

 **EPA Solid Waste Funding**
A Guide to Federal
Assistance



This brochure describes a variety of federal funding sources for solid waste research and management programs available to state and local governments, the general public, and small businesses. This funding is available from the U.S. Environmental Protection Agency (EPA) and other federal agencies.

Most of the funding available from EPA is in the form of financial awards called grants and cooperative agreements for nonprofit entities, universities, and state and local governments. These awards can be money, property, services, or anything of value to the applicant.

EPA awards grants and cooperative agreements based on a review of an applicant's proposal and a determination of how well the project meets Agency criteria. Under cooperative agreements, EPA and the applicant negotiate the work to be conducted and the requirements that must be met. Some grant/cooperative agreement proposals are made in response to solicitations (requests for proposals) by EPA, while others are sent to EPA independently without any call for proposals. In many cases, the grantee is required to match a portion of the federal money.

In addition, some business funding mechanisms are available. EPA has an annual grant solicitation and the U.S. Small Business Administration (SBA) has three loan programs.

This brochure briefly describes each grant, cooperative agreement, and loan program for solid waste activities. Each description includes contact phone numbers and addresses for more information. Phone numbers for EPA regional offices, referenced in some funding descriptions, are listed on the inside back cover.



EPA-Administered Nonprofit Grants And Cooperative Agreements

Three different EPA-administered grants and cooperative agreements are available:

- Grants specific to solid waste activities. EPA's Office of Solid Waste (OSW) and regional offices administer these grants directly.
- Grants for one or more environmental program (i.e., solid waste, water, and/or air). EPA offices other than OSW administer these grants.
- Consolidation grants for state, local, and tribal programs relating to a specific function (such as permitting) across all media (i.e., solid waste, water, and air permitting consolidated grants). These grants are not addressed in this brochure.

Contact your EPA regional office (see inside back cover) or EPA's Grants Administration Division (see page 7) for more information.

Environmental Education Grants Program (Annual Grants Solicitation)

Goal: To support projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and training. (Note: not limited to solid waste).

Amounts: Approximately 25 percent of grants are \$5,000 or less; some are over \$25,000. There is a 25 percent matching funds requirement.

Eligibility: Local or state education agencies, colleges or universities, state environmental

agencies, tribal education agencies, and nonprofit organizations.

Deadlines: Vary from year to year.

Contact: For more information, and to get on the mailing list for the annual solicitation, contact EPA, Office of Environmental Education (1704), Ariel Rios Building, 1200 Pennsylvania Avenue, NW., Washington, DC 20460; Phone: 202 260-8619; Fax: 202 260-4095; Web: <www.epa.gov/enviroed>.

Environmental Protection Consolidated Research (Unsolicited Grants and Cooperative Agreements)

Goal: To support research to determine the environmental effects and, therefore, the control requirements associated with hazardous waste, air quality, acid deposition, drinking water, water quality, toxic substances, and pesticides.

Amounts: Range from \$5,000 to \$2 million.

Eligibility: The program is available to states, territories, and possessions of the United States, and to the District of Columbia, for public and private state universities and colleges, hospitals, laboratories, state and local government departments, other public or private nonprofit institutions, and individuals who have demonstrated exceptional scientific ability.

Deadlines: None.

Contact: EPA, Director, National Center for Environmental Research and Quality Assurance (8701), Ariel Rios Building, 1200 Pennsylvania Avenue, NW., Washington, DC 20460; Phone: 202 564-6825; Fax: 202 565-2444.

Solid Waste Disposal Research (Unsolicited Grants and Cooperative Agreements)

Goal: To support and promote the coordination of research and development in the area of collection, storage, utilization, salvage, or final disposal of solid waste.

Amounts: Approximately \$5,000 to \$250,000, with matching funds of 5 percent of total project cost.

Eligibility: Public or private agencies in states, territories, and possessions of the United States; public, private, and state universities and colleges; local governments; and individuals. Profit-making organizations are ineligible.

Deadlines: None.

Contact: Your EPA regional office's RCRA/waste management section (see inside back cover).

Tribal Open Dump Cleanup Project (Cleanup Project)

Goal: To assist tribes with completing and implementing comprehensive, integrated waste management plans; developing realistic solid waste management alternatives; closing or upgrading existing open dumps; and developing post-closure programs.

Amounts: Approximately \$1 million to \$2 million (varies yearly).

Eligibility: Any federal tribal organization.

Deadline: None.

Contact: Your EPA Solid Waste Grant Program or regional solid waste Indian coordinator (see inside back cover).



Business Assistance

Some grant and loan funding also is available specifically for businesses. The criteria for qualifying as a small business can vary; contact the organizations listed below if you need more information.

National Industrial Competitiveness Through Energy, Environment, and Economics (NICE3) (Annual Grants Solicitation)

Goal: To provide seed money to support new processes and/or equipment that can increase energy efficiency, reduce pollution, and improve process economics.

Amounts: Industry/state awardees receive a one-time grant up to a maximum of \$525,000. Industrial partners may receive a maximum of \$500,000 in federal funding with industry/state partnerships providing 50 percent matching funds.

Eligibility: Industry, with assistance from a state energy or pollution prevention office, to test, demonstrate, and assess the feasibility of new processes and/or equipment with rapid deployment into the marketplace.

Deadlines: Annually; deadlines vary.

Contact: U.S. Department of Energy, Golden, Colorado Field Office, 1617 Cole Boulevard, Golden, CO 80401; Phone: 303 275-4728; Fax: 303 275-4788; Web: <www.oit.doe.gov/Access/nice3>.

The 504 Certified Development Company Loan Program (Unsolicited Loans)

Goal: To provide long-term, fixed asset financing to small businesses.

Amounts: The Small Business Administration (SBA) will be the guarantor for loans up to \$750,000.

Eligibility: SBA has size criteria for what constitutes a small business.

Deadlines: None.

Contact: Call the SBA Answer Desk at 800 UASK-SBA to find your nearest SBA office, or go to <www.sba.gov>.

The 7(a) Loan Guarantee Program (Unsolicited Loans)

Goal: To provide long-term financing to small businesses.

Amounts: SBA will be the guarantor for loans up to \$750,000.

Eligibility: SBA has size criteria for what constitutes a small business.

Deadlines: None.

Contact: Call the SBA Answer Desk at 800 UASK-SBA to find your nearest SBA office, or go to <www.sba.gov>.



Other Funding Resources

EPA's **Grant Resources for Solid Waste Activities in Indian Country** (Document Number EPA530-R-98-014) contains a list of non-governmental organizations, companies, and foundations to contact for potential funding. It also includes useful information on how to write a grant proposal. The guide is helpful not only to Indian tribes, but also to the general public. The guide can be ordered free of charge from EPA's RCRA Hotline at 800 424-9346. In the Washington, DC, area, call 703 412-9810, or toll-free at 800 424-9346. The document also is available on the Web at <www.epa.gov/epaoswer/non-hw/tribal/finance.htm#grant>.

You may also want to contact your **state environmental and resource conservation agency** for information about grants under their purview.

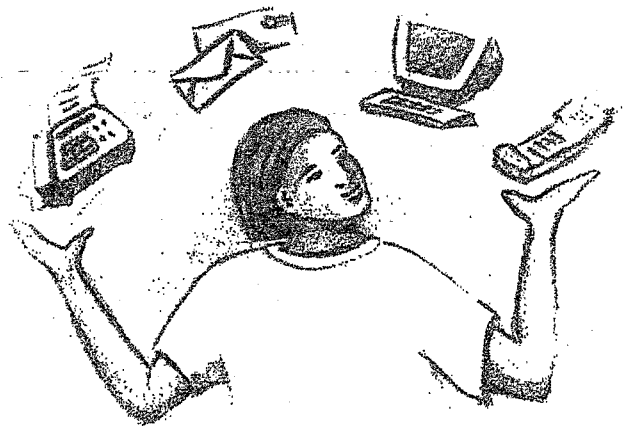
The U.S. General Services Administration offers a **Catalog of Federal Domestic Assistance**, a compilation of government-wide assistance programs. The catalog can be ordered from the U.S. Government Printing Office at 202 512-1803 from 7:30 a.m. to 4:00 p.m. e.s.t. Fax: 202 512-2168.

Additional Information

General EPA grant regulations and procedures are contained in 40 CFR Part 30 and Part 31 available from the U.S. Government Printing Office. Interim EPA regulations for research and demonstration grants are in 40 CFR Part 40.

For more information on EPA grant/cooperation agreement guidance, applications, and procedures, contact the EPA Grants Administration Division (3903R), 1200 Pennsylvania Avenue, NW., Washington, DC 20460; Phone: 202 564-5315; Fax: 202 565-2469. Visit EPA's Office of Solid Waste Web site <www.epa.gov/osw> or <www.epa.gov/epahome/grants.htm> for additional information on grants.

To order more copies of this brochure, contact the RCRA Hotline at 800 424-9346. In the Washington, DC, area, call 703 412-9810.



RCRA/Waste Management Contacts In EPA's Regional Offices

Listed below are the locations and telephone numbers for EPA's regional offices followed by the states included in each region.

Region 1

Boston, MA
617 918-1813
Connecticut, Massachusetts,
Maine, New Hampshire,
Rhode Island, Vermont

Region 2

New York, NY
212 637-4125
New Jersey, New York,
Puerto Rico, Virgin Islands

Region 3

Philadelphia, PA
215 566-5000
Delaware, District of
Columbia, Maryland,
Pennsylvania, Virginia, West
Virginia

Region 4

Atlanta, GA
404 562-8440
Alabama, Florida, Georgia,
Kentucky, Mississippi, North
Carolina, South Carolina,
Tennessee

Region 5

Chicago, IL
312 886-3584
Illinois, Indiana, Michigan,
Minnesota, Ohio, Wisconsin

Region 6

Dallas, TX
214 665-6705
Arkansas, Louisiana, New
Mexico, Oklahoma, Texas

Region 7

Kansas City, KS
913 551-7020
Iowa, Kansas, Missouri,
Nebraska

Region 8

Denver, CO
303 312-7081
Colorado, Montana, North
Dakota, South Dakota,
Utah, Wyoming

Region 9

San Francisco, CA
415 744-2098
Arizona, California, Hawaii,
Nevada, American Samoa,
Guam

Region 10

Seattle, WA
206 553-2858
Alaska, Idaho, Oregon,
Washington



United States
Environmental Protection Agency
(5305W)
Washington, DC 20460

Official Business
Penalty for Private Use
\$300