

2021 SmartWay Excellence Awards — EPA Criteria

WHAT ARE THE SMARTWAY EXCELLENCE AWARDS?

The SmartWay Excellence Awards are EPA's means to recognize Partners that have optimized the environmental performance and efficiency of their freight management operations.

The SmartWay Excellence Award program recognizes approximately the top two percent of Partners with superior environmental performance.



To be eligible for consideration, qualified companies must have timely and accurate submittal of required data in the 2020 reporting cycle for all Partner categories (i.e., be Partners in good standing). For carriers, EPA must also have received a timely submission of the 2021 Tool.



EPA makes a full review each year of every Partner's SmartWay Tool submission to assess qualifications for award consideration.



Semifinalists in selected Partner categories will be contacted to submit an application.



All Excellence Award finalists will be screened for any adverse environmental actions that may prohibit public recognition for their SmartWay achievements.



EPA will notify those Partners that are selected as Awardees.



TIMELINE AND NOTIFICATION

JANUARY: The SmartWay Award process and data review commences. EPA identifies candidates using data submitted via the 2020 SmartWay Tool, from all Partner categories.

MARCH: Shipper, logistics and multimodal carrier semifinalists are identified and invited to submit supplemental information. Other carriers do not submit supplemental information.

APRIL 26, 2021: Submission deadline for supplemental information from shipper, logistics and multimodal carrier semifinalists.

JUNE/JULY: Final reviews and adverse environmental actions screening.

AUGUST: Awardees notification (no public announcement until fall).

OCTOBER: Announcement of Award recipients.



CARRIER CRITERIA

For each truck and multimodal fleet category, EPA identifies candidates that demonstrate top environmental performance. Performance is based on an equal weighting of all environmental metrics results from the SmartWay Carrier Tool (i.e. $\frac{1}{3}$ CO₂ g/ton-mi + $\frac{1}{3}$ NO_x g/ton-mi + $\frac{1}{3}$ PM g/ton-mi + $\frac{1}{3}$ CO₂ g/mi + $\frac{1}{3}$ NO_x g/mi + $\frac{1}{3}$ PM g/mi). Eligible multimodal carrier Partners will be invited to submit an Award semifinalist application. Exceptions for barge and rail carriers are noted below.

BARGE

Barge carriers are assessed based on a **50% CO₂ + 25% NO_x + 25% PM g/ton-mile** weighted result.

RAIL

Rail carriers are assessed based on a **50% CO₂ + 25% NO_x + 25% PM g/gross ton-mile** weighted result.


Awards will be given in carrier categories for truck, multimodal, barge and rail. The truck fleet carrier sub-categories are: TL/Dry Van, Tanker, LTL/Dry Van, Specialized, Refrigerated, Package, Moving, Mixed, Heavy/Bulk, Flatbed, Dray, Expedited, and Auto Carrier.


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- **LARGE TRUCK CARRIERS:** Annual miles traveled are **greater than or equal to one percent** of the total miles traveled for that category (Multimodal: **greater than or equal to five percent**; Truckload Dry Van: Large **at 0.75 %**; and Medium between **0.25-0.75 %**).
- **SMALL TRUCK CARRIERS:** Annual miles traveled are **less than one percent** of the total miles traveled for that category (Multimodal: **less than five percent**; Truckload Dry Van: **less than 0.75 %**; Package Delivery: **less than 0.1 %**).
- **LARGE BARGE CARRIERS:** **Greater than or equal to 25 million** annual ton-miles.
- **SMALL BARGE CARRIERS:** **Fewer than 25 million** annual ton-miles.
- **LARGE RAIL CARRIERS:** **Class I** railroads.
- **SMALL RAIL CARRIERS:** **Class II** and **Class III** railroads.

SHIPPER CRITERIA

About five percent of SmartWay shipper Partners that demonstrate top environmental performance will be selected as semifinalists, as described below, and invited to submit supplemental, qualitative documentation that demonstrates leadership efforts important to the Partnership and in support of strategic SmartWay goals.

 **SEMIFINALIST CRITERIA #1:** Demonstrate that effectively **98 percent** of miles or ton-miles shipped are with SmartWay Partners, using inputs to the Shipper Tool, based on actual activity data.


 **SEMIFINALIST CRITERIA #2:** Demonstrate top environmental performance based on a weighted average of three environmental metrics results from the SmartWay Shipper Tool (i.e., a **50% CO₂ + 25% NO_x + 25% PM g/ton-mile weighted result**).


Awards are given in three shipper categories based on size of freight operations.

- **LARGE SHIPPER:** **500 million or greater** annual miles shipped.
- **MEDIUM SHIPPER:** **Between 25 million and 500 million** annual miles shipped.
- **SMALL SHIPPER:** **25 million or fewer** annual miles shipped.

LOGISTICS CRITERIA

About five percent of SmartWay logistics Partners that demonstrate top environmental performance will be selected as semifinalists, as described below, and invited to submit supplemental, qualitative documentation that demonstrates leadership efforts important to the Partnership and in support of strategic SmartWay goals.

 **SEMI-FINALIST CRITERIA #1:** Demonstrate that effectively **80 percent** of miles or ton-miles shipped are with SmartWay carrier Partners.

 **SEMI-FINALIST CRITERIA #2:** Demonstrate top environmental performance based on an equal weighting of all environmental metrics results from the SmartWay Logistics Tool (i.e., **$\frac{1}{3}$ CO₂ g/ton-mi + $\frac{1}{3}$ NO_x g/ton-mi + $\frac{1}{3}$ PM g/ton-mi + $\frac{1}{3}$ CO₂ g/mi + $\frac{1}{3}$ NO_x g/mi + $\frac{1}{3}$ PM g/mi**).





Awards are given in three logistics categories based on size of freight operations.

- **LARGE LOGISTICS:** **200 million or greater** annual miles shipped.
- **MEDIUM LOGISTICS:** **Between 10 million and 200 million** annual miles shipped.
- **SMALL LOGISTICS:** **10 million or fewer** annual miles shipped.

SEMIFINALIST EVALUATION CRITERIA

Shipper, logistics and multimodal carrier semifinalists may address leadership considerations important to SmartWay strategic goals, such as effective collaboration, advanced technology and operational practices, a robust system for validating and reporting their SmartWay data, communications and public outreach. This is a competitive process, and submittal of the semifinalist application does not assure award selection.

Applications will be judged on a point system that evaluates:

-  Clarity and completeness of application responses.
-  Quality and substance of summaries and descriptions.
-  Evidence, proof or documentation that substantiates submissions.
-  Actual achievements and accomplishments, as well as activities, tasks and efforts.

