U.S. ENVIRONMENTAL PROTECTION AGENCY

ENFORCEMENT AND COMPLIANCE ASSURANCE
OFFICE OF CRIMINAL ENFORCEMENT
CRIMINAL INVESTIGATION DIVISION
401 M STREET, SW
WASHINGTON, D.C. 20460

SUMMARY OF CRIMINAL PROSECUTIONS RESULTING FROM ENVIRONMENTAL INVESTIGATIONS

Fiscal Years 1983 through 1992

The summaries of federal environmental cases are arranged in chronological order within the U.S. Government's Fiscal Year (October to September) by the date of the first indictment/information filed in federal district court for that case. Exceptions to this sequence occur when related prosecutions are added to the original prosecution summary.

The summary is based on the best available information. Anyone relying on this summary should verify the information with the Clerk of the Court for the United States district court, where the official documents are filed.

For more information, please contact the Office of Criminal Enforcement, Criminal Investigation Division (202-260-3883).

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II	Frederick C. Kiesinger	CWA, CERCLA	86	44
IV	John L. Kinzeler	CWA	83	1
IV	William Kinzeler, Jr.	CWA	83	1
VI	Norman Richard Kirkham	RCRA	92	187
VI	Carol K. Kisamore	RCRA, Title 18	88	72
IX	Erle Kitagawa	RCRA	88	85

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VII	Dale L. Knoedler	CWA	85	23
II	Felix Konstandt	Title 18	90	123
II	Konstandt Laboratories, Inc.	Title 18	90	123
III	James C. Kostelni	CWA, Title 18	86	32
VI	Timothy Kram	FIFRA, Title 18	92	195
III	Freeman Krum	TSCA, CFR	87	57
VI	Robert T. Kruse	RCRA, Title 18	88	72
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VI	Bjoern Landoey	CWA, RCRA, Title 18	87	68
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V	Michael Laska	CAA, CERCLA	92	195
II	Kenneth Laughlin	RCRA, CERCLA	91	159
VI	Brigitte G. Laurus	CAA, Title 18	86	30
I	Peter Lauzon	SDWA, Title 18	91	151
IV	Larry Clay Lavender	RCRA	91	163
III	Daniel LaVietes	CWA, Title 18	86	32
V	Thomas David LaViolette	RCRA, Title 18	86	34
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VIII	Layton Brothers Drum Co., Inc.	RCRA, HMTA, RHAA, Title 18	85	27
III	Frank H. Leaman	CERCLA, RCRA, Title 18	92	193
V	Charles LeBeau, Jr.	TSCA	86	51
VIII	Joel H. Lederman	Title 18	88	94
V	Hasper Leggett	TSCA	90	133
VIII	Leigh Industries	RCRA	87	59
VIII	Leigh-Denver Industries	RCRA	87	59
III	Robert Lentz	CWA, RCRA	88	90
VIII	Michael Levine	Title 18	90	139
III	Sidney S. Levy	RCRA, CWA, Title 18	90	146
I	Bertha Lewis	SDWA, Title 18	91	151
I	Murray L. Lewis	SDWA, Title 18	91	151
X	Eliot Laurence Lieberman	FIFRA, Title 18, FFDCA	88	76
VIII	William T. Liggett	RCRA	92	195
IX	Antonio C. Limtiaco	CERCLA, TSCA, Title 18	90	125
IX	A.C. Limtiaco, Inc.	CERCLA, TSCA, Title 18	90	125
X	Terry D. Lingle	RCRA, Title 18	92	177
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IX	Ignacio Lopez	RCRA	92	188
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X	Paul Warren Marquardson	CAA	91	163
IV	Raymond Carl Marrillia, Jr. (aka Sammy Marrillia)	CAA, CERCLA	90	137
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VI	Gary A. Masson	CAA, Title 18	88	92
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VI	Brownie C. McBride	CWA, FFDCA, Title 18	87	53
X	Gary McCourt	RCRA, FIFRA, Title 18	90	149
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IV	John H. McDonald	RCRA	88	81
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IX	Lavell McIntyre	CWA	86	51
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VI	Timothy Scott McLeod	CAA, Title 18	86	41
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IV	William F. McMurray	CWA, MBTA	91	169
IX	Ronald McNiel	TSCA	87	56
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III	Metro Enterprise Container	RCRA, CWA, Title 18	90	146
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IV	Dale Mills & Associates, Inc.	CWA, Title 18	91	165
IV	George Mills	RCRA, CERCLA	84,85	21
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VI	Richard M. Mims	RCRA	85	29
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III	Francis S. Moose	CWA	87	70
VII	John Moran, Jr.	Title 18	87	61
IX	Robert E. Morrison	RCRA, Title 18	90	141
VIII	Neil Moser	RCRA, Title 18, CERCLA	86	50
VII	Marvin Mueller	RCRA, CERCLA	91	170
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VI	Odfjell Westfal Larsen (USA), Inc.	CWA, RCRA, CERCLA, Title 18	87	68
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X	PureGro Company, Inc.	RCRA, FIFRA, Title 18	90	149
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VI	Idar Remman	CWA, RCRA, Title 18	87	68
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I	Emmett Ronayne	CWA, TSCA, RCRA, CERCLA, Title 18	85	24
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Columbia Marine Services, Inc. William Kinzeler, Jr. John L. Kinzeler 82-36 (E.D. Kentucky)	Facts: On four occasions, oil discharged near Ludlow into Ohio River from vessel owned and operated by company. 10/13/82: Four-count indictment filed charging: the company with four counts and William Kinzeler and John Kinzeler with one count each of failure to report discharge of oil in violation of Clean Water Act, Sec. 311(b)(5) [33 USC § 1321(b)(5)]. 10/25/82: Company entered plea of guilty to two counts. 10/26/82: Company sentenced to $10,000 fine with credit given for $3,000 previously paid to Coast Guard. Charges against both Kinzelers dismissed.
Louisville and Nashville Railroad 82-00146 (W.D. Kentucky)	Facts: Diesel fuel and other pollutants discharged through municipal sewers in Louisville into POTW (creating hazard of fire and explosion) and Ohio River. 11/15/82: Two-count information filed charging one count discharge of pollutants without permit in violation of Clean Water Act, Secs. 301(a), 309(c)(1) and 402 [33 USC §§ 1311(a), 1319(c)(1) and 1342]; and one count discharge of pollutants into POTW in violation of pretreatment standards, in violation of Clean Water Act, Secs. 301(a), 307(d), and 309(c)(1) [33 USC §§ 1311(a), 1317(d), and 1319(c)(1)]. 11/16/82: The company pled guilty to both counts and was sentenced to pay a $19,000 fine on each count for total of $38,000.
Lackawanna Refuse Removal, Inc. Northeastern Land Development Co. Peter Iacavazzi 82-00173 (M.D. Pennsylvania)	Facts: Industrial sludges and wastes deposited at Old Forge Borough sanitary landfill site. Lackawanna denied to EPA that disposal had occurred. Iacavazzi was president of Lackawanna. 11/16/82: Two-count indictment filed charging: Northeastern and Iacavazzi - One count failure to notify of existence of hazardous waste facility in violation of CERCLA, Sec. 103(c) [42 USC § 9603(c)] Lackawanna - One count making false statements [18 USC § 1001] 04/13/83: Both companies pled guilty. Plea agreement contained confession of liability by parties and provision whereby the companies agreed to comply with terms of administrative order to be issued under RCRA, Sec. 3008, ensuring that proceeds of sale of land owned by companies would be turned over to Superfund for cleanup costs. 05/10/83: Lackawanna and Northeastern each fined $10,000. Charges against Iacavazzi dismissed upon his agreement to cooperate.

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Coastal Steel Corporation 82-0147B (D. New Jersey)	Facts: Refuse matter, including sanitary waste and waste rinsewater containing sulfuric acid, discharged into Woodbridge Creek 11/17/82: Information filed charging the company with 10 counts discharge of refuse in violation of Sec. 13 and 16 of Rivers and Harbors Appropriation Act of 1899 (Refuse Act) [33 USC §§ 407 and 411]. 11/18/82: Company pled guilty to all 10 counts. 01/13/83: Company sentenced to $20,000 fine ($15,000 suspended), community service equal to $15,000 amount of suspended fine, and 3 years probation. If community service not performed, monetary equivalent of amount of service to be paid as additional fine.
A. C. Lawrence Leather Co., Inc. Lawrence K. Barber Francis E. Stone Richard S. Johnson Weymouth E. Marshall Robert F. Goodspeed 82-00037 (D. New Hampshire)	Facts: Wastewater discharged from large leather tanning facility in Winchester into Ashuelot River in violation of NPDES permit. Plug in pipe removed, allowing discharge to bypass facility's treatment plant and flow directly from holding tank into river, despite fact that company had received $238,420 from EPA in research and grant funds for demonstrating process to meet best available treatment standards for tanning industry covering all pollutants generated during manufacturing operations. Marshall was president; Goodspeed, vice president; Johnson, plant superintendent; Stone, director of manufacturing, and Barber was former director of maintenance and engineering. 12/15/82: 68-count indictment returned against company and employees charging: Company - one count conspiracy [18 USC § 371]; five counts mail fraud [18 USC § 1341]; 43 counts discharge of pollutants in violation of NPDES permit under Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]; 15 counts submittal of false statements [18 USC § 1001]; and four counts making false claims to EPA [18 USC § 287] Barber - One count conspiracy, three counts mail fraud, 43 counts discharges in violation of Clean Water Act, one count false statements, and four counts false claims Stone - One count conspiracy, two counts mail fraud, 43 counts discharges in violation of Clean Water Act, and seven counts false statements Johnson - One count conspiracy, two counts mail fraud, 43 counts discharges in violation of Clean Water Act, and seven counts false statements Marshall - 43 counts of discharges in violation of Clean Water Act

Defendant(s)/Docket No./Court	Facts and Status
A. C. Lawrence Leather Co., Inc. (cont. from previous page)	Goodspeed - 43 counts of discharges in violation of Clean Water Act 03/10/83: Individual defendants each pled guilty after Government's opening argument at trial. Barber and Stone - Five counts under Clean Water Act and one count for making false statement Marshall and Goodspeed - Five counts under Clean Water Act Johnson - 10 counts under Clean Water Act 04/07/83: The company was convicted by jury on all counts. (See following section for sentencing information.)
A. C. Lawrence Leather Co., Inc. Weymouth E. Marshall Robert F. Goodspeed Francis E. Stone Bernard Davis 83-00007 (D. New Hampshire)	Facts: The company, Marshall, Goodspeed, Stone, and Davis, foreman at the Winchester facility, generated, stored, and released degreasing solvent (tetrachloroethylene) and its sludges and conspired to cover up the fact by falsifying reports. 01/25/83: Company and individuals charged in second seven-count indictment: Company - Two counts of storage of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count disposal of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A); two counts submittal of false statements to EPA [18 USC § 1001]; one count failure to notify of release of hazardous substances in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]; and one count failure to notify of existence of hazardous waste facility in violation of CERCLA, Sec. 103(c) [42 USC § 9603(c)] Marshall - One count failure to notify of existence of hazardous waste facility Goodspeed - One count storage of hazardous waste and one count failure to notify of existence of hazardous waste facility Stone - One count storage of hazardous waste, one count disposal of hazardous waste, two counts false statements, one count failure to notify of release of hazardous substances and one count failure to notify of existence of hazardous waste facility Davis - One count storage of hazardous waste, one count disposal of hazardous waste, and one count failure to notify of release of hazardous substances

Defendant(s)/Docket No./Court	Facts and Status
A. C. Lawrence Leather Co., Inc. Robert F. Goodspeed 83-00020 (D. New Hampshire)	04/29/83: Superseding information filed charging two additional counts: Company - One count submittal of false statements under RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] Goodspeed - One count failure to notify of release of hazardous substances under CERCLA, Sec. 103(b) [42 USC § 9603(b)] 04/29/83: Company and three individual defendants pled guilty as follows: Company - One count storage of hazardous waste, one count disposal of hazardous waste, one count failure to notify of release of hazardous substances, one count failure to notify of existence of hazardous waste facility, and one count submittal of false statements under RCRA, Sec. 3008(d)(3) Marshall - One count failure to notify of existence of hazardous waste facility Goodspeed - One count failure to notify of release of hazardous substances and one count failure to notify of existence of hazardous waste facility Stone - One count storage of hazardous waste, one count failure to notify of release of hazardous substances, and one count failure to notify of existence of hazardous waste facility 04/29/83: Defendants sentenced simultaneously under Clean Water Act and RCRA indictments (and superseding information) as follows: Company - Fined under first indictment: $5,000 on conspiracy count; $200 on each mail fraud count for sum of $1,000; $10,000 on each of five Clean Water Act counts for sum of $50,000; $1,000 on each false statement count for sum of $15,000; and $1,000 on each false claims count for sum of $4,000, all totaling $75,000. Under second indictment: $10,000 on RCRA storage count; $50,000 on RCRA disposal count; $5,000 on CERCLA, Sec. 103(b) count; and $5,000 on CERCLA, Sec. 103(c) count, for total of $70,000. Under RCRA false statement count: $5,000 for grand total of $150,000 under all charges. Company also placed on 5 years probation under each set of charges, to run concurrently and required to repay $238,420 it had received from EPA in federal grant funds.

Defendant(s)/Docket No./Court	Facts and Status
A. C. Lawrence Leather Co., Inc. (cont. from previous page)	Barber - Under first indictment only, for five Clean Water Act counts: 1 year imprisonment (execution suspended) plus 2 years probation and 200 hours of community service activities, and $2,500 fine on each count for sum of $12,500; for false statement count, 1 year imprisonment (execution suspended) plus 2 years probation (to be served concurrently) and $5,000 fine for total of $17,500 Stone - Under first indictment: same sentence as for Barber. Under second indictment: for RCRA storage count, 1 year imprisonment (execution suspended) plus 2 years probation (to be served concurrently) and $5,000 fine; for two CERCLA counts and one RCRA storage count, 1 year imprisonment (execution suspended) plus 2 years probation (also to be served concurrently), $5,000 on RCRA count and $2,500 fine on each CERCLA count for total of $10,000 under second indictment and grand total under both indictments of $27,500 Johnson - Under first indictment, for 10 Clean Water Act counts: 1 year imprisonment (execution suspended) plus 2 years probation and 200 hours of community service and $2,500 fine on each count for sum of $25,000 with all but $5,000 suspended Marshall - Under first indictment for five Clean Water Act counts: 1 year imprisonment (execution suspended) plus 2 years probation and 200 hours of community service, and $2,500 fine on each count for sum of $12,500. Under second indictment, for CERCLA, Sec. 103(c) count, 1 year imprisonment (execution suspended) plus 2 years probation (to be served concurrently) and $5,000 fine (with all but $2,500 suspended), for grand total under both indictments of $17,500 (less $2,500 suspended) Goodspeed - Under first indictment for five Clean Water Act counts: 1 year imprisonment (execution suspended) plus 2 years probation and 200 hours of community service activities and $2,500 fine on each count for sum of $12,500. Under second indictment; for two CERCLA counts, 1 year imprisonment (execution suspended) plus 2 years probation (to be served concurrently) and $5,000 fine on each count for sum of $10,000 under second indictment and grand total under both indictments of $22,500 Charges against Davis dismissed. 04/12/84: Company, Barber, Stone, Johnson, Marshall, and Goodspeed all placed on EPA master list of persons debarred, suspended, or voluntarily excluded under 40 CFR Part 32 from participation in EPA-assisted programs for period of 3 years (to 04/11/87).

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Raymond Borowski 83-151 (N.D. Illinois)	Facts: Defendant operated several municipal sewage treatment plants in Dupage County, Illinois where, between October 1977 and July 1978, defendant understated pollutant amounts by half for three of the plants when filing Discharge Monitoring Reports required under Clean Water Act. 02/23/83: 13-count indictment returned charging submittal of false information [18 USC § 1001]. 04/22/83: Defendant pled guilty to two-count superseding information filed on same date charging submittal of false information under Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. 06/01/83: Defendant sentenced to 3 years probation and 200 hours community service.
Nathan Frenkel Environmental Consulting and Testing Service, Inc. 83-00047 (D. New Jersey)	Facts: Frenkel, owner of Environmental Consulting and Testing Service (ECTS), hired as consultant to study disposal alternatives for sewage treatment systems in Salem County. Project funded under Clean Water Act, Sec. 201. 03/02/83: Four-count indictment returned charging each defendant with three counts false statements [18 USC § 1001] for misrepresentations of number of hours worked and one count conversion of government property [18 USC § 641] for vacuum cleaner taken from United States Naval Air Development Center. 05/23/83: Frenkel pled guilty to one count conversion of government property and company pled guilty to one count false statements. 07/08/83: Frenkel sentenced to $7,500 fine and company to $5,000.
Johnson & Towers, Inc. Peter Angel Jack W. Hopkins 83-00083 (D. New Jersey)	Facts: Over a 3-day period, federal agents saw workers attach pump to tank containing hazardous wastes and pump substantial quantities of degreasers and other industrial chemicals into trench, including dichlorobenzene, dichloromethane, chlorobenzene, tetrachloroethylene, toluene, and ethylbenzene. On third day, pollutants spilled into Parker Creek. Angel was former plant supervisor, Hopkins worked as plant foreman, and Johnson was vice president for operations. 03/29/83: Five-count indictment returned against company, Angel, and Hopkins charging each defendant with three counts disposal of hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; one count discharge of pollutants without NPDES permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; and one count conspiracy [18 USC § 371].

Defendant(s)/Docket No./Court	Facts and Status
Johnson & Towers, Inc. (cont. from previous page)	07/08/83: Company pled guilty to three counts under RCRA and sentenced to $20,000 fine. 09/06/83: Substantive RCRA charges against individuals dismissed, leaving aiding and abetting counts under RCRA plus substantive Clean Water Act and conspiracy counts. 08/15/84: On appeal, Third Circuit Court reversed dismissal of substantive RCRA counts against individuals and remanded case to district court for trial of those counts. 10/10/84: Angel filed petition for certiorari in United States Supreme Court. Government subsequently filed response in opposition to petition. 02/19/85: Supreme Court denied Angel's petition for certiorari. 01/02/86: Angel and Hopkins entered into pretrial diversion agreement with United States Attorney's Office. Indictment dismissed against each.
Peter Johnson 86-3134C (D. New Jersey)	03/31/86: Information filed charging Peter Johnson, vice president for operations, with one count discharging pollutants without NPDES permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. 04/30/86: Johnson pled guilty to Clean Water Act count. 06/17/86: Johnson sentenced to pay a $2,500 fine.
Michael Yaron Barak Yaron Quality Research Labs, Inc. (Commercial Research Labs) 83-00170 (E.D. Pennsylvania)	Facts: Joint EPA/FBI investigation. Hazardous wastes, including 1,1,1-trichloroethane, toluene, phenol, cresols, corrosive acid, benzene, xylene, and methylene chloride generated, stored, and systematically dumped onto ground at site and into drains and toilets at facility in Corwells Heights, PA. False responses also made on EPA Hazardous Waste activity questionnaire. Michael Yaron was president, principal owner, and chief operator. His brother, Barak Yaron, was plant foreman. April 29, 1983, Michael Yaron and J. Ronald Merion (former president and principal owner) were indicted on four counts of related nonenvironmental charges with regard to their submission of storm damage insurance claims, mail fraud [18 USC § 1341]. Bruce Handfinger (former officer of related company) also charged with two counts mail fraud in connection with false insurance claims. 05/02/83: Five-count indictment returned against company, Michael Yaron, and Barak Yaron charging:

Defendant(s)/Docket No./Court	Facts and Status
Michael Yaron, et al. (cont. from previous page)	Michael Yaron - Two counts false statements [18 USC § 1001], two counts storage and disposal of hazardous wastes without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and one count failure to notify of release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)] Barak Yaron - One count disposal of hazardous wastes Company - One count failure to notify of release of hazardous substances and two counts storage and disposal of hazardous waste
Barak Yaron 83-00282 (E.D. Pennsylvania)	08/01/83: Barak Yaron - Pled guilty to one count failure to notify of release of hazardous substance under CERCLA. 08/03/83: Company - Pled guilty to one count disposal of hazardous wastes. 08/16/83: Superseding information filed charging Barak Yaron with one count under CERCLA, Sec. 103(b). 08/23/83: Michael Yaron - Pled guilty to one count disposal of hazardous wastes without RCRA permit and one count failure to notify of release of hazardous substance under CERCLA. 09/26/83: Defendants sentenced as follows: Company - $10,000 fine Barak Yaron - $2,000 fine plus 3 years probation Michael Yaron - $5,000 fine plus 2 years imprisonment (all but 6 months suspended) plus 5 years probation on RCRA count. On CERCLA count, $5,000 fine plus 1 year imprisonment (all but 6 months suspended) and 5 years probation (imprisonment and probation to run concurrently with RCRA disposal count), and restitution 01/24/84: Sentence of Michael Yaron modified. Restitution reduced to $55,600.
Vernon L. Baseman David R. Faulkner 83-00081 (D. New Mexico)	Facts: Oil and drums containing PCBs sold as ordinary waste oil and distributed in interstate commerce between Emelle, Alabama and Albuquerque, New Mexico. Vernon Baseman and David Faulkner were employees of Nuclear Engineering. 05/10/83: Four-count indictment returned charging each defendant with three counts violation of PCB regulations under TSCA [15 USC §§ 2614 and 2615(b)] and one count conversion of government property [18 USC § 641].

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Vernon L. Baseman David R. Faulkner (cont. from previous page)	08/15/83: Baseman pled guilty to two TSCA counts: one count interstate transport of PCBs and PCB containers and one count disposal of that material. Faulkner admitted to United States Attorney's pretrial diversion program; charges against him dismissed on condition they would be reinstated should he fail to successfully complete 1 year probation. 09/16/83: Baseman sentenced to $2,000 fine.
Herman Zeldenrust 83-442 (N.D. Illinois)	Facts: Zeldenrust, plant manager for Joslyn Manufacturing and Supply Co., disposed of 55-gallon drum of 100% PCBs at or near McGinty Forest Preserve. 06/06/83: One count information filed charging violation of TSCA, Sec. 15 [15 USC § 2614]. 01/11/84: Defendant pled guilty to one count and sentenced to 1 year probation and $3,000 fine to be paid from defendant's personal funds.
Bryce Anderson Randy Zimmerman 83-00038 (D. Nebraska)	Facts: Ortho Paraquat CL, a registered pesticide for restricted use, applied without EPA certification. Anderson, once a certified applicator, had let certification lapse. 06/13/83: One count information filed charging each defendant with violation of FIFRA, Sec. 12(a) [7 USC § 136(j)]. 07/26/83: Anderson pled guilty to one FIFRA count and sentenced to $100 fine (he had been recertified by EPA prior to sentencing). Charges against Zimmerman dismissed.
Onis Lynwood Holley Holley Electric Corporation 83-00119 (M.D. Florida)	Facts: Full cleanup of PCBs falsely claimed under agreement with Air Force. Disposal of PCBs by transformer rupture, placement in underground diesel fuel tank, improper landfilling of PCB capacitors and liquids. Also failed to properly mark drums. Holley is company president. 08/10/83: A 10-count indictment returned against company and Holley charging six counts violation of TSCA, Sec. 15 [15 USC § 2614]; one count false claim to government [18 USC § 287]; and three counts wire fraud [18 USC § 1343].
Onis Lynwood Holley 83-00169 (M.D. Florida)	10/27/83: Holley pled guilty to two-count superseding information filed on same date charging one count TSCA violation and one count false statements [18 USC § 1001]. Company pled guilty to one count TSCA violation and one count false claim. 12/02/83: Company sentenced to $35,000 fine and 2 years probation. Holley sentenced to $25,000 fine and 2 years probation.

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Laurence M. Welch J. Welch & Sons, Inc. 83-60543 (E.D. Michigan)	Facts: Hazardous waste consisting of discarded paint, varnish, and other ignitable substances stored without permit and transported to unpermitted facility. Laurence is president and operator of Barry Air Tool Supply company which is in the parking lot maintenance business. 09/21/83: Four-count information filed charging each defendant with one count storage of hazardous waste in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] and one count transportation of hazardous waste to unpermitted facility of violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. 10/05/83: Both defendants pled guilty to all charges. 12/13/83: Welsh sentenced to 2 years imprisonment (all but 4 months suspended) and 2 years probation. Company sentenced to $1,000 fine.
Transformer Services (Ohio), Inc. Gregory A. Booth Robert W. Rafferty 83-00252A (N.D. Ohio)	Facts: Transformer refurbisher and disposer, when cleaning up PCBs from own facility under consent agreement with EPA, attempted to dispose of and conceal PCB soils in Mogodore Auto Wrecking Yard. Defendants claimed soil was stolen from facility. Booth was president and general manager and Rafferty was warehouse and operations manager. 09/29/83: A seven-count indictment returned charging: Company and Rafferty - One count violation of TSCA, Sec. 15 [15 USC § 2614], one count conspiracy [18 USC § 371], one count mail fraud [18 USC § 1341], one count wire fraud [18 USC § 343], and three counts false statements [18 USC § 1001] Booth - One count under TSCA, one count conspiracy, one count mail fraud, one count wire fraud, and one count false statements 11/01/83: Charges against company dismissed. 12/14/83: Booth and Rafferty each pled guilty to one count TSCA violation and one count wire fraud. 02/13/84: Booth and Rafferty each sentenced to 17 days in half-way house on work release, 2 years probation, and 240 hours community service performed over 2-year period. Failure to perform community service would result in $50,000 fine for each individual.

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Robert Thomas Barnes 83-00214 (E.D. Virginia)	Facts: In performing contract work for NPDES permittees, Discharge Monitoring Reports (DMRs) falsified as to environmental impacts of pollutants. Barnes was vice president, managing partner, and minority stockholder. 10/06/83: Barnes pled guilty to information filed on same date charging two counts false statements in violation of Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. 10/28/83: Barnes sentenced to $500 fine, 6 months probation on each count (to run concurrently), and 100 hours community service.
Environmental Systems Services 83-00246 (E.D. Virginia)	11/14/83: Company pled guilty to information filed same date charging two counts violation of Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)], and sentenced to $5,000 fine.
Pacific Hide & Fur Depot, Inc. William Knick Jerry Graham 83-40028 (D. Idaho)	Facts: Hundreds of PCB capacitors and PCB liquids from transformers dumped into pit adjacent to defendants' scrap metal salvaging facility in Pocatello. William Knick manages this facility. 10/12/83: Five-count indictment returned (unsealed on 10/17/83) against company and two corporate employees, charging company and Knick with one count improper disposal of PCB capacitors, one count improper disposal of PCBs, one count failure to properly mark PCB containers, and one count failure to maintain required records concerning PCB items, all in violation of TSCA, Secs. 15 and 16 [15 USC §§ 2614 and 2615(b)]; and one count failure to report release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]. Graham charged with one count unlawful disposal of PCBs under TSCA and one count failure to report release of hazardous substance under CERCLA. 04/16/84: Following denial of defense motions, jury trial began. 05/03/84: After 10 days of trial, one TSCA PCB disposal count and the CERCLA count were dismissed. These were only the counts that were charged against defendant Graham. 05/04/84: Guilty verdicts returned against both company and Knick on three remaining TSCA counts. 06/29/84: Company sentenced to $24,000 fine ($8,000 on each of three counts). Knick sentenced to $3,000 fine ($1,000 on each count). 03/07/85: Appeal argued in Ninth Circuit.

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Pacific Hide & Fur Depot, Inc. (cont. from previous page)	08/15/85: Ninth Circuit reversed convictions of both defendants on ground that jury instruction on deliberate avoidance given under United States v. Jewell was improper. 12/26/85: Ninth Circuit denied government's petition for rehearing.
American Electric Corporation Maxwell S. Cobb D. Michael Hamm Floyd C. Holloway 83-00170 (M.D Florida)	Facts: Disposal of PCBs and disposal and storage of transformers containing PCBs under contracts with Department of Defense, and sale of PCBs to asphalt paving company for use as fuel. False invoices and manifests filed. Case jointly investigated by EPA, FBI, Defense Criminal Investigative Service, and City of Jacksonville, Bio-Environmental Services. Cobb was president of the company, Hamm was vice president, and Holloway was president of Holloway's Waste Oil Service, a business involved in purchasing, handling, and selling waste oil. 10/27/83: A 24-count indictment was filed charging company, Cobb, Hamm, and Holloway as follows: Company and Cobb - 12 counts false statements [18 USC § 1001]; eight counts mail fraud [18 USC § 1341]; one count false claims [18 USC§ 287]; and three counts violation of TSCA, Sec. 15 [15 USC § 2614] Hamm - 11 counts false statements, seven counts mail fraud, one count false claims and three counts under TSCA Holloway - One count under TSCA 05/14/84: Trial began. 05/30/84: Jury returned verdicts of not guilty against all defendants.
James Holland 83-891 (S.D. Florida)	Facts: Owner of Middle Keys Construction Company dredged and filled 12 sites in lower and middle Florida Keys between May 1980 and August 1983 and, for one site, fraudulently represented to landowners that all permits would be obtained. 11/02/83: A 22-count indictment was returned charging 11 counts violation of Sec. 10 of Rivers and Harbors Appropriation Act of 1899 [33 USC § 403]; eight counts violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; and three counts mail fraud [18 USC § 1341]. 02/21/84: Guilty plea entered to eight counts under Clean Water Act and three counts under Rivers and Harbors Appropriation Act.

Defendant(s)/Docket No./Court	Facts and Status
James Holland (cont. from previous page)	06/07/85: Defendant sentenced to 5 years probation and 400 hours community service. Also required to perform restoration at four sites. 05/27/88: Probation revoked; sentence imposed; $10,000 fine, 6 months imprisonment, and debarred from construction activities.
Drum Recovery, Inc. Gary Van Lom Eugene F. Tienken Charles Tuttle 84-00005 (D. Oregon)	Facts: Transportation and dumping of sodium hydroxide on street and improper labeling, storage, and disposal of PCBs by hazardous waste handling and transporting company. Van Lom is part owner and president of company, Tienken is part owner and general manager and Tuttle is a sales manager. 01/17/84: A 39-count indictment filed charging: Company and Van Lom - Eight counts violation of TSCA, Sec. 15 [15 USC § 2614]; two counts violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]; six counts mail fraud [18 USC § 1341]; one count conspiracy [18 USC § 371]; and 22 counts violation of Hazardous Materials Transportation Act (HMTA) [49 USC § 1809(b)] Tienken - Same as company and Van Lom except not charged under CERCLA counts Tuttle - Six counts under TSCA, six counts mail fraud, one count conspiracy, and 18 counts under HMTA 03/14/84: Tuttle pled guilty to one TSCA count for improper labeling of PCBs. 03/26/84: Tienken pled guilty to superseding information filed same date charging five counts of violation of TSCA for improper storage of PCBs. 03/27/84: Van Lom pled guilty to superseding information filed same date charging one count violation of CERCLA, Sec. 103(b) and one count violation of HMTA. 04/23/84: Tuttle sentenced to 5 years probation with conditions that he stay out of hazardous waste business for period of probation, pay one-third of the cleanup costs and court costs, and perform 100 hours community service. 05/17/84: Van Lom sentenced to 1 year imprisonment and 5 years probation with conditions including that he stay out of hazardous waste business for period of probation and pay one-third of the cleanup costs. Tienken sentenced to 90 days imprisonment and 5 years probation and a requirement to pay one-third of the cleanup costs. 02/19/85: Charges dismissed against company.

Defendant(s)/Docket No./Court	Facts and Status
Maritz, Inc. 84-00026 William E. Pieber 84-00027 (E D. Missouri)	Facts: False statements contained in Discharge Monitoring Reports and BOD limits in NPDES permits exceeded by wastewater treatment plant in Fenton. Pieber is manager of company's wastewater treatment facility. 02/13/84: Five-count information filed against company charging four counts violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)] and one count false statements [18 USC § 1001]. Two-count information filed on same date charging Pieber with two counts violation of Clean Water Act, Secs. 301(a) and 309(c)(1). 02/15/84: Pieber pled guilty to all charges. 02/17/84: Company pled guilty to all charges. 03/09/84 - Pieber sentenced to 30 months probation, 150 hours community service, and $2,500 fine. 03/23/84: Company sentenced to 5 years probation, $10,000 fine on false statement count and $10,000 fine on one Clean Water Act count; $15,000 fines imposed on each of three remaining Clean Water Act counts. Execution suspended pending submission and court approval of community workplan within 90 days. In lieu of community service, $45,000 in fines could be paid to designated charity. 08/16/85: Pieber's term of probation reduced to 24 months.
Electro Sales Co., Inc. 84-00148 (D. Massachusetts)	Facts: Improper marking and storage for disposal of capacitors containing PCBs and false statements made by company president to EPA concerning removal of leaking capacitors from company premises. 04/11/84: Company waived indictment and pled guilty to two-count information filed on same date charging one count violation of TSCA, Sec. 15 [15 USC § 2614] and one count false statements [18 USC § 1001]. Company agreed to clean up site as part of plea agreement. 08/09/84: Company sentenced to $25,000 fine payable in two installments by 01/02/85.
James H. Hedrick Terri, Lori, Chris, Inc. 84-00008 (W.D. Kentucky)	Facts: Transportation, storage and disposal of electric furnace emission control dust containing lead and cadmium without permit, to facilities in Kentucky and Tennessee. Terri, Lori, Chris, Inc. (T.L.C.) is chemical brokerage firm with Hedrick as president.

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Defendant(s)/Docket No./Court	Facts and Status
James H. Hedrick Terri, Lori, Chris, Inc. (cont. from previous page)	05/09/84: Three-count indictment filed in United States District Court for Western District of Kentucky charging Hedrick and Terri, Lori, Chris, Inc. each with one count transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; one count disposal of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], and one count conspiracy [18 USC § 371].
James H. Hedrick TLC, Inc. 84-00084 (M.D. Tennessee)	05/16/84: Indictment filed in United States District Court for Middle District of Tennessee charging Hedrick and TLC each with one count transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. § 3008(d)(1) [42 USC § 6928(d)(1)] and one count disposal of hazardous waste without permit in violation of RCRA, Sec. § 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 07/18/84: Hedrick pled guilty to both counts charged in Middle District of Tennessee. 07/18/84: Company pled guilty in Middle District of Tennessee to same counts. 07/18/84: Hedrick and company each entered guilty pleas to all counts charged in Western District of Kentucky. 03/14/85: Hedrick sentenced to 1 year and 1 day imprisonment for charges in Middle District of Tennessee plus 3 years probation and 200 hours community service. Company fined $5,000 for charges on same count. 03/19/85: Hedrick sentenced to 1 year and 1 day imprisonment (sentence imposed in Tennessee served concurrently), and company sentenced to $3,000 fine for charges in Western District of Kentucky.
Alley-Cassetty Coal Company Donald M. Draper 85-00043 (M.D. Tennessee)	Additional Facts: Alley-Cassetty carried out actual transportation of emission control dust to strip mine sites for TLC, Inc. Draper was former transportation manager. 03/25/85: Information filed in Middle District of Tennessee charging Alley-Cassetty and Draper with one count transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)].
Alley-Cassetty Coal Company Donald M. Draper 85-00007 (W.D. Kentucky)	03/28/85: Information filed in Western District of Kentucky charging Alley-Cassetty and Draper with one count transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)].

Defendant(s)/Docket No./Court	Facts and Status
Alley-Cassetty Coal Company Donald M. Draper (cont. from previous page)	04/01/85: Defendants each entered guilty pleas to charges in Middle District of Tennessee. 04/30/85: Draper sentenced, in Middle District of Tennessee, to 1 year and 1 day imprisonment with all but 6 months suspended (sentence recommended to be served in half-way house), 2 years probation and $8,000 fine (to be paid within 7 months). 05/14/85: Defendants each entered guilty plea to charges in Western District of Kentucky. 09/27/85: Draper sentenced, in Western District of Kentucky, to 1 year and 1 day imprisonment (all but 6 months suspended) plus 2 years probation. Incarceration to be served concurrently with sentence imposed in Tennessee; however, Draper not to be allowed to serve sentence in half-way house. 09/30/85: Alley-Cassetty sentenced, in Western District of Kentucky, to $25,000 fine. Civil penalty imposed on same date under the administrative authority of the state of Tennessee. 12/09/85: Alley-Cassetty sentenced, in Middle District of Tennessee, to $10,000 fine. Additional facts: RAD Services engaged in chemical manufacturing and, in 1982, stored toxic lead and cadmium emission control dust at warehouse in Bowling Green. Sciullo is executive president and Gary is head of chemical division.
RAD Services, Inc. Arthur Sciullo George Gary 86-00011 (W.D. Kentucky)	04/25/86: Five-count indictment filed in Western District of Kentucky charging company with three counts storage of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; one count transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; and one count conspiracy [18 USC § 371]. Sciullo charged with two counts violation of RCRA, Sec. 3008(d)(2); one count violation of RCRA, Sec. 3008(d)(1), and one count conspiracy. Gary charged with one count violation of RCRA, Sec. 3008(d)(2) and one count conspiracy. 10/20/86: RAD Services pled guilty to two counts of RCRA storage violations, Gary pled guilty to one such count and Sciullo pled guilty to one count conspiracy and one count RCRA storage violation. 12/01/86: RAD Services was sentenced to a total fine of $75,000 to be paid within 1 year.

Defendant(s)/Docket No./Court	Facts and Status
RAD Services, Inc. Arthur Sciullo George Gary (cont. from previous page)	Gary - Sentenced to 2 years in the custody of the U S Attorney General with all but 30 days in jail suspended, a $10,000 fine, 200 hours of community service, and 2 years probation. Sciullo - Sentenced to two concurrent 2-year terms of incarceration with all but 6 months suspended, a $10,000 fine, 200 hours of community service, and 2 years probation.
W. B. Sprague Company, Inc. Alfred H. Treleven Laurence R. Treleven 84-36 (W.D. Washington)	Facts: Commercial pesticide applicators several times used strychnine in grain belt to kill birds threatening grain elevators' contents. Records falsified, listing use of nonrestricted pesticide. Alfred Treleven is company's retired president and Laurence Treleven is current president. 06/06/84: Two-count indictment filed charging company with one count violation of FIFRA, Sec. 12(a) [7 USC § 136j(a)], Alfred Treleven and Laurence Treleven each with one count violation of FIFRA and one count violation of Migratory Bird Treaty Act [16 USC § 707]. 06/29/84: Company pled guilty to information and sentenced to $20,500 fine. Trelevens pled guilty to information and were fined $5,500 each.
The Wyckoff Company, Inc. William C. Cairns David G. Chevalier Charles D. Hudson Donald D. Johnson 84-00167 (W.D. Washington)	Facts: The Wyckoff Company engaged in pressure-treating wood products with preservatives containing creosote, pentachlorophenol, and arsenic. Polluted water pumped from unlined holding pit via fire hose into nearby storm drains leading directly into Duwamish River Contaminated water siphoned from lagoon on company property into Elliott Bay. Cairns is company's president, Chevalier is former general superintendent, Hudson is technical director, and Johnson is chief engineer. 06/21/84: A 12-count indictment filed charging Cairns, Chevalier, Hudson, and Johnson with seven counts violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; one count storage and disposal of hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; two counts conspiracy [18 USC § 371] and two counts false statements [18 USC § 1001]. Company charged with seven counts violation of Clean Water Act, Secs. 301 and 309(c)(1); one count violation of RCRA, Sec. 3008(d)(2), one count conspiracy [18 USC § 371]; and two counts false statements [18 USC § 1001]. 01/24/85: All defense pretrial motions denied. 03/11/85: On scheduled trial date, defendants pled guilty to four-count superseding information as follows:

Defendant(s)/Docket No./Court	Facts and Status
Wyckoff Company, Inc., et al. (cont. from previous page)	Company - One count storage of hazardous waste without permit in violation of RCRA, Sec 3008(d)(2)(A), one count discharge of pollutants without permit in violation of Clean Water Act, Secs 301 and 309(c)(1) and one count conspiracy to violate Clean Water Act. Cairns - One count storage of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A). Chevalier, Hudson, and Johnson - One misdemeanor count each of conspiracy to violate Clean Water Act [18 USC § 371]. 04/19/85: Defendants sentenced as follows: Company - As per plea agreement, fined $1,000,000 (all but $150,000 suspended), 5 years probation on condition it obey all laws, expeditiously clean up facility, and pay $850,000 into trust account administered by Region X for study and cleanup of site Cairns - 1 year imprisonment (all but 60 days suspended), 2 years probation, $25,000 fine Chevalier - 2 years probation plus 200 hours community service; $10,000 fine Hudson - To pay a $5,000 fine, 2 years probation, and 150 hours community service Johnson - To pay a $2,500 fine, 2 years probation, and 100 hours community service
John Martin Anderson 84-00056 (D. Wyoming)	Facts: Restricted use pesticide (diclofop methyl) sold to noncertified applicator for use on applicator's ranch, and required records concerning sales of xylene falsified. Also pesticides discharged into Big Horn River without NPDES permit. John M. Anderson was president of A.C. Supply, Inc. 06/22/84: Six-count indictment filed charging four counts violation of FIFRA, Sec. 12(a) [7 USC § 136j(a)]; one count violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; and one count false statements [18 USC § 1001]. 09/18/84: Defendant pled guilty to three FIFRA counts and one count violation of Clean Water Act. 11/20/84: Defendant sentenced to 3 years probation plus compliance with all environmental laws for 18 months and $5,000 contribution to Big Horn County Agricultural Extension Service or, in the alternative, fine of $6,150.

Defendant(s)/Docket No./Court	Facts and Status
Performance Advantage, Inc. Lyndol Bolton 84-00122 (M.D. Alabama)	Facts: Pollutants discharged through hose from lagoon into water by facility processing waste oil and jet fuel (Performance Advantage). Hazardous waste from company in business of removing paint from used aircraft (Hayes International) disposed of and released without notification. Beasley is Hayes employee responsible for disposal of hazardous wastes. Bolton is president of Performance Advantage. Abrams operated Coosa County landfill. Kelley and Smith are residents of Coosa County, Alabama.
Hayes International Corporation Louis H. Beasley Performance Advantage, Inc. Lyndol Bolton Ray Kelley V. O. Abrams David Smith 84-00123 (M.D. Alabama)	06/28/84: Two indictments returned. First charged Performance Advantage and Bolton with four counts discharge of pollutants without NPDES permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. Second indictment charged 19 counts as follows: Hayes and Beasley - Eight counts transportation of hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; five counts storage and/or disposal of hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count conspiracy [18 USC § 371] Performance Advantage and Bolton - Eight counts violation of RCRA, Sec. 3008(d)(1); six counts violation of RCRA, Sec. 3008(d)(2)(A), one count knowing endangerment in violation of RCRA, Sec. 3008(e) [42 USC § 6928(e)]; one count failure to report release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]; and one count conspiracy Kelley - One count violation of RCRA, Sec. 3008(d)(1), four counts violation of RCRA, Sec. 3008(d)(2)(A), one count knowing endangerment in violation of RCRA, Sec. 3008(e), and one count conspiracy Abrams - One count under CERCLA, Sec. 103(b), one count under RCRA, Sec. 3008(d)(2), and one count conspiracy Smith - One count under RCRA, Sec. 3008(d)(1) and one count conspiracy 08/29/84: Performance Advantage and Bolton each pled guilty to one count under Clean Water Act, Secs. 301 and 309(c)(1) and one count under RCRA Sec. 3008(d)(1). 10/09/84: Trial began against Hayes International, Beasley, Abrams, and Smith. Kelley remained fugitive.

Defendant(s)/Docket No./Court	Facts and Status
Performance Advantage, Inc., et al. (cont. from previous page)	10/16/84: Court entered judgment of acquittal as to Abrams and Smith after conclusion of government's case. 10/17/84: After both sides rested, court acquitted Hayes International and Beasley on five storage and disposal counts. 10/19/84: Jury returned guilty verdicts against Hayes International and Beasley on eight counts each of transportation to unpermitted facility under RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. Both defendants acquitted on conspiracy counts. 11/15/84: District Judge Robert Varner set aside jury verdicts and acquitted Hayes International and Beasley on all counts. 11/26/84: Performance Advantage sentenced to $2,500 fine on Clean Water Act count and $50 fine on RCRA count. Bolton sentenced to $2,500 fine plus 5 years probation on CWA count and 5 years probation on RCRA count; sentences to run concurrently. 12/13/84: Protective notice of appeal filed by government in Eleventh Circuit Court with respect to decision overturning jury verdicts against Hayes International and Beasley. 06/18/85: Government's brief filed in Eleventh Circuit. 12/19/85: Appeal argued in Eleventh Circuit. 04/21/86: Reversal by Eleventh Circuit of decision overturning jury verdict against Hayes International and Beasley. 06/11/86: Hayes International sentenced to $2,500 fine and Beasley sentenced to $1,000 fine. Facts: Kelley was a fugitive at the time of the trial.
Ray Kelley 86-189 N (M.D. Alabama)	11/25/86: Three-count indictment filed charging Kelley with violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]. 03/03/87: After a 2-day trial, the jury returned a guilty verdict to all three counts of the indictment charging Ray Kelley with storage of hazardous waste without a RCRA permit. 04/16/87: Kelley was sentenced to serve 1 year imprisonment on each of the three RCRA counts, to be served concurrently, execution of imprisonment suspended, and placed on 1 year probation.

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SCA Chemical Services (MA), Inc. 84-00157 (D. Massachusetts)	Facts: At least 10 pounds of PCBs released in Braintree, Massachusetts without permit or notification. 08/09/84: One-count information filed charging company with failure to report release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 09/06/84: Company entered guilty plea and sentenced to $7,500 fine.
George Mills 84-00165 (M.D. Tennessee)	Facts: Storage of hazardous waste and failure to give required notification of existence of hazardous waste facilities located in Nashville and Smyrna, Tennessee and in Semmes, Alabama. 09/19/84: Four-count indictment filed in United States District Court for Middle District of Tennessee (unsealed on 09/21/84) charging two counts storage of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and two counts failure to notify of existence of hazardous waste facility in violation of CERCLA, Sec. 103(c) [42 USC § 9603(c)].
George Mills 84-00119 (S.D. Alabama)	11/28/84: One-count information filed in United States District Court for Southern District of Alabama charging violation of CERCLA, Sec. 103(c) for failure to notify of existence of hazardous waste facility. 12/11/84: Mills entered guilty plea, under Rule 20 of the Federal Rules of Criminal Procedure, in Middle District of Tennessee to two counts of violation of CERCLA, Sec. 103(c) in Tennessee and one count violation of CERCLA, Sec. 103(c) in Alabama. 01/29/85: Mills sentenced to 5 years probation, $30,000 fine and condition that full written account of actions and recommendations for compliance to avoid similar contraventions of environmental laws be submitted to professional journals in field.

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Defendant(s)/Docket No./Court	Facts and Status
Mario A. Segale M. A. Segale, Inc. William Bartholomew 85-267 (W.D. Washington)	Facts: Asphalt manufacturer operated new source and gases discharged in excess of performance standards with respect to opacity. Segale is company president and Bartholomew is division manager. 11/14/84: Information filed charging company, Segale and Bartholomew with four counts violation of Clean Air Act, Sec. 113(c)(1)(C) [42 USC § 7413(c)(1)(C)]; and regulations at 40 CFR § 60.92. 03/11/85: Court dismissed all charges against defendants.
Mario A. Segale M. A. Segale, Inc. William Bartholomew CR84-73T (W.D. Washington)	04/16/85: Information refiled charging same defendants with same four counts of violating opacity standards (see above citations). 09/10/85: Office of United States Attorney agreed to defense motion to dismiss all charges on ground that state inspector making opacity recordings had not been certified by EPA within 6 months preceding violation.
John Van Hoesen 84-00838 (N.D. Illinois)	Facts: 150,000 gallons of spent halogenated solvent handled without permit at chemical recycling facilities in Schiller Park and Des Plaines and false statements made to EPA claiming exemption from regulations. Van Hoesen is managerial employee and Suerth is president of QuVoe Chemical Industries, Inc. 11/15/84: Information filed charging Van Hoesen with one misdemeanor count for concealing records under RCRA, Sec. 3008(d)(4) [42 USC § 6928(d)(4)]. Separate indictment filed against Suerth charging 11 counts transportation of hazardous waste to unpermitted facility under RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; two counts treatment, storage, and disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)], and one count false statements [18 USC § 1001]. 11/23/84: Van Hoesen pled guilty to one RCRA count.
John Suerth 84-00840 (N.D. Illinois)	11/29/84: Superseding indictment filed charging Suerth with same substantive charges. 01/16/85: Van Hoesen sentenced to 3 years probation plus $4,000 fine and 300 hours community service. 03/25/85: Suerth pled guilty to superseding information filed on same date charging two counts concealment of required records under RCRA, Sec. 3008(d)(4). 05/24/85: Suerth sentenced to 9 months imprisonment plus 5 years probation and $25,000 fine.

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Defendant(s)/Docket No./Court	Facts and Status
Dale L. Knoedler Jim D. Newman Roger Swenson CR-84-0-59 (D. Nebraska)	Facts: Routine EPA inspection discovered facility lacked technical capability to analyze samples for fecal coliform (FC) parameters. Discharge Monitoring Reports stated "0" FC discharge; lab denied testing. Knoedler is sewage treatment technician, Newman is controller, and Swenson is plant manager of Dugdale of Nebraska, Inc. 11/19/84: Five-count information filed charging Knoedler and Newman with four counts submitting false statements under Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. Swenson charged with one count violation of permit conditions under Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. 12/04/84: Knoedler pled guilty to two counts violation of Clean Water Act, Sec. 309(c)(2) and sentenced to 1 year probation and $300 fine on each count plus court costs. 03/26/85: Five-count superseding information filed against Newman and Swenson charging Newman with four counts violating NPDES permit conditions and Swenson with one count of same violation, all under Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. 06/03/85: On scheduled trial date, all charges against Swenson and Newman dismissed on motion by Office of United States Attorney.
George Henry Garson 85-00001 (E.D. Pennsylvania)	Facts: Waste solvents introduced into POTW causing potential fire and explosion hazard. Garson was 71-year-old former president of Barrett Chemical Company. 01/03/85: One-count information filed charging defendant with willful and negligent discharge into a POTW, in violation of pretreatment standards under Clean Water Act, Secs. 307 and 309(c)(1) [33 USC §§ 1317 and 1319(c)(1)]. 01/05/85: Defendant pled guilty. 01/10/85: Defendant sentenced to $2,500 fine and 3 years probation, including 2 hours per week community service during first year.
Ross L. Talbott Ross M. Talbott 85-00012 (D. Colorado)	Facts: Azinphos methyl 50W, a restricted use pesticide, applied inconsistent with labeling to apple orchard and trailer park in New Castle. Failure to notify tenants and prevent re-entry for 48 hours, as required. Defendants were corporate principals of Talbott Enterprises, Inc. 01/11/85: One-count information filed charging both defendants with violation of FIFRA, Secs. 12 and 14 [7 USC §§ 136 l(b)(2) and 136j(a)(2)(G)].

Defendant(s)/Docket No./Court	Facts and Status
Ross L. Talbott, et al. (cont. from previous page)	02/25/85: Both defendants pled guilty to the count charged. 03/25/85: Ross L. Talbott sentenced to pay a $100 fine and Ross M. Talbott fined $50.
K. W. Thompson Tool Co., Inc. (aka Thompson Center Arms) Robert Gustafson Joseph Behre Emmett Ronayne 85-08 (D. New Hampshire)	Facts: Dumping of hazardous waste, including cyanide, barium, potassium nitrate, and sodium hydroxide into ditch emptying into Cocheco River and into ground for percolation. Casting wax containing PCBs improperly stored and no records maintained. Company is metal casting and firearms manufacturer in Rochester. Gustafson is president and Ronayne and Behre were vice presidents. 03/18/85: A 50-count indictment filed charging: Company and Ronayne - 41 counts discharge of pollutants without permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; three counts violating storage, marking and recordkeeping standards relating to PCBs under TSCA, Sec. 15 [15 USC § 2614]; one count treatment, storage, and disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; one count failure to notify of release of hazardous substance in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]; one count failure to notify of existence of hazardous waste disposal facility in violation of CERCLA, Sec. 103(c) [42 USC § 9603(c)]; one count false statements in response to industrial waste questionnaire [18 USC § 1001] and two counts conspiracy to violate Clean Water Act (misdemeanor) and RCRA (felony) Behre - Charged on all same counts except false statements Gustafson - 41 counts violation of Clean Water Act, Secs. 301 and 309(c)(1), and three counts violation of TSCA standards 06/24/85: Jury viewing of site conducted by Court. 06/25/85: Trial began. 07/01/85: The company entered guilty plea to 15 counts in violation of Clean Water Act, Secs. 301 and 309(c)(1), one count under CERCLA, 103(b) and one count under CERCLA, 103(c). 07/02/85: Company sentenced to $4,000 fine on each Clean Water Act count plus $7,500 on each CERCLA count for total of $75,000. Charges against individuals dismissed.

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Defendant(s)/Docket No./Court	Facts and Status
Robert Clements, Inc. 85-00069 (E.D. Virginia)	Facts: Failure to notify EPA of release of hazardous substance in excess of 1 pound from facility in Alexandria. 04/03/85: Company entered guilty plea to one-count information filed same day charging failure to report release of hazardous substance in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 04/26/85: Company sentenced to and paid $4,000 fine.
Venus Laboratories, Inc. 85-00238 (N.D. Illinois)	Facts: Liquid chemical wastes, including cleansers, drain cleaners, and other cleaning agents discharged into tributary of Des Plaines River without permit. Company allowed contaminated runoff to drain into river via ditch and was believed to have hidden pipe connecting plant drains to city's storm sewers. EPA personnel observed highly contaminated water entering river. 04/17/85: 22-count indictment filed charging company with negligent discharge of liquid chemical wastes into river without permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. 08/01/85: Company entered guilty plea to four counts violation of Clean Water Act. 09/17/85: Company sentenced to $2,500 fine on each count for $10,000 total.
CSI Technologies, Inc. 85-0325 (S.D. California)	Facts: Importation and distribution of specialized high voltage, high frequency capacitors containing PCBs from partner in Columbia under technical assistance contract. 04/16/85: Sealed, four-count information filed charging two counts of smuggling [18 USC § 545] and two misdemeanor counts under TSCA, Sec. 15, for illegal distribution in commerce of capacitors containing PCBs [15 USC § 2614]. 04/18/85: Company waived indictment and pled guilty to information unsealed on this date. Company sentenced to $30,000 fine and ordered to destroy all seized capacitors.
Richard Allen Taggert Keith Egan 85-60016 (D. Oregon)	Facts: False statements made to state of Oregon inspector concerning accumulation of hazardous wastes. Egan was plant manager and Taggert was plant superintendent of Evans Transportation Company. 04/24/85: One-count information charging both defendants with one count false statements under RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)].

Defendant(s)/Docket No./Court	Facts and Status
Richard Allen Taggert, et al. (cont. from previous page)	04/30/85: Egan pled guilty to aiding and abetting, and making false statements under RCRA. 05/07/85: Taggert pled guilty to making false statements under RCRA. 06/04/85: Egan and Taggert each sentenced to $2,500 fine.
Albert Mardikian Garo Mardikian 85-00509 (C.D. California)	Facts: Automobile import and emission testing facility in Orange County used mail fraud scheme to defraud EPA and Customs Service regarding importation of motor vehicles not conforming to Clean Air Act emissions standards and submittal of false test data to EPA from mid-1981 until 1984. Albert Mardikian was chief operations officer and Garo is Albert's nephew and plant manager. Agob was an employee of Albert Mardikian Engineering, Inc. 05/22/85: A 35-count felony indictment charging Albert Mardikian with 17 counts mail fraud [18 USC § 1341] and 18 counts false statements [18 USC § 1001]. Garo Mardikian was charged with 10 counts of mail fraud. 08/07/85: Trial began. 08/08/85: During presentation of government's case, Albert Mardikian entered guilty plea to nine counts false statements and one count mail fraud. Garo Mardikian entered guilty plea on same date to three counts mail fraud.
Sembat Agob 85-00782 (C.D. California)	08/12/85: One-count information filed charging Agob with one count false statements [18 USC § 1001]. Agob was an employee of Mardikian Engineering. 08/13/85: Agob waived indictment and pled guilty. 11/04/85: Agob sentenced to 5 years imprisonment (all but 20 days suspended and served on weekends), 5 years probation plus 2,500 hours community service. 11/25/85: Albert Mardikian sentenced to 5 years imprisonment (all but 6 months suspended), 5 years probation plus 2,080 hours community service consisting of at least 8 hours per week over 5-year period teaching under-privileged individuals how to improve job seeking skills; Albert also required to place no fewer than 20 individuals each year into jobs plus restitution to injured parties. Garo Mardikian sentenced to 5 years imprisonment (all but 30 days suspended and served on weekends), 5 years probation plus community service over 5-year period.

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Defendant(s)/Docket No./Court	Facts and Status
Eric Donald Roth, Sr. 85-00119 (E.D. Missouri)	Facts: Five cities paid chemical laboratory in Festus, Missouri to perform tests required by Clean Water Act permits. Laboratory owner submitted false Discharge Monitoring Reports to Missouri Department of Natural Resources. Statements of business associates indicated knowing violations. 05/23/85: A 10-count indictment filed charging Roth (dba Analyst Consulting Laboratories, Inc.) with three counts false statements [18 USC § 1001]; two counts mail fraud [18 USC § 1341]; and five misdemeanor counts of false statements under Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. 08/01/85: Roth pled guilty to one felony count of false statements and two misdemeanor counts of false statements. 09/06/85: Roth sentenced to 4 months imprisonment on each Clean Water Act count (terms to run concurrently). Sentencing on felony count suspended pending completion of 5 years probation and 200 hours community service.
Layton Brothers Drum Company, Inc. Willie Frank Hines 85-00174 (D. Colorado)	Facts: Storage and disposal of hazardous waste without permit, and transportation of hazardous waste via interstate highway I-70 through Eisenhower Tunnel without manifests. Oils and other liquid refuse matter channeled via earth trench into Ralston Creek without permit. Hines was company manager. 05/23/85: An 11-count indictment filed charging company with three counts disposal of hazardous waste without permit and four counts storage of hazardous waste without permit, all in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; one count false statements [18 USC § 1001]; one count violation of the Rivers and Harbors Appropriation Act of 1899 (Refuse Act), Sec. 13 [33 USC § 407]; and one count violation of the Hazardous Materials Transportation Act (HMTA) [49 USC § 1809]. Hines charged with one count false statements. 07/12/85: Superseding information filed against same defendants charging same counts. 09/12/85: Company entered guilty plea to one count violation of Refuse Act and one count violation of HMTA. Charges against Hines were dismissed. 10/24/85: Company sentenced to $10,000 fines on HMTA count and $2,000 fine for Refuse Act violation.

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Defendant(s)/Docket No./Court	Facts and Status
Frankel Manufacturing Company 85-224 (D. Colorado)	Facts: Storage of hazardous waste without permit in excess of 1,000 kilograms. 07/17/85: Company waived indictment and entered plea of guilty to information filed on same date charging one count violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]. 08/30/85: Company sentenced to $200,000 fine and 3 years probation. First $100,000 payable in two annual installments beginning 08/30/86. Remaining $100,000 suspended if terms of probation, including cleanup and $100 assessment to Crime Victim's Fund are met.
Fried Industries, Inc. 85-58 (D. New Jersey)	Facts: Manufacturer of cleaning agents and detergents stored and disposed of industrial chemicals containing methylene chloride, trichloromethane, phenanthrene, and phthalates without permit and without notification to EPA. 07/31/85: Company waived indictment and pled guilty to two-count information filed same date charging one count storage of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)] and one count failure to notify of release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]. Company sentenced on same date to $40,000 fine.
Peter J. Vileisis, Jr. Maurice Fabiani Waterbury House Wrecking Co., Inc. N-85-46 (D. Connecticut)	Facts: Building demolition at Old Pin Shop in Oakville without notice to EPA, without removing asbestos prior to demolition and without wetting asbestos material exposed during operation. Vileisis is president of company and Fabiani is owner of Old Pin Shop. 08/01/85: Five-count indictment filed charging company and Vileisis with three counts causing asbestos emissions in violation of Clean Air Act, Secs. 112(c)(1)(B), 112(e)(5), and 113(c)(1)(C), [42 USC §§ 7412(c)(1)(B), 7412(e)(5), and 7413(c)(1)(C)] and regulations at 40 CFR § 61.146, and Fabiani with two counts of same Clean Air Act violations. 11/04/85: Vileisis pled guilty to one count superseding information filed same date charging failure to remove asbestos prior to commencement of demolition in violation of Clean Air Act, Secs. 112(c)(1)(B), 112(e)(5), and 113(c)(1)(C) [42 USC §§ 7412(c)(1)(B), 7412(e)(5), and 7413(c)(1)(C)] and 40 CFR § 61.147. 11/05/85: Fabiani pled guilty to same charge in one-count superseding information filed this date.

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Defendant(s)/Docket No./Court	Facts and Status
Peter J. Vileisis, Jr., et al. (cont. from previous page)	12/19/85: Vileisis sentenced to 1 year suspended sentence, 5 years probation, $25,000 fine plus $25 penalty assessment, 1,000 hours community service and must attend, at own expense, seminars on disposal of asbestos. Fabiani sentenced to 1 year imprisonment (all but 30 days suspended), 5 years probation, $25,000 fine plus $25 penalty assessment and 1,000 hours community service. 12/23/85: Charges dismissed against company. 05/05/86: Old Pin Shop and Waterbury House Wrecking each placed on EPA's List of Violation Facilities under 40 CFR Part 15 resulting in ineligibility for EPA contracts, grants, and loans.
Richard M. Mims 85-252 (N.D. Texas)	Facts: Mims was former president of Brass Mill Co., a brass plating company, which directed employees to dump a dozen 55-gallon drums of toluene at L. B. Houston Nature Area. 08/20/85: One-count indictment filed charging defendant with disposal of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]. 08/28/85: Defendant pled guilty. 09/25/85: Defendant sentenced to 2 years probation and $2,500 fine payable either in lump sum or monthly installments.

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Defendant(s)/Docket No./Court	Facts and Status
Norbert Emel Gall Dennis Ray Alston Phillip Anthony Williams Brigitte Gertrud Laurus 85-236 (S.D. Texas)	Facts: Automobile broker and associates imported cars from Germany and deceived EPA into granting "5-year-old exemption" of nonconforming vehicles from Clean Air Act requirements. Freddie Fincher was principal stockholder and president of Fred Fincher Motors, Inc. and Donald Fincher was general manager and executive vice president. Alston was owner of D.R.A. Construction Company and Williams was Alston's employee. Laurus is a permanent resident alien of the U.S. and a citizen of Germany. 10/04/85: A 31-count indictment filed charging Gall, Alston, and Williams each with one count conspiracy [18 USC § 371]; 10 counts entering goods into country by means of false statements [18 USC § 542]; 10 counts smuggling [18 USC § 545]; and 10 counts false statements to EPA and Customs Service [18 USC § 1001]. Laurus charged with one count conspiracy.
Norbert Emel Gall Freddie Lee Fincher, Sr. Donald Lee Fincher Brigitte Gertrud Laurus 85-278 (S.D. Texas)	11/26/85: A 47-count indictment filed charging Freddie Fincher and Donald Fincher each with one count conspiracy [18 USC § 371]; 17 counts entering goods into country by means of false statements [18 USC § 542]; 12 counts smuggling [18 USC § 545], and 17 counts false statements to EPA and Customs Service [18 USC § 1001]. Laurus charged with one count conspiracy, one count false statements, and two counts smuggling. 12/04/85: Williams pled guilty to one count conspiracy [18 USC § 371] and three counts smuggling [18 U.S.C § 545]. 01/28/86: Superseding information filed charging Laurus with one count false statements under the Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. 02/06/86: Gall entered guilty plea under first indictment to one count conspiracy [18 USC § 371] and six counts entry of goods by way of false statements [18 USC § 542]. 02/14/86: Gall entered guilty plea under second indictment to one count conspiracy, four counts entering goods by means of false statements, and five counts smuggling. 03/25/86: Laurus pled guilty to one count under Clean Air Act, Sec 113(c)(2). 04/17/86: Freddie Fincher and Donald Fincher each pled guilty to one count entry of goods into country by false statements [18 USC § 545]. 05/27/86: Freddie Fincher and Donald Fincher each fined $10,000. 07/10/86: Laurus sentenced to 1 year probation.

Defendant(s)/Docket No./Court	Facts and Status
Norbert Emel Gall, et al. (cont. from previous page) Edward F. Wiatt H36-278 (S.D. Texas)	Facts: Wiatt was co-owner of Grand Prix Motors and imported foreign automobiles under a "5-year-old exemption" obtained from EPA. 10/10/86: Information filed charging Wiatt with 10 counts of submitting fraudulent documents to EPA in violation of Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. 10/14/86: Alston pled guilty to a single felony count of conspiracy to violate 18 USC §§ 542, 545, and 1001 and 10 misdemeanor counts under Sec. 113(c)(2) of the Clean Air Act for the knowing submission of false statements to EPA. 11/12/86: Wiatt pled guilty to a six-count criminal information charging him with submitting fraudulent documents to EPA in violation of the Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. 12/01/86: Alston sentenced to $24,000 fine, including restitution payments of approximately $3,800 and 6 months probation. Williams sentenced to 3 years probation and 80 hours of community service. 12/17/86: Wiatt sentenced to $1,500 fine and 150 hours of community service.
Douglas G. Hoflin 85-82 (W D. Washington)	Facts: Former public works director for the City of Ocean Shores, Washington buried 14 drums of old road striping paint at city's sewage treatment plant adjacent to National Wildlife Refuge in sandy soil next to ocean, and dumped 3,500 gallons of raw sewage into sandy depression, also next to ocean. 10/10/85: Three-count indictment filed charging defendant with one count conspiracy to violate RCRA [18 USC § 371], one count disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)], and one count burial of raw sewage in violation of sewage treatment plant permit under Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. 02/24/86: After 5 days of trial, jury returned guilty verdict. Defendant convicted of one RCRA count and one Clean Water Act count. 03/28/86: Defendant sentenced to 2 years probation and 200 hours community service.

Defendant(s)/Docket No./Court	Facts and Status
Georgia Bonded Fibers, Inc. 85-00078 William Garry Byles 85-00075 James C. Kostelni 85-00076 Daniel LaVietes 85-00077 (W D. Virginia)	Facts: Joint investigation by EPA, Defense Criminal Investigation Service, and Virginia Water Control Board. Repeated instances of bypassing wastewater treatment plant and discharging into Maury River, reporting fictitious laboratory results in Discharge Monitoring Reports (DMRs) and filing false statements pertaining to DOD contract specifications concerning military baseball-type cap visors made using company's Bondex fiber board. Kostelni was president, LaVietes was former vice president for research and development, and Byles was chief chemist. 10/17/85: Defendants pled guilty to following charges in four separate informations filed on this date: Byles - Pled guilty to one-count information charging false statements in DMRs in violation of Clean Water Act, Sec. 309(c)(2) [42 USC § 1319(c)(2)] and sentenced on same date to $500 fine. Kostelni - Pled guilty to three-count information charging two counts discharge of wastewater in violation of NPDES permit under Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)], and one count conversion of government property under DOD contract [18 USC § 641]. Kostelni sentenced on same date to fines of $10,000, $10,000 and $500, respectively, for total of $20,500 (all but $5,000 suspended). Company - Waived indictment and entered guilty plea to three-count information charging one count discharge of pollutants into river in violation of NPDES permit under Clean Water Act, Sec. 309(c)(1), one count false statements in DMRs in violation of Clean Water Act, Sec. 309(c)(2) and one count false statements in certification under DOD contract [18 USC § 1001]. Company sentenced on same date to fines of $25,000, $10,000, and $10,000 on each count for total of $45,000, $15,000 restitution to government as settlement of claim under False Claims Act, and directed to reimburse government for costs of investigation ($11,050 to EPA and $1,600 to DOD). LaVietes - Charged with one count making false statements in DMRs under Clean Water Act, Sec. 309(c)(2) and one count conversion of Government property [18 USC § 641]. 11/08/85: LaVietes pled guilty to all counts charged and sentenced to $1,500 fine (all but $500 suspended), plus unsupervised probation for 2 years.

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Defendant(s)/Docket No./Court	Facts and Status
Electric Service Company Laddie F. Snyder Ernest J. Mondron Franklin D. Baker Wallace D. Childers 85-0117 (S.D. Ohio)	Facts: Electric Service Co. was engaged in business as a refurbisher and disposer of PCB items, primarily transformers, signed administrative consent order with EPA Region V, requiring firm to bring its facility into compliance with PCB regulations. Snyder was company president, Mondron was sales manager, and both Baker and Childers were foremen. 10/17/85: Six-count indictment filed charging: Company, Snyder, and Mondron - Four counts violation of TSCA for improper storage and disposal of PCB-contaminated dirt, failure to properly mark storage area and failure to properly decontaminate equipment used to move dirt [15 USC § 2614], one count concealment from Agency of unauthorized storage of PCB-contaminated dirt [18 USC § 1001] and one count conspiracy to violate TSCA [18 USC § 371] Baker - Two counts violation of TSCA for improper disposal and improper storage and one count conspiracy to violate TSCA Childers - One count improper storage under TSCA and one count conspiracy 02/15/86: Jury returned guilty verdict against company, Snyder, Mondron, and Baker, and acquitted Childers. Company and Mondron each convicted on one count illegal PCB disposal, one count failure to mark PCB storage area in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]; one count concealment from EPA of unauthorized PCB storage [18 USC § 1001]; and one count conspiracy to defraud U.S. Government [18 USC § 371]. Snyder convicted of one count concealment of PCB storage [18 USC § 1001]; and one count conspiracy [18 USC § 371]. Baker convicted on one count illegal PCB disposal in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]; and one count conspiracy. 04/14/86: Company sentenced to 1 year probation on condition that it perform community service by sending letter to businesses using toxic substances and generating hazardous waste advising of the penalties of violating the law. Company also to pay $30,000 total fine. Mondron sentenced to 5 years imprisonment (sentence suspended) plus 1 year probation and 100 hours community service. Snyder sentenced to 2 years imprisonment (sentence suspended) plus 2 years probation and 100 hours community service. Baker received 1 year suspended sentence, 1 year probation, and 100 hours community service.

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Defendant(s)/Docket No./Court	Facts and Status
Alan M Franko 85-1146-M (E.D. Virginia)	Facts: Failure to notify proper U.S. Government Agency prior to removal of asbestos material while fulfilling Department of Navy contract awarded for removal and disposal of asbestos pipe insulation at Naval Observatory in Washington, D.C. Franko is former vice president and project manager of AMF Insulation Co. 10/31/85: Defendant pled guilty to one count information filed same date charging failure to report release of hazardous substance (asbestos) in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 12/02/85: Defendant sentenced to 30 days suspended sentence plus 6 months probation and $150 fine.
Charles J. Berlin 85-50057 (E.D. Michigan)	Facts: Burial of five underground storage tanks containing a poisonous corrosive (C-56) and other compounds, hundreds of barrels containing paints, acids, and cyanide, plus paint sludges dumped into covered earthen trench, all prior to enactment of RCRA at Superfund site in Swartz Creek, Michigan. Berlin is president and owner/ operator of Farro Liquid Incineration, Inc. 11/06/85: One-count indictment filed charging failure to notify of hazardous waste facility in violation of CERCLA, Sec. 103(c) [42 USC § 9603(c)]. 11/08/85: Superseding indictment filed charging same count. 01/16/86: Defense motion for directed verdict of acquittal granted on ground that Government failed to prove specific knowledge of defendant.
Executive Advertising, Inc. Thomas David LaViolette Roderick Warren Reiser 85-80795 (E.D. Michigan)	Facts: Approximately 100 drums containing waste inks and lacquers found in abandoned warehouse in Detroit. LaViolette was company vice president and part owner. 11/20/85: Three-count indictment filed against company, LaViolette, and Reiser charging one count transportation of hazardous waste to unpermitted facility under RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; one count treatment, storage, and disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and one count conspiracy to violate RCRA [18 USC § 371]. 01/23/86: Company, LaViolette, and Reiser each entered guilty plea to one count of knowingly transporting hazardous waste to unpermitted facility in violation of RCRA, Sec. 3008(d)(1). 04/25/86: Company sentenced to pay a $50,000 fine. Each individual sentenced to 2 years imprisonment (all but 60 days suspended), 3 years probation, 400 hours community service, and $15,000 fine.

Defendant(s)/Docket No./Court	Facts and Status
Norman F. Smith 85-00092 (M.D. Florida)	Facts: Companion case to Greer. Fraudulent invoices dated July 1982 mailed to Florida State Department of Environmental Regulation (DER). False reports prepared on laboratory chemical tests, which had never been performed, on papers bearing name of Environmental Testing Laboratory, Inc. of Chicopee, Massachusetts, which had never authorized use of its name. Lab tests to have been performed in connection with cleanup of one of Greer's illegal hazardous waste dumpsites in Sanford, DER had contracted with Greer and Greer had subcontracted to Smith. 11/21/85: Indictment filed charging three counts mail fraud [18 USC § 1341]. 01/08/86: Defendant entered guilty plea to one count mail fraud. 07/21/86: Defendant sentenced to $1,000 fine, 4 years probation, and restitution of $5,420.
Arthur J. Greer 85-00105 (M.D. Florida)	Facts: Proprietor and operator of four now defunct hazardous waste handling companies based in Orlando, endangered employees by directing them to test chemicals such as cyanide, 1,1,1-trichloroethane, toluene, methyl ethyl ketone, and xylene by sniffing samples or lighting them in soft drink cans, rather than by performing required chemical analysis. Also, dumping 1,000 gallons of waste, primarily 1,1,1-trichloroethane, and mislabeling drums of hazardous waste as dirt. 12/17/85: A 33-count indictment charging 23 counts mail fraud [18 USC § 1341]; one count disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count failure to notify of release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]; one count making false claim [18 USC § 287]; one count mislabeling hazardous waste under RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)]; and six counts knowing endangerment under RCRA, Sec. 3008(e) [42 USC § 6928(e)] 06/02/86: Judge granted directed verdict of acquittal on one count mail fraud and five counts knowing endangerment. 06/17/86: Jury returned guilty verdict on 13 counts mail fraud, one count false claim, one count failure to notify, one count mislabeling, and one count of illegal disposal. 08/05/86: Notice of appeal on directed verdict by government. 09/16/86: Defendant sentenced to 5 years imprisonment (all but 3 months suspended), 4 years and 9 months probation, 1,000 hours community service and $23,000 fine.

Defendant(s)/Docket No./Court	Facts and Status
Arthur J. Greer (cont. from previous page)	09/23/86: Defendant filed notice of appeal. 08/01/88: Two counts charged in the indictment were remanded and defendant Greer will be sentenced for one count of violating RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], and one count of violating CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. 11/23/88: Greer was sentenced to serve 1 year and 1 month imprisonment.
Ocean Reef Club, Inc. Russell A. Post Claude H. Gehman Mark Malka 85-909 (S.D. Florida)	Facts: Discharge of raw sewage and sewage effluent from December 15, 1982 to November 10, 1983 into the Atlantic Ocean by means of diverting valves or bypass lines installed in club's permitted sewage collection and treatment plant; also, falsification of Discharge Monitoring Reports to EPA. Post is company president and chief operating officer, Gehman is company vice president, and Malka is employee in utilities department. 12/05/85: 346-count indictment filed charging company, two officers, and employee as follows: Company, Gehman, and Malka - One misdemeanor count conspiracy to violate Clean Water Act [18 USC § 371], one felony count conspiracy to file false statements with and conceal material facts from EPA [18 USC § 371], 12 felony counts making false statements [18 US.C. § 1001], and 332 misdemeanor counts discharging pollutants without NPDES permit and violation of permit conditions in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)] Post - One count conspiracy to violate Clean Water Act and 332 counts discharging in violation of Clean Water Act, Secs. 301 and 309(c)(1) 07/31/86: Company pled guilty to 40 counts discharging pollutants in violation of NPDES permit conditions. Gehman and Malka each pled guilty to one count of same charge. Charges dismissed against Post. 10/22/86: Company sentenced to a $600,000 fine and will not be allowed to set aside any amount of that fine for environmental or other purpose. Gehman was fined $5,000 and Malka was fined $2,000 and each was placed on probation for 1 year.

Defendant(s)/Docket No./Court	Facts and Status
Peter Hedegard Eric Myers JANCO United, Inc. 85-00342 (W.D. Washington)	Facts: Manufacturer of industrial cleaning products in Seattle discharged pollutants including soaps, detergents, caustic alkali, acids, waxes, dyes, and solvent materials [including alcohol, butyl carbitol (also known as diethylene glycol monobutyl ether) xylene, and mineral spirits] for 10 days from manufacturing operations into hidden pipe connected to storm sewer leading into Duwamish River. Hedegard was company president and Myers was vice president. 12/09/85: Two-count information filed charging company with one count discharge of pollutants without permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. Hedegard and Myers each charged with one count violation of Clean Water Act, Secs. 301 and 309(c)(1) and one misdemeanor count conspiracy to violate Clean Water Act [18 USC § 371]. 12/11/85: All defendants pled guilty to all charges. 01/16/86: Company fined $100,000 (all but $30,000 suspended and allowance for dollar-for-dollar reduction for money spent on approved environmental or conservation programs) plus facility listed on EPA List of Violating Facilities pursuant to 40 CFR Part 15.20 and excluded from Federal Government contracts, grants, or loans. Hedegard and Myers each sentenced to 1 year imprisonment (all but 5 days suspended), 3 years probation, $25,000 fine (all but $5,000 suspended) and 300 hours community service.
Everett O. Harwell Eugene Roy Baggett 85-28 (N D. Georgia)	Facts: Harwell is president and Baggett is vice president for operations of Southeastern Waste Treatment, Inc., a waste treatment company which disposed of electroplating wastes without permit, filed false statements with Georgia Environmental Protection Division Defendants also transported hazardous wastes, failed to notify of their release, misrepresented that wastes had been incinerated and poured them into city sewage system. 12/10/85: 15-count indictment returned (sealed until defendants could be arrested on 12/11/85). Harwell and Baggett charged with one count conspiracy to violate RCRA [18 USC § 371]; four counts making false statements [18 USC § 1001]; one count treatment and disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count transportation of hazardous wastes to unpermitted facility under RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; and one count storage of hazardous waste without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. Harwell also charged with one additional transportation count and one additional storage count. Baggett also charged with two additional transportation counts, two additional disposal counts, and one count failure to notify of release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)].

Defendant(s)/Docket No./Court	Facts and Status
Everett O. Harwell Eugene Roy Baggett (cont. from previous page)	10/29/86: Defendants each pled guilty to one felony count of treating and disposing of hazardous (electroplating) wastes without a permit, in violation of Sec. 3008(d)(2) of RCRA. Harwell also pled guilty to one 18 USC § 1001 false statement felony count. Baggett also pled guilty to a RCRA unpermitted transportation of hazardous waste felony count in violation of 42 USC § 6928(d)(1). 10/15/87: Harwell sentenced to 3 years imprisonment and $20,000 fine. Baggett sentenced to 18 months imprisonment and $10,000 fine.
Eagle Picher Industries, Inc. 85-343 Richard Brown 86-005 (D. Colorado)	Facts: Nickel-cadmium battery manufacturer's environmental engineer (Brown) ordered burial of five drums of toxic wastes without permit. During removal of drums, contaminated sand spilled onto soil and remained unreported. 12/11/85: Company pled guilty to one-count information filed same date charging failure to notify of release of hazardous substance in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 01/09/86: Two-count indictment filed charging Brown with one count disposal of hazardous waste without permit under RCRA, Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], and one count of making a false statement to EPA [18 USC § 1001]. 01/29/86: Company sentenced to $3,500 fine. 03/31/86: Court dismissed false statement count against Brown and placed him in pretrial diversion program.
Charles Colbert Jack Colbert Signo Trading International, Ltd. SCI Equipment and Technology, Ltd. 85-1134 (S.D. New York)	Facts: Charles Colbert was company president and Jack Colbert was company vice president of companies engaged in the chemical trading business in Mount Vernon. The companies shipped recycled chlorinated solvent mixture containing only 1% of ordered chemicals to chemical import business in Zimbabwe in sophisticated, international "bait and switch" scheme. Case investigated by FBI and Agency for International Development. 12/12/85: A 32-count indictment filed charging Jack Colbert, Charles Colbert, and both companies with one count conspiracy, 15 counts wire fraud [18 USC § 1343]; six counts mail fraud [18 USC § 1341]; six counts false statements [18 USC § 1001]; one count false claims [18 USC § 287]; and two counts transportation of false securities [18 USC § 2314]. Charles Colbert and Jack Colbert also charged with one count obstruction of justice [18 USC § 1503].

Defendant(s)/Docket No./Court	Facts and Status
Charles Colbert, et al. (cont. from previous page)	05/08/86: A 30-count superseding indictment filed charging Charles and Jack Colbert with one count conspiracy, 15 counts wire fraud, six counts mail fraud, six counts false statements, one count making false claims, and one count obstruction of justice. Both companies charged with same counts except for obstruction of justice. 06/02/86: Trial began. 06/09/86: After 6 days of trial, jury verdicts of guilty returned against Charles and Jack Colbert (27 felony counts each) and against Signo Trading and SCI (26 felony counts each). 07/28/86: Both Colberts sentenced to total 13 years imprisonment each (to run consecutively with other state or federal sentences), $1,350 in costs under Comprehensive Crime Control Act and $66,000 restitution. Jack Colbert also fined additional $50,000 on conspiracy count. Each company fined $250,000 and $1,300 in costs.
ABC Compounding Company, Inc. William S. Armistead Vincent Armistead 85-484 (N.D. Georgia)	Facts: Company engaged in business of selling, blending, mixing, and packaging chemicals for use as domestic and industrial cleaners, solvents, aerosols, and pesticides. William Armistead was vice president and part owner and Vincent Armistead, his son, was plant supervisor. 12/18/85: Company waived indictment and entered guilty plea to three-count information filed same date charging one count conspiracy to violate RCRA [18 USC § 371]; one count disposal of hazardous waste without permit under RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and one count failure to notify of release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)]. William Armistead and Vincent Armistead each charged with one count conspiracy and one count disposal of hazardous waste without RCRA permit and each pled guilty to RCRA count. 01/30/86: Company sentenced to fine totalling $40,000. William Armistead and Vincent Armistead each received suspended term of imprisonment and 1 year probation, $20,000 fine and ordered to complete cleanup of Jim's Old Plant in northwest Atlanta.
Rocky Mountain Materials and Excavating, Inc. 85-350 (D. Colorado)	Facts: Soil contaminated with pentachlorophenol discharged onto banks and into flood plain of Fountain Creek. 12/23/85: Company entered guilty plea to information filed on same date charging one count discharge of pollutants without an NPDES permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)].

Defendant(s)/Docket No./Court	Facts and Status
Rocky Mountain Materials and Excavating, Inc. (cont. from previous page)	02/13/86: Company sentenced to $10,000 fine plus $100 assessment to Crime Victim's Fund. 05/05/86: Company listed on EPA's List of Violating Facilities as a result of violating the Clean Water Act. Pursuant to 40 CFR Part 15, facility may not be used in any new, renewed, or extended federal contract, subcontract, grant, loan, subgrant, or subloan.
Neville Chemical Company 86-88 (C.D. California)	Facts: Chemical company generated hazardous wastes during manufacture of chlorinated paraffins. Indictment charges company with burial of spent ortho-dichlorobenzene (ODCB) and ODCB still-bottoms in pit on plant grounds in 1981. 01/29/86: One-count indictment returned against company charging knowing disposal of hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC §6928(d)(2)(A)]. 09/30/86: One week trial began. 10/07/86: Defendant found guilty as charged. 11/24/86: Defendant sentenced to pay $50,000 fine ($10,000 suspended if paid by January 15, 1987) and placed on probation for 3 years.
Robert K. Blackstone 86-00012 Wendall Wayne Johnson 86-00013 (W D. Washington)	Facts: Operator of auto repair facility in Federal Way (Blackstone) and independent scrap metal dealer (Johnson) disposed of eight barrels containing paint waste and lacquer thinner on vacant lot in Puyallup. 02/12/86: Five-count indictment filed charging Blackstone with one count conspiracy to violate RCRA [18 USC § 371]; two counts knowing transportation of hazardous waste without manifest in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)]; and two counts knowing illegal disposal of hazardous wastes under RCRA, Sec. 3008(d)(2)(A), [42 USC § 6928(d)(2)(A)]. Separate indictment filed same date charging Johnson with same counts. 04/04/86: Johnson pled guilty to one count disposal without permit under RCRA, Sec. 3008(d)(2)(A). 04/25/86: Blackstone pled guilty to one count disposal without permit under RCRA, Sec. 3008(d)(2)(A). 05/16/85: Johnson sentenced to 3 years imprisonment (all but 6 months suspended), $500 fine, 3 years probation, including condition that he participate in alcohol treatment program, and $50 mandatory penalty assessment to Crime Victim's Fund.

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Defendant(s)/Docket No./Court	Facts and Status
Robert K. Blackstone Wendall Wayne Johnson (cont. from previous page)	06/05/86: Blackstone sentenced to imprisonment for term of 1 year and 1 day, $5,000 fine, and $7,100 restitution to Washington State Department of Ecology plus $50 mandatory penalty assessment to Crime Victim's Fund.
Frontier Fertilizer Company B&R Company of Davis Dennis Kidwell 86-057 (E.D. California)	Facts: Rinsates from holding tanks and chemical applicators dumped into unlined pits by agricultural chemical distributor (B&R), its successor company (Frontier) and manager of both operations (Kidwell). 11/14/85: Indictment lodged with clerk of the court. 03/19/86: Upon waiver of indictment, defendants pled guilty to five-count information filed on same date charging B&R with two counts storage and disposal of hazardous waste at unpermitted facility in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] for its 1981 and 1982 disposal practices. Frontier pled guilty to same charges for its 1983 and 1984 disposal practices, and Kidwell to one count same charge. Two companies each sentenced to $75,000 fine plus cleanup of site. 06/11/86: Kidwell sentenced to 2 years imprisonment (all but 180 days suspended), 5 years probation and $10,000 fine to be paid from personal funds.
George Timothy Mercier Timothy Scott McLeod 86-00111 (S.D. Texas)	Facts: Amount of lead used by Gulf States Oil and Refining Company was understated in 1984 quarterly reports required by "lead phasedown" regulations promulgated under Clean Air Act, Sec. 211. Mercier is former head of company's fuel-blending operation and McLeod was chemist. 04/11/86: Five-count indictment filed charging Mercier and McLeod each with one count conspiracy [18 USC § 371] and four counts submitting false statements to federal agency [18 USC § 1001]. 08/28/86: Two-count superseding information filed against McLeod charging one count conspiracy and one count submitting false statements under Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. 08/29/86: McLeod pled guilty to both counts in superseding information. 10/28/86: McLeod sentenced to a $6,000 fine and 1 year probation.

Defendant(s)/Docket No./Court	Facts and Status
George Timothy Mercier Timothy Scott McLeod (cont. from previous page)	07/21/87: Five-count superceding information filed charging Mercier with one count conspiracy [18 USC § 371] and four counts making false statements under Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. Mercier pled guilty to each count in superceding information. Mercier was fined $15,000 and sentenced to 35 days imprisonment on each of five counts with terms to run concurrently on each count.
Sam Jenkins, Jr. Sea Port Bark Supply, Inc. 86-31 (W.D. Washington)	Facts: Liquid waste from bark processing discharged into Hylebas Waterway. Jenkins is owner of company. 04/15/86: Information filed charging company and Jenkins each with three counts discharging pollutants into navigable water without NPDES permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 06/11/86: Company and Jenkins each entered guilty pleas to one Clean Water Act count. 08/29/86: Company sentenced to $2,500 fine ($1,500 suspended) which includes $100 mandatory penalty assessment to Crime Victim's Fund and 1 year probation. Jenkins sentenced to $2,500 fine ($2,250 suspended) which included $25 mandatory penalty assessment to Crime Victim's Fund, plus 1 year probation.
Sam Jenkins, Jr. Sea Port Bark Supply, Inc. CR-88-56TB (W.D. Washington)	Facts: A Tacoma bark-processing company and its president, Sam Jenkins, Jr., both previously convicted of CWA violations, were indicted a second time with similar charges. 05/26/88: The company and Jenkins were charged in an 11-count indictment charging each with: Seven counts of negligent discharge of pollutants into navigable water of the U.S. without having obtained a permit, in violation of CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. Four counts of knowing discharge of pollutants into a navigable water of the U.S. in violation of CWA, Secs. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)]. 12/16/88: The company and Jenkins each pled guilty to one count of negligent discharge of pollutants into waters of the U.S., in violation of 33 USC§§ 1311(a) and 1319(c)(1). The company and Sam Jenkins, Jr. were each sentenced to pay $1,000 fines.

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Defendant(s)/Docket No./Court	Facts and Status
Keebler Corporation 86-104 (D. Colorado)	Facts: Methylene chloride and tetrachloroethylene released without notice. 04/15/86: Company entered guilty plea to information filed same date charging one count failure to notify of release of hazardous substance in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 05/30/86: Company sentenced to $5,000 fine ($4,000 suspended) and 6 months probation during which time cleanup is required, plus $100 to Crime Victim's Fund. State of Colorado collected $22,500 civil penalty and issued administrative order for cleanup.
Darrel A. Duisen George W. Shearer Glen D. Faulks Rogelio A. Marin-Sagristo U S. Technology and Disposal Service, Inc. Tratamientos Petroquimilos Mexicanos, S.A. de C.V. Guillermo Marin-Orosco 86-0401 (S.D. California)	Facts: Hazardous waste disposal corporation in Beiate, California (U.S. Technology) designated as exclusive representative of Mexican corporation established to operate Rancho Ramo recovery and recycling facility (Tratamientos). Charges resulted from export of hazardous waste for disposal in Mexico. Duisen was owner and corporate officer of Tratamientos and chief executive officer of U.S. Technology. Shearer was president, chairman of the board, and principal owner of U.S. Technology, and Faulks was company vice president. Marin-Sagristo was manager of Tratamientos. 04/18/86: Each defendant charged by indictment (unsealed 04/22/86) with one count conspiracy [18 USC § 371]; seven counts mail fraud [18 USC § 1341]; and 33 counts utilizing false documents [18 USC § 1001] resulting from export of hazardous waste for disposal in Mexico. 08/14/86: Guillermo Marin-Orosco was added to the indictment for the same charges. He remains a fugitive. 10/28/86: Marin-Sagristo pled guilty to one count of conspiracy. 11/01/86: Trial begins. 11/19/86: Shearer and Duisen pled guilty to two counts making false statements and Faulks pled guilty to one count of making false statements. 03/87: Shearer was sentenced to pay a $24,000 fine, serve 120 days of a 4-year term of imprisonment, and provide 400 hours of community service and placed on probation for 5 years. Duisen was sentenced to pay a $24,000 fine, serve 120 days of a 3-year term of imprisonment, and provide 400 hours of community service and placed on probation for 5 years. Faulks was sentenced to pay a $12,000 fine and placed on probation for 5 years. Marin-Sagristo was sentenced to pay a $5,000 fine and placed on probation for 5 years.

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Defendant(s)/Docket No./Court	Facts and Status
Arneson Timber Company, Inc 86-00097 (E.D. Missouri)	Facts: Contents of tank containing pentachlorophenol dumped into catch basin at steel mill facility in Steelville. 04/21/86: Information filed charging company with one count failure to notify of release of hazardous substance in violation of CERCLA, Sec 103(b) [42 USC § 9603(b)]. 04/29/86: Company pled guilty and sentenced to $7,500 fine ($3,500 suspended) plus $25 special assessment, and 2 years probation.
John Michael Nelson 86-122 (D. Colorado)	Facts: Abandonment of spent solvents and paint sludges at self-storage facility in Broomfield, Colorado. 04/25/86: Indictment filed charging defendant with two counts knowing storage and disposal of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 09/29/86: Jury trial began. 10/02/86: After 4 days of trial, defendant acquitted on both counts.
Will & Baumer, Inc. 86-94 Howard J. Nybo 86-91 Frederick C. Kiesinger 86-92 Duane Simmons 86-93 (N.D. New York)	Facts: Discharge of pollutants, including phosphoric acid, arsenic, lead, chromium, phosphorus, nickel, and manganese, through ditch or stream into Ley Creek without notice to National Response Center. Company is candle making factory near Onondaga Lake. Nybo is executive vice president, Kiesinger is vice president in charge of manufacturing, and Simmons is plant maintenance engineer. 05/02/86: Each defendant charged under separate indictment, all filed on same date, as follows: Nybo - Two counts discharging pollutants without permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)] and two counts failure to report release of hazardous substance under CERCLA, Sec. 103(b) [42 USC § 9603(b)] Kiesinger - Two counts discharge without permit under Clean Water Act, Secs. 301(a) and 309(c)(1) and one count failure to notify under CERCLA, Sec. 103(b) Simmons - One count under Clean Water Act, Secs. 301(a) and 309(c)(1)

Defendant(s)/Docket No./Court	Facts and Status
Will & Baumer, Inc., et al. (cont. from previous page)	Company - Two counts under Clean Water Act, Secs. 301(a) and 309(c)(1), one count under CERCLA, Sec. 103(b); one count storage of hazardous waste without permit under RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; one count disposal of hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)] and one count conspiracy [18 USC § 371]. Defendants each pled guilty to all charges. 06/10/86: Defendants sentenced as follows: Nybo - One year imprisonment (execution of sentence suspended), 2 years probation, $24,000 total fine, and 400 hours community service Kiesinger - 3 months imprisonment (execution of sentence suspended), 2 years probation, $12,000 total fine, and 200 hours community service Simmons - 3 months imprisonment (execution of sentence suspended), 2 years probation, $5,000 fine plus $25 special assessment, and 100 hours community service Company - $360,000 total fine ($300,000 stayed) plus $225 special assessment, 3 years probation with condition that company commit no violation of environmental laws
Nabisco Brands, Inc. 86-00041 Willard L. Kaser 86-00040 William Parks 86-00042 (W.D. Washington)	Facts: Discharge of untreated industrial waste from company's yeast operations in Sumner into Stuck River (White River). Kaser is plant manager and Parks is plant production supervisor. 05/08/86: Two-count information filed charging company with willfully and negligently discharging pollutants without permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. One-count information filed charging Parks with conspiracy to discharge pollutants without permit [18 USC § 371]. Indictment returned charging Kaser with one count conspiracy, seven counts mail fraud [18 USC § 1341]; one count submitting false statements [18 USC § 1001]; and 68 counts discharging pollutants without permit under Clean Water Act, Secs. 301 and 309(c)(1). 05/14/86: Company and Parks entered guilty pleas to all counts charged. 06/25/86: Kaser entered guilty pleas to one count conspiracy and one count mail fraud.

Defendant(s)/Docket No./Court	Facts and Status
Nabisco Brands, Inc. (cont. from previous page)	06/27/86: Company sentenced to $450,000 fine (execution of $150,000 suspended), and directed to establish Environmental Trust Fund in amount of $150,000 for enhancement of fish and game fish resources and hatcheries in Stuck, White, and Puyallup Rivers plus $200 penalty assessment for Crime Victim's Fund, and 3 years probation. 07/18/86: Parks sentenced to $2,500 fine and 3 years probation plus 250 hours community service. 09/12/86: Kaser sentenced to $5,000 fine and 1-year and 1-day term of imprisonment of which he must serve at least 9 months and 18 days plus $75 assessment to Crime Victim's Fund. 12/86: Fleischman's Yeast, Inc. added to the "Consolidated List of Debarred, Suspended, and Ineligible Contractors" for an indefinite period.
Bruce Norman Barker Washington Boat Center, Inc. 86-00127 (W.D. Washington)	Facts: Dredged spoils discharged into Lake Washington Ship Canal, and Army Corps of Engineers investigator falsely informed that large dredging pump was used not to dredge but for removal of silt cloud. Barker is chief operations officer. 05/08/86: A 57-count indictment filed charging Barker and company each with one count false statements [18 USC § 1001] and 56 counts willfully and negligently discharging pollutants without permit in violation of Clean Water Act, Secs. 301(a), 309(c)(1) and 404 [33 USC §§ 1311(a), 1319(c)(1) and 1344]. 08/01/86: Defendant's motion to dismiss false statement count granted based on "Exculpatory No" doctrine. 08/04/86: Trial begins. 08/07/86: After 4 days of trial, court ordered judgment of acquittal of all remaining counts for each defendant.
Bruce Norman Barker Washington Boat Center, Inc. CR88-90PKS (W.D. Washington)	03/29/88: A 33-count information charging Barker and company each with being accessories [18 USC §§ 2 and 3628] to 33 counts involving excavation and dredging of sediments and debris from under and around a pier at the Washington Boat Center Marina, without having obtained a permit from the U.S. Army Corps of Engineers, in violation of Rivers and Harbors Appropriation Act of 1899 (Refuse Act), Secs. 10 and 12 [33 USC §§ 403 and 406]. 07/21/88: A Motion to Dismiss all charges was granted.

Defendant(s)/Docket No./Court	Facts and Status
Marine Power and Equipment, Inc. Richard C. Woeck Peter F Woeck II Lloyd Anderson Frank Brady 86-128 (W D Washington)	Facts: Marine Power and Equipment, Inc. was engaged in ship building operations. Discharge of sand blast waste laden with toxic heavy metals including lead, arsenic, and copper into Lake Union and Duwamish River. R. Woeck is president, P. Woeck is vice president and superintendent of new construction, Anderson is vice president, and Brady is ship repair superintendent. 05/08/86: A 115-count indictment returned charging: R. Woeck - One count conspiracy [18 USC § 371], 113 counts discharging pollutants without permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)] and one count obstructing navigable waterway in violation of Section 10 of Rivers and Harbors Appropriations Act of 1899, Secs. 10 and 12 [33 USC §§ 403 and 406] P. Woeck and Anderson - One count conspiracy and 113 counts discharging pollutants without permit Brady - One count conspiracy and 81 counts discharging pollutants without permit Company - 113 counts discharging pollutants without permit and one count obstructing navigable waterway 12/01/86: Corporation, Peter and Richard Woeck, and Anderson each pled guilty to two-count information filed that date charging negligent discharge of pollutants in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. Charges against Brady dismissed. 04/10/87: Marine Power was sentenced to pay a $200,000 fine and placed on probation for 3 years. Richard Woeck was sentenced to pay a $4,000 fine, serve 5 days of a 1-year term of imprisonment and provide 300 hours of community service. Peter Woeck was sentenced to pay a $3,000 fine, serve 5 days of a 1-year term of imprisonment and provide 300 hours of community service and placed on probation for 3 years. Anderson was sentenced to pay a $1,000 fine and placed on probation for 3 years.
Sea Gleaner Marine, Inc. Paul D. Schwitters 86-00129 (W.D. Washington)	Facts: Spent abrasive blasting grit and paint particles discharged into Lake Union Schwitters is chief operating officer. 05/08/86: Information filed charging Schwitters and company each with four counts discharging pollutants without permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)].

Defendant(s)/Docket No./Court	Facts and Status
Sea Gleaner Marine, Inc. (cont from previous page)	08/14/86: Jury returned guilty verdicts on three counts against each defendant. 10/06/86: Corporation sentenced to a $60,000 fine (all but $30,000 suspended) and 5 years probation plus $300 penalty assessment to Crime Victim's Fund. Schwitters sentenced to $15,000 fine (all but $5,000 suspended) and placed on probation for 1 year plus $75 penalty assessment to Crime Victim's Fund.
Robert E. Derecktor of Rhode Island, Inc. 151 East Post Road Corporation, Inc. Robert E. Derecktor 86-022 (D. Rhode Island)	Facts: Robert E. Derecktor is president of Derecktor, Inc., operator of shipyard for building and repairing vessels located on Coddington Grove in Middletown. Post Road Corporation owns farm in Portsmouth occupied by Robert E. Derecktor. Transformers from shipyard leaking PCBs found buried at farm. 05/20/86: A 46-count indictment returned charging each defendant as follows: Derecktor, Inc. - Six counts for PCB violations under TSCA, Secs. 15(1)(c) and 16(b) [15 USC §§ 2614(1)(c) and 2615(b)]; two counts failing to report release of PCB and asbestos in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]; 29 counts discharging pollutants from drydock without permit in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]; two counts storage and disposal of hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; four counts violating NESHAPS work practice standards for asbestos removal in violation of Clean Air Act, Secs. 112(c)(1)(B), 112(e)(5), and 113(c)(1)(C) [42 USC §§ 7412(c)(1)(B), 7412(e)(5), and 7413(c)(1)(C)]; and one count violation of Hazardous Materials Transportation Act (HMTA) [49 USC § 1809(b)] Derecktor - Seven counts PCB violations under TSCA, one count under HMTA, one count failing to report release of hazardous substance (PCBs) under CERCLA, one count conspiracy [18 USC § 371], and 29 counts discharging pollutants without permit East Post Road Corporation - Two counts PCB violations and one count failure to report release of hazardous substance (PCBs) 12/29/86: Derecktor, Inc. was sentenced to $600,000 fine on its plea of guilty to 24 counts of violating the Clean Water Act and one count each of violating the Clean Air Act and CERCLA. Suspension of $200,000 depends upon restitution of that amount to the Hazardous Waste Emergency Response Fund. Robert Derecktor was sentenced to $75,000 fine on his plea of guilty to violating three counts of TSCA and to 5 years of probation for an additional count of violating TSCA.

Defendant(s)/Docket No./Court	Facts and Status
Custom Engineering, Inc. Billy Closson Roger Scoggins John Sidwell 86-00513 (C.D. California)	Facts: Submission of testing results and supporting documentation to EPA by automobile emissions testing ("gray market") laboratory in Garden Grove stating that certain nonconforming vehicles were tested under required federal testing procedures and met applicable emission standards. Closson is president, Scoggins is manager, and Sidwell works as technical director. 06/10/86: A 36-count indictment returned charging each defendant as follows: Company and Closson - 18 counts mail fraud [18 USC § 1341] and 15 counts making false statements [18 USC § 1001] for alleged submission of false testing results Scoggins - 18 counts mail fraud and 11 counts false statements Sidwell - Four counts mail fraud and four counts false statements, plus three counts perjury [18 USC § 1623] for making false declarations to the grand jury 12/10/86: Custom Engineering and Closson each pled guilty to five counts of making false statements to the federal government and four counts of mail fraud. Scoggins pled guilty to two counts of mail fraud. Sidwell pled guilty to two false statement counts. 03/31/87: Scoggins and Sidwell each placed on 5 years probation. 05/04/87: Custom Engineering was placed on 5 years probation, and Closson was sentenced to 6 months imprisonment and 5 years probation.
Paul Chavez 088-362 (C.D. California)	04/25/88: Two-count information charged defendant, Paul Chavez, with two counts of making false statements (in conjunction with the above case) in violation of CAA, Sec. 113(c)(2) [42 USC § 7413(c)(2)]. 05/09/88: Chavez pled guilty to both counts charged in the information. 06/03/88: Chavez was sentenced to 2 years probation and 200 hours community service.
Jay Hamburg 86-174 (D. Colorado)	Facts: Transportation of used paint solvents and paint waste including acetone, methyl ethyl ketone, toluene, xylene, and N-butyl acetate to Denver facility. Hamburg is president of Metro Shop Supplies and Moser is vice president.

Defendant(s)/Docket No./Court	Facts and Status
Jay Hamburg (cont. from previous page)	06/17/86: Five-count indictment returned charging both defendants with one count treating hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; two counts storing hazardous wastes without permit in violation of RCRA, Sec. 3008(d)(2)(A); one count disposing hazardous waste without permit in violation of RCRA, Sec. 3008(d)(2)(A) and one count making false statements to EPA [18 USC § 1001].
Neil Moser 86-199 (D. Colorado)	07/22/86: Information filed charging Moser with one count failure to report release of hazardous substances under CERCLA, Sec 103(b) [42 USC § 9603(b)]. 07/31/86: Moser pled guilty to information. 09/10/86: Moser sentenced to a $500 fine, 2 years of probation, a $25 assessment for the Crime Victim's Fund, and ordered to perform 200 hours of community service. 10/30/86: Hamburg pled guilty to the two-count information charging him with violation of CERCLA reporting requirements [42 USC § 9603(b)(3)] which had been filed the previous day. 12/12/86: Hamburg sentenced to $2,000 fine, 2 years of probation, and 120 hours of community service. He must also attend a course on hazardous waste.
Hope Resource Recovery, Inc. 86-00458 (E.D. New York)	Air contamination source operated by refuse incineration company in Long Island City, Queens without certification required under State Implementation Plan (SIP) regulations. 07/02/86: Information filed charging company with seven counts violating Clean Air Act, Sec. 113(c)(1)(a)(ii) [42 USC § 7413 (c)(1)(a)(ii)]. 09/10/86: Corporation sentenced to a $10,000 fine.
Arnold N. Graham 86-00137 (W.D. Missouri)	Facts: Employee of TWA in charge of wastewater treatment plant at overhaul base in Kansas City received illegal kickback payments from chemical supplier for plant and falsified Discharge Monitoring Reports (DMRs) submitted to Missouri Department of Natural Resources. 07/30/86: Graham pled guilty to two-count information filed same date charging one count conspiracy [18 USC § 371] and one count false statements to EPA in violation of Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)].

Defendant(s)/Docket No /Court	Facts and Status
Arnold N. Graham (cont. from previous page)	09/18/86: Defendant sentenced to 3 years and 6 months imprisonment (all but 6 months suspended), $10,000 fine on conspiracy charge with additional 6 months of imprisonment, $10,000 fine on false reports charge, sentences to run consecutively, plus 5 years probation, 200 hours community service, and $21,075 restitution to TWA.
Cambridge Mill Products, Inc. Charles LeBeau, Jr. 85-5089L (N.D. Ohio)	Facts: Inadvertent excavation of barrels at Keffler and Rose mine site revealed truckloads of drummed wastes containing PCBs were dumped there. LeBeau is president of Cambridge Mill Products, a corporation manufacturing high vacuum pump fluid and reclaiming investment casting wax. 09/03/86: Information filed charging each defendant with two counts disposal of PCBs in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]. Defendants each pled guilty to one count. 11/19/86: Company and LeBeau each sentenced to joint and several liability for $25,000 fine, cleanup costs of $23,000, and LeBeau sentenced to 90 days probation.
Ralph Burgholzer Lavell McIntyre 86-00261 (D. Arizona)	Facts: Raw sewage discharged into Colorado River through POTW owned by Colorado River Sewage System Joint Venture (JV). McIntyre is JV chairman of the board and Burgholzer is plant operator. 09/17/86: Four-count indictment charged each defendant with discharging pollutants into navigable waters without a permit in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 03/17/87: Superseding indictment filed charging Burgholzer and McIntyre with 15 counts unpermitted discharges in violation of Clean Water Act and Burgholzer with one count making false statement in violation of 18 USC § 1001. 07/21/87: Both defendants pled guilty to three counts of unpermitted discharges in violation of Clean Water Act, Sec. 309(c)(1) [33 USC § 1319(c)(1)]. 08/31/87: Burgholzer was fined $7,500 and a $75 special assessment. McIntyre was fined $7,500 and must resign from post as chairman of Joint Venture Sewer System.

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Defendant(s)/Docket No /Court	Facts and Status
Irwin Pearlman Salvatore C. Williams 86-246 (W.D. Pennsylvania)	Facts: Williams, owner of former school building in residential area of Pittsburgh, and Pearlman, the contractor who removed boiler and heating system, failed to comply with NESHAP work practice standards for asbestos. Violations include failure to give notice of intention to demolish or renovate and failure to wet down material and comply with other removal procedures for asbestos. Violations occurred after EPA Region III issued administrative order directing defendants to cease asbestos removal work at site and respond to requests for information regarding these activities. 10/31/86: Indictment filed charging each defendant with two counts failure to comply with NESHAP standards in violation of Clean Air Act, Secs. 112(c) and 113(c)(1)(C) [42 USC §§ 7412(c) and 7413 (c)(1)(C)]; and one count failure to comply with EPA administrative order in violation of Clean Air Act, Sec. 113(c)(1)(B) [42 USC § 7413(c)(1)(B)]. 12/30/86: Defendants pled guilty to two counts of violating NESHAP standards and each sentenced to $1,000 fine.
USM Corporation 86-365F (D. Massachusetts)	Facts: J. C. Rhodes Division of USM Corporation failed to submit reports on wastes discharged to local POTW from electroplating operations of its New Bedford plant for the production of shoe components. 11/10/86: A 41-count indictment filed charging corporation with 32 counts failure to comply with pretreatment standards in violation of Clean Water Act, Secs. 307 and 309(c)(1) [33 USC §§ 1317 and 1319(c)(1)]; five counts willful and negligent discharge of wastewater into POTW in violation of pretreatment standards for acidity, in violation of Clean Water Act, Secs. 307 and 309(c)(1); and four counts of willful and negligent failure to submit required compliance reports in violation of Clean Water Act, Secs. 307, 308, and 309(c)(1) [33 USC §§ 1317, 1318 and 1319(c)(1)]. 12/30/86: Corporation pled guilty to all 41 counts and sentenced to fine of $1,025,000 with $225,000 suspended if company completes construction of wastewater treatment system by 10/03/87.
Daniel Lee Turner 86-332-1 (E.D. Washington)	Facts: In May 1986, waste "restricted-use" pesticides, including parathion, lindane, strychnine, endrin, dieldrin, and 2,4,5-T, were dumped along and into the Okanogan River near Malott, Washington. Turner is a certified pesticide applicator.

Defendant(s)/Docket No./Court	Facts and Status
Daniel Lee Turner (cont. from previous page)	11/17/86: Eight-count indictment filed charging defendant with six counts disposal of hazardous waste without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count knowing endangerment by disposing of hazardous wastes with the knowledge that it placed another person in imminent danger of death or serious bodily injury in violation of RCRA, Sec. 3008(e) [42 USC § 6928(e)]; and one count failure to notify of the release of a hazardous substance into the environment in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 03/27/87: The jury returned a verdict of acquittal to all eight counts charged against Turner.
Jack E. White Brownie C. McBride Jerry L. Findley Henry R. White 86-20015 (W.D. Arkansas)	Facts: The Food and Drug Administration discovered contamination of dairy products with heptachlor, a carcinogenic pesticide. J.E.W., Inc. and White Flame Fuels, Inc. manufactured fuel grade alcohol and produced animal feed by blending spent distillers mash with other grain and grain by-products. Valley Feeds, Inc. sold that animal feed to livestock growers in Arkansas, Oklahoma, and Missouri. Jack E. White is principal officer, stockholder, and manager of White Flame Fuels, Inc., J.E.W., Inc., and Valley Feeds, Inc.; Brownie C. McBride is plant superintendent employed by White Flame Fuels, Inc., J.E.W., Inc. and Valley Feeds, Inc.; Jerry L. Findley is former president of Valley Feeds, Inc. and operations manager of J.E.W., Inc.; and Henry R. White is a corporate officer of Valley Feeds, Inc. 11/21/86: A 52-count indictment resulted from use of seed treated with aldrin, captan, dieldrin, heptachlor, or methoxychlor and grain contaminated with aflatoxin to manufacture alcohol and then mixing the adulterated or contaminated mash with animal feed that was sold to dairy farmers. Specific charges are as follows: J. White and McBride - One count conspiracy [18 USC § 371]; eight counts mail fraud [18 USC § 1341]; 13 counts wire fraud [18 USC § 1343]; one count racketeering [18 USC § 1962(c)], five counts interstate transportation of money taken by fraud [18 USC § 2314]; six counts adulterating food [21 USC §§ 331(d) and 333(b)]; 11 counts interstate sales of adulterated food [21 USC §§ 331(a) and 333(b)]; and four counts discharging pollutants in violation of Clean Water Act, Secs. 301 and 309 [33 USC §§ 1311(a) and 1319(c)(1)]. White also charged with one count making a false statement [18 USC § 1001]. Findley - One count conspiracy, two counts false statements, one count mail fraud, three counts wire fraud, one count racketeering, one count interstate transportation of money taken by fraud, and one count discharging pollutants

Defendant(s)/Docket No./Court	Facts and Status
Jack E. White, et al. (cont. from previous page)	H. White - Seven counts mail fraud, 10 counts wire fraud, one count racketeering, four counts interstate transportation of money taken by fraud, six counts adulterating food, and 11 counts interstate sales of adulterated food 05/11/87: Trial began. 05/21/87: Findley entered a plea of guilty to one count of conspiracy and one count discharging pollutants in violation of the Clean Water Act. 05/22/87: The jury returned a verdict of guilty as follows: J. White, McBride, and H. White - Two counts wire fraud, two counts interstate transportation of money taken by fraud and 13 counts of lesser included offenses of the Food, Drug and Cosmetic Act. J. White and McBride three counts discharging pollutants in violation of the Clean Water Act; J. White one count false statements 07/22/87: Jack White was fined $7,500 and sentenced to 3 years imprisonment. Brownie McBride was fined $7,500 and sentenced to 1 year and 1 day imprisonment. Jerry Findley was fined $5,000 and 3 months probation. Henry White was sentenced to 1 year, and 1 day imprisonment.
Euro-Auto Ltd., Inc. James S. Strecker, Jr. Barbara A. Strecker 86-95TB (W D. Washington)	Facts: Strecker, an automobile dealer in Auburn, his wife, Barbara, and Euro-Auto Ltd., Inc., the corporate dealership, are involved in auto importation. 12/17/86: A 74-count indictment returned charging defendants with violations arising from fraudulent scheme to avoid required auto emission standards for "gray market" vehicles imported under EPA "5-year exemption." J. Strecker and company also charged with violations relating to falsification of DOT-required odometer mileage statements. Specific charges are as follows: J. Strecker and company - Two counts conspiracy (one for odometer fraud) [18 USC § 371]; 40 counts making false statements to three federal agencies [18 USC § 1001]; 18 counts smuggling [18 USC § 545]; and 14 felony counts for the roll-back of odometer mileage settings [18 USC § 1990(c)] B. Strecker - One count conspiracy, 32 counts making false statements to federal agencies, and 18 counts smuggling 03/12/87: Superseding indictment filed charging all counts described above and an additional 18 counts of smuggling against each defendant.

Defendant(s)/Docket No./Court	Facts and Status
Euro-Auto Ltd., Inc , et al. (cont from previous page)	05/18/87: Trial begins for James Strecker and Euro-Auto. Barbara Strecker to be tried separately following her motion for severance. 06/05/87: Jury returned a guilty verdict against Strecker on 14 counts of odometer fraud and 19 counts of false statements against Euro-Auto on 10 counts of odometer fraud and 19 counts of false statements 07/31/87: The company was fined $10,000 and ordered to pay $4,300 to the Crime Victim's Fund. James Strecker, Jr. was fined $15,000, 5 years imprisonment with execution of all but 3 months suspended, 5 years probation, and ordered to pay $1,075 to the Crime Victim's Fund. 09/02/87: Barbara Strecker pled guilty to a two-count superseding information charging her with submitting false documents to the Customs Service in violation of 27 USC § 7207. She was sentenced on the same day to 1 year probation and ordered to pay $50 to the Crime Victim's Fund. Euro-Auto and James Strecker agreed to pay the U.S. Government $15,000 storage charges on the 18 vehicles seized August 22, 1985 plus $125,000 and surrender ownership rights to nine of the 18 vehicles seized; the remaining nine vehicles will be returned to Euro-Auto and its creditor, and the government agreed to dismiss the remaining counts on Euro-Auto Ltd. and James Strecker, Jr.
W. R. Grace & Co. 87-27 (D Massachusetts)	Facts: W. R. Grace & Co. submitted a letter to EPA Region I in response to a Request for Information issued under RCRA, Sec. 3007 [42 USC § 6927]. W. R. Grace & Co. is a multi-national corporation incorporated in Connecticut and headquartered in New York. The Woburn plant was engaged primarily in metal fabrication of packaging equipment for the food industry. 01/28/87: A federal grand jury in Boston indicted W. R. Grace & Co. on two felony counts of making false statements to EPA in violation of 18 USC § 1001. The company was charged with making the allegedly false statements in 1982 in a letter concerning the amounts of certain chemicals (trichloroethylene and acetone) used at or purchased for W. R. Grace's Cryovac Division plant in Woburn, and the types of chlorinated solvents (such as trichloroethylene, toluene, acetone, and 1,1,1-trichloroethane) used at the plant. The company was also charged with concealing, in the same letter, the fact that waste solvents had, on numerous occasions, been poured on the ground behind the plant building. EPA undertook to determine the source of pollutants in groundwater adjacent to two contaminated and closed municipal drinking wells in Woburn, Massachusetts. 05/31/88: The company pled guilty to one count making a false statement [18 USC § 1001]. On the same date, the company was fined the maximum statutory fine of $10,000.

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Custom Food Machinery Ronald McNiel Eugene Welch 87-20002 (N D California)	Facts: Ronald McNiel is president and owner of Custom Food Machinery and Eugene Welch is an employee. 01/29/87: Custom Food Machinery, McNiel, and Welch each pled guilty to a one-count TSCA criminal information filed in San Francisco. The count arose from the defendants' illegal burial on the firm's property of a large transformer containing and leaking PCBs in excess of 50 ppm in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]. Custom Food was fined $15,000 and placed on 3 years probation, while McNiel was fined $5,000 and given 2 years probation. Welch was sentenced to 2 years probation.
Jay Woods Oil, Inc. Edmund Woods 87-20012 (E.D. Michigan)	Facts: Jay Woods Oil, Inc., operated a number of underground injection wells in conjunction with its oil recovery operation. EPA discovered plugs installed on four wells during a mechanical integrity test. 02/13/87: A federal grand jury indicted Jay Woods Oil, Inc., and its president, Edmund Woods, on four felony counts of concealing a material fact from EPA by trick, scheme, or device in violation of 18 USC § 1001. In addition, Mr. Woods was charged with having committed perjury before a grand jury, in violation of 18 USC § 1623, a felony. The company and Mr. Woods were charged with deceiving EPA by placing plugs into four underground injection wells so it would appear that they met the mechanical integrity test (MIT) requested by Region V under 40 CFR Part 144 promulgated pursuant to the Safe Drinking Water Act. 04/20/87: The corporation pled guilty to all four counts and Woods pled guilty to two counts of false statements [18 USC § 1001]. 05/20/87: The corporation was fined $1,000 and assessed $200 on each count and placed on probation. Wood was assessed $50 on each count and sentenced to 9 months incarceration on each count to be served concurrently. He will be considered for release upon serving 3 months.
Frank Lynch 87-CR-20017BC (E.D. Michigan)	Facts: Lynch, a former employee of Woods Contracting, was present when Woods installed the plug on the Lake Isabella well. 04/03/87: One-count indictment filed charging Lynch with making a false material declaration under oath in a proceeding before a grand jury in violation of 18 USC § 1623. 06/02/87: Lynch pled guilty to one count of a superseding information charging him with disobedience of a lawful U.S. District Court command in violation of 18 USC § 401(3).

Defendant(s)/Docket No./Court	Facts and Status
Frank Lynch (cont. from previous page)	07/28/87: Lynch sentenced to 6 months imprisonment (all suspended) and placed on 2 years probation for violation of 18 USC § 401(3).
Joseph Tietelbaum 87-75 (D. New Jersey)	Facts: Joseph Tietelbaum, president of LMT Steel of Hoboken, controls TNT Realty which owns a warehouse in Edison. A semi-trailer abandoned in a vacant lot in Newark contained 59 drums of chemicals alleged to have come from that warehouse. 03/03/87: A federal grand jury in Newark returned a four-count indictment charging Tietelbaum with one count conspiracy in violation of 18 USC § 371 and three counts of transporting hazardous waste without a manifest form to an unpermitted disposal facility in violation of RCRA, Sec. 3008(d)(1), (d)(2)(A), and (d)(5) [42 USC §§ 6928(d)(1), 6928(d)(2)(A), and 6928(d)(5)]. 06/28/87: Tietelbaum pled guilty to one count of transporting hazardous waste in violation of RCRA [42 USC § 6928(d)(5)]. 07/30/87: Tietelbaum sentenced to pay $20,000 fine, assessed $50, and placed on 2 years probation.
Marathon Development Corp. Terrence Geoghegan 87-129MC (D. Massachusetts)	Facts: Marathon scraped, leveled, and piled debris in wetlands on part of a 117-acre site in Seekonk, Massachusetts in order to build an access road for the construction of a 150-store mall and cinema. Geoghegan is one of Marathon's senior vice presidents. 04/08/87: A 25-count indictment filed charging each defendant with failure to acquire a U.S. Army Corps of Engineers permit to fill a wetland between June 17 and October 16, 1986, in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 05/05/87: A 25-count superseding information filed charging the same defendants with the same counts as in the first indictment. 05/04/88: U.S. District Judge sentenced defendants Marathon Development and Geoghegan subsequent to the filing of superseding information (05/05/88) and to their guilty pleas on all 25 counts charged in superseding information (05/31/88). 05/31/88: Geoghegan was sentenced to a 6-month suspended prison term, fined $10,000 and 1 year probation. Marathon was sentenced to pay a $100,000 fine.
Freeman Krum 87-0071 (M.D. Pennsylvania)	Facts: Krum operated a metal reclamation facility in Montours County which received a truckload of used electrical transformers. The transformers were drained and used for scrap metal.

Defendant(s)/Docket No./Court	Facts and Status
Freeman Krum (cont. from previous page)	04/20/87: Krum pled guilty to a three-count information filed charging improper storage and disposal and failure to keep PCB records in violation of TSCA, Secs. 6(e), 15, and 16 [15 USC §§ 2605(e), 2614, and 2615(b)] and regulations promulgated by EPA [40 CFR §§ 761 60, 761.65 and 761.80]. 06/10/87: Krum was sentenced to 18 months probation on each count to be served concurrently and costs of $25 on each count.
John Meyer 87-10060M (E.D. Missouri)	Facts: Meyer imported foreign automobiles for sale in the United States. A 5-year exemption was claimed for two Mercedes Benz that were subsequently resold. 04/23/87: Meyer pled guilty to an information charging two counts of violating the Clean Air Act, Sec. 113(c)(2) [42 USC § 7413(c)(2)] by making false statements to EPA in connection with an automobile import scheme. 05/22/87: Meyer was fined $10,000, sentenced to 2 years probation, and ordered to pay $2,600 in restitution to Missouri.
Denver Sanitary Company Frank R. Giardino Donald C. Hughes Andrew Fedorowicz 87-CR-117 (D Colorado)	Facts: Denver Sanitary Company is a waste hauling and disposal company. Giardino is the company vice president; Hughes and Fedorowicz are supervisors. Wastes collected by the company were dumped into local sewer systems. 04/24/87: A 22-count indictment was filed charging each defendant with one count of conspiracy [18 USC § 371] and four counts of transportation of hazardous waste to an unpermitted facility, a violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. The additional charges are as follows: Making false statements [18 USC § 1001] - The company two counts, and Giardino one count Willful and negligent discharge of pollutants into a POTW, in violation of the Clean Water Act, Secs. 307(b) and (d) and 309(c)(1) [33 USC §§ 1317(b) and (d) and 1319(c)(1)] - The company and Giardino four counts each Unpermitted storage of hazardous waste in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928 (d)(2)(A)] - The company, Giardino, and Hughes six counts each, Fedorowicz two counts Making a false statement in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] - The company and Hughes five counts each

Defendant(s)/Docket No /Court	Facts and Status
Denver Sanitary Company, et al. (cont. from previous page)	07/14/87: The company pled guilty to one count of making a false statement, two counts of transporting hazardous waste to an unpermitted facility and one count of illegal storage of hazardous waste. 09/04/87: The company was fined $85,000, $40,000 suspended if the company complies with all environmental laws during 3-year probationary period, and ordered to perform community service 02/11/88: Defendants Giardino, Hughes, and Fedorowicz charged in a superseding information. Giardino and Fedorowicz each charged with: One count of willful and negligent discharge into a POTW, in violation of Clean Water Act, Secs. 307(b) and (d) and 309(c)(1) [33 USC §§ 1317(b) and (d) and 1319(c)(1)]; one count violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; one count violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], and as an accessory after the fact [18 USC § 3] on each count Hughes was charged in the same superseding information with· One count violation of RCRA [42 USC § 6928(d)(2)(A)], one count violation of RCRA [42 USC § 6928(d)(3)], and charged as an accessory after the fact [18 USC § 3] on each RCRA count 03/15/88: Defendant Fedorowicz entered guilty plea to all three counts charged in superseding information and was sentenced to pay $2,500 fine, 1 year probation and 300 hours of community service. 03/22/88: Defendants Giardino and Hughes entered guilty plea to all counts charged in superseding information. Giardino was sentenced to pay $7,500 fine and Hughes was sentenced to pay $3,000 fine.
Leigh Industries Leigh-Denver Industries Gabriel Demshar, Jr. 87-CR-116 (D Colorado)	Facts: Leigh-Denver is a subsidiary of Leigh-Industries, Inc. of Ohio which custom molds fiberglass and plastic products. Demshar is the president of Leigh-Denver. Barrels of toxic and corrosive hazardous wastes were dumped at the Denver facility. 04/24/87: Each defendant was charged with two counts of disposal of hazardous wastes at an unpermitted facility in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 07/17/87: Two-count superceding information filed charging Demshar with failure to notify of release of hazardous substances under CERCLA, Sec. 103(b) [42 USC § 9603(b)]. Demshar pled guilty to both counts the same day. The company pled guilty to one count of disposal of hazardous wastes at an unpermitted facility in violation of RCRA [42 USC § 6928(d)(2)(A)]

Defendant(s)/Docket No./Court	Facts and Status
Leigh Industries (cont. from previous page)	08/14/87: The company was fined $1.00 and $200 special assessment. Defendant Demshar was sentenced to pay a $10,000 fine.
Protex Industries, Inc. Donald James Wilson Charles Vesta Hyatt 87-CR-115 (D Colorado)	Facts: Protex manufactures and sells chemical products, including curing compounds, grouts, and epoxies used in concrete construction Wilson is the general manager and Hyatt is the comptroller 04/24/87: A 19-count indictment was filed charging each defendant with one count of conspiracy [18 USC § 1001], one count of discharging pollutants in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)] and nine counts of storage and disposal of hazardous wastes in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. The firm was charged with four counts and Hyatt was charged with three counts of making false statements about the manner in which hazardous industrial waste products were generated and stored [18 USC § 1001]. The firm was also charged with one count of transportation of hazardous waste in violation of the Hazardous Materials Transportation Act [49 USC §§ 1804 and 1809(b)] and three counts of knowingly endangering its employees by directing them to handle hazardous wastes in a manner that represented an imminent danger to them of death or serious bodily injury, a violation of RCRA, Sec. 3008(e) [42 USC § 6928(e)]. 11/23/87: Defendant Hyatt pled guilty to one count violating Clean Water Act [33 USC § 1319(c)(1)], and one superceding information count of violating RCRA [42 USC § 6928(d)(3)]. 12/15/87: Defendant Donald Wilson severed from the trial. New trial date not set. 12/21/87: Hyatt sentenced to 1 year probation for each count, $3,000 fine, and $25 assessed for the Crime Victim/Witness Fund. 12/21/87: Federal district court jury handed down a guilty verdict on 16 of 18 counts against the company. The company was found guilty on the following counts: One count of conspiracy [18 USC § 371], four counts of making false statements [18 USC § 1001], one count of illegal discharge, in violation of the Clean Water Act [33 USC §§ 1311(a) and 1319(c)(1)], seven counts unpermitted storage and disposal of hazardous waste, a violation of RCRA [42 USC § 6928(d)(2)(A)], and three counts of knowingly endangering its employees, a violation of RCRA [42 USC § 6928(e)]. The following count was dismissed before the trial started:

Defendant(s)/Docket No /Court	Facts and Status
Protex Industries, Inc., et al. (cont from previous page)	One count Hazardous Materials Transportation Act [49 USC §§ 1804 and 1809(b)] 03/03/88: Protex Industries, Inc. was sentenced to pay a $7,630,000 fine (all but $440,000 suspended), 5 years probation on each count (to be served concurrently), restitution to three victims totaling $950,000, undetermined cleanup costs and $3,100 assessment to Crime Victim's Fund. 05/28/88: Continuance granted for trial of company's general manager, Donald J. Wilson. Trial date set for 09/19/88. 09/15/88: A superseding information was filed charging Wilson with one count of accessory to making a false statement in violation of 18 USC § 3; two counts of illegal discharge of pollutants, in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]; and two counts of failure to report discharge, in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. On the same day, Wilson pled guilty to all five counts charged in the superseding information. 10/18/88: Wilson was sentenced to pay a $25,000 fine, 5 years imprisonment (all suspended), 5 years probation, 500 hours of community service, and pay a $125 assessment to the Crime Victim's Fund. 05/11/89: The Tenth U.S. Circuit Court of Appeals upheld the district court convictions.
John Moran, Jr. 87-00107-01-CR-2-8 (W.D. Missouri)	Facts: A hooded witness testified before the U.S. House of Representatives Committee on Government Operations' Subcommittee on the Environment, Energy, and Natural Resources that he had, in return for cash payments, dumped capacitors and drums containing PCB-contaminated oil into the Missouri River and at nine other locations including the Lake of the Ozarks. Moran is an inmate at the Missouri Training Center for Men serving a 15-year sentence for an armed robbery conviction. 05/18/87: A six-count indictment was filed charging Moran with giving false statements after he admitted fabrication of the entire PCB-dumping story [18 USC § 1001]. 10/22/87: Moran was acquitted by the jury on all six counts of making false statements [18 USC § 1001].

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Merlyn Pollock 87-00148-1-CR-W-8 (W.D. Missouri)	Facts: Chemicals from the Posch Foundry laboratory were disposed of at a sanitary landfill and abandoned in an open field. Pollock had purchased Posch Foundry. 06/23/87: Pollock was indicted on two counts of violating TSCA [15 USC §§ 2614 and 2615] and one count of violating RCRA [42 USC § 6928(d)]. 09/11/87: Pollock pled guilty to two counts of violating TSCA [15 USC § 2614 and 2615]. 11/09/87: Pollock sentenced to pay $35,000 fine, $25 on each count for an administrative fine, imprisonment sentence suspended, and 1 year probation on each count to be served concurrently. Fines to be paid in the first 10 months of probation.
Jere Neil Ellis James "Buddy" Floyd 87-20105-G (W.D. Tennessee)	Facts: Ellis owns and operates Solid and Liquid Waste Disposal Company located in Dyersburg, TN. Floyd is employed by the company as a truck driver. The company made agreements with other companies to haul away industrial wastes and dispose of the wastes by incineration. EPA assisted this FBI investigation by collecting and analyzing samples from the site. 06/29/87: A 17-count indictment was filed charging both Ellis and Floyd with one count of conspiracy [18 USC § 371] and 16 counts of mail fraud [18 USC §§ 1341 and 2] for activities associated with storage and disposal of wastes at the company's Dyersburg site. 03/28/88: Trial began in U.S. District Court. 04/05/88: After a 6-day trial, Ellis was convicted of all 16 counts of mail fraud [18 USC § 1341 and 2], and acquitted on the conspiracy count. Floyd was acquitted on all counts. 06/17/88: Ellis was convicted on 16 counts of mail fraud [18 USC § 1341]. 06/27/88: Ellis was sentenced to pay a $16,000 fine, serve 2 years imprisonment, 2 years probation, and pay $800 assessment to the Crime Victim's Fund.
Albert S. Tumin 87-488 (E.D. New York)	Facts: Tumin abandoned three 55-gallon barrels of ethyl ether in an empty lot in a residential neighborhood located in Rockaway, Queens, New York, prior to returning a rental truck.

Defendant(s)/Docket No /Court	Facts and Status
Albert S. Tumin (cont. from previous page)	07/08/87: Grand jury returned a three-count indictment against Tumin, charging one RCRA count of illegal transportation of hazardous waste to an unpermitted facility, a violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; one count knowing endangerment by disposing of hazardous wastes with the knowledge that it placed another person in imminent danger of death or serious bodily injury in violation of RCRA, Sec. 3008 [42 USC § 6928(d)]; and one count failure to notify of the release of a hazardous substance into the environment in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. Also charged with 18 USC § 3623 on each count. 07/22/87: Tumin arraigned in U.S. District Court, E.D. New York on $100,000 bail. 11/02/87: Trial to begin. 03/28/88: Trial began. 04/13/88: Tumin was convicted on all counts charged in the indictment filed 07/08/87, after a 2-day trial. Tumin's conviction as an individual under the knowing endangerment provision of RCRA is the first of its kind. 10/21/88: Tumin was sentenced to serve 5 years imprisonment (all but 2 years suspended).
Reidy Terminal, Inc. 87-00178 CR (4) (E.D. Mo.) Wisconsin Barge Line, Inc. 87-180CR (M2) (E.D Mo.) Anthony DeJohn 87-00181CR (M4) James Johnson (E.D Missouri)	Facts: Reidy Terminal, Inc. was engaged in a barge cleaning operation located in St. Louis, Missouri. Wisconsin Barge Line, Inc. is the parent company of Reidy Terminal, Inc. Anthony DeJohn was WBL's vice president and director of operations and James Johnson was Reidy's former manager. 07/23/87: One-count information filed charging Reidy Terminal, Inc. with unpermitted disposal of hazardous waste, a violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. The company pled guilty to one-count charge on the same day. 07/23/87: Five-count information filed charging Wisconsin Barge Line, Inc. with unpermitted discharge in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. The company pled guilty to the five-count charge on the same day.

Defendant(s)/Docket No./Court	Facts and Status
Reidy Terminal, Inc., et al. (cont. from previous page)	07/31/87: Three-count information filed charging DeJohn with one count discharge of pollutants without a permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]; one count failure to report discharge in violation of CWA, Sec. 311(b)(5) [33 USC § 1321(b)(5)]; and one count discharge of refuse in violation of Rivers and Harbors Appropriation Act of 1899 (Refuse Act), Secs. 13 and 16 [33 USC §§ 407 and 411]. DeJohn pled guilty to one count of unpermitted discharge of pollutants [33 USC §§ 1311 and 1319(c)(1)] and one count unpermitted discharge of waste [33 USC §§ 407 and 411]; one count of failure to report discharge was dismissed by the court. 08/07/87: One-count information filed charging Johnson with discharge of pollutants without a permit in violation of Clean Water Act, Secs. 301 and 309(c)(1) [33 USC §§ 1311 and 1319(c)(1)]. Johnson pled guilty to one count information the same day. 08/14/87: Reidy Terminal, Inc. sentenced to pay $250,000 fine and $200 special assessment. Wisconsin Barge Line, Inc. sentenced to pay $125,000 fine and $100 special assessment. 09/04/87: DeJohn sentenced to 3 years probation, 200 hours of community service in the first 30 months on each count (to be served concurrently) and $25 special assessment. 09/11/87: Johnson sentenced to 3 years probation, 100 hours of community service, and $25 special assessment.
Patrick W. Paciesas 87-0059 (S.D. Ohio)	Facts: Paciesas was president of Sea Bright Environmental Company, Inc. Sea Bright was an Ohio corporation authorized to do business in Kentucky for the purpose of removal, transportation, and disposal of hazardous waste and decommissioning of electrical transformers and capacitors. Sea Bright contracted for the transportation and disposal of polychlorinated biphenyls (PCBs) and PCB items. 08/03/87: One-count information filed charging that Paciesas knowingly and willfully disposed oil contaminated with PCBs by spilling, leaking, or discharging PCB-contaminated oil on the ground in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)] and regulations promulgated by EPA under 40 CFR § 761.60. 08/03/87: Paciesas pled guilty to one count of storage and disposal of PCB-contaminated oil in an unlawful manner, a misdemeanor. 09/28/87: Paciesas sentenced to 2 years probation, 100 hours of community service, and mandatory enrollment in an alcohol treatment program.

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Defendant(s)/Docket No /Court	Facts and Status
John Plantan CR-1-88-002 (S.D. Ohio) (related to previous case, *Paciesas*)	01/06/88: Plantan, an employee of Sea Bright Environmental Company, Inc. was indicted in a nine-count indictment Plantan is charged with nine counts of violating PCB rules of TSCA, Secs 6(e), 15 and 16 [15 USC §§ 2605(e), 2614 and 2615(b)]. Plantan is also charged with aiding and abetting [18 USC § 2] with each count. 04/14/88: Plantan pled guilty to two counts of violating PCB rules of TSCA, Secs. 6(e), 15 and 16 [15 USC §§ 2605(e), 2614 and 2615(b)]. 07/25/88: Plantan sentenced to 1 year imprisonment (suspended), 2 years probation, and was ordered to place a notice in Cincinnati newspapers regarding his conviction.
Patrick E. Perrin 87-00187-01-CR-W-9 (W D. Missouri)	Facts: Perrin was plant manager for Martha C. Rose Chemical Company in Holden, Missouri. The company engaged in the business of transportation and disposal of items such as electrical transformers, capacitors, and oil containing polychlorinated biphenyls (PCBs). 08/20/87: 10-count indictment charging Perrin with one count of conspiracy in violation of 18 USC § 371; six counts making false statements in violation of 18 USC § 1001; and three counts of violating TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]. 02/04/88: Perrin pled guilty to one count of conspiracy [18 USC § 371]. 07/20/88: Perrin was sentenced to pay a $50 fine, serve 2 years imprisonment, and pay a $50 special assessment.
Dwight E. Thomas, Jr. 88-00185-01-CR-W-JWO (W D. Missouri)	Facts: Dwight E. Thomas, Jr was Director of Research and Development and also supervisor of the laboratory at Martha C Rose Chemical Company. 09/21/88: A 32-count indictment was filed charging the defendant with the following: one count of illegal storage of PCB capacitors in violation of TSCA, Sec. 15(3) and 16(b) [15 USC §§ 2614(3) and 2615(b)], one count of conspiracy in violation of 18 USC § 371; and 30 counts of making false statements in violation of 18 USC § 1001.
88-00185-01-CR-W-5	04/30/90: Defendant Thomas pled guilty to two counts: conspiracy to defraud EPA violating 18 USC § 371 and making a false statement violating 18 USC §§ 2 and 1001. Defendant was sentenced to serve 2 years imprisonment on both counts (to be served concurrently) and ordered to pay a $5,000 fine and a special assessment of $100. All other charges were dismissed.

Defendant(s)/Docket No./Court	Facts and Status
W.C. Carolan James Carolan Christopher Gosch Sharon Hayes Dwight E. Thomas, Jr. 89-00045-01/04 CR-W-JWO (W.D. Missouri)	Facts: Walter C. Carolan was president of Martha C. Rose Chemical Company (Rose Chemical), located in Holden, Missouri. James Carolan was General Manager. Christopher Gosch was founder and director of Sales and Marketing. Sharon Hayes was office manager. Dwight Thomas Jr. was lab supervisor and director of Research and Development. Rose Chemical was engaged in the business of transporting and disposing equipment and oil containing polychlorinated biphenyls (PCBs). The company went out of business in March 1986. 03/14/89: The grand jury filed a 43-count indictment charging the defendants with the following counts: W.C. Carolan and Dwight Thomas, Jr. each charged with: One count of conspiracy in violation of 18 USC § 371 31 counts of making false statements, in violation of 18 USC § 1001 one count of improper storage of PCB capacitor cores, in violation of TSCA, Secs. 15 and 16, 15 USC §§ 2614 and 2615(b) 10 counts of mail fraud in violation of 18 USC § 1341 Christopher Gosch and James Carolan each charged with: one count of conspiracy, in violation of 18 USC § 371 one count of improper storage of PCB capacitor cores, in violation of TSCA, Secs. 15 and 16, 15 USC §§ 2614 and 2615(b) 10 counts of mail fraud in violation of 18 USC § 1341 Sharon Hayes was charged with one count of conspiracy, in violation of 18 USC § 371 07/14/89: Defendants Gosch and Hayes each pled guilty to one count of conspiracy, in violation of 18 USC § 371. 10/04/89: Defendant James Carolan pled guilty to one count of conspiracy, in violation of 18 USC § 371. 10/26/89: Defendant Walter Carolan pled guilty to one count of conspiracy (18 USC § 371) and one count of making false statements (18 USC § 1001). 10/27/89: Defendant Dwight Thomas, Jr. pled guilty to one count of conspiracy (18 USC § 371) and one count making false statements (18 USC § 1001).

Defendant(s)/Docket No./Court	Facts and Status
W C. Carolan, et al. (cont from previous page)	02/13/90: Defendant Sharon Hayes was sentenced after entering a guilty plea to one count of conspiracy to defraud the Agency, a violation of 18 USC § 371 Hayes was sentenced to pay a fine of $200, to serve 3 years probation, and pay $50 special assessment to the Crime Victim's Fund. 02/21/90: Defendant Christopher Gosch was sentenced to serve 2 years imprisonment (all but 3 months suspended, which is to be served in a work release program), followed by 3 years probation, and ordered to pay a $50 special assessment to the Crime Victim's Fund. 04/03/90: Defendant Walter Carolan was sentenced to serve 2 years on count one and 2 years on count 31 (to be served concurrently) and fined $10,000 and a special assessment of $50 on each count. All other charges were dismissed. 04/12/90: Defendant James Carolan was sentenced to 3 years probation, a fine of $500, and a special assessment of $50. All other charges were dismissed.
Samar Chatterjee CR-87-656 (N D. Illinois)	Facts: Chatterjee is a consultant on environmental matters He submitted testing data relative to sanitary sewer connections, flow gauging, manhole inspections, and sewer survey reports to EPA and the Metropolitan Sanitary District of Greater Chicago. He was paid over $200,000 from EPA Step 1 grant funds. 08/31/87: Chatterjee was arrested before he could leave the country and charged in a 32-count indictment with one count conspiracy to violate the Clean Water Act [18 USC § 371]; 11 counts of submitting false Clean Water Act documents [18 USC § 1001]; and 20 counts of mail fraud involving Clean Water Act [18 USC § 1341]. Chatterjee was incarcerated on $1,400,000 cash bond with no 10% alternative. 10/07/88: Chatterjee pled guilty to three counts of mail fraud, in violation of 18 USC § 1341. 02/06/89: Samar Chatterjee was sentenced to pay $220,000 in restitution $110,000 to EPA, and $110,000 to the South Stickney Sanitation District, and to serve 4 years imprisonment and 5 years probation.

Defendant(s)/Docket No./Court	Facts and Status
Baytank (Houston), Inc. Odfjell Westfal - Larsen (USA), Inc. Haavar Nordberg Roy Johnsen R. C. Tercic, (aka Milko Tercic) Larry Pipkin, Jr. Donald Gore Theodor Vasset Idar Remman Tor Johansen Helge Grotle Hilmar Skar Terje Nikoe Magne Roedland Bjoern Landoey Vermund Gravdal Bjorn Wikum Kjell Eriksen Harald Jensen Johan Seale Ulf Aune CR-H-87-220 (S.D. Texas)	Facts: Odfjell Westfal-Larsen (USA), Inc. [OWL (USA), Inc.] is a Norwegian company incorporated in Delaware. Baytank (Houston), Inc. is a subsidiary of OWL (USA), Inc. Baytank is a chemical storage and transfer marine terminal which transfers liquids to and from storage tanks via rail, truck, barge, and ship and generates process wastes from tank and line cleaning, washdown of spills, and rainfall in the transfer areas. Hazardous wastewater was collected in two storage tanks and allegedly disposed of at sea through chemical tanker ships of the Odfjell Westfal-Larsen line. Haavar Nordberg was executive vice president of OWL (USA), Inc.; Roy Johnsen was operations manager for Baytank; Larry Pipkin, Jr. was traffic manager for Baytank; Donald Gore was a Baytank employee responsible for environmental matters; R. C. Tercic (aka Milko Tercic) was responsible for construction of the Baytank facility in Seabrook, Texas; and the remaining 14 defendants were ship masters and chief officers. Baytank (Houston), Inc. is located on the Bayport Turning Basin in Harris County, Houston, Texas. This is the first criminal case brought under the Ocean Dumping Act. 09/03/87: Grand jury in Houston handed down a 37-count indictment charging 21 defendants with conspiracy [18 USC § 371] and various violations of the Marine Protection, Research and Sanctuaries Act (MPRSA - Ocean Dumping Act) [33 USC §§ 1411(a)(1) and 1415(b)] by knowingly transporting material and causing material to be transported from the U.S. on a ship for the purpose of dumping said material into ocean waters without a permit, violating the Federal Water Pollution Control Act (FWPCA - CWA) [33 USC § 1319(c)] by willfully and negligently discharging pollutants into navigable water of the U.S. without a permit. Violating RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] by treatment, storage, and disposal of hazardous wastes at an unpermitted facility; violating RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] by making false statements; violating RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)] by knowingly transporting or causing hazardous wastes to be transported without a manifest or shipping papers; violating RCRA, Sec. 3008(e) [42 USC § 6928(e)] by knowingly placing people in imminent danger of death or serious bodily injury; and violating CERCLA, Sec. 103(b) [42 USC § 9603(b)] by failing to notify of release of hazardous substance. 10/07/87: Defendant Vermund Gravdal was arrested. 11/18/87: Defendant Johan Saele was arrested. 01/29/88: Defendant Aune was arrested.

Defendant(s)/Docket No /Court	Facts and Status
Baytank (Houston), Inc., et al. (cont. from previous page)	02/16/88: Defendants Vasset, Johansen, Grotle, Skar, Nikoe, Roedland, Wikum, Eriksen, and Jensen were arrested. 03/10/88: Defendant Landoey was arrested. 11/18/88: After a jury trial in federal district court; all charges were dismissed except for the following convictions: Baytank (Houston), Inc., Haavar Nordberg, and Roy Johnsen were each found guilty of · Six counts of negligent discharge of pollutants into navigable water of the U.S. without an NPDES permit, in violation of the CWA, Sec 301(a) and 309(c)(1) [33 USC §§ 1311(A) and 1319(c)(1)], Two counts of illegal disposal of hazardous waste without a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and One count of failure to notify of a release of a hazardous substance, in violation of CERCLA, Sec.103(b) [42 USC § 9603(b)]. Defendant Donald Gore was convicted of four counts of negligent discharge of pollutants into navigable waters of the U S , in violation of the CWA, Secs. 301(c) [33 USC § 1311(c)]. 01/23/89: Baytank (Houston), Inc. was sentenced for their conviction on two RCRA counts to pay a fine of $50,000, 5 years probation, and ordered to submit a proposal for community service to be performed during probation. 02/02/89: Baytank filed a Notice of Appeal from the judgment and sentence entered on January 23, 1989. Baytank (Houston), Inc. entered a Notice of Appeal of the sentence filed on January 23, 1989 for two counts of violating RCRA, Sec. 3008(d)(2)(A) [42 USC §§ 3008 (d)(2)(A)] 04/25/89: A judgment was entered ordering a new trial for Baytank (Houston), Inc. as to the CWA counts and CERCLA count, which Baytank was convicted of violating by a jury verdict on November 18, 1988. 06/13/91: 5th Circuit Court affirmed the District Court's grant for new trial for Nordberg, Johnsen, and Gore.

Defendant(s)/Docket No./Court	Facts and Status
Baytank (Houston), Inc., et al. (cont. from previous page)	03/23/92: Nordberg and Johnsen each pled guilty to two counts CWA violations - 33 USC 1319(c)(1) and were sentenced to pay a fine of $40,000. Gore pled guilty to one count CWA violations - 33 USC 1319(c)(1) and was sentenced to pay a fine of $20,000. Baytank pled guilty to six counts of CWA violations and one CERCLA count. Baytank was sentenced to pay a fine of $1,000,000 plus special assessment. This was in addition to the sentenced imposed for RCRA violations on 01/23/89.
Francis Susan Moose 87-101 (W D. Virginia)	Facts: Moose was Jarrett's Water & Waste Water Service's one employee other than owner, Jarrett. 09/17/87: Moose appeared before a federal grand jury and confessed to falsifying Discharge Monitoring Reports (DMRs) provided by Jarrett's Water and Waste Water Services to its municipal clients. She then pled guilty to a 10-count information charging her with violating the Clean Water Act, Secs. 308(a) and 309(c)(1) [33 USC §§ 1318(a) and 1319(c)(1)]. She was sentenced to 1 year probation and a $500 fine on the information counts, $200 restitution to the Old Dominion Job Corps, and $250 assessment to the Crime Victim's Fund [18 USC § 3013].

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Defendant(s)/Docket No./Court	Facts and Status
Cuyahoga Wrecking Corporation Overland Corporation Samuel Runfola Virgil Cummings Ernest R. Martin HAR-87-0485 (D Md) Publicker Industries, Inc. HAR-88-0007 (E.D. Pennsylvania)	Facts: Cuyahoga Wrecking Corporation, is headquartered in Great Neck, New York and is currently in bankruptcy. Overland Corporation of Philadelphia, Pennsylvania is a wholly owned subsidiary of Cuyahoga Wrecking Corporation. Samuel Runfola is the site superintendent and project manager for Cuyahoga Virgil Cummings, a hauler, is owner and operator of Cummings Development Company in Salem, West Virginia. Ernest Martin owns and operates "Ernest Martin's Junkyard" in Oakland, Maryland, where waste material from the Cuyahoga Overland site in Philadelphia was transported and stored. Publicker Industries, Inc. is a publicly held corporation headquartered in Greenwich, Connecticut. Publicker owned and operated an alcohol manufacturing plant on the Delaware River in Philadelphia. In 1986, the site was sold to Overland Corporation, a subsidiary of Cuyahoga. 11/05/87: Publicker Industries, Inc. was charged in the Eastern District of Pennsylvania by the filing of an information charging the company with one count of illegal storage of hazardous wastes without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] Publicker pled guilty to the one-count information in the District of Maryland. 11/05/87: Ernest Martin, Cuyahoga Wrecking Corporation, Overland Corporation, Samuel Runfola, and Virgil Cummings were each charged in an indictment filed in the District of Maryland with the following: One count of conspiracy [18 USC § 371] Two counts of knowingly transporting hazardous wastes to an unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)] One count of failure to obtain RCRA permits to transport, store, and dispose of hazardous wastes in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928 (d)(2)(A)]. 02/24/88: Publicker Industries, Inc. sentenced to $50,000 fine. 03/14/88: Cuyahoga Wrecking and Overland Corporation, Samuel Runfola, and Ernest Martin pled guilty to the following counts: Cuyahoga and Overland: Two counts each and Runfola one count of knowingly transporting hazardous wastes to an unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]

Defendant(s)/Docket No./Court	Facts and Status
Cuyahoga Wrecking Corporation, et al. (cont from previous page)	Martin: One count failure to obtain RCRA permits to transport, store, and dispose of hazardous wastes in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] Cuyahoga and Overland Corporations were both sentenced to $100,000 fines the same day they entered plea bargains 03/21/88: Virgil Cummings, the only defendant that did not enter a guilty plea, was convicted on every count charged in the indictment Sentencing will be held at a later date. 04/02/88: Ernest Martin was sentenced to pay a fine of $3,000, perform 100 hours of community service, placed on probation for 3 years, and to pay $50 to the Crime Victim's Fund. 04/19/88: Samuel Runfola was sentenced to pay a $5,000 fine, 5 years imprisonment (suspended), 3 years probation, 250 hours community service, and $100 assessment to the Crime Victim's Fund. 05/12/88: Virgil Cummings was sentenced to pay $4,000 fine, 4 years imprisonment (all but 6 months suspended), and 4 years probation.
Robert T. Kruse Lee B. Grubbs Carol K. Kisamore A-87-CR-115 (W D Texas)	Facts: Robert Kruse, Lee Grubbs, and Carol Kisamore were employed by the U.S. Government in the Unicor, Inc. factory at the Bastrop Federal Prison, Bastrop County, Texas. Kruse was employed as a plastics worker foreman, Grubbs as the superintendent of industries, and Kisamore as the business manager. Grubbs was responsible for arranging the proper disposal of barrels containing hazardous wastes from the prison. In addition, Grubbs paid Kruse $12,000 to remove 60 barrels of waste. 12/01/87: Each defendant was charged with the following: One count of making a false claim (18 USC § 287) One count of conspiracy (18 USC § 371) One count of mail fraud (18 USC § 1341) One count of knowingly transporting hazardous waste to an unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)] One count of disposing hazardous waste without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]

Defendant(s)/Docket No./Court	Facts and Status
Robert T. Kruse (cont. from previous page)	One count of transporting hazardous waste without a required manifest in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)] The indictment alleges that Kruse, acting with knowledge and approval of defendants Grubbs and Kisamore, created a fictitious company, "Central Texas Disposal Systems," prepared and signed various forms using the fictitious company's name, and leased a post office box for the purposes of receiving a $12,000 payment from the U.S. Government for removal of 60 barrels of hazardous waste from the Bastrop Federal Prison. Additionally, it is alleged that the disposal of the hazardous wastes was carried out in violation of RCRA. 09/08/88: After a jury trial, a federal district court jury acquitted each defendant on all counts.
William J. Pandozzi CR-88-004-P (D. Rhode Island)	Facts: Pandozzi was employed by MacDonald and Watson Waste Oil Company, as a driver and foreman. 01/12/88: One-count indictment charged defendant Pandozzi with one count of making a false statement before the grand jury, in violation of 18 USC § 1623. 04/04/88: Trial scheduled to begin. 04/25/88: A federal district court jury handed down a guilty verdict convicting Pandozzi of making a false statement before the grand jury, as charged in the indictment. 11/03/88: Pandozzi was sentenced to 1 year imprisonment.
MacDonald & Watson Waste Oil Co. Narragansett Improvement Co. Eugene K. D'Allesandro Vincent Cinquegrano Fran Slade Faust Ritarossi Michael O'Laughlin CR-88-032-(01-07)-T (D. Rhode Island) (Related to above case, ***Wm. Pandozzi***)	Facts: Two companies, MacDonald & Watson Waste Oil Co and Narragansett Improvement Co. (hereinafter referred to as MacDonald & Watson and Narragansett) were engaged in the business of transportation and disposal of hazardous wastes and substances. Eugene K. D'Allesandro was the President of MacDonald & Watson. Cinquegrano, Slade, Ritarossi, and O'Laughlin were employed by MacDonald & Watson. Narragansett Improvement Co. was in the business of asphalt paving and located in Providence, Rhode Island. 04/26/88: A 53-count indictment was filed charging the defendants with the following counts: Making a false statement in violation of 18 USC § 1001: MacDonald & Watson, Narragansett, D'Allesandro, Cinquegrano, and Slade were each charged with 20 counts; Ritarossi - 18 counts, and O'Laughlin - two counts.

Defendant(s)/Docket No./Court	Facts and Status
MacDonald & Watson Waste Oil Co , et al. (cont. from previous page)	Mail fraud, in violation of 18 USC § 1341: MacDonald & Watson - 17 counts; Narragansett - 10 counts; D'Allesandro - 18 counts; Cinquegrano - 11 counts; Slade and Ritarossi - five counts each Federal Racketeer Influenced and Corrupt Organizations Statute, or RICO, in violation of 18 USC § 1962(c); MacDonald & Watson and D'Allesandro - one count each Knowingly transporting hazardous waste to an unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. MacDonald & Watson and Narragansett - seven counts each; D'Allesandro, Cinquegrano, and Slade - six counts each; Ritarossi - five counts; and O'Laughlin - one count Knowing treatment, storage, and disposal of hazardous waste without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]: MacDonald & Watson and Narragansett - five counts each; D'Allesandro and Cinquegrano - two counts each Knowing treatment, storage, or disposal of hazardous waste in violation of a permit, in violation of RCRA, Sec. 3008(d)(2)(B) [42 USC § 6928(d)(2)(B)]: MacDonald & Watson, Narragansett, and Slade - one count each Knowing omission and making a false statement on required documents, in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)]: MacDonald & Watson and Slade - one count each Failure to report release of reportable quantity of hazardous substance to the National Response Center in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]: MacDonald & Watson and Narragansett - one count each 06/29/89: The perjury conviction of William Pandozzi was upheld by the U.S. Court of Appeals for the First Circuit . 10/04/89: After a 3 1/2-week jury trial, MacDonald and Watson Waste Oil Co., Eugene D'Allesandro (MacDonald's president), Fran Slade, and Faust Ritarossi were convicted of two counts each of knowingly transporting hazardous waste in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. MacDonald and Watson Waste Oil Co. was also convicted of one count of knowingly treating, storing, or disposing of hazardous waste, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], 10 counts of false statements, in violation of 18 USC 1001; three counts of mail fraud, in violation of 18 USC 1341; and one count of unpermitted release of a hazardous waste, in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)].

Defendant(s)/Docket No./Court	Facts and Status
MacDonald & Watson Waste Oil Co., et al (cont. from previous page)	The other company, Narragansett Improvement Co , was convicted on two counts: one count of knowingly treating, storing, or disposing of hazardous waste, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; and one count of unpermitted release of one pound or more of hazardous substance, in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. Defendants Cinquegrano and O'Laughlin were acquitted on all counts. 10/24/89: MacDonald and Watson Waste Oil Co. entered an additional guilty plea to one count of mail fraud, in violation of 18 USC § 1341 (in addition to being convicted on three counts of mail fraud during the trial). 12/22/89: MacDonald and Watson was sentenced to pay $175,000 in fines ($25,000 suspended upon payment of $25,000 in restitution to the State of Rhode Island Environmental Response Fund), ordered to pay $10,052.22 in restitution to the City of Warwick, Rhode Island, ordered to pay $3,900 in special assessments, and placed on probation for 2 years. MacDonald and Watson Waste Oil Co. voluntarily surrendered its hazardous waste license back to the state of Rhode Island. Narragansett Improvement Co. was sentenced to pay $50,000 in fines, and $300 in special assessments. Eugene D'Allesandro was sentenced to pay $50,000 in fines ($25,000 suspended), ordered to serve 3 years imprisonment (1 1/2 years suspended), pay $100 special assessment, and $25,000 in restitution to the State of Rhode Island Environmental Response Fund as a condition of serving 3 years probation. Faust Ritarossi was sentenced to serve 1 year imprisonment (all suspended), ordered to pay a $20,000 fine ($10,000 suspended), ordered to pay $10,000 in restitution to the State of Rhode Island's Environmental Response Fund as a condition of 3 years probation, ordered to pay $100 special assessment, and serve 150 hours of community service. 01/26/90: Defendant Fran Slade was sentenced to pay a $10,000 fine, ordered to serve 1 year imprisonment (all but 1 month suspended), and placed on probation for 1 year. Defendant Slade was the first female imprisoned for an environmental crime. 02/21/90: Case is being appealed.

Defendant(s)/Docket No./Court	Facts and Status
MacDonald & Watson Waste Oil Co., et al. 90-1051 thru 1054 90-1212 (cont. from previous page)	05/10/91: U.S. Court of Appeals for the First Circuit published its decision on this case. The court affirmed the convictions of Ritarossi, Slade, and MacDonald & Watson. The convictions of D'Allesandro and Narragansett Improvement Company were vacated and remanded.
Argent Chemical Laboratories, Inc. Eliot Laurence Lieberman Beatriz Faith Shanahan CR-88-023 (W.D. Washington)	Facts: Argent Chemical Laboratories, Inc. headquartered in Redmond, Washington was engaged in the business of manufacturing, selling and distributing pesticides, veterinary drugs, other industrial organic and inorganic chemicals, and other products for use by commercial fish farms and the aquaculture industry. Eliot L. Lieberman is the corporate president and treasurer. Beatriz F. Shanahan is the corporate secretary. 01/13/88: An information was filed charging the defendants as follows: Argent with two counts, Lieberman with three counts, and Shanahan with one count of knowingly selling an unregistered pesticide in violation of FIFRA, Secs. 12(a)(1)(A) and 14(b)(1) and (4) [7 USC §§ 136j(a)(1)(A) and 136l(b)(1) and (4)] Argent was also charged with making false statements for each FIFRA count, in violation of 18 USC § 1001 Argent and Lieberman with two counts and Shanahan with one count of selling unapproved drugs and thereby introducing misbranded drugs into interstate commerce in violation of the Federal Food, Drug and Cosmetic Act [21 USC §§ 331(a) and 333(a)] 01/14/88: The corporation, Lieberman, and Shanahan entered guilty pleas to all charges brought under FIFRA and the Federal Food, Drug and Cosmetic Act. The corporation also pled guilty to two counts of making false statements. 03/31/88: Argent Chemical Labs, Inc. was sentenced to pay a fine of $70,000, Lieberman a fine of $20,000, and Shanahan a fine of $10,000. Both individual defendants, Lieberman and Shanahan, ordered to pay $50 mandatory assessment per count to the Crime Victim's Fund. Argent, Lieberman, and Shanahan were each placed on 5 years probation. Lieberman was sentenced to 1 year imprisonment (10 months suspended).

Defendant(s)/Docket No./Court	Facts and Status
DAR Construction, Inc. Maurice Dieyette 88-CR-65 (S.D. New York)	Facts· DAR Construction, Inc. operates as an asbestos renovation and removal business located in Staten Island, New York. Maurice Dieyette (aka "Cuda") was foreman and primary site supervisor of an asbestos renovation and removal operation at a New York City Department of Sanitation garage called Bronx West 7 Garage. 01/28/88: Eight-count indictment filed charging both the corporation and Dieyette with the following: Five counts each for asbestos emissions and disposal and inadequate work practices all violating Clean Air Act, Secs. 112(c)(1)(B) and (e), and 113 (c)(1)(C) [42 USC §§ 7412(c)(1)(B) and (e), and 7413(c)(1)(C)] One count each for failure to report the release of a hazardous substance in violation of CERCLA, Sec. 103 [42 USC § 9603] Dieyette was also charged with two counts of obstructing justice by attempting to persuade a grand jury witness to provide false testimony before the grand jury, in violation of 18 USC § 1503. 12/05/88: The corporation entered a guilty plea to three counts of violating the Clean Air Act, Secs. 112(c)(1)(B) and (e), and 113(c)(1)(C) [42 USC § 7412(c)(1)(B) and (e), and 7413(c)(1)(C)]. 12/22/88: Defendant Maurice Dieyette was convicted by a jury of three counts of violating the Clean Air Act. 04/07/89· Defendant Dieyette was sentenced to serve 90 days imprisonment and 3 years probation. The corporation was ordered to pay a fine of $50,000 and assessed $600 for the Crime Victim's Fund. 09/14/89: The U.S. Court of Appeals for the Second Circuit affirmed the conviction of Maurice Dieyette.
Ocean Spray Cranberries, Inc. 87-129MC (D. Massachusetts)	Facts: The company's plant in Middleborough, MA, on the Nemasket River, manufactures fruit juices and cranberry sauces Middleborough, MA claims to be "the cranberry capital of the world." 01/28/88: A 78-count indictment was filed charging the corporation with illegally discharging inadequately treated industrial wastewater and other pollutants into Middleborough's POTW and also into the Nemasket River and its wetlands. The corporation was charged with the following:

Defendant(s)/Docket No /Court	Facts and Status
Ocean Spray Cranberries, Inc. (cont. from previous page)	65 counts of willfully and negligently discharging inadequately treated wastewater into a POTW in violations of NPDES pursuant to Clean Water Act, Secs. 301(a), 307, and 309(c)(1) [33 USC §§ 1311(a), 1317, and 1319(c)(1)] Six counts of knowingly discharging wastewaters and pollutants into a POTW, in violation of pretreatment standards for acidity pursuant to Clean Water Act, Secs. 301(a), 307 and 309(c)(2) [33 USC §§ 1311(a), 1317, and 1319(c)(2)] Five counts of willful and negligent discharge of wastewater and pollutants directly into the Nemasket River and its adjoining wetlands without an NPDES permit, in violation of Clean Water Act, Secs. 301(a), and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)] Two counts of negligently discharging pollutants into the Nemasket River and its adjoining wetlands without an NPDES permit, in violation of CWA, Secs., 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 04/18/88: The corporation was charged in a superseding indictment, which lowered the above counts to 60, five, five, and two (respectively) 12/20/88: Ocean Spray pled guilty to 20 counts of willfully and negligently discharging inadequately treated wastewater into a POTW, and one count of negligently discharging wastewater into the Nemasket River, as charged in the superseding indictment. On the same day, the corporation was fined $400,000 and also agreed to purchase and install a sludge press for the Town of Middleborough STF.
Welco Plating, Inc. J. C. Collins, Jr. CR-88-H-0019NE (N.D. Alabama)	Facts: J. C. Collins, Jr. is president, stockholder, and in charge of operations at Welco Plating, Inc., a metal coating and plating corporation doing business in Woodville, AL. Welco's industrial processes generated electroplating waste materials including cyanide, cadmium, chromium, and other metals. EPA collected and analyzed samples to assist this FBI investigation. 01/29/88: A 30-count indictment was filed charging both the corporation and J.C. Collins, Jr. with the following:

Defendant(s)/Docket No./Court	Facts and Status
Welco Plating, Inc. (cont. from previous page)	One count of conspiracy [18 USC § 371]; two counts of willfully and knowingly discharging pollutants from a point source into Cobb Creek without a permit in violation of Clean Water Act, Secs 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]; five counts of transportation of hazardous waste to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)], seven counts of disposing hazardous waste without a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; nine counts of transportation of hazardous waste without a required manifest, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)], and six counts of failure to report release of hazardous substances in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)] 04/05/88: The corporation and J.C. Collins pled guilty to one count of violating each different statute charged in the indictment. 04/27/88: The corporation was sentenced to 5 years probation, and liable for cleanup costs estimated at $1,300,000. Collins was sentenced to pay a $200,000 fine, serve 18 months imprisonment, 5 years probation, 300 hours community service, pay $14,472.20 restitution to the State of Alabama Department of Environmental Management, and pay an assessment of $300 to the Crime Victim's Fund.
David Carr 88-CR-36 (N.D. New York)	Facts: Carr is a civilian range maintenance foreman at Ft. Drum Army Post in Watertown, NY. An estimated 300 5-gallon containers of waste paints and solvents, used to paint targets, were found dumped in or near a lagoon on the firing range of the Post. 03/02/88: A 43-count indictment charged Carr with 37 counts of negligent discharge of pollutants, in violation of Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)], four counts of disposal of hazardous waste without a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)], and two counts of failure to report release of hazardous waste, in violation of CERCLA, Sec 103(a) and (b) [42 USC § 9603(a) and (b)]. 10/13/88: A federal district court jury acquitted Carr on 37 counts of violating the Clean Water Act and four counts of violating RCRA, but found the defendant guilty of two counts of violating CERCLA, Secs 103(a) and (b) [42 USC §§ 9603(a) and (b)]. 12/31/88: David Carr was sentenced to pay a $150 fine and serve 2 years probation. 07/25/89: The U.S. Court of Appeals for the Second Circuit affirmed the conviction of David Carr.

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Eason & Smith Enterprises, Inc. CR-88-59-P (W.D. Oklahoma)	Facts: Eason & Smith Enterprises is a corporation doing business in Del City, Oklahoma. The corporation negotiated with officials from Hollman Air Force Base to transport drummed hazardous wastes, which were transported, mixed, and stored. 03/17/88: One-count information filed charging the corporation with knowingly transporting and storing hazardous waste without having obtained interim status or a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. The corporate president, Carroll Smith, signed a Petition to Enter Plea of Guilty to one count charged in the information filed the same day. 05/15/88: The corporation was sentenced to pay a $15,000 fine.
Shenango, Inc. CR-88-58 Nicholas S. Buchko CR-88-57 (W.D. Pennsylvania)	Facts: Shenango, Inc. owns and operates a facility on Neville Island, Pittsburgh, Pennsylvania that produces coke and pig iron using a blast furnace, coke ovens, and other ancillary equipment. Shenango's industrial processes discharge wastewater into the Ohio River. Buchko is employed by Shenango as an environmental engineer. 03/23/88: Separate informations filed charging Shenango, Inc. with six counts of negligently and wilfully discharging pollutants into navigable water of the U S., in violation of its NPDES permits and Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC § 1311(a) and 1319(c)(1)]; and Buchko with one count of knowingly submitting false information in a Discharge Monitoring Report (DMR) submitted to the Commonwealth of Pennsylvania, in violation of Clean Water Act, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. 06/13/88: The corporation pled guilty to all counts charged and was sentenced to pay a $100,000 fine and $100 assessment. Defendant Buchko pled guilty to the count as charged and was sentenced to pay a $10,000 fine and a $25 special assessment.
Ronald C. Shawl 88-7029-M (N.D. Ohio)	Facts: Shawl is plant manager of Chemical Waste Management, Ohio Liquid Disposal located in Vickery, Ohio. 03/31/88: One-count information filed charging Shawl with knowing failure to maintain or submit reports regarding disposal of PCBs, in violation of TSCA, Secs. 15(3) and 16(b) [15 USC §§ 2614(3) and 2615(b)] 11/22/88: The count charged against Shawl was dismissed by U S Magistrate James Carr.

Defendant(s)/Docket No./Court	Facts and Status
Orkin Exterminating Company, Inc. 88-00040 (W.D. Virginia)	Facts: Two Orkin Exterminating Company employees pled guilty in state court in 1987 to misuse of Vikane resulting in the deaths of a couple whose house in Galax, Virginia was fumigated. The state referred the case against Orkin to the U S. Attorney's Office. 04/21/88: A five-count indictment charged Orkin with failure to follow Vikane labeling requirements, in violation of FIFRA, Secs. 12(a)(2)(G) and 14 (b)(2) [7 USC §§ 136j(a)(2)(G) and 136l(b)(2)]. 08/08/88: The company agreed to submit the matter before the judge in lieu of a jury trial. The company was found guilty of one count of FIFRA, Sec. 12 (a)(2)(G) [7 USC § 136j(a)(2)(G)]. 11/17/88: The company was sentenced under the old 18 USC § 3623 (Alternative Fines Act) and the fine was increased because the judge found that the violation resulted in deaths. The company was fined $500,000 ($150,000 suspended), sentenced to 2 years probation, and ordered to perform 2,000 hours of community service. Sentence stayed pending appeal. 01/19/89: Appeal dismissed by 4th Circuit per Orkin's request.
Salvadore Apodaca CR88-324-VST (C.D. California)	Facts: Apodaca is the owner and operator of Apodaca and Sons Plating Co., an electroplating facility located in El Monte, California. 04/25/88: Apodaca pled guilty to a 10-count information filed on the same day. The information charged him with the willful operation of a point source in violation of a pretreatment standard of CWA, Secs. 307(d) and 309(c)(1) [33 USC §§ 1317(d) and 1319(c)(1)]. The company was engaged in the practice of discharging wastewater from its plant into the Los Angeles County sanitary sewer system. This practice was in violation of EPA categorical pretreatment standards for cyanide, zinc, and other heavy metals. 10/03/88: Apodaca was sentenced to pay a $50,000 fine, 5 years probation, and 500 hours of community service.
Spartan Trading Co., Inc. John H. Biddle John H. McDonald Johnny M. Clark CR-88-007-R (N.D. Georgia)	Facts: Spartan Trading Co., Inc. is a Georgia corporation engaged in the business of warehousing and brokering chemicals. The business is located in Dalton, Georgia. John Biddle was president and owner of Spartan Trading Co. John H. McDonald was the owner of "McDonald Farm," also located in Dalton. Johnny Clark was an employee of Spartan Trading Co. Chemical wastes were transported from Spartan Trading Co. warehouse and stored and disposed at the McDonald farm.

Defendant(s)/Docket No./Court	Facts and Status
Spartan Trading Co., Inc. (cont. from previous page)	04/27/88: The defendants are charged in a four-count indictment as follows: Conspiracy in violation of 18 USC § 371: Spartan Trading Co., Biddle, and Clark - one count each Knowingly transporting hazardous wastes to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)], Spartan Trading Co., Biddle, and Clark - one count each Knowingly storing and disposing hazardous wastes without having obtained a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]: Spartan Trading Co., Biddle, and Clark - one count each; McDonald - two counts Facts: Scruggs was charged in the Eastern District of Tennessee in connection with the transportation and disposal of hazardous waste in both Tennessee and Georgia. The information was filed as a result of additional information gained from the prosecution of the Spartan Trading Co. case. 05/11/88: Two-count Information was filed and Scruggs pled guilty to both counts charging him with knowingly disposing hazardous wastes without having obtained a permit, in violation of RCRA, Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)].
Don A. Scruggs, Jr. CR-1-88-52 (E.D. Tennessee)	05/31/88: Defendant Clark pled guilty to two counts charged in the indictment filed on 04/27/88; one count conspiracy [18 USC § 371] and one count of violating RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928 (d)(2)(A)]. One count of the indictment was dismissed by the government [42 USC § 6928(d)(1)] for defendant Clark only. Clark also pled guilty to one count information filed on the same day. The information charged disposal of RCRA-listed laboratory waste in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. Defendant John McDonald pled guilty to one count of knowing unpermitted disposal of wastes into a dry well on McDonald farm, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] 07/15/88: Defendant Clark was sentenced to 3 years imprisonment (all suspended) and 3 years probation. 08/18/88: Spartan Trading Co. and John Biddle were convicted of three counts which were charged in the indictment (04/27/88).

Defendant(s)/Docket No /Court	Facts and Status
Don A. Scruggs, Jr. (cont. from previous page)	08/26/88: Defendant John McDonald was sentenced to pay a $1,000 fine. 3 years imprisonment (suspended), 3 years probation, and a $50 special assessment. 08/30/88: Defendant Donald Scruggs, Jr. was sentenced to 90 days imprisonment, 2 years probation, a $50 assessment, ordered to pay restitution of $13,926.18 to the state of Tennessee and EPA, and to pay restitution of $1,105 to the TVA. 09/30/88: Spartan Trading Company was sentenced to pay a $10,000 fine, $600 special assessment, and pay restitution to EPA for the cost of cleanup of the site in the amount of $138,265.36. Defendant John Biddle was sentenced to 5 years imprisonment (all but 1 year suspended), 3 years probation, $150 assessment, and $500/mo restitution to EPA for any cost over the estimated cleanup cost to be paid by Spartan Trading Co.
Taylor Laboratories, Inc. John H. Taylor, Jr. CR 1-89-57 and CR89-18R (E.D. Tennessee) CR89-006-R (N D. Georgia)	Facts: Related to Spartan Trading Co., Inc., et al. cases. Taylor Laboratories, Inc. stored reagent chemicals (both RCRA listed and characteristic wastes) in a warehouse in Chattanooga. The wastes were found near Chickamauga Lake in Tennessee and in Whitfield County, Georgia. 05/10/89: Subsequently the corporation and John Taylor, Jr. were each charged by an indictment filed in the Northern District of Georgia with the following counts: Three counts of violating RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)] Two counts of violating RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)] Three counts of violating RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)] One count of conspiracy in violation of 18 USC § 371 07/05/89: An information was filed in the Eastern District of Tennessee charging the corporation and Taylor with one count of knowingly transporting hazardous waste to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)], and one count of knowingly transporting hazardous waste without a manifest, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)].

Defendant(s)/Docket No./Court	Facts and Status
Taylor Laboratories, Inc. (cont. from previous page)	08/14/89: Both defendants, the corporation and Taylor, entered guilty pleas. Under terms of the plea agreement, Taylor and the corporation waived indictment in Tennessee and agreed to be charged in the Northern District of Georgia. Taylor and the corporation have both agreed to pay $13,926.18 restitution to the Tennessee Department of Health and Environment, and $1,105 to the TVA. 10/24/89: John Taylor, Jr. and his company (Taylor Labs) were sentenced following their guilty pleas entered on August 14, 1989 in the Northern District Court of Georgia. The charges filed against the defendants in the Eastern District of Tennessee and the Northern District of Georgia were consolidated into the action in the Northern District of Georgia by a Rule 20 removal. The corporation was ordered to pay a $15,000 fine and to pay $15,031 18 restitution (jointly with John Taylor, Jr.). John Taylor, Jr. was sentenced to serve a total of 5 years and 5 days imprisonment (all suspended); to pay a fine of $2,500; to serve 3 years probation; and to pay $36,000 in restitution to Superfund (in addition to the joint restitution with Taylor Labs). Additionally, John Taylor, Jr was sentenced to 4 years imprisonment (all suspended), and pay a $100 fine for the two counts in the Eastern District of Tennessee.
Pennwalt Corporation, Inc. Robert S. Custer Franklin M. Shanahan Edward R. Golinski Orval J. High CR-88-55T (W.D. Washington)	Facts: Pennwalt Corporation, Inc. (hereafter Pennwalt) is a corporation incorporated in the Commonwealth of Pennsylvania and headquartered in Philadelphia, Pennsylvania. Pennwalt is engaged in the business of manufacturing chemical solutions. Custer was former corporate vice president, Shanahan was president of the Inorganic Chemical Division, Golinski was manager of the Inorganic Chemical Division, and High was manager of the Pennwalt Tacoma Plant. On January 2, 1985, a tank ruptured at the Pennwalt, Tacoma plant. The tank contained sodium chlorate solution, a bleaching agent used in the pulp and paper industry. The plant adjoins the Hylebos Waterway, a waterway leading into Commencement Bay and then into Puget Sound. 05/20/88: A six-count indictment was returned against the corporation and four corporate officers charging each defendant with the following: Making a false statement to U.S. Coast Guard in violation of 18 USC § 1001: the corporation, and High - one count each;

Defendant(s)/Docket No./Court	Facts and Status
Pennwalt Corporation, Inc. (cont from previous page)	Negligent discharge of pollutants from a point source into a navigable water of the U S without having obtained a permit, in violation of CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]: the corporation and High - three counts each, Custer, Shanahan, and Golinski - one count each; Negligent discharge of pollutants into navigable water of the U S due to certain valves being left open after the tank ruptured and causing sodium chlorate solution to enter a nearby drain system, which drains directly into the Hylebos Waterway, in violation of CWA, Secs. 301(a) and 309(c)(1)(A) [33 USC §§ 1311(a) and 1319(c)(1)(A)]: the corporation and High - one count each Failure to notify of the release of a hazardous substance into the environment, in violation of CERCLA Sec. 103(b)(3) [42 USC § 9603(b)(3)]: the corporation and High - one count each 05/02/89: The corporation entered a guilty plea to four counts of violating the CWA, and one count of violating CERCLA. The corporation also agreed to pay a $500,000 fine and to fund an environmental trust fund for the U.S. Coast Guard in the amount of $600,000. 05/30/89: Defendant High entered a guilty plea to two counts charged by an information of violating the CWA, Sec. 309(c)(1) [33 USC § 1319(c)(1)] and one count of violating CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. High was sentenced to pay a $5,000 fine and serve 2 years probation.
Central Valley Water Reclamation Facility Board 88-CR-085W (C.D. Utah)	Facts: Central Valley Water Reclamation Facility Board (hereafter CVWRFB), operates and is located in Salt Lake City, Utah. The defendant was also named in a civil action for CWA violations. 05/26/88: Two-count information was filed charging CVWRFB with making false statements on Discharge Monitoring Reports (DMRs) and failing to certify on a DMR that the Cottonwood WTP had an unauthorized bypass of wastewater, in violation of CWA, Sec. 309(c)(2) [33 USC § 1319(c)(2)]. On the same day, CVWRFB pled guilty to both counts and was sentenced to pay a $1,000 fine and a $100 assessment to the Crime Victim's Fund.
Chem-Wood Treatment Co., Inc. Erle Kitagawa 88-00864 (D. Hawaii)	Facts: Chem-Wood Treatment Company, Inc., is a Hawaii corporation located in Ewa Beach, Hawaii. The company is engaged in the business of preservative treatment of wood. Kitagawa was vice president and general manager of the company.

Defendant(s)/Docket No./Court	Facts and Status
Chem-Wood Treatment Co., Inc. (cont. from previous page)	06/02/88: One-count indictment filed charging the company and Kitagawa with one count each of knowingly storing hazardous wastes (a pesticide-copper chromium arsenate), without having obtained interim status or a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928 (d)(2)(A)]. 06/13/88: The company and Kitagawa pled guilty to one count each. 07/25/88: The company was sentenced to pay a $25,000 fine. Kitagawa was sentenced to pay a $5,000 fine (suspended), 2 years imprisonment (suspended), 3 years probation, and 100 hours of community service.
Cuyahoga Wrecking Company Leonard Capizzi Chester F. Reiss, Sr. James J. Abbajay Robert S. Torok 88-497 (C.D. California)	Facts: Cuyahoga Wrecking Company is headquartered in Great Neck, New York and was engaged in the demolition operation at the Kaiser Steel Plant in Fontana, California. Capizzi was manager of demolition operations from March 1983 to January 1985. Reiss, Sr. was manager of demolition operations from February to June 1985 Torok was manager of demolition operations from July 1985 to September 1986. 06/14/88: An eight-count indictment charged the company and four employees with the following: The company and four defendants were each charged with one count of conspiracy to defraud the U.S. EPA and demolish buildings and structures in violation of emission standards for asbestos (NESHAP) in violation of 18 USC 371. The company and Abbajay were charged with one count each of making a false statement in violation of 18 USC § 1001. Knowingly demolished and caused to demolish a stationary source and knowingly caused asbestos to be emitted from a stationary source in violation of NESHAP standards in violation of CAA Secs. 112(c)(1)(B) and 113(c)(1)(B) [42 USC §§ 7412(c) and 7413(c)(1)(C)]: the company - three counts; Torok - two counts; Capizzi, Reiss, and Abbajay - one count each Failure to immediately notify the appropriate U.S. Government agency of the release of a reportable quantity of asbestos in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]: the company - three counts; Abbajay, Capizzi, and Reiss - one count each
Joseph A. Grossi 88-580 (C.D. California)	08/01/88: Grossi was charged by the filing of an information with one count of violating the Clean Air Act [42 USC §§ 7412(c)(1)(B) and 7413(c)(1)(C)]. On the same day he pled guilty to the same count.

Defendant(s)/Docket No./Court	Facts and Status
Joseph A. Grossi (cont. from previous page)	10/11/88: The company and four individual defendants pled guilty to the following counts: Each defendant pled guilty to one count of conspiracy, 18 USC § 371 The company also pled guilty to one count of making a false statement in violation of 18 USC § 1001, two counts of violating the Clean Air Act [42 USC §§ 7412(c)(1)(B) and 7413 (c)(1)(C)], and three counts of violating CERCLA [42 USC § 9603(b)(3)]. Defendants Capizzi, Reiss, and Abbajay each additionally pled guilty to one count of violating the Clean Air Act [42 USC §§ 7412(c)(1)(B) and 7413(c)(1)(C)], and one count violating CERCLA [42 USC § 9603(b)(3)]. Defendant Torok additionally pled guilty to two counts of violating the Clean Air Act [42 USC § 7412(c)(1)(B) and 7413(c)(1)(C)]. On the same day, the company was sentenced to pay a $250,000 fine. 12/05/88: The remaining defendants were sentenced as follows: Leonard Capizzi - 18 months imprisonment Chester Reiss, Sr - 6 months imprisonment James Abbajay - 2 months imprisonment Samuel Torok - 6 months imprisonment 12/21/88: Joseph Grossi was sentenced separately to serve 2 years probation and 250 hours of community service.
Charles Arcangelo James Arcangelo Antonio DeLucia (aka "Uncle Tony") Frank DeFelice (aka "Frankie Boy") Marco DeLucia (aka "Nutsie") Dennis Champagne (aka "Red") Roger Boisonneault John Cappella Robert Veltre Nicholas Sciarra Bruce Bieler N-88-43TFGD (D. Connecticut)	Facts: Charles Arcangelo and his brother, James Arcangelo, were owners and operators of five junkyards located in the state of Connecticut. They also operated Arcangelos Restaurant in New Haven, Connecticut until the building was substantially destroyed in an arson fire which occurred in June 1981. In conjunction with the operation of the junkyards, the Arcangelo brothers also operated four scrap dealer businesses. The remaining defendants are either owners or were associated with Chuck and Eddie's Junkyards. 06/23/88: A sealed indictment was filed in the U.S. Federal District Court (see comment for 06/24/88). 06/24/88: All the individual defendants were arrested and a 15-count RICO (the Federal Racketeer Influenced and Corrupt Organization statute) indictment was unsealed in New Haven, Connecticut. The Arcangelos were charged as follows:

Defendant(s)/Docket No./Court	Facts and Status
Charles Arcangelo, et al. (cont. from previous page)	Charles and James Arcangelo were each charged with: two counts of transportation and harboring of illegal aliens in violation of 18 USC § 1324(a)(1)(B); three counts of mail fraud in violation of 18 USC § 1341; two counts of racketeering in violation of 18 USC § 1962(c) and (d); one count of sale or receipt of stolen motor vehicles in violation of 18 USC § 2313; three counts of interstate transportation of stolen property in violation of 18 USC § 2314, one count of disposal of hazardous waste without a permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]; and one count of failure to notify of the release of a hazardous substance (mercury) and release without a permit, in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)].
	The Arcangelo brothers were the only defendants charged with violating environmental laws. The other defendants' charges involve various criminal activities consisting of interstate transportation of stolen property (motor vehicles), sale or receipt of stolen motor vehicles, mail fraud, and violations of federal immigration law, or altering VIN numbers.
	01/03/89: Roger Boisonneault entered a guilty plea to one count of interstate transportation of stolen property, in violation of 18 USC § 2314, and one count of tampering with a VIN in violation of 18 USC § 511(a)
	01/06/89: Bruce Bieler's motion for pretrial diversion was granted.
	01/10/89: Charles and James Arcangelo entered guilty pleas to one count of racketeering, in violation of 18 USC § 1962(c) and (d), and one count of illegal disposal of hazardous waste, in violation of RCRA, Sec 3008(d)(2) [42 USC § 6928(d)(2)];
	Antonio DeLucia entered a charge of plea to guilty to one count of sale or receipt of stolen motor vehicles [18 USC § 2313] and one count of interstate transportation of stolen property [18 USC § 2314];
	Frank DeFelice entered a guilty plea to one count of Racketeer Influenced and Corrupt Organizations (RICO), in violation of 18 USC § 1962(d);
	Marco DeLucia entered a guilty plea to one count of interstate transportation of stolen property, in violation of 18 USC § 2314; and
	Robert Veltre entered a guilty plea to one count of sale or receipt of stolen motor vehicles, in violation of 18 USC § 2313.

Defendant(s)/Docket No./Court	Facts and Status
Charles Arcangelo, et al. (cont. from previous page)	01/17/89: Dennis Champagne entered a guilty plea to one count of interstate transportation of stolen property, in violation of 18 USC § 2314. John Cappella entered a guilty plea to one count of sale or receipt of stolen motor vehicles, in violation of 18 USC § 2313. 03/08/89: Robert Veltre was sentenced to 5 years imprisonment (all but 6 months suspended), placed on probation for 5 years, and ordered to pay a $10,000 fine and a $50 special assessment. 03/10/89: Marco DeLucia was sentenced to 4 years imprisonment (suspended), placed on 4 years probation, ordered to pay a $2,000 fine and a $100 special assessment; and Antonio DeLucia was sentenced to serve 3 years on two counts (to be served consecutively and 3 years suspended), placed on probation for 4 years, ordered to pay a fine of $5,000, and a $100 special assessment. 03/15/89: John Cappella was sentenced to 5 years imprisonment (suspended), placed on 5 years probation, ordered to pay a $25,000 fine and a $50 special assessment. 03/16/89: Dennis Champagne sentenced to 4 years imprisonment (to be served consecutively with sentence imposed in another criminal conviction) and ordered to pay a $50 special assessment. 03/17/89: Frank DeFelice sentenced to 5 years imprisonment and ordered to pay a $50 special assessment. 04/03/89: Roger Boisonneault sentenced to 3 years probation and ordered to pay a $100 special assessment. 04/05/89: Nicholas Sciarra entered a guilty plea to one count of interstate transportation of stolen property, in violation of 18 USC § 2314 04/13/89: Charles Arcangelo was sentenced to serve 12 years imprisonment (suspended), placed on 5 years probation, pay a $200,000 fine ($50,000 to the victims, $23,429.07 to the State of Connecticut Dept. of Environmental Protection, and the remainder to the Lexington Insurance Co. of Boston), ordered to forfeit $300,000 worth of computer equipment, and ordered to pay a special assessment of $100. 04/14/89: James Arcangelo was sentenced to 7 years imprisonment (suspended), placed on 5 years probation, shares joint and several liability for restitution and forfeiture imposed in sentence of his brother, Charles, and ordered to pay a special assessment of $100

Defendant(s)/Docket No./Court	Facts and Status
Charles Arcangelo, et al. (cont. from previous page)	05/31/89: Nicholas Sciarra was sentenced to serve 2 years imprisonment (suspended), placed on 4 years probation, and ordered to pay a $50 special assessment.
William Dee Robert Lentz Carl Gepp HAR-88-0211 (D Maryland)	Facts: Dee, Lentz, and Gepp are chemical engineers, who hold top management positions at the Chemical Research Development and Engineering Center (CRDEC). The CRDEC was the primary tenant and main activity at the Edgewood area of Aberdeen Proving Grounds, a Test and Evaluation Command installation within the U S Army Material Command, located in Baltimore and Hartford Counties, Maryland. 06/28/88: A five-count indictment was filed charging the three defendants as follows: One count each for negligent discharge of pollutants, namely hydro-sulfuric acid, from a point source into Canal Creek, a navigable water of the U.S. without an NPDES permit in violation of CWA, Sec. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)], and Four counts of knowing unpermitted treatment, storage, and disposal of hazardous wastes in violation of RCRA, Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 11/29/88: A superseding indictment charged the same counts as the indictment (see above). 02/23/89: A federal district court jury convicted defendant Dee with one count of violating RCRA and defendants Lentz and Gepp with three counts each of violating RCRA. The remaining counts were dismissed 05/10/89: The three defendants were each sentenced to 3 years probation per count (to be served concurrently), and 1,000 hours community service per count (to be served concurrently) and court costs.
Shelton Erickson CR-88-60019-01 (W.D. Louisiana)	Facts: Erickson is president, owner, and operator of Erickson Chemical Company, located in New Iberia, Louisiana. The company's business involves oil field soaps and degreasers and includes the manufacturing of corrosive inhibitors, paraffin solvents, scale inhibitors, etc. 06/29/88: Erickson pled guilty to one count information filed on the same day charging him with unpermitted treatment, storage, and disposal of hazardous waste, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)].

Defendant(s)/Docket No./Court	Facts and Status
Shelton Erickson (cont. from previous page)	08/19/88: Erickson was sentenced to pay a $2,500 fine and placed on 2 years probation.
George Scofield Company, Inc. CR-88-73-FDB Robert Squyres CR-88-74-FDB (W D. Washington)	Facts: The George Scofield Company operated a ready-mix concrete plant in Tacoma, Washington. The plant was located alongside the Tacoma City Waterway, which leads into Commencement Bay and then into Puget Sound. Robert Squyres was superintendent (prior to December 1986) and General Manager (after December 1986) of the Scofield plant. His duties included overseeing the concrete manufacturing operations at the Scofield Tacoma plant. 07/05/88: Two separate informations were filed charging both the company and Squyres each with two counts of negligently discharging pollutants, consisting of alkaline wastewaters from cleaning concrete trucks, into a navigable waterway of the United States, without having a permit and in violation of an existing NPDES permit, all in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC 1311(a) and 1319(c)(1)]. 07/13/88: The company and Squyres pled guilty to two counts charged to each of them in the informations. The company was sentenced to pay a $40,000 fine and placed on 3 years probation. 09/22/88: Defendant Squyres was sentenced to pay a $10,000 fine and placed on 3 years probation.
Ronald Rollins CR-88-10033 (D. Idaho)	Facts: Ronald Rollins used a restricted-use pesticide (Mobay Furadan 4F) in proximity of a waterfowl nesting area and on fields, where waterfowl fed in a wetland area of the Snake River. 07/11/88: A two-count information was filed charging the defendant with one count of illegal use of a registered pesticide, in violation of FIFRA Secs.12(a)(2)(G) and 14(b)(2) [7 USC §§ 136j(a)(2)(G) and 136l(b)(2], and one count of unlawfully taking migratory birds (Canadian Geese) in violation of the Migratory Bird Treaty Act [16 USC §§ 703 and 707]. 10/17/88: A federal district court jury handed down a verdict, which dismissed one count of violating FIFRA, and convicted Rollins of one count of violating the Migratory Bird Treaty Act. 10/28/88: Rollins was sentenced to 1 year imprisonment (all suspended) and 1 year probation.

Defendant(s)/Docket No./Court	Facts and Status
Lee S. Woodside Gary A. Masson CR-88-362 (D. New Mexico)	Facts: Lee Woodside was vice president of Refining and Marketing for Plateau, Inc. Gary Masson was manager for Refinery Planning for Plateau, Inc. Plateau, Inc. was a New Mexico corporation headquartered in Albuquerque and operated refineries in Bloomfield, New Mexico and Roosevelt, Utah. Plateau was in the business of refining and blending petroleum products into gasoline and the retail sale of gasoline. Plateau, Inc. was administratively assessed a civil penalty of $130,000 by EPA in June 1988. 07/14/88: A three-count indictment was filed, charging each defendant, Woodside and Masson, with one count of conspiracy, in violation of 18 USC § 371, and two counts of making false statements in reporting lead usage in the production of gasoline, in violation of 18 USC § 1001 09/14/88: A three-count superseding information was filed charging defendant Masson with one count conspiracy [18 USC § 371] and two counts of making false statements on a report submitted to EPA, "Lead Additive Report for Refinery," in violation of The Clean Air Act, Sec 113(c)(2) [42 USC § 7413(c)(2)]. On the same day, Masson pled guilty to all three counts charged in the superseding information. 11/03/88: Masson was sentenced to pay a $3,000 fine. 11/28/88: Defendant Woodside entered a guilty plea to three counts charged in the superseding information filed on the day the trial was to begin. 02/10/89: The judge imposed a sentence on defendant Woodside of 5 minutes of unsupervised probation.
Commercial Metals Company, Inc. (dba Karchmer Iron and Metal Co.) Harold Belcher James Vermillion CR-88-03318-01 (W D. Missouri)	Facts: Karchmer Iron and Metal Company is a subsidiary of Dallas-based Commercial Metals Company, Inc. The company is in the business of processing scrap metal. James Vermillion was the manager at the Karchmer plant. Harold Belcher was the office manager at the Karchmer plant, located in Springfield, Missouri 07/27/88: A six-count indictment was filed charging the company, Vermillion, and Belcher with one count each of conspiracy [18 USC § 371]; two counts each of knowingly disposing of hazardous waste without first obtaining a permit, in violation of RCRA, Sec. 3008(d) [42 USC § 6928(d)]; one count each of endangerment, in violation of RCRA, Sec. 3008(e) [42 USC § 6928(e)]; the company and Vermillion were also charged with one count each unlawful discharge of pollutants into a navigable waterway of the United States without a permit, in violation of the Clean Water Act, Sec. 301(a) and 309(c)(2) [33 USC §§ 1311 (a) and 1319(c)(2)].

Defendant(s)/Docket No./Court	Facts and Status
Commercial Metals Company, Inc. (cont. from previous page)	02/17/89: The government dismissed all the CWA counts before the trial began. A federal district court jury acquitted the company of conspiracy and three RCRA counts, and acquitted Vermillion and Belcher of conspiracy and the RCRA count charging knowing endangerment, [42 USC § 6928(e)]. The jury was hung and the government dismissed the RCRA counts against the individuals, who were charged with two counts of illegal disposal without obtaining a permit [42 USC § 6928(d)].
Forms, Inc. 88-00292 Phillip F. Trembley 88-00293 (E.D. Pennsylvania)	Facts: Forms, Inc. is a Pennsylvania corporation located in Willow Grove, Pennsylvania and engaged in the business of manufacturing and printing business forms. Phillip Trembley was a contractor hired by Forms, Inc. to remove various 55-gallon drums from the Forms, Inc facility. 07/28/88: Two separate informations were filed charging both Forms, Inc. and Trembley with one count of knowingly transporting hazardous waste to an unpermitted facility in violation of RCRA, Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 08/02/88: Defendant Trembley pled guilty to one count information 08/05/88: The company pled guilty to one count information and was sentenced to pay a $50,000 fine. 09/26/88: Defendant Trembley was sentenced to pay $1,500 fine and placed on 5 years probation.
Gardinier, Inc. 88-238-CR-T-17A (M.D. Florida)	Facts: Gardinier, Inc. manufactured phosphatic fertilizer solution (PFS), which contains phosphoric acid and is considered a hazardous substance. On May 1, 1988, one of the storage tanks, containing PFS, overflowed and at least 40,000 gallons were discharged into or onto the shoreline of the Alafia River. 08/03/88: The company pled guilty to one count charged in an information filed the same day. The information charged the company with one count of discharging a hazardous substance from an onshore facility into a navigable waterway and failing to immediately notify the appropriate agency, in violation of section 1321 of the Federal Water Pollution Control Act [33 USC § 1321(b)(5)]. 10/21/88: Gardinier, Inc. was sentenced to pay a $100,000 fine, serve 1 year probation, and to perform other remedial action, as stated in the plea agreement.

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Defendant(s)/Docket No./Court	Facts and Status
Chase Interiors, Inc. Kenneth Kaminski CR-88-119C (W.D. New York)	Facts: Chase Interiors, Inc. operated an industrial plant located in Falconer, New York. The company was in the business of constructing and finishing cabinets and other fixtures used in department stores and other retail businesses. Kenneth Kaminski was the manager and direct supervisor of the plant. 08/05/88: A two-count indictment was filed charging the company and Kaminski with one count each of conspiracy, in violation of 18 USC 371, and one count each of knowing and unlawful treatment, storage, and disposal of hazardous waste, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 01/31/89: Kaminski was charged in a superseding information with one count of failure to notify of the release of a hazardous substance, in violation of CERCLA, Sec. 103(b) [42 U.S.C.§ 9603(b)]. 02/01/89: The company entered a guilty plea to one count of violating RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. Kaminski pled guilty to one count of violating CERCLA, Sec.103(b) [42 USC § 9603(b)] 05/19/89: Kaminski was placed on 2 years probation. The company was sentenced to pay a fine of $50,000 and placed on 5 years probation.
James B. Hellman Joel H. Lederman Barry A. Seldin William F. Smith, II 88-CR-216 (D. Colorado)	Facts: Denver Asbestos Control Technology, Inc. (hereinafter DACT) and D.A.C.T. U.S.A., Inc. are Colorado corporations engaged in the business of asbestos removal from Denver-area public schools Hellman, Lederman, and Seldin were employees of the asbestos removal companies. Smith was Hazardous Materials Manager for the Denver Public Schools. 08/11/88: An 11-count indictment was filed charging four individual defendants each with the following: Six counts of bribery, in violation of 18 USC § 666(a)(1)(B) and (a)(2); two counts of making false statements, in violation of 18 USC § 1001; two counts of influencing, in violation of 18 USC § 1014; and one count of mail fraud, in violation of 18 USC § 1341.

Defendant(s)/Docket No./Court	Facts and Status
James B Hellman, et al. (cont. from previous page)	02/10/89: A superseding information was filed against defendant Lederman and he pled guilty to both counts charged: one count of making a false statement, in violation of 18 USC § 1003, and one count of influencing, in violation of 18 USC § 1014. Defendant Hellman pled guilty to two counts charged in the indictment: one count of bribery, in violation of 18 USC § 666, and one count of influencing, in violation of 18 USC § 1014. 03/20/89: Defendant Lederman was placed on 3 years probation on each count (to run concurrently); sentenced to pay a $1,500 fine, plus a $50 special assessment to the Crime Victim's Fund, and 150 hours community service. 03/22/89: Defendant Hellman was sentenced to serve 1 year and 6 months imprisonment, placed on probation for 3 years, and ordered to pay a $100 special assessment to the Crime Victim's Fund. 06/20/89· All counts charged against defendant Barry Seldin were dismissed. 06/23/89: A jury acquitted defendant William Smith on all counts.
Ashland Oil Inc. 88-146 (W.D. Pennsylvania)	Facts: Ashland Oil Inc. is a corporation incorporated in the state of Kentucky and headquartered in Russell, Kentucky. On January 2, 1988 an oil storage tank, located at Ashland's Floreffe, Pennsylvania facility, collapsed and caused an excess of 500,000 gallons of oil to spill into the Monongahela River. 09/15/88: A grand jury handed down a two-count indictment charging the corporation with one count of unlawfully discharging refuse (oil) into a navigable water of the United States without a permit, in violation of Secs. 13 and 16 of the Rivers and Harbors Appropriation Act of 1899 (the Refuse Act) [33 USC §§ 407 and 411]; and one count of negligently discharging pollutants into a navigable water of the United States without a permit, in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 02/07/89: Ashland Oil entered a nolo contendere plea to both counts charged in the indictment. 03/09/89: Under the Alternative Fines Act (18 U.S.C.§ 3571), the corporation was sentenced to pay a $2,250,000 fine.

Defendant(s)/Docket No./Court	Facts and Status
Riedel International, Inc. James A. Repman Ronald E. Summers CR88-271W (W.D. Washington)	Facts: Riedel International, Inc. was parent corporation of Pioneer Construction Materials Co., which operated ready-mix concrete plants adjacent to Lake Union and the Duwamish River in Seattle, Washington. Repman was president of Pioneer and Summers was operations manager. 09/12/88: Riedel International, Inc. entered a guilty plea to six counts charged in an information which was filed later on September 20, 1988 09/20/88: A 10-count information was filed charging Riedel International Inc. with six counts, Repman and Summers with two counts each of willfully and negligently discharging waste water into a navigable water of the United States without an NPDES permit, in violation of the Clean Water Act Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 09/29/89: Defendants Repman and Summers both entered guilty pleas to both counts charged to each of them in the information. 12/02/88: The defendants were sentenced as follows: Riedel International to pay a $150,000 fine, plus $150 assessment to the Crime Victim's Fund; Repman to pay a $10,000 fine, serve 3 years probation, and 150 hours of community service; Summers to pay a $3,000 fine, serve 5 days imprisonment, 3 years probation, and 150 hours of community service.
Chorine Kasema Mackenzie Nzau (aka Kasema Mackenzie) 88-478M (W D. Washington)	Facts: Approximately 300 55-gallon drums, containing suspected hazardous waste, were found in mini-storage units rented to a company, Washington Environment Pro-Tech, Inc., owned by Kasema Mackenzie. The drums were discovered in the mini-storage units, located in a complex in Bellevue, Washington because the rent was past due. 08/26/88: Defendant Kasema Mackenzie was arrested pursuant to a federal search warrant. Four separate search warrants were executed on Mackenzie's car, residence, briefcase, and a second self-storage warehouse rented by Mackenzie. 09/21/88: A 12-count indictment was filed charging Mackenzie with seven counts of making false statements, in violation of 18 USC § 1001; four counts of knowingly transporting hazardous waste to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]; and one count of knowingly storing waste at a facility without a permit in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)].

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Chorine Kasema Mackenzie Nzau (cont. from previous page)	10/28/88: Mackenzie pled guilty to one count of illegal storage of hazardous waste, in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]. 12/16/88: Mackenzie was ordered to pay $100,000 restitution for the clean up costs incurred by EPA, and also sentenced to 3 years imprisonment (all but 6 months suspended) and 3 years probation.
John Pozsgai 88-450 (E D. Pennsylvania)	Facts: John Pozsgai owns a tract of land consisting of wetlands adjacent to an unnamed tributary of the Pennsylvania Canal in Bucks County, Pennsylvania. 09/29/88: A 41-count indictment was filed charging Pozsgai with discharging pollutants, including fill material onto wetlands without a permit, in violation of the Clean Water Act, Secs. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)]. 12/30/88· Defendant Pozsgai was convicted of 40 out of the 41 counts charged in the indictment. 07/13/89: Pozsgai was sentenced to pay a $200,000 fine, serve 3 years imprisonment on each count (to be served concurrently), 5 years probation, including restoration of wetlands, and assessed $2,000 for the Crime Victim's Fund. 01/12/90· Pozsgai's conviction was upheld in the U.S Court of Appeals for the 3rd Circuit. 10/01/90: U.S. Supreme Court refused to review conviction and sentence.

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Defendant(s)/Docket No /Court	Facts and Status
William N Bogas CR-88-282 (N D Ohio)	Facts William Bogas was commissioner of the Cleveland Hopkins Airport Bogas was responsible for supervising all activities at the airport, including the maintenance department, which collected and disposed of waste generated by the airport. 10/04/88: Bogas was charged in a five-count indictment with three counts of making false statements, in violation of 18 USC § 1001, one count of storage, disposal, and treatment of hazardous waste without a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]; one count for failure to notify of a release of a hazardous substance in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. 05/19/89: Bogas entered a guilty plea to three counts of making false statements, in violation of 18 USC § 1001, and one count of violating CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. 02/13/90: Bogas was sentenced to serve 6 months imprisonment in a home detention program at a cost of $15 a day, to be paid by the defendant (a total of $2,700); to serve 4 years probation, serve 1,000 hours community service, and pay a $100 special assessment to the Crime Victim's Fund. The sentence and case are being appealed. 12/03/90: The Court of Appeals ruled in favor of government and reversed sentencing of trial judge. Case will be remanded back to District Court for resentencing.
Ocie Mills Carey C. Mills 88-03100-WEA (N.D. Florida)	Facts: Ocie and Carey C. Mills own property in Santa Rosa County, Florida. The property is located in the Spanish Landing Subdivision and consists of wetlands area adjacent to East Bay. 10/18/88: A six-count indictment was filed charging defendants Ocie and Carey C. Mills with the following counts: One count each of unlawfully excavating or causing to excavate a canal in the navigable waters of the United States (East Bay), without having obtained a permit from the U.S. Army Corps of Engineers, in violation of Secs. 10 and 12 of the Rivers and Harbors Appropriation Act of 1899 [33 USC §§ 403 and 406] Five counts each of knowingly discharging or causing pollutants to be discharged into the waters of the United States by placing fill into wetland areas adjacent to East Bay without a permit in violation of the Clean Water Act, Secs. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)] 01/26/89: Both defendants were convicted of all counts charged in the indictment.

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Ocie Mills Carey C Mills (cont. from previous page)	04/17/89: Ocie and Carey Mills were both sentenced to serve 1 year and 9 months imprisonment, serve 1 year probation, pay a $5,000 fine, and a $250 special assessment to the Crime Victim's Fund. On the same day, an appeal of the judgment and sentence was filed and is pending in the U.S. Court of Appeals for the Eleventh Circuit
John Mastrobuono 88-381 (D. New Jersey)	Facts: Mastrobuono was operator-administrator of Caldwell Sewage Treatment Plant, a wastewater treatment plant, which serves six Essex County, New Jersey municipalities. 10/21/88: An 18-count indictment was filed charging Mastrobuono with 18 counts of making false statements by submitting false reports to EPA and the New Jersey Department of Environmental Protection, in violation of 18 USC § 1001. 12/08/88: The defendant entered a guilty plea to one count of making a false statement. 01/23/89: The defendant was sentenced to pay a $2,000 fine, to serve 1 year imprisonment, and 3 years probation.
Transit Mix Concrete Company, Inc. 88-CR-289 (D. Colorado)	Facts: Transit Mix Concrete Company, Inc. operates a cement plant, located in Colorado Springs, Colorado. Transit Mix is a subsidiary of Chicago-based Continental Materials Corp. 10/24/88: An information was filed charging the company with one felony count of knowingly discharging pollutants without a permit from a point source into navigable waters of the United States, in violation of the Clean Water Act, Sec. 309(c)(2)(A) [33 USC § 1319(c)(2)(A)]. The charge resulted from the company's dumping of concrete wastewater and solids, including calcium hydroxide, a highly corrosive agent used in cement mixing, into Shooks Run. This stream runs into Fountain Creek, a tributary of the Arkansas River. 11/07/88: The company pled guilty to one count as charged in the information. The company was sentenced to pay a $500,000 fine (all but $50,000 suspended), to serve 5 years probation, and to spend $55,000 on a community service project such as improving hiking trails along the river as suggested by the judge.
Progressive Oil Company CR-88-324 (D. Massachusetts)	Facts: Progressive Oil Company, located in Gloucester, Massachusetts, is in the business of selling and delivering heating oil.

Defendant(s)/Docket No./Court	Facts and Status
Progressive Oil Company (cont from previous page)	11/10/88 An indictment charged the company with one count of negligent discharge of a pollutant (gasoline) into the sewer system and publicly owned treatment works, which a reasonable person would have known could cause personal injury and property damage, in violation of CWA, Sec. 309(c)(1)(B) [33 USC § 1319(c)(1)(B)]. The indictment alleges that gasoline contaminated with water was pumped into a drain on the company property. This drain was connected with the town of Gloucester's sewer system. After the discharge, an explosion occurred causing manhole covers to be hurled into the air, and also the evacuation of downtown Gloucester. 09/11/89· The company entered a nolo contendere plea (which has the same legal effect as a guilty plea), to the count charged in the indictment. 11/17/89: The company was sentenced to pay $21,718.49 to the city of Gloucester and was placed on probation for 3 years. Leonard Linquata, the treasurer of Progressive Oil, will serve 3 years probation on behalf of Progressive Oil.
William E. Willey 88-00518 (E D. Pennsylvania)	Facts: Willey was one of two owners and operators of Apache Waste Oil Company, located in Conshohocken, Pennsylvania. Apache Waste Oil Company was in the business of collecting and selling waste oil. 12/06/88: An information was filed charging Willey with one count of knowingly transporting hazardous waste to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. 01/05/89: Defendant Willey pled guilty to one count charged in the information. 01/18/89: Willey was sentenced to 1 year probation and ordered to pay a $1,000 fine and court costs.
Stephen L. Johnson Country Estates Investment, Inc. 88-03495-01/02 CR (W D. Missouri)	Facts: Country Estates Investment, Inc. owns Colony Cove Mobile Home Park in Springfield, Missouri. Stephen L. Johnson was president of Country Estates Investments, Inc. Wastewater, generated by the mobile home park, was treated in a lagoon on the premises. When the Missouri Department of Natural Resources issued a wastewater discharge permit, a provision stated that when sewer lines of the City of Springfield were available that the mobile home park's wastewater collection system would have to be connected.

Defendant(s)/Docket No /Court	Facts and Status
Stephen L. Johnson Country Estates Investment, Inc. (cont from previous page)	12/20/88: A grand jury returned a one-count indictment against Stephen Johnson and Country Estates Investment, Inc charging each with knowingly discharging pollutants from a point source into navigable waters of the United States without obtaining an NPDES permit in violation of the Clean Water Act, Secs. 301(a) and 309(c)(2) [33 USC §§ 1311(a) and 1319(c)(2)]. 10/17/90: Judgment of the district court in this cause was affirmed by the U.S. Court of Appeals - Eighth Circuit. 01/03/89: Defendant Johnson and the company both pled guilty to one count charged in the indictment. 03/31/89: The company was sentenced to pay a $35,000 fine and $200 to the Crime Victim's Fund. Johnson was sentenced to pay a $2,500 fine and $25 to the Crime Victim's Fund, serve 5 months imprisonment, and 1 year probation. 09/21/90: Appeal filed from U.S. District Court for W.D. Missouri to Eighth Circuit Court of Appeals No. 89-2753. 10/17/90: Judgment of the district court in the case was affirmed by the U.S. Court of Appeals - Eighth Circuit.
The Bill L. Walters Companies 88-CR-375 (D. Colorado)	Facts: The Bill Walters Cos. was the developer behind the Galleria Project, a defunct plan to build a large shopping mall in Denver, Colorado. 12/22/88: The company was charged in an information with one count of willfully discharging fill material into a wetland without a permit, in violation of the CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. On the same day, the company pled guilty to the count charged. 02/07/89: The company was sentenced to pay a $15,000 fine.
Gregory Boone Michael D'Avocato Alex Feinman 88-543 (E.D. Pennsylvania)	Facts: Feinman entered into contracts for HMC Recycling Corp. Boone and D'Avocato were truck drivers for HMC Recycling Corp., located in Philadelphia, Pennsylvania. The company was in the business of scrap metal recycling, demolition, and asbestos removal. D'Avocato was arrested on January 26, 1989. Boone was arrested on February 12, 1989 and again on March 28, 1989. 12/29/88: Defendants D'Avocato, Boone, and Feinman were indicted in a five-count indictment. They were each charged with the following: One count of conspiracy, in violation of 18 USC § 371

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Gregory Boone, et al. (cont. from previous page)	Two counts of knowingly disposing asbestos in an unpermitted facility in violation of the Clean Air Act, Secs. 112(c)(1)(B) and (e), and 113(c)(1)C) [42 USC §§ 7412(c)(1)(B), 7412(e), and 7413 (c)(1)(C)] Two counts for failure to notify of a release of a hazardous substance, in violation of CERCLA Secs. 102(b) and 103(b)(3) [42 USC §§ 9602(b) and 9603(b)(3)] 01/20/89: Alex Feinman entered a guilty plea to one count of conspiracy (18 USC § 371) and the remaining counts against him were dismissed 04/24/89: Boone pled guilty to two counts of violating the CAA. 04/28/89: D'Avocato was acquitted on all five counts after a trial. 05/12/89: Feinman was sentenced to 1 year imprisonment. 06/16/89: Boone was sentenced to serve 1 year imprisonment and 5 years probation.
Robert McKiel Scott McKiel John Shepardson David Boldt 89-29-N (D Massachusetts)	Facts: Astro Circuit Corporation owned and operated an electroplating facility located in Lowell, Massachusetts. Astro Circuit Corp. employed: Robert McKiel as President of Astro Circuit, Scott McKiel as Vice President, John Shepardson as Waste Treatment Consultant David Boldt as Chemical Process Engineering Manager The facility discharged wastewaters into the City of Lowell's wastewater treatment plant, which discharges into the Merrimack River. Other wastewater was discharged from the Lowell facility directly into River Meadow Brook, a tributary of the Concord River, which is a tributary of Merrimack River. Astro Circuit's Lowell plant manufactured printed circuit boards. The wastewater, generated by Astro Circuit's industrial processes, contained toxic metals such as copper, lead, and nickel. 01/31/89: A 52-count indictment was filed charging: Willful and negligent discharge of pollutants (toxic metals) from point sources, pipes, into a navigable water of the U.S., the River Meadow Brook, a tributary of the Merrimack River, without an NPDES permit, in violation of the CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]: Robert - 10 counts. Shepardson - three counts

Defendant(s)/Docket No /Court	Facts and Status
Robert McKiel, et al. (cont. from previous page)	Knowingly discharging pollutants from a point source into navigable water of the U.S., without a permit, in violation of the CWA, Secs. 301(a) and 309(c)(2) [33 USC §§ 1311(a) and 1319(c)(2)] Robert - six counts, Scott - six counts Willful and negligent discharge of pollutants (wastewaters) into a publicly owned treatment works (POTW) in violation of pretreatment standards and the CWA, Sec. 307(b) and (d), and 309(c)(1) [33 USC §§ 1317(b) and (d), and 1319(c)(1)]. Robert - eight counts, Scott - one count Knowingly discharging of pollutants (wastewaters), into a POTW, in violation of pretreatment standards and the CWA, Secs 307(b) and (d), and 309(c)(2) [33 USC §§ 1317(b) and (d), and 1319(c)(2)]· Both Robert and Scott - 23 counts and Boldt - 14 counts Knowingly storing listed hazardous wastes (wastewater treatment sludges), for a period longer than 90 days without a permit or interim status, in violation of RCRA, Secs. 3005 and 3008(d)(2)(A) [42 USC §§ 6925 and 6928(d)(2)(A)]: Robert - four counts and Scott - one count 05/04/89: Defendant Shepardson entered a guilty plea to one count of violating the CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1301(a) and 1319(c)(1)]. He was sentenced on the same day to serve 6 months probation and to pay $25 to the Crime Victim's Fund. 05/12/89: Defendants Robert and Scott McKiel entered guilty pleas to the following counts: Both Robert and Scott to three counts of violating the CWA, Secs 301(a) and 309(c)(2) [33 USC §§ 1311(a) and 1319(c)(2)]; Both Robert and Scott McKiel to eight counts of violating the CWA, Secs. 307(b) and (d), and 309(c)(2) [33 USC §§ 1317(b) and (d), and 1319(c)(2)]; Robert to one count of violating RCRA, Secs. 3005 and 3008(d)(2)(A) [42 USC §§ 6925 and 6928(d)(2)(A)]. 06/29/89: Defendants Robert and Scott McKiel were sentenced as follows: Robert: to serve 1 year imprisonment (all but 4 months suspended), serve 2 years probation, and pay $600 to the Crime Victim's Fund.

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Robert McKiel, et al (cont from previous page)	Scott: to serve 9 months imprisonment (all but 3 months suspended), serve 2 years probation, and pay $500 to the Crime Victim's Fund
	03/06/90: Defendant Boldt was convicted by a federal jury on two counts of knowingly violating the CWA, 33 USC 1319(c).
89-0024-04-S	03/09/90: Boldt was sentenced to serve 24 hours imprisonment on each of two counts to run consecutively, and was ordered to pay $100 to the Crime Victim's Fund.
	06/20/90: Defendant Boldt filed an appeal.
William W Windham CR-1-89-00001 (N.D. Texas)	Facts: William Windham was Superintendent of Utilities for the City of Big Spring, Texas Sewage Treatment facility.
	02/10/89: Defendant Windham was charged by an information filed with five counts of making false statements on Discharge Monitoring Reports (DMRs) in which the defendant stated that the discharges from the Big Spring Waste Water facility were in compliance with NPDES permit in violation of the CWA, Sec. 309(c)(2) [33 USC § 1319(c)(2)].
	09/13/89: A federal district court jury found the defendant guilty of all counts charged in the information.
	11/27/89: The defendant was sentenced to serve 5 months imprisonment and ordered to pay $125 to the Crime Victim's Fund.
A-1 Disposal Corporation Richard D. Shumaker G-89-32 (W.D. Michigan)	Facts: A-1 Disposal Corp. was engaged in the business of generating, transporting, treating, storing, and disposing of industrial waste, including hazardous waste. Richard Shumaker is president of A-1 Disposal Corp. The company is located in Plainwell, Michigan.
	02/15/89: A four-count indictment was filed charging the company and Shumaker with the following:
	One count of making a false statement in violation of 18 USC § 1001;
	One count of knowingly transporting hazardous waste to an unpermitted facility in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)];
	One count of making false statements and knowingly omitting material information by painting over or removing hazardous waste labels in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)]; and

Defendant(s)/Docket No./Court	Facts and Status
A-1 Disposal Corporation Richard D Shumaker (cont. from previous page)	One count of knowingly transporting hazardous wastes without a manifest, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)]. 04/21/89: Both defendants entered a plea agreement and pled guilty to one count of violating RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. All the other counts were dismissed. 07/31/89: Both defendants sentenced jointly to pay a $200,000 fine, pay the generators $90,000 restitution, pay $11,202 in restitution to Michigan Department of Natural Resources, serve 3 years probation, and pay $200 to the Crime Victim's Fund.
General Wood Preserving Co., Inc. 89-6-CR-7 (E.D. North Carolina)	Facts: General Wood Preserving owns and operates one of the largest wood treating operations in North Carolina. The company manufactures such products as wooden utility poles. The plant is located in Leland, North Carolina. 02/15/89: The company waived indictment and pled guilty to an information charging General Wood Preserving Company with one count of knowingly disposing hazardous wastes, namely arsenic, chromium, creosote, and pentachlorophenol, without a permit in violation of RCRA, Sec. 3008 (d)(2)(A) [42 USC § 6928(d)(2)(A)]. On the same day, the company was sentenced and ordered to pay a $150,000 fine (less $50,000), pay administrative penalties assessed by the North Carolina Department of Human Resources, placed on 3 years probation, assessed $200 for the Crime Victim's Fund, and ordered to publish apologies in local newspapers.
Steven Michael Hutchison 90-18-01-CR7 (E.D North Carolina)	Facts: Defendant Hutchison was employed at the General Wood Preserving Company, Inc. plant in Leland, North Carolina. The company manufactures products such as wooden utility poles. 06/11/90: Defendant waived indictment and pled guilty to an information charging him with one count of knowingly disposing of hazardous waste namely arsenic, chromium, creosote, and pentachlorophenol without a permit in violation of RCRA Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)].
Stanley Winborne, Jr. 90-19-01-CR-7 (E.D. North Carolina)	Facts: Stanley Winborne Jr. was president of General Wood Preserving Company and owner of one-third of the company's stock. 06/12/90: Winborne had ordered and supervised company employees in unlawful burial of several thousand gallons of wood treatment and waste on the company property. He was charged with a two-count indictment for conspiring to violate the Resource Conservation and Recovery Act and illegal disposal of hazardous waste (18 USC 371 and 42 USC 6928(d)(2)(A).

Defendant(s)/Docket No./Court	Facts and Status
Stanley Winborne, Jr. (cont from previous page)	09/04/90: Steven Michael Hutchison was sentenced to 3 years probation, 300 hours community service in the environmental line, $10,000 fine, and $50 special assessment. 11/26/90: Stanley Winborne, Jr was convicted by a federal jury on a two-count indictment charging disposal of hazardous waste without a permit in violation of RCRA and conspiracy to violate provisions of RCRA. 03/25/91: Winborne was sentenced to pay a fine in the amount of $5,000 with a special assessment of $100, 30 days imprisonment, and 3 years of probation.
Bridgeport Wrecking Company, Inc. Thomas Capozziello N-89-12-WWE (D. Connecticut)	Facts: Capozziello was president, treasurer, and chief operating officer of Bridgeport Wrecking Co., Inc. Bridgeport Wrecking Co was in charge of the demolition of Knudsen Dairy, which was located in a residential area in North Haven, Connecticut. 03/01/89: A four-count indictment was filed charging both Capozziello and the company with: One count of knowingly emitting an air pollutant (friable asbestos) from a stationary source, and doing so without providing notice to EPA, in violation of the Clean Air Act, Secs. 112(c)(1)(B) and (e)(5), and 113(c)(1)(C) [42 USC §§ 7412(c)(1)(B) and (e)(5), and 7413(c)(1)(C)], and 40 CFR § 61.146; and Three counts of knowingly emitting air pollutants (asbestos) from a stationary source and doing so without: First removing the friable asbestos materials before demolition in violation of the CAA (see same citations as charged in CAA count above); and Title 40 Code of Federal Regulations, Sec. 61.147(a) Adequately wetting the friable asbestos materials when they were being removed, in violation of the CAA (see same citations as charged in CAA count above), and 40 CFR § 61.147(c) Adequately wetting the friable asbestos materials to ensure that they remain wet until they were collected for disposal, in violation of the CAA (see same citations as charged in CAA count above) and 40 CFR § 61.147(e)(1).

Defendant(s)/Docket No./Court	Facts and Status
Bridgeport Wrecking Company, Inc. (cont from previous page)	12/15/89 Bridgeport Wrecking Co. was convicted of violating two counts of the CAA, Sec. 113(c)(1)(C) [42 USC § 7413(c)(1)(C)] and one count of CAA, Sec. 113 (e)(1)(5) [42 USC § 7413(e)(1)(5)]. The company was acquitted on the remaining count. Defendant Capozziello was convicted on one count of violating the CAA, Sec. 113(c)(1)(C) [42 USC § 7413(c)(1)(C)] and was acquitted on all other counts as charged in the indictment. 03/16/90: After a trial, a verdict was entered finding Bridgeport Wrecking guilty of three counts of violating the CAA and Thomas Capozziello guilty on one count of violating the CAA, all counts in violation of the CAA, Secs. 112(c)(1)(B), and (e)(5), and 113(c)(1)(C) [42 USC §§ 7412(c)(1)(B) and (e)(5), and 7413(c)(1)(C)]; and 40 CFR §§ 61.146, and additionally 40 CFR §§ 61.147(c) and (e)(1) on the company counts. Capozziello was found not guilty on three counts of violating the CAA. The company was found not guilty on one count of violating the CAA. On the same day, both the defendants were sentenced: the company was sentenced to pay a $40,000 fine on each of three counts (fines are concurrent); and Thomas Capozziello was sentenced to pay a $10,000 fine as a special condition of 3 years probation, ordered to serve 1 year imprisonment (all but 3 months suspended), and pay a $25 special assessment to the Crime Victim's Fund.
Vanderbilt Chemical Corp. N-89-16 Henry Baer N-89-15 (D. Connecticut)	Facts: Vanderbilt Chemical Corp. manufactures chemical products at an industrial site located in Bethel, Connecticut. The company has owned and operated that site since 1948. Henry Baer was plant manager of the Bethel facility from 1978 to 1986 and was vice president from 1981 to 1986. His duties included overseeing the lawful disposal of chemical waste materials generated at the site. 03/08/89: The company and Baer each waived indictment and pled guilty to the following counts charged by an information: The company was charged with two counts of making false statements in violation of 18 USC § 1001 and with one count of knowingly disposing of hazardous waste (sulphuric acid) without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. Defendant Baer was charged with one count of making a false statement in violation of 18 USC § 1001.

Defendant(s)/Docket No./Court	Facts and Status
Vanderbilt Chemical Corp (cont from previous page)	05/31/89: Defendant Baer was sentenced to pay a $10,000 fine, serve 3 years imprisonment (all suspended), placed on 3 years probation, perform 300 hours community service, and pay $50 to the Crime Victim's Fund. 06/02/89: The corporation was sentenced to pay a $1,050,000 fine ($500,000 suspended), $500,000 in restitution to the state of Connecticut, serve 2 years probation, and pay $400 to the Crime Victim's Fund.
Lawrence Ostler CR89-107W (W.D. Washington)	Facts: Lawrence Ostler was superintendent of the Olympus Terrace Sewage Treatment Plant (STP) and Assistant District Manager of the Olympus Terrace Sewer District. Ostler was responsible for the operations of the plant, located in Mukilteo, Washington. 04/05/89: An information was filed charging Ostler with one count of willfully discharging pollutants from a point source into Puget Sound, a navigable water of the United States, without having obtained an NPDES permit, in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 04/14/89: Defendant Ostler pled guilty to the count as charged in the information. 07/25/89: Ostler was sentenced to pay a fine of $5,000, placed on 3 years probation, and perform 250 hours of community service.
Olympus Terrace STP CR-89-111W (W D. Washington)	Facts: Olympus Terrace STP is located in Mukilteo, Washington near Puget Sound. 04/13/89: An information was filed charging Olympus Terrace STP with two counts of willfully discharging pollutants into navigable waters of the U.S. without an NPDES permit, in violation of the CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 04/19/89: The company pled guilty to two counts as charged in the information. 06/23/89: The company was sentenced to pay a fine of $25,000 and placed on probation for 3 years.
Valmont Industries, Inc. Jack R. Hawk Duane Prorok CR-89-048 (D. Nebraska)	Facts: Valmont Industries operated a facility in Valley, Nebraska which manufactured steel products such as light poles and irrigation systems. Jack Hawk was an employee of Valmont Industries, and was responsible for environmental compliance at the facility. Duane Prorok was also employed by Valmont and was manager of the wastewater treatment plant which treated galvanizing wastewater.

Defendant(s)/Docket No./Court	Facts and Status
Valmont Industries, Inc. (cont from previous page)	04/10/89. The defendants waived indictment and a three-count information was filed charging: Valmont Industries with two counts of making a false statement in a DMR and knowingly rendering a monitoring method inaccurate in violation of the CWA, Sec. 309(c)(4) [33 USC § 1319(c)(4)] Jack Hawk with one count of making a false statement on a DMR in violation of the CWA, Sec. 309(c)(4) [33 USC § 1319(c)(4)] Duane Prorok with one count of knowingly rendering a monitoring device inaccurate, in violation of the CWA, Sec. 309(c)(4) [33 USC § 1319(c)(4)] On the same day (04/10/89), each defendant pled guilty to all counts charged to them in the information. 06/26/89: Valmont Industries was sentenced to pay a fine of $450,000 (all but $150,000 suspended conditional to terms of having no other environmental violations), a public apology to be published in the Omaha World Herald, pay court costs of $70 and $100 to the Crime Victim's Fund. Defendant Prorok was also sentenced to pay a fine of $500 and court costs of $35, to serve 2 years probation, and pay $25 to the Crime Victim's Fund. 06/29/89: Defendant Hawk was sentenced to pay a fine of $1,000, court costs of $70, $50 to the Crime Victim's Fund, and serve 1 year probation.
Pollution Control Industries of America, Inc. Kevin P. Prunsky HCR-89-24 (N.D. Indiana)	Facts: Pollution Control Industries of America Inc. (hereinafter PCIA) was authorized by having RCRA interim status to transport, treat, and store hazardous waste. Kevin P. Prunsky was the president of PCIA. The corporation did business in Indiana and Illinois. The business was based in Chicago, Illinois. 04/11/89: On the same day, defendants PCIA and Prunsky were charged by an information filed and also signed petitions to "Enter A Plea of Guilty." Both defendants were charged with two counts of making false statements regarding the transportation and disposal of hazardous wastes from a bankrupt steel mill in Chicago to a site in Winona, Texas. The false statements were made in violation of 18 USC § 1001. Both defendants entered guilty pleas to each count charged to them.

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Pollution Control Industries of America, Inc. (cont. from previous page)	06/23/89: Defendant Prunsky was sentenced to pay a $100 fine and serve 4 years probation, perform 500 hours of community service, and pay $100 to the Crime Victim's Fund. The corporation was sentenced to pay a $200,000 fine (all but $50,000 suspended), serve 5 years probation, and pay $400 to the Crime Victim's Fund. Both defendants are required by terms of their probation, to jointly pay $53,888 94 in restitution to the United States of America.
Sherman Smith CR-89-205S (W.D Washington)	Facts: Sherman Smith operates vessels and owns the Seawall Construction Company, on Vashon Island, Washington. The company constructs bulkheads and seawalls. 04/12/89: Smith was charged by a criminal complaint with one count of knowingly and negligently discharging pollutants from a point source (a vessel), into a navigable waterway (Puget Sound) without having obtained an NPDES permit, in violation of the CWA, Secs. 301(a) and 309(c)(1)(A), (c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(1)(A), (c)(2)(A)], and one count of discharging refuse (oily wastewaters from vessel bilges), from a vessel into Puget Sound, without having obtained a permit, in violation of the Rivers and Harbors Appropriations Act, Secs. 13 and 16 [33 USC §§ 407 and 411]. 08/18/89: Defendant Smith entered a guilty plea to one count of violating the Rivers and Harbors Appropriations Act of 1899, Secs. 13 and 16 [33 USC §§ 407 and 411]. The other count was dismissed. 01/02/90: The defendant was sentenced to serve 30 days imprisonment at a work release facility, followed by 1 year of supervised release (probation) and ordered to pay a fine of $2,000, which may be reduced for every dollar contributed to an agency or institution involved in environmental cleanup.
Plaza Health Laboratories, Inc. Geronimo Villegas CR-89-00338 (E.D. New York)	Facts: Plaza Health Laboratories, Inc. ("Plaza") is a medical lab located in Brooklyn, New York. It is engaged in the examination of specimens for the diagnosis and treatment of human diseases. Geronimo Villegas is the vice president of the company. 05/17/89: The company and Geronimo Villegas were both charged in an indictment with the following: One count of knowingly discharging pollutants (51 vials of human blood, bearing identification numbers) from a point source into navigable water of the U.S., namely the Hudson River and New York Bay, without a permit and in violation of the CWA, Secs. 301(a) and 309(c)(2) [33 USC §§ 1311(a) and 1319(c)(2)]; and

Defendant(s)/Docket No./Court	Facts and Status
Plaza Health Laboratories, Inc (cont from previous page)	One count of knowingly discharging pollutants (51 glass vials containing human blood infected with the hepatitis virus) from a point source into navigable waters of the U.S. and thereby placing another person in imminent danger of death or serious bodily injury, in violation of the CWA, Secs. 301(a) and 309(c)(3) [33 USC §§ 1311(a) and 1319(c)(3)] 11/14/90: Superseding indictment was filed charging defendants with CWA violations - discharging a pollutant (medical waste) into waters of U.S. - 33 USC 1311(a) and 1319(c)(2)&(3) 12/13/90: Claims against Plaza Health Laboratories were severed from Villegas. Action against Plaza Health Laboratories will not proceed at time of trial of Villegas. 01/31/91: Trial of Villegas resulted in a conviction on four felony counts of CWA violations -- two counts of knowing endangerment [33 USC 1319(c)(3)] and two counts of knowing discharge [33 USC 1319(c)(2)].
NIBCO, Inc. 89-CR-164 (D. Colorado)	Facts: NIBCO, Inc. is a corporation licensed to do business in the state of Colorado. 05/26/89: The corporation waived indictment and entered a not guilty plea to an information charging the corporation with one count of knowingly storing a listed hazardous waste (1,1,1-trichloroethane, a spent halogenated solvent) without a permit, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. 06/28/89: The corporation changed its original plea to guilty, to the count charged in the information. 07/28/89: The corporation was sentenced to pay a $10,000 fine.
Sellen Construction Co., Inc. Gary Rager CR-89-162-W (W.D. Washington)	Facts: Sellen Construction Co., Inc. is a corporation headquartered in Seattle, Washington. The corporation was engaged in the business of construction and remodeling the Fisher Flour Mills facility located near and partially over the Duwamish River. The Duwamish River is a waterway leading into Elliott Bay, Seattle, and then into Puget Sound. Gary Rager was the on-site superintendent. 05/30/89: An information was filed charging the corporation and Rager with the following:

Defendant(s)/Docket No./Court	Facts and Status
Sellen Construction Co , Inc. (cont from previous page)	The corporation and Rager with two counts of negligently discharging pollutants from a point source into a navigable water of the U.S., without an NPDES permit and in violation of the CWA, Secs. 301(a) and 309(c)(1)(A) [33 USC §§ 1311(a) and 1319 (c)(1)(A)]. On the same day, the corporation entered a plea of guilty to both counts. 06/02/89: Defendant Rager entered a plea of guilty to both counts. 06/12/89: Sellen Construction was sentenced to pay a $100,000 fine (all suspended), serve 6 months probation, and pay $50,000 to an Environmental Trust Fund, for which the Seattle YMCA is the trustee and will use the fund: to purchase and modify a hazardous waste collection facility, to help expand the household hazardous waste collection program, and to expand that collection program to include collection of hazardous wastes generated by small business. 09/27/89: Rager was sentenced to pay a fine of $1,000, serve 3 years probation, and perform 200 hours of community service.
Finishing Corp. of America, Inc. John W. Rutana Richard J. Fiorini John C. Barnes 89-CR-0158 (N D Ohio)	Facts: John Rutana, Richard Fiorini, and John Barnes are owners in Finishing Corp. of America, Inc. John Rutana was the corporation's president, John Barnes was the plant manager, and Richard Fiorini was the Secretary-Treasurer while holding the position of Health Commissioner for the City of Campbell, Ohio. The corporation was engaged in the business of anodizing aluminum, a process in which metallic surfaces are electrolytically coated with a protective oxide. 05/31/89: A 40-count indictment was filed charging the defendants with the following counts: Corporation, Rutana, and Barnes with 18 counts each of knowingly discharging pollutants into a POTW in violation of pretreatment standards and the CWA, Secs. 307(d) and 309(c)(2)(A) [33 USC §§ 1317(d) and 1319(c)(2)(A)] Corporation, Rutana, and Barnes with two counts each of knowingly discharging pollutants into a POTW and thereby knowingly placing the workers at the POTW in imminent danger of death or serious injury, in violation of the CWA, Secs. 307(d) and 309(c)(1) [33 USC §§ 1317(d) and 1319(c)(3)] Fiorini with 18 counts of negligent discharge of pollutants into a POTW in violation of pretreatment standards and the CWA, Secs. 307(d) and 309(c)(1) [33 USC §§ 1317(d) and 1319(c)(1)] Rutana with two counts of making false statements in violation of 18 USC § 1001

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Finishing Corp of America, Inc. (cont from previous page)	09/28/89: Fiorini pled guilty to 18 counts and Barnes pled guilty to 17 counts (charged in a superseding information) of the same violations of negligent discharges into a POTW in violation of pretreatment standards for acidity and the CWA, Sec. 309(c)(1)(A) [33 USC § 1319(c)(1)(A)]. 10/02/89: The corporation and defendant Rutana pled guilty to 18 counts of violating the CWA, Secs. 307(d) and 309(c)(2)(A) [33 USC §§ 1317(d) and 1319(c)(2)(A)]. 03/02/90: The defendants were sentenced as follows: Finishing Corp. of America was ordered to pay a $108,000 fine and a $900 special assessment to the Crime Victim's Fund. John Rutana was sentenced to pay a $90,000 fine and a $900 special assessment, and ordered to serve 5 years probation and 1,000 hours of community service. R.J. Fiorini was sentenced to pay a $51,000 fine and a $425 special assessment, and ordered to serve 5 years probation. John Barnes was sentenced to pay a $42,500 fine and a $425 special assessment, and ordered to serve 5 years probation. 03/14/90: U.S Attorney's Office, N.D. Ohio, filed an appeal re: the sentence handed down. This appeal was filed due to the courts deviation from the federal sentencing guidelines which would have required jail time for the defendants. 05/17/91: Court of Appeals upheld government. Case is being remanded back to lower court for sentencing.
Wells Metal Finishing, Inc. John Wells CR89-0144-N (D Massachusetts)	Facts: John Wells is president and sole owner of Wells Metal Finishing Incorporated, which has a facility located in Lowell, Massachusetts. The company is engaged in the business of plating metals, such as chromium and zinc, onto computer components. 06/01/89: The company and Wells were each charged in an indictment filed charging them with 19 counts of knowingly discharging pollutants (wastewaters) from the facility into the City of Lowell POTW, which in turn discharges into the Merrimack River, in violation of pretreatment standards and the CWA, Secs. 307(b) and (d), and 309(c)(2)(A) [33 USC §§ 1317(b) and (d), and 1319(c)(2)(A)], and 40 CFR Sec. 433.17. 12/14/89: Defendants John Wells and Wells Metal Finishing, Inc. were convicted on all counts.

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Wells Metal Finishing, Inc (cont. from previous page)	03/22/90: Defendant John Wells was sentenced to serve 1 year and 3 months imprisonment and ordered to pay a $60,000 fine. Wells Metal Finishing, Inc., which is bankrupt, was ordered to pay a $950 special assessment to the Crime Victim's Fund.
Charles A Donahoo, Jr. (dba Charlie Wrecking) CR89-00057-01-L(J) (W.D. Kentucky)	Facts: Charles A Donahoo, Jr. (dba Charlie Wrecking) was in the business of wrecking and demolition in Jefferson County, Kentucky Donahoo's company contracted with Tuscarora Plastics to demolish a building on their property located in Louisville, Kentucky. 06/05/89: Charles Donahoo, Jr. (dba Charlie Wrecking) was charged in a five-count indictment with the following counts: Two counts of knowingly removing and causing the emissions from a stationary friable asbestos in violation of standards for asbestos removal in violation of CAA Secs. 112(c) and (e), and 113(c) [42 USC §§ 7412(c) and (e), and 7413(c)] Two counts of failing to immediately report the release of a hazardous substance, in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)] One count of making false statements, in violation of 18 USC § 1001 09/28/89: After a jury trial, the jury found the defendant guilty on one count of violating the CAA and one count of violating CERCLA. 12/19/89: The defendant was sentenced to serve terms of 1 and 3 years imprisonment (to be served concurrently and all but 6 months suspended); placed on 3 years probation, and ordered to pay $75 to the Crime Victim's Fund.
A&A Land Development Angelo Paccione Vulpis Brothers, Ltd. August Recycling, Inc. New York Environmental Contractors, Inc. National Carting, Inc. Stage Carting, Inc. Anthony Vulpis Fred E. Weiss W&W Properties Rosedale Carting, Inc. John McDonald 89-446 (S.D. New York)	Facts: Paccione and Vulpis were partners in A&A Land Development (hereinafter A&A) with offices located in Staten Island, New York. Paccione was also president and majority stockholder of August Recycling, Inc. (hereinafter August Recycling), New York Environmental Contractors, Inc. (hereinafter N.Y. Environmental), National Carting, Inc., and Stage Carting, Inc. (hereinafter National/Stage). August Recycling, N.Y. Environmental, and National/Stage were corporations engaged in the business of the collection, transportation, transfer, and disposal of waste materials, including construction debris, garbage, and medical waste. Their offices are located in Brooklyn, New York.

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A&A Land Development, et al (cont from previous page)	Vulpis is the secretary-treasurer and owns half of all stock of Rosedale Carting, Inc. (hereinafter Rosedale), the president of Vulpis Brothers, Ltd. (hereinafter Vulpis Bros.) and a principal in N Y Environmental. Rosedale and Vulpis Bros. are corporations also engaged in the business of collection, transportation, and disposal of waste, including asbestos and medical waste. Their offices are located in Brooklyn, New York. Weiss is a partner of W&W Properties (hereinafter W&W), and also a real estate broker licensed by the state of New York. W&W is a partnership engaged in the business of the sale and development of commercial and residential real estate and has offices in Staten Island, New York. Individuals Paccione, Vulpis, and Weiss, and their affiliated partnerships and corporations: A&A, W&W, August Recycling, National/Stage, Rosedale, and Vulpis Bros., participated in the conduct of an enterprise by opening and operating a landfill, which accepted for disposal construction debris, trash, asbestos, and medical waste. 06/19/89: The following list of companies and individuals were charged in an indictment: Vulpis Bros., Rosedale, N.Y. Environmental, National/Stage, August Recycling, W&W, A&A, Fred E. Weiss, Anthony Vulpis, and Angelo Paccione: Each was charged with the following counts: Two counts of racketeering under the RICO statute, in violation of 18 USC §§ 1962(d) and 1963 Six counts of mail fraud by unlawfully, willfully, and knowingly for the purpose of fraud by mailing letters, invoices, and permits through the U.S. mail, in violation of 18 USC § 1341. The grand jury charges were brought in connection with the defendants constituting an "enterprise" consisting of a group of individuals and corporations ("co-racketeers") associated in fact, although not a legal entity, which enterprise was engaged in and the activities of which affected interstate commerce, under RICO, 18 USC § 1961. Furthermore, the indictment charged that the purpose of the enterprise was to enrich the individual defendants and their corporations through the operation of an illegal landfill, including the disposal of waste materials in violation of federal, state, and local law.

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A&A Land Development, et al. (cont. from previous page)	08/24/89· An indictment was filed adding defendant John McDonald to defendants indicted on June 19, 1989. McDonald is a principal of New York Environmental and an employee of August Recycling and National/Stage. The indictment alleges that McDonald was involved in racketeering acts and was charged with one count of racketeering by knowing and willful delivered mail to defraud, in violation of RICO, 18 USC §§ 1962(c); one count of knowingly conspiring to conduct and participate in conduct of the affairs of an enterprise in a pattern racketeering activity, in violation of RICO, 18 USC § 1962(d): and one count mail fraud in violation of 18 USC § 1341. 09/11/89: Defendant Fred Weiss was murdered outside of his apartment in New Springville in an ambush of gunfire by unknown assailants 03/19/90: A jury trial began in federal district court. 06/08/90: Jury found Anthony Vulpis, Angelo Paccione, and their seven related companies guilty of RICO violations and conspiracy. John McDonald and his company were found guilty of one felony count of mail fraud. 10/03/90: Angelo Paccione was sentenced to 604 months imprisonment, suspended to 151 months; probation of 3 years upon release from imprisonment; and $400 special assessment. 10/10/90: A&A Land Development was sentenced to $1,400 special assessment and a fine of $22,000,000. Vulpis Brothers, Ltd. was sentenced to $1,400 special assessment and a fine of $22,000,000 The sentencing stipulated that Angelo Paccione, Anthony Vulpis, and John McDonald forfeit their employment, titles, and positions with each of the defendant companies named above and any successors in interest to these corporations.
Gary L. Powell Harry G. Stafford CR-89-00151-01-02 (N D. W.Virginia)	Facts: Gary Powell was president of Marion Engineering Co., Inc. Harry Stafford was a partner of Goodwin/Stafford and Co. Both companies are located in West Virginia. 06/22/89: An information was filed charging each defendant with one count of conspiracy (18 USC § 371) and one count of knowingly and willfully failing to mark PCB containers, in violation of TSCA, Secs. 15(1)(c) and 16(b) [15 USC §§ 2614(1)(c) and 2615(b)], and 40 CFR Secs. 761.40(a)(1) and 761.45(a) promulgated under TSCA, Sec. 4(e) [15 USC § 2605(e)]. 07/07/89: Defendant Stafford entered into a plea agreement and pled guilty to both counts charged against him. 10/26/89: Defendant Powell was acquitted on both counts.

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James A. Badgett 89-240-K (N D. W.Virginia)	Facts: On December 10, 1987, James Badgett testified under oath to a grand jury convened in the above related case, *Powell and Stafford* The defendant testified that he was unaccompanied and unassisted when he dumped transformers, 55-gallon drums, and drained liquid from transformers, all of which contained PCBs. 10/18/89· On the same day, the defendant waived indictment and pled guilty to one count charged by an information of making false material declaration to a grand jury, in violation of 18 USC § 1623. The defendant was released on $5,000 unsecured bond. 12/20/89: Badgett was sentenced to serve 5 years probation (the first 2 months to be served as home detention) and ordered to pay a fine of $50. On the same day, Harry Stafford was sentenced to serve 1 year imprisonment (all suspended), ordered to pay a fine of $5,000, and placed on 5 years probation.
Ballard Shipping Co. Iakovos Georgoudis 89-0121M(T) (D Rhode Island)	Facts: Iakovos Georgoudis was the captain of an oil tanker, M/T World Prodigy, from which spilled 420,000 gallons of light heating oil into the mouth of the Narragansett Bay. Ballard Shipping Co. is a Liberian-registered shipping firm that owned the M/T World Prodigy. 06/28/89: Captain Georgoudis was arrested by the FBI and arraigned and charged by a criminal complaint the same day. Georgoudis was charged with one count of negligent discharge of pollutants into navigable waters of the U.S., in violation of the CWA, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)]. 08/16/89: Defendant Captain Georgoudis pled guilty to the one count charged in the complaint. On the same day, the Ballard Shipping Company was charged with the same count as Captain Georgoudis. The company pled guilty to that count on the same day. 09/29/89: Defendant Georgoudis was sentenced to pay a $10,000 fine. The company was sentenced to pay a $1,000,000 fine (all but $500,000 suspended).
Marine Shale Processors, Inc. CR89-60041-01 (W D. Louisiana)	Facts: Marine Shale Processors, Inc. (hereinafter Marine Shale) is a corporation, incorporated in Louisiana, which operates a facility in Amelia, Louisiana. The facility is located adjacent to Bayou Boeuf, a part of the Gulf Intercostal Waterway. The corporation engaged in the business of accepting industrial and hazardous wastes for incineration in a rotary kiln. 07/24/89: The corporation waived indictment, entered a guilty plea to three counts charged in a Bill of Information filed the same day. The information charged the corporation with the following:

Defendant(s)/Docket No./Court	Facts and Status
Marine Shale Processors, Inc. (cont from previous page)	One count of knowing storage of hazardous wastes, bottom sediment sludge from the treatment of wastewaters from wood preserving facilities using creosote and/or pentachlorophenol, without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] One count of discharging and depositing refuse, including contaminated run-off water, waste chemicals, and material resulting from incineration of hazardous and other industrial wastes, into a navigable water of the U.S., Bayou Boeuf, without a permit, in violation of the RHAA (Refuse Act), Secs. 13 and 16 [33 USC §§ 407 and 411] One count of creating and maintaining an obstruction to the navigability of the Bayou Boeuf, without authorization, by sinking a barge in the water of Bayou Boeuf and filling it with material from the incineration of hazardous and industrial wastes, in violation of the Rivers and Harbors Appropriations Act of 1899, Secs. 10 and 12 [33 USC §§ 403 and 406] 09/18/89: Marine Shale was sentenced to pay a fine of $1,000,000 (to be paid in 10 monthly installments).
[Placed under court seal] 89-D186-CR (M-2) (E.D Missouri)	Facts: A Missouri-based corporation is in the business of manufacturing paper packaging materials at a facility located in St. Louis. 08/25/89: An information was filed charging the corporation with the following three counts: One count of knowingly, willfully, and improperly storing PCB transformers in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)] and rules and regulations promulgated under TSCA, Sec. 6(e) [15 USC § 2605(e)] including 40 CFR § 761.65 One count of knowingly and willfully failing to keep records pertaining to PCB items in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)], and rules and regulations promulgated under TSCA, Sec. 6(e) [15 USC 2605(e)] including 40 CFR 761.180 One count of knowingly not marking a PCB storage area, in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)], and rules and regulations promulgated under TSCA, Sec. 6(e) [15 USC § 2605(e)], including 40 CFR § 761.40 and 761.65 08/25/89: The corporation entered a guilty plea to violating one count of TSCA, Secs. 15 and 16 [15 USC §§ 2614 and 2615(b)]. The remaining counts were dismissed.

Defendant(s)/Docket No /Court	Facts and Status
[Placed under court seal] (cont from previous page)	10/16/89 Entire file placed under seal. 04/20/90: Judgment entered, conditions of probation and supervised release filed under seal and placed in vault.
Jerold Gershon (dba Chemical Commodities, Inc.) 89-20074-01 (D Kansas)	Facts: Jerold Gershon owns and operates Chemical Commodities, Inc. located in Olathe, Kansas and is engaged in the business of chemical brokering. In December 1988, a truck containing hazardous waste caught fire, triggering the evacuation of residents from a Kansas City neighborhood. 09/14/89: An indictment was filed charging the defendant with the following counts: One count of knowingly treating and disposing of hazardous waste (methyl bromide), without a permit, in violation of RCRA, Sec 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] One count of knowingly transporting hazardous waste without a manifest, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)] One count of knowing endangerment of three employees by unlawful disposal of hazardous waste (methyl bromide), in violation of RCRA, Sec. 3008(e) [42 USC § 6928(e)] 01/05/90: In exchange for the corporation entering a guilty plea, the indictment against Gershon was dismissed. The corporation entered a guilty plea to two counts charged in a superseding information with violating RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)].
Chemical Commodities, Inc. 90-20002-01 (D. Kansas)	01/05/90: An information was filed charging Chemical Commodities, Inc. with one count of knowingly and unlawfully treating, storing, and disposing of hazardous waste (methyl bromide), without a permit and in violation of regulations promulgated at 40 CFR Part 261 and RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. In exchange for the corporation entering a guilty plea to the count charged in the information, the counts against defendant Jerold Gershon were dismissed.

Defendant(s)/Docket No./Court	Facts and Status
Chemical Commodities, Inc (cont. from previous page)	05/18/90: Corporation was sentenced on one count of unlawful treatment, storing, and disposing of methyl bromide, a hazardous waste in violation of RCRA Sec. 3008(d)(2)(A) [42 USC 6928(d)(2)(A)] The corporation is to cease business operations and shut down, with the assistance of a qualified contractor/consultant selected by EPA, within 1 year of sentencing. All costs of liquidation, cleanup, and disposal are to be paid by defendant. The corporation was fined $505,760 (of which $5,760 is cost for probation supervision) and a $200 special assessment. The execution of the $500,000 fine was stayed in lieu of Chemical Commodities, Inc. absorbing the costs of liquidation as outlined in conditions of probation. The corporation was placed on 5 years probation.
Cuyahoga Wrecking Corp. Paul J. Buckley Lawrence J. Button Richard W. Salvati 4-89-CR-0281 (N.D. Ohio)	Facts: Cuyahoga Wrecking Corporation is a demolition and salvage company incorporated in New York with a branch office in Cleveland, Ohio. The corporation purchased various structures from LTV Steel Company, located at a site in Campbell, Ohio. Cuyahoga then demolished these structures. Buckley was in charge of the demolition from May 1985 through December 1986. Button supervised the demolition of the site from February 1985 through December 1986. Salvati supervised the demolition at the site from September 1985 through December 1986. 09/21/89: An indictment was filed charging the defendants with the following counts: Each individual with one count of conspiracy, in violation of 18 USC § 371 The corporation, Button, and Buckley with four counts each, and Salvati with three counts of demolishing structures in violation of emission standards for asbestos, and the regulations governing removal of asbestos, in violation of the CAA, Secs. 112(c)(1)(B) and (e)(5) and 113(c)(1)(C) [42 USC §§ 7412(c)(1)(B) and (e)(5), and 7413(c)(1)(C)] The corporation, Button, and Buckley with two counts each, and Salvati with one count of failure to notify of the release of a reportable quantity of a hazardous substance (asbestos), in violation of CERCLA, Sec. 103(b)(3) [42 USC § 9603(b)(3)]. 03/27/90: The corporation pled guilty to the CAA and CERCLA counts and was ordered to pay a fine of $1,000,000 with $800,000 held in abeyance. 04/20/90: Paul Buckley, Lawrence Bulton, and Richard Salvati were found guilty of one count CAA and one count CERCLA.

Defendant(s)/Docket No /Court	Facts and Status
Cuyahoga Wrecking Corp (cont. from previous page)	07/02/90: Cuyahoga Wrecking was sentenced to pay a fine of $600,000 with $400,000 suspended. 07/02/90: Defendant Paul Buckley was sentenced to 3 years probation, 300 hours community service, and a $5,000 fine plus $100 Special Assessment based on his plea of guilty. 10/17/90: Richard M. Salvati was sentenced to probation for 1 year and fined $1,000. Lawrence J. Button was sentenced to 2 years probation and fined $3,000.
Fisher RPM Electric Motors, Inc. Rodney R. Fisher CR89-234 (D. Oregon)	Facts: Rodney Ray Fisher owns and operates Fisher RPM Electric Motors, Inc., which is engaged in the business of cleaning and refurbishing motor engines. The business is located in Albany, Oregon. 09/27/89: Defendants Rodney Fisher and his company Fisher RPM Electric Motors were each charged by an indictment with the following counts: Two counts of knowingly discharging pollutants into a navigable water of the U.S. without a permit, in violation of the CWA, Sec. 307(a) and 309(c)(2)(A) [33 USC § 1317(a) and 1319(c)(2)(A)] Three counts of knowing illegal storage, disposal, and treatment of hazardous waste without a permit in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] 12/04/89: Defendant Rodney Fisher entered a guilty plea to one count charged in a superseding information of violating the CWA, Secs. 301, 309(c)(2), and 1362 [33 USC §§ 1311, 1319(c)(2), and 1362]. The government dismissed all the remaining counts against the defendant and the corporation. 02/12/90: Defendant Fisher was sentenced to serve 3 years probation with the condition that he remain in home detention for a period of 3 months (except time it is necessary for him to be at work), and was ordered to pay a fine of $2,500.

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Defendant(s)/Docket No./Court	Facts and Status
Borjohn Optical Technology John Borowski CR89-256-WD (D Massachusetts)	Facts: The Borjohn Optical Technology company is owned by John Borowski, and is in the business of metal plating and located in Burlington, Massachusetts. The case began as a government procurement fraud investigation by the F.B.I., because a majority of the company's business was involved in the manufacturing of various types of weapon system mirrors for the Department of Defense 10/02/89: A federal grand jury indicted Borjohn Optical Technology and John Borowski on 133 counts of violating the Clean Water Act The indictment charged the company and its owner with the following counts: 65 counts of knowingly and willfully discharging pollutants (untreated metal finishing wastewaters with high levels of nickel) into a POTW in violation of pretreatment standards for new sources for the metal finishing industry, and in violation of the CWA, Secs. 307(b), (c) and (d), and 309(c)(2) [33 USC §§ 1317(b), (c), and (d), and 1319(c)(2)] 68 counts of knowing endangerment of the company employees by knowingly discharging pollutants into a POTW and placing employees in imminent danger of death or serious bodily injury, in violation of the CWA, Secs. 307(b), (c), and (d), and 309(c)(3) [33 USC §§ 1317(b), (c), and (d), and 1319(c)(3)] The indictment alleges that at Defendant Borowski's direction, untreated metal finishing process wastewaters (including nickel and nitric acid) were discharged into the Burlington public sewer system, which discharges into the Boston Harbor. 05/23/90: Both defendants were found guilty by jury of two counts of knowing endangerment arising out of knowing discharge of plating solutions and nitric acid baths in violation of the Clean Water Act pretreatment standards. 11/07/90: John Borowski was sentenced to pay a fine of $400,000 plus $100 special assessment. He was also sentenced to 26 months imprisonment and will be placed on 24 months probation upon release from imprisonment. Borjohn Optical Technology, Inc. was sentenced to pay a fine of $50,000 plus $400 special assessment and was placed on probation for a term of 24 months. Borjohn Optical Technology, Inc. was also ordered to make restitution to the medical health insurance of two previous employees in the total amount of $15,513.80. 12/18/90: Appeal filed.

Defendant(s)/Docket No./Court	Facts and Status
Konstandt Laboratories, Inc 89-0563 Felix Konstandt 89-00676 (E D New York)	Facts: Konstandt Laboratories, Inc is a business owned by Felix Konstandt. The company is based in Long Island, New York The laboratory was hired by a company to analyze samples of marine coatings products. The product contained anti-foulants, including tributyltin, which are regulated under the Federal Insecticide, Fungicide, and Rodenticide Act [FIFRA]. 10/04/89: Konstandt Laboratories, Inc. and Felix Konstandt waived indictment and were charged by an information with one count each of knowingly and willfully making and using false documents, in violation of 18 USC § 1001, knowing the documents contained false, fictitious, and fraudulent statements and data. Konstandt Laboratories and Konstandt allegedly caused false data and test results to be entered on 19 "Results of Analysis" reports for tributyltin leaching studies 10/10/89: Both the company and defendant Konstandt entered guilty pleas to the count charged in the information. 04/10/90: Defendant Felix Konstandt was sentenced to probation for 1 year, special condition that 30 days be served either under house arrest or in a halfway house. Also, defendant was fined $1,000 and a special assessment of $25.
John Joseph Melle G89-142CR (W.D Michigan)	Facts: John J. Melle was employed by Michigan Environmental Recovery, Inc. (MER, Inc.). The company is in the business of reclaiming industrial solvents. 10/05/89: The defendant was charged in an indictment with the following counts: One count of knowingly omitting material information on labels required on certain barrels containing hazardous waste, by removing the labels in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] One count of knowingly transporting barrels of hazardous waste without the required manifest in violation of RCRA, Sec. 3008(d)(5) [42 U.S.C.§ 6928(d)(5)] One count of knowingly transporting hazardous waste, namely, barrels of ignitible hazardous waste to an unpermitted facility, in violation of RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)] One count of failure to notify the U.S. Government of the release of a quantity in excess of 100 pounds of an ignitible hazardous waste, in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]

Defendant(s)/Docket No./Court	Facts and Status
John Joseph Melle (cont from previous page)	01/02/90: The defendant entered a guilty plea to one count of violating RCRA, Sec. 3008(d)(1) [42 USC § 6928(d)(1)]. 02/15/90: Melle was sentenced to serve 1 year imprisonment (with work release privileges) and pay a special assessment of $50 to the Crime Victim's Fund
Nicholas Pasquariello 89-6196 (S.D Florida)	Facts: Pasquariello is the major stockholder and officer of the 36th Street Acquisition Corporation. The corporation owns property (referred to as the 36th Street Site) located in Ft. Lauderdale, Florida. Pasquariello was engaged in the business of demolition and disposal of wastes, including demolition wastes, fill material, dredged spoil, soil, garbage, discarded equipment, tires, wood, rock, sand, and industrial wastes. The 36th Street Site contains two lakes. 10/13/89: The defendant was arrested and charged in a criminal complaint with six counts of knowingly discharging or causing pollutants to be discharged from a point source into waters of the United States without a permit, in violation of the CWA, Secs. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)]. 01/05/90: An indictment was filed charging the same defendant with six counts of knowingly discharging, or causing to discharge, and aiding and abetting the discharge of various pollutants, including fill material, from a point source into the waters of the United States, in violation of the CWA, Secs. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)]; and 18 USC § 2; and one count of knowingly, willfully, and corruptly, directly or indirectly, giving, offering, and/or promising U.S. currency to a public official with the intent of influencing that public official to do an unlawful act (submitting an application to the Corps of Engineers containing false information), in violation of 18 USC §§ 201(b)(1)(A) and (b)(1)(C). 08/17/90: A superseding indictment was filed charging Pasquariello with 15 counts including bribery, conspiracy, violations of IRS laws, CWA, and ERISA. 01/03/92: Pasquariello pled guilty to four counts including bribery - 18 USC 201, IRS - 26 USC 7206, ERISA - 18 USC 1027, and CWA - 33 USC 1311(a) and 1319(c)(2)(A). 04/23/92: Judge ordered defendant's guilty plea to be vacated and a trial will be scheduled.

Defendant(s)/Docket No./Court	Facts and Status
R-M Industries, Inc 89-330-1 (D. South Carolina)	Facts. R-M Industries, Inc. is a South Carolina corporation located in Rock Hill, South Carolina. R-M Industries is in the business of chemical manufacturing. 11/01/89: On the same day, the corporation waived indictment and was charged by an information filed with one count of willfully bypassing treatment facilities and discharging wastewater directly into the Catawba River, waters of the United States, in violation of an NPDES permit and the CWA, Sec. 309(c)(1) [33 USC § 1319(c)(1)]; and one count of knowingly violating terms of its NPDES permit in violation of the CWA, Sec. 309(c)(2)(A) [33 USC § 1319(c)(2)(A)]. On the same day, the corporation pled guilty to all counts and was sentenced to pay a fine of $150,000 (all but $50,000 suspended); ordered to serve 2 years probation (on each count, to be served concurrently), and pay a $300 special assessment to the Crime Victim's Fund.
A.C Limtiaco, Inc. Antonio C. Limtiaco 89-00133 (D. Guam)	Facts: Antonio C. Limtiaco owned A. C. Limtiaco, Inc. and contracted with the University of Guam to drain and dispose of a transformer containing PCBs. The liquid containing PCBs was pumped into an oil/water separator tank at the Cabras Island Power Plant. 11/09/89: Limtiaco and his company were each charged in a 10-count indictment with: seven counts of unlawful disposal of PCBs, in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]; one count of failure to notify of a release of a reportable quantity of a hazardous substance without a permit, in violation of CERCLA 103(b) [42 USC § 9603(b)]; one count of conspiracy, in violation of 18 USC § 371; and one count of making a false statement in violation of 18 USC § 1001. 01/24/90: The individual defendant, Antonio C. Limtiaco, pled guilty to one count of making a false statement concerning a material fact, in violation of 18 USC § 1001 (as charged in the indictment).
CR-90-00009 (D. Guam)	He was charged on the same day in an information with one count of illegal disposal of PCBs, in violation of TSCA, Secs. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]. On the same day, the defendant also pled guilty to the count charged in the information. Upon sentencing, the government agreed to dismiss seven counts charged against Antonio Limtiaco in the indictment and agreed to dismiss all counts against the corporation. 04/23/90: Defendant was sentenced with regards to the guilty plea to count 10 of the indictment to a fine of $50,000 and 3 years probation to run concurrently with the 3 years probation also imposed on the guilty plea filed in regards to the information.

Defendant(s)/Docket No./Court	Facts and Status
Di Giorgio Corporation (dba Guaranteed Products of Colorado) 89-CR-364 (D Colorado)	Facts: Di Giorgio Corporation is a Delaware corporation doing business in Colorado as "Guaranteed Products" The corporation was engaged in the business of manufacturing and selling aluminum extrusion products and other aluminum parts and products such as window frames and doors. These products are sold and shipped throughout the United States. The corporation is located in Henderson, Colorado. 11/15/89: The corporation waived indictment, agreed to plead guilty, and was charged in a two-count information with knowing storage and disposal of hazardous wastes, including RCRA-listed corrosive and ignitible wastes, in violation of RCRA, Sec. 3008(d)(2) [42 USC § 6928(d)(2)]. The corporation agreed to pay a $40,000 fine and spend $452,670 on remedial and compliance measures ordered by a compliance order with the State of Colorado Department of Health. The Henderson facility is no longer in operation. 12/01/89: The plea agreement was accepted and entered. 02/16/90: The defendant, Di Giorgio Corporation, doing business as Guaranteed Products of Colorado, was sentenced to pay a $40,000 fine and pay a $400 special assessment to the Crime Victim's Fund
Phil J. Lambert, Jr CR 89-53-1-L Phil J. Lambert, Inc. CR 89-53-2-L (D New Hampshire)	Facts: Phil Lambert, Jr. was president of Phil Lambert, Inc., a business located in Colebrook, New Hampshire. The business owned property, which included Beaver Brook and wetlands. The brook supported a high quality native brook trout fishery. 11/27/89: After waiving indictment and entering a guilty plea, Phil Lambert, Jr. and his company were each charged in an information with one count of conspiracy to knowingly, intentionally, and unlawfully cause pollutants to be discharged into waters of the United States (wetlands on the company's property) without a permit, in violation of the Clean Water Act, Sec. 309(c)(2)(A) [33 USC § 1319(c)(2)(A)]; all in violation of 18 USC § 371. 01/22/90: Phil Lambert, Jr. was sentenced to serve 2 years imprisonment (all suspended) and placed on 2 years probation. His company was placed on 2 years probation. The court waived a fine of $20,000 at sentencing in consideration of $100,000 spent by Lambert to restore the site. Lambert also agreed to donate 5 pristine acres of land to the state of New Hampshire as restitution. Each defendant was ordered to pay a $50 assessment to the Crime Victim's Fund.

Defendant(s)/Docket No /Court	Facts and Status
David Cohen John Meighan JFM-89-0468 (D Maryland)	Facts: John Meighan was the owner and president of Capital Assay Laboratories, Ltd., a small precious metals reclaiming business The business is located in Baltimore City, Maryland. David Cohen was the previous owner and principal chemist of Capital Assay Laboratories 12/01/89: An information was filed charging John Meighan with one count of knowing and willful illegal storage and disposal of hazardous waste (including mercury, lead, cadmium, and drums of hydrochloric acid mixed with cyanide), in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]. On the same date, David Cohen was charged in an information with the same count charged to Meighan. Cohen agreed to plead guilty to the charge. 12/11/89: Defendant Meighan entered a guilty plea to one count of violating RCRA. 12/14/89: Defendant Cohen entered a guilty plea to one count of violating RCRA. 03/01/90: Defendant Meighan was sentenced to serve 3 years imprisonment. Defendant Cohen was sentenced to serve 2 years and 9 months imprisonment. Additionally, each defendant was ordered to pay a special assessment of $50 to the Crime Victim's Fund.
U S Plating Corporation Pioneer Plating Co., Inc. Robert Alley 89-CR-1008 (N D. Illinois)	Facts: Robert Alley was the president and owner of U.S. Plating Corporation (U.S. Plating) located in Chicago, Illinois. U.S. Plating was in the business of electroplating various metals by applying cadmium, zinc, nickel, brass, and copper metal surfaces onto metal parts. The electroplating operations resulted in the discharge of wastewaters into the sewer system, which conveyed the pollutants into the POTW, which in turn discharges into the Chicago Sanitary and Ship Canal, a navigable water of the United States. Robert Alley also was the president and owner of Pioneer Plating Co , Inc. (Pioneer Plating), also located in Chicago. The company was engaged in the business of electroplating various metals onto metal parts. The company discharged wastewaters including wastewaters containing cadmium, cyanide, chromium, copper, lead, nickel, and zinc, into the public sewer system, which conveyed pollutants into a POTW that discharges into a navigable water of the U.S., the Chicago Sanitary and Ship Canal. 12/04/89: A federal grand jury returned an indictment against Robert Alley, U.S. Plating, and Pioneer Plating, charging them with the following:

Defendant(s)/Docket No /Court	Facts and Status
U S Plating Corporation (cont from previous page)	Robert Alley was charged with 75 counts of willfully and negligently operating a facility (U S. Plating) in violation of pretreatment standards by negligently discharging wastewater, including industrial wastewater resulting from electroplating operations, in violation of the CWA, Secs. 307(b) and (d), and 309(c)(1) [33 USC §§ 1317(b) and (d), and 1319(c)(1)] Six counts of knowingly operating a facility (Pioneer Plating) in violation of pretreatment standards, in violation of the CWA Secs. 307(b) and (d) and 309(c)(2) [33 USC §§ 1317(b) and (d), and 1319(c)(1)] Pioneer Plating was charged with three counts of willfully and negligently discharging wastewater into navigable waters of the U.S., in violation of the CWA Secs. 307(b) and (d), and 309(c)(1) [33 USC §§ 1317(b) and (d), and 1319(c)(1)]; and six counts of knowingly discharging wastewaters into navigable waters of the U.S. in violation of the CWA, Secs. 307(b) and (d), and 309(c)(2) [33 USC §§ 1317(b) and (d), and 1319(c)(2)]. U.S. Plating was charged with 72 counts of willfully and negligently discharging wastewaters into a navigable water of the U.S., in violation of the CWA, Secs. 307(b) and (d), and 309(c)(1) [33 USC §§ 1317(b) and (d), and 1319(c)(1)]. 05/31/90· A superseding indictment was filed by a special grand jury charging defendants with 81 counts of CWA violations relating to discharging toxic pollutants into a public sewer system and eventually into a navigable water of the U.S. 06/11/90: The court denied motion to dismiss superseding indictment. 11/13/90: U.S. Plating Corporation Inc. pled guilty to two counts of the indictment - 33 USC 1317(b) and 1319(c)(1). U.S. Plating was fined $30,000 for each count (concurrent) for a total of $30,000, plus $400 special assessment. Pioneer Plating Co. pled guilty to two counts of the indictment - 33 USC 1317(b)&(d) and 1319(c)(2) and was fined $20,000 on each count (concurrent) for a total of $20,000, plus $400 special assessment. Charges against Robert Alley were dismissed as the plea agreement resolved all charges against companies and Mr. Alley.

Defendant(s)/Docket No./Court	Facts and Status
Raymond T Brittain Robert C Coble CR-89-283-P (W D Oklahoma)	Facts: Raymond Brittain was employed by the City of Enid, Oklahoma, as the Superintendent of the Public Utilities Department. Robert Coble was also employed by the City of Enid, as the Water Pollution Control Plant Supervisor. 12/12/89: Both defendants were charged in an indictment with 30 counts of knowingly and negligently discharging pollutants (raw sewage) into Boggy Creek, a navigable water of the United States, in violation of the CWA, Secs. 301(a), 309(c)(1) and (c)(3) [33 USC §§ 1311(a), and 1319(c)(1) and (c)(3)] Eighteen counts of falsifying discharge monitoring reports (DMRs), in violation of 18 USC 1001. 01/24/90: Defendant Robert Coble pled guilty to one count charged in an information filed on the same day, charging him with one count of willfully and negligently failing to report an unauthorized discharge of raw sewage into Boggy Creek, in violation of the City of Enid's NPDES permit and the CWA, Secs. 301(a), 1319(c)(1) and (c)(3) [33 USC §§ 1311(a) and 1319(c)(1) and (c)(3)]. Coble also pled guilty to one count of making false statements charged previously in the indictment (see comment of 12/12/89). 03/06/90: Defendant Brittain was convicted of 18 counts of making false statements and two counts of violating the Clean Water Act. 03/27/90: Defendant Coble was sentenced to serve 5 years imprisonment (all but 4 months suspended) and 5 years probation. 05/31/90: Defendant Brittain was sentenced to 1 year imprisonment on each count (20) to run concurrently. The defendant was also sentenced to pay a special assessment on each count equaling a total sum of $950. 06/90: Brittain appealed his sentence. 05/03/91: Final opinion of the Tenth Circuit Court of Appeals upheld all convictions. Brittain is to serve balance of his prison sentence.

Defendant(s)/Docket No /Court	Facts and Status
Control Sewer and Pipe Cleaning (aka Control Disposal Company, Inc.) Steve Charo Herman Goldfaden CR3-89-362(R) (N.D. Texas)	Facts· Control Sewer and Pipe Cleaning (aka Control Disposal Company, Inc., hereinafter Control Disposal) was engaged in the ownership, management, and operation of cleaning industrial and commercial grease and sandtraps of liquid wastes and other types of wastes. The company used tank trucks equipped with vacuums to remove and transport wastes for disposal. Herman Goldfaden was treasurer and director of the company. Steve Charo was the foreman at the company between November 1988 until December 1989. 12/13/89: The company and two individuals were each charged in an indictment with: One count of conspiracy, in violation of 18 USC § 371; In the same indictment, Goldfaden and Charo were additionally charged with: Charo with one count and Goldfaden with six counts of knowingly violating pretreatment standards and knowingly discharging or causing to be discharged, pollutants (industrial wastes) into private sewer systems leading into the City of Dallas, Texas Waste Water Treatment Plant, which is a POTW, all in violation of the CWA, Sec.309(c)(2)(A) [33 USC § 1319(c)(2)(A)] Goldfaden with one count of knowingly discharging pollutants, including a hazardous substance, which defendant knew and reasonably should have known, could cause personal injury and property damage, in violation of the CWA, Sec. 309(c)(2)(B) [33 USC § 1319(c)(2)(B)] Goldfaden with six counts of knowingly omitting and causing omission of material information and making false statements in manifests by describing transported hazardous wastes (a lead contaminated caustic solution and a spent halogenated solvent containing methylene chloride) as nonhazardous waste oil water and sludge, in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] Goldfaden with one count of knowingly disposing of and causing hazardous wastes to be disposed without having obtained a permit or interim status, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)] Goldfaden with five counts of knowingly transporting and causing a hazardous waste (a lead contaminated caustic solution) to be transported without a manifest, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)]

Defendant(s)/Docket No./Court	Facts and Status
Control Sewer and Pipe Cleaning et al (cont from previous page)	09/21/90. Steve Charo pled guilty to a 1-count superseding information charging negligently causing to be discharged from a vehicle used to transport industrial waste, into a private sewer facility, in violation of the CWA - 33 USC 1319(c)(1)(A). 10/05/90: Herman Goldfaden pled guilty to one count (count 7) of the indictment. Control Sewer & Pipe Cleaning, Inc. pled guilty to counts 7 and 13. The U.S. dismissed all other charges. 03/26/91: Charo was sentenced to 3 years supervised probation and to pay supervision fee ($96.66/month), and cannot possess a firearm during that time, plus a special assessment of $25. 07/16/91: Goldfaden was sentenced to 3 years in prison, 1 year of supervised release, a fine of $75,000, and a special assessment of $50. After release, he was restricted from any employment related to waste management. Control Sewer was sentenced to a fine of $1 million and a special assessment of $200. United States v. Goldfaden, Criminal No. 3-89-362-R. 04/22/92: Fifth Circuit determined that the government had violated a condition in the plea agreement, vacated the sentence, and remanded the case for resentencing. Although the Court vacated Goldfaden's sentence, they did rule favorably to the government on application of the sentencing guidelines. United States v. Goldfaden, 959 F. 2d 1324 (5th Cir. 1992). 07/23/92: Goldfaden was resentenced to 33 months in prison, 1 year of supervised release, a fine of $75,000, and a special assessment of $50. After release, he was restricted from any employment related to waste management. 03/17/93: Fifth Circuit denied Goldfaden's appeal challenging a four-point offense level increase for disposal without a permit and a two-point level increase for obstruction of justice. United States v. Goldfaden, 987 F. 2d 225 (5th Cir. 1993).

Defendant(s)/Docket No /Court	Facts and Status
Inman & Associates, Inc C-90-29 John McMichen C-90-30 (S.D Texas)	Facts: John McMichen was vice president of Inman & Associates, Inc , a South Carolina corporation engaged in the business of general and electrical construction, including handling PCBs and items containing PCBs, such as electrical capacitors. Inman & Associates entered into a contract with the U.S. Department of the Navy to replace an electrical switching station at the Naval Air Station in Corpus Christi, Texas. The contract included the removal and replacement of PCB capacitor parts of the switching station. 01/24/90: Defendant McMichen was charged in an information with one count of knowingly and willfully disposing liquid PCBs from PCB capacitors by disposing them on the ground at the Naval Air Station, Corpus Christi, Texas, in violation of TSCA, Secs. 15(1)(c) and 16(b) [15 USC §§ 2614(1)(c) and 2615(b)]. Inman & Associates, Inc. was charged in a separate information filed on the same date to one count of failure to notify of the release of a hazardous substance, in violation of CERCLA, Sec. 103(b) [42 USC § 9603(b)]. 01/25/90: Both the individual and the corporation each pled guilty to the count charged to them on the previous day. 04/06/90: Defendant McMichen was sentenced to a fine of $5,000, plus $25 special assessment. McMichen was not sentenced under the Sentencing Guidelines as violation occurred prior to 11/01/87. The corporation was fined $80,000 ($40,000 suspended) to be paid in three equal installments yearly beginning 04/13/90 and the final payment due 04/13/92. The corporation was also placed on 3 years supervised probation and fined $200 special assessment.

Defendant(s)/Docket No /Court	Facts and Status
Aerolite Corporation Arthur Thomas N-90-6-HDM (D Nevada)	Facts. Arthur Thomas was the principal owner and chief executive of Aerolite Corporation. The company was in the business of electroplating and metal finishing located in Reno, Nevada. The company's industrial processes included electroplating and the refinishing of various products, including handguns and auto parts The processes produced wastewater containing significant levels of toxic pollutants including cyanide, lead, cadmium, chromium, copper, nickel, zinc, and other metals associated with electroplating. 02/06/90: A federal grand jury in Reno, Nevada returned an 11-count indictment against Aerolite Corp. and its owner, Arthur Thomas. The corporation and Thomas were each charged with 11 counts of knowingly and negligently discharging pollutants into a POTW in violation of its permit, pretreatment standards, and the CWA, Secs. 307(d) and 309(c)(2)(A), [33 USC §§ 1317(d) and 1319(c)(2)(A)]. The indictment alleges that Aerolite, under Thomas' direction, failed to properly maintain and operate its pretreatment equipment and knowingly and negligently discharged pollutants, including the electroplating wastewaters, into a waste drainage system, which drained into the Reno-Sparks, Nevada publicly owned treatment works (POTW). 12/11/90: Aerolite Company was convicted by trial jury on 11 counts of Clean Water Act violations. Arthur Thomas was acquitted on all counts.
Tony George Zulim CR-N-90-55-HDM (D Nevada)	11/13/90: An information was filed charging Zulim with violations of the Clean Water Act. Zulim was the shift supervisor at Aerolite Chrome Plating, Reno, Nevada, when he allegedly dumped low-pH material into the local POTW, in violation of the Federal Pretreatment Standards - 33 USC 1317(d) and 1319(c)(2)(A). 12/14/90: Defendant Zulim pled guilty to the Clean Water Act violation, as stated in the above information. 04/15/91: Defendant Zulim was sentenced to 36 months probation, $1,000 fine, and 250 hours of community service. Judge's comments were that the defendant (Zulim) was not the primary polluter.
John Pizzuto CR 190-014 Hasper Leggett CR 190-015 (S D Ohio)	Facts: John Pizzuto was owner and officer of Spar Construction and Development Company. Spar Construction, an Ohio corporation, was formed to complete the demolition and salvage of the former Empire-Detroit Steel Division facility located in New Boston, Ohio. John Pizzuto also supervised and directed the demolition job which included the disposal of transformers containing PCBs. Hasper Leggett drove trucks for Spar Construction.

Defendant(s)/Docket No /Court	Facts and Status
John Pizzuto Hasper Leggett (cont. from previous page)	02/14/90: In two separate informations filed, defendant Pizzuto was charged with one count of conspiracy, in violation of 18 USC § 371 and Leggett was charged with one count of knowingly and willfully disposing of PCBs, in violation of TSCA, Sec. 15 and 16(b) [15 USC §§ 2614 and 2615(b)]. The informations were sealed by the court after their filing. 03/02/90: Both defendants pled guilty to the counts charged by the informations. 01/29/91: John Pizzuto was sentenced to 18 months imprisonment (suspended), 5 years probation, and ordered to place $1 million in escrow towards restitution and environmental clean-up costs at the Spar site in New Boston, Ohio, and to pay $50 special assessment. 02/27/91: Hasper Leggett was sentenced to 12 months imprisonment (suspended), 2 years probation, and a fine of $2,000, plus $25 to the Comprehensive Crime Control Act.
Exxon Corporation Exxon Shipping Corporation A90-015CR (D. Alaska)	Facts· Exxon Corporation is incorporated in the state of New Jersey. Exxon Shipping Company is incorporated in the state of Delaware. Exxon Corp. was the sole shareholder of Exxon Shipping Company. The shipping company operated oil tankers to transport petroleum products for Exxon Corp. The tanker, Exxon Valdez, was owned and operated by the Exxon Shipping Co. Exxon Corp. set policies for Exxon Shipping Co. On March 23, 1989, the Exxon Valdez departed the Port of Valdez, Alaska carrying a load of 53 million gallons of crude oil bound for Long Beach, California. The ship went off course in Prince William Sound, ran aground on Bligh Reef, and discharged more than 10 million gallons of crude oil into the Sound. The oil migrated more than 700 miles from the reef and contaminated the waters and shorelines of Prince William Sound, the Gulf of Alaska, Kenai Fjords National Park, the Katmai National Park and Preserve, the Alaska Peninsula National Refuge, the Becharof and Kodiak National Wildlife Refuges, the lower Kenai Peninsula, the Barren Islands, Kodiak Island, Shelikof Strait, and other parts of the Alaska Peninsula. The spill caused the deaths of more than 36,000 migratory birds, including more than 100 bald eagles; 1,000 sea mammals; and many other varieties of wildlife. 02/27/90: Exxon Corporation and Exxon Shipping Co. were both charged in an indictment with the following counts: One count of negligently causing pollutants to be discharged from a point source into navigable waters of the United States, Prince William Sound, without a permit, in violation of the Clean Water Act, Secs. 301(a) and 309(c)(1) [33 USC §§ 1311(a) and 1319(c)(1)];

Defendant(s)/Docket No./Court	Facts and Status
Exxon Corporation Exxon Shipping Corporation (cont. from previous page)	One count of unlawfully discharging refuse (10 million gallons of crude oil), from a ship (Exxon Valdez) into waters of the United States (Prince William Sound), without a permit, in violation of the Rivers and Harbors Appropriations Act (Refuse Act) of 1899, Secs 13 and 16 [33 USC §§ 407 and 411] One count of killing migratory birds without a permit, in violation of the Migratory Bird Treaty Act, Secs. 703 and 707(a) [16 USC §§ 703 and 707(a)], and 50 CFR § 21.11 One count of willfully and knowingly failing to ensure that the wheelhouse of the Exxon Valdez was constantly operated by persons who directed and controlled the movement of the vessel, each of whom was competent to perform that duty, in violation of the Ports and Waterways Safety Act of 1972 [33 USC § 1232(b)(1)] and 33 CFR §164.11(b) One count of willfully and knowingly violating the Dangerous Cargo Act [46 USC § 3718(b)] and 46 CFR § 35.05-20, by employing and causing persons to be working on the tanker crew of the Exxon Valdez, knowing that those persons were physically and mentally incapable of performing the duties assigned to them 03/13/91: Defendants entered into plea agreement on one count of indictment, Migratory Bird Act - 16 USC 703 and 707(a). 04/24/91: Federal judge in Anchorage, Alaska rejected the criminal plea agreement due to his belief that the agreed fine amounts were not commensurate with egregiousness of the offense. 10/08/91: Exxon Corporation pled guilty to one count in violation of the Migratory Bird Act - 16 USC 703/701 and was fined $25,000,000 (remitted to $5,000,000), plus $25 special assessment. Exxon Shipping pled guilty to three counts in violation of the Migratory Bird Act (16 USC 703/701) and the Refuse Act (33 USC 407/411) and was sentenced to a fine of $125,000,000 (remitted to $20,000,000) plus $75 special assessment. In addition, both companies were sentenced to pay restitution jointly and collectively as $50,000,000 to the state of Alaska and $50,000,000 to the federal government.

Defendant(s)/Docket No./Court	Facts and Status
Envirosure Management Corp., Inc. Envirosure Marketing, Corp. (aka Envirosure Marketing and Sales) Environmental Services Assoc., Inc. Frontier Chemical Waste Process, Inc. Cataract Industrial Warehousing and Transportation, Inc. (aka Cataract Industrial Warehousing) CR-90-00025C (W D New York)	Facts: Envirosure Management Corporation (hereinafter EMC) was a business incorporated in the state of New York, with offices located in Niagara Falls, and in the business of hazardous and nonhazardous waste disposal services. EMC had wholly-owned subsidiaries known as Envirosure Marketing Corp. and Environmental Services Associates, Inc. (hereinafter ESA). ESA was the parent of two wholly-owned subsidiaries, Frontier Chemical Waste Process, Inc (hereinafter Frontier) and its affiliate corporation, Cataract Industrial Warehousing and Transportation, Inc. (hereinafter Cataract) 02/13/90: The five corporations were all charged in an indictment with the following counts: Nine counts of knowingly and unlawfully making or causing a false material statement and representation on a manifest identifying hazardous wastes, as required by 40 CFR §261.30, and in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)] Eight counts of making false statements, in violation of 18 USC § 1001; and one count of conspiracy, in violation of 18 USC § 371 07/26/90: Superseding indictment (90-25C) was returned. 02/26/92: Frontier Chemical Waste Process, Inc. pled guilty to one count RCRA violations - 42 USC 6928.
Great Western Inorganics, Inc. Roger W Vernon 90-CR-61 (D. Colorado)	Facts: Great Western Inorganics, Inc., a Colorado corporation, is engaged in the business of manufacturing and processing chemicals including mercury oxide, arsenic trichloride, and experimental metal salts. The corporation is located in Golden, Colorado. Roger W Vernon was the president and an owner of the corporation. 02/21/90: The corporation and Roger Vernon were charged in an information with the following counts: Roger Vernon - with two counts of being an accessory by making false statements regarding Great Western Inorganics, Inc generating and storing hazardous wastes without a permit or interim status in violation of RCRA, Sec. 3008(d)(3) [42 USC § 6928(d)(3)], and 18 USC § 3 Great Western Inorganics, Inc. - with four counts of knowingly storing, treating, and disposing of hazardous wastes without a permit or interim status, in violation of RCRA, Sec. 3008(d)(2)(A) [42 USC § 6928(d)(2)(A)]

Defendant(s)/Docket No./Court	Facts and Status
Great Western Inorganics, Inc. (cont from previous page)	02/27/90· Both defendants pled guilty to all counts charged in the information. 04/19/90: Defendant Vernon was sentenced, on the two counts charged, to 2 years probation, 100 hours of community service, a fine of $125,000, and a special assessment of $100. The corporation was sentenced, on the four counts charged, to 5 years probation, fined $200,000 on each count ($800,000), with all but $25,000 per count ($100,000) suspended on the condition of full compliance with the cleanup plan and that it pays for said cleanup. A special assessment of $800 was also ordered.
Crittenden Conversion Corp CR-90-75Z (W D. Washington)	Facts: Crittenden Conversion Corporation is a business located in Preston, Washington. In August 1989, 21 drums were found in a wooded area of Preston. Three of the drums contained ignitable hazardous wastes and required a manifest, containing a description of the hazardous waste. 03/07/90· The corporation waived indictment and agreed to enter a guilty plea to one count to be charged in a forthcoming information The corporation agreed to pay a $25,000 fine, restitution to the Washington State Dept. of Ecology in the amount of $15,387.89, and storage and disposal costs estimated at $2,330.36. The plea agreement also includes a provision for the dismissal of a civil case involving Crittenden Corp. pending in the same court, the U.S. District Court, Western District of Washington. 03/20/90: An information was filed charging the corporation with one count of causing hazardous wastes to be transported without manifests, in violation of RCRA, Sec. 3008(d)(5) [42 USC § 6928(d)(5)]. The corporation entered a guilty plea to the count charged on the same day. 05/03/90: The corporation was sentenced to pay fines of $25,000 and approximately $17,718.25 to the Washington State Dept. of Ecology for the costs associated with cleanup, transportation, storage, and disposal of all drum material generated by defendant.
Louisville Edible Oil Products, Inc. (aka Louisville E.O.P., Inc.) Presidential, Inc. Frank Reed Metts A. Dean Huff Raymond Carl Marrillia, Jr. (aka Sammy Marrillia) CR90-00048-L(B) (W D. Kentucky)	Facts: Louisville Edible Oil Products, Inc. (LEOP) is a Kentucky corporation doing business producing oils such as salad oils and margarine. Presidential, Inc., also a Kentucky business, is in the construction and demolition arm of Louisville E.O.P., Inc. Frank R. Metts was CEO and controlling stockholder of LEOP. A. Dean Huff was director and later president of Presidential. Raymond C. Marrillia, Jr. was a director and sec./treas. of Presidential. Mr Marrillia was also employed at LEOP as a construction manager and then vice president for Facilities Management.

Defendant(s)/Docket No./Court	Facts and Status
Louisville Edible Oil Products, Inc. (cont from previous page)	The two corporations and three individuals have been charged with violating CERCLA and the CAA when renovating buildings in Louisville, Kentucky. 04/19/90: A federal grand jury in Louisville, Kentucky returned a nine-count indictment charging: LEOP, Presidential, Raymond Carl Marrillia, Jr., A. Dean Huff, and Frank Reed Metts with violations of emitting an air pollutant, CAA, Sec. 112(c)(1)(B) [42 USC § 7412(c)(1)(B), (e)(1) and (e)(5); and 7413(c)(1)(C)]; and CERCLA 42 USC 9630(b), failure to notify of release of a reportable quantity of a hazardous substance (asbestos)
John C Auten 90-8032 (S D. Florida)	Facts: John C. Auten owned and operated a used tire business in West Palm Beach, Florida. 04/13/90: An information was filed charging Auten with knowingly discharging pollutants (automobile tires) twice weekly during the period from 02/05/81 to 10/87, from a point source into the West Palm Beach Canal violating the Clean Water Act - 333 USC 1311(a) and 1319(c)(2)(A). 04/19/90: Auten entered a plea agreement whereby he plead guilty to the information. 07/30/90: John C. Auten was sentenced to 3 years probation. Special conditions of the probation are that Auten is to make full restitution in the sum of $16,829.88 to Southern Florida Water Management under the direction of the probation office. Further ordered, Auten is to perform 100 hours of community service for each year of probation through the Southern Florida Water Management District or Environmental Agency, as determined by the probation office. Also, Auten is to pay a special Assessment of $50.
Paul Tudor Jones II S-90-0216 (D. Md.) William B. Ellen S-90-0215 (D. Maryland)	Facts: Paul Tudor Jones II was either President or CEO of Tudor Investment Corp. which engaged primarily in the business of money management. Between 08/24/87 to 11/01/89 (approximately) Tudor Investments purchased over 3,200 acres of land in Dorchester County for the purpose of developing a private hunting ground, hereinafter known as Tudor Farms. William B. Ellen was the project manager of the Tudor Farms site. 05/23/90: Defendant William B. Ellen was charged in a seven-count indictment with violations of the CWA Sec. 301(a) and 309(c)(2)(A) [33 USC §§ 1311(a) and 1319(c)(2)(A)]; also [33 USC §§ 403 and 406]; [18 USC § 2].

Defendant(s)/Docket No./Court	Facts and Status
Paul Tudor Jones II William B Ellen (cont from previous page)	05/31/90. Defendant Jones plead guilty to one count of violating the CWA and was sentenced to 18 months probation, terms of which include the restoration of 2,500 acres at Tudor Farms and is prohibited from the hunting of migratory game birds or waterfowl during 1990 and 1991 Defendant was fined $1 million plus $25 special assessment and shall also pay restitution of $1 million to the National Fish and Wildlife Foundation (all payable within 30 days of the sentencing date) 06/15/90: Defendant Ellen pled not guilty to charges. Trial scheduled for week of 12/03/90. 01/05/91: William B. Ellen was convicted by trial jury on five of six felony counts charged in the indictment. 04/15/91: Ellen was sentenced to 6 months imprisonment for each of five counts to run concurrently (actual 6 months imprisonment), 12 months probation with 4 months of that to be served as home detention, $250 special assessment, and 60 hours of community service.
J&J Investments 90-80481 (E.D. Michigan)	Facts: J&J Investments operated a laundromat on Round Lake Road in Union Lake, Michigan. J&J was required to comply with an EPA permit when discharging wastewater into an underground injection well. J&J falsified the reports that it submitted to EPA. 06/02/90: One count information filed charging violations of the Safe Drinking Water Act [42 USC 300h-2(b)] submitting materially falsified laboratory analyses to U.S. EPA. 06/21/90: J&J entered a guilty plea in federal court. 08/27/90: J&J sentenced to pay a fine of $13,429 within 120 days, plus a special assessment of $200.
Michael Levine 90-CR-172 (D. Colorado)	Facts: Michael Levine wned and operated a company known as M&L Supply, Inc. which was located in the state of Colorado and which sold chemical products. 06/11/90: Indictment returned charging Levine with 57 counts of violation of 18 USC 1341 and 1342 and two counts of 18 USC 2 and 1014 of false and fraudulent representation to customers of chemical products. 04/18/91: Levine was convicted by trial jury of 56 counts of the indictment. 11/08/91: Levine was sentenced to 18 months imprisonment, 36 months probation, and $2,800 special assessment.

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Defendant(s)/Docket No./Court	Facts and Status
Gary A. Keating, Sr 90-262-M-4 (E D Louisiana)	Facts: Keating constructed a concrete jetty and retaining wall in Lake Pontchartrain extending from property he owned along the lakeshore in St. John the Baptist Parish without a permit. 06/29/90: Three-count indictment filed charging Gary A. Keating, Sr with violating the Clean Water Act and Rivers and Harbors Act - 33 USC 1311(a) and 1319(c)(2)(A); 33 USC 407 and 411; and 18 USC 2 10/10/90: Keating was sentenced to three concurrent 8-month prison terms (to serve 8-months imprisonment) and to 1 year probation upon release from prison. No fine was imposed in accordance with his plea agreement; $125 special assessment was ordered.
Bert Michael Willard (aka Mike Willard) 90-407M (W.D Washington)	Facts: Bert Michael Willard had been hired to clean out a warehouse. He was charged and pled guilty to dumping asbestos and other toxic materials near a dirt road in Maple Valley. 07/12/90: Willard arrested on complaint charging the failure to provide immediate notice of release of a hazardous substance, knowing disposal of a hazardous waste (asbestos and PCBs) without a permit, and unlawful disposal of PCBs. Violations are of RCRA, CERCLA, and TSCA: 42 USC 6928(d)(2)(A), 9603(b), and 15 USC 2614(1)(c) and 2615(b).
CR-90-196	07/30/90: One-count information filed charging CERCLA violations of failure to notify on release of hazardous substance into the environment, 42 USC 9603(b). 07/31/90: Willard entered guilty plea to one count information. 01/16/91: Willard was sentenced to 6 months home detention, 5 years probation, 200 hours community service, and to pay $15,000 restitution and $50 to the Crime Victim's Fund.
Stewart Roth HCR90-0069 (N D Indiana)	Facts: Stewart Roth was former superintendent for the Sanitary District for the City of Hammond, Indiana which operated a wastewater treatment plant subject to an NPDES permit. 07/13/90: A four-count information was filed charging Stewart Roth with making false statements regarding the NPDES permit - 18 USC 1001. 07/13/90: Stewart Roth entered a plea of guilty to the information as charged.

Defendant(s)/Docket No./Court	Facts and Status
Robert E Morrison Jay L. Barnett CR 90-280-TUC (D. of Arizona)	Facts: Hughes Aircraft Co. was a defense contracting corporation doing business in Arizona. Hughes operated U.S. Air Force Plant No 44 in Tucson, Arizona, a facility which generated, treated, stored, and disposed of hazardous waste in the process of manufacturing guided missiles and other sophisticated weapons systems. Robert E Morrison was a supervisory employee of Hughes and had responsibility for waste operations and environmental compliance matters at Air Force Plant No. 44. Jay L. Barnett was a supervisory employee of Hughes who worked at the Industrial Wastewater Treatment Plant on Air Force Plant No.44. 08/01/90 - Morrison and Barnett were charged with a five-count indictment alleging conspiracy to defraud EPA, RCRA false statement, Aid and Abet, and false statement -- 18 USC 2, 1001, and 371, and 42 USC 6928(d)(3). 02/07/92: Barnett and Morrison pled guilty to one count which included charges of conspiracy (18 USC 371) and RCRA violations (42 USC 6928(d)(3). 05/15/92: Barnett was sentenced to pay a fine of $4,000, 48 months probation, and 400 hours community service. Morrison was sentenced to a fine of $5,000, 48 months probation, and 400 hours community service.
Samuel T. Alcus, III Carson Hay AEI-KAARS Drilling Ventures AEI-KAARS Production Co. Carson Associates, Inc. 90-12-S (E D Kentucky)	Facts: Samuel T. Alcus is an individual who resides in New Orleans, Louisiana and who was the general partner of AEI-KAARS Drilling Ventures and the President of AEI-KAARS Production Company Carson Hay is an individual who resides in Ashland, Kentucky and is the President of Carson Associates, Inc. AEI-KAARS Drilling Ventures is a limited partnership engaged in the production and recovery of oil and gas which operates in Magoffin County Kentucky. AEI-KAARS Production Company is a Kentucky corporation engaged in the production and recovery of oil and gas which operates in Magoffin County, Kentucky. Carson Associates, Inc. is a Kentucky corporation which provided daily operation and maintenance service to oil and gas operators, including AEI-KAARS Drilling Ventures and AEI-KAARS Production Company. 08/01/90: A six-count indictment was filed charging that the defendants, aided and abetted by each other, knowingly discharged a pollutant in violation of the Clean Water Act. - 33 USC 1311(a) and 1319(c)(2)(A), and 18 USC 2.

Defendant(s)/Docket No /Court	Facts and Status
Samuel T. Alcus, III (cont from previous page)	10/23/90: Samuel T. Alcus, III and AEI-KAARS Production Company pled guilty to a 1-count information charging negligently discharging brine from a point source into Birch Branch - a navigable water of U S , without a permit during operation of his wells, in violation of the Clean Water Act - 33 USC 1311(a) and 33 USC 1319(c)(1)(A). The government will dismiss charges against AEI-KAARS Drilling Ventures, a limited partnership. 11/13/90: Carson Hay and Carson Associates, Inc. were acquitted by trial jury on all counts. 02/01/91: Samuel T. Alcus, III was sentenced to pay a $10,000 fine, plus $25 special assessment, and 3 years unsupervised probation; $5,000 was suspended so that Alcus could pay restitution of $5,000 to the Kentucky Hazardous Waste Management Fund. AEI-KAARS Production Company was fined $100,000, plus $200 special assessment, with $50,000 suspended so that the company could pay $50,000 restitution to the Kentucky Hazardous Waste Management Fund. 02/06/91: Pursuant to the plea agreement filed on 10/23/90, charges were ordered dismissed as to defendant AEI-KAARS Drilling Ventures.
Sanchez Enterprises, Inc. General Metals Fabricators, Inc. Joseph P Sanchez Jean P Sanchez (aka John Sanchez) Gale E. Dean 2-90-101 (E.D Tennessee)	Facts: Sanchez Enterprises, Inc. is an Ohio corporation located in Mansfield, Ohio and has been in business of producing automotive and military metal parts. General Metal Fabricators, Inc. operated a plant in Erwin, Tennessee and was a division of Sanchez. It generated hazardous wastes as defined by federal and state regulations. Joseph P. Sanchez was president of Sanchez Enterprises and had overall responsibility for the operation of General Metal Fabricators, Inc. John Sanchez was an officer of Sanchez Enterprises and was involved in overall operation of General Metal Fabricators, Inc. Gale Dean was a production manager for General Metal Fabricators, Inc. 09/25/90: A five-count indictment returned by federal grand jury in Greenville, Tennessee charging felony criminal environmental crimes. It is alleged the defendants conspired to and violated provisions of the Resource Conservation & Recovery Act (RCRA) relating to storage and disposal of hazardous wastes - 42 USC 6928(d)(2)(A) and 6928(d)(4), and 18 USC 2 and 371. 06/29/91: Gale Dean was convicted on five counts of violations of RCRA and conspiracy. 07/30/91: Charges against Joseph Sanchez and Jean Sanchez were dismissed. 08/05/91: Dean was sentenced to 40 months imprisonment. Dean appealed this sentenced.

Defendant(s)/Docket No /Court	Facts and Status
Sanchez Enterprises, Inc. (cont from previous page)	08/22/91: Sanchez Enterprises, Inc. pled guilty to one felony count of illegal disposal of hazardous waste [42 USC 6928(d)(4)] and was sentenced to a fine of $150,000 of which $25,000 is to be paid into an environmental fund for the state of Tennessee. Charges against General Metals (Division of Sanchez) were dismissed in plea agreement.
Clyde Griffith CR 2-92-15	03/24/92: Clyde Griffith (Plant manager for General Metal Fabricators, Inc.) was charged and pled guilty to a one felony count information for illegal storage of hazardous waste - 42 USC 6928(d)(2)(A).
Tracy Westfall CR 2-90-176 (S.D. Ohio)	Facts: Tracy Westfall owned and operated a machine shop and scrap business in Columbus, Ohio using the name Machine Design. 09/27/90: Two-count indictment filed charging Westfall with knowingly and willfully disposing of PCBs in an unlawful manner in violation of TSCA - 15 USC 2614 and 2615(b). Westfall was also charged with CERCLA violations - failure to notify, 42 USC 9603(b). 08/09/91: Westfall pled guilty to one count of CERCLA violations - 42 USC 9603(b). 12/04/91: Westfall was sentenced to 12 months imprisonment.
Mark Irby 90-180 (D. South Carolina)	Facts: Mark Irby, former plant manager for the Lower Reedy Wastewater Treatment Plant in Greenville County, South Carolina, was engaged in the treatment of residential and industrial wastewater and discharged treated wastewater into the Lower Reedy River. It was alleged that Mark Irby directed unlawful discharges into the Lower Reedy River in violation of the NPDES permit. 05/03/90: Mark Irby was charged in a six-count indictment for violating the Clean Water Act - 33 USC 1311(a), 1362 and 1319(c)(2)(A). 07/11/90: Mark Irby was convicted on all six counts of an indictment for violating federal environmental laws under the Clean Water Act. 11/28/90: Irby was sentenced to 33 months imprisonment on each of six counts to run concurrently (to serve 33 months), $300 special assessment ($50 per count), and 12 months probation on each of three counts to run concurrently. 12/28/90: Appeal filed.

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Defendant(s)/Docket No./Court	Facts and Status
Charles A. Hassler 90-58-CR-ORL-18 (M.D. Florida)	Facts: Charles Hassler is a former public works director and city engineer of Longwood, Florida. The City of Longwood was previously assessed a civil fine in an administrative action by the state of Florida This was the first Land Ban Restriction (RCRA) conviction in the nation. 05/16/90: A two-count indictment was filed charging Charles Hassler with violations of Resource Conservation and Recovery Act regarding illegal disposal of hazardous waste - 42 USC 6928(d)(2)(A) and violations of CERCLA, failure to notify of the release of a hazardous substance - 42 USC 9603(b)(3). 08/23/90: Hassler signed a plea agreement for one count RCRA charges. 11/21/90: Hassler was sentenced to 3 months community confinement and $500 fine, plus $50 special assessment.
John Charles Nelson Court # (Superior Court of Washington for King County)	Facts: John Charles Nelson, owner/operator of John's AAA Waste Oil, allegedly was in the business of picking up hazardous waste from a number of generators who paid for legal and proper disposal. However, Nelson dumped hazardous waste illegally along a highway in the state of Washington. 05/17/90: Charges of one count of first degree theft and one count of attempted first degree theft were filed as a result of a state prosecution resulting from EPA/State Environmental Crimes Task Force and were related to illegal hazardous waste disposal. 01/28/91: Nelson pled guilty to one count of first degree theft and one count attempted theft in the first degree. 04/19/91: John Nelson was sentenced to 4 months imprisonment with 30 days to be dismissed upon performance of 240 hours of community service. Nelson was also ordered to pay $9,000 in restitution to an aggrieved landowner in the state of Washington.
Kevin J. Nice Jeffrey A. Gordon 90-1-76-4 (Superior Court of Washington for Grays Harber County)	05/25/90: Kevin J. Nice and Jeffrey A. Gordon were charged with property endangerment from illegal hazardous waste disposal - RCW 70.105.085 (State of Washington Statute). These are the first criminal environmental charges ever filed in the state of Washington.

Defendant(s)/Docket No /Court	Facts and Status
Kevin J. Nice Jeffrey A. Gordon (cont. from previous page)	06/25/90: Jeffrey A. Gordon pled guilty to one count as charged 08/14/90: Gordon was sentenced to 30 days imprisonment, 12 months probation, 88 hours of community service, and restitution to the state 02/04/91: Nice pled guilty to one count as charged. 02/25/91: Nice was sentenced to 90 days imprisonment, 12 months probation, $10,341.70 restitution, and $100 special assessment.
Ekotek, Inc. Steven M Self 90-CR-125-G Steven F Miller 90-CR-126S (D Utah)	Facts: Ekotek, Inc. is a corporation in Utah which operated an industrial facility in Salt Lake City and was engaged in the business of hazardous waste management and recycling. Steven F. Miller was vice-president of Ekotek, Inc. and Steven M. Self was principal owner and president 05/30/90: Indictment was filed charging Self and Ekotek with violations of conspiracy - 18 USC 371; the Clean Water Act (discharging pollutants into a publicly owned sewer system) - 33 USC 1317(d) and 1319(c)(1)(2); and illegal storage of hazardous waste - 42 USC 6928(d)(1)(2)(3). 05/30/90: Steven F. Miller pled guilty to a three-count felony information charging conspiracy - 13 USC 371, storage and treatment of hazardous waste in violation of a permit - 42 USC 6928(d)(2)(a), and the Clean Water Act - 33 USC 1319(c)(2). 04/18/91: Superseding indictment was filed against Self and Ekotek. 03/05/92: Self was convicted on six counts including conspiracy, mail fraud, and violations of CWA and RCRA.
Unichem International, Inc. CR90-057 (D Wyoming)	Facts: Unichem was a corporation, incorporated under the laws of the state of New Mexico, in the business of chemical-blending which sells its product to oil field operators. Unichem had blending plants in Wyoming, New Mexico, and Louisana. 05/31/90: Unichem was charged and pled guilty to three felony counts of RCRA violations in Wyoming - illegal storage, transportation, and disposal of hazardous waste without a permit - 42 USC 6928(d)(1) and (d)(2)(A). 11/02/90: Unichem was sentenced to 5 years probation, fined $1,250,000 on count 1, fined $125,000 each on counts 2 and 3 (suspended), and $600 special assessment.

Defendant(s)/Docket No./Court	Facts and Status
Jay Allan Ringler 90-CR-1559 (District Court of El Paso County, Colorado)	06/18/90: An information was filed charging Ringler with 3-counts of felony violations of Colorado State Environmental Laws: CRS 25-15-310-(1)(b) and 4(a) - unlawful treatment, storage, and disposal of a hazardous waste, and CRS 29-22-108 - Hazardous Substance Incidents (F-5).
Charles E. Welch Michael Robert Speach CR90-464 (C D California)	Facts: Michael Robert Speach was president of ENV, Inc, Environmental Services Division, a corporation with its principal place of business in Rancho Dominguez, in Los Angeles County, California. Charles E. Welch was vice-president of operations of ENV, Inc. 06/21/90. Indictment filed charging defendants Welch and Speach with illegal storage and transportation of RCRA-regulated electroplating wastes to Monarch Milling, a nonpermitted facility in Nevada - 42 USC 6928(d)(1) and (d)(2)(A). 07/20/90: Welch pled guilty to two counts of the indictment regarding storage and transportation of hazardous waste. 09/27/90: Speach was convicted by jury trial on all 15 counts of the indictment. 10/15/90: Welch was sentenced to pay a fine in the amount of $15,000, plus $100 special assessment, and to 36 months probation. 12/03/90: Speach was sentenced to pay a fine of $28,000 ($2,000 per count for counts 2-15), plus $750 special assessment, to 6 months imprisonment and 36 months probation upon release from prison, and 300 hours community service. 01/12/91: Appeal was filed by Speach.
Metro Container Corporation Metro Enterprise Container Sidney S. Levy Steven Zubrin Lewis Maslow 90-359 (E.D. Pennsylvania)	Facts: Metro Container Corporation was a Delaware corporation in the business of reconditioning used 55-gallon steel drums. Metro Enterprise Container was a Pennsylvania corporation in the business of reconditioning used 55-gallon steel drums by means of an incinerator located at the Metro facility. Sidney S. Levy was co-owner and president of Metro Container Corporation and Metro Enterprise Container. Steven Zubrin was maintenance supervisor of Metro Container Corporation. 08/15/90: A 17-count indictment was filed against defendants charging conspiracy - 18 USC 371; illegal storage and disposal hazardous waste in violation of RCRA - 42 USC 6928(c) and (d)(2); knowing endangerment; conspiracy to violate the CWA by direct discharge and violations of local limits for pretreaters, willful and negligent discharges in violation of CWA, and knowing (felony) violations of CWA - 33 USC 1319(c).

Defendant(s)/Docket No /Court	Facts and Status
Metro Container Corporation (cont. from previous page)	06/04/91: A 17-count superseding indictment was filed charging Metro Container Corporation and Metro Enterprise Container Lewis Maslow, two-thirds owner and CEO of Metro, was indicted on 12 counts of conspiracy, false statements, and violations of RCRA and CWA. 10/31/91. Maslow pled guilty to five counts including two counts of conspiracy (18 USC 371), and one count each for false statements (18 USC 1001), CWA (33 USC 1311(a) and 1319(c), and RCRA (42 USC 6928(d)(2) and (e). Metro Container Corporation and Metro Enterprise Container each pled guilty to two counts of conspiracy (18 USC 371) 05/01/92: Maslow was sentenced to 1 year and 1 day imprisonment, 36 months probation, and 300 hours community service. Zubrin was sentenced to 2 months imprisonment, 36 months probation, and 500 hours community service. Metro Container Corporation and Metro Enterprise Container were not fined due to bankruptcy.
R-M Engineered Products Co., Inc. Daniel J. Seeman Albert R. Mersberg Alvin P Tiedemann The Intertech Group CR-90-332 (D South Carolina)	Facts: R-M is a South Carolina Corporation which manufactures and sells products for aerospace/defense, automotive and power industries Seeman and Mersberg were R-M employees and stockholders of Intertech. Tiedemann is executive vice-president and secretary/ treasurer of Intertech Group, and was formerly vice-president and environmental safety officer for R-M. The Intertech Group is a South Carolina corporation and a holding company which is sole stockholder/owner of R-M Engineered Products, Inc. 08/16/90: A seven-count indictment was filed charging defendants with conspiracy - 18 USC 371, and illegal transportation, storage and disposal of hazardous wastes in violation of RCRA - 42 USC 6928(d)(1) and (d)(2)(A) and (d)(5). 11-28-91: Tiedemann pled guilty to a one-count superseding information charging CERCLA violations - 42 USC 9603(c). The Intertech Group and R-M Engineered Products Co., Inc. each pled guilty to one count RCRA violations - 42 USC 6928(d)(2)(A).
Enviro-Analysts, Inc. John R. Ruetz 90-CR-165 and 191 (E D. Wisconsin) Robert E. Schloesser 90-CR-168 (E.D Wisconsin)	Facts: Enviro-Analysts, a Wisconsin corporation, was in the business of, among other things, performing laboratory analyses for clients so that the clients could demonstrate compliance with the applicable environmental laws and regulations of the U.S. John R. Ruetz was President, Chief Executive Officer, and owner/operator of Enviro-Analysts, Inc. Robert E. Schloesser was vice-president of Enviro-Analysts, Inc.

Defendant(s)/Docket No./Court	Facts and Status
Enviro-Analysts, Inc John R. Ruetz Robert E. Schloesser (cont. from previous page)	07/09/90: An information was filed charging Robert E Schloesser with two counts of knowingly making false statements and representation in the reports filed with respect to the effluent stream of Moxness Products, Inc. pursuant to the provisions of the Clean Water Act - 33 USC 1319(c)(2). 07/11/90: Schloesser entered into a plea agreement acknowledging the charges of the two-count indictment. 08/28/90: A 28-count indictment was filed charging Enviro-Analysts, Inc. and John R. Ruetz with violations of the Resource Conservation and Recovery Act for illegal treating, storing, or disposing of hazardous waste - 42 USC 6928(d)(3); violations of the Clean Water Act with respect to false statements and representation in their reports - 33 USC 1319(c)(2); false statements - 18 USC 1001; and mail fraud - 18 USC 1341. 10/23/90: A one-count indictment was filed charging Enviro-Analysts, Inc., and John R. Ruetz with conspiracy to produce, prepare, and falsify information contained in the discharge monitoring reports for use by their clients in compliance with the regulations of the U.S. EPA and the Wisconsin Department of Natural Resources (18 USC 371). 12/12/90: Schloesser was sentenced to pay a fine of $2,500 each for count one and two ($5,000 total); to pay a total special assessment of $50; 2 years probation, and to pay restitution in the amount of $5,000 in such amounts and at such times as directed by the probation department; and to perform 150 hours of community service work with focus on environmental issues. 10/14/91: Enviro-Analysts, Inc. and John Ruetz were each convicted by trial jury on 14 counts which included mail fraud, false statements, and violations of the CWA and RCRA. 12/10/91: Enviro-Analysts, Inc. was sentenced to $14,000 fine and $675 special assessment. Ruetz was sentenced to $14,000 fine, $675 special assessment, 48 months probation and 200 hours community service, and was to be debarred.

Defendant(s)/Docket No /Court	Facts and Status
PureGro Company, Inc Jack White Robert Dickman Gary McCourt Steven Steed CR-90-228-AAM (E D Washington)	Facts: PureGro Co., Inc. was a legal entity incorporated in the state of California and in the business of retailing and manufacturing pesticides and fertilizers with a branch office and regional headquarters in Pasco, Washington. Jack White was regional manager and regional safety and environmental coordinator for PureGro. Dickman was district manager of PureGro. McCourt was manager of the fertilizer manufacturing unit for PureGro in Pasco, Washington. Steed was manager of the fertilizer manufacturing unit for PureGro in Pasco working out of corporate headquarters in Sacramento, California. 09/07/90: Indictment charges PureGro and above named employees with the illegal storage, transportation, and disposal of hazardous waste pesticides under RCRA - 42 USC 6928(d)(2)(A). Violations include conspiracy - 18 USC 371, and the illegal application of a pesticide under the Federal Insecticide, Fungicide and Rodenticide Act - 7 USC 136j and 136l. 09/17/91: PureGro entered a guilty plea to one count FIFRA violations and was sentenced to a fine of $15,000 and 2 years probation. Charges against individuals were dismissed by government.
Paul D. Zimmerman Edsil M. Elledge, Jr (aka Ken Elledge) Thomas G. Bentley-Smith Robert Wells 90-38-B-MI (M D Louisiana)	Facts: Zimmerman was former general manager of the office of Chemical Waste Management, Inc. in Baton Rouge, Louisiana Elledge was former program coordinator of the hazardous waste program of the Louisiana Department of Agriculture. Bentley-Smith was former project manager for Chemical Waste Management, Inc. in Baton Rouge. Robert Wells was former vice-president and treasurer of the T. H. Agriculture & Nutrition Co., Inc. in Kansas City, Kansas These individuals allegedly conspired and agreed to knowingly transport, or cause to be transported, hazardous waste without a permit. 09/12/90: A three-count indictment charged defendants with conspiracy - 18 USC 371, and RCRA violations including the illegal storage and transportation of a hazardous waste - 42 USC 6928(d)(2)(A) and (d)(5). 02/26/91: Zimmerman pled guilty to two counts: conspiracy to transport hazardous waste without a manifest and the storage of hazardous waste without a permit. 03/21/91: Federal jury found Elledge and Bentley-Smith guilty of all counts charged on 03/21/91. Wells was acquitted. 05/03/91: Bentley-Smith and Elledge were each sentenced to 5 years probation, $2,500 fine, and 250 hours of community service. 05/21/91: Zimmerman was sentenced to 1 year incarceration, $5,000 fine, and 3 years probation.

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Defendant(s)/Docket No./Court	Facts and Status
Albert S. ("Junior") Goodner, Jr. Dennis J. Goodner Jimmy C. Curry Goodner Brothers Aircraft, Inc. Goodner Bros. Farms, Inc. Aircraft Refinishers, Inc. 90-20031-04 (W D Arkansas)	Facts: The Goodner companies were Arkansas corporations in the aircraft refinishing business, which included paint stripping, repainting, and refurbishing of commercial aircraft. "Junior" Goodner is the president and operating manager of the Goodner companies. Dennis Goodner is the supervisor of the Aircraft Refinishers Company. Jimmy C. Curry is secretary of Goodner Brothers Aircraft and shop manager of that company. 10/03/90: A 14-count indictment charging the defendants with discharging pollutants into the Dallas Creek in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1); failure to report the release of a hazardous substance into the environment under CERCLA - 42 USC 9603(b); the illegal storage, transportation, and disposal of hazardous wastes - 42 USC 6928(d)(2)(A) and (d)(4); and conspiracy - 18 USC 371 01/28/91: Trial began on this date and resulted in hung jury on February 1, 1991. Charges against Aircraft Refinishers, Inc. were dismissed by the government prior to trial. Dennis J. Goodner and Jimmy C. Curry were given judgment of acquittal on all charges. 04/10/91: Charges against Goodner Brothers Farms, Inc. were dismissed by government. 04/11/91: Retrial resulted with defendant Albert S. Goodner, Jr found guilty of three counts of CERCLA violations and one count of RCRA Goodner Brothers Aircraft, Inc. was found guilty of four counts of RCRA violations and one count CERCLA. These were all felony violations that occurred near Ink, Polk County, Arkansas, and involved the illegal handling of toxic chemicals. 06/19/91: Albert S. (Junior) Goodner, Jr. received a total sentence of 15 months incarceration to be followed by 1 year supervised parole, a fine of $7,500, and $200 assessment for conviction on three felony CERCLA violations. Goodner Brothers Aircraft, Inc. was sentenced to a total fine of $150,000, $250 special assessment, and 5 years probation on each of five felony counts to run concurrently. 07/30/91: Appeal filed. 06/04/92: Eighth Circuit remanded five RCRA counts, but affirmed three CERCLA counts and the trial court's decision not to depart downward under the federal sentencing guidelines on Goodner's sentence. United States v. Goodner, 966 f.2d 380 (8th Cir. 1992).

Defendant(s)/Docket No./Court	Facts and Status
Gerald Elwell 90-77-01 Peter Lauzon 90-77-02 North Bennington, VT Board of Water Commissioners (D. Vermont)	Facts: Gerald Leon Elwell was Superintendent of the North Bennington, Vermont, Water Department duly appointed to that position by the North Bennington, Vermont, Water Commissioners. His duties included the operation of the North Bennington water system and daily monitoring of the turbidity levels of the water supply and the monthly reporting of same to Vermont Department of Health. Peter Lauzon was an employee of the North Bennington Water Department. 10/09/90: Indictment of 01/13/90 was unsealed charging Gerald Elwell, Peter Lauzon, and the Board of Water Commissioners, North Bennington, Vermont, with 26 counts of conspiracy and false statements/reports with regard to the Safe Drinking Water Act (SDWA). 10/29/90: Elwell, Lauzon, and the Board of Water Commissioners pled guilty to one count of violation of 18 USC 1001 (false statement) and the government agreed to dismiss the other counts of the indictment. 04/29/91: Elwell was sentenced to pay a fine in the amount of $500. Lauzon was sentenced to pay a fine in the amount of $250. The North Bennington Board of Water Commissioners was sentenced to a $500 fine.
Websterville Fire District #3 - The Prudential Committee 90-78-3 W. John Mitchell, II (aka Jack Mitchell) 90-78-1 Gary Brouillette 90-78-2 (D. Vermont)	Facts: Fire District #3, Websterville, Vermont was a public community water system supplying drinking water to approximately 420 people of Websterville. W. John Mitchell was superintendent of Fire District #3 water system and a member of The Prudential Committee. Brouillette was a member of The Prudential Committee and responsible for the sampling water supply. 10/09/90: Indictment of 09/13/90 unsealed charging defendants Mitchell, Brouillette, and Websterville Fire District #3 with conspiracy - 18 USC 371, false statements - 18 USC 1001, and aiding and abetting regarding the monitoring and reporting requirements of the Safe Drinking Water Act. 11/30/91: Charges have been appealed.
Murray L. Lewis 90-79-01 Bertha Lewis 90-79-02 (D. Vermont)	Facts: Murray and Bertha Lewis were owners/operators of the Pownal Water Company. Bertha Lewis was the individual responsible for sampling of the Pownal water supply and completion of reports, on behalf of Murray Lewis (operator of system), and filing reports with the state of Vermont for subsequent transmission to U.S. EPA for enforcement of provisions of the Safe Drinking Water Act.

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Defendant(s)/Docket No /Court	Facts and Status
Murray L. Lewis Bertha Lewis (cont. from previous page)	10/09/90: Court unsealed indictment obtained 09/13/90 charged 41 counts of false statements and representations to U.S. EPA and the state of Vermont regarding compliance for the Safe Drinking Water Act - 18 USC 1001. 10/17/90: Defendants each pled guilty to one count of false statements, 18 USC 1001, and the government agreed to dismiss remaining counts 04/15/91: Defendants were each fined $500 plus $50 costs, plus placed on probation for 5 years with a condition of turbidity monitoring to be conducted during that probation period.
Reginald Max Goldsmith 1.90-CR-356 (N.D Georgia)	Facts: Reginald Max Goldsmith committed overt acts in Northern Georgia as to hiring individuals to remove and store hazardous waste without a permit at three sites in the Atlanta Metropolitan Area. 10/10/90: A two-count indictment charged Goldsmith with conspiracy - 18 USC 371, and illegal transportation of hazardous waste in violation of RCRA - 42 USC 6928(d)(2). 12/18/90: A three-count superseding indictment was returned by grand jury with an additional charge of illegal storage of hazardous waste at a nonpermitted storage facility - 42 USC 6928(d)(2). 05/17/91: Previous indictments charging Goldsmith were dismissed. 07/02/91: A two-count indictment was returned charging Goldsmith with illegal transportation and storage of a hazardous waste to an unpermitted storage facility in violation of RCRA - 42 USC 6928(d)(1) and (d)(2)(A). 08/30/91: Goldsmith was convicted by trial jury on two felony counts of RCRA violations as charged. 12/05/91: Goldsmith was sentenced to 46 months imprisonment at a federal penitentiary. 12/11/91: Notice of Appeal was filed.
Saunders Asbestos Service Domenic Lamara Court # (D. Massachusetts)	Facts: Domenic Lamara was foreman for Saunders Asbestos Service, Inc. and was listed as a responsible management official of the company and had primary responsibility for asbestos removal and disposal performed by the company.

Defendant(s)/Docket No./Court	Facts and Status
Saunders Asbestos Service Domenic Lamara (cont. from previous page)	10/16/90: Lamara and Saunders Asbestos Service, Inc. were charged in an information with misdemeanor violations of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1)(A); namely negligently discharging unpermitted pollutants (water containing asbestos) from point sources into the Charles River. 01/25/91: Defendants pled guilty to a one-count information. 04/01/91: Corporation was fined $5,000 and 24 months probation. Lamara was sentenced to 4 months house detention.
Sentco Paint Manufacturing Co., Inc. Roland N. Brothers Rick N. Brothers Donald Gerald Cole Sam Minor 4-90-CR-0281 (N.D. Ohio)	Facts: Sentco was a paint manufacturing company in Youngstown, Ohio, which produced oil and latex based paints and asphalt roof coating, emulsion roof coating, flashing cement, driveway sealer, Flo-Kote, and Shurstik in Youngstown, Ohio. Roland N. Brothers was president and owner of Sentco. Rich N. Brothers was operations manager of Sentco, and Donald Cole was an employee. Sam Minor was a self-employed truck driver paid by Sentco to haul various waste materials from Sentco for disposal. 10/18/90: A nine-count indictment was filed charging defendants with conspiracy - 18 USC 371 and illegal disposal of hazardous wastes - 42 USC 6928(d)(2)(A). Rick N. Brothers was also charged with knowingly threatening or attempting to intimidate Sentco employees in violation of 18 USC 1512(b)(3). 06/01/92: Sentco Paint Manufacturing Company, Inc. and three individuals pled guilty pursuant to a nine-count indictment for conspiracy and violations of RCRA. Rick Brothers pled guilty to eight counts and was sentenced to 18 months in custody, 36 months probation, and $400 special assessment ($50 per count). Roland Brothers pled guilty to eight counts and was sentenced to 15 months in custody, 24 months probation, and $400 special assessment ($50 per count). Donald Cole pled guilty to seven counts and was not sentenced at this time All charges were dismissed against Sam Minor.
Michael H. Weitzenhoff Thomas W. Mariani Charles A. Reeves 90-01568 DAE (D. Hawaii)	Facts: Weitzenhoff was plant manager, Mariani was assistant plant manager, and Reeves was a licensed plant operator at the East Honolulu Plant Community Services Wastewater Treatment Plant (Hawaii Kai Wastewater Treatment Plant) and were employed by M&E Pacific Services, Inc., engaged in the operation of a wastewater facility. 10/18/90: A two-count indictment charged defendants with conspiracy to report false information on DMRs - 18 USC 371, and illegally discharging pollutants in violation of their permit and violating the Clean Water Act - 33 USC 1311(a) and 1319(c).

Defendant(s)/Docket No./Court	Facts and Status
Michael H. Weitzenhoff Thomas W. Mariani Charles A. Reeves (cont. from previous page)	02/05/91: A 31-count superseding indictment was filed charging defendants Mariani and Weitzenhoff with the dumping sewage sludge - 33 USC 1319(c)(2) and (c)(4). 03/07/91: A second 31-count superseding indictment was filed against Mariani and Weitzenhoff. 10/07/91: Weitzenhoff and Mariani were each found guilty by trial jury on six counts for conspiracy and Clean Water Act violations. 11/14/91: Reeves pled guilty to one count of conspiracy to knowingly discharging pollutants in violation of the CWA. 02/06/92: Weitzenhoff was sentenced to pay a special assessment of $300, 21 months imprisonment on each of the six counts to run concurrently, 24 months probation on the conspiracy count, and 12 months on the remaining five counts to run concurrently. Mariani was sentenced to pay a special assessment of $300, 33 months imprisonment on each of three counts, and 24 months on each of the remaining three counts all to run concurrently, and to 36 months probation on the conspiracy count and 12 months each of the remaining five counts to all run concurrently. 02/26/92: Reeves was sentenced to a fine of $5,000, $50 special assessment, and 36 months probation.
The Pillsbury Company 90-05020-01-CR-SW-4 Thomas Edward Francis 90-05018-01-CR-SW-4 Rodney A. McFadden 90-05019-01-CR-SW-4 (W.D. Missouri)	Facts: The Pillsbury Company and two of its employees were charged with negligently discharging a pollutant (ammonia) into Silver Creek, Missouri. 10/22/90: The Pillsbury Company pled guilty to a one-count information charging negligent discharge of a pollutant - 33 USC 1311(a) and 1319(c)(1). The company was fined $100,000 plus a special assessment of $125, and ordered to make restitution to the City of Joplin, Missouri in the amount of $75,000. 10/22/90: Defendants Francis and McFadden each pled guilty to a three-count information charging negligent discharge of a pollutant (ammonia) in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1). 01/17/91: McFadden was sentenced to 3 years probation, $6,000 fine and $75 special assessment, and 100 hours of community service. 01/25/91: Francis was sentenced to 3 years probation, $6,000 fine and $75 special assessment, and 100 hours community service.

Defendant(s)/Docket No./Court	Facts and Status
Gerry Blanchard Edward Viola Maxine Fairchild Donna Nims Claude Aven Barry Seace 2-90-CR-16 (W.D. Michigan)	Facts: Kinross Manufacturing Corporation (KMC) was a corporation located in Chippewa County, Michigan at the former Air Force base known as Kincheloe Air Force Base. Gerry Blanchard was a founder and president of KMC. The defendants were employees of KMC and responsible corporate officials at KMC. 10/25/90: An 11-count indictment was filed against above defendants charging conspiracy - 18 USC 371; unlawful treatment, storage, and disposal of hazardous waste under RCRA - 33 USC 6928(d)(2)(A); and failure to report a release of a hazardous substance under CERCLA - 42 USC 9603(b). 05/20/91: Edward Viola pled guilty to one count of illegal treatment of hazardous waste under RCRA. 07/15/91: Edward Viola was sentenced to 12 months suspended jail term, 12 months probation, $1,000 fine, and $50 special assessment. 08/21/91: Claude Aven pled guilty to four counts of illegal treatment of hazardous waste. 10/07/91: Barry Seace pled guilty to one count of illegal storage of hazardous waste. 11/18/91: Fairchild pled guilty to illegal storage of hazardous waste in violation of RCRA - 42 USC 6928(d)(2)(A) and bankruptcy fraud - 18 USC 152. 11/21/91: Blanchard pled guilty to one count of bankruptcy fraud and and one count of contract fraud - 18 USC 152. 01/31/92: Fairchild was sentenced to 8 months imprisonment (suspended) and 12 months probation on each of two counts to run concurrently, and $100 special assessment. 04/09/92: Donna Nims pled guilty to one count of illegal storage of hazardous waste, was sentenced to a fine of $1,000, and placed on 12 months probation. 05/13/92: Blanchard was sentenced to a fine of $6,500, $50 special assessment, 24 months confinement, 24 months probation, plus $118,613.98 restitution on the bankruptcy count. Regarding the contract fraud, he was sentenced to $50 special assessment and 60 months probation to run consecutive with bankruptcy probation.

Defendant(s)/Docket No./Court	Facts and Status
James Angerami Kenneth Birchfield Glenda Newsome Shawn Lee Rawls Edwin Eugene Trout Stephen Douglas Worley 2-90-CR-000021 (N D. Georgia)	Facts Defendants did conspire to knowingly manufacture and knowingly possess, with intent to distribute a Schedule II controlled substance, that is in a detectable amount of methamphetamine, in violation of 21 USC 841 and 846. 11/03/90: Defendants were charged, along with drug violations, for the illegal disposal of hazardous waste without a permit - 42 USC 6928(d)(2)(A). 04/26/91: Glenda Newsome, Edwin Eugene Trout, and Shawn Lee Rawls were convicted by trial jury on conspiracy to manufacture and possess with intent to distribute methamphetamine. Charges against Worley were dismissed in return for cooperation with the government 07/17/91: James Angerami was found guilty by trial jury on conspiracy to manufacture over 100 grams of methamphetamine and possession of unregistered firearms. Kenneth Birchfield was convicted on related drug and firearms violations as well as RCRA violations regarding illegal storage and disposal of hazardous waste - 42 USC 6928(d)(2)(A) 07/19/91: Newsome was sentenced to 125 months imprisonment, 5 years probation, $17,500 fine, and $150 special assessment. Trout was sentenced to life plus 58 months imprisonment, 10 years probation if released, $25,000 fine, and $150 special assessment. Rawls was sentenced to 151 months imprisonment, 5 years probation, $17,500 fine, and $150 special assessment. 09/27/91: Birchfield was sentenced to life imprisonment, $50,000 fine, and $200 special assessment. 10/07/91: Angerami was sentenced to 292 months imprisonment plus 120 months to run concurrently, 60 months probation, and a fine of $25,000 and $100 special assessment
Glenn Weatherbee Glenn Weatherbee Supply, Inc. CR90-00019-BG(M) (W.D. Kentucky)	Facts: Glenn Weatherbee is an individual who resides in Bowling Green, Kentucky and is president of Weatherbee Supply, Inc Weatherbee Supply, Inc., incorporated in the Commonwealth of Kentucky, is an oil and gas equipment company and is also used by Glen Weatherbee as his oil production company. 11/05/90: An 11-count indictment was filed charging defendants with 10 counts of willfully constructing and operating five underground injection wells to inject fluids into an underground source of drinking water without obtaining a permit and one count of falsifying an application for permit under the Safe Drinking Water Act (42 USC 300h).

Defendant(s)/Docket No /Court	Facts and Status
Glen Weatherbee (cont. from previous page)	10/28/91: Weatherbee Supply, Inc. pled guilty to one count Safe Drinking Water Act violations. Charges against Glen Weatherbee were dismissed in the plea agreement. This is the first felony conviction in country of Underground Injection Control provisions of the SDWA. 04/15/92: Weatherbee Supply was sentenced to a fine of $7,500 and placed on probation for 5 years.
Signs, Inc. Henry Kelly Logan 91-CR-00227 (Second Judicial District - City & County of Denver, Colorado)	11/07/90: Signs, Inc. and Henry Kelly Logan were charged with two counts of unlawful disposal of a hazardous waste - CRS 25-15-310 and contributing to a hazardous waste incident - CRS 29-22-108. 03/22/91: Corporation and Logan pled guilty to one count of the information charging an unclassified felony violation of Colorado Revised Statute 25-15-310 - unlawful disposal of hazardous waste. Logan was sentenced to unsupervised deferred judgment of 2 years and community service in an environmental organization, plus $230 fine to Victim's Compensation Fund. 04/23/91: Signs, Inc. was sentenced to pay a fine in the amount of $4,932.
Weyerhaeuser Company (Weyerhaeuser Sawmill - Aberdeen) CR90-298 (W.D. Washington)	Facts: Weyerhaeuser Company, a corporation headquartered in Federal Way, Washington, was engaged in the manufacture of lumber products at various sawmills located throughout the United States. 11/16/90: Weyerhaeuser Company was charged with a five-count information and pled guilty to misdemeanor counts for violations of the Clean Water Act. The criminal charges to which Weyerhaeuser pled guilty stem from the unpermitted discharge of paint wastes and wash water into Shannon Slough, a tributary of the Chehalis River, from the end seal and stencil painting operation at the company's Aberdeen, Washington sawmill for almost 9 years [33 USC 1311(a) and 1319(c)(1)(A)]. 11/16/90: Weyerhaeuser Company was sentenced to fines of $25,000 for each of the five counts ($125,000), plus $125 per count ($625) for the Crime Victim's Fund. Restitution to Shannon Slough Fund for foregoing violations in the amount of $375,000 was also ordered.
Douglas T. Niemann 91-36 (Grays Harbor County District Court of Washington)	Facts: Douglas T. Niemann was reported to Hoquiam Police Department for having found some 55-gallon drums of hazardous waste and buried the drums. While doing so, some of the drums broke or leaked onto the ground. The case was referred to Grays Harbor County District Attorney. 01/03/91: Charges were filed against Douglas T. Niemann for violation of revised code of Washington state law RCW 70-105-090 for the illegal disposal (dumping) of dangerous waste without a permit.

Defendant(s)/Docket No./Court	Facts and Status
Douglas T. Niemann (cont. from previous page)	03/30/92: Criminal charge was dismissed as part of plea agreement. Defendant pled guilty to civil charges and was fined with clean-up costs.
Sam L. Story 91-PT-17-S (S D. Alabama)	Facts: Sam L. Story was owner of Story and Company, a commercial and residential solid waste collection and disposal service in Birmingham, Alabama. Story allegedly directed an employee to discard 524 bags of asbestos-containing material at various locations in Jefferson County, Alabama. 01/31/91: Indictment was filed charging Sam L. Story with a felony violation of CERCLA for failure to notify the U.S. of release of hazardous substance (asbestos) into the environment - 42 USC 9603(b) 03/19/91: Story pled guilty to indictment and was sentenced to 3 months home detention and 3 years supervised probation. Story was ordered by the Court to incur the cost of home detention ($96.66/mo) and to pay $50 special assessment. He was also prohibited from hauling or handling asbestos materials as a result of an earlier civil settlement which stemmed from this investigation.
Southern Dredging Company William J. Floyd Douglas M. Floyd 291-02080 (D. South Carolina)	Facts: Southern Dredging Company, located in Charleston, was a South Carolina corporation in the business of hydraulic dredging of shipping channels, harbors, and marinas. These dredging operations include the disposal of what is commonly referred to as dredge spoil. William J. Floyd was the superintendent for Southern Dredging Company, Inc. and Douglas M. Floyd was the "dredge captain" for the dredge that performed the dredging of the marina. 02/12/91: Southern Dredging Company was charged in a one-count information and pled guilty to a felony violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). The corporation was sentenced to pay a $100,000 fine plus a $200 special assessment and placed on probation for 12 months. Southern Dredging also entered into a separate agreement with Department of Army not to bid on Army contracts for 90 days (November 1, 1990 to January 31, 1991). 05/22/91: A seven-count indictment charged William J. Floyd and Douglas M. Floyd, employees of Southern Dredging, Inc., with violations of the federal environmental laws including conspiracy - 18 USC 371, suborning perjury and perjury - 18 USC 1622 and 1623(a), and the illegal discharge of dredge spoils into the waters of the U.S. without a permit in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A).

Defendant(s)/Docket No./Court	Facts and Status
Superseding CR-2-91-524 Southern Dredging Company (cont. from previous page)	Plea and sentence of 02/12/91 was withdrawn. Company will go to trial 11/14/91: William Floyd and Douglas Floyd each pled guilty to two counts perjury - 18 USC 1622 and 1623(a) and two counts CWA violations - 33 USC 1311(a) and 1319(c)(2)(A). 01/21/92: Southern Dredging Co., Inc. pled guilty to a one count superseding information for discharge and deposit of refuse matter into a navigable water without a permit in violation of the Refuse Act - 33 USC 407 and 411. 01/30/92: Southern Dredging was sentenced to pay $100,000 fine, $125 special assessment, and 12 months probation. The company was debarred from bidding on or performing work on government contracts for 90 days.
Kenneth Laughlin John Donnelly Harris Goldman 91-CR-59 (N.D. New York)	Facts: GCL Tie and Treating, Inc., a corporation located in Sidney, New York, was in the business of treating unfinished railroad ties with creosote to keep them from rotting when exposed to moisture. Kenneth Laughlin was the president and/or plant manager of GCL and directed operations including giving orders for the illegal storage and disposal of hazardous waste without a permit. John Donnelly was a supervisor at GCL and directed workers to illegally store and dispose of hazardous waste without a permit. 02/13/91: John Donnelly and Kenneth Laughlin were charged in a 27-count indictment for RCRA violations - 42 USC 6928(d)(2)(A) and included charges of failure to notify under CERCLA - 42 USC 9603(a) and (b). 12/11/91: Goldman, former owner of GCL Tie and Treating, Inc , was indicted on two counts including RCRA violations - 42 USC 6928(d)(2)(A) and CERCLA - 42 USC 9603(c). 05/31/92: Laughlin and Donnelly both pled guilty to one count CERCLA violations - 42 USC 9603(a) and (b). 09/04/92: Goldman was convicted on both counts.
New York Bus Service 91-156 (N.D. New York)	Facts: New York Bus Service negligently discharged a pollutant, ethylene glycol commonly known as "antifreeze," from point source into waters of U.S. without a permit. 02/27/91: New York Bus Service was charged and pled guilty to one-count misdemeanor violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1)(A). 05/29/91: Defendant was sentenced to pay a fine of $25,000.

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Defendant(s)/Docket No /Court	Facts and Status
Queen Products Company, Inc. CR91-00027-01-L(B) (W.D Kentucky) Court # 91- (S D. Indiana) John Thomas Cottrell CR91-00026-01-L(B) (W.D. Kentucky)	Facts· Queen Products Company, Inc., located in Louisville, Kentucky, was a manufacturer of electrical products including transformer cabinets and juncture boxes. John Thomas Cottrell was plant manager at Queen Products. 03/15/91: Queen Products Company, Inc. was charged in a one-count information of knowingly disposing of hazardous wastes without a permit in violation of RCRA - 42 USC 6928(d)(2)(A). Cottrell was charged with two counts of RCRA violations for illegal disposal of hazardous wastes without a permit and transportation of hazardous wastes to an unpermitted facility - 42 USC 6928(d)(1) and (d)(2)(A) 03/20/91: Queen Products Company, Inc. and John Thomas Cottrell pled guilty to charges in the information. 03/20/91: Queen Products was charged in Indiana with violations of RCRA for knowingly disposing of hazardous wastes without a permit, in violation of 33 USC 6928(d)(2)(A). 05/22/91: Queen Products Company, Inc. was sentenced to a fine of $307,500, suspended to $165,000 ($82,500 to be paid to the U.S. and $82,500 to the Commonwealth of Kentucky), 18 months probation, and $200 special assessment, and additional requirements of cost of remedial action. Queen Products was also sentenced in Kentucky for the one-count information from Indiana to pay a fine of $10,000 (suspended upon the payment of $10,000 restitution to the state of Indiana), 18 months probation, and $200 special assessment. 05/21/90: Cottrell was sentenced to 3 years imprisonment (32 months suspended) on each of two counts to run concurrently, $10,000 fine, $100 special assessment, and 2 years probation on each of two counts to run concurrently.
Exxon Corporation 91-131 (D New Jersey)	Facts: Exxon Corporation spilled or negligently discharged 567,000 gallons of heating oil into Arthur Kill in January 1990. 03/20/91: Exxon Corporation was charged with and pled guilty to violating the Clean Water Act on January 1 and 2, 1990 when 567,000 gallons of heating oil were released into the Arthur Kill - 33 USC 1311(a) and 1319(c)(1). Exxon Corporation was sentenced to pay sum of $5 million as criminal fines, penalties, and restitution to the state of New Jersey, state of New York, other entities, and the Arthur Kill Trust Fund ($3 million was paid as criminal fines with $2 million restitution.)

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Defendant(s)/Docket No./Court	Facts and Status
Rogue Valley Circuits, Inc. 91-88 (D. Oregon)	Facts: Rogue Valley Circuits, Inc. (RVCI), an Oregon corporation, was engaged in ownership, management, and operation of an electroplating facility in Medford, Oregon. In the course of its electroplating business, RVCI generated certain hazardous wastes, such as wastewater treatment sludges. 03/21/91: Indictment filed charging defendant with two counts illegal transportation and disposal of hazardous waste - 42 USC 6928(d)(2)(A) 03/25/91: Rogue Valley Circuits pled guilty to indictment as charged. 05/28/91: Defendant was sentenced to 5 years probation, $400 special assessment, and to pay $1,000,000 fine, offset by $980,000 that has already been paid or will be paid, and to pay all debts connected with clean-up pursuant to EPA consent order #1090-08-14-106.
Thomas P. Banaham 5:CR-91-71 (N.D. Ohio)	Facts: Thomas P. Banaham was an employee of an environmental testing firm under contract of NASA who had been reporting taking samples he had not collected. 03/21/91: Thomas P. Banaham was charged with and pled guilty to a one-count information for knowing interference with a test method under the requirements of the Clean Water Act - 33 USC 1319(c)(4). 06/11/91: Banaham was sentenced to 1 year probation, and $250 fine plus $50 special assessment.
Nanticoke Homes 91-23 (D. Delaware)	Facts: Nanticoke Homes, a Delaware corporation located in Greenwood, Delaware, was in the business of manufacturing prefabricated homes. During the manufacturing process, ignitable hazardous wastes were generated. 03/26/91: Nanticoke Homes was indicted and pled guilty to RCRA violations - knowingly storing hazardous wastes without a permit - 42 USC 6928(d)(2)(A) and CERCLA - having knowledge of release of a hazardous substance into the environment without a permit (failure to notify) - 42 USC 9603(b). 07/30/91: Nanticoke Homes, Inc. was sentenced as follows: 3 years probation for each count (concurrent), 400 hours community service (25% must be performed by officers or directors of the corporation), $150,000 on each of two counts for a total of $300,000 plus a special assessment of $400.

Defendant(s)/Docket No./Court	Facts and Status
Croda Inks Corp. 91-20109-01-H John Michael "Mike" Cox Kevin George Moore George Moore 91-20168-H George Ault 91-20177-H (W D. Tennessee)	Facts: Croda Inks Corp. is an ink formulation and manufacturing company, doing business on President's Island, Memphis, Tennessee It generates certain types of pollutants including, but not limited to, chemical wastes in the form of solvent washes and sludges and washes and sludges. 04/22/91: Croda Inks Corp. was charged with and pled guilty to a one-count information for violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1)(A). Charges stemmed from Croda Inks negligently discharging unpermitted wastewater and solvents from the Memphis facility into a storm sewer that empties into McKeller Lake and the Mississippi River. 06/17/91: Croda Inks was sentenced to pay a fine of $200,000 ($100,000 suspended), plus $125 special assessment, and placed on 3 years probation. Defendant was also required to pay restitution in the amount of $100,000 to Tennessee Hazardous Waste Remedial Action Fund 07/10/91: John Michael Cox and Kevin George Moore were charged and pled guilty to a one felony count information charging false statements and misrepresentations to authorities (18 USC 4). George Moore, former general manager of Croda Inks' Memphis plant, was charged in a two-count indictment for storing and discharging hazardous waste without a permit in violation of RCRA - 42 USC 6928(d)(2)(A) and discharging pollutants into McKeller Lake which empties into the Mississippi River in violation of the CWA - 33 USC 1311(a) and 1319(c)(2)(A). George Ault was production supervisor at the Croda Inks' plant and was charged with one count knowingly discharging pollutants into McKeller Lake without a permit - 33 USC 1311(a) and 1319(c)(2)(A). 09/16/91: George Ault pled guilty to one count of CWA violations. 10/04/91: John Michael Cox and Kevin George Moore were each sentenced to $50 special assessment and 12 months probation. 03/30/92: George Moore was convicted by trial jury on one felony count of CWA violations - 33 USC 1311(a) and 1319(c)(2)(A). 04/21/92: George Ault was sentenced to 30 days probation and $50 special assessment.

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Defendant(s)/Docket No./Court	Facts and Status
Paul Warren Marquardson 91-103S (W D. Washington)	Fact: Paul Warren Marquardson was one of several members of a partnership known as Marquardson Associates which owned and operated the Cornelius Apartments in Seattle, Washington. 04/23/91: Marquardson was charged and pled guilty to violations of the Clean Air Act as a result of stripping asbestos insulation from the building boiler room without providing proper notice prior to removal of asbestos from a facility component - 42 USC 7412(c)(1)(B) and (c)(1)(C) 07/13/91: Paul Warren Marquardson was sentenced to pay a $5,000 fine and 24 months probation.
Sunnylands, Inc. William Ramagosa, Jr. Robert Ramagosa Sunrise Excavation, Inc. Sunrise Ventures, Inc. 3.CR-91-079 (M.D. Pennsylvania)	Facts: Sunnylands, Inc., Sunrise Ventures, Inc., and Sunrise Excavation, Inc., all Pennsylvania corporations, developed portions of Sunnylands property by altering portions of the property, including lakes, wetlands, and other waters of the U.S. William Ramagosa, Jr was vice-president and secretary of Sunnylands, Inc., president of Sunrise Ventures, Inc., and a corporate officer of Sunrise Excavation, Inc. Robert Ramagosa was vice-president and treasure of Sunnylands, Inc., a corporate officer of Sunrise Ventures, Inc., and president of Sunrise Excavation, Inc. 04/23/91: A five-count indictment was filed charging defendants with failure to obtain a permit for discharge of dredged or fill material into waters of the U.S. including wetlands in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). The indictment of four felony counts and one misdemeanor count alleges that the defendants illegally filled some 54 acres of wetlands and adjacent waterways 05/18/92: Federal jury in the Middle District of Pennsylvania acquitted William Ramagosa, Jr. and Robert Ramagosa, and their three companies of violations of the CWA.
Larry Clay Lavender 91-00074-AH-C (S.D. Alabama)	Facts: Larry Clay Lavender is president of American Bumper Company. American Bumper Company (ABC) is an automobile bumper electroplating facility located in Mobile, Alabama. 04/26/91. Lavender was charged and pled guilty to a one-count information for illegal storage of hazardous waste - 42 USC 6928(d)(2)(A). Information charges Lavender knowingly stored approximately 68 drums of chromic acid, cyanide, and heavy metals for a period exceeding 90 days without a permit or interim status authorization. 07/11/91: Lavender was sentenced to 6 months confinement in a community correctional facility plus 54 months probation, and ordered to pay $114,761.90 restitution to the U.S. for site restitution for felony violations of RCRA.

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Defendant(s)/Docket No./Court	Facts and Status
Martha Jane Slusser CR-91- (D Colorado)	Facts: Martha Jane Slusser was employed by Weaver Electric, Denver, Colorado. 03/29/91: Slusser was charged with a felony violation of tax law (26 USC 7206) and pled guilty on 04/23/91 in exchange for misdemeanor to environmental crimes violations. 06/19/91: Slusser was sentenced to 5 years probation, $50 fine, and restitution of $4,340.63.
United Technologies Corporation (Sikorsky Aircraft Division) 91-CR-00028 (D Connecticut)	Facts: United Technologies Corporation (UTC) is a corporation, incorporated under the laws of the state of Delaware and headquartered in Hartford, Connecticut. Sikorsky Aircraft Division (division of UTC) designs and manufactures military and commercial helicopters and operates a facility in Stratford, Connecticut. The defendant did knowingly dispose of listed hazardous wastes, that is spent halogenated solvents used in degreasing at the Sikorsky facility, without having obtained a permit or interim status in violation of 42 USC 6928(d)(2)(A). 05/16/91: United Technologies Corporation pled guilty to a six-count information charging illegal disposal of hazardous waste in violation of the Resource Conservation and Recovery Act (RCRA) and was sentenced $500,000 per count for a total fine of $3,000,000, plus $1,200 special assessment.
Joseph Long Scott Brady CR91-145-A (W.D. New York)	Facts: Basic Oxygen Furnace, located at the former Bethlehem Steel facility, Lackawanna, New York, was in the process of being demolished. Safe Air Environmental Group was awarded the contract for removal of all asbestos materials in Basic Oxygen Furnace. Joseph Long was CEO for Safe Air Environmental Group, Inc. Joseph Brady was foreman of Safe Air and directly in charge of day-to-day operations involving removal of asbestos from Basic Oxygen Furnace. 05/17/91: Long was charged and pled guilty to one count of false statements (18 USC 1001) relating to asbestos removal at Basic Oxygen Furnace, in violation of the Clean Air Act. 06/12/91: Brady was indicted on five counts of violating the Clean Air Act - 42 USC 7413(c), false statements - 18 USC 1001, as well as mail and wire fraud - 18 USC 1341 and 1343. 10/18/91: Long was sentenced to 1 year probation, $2,000 fine, plus 200 hours community service, and will participate in ACM removal safety programs. 01/28/92: Brady pled guilty to one count false statements - 18 USC 1001.

Defendant(s)/Docket No./Court	Facts and Status
Joseph Long Scott Brady (cont. from previous page)	04/30/92: Brady was sentenced to a fine of $2,000, $50 special assessment, 1 year probation, and 200 hours community service
Anthony St. Angelo, Sr. WN 91-0173 (D. Maryland)	Facts: Anthony St. Angelo, Sr. was president and owner of St. Angelo's East Coast Furniture Renewal Service, Inc. located in Ijamsville, Maryland. 05/23/91: St. Angelo was charged in a four-count indictment for illegal disposal of hazardous waste - 42 USC 6928(d)(2)(A). Allegations were that hazardous wastes from the furniture stripping process were dumped into a trash dumpster and onto the ground in Ijamsville. 12/18/91: St. Angelo was convicted by trial jury on all four counts
Sam Lam BCR-2738 (State Court of California)	Facts: Sam Lam transported hazardous waste from Barstow Marine Corps Logistics Base to Barstow Solid Waste Landfill, San Bernardino County, California without a permit to transfer, store, and dispose of hazardous waste. 06/04/91: Sam Lam was charged in the Superior Court of California with 16 felony counts of violation of 25189.5(B) California Health & Safety Code - illegal disposal and 25189.5(C) - illegal transportation of a hazardous waste. 05/29/92: Lam pled guilty in the Superior Court of California to five felony counts of illegal disposal of hazardous waste, which will be sentenced as misdemeanors.
Dale J. Mills Dale Mills & Associates, Inc. Sidney R. Holm 91-161-CR-T-15(C) (M. D. Florida)	Facts: Dale Mills & Associates, Inc. was a Florida corporation whose principal place of business was in Gainesville, Florida which was primarily engaged in the business of the engineering design and construction of municipal water supply and sewage treatment facilities. Mills & Associates were in the business of constructing a municipal wastewater treatment system for the town of Zolfo Springs, which was financed by the Department of Agriculture via combination of loans and grants made by the Farmers Home Administration (FMHA). Through a series of false statements and representations, Mills & Associates concealed information regarding the unsuitability of the site for use as a wastewater spray field to obtain financing to pay for construction of the facility. As a result of the unsuitability of the site, as well as the poor design and construction of the spray field, effluent was discharged on a regular basis into waters of the U.S. without an NPDES permit in violation of the Clean Water Act. Dale J. Mills was a licensed professional engineer and president and operator of Mills & Associates. Holm was a resident inspector employed by Mills & Associates to oversee all construction associated with the facility.

Defendant(s)/Docket No./Court	Facts and Status
Dale J Mills Dale Mills & Associates, Inc. Sidney R. Holm (cont. from previous page)	06/11/91: Defendants were indicted on 10 counts including conspiracy - 18 USC 371, false statements - 18 USC 1001, and felony violations of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). 10/08/91: Sidney R. Holm pled guilty to one felony count of Clean Water Act violations - 33 USC 1311(a) and 1319(c)(2)(A), and one count of false statements - 18 USC 1001. 11/07/91: Mills and his company pled guilty to four counts including false statements, mail fraud, and CWA violations. 01/29/92: Holm was sentenced to pay $50 special assessment, 24 months probation, and 200 hours of community service. 03/11/92: Mills was sentenced to 10 months imprisonment plus 66 months supervised probation. He was also ordered to pay restitution of $72,000 to FHA and the town of Zolfo Springs. Dale Mills & Associates, Inc. was sentenced to 60 months probation and to pay restitution of $72,000.
International Paper Company 91-00051-B (D. Maine)	Facts: International Paper Company, Portland, Maine, is in the business of papermaking. 07/03/91: International Paper Company was charged and pled guilty to five felony counts of illegal storing and treating (burned) hazardous waste at its Androscoggin Mill without a permit [42 USC 6928(d)(2)(A)] and of making a false material statement [42 USC 6928(d)(3)] and thereby avoiding costly requirements of proper waste management. International Paper Company was sentenced to pay fines totalling $2,200,000, plus a felony assessment of $200 per count or $1,000. The mill is the largest paper mill in Maine and the fine is the largest imposed in Maine for environmental violations.
Bright Leaf Sewage Treatment, Inc. (BLST) Jerry Tyler CR-91-21 (E D Kentucky)	Facts: Bright Leaf Sewage Treatment, Inc. (BLST) is a Kentucky corporation which owns and operates a private sewage treatment plant near Harrodsburg in the Eastern District of Kentucky. Jerry Tyler was the president and principal shareholder of BLST. 07/11/91: BLST and Tyler were indicted on six felony counts of knowingly discharging a pollutant (sewage) into Dry Branch, a navigable water of the U.S., in violation of its permit - 33 USC 1311(a) and 1319(c)(1)(A) and (2)(A). Tyler was indicted on one count of illegal discharge violating the CWA - 33 USC 1311(a).

Defendant(s)/Docket No./Court	Facts and Status
Bright Leaf Sewage Treatment, Inc. (BLST) Jerry Tyler (cont. from previous page)	10/09/91: BLST and Tyler each pled guilty to one felony count of violating the CWA. BLST was fined $350,000 and will convey ownership of sewage treatment plant, property, and equipment to operate plant to the Homeowners' Association. Tyler was sentenced to convey ownership of additional property to Homeowners' Association, to publish a public apology for an environmental violation, and was permanently barred from participating in the operation of sewage treatment facilities.
Quad-Tex Oil & Gas Company, Inc. Amvest Energy, Inc. Ron S. Terry CR-91-9 (E.D. Kentucky)	Facts: Quad-Tex Oil & Gas, Inc. is a branch or subsidiary company engaged in oil and gas exploration and recovery which operates in the Eastern District of Kentucky. Amvest Energy, Inc. is a Texas corporation which owns and/or operates oil and gas leases in the Eastern District of Kentucky. Ron S. Terry is the vice president of Amvest and the president of Quad-Tex. 07/11/91: Defendants were indicted on one count of knowingly discharging a pollutant into the navigable waters of the U.S. in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). 10/07/91: Ron S. Terry pled guilty to the indictment. 11/14/91: Quad-Tex Oil & Gas Company, Inc. and Amvest Energy, Inc each pled guilty to the indictment. 12/10/91: Quad-Tex and Amvest were each sentenced to pay $50 special assessment. Terry was sentenced to $2,800 fine, $25 special assessment, plus 12 months probation ($1,400 of the fine was suspended with provision that $1,400 restitution be paid to the Kentucky Hazardous Waste Management Fund).
Defense Systems Corp. (aka Hi-Shear Technology) Eugene Holderness Richard Heuer Harold Karp N-91-69-HDM (D. Nevada)	Facts: Defense Systems Corporation (aka Hi-Shear Technology) was incorporated in the state of Delaware and was in the business of retailing and manufacturing explosive devices, including the manufacture of the rocket propellant and the electronic machinery components which were placed within explosive devices. The corporation was also incorporated in the state of Nevada. Defense Systems Corp. was the successor corporation of Hi-Shear. The manufacturing and retailing of explosive devices generated hazardous waste which Defense Systems did knowingly transport, and cause to be transported from their facility in Saugus, California to Storey County, Nevada without a hazardous waste manifest, as required by RCRA. Also defendant and others did knowingly store a hazardous waste at an unpermitted facility in Storey County, Nevada - 42 USC 6928(d)(2)(A).

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Defense Systems Corp. (cont. from previous page)	Eugene Holderness was the executive assistant to the president and general manager of Defense System Corporation. Richard Heuer was director of operations at Defense Systems. Harold Karp was the manager of the shipping and receiving department at Defense Systems 07/23/91: Holderness, Heuer, and Karp were indicted and charged with illegal transportation of hazardous waste without a manifest according to RCRA - 42 USC 6928(d)(5) and false statements - 18 USC 1001. Holderness was also indicted on illegal storage of a hazardous waste - 42 USC 6928(d)(2)(A). 09/17/91: Defense Systems Corporation was indicted and pled guilty to four counts of illegal transportation and storage of hazardous waste in violation of RCRA - 42 USC 6928(d)(5) and (d)(2)(A). 12/18/91: Defense Systems was sentenced to a fine of $750,000. 05/21/92: Holderness was convicted by trial jury on all counts. Heuer was found guilty of making false statements. Karp was acquitted on all charges.
Reliable Sanitation Service 90-CR-1559 (Colorado State Court)	Facts: Jay Ringler was chief operating officer of Reliable Sanitation Service (RSS) and pled guilty on behalf of RSS to a felony violation of CRS 29-22-108 (caused and contributed to the occurrence of a hazardous substance incident). 07/29/91 Ringler was charged, pled guilty, and was sentenced to a $5,000 fine plus court costs. Ringler and RSS had already paid $11,114 41 in clean-up costs.
Daniel Workman Terry Lee Tebben 91-CR-143 (W.D. Pennsylvania)	Facts: Daniel Workman was employed by Geo-Con, Inc. as general superintendent at site. Terry Lee Tebben was employed by Geo-Con, Inc. as the site safety and health officer. Geo-Con, Inc , a Pennsylvania corporation, had entered into a contract with the U S Army Corps of Engineers to perform remedial work at Bruin Lagoon (EPA Superfund site). Workman, Tebben, and others did willfully and knowingly conspire to defraud the government by obtaining by Geo-Con payment of false claims. 08/14/91: Workman was indicted on six counts of false claims (18 USC 286 and 287, and 18 USC 1031). Tebben was indicted on three counts of conspiracy and false statements (18 USC 371 and 18 USC 1001). 10/09/91: Workman entered a guilty plea to one count of false claims (18 USC 286). 01/03/92: Tebben pled guilty to one count false statements - 18 USC 1001

Defendant(s)/Docket No./Court	Facts and Status
Daniel Workman Terry Lee Tebben (cont. from previous page)	03/05/92: Tebben was sentenced in Wyoming (place of residence being Casper, Wyoming) to 2 months home detention plus 24 months probation. 05/01/92: Workman was sentenced to 5 months imprisonment to be followed by 5 months home detention.
Halle Marina, Inc. Michael T. Strandquist S-91-0297 (D. Maryland)	Facts: Halle Marina, Inc. operated a marina and campground located on Breezy Point Road, Chesapeake Beach, Calvert County, Maryland, and was a Maryland corporation. Halle Marina consisted of approximately 125 campsites, a bath house, restaurant, ship's store and other buildings, and a boat basin of approximately 200 ships. Halle Marina, Inc. and Michael T. Strandquist (manager) periodically caused the raw sewage collected from holding tanks of the Halle Marina campground to be discharged into the boat basin located on Plum Point Creek (a navigable water of the U.S.) without a permit. 08/15/91: Halle Marina, Inc. and Michael T. Strandquist were charged in a three-count indictment for knowingly discharging pollutants into a navigable water of the U.S. without a permit, in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2). 11/08/91: A trial jury in Maryland District Federal Court found Strandquist guilty of two felony counts of the CWA and Halle Marina, Inc. guilty of two felony counts of knowing discharge and one misdemeanor of negligent discharge. 02/28/92: Strandquist was sentenced to 5 months imprisonment, followed by 5 months of home detention, and 1 year of supervised release. Halle Marina, Inc. was fined $17,000, plus $525 special assessment.
Ray R. Pleasant CR-2-91-35 William F. McMurray CR-2-91-34 (E.D. Tennessee)	Facts: William F. McMurray and Ray R. Pleasant allegedly had pumped water contaminated with diesel fuel from underground storage tanks and from an excavated pit on Pleasant's property in Kingsport, Tennessee into a storm sewer which emptied into the Madd Branch of the Holston River. 08/21/91: McMurray and Pleasant were charged and pled guilty to a two-count information for negligent discharge of oil-contaminated water in violation of the CWA - 33 USC 1311(a) and 1319(c)(1), and violation of the Migratory Bird Treaty Act - 16 USC 703 and 707(a), stemming from deaths of numerous waterfowl as a result of the discharge. 10/30/91: McMurray and Pleasant were each sentenced to pay fines in the amount of $7,500. Pleasant was also ordered to pay restitution of $2,600 to the City of Kingsport and $1,200 to the state of Tennessee.

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York Metal Finishing Company Edwin A. Walter CR-91-427 (E.D Pennsylvania)	Facts: York Metal Finishing Company and Edwin A Walter allegedly stored and caused to be stored at the York facility in Philadelphia, hazardous waste in the form of waste water treatment sludge from electroplating operations, without a permit, in violation of RCRA - 42 USC 6928(d)(2)(A), and in violation of the pretreatment standards of the CWA - 33 USC 1319(c). 09/11/91: York Metal Finishing Company and Edwin A. Walter were charged and pled guilty to a two-count information for violations of RCRA and CWA.
Marvin Mueller John M. Hall 91-0204-CR(4) (E.D. Missouri)	Facts: Marvin Mueller was the owner/operator and proprietor of Lafayette Square Cleaners, St. Louis, Missouri. 09/20/91: Mueller was charged in a two-count information for illegal storage and disposal of hazardous wastes, in violation of RCRA - 42 USC 6928(d)(2)(A). 09/26/91: Mueller pled guilty to the information as charged. 12/13/91: Mueller was sentenced to 4 months incarceration, plus 4 months home confinement on each of two counts to run concurrently, 2 years probation, $100 special assessment, and $91,454 restitution to the state of Missouri and Jefferson County for clean-up and investigation costs. 01/09/92: John M. Hall was charged with five counts of conspiracy - 18 USC 371, illegal storage and disposal of hazardous waste - 42 USC 6928(d)(2)(A), and failure to notify under CERCLA - 42 USC 9603(b). 03/24/92: Hall pled guilty to one count CERCLA violations. 06/29/92: Hall was sentenced to $3,000 fine, $50 special assessment, and 4 years probation.
John H. Curtis A91-075CR (D. Alaska)	Fact: John H. Curtis was former Fuels Division Director for the Naval Air Station, at Adak, Alaska. 09/25/91: Curtis was charged with a five-count indictment for negligently allowing fuel to leak from an underground pipeline and subsequently flow into the Bering Sea in violation of the Clean Water Act - 33 USC 1319. 03/18/92: Curtis was convicted on three counts. 05/26/92: Curtis was sentenced to 10 months imprisonment.

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Defendant(s)/Docket No./Court	Facts and Status
Steven J Zurkowsky 91-00159 (W.D. Virginia)	Facts: Steven J. Zurkowsky was manager and operator of the Mimslyn Hotel in Luray, Virginia, and illegally removed and buried asbestos while conducting a renovation of the hotel. 10/18/91: Zurkowsky was indicted on two counts of violations of the Clean Air Act - 42 USC 7413(c)(1)(C) for improperly removing and disposing friable asbestos and failure to notify under CERCLA - 42 USC 9602(b) and 9603(b).
James R. Hunt 1:91CR-395 (N.D. Georgia)	Facts: James R. Hunt was owner/operator of Action Testing & Consulting Labs, Inc., Tucker, Georgia, which operates as a laboratory brokerage service offering commercial product analysis and environmental laboratory analysis. 11/05/91: Hunt was indicted on two counts of paying an employee to illegally transport and dispose of 76 drums of hazardous waste at various sites in and around Atlanta, in violation of RCRA - 42 USC 6928(d)(1) and (d)(2)(A). 06/01/92: A 2-week trial resulted in a deadlock and the judge declared a mistrial. Case will be re-tried at a later date.
Frank J. Jordan CR-91-414 (D. Oregon)	Facts: Ore-Ida Foods, Inc., a Delaware corporation with headquarters in Boise, Idaho, operates a vegetable processing plant in Ontario, Oregon. Frank J. Jordan was employed by Ore-Ida Foods, Inc. as the environmental controls supervisor at the Ore-Ida Waste Water Treatment Plant in Ontario, Oregon. He managed and oversaw all the wastewater treatment plant operators, lab technicians, maintenance men, and truck drivers. 11/14/91: Jordan was charged in an eight-count indictment with permit violations of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A), tampering with a monitoring device - 33 USC 1319(c)(4), and falsification of Discharge Monitoring Reports - 18 USC 1001. 03/24/92: Jordan pled guilty to one count of CWA violations of tampering with a monitoring device. 06/15/92: Jordan was sentenced to 60 days house arrest, $5,000 fine, 60 months probation, and 100 hours community service.

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Defendant(s)/Docket No./Court	Facts and Status
Jeffrey Pytlarz 90-CR-939-1 Cheryl Emrich 90-CR-939-2 Andrew L. Neulander 90-CR-939-3 (N.D. Illinois)	Facts: Jeffrey Pytlarz was owner and president of P&H Plating Co , Inc., Chicago, Illinois. Cheryl Emrich was employed by P&H Plating as the technical and environmental manager. Andrew Neulander was employed by P&H Plating as the wastewater treatment supervisor Pytlarz knowingly directed co-defendant Emrich to order co-defendant Neulander to discharge unlawful plating solutions through an unmonitored drain into a sewer system that ultimately released into the North Shore Channel and the North Branch of the Chicago River 11/29/91: Pytlarz and Emrich pled guilty to a one-count indictment charging violations of the Clean Water Act - 33 USC 1317 (b) and (d) and 1319(c)(2). 12/10/91: Neulander pled guilty to a two-count indictment charging violations of the Clean Water Act - 33 USC 1317(b) and (d) and 1319(c)(2). 04/02/92: Emrich was sentenced to 36 months probation, 220 hours of community service, and $50 special assessment. 04/23/92: Neulander was sentenced to 4 months home detention, 36 months probation, 200 hours community service, and $100 special assessment. 05/26/92: Pytlarz was sentenced to 15 months incarceration, 12 months supervised probation, and $50 special assessment.
United States Sugar Corp. 91-8125-CR- Paine (S.D. Florida)	Facts: United States Sugar Corp. (USSC) is headquartered in Clewiston, Florida with additional facilities throughout the state. USSC is primarily engaged in the cultivation, harvesting and milling of sugar cane, citrus and vegetable production and processing, and raising beef cattle. USSC generated spent solvents in the milling and maintenance departments. 12/03/91: USSC was charged and pled guilty to an eight-count information for illegal disposal and transportation of hazardous waste in violation of RCRA - 42 USC 6928(d)(2)(A) and (d)(1) and (5). 03/04/92: USSC was fined $3,750,000.

Defendant(s)/Docket No./Court	Facts and Status
Maryland Assemblies, Inc. William S. Drum, III Edward Viola Jesse V. Hickman Timothy L. Stanton Richard L. Herr Edward W. Viola Rachel E. Rogers Eugene Stewart Joseph L. Lahiff CR-91-04046 (N.D Florida)	Facts: Maryland Assemblies, Inc. (MAI) was a Maryland corporation with its headquarters in Perry, Florida until after 12/29/88, when it became a Florida corporation. MAI manufactured various pyrotechnic and explosive materials under contracts from the U.S Army, Air Force, and Navy. William S. Drum, III was CEO and owner of Maryland Assemblies. 12/12/91: A 192-count indictment was filed alleging that certain Maryland Assemblies executives and associates caused the falsification of invoices and supporting documents in order to request over $11.2 million in progress payments under Department of Defense contracts. Indictment charged defendants with conspiring to defraud the government by submitting false statements and claims, mail fraud, bank fraud, violations of dealing in explosive materials without a license, improper disposal of hazardous materials, and racketeering Drum was indicted on all 192 counts while others were indicted with various counts. 02/03/92: Edward Viola, President of MAI, pled guilty to two counts including conspiracy to submit false statements - 18 USC 371 and RCRA violations of disposing of a hazardous waste without a permit - 42 USC 6928(d)(2). Edward W. Viola, engineering manager of MAI, pled guilty to one count of false statements - 18 USC 1001. 08/24/92: Jesse V. Hickman and Timothy L. Stanton pled guilty to one count conspiracy - 18 USC 371.
Nedmarc, Inc. Henry R. Edelberg 2:91CR-102EBB (D. Connecticut)	Facts: Nedmarc, Inc., a Connecticut corporation, owned and operated a truck trailer leasing facility in Colchester, Connecticut. Edelberg was president and operator of Nedmarc and owned two-thirds interest in the property at which Nedmarc facility is located. 12/17/91: Nedmarc and Edelberg were charged in a two-count indictment for illegal storage and disposal of hazardous waste without a permit (disposing 20 drums containing industrial solvents and cyanides into the ground at the Colchester property), in violation of RCRA - 42 USC 6928(d)(2)(A). 05/27/92: Nedmarc, Inc. and the company's owner, Henry R. Edelberg, pled guilty to two counts RCRA violations. 07/27/92: Edelberg (sentenced for company and himself) was sentenced to 6 months home detention, 3 years probation, 150 hours community service, and $213,906.52 restitution to the state of Connecticut.

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Defendant(s)/Docket No./Court	Facts and Status
Higman Sand and Gravel, Inc. Harold C. Higman (aka Bud Higman) Harold C. Higman, Jr. CR-91-4026 (N D Iowa)	Facts: Higman Sand and Gravel, Inc. was an Iowa corporation located in Akron, Iowa adjacent to the Big Sioux River. Higman Sand and Gravel, Inc. was in the business of selling sand and gravel and dealing in scrap metal. The corporation did not have a permit to store hazardous waste under RCRA. Higman and Higman were employees of the corporation. 12/18/91: A one-count indictment was filed charging defendants with illegal storage of hazardous waste without a permit under RCRA - 42 USC 6928(d)(2)(A). 11/13/92: All defendants found not guilty by trial jury.
Dennis Marchuk Jeanne Alvarez Robert Tann Michael Kelly 91-000669 (E.D. Pennsylvania)	Facts: Dennis Marchuk was president of Strathaven Realty Corp , a limited partnership of Marcus Hook Business and Commerce Center, Ltd. (MHBCC), located in Marcus Hook, Pennsylvania. Defendants Marchuk and Jeanne Alvarez exercised control and supervision of MHBCC and Strathaven employees engaged in renovation and demolition of buildings at the MHBCC site, including the removal and disposal of friable asbestos-containing waste material. Robert Tann and Michael Kelly were doing business as K&T Enterprises to remove friable asbestos-containing material from buildings at MHBCC and were hired by defendant Marchuk. 12/12/91: A nine-count indictment was filed charging Marchuk and Alvarez with violations of the Clean Air Act - 42 USC 7412 and 7413(c)(1)(C) and CERCLA involving illegal disposal of hazardous material (asbestos) - 42 USC 9603(b). Marchuk and Alvarez were charged with directing defendants Kelly and Tann to excavate friable asbestos, during which time the release of a hazardous substance was released into the environment without a permit, and failure to notify the U.S. Kelly and Tann were charged with two counts knowingly violating the asbestos emission and work practice standards - 42 USC 7412 and 7413(c)(1)(C) and CERCLA - 42 USC 9603(b).
Humane Coyote Getters, Inc. Raymond V. Hall 91-CR-443 (D Colorado)	Facts: Raymond V. Hall, manager/owner of Humane Coyote Getters, Inc., was in the business of manufacturing and selling chemical cartridges containing sodium cyanide. During manufacturing, hazardous waste was knowingly stored and discarded without a permit 12/31/91: Information filed against Humane Coyote Getters, Inc. charging FIFRA violations of having knowingly sold pesticides whose registration had been canceled by US EPA - 07 USC 136j and 136l, and charging Raymond V. Hall with illegal storage of a hazardous waste without a permit - 42 USC 6928(d)(2)(A).

Defendant(s)/Docket No./Court	Facts and Status
Humane Coyote Getters, Inc. (cont from previous page)	01/29/92: Defendants entered into plea agreement. 03/23/92: Humane Coyote Getters was sentenced to a fine of $2,250, $125 special assessment, and 12 months probation. Hall was sentenced to a fine of $2,000, $50 special assessment, 6 months home detention, 36 months probation, and 150 hours community service.
Gerald Wright Jimmie D. Rogers CR92-002-S (E.D. Oklahoma)	Facts: Gerald Wright was superintendent and manager of the Sequoyah County Utility Service which operated as a public water system at the Jimmie Rogers Lakeside Addition in Oklahoma. Jimmie D. Rogers had hired Wright and allegedly directed him to falsify monthly turbidity data pursuant to the Safe Drinking Water Act 01/09/92: Wright was charged in a seven-count indictment with falsification of monthly operation reports regarding turbidity data that were not the result of proper sample analysis - 18 USC 1001. 02/26/92: Wright pled guilty to three counts false statements. 04/14/92: Wright was sentenced to a fine of $250 plus $150 special assessment, and 12 months probation on each of three counts to run concurrently. 04/23/92: Jimmie D. Rogers was indicted on four counts of aiding and abetting of false statements regarding the monthly operational reports required by the SDWA - 18 USC 1001. 06/04/92: On this date the court sustained motion to dismiss charges after jury trial began on 06/01/92. 00/00/92: Wright filed appeal based upon jurisdictional grounds. 06/04/92: Rogers was acquitted on four counts of 18 USC 1001, based on defense motion for acquittal at the close of the government's case. 03/15/93: Tenth Circuit affirmed Wright's conviction, and ruled that reports submitted to a state agency, through a county agency, are within the jurisdiction of an agency of the United States, where the reports are required by federal regulation.

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Dennis W. Nix 92-00004-01-CR-W (W.D. Missouri)	Facts: Dennis W. Nix was president of AmerEco Environmental Services, Inc., Kingsville, Missouri. AmerEco was in the business of disposal of polychlorinated biphenyls (PCBs), a substance previously used in electrical equipment. AmerEco had contracts with the U S Department of Defense to dispose of electrical transformers containing PCBs in compliance with the Toxic Substances Control Act. 01/14/92: Nix was charged in a two-count information with conspiracy - 18 USC 371 and improperly disposing of PCB transformers in violation of the Toxic Substance Control Act - 15 USC 2614 and 2615(b). 02/28/92: Nix pled guilty to the information. 07/16/92: Nix was sentenced to 4 months house arrest for each count to run concurrently, 3 years probation for each count to run concurrently, and $50 special assessment.
Ronald William Meyers CR-92-12PA (D. Oregon)	Facts: Ronald William Meyers was President/Chief Executive Officer of Sutherlin Industries, Inc. of Sutherlin, Oregon. Sutherlin Industries is a steel fabrication company which generated certain hazardous wastes. 01/15/92: Meyers was charged in a one-count information and pled guilty to illegal storage of an ignitable hazardous waste (spent xylene and methylene chloride) at an unpermitted facility in violation of RCRA - 42 USC 6928(d)(2)(A). 04/27/92: Meyers was sentenced to a fine of $9,000, 60 months probation, and 80 days of community service.
Gordon S. Bird, Jr. 92-CR-21G (D. Utah)	Facts: Xtron Corporation, incorporated in the state of Utah, operated a gallium recovery facility in Blanding, Utah. As part of the recovery operation, Xtron handled hazardous wastes. Gordon S. Bird was President and owner/operator of Xtron. 01/16/92: Bird was charged in a two-count indictment with knowingly storing and disposing of hazardous wastes (specifically arsenic and cadmium) without a permit in violation of RCRA - 42 USC 6928(d)(2)(A).

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Defendant(s)/Docket No./Court	Facts and Status
Robert M Brittingham John J. LoMonaco 3-92CR-032 (N D Texas)	Facts: Dal-Tile, Inc. is a manufacturer of ceramic products and operates a plant in Dallas, Texas. Brittingham was Chairman of the Board of Directors, and oversaw and participated in day-to-day operations of the Dallas manufacturing plant. LoMonaco was President of Dal-Tile and managed daily activities of the Dallas plant. 01/16/92: A 17-count indictment charged Brittingham and LoMonaco with transporting and disposing of hazardous waste at an unpermitted facility in southeastern Dallas County in violation of RCRA - 42 USC 6928(d)(1) and (2)(A) and conspiracy - 18 USC 371. 03/11/93: Brittingham and LoMonaco were each convicted on eight RCRA felony counts. Each defendant was found guilty of violating RCRA by illegally dumping hazardous wastes into a gravel pit and of violating RCRA by transporting hazardous wastes to an unpermitted facility. The defendants were each acquitted on one count of conspiracy. United States v. Brittingham, et al., Criminal No. CR-3-92-032-R (N.D. TX Mar. 11, 1993)
Merlin D. Long Terry D. Lingle David R. Rieman CR 92-16Z Panama Machinery & Equipment Co., Inc. Manney Berman Leonard R. Berman Leon H. Berman CR-92-17 (W D. Washington)	Facts: Hazardous wastes had been generated at Panama Machinery and Equipment Company, Inc., dba The Everett Steel Companies in Everett, Washington. Manney Berman is the president and principal owner of Panama Machinery and Equipment. Leon Berman and Leonard Berman are vice presidents in the corporation. Long, Lingle, and Rieman were transporters. 292 55-gallon drums of highly ignitable hazardous waste were illegally disposed of in a rural pasture in southeastern Washington 400 miles from the generator. 01/16/92: A 10-count indictment was returned against three illegal transporters and disposers of hazardous wastes: Long, Lingle, and Rieman. They were charged with conspiracy to violate RCRA - 18 USC 371 and illegal storage, transportation, and disposal of hazardous waste in violation of RCRA - 42 USC 6928(d). 01/17/92: The Berman's and Panama Machinery & Equipment Co., Inc. were charged and pled guilty to a one-count information charging conspiracy to violate RCRA - 18 USC 371. 05/05/92: Long, Lingle, and Rieman each pled guilty to one count conspiracy to violate RCRA. 06/15/92: Manney Berman, Leonard Berman, and Leon Berman were all sentenced to 1 year imprisonment. Panama Machinery & Equipment Co., Inc. was sentenced to 60 months probation and to pay $497,458.19 in restitution.

Defendant(s)/Docket No./Court	Facts and Status
Merlin D. Long, et al. (cont. from previous page)	08/18/92: Long was sentenced to $2,000 fine, 6 months imprisonment, and 36 months probation. Lingle and Rieman were sentenced to $2,000 fine, 2 months imprisonment, plus 4 months of work release, and 36 months probation.
Pacific Enterprises Oil Company (USA) 92-CR-003 (D. Wyoming)	Facts: Pacific Enterprises Oil Company was a corporation incorporated in the state of California and qualified to do business in Wyoming. The company operated oil and gas production fields throughout the state of Wyoming and the U.S. including fields located on public lands leased from the Bureau of Land Management. Part of the chemicals used in oil and gas production operations, when discarded as wastes, constitute hazardous waste under RCRA. Some of the solid waste also included used asbestos (heater treaters). 01/21/92: Pacific Enterprises Oil Company was charged and pled guilty to an eight-count indictment for knowingly, willfully, and unlawfully using public lands in a manner contrary to regulations and without authorization from the Secretary of the Interior to do so - 43 USC 1733(a). This included illegal disposal of hazardous wastes. Pacific Enterprises was sentenced to pay a fine in the amount of $1,600,000, plus $1,000 special assessment, and restitution to Bureau of Land Management of 1,000 acres of land along the Green River in Uinta County, Utah.
Kenneth R. Nugent CR-92-10020WF (D Massachusetts)	Facts: Kenneth R. Nugent was hired as an environmental consultant by Evergreen Construction Company, Bellingham, Massachusetts. Evergreen Construction was a Massachusetts corporation engaged in construction and environmental services which included hazardous waste clean-up and removal as well as installation of underground storage tanks. Nugent's responsibilities included obtaining permits necessary to operate the environmental services division. 01/29/92: Nugent was indicted on one count of allegedly submitting false documentation to EPA, as required for permit application by RCRA, 18 USC 1001. 05/26/92: Nugent pled guilty as charged. 08/25/92: Nugent was sentenced to $50 special assessment and 12 months probation.

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Shell Pipe Line Corporation 4-92-CR-00024 (E.D. Missouri)	Facts: Shell Pipe Line Corporation is incorporated by the state of Maryland and operated the Ozark Pipeline which runs from Cushing, Oklahoma to Wood River, Illinois. On December 24, 1988, Ozark Pipeline ruptured in Maries County, Vienna, Missouri, releasing approximately 863,268 gallons of crude oil into a tributary of the Gasconade River, and eventually into the Missouri and Mississippi Rivers. 02/03/92: Shell Pipeline Corporation was charged and pled guilty to a one-count information charging violations of the Refuse Act - 33 USC 407 and 411, by unlawfully discharging and depositing refuse into a navigable water of the U.S. without a permit. Shell was sentenced to pay a fine of $200,000 and restitution of $800,000 to the U S., Missouri Department of Natural Resources, and state school districts.
Carbonator Rental Service, Inc. CR 92-62 (E.D. Pennsylvania)	Facts: Carbonator Rental Service, Inc. was a Pennsylvania corporation. The Carbonator facility contained more than 15 square meters of friable asbestos material on ceiling and structural components. 02/13/92: Carbonator was charged in a two-count information with causing employees to strip friable asbestos materials and in the process did knowingly violate emission standards applicable to a hazardous air pollutant (friable asbestos material) in violation of the asbestos work practice standards in violation of the Clean Air Act - 42 USC 7412(c)(1)(B) and (e) and 7413(c)(1)(C). 03/09/92: Carbonator pled guilty to the two-count information as charged. 09/18/92: Sentenced to 300 hours of community service.
Darrel W. Caster CR-92-6-M-CCL (D Montana)	Facts: Darrel W. Caster dba Precious Metals Plating near Bonner, Montana, operated a commercial metal plating facility. Through use and operation of its commercial metal plating facility, Precious Metals generated hazardous waste. 02/19/92: Caster was charged in a three-count felony indictment with knowingly discharging pollutants to the Big Blackfoot River without a permit in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2) and illegal storage and disposal of hazardous waste - 42 USC 6928(d)(2).

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Calvin W Browder CR-3:92-00066 (D South Carolina)	Facts: Calvin Browder was a licensed operator of Midlands Utility, Inc. - a wastewater treatment plant located in Lexington, South Carolina. Browder's duties included supervision and maintenance of the wastewater treatment facilities. 02/24/92: Browder was charged in a one-count felony indictment with discharging wastewater and sewage into Nicholas Creek without a permit in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). 07/16/92: Browder pled guilty to the indictment as charged.
Midlands Utility, Inc. Charles B. Parnell CR 3:92-401 (D. South Carolina)	Facts: Charles B. Parnell was the president and owner of Midlands Utility, Inc. Midlands Utility, Inc. owned and operated the Royal Hills Residential Wastewater Treatment Plant located in Fairfield County, South Carolina. Parnell failed to operate and maintain the facilities and treatment systems in order to comply with the NPDES permit requirements for the Royal Hills Wastewater Treatment Plant. 07/16/92: Information was filed charging defendants with violations of the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A). 08/30/92: Parnell and Midlands Utility, Inc. pled guilty as charged in the information.
Betty Rose White Laverne Evelyn Jacobsen Paul Ray Capps Thomas Scott Schlickeiser Jonathon E. Howard John H. Atkins Nina E. Mirich 92-0285 - 92-0291 (Oregon State Court)	Facts: The group of defendants had been working together in a scrap metal salvaging operation to obtain and salvage electrical capacitors, ballasts, and transformers for their copper value. The process resulted in multiple illegal PCB disposals on at least seven sites including U S Forest Service land and private property. 02/24/92: Defendants were indicted in Oregon State Circuit Court for Clackamas County on: criminal mischief in first degree (felony) - ORS 164.365; reckless burning (misdemeanor) ORS 164.335; placing noxious/offensive substances (PCBs) on property (misdemeanor) - ORS 164/895; and failure to keep metal purchase records ORS 165.107. 04/17/92: White pled guilty to two counts reckless burning of transformers and criminal mischief. Mirich and Howard each pled guilty to one count of reckless burning of transformers. 05/07/92: Schlickeiser pled guilty to one count reckless burning of transformers and one count placing noxious substance on property Jacobsen pled guilty to two counts reckless burning of transformers and one count placing noxious substance on property.

Defendant(s)/Docket No./Court	Facts and Status
Betty Rose White, et al. (cont. from previous page)	06/25/92: White was sentenced to 1 day incarceration, 60 months probation, and $10,000 fine (suspended). Jacobsen was sentenced to 60 months probation and $2,500 fine (suspended). Schlickeiser, Howard, and Mirich were sentenced to 36 months probation and $1,000 fine (suspended). Charges against Atkins were dismissed due to lack of evidence. Capps remains a fugitive.
Richard I. Johnson, Sr. Richard I. Johnson, Jr. Joseph Rosinski Joan Chuba CR-92-39A (W.D. New York)	Facts: Envirotek, Ltd. was incorporated and authorized to operate in New York, holding itself out as a treatment-storage-disposal (TSD) facility. Envirotek's plant/facility was located at Tonawanda, New York. Richard I. Johnson, Sr. was owner and in overall control of Envirotek while Richard I. Johnson, Jr. ran the day-to-day operations Joseph Rosinski is alleged to have been a close associate of Johnson, Sr who assisted in the illegal disposal of hazardous waste. 02/26/92: A 21-count indictment was filed charging defendants. Richard Johnson, Sr , Richard Johnson, Jr., and Joseph Rosinski were charged with conspiracy to violate the federal environmental laws - 18 USC 371. Johnson, Sr. and Johnson, Jr. were also charged with illegal treatment, storage, and disposal of hazardous wastes under RCRA- 42 USC 6928(d) and false statements - 18 USC 1001. The indictment also charges the Johnsons and Joan Chuba with conspiracy to commit bankruptcy fraud - 18 USC 1623 and 152.
James E. Johnson Larry E. Nance, Sr. 6-92-CR-11 (E.D. Texas)	Facts: James E. Johnson was the County Commissioner for Precinct 4 in Gregg County, Texas. Larry Nance was acting as supervisor for the road crew employees serving Precinct 4. Johnson and Nance allegedly dumped hazardous wastes into a pit near the Gregg County Airport. 02/28/92: A three-count indictment was filed against defendants charging conspiracy - 18 USC 371, illegal storage and disposal of hazardous waste without a permit in violation of RCRA - 42 USC 6928(d)(2)(A), and transportation of a hazardous waste without a manifest (d)(5). 03/25/92: Charges against Nance were dismissed by government. 05/07/92: A jury trial acquitted Johnson of all charges.

Defendant(s)/Docket No./Court	Facts and Status
Tariq Ahmad Rafat Asrar Mobashir Ahmad CR-92-201 (C.D. California)	Facts: Tariq Ahmad was president of Pacific Energy and Mining Co located in Reno, Nevada. Ahmad also acquired Shankman Laboratories, Inc. located in Chatsworth, California and incorporated in Nevada. M. Ahmad worked for T. Ahmad at Shankman Laboratories. Asrar also worked for Shankman Laboratories The defendants conspired and set fire to Shankman Laboratories' building and property used in interstate commerce to make it look accidental and to collect insurance money. 03/19/92: Tariq Ahmad was charged with 15 counts of conspiracy - 18 USC 371, arson - 18 USC 844, mail fraud- 18 USC 1341, and illegal transportation and export of hazardous waste to an unpermitted facility without a manifest in violation of RCRA - 42 USC 6928(d)(1),(4),(5), and (6), and falsification to the grand jury - 18 USC 1623. Asrar and M Ahmad were charged with conspiracy and arson. 08/24/92: Superceding indictment was filed against Tariq Ahmad and Rafat Asrar charging money laundering. Charges against Mobashir Ahmad were dismissed by motion from the government.
Stevens Analytical Laboratories, Inc. Alan Stevens CR-91-00335 (D. Massachusetts)	Facts: Alan Stevens was owner/operator and president and lab director of Stevens Analytical Laboratories, a corporation located in Stoneham, Massachusetts. Stevens, as lab director, was certified to scientifically analyze and test drinking water samples to determine chemical components. Stevens' customers included companies who needed water samples analyzed to comply with environmental regulations. 03/23/92: Stevens and his corporation were charged in a 10-count information for scheming and defrauding customers by mailing false analyses reports to customers who paid for analyses and relied upon them (mail fraud) - 18 USC 1341. 05/27/92: Stevens and his corporation each pled guilty to 10 counts of mail fraud. 09/21/92: Stevens was sentenced to 6 months home detention and 1 year probation. The company was sentenced to $500 special assessment and $4,140.50 restitution. No fine was imposed due to the financial condition of the company.
Samuel Gratz CR-92-00141 (E.D. Pennsylvania)	Facts: Lannett Pharmaceutical, Inc. was a Pennsylvania corporation engaged in manufacturing pharmaceuticals with facilities located in Philadelphia. Astrochem Corp. was a subsidiary of Lannett located in northern New Jersey. Gratz was president and chairman of the Board of Directors of Lannett and vice-president of Astrochem. Gratz allegedly had employees transport hazardous waste (such as phosgene and sodium cyanide and other characteristic wastes that were ignitable, reactive, or corrosive) from the Astrochem facility to the Lannett facility for storage and disposal.

Defendant(s)/Docket No./Court	Facts and Status
Samuel Gratz (cont. from previous page)	03/24/92: Gratz was indicted on four counts of illegal transportation of hazardous waste to an unpermitted facility without a manifest in violation of RCRA - 42 USC 6928(d)(1) and (5), illegal storage of a hazardous waste - 42 USC 6928(2)(A), and discharging pollutants down a storm drain which discharged into the Delaware River, a navigable water of the U.S. without a permit, in violation of the CWA - 33 USC 1311(a) and 1319(c)(2). 09/30/92: Gratz was convicted of all four counts as charged in the indictment.
Nancy Berry Walker CR-92-79 (D. Arizona)	Facts: Nancy Berry Walker is owner/operator of a mobile home park, River Ranch Villas, near Mammouth, Arizona. Walker allegedly falsified information regarding analysis of water supplied to the mobile home park. 03/25/92: Walker was charged and pled guilty to a one-felony-count information for falsification of documents regarding bacterial analysis of water for compliance with the Safe Drinking Water Act permit - 18 USC 1001. 04/17/92: Walker was sentenced to 12 months probation with the understanding that she must stay in compliance with the SDWA permit, filing accurate and timely reports. Walker also was required to publish an advertisement in a mobile home industry periodical admitting violations of the SDWA.
Rockwell International Corporation CR-92-107 (D. Colorado)	Facts: The U.S Department of Energy (DOE) was responsible for making nuclear material for defense programs. Rocky Flats Plant located near Golden, Colorado was one of DOE's nuclear weapons facilities. Rockwell International Corporation operated and managed the Rocky Flats facility from 06/30/75 - 12/31/89. 03/26/92: A 10-count felony information and plea agreement were filed charging Rockwell International with illegal storage and treatment of hazardous waste, in violation of RCRA - 42 USC 6928(d)(2)(A) and (C) and knowingly illegal discharge and release of nonsanitary industrial wastes (including toxic and hazardous) to the Rocky Flats sewage treatment plant, in permit violations of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1)(A) and (2)(A). 06/01/92: The judge accepted Rockwell's plea agreement in its entirety Rockwell was sentenced to a fine of $18.5 million to United States, $2 million was suspended because Rockwell agreed to pay $2 million to the state of Colorado as part of the plea agreement. A special assessment of $1,625 was also imposed.

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Defendant(s)/Docket No /Court	Facts and Status
Guy Eugene Anderson CR-4-92-60 (D. Minnesota)	Facts: Guy Eugene Anderson was Water and Wastewater Superintendent for city of Park Rapids, Minnesota. Anderson was responsible for managing Park Rapids' sewage treatment plant 03/27/92: A seven-count indictment was filed charging Anderson with knowingly making false statements, representations, and certification regarding monthly reports and analytical data required by the CWA and in violation of 33 USC 1319(c)(4). 04/16/92: Anderson pled guilty to one count CWA violations. 07/09/92: Anderson was sentenced to 2 months home confinement with work release privileges (electronic monitoring), 12 months probation, and 25 hours community service. No fine was imposed.
Montgomery Tank Lines, Inc. Gordon Babbitt Ronald Zega Merv Bridges Cory Niezgoda S-CR-92-17M William Keagle H-CR-90-00003-01 (N D. Indiana)	Facts: Montgomery Tank Lines, Inc. (MTL) was a major tank truck carrier company with corporate headquarters in Plant City, Florida. MTL was engaged in the nationwide transportation of bulk foods, chemicals, plastics, and petroleum products. The company also had terminals, tank washing, and repair facilities throughout the U.S. MTL was incorporated in the state of Illinois in 1965. Gordon Babbitt had been actively involved with MTL since 1981 and was elected vice-president and director. MTL operated a truck terminal in Gary, Indiana. Merv Bridges was operations manager of the terminal and also a regional manager for MTL. MTL also operated a truck trailer tank wash in Gary, which was managed by Ronald Zega. Cory Niezgoda assisted with the operations of the tank wash. MTL leased a building on the Gary tank wash site to an affiliated company, Gary Products Company, Inc. William Keagle was President of Gary Products which manufactured soap products used by the MTL tank washes. In 1987, arrangements were made to temporarily store 102,000 gallons of HCL acid at MTL's facility in Gary. The storage tankers began leaking almost as soon as they were delivered to MTL. April 14, 1987, at least 5,000 pounds of HCL was released into the environment without a federal permit. 05/10/91: In a previously sealed court document, William Keagle had been charged and pled guilty to failure to notify of a hazardous waste spill under CERCLA - 42 USC 9603(b). Keagle was sentenced to 1 year imprisonment (suspended) and placed on probation for 1 year. 04/01/92: Montgomery Tank Lines, Inc., Babbitt, Zega, Bridges, and Niezgoda were indicted for failure to notify of a release of a hazardous substance into the environment under CERCLA - 42 USC 9603(b) and conspiracy - 18 USC 371 regarding the facts of the release. Babbitt was also charged with falsification of his knowledge of the HCL leaks - 18 USC 1001.

Defendant(s)/Docket No./Court	Facts and Status
Montgomery Tank Lines, Inc., et al. (cont. from previous page)	10/26/92: All defendants pled guilty. 03/18/93: Gordon Babbitt was sentenced to 1 year in jail (9 months suspended) and a $120,000 fine. Montgomery Tank Lines was sentenced to a fine of $150,000. Defendants Bridges and Niezgoda, who pled guilty to misdemeanor violations of the Clean Water Act, were sentenced to a fine of $2,500 each. Ronald Zega also pled guilty to a CWA misdemeanor and was sentenced to a fine of $2,500 and 1 year on probation.
Charles A. Eidson Sandra A. Eidson 92-94-CR-T-15A (M.D. Florida)	Facts: Cherokee Oil Company, Ltd. was a Florida corporation operating a used oil recycling and wastewater disposal business in Tampa. Cherokee Trading Partners, Inc. was a Delaware corporation operating a used oil recycling and wastewater disposal business in Tampa. Charles A. Eidson and Sandra A. Eidson were officers and members of the Board of Directors of both companies. They represented to local businesses that their companies were capable of properly treating and disposing of industrial wastewater. 04/03/92: Defendants were charged with mail fraud - 18 USC 1341 and violating the Clean Water Act - 33 USC 1311(a) and 1319(c)(2) by illegal discharge of pollutants into waters of U.S. without a permit.
Spirtas Wrecking Company, Inc. 4-92CR00708 (E.D. Missouri)	Facts: Arnold Spirtas was president of Spirtas Wrecking Company, Inc. located in St. Louis, Missouri. 04/03/92: A five-count information was filed charging the corporation with false statements to TSCA inspectors (felony) - 18 USC 1001, TSCA violations for improperly marking stored drums containing PCBs and improper storage - 15 USC 2614(1)(c) and 2615(b), and operating a PCB facility without maintaining records - 15 USC 1624(3)(a) and 2615(b). 04/10/92: Spirtas Wrecking Company, Inc. pled guilty to all five counts stated in the information. 05/22/92: Spirtas was sentenced to a fine of $200,000 ($40,000 per count), suspended to $125,000; restitution of $75,580 ($10,000 to EPA and $65,580 to Missouri Department of Natural Resources); $700 special assessment; and 36 months probation on each count to run concurrently.
Donald Ray Doughty Irvin Ricks Robert B. Newell Pat A. Bailey Billy R. Crawford CR# 8919 (Louisiana State Court)	Facts: Joint CID/Louisiana state Police investigation to be prosecuted in state Court for illegal handling and disposal of hazardous waste, false statements, and knowing endangerment. 04/13/92: Above defendants were indicted on 26 counts by grand jury in St. Helena Parrish, Louisiana.

Defendant(s)/Docket No /Court	Facts and Status
G & Erie Associates Daniel J. Lasdon Real Estate Daniel J Lasdon Walter Grzelak CR-92-192 (E.D. Pennsylvania)	Facts: G & Erie Associates was a limited partnership which owned property and buildings located at 700 E. Erie Avenue, Philadelphia Daniel J. Lasdon Real Estate was a company located in Philadelphia, which managed property including that of G & Erie Associates. Daniel J. Lasdon was a principal of Daniel J. Lasdon Real Estate and a general partner of G & Erie Associates. Walter Grzelak was contracted to remove and dispose friable asbestos from the property and buildings located at 700 E. Erie Avenue. 04/14/92: Defendants were charged in a five-count indictment with violations of CERCLA - 42 USC 9603(b); and by violating asbestos emission and work practice standards in violation of the Clean Air Act and the National Emission Standards for Hazardous Air Pollutants 42 USC 7413(c)(1)(C), 7412(c)(1)(B), and 7412(e). 07/15/92: Defendants pled guilty to four counts of violating the Clean Air Act regarding asbestos removal. The CERCLA charge was dismissed. 09/22/92: Walter Grzelak was sentenced to 90 days home confinement, 3 years probation, 400 hours community service, and $5,000 fine plus $100 special assessment.
Marion Getzwiller Polly Getzwiller CR92-171-PHX-SMM (D Arizona)	Facts: Marion and Polly Getzwiller operated a ranch along the Gila River in Arizona. They received up to 500,000 tire casings (charging transporters $100 per truck load), which were dumped and buried in the riverbed. Marion and Polly Getzwiller did knowingly discharge a pollutant (used automobile and truck tires) from a point source into the Gila River, a navigable water of the U.S., without a permit and excavated soil from the channel of the Gila River without a permit. 04/16/92: Marion Getzwiller was charged and pled guilty to a two-count information charging violations of the CWA by discharging a pollutant without a permit - 33 USC 1311(a) and 1319(c)(2), and violations of the Rivers and Harbors Act by dredging of a waterway without a permit - 33 USC 403 and 406. Polly Getzwiller was charged and pled guilty to one count for dredging of a waterway without a permit - 33 USC 403 and 406
Amsterdam Color Works, Inc. Stephen E. Offerman 92 CR-265 (S.D New York)	04/19/92: A one-count information was filed against Stephen E Offerman and his company, Amsterdam Color Works, Inc., charging the defendants knowingly did treat, store, and dispose of hazardous waste (paint and solvent waste) without a permit, in violation of RCRA - 42 USC 6928(d)(2)(A). Offerman pled guilty to the information. 06/22/92: Amsterdam Color Works, Inc. pled guilty to the information

Defendant(s)/Docket No./Court	Facts and Status
Resource Consultants, Inc. Johnny Sanders Norman Porter CR-92-00072 (S.D. Alabama)	Facts: Resource Consultants, Inc. (RCI) was a corporation in the state of Alabama, doing business in Theodore, Alabama, processing drilling muds and removing, hauling, treating, and disposing of sewage wastes. Johnny Sanders was owner, president, and sole stockholder Norman Porter was general manager in charge of daily operations at RCI. RCI was sub-contracted by SCE to remove, treat, and dispose of sewage from the Three Mile Creek Waste Treatment Facility in Mobile during an upgrade of the facility. Under the terms of the sub-contract, RCI represented that it would maintain all necessary permits for activities under the sub-contract. 04/23/92: Defendants were indicted on two counts of knowingly discharging a pollutant (sewage) from a point source into the waters of the U.S. without a permit, in violation of the CWA - 33 USC 1311(a) and 1319(c)(2)(A), and conspiracy to violate the CWA - 18 USC 371. 06/21/92: Norman Porter pled guilty to one count of violating the Clean Water Act. 07/30/92: Johnny Sanders and Resource Consultants, Inc. were found guilty of one count of violating the Clean Water Act. 08/26/92: Porter was sentenced to 36 months probation.
Bristol-Myers Squibb Company 92-CV-123 (N.D. New York)	Facts: Bristol-Myers Squibb Company has a facility located in the village of East Syracuse and town of Dewitt, New York. 04/24/92: Bristol-Myers Squibb Company was charged and pled guilty to a four-count information charging illegal disposal of pollutants (industrial wastewater) into waters of the U.S. and illegal disposal of pollutants into the Onondaga County publicly-owned treatment works in violation of the Clean Water Act - 33 USC 1311(a), 1317 and 1319(c)(1). As part of the plea agreement, Bristol-Myers was sentenced to a fine of $3,500,000 with $3,000,000 suspended upon condition of payment of $3,000,000 restitution to New York state. Bristol-Myers also agreed to build a pretreatment facility which will utilize state of the art technology to treat all its wastewater associated with industrial activity.
Norman Richard Kirkham CR-4-92-46A (N.D. Texas)	Facts: Hazardous Waste Management, Inc. (HWM, Inc.), a Texas corporation, is located in South Lake, and was established to perform services as a transporter of hazardous waste. Norman Richard Kirkham was owner/operator of Hazardous Waste Management, Inc. 04/24/92: A two-count indictment and plea agreement were filed charging Kirkham with illegal transportation, storage, and disposal of hazardous waste without a permit, in violation of RCRA - 42 USC 6928(d)(2)(A) and (d)(3).

Defendant(s)/Docket No./Court	Facts and Status
Norman Richard Kirkham (cont. from previous page)	07/10/92: Kirkham was sentenced to 21 months imprisonment No fine was imposed. Potentially responsible parties, who admitted ownership of some of the hazardous waste illegally stored by Kirkham, sent $156,283.19 to EPA, Region VI Hazardous Waste Cost Recovery Division for clean-up costs which exceeded $170,000.
Ignacio Lopez CR-92-0576 (S D. California)	Facts: Lopez is owner/operator of Mexam Parking and Warehouse, Inc., Calexico, California. Lopez operates as a transportation firm, transporting goods between the US and Mexico. During 1991 and 1992, Lopez shipped 25 drums of hazardous waste (one at a time) from Calexico, California to Mexicali, Mexico. 05/13/92: Lopez was indicted on seven counts of illegal exportation of hazardous waste and transportation of hazardous wastes to an unpermitted facility without a manifest in violation of RCRA - 42 USC 6928(d)(1), (5), and (6). 07/23/92: Verdict returned: Lopez was acquitted on all charges.
Chevron, U.S.A., Inc. CR-92-408 (C.D. California)	Facts: Chevron, U.S.A., Inc. is a corporation incorporated in Pennsylvania and doing business in Ventura, California as the Southern California Division of Chevron U.S.A., Inc. Chevron owned and operated an oil drilling and production facility, known as Platform Grace, in the waters of the Outer Continental Shelf, in the Santa Barbara Channel, approximately 11 miles off the coast of Ventura, California. 05/18/92: Chevron, U.S.A., Inc. was charged in a 65-count information with discharging oil and grease in wastewater that exceeded limits of its NPDES permit in violation of the Clean Water Act - 33 USC 1311(a) and 1319(c)(1). 07/20/92: Chevron pled guilty to the 65-count indictment and was sentenced to a fine of $6.5 million, and ordered to pay $1.5 million on the civil case.
David Lloyd 3:92-CR-734 (N D. Ohio)	Facts: David Lloyd was supervisor of the Allen County (Ohio) Sanitary Engineer Department, and was responsible for managing a number of wastewater treatment plants for Allen County. 05/26/92: Lloyd was charged in a five-count information with falsifying monthly operating reports pursuant to the CWA - 33 USC 1319(c)(4). 06/02/92: Lloyd pled guilty to the five-count information as charged.

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David Lloyd (cont. from previous page)	08/11/92: Lloyd was sentenced to 12 months probation on each of five counts to run concurrently. (Condition of the probation· first 180 days to be spent in home confinement with electronic monitoring device) Lloyd was fined $6,318 ($1,000 for each count, plus $1,318 for probation costs) plus $250 special assessment.
Melody Knitting Mills, Inc. Richard Virgil Bates Kenneth Arthur Baber CR-92-134 (C D. California)	Facts: Melody Knitting Mills, Inc., prior to 1984, operated as Travelin' West Textiles, was a corporation located in Los Angeles, California Melody Knitting Mills operated a fabric dyeing facility in Simi Valley (Easy Street facility). Richard Bates was a shareholder, past president of Travelin' West, and the general manager of the Easy Street facility Kenneth Arthur Baber was the plant engineer and manager of the wastewater treatment system for the Easy Street facility. 05/28/92: Melody Knitting Mills, Inc., Bates, and Baber were indicted on 26 counts of charges of illegal discharge of industrial pollutants generated by the textile dyeing operation, including: conspiracy - 18 USC 371; false statements - 18 USC 1001; and violations of the CWA - 33 USC 1311(a), 1317(b)(c)(d), and 1319(c)(2)(3).
Chemical Conservation of Georgia, Inc. (CCGI) Eugene Milton Goldfuss CR-92-13-VAL-WDO (M.D. Georgia)	Facts: CCGI owned and operated a hazardous waste fuel-blending and solvent-recycling facility in Valdosta, Georgia and was permitted to accept various waste solvents. Goldfuss was former plant manager 07/08/92: Goldfuss and CCGI pled guilty to sealed four-count indictment charging aiding and abetting in the illegal treatment of a hazardous waste in violation of RCRA - 42 USC 6928(d)(2)(A). CCGI was sentenced to pay a fine in the amount of $800,000 and 5 years probation.
Neville Thomas Hayes CR-5-92-12 (D. Minnesota)	Facts: Hayes was employed by American Bridge & Dismantlement, a Chicago based firm, as a job superintendent responsible for demolition of various structures on a former U.S. Steel plant site in Duluth, Minnesota. 05/14/92: Hayes was charged in a one-count information with filing a false tax return - 26 USC 7206(1). Hayes had acquired proceeds from the sale of large amounts of scrap copper which he did not report as income 06/19/92: Hayes pled guilty to the information as filed. 09/11/92: Hayes was sentenced to 2 years probation with 4 months confinement to a community with work release privileges, plus a fine of $2,500.

Defendant(s)/Docket No./Court	Facts and Status
Stoller MII (Division of Stoller Chemical Co., Inc.) CR 2:92-00288 (D South Carolina)	Facts: Stoller Chemical Co., Inc. was a corporation under the laws of the state of Illinois, doing business in South Carolina. Stoller operated a micro-nutrient fertilizer plant at Jericho that was permitted for the storage of a certain type of hazardous waste. However, a dump site that was linked to Stoller was discovered several miles from the Jericho plant. 06/11/92: Indictment was filed charging two counts of illegal transportation and disposal of hazardous waste under RCRA - 42 USC 6928(d)(5) and (d)(2)(A).
Gaston Copper Recycling Corp. Stoller Chemical Co., Inc. Southwire Company Hy-Tex Marketing, Inc. Robert D. Weaver Arthur G. Heinel Arthur G. Betterton CR 2:92-00289 (D. South Carolina)	Facts: Stoller purchased baghouse dust generated by Gaston Copper Recycling Corp. from Hy-Tex Marketing, Inc. Stoller treated baghouse dust and used it to generate fertilizer micro-nutrient, knowing it would be applied to the land. Micro-nutrient was characteristic toxic for lead and cadmium, and classified as a hazardous waste. Stoller caused micro-nutrient to be transported and exported to Bangladesh and Australia. Weaver was General Manager of Stoller Chemical and was in overall control of the Jericho Plant. Heinel was president of Hy-Tex Marketing and performed all functions on behalf of Hy-Tex. Betterton was an employee of Southwire Company and participated in the management of hazardous waste at Gaston Copper Recycling Corp. 06/12/92: Gaston Copper Recycling Corp.; Stoller Chemical Co , Inc., Southwire Company; Hy-Tex Marketing, Inc.; Robert D Weaver; Arthur G. Heinel; and Arthur G. Betterton were charged with conspiracy (18 USC 371) and violations of RCRA - 42 USC 6928(d)(5) and (6).
Timothy Sedney CR-92-10 Carol Sedney CR-92-11 (W.D. Pennsylvania)	Facts: The Sedneys owned and operated Bay Shore Marina in Erie, Pennsylvania. As part of agreement to seal the Marina, the Sedneys were required to dispose of several underground storage tanks. They were charged with purposefully dumping approximately 9,000 gallons of contaminated gasoline into Presque Isle Bay and failure to notify the the U.S. Coast Guard of the dumping. 06/16/92: Timothy and Carol Sedney were charged and pled guilty to two counts of violating the Clean Water Act - 33 USC 1319(c)(2)(A) and 1321(b)(3) and (5). 09/01/92: Timothy Sedney was sentenced to 2 years probation with 6 months home detention and a fine in the amount of $4,000. Carol Sedney was sentenced to 2 years probation.

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Aspen Aviation Company, Inc. J. Keith Rose V. Randy Heath Keith G. Ward CR-92-20014 (W D. Arkansas)	Facts: J. Keith Rose, president of Aspen Aviation Company (Arkansas Corporation), operated an aircraft refinishing and maintenance business in Mena, Arkansas. Rose was charged with intentionally disposing of chemical waste into stormwater ditch leading from Aspen facility to Dallas Creek and at other off-site locations without a permit to generate, transport, or dispose of hazardous waste. Heath and Ward were employees of Aspen whose work involved the refinishing of aircraft. 06/18/92: Rose, Heath, and Ward were charged in a six-count indictment with conspiracy, 18 USC 371, violations of RCRA - 42 USC 6928(d)(5), the Clean Water Act - 33 USC 1311(a) and 1319(c)(2)(A), and false statements - 18 USC 1001. The company was charged with violations of RCRA and the Clean Water Act. 11/13/92: Jury acquitted all defendants on all charges.
Kenneth Fontenot CR-92-60035 (W.D. Louisiana)	Facts: Kenneth Fontenot operated a chrome-plating shop in Lafayette, Louisiana where he was in the business of refinishing and plating automobile bumpers. After closing his shop in 1991, he transported a vat of plating solution to his residence where he disposed of the solution by dumping it into the sanitary sewer. 07/10/92: Fontenot was charged with and pled guilty to an information for dumping 200 gallons of chrome plating solution into a sanitary sewer without a permit, in violation of the Clean Water Act - 33 USC 1319. 09/30/92: Fontenot was fined $2,500 and sentenced to 60 months supervised probation and 1208 hours of community service.
William P. Reilly John Patrick Dowd CR-92-53 (D Delaware)	Facts: Reilly was vice president and Dowd was president of Coastal Carriers Corporation of Annapolis, Maryland, which acted as shipping agent for various vessels including the Khian Sea. 07/14/92: Reilly and Dowd were charged in an information for making false statements to the grand jury during an investigation of the dumping of incinerator ash from the freighter Khian Sea into the ocean (18 USC 1623).
Barry Hess Salvatore A. Sortino George Frew CR-92-0404 (E D. Pennsylvania)	Facts: Hess was plant superintendent of Easton (PA) Area Joint Wastewater Treatment Plant. Sortino was employed as the operations supervisor and was responsible for supervising employees involved in the operation of the plant. Frew was maintenance supervisor at the Easton Plant and was responsible for supervising employees in the maintenance of the plant.

Defendant(s)/Docket No./Court	Facts and Status
Barry Hess Salvatore A. Sortino George Frew (cont. from previous page)	07/21/92: Hess, Sortino, and Frew were charged with violating the NPDES permit conditions when 14 tons of sewage sludge were discharged into the Delaware River from the Easton Sewage Plant - 33 USC 1311(a) and 1319(c)(2)(A). Hess was also charged with false statements - 18 USC 1001.
Hansen Containers Company, Inc. Christian E. Hansen, Jr. Michael Bilney 92-CR-265 (D. Colorado)	Facts: Hansen Container Co., Inc. now dba "345 Holdings, Inc" and aka Hansen Container Corp., Layton Brothers Drum Company, and Rocky Mountain Drum Company is a Colorado corporation. Hansen is the president of Hansen Industries, Inc., and was an officer and part owner of Hansen Containers. Bilney is the administrative assistant for Hansen Containers. 08/12/92: Hansen Containers Company, Inc. pled guilty to an information filed charging one count of illegal storage and disposal of a hazardous waste without a permit, 42 USC 6928(d)2)(A). Hansen and Bilney pled guilty to one count of violations of the Clean Air Act - 42 USC 7413.
Tualatin Industries, Inc. Judith MacLean Lachlan MacLean CR-92-276 (D. Oregon)	Facts: Tualatin Industries, Inc. is an Oregon Corporation located in Tualatin, Oregon and is engaged primarily in the business of painting and staining wood siding. Periodically Tualatin Industries, Inc. performs other industrial coating operations. Judith MacLean is secretary and general manager of the corporation. Lachlan MacLean is Judith's husband. 08/19/92: Tualatin Industries, Inc., Judith MacLean, and Lachlan MacLean were charged in an indictment with transporting hazardous wastes without a manifest and disposing of the wastes without a permit, in violation of RCRA - 42 USC 6928(d)(5) and (d)(2)(A).
Donco Industries, Inc. Donald Manning Charles Edgar James CR-92-407-SBA (N.D. California)	Facts: Donco Industries, Inc. is a California Corporation engaged in marine repairs with corporate offices in Oakland and a boat repair facility in San Francisco. Donald Manning is the president of Donco Industries and a 50% shareholder. Charles Edgar James is the secretary/chief financial officer and 50% shareholder of Donco. 08/28/92: Donco, Manning, and James were charged with being involved in a scheme to illegally dredge the channel leading into Donco's property at Indian Basin and to dump the dredge material into the San Francisco Bay off Hunter's Point in violation of the Clean Water Act - 33 USC 1311(a), 1319(c)(2)(A), and 1344 as well as charges of conspiracy - 18 USC 371.

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Recticel-Foam Corporation (aka Foamex L.P) Steve Murphy Chet Meyers O.E. "Gene" Fox Eldon Hall Jim Van Hooser Steve Cansler CR-2-92-78 (E D. Tennessee)	Facts: Recticel Foam Corporation, aka Foamex L.P , was incorporated in Delaware with divisional corporation headquarters in LaPorte, Indiana. Recticel was in the business of producing foam for use in various commercial products such as car seats and foam carpet backing. Murphy was initially a vice president and, since May 1990, was president/chief operating officer responsible for the operation of the Morristown Recticel plants. Meyers was operations manager for the Recticel plant. Hall was plant manager for Recticel. Van Hooser was vice president and, from 1986 to May 1990, was executive vice president/chief operating officer for the Morristown Recticel Plants Cansler was maintenance supervisor for Morristown Recticel Plants 09/04/92: Indictment filed against seven defendants charging conspiracy - 18 USC 371; violations of RCRA - 42 USC 6928(d)(2)(A), (d)(4) and (e); and false statements - 18 USC 1001.
Electrochemical Company, Inc. (ECI) Frank H. Leaman Russel L. Walker Glenn L. Stover, Jr. John Gibble CR-92-231-01 (M.D. Pennsylvania)	Facts: Electrochemical Company, Inc. (ECI), located in York, Pennsylvania, was an electroplating and metal finishing business which electroplated parts for the Department of Defense. Leaman was president and principal owner/operator of ECI, Walker was ECI maintenance supervisor, Stover was ECI production manager, and Gibble was ECI shift supervisor. The charges stemmed from illegal disposal of a hazardous waste, failing to report a release of hazardous substances, making false statements about the release to the Pennsylvania Department of Environmental Resources, and falsifying documents required to be submitted to the Department of Defense. 09/12/92: The corporation and four individuals were charged with five informations charging felony violations of environmental statutes and various Title 18 Defense Fraud offenses. Electrochemical Company, Inc. (ECI) was charged with one count pretreatment violations of the Clean Water Act - 33 USC 1319(c)(2)(A). Leaman was charged with violation of the CERCLA - 42 USC 9603; RCRA - 42 USC 6928(d)(2)(A). and false statements - 18 USC 1001. Walker was charged with violations of the CERCLA - 42 USC 9603. Stover was charged with one count of destruction of evidence - 18 USC 2232. Gibble was charged with false statements - 18 USC 371.
Hi-Tek Polymers, Inc. CR-92-0748-M-01-L (W.D. Kentucky)	Facts: Hi-Tek Polymers, Inc. was a manufacturer of coatings, resins, and water soluble polymers (combination of several chemical substances to form a new one). 09/21/92: Hi-Tek Polymers, Inc. was charged in an information with violating the River & Harbors Act by causing discharge of refuse matter (hazardous substances n-butanol and xylene) into the Ohio River, a navigable water of the U.S. - 33 USC 407 and 411.

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Sbicca of California, Inc. Dominic Sbicca Eduardo Reyna Juvenal Cabrear-Cruz CR 92-0610R	05/14/92: Company, vice president, and two employees attempted to export hazardous wastes to Mexico and were indicted for felony RCRA violations.
Daryl Alan Freter CR-F 92-5175 (E.D. California)	Facts: Freter operated Primary Gold in Kern County, California 08/27/92: Allegations of abandoned mining waste and failure to report to National Response Center, in violation of 42 USC 9603 (CERCLA)
William "Dave" Denison James Gary White CR-92-2167 (S.D. Texas)	Facts: Mr. Denison owned the Eltex facility in Houston, Texas. 09/11/92: Indictment filed charging that Denison and his employee, Mr. White, allegedly directed the transportation of several trailers with drums containing hazardous waste, stacked three high, to at least two locations in order to reduce drum count pending governmental entity inspection (RCRA).
Weaver Electric Co. Michael Slusser Clayton Regier Martha Slusser Bud Rupe 92-CR-300 to 303 (D. Colorado)	Facts: Weaver Electric Co., located in Denver, Colorado, specialized in refurbishing and selling electrical equipment. 09/11/92: A seven-count information was filed charging Weaver Electric Co. (Denver, Colorado) and four individuals with violations of TSCA and CERCLA.
Craven Laboratories Donald Hammerly Dale Lynn Harris Edward Stanley Peterson Don Allen Craven A-92-CR 152 (W.D. Texas)	Facts: Craven Laboratories is located in Austin, Texas and was in the business of testing levels of pesticides in food products. 09/22/92: Indictment returned charging Craven Laboratories, Inc. and four individuals with conspiracy (18 USC 371) and obstruction and concealment of material facts (18 USC 1001).

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Metro Technology, Inc. Terry Wayne Jones John E. Klein Mark E. Jones Klein P C., Inc. 92-CR-405-R (N.D. Texas)	Facts: Terry W. Jones is owner of Metro Technology, Inc., and T W Jones and Associates, Irving, Texas. John E. Klein owns Klein P C., Inc., and operated his business in the same building as Metro Technology, Inc. Mark E. Jones worked as a foreman at Metro Technology, Inc. 09/23/92: Charges filed against defendants for conspiracy (18 USC 371) and violations of the Clean Water Act (33 USC 1319).
Danny Shiflar William T. Liggett 92 CR 094B (D. Wyoming)	Facts: Owner of Rock Springs Autobody, Rock Springs, Wyoming. 09/24/92: A five-count indictment was filed charging alleged generation of abandoned drums on government (BLM) property in violation of RCRA (42 USC 6928).
Steve Howell Michael Laska CR-92 (C.D. Illinois)	09/30/92: Mike Laska, (dba Ral Properties, Cleveland, Ohio) and his manager, Steve Howell, were indicted on three counts of CERCLA and Clean Air Act violations (42 USC 7413).

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