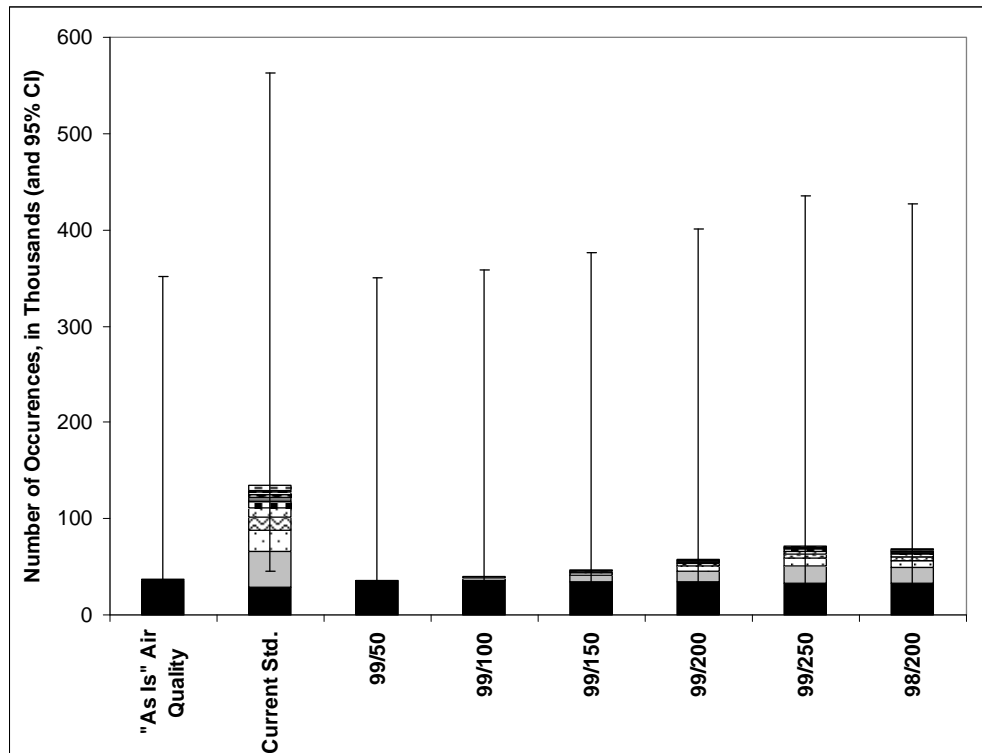


\*For the legend for these figures see Figure 4-17.

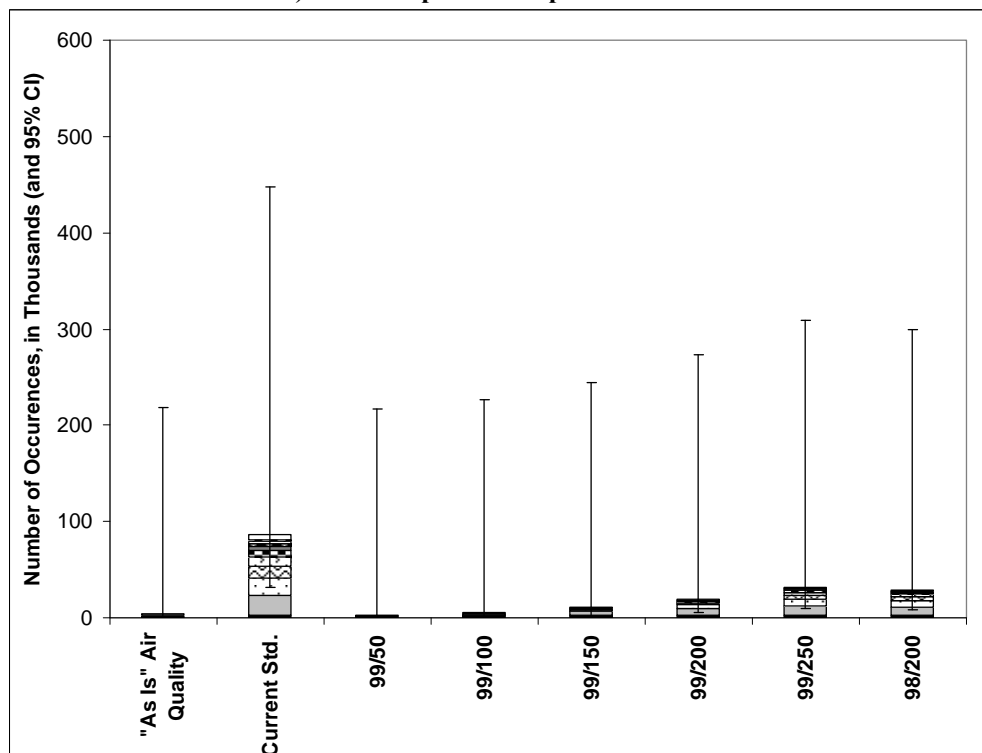


**Figure 4-7. Number of Occurrences of Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Among Asthmatics Engaged in Moderate or Greater Exertion in St. Louis Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



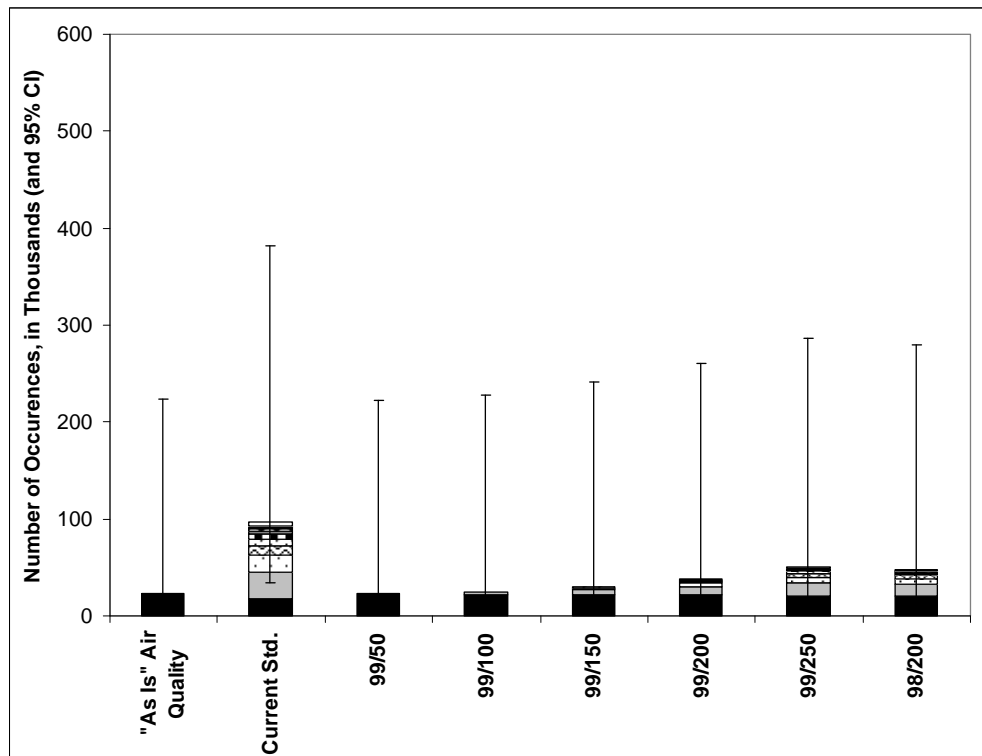
**b) Probit Exposure-Response Function**



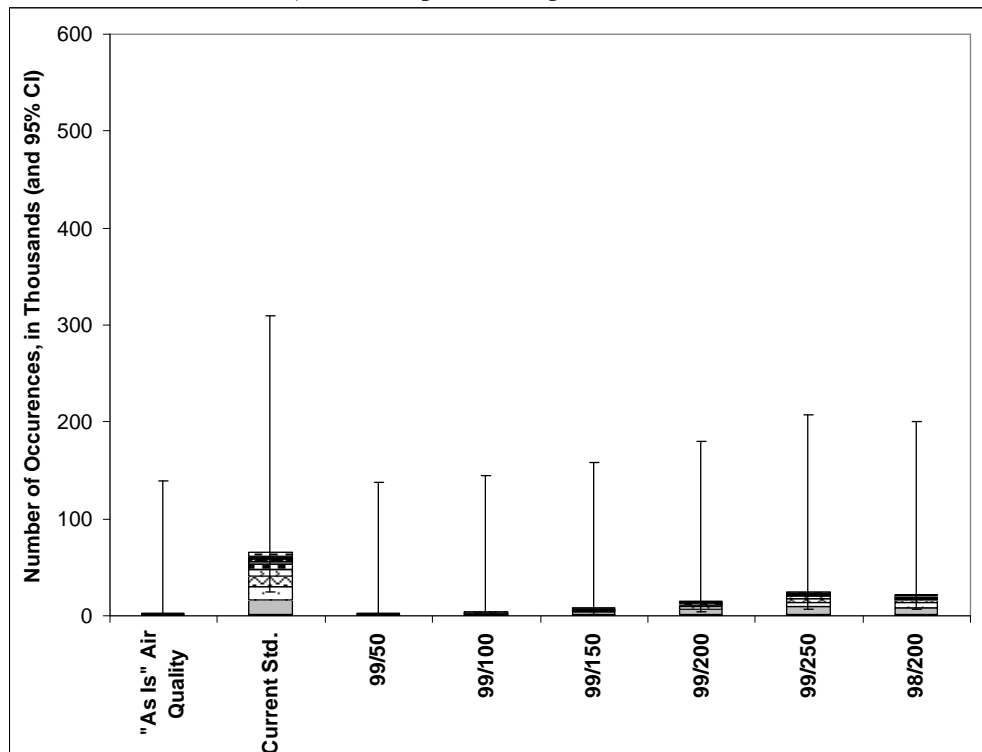
\*For the legend for these figures see Figure 4-17.

**Figure 4-8. Number of Occurrences of Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Among Asthmatic Children Engaged in Moderate or Greater Exertion in St. Louis Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



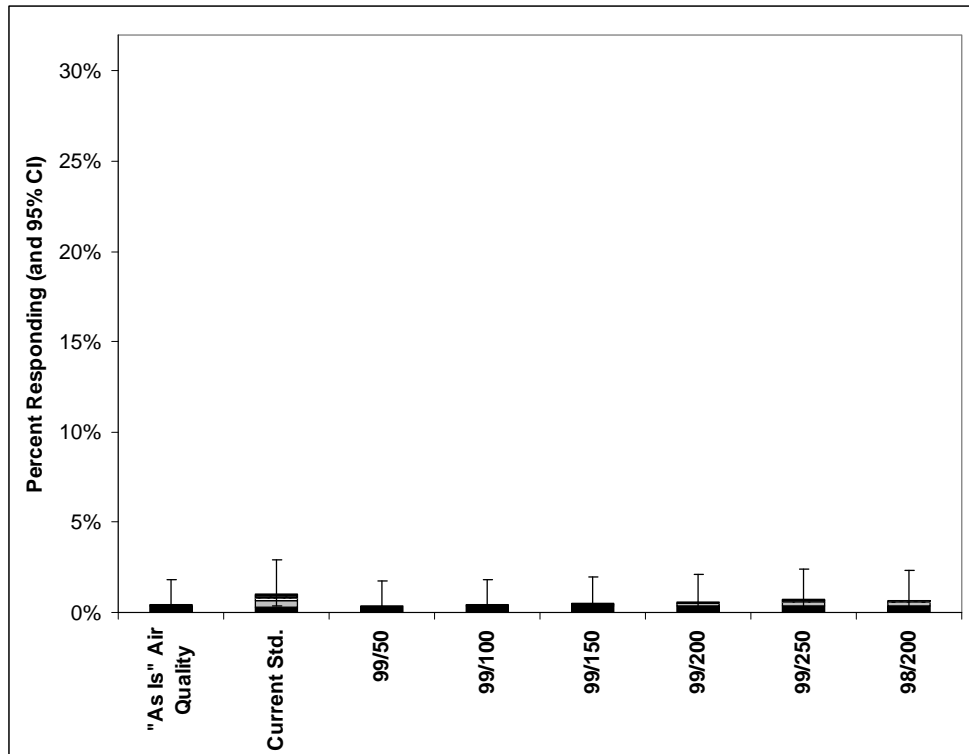
**b) Probit Exposure-Response Function**



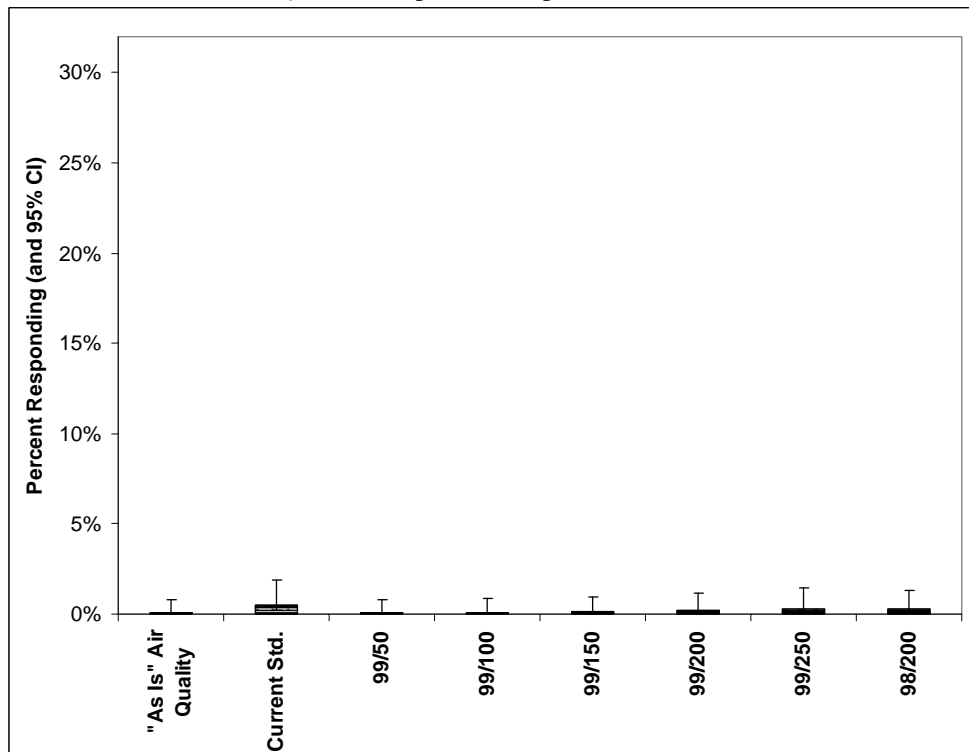
\*For the legend for these figures see Figure 4-17.

**Figure 4-9. Percent of Asthmatics Engaged in Moderate or Greater Exertion in Greene Co. Exhibiting Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**

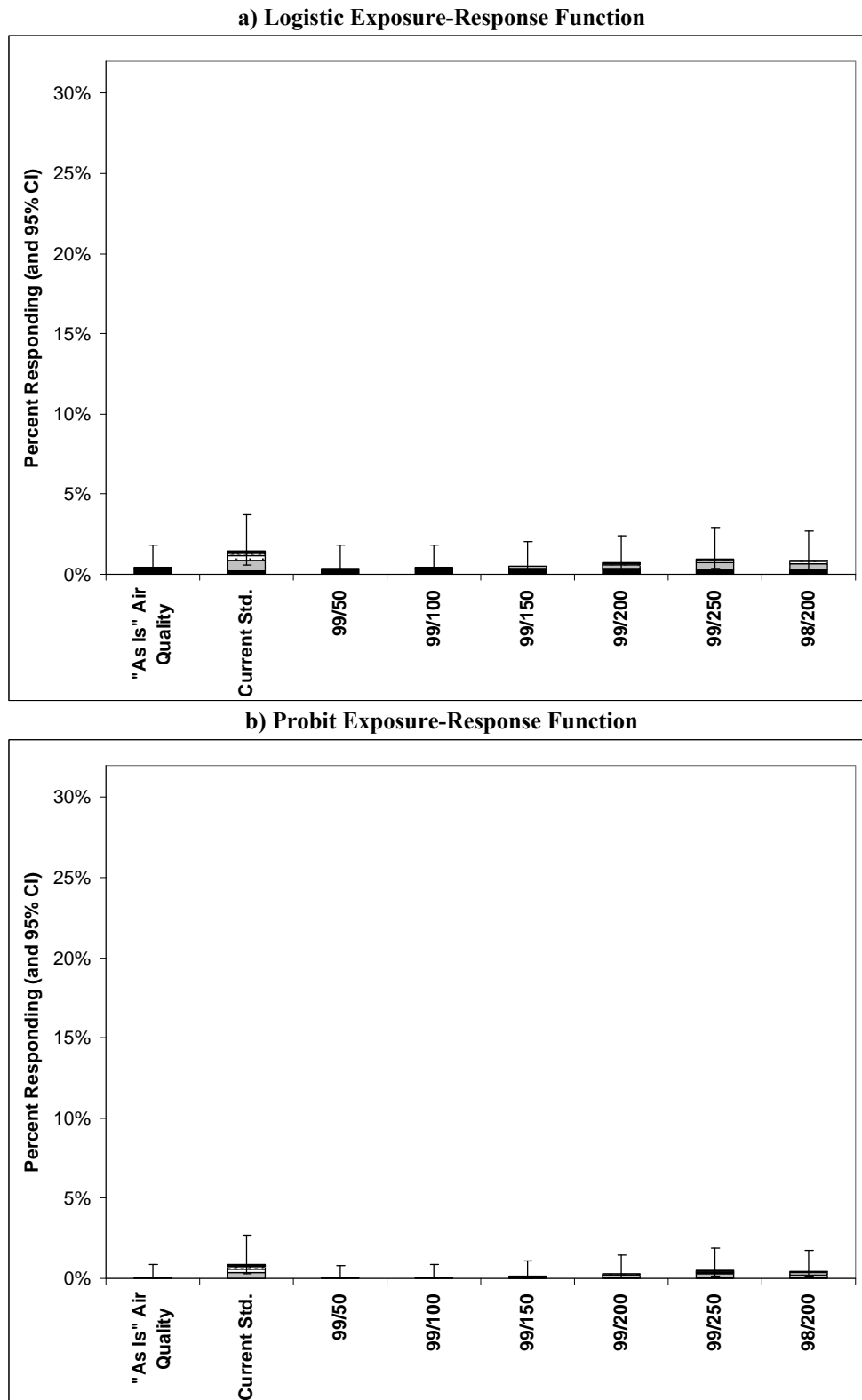


**b) Probit Exposure-Response Function**



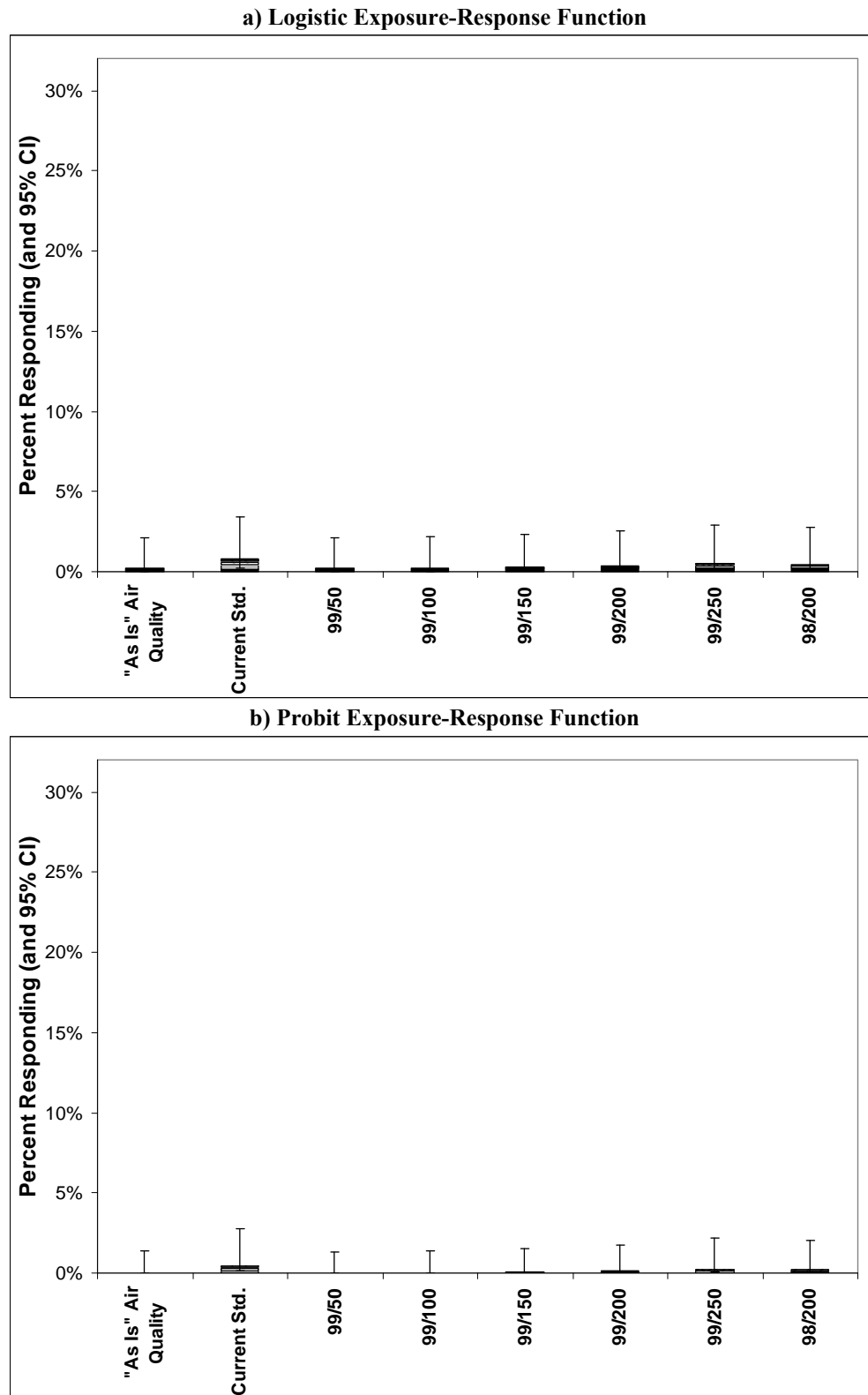
\*For the legend for these figures see Figure 4-17.

**Figure 4-10. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion in Greene Co. Exhibiting Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***



\*For the legend for these figures see Figure 4-17.

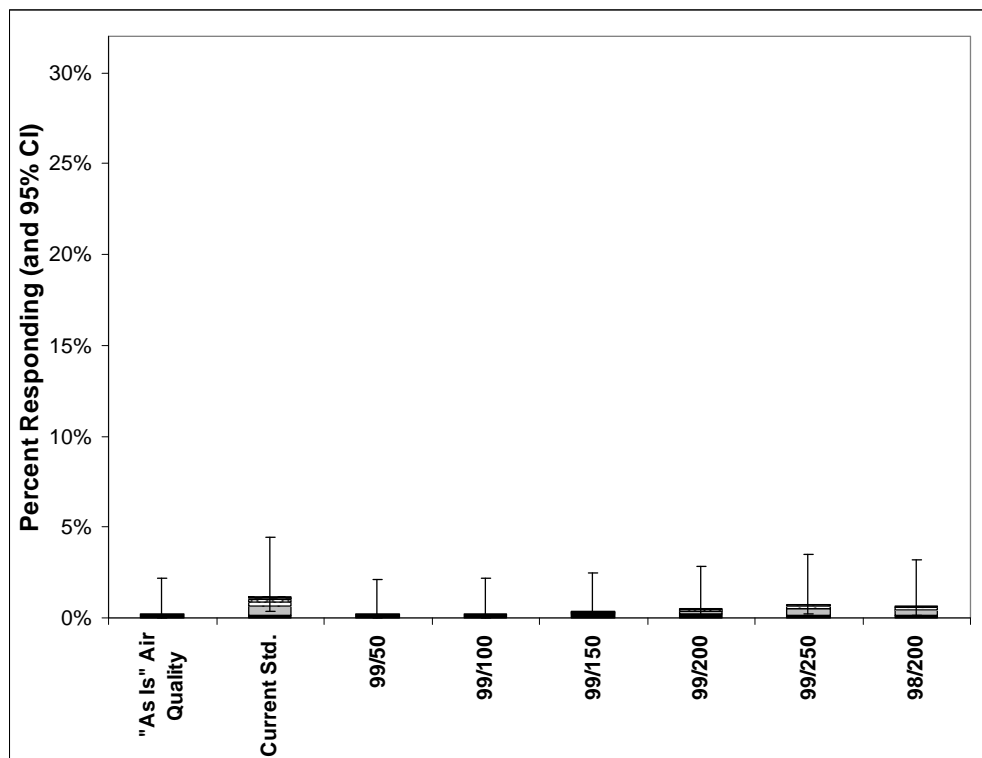
**Figure 4-11. Percent of Asthmatics Engaged in Moderate or Greater Exertion in Greene Co. Exhibiting Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***



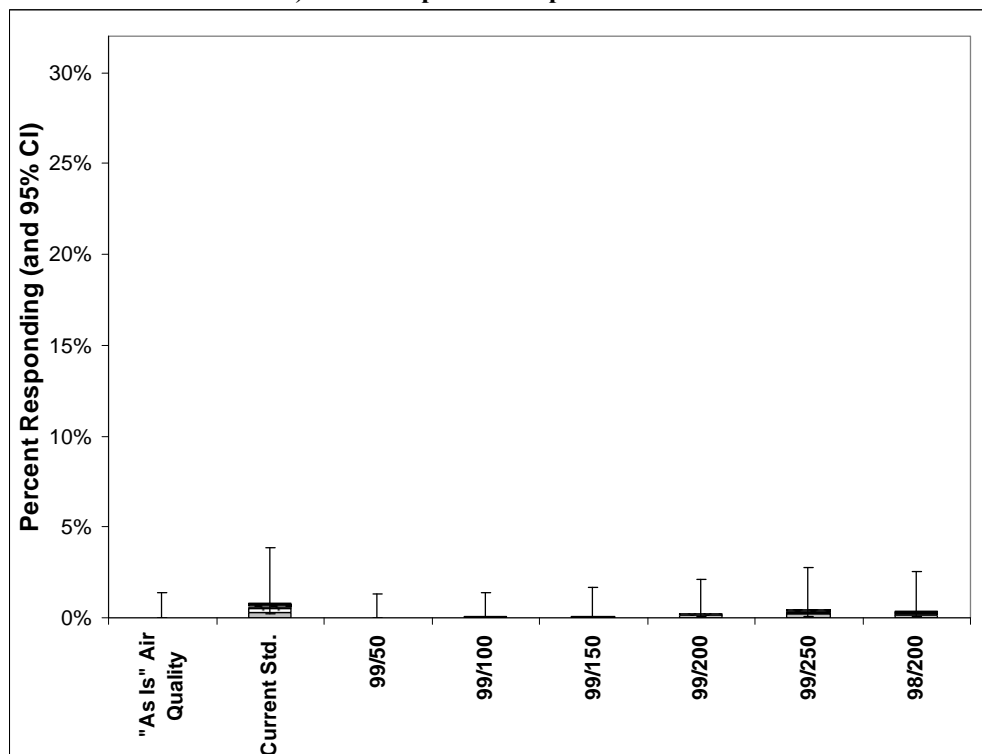
\*For the legend for these figures see Figure 4-17.

**Figure 4-12. Percent of Asthmatic Children Engaged in Moderate or Greater Exertion in Greene Co. Exhibiting Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



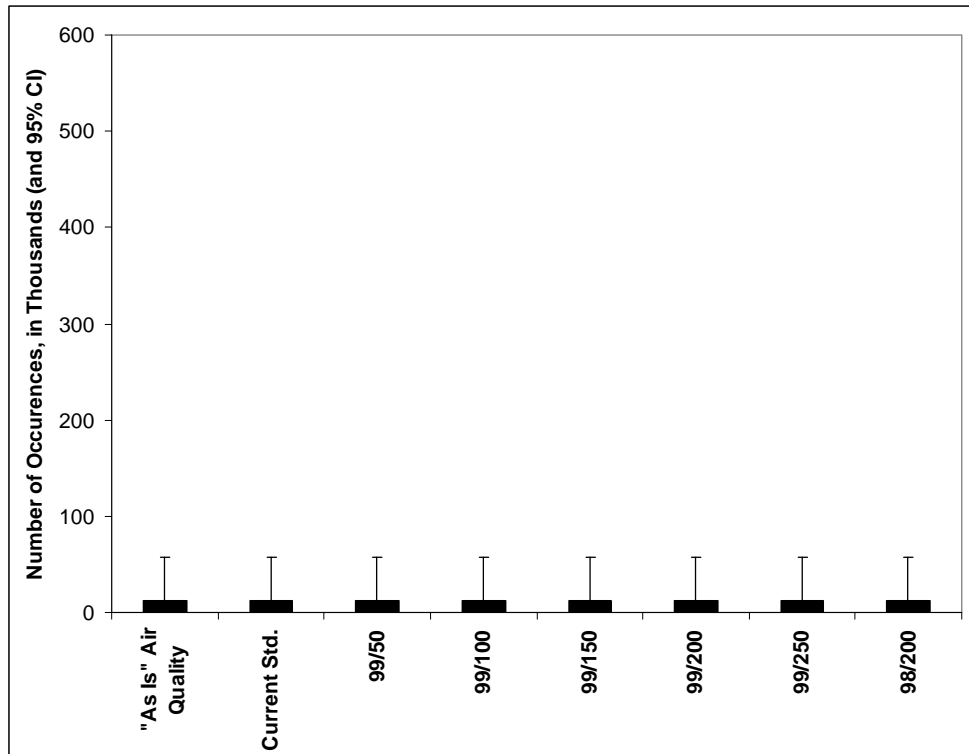
**b) Probit Exposure-Response Function**



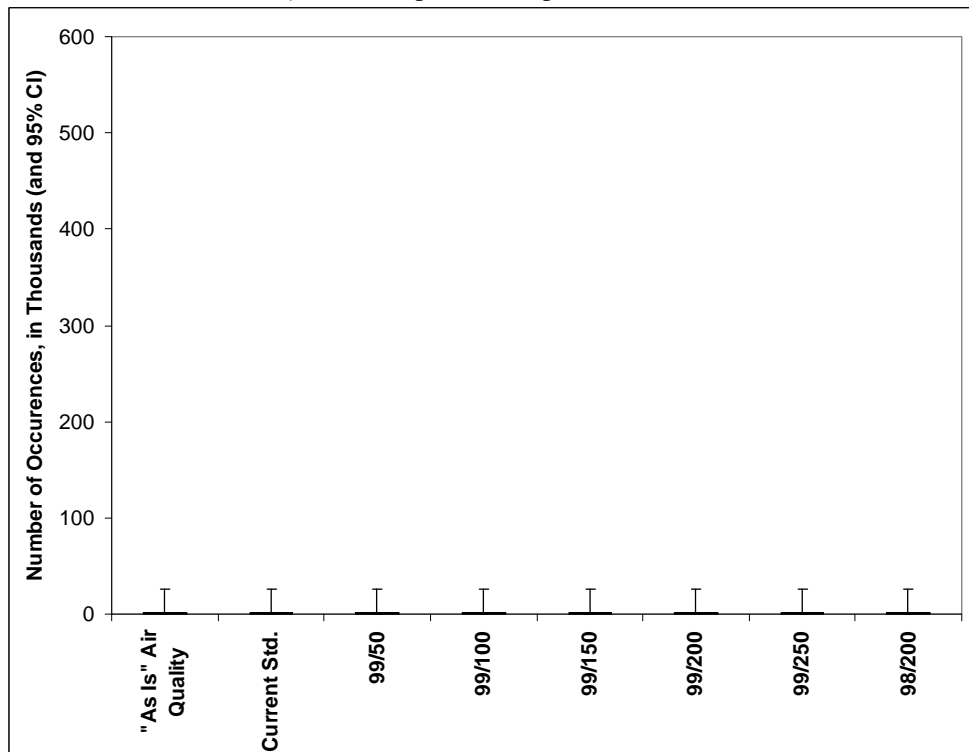
\*For the legend for these figures see Figure 4-17.

**Figure 4-13. Number of Occurrences of Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Among Asthmatics Engaged in Moderate or Greater Exertion in Greene Co. Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



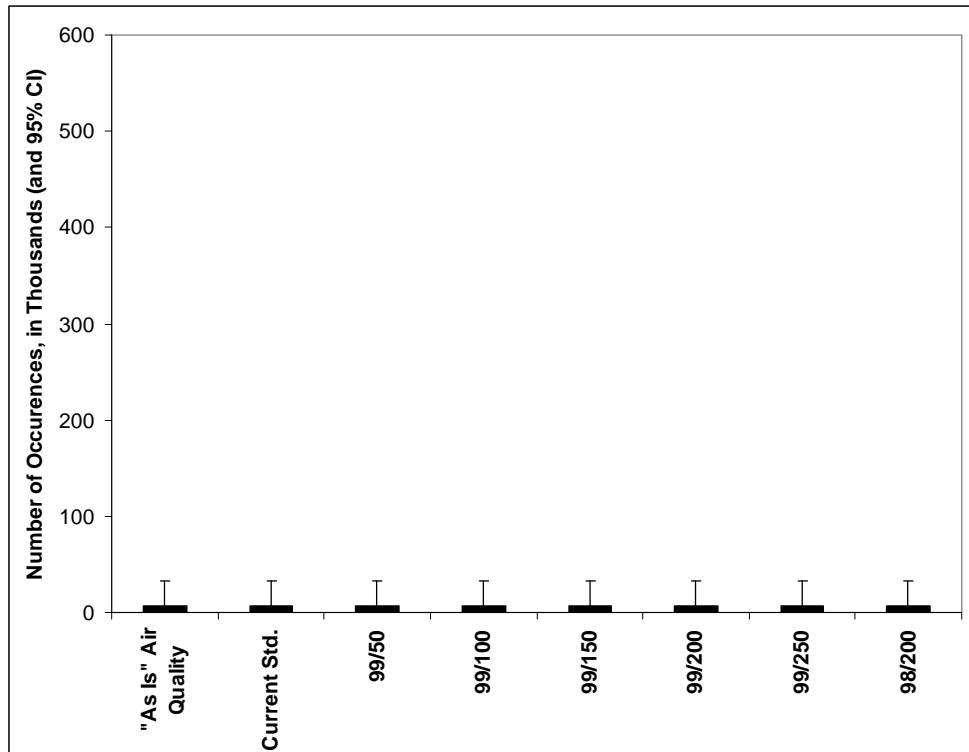
**b) Probit Exposure-Response Function**



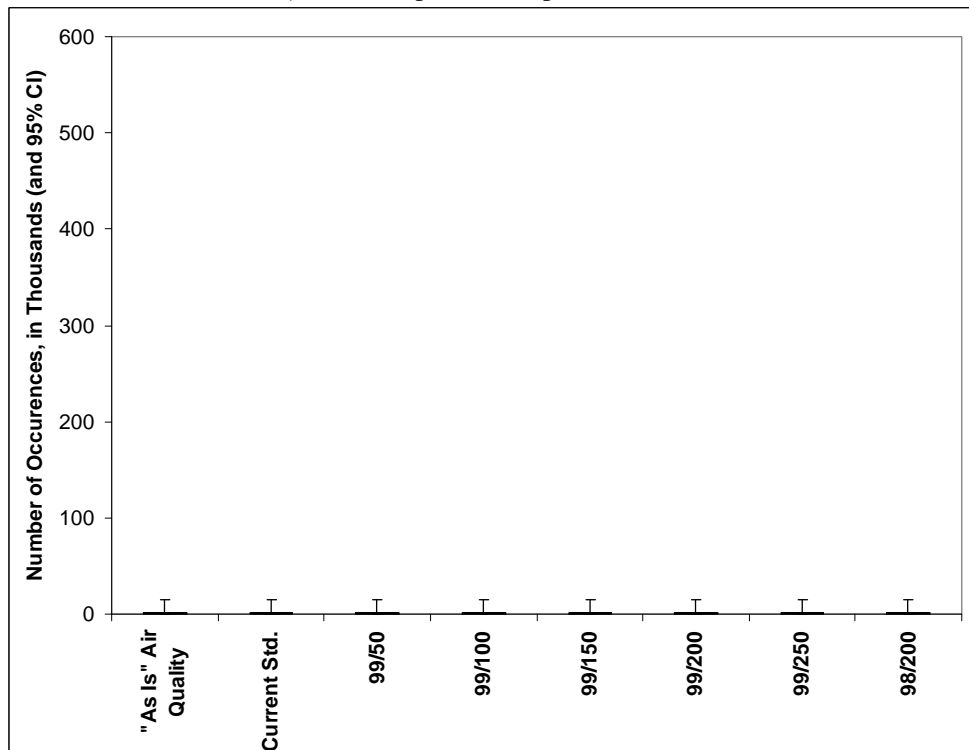
\*For the legend for these figures see Figure 4-17.

**Figure 4-14. Number of Occurrences of Lung Function Response (Defined as an Increase in sRaw  $\geq$  100%) Among Asthmatic Children Engaged in Moderate or Greater Exertion in Greene Co. Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



**b) Probit Exposure-Response Function**

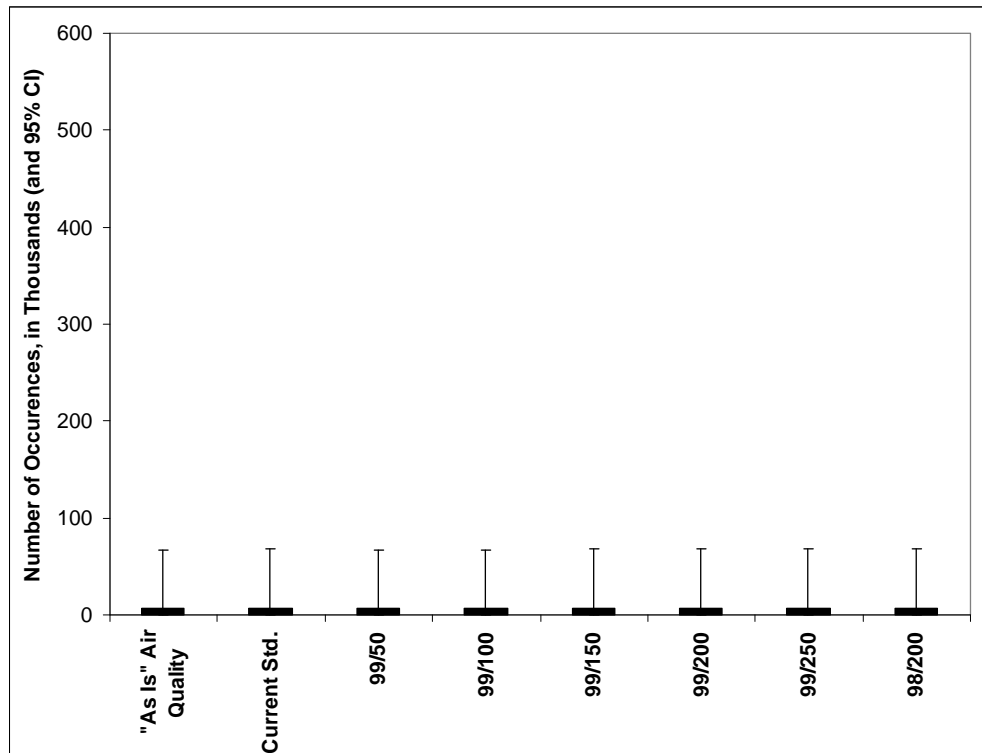


\*For the legend for these figures see Figure 4-17.

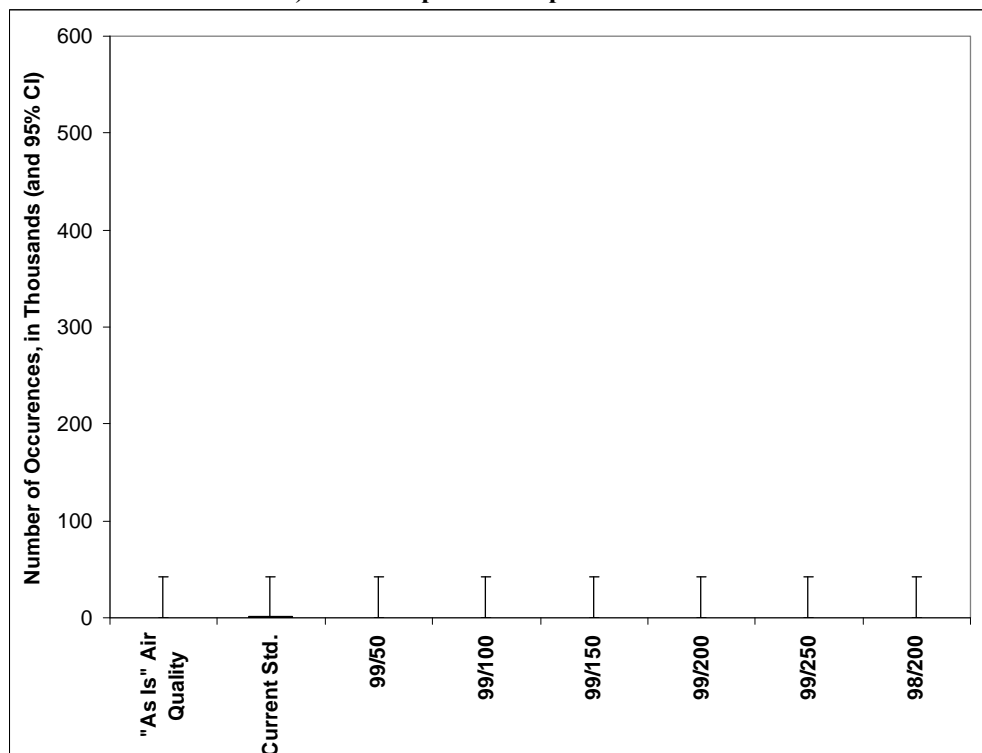


**Figure 4-15. Number of Occurrences of Lung Function Response (Defined as a Decrease in  $FEV_1 \geq 15\%$ ) Among Asthmatics Engaged in Moderate or Greater Exertion in Greene Co. Attributable to  $SO_2$  Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**



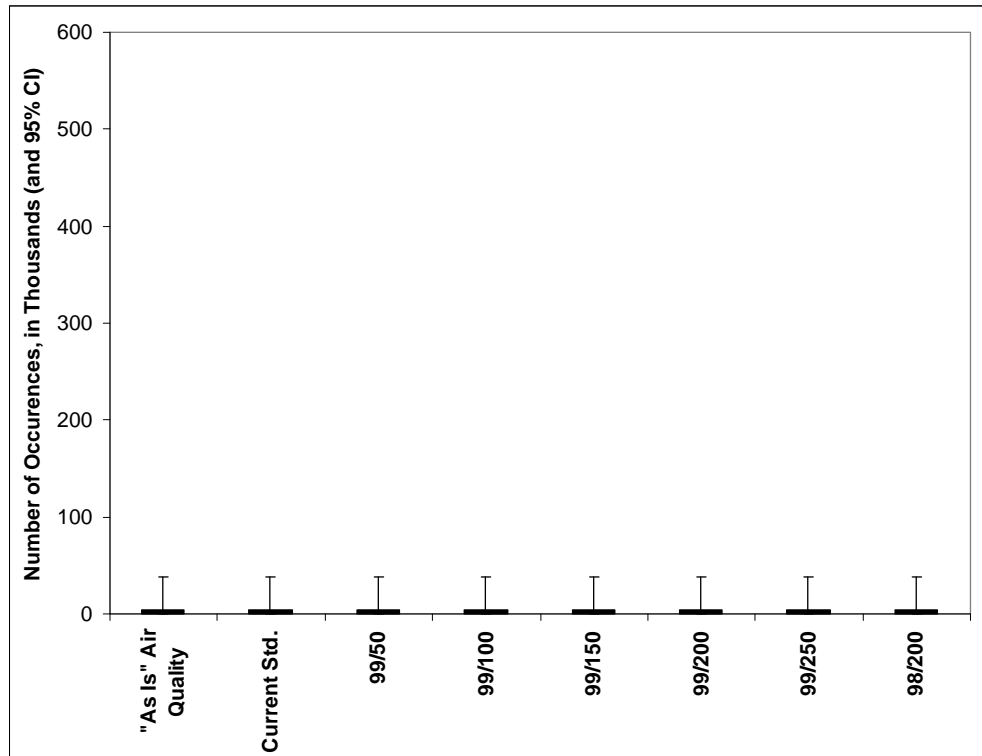
**b) Probit Exposure-Response Function**



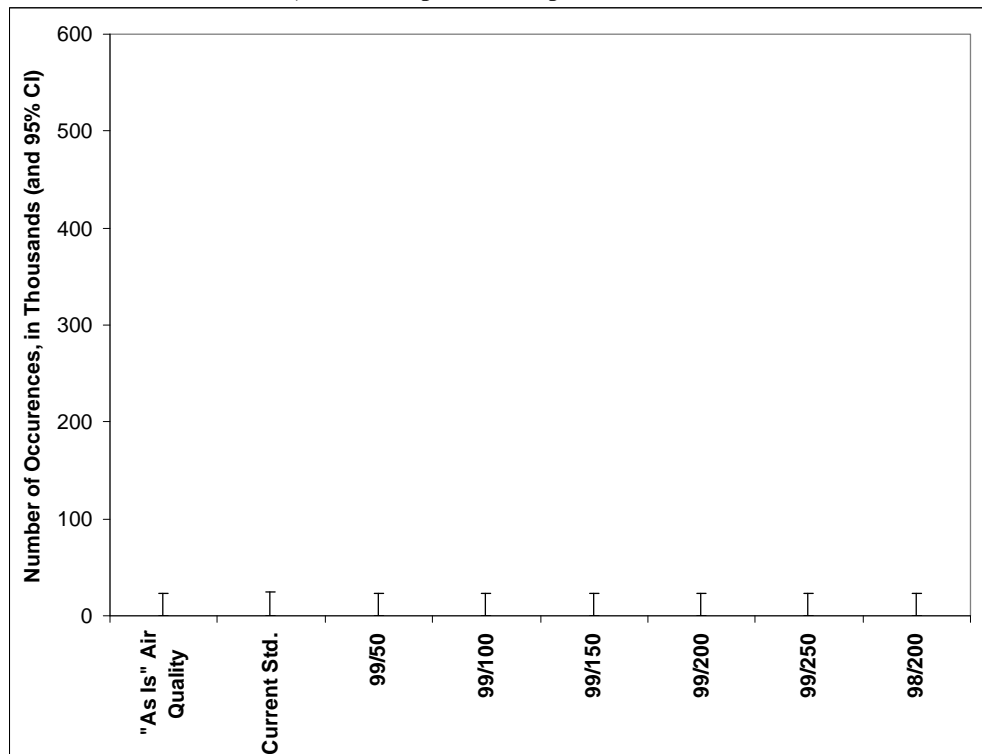
\*For the legend for these figures see Figure 4-17.

**Figure 4-16. Number of Occurrences of Lung Function Response (Defined as a Decrease in FEV<sub>1</sub> ≥ 15%) Among Asthmatic Children Engaged in Moderate or Greater Exertion in Greene Co. Attributable to SO<sub>2</sub> Within Given Ranges Under Different Air Quality Scenarios\***

**a) Logistic Exposure-Response Function**

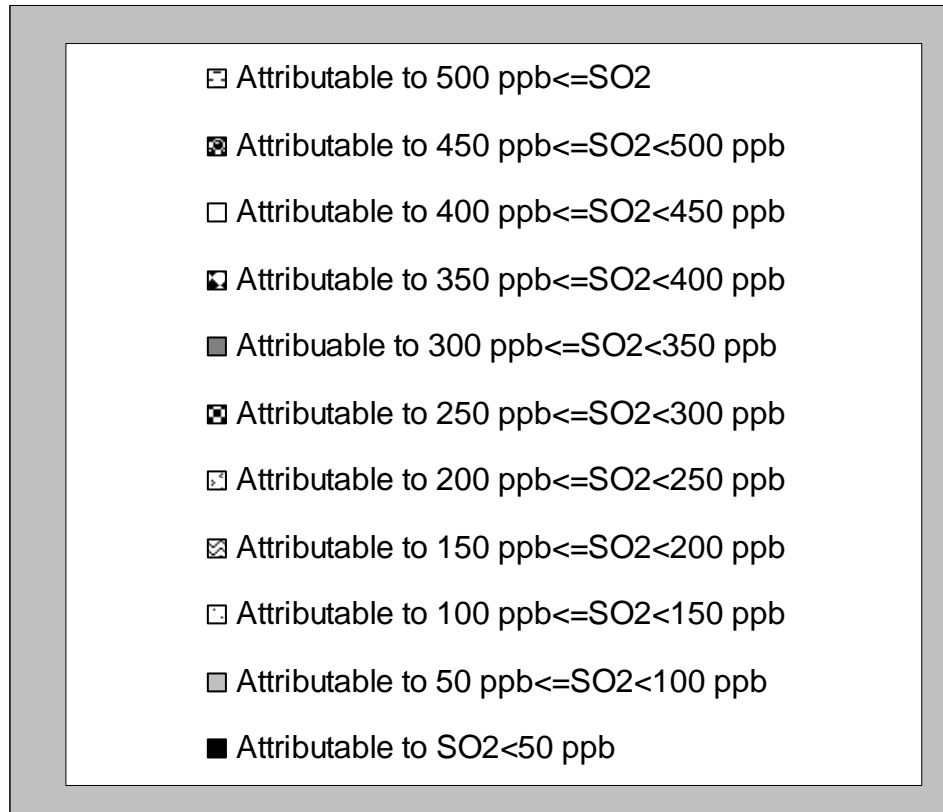


**b) Probit Exposure-Response Function**



\*For the legend for these figures see Figure 4-17.

**Figure 4-17. Legend for Figures 4-1 - 4-16.**



The current primary SO<sub>2</sub> standards include a 24-hour standard set at 0.14 parts per million (ppm), not to be exceeded more than once per year, and an annual standard set at 0.03 ppm, calculated as the arithmetic mean of hourly averages. In St. Louis, SO<sub>2</sub> concentrations that are predicted to occur if the current standards were just met are substantially higher than “as is” air quality (based on 2002 monitoring and modeling data) and also substantially higher than they would be under any of the alternative 1-hr standards considered in this analysis. Consequently, the levels of response that would be seen if the current standard were just met are well above the levels that would be seen under the “as is” air quality scenario or under any of the alternative 1-hr standards – for asthmatics and for asthmatic children, and for all four definitions of lung function response.

For example, of the estimated approximately 102,400 asthmatics engaged in moderate or greater exertion in St. Louis, about 13,500 (or 13.1%) are estimated to have at least one lung function response, defined as an increase in sRaw  $\geq$  100%, if the current standards were just met. Under “as is” air quality conditions, the corresponding number is about 1,000 (1%). Only the most stringent alternative 99<sup>th</sup> percentile 1-hr standard, set at 50 ppb (denoted “99/50” in the above tables of results), is predicted to lower the numbers of responders below the levels estimated under the “as is” scenario. As the

alternative 1-hr standards become less stringent (i.e., as the level is raised from 50 ppb to 100 ppb, to 150 ppb, etc.), the numbers responding correspondingly rise.

The pattern seen in St. Louis for lung function response, defined as an increase in  $sRaw \geq 100\%$ , is also seen for the other definitions of lung function response. For example, of the estimated roughly 102,400 asthmatics engaged in moderate or greater exertion, 750 are estimated to have at least one lung function response, defined as a decrease in  $FEV_1 \geq 15\%$ , under the “as is” air quality scenario; the corresponding number (percent) if the current standards were just met is about 15,200 (14.9%); the corresponding numbers for the alternative 1-hr standards denoted 99/50, 99/100, 99/150, 99/200, 99/250, and 98/200 are about 500 (0.5%), 1700 (1.7%), 3500 (3.4%), 5600 (5.4%), 7900 (7.7%), and 7400 (7.2%), respectively.

Although the basic pattern across air quality scenarios seen in St. Louis is repeated in Greene County, the impact of changing from one air quality scenario to another is substantially dampened in Greene County. This is because of the different patterns of exposures in the two locations. In St. Louis there is a wide range of  $SO_2$  concentrations to which asthmatics are exposed under the current standards scenario – i.e., substantial percentages of asthmatics are exposed to relatively higher concentrations of  $SO_2$  under this scenario. There is thus much room for improvement. Under the most stringent alternative 1-hr standard (99/50), much of that exposure is pushed down to the lowest  $SO_2$  concentration “bins.” Under the current standards scenario, for example, only about 22 percent of asthmatics in St. Louis have exposures no greater than 100 ppb; under the most stringent alternative 1-hr standard (99/50), that increases to 98 percent.

In Greene County, in contrast, about 95 percent of asthmatics have exposures no greater than 100 ppb under the current standards scenario. There is therefore little room for improvement. Under the most stringent alternative 1-hr standard (99/50), that 95 percent becomes 100 percent. The situation is even more extreme for person days of exposure. Under the current standards scenario, 99.9 percent of person days of exposure are to  $\leq 100$  ppb  $SO_2$  in Greene County; the corresponding figure for St. Louis is 95.2 percent.

The generally lower levels of  $SO_2$  to which asthmatics in Greene County are exposed, relative to asthmatics in St. Louis, and the corresponding greater preponderance of responses associated with the lowest  $SO_2$  concentration “bins” in Greene County, can be readily seen in Figures 4-1 through 4-8.<sup>12</sup>

Although the numbers are smaller for asthmatic children (because the underlying populations are smaller), the patterns seen in St. Louis and in Greene County across the different air quality scenarios, and the comparisons between the two locations, are fairly similar for asthmatic children as for asthmatics for all lung function response definitions.

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<sup>12</sup> In several cases, responses associated with exposures in  $SO_2$  bins cannot be seen in the figures, because the percent responding, or numbers of occurrences of lung function response are so small. We chose to scale the y-axis the same on all comparable figures to facilitate comparisons between figures. This meant, however, that some “response bars” essentially became visually undetectable.

In general, however, the percentages of asthmatic children engaged in moderate or greater exertion who experience at least one lung function response, for each of the different lung function response definitions, tend to be greater than the corresponding percentages of asthmatics. This presumably is a reflection of the greater amount of time spent outdoors by asthmatic children relative to adults.

Finally, we note that, while in several air quality scenarios the great majority of occurrences of lung function response are in the lowest exposure bin, the numbers of individuals with at least one lung function response attributable to exposures in that lowest bin are typically quite small. This is because the calculation of numbers of individuals with at least one lung function response uses individuals' highest exposure only. While individuals may be exposed mostly to low SO<sub>2</sub> concentrations, many are exposed at least occasionally to higher levels. Thus, the percentage of individuals in a designated population with at least one lung function response associated with SO<sub>2</sub> concentrations in the lowest bin is likely to be very small, since most individuals are exposed at least once to higher SO<sub>2</sub> levels. For example, defining lung function response as an increase in sRaw  $\geq$  100%, under a scenario in which SO<sub>2</sub> concentrations just meet an alternative 1-hour 99<sup>th</sup> percentile 100 ppb standard, about 93 percent of occurrences of lung function response among asthmatics in St. Louis are associated with SO<sub>2</sub> exposures in the lowest exposure bin (0 – 50 ppb). However, the lowest SO<sub>2</sub> exposure bin accounts for only about 0.2 percent of asthmatics estimated to experience at least 1 SO<sub>2</sub>-related lung function response. For this very small percent of the population, the lowest exposure bin represents their highest SO<sub>2</sub> exposures under moderate exertion in a year. Thus Figure 4-5b shows virtually all of the occurrences among asthmatics in St. Louis associated with the lowest SO<sub>2</sub> exposure bin; however, Figure 4-1b shows a relatively small proportion of asthmatics in St. Louis experiencing at least one response to be experiencing those responses because of exposures in that lowest exposure bin.

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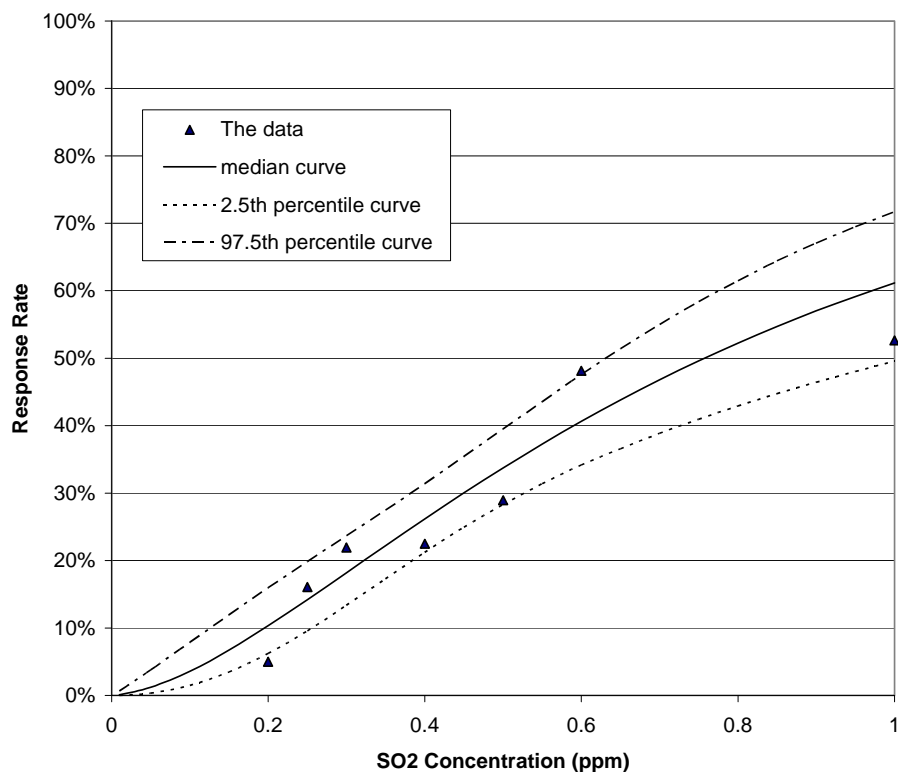
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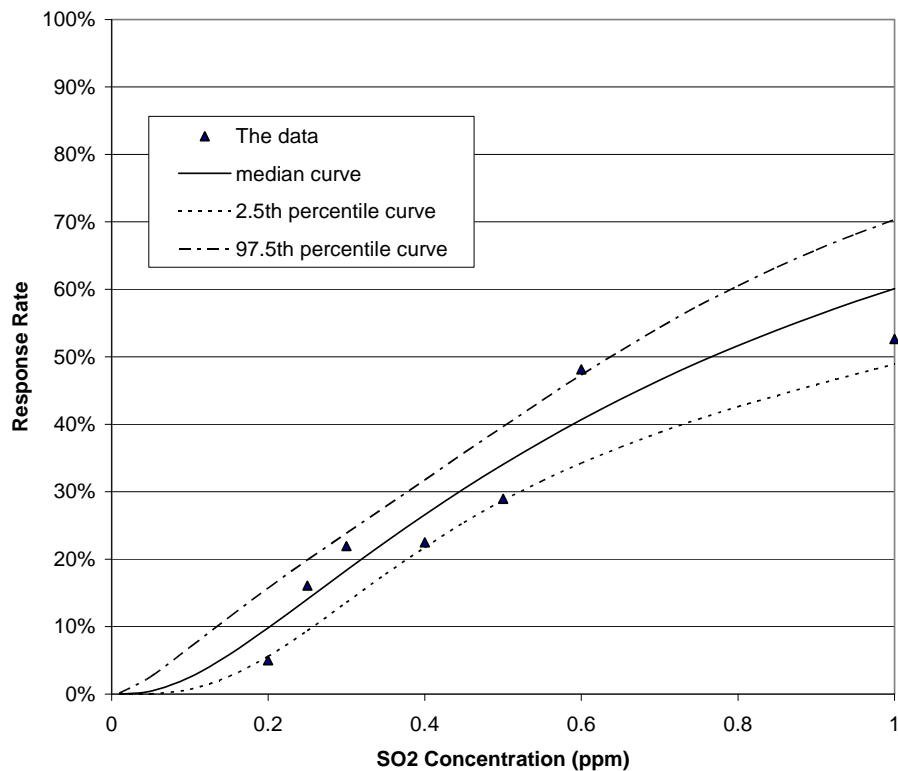
**Appendix A: Bayesian-Estimated Logistic and Probit Exposure-Response  
Functions: Median, 2.5<sup>th</sup> Percentile, and 97.5<sup>th</sup> Percentile Curves**



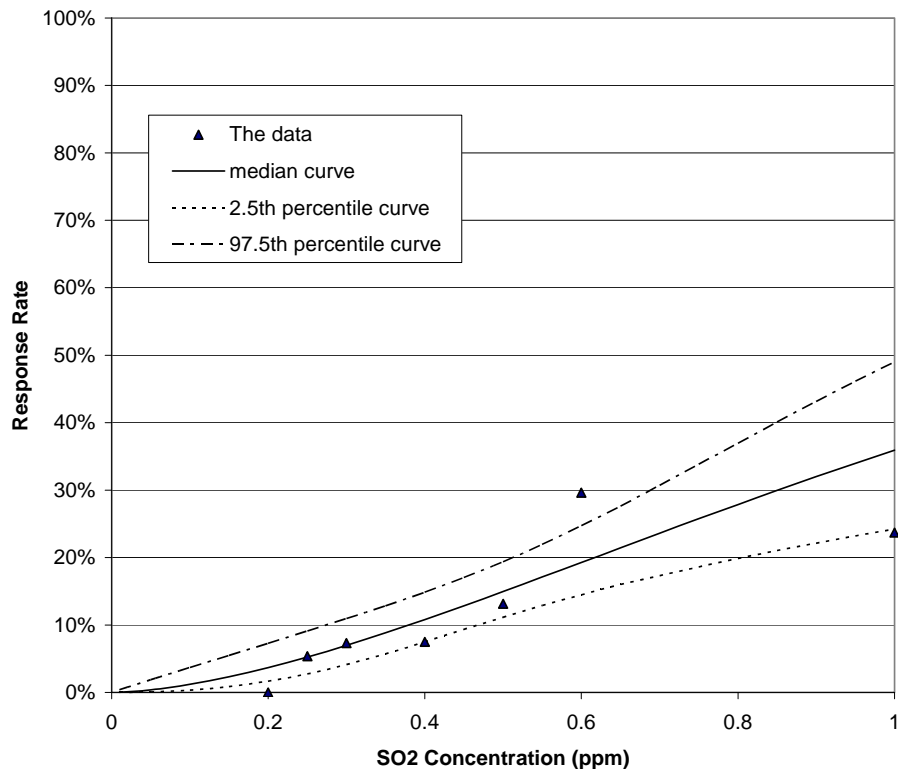
**Figure A-1a. Bayesian-Estimated Logistic Exposure-Response Function: Increase in sRaw  $\geq$  100% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



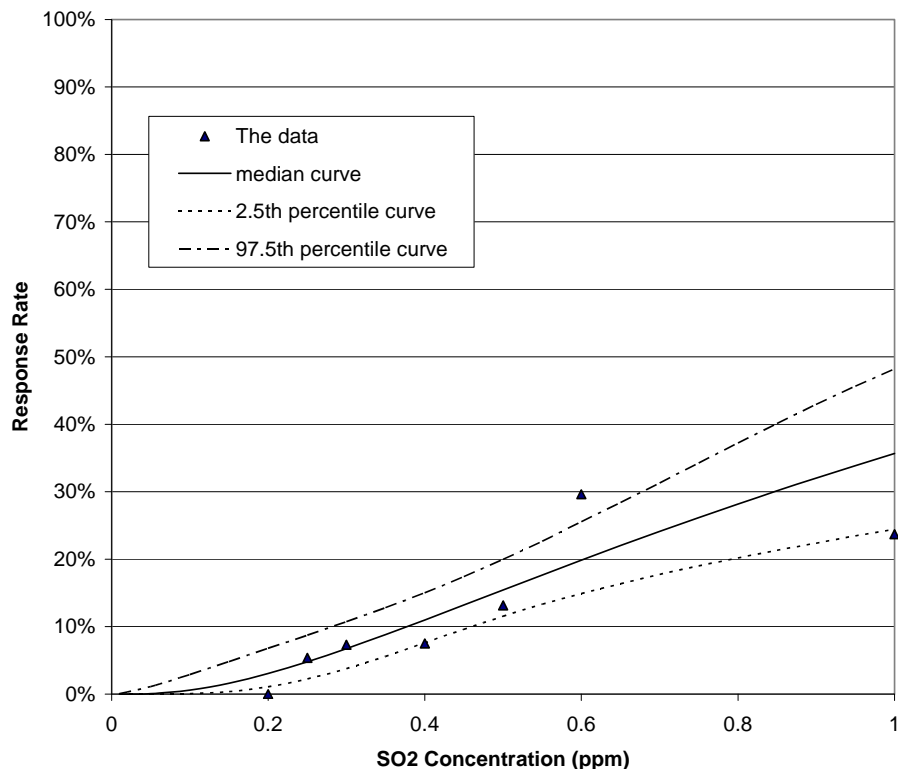
**Figure A-1b. Bayesian-Estimated Probit Exposure-Response Function: Increase in sRaw  $\geq$  100% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



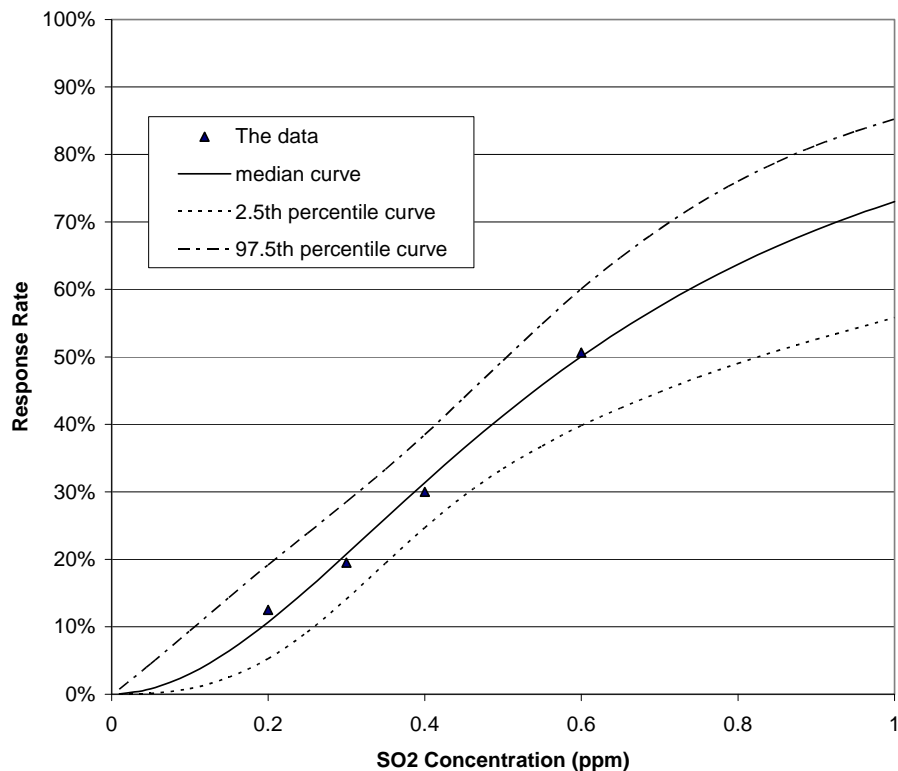
**Figure A-2a. Bayesian-Estimated Logistic Exposure-Response Function: Increase in sRaw  $\geq$  200% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



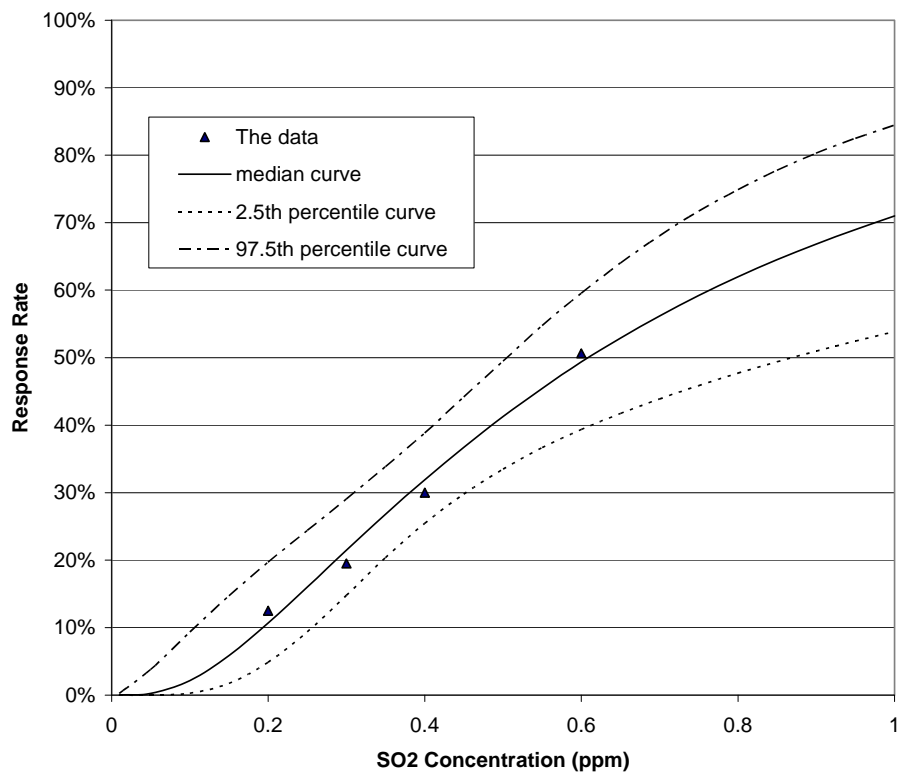
**Figure A-2b. Bayesian-Estimated Probit Exposure-Response Function: Increase in sRaw  $\geq$  200% for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



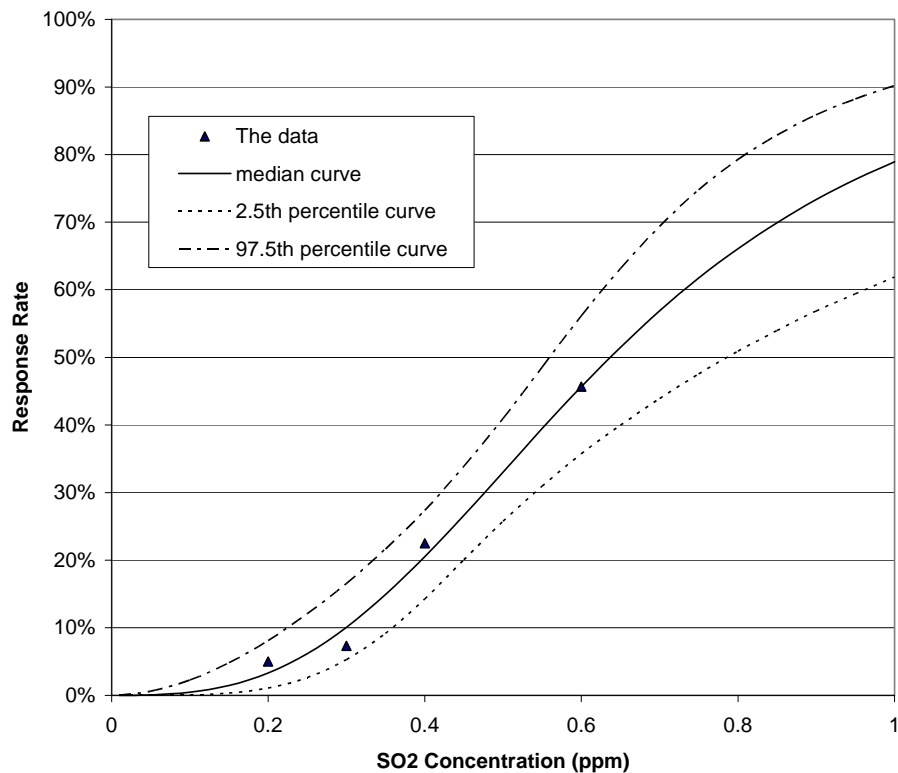
**Figure A-3a. Bayesian-Estimated Logistic Exposure-Response Function: Decrease in  $FEV_1 \geq 15\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



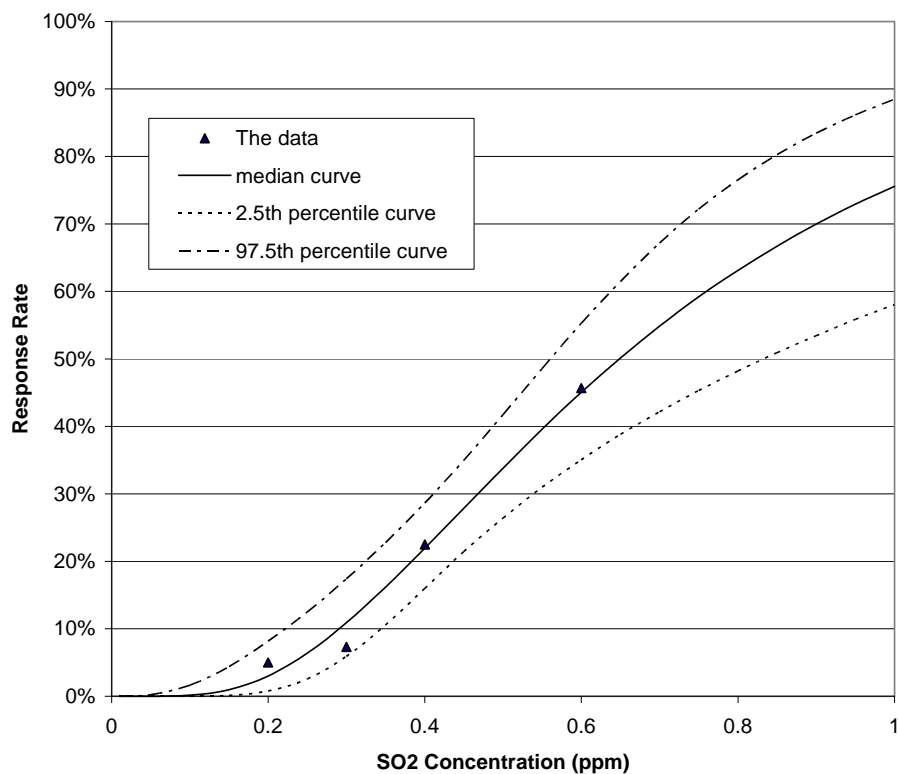
**Figure A-3b. Bayesian-Estimated Probit Exposure-Response Function: Decrease in  $FEV_1 \geq 15\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



**Figure A-4a. Bayesian-Estimated Logistic Exposure-Response Function: Decrease in  $FEV_1 \geq 20\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



**Figure A-4b. Bayesian-Estimated Probit Exposure-Response Function: Decrease in  $FEV_1 \geq 20\%$  for 5-Minute Exposures of Asthmatics Engaged in Moderate or Greater Exertion**



## **APPENDIX D: SUPPLEMENT TO THE POLICY ASSESSMENT**

**Table D-1. 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations for 2005 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb).**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
AZ	Gila	7	14	20	27	34	18	36
DE	New Castle	14	27	41	55	69	33	66
FL	Hillsborough	10	20	31	41	51	28	56
IL	Madison	11	22	33	44	55	26	51
IL	Wabash	10	20	29	39	49	28	56
IN	Floyd	8	15	23	31	38	20	40
IN	Gibson	5	9	14	19	24	11	21
IN	Lake	14	27	41	54	68	35	71
IN	Vigo	9	17	26	34	43	21	43
IA	Linn	17	35	52	70	87	40	80
IA	Muscatine	16	32	48	64	79	36	72
MI	Wayne	13	26	39	52	65	29	58
MO	Greene	14	28	43	57	71	37	73
MO	Jefferson	8	17	25	34	42	24	48
NH	Merrimack	12	25	37	50	62	29	59
NJ	Hudson	19	38	57	76	96	48	97
NJ	Union	18	36	55	73	91	45	90
NY	Bronx	25	49	74	98	123	57	113
NY	Chautauqua	9	18	28	37	46	22	44
NY	Erie	12	25	37	50	62	28	56
OH	Cuyahoga	17	33	50	66	83	39	78
OH	Lake	19	37	56	74	93	45	89
OH	Summit	12	24	35	47	59	27	53
OK	Tulsa	15	30	44	59	74	34	67
PA	Allegheny	14	29	43	58	72	37	73
PA	Beaver	10	20	29	39	49	24	47
PA	Northampton	11	22	33	45	56	36	71
PA	Warren	16	32	48	65	81	41	81
PA	Washington	19	38	57	76	95	44	87
TN	Blount	19	38	56	75	94	43	87
TN	Shelby	17	35	52	70	87	41	83
TN	Sullivan	7	13	20	27	33	19	38
TX	Jefferson	9	18	26	35	44	21	42
VA	Fairfax	21	43	64	86	107	48	96
WV	Brooke	13	25	38	51	64	32	64
WV	Hancock	14	27	41	54	68	32	64
WV	Monongalia	11	21	32	42	53	27	54
WV	Wayne	43	87	130	173	217	97	194

**Table D-2. 99<sup>th</sup> percentile 24-hour average SO<sub>2</sub> concentrations for 2006 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb).**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
DE	New Castle	11	23	34	46	57	27	55
IL	Madison	9	18	28	37	46	22	43
IN	Floyd	8	15	23	30	38	20	40
IN	Lake	5	10	14	19	24	12	25
IN	Vigo	5	11	16	22	27	13	27
IA	Linn	11	23	34	45	56	26	52
IA	Muscatine	15	31	46	62	77	35	70
MI	Wayne	17	34	51	68	85	38	76
MO	Greene	17	33	50	66	83	43	86
MO	Jefferson	7	13	20	26	33	19	37
NH	Merrimack	14	28	41	55	69	33	66
NY	Bronx	23	46	69	92	115	53	106
NY	Chautauqua	7	13	20	27	33	16	32
NY	Erie	7	15	22	29	36	16	33
OH	Cuyahoga	14	28	43	57	71	34	67
OH	Lake	11	23	34	46	57	28	55
OH	Summit	12	24	35	47	59	27	53
PA	Allegheny	12	23	35	46	58	30	59
PA	Beaver	9	19	28	38	47	23	46
PA	Northampton	16	32	48	63	79	50	101
PA	Warren	15	29	44	59	73	37	74
PA	Washington	11	22	33	45	56	26	51
TN	Blount	16	32	48	65	81	37	75
TN	Shelby	16	31	47	62	78	37	74
TN	Sullivan	8	17	25	34	42	24	49
TX	Jefferson	11	23	34	45	56	26	53
VA	Fairfax	17	35	52	70	87	39	78
WV	Brooke	12	24	36	49	61	31	61
WV	Hancock	14	28	42	56	70	33	66
WV	Monongalia	10	21	31	42	52	27	53

**Table D-3. 2<sup>nd</sup> highest 24-hour average SO<sub>2</sub> concentrations (i.e. the current 24-hour standard) for 2005 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb)**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
AZ	Gila	7	15	22	29	37	19	39
DE	New Castle	18	36	54	72	90	43	86
FL	Hillsborough	13	26	38	51	64	35	71
IL	Madison	12	24	36	48	60	28	56
IL	Wabash	8	20	30	41	51	29	58
IN	Floyd	9	18	28	37	46	24	49
IN	Gibson	5	11	16	22	27	12	25
IN	Lake	19	38	56	75	94	49	98
IN	Vigo	12	23	35	46	58	29	57
IA	Linn	19	38	57	76	95	44	88
IA	Muscatine	18	37	55	73	92	41	83
MI	Wayne	17	34	50	67	84	37	75
MO	Greene	18	37	55	73	92	47	95
MO	Jefferson	10	20	29	39	49	28	55
NH	Merrimack	18	35	53	71	88	42	84
NJ	Hudson	22	45	67	89	111	56	113
NJ	Union	18	45	68	90	113	56	112
NY	Bronx	29	57	86	115	144	66	132
NY	Chautauqua	12	19	37	49	62	23	59
NY	Erie	14	27	41	54	68	30	61
OH	Cuyahoga	26	53	79	105	132	63	125
OH	Lake	22	44	66	88	110	53	106
OH	Summit	12	24	36	49	61	27	55
OK	Tulsa	16	31	47	63	79	36	72
PA	Allegheny	18	36	55	73	91	46	93
PA	Beaver	11	21	32	42	53	26	52
PA	Northampton	11	23	35	47	58	37	74
PA	Warren	17	33	50	66	83	42	84
PA	Washington	23	46	69	92	115	53	106
TN	Blount	23	46	69	92	115	53	107
TN	Shelby	22	43	65	87	108	51	103
TN	Sullivan	9	19	28	37	46	27	54
TX	Jefferson	10	20	30	39	49	23	46
VA	Fairfax	22	49	74	98	123	55	110
WV	Brooke	14	28	42	56	70	35	71
WV	Hancock	16	32	48	64	80	38	76
WV	Monongalia	12	23	35	47	58	30	59
WV	Wayne	48	95	143	190	238	106	213



**Table D-4. 2<sup>nd</sup> highest 24-hour average SO<sub>2</sub> concentrations (i.e. the current 24-hour standard) for 2006 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb)**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
DE	New Castle	18	37	55	73	92	44	88
IL	Madison	10	20	31	41	51	24	48
IN	Floyd	12	23	35	47	58	31	61
IN	Lake	6	11	17	27	34	18	36
IN	Vigo	7	14	21	28	35	17	35
IA	Linn	16	32	48	63	79	36	73
IA	Muscatine	18	36	54	72	90	41	82
MI	Wayne	24	48	72	96	120	54	107
MO	Greene	20	40	60	80	100	52	103
MO	Jefferson	7	14	32	43	54	20	61
NH	Merrimack	19	38	57	76	95	45	90
NY	Bronx	25	49	74	99	124	57	114
NY	Chautauqua	8	15	23	30	38	18	36
NY	Erie	12	24	36	47	59	27	54
OH	Cuyahoga	21	43	64	85	106	51	101
OH	Lake	16	33	49	65	82	39	79
OH	Summit	13	26	39	52	65	29	58
PA	Allegheny	13	27	40	53	66	34	68
PA	Beaver	12	24	35	47	59	29	57
PA	Northampton	50	101	151	202	252	161	321
PA	Warren	19	38	57	76	95	48	96
PA	Washington	14	29	43	58	72	33	66
TN	Blount	21	41	62	83	104	48	96
TN	Shelby	20	41	61	82	102	48	97
TN	Sullivan	10	21	31	42	52	30	60
TX	Jefferson	13	26	39	52	65	31	61
VA	Fairfax	20	41	61	82	102	46	91
WV	Brooke	14	28	42	56	70	35	71
WV	Hancock	15	31	46	61	76	36	72
WV	Monongalia	11	22	34	45	56	29	57

**Table D-5. Annual average SO<sub>2</sub> concentrations for 2005 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb).**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
AZ	Gila	1.5	2.9	4.3	5.8	7.2	3.8	7.7
DE	New Castle	2.3	4.6	6.9	9.2	11.5	5.5	11.0
FL	Hillsborough	1.5	2.9	4.4	5.8	7.3	4.0	8.0
IL	Madison	1.8	3.7	5.5	7.4	9.2	4.3	8.6
IL	Wabash	1.5	3.0	4.5	6.0	7.5	4.3	8.6
IN	Floyd	2.3	4.5	6.8	9.0	11.3	5.9	11.9
IN	Gibson	0.8	1.7	2.5	3.4	4.2	1.9	3.8
IN	Lake	1.8	3.6	5.4	7.1	8.9	4.7	9.3
IN	Vigo	1.9	3.7	5.5	7.4	9.2	4.6	9.1
IA	Linn	2.0	4.1	6.1	8.2	10.2	4.7	9.4
IA	Muscatine	2.3	4.6	6.9	9.1	11.4	5.2	10.3
MI	Wayne	2.4	4.9	7.3	9.7	12.1	5.4	10.8
MO	Greene	1.9	3.8	5.7	7.6	9.5	4.9	9.8
MO	Jefferson	1.4	2.8	4.2	5.6	7.1	4.0	8.0
NH	Merrimack	2.4	4.8	7.2	9.5	11.9	5.7	11.4
NJ	Hudson	6.4	12.9	19.3	25.7	32.1	16.3	32.5
NJ	Union	6.2	12.3	18.4	24.6	30.7	15.2	30.4
NY	Bronx	6.9	13.7	20.6	27.4	34.3	15.8	31.6
NY	Chautauqua	2.1	4.3	6.4	8.6	10.7	5.1	10.3
NY	Erie	2.3	4.5	6.8	9.1	11.3	5.1	10.2
OH	Cuyahoga	4.6	9.3	13.9	18.6	23.2	11.0	22.1
OH	Lake	2.8	5.7	8.5	11.3	14.1	6.8	13.6
OH	Summit	2.7	5.4	8.1	10.8	13.5	6.1	12.1
OK	Tulsa	3.6	7.2	10.7	14.3	17.9	8.2	16.3
PA	Allegheny	3.6	7.1	10.7	14.2	17.8	9.0	18.1
PA	Beaver	2.8	5.5	8.3	11.0	13.8	6.7	13.4
PA	Northampton	2.9	5.9	8.8	11.7	14.6	9.3	18.7
PA	Warren	3.2	6.5	9.7	13.0	16.2	8.2	16.3
PA	Washington	4.7	9.3	14.0	18.7	23.3	10.7	21.5
TN	Blount	2.9	5.8	8.7	11.7	14.6	6.7	13.5
TN	Shelby	2.9	5.7	8.6	11.5	14.4	6.8	13.6
TN	Sullivan	1.5	3.0	4.5	6.1	7.6	4.4	8.7
TX	Jefferson	1.4	2.8	4.2	5.6	7.1	3.3	6.6
VA	Fairfax	7.8	15.5	23.2	31.0	38.7	17.3	34.7
WV	Brooke	4.5	8.9	13.4	17.9	22.4	11.3	22.6
WV	Hancock	4.3	8.6	13.0	17.3	21.6	10.2	20.5
WV	Monongalia	2.6	5.2	7.8	10.3	12.9	6.6	13.2
WV	Wayne	6.0	12.0	18.0	24.0	30.0	13.4	26.8

**Table D-6. Annual average SO<sub>2</sub> concentrations for 2006 given just meeting the alternative 1-hour daily maximum standards analyzed in the risk assessment (concentrations in ppb).**

State	County	99th percentile					98th percentile	
		50	100	150	200	250	100	200
DE	New Castle	2.2	4.4	6.7	8.9	11.1	5.3	10.6
IL	Madison	1.7	3.5	5.2	6.9	8.6	4.0	8.1
IN	Floyd	1.6	3.2	4.8	6.3	7.9	4.2	8.4
IN	Lake	1.7	3.3	5.0	6.6	8.3	4.3	8.7
IN	Vigo	1.4	2.8	4.2	5.6	7.0	3.4	6.9
IA	Linn	1.8	3.6	5.4	7.2	9.1	4.2	8.3
IA	Muscatine	1.7	3.4	5.2	6.9	8.6	3.9	7.8
MI	Wayne	2.2	4.4	6.6	8.8	10.9	4.9	9.8
MO	Greene	2.0	4.0	6.1	8.1	10.1	5.2	10.4
MO	Jefferson	1.5	3.0	4.5	5.9	7.4	4.2	8.4
NH	Merrimack	2.1	4.3	6.4	8.5	10.7	5.1	10.1
NY	Bronx	6.5	13.0	19.5	26.0	32.5	15.0	29.9
NY	Chautauqua	1.6	3.1	4.6	6.2	7.7	3.7	7.4
NY	Erie	1.5	3.1	4.6	6.1	7.6	3.4	6.9
OH	Cuyahoga	4.1	8.2	12.4	16.5	20.6	9.8	19.6
OH	Lake	2.4	4.8	7.2	9.6	12.0	5.8	11.6
OH	Summit	2.2	4.3	6.5	8.7	10.9	4.9	9.8
PA	Allegheny	2.7	5.5	8.2	10.9	13.7	7.0	13.9
PA	Beaver	2.0	4.0	6.0	8.0	10.0	4.9	9.7
PA	Northampton	3.7	7.3	11.0	14.6	18.3	11.6	23.3
PA	Warren	2.5	4.9	7.4	9.9	12.3	6.2	12.4
PA	Washington	4.3	8.5	12.8	17.1	21.3	9.8	19.6
TN	Blount	3.0	6.0	8.9	11.9	14.9	6.9	13.8
TN	Shelby	3.7	7.5	11.2	14.9	18.6	8.8	17.7
TN	Sullivan	1.8	3.6	5.3	7.1	8.9	5.1	10.3
TX	Jefferson	1.4	2.9	4.3	5.7	7.2	3.4	6.7
VA	Fairfax	6.9	13.9	20.8	27.7	34.6	15.5	31.0
WV	Brooke	3.9	7.7	11.6	15.5	19.3	9.8	19.5
WV	Hancock	4.1	8.2	12.3	16.3	20.4	9.7	19.4
WV	Monongalia	2.0	3.9	5.8	7.8	9.7	5.0	9.9

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