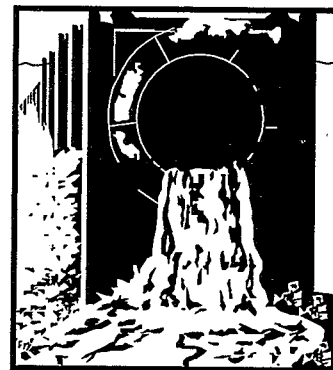
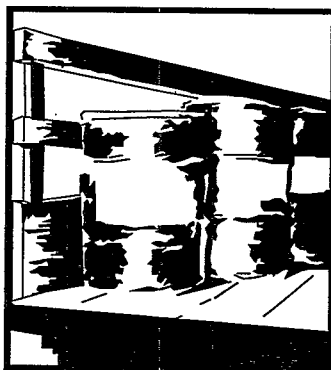
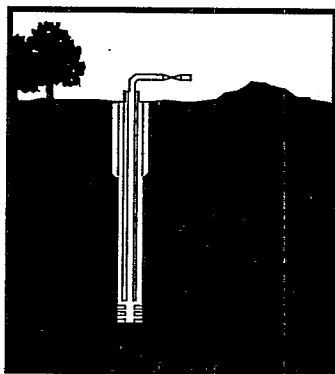
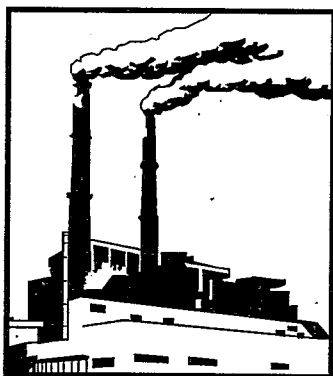




# Toxic Chemical Release Inventory Reporting Forms and Instructions

*Revised 1998 Version*



## Section 313 of the Emergency Planning and Community Right-to-Know Act (Title III of the Superfund Amendments and Reauthorization Act of 1986)

**WHERE TO SEND REPORTS  
REGULAR, CERTIFIED MAIL, OVERNIGHT  
OR HAND DELIVERED  
SECTION A.7 (PAGES 4-5)**

**\*FOR ATRS TECHNICAL SUPPORT  
CALL (703) 816-4434  
THE USER SUPPORT HOTLINE NUMBER  
IS TO BE USED FOR THE ATRS SOFTWARE AND  
DOES NOT PROVIDE REGULATORY SUPPORT.**

**EMERGENCY PLANNING AND  
COMMUNITY RIGHT-TO-KNOW  
HOTLINE 1-(800) 535-0202 OR  
(703) 412-9877  
HOURS OF OPERATIONS ARE  
9:00 AM TO 6:00 PM E.S.T.  
SECTION A.8 (PAGE 5)**

**PLEASE SEND ONE COPY OF EACH  
FORM TO EPA AT THE APPROPRIATE  
ADDRESS LISTED IN SECTION A.7  
(PAGE 4) AND TO YOUR STATE. DO  
NOT SEND A COPY TO YOUR EPA  
REGIONAL OFFICE**

**HOW TO OBTAIN FORMS  
AND OTHER  
INFORMATION  
SECTION A.8 (PAGE 5)**

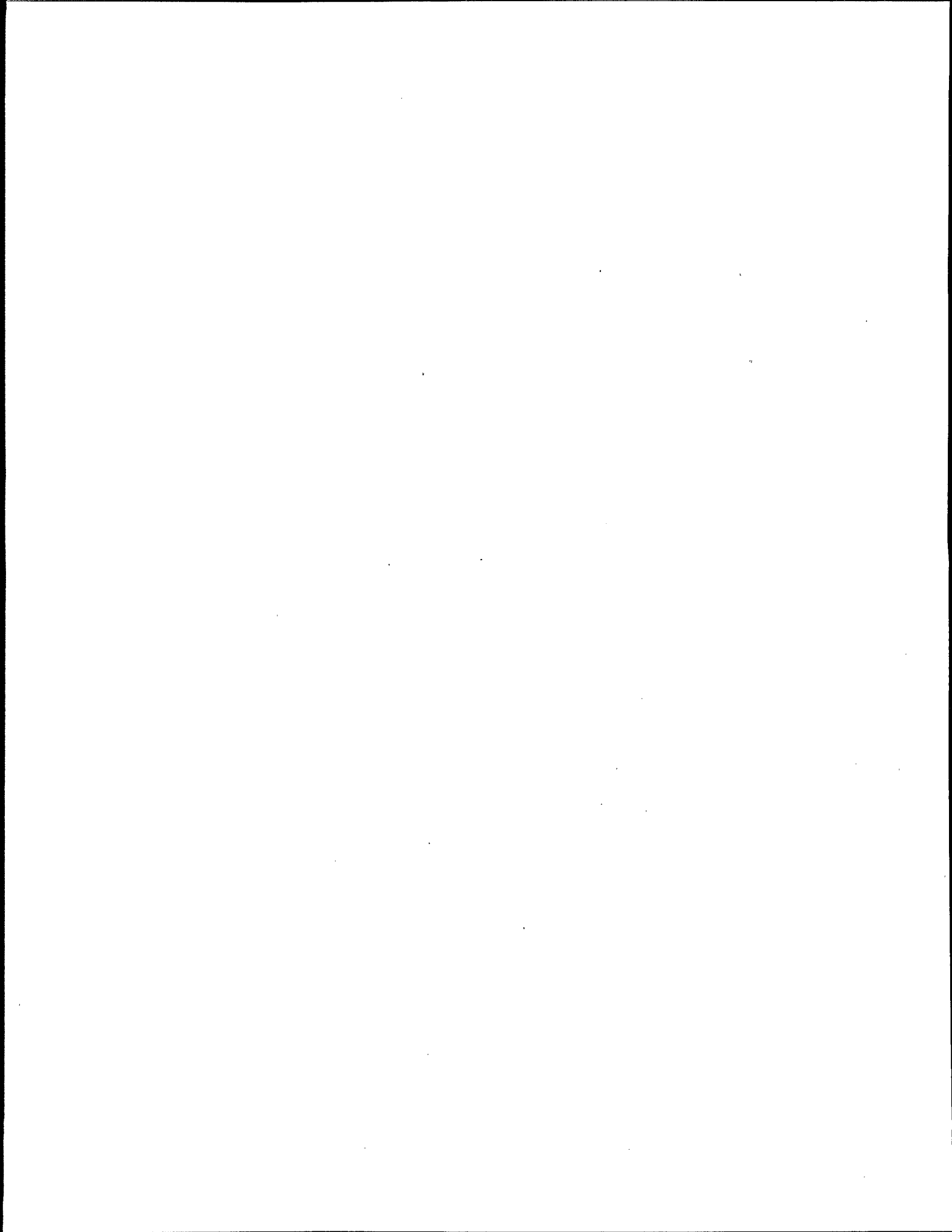
**STATE DESIGNATED  
SECTION 313 CONTACTS  
APPENDIX F.**

**SECTION 313 EPA REGIONAL  
CONTACTS  
APPENDIX G.**

## Important Information for Reporting Year 1998

The following information updates or corrects the Forms and Instructions for Reporting Year 1997. No other changes or modifications have been made to the Forms or Instructions other than these here.

- ☐ Covered facilities in the following industry groups will be required to submit TRI reports for the first time: coal mining, metal mining, electricity generation (limited to facilities that combust coal and/or oil), hazardous waste treatment and disposal, petroleum bulk plants and terminals, chemical wholesale, and solvent recycling. These industry sectors were added in a final rule published on May 1, 1997 (62 FR 23834).
- ☐ Note that the revised interpretation of "otherwise use" (May 1, 1997; 62 FR 23834) was effective starting with the 1998 reporting year. These instructions have been revised to reflect that change.
- ☐ Two exemptions were developed specifically for two of the new industry sectors. These include a coal mining extraction exemption (limited to coal mining facilities) and an overburden exemption limited to covered metal mining facilities. Each of these exemptions were defined in the Final rule adding the new industry sectors and are described in these instructions.
- ☐ All references to reporting year 1998 and all other date related references have been changed to reflect the current reporting year. (i.e., reporting year 1997 has been changed to reporting year 1998; prior year 1996 was changed to prior year 1997, etc.) This change was made for both the Form R and the instructions.
- ☐ Starting with the 1998 reporting year, voluntary revisions must be submitted by July 31<sup>st</sup> of the same year as the reporting deadline for the revised data to be included in the next TRI data release. In previous years, this deadline was October 15th.
- ☐ The back side of the pages of the Form R include a box stating "This page intentionally left blank". Please do not copy double-sided.
- ☐ Appendix A contains reporting instructions specific to Federal facilities who are required to report under Executive Order 12856. Further guidance for Federal facilities may be obtained from the EPCRA Hotline at 1-800-535-0202 or (703) 412-9877.
- ☐ Appendix C "Common Errors in Completing Form R Reports" has been updated.
- ☐ The States and Regional contact list (Appendices F and G) have been updated.
- ☐ The Alternate Threshold provides eligible facilities with the option of submitting a simplified Form A on substitution of the full Form R report.
- ☐ The EPCRA Section 313 Chemical List (Table II) has not change since last year.
- ☐ A list of Section 313 industry-specific guidance documents and chemical-specific guidance documents and information on ordering these documents free of charge is provided on page ii.
- ☐ Included in this reporting package is a compact disk (CD) which contains several industry-specific regulatory guidance documents, including documents specific to the newly added industry groups, the EPCRA Section 313 Questions and Answers book and all versions of ATRS98 (Windows 95, 98 and NT; Windows 3.1 and DOS) and the ATRS Users Guide.
- ☐ These documents are also available on the internet at <http://www.epa.gov/opptintr/tri>.



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# Automated TRI Reporting Software

**ATRS 98**  
Automated TRI  
Reporting Software

## About ATRS

ATRS98 is EPA's Automated TRI Reporting Software for reporting TRI data for 1998 and any preceding year. This software was formerly called Automated Form R. Its name has been changed to better reflect the fact that it also allows for "small quantity releases" certification reporting, which is done using our Form A.

Listed below are some features which are new this year:

## ATRS98 on CD

Distributing our software on compact disk (CD) allows us to provide you with several of our industry-specific regulatory guidance documents and the EPCRA Section 313 Questions and Answers book in addition to all versions of ATRS98 (Windows 95, 98 and NT; Windows 3.1 and DOS) and the ATRS Users Guide.

## Certification Statement (alternative reporting using Form A)

Until this year, firms submitting Form A could only report one EPCRA Section 313 chemical per form. To make your reporting easier, we have modified the Form A so that multiple EPCRA Section 313 chemicals are reported on a single form.

## "Recycle" Your Old Data

The "reload data function" allows you to reload existing TRI data from any of the following sources which you may have saved from previous TRI reporting: (1) any AFR/ATRS submission diskette, (2) AFR97 for DOS database, or (3) AFR96/97 for Windows database. Once reloaded this data can easily be edited to update it as needed for 1998 reporting.

## Y2K Compliance

All data fields containing year data have been expanded to four digits. All data fields containing month, day and year follow the mm/dd/yyyy format.

## POTWs, Offsites, and Stream Data

Previous versions of our software limited users to only 99 POTWs, off-site locations, and streams. ATRS98 has no limit on these data fields; you can enter as many as you need.

## TRI Facility ID Number

If you have submitted TRI data to EPA in the past, we have assigned you a TRI facility ID number (TRIFID). If you select CD-ROM on the Select Components screen when installing ATRS98, you will enable a feature which allows you to display a master list of TRIFIDs, with associated facility names and addresses. By choosing the correct entry from this list, you eliminate the need to re-enter all your facility identification information.

If you are a new reporter, or have reported in the past but have never used our reporting software, we hope you will give ATRS98 a try.

# Chemical and Industry Guidance Documents

To receive a copy of any of the section 313 documents listed below, check the box(es) next to the desired document(s). There is no charge for any of these documents. Be sure to type or clearly print your full mailing address in the space provided on the third page of this form (page viii). Send this request form or call toll-free 1-800-490-9198.

U.S. EPA/NCEPI  
P.O. Box 42419  
Cincinnati, OH 45242-2419  
(800)490-9198  
Fax: (513)489-8695  
Internet:  
<http://www.epa.gov/ncepihom/index.html>

☐ **40 CFR 372, Toxic Chemical Release Reporting; Community Right-to-Know; Final Rule**

A reprint of the final section 313 rule as it appeared in the *Federal Register* (FR) February 16, 1988 (53 FR 4500) (OTSFR 021688).

☐ **Toxics Chemical Release Inventory Reporting Forms and Instructions for 1998, February 1999 (EPA 740-K-99-001)**

☐ **Consolidated List of Chemicals Subject to Reporting Under the Act, (Title III List of Lists) (EPA 550-B-98-017)**

A consolidated list of specific chemicals covered by the Emergency Planning and Community Right-to-Know Act. The list contains the chemical name, CAS Registry Number, and reporting requirement(s) to which the chemical is subject.

☐ **The Emergency Planning and Community Right-to-Know Act: Section 313 Release Reporting Requirements, January 1999 (EPA 745-K-99-002)**

The brochure alerts businesses to their reporting obligations under section 313 and assists in determining whether their facility is required to report. The brochure contains the EPA regional contacts, the list of section 313 toxic chemicals and a description of the Standard Industrial Classification (SIC) codes subject to section 313.

☐ **Supplier Notification Requirements, (EPA 560-4-91-006)**

This pamphlet assists chemical suppliers who may be subject to the supplier notification requirements, gives examples of situations which require notification, describes the trade secret provision, and contains a sample notification.

☐ **Trade Secrets Rule and Form, (53 FR 28772)**

A reprint of the final rule that appeared in the *Federal Register* of July 29, 1988. This rule implements the trade secrets provision of the Emergency Planning and Community Right-to-Know Act (section 322) and includes a copy of the trade secret substantiation form.

☐ **Common Synonyms for Chemicals Listed Under Section 313 of the Emergency Planning and Community Right-to-Know Act, (EPA 745-R-95-008)**

This glossary contains chemical names and their synonyms for substances covered by the reporting requirements of EPCRA section 313. The glossary was developed to aid in determining whether a facility manufactures, processes, or otherwise uses a chemical subject to section 313 reporting.

☐ **Executive Order 12856 - Federal Compliance with Right-to-Know Laws and Pollution Prevention Requirements: Questions and Answers, February 1999 (EPA 745-R-99-001)**

This document assists federal facilities in complying with Executive Order 12856. This information has been compiled by EPA from questions received from Federal facilities. This document is intended for the exclusive use of Federal facilities in complying with sections 302, 303, 304, 311, 312, and 313 of the Emergency Planning and Community Right-to-Know Act (EPCRA) of 1986 and the Pollution Prevention Act of 1990, as directed by the Executive Order.

☐ **Section 313 of the Emergency Planning and Community Right-to-Know Act; Questions and Answers, December 1998 (EPA 745-B-98-004)**

The revised 1998 EPCRA Section 313 Questions and Answers document assists regulated facilities in complying with the reporting requirements of

EPCRA section 313. This updated document presents interpretive guidance in the form of answers to many commonly asked questions on compliance with EPCRA section 313. In addition, this document includes comprehensive written directives to assist covered facilities in understanding some of the more complicated regulatory issues. This updated guidance document is intended to supplement the instructions for completing the Form R and the Alternate Threshold Certification Statement (Form A).

- ☐ **Toxics Release Inventory: Reporting Modifications Beginning with 1995 Reporting Year**, February 1995 (EPA 745-R-95-009)

## Chemical-Specific Guidance

EPA has developed a group of guidance documents specific to individual chemicals and chemical categories.

- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Polychlorinated Alkanes Category and Guidance for Reporting**, February 1995 (EPA745-R-95-001)
- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Water Dissociable Nitrate Compounds Category and Guidance for Reporting**, May 1996 (EPA745-R-96-003)
- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Polycyclic Aromatic Compounds Category**, February 1995 (EPA745-R-95-004)
- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Nicotine and Salt Category and Guidance for Reporting**, February 1995 (EPA745-R-95-004)
- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Strychnine and Salts Category and Guidance for Reporting**, February 1995 (EPA745-R-95-005)
- ☐ **Toxics Release Inventory List of Toxic Chemicals within the Glycol Ethers Category and Guidance for Reporting**, May 1995 (EPA745-R-95-006)
- ☐ **Emergency Planning and Community Right-to-Know Section 313: List of Toxic Chemicals within the Chlorophenols Category**, November 1994 (EPA745-B-95-004)

- ☐ **Emergency Planning and Community Right-to-Know Section 313: Guidance for Reporting Aqueous Ammonia**, July 1995 (EPA745-R-95-012)
- ☐ **Emergency Planning and Community Right-to-Know Section 313: Guidance for Reporting Sulfuric Acid (acid aerosols including mists, vapors, gas, fog and other airborne forms of any particle size)**, November 1997 (EPA745-B-97-007)

## Industry-Specific Guidance

EPA has developed a group of individual guidance documents for certain industries.

- ☐ **Section 313 of the Emergency Planning and Community Right-to-Know Act; Toxic Chemical Release Inventory; Data Quality Checks to Prevent Common Reporting Errors on Form R/Form A**, August 1998 (EPA 745-R-98-012)
- ☐ **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for Spray Application and Electrodeposition of Organic Coatings**, December 1998 (EPA 745-R-98-014)
- ☐ **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for Food Processors**, September 1998 (EPA 745-R-98-011)
- ☐ **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for Rubber and Plastics Manufacturing**, December 1998 (EPA 745-R-99-017)
- ☐ **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for Semiconductor Manufacturing**, December 1998 (EPA 745-R-98-007)
- ☐ **Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Metal Mining Facilities**, January 1999 (EPA 745-B-99-001)

*Chemical and Industry Guidance Documents*

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- |  |  |
|--|--|
| <input type="checkbox"/> <b>Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Coal Mining Facilities,</b><br>January 1999 (EPA 745-B-99-002)                                      | <input type="checkbox"/> <b>Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Chemical Distribution Facilities,</b><br>January 1999 (EPA 745-B-99-005)        |
| <input type="checkbox"/> <b>Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Electricity Generating Facilities,</b><br>January 1999 (EPA 745-B-99-003)                           | <input type="checkbox"/> <b>Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Chemical Petroleum Bulk Storage Facilities,</b> January 1999 (EPA 745-B-99-006) |
| <input type="checkbox"/> <b>Emergency Planning and Community Right-to-Know Act Section 313: Guidance for RCRA Subtitle C TSD Facilities and Solvent Recovery Facilities,</b> January 1999 (EPA 745-B-99-004) |  |

**PLEASE TYPE MAILING ADDRESS HERE (DO NOT ATTACH BUSINESS CARDS)**

Name/Title

---

Company Name

---

Mail Stop

---

Street Address

---

P.O. Box

---

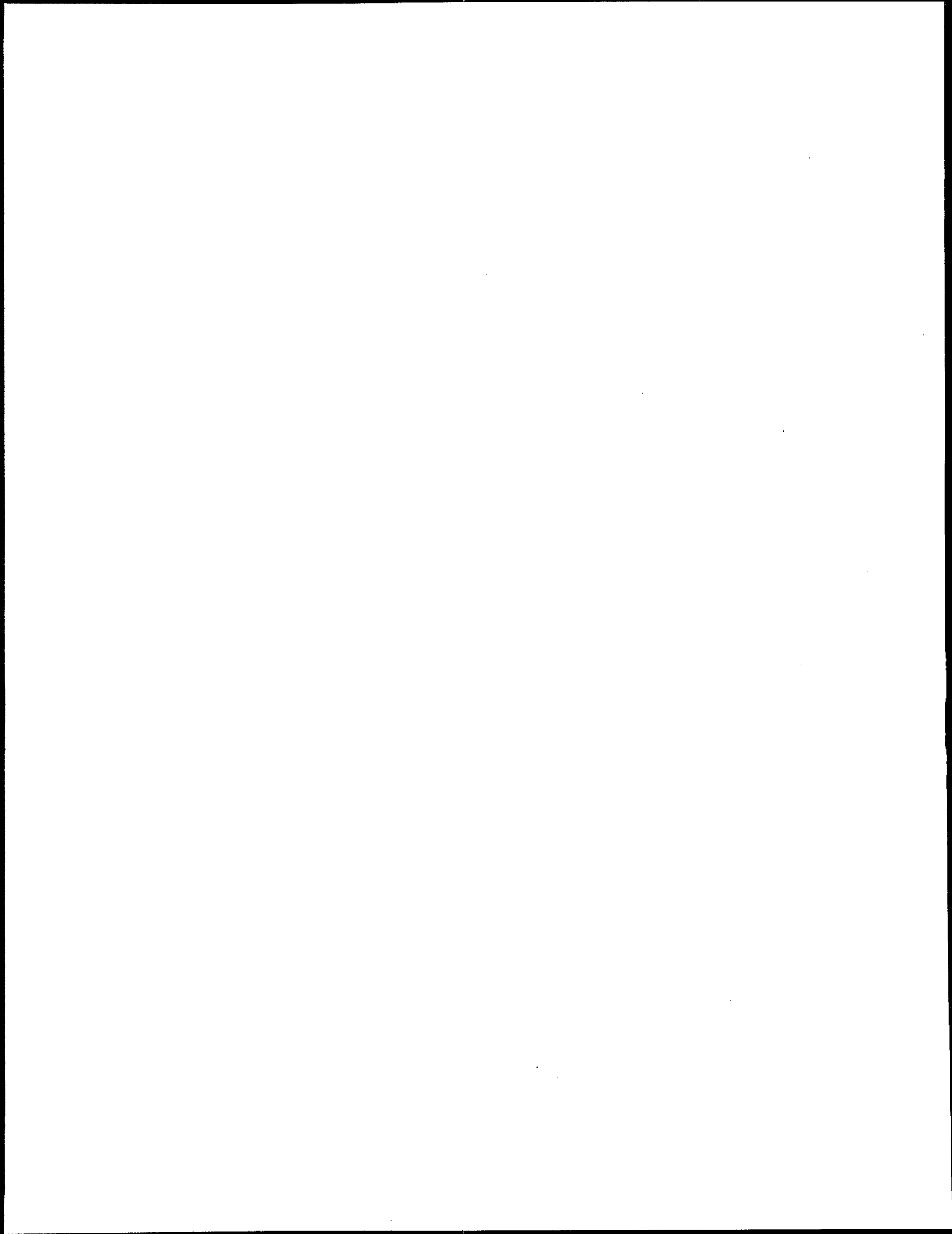
City/State/Zip Code


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**Paperwork Reduction Act Notice:** The annual public burden related to the Form R, which is approved under OMB Control No. 2070-0093, is estimated to average 52.1 hours per response. The annual public burden related to the Form A, which is approved under OMB Control No. 2070-0143, is based on a combination of the estimated burdens for 1) determining whether a listed toxic chemical is eligible for certification under the alternate threshold, and 2) completing the Form A. The burden of determining eligibility for certification is estimated to average 33.2 hours for each chemical that is certified. The burden of completing the Form A is estimated to average 1.4 hours, regardless of the number of chemicals being certified. The total burden per response is the combination of these two, and will vary depending on the number of listed toxic chemicals being certified.

According to the Paperwork Reduction Act, "burden" means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. For this collection it includes the time needed to review instructions; train personnel to be able to respond to the collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for this information collection appear above and on the forms. In addition, the OMB control numbers for EPA's regulations, after initial display in the final rule, are listed in 40 CFR part 9.

Send comments on the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, OP Regulatory Information Division, U.S. Environmental Protection Agency (Mail Code 2137), 401 M Street, S.W., Washington, D.C. 20460. Include the OMB control number in any correspondence, but do not submit the requested information to this address. The completed forms should be submitted in accordance with the instructions accompanying the form, or as specified in the corresponding regulation.



 <b>EPA</b>	<h1>FORM R</h1>	<b>TOXIC CHEMICAL RELEASE INVENTORY REPORTING FORM</b>
United States Environmental Protection Agency	Section 313 of the Emergency Planning and Community Right-to-Know Act of 1986, also known as Title III of the Superfund Amendments and Reauthorization Act	

WHERE TO SEND COMPLETED FORMS: 1. EPCRA Reporting Center P.O Box 3348 Merrifield, VA 22116-3348 ATTN: TOXIC CHEMICAL RELEASE INVENTORY	Enter "X" here if this is a revision  For EPA use only
---	---

**Important: See instructions to determine when "Not Applicable (NA)" boxes should be checked.**

**PART I. FACILITY IDENTIFICATION INFORMATION**

**SECTION 1. REPORTING YEAR** \_\_\_\_\_

**SECTION 2. TRADE SECRET INFORMATION**

<b>2.1</b> Are you claiming the toxic chemical identified on page 2 trade secret? <input type="checkbox"/> Yes (Answer question 2.2; Attach substantiation forms) <input type="checkbox"/> No (Do not answer 2.2; Go to Section 3)	<b>2.2</b> Is this copy <input type="checkbox"/> Sanitized <input type="checkbox"/> Unsanitized (Answer only if "YES" in 2.1)
---	--

**SECTION 3. CERTIFICATION (Important: Read and sign after completing all form sections.)**

I hereby certify that I have reviewed the attached documents and that, to the best of my knowledge and belief, the submitted information is true and complete and that the amounts and values in this report are accurate based on reasonable estimates using data available to the preparers of this report.

Name and official title of owner/operator or senior management official:	Signature:	Date Signed:

**SECTION 4. FACILITY IDENTIFICATION**

<b>4.1</b> Facility or Establishment Name	TRI Facility ID Number
	Facility or Establishment Name or Mailing Address (if different from street address)
	Mailing Address
	City/County/State/Zip Code

<b>4.2</b> This report contains information for: (Important: check a or b; check c if applicable)	a. <input type="checkbox"/> An entire facility	b. <input type="checkbox"/> Part of a facility	c. <input type="checkbox"/> A Federal facility
--	--	--	--

<b>4.3</b> Technical Contact Name	Telephone Number (include area code)

<b>4.4</b> Public Contact Name	Telephone Number (include area code)

<b>4.5</b> SIC Code (s) (4 digits)	a.	b.	c.	d.	e.	f.

<b>4.6</b> Latitude	Degrees	Minutes	Seconds	Longitude	Degrees	Minutes	Seconds

<b>4.7</b> Dun & Bradstreet Number(s) (9 digits)	<b>4.8</b> EPA Identification Number (RCRA I.D. No.) (12 characters)	<b>4.9</b> Facility NPDES Permit Number(s) (9 characters)	<b>4.10</b> Underground Injection Well Code (UIC) I.D. Number(s) (12 digits)

**SECTION 5. PARENT COMPANY INFORMATION**

<b>5.1</b> Name of Parent Company	NA <input type="checkbox"/>	
<b>5.2</b> Parent Company's Dun & Bradstreet Number	NA <input type="checkbox"/>	

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**EPA FORM R**  
**PART II. CHEMICAL-SPECIFIC INFORMATION**

TRI Facility ID Number

Toxic Chemical, Category or Generic Name

**SECTION 1. TOXIC CHEMICAL IDENTITY**

(Important: DO NOT complete this section if you completed Section 2 below.)

<b>1.1</b>	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)
<b>1.2</b>	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)
<b>1.3</b>	Generic Chemical Name (Important: Complete <b>only</b> if Part 1, Section 2.1 is checked "yes". Generic Name must be structurally descriptive.)

**SECTION 2. MIXTURE COMPONENT IDENTITY**

(Important: DO NOT complete this section if you completed Section 1 above.)

<b>2.1</b>	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)
------------	--

**SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY**

(Important: Check all that apply.)

<b>3.1</b>	Manufacture the toxic chemical:	<b>3.2</b>	Process the toxic chemical:	<b>3.3</b>	Otherwise use the toxic chemical:
a. <input type="checkbox"/> Produce    b. <input type="checkbox"/> Import  If produce or import: c. <input type="checkbox"/> For on-site use/processing d. <input type="checkbox"/> For sale/distribution e. <input type="checkbox"/> As a byproduct f. <input type="checkbox"/> As an impurity		a. <input type="checkbox"/> As a reactant b. <input type="checkbox"/> As a formulation component c. <input type="checkbox"/> As an article component d. <input type="checkbox"/> Repackaging		a. <input type="checkbox"/> As a chemical processing aid b. <input type="checkbox"/> As a manufacturing aid c. <input type="checkbox"/> Ancillary or other use	

**SECTION 4. MAXIMUM AMOUNT OF THE TOXIC CHEMICAL ONSITE AT ANY TIME DURING THE CALENDAR YEAR**

<b>4.1</b>	<input type="text"/> (Enter two-digit code from instruction package.)
------------	---

**SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM ONSITE**

		A. Total Release (pounds/year) (Enter range code or estimate*)	B. Basis of Estimate (enter code)	C. % From Stormwater
<b>5.1</b>	Fugitive or non-point air emissions    NA <input type="checkbox"/>			
<b>5.2</b>	Stack or point air emissions    NA <input type="checkbox"/>			
<b>5.3</b>	Discharges to receiving streams or water bodies (enter one name per box)			
Stream or Water Body Name				
<b>5.3.1</b>				
<b>5.3.2</b>				
<b>5.3.3</b>				
<b>5.4.1</b>	Underground Injection onsite to Class I Wells    NA <input type="checkbox"/>			
<b>5.4.2</b>	Underground Injection onsite to Class II-V Wells    NA <input type="checkbox"/>			

If additional pages of Part II, Section 5.3 are attached, indicate the total number of pages in this box   
and indicate the Part II, Section 5.3 page number in this box.  (example: 1,2,3, etc)

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## EPA FORM R

## PART II. CHEMICAL - SPECIFIC INFORMATION (CONTINUED)

TRI Facility ID Number

Toxic Chemical, Category, or Generic Name

## SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM ONSITE (Continued)

		NA	A. Total Release (pounds/year) (enter range code* or estimate)	B. Basis of Estimate (enter code)
5	Disposal to land onsite			
5.1A	RCRA Subtitle C landfills	<input type="checkbox"/>		
5.1B	Other landfills	<input type="checkbox"/>		
5.2	Land treatment/application farming	<input type="checkbox"/>		
5.3	Surface Impoundment	<input type="checkbox"/>		
5.4	Other disposal	<input type="checkbox"/>		

## SECTION 6. TRANSFERS OF THE TOXIC CHEMICAL IN WASTES TO OFF-SITE LOCATIONS

## 6.1 DISCHARGES TO PUBLICLY OWNED TREATMENT WORKS (POTWs)

## 6.1.A Total Quantity Transferred to POTWs and Basis of Estimate

6.1.A.1. Total Transfers (pounds/year) (enter range code* or estimate)	6.1.A.2 Basis of Estimate (enter code)

6.1.B. ____	POTW Name				
POTW Address					
City		State		County	
					Zip

6.1.B. ____	POTW Name				
POTW Address					
City		State		County	
					Zip

If additional pages of Part II, Section 6.1 are attached, indicate the total number of pages

in this box  and indicate the Part II, Section 6.1 page number in this box  (example: 1,2,3, etc.)

## SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS

## 6.2. \_\_\_\_ Off-Site EPA Identification Number (RCRA ID No.)

Off-Site Location Name					
Off-Site Address					
City		State		County	
					Zip
Is location under control of reporting facility or parent company?					<input type="checkbox"/> Yes <input type="checkbox"/> No

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## EPA FORM R

## PART II. CHEMICAL-SPECIFIC INFORMATION (CONTINUED)

TRI Facility ID Number

Toxic Chemical, Category or Generic Name

## SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS (Continued)

A. Total Transfers (pounds/year) (enter range code* or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)
1.	1.	1. M
2.	2.	2. M
3.	3.	3. M
4.	4.	4. M

6.2. Off-Site EPA Identification Number (RCRA ID No.)

Off-Site location Name

Off-Site Address

City

State

County

Zip

Is location under control of reporting facility or parent company?

☐ Yes☐ No

A. Total Transfers (pounds/year) (enter range code* or estimate)	B. Basis of Estimate (enter code)	C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)
1.	1.	1. M
2.	2.	2. M
3.	3.	3. M
4.	4.	4. M

## SECTION 7A. ON-SITE WASTE TREATMENT METHODS AND EFFICIENCY

☐ Not Applicable (NA) - Check here if no on-site waste treatment is applied to any waste stream containing the toxic chemical or chemical category.

General Waste Stream (enter code)	b. Waste Treatment Method(s) Sequence [enter 3-character code(s)]				c. Range of Influent Concentration	d. Waste Treatment Efficiency Estimate	e. Based on Operating Data ?
<b>7A.1a</b>	<b>7A.1b</b>	1	2		<b>7A.1c</b>	<b>7A.1d</b>	<b>7A.1e</b>
	3	4	5			%	Yes No <input type="checkbox"/> <input type="checkbox"/>
	6	7	8				
<b>7A.2a</b>	<b>7A.2b</b>	1	2		<b>7A.2c</b>	<b>7A.2d</b>	<b>7A.2e</b>
	3	4	5			%	Yes No <input type="checkbox"/> <input type="checkbox"/>
	6	7	8				
<b>7A.3a</b>	<b>7A.3b</b>	1	2		<b>7A.3c</b>	<b>7A.3d</b>	<b>7A.3e</b>
	3	4	5			%	Yes No <input type="checkbox"/> <input type="checkbox"/>
	6	7	8				
<b>7A.4a</b>	<b>7A.4b</b>	1	2		<b>7A.4c</b>	<b>7A.4d</b>	<b>7A.4e</b>
	3	4	5			%	Yes No <input type="checkbox"/> <input type="checkbox"/>
	6	7	8				
<b>7A.5a</b>	<b>7A.5b</b>	1	2		<b>7A.5c</b>	<b>7A.5d</b>	<b>7A.5e</b>
	3	4	5			%	Yes No <input type="checkbox"/> <input type="checkbox"/>
	6	7	8				

If additional pages of Part II, Section 6.2/7A are attached, indicate the total number of pages in this box  and indicate the Part II, Section 6.2/7A page number in this box :  (example: 1,2,3, etc)

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**EPA FORM R****PART II. CHEMICAL-SPECIFIC INFORMATION (CONTINUED)**

TRI Facility ID Number

Toxic Chemical, Category or Generic Name

**SECTION 7B. ON-SITE ENERGY RECOVERY PROCESSES**

☐ Not Applicable (NA) - Check here if no on-site energy recovery is applied to any waste stream containing the toxic chemical or chemical category.

Energy Recovery Methods [enter 3-character code(s)]

1  2  3  4

**SECTION 7C. ON-SITE RECYCLING PROCESSES**

☐ Not Applicable (NA) - Check here if no on-site recycling is applied to any waste stream containing the toxic chemical or chemical category.

Recycling Methods [enter 3-character code(s)]

1.  2.  3.  4.  5.   
6.  7.  8.  9.  10.

**SECTION 8. SOURCE REDUCTION AND RECYCLING ACTIVITIES**

	Column A Prior Year (pounds/year)	Column B Current Reporting Year (pounds/year)	Column C Following Year (pounds/year)	Column D Second Following Year (pounds/year)
8.1 Quantity released **				
8.2 Quantity used for energy recovery onsite				
8.3 Quantity used for energy recovery offsite				
8.4 Quantity recycled onsite				
8.5 Quantity recycled offsite				
8.6 Quantity treated onsite				
8.7 Quantity treated offsite				
8.8 Quantity released to the environment as a result of remedial actions, catastrophic events, or one-time events not associated with production processes (pounds/year)				
8.9 Production ratio or activity index				
8.10 Did your facility engage in any source reduction activities for this chemical during the reporting year? If not, enter "NA" in Section 8.10.1 and answer Section 8.11.				
Source Reduction Activities [enter code(s)]	Methods to Identify Activity (enter codes)			
8.10.1	a.	b.	c.	
8.10.2	a.	b.	c.	
8.10.3	a.	b.	c.	
8.10.4	a.	b.	c.	
8.11 Is additional information on source reduction, recycling, or pollution control activities included with this report? (Check one box)				YES <input type="checkbox"/> NO <input type="checkbox"/>

\*\* Report releases pursuant to EPCRA Section 329(b) including "any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment." Do not include any quantity treated onsite or offsite.

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# **TOXIC CHEMICAL RELEASE INVENTORY** **FORM A**

<b>WHERE TO SEND COMPLETED FORMS:</b> 1. EPCRA Reporting Center P.O Box 3348 Merrifield, VA 22116-3348 ATTN: TOXIC CHEMICAL RELEASE INVENTORY	2. APPROPRIATE STATE OFFICE (See instructions in Appendix F)	Enter "X" here if this is a revision
		For EPA use only

**Important: See instructions to determine when "Not Applicable (NA)" boxes should be checked.**

## **PART I. FACILITY IDENTIFICATION INFORMATION**

### **SECTION 1. REPORTING YEAR** \_\_\_\_\_

### **SECTION 2. TRADE SECRET INFORMATION**

<b>2.1</b> Are you claiming the toxic chemical identified on page 2 trade secret? <input type="checkbox"/> Yes (Answer question 2.2; Attach substantiation forms)	<input type="checkbox"/> No (Do not answer 2.2; Go to Section 3)	<b>2.2</b> Is this copy <input type="checkbox"/> Sanitized <input type="checkbox"/> Unsanitized (Answer only if "YES" in 2.1)
--	--	--

### **SECTION 3. CERTIFICATION (Important: Read and sign after completing all form sections.)**

I hereby certify that to the best of my knowledge and belief, for each toxic chemical listed in the statement, the annual reportable amount as defined in 40 CFR 372.27 (a), did not exceed 500 pounds for this reporting year and that the chemical was manufactured, processed, or otherwise used in an amount not exceeding 1 million pounds during this reporting year.

Name and official title of owner/operator or senior management official:	Signature:	Date Signed:

### **SECTION 4. FACILITY IDENTIFICATION**

<b>4.1</b>	Facility or Establishment Name	TRI Facility ID Number	Facility or Establishment Name or Mailing Address(if different from street address)
	Street	Mailing Address	
	City/County/State/Zip Code	City/County/State/Zip Code	
<b>4.2</b>	This report contains information for: (Important : check c if applicable)		c. <input type="checkbox"/> A Federal facility
<b>4.3</b>	Technical Contact Name	Telephone Number (include area code)	
<b>4.4</b>	Intentionally left blank		
<b>4.5</b>	SIC Code (s) (4 digits)	a.	b.
<b>4.6</b>	Latitude	Degrees	Minutes
		Seconds	Longitude
<b>4.7</b>	Dun & Bradstreet Number(s) (9 digits)	<b>4.8</b> EPA Identification Number (RCRA I.D. No.) (12 characters)	<b>4.9</b> Facility NPDES Permit Number(s) (9 characters)
	a.	a.	<b>4.10</b> Underground Injection Well Code (UIC) I.D. Number(s) (12 digits)
	b.	b.	

### **SECTION 5. PARENT COMPANY INFORMATION**

<b>5.1</b>	Name of Parent Company	NA <input type="checkbox"/>
<b>5.2</b>	Parent Company's Dun & Bradstreet Number	NA <input type="checkbox"/>

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**EPA FORM A**  
**PART II. CHEMICAL IDENTIFICATION**

TRIFID: \_\_\_\_\_

**SECTION 1. TOXIC CHEMICAL IDENTITY**

Report \_\_\_ of \_\_\_

1.1

CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)

1.2

Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)

1.3

Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "yes". Generic Name must be structurally descriptive).

**SECTION 2. MIXTURE COMPONENT IDENTITY (Important: DO NOT complete this section if you completed Section 1 above.)**

2.1

Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)

**SECTION 1. TOXIC CHEMICAL IDENTITY**

Report \_\_\_ of \_\_\_

1.1

CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)

1.2

Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)

1.3

Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "yes". Generic Name must be structurally descriptive).

**SECTION 2. MIXTURE COMPONENT IDENTITY (Important: DO NOT complete this section if you completed Section 1 above.)**

2.1

Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)

**SECTION 1. TOXIC CHEMICAL IDENTITY**

Report \_\_\_ of \_\_\_

1.1

CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)

1.2

Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)

1.3

Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "yes". Generic Name must be structurally descriptive).

**SECTION 2. MIXTURE COMPONENT IDENTITY (Important: DO NOT complete this section if you completed Section 1 above.)**

2.1

Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)

**SECTION 1. TOXIC CHEMICAL IDENTITY**

Report \_\_\_ of \_\_\_

1.1

CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)

1.2

Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)

1.3

Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "yes". Generic Name must be structurally descriptive).

**SECTION 2. MIXTURE COMPONENT IDENTITY (Important: DO NOT complete this section if you completed Section 1 above.)**

2.1

Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)

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## A. General Information

Reporting to the Toxic Chemical Release Inventory (TRI) is required by section 313 of the Emergency Planning and Community Right-to-Know Act (EPCRA, or Title III of the Superfund Amendments and Reauthorization Act of 1986), Public Law 99-499. The information contained in the Form R constitutes a "report," and the submission of a report to the appropriate authorities constitutes "reporting."

The Pollution Prevention Act, passed into law in October, 1990 (Pub. L. 101-508), added reporting requirements to Form R. These requirements affect all facilities required to submit Form R under section 313 of EPCRA. The data were required beginning with reports for calendar year 1991.

Reporting is required to provide the public with information on the releases of EPCRA Section 313 chemicals in their communities and to provide EPA with release information to assist the Agency in determining the need for future regulations. Facilities must report the quantities of both routine and accidental releases of EPCRA Section 313 chemicals, as well as the maximum amount of the EPCRA Section 313 chemical on site during the calendar year and the amount contained in wastes managed on site or transferred off site.

A completed Form R or Form A must be submitted for each EPCRA Section 313 chemical manufactured, processed, or otherwise used at each covered facility as described in the reporting rules in 40 CFR Part 372 (originally published February 16, 1988, in the Federal Register and November 30, 1994, in the Federal Register (for Form A)). These instructions supplement and elaborate on the requirements in the reporting rule. Together with the reporting rule, they constitute the reporting requirements. All references in these instructions are to sections in the reporting rule unless otherwise indicated.

### A.1 Who Must Report

Section 313 of EPCRA requires that reports be filed by owners and operators of facilities that meet all of the following criteria.

- ☐ The facility has 10 or more full-time employees; and
- ☐ The facility is included in Standard Industrial Classification (SIC) Codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust

coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis); and

- ☐ The facility manufactures (defined to include importing), processes, or otherwise uses any EPCRA Section 313 chemical in quantities greater than the established threshold in the course of a calendar year.

### A.2 How to Assemble a Complete Report

#### A.2.a. The Toxic Chemical Release Reporting Form, EPA Form R

The five-page EPA Form R consist of two parts:

- ☐ Part I, Facility Identification Information (page 1); and
- ☐ Part II, Chemical-Specific Information (pages 2-5).

Most of the information required in Part I of Form R can be completed, photocopied, and attached to each chemical-specific report. However, Part I of each Form R submitted must have an original signature on the certification statement and the trade secret designation must be entered as appropriate. Part II must be completed separately for each EPCRA Section 313 chemical or chemical category. Because a complete Form R consists of at least five unique pages, any submission containing less than five unique pages is not a valid submission.

A complete report for any EPCRA Section 313 chemical that is not claimed as a trade secret consists of the following completed parts:

- ☐ Part I with an original signature on the certification statement (section 3); and
- ☐ Part II (Note: Section 8 is mandatory).

Staple all five pages of each report together. If you check yes on Part II, Section 8.11, you may attach additional

## General Information

information on pollution prevention activities at your facility.

### A.2.b. The Alternate Toxic Chemical Release Inventory Form, EPA Form A

EPA Form A was established in 1994 as a substitute for reporting Form R information. This is based on an alternate threshold for facilities with low amounts of an EPCRA Section 313 chemical in waste. The Form A serves as an alternate to Form R, such that completion of the Form A is in lieu of Form R. Like the Form R described above, the Form A consists of two parts, but only consists of a total of two pages.

- ☐ Part I, Facility Identification Information, which also includes the "certification" regarding the eligibility to use the Form A (page 1 and the top of page 2); and
- ☐ Part II, Chemical Identification (the bottom of page 2).

As with Form R, most of the information in Part I of Form A can be completed, photocopied, and attached to each eligible chemical-specific report, as long as each Form A submitted has an original signature on the certification statement, and the appropriate trade secret designation for the form. Part II of the Form A must be completed separately for each EPCRA Section 313 chemical or chemical category. A complete report for Form A consists of two pages for each submission.

### A.3 Trade Secret Claims

For any EPCRA Section 313 chemical whose identity is claimed as trade secret, you must submit to EPA two versions of the substantiation form as prescribed in 40 CFR Part 350, published July 29, 1988, in the Federal Register (53 FR 28772) as well as two versions of the EPCRA Section 313 report. One set of reports, the "unsanitized" version, should provide the actual identity of the EPCRA Section 313 chemical. The other set of reports the "sanitized" version, should provide only a generic identity of the EPCRA Section 313 chemical. If EPA deems the trade secret substantiation form valid, only the sanitized set of forms will be made available to the public.

Use the order form in this document to obtain copies of the rule and substantiation form. Further explanation of the trade secret provisions is provided in Part I, Sections 2.1 and 2.2, and Part II, Section 1.3, of the instructions.

In summary, a complete report to EPA for an EPCRA Section 313 chemical claimed as a trade secret must include all of the following:

- ☐ A completed "unsanitized" version of Form R or Form A report including the EPCRA Section 313 chemical identity (staple the pages together);
- ☐ A sanitized version of a completed Form R or Form A report in which the EPCRA Section 313 chemical identity items (Part II, Sections 1.1 and 1.2) have been left blank but in which a generic chemical name has been supplied (Part II, Section 1.3) (staple the pages together);
- ☐ A completed "unsanitized" version of a trade secret substantiation form (staple the pages together); and
- ☐ A sanitized version of a completed trade secret substantiation form (staple the pages together).

Securely fasten all four reports together.

Some states also require submission of both sanitized and unsanitized reports for EPCRA Section 313 chemicals whose identity is claimed as a trade secret. Others require only a sanitized version. Facilities may jeopardize the trade secret status of an EPCRA Section 313 chemical by submitting an unsanitized version of the EPCRA Section 313 report to a state agency or Indian tribe that does not require unsanitized forms. You may identify an individual State's submission requirements by contacting the appropriate state-designated Section 313 contact (see Appendix F).

### A.4 Recordkeeping

Sound recordkeeping practices are essential for accurate and efficient TRI reporting. It is in the facility's interest, as well as EPA's, to maintain records properly.

Facilities must keep a copy of each report filed for at least three years from the date of submission. These reports will be of use in subsequent years when completing future reports.

Facilities must also maintain those documents, calculations, worksheets, and other forms upon which they relied to gather information for prior reports. In the event of a problem with data elements on a facility's Form R or Form A report, EPA may request documentation from the facility that supports the information reported.

EPA may conduct data quality reviews of Form R or Form A submissions. An essential component of this process involves reviewing a facility's records for accuracy and completeness. Facilities should keep a record for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports.

A partial list of records, organized by year, that a facility should maintain include:

- ☐ Previous years' EPCRA Section 313 reports;
- ☐ Section 313 Reporting Threshold Worksheets;
- ☐ Engineering calculations and other notes;
- ☐ Purchase records from suppliers;
- ☐ Inventory data;
- ☐ EPA (NPDES) permits and monitoring reports;
- ☐ EPCRA Section 312, Tier II Reports;
- ☐ Monitoring records;
- ☐ Flowmeter data;
- ☐ RCRA Hazardous Waste Generator's Report;
- ☐ Pretreatment reports filed by the facility with the local government;
- ☐ Invoices from waste management companies;
- ☐ Manufacturer's estimates of treatment efficiencies;
- ☐ RCRA Manifests;
- ☐ Process diagrams that indicate emissions and other releases; and
- ☐ Records for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports.

## A.5 How to Prepare a Voluntary Revision of a Previous Submission

Starting with the 1998 reporting year, voluntary revisions must be submitted by July 31st of the same year as the reporting deadline for the revised data to be included in the next TRI data release. Revisions should be submitted on a Form R or Form A identical to the version originally submitted to EPA for that reporting year. The EPCRA Hotline can help you identify the version of Form R or Form A used for each reporting year.

There are two options for making voluntary revisions:

The first is to submit a photocopy of your original submission (from your file), with the corrections made in blue or black ink. Re-sign and re-date the certification statement on page 1. For revisions to 1990 and earlier reporting year submissions, write the words "VOLUNTARY REVISION" on page 1 of the Form. For revisions to 1991 and later reporting year submissions, on

page 1 of the form, enter "X" in the space marked "Enter 'X' here if this is a revision."

The second option is to obtain a blank Form for the reporting year affected by the correction (s). Complete all data elements on this Form. Sign and date the certification statement on page 1. For revisions to 1990 and earlier reporting year submissions, write the words "VOLUNTARY REVISION" on page 1 of the Form R. For revision to 1991 and later reporting year submissions, on page 1 of the form, enter "X" in the space marked "Enter 'X' here if this is a revision."

If you submitted your Form data on magnetic media, the EPA software allows you to revise your Form data and submit your revisions on magnetic media as well. The documentation provided with the magnetic media submission software contains specific instructions, or you may call the magnetic media User Support Hotline at (703) 816-4434. The User Support Hotline number is to be used for the ATRS and does not provide regulatory support. If you submitted your Form R data using software developed by an EPA approved Form software developer, you must contact the software developer, to determine if the software you used allows for magnetic media revisions. Please be careful when submitting magnetic media revisions to resubmit only the revised submissions. Do not resubmit a diskette containing all of your original submissions if you are only revising one or a few of them.

### A.5.a. Where to Submit a Voluntary Revision of a Previous Submission

Revisions should be submitted to EPA and the appropriate state agency (or the designated official of an Indian tribe) to whom you submitted the original Form (see Section A.6.a).

Please note: Submissions for the next reporting year are NOT considered revisions of the previous year's data.

## A.6 When the Report Must Be Submitted

The report for any calendar year must be submitted on or before July 1 of the following year whether using Form R or Form A. Any voluntary revision to a report can be submitted anytime during the calendar year, for the current or any previous reporting year.

## A.7 Where to Send the Forms

Submissions must be sent to both EPA and the State (or the designated official of an Indian tribe). If a report is not received by both EPA and the State (or the designated official of an Indian tribe), the submitter is considered out of compliance and subject to enforcement action.

Send reports to EPA by regular mail to:

EPCRA Reporting Center  
P.O. Box 3348  
Merrifield, VA 22116-3348  
Attn: Toxic Chemical Release Inventory

Certified mail, overnight mail, and hand-delivered submissions only should be addressed to:  
EPCRA Reporting Center

c/o Computer Based Systems Inc.  
Suite 300  
4600 North Fairfax Drive  
Arlington, VA 22203  
(703) 816-4445

Also send a copy of the report to the State in which the facility is located. ("State" also includes: the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the U.S. Virgin Islands, the Northern Mariana Islands, and any other territory or possession over which the U.S. has jurisdiction.) Refer to Appendix F for the appropriate State submission addresses.

Facilities located on Indian land should send a copy to the Chief Executive Officer of the applicable Indian tribe. Some tribes have entered into a cooperative agreement with States; in this case, report submissions should be sent to the entity designated in the cooperative agreement.

Submission of Section 313 reports in magnetic media and computer-generated facsimile formats has been approved by EPA. EPA has developed a package called the "Automated Toxic Chemical Release Inventory Reporting Software (ATRS)." The easy-to-use CD-ROM / diskettes come with complete instructions for their use (See "ATRS for Reporting Year 1998" and enclosed CD-ROM / diskettes). It also provides prompts and messages to help you report according to EPA instructions. For copies of the CD-ROM / diskettes you may call the EPCRA Hotline.

Many firms are offering computer software to assist facilities in producing magnetic media submissions for computer-generated facsimiles of EPCRA Section 313 reports. To ensure accuracy, EPA will only accept magnetic media submissions and computer-generated facsimiles that meet basic specifications established by EPA. To determine if the software offered by a firm meets these specifications, EPA reviews and approves all software upon request. Call the EPCRA Hotline to identify the software that has been approved by EPA for the current reporting year.

It should be noted, however, that some States may accept only hard copies of EPCRA Section 313 report. If this is the case, a magnetic media or computer-generated facsimile may be unacceptable.

### A.7.a. How to Send Your Disks Containing Form R(s) and/or Form A(s)

Included in this reporting package (on the enclosed CD-ROM) is the Automated TRI Reporting Software (ATRS). If you use the ATRS, please follow the instructions below for submitting your TRI forms on magnetic media.

#### A.7.a.1 Labeling Your Submission Diskette

A label must be attached to each diskette. The label may be typed or legibly handwritten. A sample label with the necessary information is shown below.

TRIS Report	
Company Name	
Date: 6/29/1999	Density: HD
Report Year: 1998	Number: 1 of 1
Contact:	Technical Contact Name (505) 555-5369

The types of packaging and shipping used for magnetic media are left to the discretion of the submitting facility. Please send completed diskettes, along with a cover letter and an original certification signature from each submitting facility to:

EPCRA Reporting Center  
P.O. Box 3348  
Merrifield, VA 22116-3348  
Attn: TRI Magnetic Media Submission

If you are submitting reports on magnetic diskette to EPA, you must enclose a cover letter signed by the

official listed in section 3 of Part I of the Form R or Form A (name and official title of owner/operator or senior management official) for *each separate facility*. This letter can be printed from the ATSR. The letter on the next page is a sample.

#### A.7.a.2 Submitting Electronically to States

As of the publication of this book, the following states confirmed that they accept electronic submissions:

AK	ID	NC	SC
AZ	IL	ND	SD
CA	IN	NJ	UT
CO	KS	NM	VA
DC	LA	NY	VT
DE	MD	NV	WA
FL	MI	OH	WI
GA	MN	OK	WV
HI	MO	OR	
IA	MT	PA	

If your state is not listed here, please contact your state office to confirm that paper submissions are required.

### A.8 How to Obtain Forms and Other Information

A copy of both forms is included in this booklet. Remove the appropriate form and produce as many photocopies as needed. Related guidance documents may be obtained from:

EPA's TRI Web Site: [http:// www.epa.gov/opptintr/tri](http://www.epa.gov/opptintr/tri)  
The Emergency Planning and Community Right-to-Know Information Hotline

U.S. EPA/NCEPI  
P.O. Box 42419  
Cincinnati, OH 45242-2419  
(800) 490-9198  
Fax (513) 489-8695  
Internet: <http://www.epa.gov/ncepihom/index.html>

See Chemical and Industry Specific Documents section for the document request form and more information on available documents.

Questions about completing Form R or Form A may be directed to the EPCRA Hotline at the following address or telephone numbers.

Emergency Planning and Community Right-to-Know Information Hotline  
U.S. Environmental Protection Agency  
401 M St., SW (5101)  
Washington, DC 20460  
(800) 535-0202, (800) 424-9346 or  
(703) 412-9877;  
TDD# (800) 553-7672  
from 9:00 a.m. - 6:00 p.m. Eastern Time  
(Mon. - Fri., except Federal Holidays)

EPA Regional Staff may also be of assistance. Refer to Appendix G for a list of EPA Regional Offices.

## General Information

### Sample Letter

June 20, 1999

To Whom It May Concern:

Enclosed please find one (1) diskette containing toxic chemical release reporting information for:

YOUR FACILITY NAME

This information is submitted as required under Section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 and the Pollution Prevention Act of 1990.

A total of two (2) reports is included from our facility, concerning the following chemicals:

<u>Chemical Name</u>	<u>RY</u>	<u>CAS Number</u>
Lead compounds	1998	NA420
Zinc	1998	7440-66-6

Our technical point of contact is:

TECHNICAL CONTACT NAME, Telephone Number: (505) 555-1212

[NAME] is available should any questions or problems arise as you process these diskettes.

I hereby certify that I have reviewed the attached documents and that, to the best of my knowledge and belief, the submitted information is true and complete and that the amounts and values in this report are accurate, based on reasonable estimates using data available to the preparers of this report.

Signature: *Chris Submitter*

## B. Facility Eligibility Determination for Submitting an EPCRA Section 313 Report

This section will help you determine whether you must submit an EPCRA Section 313 report. This section discusses EPCRA Section 313 reporting requirements such as the number of full-time employees, primary SIC Code, and chemical activity threshold quantities. The EPCRA Section 313 chemicals and chemical categories subject to reporting are listed in Table II (also see 40 CFR 372.65). (See Figure 1 for more information)

### B.1 Full-Time Employee Determination

The number of full-time employees is dependent only upon the total number of hours worked by all employees for the facility during the calendar year and not the number of persons working. Therefore, a "full-time employee," for purposes of Section 313 reporting, is defined as 2,000 work hours per year and includes paid leave and holidays. To determine the number of full-time employees working for your facility, add up the hours worked by all employees during the calendar year, including contract employees and sales and support staff working for the facility, and divide the total by 2,000 hours. In other words, if the total number of hours worked by all employees is 20,000 hours or more, your facility meets the ten employee threshold.

Examples include:

- ❑ A facility consists of 11 employees who each worked 1,500 hours for the facility in a calendar year. Consequently, the total number of hours worked by all employees for the facility during the calendar year is 16,500 hours. The number of full-time employees for this facility is equal to 16,500 hours divided by 2,000 hours per full-time employee, or 8.3 full-time employees. Therefore, even though 11 persons worked for this facility during the calendar year, the number of hours worked is equivalent to 8.3 full-time employees. This facility does not meet the employee criteria and is not subject to Section 313 reporting.
- ❑ Another facility consists of six workers and three sales staff. The six workers each worked 2,000 hours for the facility in the calendar year. The sales staff also each worked 2,000 hours in the calendar year although they may have been on the road half of the year. In addition, five contract employees were hired for a period during which each worked 400 hours for the facility. The total number of hours is equal to the time worked by the workers at the facility (12,000 hours), plus the time worked by the sales staff for the facility (6,000 hours), plus the time

worked by the contract employees at the facility (2,000 hours), or 20,000 hours. Dividing the 20,000 hours by 2,000 yields 10 full-time employees. This facility has met the full time employee criteria and may be subject to reporting if the other criteria are met.

### B.2 Primary SIC Code Determination

Standard Industrial Classification (SIC) codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis) are covered by the rule and are listed in Table 1. The first two digits of a 4-digit SIC code define a major business sector, while the last two digits denote a facility's specialty within the major sector. For a detailed description of 4-digit SIC Codes, refer to the "Standard Industrial Classification Manual 1987." The facility should determine its own SIC Code (s), based on its activities on site, using the SIC Manual. State agencies and other organizations may assign SIC Codes on a different basis than the one used by the SIC Manual. However, for purposes of TRI reporting, these state assigned codes should not be used if they differ from ones assigned using the SIC Manual.

The EPCRA Hotline can assist facilities with determining which SIC Codes are assigned for specific business activities as referenced in the SIC Manual. Clothbound editions of the SIC Manual are available in most major libraries or may be ordered through the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 605-6000. The access number for the clothbound manual is PB87-100012, and the price is \$30.00.

The North American Industry Classification System (NAICS), is a new economic classification system that will replace the 1987 SIC Code system. EPA will address the SIC Code change, as it relates to EPCRA in an upcoming Federal Register notice. This upcoming change does NOT affect the 1998 EPCRA Section 313 reporting.

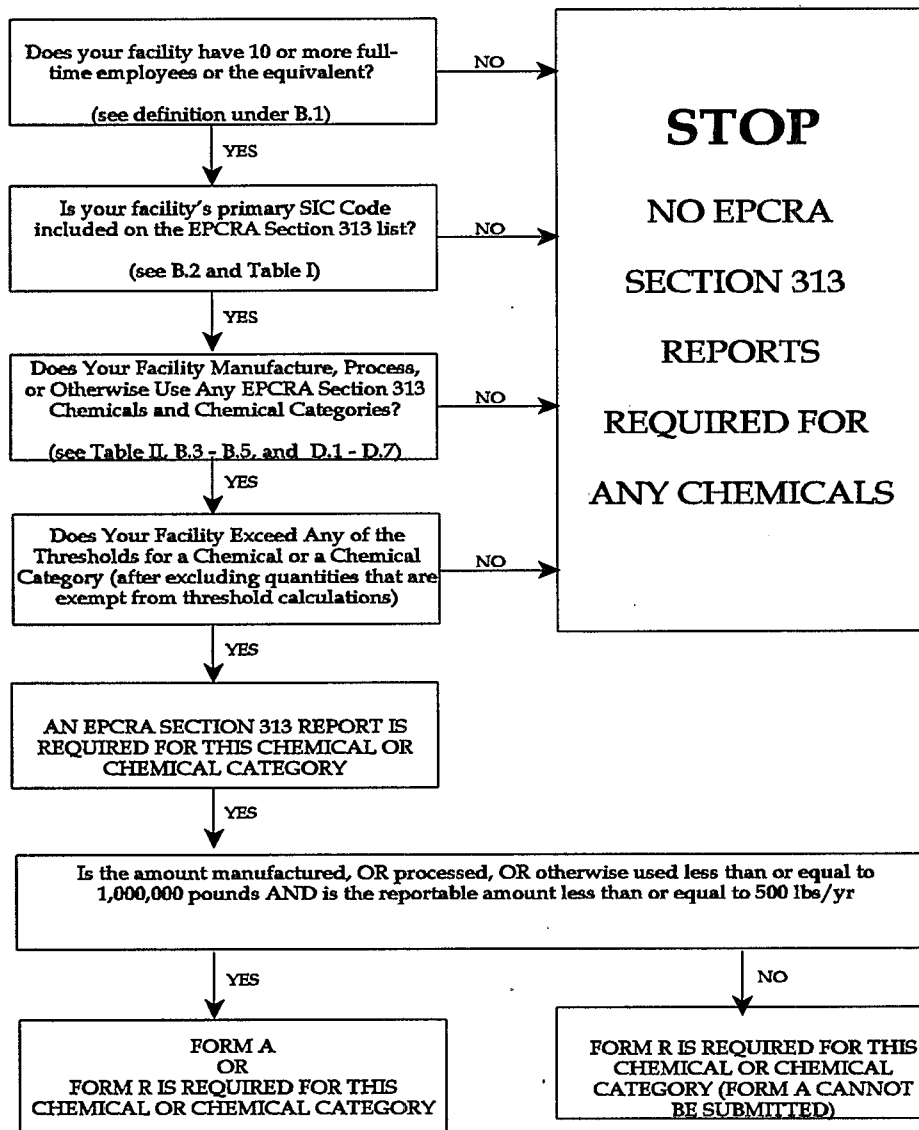


Figure 1 EPCRA Section 313 Reporting Decision Diagram

### B.2.a. Multi-Establishment Facilities

Your facility may include multiple establishments that have different SIC Codes. A multi-establishment facility is a facility that consists of two or more distinct and separate economic units. If your facility is a multi-establishment facility, calculate the value of the products produced, shipped, or services provided from each establishment within the facility and then use the

following rule to determine if your facility meets the SIC Code criterion:

- If the total value of the products produced, shipped, or services provided at establishments with primary SIC Codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or

oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis) is greater than 50 percent of the value of the entire facility's products and services, the entire facility meets the SIC code criterion.

- ❑ If any one establishment with a primary SIC Code 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis) produces or ships products or provides services whose value exceeds the value of products and services produced or shipped by any other establishment within the facility, the facility also meets the SIC Code criterion.

The value of production or service attributable to a particular establishment may be isolated by subtracting the product value obtained from other establishments within the same facility from the total product or service value of the facility. This procedure eliminates the potential for "double counting" production and services in situations where establishments are engaged in sequential production or service activities at a single facility.

Examples include:

- ❑ A facility in coating, engraving and allied services has two establishments. The first establishment, a general automotive repair service, is in SIC Code 7537, which is not a covered SIC Code. However, the second establishment, a metal paint shop is in SIC Code 3479, which is a covered SIC Code. The metal paint shop paints the parts received from general automotive repair service. The facility determines the product is worth \$500/unit as received from the general automotive repair service (in non covered SIC Code 7537) and the value of the

product is \$1500/unit after processing by the metal paint shop (in covered SIC Code 3479). The value added by the metal paint shop is obtained by subtracting the value of the products from the general automotive repair service from that of the value of the products of the metal paint shop. (In this example, the added = \$1,500/unit - \$500/unit = \$1,000/unit.) The value added (\$1,000/unit) by the establishment in SIC Code 3479 is more than 50% of the product value. Therefore, the facility's primary SIC Code is 3479, which is a covered SIC Code.

- ❑ A food processing establishment in a facility processes crops grown at the facility in a separate establishment. The facility could base the value of the products of each establishment on the total production value of each establishment.

Alternatively, the facility could first determine the value of the crops grown at the agricultural establishment, and then calculate the contribution of the food processing establishment by subtracting the crop value from the total value of the product shipped from the processing establishment (value of product shipped from processing - crop value = value of processing establishment)

A covered multi-establishment facility must make EPCRA Section 313 chemical threshold determinations and, if required, must report all relevant information about releases and other waste management activities, and source reduction activities associated with an EPCRA Section 313 chemical for the entire facility, even from establishments that are not in SIC Codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). EPA realizes, however, that certain establishments in a multi-establishment facility can be, for all practical purposes, separate business units. Therefore, individual establishments may report releases and other waste management activities separately, provided that the total releases and other waste management quantities for the whole facility are represented by the sum of the releases and other quantities managed as waste reported by each of the separate establishments and the compliance determination is based on the entire facility.

## B.2.b. Auxiliary Facilities

An auxiliary facility is one that supports another covered establishment's activities (e.g., research and development laboratories, warehouses, and storage facilities). An auxiliary facility can assume the SIC Code of another covered establishment if its primary function is to service that other covered establishment's operations. For the purposes of EPCRA Section 313, auxiliary facility is defined as one primarily engaged in performing support services for another covered establishment or multiple establishments of a covered facility and is in a different physical location than the primary facility. In addition, an auxiliary facility performs an integral role in the primary facility's activities. In general, an auxiliary facility's basic administrative services (paperwork, payroll, employment) are performed by the primary facility. Thus, a separate warehouse facility (i.e., one not located within the physical boundaries of a covered facility) may become a covered facility because it services a covered establishment in SIC Codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). Auxiliary facilities that are in these aforementioned codes are required to report if they meet the employee criterion and reporting thresholds for manufacture, process, or otherwise use.

## B.2.c. Property Owners

You are not required to report if you merely own real estate on which a facility covered by this rule is located; that is, you have no other business interest in the operation of that facility (e.g., your company owns an industrial park). The operator of that facility, however, is subject to reporting requirements.

## B.3 Activity Determination

### B.3.a. Definitions of "Manufacture," "Process," and "Otherwise Use"

**Manufacture:** The term "*manufacture*" means to produce, prepare, compound, or import an EPCRA

Section 313 chemical. (See Part II, Section 3.1 of these instructions for further clarification.)

Import is defined as causing the EPCRA Section 313 chemical to be imported into the customs territory of the United States. If you order an EPCRA Section 313 chemical (or a mixture containing the chemical) from a foreign supplier, then you have imported the chemical when that shipment arrives at your facility directly from a source outside of the United States. By ordering the chemical, you have "caused it to be imported," even though you may have used an import brokerage firm as an agent to obtain the EPCRA Section 313 chemical.

### Do Not Overlook Coincidental Manufacture

The term manufacture also includes coincidental production of an EPCRA Section 313 chemical (e.g., as a byproduct or impurity) as a result of the manufacture, processing, otherwise use, or treatment of other chemical substances. In the case of coincidental production of an impurity (i.e., an EPCRA Section 313 chemical that remains in the product that is distributed in commerce), the *de minimis* exemption, discussed in Section B.3.b of these instructions, applies. The *de minimis* exemption does not apply to byproducts (e.g., an EPCRA Section 313 chemical that is separated from a process stream and further processed or disposed). Certain EPCRA Section 313 chemicals may be manufactured as a result of wastewater treatment or other treatment processes. For example, neutralization of acid wastewater can result in the coincidental manufacture of ammonium nitrate (solution), reportable as a member of the nitrate compound category.

**Process:** The term "*process*" means the preparation of a listed Section 313 chemical, after its manufacture, for distribution in commerce. Processing is usually the intentional incorporation of a Section 313 chemical into a product (see Part II, Section 3.2 of these instructions for further clarification). Processing includes preparation of the EPCRA Section 313 chemicals in the same physical state or chemical form as that received by your facility, or preparation that produces a change in physical state or chemical form. The term also applies to the processing of a mixture or other trade name product (see Section B.4.b of these instructions) that contains a listed Section 313 chemical as one component.

**Otherwise Use:** The term "*otherwise use*" means any use of an EPCRA Section 313 chemical, including an EPCRA Section 313 chemical contained in a mixture or other trade name product, or waste that is not covered by the terms "manufacture" or "process." Otherwise use of an EPCRA Section 313 chemical does not include disposal,

stabilization (without subsequent distribution in commerce), or treatment for destruction unless:

- (1) The EPCRA Section 313 chemical that was disposed, stabilized, or treated for destruction was received from off-site for the purposes of further waste management; or
- (2) The EPCRA Section 313 chemical that was disposed, stabilized, or treated for destruction was manufactured

as a result of waste management activities on materials received from off-site for the purposes of waste management activities. Relabeling or redistributing of the EPCRA Section 313 chemical where no repackaging of the EPCRA Section 313 chemical occurs does not constitute an otherwise use or processing of the EPCRA Section 313 chemical." (See 62 FR 23846 and Part II, Section 3.3 of these Instructions for further clarification).

#### **Example 1: Coincidental Manufacture**

Your company, a nitric acid manufacturer, uses aqueous ammonia in a waste treatment system to neutralize an acidic wastewater stream containing nitric acid. The reaction of ammonia and nitric acid produces a solution of ammonium nitrate. Ammonium nitrate is reportable under the nitrate compounds category and is manufactured as a byproduct. If the ammonium nitrate is produced in a quantity that exceeds the 25,000-pound manufacturing threshold, the facility must report under the nitrate compounds category.

The aqueous ammonia is considered to be otherwise used and 10% of the total aqueous ammonia would be counted towards the 10,000-pound use threshold. Reports for releases of ammonia must also include 10% of the total aqueous ammonia from the solution of ammonium nitrate (see the qualifier for the ammonia listing).

As another example, combustion of coal or other fuel in boilers/furnaces can result in the coincidental manufacture of metal compounds and sulfuric acid (acid aerosols), hydrochloric acid (acid aerosols) and hydrogen fluoride.

**Example 2: Typical Process and Manufacture Activities**

- ☐ Your company receives toluene, a EPCRA Section 313 chemical, from another facility, and reacts the toluene with air to form benzoic acid, which the company distributes in commerce. Your company processes toluene and manufactures and processes benzoic acid. Benzoic acid, however, is not a EPCRA Section 313 chemical and thus does not trigger reporting requirements.
- ☐ Your facility combines toluene purchased from a supplier with various materials to form paint. Your facility processes toluene.
- ☐ Your company receives a nickel compound (nickel compounds is a listed Section 313 chemical category) as a bulk solid and performs various size-reduction operations (e.g., grinding) before packaging the compound in 50-pound bags, which the company sells. Your company processes the nickel compound.
- ☐ Your company receives a prepared mixture of resin and chopped fiber to be used in the injection molding of plastic products. The resin contains a listed Section 313 chemical that becomes incorporated into the plastic, which the company distributes in commerce. Your facility processes the EPCRA Section 313 chemical.
- ☐ In the combustion of coal or oil, metal compounds may be produced from either the parent metal or a metal compound contained in the coal or oil. If a metal undergoes a change of valence, a metal compound is considered to be manufactured. For example, during the combustion process copper in valence state zero changes to copper in valence state +2 in a compound such as copper (II) oxide (CuO). Furthermore, a metallic compound could be transformed to another metallic compound without a change in valency (e.g., copper (II) chloride (CuCl<sub>2</sub>) is transformed to copper (II) oxide). The transformation to a new compound by combustion without a change in valence state is also considered to be "manufactured" for purposes of EPCRA Section 313.

**Example 3: Typical Otherwise Use Activities**

- ☐ When your facility cleans equipment with toluene, you are otherwise using toluene. Your facility also separates two components of a mixture by dissolving one component in toluene, and subsequently recovers the toluene from the process for reuse or disposal. Your facility otherwise uses toluene.
- ☐ A covered facility receives a waste containing 12,000 pounds of Chemical A, an EPCRA Section 313 chemical, from off site. The facility treats the waste, destroying Chemical A and in the treatment process manufactures 10,500 pounds of Chemical B, another EPCRA Section 313 chemical. Chemical B is disposed on site. Since the waste containing chemical A was received from off site for the purpose of waste management, the amount of Chemical A must be included in the otherwise use threshold determination for Chemical A. The otherwise use threshold is 10,000 pounds and since the amount of Chemical A exceeds this threshold, all releases and other waste management activities for Chemical A must be reported. Chemical B was manufactured in the treatment of a waste received from off site. The facility disposed of Chemical B on site. Since chemical B was generated from waste received from off site for treatment for destruction, disposal, or stabilization, the disposal of chemical B is considered to be otherwise used. Thus, the amount of Chemical B must be considered in the otherwise used threshold determination. Thus, the reporting threshold for Chemical B has also been exceeded and all releases and other waste management activities for Chemical B must be reported.

### B.3.b. Activity Exemptions

**Otherwise Use Exemptions.** Certain otherwise uses of listed Section 313 chemicals are specifically exempted:

- ☐ Otherwise use as a structural component of the facility;
- ☐ Otherwise use in routine janitorial or facility grounds maintenance;
- ☐ Personal uses by employees or other persons;
- ☐ Otherwise use of products containing EPCRA Section 313 chemicals for the purpose of maintaining motor vehicles operated by the facility; or
- ☐ Otherwise use of EPCRA Section 313 chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion).

The exemption of an EPCRA Section 313 chemical otherwise used 1) as a structural component of the facility; or 2) in routine janitorial or facility grounds maintenance; or 3) for personal use by an employee cannot be taken for activities involving process-related equipment.

**Article Exemption.** EPCRA Section 313 chemicals contained in articles that are processed or otherwise used at a covered facility are exempt from threshold determinations and release and other waste management calculations. The exemption applies when the facility receives the article from another facility or when the facility produces the article itself. The exemption applies only to the quantity of EPCRA Section 313 chemical present in the article. If the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the covered facility other than as part of the article, in excess of an applicable threshold quantity, the facility is required to report (40 CFR Section 372.38(b)). For an EPCRA Section 313 chemical in an item to be exempt as part of the article, the item must meet all the following criteria in the Section 313 article definition; that is, it must be a manufactured item that is formed to a specific shape or design during manufacture, that has end use functions dependent in whole or in part upon its shape or design during end use, and that does not release an EPCRA Section 313 chemical under normal circumstances of processing or otherwise use of the item at the facility.

If the processing or otherwise use of all like items manufactured at a facility results in a total release of 0.5 pound or less of an EPCRA Section 313 chemical in a reporting year to any environmental media, EPA will

allow this release to be rounded to zero, and the manufactured items remain exempt as articles. EPA requires facilities to round off and report all estimates to the nearest whole number. The 0.5-pound limit does not apply to each individual article, but applies to the sum of all releases from processing or otherwise use of all like articles. If all the releases of like articles over a reporting year are completely captured and sent for recycling/reuse on site or off site, the items may remain exempt as articles. Any amount that is released and is not recycled/reused will count toward the 0.5 pound per year cut-off value.

The article exemption applies to the normal processing or otherwise use of an article. This exemption does not apply to the manufacture of the article. EPCRA Section 313 chemicals processed into articles produced at a facility must be factored into threshold determinations and release and waste management calculations.

If, in the course of processing or otherwise use, an item retains its initial thickness or diameter, in whole or in part, it meets the first part of the definition. If the item's basic dimensional characteristics are totally altered during processing or otherwise use, the item does not meet the first part of the definition. An example of items that do not meet the definition would be items which are cold extruded, such as lead ingots which are formed into wire or rods. On the other hand, cutting a manufactured item into pieces that are recognizable as the article would not change the original dimensions as long as the diameter or the thickness of the item remained the same; the article exemption would continue to apply. Metal wire may be bent and sheet metal may be cut, punched, stamped, or pressed without losing their article status as long as the diameter of the wire or tubing or the thickness of the sheet is not totally changed.

An important aspect of the article exemption is what constitutes a release of an EPCRA Section 313 chemical. Any processing or otherwise use of an article that results in a release to the environment (of more than 0.5 pounds) negates the exemption. Cutting, grinding, melting, or other processing of a manufactured item could result in a release of an EPCRA Section 313 chemical during normal conditions of processing or otherwise use and therefore, negate the exemption as an article. Scrap pieces that are recognizable as an article do not constitute a release.

**De Minimis Exemption.** The *de minimis* exemption allows facilities to disregard certain minimal concentrations of chemicals in mixtures or other trade name products they process or otherwise use when making threshold determinations and release and other

**Example 4: Article Exemption**

- ☐ Lead that is incorporated into a lead acid battery is processed to manufacture the battery, and therefore must be counted toward threshold determinations and release and other waste management determinations. However, the use of the lead acid battery elsewhere in the facility does not have to be counted. Disposal of the battery after its use does not constitute a "release," thus, the battery remains an article.
- ☐ If an item used in the facility is fragmented, the item is still an article if those fragments being discarded remain identifiable as the article (e.g., recognizable pieces of a cylinder, pieces of wire). For instance, an eight-foot piece of wire is broken into two four-foot pieces of wire, without releasing any EPCRA Section 313 chemicals. Each four-foot piece is identifiable as a piece of wire; therefore, the article status for these pieces of wire remains intact.
- ☐ EPCRA Section 313 chemicals received in the form of pellets are not articles because the pellet form is simply a convenient form for further processing of the material.

waste management calculations. The *de minimis* exemption does not apply to the manufacture of an EPCRA Section 313 chemical except if that EPCRA Section 313 chemical is manufactured as an impurity and remains in the product distributed in commerce, or if the EPCRA Section 313 chemical is imported below the appropriate *de minimis* level. The *de minimis* exemption does not apply to a byproduct manufactured coincidentally as a result of manufacturing, processing, otherwise use, or any waste management activities.

When determining whether the *de minimis* exemption applies to an EPCRA Section 313 chemical, the owner/operator should consider only the concentration of the EPCRA Section 313 chemical in mixtures and other trade name products in process streams in which the EPCRA Section 313 chemical is undergoing a reportable activity. If the EPCRA Section 313 chemical in a process stream is manufactured as an impurity, imported, processed, or otherwise used and is below the appropriate *de minimis* concentration level, then the quantity of the EPCRA Section 313 chemical in that process stream does not have to be applied to threshold determinations nor included in release or other waste management determinations. If an EPCRA Section 313 chemical in a process stream is below the appropriate *de minimis* level, all releases and other waste management activities associated with the EPCRA Section 313 chemical in that stream are exempt from EPCRA Section 313 reporting. It is possible to meet an activity (e.g., processing) threshold for an EPCRA Section 313 chemical on a facility-wide basis, but not be required to calculate releases or other waste management quantities associated with a particular process because that process involves only mixtures or

other trade name products containing the EPCRA Section 313 chemical below the *de minimis* level.

Once an EPCRA Section 313 chemical concentration is above the appropriate *de minimis* level in the process stream, threshold determinations and release and other waste management calculations must be made, even if the chemical later falls below the *de minimis* level in the same process stream. Thus, all releases and other quantities managed as waste that occur after the *de minimis* level has been exceeded are subject to reporting. If an EPCRA Section 313 chemical in a mixture or other trade name product above *de minimis* is brought on site, the *de minimis* exemption never applies.

*De minimis* levels for EPCRA Section 313 chemicals and chemical categories are set at concentration levels of either at 1% or 0.1%. The 0.1% *de minimis* levels are dictated by determinations made by the National Toxicology Program (NTP), Annual Report on Carcinogens, the International Agency for Research and Cancer (IARC) Monographs, or 29 CFR part 1910, subpart Z. Therefore, once a chemical's status under NTP, IARC, or 29 CFR part 1910, subpart Z indicates that the chemical is a carcinogen or potential carcinogen, the reporting facility may disregard levels of the chemical below the 0.1% *de minimis* concentration provided that the other criteria for the *de minimis* exemption are met. *De minimis* levels for chemical categories apply to the total concentration of all chemicals in the category within a mixture, not the concentration of each individual category member within the mixture.

**De Minimis Application to the Processing or Otherwise Use of a Mixture**

The *de minimis* exemption applies only to the processing or otherwise use, of an EPCRA Section 313 chemical in a mixture. Threshold determinations and release and other waste management calculations begin at the point where the chemical exceeds *de minimis*. If an EPCRA Section 313 chemical is present in a mixture at a concentration below the *de minimis* level, this quantity of the substance does not have to be included for threshold determination, release and other waste management reporting, or supplier notification requirements. The exemption will apply as long as the mixture containing *de minimis* amounts of an EPCRA Section 313 chemical never goes above the *de minimis* limit. Provided below are two examples in which a manufacturing activity would qualify for the *de minimis* exemption.

**De Minimis Application in the Manufacture of the Listed Chemical in a Mixture**

The *de minimis* exemption generally does not apply to the manufacturing of an EPCRA Section 313 chemical.

The *de minimis* exemption may apply to mixtures and other trade name products containing EPCRA Section 313 chemicals that are imported into the United States.

Another exception applies to EPCRA Section 313 chemicals that are coincidentally manufactured as impurities that remain in the product distributed in commerce at below the *de minimis* levels. The amount remaining in the product is exempt from threshold determinations. If the chemical is separated from the final product, thereby classifying the chemical as a byproduct, it cannot qualify for the exemption. Any amount that is separated, or is separate, from the product, is considered a byproduct and is subject to threshold determinations and release and other waste management estimates. Any amount of an EPCRA Section 313 chemical that is manufactured in a wastestream must be considered toward threshold determinations and release and other waste management calculations and accounted for on Form R.

The *de minimis* exemption also does not apply to situations where the manufactured chemical is released or transferred to wastestreams and thereby diluted to below the *de minimis* level.

**Example 5: De Minimis Applications to Process and Otherwise Use Scenarios**

There are many cases in which the *de minimis* "limit" is crossed or recrossed within a process or otherwise use scenario. The following examples are meant to illuminate these complex reporting scenarios.

**Increasing Concentration to Above De Minimis Levels During Processing**

A manufacturing facility receives toluene that contains less than the *de minimis* concentration of chlorobenzene. Through distillation, the chlorobenzene content in process streams is increased over the *de minimis* concentration of 1 percent. From the point at which the chlorobenzene concentration exceeds 1 percent in process streams, the amount present must be factored into threshold determinations and release and other waste management estimates. The facility does not need to consider the amount of chlorobenzene in the raw material, i.e., when below *de minimis* levels, when making threshold determinations. The facility does not have to report emissions of chlorobenzene from storage tanks or any other equipment where the chlorobenzene content is less than 1 percent.

**Fluctuating Concentration During Processing**

A manufacturer produces an ink product that contains toluene, an EPCRA Section 313 chemical, below the *de minimis* level. The process used causes the percentage of toluene in the mixture to fluctuate: it rises above the *de minimis* level for a time but drops below the level as the process winds down. The facility must consider the chemical toward threshold determinations from the point at which it first exceeds the *de minimis* limit. Once the *de minimis* limit has been crossed the exemption cannot be taken.

**Example 6: Concentration Ranges Straddling the *De Minimis* Value**

□ A facility processes 8,000,000 pounds of a mixture containing 0.25 to 1.25% manganese. Manganese is eligible for the 1% *de minimis* concentration exemption. The amount of mixture subject to reporting is the quantity containing manganese above the *de minimis* concentration:

$$(8,000,000) \times (1.25\% - 0.99\%) \div (1.25\% - 0.25\%)$$

The average concentration of manganese that is not exempt (above the *de minimis*) is:

$$(1.25\% + 1.00\%) \div (2)$$

$$\left[ \frac{(8,000,000) \times (1.25\% - 0.99\%)}{(1.25\% - 0.25\%)} \right] \times \left[ \frac{(1.25\% + 1.00\%)}{(2)} \right] = 23,400 \text{ pounds}$$

Therefore, the amount of manganese that is subject to threshold determination and release and other waste management estimates is:

$$= 23,400 \text{ pounds manganese (which is below the processing threshold)}$$

In this scenario, because the facility's information pertaining to manganese was available to two decimal places, 0.99 was used to determine the amount below the *de minimis* concentrations. If the information was available to one decimal place, 0.9 should be used, as in the scenario below.

□ As in the previous example, manganese is present in a mixture, of which 8,000,000 pounds is processed. The MSDS states the mixture contains 0.2% to 1.2% manganese. The amount of mixture subject to reporting (above *de minimis*) is:

$$(8,000,000) \times (1.2\% - 0.9\%) \div (1.2\% - 0.2\%)$$

The average concentration of manganese that is not exempt (above *de minimis*) is:

$$(1.2\% + 1.0\%) \div (2)$$

Therefore, the amount of manganese that is subject to threshold determinations and release and other waste management estimates is:

$$\left[ \frac{(8,000,000) \times (1.2\% - 0.9\%)}{(1.2\% - 0.2\%)} \right] \times \left[ \frac{(1.2\% + 1.0\%)}{(2)} \right] = 26,400 \text{ pounds}$$

$$= 26,400 \text{ pounds manganese (which is above the processing threshold)}$$

### Example 7: *De Minimis* Application in the Coincidental Manufacture in a Mixture

#### **Coincidental Manufacture as a Product Impurity**

Toluene-2,4-diisocyanate reacts with trace amounts of water to form trace quantities of 2,4-diaminotoluene. The resulting product contains 99 percent toluene-2,4-diisocyanate and 0.05 percent 2,4-diaminotoluene. The 2,4-diaminotoluene would not be subject to Section 313 reporting nor would supplier notification be required because the concentration of 2,4-diaminotoluene is below its *de minimis* concentration of 0.1 percent in the product. Coincidental manufacture/production refers only to production of a chemical via a chemical reaction. It would not include separation of a byproduct from a purchased mixture during a processing operation.

#### **Coincidental Manufacture as a Commercial Byproduct and Impurity**

Chloroform is a reaction byproduct in the production of carbon tetrachloride. It is removed by distillation to a concentration of less than 150 ppm (0.0150%) remaining in the carbon tetrachloride. The separated chloroform at 90 percent concentration is sold as a byproduct. Chloroform is subject to a 0.1% (1000 ppm) *de minimis* level. Any amount of chloroform manufactured and separated as byproduct must be included in threshold determinations because the *de minimis* exemption does not apply to manufacture of a chemical. Releases of chloroform prior to and during purification of the carbon tetrachloride should be reported. The *de minimis* level can, however, be applied to the chloroform remaining in the carbon tetrachloride as an impurity. Because the concentration of chloroform remaining in the carbon tetrachloride is below the *de minimis* level, this quantity of chloroform is exempt from threshold determinations, release and other waste management reporting, and supplier notification.

#### **Coincidental Manufacture as a Waste Byproduct**

A small amount of formaldehyde is manufactured as a reaction byproduct during the production of phthalic anhydride. The formaldehyde is separated from the phthalic anhydride as a waste gas and burned, leaving no formaldehyde in the phthalic anhydride. The amount of formaldehyde produced and removed as waste must be included in threshold determinations and release and other waste management estimates even if the formaldehyde were present below the *de minimis* level in the process stream where it was manufactured or in the wastestream to which it was separated.

### **Laboratory Activities Exemption**

**Laboratory Activities:** EPCRA Section 313 chemicals that are manufactured, processed, or otherwise used in laboratory activities at a covered facility under the direct supervision of a technically qualified individual do not have to be considered for threshold determinations and release and other waste management calculations. However, pilot plant scale and specialty chemical production do not qualify for this laboratory activities exemption, nor do the use of EPCRA Section 313 chemicals for laboratory support activities, such as the use chemicals for equipment maintenance.

### **Coal Extraction Activities Exemption**

If an EPCRA Section 313 chemical is manufactured, processed, or otherwise used in extraction by facilities in SIC Code 12, a person is not required to consider the quantity of the EPCRA Section 313 chemical so manufactured, processed, or otherwise used when considering threshold determinations and release and

other waste management calculations. Reclamation activities occurring simultaneously with coal extraction activities (e.g., cast blasting) are included in the exemption. However, otherwise use of ash, waste rock,

#### **Example 8: Extraction Exemption for Coal Mining Facilities**

Many materials containing EPCRA section 313 chemicals may be used or otherwise managed during coal mining extraction activities. Included among these are explosives for blasting operations, solvents, lubricants, and fuels for extraction related equipment maintenance and use, as well as overburden and mineral deposits. The EPCRA section 313 chemicals contained in these materials are exempt from threshold determinations and release and other waste management calculations, when manufactured, processed, or otherwise used during extraction activities at coal mines.

or fertilizer for reclamation purposes are not considered part of extraction; non-exempt amounts of Section 313 chemicals contained in these materials must be considered toward threshold determinations and release and other waste management calculations.

### **Metal Mining Overburden Exemption**

If an EPCRA Section 313 chemical that is a constituent of overburden is manufactured, processed or otherwise used by facilities in SIC Code 10, a person is not required to consider the quantity of the EPCRA Section 313 chemical so manufactured, processed, or otherwise used when considering threshold determinations and release and other waste management calculations.

For purposes of EPCRA section 313 reporting, overburden is the unconsolidated material that overlies a deposit of useful material or ore. It does not include any portion of the ore or waste rock.

## **B.4 Threshold Determinations**

Section 313 reporting is required if threshold quantities are exceeded. Separate thresholds apply to the amount of the EPCRA Section 313 chemical that is manufactured, processed, or otherwise used.

You must submit a report for any EPCRA Section 313 chemical that is manufactured or processed at your facility in excess of the following threshold:

- ☐ 25,000 pounds during the course of a calendar year.

You must submit a report if the quantity of an EPCRA Section 313 chemical that is otherwise used at your facility exceeds:

- ☐ 10,000 pounds during the course of a calendar year.

### **B.4.a. How to Determine If your Facility Has Exceeded Thresholds**

To determine whether your facility has exceeded a Section 313 reporting threshold, compare quantities of EPCRA Section 313 chemicals that you manufacture, process, or otherwise use to the respective thresholds for those activities. A worksheet is provided in Figure 2 to assist facilities in determining whether they exceed any of the reporting thresholds. This worksheet also provides a format for maintaining reporting facility records. Use of this worksheet is not required and the

completed worksheet(s) should not accompany Form R reports submitted to EPA and the State.

Complete a separate worksheet for each EPCRA Section 313 chemical or chemical category. Base your threshold determination for EPCRA Section 313 chemicals with qualifiers only on the quantity of the EPCRA Section 313 chemical satisfying the qualifier.

Use of the worksheet is divided into three steps:

*Step 1* allows you to record the gross amount of the EPCRA Section 313 chemical or chemical category involved in activities throughout the facility. Pure forms as well as the amounts of the EPCRA Section 313 chemical or chemical category present in mixtures or other trade name products must be considered. The types of activity (i.e., manufacturing, processing, or otherwise using) for which the EPCRA Section 313 chemical is used must be identified because separate thresholds apply to each of these activities. A record of the information source(s) used should be kept. Possible information sources include purchase records, inventory data, and calculations by a process engineer. The data collected in Step 1 will be totaled for each activity to identify the overall amount of the EPCRA Section 313 chemical or chemical category manufactured (including imported), processed, or otherwise used.

*Step 2* allows you to identify uses of the EPCRA Section 313 chemical or chemical category that were included in Step 1 but are exempt under Section 313. Do not include in Step 2 exempt quantities of the EPCRA Section 313 chemical not included in the calculations in Step 1. For example, if Freon contained in the building's air conditioners was not reported in Step 1, you would not include the amount as exempt in Step 2. Step 2 is intended for use when a quantity or use of the EPCRA Section 313 chemical is exempt while other quantities require reporting. Note the type of exemption for future reference. Also identify, if applicable, the fraction or percentage of the EPCRA Section 313 chemical present that is exempt. Add the amounts in each activity to obtain a subtotal for exempted amounts of the EPCRA Section 313 chemical or chemical categories at the facility.

*Step 3* involves subtracting the result of Step 2 from the results of Step 1 for each activity. Compare this net sum to the applicable activity threshold. If the threshold is met or exceeded for any of the three activities, a facility must submit a Form R for that EPCRA Section 313 chemical or chemical category. Do not sum quantities of the EPCRA Section 313 chemical that are manufactured, processed, and otherwise used at your facility, because each of these activities requires a separate threshold

determination. For example, if in a calendar year you processed 20,000 pounds of a chemical and you otherwise used 6,000 pounds of that same EPCRA Section 313 chemical, your facility has not met or exceeded any applicable threshold and thus is not required to report for that chemical.

This worksheet should be retained to document your determination for reporting or not reporting, but should not be submitted with the report.

You must submit a report if you exceed any threshold for any EPCRA Section 313 chemical or chemical category. For example, if your facility processes 22,000 pounds of an EPCRA Section 313 chemical and also otherwise uses 16,000 pounds of that same EPCRA Section 313 chemical, it has exceeded the otherwise use threshold (10,000 pounds) and your facility must report even though it did not exceed the process threshold. However, in preparing your reports, you must consider all non-exempted activities and all releases and other waste management quantities of the EPCRA Section 313 chemical from your facility, not just releases and other waste management quantities from the otherwise use activity.

Also note that threshold determinations are based upon the actual amounts of an EPCRA Section 313 chemical manufactured, processed, or otherwise used over the course of the calendar year. The threshold determination may not relate to the amount of an EPCRA Section 313 chemical brought on site during the calendar year. For example, if a stockpile of 100,000 pounds of an EPCRA Section 313 chemical is present on site but only 20,000 pounds is applied to a process, only the 20,000 pounds processed is counted toward a threshold determination, not the entire 100,000 pounds of the stockpile.

#### **B.4.b. Threshold Determinations for On-Site Reuse Operations.**

Threshold determinations of EPCRA Section 313 chemicals that are reused at the facility are based only on the amount of the EPCRA Section 313 chemical that is added during the year, not the total volume in the system. For example, a facility operates a refrigeration unit that contains 15,000 pounds of anhydrous ammonia at the beginning of the year. The system is charged with 2,000 pounds of anhydrous ammonia during the year. The facility has therefore "otherwise used" only 2,000 pounds of the EPCRA Section 313 chemical and is not required to report (unless there are other "otherwise use" activities of ammonia, that when taken together, exceed the reporting threshold). If, however, the whole refrigeration unit was recharged with 15,000 pounds of anhydrous ammonia during the year, the facility would

exceed the otherwise use threshold, and be required to report.

This does not apply to EPCRA Section 313 chemicals "recycled" or "reused" off site and returned to a facility. Such EPCRA Section 313 chemicals returned to a facility are treated as the equivalent of newly purchased material for purposes of Section 313 threshold determinations.

#### **B.4.c. Threshold Determinations for Ammonia**

The listing for ammonia includes the modifier "includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing". The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore, when determining threshold quantities, 100 percent of anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. If any ammonia evaporates from aqueous ammonia solutions, 100 percent of the evaporated ammonia is included in threshold determinations.

For example, if a facility processes aqueous ammonia it has processed 100 percent of the aqueous ammonia in that solution. If the ammonia remains in solution, then 10 percent of the total aqueous ammonia is counted towards threshold. If there are any evaporative losses of anhydrous ammonia, then 100 percent of those losses must be counted towards the processing threshold. If the manufacturing, processing, or otherwise use threshold for the ammonia listing are exceeded, the facility must report 100 percent of these evaporative losses in Sections 5 and 8 of the Form R.

#### **B.4.d. Threshold Determinations for Chemical Categories**

A number of chemical compound categories are subject to reporting. See Table II for a listing of these EPCRA Section 313 chemical categories. When reporting for one of these EPCRA Section 313 chemical categories, all individual members of a category that are manufactured, processed, or otherwise used must be counted. However, threshold determinations must be made separately for each of the three activities. Do not include in these threshold determinations for a category any chemicals that are also specifically EPCRA Section 313 chemicals (see Table II) or specific EPCRA Section 313 chemicals that have been deleted from the category (e.g., a class of copper phthalocyanine compounds has been

deleted from the copper compounds category). Specifically EPCRA Section 313 chemicals are subject to their own, individual threshold determination.

### **Organic Compounds**

For the organic compound categories, you are required to account for the entire weight of all compounds within a specific compound category (e.g., glycol ethers) at the facility for BOTH the threshold determination and release and other waste management estimates.

### **Metal-Containing Compounds**

Threshold determinations for metal-containing compounds present a special case. If, for example, your facility processes several different lead compounds, base your threshold determination on the total weight of all lead compounds processed. However, if your facility processes both the "parent" metal (lead) as well as one or more lead compounds, you must make threshold determinations for both because they are separately listed EPCRA Section 313 chemicals. If your facility exceeds thresholds for both the parent metal and compounds of that same metal, EPA allows you to file one combined report (e.g., one report for lead compounds, including lead) because the release information you will report in connection with metal compounds will be the total pounds of the parent metal released. If you file one combined report, you must put either the name of the metal or the name of the metal compound category on the Form R. Do not put both names on the Form R.

The case of metal compounds involving more than one metal should be noted. Some metal compounds may contain more than one listed metal. For example, lead chromate is both a lead compound and a chromium compound. In such cases, if applicable thresholds are exceeded, you are required to file two separate reports, one for lead compounds and one for chromium compounds. Apply the total weight of the lead chromate to the threshold determinations for both lead compounds and chromium compounds. (Note: Only the amount of each parent metal released or otherwise managed as waste (not the amount of the compound, would be reported on the appropriate sections of both Form Rs. See B.5.)

### **Nitrate Compounds (water dissociable; reportable only when in aqueous solution)**

For the category nitrate compounds (water dissociable; reportable only when in aqueous solution), the entire weight of the nitrate compound is counted towards the threshold. A nitrate compound is covered by this listing

only when in water and only if dissociated. If no information is available on the identity of the type of nitrate that is manufactured processed or otherwise used, assume that the nitrate compound exists as sodium nitrate.

### **B.4.e. Mixtures and Other Trade Name Products**

EPCRA Section 313 chemicals contained in mixtures and other trade name products must be factored into threshold determinations and release and other waste management calculations.

If your facility processed or otherwise used mixtures or other trade name products during the calendar year, you are required to use the best information available to determine whether the components of a mixture are above the *de minimis* concentration and, therefore, must be included in threshold determinations and release and other waste management calculations. If you know that a mixture or other trade name product contains a specific EPCRA Section 313 chemical, combine the amount of the EPCRA Section 313 chemical in the mixture or other trade name product with other amounts of the same EPCRA Section 313 chemical processed or otherwise used at your facility for threshold determinations and release and other waste management calculations. If you know that a mixture contains an EPCRA Section 313 chemical but no concentration information is provided by the supplier, you do not have to consider the amount of the EPCRA Section 313 chemical present in that mixture for purposes of threshold determinations and release and other waste management calculations.

Observe the following guidelines in estimating concentrations of EPCRA Section 313 chemicals in mixtures when only limited information is available:

- ☐ If you know the lower and upper bound concentrations of an EPCRA Section 313 chemical in a mixture, use the midpoint of these two concentrations for threshold determinations.
- ☐ If you know only the lower bound concentration, you should subtract out the percentages of any other known components to determine a reasonable upper bound concentration, and then determine a midpoint.
- ☐ If you have no information other than the lower bound concentration, calculate a midpoint assuming an upper bound concentration of 100 percent.

Figure 2. EPCRA Section 313 Reporting Threshold Worksheet

Facility Name: \_\_\_\_\_ Date Worksheet Prepared: \_\_\_\_\_  
 EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_ Prepared By: \_\_\_\_\_  
 CAS Number: \_\_\_\_\_  
 Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent TRI Chemical by Weight	TRI Chemical Weight (lb)	Amount of the Listed Toxic Chemical or chemical category by Activity (lb):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
Subtotal:					(A) _____ lb	(B) _____ lb	(C) _____ lb

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption ( <i>de minimis</i> , article, facility, activity)	Fraction or Percent Exempt (if Applicable)	Amount of the Toxic Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
Subtotal:			(A <sub>i</sub> ) _____ lb	(B <sub>i</sub> ) _____ lb	(C <sub>i</sub> ) _____ lb
Amount subject to threshold:			(A-A <sub>i</sub> ) _____ lb	(B-B <sub>i</sub> ) _____ lb	(C-C <sub>i</sub> ) _____ lb

Compare to threshold for EPCRA Section 313 reporting.

10,000 lb      25,000 lb      25,000 lb

If any threshold is exceeded, reporting is required for all activities. Do not submit this worksheet with Form R or Form A; retain it for your records.

- ☐ If you only know the upper bound concentration, you must use it for threshold determinations.
- ☐ In cases where you only have a concentration range available, you should use the midpoint of the range extremes.

## **B.5 Release and Other Waste Management Determinations for Metals, Metal Compounds, and Nitrate Compounds**

### **Metal Compounds**

Although the complete weight of the metal compound must be used for threshold determinations for the metal compound categories, for release and other waste management determinations, only the parent metal portion of the metal compound must be considered. Remember that for metal compounds that consist of more than one metal, release and other waste management reporting must be made for each metal, provided that the appropriate thresholds have been exceeded.

### **Metals and Metal Compounds**

As stated above, for metal compounds only the metal portion of the metal compound should be considered in

determining release and other waste management quantities for the metal compound categories. Therefore, if thresholds are separately exceeded for the "parent" metal and its compounds, EPA allows you to file a combined Form R for the "parent" metal and its compounds. This Form R would contain all of the release and other waste management information for both the "parent" metal and metal portion of the related metal compounds. For example, you exceed thresholds for chromium. You also exceed thresholds for chromium compounds. Instead of filing two Form Rs you can file one combined Form R. This Form R would contain information on quantities of chromium released or otherwise managed as waste and the quantities of the chromium portion of the chromium compounds released or otherwise managed as waste. When filing one combined Form R for an EPCRA Section 313 metal and metal compound category, facilities should identify the chemical reported as the metal compound category name and code in Section 1 of the Form R. Note that this does not apply to the Form A. See the section in these instructions on the Form A.

### **Nitrate Compounds (water dissociable; reportable only in aqueous solution)**

Although the complete weight of the nitrate compound must be used for threshold determinations for the nitrate compounds category, for release and other waste management determinations only the nitrate portion of the compound must be considered.

**Example 9: Mixture and Other Trade Name Products**

**Scenario #1:** Your facility otherwise uses 12,000 pounds of an industrial solvent (Solvent X) for equipment cleaning. The Material Safety Data Sheet (MSDS) for the solvent indicates that it contains at least 50 percent methyl ethyl ketone (MEK), an EPCRA Section 313 chemical; however, it also states that the solvent contains 20 percent non-hazardous surfactants. This is the only MEK-containing chemical used at the facility.

Follow these steps to determine if the quantity of the EPCRA Section 313 chemical in solvent X exceeds the threshold for otherwise use.

- 1) Determine a reasonable maximum concentration for the EPCRA Section 313 chemical by subtracting out the non-hazardous surfactants (i.e.,  $100\% - 20\% = 80\%$ ).
- 2) Determine the midpoint between the known minimum (50%) and the reasonable maximum calculated above (i.e.,  $(80\% + 50\%) / 2 = 65\%$ ).
- 3) Multiply total weight of Solvent X otherwise used by 65 percent (0.65).  
 $12,000 \text{ pounds} \times 0.65 = 7,800 \text{ pounds}$
- 4) Because the total amount of MEK otherwise used at the facility was less than the 10,000-pound otherwise use threshold, the facility is not required to file a Form R for MEK.

**Scenario #2:** Your facility otherwise used 15,000 pounds of Solvent Y to clean printed circuit boards. The MSDS for the solvent lists only that Solvent Y contains at least 80 percent of an EPCRA Section 313 chemical that is only identified as chlorinated hydrocarbons.

Follow these steps to determine if the quantity of the EPCRA Section 313 chemical in the solvent exceeds the threshold for otherwise use.

- 1) Because the specific chemical is unknown, the Form R will be filed for "chlorinated hydrocarbons." This name will be entered into Part II, Section 2.1, "Mixture Component Identity." (Note: Because your supplier is claiming the EPCRA Section 313 chemical identity a trade secret, you do not have to file substantiation forms.)
- 2) The upper bound limit is assumed to be 100 percent and the lower bound limit is known to be 80 percent. Using this information, the specific concentration is estimated to be 90 percent (i.e., the mid-point between upper and lower limits).  
 $(100\% + 80\%) / 2 = 90\%$
- 3) The total weight of Solvent Y is multiplied by 90 percent (0.90) when calculating for thresholds.  
 $15,000 \times 0.90 = 13,500$
- 4) Because the total amount of chlorinated hydrocarbons exceeds the 10,000-pound otherwise use threshold, you must file a Form R for this chemical.

## C. Instructions for Completing EPA Form R

### Part I. Facility Identification Information

#### Section 1. Reporting Year

This is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 1998 reporting year must be submitted on or before July 1, 1999.

#### Section 2. Trade Secret Information

##### 2.1 Are you claiming the EPCRA Section 313 chemical identified on page 2 trade secret?

Answer this question only after you have completed the rest of the report. The specific identity of the EPCRA Section 313 chemical being reported in Part II, Section 1, may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if you manufacture, process, or otherwise use the EPCRA Section 313 chemical whose identity is a trade secret. (See page 2 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

##### 2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?

Answer this question only after you have completed the rest of the report. Check "sanitized" if this copy of the report is the public version that does not contain the EPCRA Section 313 chemical identity but does contain a generic name in its place, and you have claimed the EPCRA Section 313 chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

#### Section 3. Certification

The certification statement must be signed by the owner or operator or a senior official with management responsibility for the person (or persons) completing the form. The owner, operator, or official must certify the accuracy and completeness of the information reported on the form by signing and dating the certification statement. Each report must contain an original signature. Print or type in the space provided the name and title of the person who signs the statement. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed.

#### Section 4. Facility Identification

##### 4.1 Facility Name, Location, and TRI Facility Identification Number

Enter the name of your facility (plant site name or appropriate facility designation), street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided should be the location where the EPCRA Section 313 chemicals are manufactured, processed, or otherwise used. If your mailing address and street address are the same, enter NA in the space for the mailing address.

If you have submitted a Form R for previous reporting years, a TRI Facility Identification Number has been assigned to your facility. The TRI Facility Identification Number appears (with other facility-specific information) on a pre-printed page 1 of the Form R that is attached to the cover of this Toxic Chemical Release Inventory Instructions for 1998. Please do not destroy this page 1. When completing your Form R reports for 1998, you may use this pre-printed page 1 instead of filling out a new page one.

If your pre-printed page 1 is missing information required by Form R, insert that information in the appropriate box in Part I, Section 4.1. For example, if your pre-printed page 1 contains your street address and not your mailing address, enter your mailing address in the space provided. If you receive a pre-printed page 1 which contains incorrect information, you may edit the page.

If you do not have a pre-printed page 1, but know your TRI Facility Identification Number, complete Section 4. If you do not know your TRI Facility Identification Number, contact the EPCRA Hotline (see page 4). If your facility has moved, do not enter your TRI facility identification number, enter "New Facility."

Enter "NA" in the space for the TRI Facility Identification number if this is your first submission of a Form R.

##### 4.2 Full or Partial Facility Indication

A covered facility must report all releases and other waste management activities and source reduction activities of an EPCRA Section 313 chemical if the facility meets a reporting threshold for that EPCRA Section 313 chemical. However, if the facility is composed of several distinct establishments, EPA allows these establishments to submit separate reports for the EPCRA Section 313

chemical as long as all releases and other waste management activities of the EPCRA Section 313 chemical from the entire facility are accounted for. Indicate in Section 4.2 whether your report is for the entire covered facility as a whole or for part of a covered facility.

Section 313 requires reports by "facilities," which are defined as "all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person."

The SIC Code system defines business "establishments" as "distinct and separate economic activities [that] are performed at a single physical location." Under section 372.30(c) of the reporting rule, you may submit a separate Form R for each establishment, or for groups of establishments in your facility, provided all releases and other waste management activities and source reduction activities involving the EPCRA Section 313 chemical from the entire facility are reported. This allows you the option of reporting separately on the activities involving an EPCRA Section 313 chemical at each establishment, or group of establishments (e.g., part of a covered facility), rather than submitting a single Form R for that EPCRA Section 313 chemical for the entire facility. However, if an establishment or group of establishments does not manufacture, process, or otherwise use or release or otherwise manage as waste an EPCRA Section 313 chemical, you do not have to submit a report for that establishment or group of establishments for that particular chemical. (See also Section B.2a of these instructions.)

#### 4.3 Technical Contact

Enter the name and telephone number (including area code) of a technical representative whom EPA or State officials may contact for clarification of the information reported on Form R. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility. However, this person must be familiar with the details of the report so that he or she can answer questions about the information provided.

#### 4.4 Public Contact

Enter the name and telephone number (including area code) of a person who can respond to questions from the public about the report. If you choose to designate the same person as both the technical and the public contact,

you may enter "Same as Section 4.3" in this space. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility. If this space is left blank, the technical contact will be listed as the public contact in the TRI database.

#### 4.5 Standard Industrial Classification (SIC) Code

Enter the appropriate 4-digit primary Standard Industrial Classification (SIC) Code for your facility. Table I lists the SIC Codes within 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). If the report covers more than one establishment, enter the primary 4-digit SIC Code for each establishment starting with the primary SIC Code for the entire facility. You are required to enter SIC Codes only for those establishments within the facility that fall within SIC Codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). If you do not know your SIC Code, consult the 1987 SIC Manual.

The North American Industry Classification System (NAICS) is a new economic classification system that will replace the 1987 SIC Code system. EPA will address the SIC Code change, as it relates to EPCRA, in an upcoming Federal Register notice. This upcoming change does NOT affect the 1998 EPCRA Section 313 reporting.

## *Instructions for Completing Part I of EPA Form R*

### **4.6 Latitude and Longitude**

Enter the latitudinal and longitudinal coordinates of your facility. Sources of these data include EPA permits (e.g., NPDES permits), county property records, facility blueprints, and site plans. Instructions on how to determine these coordinates can be found in Appendix E. Enter only numerical data. Do not preface numbers with letters such as N or W to denote the hemisphere.

Latitude and longitude coordinates of your facility are very important for pinpointing the location of reporting facilities and are required elements on the Form R. EPA encourages facilities to make the best possible measurements when determining latitude and longitude. As with any other data field, missing, suspect, or incorrect data may generate a Notice of Technical Error to be issued to the facility. (See Appendix C: Common Errors in Completing Form R Reports).

### **4.7 Dun and Bradstreet Number**

Enter the nine-digit number assigned by Dun and Bradstreet (D & B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers from your local Dun and Bradstreet office (check the telephone book White Pages). If a facility does not subscribe to the D & B service, a "support number" can be obtained from the D & B center located in Allentown, Pennsylvania, at (610) 882-7748 (8:30 AM to 8:00 PM, Eastern Time). If none of your establishments has been assigned a D & B number, enter not applicable, NA, in box (a). If only some of your establishments have been assigned D & B numbers, enter those numbers in Part I, section 4.7.

### **4.8 EPA Identification Number**

The EPA I.D. Number is a 12-character number assigned to facilities covered by hazardous waste regulations under the Resource Conservation and Recovery Act (RCRA). Facilities not covered by RCRA are not likely to have an assigned I.D. Number. If your facility is not required to have an I.D. Number, enter not applicable, NA, in box (a). If your facility has been assigned EPA Identification Numbers, you must enter those numbers in the spaces provided in Section 4.8.

### **4.9 NPDES Permit Number**

Enter the numbers of any permits your facility holds under the National Pollutant Discharge Elimination System (NPDES) even if the permit(s) do not pertain to the EPCRA Section 313 chemical being reported. This nine-character permit number is assigned to your facility by EPA or the State under the authority of the Clean Water Act. If your facility does not have a permit, enter not applicable, NA, in Section 4.9a.

### **4.10 Underground Injection Well Code (UIC) Identification Number**

If your facility has a permit to inject a waste containing the EPCRA Section 313 chemical into Class 1 deep wells, enter the 12-digit Underground Injection Well Code (UIC) identification number assigned by EPA or by the State under the authority of the Safe Drinking Water Act. If your facility does not hold such a permit(s), enter not applicable, NA, in Section 4.10a. You are only required to provide the UIC number for wells that receive the EPCRA Section 313 chemical being reported.

## **Section 5. Parent Company Information**

You must provide information on your parent company. For purposes of Form R, a parent company is defined as the highest level company, located in the United States, that directly owns at least 50 percent of the voting stock of your company. If your facility is owned by a foreign entity, enter not applicable, NA, in this space. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation would be listed as the parent company. Note that a facility that is a 50:50 joint venture is its own parent company.

### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your ultimate U.S. parent company. If your facility has no parent company, check the NA box.

### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the D & B number for your ultimate U.S. parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company. If your parent company does not have a D & B number, check the NA box.

## Part II. Chemical Specific Information

In Part II, you are to report on:

- ☐ The EPCRA Section 313 chemical being reported;
- ☐ The general uses and activities involving the EPCRA Section 313 chemical at your facility;
- ☐ On-site releases of the EPCRA Section 313 chemical from the facility to air, water, and land;
- ☐ Quantities of the EPCRA Section 313 chemical transferred to off-site locations;
- ☐ Information for on-site and off-site disposal, treatment, energy recovery, and recycling of the EPCRA Section 313 chemical; and
- ☐ Source reduction activities.

### Section 1. EPCRA Section 313 Chemical Identity

#### 1.1 CAS Number

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II of these instructions for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II. If you are reporting one of the EPCRA Section 313 chemical categories in Table II (e.g., chromium compounds), enter the applicable category code in the CAS number space. EPCRA Section 313 chemical category codes are listed below and can also be found in Table II, c. Chemical Categories.

#### EPCRA Section 313 Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)
N230	Certain glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds

N503	Nicotine and salts
N511	Nitrate compounds (water dissociable, reportable only in aqueous solution)
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes (C10 to C13)
N590	Polycyclic aromatic compounds (PACs)
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N874	Warfarin and Salts
N982	Zinc compounds

If you are making a trade secret claim, you must report the CAS number or category code on your unsanitized Form R and unsanitized substantiation form. Do not include the CAS number or category code on your sanitized Form R or sanitized substantiation form.

#### Example 10: Mixture Containing Unidentified EPCRA Section 313 Chemical

Your facility uses 20,000 pounds of a solvent that your supplier has told you contains 80 percent "chlorinated aromatic," their generic name for an EPCRA Section 313 chemical subject to reporting under Section 313. You, therefore, know that you have used 16,000 pounds of some EPCRA Section 313 chemical and that exceeds the "otherwise use" threshold. You would file a Form R and enter the name "chlorinated aromatic" in the space provided in Part II, Section 2.

#### 1.2 EPCRA Section 313 Chemical or Chemical Category Name

Enter the name of the EPCRA Section 313 chemical or chemical category exactly as it appears in Table II. If the EPCRA Section 313 chemical name is followed by a synonym in parentheses, report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the EPCRA Section 313 chemical identity is actually a product trade name (e.g., dicofol), the 9th *Collective Index* name is listed below it in brackets. You may report either name in this case.

**Do not** list the name of a chemical that does not appear in Table II, such as individual members of a reportable EPCRA Section 313 chemical category. For example, if you use silver nitrate, **do not** report silver nitrate with its CAS number. Report this chemical as "silver compounds" with its category code, N740.

## Instructions for Completing Part II of EPA Form R

If you are making a trade secret claim, you must report the specific EPCRA Section 313 chemical identity on your unsanitized Form R and unsanitized substantiation form. Do not report the name of the EPCRA Section 313 chemical on your sanitized Form R or sanitized substantiation form. Include a generic name in Part II, Section 1.3 of your sanitized Form R report.

EPA requests that the EPCRA Section 313 chemical, chemical category, or generic name also be placed in the box marked "Toxic Chemical, Category, or Generic Name" in the upper right-hand corner on all pages of Form R. While this space is not a required data element, providing this information will help you in preparing a complete Form R report.

### 1.3 Generic Chemical Name

Complete Section 1.3 only if you are claiming the specific EPCRA Section 313 chemical identity of the EPCRA Section 313 chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on page 1 of Form R. Enter a generic chemical name that is descriptive of the chemical structure. You must limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 below.

In-house plant codes and other substitute names that are not structurally descriptive of the EPCRA Section 313 chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form Rs, and the name must be the same as that used on your substantiation forms.

## Section 2. Mixture Component Identity

Do not complete this section if you have completed Section 1 of Part II. Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer "yes" in Part I, Section 2.1 on page 1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this EPCRA Section 313 chemical because it is your supplier who is claiming the chemical identity a trade secret.

### 2.1 Generic Chemical Name Provided by Supplier

Enter the generic chemical name in this section only if the following three conditions apply:

1. You determine that the mixture contains an EPCRA Section 313 chemical but the only identity you have for that chemical is a generic name;
2. You know either the specific concentration of that EPCRA Section 313 chemical component or a maximum or average concentration level; and
3. You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

## Section 3. Activities and Uses of the EPCRA Section 313 Chemical at the Facility

Indicate whether the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the facility and the general nature of such activities and uses at the facility during the calendar year (see figure 3). You are not required to report on Form R the quantity manufactured, processed or otherwise used. Report activities that take place only at your facility, not activities that take place at other facilities involving your products. You must check all the boxes in this section that apply. Refer to the definitions of "manufacture," "process," and "otherwise use" in the general information section of these instructions or Part 40, Section 372.3 of the *Code of Federal Regulations* for additional explanations.

### 3.1 Manufacture the EPCRA Section 313 Chemical

Persons who manufacture (including import) the EPCRA Section 313 chemical must check at least one of the following:

- a. **Produce** - The EPCRA Section 313 chemical is produced at the facility.
- b. **Import** - The EPCRA Section 313 chemical is imported by the facility into the Customs Territory of the United States. (See Section B.3.a of these instructions for further clarification of import.)

And check at least one of the following:

- c. **For on-site use/processing** - The EPCRA Section 313 chemical is produced or imported and then further processed or otherwise used at the same facility. If

you check this block, you must also check at least one item in Part II, Section 3.2 or 3.3.

- d. **For sale/distribution** - The EPCRA Section 313 chemical is produced or imported specifically for sale or distribution outside the manufacturing facility.
- e. **As a byproduct** - The EPCRA Section 313 chemical is produced coincidentally during the manufacture, processing, or otherwise use of another chemical substance or mixture and, following its production, is separated from that other chemical substance or mixture. EPCRA Section 313 chemicals produced as a result of waste management are also considered byproducts.
- f. **As an impurity** - The EPCRA Section 313 chemical is produced coincidentally as a result of the manufacture, processing, or otherwise use of another chemical but is not separated and remains primarily in the mixture or other trade name product with that other chemical.

In summary, if you are a manufacturer of the EPCRA Section 313 chemical, you must check (a) and/or (b), and at least one of (c), (d), (e), and (f) in Section 3.1.

### 3.2 Process the EPCRA Section 313 Chemical (incorporative activities)

- a. **As a reactant** - A natural or synthetic EPCRA Section 313 chemical is used in chemical reactions for the manufacture of another chemical substance or of a product. Includes but is not limited to, feedstocks, raw materials, intermediates, and initiators.
- b. **As a formulation component** - An EPCRA Section 313 chemical is added to a product (or product mixture) prior to further distribution of the product that acts as a performance enhancer during use of the product. Examples of EPCRA Section 313 chemicals used in this capacity include, but are not limited to, additives, dyes, reaction diluents, initiators, solvents, inhibitors, emulsifiers, surfactants, lubricants, flame retardants, and rheological modifiers.
- c. **As an article component** - An EPCRA Section 313 chemical becomes an integral component of an article distributed for industrial, trade, or consumer use. One example is the pigment components of paint applied to a chair that is sold.

- d. **Repackaging** - this consists of processing or preparation of an EPCRA Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity. This includes, but is not limited to, the transfer of material from a bulk container, such as a tank truck to smaller containers such as cans or bottles.

#### Example 11: Activities and Uses of EPCRA Section 313 Chemicals

In the example below, it is assumed that the threshold quantities for manufacture, process, or otherwise use (25,000 pounds, 25,000 pounds, and 10,000 pounds, respectively) have been exceeded and the reporting of EPCRA Section 313 chemicals is therefore required.

Your facility manufactures diazomethane. Fifty percent is sold as a product. The remaining 50 percent is reacted with alpha-naphthylamine, forming N-methyl-alpha-naphthylamine and also producing nitrogen gas.

- ☒ Your company manufactures diazomethane, an EPCRA Section 313 chemical, both for sale/distribution as a commercial product and for on-site use/processing as a feedstock in the N-methyl-alpha-naphthyl-amine production process. Because the diazomethane is a reactant, it is also processed. See Figure 3 for how this information would be reported in Part II, Section 3 of Form R.
- ☒ Your facility also processes alpha-naphthylamine, as a reactant to produce N-methyl-alpha-naphthylamine, a chemical not on the Section 313 list.

### 3.3 Otherwise Use the EPCRA Section 313 Chemical (non-incorporative activities)

- a. **As a chemical processing aid** - An EPCRA Section 313 chemical that is added to a reaction mixture to aid in the manufacture or synthesis of another chemical substance but is not intended to remain in or become part of the product or product mixture is otherwise used as chemical processing aid. Examples of such EPCRA Section 313 chemicals include, but are not limited to, process solvents, catalysts, inhibitors, initiators, reaction terminators, and solution buffers.

Figure 3

SECTION 1. TOXIC CHEMICAL IDENTITY		(Important: DO NOT complete this section if you complete Section 2 below.)	
1.1	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)  334-88-3		
1.2	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)  Diazomethane		
1.3	Generic Chemical Name (Important: Complete only if Part 1, Section 2.1 is checked "Yes". Generic name must be structurally descriptive.)  		

SECTION 2. MIXTURE COMPONENT IDENTITY		(Important: DO NOT complete this section if you complete Section 1 above.)	
2.1	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)  		

SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY			
(Important: CHECK ALL THAT APPLY.)			
3.1	Manufacture the toxic chemical:	3.2	Process the toxic chemical:
<input checked="" type="checkbox"/> a. Produce <input type="checkbox"/> b. Import  <b>If produce or import:</b> <input checked="" type="checkbox"/> c. For on-site use/processing <input checked="" type="checkbox"/> d. For sale/distribution <input type="checkbox"/> e. As a byproduct <input type="checkbox"/> f. As an impurity		<input checked="" type="checkbox"/> a. As a reactant <input type="checkbox"/> b. As a formulation component <input type="checkbox"/> c. As an article component <input type="checkbox"/> d. Repackaging	
3.3	Otherwise use the toxic chemical:		
<input type="checkbox"/> a. As a chemical processing aid <input type="checkbox"/> b. As a manufacturing aid <input type="checkbox"/> c. Ancillary or other use			

- b. **As a manufacturing aid** - An EPCRA Section 313 chemical that aids the manufacturing process but does not become part of the resulting product and is not added to the reaction mixture during the manufacture or synthesis of another chemical substance is otherwise used as a manufacturing aid. Examples include, but are not limited to, process lubricants, metalworking fluids, coolants, refrigerants, and hydraulic fluids.

- c. **Ancillary or other use** - An EPCRA Section 313 chemical is used at a facility for purposes other than aiding chemical processing or manufacturing as described above is otherwise used as ancillary or other use. Examples include, but are not limited to, cleaners, degreasers, lubricants, fuels, EPCRA Section 313 chemicals used for treating wastes, and

EPCRA Section 313 chemicals used to treat water at the facility.

#### Section 4. Maximum Amount of the EPCRA Section 313 Chemical On Site at Any Time During the Calendar Year

For data element 4.1 of Part II, insert the code (see codes below) that indicates the maximum quantity of the EPCRA Section 313 chemical (e.g., in storage tanks, process vessels, on-site shipping containers, or in waste) at your facility at any time during the calendar year. If the EPCRA Section 313 chemical was present at several locations within your facility, use the maximum total amount present at the entire facility at any one time.

**Weight Range in Pounds**

<u>Range Code</u>	<u>From...</u>	<u>To....</u>
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, determine the maximum quantity of the EPCRA Section 313 chemical present at the facility by calculating the weight percent of the EPCRA Section 313 chemical only.

Do not include the weight of the entire mixture or other trade name product. This data may be found in the Tier II form your facility may have prepared under Section 312 of EPCRA. See Part 40, Section 372.30(b) of the *Code of Federal Regulations* for further information on how to calculate the weight of the EPCRA Section 313 chemical in the mixture or other trade name product. For EPCRA Section 313 chemical categories (e.g., nickel compounds), include all chemical compounds in the category when calculating the maximum amount, using the entire weight of each compound.

## **Section 5. Quantity of the EPCRA Section 313 Chemical Entering Each Environmental Medium On Site**

In Section 5, you must account for the total aggregate on-site releases of the EPCRA Section 313 chemical to the environment from your facility for the calendar year.

Do not enter the values in Section 5 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers. Numbers following a decimal point are not acceptable.

On-site releases to the environment include emissions to the air, discharges to surface waters, and releases to land and underground injection wells. If you have no releases to a particular media (e.g., stack air), you must check the "NA" box or enter zero; do not leave any part of Section 5 blank.

You are not required to count as a release, quantities of an EPCRA Section 313 chemical that are lost due to natural weathering or corrosion, normal/natural degradation of a product, or normal migration of an EPCRA Section 313 chemical from a product. For example, amounts of an EPCRA Section 313 chemical that migrate from plastic products in storage do not have to be counted in estimates of releases of that EPCRA Section 313 chemical from the facility.

All releases of the EPCRA Section 313 chemical to the air must be classified as either point or non-point emissions, and included in the total quantity reported for these releases in Sections 5.1 and 5.2. Instructions for columns A, B, and C follow the discussions of Sections 5.1 through 5.5.

### **5.1 Fugitive or Non-Point Air Emissions**

Report the total of all releases of the EPCRA Section 313 chemical to the air that are not released through stacks, vents, ducts, pipes, or any other confined air stream. You must include (1) fugitive equipment leaks from valves, pump seals, flanges, compressors, sampling connections, open-ended lines, etc.; (2) evaporative losses from surface impoundments and spills; (3) releases from building ventilation systems; and (4) any other fugitive or non-point air emissions. Engineering estimates and mass balance calculations (using purchase records, inventories, engineering knowledge or process specifications of the quantity of the EPCRA Section 313 chemical entering product, hazardous waste manifests, or monitoring records) may be useful in estimating fugitive emissions.

### **5.2 Stack or Point Air Emissions**

Report the total of all releases of the EPCRA Section 313 chemical to the air that occur through stacks, vents, ducts, pipes, or other confined air streams. You must include storage tank emissions. Air releases from air pollution control equipment would generally fall in this category. Monitoring data, engineering estimates, and mass balance calculations may help you to complete this section.

### **5.3 Discharges to Receiving Streams or Water Bodies**

In Section 5.3 you are to enter all the names of the streams or water bodies to which your facility directly discharges the EPCRA Section 313 chemical on which you are reporting. A total of three spaces is provided on page 2 of Form R. Enter the name of each receiving stream or surface water body to which the EPCRA

## *Instructions for Completing Part II of EPA Form R*

Section 313 chemical being reported is directly discharged. Report the name of the receiving stream or water body as it appears on the NPDES permit for the facility. If the stream is not covered by a permit, enter the name of the off-site stream or water body by which it is publicly known. Do not list a series of streams through which the EPCRA Section 313 chemical flows. Be sure to include all the receiving streams or water bodies that receive stormwater runoff from your facility. Do not enter names of streams to which off-site treatment plants discharge. Enter "NA" in Section 5.3.1. if you do not discharge the EPCRA Section 313 chemical to surface water bodies.

Enter the total annual amount of the EPCRA Section 313 chemical released from all discharge points at the facility to each receiving stream or water body. Include process outfalls such as pipes and open trenches, releases from on-site wastewater treatment systems, and the contribution from stormwater runoff, if applicable (see instructions for column C below). Do not include discharges to a POTW or other off-site wastewater treatment facilities in this section. These off-site transfers must be reported in Part II, Section 6 of Form R. Wastewater analyses and flowmeter data may provide the quantities you will need to complete this section.

Discharges of listed acids (e.g., hydrogen fluoride, nitric acid, and phosphoric acid) may be reported as zero if the discharges have been neutralized to pH 6 or above. If wastewater containing a listed acid is discharged below pH 6, then releases of the acid must be reported. In this case, pH measurements may be used to estimate the amount of mineral acid released.

### **5.4.1 Underground Injection On-Site to Class I Wells**

Enter the total amount of the EPCRA Section 313 chemical that was injected into Class I wells at the facility. Chemical analyses, injection rate meters, and RCRA Hazardous Waste Generator Reports are good sources for obtaining data that will be useful in completing this section. Check the Not Applicable "NA" box in Section 5.4.1 if you do not inject the reported EPCRA Section 313 chemical into Class I underground wells.

### **5.4.2 Underground Injection On Site to Class II-V Wells**

Enter the total amount of the EPCRA Section 313 chemical that was injected into wells at the facility other than Class I wells. Chemical analyses and injection rate

meters are good sources for obtaining data that will be useful in completing this section. Check the Not Applicable "NA" box in Section 5.4.2 if you do not inject the reported EPCRA Section 313 chemical into Class II-V underground wells.

## **5.5 Disposal to Land On Site**

Five predefined subcategories for reporting quantities released to land within the boundaries of the facility are provided. Do not report land disposal at off-site locations in this section. Accident histories and spill records may be useful (e.g., release notification reports required under Section 304 of EPCRA and accident histories required under Section 112(r)(7)(B)(ii) of the Clean Air Act).

**5.5.1A RCRA Subtitle C landfills** — Enter the total amount of the EPCRA Section 313 chemical that was placed in RCRA Subtitle C landfills. Leaks from landfills need not be reported as a release because the amount of the EPCRA Section 313 chemical has already been reported as a release.

**5.5.1B Other landfills** — Enter the total amount of the EPCRA Section 313 chemical that was placed in landfills other than RCRA Subtitle C landfills. Leaks from landfills need not be reported as a release because the amount of the EPCRA Section 313 chemical has already been reported as a release.

**5.5.2 Land treatment/application farming** — Land treatment is a disposal method in which a waste containing an EPCRA Section 313 chemical is applied onto or incorporated into soil. While this disposal method is considered a release to land, any volatilization of EPCRA Section 313 chemicals into the air occurring during the disposal operation must be included in the total fugitive air releases reported in Part II, Section 5.1 of Form R.

**5.5.3 Surface impoundment** — A surface impoundment is a natural topographic depression, man-made excavation, or diked area formed primarily of earthen materials (although some may be lined with man-made materials), that is designed to hold an accumulation of liquid wastes or wastes containing free liquids. Examples of surface impoundments are holding, settling, storage, and elevation pits; ponds, and lagoons. If the pit, pond, or lagoon is intended for storage or holding without discharge, it would be considered to be a surface impoundment used as a final disposal method. A facility should determine, to the best of its ability, the percentage of a volatile chemical, e.g., benzene, that is in waste sent

to a surface impoundment that evaporates in the reporting year. The facility should report this as a fugitive air emission in section 5.1. The balance should be reported in section 5.5.3.

Quantities of the EPCRA Section 313 chemical released to surface impoundments that are used merely as part of a wastewater treatment process generally must not be reported in this section. However, if the impoundment accumulates sludges containing the EPCRA Section 313 chemical, you must include an estimate in this section unless the sludges are removed and otherwise disposed (in which case they should be reported under the appropriate section of the form). For the purposes of this reporting, storage tanks are not considered to be a type of disposal and are not to be reported in this section of Form R.

**5.5.4 Other Disposal** — Includes any amount of an EPCRA Section 313 chemical released to land that does not fit the categories of landfills, land treatment, or surface impoundment. This other disposal would include any spills or leaks of EPCRA Section 313 chemicals to land. For example, 2,000 pounds of benzene leaks from an underground pipeline into the land at a facility. Because the pipe was only a few feet from the surface at the erupt point, 30 percent of the benzene evaporates into the air. The 600 pounds released to the air would be reported as a fugitive air release (Part II, Section 5.1) and the remaining 1,400 pounds would be reported as a release to land, other disposal (Part II, Section 5.5.4).

#### Column A: Total Release

Only on-site releases of the EPCRA Section 313 chemical to the environment for the calendar year are to be reported in this section of Form R. The total on-site releases from your facility do not include transfers or shipments of the EPCRA Section 313 chemical from your facility for sale or distribution in commerce, or of wastes to other facilities for disposal, treatment, energy recovery, or recycling (see Part II, Section 6 of these Instructions). Both routine releases, such as fugitive air emissions, and accidental or non-routine releases, such as chemical spills, must be included in your estimate of the quantity released.

**Releases of Less Than 1,000 Pounds.** For total annual releases or off-site transfers of an EPCRA Section 313 chemical from the facility of less than 1,000 pounds, the amount may be reported either as an estimate or by using the range codes that have been developed. The reporting range codes to be used are:

<u>Code</u>	<u>Range (pounds)</u>
A	1-10
B	11-499
C	500-999

Do not enter a range code and an estimate in the same box in column A. Total annual on-site releases of an EPCRA Section 313 chemical from the facility of less than 1 pound may be reported in one of several ways. You should round the value to the nearest pound. If the estimate is greater than 0.5 pound, you should either enter the range code "A" for "1-10" or enter "1" in column A. If the release is equal to or less than 0.5 pound, you may round to zero and enter "0" in column A.

Note that total annual releases of 0.5 pound or less from the processing or otherwise use of an article maintain the article status of that item. Thus, if the only releases you have are from processing an article, and such releases are equal to or less than 0.5 pound per year, you are not required to submit a report for that EPCRA Section 313 chemical. The 0.5-pound release determination does not apply to just a single article. It applies to the cumulative releases from the processing or otherwise use of the same type of article (e.g., sheet metal or plastic film) that occurs over the course of the calendar year.

**Zero Releases.** If you have no releases of an EPCRA Section 313 chemical to a particular medium, report either NA, not applicable, or zero, as appropriate. Report NA only when there is no possibility a release could have occurred to a specific media. If a release to a specific media could have occurred, but either did not occur or the annual aggregate release was equal to or less than 0.5 pound, report zero. However, if you report zero releases, a basis of estimate must be provided in column B.

For example, if nitric acid is involved in the facility's processing activities but the facility neutralizes the wastes to a pH of 6 or above, then the facility reports a zero release for the EPCRA Section 313 chemical. If the facility has no underground injection well, "NA" would be written in Part I, Section 4.10 and checked in Part II, Section 5.4.1 and 5.4.2 of Form R. Also, if the facility does not landfill the acidic waste, NA would be checked in Part II, Section 5.5.1.B of Form R.

**Releases of 1,000 Pounds or More.** For releases to any medium that amount to 1,000 pounds or more for the year, you must provide an estimate in pounds per year in column A. Any estimate provided in column A need not be reported to more than two significant figures. This

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estimate should be in whole numbers. Do not use decimal points.

**Calculating On-Site Releases.** To provide the release information required in column A in this section, you must use the best readily available data (including relevant monitoring data and emissions measurements) collected at your facility to meet other regulatory requirements or as part of routine plant operations, to the extent you have such data for the EPCRA Section 313 chemical.

When relevant monitoring data or emission measurements are not readily available, reasonable estimates of the amounts released must be made using published emission factors, material balance calculations, or engineering calculations. You may not use emission factors or calculations to estimate releases if more accurate data are available.

No additional monitoring or measurement of the quantities or concentrations of any EPCRA Section 313 chemical released into the environment, or of the frequency of such releases, beyond that required under other provisions of law or regulation or as part of routine plant operations, is required for the purpose of completing Form R.

You must estimate, as accurately as possible, the quantity (in pounds) of the EPCRA Section 313 chemical or chemical category that is released annually to each environmental medium on site. Include only the quantity of the EPCRA Section 313 chemical in this estimate. If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, calculate only the releases of the EPCRA Section 313 chemical, not the other components of the mixture or other trade name product. If you are only able to estimate the releases of the mixture or other trade name product as a whole, you must assume that the release of the EPCRA Section 313 chemical is proportional to its concentration in the mixture or other trade name product. See Part 40, Section 372.30(b) of the *Code of Federal Regulations* for further information on how to calculate the concentration and weight of the EPCRA Section 313 chemical in the mixture or other trade name product.

If you are reporting an EPCRA Section 313 chemical category listed in Table II of these instructions rather than a specific EPCRA Section 313 chemical, you combine the release data for all chemicals in the EPCRA Section 313 chemical category (e.g., all glycol ethers or all chlorophenols) and report the aggregate amount for that EPCRA Section 313 chemical in that category separately.

For example, if your facility releases 3,000 pounds per year of 2-chlorophenol, 4,000 pounds per year of 3-chlorophenol, and 4,000 pounds per year of 4-chlorophenol to air as fugitive emissions, you should report that your facility releases 11,000 pounds per year of chlorophenols to air as fugitive emissions in Part II, Section 5.1.

For aqueous ammonia solutions, releases should be reported based on 10% of total aqueous ammonia. Ammonia evaporating from aqueous ammonia solutions is considered to be anhydrous ammonia; therefore, 100% of the anhydrous ammonia should be reported if it is released to the environment. For dissociable nitrate compounds, release estimates should be based on the weight of the nitrate only.

For metal compound categories (e.g., chromium compounds), report release of only the parent metal. For example, a user of various inorganic chromium salts would report the total chromium released regardless of the chemical form (e.g., as the original salts, chromium oxide) and exclude any contribution to mass made by other species in the molecule.

### Column B: Basis of Estimate

For each release estimate, you are required to indicate the principal method used to determine the amount of release reported. You will enter a letter code that identifies the method that applies to the largest portion of the total estimated release quantity.

The codes are as follows:

M- Estimate is based on monitoring data or measurements for the EPCRA Section 313 chemical.

C- Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in wastes entering and leaving process equipment.

E- Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).

O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a treatment, even if the composition of the waste before treatment was fully identified through monitoring data.

For example, if 40 percent of stack emissions of the reported EPCRA Section 313 chemical were derived using monitoring data, 30 percent by mass balance, and 30 percent by emission factors, you would enter the code letter "M" for monitoring.

If the monitoring data, mass balance, or emission factor used to estimate the release is not specific to the EPCRA Section 313 chemical being reported, the form should identify the estimate as based on engineering calculations or best engineering judgment (O).

If a mass balance calculation yields the flow rate of a waste, but the quantity of reported EPCRA Section 313 chemical in the waste is based on solubility data, report "O" because "engineering calculations" were used as the basis of estimate of the quantity of the EPCRA Section 313 chemical in the waste.

If the concentration of the EPCRA Section 313 chemical in the waste was measured by monitoring equipment and the flow rate of the waste was determined by mass balance, then the primary basis of the estimate is "monitoring" (M). Even though a mass balance calculation also contributed to the estimate, "monitoring" should be indicated because monitoring data were used to estimate the concentration of the waste.

Mass balance (C) should only be indicated if it is **directly** used to calculate the mass (weight) of EPCRA Section 313 chemical released. Monitoring data should be indicated as the basis of estimate **only** if the EPCRA Section 313 chemical concentration is measured in the waste being released into the environment. Monitoring data should **not** be indicated, for example, if the monitoring data relate to a concentration of the EPCRA Section 313 chemical in other process streams within the facility.

It is important to realize that the accuracy and proficiency of release estimation will improve over time. However, submitters are not required to use new emission factors or estimation techniques to revise previous Form R submissions.

#### Column C: Percent From Stormwater

This column relates only to Section 5.3 — discharges to receiving streams or water bodies. If your facility has monitoring data on the amount of the EPCRA Section 313 chemical in stormwater runoff (including unchanneled runoff), you must include that quantity of the EPCRA Section 313 chemical in your water release in column A and indicate the percentage of the total quantity (by

weight) of the EPCRA Section 313 chemical contributed by stormwater in column C (Section 5.3C).

If your facility has monitoring data on the EPCRA Section 313 chemical and an estimate of flow rate, you must use these data to determine the percent stormwater.

If you have monitored stormwater but did not detect the EPCRA Section 313 chemical, enter zero in column C. If your facility has no stormwater monitoring data for the chemical, enter not applicable, "NA," in this space on the form.

If your facility does not have periodic measurements of stormwater releases of the EPCRA Section 313 chemical, but has submitted chemical-specific monitoring data in permit applications, then these data must be used to calculate the percent contribution from stormwater. Rates of flow can be estimated by multiplying the annual amount of rainfall by the land area of the facility and then multiplying that figure by the runoff coefficient. The runoff coefficient represents the fraction of rainfall that does not seep into the ground but runs off as stormwater. The runoff coefficient is directly related to how the land in the drainage area is used. (See table below)

<u>Description of Land Area</u>	<u>Runoff Coefficient</u>
Business	
Downtown areas	0.70-0.95
Neighborhood areas	0.50-0.70
Industrial	
Light areas	0.50-0.80
Heavy areas	0.60-0.90
Industrial	
Railroad yard areas	0.20-0.40
Unimproved areas	0.10-0.30
Streets	
Asphaltic	0.70-0.95
Concrete	0.80-0.95
Brick	0.70-0.85
Drives and walks	0.70-0.85
Roofs	0.75-0.95
Lawns: Sandy Soil	
Flat, 2%	0.05-0.10
Average, 2-7%	0.10-0.15
Steep, 7%	0.15-0.20
Lawns: Heavy Soil	
Flat, 2%	0.13-0.17
Average, 2-7%	0.18-0.22
Steep, 7%	0.25-0.35

Choose the most appropriate runoff coefficient for your site or calculate a weighted-average coefficient, which

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takes into account different types of land use at your facility:

Weighted-average runoff coefficient =

$$(\text{Area 1 \% of total})(C1) + (\text{Area 2 \% of total})(C2) + (\text{Area 3 \% of total})(C3) + \dots + (\text{Area } i \% \text{ of total})(Ci)$$

where  $C_i$  = runoff coefficient for a specific land use of Area  $i$ .

### Section 6. Transfers of the EPCRA Section 313 Chemical in Wastes to Off-Site Locations

You must report in this section the total annual quantity of the EPCRA Section 313 chemical in wastes sent to any off-site facility for the purposes of disposal, treatment, energy recovery, or recycling. Report the total amount of the EPCRA Section 313 chemical transferred off-site after any on-site waste treatment, recycling, or removal is completed. Report zero for transfers of listed mineral acids if they have been neutralized to a pH of 6 or above prior to discharge to a Publicly Owned Treatment Works (POTW).

If you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, enter not applicable, NA, in the box for the POTW's name in Section 6.1.B. If you do not ship or transfer wastes containing the reported EPCRA Section 313 chemical to other off-site locations, enter not applicable, NA, in the box for the off-site location's EPA Identification Number in Section 6.2.

**Important:** You must number the boxes for reporting the information for each POTW or other off-site location in Sections 6.1 and 6.2. In the upper left hand corner of each box, the section number is either 6.1.B. or 6.2.

If you report a transfer of the listed EPCRA Section 313 chemical to one or more POTWs, number the boxes in Section 6.1.B as 6.1.B.1, 6.1.B.2, etc. If you transfer the EPCRA Section 313 chemical to more than two POTWs, photocopy page 3 of Form R as many times as necessary and then number the boxes consecutively for each POTW. At the bottom of Section 6 you will find instructions for indicating the total number of page 3s that you are submitting as part of Form R, as well as indicating the sequence of those pages. For example, your facility transfers the reported EPCRA Section 313 chemical in wastewaters to three POTWs. You would photocopy page 3 once, indicate at the bottom of each page 3 that there are a total of two page 3s and then indicate the first and second page 3. The boxes for the

two POTWs on the first page 3 would be numbered 6.1.B.1 and 6.1.B.2, while the box for third POTW on the second page 3 would be numbered 6.1.B.3.

If you report a transfer of the EPCRA Section 313 chemical to one or more other off-site locations, number the boxes in section 6.2 as 6.2.1, 6.2.2, etc. If you transfer the EPCRA Section 313 chemical to more than two other off-site locations, photocopy page 4 of Form R as many times as necessary and then number the boxes consecutively for each off-site location. At the bottom of page 4 you will find instructions for indicating the total number of page 4s that you are submitting as part of Form R as well as indicating the sequence of those pages. For example, your facility transfers the reported EPCRA Section 313 chemical to three other off-site locations. You would photocopy page 4 once, indicate at the bottom of Section 6.2 on each page 4 that there are a total of two page 4s and then indicate the first and second page 4. The boxes for the two off-site locations on the first page 4 would be numbered 6.2.1 and 6.2.2, while the box for the third off-site location on the second page 4 would be numbered 6.2.3.

#### 6.1 Discharges to Publicly Owned Treatment Works (POTWs)

In Section 6.1.A, estimate the quantity of the reported EPCRA Section 313 chemical transferred to all POTWs and the basis upon which the estimate was made. In Section 6.1.B., enter the name and address for each POTW to which your facility discharges wastewater containing the reported EPCRA Section 313 chemical.

If you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, enter not applicable, NA, in the box for the POTW's name in Section 6.1.B.

##### 6.1.A.1 Total Transfers

Enter the total amount, in pounds, of the reported EPCRA Section 313 chemical that is contained in the wastewaters transferred to all POTWs. Do not enter the total poundage of the wastewaters. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code. The following reporting range codes are to be used:

<u>Code</u>	<u>Reporting Range (in pounds)</u>
A	1-10
B	11-499
C	500-999

**Example 12: Stormwater Runoff**

Your facility is located in a semi-arid region of the United States that has an annual precipitation (including snowfall) of 12 inches of rain. (Snowfall should be converted to the equivalent inches of rain; assume one foot of snow is equivalent to one inch of rain.) The total area covered by your facility is 42 acres (about 170,000 square meters or 1,829,520 square feet). The area of your facility is 50 percent unimproved area, 10 percent asphaltic streets, and 40 percent concrete pavement.

The total stormwater runoff from your facility is therefore calculated as follows:

<u>Land Use</u>	<u>% Total Area</u>	<u>Runoff Coefficient</u>
Unimproved area	50	0.20
Asphaltic streets	10	0.85
Concrete pavement	40	0.90

$$\text{Weighted-average runoff coefficient} = (50\%) \times (0.20) + (10\%) \times (0.85) + (40\%) \times (0.90) = 0.545$$

$$(\text{Rainfall}) \times (\text{land area}) \times (\text{conversion factor}) \times (\text{runoff coefficient}) = \text{stormwater runoff}$$

$$(1 \text{ ft/year}) \times (1,829,520 \text{ ft}^2) \times (7.48 \text{ gal/ft}^3) \times (0.545) = 7,458,222 \text{ gallons/year}$$

$$\text{Total stormwater runoff} = 7,458,222 \text{ gallons/year}$$

Your stormwater monitoring data shows that the average concentration of zinc in the stormwater runoff from your facility from a biocide containing a zinc compound is 1.4 milligrams per liter. The total amount of zinc discharged to surface water through the plant wastewater discharge (non-stormwater) is 250 pounds per year. The total amount of zinc discharged with stormwater is:

$$(7,458,222 \text{ gallons stormwater}) \times (3.785 \text{ liters/gallon}) = 28,229,370 \text{ liters stormwater}$$

$$(28,229,370 \text{ liters stormwater}) \times (1.4 \text{ mg zinc/liter}) \times 10^{-3} \text{ g/mg} \times (1/454) \text{ lb/g} = 87 \text{ lb zinc}$$

The total amount of zinc discharged from all sources of your facility is:

$$\begin{array}{r} 250 \text{ pounds zinc from wastewater discharged} \\ + 87 \text{ pounds zinc from stormwater runoff} \\ \hline 337 \text{ pounds zinc total water discharged} \end{array}$$

The percentage of zinc discharge through stormwater reported in section 5.3 column C on Form is:

$$87/337 \times 100 = 26\%$$

**6.1.A.2 Basis of Estimate**

You must identify the basis for your estimate of the total quantity of the reported EPCRA Section 313 chemical in the wastewater transferred to all POTWs. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

M- Estimate is based on monitoring data or measurements for the EPCRA Section 313 chemical as transferred to an off-site facility.

C- Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.

E- Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).

O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best

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engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

If you transfer an EPCRA Section 313 chemical to more than one POTW, you should report the basis of estimate that was used to determine the largest percentage of the EPCRA Section 313 chemical that was transferred.

### Example 13: Reporting Metals and Metal Compounds that are Sent Off Site

A facility manufactures a product containing elemental lead. Various metal fabrication operations for the process produce a wastewater stream that contains some residual lead and off-specification lead material. The wastewater is collected and sent directly to a POTW. Periodic monitoring data show that 500 pounds of lead were transferred to the POTW in the reporting year. The off-specification products (containing lead) are collected and sent off site to a landfill. Sampling analyses of the product combined with hazardous waste manifests were used to determine that 1,200 pounds of lead in the off-spec product were sent to the off-site landfill.

Therefore, the facility should report 500 pounds in Section 6.1, 1200 pounds in Section 6.2 - M72 and 1,700 pounds in Section 8.1 - Quantity Released Off Site.

Note that for EPCRA Section 313 chemicals that are not metals or metal compounds, the quantity sent to POTWs and to other off-site treatment locations should be reported in Section 8.7 - Quantity Treated Off Site.

## 6.2 Transfers to Other Off-Site Locations

In Section 6.2 enter the EPA Identification Number, name, and address for each off-site location to which your facility ships or transfers wastes containing the reported EPCRA Section 313 chemical for the purposes of disposal, treatment, energy recovery, or recycling. Also estimate the quantity of the reported EPCRA Section 313 chemical transferred and the basis upon which the estimate was made. This would include any residual chemicals in "empty" containers transferred off site. EPA expects that all containers (bags, totes, drums, tank trucks, etc.) will have a small amount of residual solids

and/or liquids. Please see following summary of residue quantities left in drums and tanks when emptied.

If appropriate, you must report multiple activities for each off-site location. For example, if your facility sends a reported EPCRA Section 313 chemical in waste to an off-site location where some of the EPCRA Section 313 chemical is to be recycled while the remainder of the quantity transferred is to be treated, you must report both the waste treatment and recycle activities, along with the quantity associated with each activity.

If your facility transfers a reported EPCRA Section 313 chemical to an off-site location and that off-site location performs more than four activities on that chemical, provide the necessary information in Box 6.2.1 for the off-site facility and the first four activities. Provide the information on the remainder of the activities in Box 6.2.2 and provide again the off-site facility identification and location information.

If you do not ship or transfer wastes containing the reported EPCRA Section 313 chemical to other off-site locations, enter not applicable, NA, in the box for the off-site locations's EPA Identification Number (defined in 40 CFR 260.10 and therefore commonly referred to as the RCRA ID Number). This number may be found on the Uniform Hazardous Waste Manifest, which is required by RCRA regulations. If you ship or transfer wastes containing an EPCRA Section 313 chemical and the off-site location does not have an EPA Identification Number (e.g., it does not accept RCRA hazardous wastes or the wastes in question are not classified as hazardous), enter NA in the box for the off-site location EPA Identification Number. If you ship or transfer the reported EPCRA Section 313 chemical in wastes to another country, enter the Federal Information Processing Standards (FIPS) code for that country in the country field of the address for the off-site facility. The most commonly used FIPS codes are listed below. To obtain a FIPS code for a country not listed here, contact the EPCRA Hotline.

The following is an abridged list of countries to which a U.S. facility might ship an EPCRA Section 313 chemical.

<u>Country</u>	<u>Code</u>
Argentina	AR
Belgium	BE
Bolivia	BL
Brazil	BR
Canada	CA
Chile	CI
Columbia	CO
Costa Rica	CS

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Cuba	CU	Panama	PM
Ecuador	EC	Paraguay	PA
El Salvador	ES	Peru	PE
France	FR	Portugal	PO
Guatemala	GT	Spain	SP
Honduras	HO	Switzerland	SZ
Ireland	EI	United Kingdom	UK
Italy	IT	Uruguay	UY
Mexico	MX	Venezuela	VE
Nicaragua	NU		

Summary of Residue Quantities From Pilot-Scale Experimental Study<sup>a,b</sup>  
(weight percent of drum capacity)

Unloading Method	Vessel Type	Value	Material			
			Kerosene <sup>c</sup>	Water <sup>d</sup>	Motor Oil <sup>e</sup>	Surfactant Solution <sup>f</sup>
Pumping	Steel drum	Range	1.93 - 3.08	1.84 - 2.61	1.97 - 2.23	3.06
		Mean	2.48	2.29	2.06	3.06
Pumping	Plastic drum	Range	1.69 - 4.08	2.54 - 4.67	1.70 - 3.48	Not Available
		Mean	2.61	3.28	2.30	
Pouring	Bung-top steel drum	Range	0.244 - 0.472	0.266 - 0.458	0.677 - 0.787	0.485
		Mean	0.404	0.403	0.737	0.485
Pouring	Open-top steel drum	Range	0.032 - 0.080	0.026 - 0.039	0.328 - 0.368	0.089
		Mean	0.054	0.034	0.350	0.089
Gravity Drain	Slope-bottom steel tank	Range	0.020 - 0.039	0.016 - 0.024	0.100 - 0.121	0.048
		Mean	0.033	0.019	0.111	0.048
Gravity Drain	Dish-bottom steel tank	Range	0.031 - 0.042	0.033 - 0.034	0.133 - 0.191	0.058
		Mean	0.038	0.034	0.161	0.058
Gravity Drain	Dish-bottom glass-lined tank	Range	0.024 - 0.049	0.020 - 0.040	0.112 - 0.134	0.040
		Mean	0.040	0.033	0.127	0.040

<sup>a</sup>From "Releases During Cleaning of Equipment." Prepared by PEI Associates, Inc., for the U.S. Environmental Protection Agency, Office of Pesticides and Toxic Substances, Washington DC, Contract No. 68-02-4248. June 30, 1986.

<sup>b</sup>The values listed in this table should only be applied to similar vessel types, unloading methods, and bulk fluid materials. At viscosities greater than 200 centipoise, the residue quantities can rise dramatically and the information on this table is not applicable.

<sup>c</sup>For kerosene, viscosity = 5 centipoise, surface tension = 29.3 dynes/cm<sup>2</sup>

<sup>d</sup>For water, viscosity = 4 centipoise, surface tension = 77.3 dynes/cm<sup>2</sup>

<sup>e</sup>For motor oil, viscosity = 94 centipoise, surface tension = 34.5 dynes/cm<sup>2</sup>

<sup>f</sup>For surfactant solution, viscosity = 3 centipoise, surface tension = 31.4 dynes/cm<sup>2</sup>

### Example 14: Container Residue

You have determined that a Form R for an EPCRA Section 313 chemical must be submitted. The facility purchases and uses one thousand 55-gallon steel drums that contain a 10% solution of the chemical. Further, it is assumed that the physical properties of the solution are similar to water. The solution is pumped from the drums directly into a mixing vessel and the "empty" drums are triple-rinsed with water. The rinse water is indirectly discharged to a POTW and the cleaned drums are sent to a drum reclaimer.

In this example, it can be assumed that all of the residual solution in the drums was transferred to the rinse water. Therefore, the quantity transferred to the drum reclaimer should be reported as "zero." The annual quantity of residual solution that is transferred to the rinse water can be estimated by multiplying the mean weight percent of residual solution remaining in water from pumping a steel drum by the total annual weight of solution in the drum (density of solution multiplied by drum volume). If the density is not known, it may be appropriate to use the density of water (8.34 pounds per gallon):

$$(2.29\%) \times (8.34 \text{ pounds/gallon}) \times (55 \text{ gallons/drum}) \times (1,000 \text{ drums}) \\ = 10,504 \text{ pounds solution}$$

The concentration of the EPCRA Section 313 chemical in the solution is only 10 percent.

$$(10,504 \text{ pounds solution}) \times (10\%) = 1,050 \text{ pounds}$$

Therefore, 1,050 pounds of the chemical are transferred to the POTW.

## 6.2 Column A: Total Transfers

For each off-site location, enter the total amount, in pounds, of the EPCRA Section 313 chemical that is contained in the waste transferred to that location. Do not enter the total poundage of the waste. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code. The following reporting range codes are to be used:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

If you transfer the EPCRA Section 313 chemical in wastes to an off-site facility for distinct and multiple purposes, you must report those activities for each off-site location, along with the quantity of the reported EPCRA Section 313 chemical associated with each activity. For example, your facility transfers a total of 15,000 pounds of toluene to an off-site location that will use 5,000 pounds for the purposes of energy recovery, enter 7,500 pounds into a recovery process, and dispose of the remaining 2,500 pounds. These quantities and the associated activity codes must be reported separately in Section 6.2. (See Figure 4 for a hypothetical Section 6.2 completed for two off-site location, one of which receives the transfer of 15,000 pounds of toluene as detailed.) If you need to report more than four off-site transfers (involving

different waste management) to one location, continue reporting of these transfers by listing the same location in the next off-site location section.

Do not double or multiple count amounts transferred off-site. For example, when a reported EPCRA Section 313 chemical is sent to an off-site facility for sequential activities and the specific quantities associated with each activity are unknown, report only a single quantity (the total quantity transferred to that off-site location) along with a single activity code. In such a case, report the activity applied to the majority of the reported EPCRA Section 313 chemical sent off site, not the ultimate disposition of the EPCRA Section 313 chemical. For example, when an EPCRA Section 313 chemical is first recovered and then treated with the majority of the EPCRA Section 313 chemical being recovered and only a fraction subsequently treated, report the appropriate recycling activity along with the quantity.

## 6.2 Column B: Basis of Estimate

You must identify the basis for your estimates of the quantities of the reported EPCRA Section 313 chemical in waste transferred to each off-site location. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

**Figure 4**  
**Hypothetical Section 6.2 Completed for Two Off-Site Locations**

SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATION					
<b>6.2.1</b>		Off-Site EPA Identification Number (RCRA No.) COD566162461			
Off-Site Location Name		Acme Waste Services			
Street Address		5 Market Street			
City		Releaseville		County Hill	
State CO		Zip Code 80461		Is location under control of reporting facility or parent company <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
A. Total Transfers (pounds/year) (enter range code or estimate)		B. Basis of Estimate (enter code)		C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)	
1. 5,000		1. O		1. M <sup>56</sup>	
2. 7,500		2. C		2. M <sup>20</sup>	
3. 2,500		3. O		3. M <sup>72</sup>	
4. NA		4.		4. M	

This off-site location receives a transfer of 15,000 pounds of toluene (as discussed earlier) and will combust 5,000 pounds for the purposes of energy recovery, enter 7,500 pounds into a recovery process, and dispose of the remaining 2,500 pounds.

SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATION					
<b>6.2.2</b>		Off-Site EPA Identification Number (RCRA No.) COD167725432			
Off-Site Location Name		Combustion, Inc.			
Street Address		25 Facility Road			
City		Dumfry		County Burns	
State CO		Zip Code 80500		Is location under control of reporting facility or parent company <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
A. Total Transfers (pounds/year) (enter range code or estimate)		B. Basis of Estimate (enter code)		C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (enter code)	
1. 12,500		1. O		1. M <sup>54</sup>	
2. NA		2.		2. M	
3.		3.		3. M	
4.		4.		4. M	

This off-site location receives a transfer of 12,500 pounds of tetrachloroethylene (perchloroethylene) that is part of a waste that is combusted for the purposes of energy recovery in an industrial furnace. Note that the perchloroethylene is reported using code M54 to indicate that it is combusted in an energy recovery unit but it does not contribute to the heating value of the waste.

## Instructions for Completing Part II of EPA Form R

- M- Estimate is based on monitoring data or measurements for the EPCRA Section 313 chemical as transferred to an off-site facility.
- C- Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E- Estimate is based on published emission factors, such as those relating release quantity to throughput or equipment type (e.g., air emission factors).
- O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

### 6.2 Column C: Type of Waste Management: Disposal/Treatment/Energy Recovery/Recycling

Enter one of the following M codes to identify the type of disposal, treatment, energy recovery, or recycling methods used by the off-site location for the reported EPCRA Section 313 chemical. You must use more than one line and code for a single location when distinct quantities of the reported EPCRA Section 313 chemical are subject to different waste management activities, including disposal, treatment, energy recovery, or recycling. You should use the code that, to the best of your knowledge, represents the ultimate disposition of the chemical.

If the EPCRA Section 313 chemical is sent off site for further direct reuse (e.g., an EPCRA Section 313 chemical in used solvent that will be used as lubricant at another facility) and does not undergo a waste management activity (i.e., release [including disposal], treatment, energy recovery, or recycling [recovery]) prior to that reuse, it need not be reported in section 6.2 or section 8.

#### Incineration vs. Energy Recovery

You must distinguish between incineration, which is waste treatment, and legitimate energy recovery. For you to claim that a reported EPCRA Section 313 chemical sent off-site is used for the purposes of energy recovery and not for waste treatment, the EPCRA Section 313 chemical must have a significant heating value and must be combusted in an energy recovery unit such as an

industrial boiler, furnace, or kiln. In a situation where the reported EPCRA Section 313 chemical is in a waste that is combusted in an energy recovery unit, but the EPCRA Section 313 chemical does not have a significant heating value, e.g., metals CFCs, use code M54, Incineration/Insignificant Fuel Value, to indicate that the EPCRA Section 313 chemical was incinerated in an energy recovery unit but did not contribute to the heating value of the waste.

#### Metals and Metal Compounds

Metals and metal compounds will be managed in waste either by being released (including disposed) or by being recycled. Remember that the release and other waste management information that you report for metal compounds will be the total amount of the parent metal released or recycled and NOT the whole metal compound. The metal has no heat value and thus cannot be combusted for energy recovery and cannot be treated because it cannot be destroyed. Thus, transfers of metals and metal compounds for further waste management should be reported as either a transfer for recycling or a transfer for disposal. The applicable waste management codes for transfers of metals and metal compounds for recycling are M24, metals recovery, M93, waste broker-recycling, or M26, other reuse/recovery. Applicable codes for transfers for disposal include M10, M41, M62, M71, M72, M73, M79, M90, M94, and M99. These codes are for off-site transfers for further waste management in which the wastestream may be treated but the metal contained in the wastestream is not treated and is ultimately released. For example, M41 would be used for a metal or metal compound that is stabilized in preparation for disposal.

Applicable codes for Part II, Section 6.2, column C are:

#### Disposal

M10	Storage Only
M41	Solidification/Stabilization-Metals and Metal Compounds only
M62	Wastewater Treatment (Excluding POTW)-Metals and Metal Compounds only
M71	Underground Injection
M72	Landfill/Disposal Surface Impoundment
M73	Land Treatment
M79	Other Land Disposal
M90	Other Off-Site Management
M94	Transfer to Waste Broker-Disposal
M99	Unknown

**Example 15: Calculating Releases and Other Waste Management Quantities**

Your facility disposes of 14,000 pounds of lead chromate ( $\text{PbCrO}_4 \cdot \text{PbO}$ ) in an on-site landfill and transfers 16,000 pounds of lead selenite ( $\text{PbSeO}_3$ ) to an off-site land disposal facility. You would therefore be submitting three separate reports on the following: lead compounds, selenium compounds, and chromium compounds. However, the quantities you would be reporting would be the pounds of "parent" metal being released on site or transferred off site for further waste management. All quantities are based on mass balance calculations (See Section 5, Column B for information on Basis of Estimate and Section 6.2, Column C for waste management codes and information on transfers of EPCRA Section 313 chemicals in wastes). You would calculate releases of lead, chromium, and selenium by first determining the percentage by weight of these metals in the materials you use as follows:

**Lead Chromate ( $\text{PbCrO}_4 \cdot \text{PbO}$ )**

Lead (2 Pb atoms)

Chromium (1 Cr atom)

Molecular weight = 546.37

Atomic weight =  $207.2 \times 2 = 414.4$ 

Atomic weight = 51.996

Lead chromate is therefore (% by weight)

$$(414.4/546.37) = 75.85\% \text{ lead and}$$

$$(51.996/546.37) = 9.52\% \text{ chromium}$$

**Lead Selenite ( $\text{PbSeO}_3$ )**

Lead (1 Pb atom)

Selenium (1 Se atom)

Molecular weight = 350.17

Atomic weight = 207.2

Atomic weight = 78.96

Lead selenite is therefore (% by weight)

$$(207.2/350.17) = 59.17\% \text{ lead and}$$

$$(78.96/350.17) = 22.55\% \text{ selenium.}$$

The total pounds of lead, chromium, and selenium disposed on or off site from your facility are as follows:

**Lead**

Disposal on site:  $0.7585 \times 14,000 = 10,619$  pounds from lead chromate

Transfer off site for disposal:  $0.5917 \times 16,000 = 9,467$  pounds from lead selenite

**Chromium**

Disposal on site:  $0.0952 \times 14,000 = 1,333$  pounds from lead chromate

**Selenium**

Transfer off site for disposal:  $0.2255 \times 16,000 = 3,608$  pounds from lead selenite

**Treatment**

M40 Solidification/Stabilization  
 M50 Incineration/Thermal Treatment  
 M54 Incineration/Insignificant Fuel Value  
 M61 Wastewater Treatment (Excluding POTW)  
 M69 Other Waste Treatment  
 M95 Transfer to Waste Broker-Waste Treatment

**Energy Recovery**

M56 Energy Recovery  
 M92 Transfer to Waste Broker-Energy Recovery

**Recycling**

M20 Solvents/Organics Recovery  
 M24 Metals Recovery

M26 Other Reuse or Recovery  
M28 Acid Regeneration  
M93 Transfer to Waste Broker-Recycling

## Section 7. On-Site Waste Treatment, Energy Recovery, and Recycling Methods

You must report in this section the methods of waste treatment, energy recovery, and recycling applied to the reported EPCRA Section 313 chemical in wastes on site. There are three separate sections for reporting such activities.

### Section 7A On-Site Waste Treatment Methods and Efficiency

Most of the chemical-specific information required by EPCRA Section 313 that is reported on Form R is specific to the EPCRA Section 313 chemical rather than the waste stream containing the EPCRA Section 313 chemical. However, EPCRA Section 313 does require that waste treatment methods applied on-site to waste streams that contain the EPCRA Section 313 chemical be reported. This information is collected in Section 7A of Form R.

In Section 7A, you must provide the following information if you treat the reported EPCRA Section 313 chemical on-site:

- The general waste stream types containing the EPCRA Section 313 chemical being reported;
- The waste treatment method(s) or sequence used on all waste streams containing the EPCRA Section 313 chemical;
- The range of concentration of the EPCRA Section 313 chemicals in the influent to the waste treatment method;
- The efficiency of each waste treatment method or waste treatment sequence in destroying or removing the EPCRA Section 313 chemical; and
- Whether the waste treatment efficiency figure was based on actual operating data.

Use a separate line in Section 7A for each general waste stream type. Report only information about treatment of waste streams at your facility, not information about off-site waste treatment.

If you do not perform on-site treatment of waste streams containing the reported EPCRA Section 313 chemical, check the Not Applicable (NA) box at the top of Section 7A.

### 7A Column A: General Waste Stream

For each waste treatment method, indicate the type of waste stream containing the EPCRA Section 313 chemical that is treated. Enter the letter code that corresponds to the general waste stream type:

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)
- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

If a waste is a mixture of water and organic liquid and the organic content is less than 50 percent, report it as a wastewater (W). Slurries and sludges containing water must be reported as solid waste if they contain appreciable amounts of dissolved solids, or solids that may settle, such that the viscosity or density of the waste is considerably different from that of process wastewater.

### 7A Column B: Waste Treatment Method(s) Sequence

Enter the appropriate waste treatment code from the list below for each on-site waste treatment method used on a waste stream containing the EPCRA Section 313 chemical, regardless of whether the waste treatment method actually removes the specific EPCRA Section 313 chemical being reported. Waste treatment methods must be reported for each type of waste stream being treated (i.e., gaseous waste streams, aqueous waste streams, liquid non-aqueous waste streams, and solids). Except for the air emission treatment codes, the waste treatment codes are not restricted to any medium.

Waste streams containing the EPCRA Section 313 chemical may have a single source or may be aggregates of many sources. For example, process water from several pieces of equipment at your facility may be combined prior to waste treatment. Report waste treatment methods that apply to the aggregate waste stream, as well as waste treatment methods that apply to individual waste streams. If your facility treats various wastewater streams containing the EPCRA Section 313 chemical in different ways, the different waste treatment methods must be listed separately.

If your facility has several pieces of equipment performing a similar service in a waste treatment sequence, you may combine the reporting for such equipment. It is not necessary to enter four codes to cover four scrubber units, for example, if all four are treating waste streams of similar character (e.g., sulfuric

acid mist emissions), have similar influent concentrations, and have similar removal efficiencies. If, however, any of these parameters differs from one unit to the next, each scrubber must be listed separately.

If your facility performs more than eight sequential waste treatment methods on a single general waste stream, continue listing the methods in the next row and renumber appropriately those waste treatment method code boxes you used to continue the sequence. For example, if the general waste stream in box 7A.1a had nine treatment methods applied to it, the ninth method would be indicated in the first method box for row 7A.2a. The numeral "1" would be crossed out, and a "9" would be inserted.

Treatment applied to any other general waste stream types would then be listed in the next empty row. In the scenario above, for instance, the second general waste stream would be reported in row 7A.3a. See Figure 5 for an example of a hypothetical Section 7A completed for a nine-step waste treatment process and a single waste treatment method.

If you need additional space to report under Section 7A, photocopy page 4 of Form R as many times as necessary. At the bottom of page 4 you will find instructions for indicating the total number of page 4s that you are submitting as part of Form R, as well as instructions for indicating the sequence of those pages.

#### Waste Treatment Codes

#### Air Emissions Treatment (applicable to gaseous waste streams only)

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment

#### Biological Treatment

- B11 Aerobic
- B21 Anaerobic
- B31 Facultative
- B99 Other Biological Treatment

#### Chemical Treatment

- C01 Chemical Precipitation — Lime or Sodium Hydroxide
- C02 Chemical Precipitation — Sulfide
- C09 Chemical Precipitation — Other
- C11 Neutralization
- C21 Chromium Reduction
- C31 Complexed Metals Treatment (other than pH adjustment)
- C41 Cyanide Oxidation — Alkaline Chlorination
- C42 Cyanide Oxidation — Electrochemical
- C43 Cyanide Oxidation — Other
- C44 General Oxidation (including Disinfection) — Chlorination
- C45 General Oxidation (including Disinfection) — Ozonation
- C46 General Oxidation (including Disinfection) — Other
- C99 Other Chemical Treatment

#### Incineration/Thermal Treatment

- F01 Liquid Injection
- F11 Rotary Kiln with Liquid Injection Unit
- F19 Other Rotary Kiln
- F31 Two Stage
- F41 Fixed Hearth
- F42 Multiple Hearth
- F51 Fluidized Bed
- F61 Infra-Red
- F71 Fume/Vapor
- F81 Pyrolytic Destructor
- F82 Wet Air Oxidation
- F83 Thermal Drying/Dewatering
- F99 Other Incineration/Thermal Treatment

#### Physical Treatment

- P01 Equalization
- P09 Other Blending
- P11 Settling/Clarification
- P12 Filtration
- P13 Sludge Dewatering (non-thermal)
- P14 Air Flotation
- P15 Oil Skimming
- P16 Emulsion Breaking — Thermal
- P17 Emulsion Breaking — Chemical
- P18 Emulsion Breaking — Other
- P19 Other Liquid Phase Separation
- P21 Adsorption — Carbon
- P22 Adsorption — Ion Exchange (other than for recovery/reuse)

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- P23 Adsorption — Resin  
P29 Adsorption — Other  
P31 Reverse Osmosis (other than for recovery/reuse)  
P41 Stripping — Air  
P42 Stripping — Steam  
P49 Stripping — Other  
P51 Acid Leaching (other than for recovery/reuse)  
P61 Solvent Extraction (other than recovery/reuse)  
P99 Other Physical Treatment

### Solidification/Stabilization

- G01 Cement Processes (including silicates)  
G09 Other Pozzolonic Processes (including silicates)  
G11 Asphaltic Processes  
G21 Thermoplastic Techniques  
G99 Other Solidification Processes

### 7A Column C: Range of Influent Concentration

The form requires an indication of the range of concentration of the EPCRA Section 313 chemical in the waste stream (i.e., the influent) as it typically enters the waste treatment step or sequence. The concentration is based on the amount or mass of the EPCRA Section 313 chemical in the waste stream as compared to the total amount or mass of the waste stream. Enter in the space provided one of the following code numbers corresponding to the concentration of the EPCRA Section 313 chemical in the influent:

- 1 = Greater than 10,000 parts per million (1 percent)  
2 = 100 parts per million (0.01 percent) to 10,000 parts per million (1 percent)  
3 = 1 part per million (0.0001 percent) to 100 parts per million (0.01 percent)  
4 = 1 part per billion to 1 part per million  
5 = Less than 1 part per billion

Note: Parts per million (ppm) is:

- milligrams/kilogram (mass/mass) for solids and liquids;
- cubic centimeters/cubic meter (volume/volume) for gases;
- milligrams/liter for solutions or dispersions of the chemical in water; and
- milligrams of chemical/kilogram of air for particulates in air.

If you have particulate concentrations (at standard temperature and pressure) as grains/cubic foot of air, multiply by 1766.6 to convert to parts per million; if in

milligrams/cubic meter, multiply by 0.773 to obtain parts per million. These conversion factors are for standard conditions of 0° C (32° F) and 760 mm Hg atmospheric pressure.

### 7A Column D: Waste Treatment Efficiency Estimate

In the space provided, enter the number indicating the percentage of the EPCRA Section 313 chemical removed from the waste stream through destruction, biological degradation, chemical conversion, or physical removal. The waste treatment efficiency (expressed as percent removal) represents the percentage of the EPCRA Section 313 chemical destroyed or removed (based on amount or mass), not merely changes in volume or concentration of the EPCRA Section 313 chemical in the waste stream. The efficiency, which can reflect the overall removal from sequential treatment methods applied to the general waste stream, refers only to the percent destruction, degradation, conversion, or removal of the EPCRA Section 313 chemical from the waste stream, not the percent conversion or removal of other constituents in the waste stream. The efficiency also does not refer to the general efficiency of the treatment method for any waste stream. For some waste treatment methods, the percent removal will represent removal by several mechanisms, as in an aeration basin, where an EPCRA Section 313 chemical may evaporate, biodegrade, or be physically removed from the sludge.

Percent removal can be calculated as follows:

$$\frac{(I - E)}{I} \times 100, \text{ where}$$

I = amount of the EPCRA Section 313 chemical in the influent waste stream (entering the waste treatment step or sequence) and

E = amount of the EPCRA Section 313 chemical in the effluent waste stream (exiting the waste treatment step or sequence).

Calculate the amount of the EPCRA Section 313 chemical in the influent waste stream by multiplying the concentration (by weight) of the EPCRA Section 313 chemical in the waste stream by the total amount or weight of the waste stream. In most cases, the percent removal compares the treated effluent to the influent for the particular type of waste stream. For solidification of wastewater, the waste treatment efficiency can be reported as 100 percent if no volatile EPCRA Section 313 chemicals were removed with the water or evaporated into the air. Percent removal does not apply to incineration because the waste stream, such as wastewater or liquids, may not exist in a comparable

form after waste treatment and the purpose of incineration as a waste treatment is to destroy the EPCRA Section 313 chemical by converting it to carbon dioxide and water or other byproducts. In cases where the EPCRA Section 313 chemical is incinerated, the percent efficiency must be based on the amount of the EPCRA Section 313 chemical destroyed or combusted, except for metals or metal compounds. In the cases in which a metal or metal compound is incinerated, the efficiency is always zero for the parent metal.

Similarly, an efficiency of zero must be reported for any waste treatment method(s) (e.g., evaporation) that does not destroy, chemically convert or physically remove the EPCRA Section 313 chemical from the waste stream.

For metal compounds, the calculation of the reportable concentration and waste treatment efficiency must be based on the weight of the parent metal, not on the weight of the metal compound. Metals are not destroyed, only physically removed or chemically converted from one form into another. The waste treatment efficiency reported must represent only physical removal of the parent metal from the waste stream (except for incineration), not the percent chemical conversion of the metal compound. If a listed waste treatment method converts but does not remove a metal (e.g., chromium reduction), the method must be reported with a waste treatment efficiency of zero.

EPCRA Section 313 chemicals that are strong mineral acids neutralized to a pH of 6 or above are considered treated at a 100 percent efficiency.

All data readily available at your facility must be used to calculate waste treatment efficiency and influent EPCRA Section 313 chemical concentration. If data are lacking, estimates must be made using best engineering judgment or other methods.

#### **7A Column E: Based on Operating Data?**

This column requires you to indicate "Yes" or "No" to whether the waste treatment efficiency estimate is based

on actual operating data. For example, you would check "Yes" if the estimate is based on monitoring of influent and effluent wastes under typical operating conditions.

If the efficiency estimate is based on published data for similar processes or on equipment supplier's literature, or if you otherwise estimated either the influent or effluent waste comparison or the flow rate, check "No."

#### **Section 7B On-Site Energy Recovery Processes**

In Section 7B, you must indicate the on-site energy recovery methods used on the reported EPCRA Section 313 chemical. If you do not perform on-site energy recovery for the reported EPCRA Section 313 chemical, check the Not Applicable (NA) box at the top of Section 7B.

Only EPCRA Section 313 chemicals that have a significant heating value and are combusted in an energy recovery unit such as an industrial furnace, kiln, or boiler, can be reported as combusted for energy recovery in this section. If a reported EPCRA Section 313 chemical is incinerated on site but does not contribute energy to the process (e.g., chlorofluorocarbons), it must be considered waste treated on site and reported in Section 7A. Metals and metal compounds cannot be combusted for energy recovery and should NOT be reported in this section. Energy recovery may take place only in an industrial kiln, furnace, or boiler.

#### **Energy Recovery Codes**

- U01 Industrial Kiln
- U02 Industrial Furnace
- U03 Industrial Boiler
- U09 Other Energy Recovery Methods

If your facility uses more than one on-site energy recovery method for the reported EPCRA Section 313 chemical, list the methods used in descending order (greatest to least) based on the amount of the EPCRA Section 313 chemical entering such methods.

**Example 16: On-Site Waste Treatment**

A process at the facility generates a wastewater stream containing an EPCRA Section 313 chemical (chemical A). A second process generates a wastewater stream containing two EPCRA Section 313 chemicals, a metal (chemical B) and a mineral acid (chemical C). Thresholds for all three chemicals have been exceeded and you are in the process of completing separate Form Rs for each chemical.

These two wastewater streams are combined and sent to an on-site wastewater treatment system before being discharged to a POTW. This system consists of an oil/water separator that removes 99% of chemical A; a neutralization tank in which the pH is adjusted to 7.5, thereby destroying 100% of the mineral acid (chemical C); and a settling tank where 95% of the metal (chemical B) is removed from the water (and eventually landfilled off site).

Section 7A should be completed slightly differently when you file the Form R for each of the chemicals. The table accompanying this example shows how Section 7A should be completed for each chemical. First, on each Form R you should identify the type of waste stream in Section 7A.1a as wastewater (aqueous waste, code W). Next, on each Form R you should list the code for each of the treatment steps that is applied to the entire waste stream, regardless of whether the operation affects the chemical for which you are completing the Form R (for instance, the first four blocks of Section 7A.1b of all three Form Rs should show: P19 (liquid phase separation), C11 (neutralization), P11 (settling/clarification), and N/A (to signify the end of the treatment system). Note that Section 7A.1b is the only section of the Form R that is not chemical specific. It applies to the entire waste stream being treated. Section 7A.1c of each Form R should show the concentration of the specific chemical in the influent to the first step of the process (oil/water separation). For this example, assume chemicals A, B, and C are all present at concentrations greater than 1%. Therefore, code "1" should be entered. Section 7A.1d is also chemical specific. It applies to the efficiency of the entire system in destroying and/or removing the chemical for which you are preparing the Form R. You should enter 99% when filing for chemical A, 95% for chemical B, and 100% for chemical C. Finally, you should report whether the influent concentration and efficiency estimates are based on operating data for each chemical, as appropriate.

**Chemical A**

7A.1a	7A.1b	1. <u>P19</u>	2. <u>C11</u>	7A.1c	7A.1d	7A.1e	
<u>W</u>	3. <u>P11</u>	4. <u>N/A</u>	5. _____	<u>1</u>	<u>99</u> %	Yes	No
	6. _____	7. _____	8. _____			<u>X</u>	_____

**Chemical B**

7A.1a	7A.1b	1. <u>P19</u>	2. <u>C11</u>	7A.1c	7A.1d	7A.1e	
<u>W</u>	3. <u>P11</u>	4. <u>N/A</u>	5. _____	<u>1</u>	<u>95</u> %	Yes	No
	6. _____	7. _____	8. _____			<u>X</u>	_____

**Chemical C**

7A.1a	7A.1b	1. <u>P19</u>	2. <u>C11</u>	7A.1c	7A.1d	7A.1e	
<u>W</u>	3. <u>P11</u>	4. <u>N/A</u>	5. _____	<u>1</u>	<u>100</u> %	Yes	No
	6. _____	7. _____	8. _____			<u>X</u>	_____

Note that the quantity removed and/or destroyed is not reported in Section 7 and that the efficiency reported in Section 7A.1d refers to the amount of EPCRA Section 313 chemical destroyed and/or removed from the applicable waste stream. The amount actually destroyed should be reported in Section 8.6 (quantity treated on site). For example, when completing the Form R for chemical B you should report "0" pounds in Section 8.6 because the metal has been removed from the wastewater stream, but not actually destroyed. The quantity of chemical B that is ultimately landfilled off site should be reported in Sections 6.2 and 8.1. However, when completing the Form R for chemical C you should report the entire quantity in Section 8.6 because raising the pH to 7.5 will completely destroy the mineral acid.

#### Example 17: Reporting On-Site Energy Recovery

One waste stream generated by your facility contains, among other chemicals, toluene and Freon 113. Threshold quantities are exceeded for both of these EPCRA Section 313 chemicals, and you would, therefore, submit two separate Form R reports. This waste stream is sent to an on-site industrial furnace that uses the heat generated in a thermal hydrocarbon cracking process at your facility. Because toluene has a significant heat value (17,440 BTU/pound) and the energy is recovered in an industrial furnace, the code "U02" would be reported in Section 7B for the Form R submitted for toluene.

However, as Freon 113 does not contribute any value for energy recovery purposes, the combustion of Freon 113 in the industrial furnace is considered waste treatment, not energy recovery. You would report Freon 113 as entering a waste treatment step (i.e., incineration), in Section 7A, column b.

### Section 7C On-Site Recycling Processes

In Section 7C, you must report the recycling methods used on the EPCRA Section 313 chemical. If you do not conduct any on-site recycling of the reported EPCRA Section 313 chemical, check the Not Applicable (NA) box at the top of Section 7C.

In this section, use the codes below to report only the recycling methods in place at your facility that are applied to the EPCRA Section 313 chemical. Do not list any off-site recycling activities (Information about off-site recycling must be reported in Part II, Section 6, "Transfers of the Toxic Chemical in Wastes to Off-Site Locations.")

#### On-Site Recycling Codes

R11 Solvents/Organics Recovery — Batch Still Distillation

R12 Solvents/Organics Recovery — Thin-Film Evaporation  
 R13 Solvents/Organics Recovery — Fractionation  
 R14 Solvents/Organics Recovery — Solvent Extraction  
 R19 Solvents/Organics Recovery — Other  
 R21 Metals Recovery — Electrolytic  
 R22 Metals Recovery — Ion Exchange  
 R23 Metals Recovery — Acid Leaching  
 R24 Metals Recovery — Reverse Osmosis  
 R26 Metals Recovery — Solvent Extraction  
 R27 Metals Recovery — High Temperature  
 R28 Metals Recovery — Retorting  
 R29 Metals Recovery — Secondary Smelting  
 R30 Metals Recovery — Other  
 R40 Acid Regeneration  
 R99 Other Reuse or Recovery

If your facility uses more than one on-site recycling method for an EPCRA Section 313 chemical, enter the codes in the space provided in descending order (greatest to least) of the volume of the reported EPCRA Section 313 chemical recovered by each process. If your facility uses more than ten separate methods for recycling the reported EPCRA Section 313 chemical on site, then list the ten activities that recover the greatest amount of the EPCRA Section 313 chemical (again, in descending order).

### Section 8. Source Reduction and Recycling Activities

This section includes the data elements mandated by section 6607 of the Pollution Prevention Act of 1990 (PPA). Section 8 is a required section of Form R and must be completed.

In Section 8, you must provide information about source reduction activities and quantities of the EPCRA Section 313 chemicals managed as waste. For all appropriate questions, report only the quantity, in pounds, of the reported EPCRA Section 313 chemical itself. Do not include the weight of water, soil, or other waste constituents. When reporting on the metal compound

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categories, report only the amount of the parent metal as you do when estimating release amounts.

Sections 8.1 through 8.9 must be completed for each EPCRA Section 313 chemical. Section 8.10 must be completed only if a source reduction activity was newly implemented specifically (in whole or in part) for the reported EPCRA Section 313 chemical during the reporting year. Section 8.11 allows you to indicate if you have attached additional optional information on source reduction, recycling, or pollution control activities implemented at any time at your facility.

Sections 8.1 through 8.7 require reporting of quantities for the current reporting year, the prior year, and quantities anticipated in both the first year immediately following the reporting year and the second year following the reporting year (future estimates).

Beginning with the 1995 reporting year, facilities can use applicable, "NA," in Sections 8.1 through 8.7 to indicate that there is no on-site or off-site recycling, energy recovery, treatment, or release.

### **Column A: Prior Year**

Quantities for Sections 8.1 through 8.7 must be reported for the year immediately preceding the reporting year in column A. For reports due July 1, 1999 (reporting year 1998), the prior year is 1997. Information available at the facility that may be used to estimate the prior year's quantities include the prior year's Form R submission, supporting documentation, and recycling, energy recovery, treatment, or disposal operating logs or invoices. New industries can enter NA in Sections 8.1 - 8.7 in column A for reporting year 1998 reporting only because facilities in these industries were not required to collect data for reporting year 1997.

### **Column B: Current Reporting Year**

Quantities for Sections 8.1 through 8.7 must be reported for the current reporting year (1998) in column B.

### **Columns C and D: Following Year and Second Following Year**

Quantities for Sections 8.1 through 8.7 must be estimated for 1999 and 2000. EPA expects reasonable future quantity estimates using a logical basis. Information available at the facility to estimate quantities of the chemical expected during these years include planned source reduction activities, market projections, expected contracts, anticipated new product lines, company

growth projections, and production capacity figures. Respondents should take into account protections available for trade secrets as provided in EPCRA Section 322 (42 USC 11042) for the chemical identity.

### **Relationship to Other Laws**

The reporting categories for quantities recycled, used for energy recovery, treated, and disposed apply to completing Section 8 of Form R as well as to the rest of Form R. These categories are to be used only for TRI reporting. They are not intended for use in determining, under the Resource Conservation and Recovery Act (RCRA) Subtitle C regulations, whether a secondary material is a waste when recycled. These definitions also do not apply to the information that may be submitted in the Biennial Report required under RCRA. In addition, these definitions do not imply any future redefinition of RCRA terms and do not affect EPA's RCRA authority or authority under any other statute administered by EPA.

Differences in terminology and reporting requirements for EPCRA Section 313 chemicals reported on Form R and for hazardous wastes regulated under RCRA occur because EPCRA and the PPA focus on specific chemicals, while the RCRA regulations and the Biennial Report focus on waste streams that may include more than one chemical. For example, a RCRA hazardous waste

#### **Example 18: Reporting Future Estimates**

A pharmaceutical manufacturing facility uses an EPCRA Section 313 chemical in the manufacture of a prescription drug. During the reporting year (1998), the company received approval from the Food and Drug Administration to begin marketing their product as an over-the-counter drug beginning in 1999. This approval is publicly known and does not constitute confidential business information. As a result of this expanded market, the company estimates that sales and subsequent production of this drug will increase their use of the reported EPCRA Section 313 chemical by 30 percent per year for the two years following the reporting year. The facility treats the EPCRA Section 313 chemical on site and the quantity treated is directly proportional to production activity. The facility thus estimates the total quantity of the reported EPCRA Section 313 chemical treated for the following year (1999) by adding 30 percent to the amount in column B (the amount for the current reporting year). The second following year (2000) figure can be calculated by adding an additional 30 percent to the amount reported in column C (the amount for the following year (1999) projection).

containing an EPCRA Section 313 chemical is recycled to recover certain constituents of that waste, but not the toxic chemical reported under EPCRA section 313. The EPCRA Section 313 chemical simply passes through the recycling process and remains in the residual from the recycling process, which is disposed. While the waste may be considered recycled under RCRA, the EPCRA Section 313 chemical constituent would be considered to be disposed for TRI purposes.

### Quantities Reportable in Sections 8.1 - 8.7

Section 8 of Form R uses data collected to complete Part II, Sections 5 through 7. For this reason, Section 8 should be completed last. Sections 8.1, 8.3, 8.5, 8.7, and 8.8 use data collected to complete sections 5 and 6 of Form R. The relationship between sections 5, 6, and 8.8 to sections 8.1, 8.3, 8.5, and 8.7 are provided below in equation form.

**Section 8.1.** Report releases pursuant to EPCRA Section 329(8) including "any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing [on site or off site] into the environment (including the abandonment of barrels, containers, and other closed receptacles)." This includes on-site releases in section 5 and off-site releases (including disposal) in section 6, but excludes quantities reported in sections 5 and 6 due to remedial actions, catastrophic events, or non-production related events (see the discussion on section 8.8.)

Metals and metal compounds reported, 1) in section 6.2 as sent off site for stabilization/solidification (M41-metals) or wastewater treatment (excluding POTWs) (M62-metals) and/or, 2) in section 6.1 -- discharges to POTWs should be reported in section 8.1. These quantities should NOT be reported in section 8.7 because the metals are ultimately disposed.

**§ 8.1 = § 5 + § 6.2 (disposal) + § 6.1 (metals and metal compounds only) - § 8.8 (on-site release or off-site disposal due to catastrophic events)<sup>1</sup>**

**Sections 8.2 and 8.3.** These relate to a EPCRA Section 313 chemical or a mixture containing an EPCRA Section 313 chemical that is used for energy recovery on site or is sent off site for energy recovery, unless it is a commercially available fuel (e.g., fuel oil no. 6). For the purposes of reporting on Form R, reportable on-site and

off-site energy recovery is the combustion of a waste containing an EPCRA Section 313 chemical when:

- (a) The combustion unit is integrated into an energy recovery system (i.e., industrial furnaces, industrial kilns, and boilers); and
- (b) The EPCRA Section 313 chemical is combustible and has a significant heating value (e.g., 5000 BTU)

**§ 8.2 is reported in section 8 only**

**§ 8.3 = § 6.2 (energy recovery) - § 8.8 (off-site energy recovery due to catastrophic events)<sup>1</sup>**

**Sections 8.4 and 8.5.** These relate to an EPCRA Section 313 chemical in a waste that is recycled on site or is sent off site for recycling.

**§ 8.4 is reported in section 8 only**

**§ 8.5 = § 6.2 (recycling) - § 8.8 (off-site recycling due to catastrophic events)<sup>1</sup>**

**Section 8.6 and 8.7.** These relate to an EPCRA Section 313 chemical (except for metals and metal compounds) or a mixture containing an EPCRA Section 313 chemical that is treated on site or is sent to a POTW or other off-site location for waste treatment.

**§ 8.6 is reported in section 8 only**

**§ 8.7 = § 6.1 (excluding metal/metal compounds) + § 6.2 (treatment) - § 8.8 (off-site treatment due to catastrophic events)<sup>1</sup>**

An EPCRA Section 313 chemical or an EPCRA Section 313 chemical in a mixture that is a waste under RCRA must be reported in Sections 8.1 through 8.7.

### Avoid Double-Counting in Sections 8.1 Through 8.8

Do not double- or multiple-count quantities in Sections 8.1 through 8.7. The quantities reported in each of those sections must be mutually exclusive. Do not multiple-count quantities entering sequential reportable activities.

Do not include in Sections 8.1 through 8.7 any quantities of the EPCRA Section 313 chemical released into the environment due to remedial actions; catastrophic events such as earthquakes, fires, or floods; or unanticipated one-time events not associated with the production process such as a drunk driver crashing his/her car into

<sup>1</sup>§ 8.8 includes quantities of toxic chemical released on site or managed as waste off site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

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a drum storage area. These quantities should be reported in Section 8.8 only. For example, 10,000 pounds of diaminoanisoole sulfate is released due to a catastrophic event and is subsequently treated off site. The 10,000 pounds is reported in Section 8.8, but the amount subsequently treated off site is not reported in Section 8.7.

(See Example 20: Quantity released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes)

### Example 19: Avoiding Double-Counting Quantities in Sections 8.1 through 8.7

For example, 5,000 pounds of EPCRA Section 313 chemical enters a treatment operation. Three thousand pounds of the EPCRA Section 313 chemical exits the treatment operation and then enters a recycling operation. Five hundred pounds of the EPCRA Section 313 chemical are in residues from the recycling operation that is subsequently sent off site for disposal. These quantities would be reported as follows in Section 8:

Section 8.1: 500 pounds disposed

Section 8.4: 2,500 pounds recycled

Section 8.6: 2,000 pounds treated (5,000 that initially entered - 3,000 that subsequently entered recycling)

*To report that 5,000 pounds were treated, 3,000 pounds were recycled, and that 500 pounds were sent off site for disposal would result in over-counting the quantities of EPCRA Section 313 chemical recycled, treated, and disposed by 3,500 pounds.*

### 8.8 Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes

In Section 8.8, enter the total quantity of EPCRA Section 313 chemical released directly into the environment or sent off site for recycling, energy recovery, treatment, or disposal during the reporting year due to any of the following events:

- (1) remedial actions;
- (2) catastrophic events such as earthquakes, fires, or floods; or
- (3) one-time events not associated with normal or routine production processes.

These quantities should not be included in Sections 8.1 through 8.7

The purpose of this section is to separate quantities recycled, used for energy recovery, treated, or disposed that are associated with normal or routine production operations from those that are not. While all quantities released, recycled, treated, or disposed may ultimately be preventable, this section separates the quantities that are more likely to be reduced or eliminated by process-oriented source reduction activities from those releases that are largely unpredictable and are less amenable to such source reduction activities. For example, spills that occur as a routine part of production operations and could be reduced or eliminated by improved handling, loading, or unloading procedures are included in the quantities reported in Section 8.1 through 8.7 as appropriate. A total loss of containment resulting from a tank rupture caused by a tornado would be included in the quantity reported in Section 8.8.

Similarly, the amount of an EPCRA Section 313 chemical cleaned up from spills resulting from normal operations during the reporting year would be included in the quantities reported in Sections 8.1 through 8.7. However, the quantity of the reported EPCRA Section 313 chemical generated from a remedial action (e.g., RCRA corrective action) to clean up the environmental contamination resulting from past practices should be reported in Section 8.8 because they cannot currently be addressed by source reduction methods. A remedial action for purposes of Section 8.8 is a waste cleanup (including RCRA and CERCLA operations) within the facility boundary. Most remedial activities involve collecting and treating contaminated material.

Also, releases caused by catastrophic events are to be incorporated into the quantity reported in Section 8.8. Such releases may be caused by natural disasters (e.g., hurricanes and earthquakes) or by large-scale accidents (e.g., fires and explosions). In addition, releases due to one-time events not associated with production (e.g., terrorist bombing) are to be included in Section 8.8. These amounts are not included in the quantities reported in Sections 8.1 through 8.7 because such releases are generally unanticipated and cannot be addressed by routine process-oriented accident prevention techniques.

**Example 20: Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes.**

A chemical manufacturer produces an EPCRA Section 313 chemical in a reactor that operates at low pressure. The reactants and the EPCRA Section 313 chemical product are piped in and out of the reactor at monitored and controlled temperatures. During normal operations, small amounts of fugitive emissions occur from the valves and flanges in the pipelines.

Due to a malfunction in the control panel (which is state-of-the-art and undergoes routine inspection and maintenance), the temperature and pressure in the reactor increase, the reactor ruptures, and the EPCRA Section 313 chemical is released. Because the malfunction could not be anticipated and, therefore, could not be reasonably addressed by specific source reduction activities, the amount released is included in Section 8.8. In this case, much of the EPCRA Section 313 chemical is released as a liquid and pools on the ground. It is estimated that 1,000 pounds of the EPCRA Section 313 chemical pooled on the ground and was subsequently collected and sent off-site for treatment. In addition, it is estimated that another 200 pounds of the EPCRA Section 313 chemical vaporized directly to the air from the rupture. The total amount reported in Section 8.8 is the 1,000 pounds that pooled on the ground (and subsequently sent off-site), plus the 200 pounds that vaporized into the air, a total of 1,200 pounds. The quantity sent off-site must also be reported in Section 6 (but not in Section 8.7) and the quantity that vaporized must be reported as a fugitive emission in Section 5 (but not in Section 8.1).

By checking your documentation for calculating estimates made for Part II, Section 5, "Quantity of the Toxic Chemical Entering Each Environmental Medium On Site," you may be able to identify release amounts from the above sources. Emergency notifications under CERCLA and EPCRA as well as accident histories required under the Clean Air Act may provide useful information. You should also check facility incident reports and maintenance records to identify one-time or catastrophic events.

**Note:** While the information reported in Section 8.8 represents only remedial, catastrophic, or one-time events not associated with production processes, Section 5 of Form R (on-site releases to the environment) and Section 6 (off-site transfers for further waste management), must include all on-site releases and transfers as appropriate, regardless of whether they arise from catastrophic, remedial, or routine process operations.

**8.9 Production Ratio or Activity Index**

For Section 8.9, you must provide a ratio of reporting year production to prior year production, or provide an "activity index" based on a variable other than production that is the primary influence on the quantity of the reported EPCRA Section 313 chemical recycled, used for energy recovery, treated, or released. The ratio or index must be reported to the nearest tenths or hundredths place (i.e., one or two digits to the right of the decimal point). If the manufacture or use of the reported EPCRA Section 313 chemical began during the

current reporting year, enter not applicable, "NA," as the production ratio or activity index. Note, this is not to be reported as a percent (i.e., report 1.10 for a 10% increase, not 110%).

It is important to realize that if your facility reports more than one reported EPCRA Section 313 chemical, the production ratio or activity index may vary for different chemicals. For facilities that manufacture reported EPCRA Section 313 chemicals, the quantities of the EPCRA Section 313 chemical(s) produced in the current and prior years provide a good basis for the ratio because that is the primary business activity associated with the reported EPCRA Section 313 chemical(s). In most cases, the production ratio or activity index must be based on some variable of production or activity rather than on EPCRA Section 313 chemical or material usage. Indices based on EPCRA Section 313 chemical or material usage may reflect the effect of source reduction activities rather than changes in business activity. EPCRA Section 313 chemical or material usage is therefore not a basis to be used for the production ratio or activity index where the EPCRA Section 313 chemical is "otherwise-used" (i.e., non-incorporative activities such as extraction solvents, metal degreasers, etc.).

While several methods are available to the facility for determining this data element, the production ratio or activity index must be based on the variable that most directly affects the quantities of the EPCRA Section 313 chemical recycled, used for energy recovery, treated, or released. Examples of methods available include:

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- (1) Amount of EPCRA Section 313 chemical manufactured in 1998 divided by the amount of EPCRA Section 313 chemical manufactured in 1997;  
or
- (2) Amount of product produced in 1998 divided by the amount of product produced in 1997.

### Example 21: Determining a Production Ratio

Your facility's only use of toluene is as a paint carrier for a painting operation. You painted 12,000 refrigerators in the current reporting year and 10,000 refrigerators during the preceding year. The production ratio for toluene in this case is 1.2 ( $12,000/10,000$ ) because the number of refrigerators produced is the primary factor determining the quantity of toluene to be reported in Sections 8.1 through 8.7.

A facility manufactures inorganic pigments, including titanium dioxide. Hydrochloric acid (acid aerosols) is produced as a waste byproduct during the production process. An appropriate production ratio for hydrochloric acid (acid aerosols) is the annual titanium dioxide production, not the amount of byproduct generated. If the facility produced 20,000 pounds of titanium dioxide during the reporting year and 26,000 pounds in the preceding year, the production ratio would be 0.77 ( $20,000/26,000$ ).

### Example 22: Determining an Activity Index

Your facility manufactures organic dyes in a batch process. Different colors of dyes are manufactured, and between color changes, all equipment must be thoroughly cleaned with solvent containing glycol ethers to reduce color carryover. During the preceding year, the facility produced 2,000 pounds of yellow dye in January, 9,000 pounds of green dye for February through September, 2,000 pounds of red dye in November, and another 2,000 pounds of yellow dye in December. This adds up to a total of 15,000 pounds and four color changeovers. During the reporting year, the facility produced 10,000 pounds of green dye during the first half of the year and 10,000 pounds of red dye in the second half. If your facility uses glycol ethers in this cleaning process only, an activity index of 0.5 (based on two color changeovers for the reporting year divided by four changeovers for the preceding year) is more appropriate than a production ratio of 1.33 (based on 20,000 pounds of dye produced in the current year divided by 15,000 pounds in the preceding year). In this case, an activity index, rather than a production ratio, better reflects the factors that influence the amount of solvent recycled, used for energy recovery, treated, or released.

A facility that manufactures thermoplastic composite parts for aircraft uses toluene as a wipe solvent to clean molds. The solvent is stored in 55-gallon drums and is transferred to 1-gallon dispensers. The molds are cleaned on an as-needed basis that is not necessarily a function of the parts production rate. Operators cleaned 5,200 molds during the reporting year, but only cleaned 2,000 molds in the previous year. An activity index of 2.6 ( $5,200/2,000$ ) represents the activities involving toluene usage in the facility. If the molds were cleaned after 1,000 parts were manufactured, a production ratio would equal the activity index and either could be used as the basis for the index.

A facility manufactures surgical instruments and cleans the metal parts with 1,1,1-trichloromethane in a vapor DEGREASER. The decreasing unit is operated in a batch mode and the metal parts are cleaned according to an irregular schedule. The activity index can be based upon the total time the metal parts are in the decreasing operation. If the decreasing unit operated 3,900 hours during the reporting year and 3,000 hours the prior year, the activity index is 1.3 ( $3,900/3,000$ ).

**Example 23: "NA" is Entered as the Production Ratio or Activity Index**

Your facility began production of semiconductor chips during this reporting year. Perchloroethylene is used as a cleaning solvent for this operation and this is the only use of the EPCRA Section 313 chemical in your facility. You would enter not applicable, "NA," in Section 8.9 because you have no basis of comparison in the prior year for the purposes of developing the activity index.

**Example 24: Determining the Production Ratio Based on a Weighted Average**

At many facilities, a reported EPCRA Section 313 chemical is used in more than one production process. In these cases, a production ratio or activity index can be estimated by weighting the production ratio for each process based on the respective contribution of each process to the quantity of the reported EPCRA Section 313 chemical recycled, used for energy recovery, treated, or disposed.

Your facility paints bicycles with paint containing toluene. Sixteen thousand bicycles were produced in the reporting year and 14,500 were produced in the prior year. There were no significant design modifications that changed the total surface area to be painted for each bike. The bicycle production ratio is 1.1 (16,000/14,500). You estimate 12,500 pounds of toluene recycled, used for energy recovery, treated, or released as a result of bicycle production. Your facility also uses toluene as a solvent in a glue that is used to make components and add-on equipment for the bicycles. Thirteen thousand components were manufactured in the reporting year as compared to 15,000 during the prior year. The production ratio for the components using toluene is 0.87 (13,000/15,000). You estimate 1,000 pounds of toluene treated, recycled, used for energy recovery, or released as a result of components production. A production ratio can be calculated by weighting each of the production ratios based on the relative contribution each has to the quantities of toluene treated, recycled, used for energy recovery, or released during the reporting year (13,500 pounds). The production ratio is calculated as follows:

$$\text{Production ratio} = 1.1 \times (12,500/13,500) + 0.87 \times (1,000/13,500) = 1.08$$

**8.10 Did Your Facility Engage in Any Source Reduction Activities for This Chemical During the Reporting Year?**

If your facility engaged in any source reduction activity for the reported EPCRA Section 313 chemical during the reporting year, report the activity that was implemented and the method used to identify the opportunity for the activity implemented. If your facility did not engage in any source reduction activity for the reported EPCRA Section 313 chemical, enter not applicable, "NA," in Section 8.10.1 and answer Section 8.11.

Source reduction means any practice that:

- ☐ Reduces the amount of any hazardous substance, pollutant, or contaminant entering any waste stream or otherwise released into the environment (including fugitive emissions) prior to recycling, energy recovery, treatment, or disposal; and
- ☐ Reduces the hazards to public health and the environment associated with the release of such substances, pollutants, or contaminants.

The term includes equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control.

The term source reduction does not include any practice that alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity that itself is not integral to and necessary for the production of a product or the providing of a service.

Source reduction activities do not include recycling, using for energy recovery, treating, or disposing of an EPCRA Section 313 chemical. Report in this section only the source reduction activities implemented to reduce or eliminate the quantities reported in Sections 8.1 through 8.7. The focus of the section is only those activities that are applied to reduce routine or reasonably anticipated releases and quantities of the reported EPCRA Section 313 chemical recycled, treated, used for energy recovery, or disposed. Do not report in this section any activities

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taken to reduce or eliminate the quantities reported in Section 8.8.

### Example 25: Source Reduction

A facility assembles and paints furniture. Both the glue used to assemble the furniture and the paints contain EPCRA Section 313 chemicals. By examining the gluing process, the facility discovered that a new drum of glue is opened at the beginning of each shift, whether the old drum is empty or not. By adding a mechanism that prevents the drum from being changed before it is empty, the need for disposal of the glue is eliminated at the source. As a result, this activity is considered source reduction. The painting process at this facility generates a solvent waste, that contains an EPCRA Section 313 chemical that is collected and recovered. The recovered solvent is used to clean the painting equipment. The recycling activity does not reduce the amount of EPCRA Section 313 chemical recycled, and therefore is not considered a source reduction activity.

### Source Reduction Activities

You must enter in the first column of Section 8.10, "Source Reduction Activities," the appropriate code(s) indicating the type of actions taken to reduce the amount of the reported EPCRA Section 313 chemical released (as reported in Section 8.1), used for energy recovery (as reported in Sections 8.2-8.3), recycled (as reported in Sections 8.4-8.5), or treated (as reported in Sections 8.6-8.7). The list of codes below includes many, but not all, of the codes provided in the RCRA biennial report. Remember that source reduction activities include only those actions or techniques that reduce or eliminate the amounts of the EPCRA Section 313 chemical reported in Sections 8.1 through 8.7. Actions taken to recycle, combust for energy recovery, treat, or dispose of the EPCRA Section 313 chemical are not considered source reduction activities.

### Source Reduction Activity Codes:

#### Good Operating Practices

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes made in operating practices

#### Inventory Control

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material -- continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes made in inventory control

#### Spill and Leak Prevention

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

#### Raw Material Modifications

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications made

#### Process Modifications

- W51 Instituted re-circulation within a process
- W52 Modified equipment, layout, or piping
- W53 Used a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications made

#### Cleaning and Decreasing

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures

- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and decreasing modifications made

#### Surface Preparation and Finishing

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications made

#### Product Modifications

- W81 Changed product specifications
- W82 Modified design or composition of product
- W83 Modified packaging
- W89 Other product modifications made

In columns a through c of Section 8.10, the "Methods to Identify Activity", you must enter one or more of the following code(s) that correspond to those internal and external method(s) or information sources you used to identify the possibility for a source reduction activity implementation at your facility. If more than three methods were used to identify the source reduction activity, enter only the three codes that contributed most to the decision to implement the activity.

#### **Methods to Identify Activity**

- T01 Internal pollution prevention opportunity audit(s)
- T02 External pollution prevention opportunity audit(s)

- T03 Materials balance audits
- T04 Participative team management
- T05 Employee recommendation (independent of a formal company program)
- T06 Employee recommendation (under a formal company program)
- T07 State government technical assistance program
- T08 Federal government technical assistance program
- T09 Trade association/industry technical assistance program
- T10 Vendor assistance
- T11 Other

#### **8.11 Is Additional Optional Information on Source Reduction, Recycling, or Pollution Control Activities Included with this Report?**

Check "Yes" for this data element if you have attached to this report any additional optional information on source reduction, recycling, or pollution control activities you have implemented in the reporting year or in prior years for the reported EPCRA Section 313 chemical. If you are not including additional information, check "No."

If you submit additional optional information, try to limit this information to one page that summarizes the source reduction, recycling, or pollution control activities. If there is a contact person at the facility, other than the technical or public contact provided in Part I, Section 4, the summary page should include that person's name and telephone number for individuals who wish to obtain further information about those activities. Also submit a copy of this additional information to the appropriate state agency as part of the Form R submittal to that agency.

## **D. Facility Eligibility Determination for Alternate Threshold and for Reporting on EPA Form A**

This section will help to determine whether you can submit the simplified Form A report. The criteria are based on total annual reportable amount of listed chemical or chemical category and the amount manufactured, processed, or otherwise used.

### **D.1 Alternate Threshold**

On November 30, 1994, EPA published a final rule (59 FR 61488) that provides qualifying facilities a reduced reporting option. Eligible facilities wishing to take advantage of this reduced reporting option may report on a simplified two-page form referred to as Form A and do not have to use Form R. The "TRI Alternate Threshold for Facilities with Low Annual Reportable Amounts," provides facilities otherwise meeting EPCRA section 313 reporting thresholds the option of reporting on Form A provided that they do not exceed 500 pounds for the total annual reportable amount (defined below) for that chemical, and that their amounts manufactured or processed or otherwise used do not exceed one-million pounds. As with determining section 313 reporting thresholds, amounts manufactured, processed, or otherwise used are to be considered independently. This modification does not apply to forms being submitted on or before July 1, 1995 (covering the 1994 reporting year). If you fill out a Form A for an EPCRA Section 313 chemical do not fill out a Form R for that same chemical.

### **D.2 What is the Form A (certification statement)?**

The Form A, which is described as the "certification statement" in 59 FR 61488, is a simplified form of reporting and is intended as a means to reduce the compliance burden associated with EPCRA section 313. The Form A must be submitted on an annual basis for each eligible chemical. Facilities wishing to take advantage of this burden reducing option should submit a Form A for such chemicals meeting the conditions described below, and should not submit a Form R to the EPCRA Reporting Center for that chemical. The information submitted on the Form A includes facility identification information and the chemical or chemical category identity. The information submitted on the Form A will appear in the TRI data base in the same manner that information submitted on Form R appears. An approved Form A and a magnetic version of reporting have been included in this 1998 Forms and Instructions package.

### **D.3 What is the total annual reportable amount?**

For the purpose of this optional reporting modification, the annual reportable amount is equal to the combined total quantities released at the facility, disposed within the facility, treated at the facility (as represented by amounts destroyed or converted by treatment processes), recovered at the facility as a result of recycle operations, combusted for the purpose of energy recovery at the facility, and amounts transferred from the facility to off-site locations for the purpose of recycle, energy recovery, treatment, and/or disposal. These volumes correspond to the sum of amounts reportable for data elements on EPA Form R (EPA Form 9350-1; Rev. 04/97) as Part II column B of section 8, data elements 8.1 (quantity released), 8.2 (quantity used for energy recovery on site), 8.3 (quantity used for energy recovery off site), 8.4 (quantity recycled on site), 8.5 (quantity recycled off site), 8.6 (quantity treated on site), and 8.7 (quantity treated off site).

### **D.4 Recordkeeping**

Each owner or operator who determines that they are eligible, and wishes to apply the alternate threshold to a particular chemical, must retain records substantiating this determination for a period of three years from the date of the submission of the Form A. These records must include sufficient documentation to support calculations as well as the calculations made by the facility that confirm their eligibility for each chemical for which the alternate threshold was applied.

A facility that fits within the category description, and manufactures, processes or otherwise uses no more than one-million pounds of an EPCRA Section 313 chemical annually, and whose owner/operator elects to take advantage of the alternate threshold is not considered an EPCRA section 313 covered facility for that chemical for the purpose of submitting a Form R. This determination may provide further regulatory relief from other federal or state regulations that apply to facilities on the basis of their EPCRA section 313 reporting status. A facility will need to reference other applicable regulations to determine if their actual requirements may be affected by this reporting modification.

### **D.5 Multi-establishment facilities**

For the purposes of using Form A, the facility must also make its determination based upon the entire facility's operations including all of its establishments (see 59 FR

61488 for greater detail). If the facility as a whole is able to take advantage of the alternate threshold, a single Form A is required. The eligibility to submit a Form A must be made on a whole facility determination. Thus, all of the information necessary to make the determination must be assembled to the facility level.

## **D.6 Trade secrets**

EPA is requiring that a facility submit a unique Form A for each EPCRA Section 313 chemical meeting the conditions of the alternate threshold. Facilities may assert a trade secrecy claim for a chemical identity on the Form A as on the Form R. Reports submitted on a per chemical basis protect against the disclosure of trade secrets. Form As with trade secrecy claims, like Form Rs with similar claims, will be separately handled upon receipt to protect against disclosure. Commingling trade secret chemical identities with non-trade secret chemical identities on the same submission increases the risk of disclosure.

## **D.7 Metals and Metal compounds**

For metal compounds, the category level of 500 pounds applies to the amount of parent metal waste that is reported on Form R, but the thresholds apply to the amount of metal compounds manufactured, processed, or otherwise used. For Form R reporting involving both listed parent metals and associated metal compounds, the one million pound alternate threshold must be applied separately to the listed parent metal and the associated metal compound(s). Threshold determinations must be made independently for each because they are separately listed EPCRA Section 313 chemicals.

- ☐ If the threshold is exceeded for the listed parent metal but not the associated metal compounds, then the releases of metal reported on Form R for the parent metal should not include the releases from the metal compounds.

- ☐ If both the parent metal and the associated metal compounds exceed the alternate threshold, then the facility has the option of filing one Form R for both, using the metal compound name and reporting total releases based on parent metal content.

- ☐ If neither the parent metal nor the associated metal compounds exceed the alternate threshold, then the facility should file a Form A for each, since the reporting thresholds must be applied to each listed parent metal and each metal compound category. EPA believes it is appropriate to make this distinction between filing the Form R and Form A because the Form R accounts for amounts of metal released or otherwise managed and Form A verifies that the alternate threshold for each listed chemical or chemical category has not been exceeded.

Similarly, separate Form As should be submitted for all other listed chemicals even if EPA allows one Form R to be filed for two or more listed chemicals, e.g., o-xylene, p-xylene and xylene (mixed isomers). For example, if a facility processes in three separate process streams, xylene (mixed isomers), o-xylene, and p-xylene, and exceeds the conditions of the alternate threshold for each of these listed substances, the facility may combine the appropriate information on the o-xylene, p-xylene, and xylene (mixed isomers) into one Form R.

Facilities that process o-xylene, p-xylene, and xylene (mixed isomers) in separate process streams and do not exceed the conditions of the alternate threshold for one or more of the compounds, may submit a separate Form A for each of the forms of xylene meeting the alternate threshold and report on Form R for those forms that do not. Similar to reporting on the parent metals and metal compounds described above, facilities that separately process all types (i.e., isomers) of xylene with individual activity levels within the conditions of the alternate threshold should file a separate Form A for each type of xylene.

## E. Instructions for Completing EPA Form A

The following are specific instructions for completing each part of EPA Form A. All of the data elements that appear on Form A are a subset of and are identical to those on Form R except for the content of the statement to be signed by an authorized individual. The number designations of the parts and sections of these instructions correspond to those in Form R unless otherwise indicated.

### For all parts of Form A:

- ☐ Type or print information on the form in the format requested. Use black ink. (Using blue ink for the certification signature is suggested as a means of indicating its originality.)
- ☐ All information on the Form A is required.
- ☐ Do not leave items in Parts I and II on the Form A blank unless specifically directed to do so; if an item does not apply to you, enter not applicable, NA, in the space provided. If your information does not fill all the spaces provided for a type of information, enter NA, in the next blank space in the sequence.
- ☐ Do not submit an incomplete form. The certification statement (Part I, Section 3) specifies that the report is complete as submitted. See page 1 of these instructions for the definition of a complete submission.

## Part I. Facility Identification Information

### Section 1. Reporting Year

This is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 1998 reporting year must be submitted on or before July 1, 1999.

### Section 2. Trade Secret Information

#### 2.1 Are you claiming the EPCRA Section 313 chemical identified on page 2 trade secret?

The specific identity of the EPCRA Section 313 chemical being reported in Part II, Section 1, may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if it is your manufacturing, processing, or otherwise use of the EPCRA Section 313 chemical whose identity is a

trade secret. (See page 2 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

#### 2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?

Check "sanitized" if this copy of the report is the public version that does not contain the EPCRA Section 313 chemical identity but does contain a generic name in its place, and you have claimed the EPCRA Section 313 chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

## Section 3. Certification

The Form A must be signed by the owner or operator or a senior official with management responsibility for the person (or persons) completing the form. The owner, operator, or official must certify the accuracy and completeness of the information reported on the form by signing and dating the Form A. Each report must contain an original signature. Unlike the certification statement contained on Form R, the certification statement provided on the Alternate Threshold Form A pertains to the facility's eligibility of having met the conditions as described in Section D or in the *Federal Register* 59 FR 61488 (November 30, 1994). Print or type in the space provided the name and title of the person who signs the statement. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed.

## Section 4. Facility Identification

### 4.1 Facility Name, Location, and TRI Facility Identification Number

Enter the name of your facility (plant site name or appropriate facility designation), street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided should be the location where the EPCRA Section 313 chemicals are manufactured, processed, or otherwise used. If your mailing address and street address are the same, enter NA in the space for the mailing address. Note that the mailing address is provided first, followed by the street address.

If you have submitted a Form R for previous reporting years, a TRI Facility Identification Number has been assigned to your facility. If you cannot locate your TRI Facility Identification Number, please contact the

Emergency Planning and Community Right-to-Know Information Hotline (see page 4).

Enter "NA" in the space for the TRI Facility Identification Number if your facility has never filed a Form A (certification statement) or a Form R. If you have previously submitted a Form A or a Form R, use the TRI Facility Identification Number that you have been assigned. If you previously submitted a Form A or a Form R, but do not know what it is, contact the EPCRA Hotline. If your facility has moved, do not enter your TRI facility identification number, enter NEW FACILITY.

#### 4.2 Federal Facility Designation

On August 3, 1993, Executive Order 12856 was signed that directs federal facilities to comply with Right-To-Know Laws and Pollution Prevention Requirements. Please indicate in 4.2.C. if the reporting facility is a federal facility. If the reporting facility is not a federal facility, leave this space blank. Form R allows a facility to report multiple submissions for the same chemical if the facility is composed of several distinct establishments. This data element provides the option of reporting full or partial facility information on Form R, however, this is not applicable for those facilities taking advantage of the Alternate Threshold and Form A. An explanation of this is provided in Section D.

#### 4.3 Technical Contact

Enter the name and telephone number (including area code) of a technical representative whom EPA or State officials may contact for clarification of the information reported on Form A. This contact person does not have to be the same person who prepares the report or signs the Form A and does not necessarily need to be someone at the location of the reporting facility; however, this person must be familiar with the details of the report so that he or she can answer questions about the information provided.

#### 4.4 Intentionally Left Blank

#### 4.5 Standard Industrial Classification (SIC) Code

Enter the appropriate four-digit primary Standard Industrial Classification (SIC) code for your facility. Table I lists the SIC codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in

commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). If the report covers more than one establishment, enter the primary four-digit SIC code for each establishment starting with the primary SIC code for the entire facility. You are required to enter SIC codes only for those establishments within the facilities that fall within SIC codes 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis). If you do not know your SIC code, consult the 1987 SIC Manual (see page 5).

The North American Industry Classification System (NAICS), is a new economic classification system that will replace the 1987 SIC code system. EPA will address the SIC code change, as it relates to EPCRA, in an upcoming Federal Register notice. This upcoming change does NOT affect the 1998 EPCRA section 313 reporting.

#### 4.6 Latitude and Longitude

Enter the latitudinal and longitudinal coordinates of your facility. Sources of these data include EPA permits (e.g., NPDES permits), county property records, facility blueprints, and site plans. Instructions on how to determine these coordinates can be found in Appendix E. Enter only numerical data. Do not preface numbers with letters such as N, S, E, or W to denote the hemisphere. Latitude and longitude coordinates of your facility are very important for pinpointing the location of reporting facilities and are required elements on the Form A. EPA encourages facilities to make the best possible measurements when determining latitude and longitude. As with any other data field, missing, suspect, or incorrect data may generate a Notice of Technical Error to be issued to the facility. (See Appendix C: Common Errors in Completing Form R Reports).

#### **4.7 Dun and Bradstreet Number(s)**

Enter the nine-digit number assigned by Dun and Bradstreet (D & B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers from your local D & B office (check the telephone book White Pages). If a facility does not subscribe to the D & B service, a "support number" can be obtained from the center located in Allentown, Pennsylvania, at (215) 882-7748 (8:30 am to 8:00 pm, Eastern Time). If none of your establishments has been assigned a D & B number, enter NA in box (a). If only some of your establishments have been assigned Dun and Bradstreet numbers, enter those numbers in Part I, section 4.7.

#### **4.8 EPA Identification Number(s)**

The EPA I.D. Number is a 12-character number assigned to facilities covered by hazardous waste regulations under RCRA. Facilities not covered by RCRA are not likely to have an assigned I.D. Number. If your facility is not required to have an I.D. Number, enter not applicable, NA, in box (a). If your facility has been assigned EPA Identification Numbers, you must enter those numbers in the spaces provided in Section 4.8.

#### **4.9 Facility NPDES Permit Number(s)**

Enter the numbers of any permits your facility holds under the National Pollutant Discharge Elimination System (NPDES) even if the permit(s) do not pertain to the EPCRA Section 313 chemical being reported. This nine-character permit number is assigned to your facility by EPA or the State under the authority of the Clean Water Act. If your facility does not have a permit, enter NA, in Section 4.9a.

#### **4.10 Underground Injection Well Code (UIC) Identification Number(s)**

If your facility has a permit to inject a waste containing the EPCRA Section 313 chemical into Class 1 deep wells, enter the 12-digit Underground Injection Well Code (UIC) identification number assigned by EPA or by the State under the authority of the Safe Drinking Water Act. If your facility does not hold such a permit(s), enter NA in Section 4.10a. You are only required to provide the UIC number for wells that receive the EPCRA Section 313 chemical being reported.

### **Section 5. Parent Company Information**

You must provide information on your parent company. For purposes of the Form A, a parent company is defined as the highest level company, located in the United States, that directly owns at least 50 percent of the voting stock of your company. If your facility is owned by a foreign entity, enter NA in this space. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation would be listed as the parent company. Note that a facility that is a 50:50 joint venture is its own parent company.

#### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your ultimate US parent company. If your facility has no parent company, check the NA box.

#### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the D&B Number for your ultimate US parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company. If your parent company does not have a D&B number, check the NA box.

## **Part II. Chemical Identification**

Reporting on the Alternate Threshold Form A for metals, metal compounds, and mixed isomers differs somewhat from Form R reporting. Please refer to Section D for these guidelines.

### **Section 1. Toxic Chemical Identity**

(Important: DO NOT complete this section if you completed Section 2 of Part II below.)

#### **1.1 CAS Number**

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II of these instructions. If you are reporting one of the EPCRA Section 313 chemical categories in Table II, part c (e.g., chromium compounds), enter the applicable category code in the CAS number

## Instructions for Completing Part II of EPA Form A

space. EPCRA Section 313 chemical category codes are listed below and can also be found in Table II, part c and Appendix B-1.

### EPCRA Section 313 Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)
N230	Certain glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds (water dissociable; reportable only when in aqueous solution)
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes (C10 to C13)
N590	Polycyclic aromatic compounds (PACs)
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N874	Warfarin and salts
N982	Zinc compounds

If you are making a trade secret claim, you must report the CAS number or category code on your unsanitized Form A and unsanitized substantiation form. Do not include the CAS number or category code on your sanitized Form A or sanitized substantiation form.

### **1.2 EPCRA Section 313 Chemical or Chemical Category Name**

Enter the name of the EPCRA Section 313 chemical or chemical category exactly as it appears in Table II. If the EPCRA Section 313 chemical name is followed by a synonym in (parentheses), report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the EPCRA Section 313 chemical identity is actually a product trade name (e.g., dicofol), the 9th

Collective Index name is listed below it in brackets. You may report either name in this case.

Do not list the name of a chemical that does not appear in Table II, such as individual members of a reportable EPCRA Section 313 chemical category. For example, if you use silver nitrate, do not report silver nitrate with its CAS number. Report this chemical as "silver compounds" with its category code N740.

If you are making a trade secret claim, you must report the specific EPCRA Section 313 chemical identity on your unsanitized Form A and unsanitized substantiation form. Do not report the name of the EPCRA Section 313 chemical on your sanitized Form A or sanitized substantiation form. Include a generic name in Part II, Section 1.3 of your sanitized Form A.

### **1.3 Generic Chemical Name**

Complete Section 1.3 only if you are claiming the specific EPCRA Section 313 chemical identity of the EPCRA Section 313 chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on page 1 of Form A. Enter a generic chemical name that is descriptive of the chemical structure. You must limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 on next page.

In-house plant codes and other substitute names that are not structurally descriptive of the EPCRA Section 313 chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form A, and the name must be the same as that used on your substantiation forms.

## **Section 2. Mixture Component Identity**

Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer "yes" in Part I, Section 2.1 on page 1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this EPCRA Section 313 chemical because it is your supplier who is claiming the chemical identity a trade secret.

### **2.1 Generic Chemical Name Provided by Supplier**

Enter the generic chemical name in this section only if the following three conditions apply:

*Instructions for Completing Part II of EPA Form A*

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1. You determine that the mixture contains an EPCRA Section 313 chemical but the only identity you have for that chemical is a generic name;
2. You know either the specific concentration of that EPCRA Section 313 chemical component or a maximum or average concentration level; and
3. You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

**Table I. SIC Codes****10 Metal Mining (except 1011, 1081 and 1094)**

- 1021 Copper Ores
- 1031 Lead and Zinc Ores
- 1041 Gold Ores
- 1044 Silver Ores
- 1061 Ferroalloy Ores, Except Vanadium
- 1099 Miscellaneous Metal Ores, Not Elsewhere Classified

**12 Coal Mining (except 1241)**

- 1221 Bituminous Coal and Lignite Surface Mining
- 1222 Bituminous Coal Underground Mining
- 1231 Anthracite Mining

**20 Food and Kindred Products**

- 2011 Meat packing plants
- 2013 Sausages and other prepared meat products
- 2015 Poultry slaughtering and processing
- 2021 Creamery butter
- 2022 Natural, processed, and imitation cheese
- 2023 Dry, condensed, and evaporated dairy products
- 2024 Ice cream and frozen desserts
- 2026 Fluid milk
- 2032 Canned specialties
- 2033 Canned fruits, vegetables, preserves, jams, and jellies
- 2034 Dried and dehydrated fruits, vegetables, and soup mixes
- 2035 Pickled fruits and vegetables, vegetable sauces and seasonings, and salad dressings
- 2037 Frozen fruits, fruit juices, and vegetables
- 2038 Frozen specialties, n.e.c.\*
- 2041 Flour and other grain mill products
- 2043 Cereal breakfast foods
- 2044 Rice milling
- 2045 Prepared flour mixes and doughs
- 2046 Wet corn milling
- 2047 Dog and cat food
- 2048 Prepared feeds and feed ingredients for animals and fowls, except dogs and cats
- 2051 Bread and other bakery products, except cookies and crackers
- 2052 Cookies and crackers
- 2053 Frozen bakery products, except bread
- 2061 Cane sugar, except refining
- 2062 Cane sugar refining
- 2063 Beet sugar
- 2064 Candy and other confectionery products

- 2066 Chocolate and cocoa products
- 2067 Chewing gum
- 2068 Salted and roasted nuts and seeds
- 2074 Cottonseed oil mills
- 2075 Soybean oil mills
- 2076 Vegetable oil mills, n.e.c.\*
- 2077 Animal and marine fats and oils
- 2079 Shortening, table oils, margarine, and other edible fats and oils, n.e.c.\*
- 2082 Malt beverages
- 2083 Malt
- 2084 Wines, brandy, and brandy spirits
- 2085 Distilled and blended liquors
- 2086 Bottled and canned soft drinks and carbonated waters
- 2087 Flavoring extracts and flavoring syrups, n.e.c.\*
- 2091 Canned and cured fish and seafoods
- 2092 Prepared fresh or frozen fish and seafoods
- 2095 Roasted coffee
- 2096 Potato chips, corn chips, and similar snacks
- 2097 Manufactured ice
- 2098 Macaroni, spaghetti, vermicelli, and noodles
- 2099 Food preparations, n.e.c.\*

**21 Tobacco Products**

- 2111 Cigarettes
- 2121 Cigars
- 2131 Chewing and smoking tobacco and snuff
- 2141 Tobacco stemming and redrying

**22 Textile Mill Products**

- 2211 Broadwoven fabric mills, cotton
- 2221 Broadwoven fabric mills, manmade fiber, and silk
- 2231 Broadwoven fabric mills, wool (including dyeing and finishing)
- 2241 Narrow fabric and other small wares mills: cotton, wool, silk, and manmade fiber
- 2251 Women's full length and knee length hosiery, except socks
- 2252 Hosiery, n.e.c.\*
- 2253 Knit outerwear mills
- 2254 Knit underwear and nightwear mills
- 2257 Weft knit fabric mills
- 2258 Lace and warp knit fabric mills
- 2259 Knitting mills, n.e.c.\*
- 2261 Finishers of Broadwoven fabrics of cotton
- 2262 Finishers of Broadwoven fabrics of manmade fiber and silk
- 2269 Finishers of textiles, n.e.c.\*
- 2273 Carpets and rugs

\*"Not elsewhere classified" indicated by "n.e.c."

Table I

2281	Yarn spinning mills
2282	Yarn texturizing, throwing, twisting, and winding mills
2284	Thread mills
2295	Coated fabrics, not rubberized
2296	Tire cord and fabrics
2297	Nonwoven fabrics
2298	Cordage and twine
2299	Textile goods, n.e.c.*

### 23 Apparel and Other Finished Products made from Fabrics and Other Similar Materials

2311	Men's and boys' suits, coats, and overcoats
2321	Men's and boys' shirts, except work shirts
2322	Men's and boys' underwear and nightwear
2323	Men's and boys' neckwear
2325	Men's and boys' separate trousers and slacks
2326	Men's and boys' work clothing
2329	Men's and boys' clothing, n.e.c.*
2331	Women's, misses', and juniors' blouses and shirts
2335	Women's, misses', and juniors' dresses
2337	Women's, misses', and juniors' suits, skirts, and coats
2339	Women's, misses', and juniors', outerwear, n.e.c.*
2341	Women's, misses', children's, and infants' underwear and nightwear
2342	Brassieres, girdles, and allied garments
2353	Hats, caps, and millinery
2361	Girls', children's and infants' dresses, blouses, and shirts
2369	Girls', children's and infants' outerwear, n.e.c.*
2371	Fur goods
2381	Dress and work gloves, except knit and all leather
2384	Robes and dressing gowns
2385	Waterproof outerwear
2386	Leather and sheep lined clothing
2387	Apparel belts
2389	Apparel and accessories, n.e.c.*
2391	Curtains and draperies
2392	House furnishings, except curtains and draperies
2393	Textile bags
2394	Canvas and related products
2395	Pleating, decorative and novelty stitching, and tucking for the trade
2396	Automotive trimmings, apparel findings, and related products
2397	Schiffli machine embroideries
2399	Fabricated textile products, n.e.c.*

### 24 Lumber and Wood Products, Except Furniture

2411	Logging
2421	Sawmills and planing mills, general
2426	Hardwood dimension and flooring mills
2429	Special product sawmills, n.e.c.*
2431	Millwork
2434	Wood kitchen cabinets
2435	Hardwood veneer and plywood
2436	Softwood veneer and plywood
2439	Structural wood members, n.e.c.*
2441	Nailed and lock corner wood boxes and shook
2448	Wood pallets and skids
2449	Wood containers, n.e.c.*
2451	Mobile homes
2452	Prefabricated wood buildings and components
2491	Wood preserving
2493	Reconstituted wood products
2499	Wood products, n.e.c.*

### 25 Furniture and Fixtures

2511	Wood household furniture, except upholstered
2512	Wood household furniture, upholstered
2514	Metal household furniture
2515	Mattresses, foundations, and convertible beds
2517	Wood television, radio, phonograph, and sewing machine cabinets
2519	Household furniture, n.e.c.*
2521	Wood office furniture
2522	Office furniture, except wood
2531	Public building and related furniture
2541	Wood office and store fixtures, partitions, shelving, and lockers
2542	Office and store fixtures, partitions, shelving, and lockers, except wood
2591	Drapery hardware and window blinds and shades
2599	Furniture and fixtures, n.e.c.*

### 26 Paper and Allied Products

2611	Pulp mills
2621	Paper mills
2631	Paperboard mills
2652	Setup paperboard boxes
2653	Corrugated and solid fiber boxes
2655	Fiber cans, tubes, drums, and similar products
2656	Sanitary food containers, except folding
2657	Folding paperboard boxes, including sanitary
2671	Packaging paper and plastics film, coated and laminated

- 2672 Coated and laminated paper, n.e.c.\*
- 2673 Plastics, foil, and coated paper bags
- 2674 Uncoated paper and multiwall bags
- 2675 Die-cut paper and paperboard and cardboard
- 676 Sanitary paper products
- 2677 Envelopes
- 2678 Stationery tablets, and related products
- 2679 Converted paper and paperboard products, n.e.c.\*

## 27 Printing, Publishing, and Allied Industries

- 2711 Newspapers: publishing, or publishing and printing
- 2721 Periodicals: publishing, or publishing and printing
- 2731 Books: publishing, or publishing and printing
- 2732 Book printing
- 2741 Miscellaneous publishing
- 2752 Commercial printing, lithographic
- 2754 Commercial printing, gravure
- 2759 Commercial printing, n.e.c.\*
- 2761 Manifold business forms
- 2771 Greeting cards
- 2782 Blank books, looseleaf binders and devices
- 2789 Bookbinding and related work
- 2791 Typesetting
- 2796 Plate making and related services

## 28 Chemicals and Allied Products

- 2812 Alkalies and chlorine
- 2813 Industrial gases
- 2816 Inorganic pigments
- 2819 Industrial inorganic chemicals, n.e.c.\*
- 2821 Plastics materials, synthetic resins, and non-vulcanizable elastomers
- 2822 Synthetic rubber (vulcanizable elastomers)
- 2823 Cellulosic manmade fibers
- 2824 Manmade organic fibers, except cellulosic
- 2833 Medicinal chemicals and botanical products
- 2834 Pharmaceutical preparations
- 2835 In vitro and in vivo diagnostic substances
- 2836 Biological products, except diagnostic substances
- 2841 Soap and other detergents, except specialty cleaners
- 2842 Specialty cleaning, polishing, and sanitation preparations
- 2843 Surface active agents, finishing agents, sulfonated oils, and assistants
- 2844 Perfumes, cosmetics, and other toilet preparations

- 851 Paints, varnishes, lacquers, enamels, and allied products
- 2861 Gum and wood chemicals
- 2865 Cyclic organic crudes and intermediates, and organic dyes and pigments
- 2869 Industrial organic chemicals, n.e.c.\*
- 2873 Nitrogenous fertilizers
- 2874 Phosphatic fertilizers
- 2875 Fertilizers, mixing only
- 2879 Pesticides and agricultural chemicals, n.e.c.\*
- 2891 Adhesives and sealants
- 2892 Explosives
- 2893 Printing ink
- 2895 Carbon black
- 2899 Chemicals and chemical preparations, n.e.c.\*

## 29 Petroleum Refining and Related Industries

- 2911 Petroleum refining
- 2951 Asphalt paving mixtures and blocks
- 2952 Asphalt felts and coatings
- 2992 Lubricating oils and greases
- 2999 Products of petroleum and coal, n.e.c.\*

## 30 Rubber and Miscellaneous Plastics Products

- 3011 Tires and inner tubes
- 3021 Rubber and plastics footwear
- 3052 Rubber and plastics hose and belting
- 3053 Gaskets, packing, and sealing devices
- 3061 Molded, extruded, and lathe cut mechanical rubber products
- 3069 Fabricated rubber products, n.e.c.\*
- 3081 Unsupported plastics film and sheet
- 3082 Unsupported plastics profile shapes
- 3083 Laminated plastics plate, sheet, and profile shapes
- 3084 Plastics pipe
- 3085 Plastics bottles
- 3086 Plastics foam products
- 3087 Custom compounding of purchased plastics resins
- 3088 Plastics plumbing fixtures
- 3089 Plastics products, n.e.c.\*

## 31 Leather and Leather Products

- 3111 Leather tanning and finishing
- 3131 Boot and shoe cut stock and findings
- 3142 House slippers
- 3143 Men's footwear, except athletic

\*"Not elsewhere classified" indicated by "n.e.c."

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- 3144 Women's footwear, except athletic
- 3149 Footwear, except rubber, n.e.c.\*
- 3151 Leather gloves and mittens
- 3161 Luggage
- 3171 Women's handbags and purses
- 3172 Personal leather goods, except women's handbags and purses
- 3199 Leather goods, n.e.c.\*

## 32 Stone, Clay, Glass and Concrete Products

- 3211 Flat glass
- 3221 Glass containers
- 3229 Pressed and blown glass and glassware, n.e.c.\*
- 3231 Glass products, made of purchased glass
- 3241 Cement, hydraulic
- 3251 Brick and structural clay tile
- 3253 Ceramic wall and floor tile
- 3255 Clay refractories
- 3259 Structural clay products, n.e.c.\*
- 3261 Vitreous china plumbing fixtures and china and earthenware fittings and bathroom accessories
- 3262 Vitreous china table and kitchen articles
- 3263 Fine earthenware (whiteware) table and kitchen articles
- 3264 Porcelain electrical supplies
- 3269 Pottery products, n.e.c.\*
- 3271 Concrete block and brick
- 3272 Concrete products, except block and brick
- 3273 Ready mixed concrete
- 3274 Lime
- 3275 Gypsum products
- 3281 Cut stone and stone products
- 3291 Abrasive products
- 3292 Asbestos products
- 3295 Minerals and earths, ground or otherwise treated
- 3296 Mineral wool
- 3297 Nonclay refractories
- 3299 Nonmetallic mineral products, n.e.c.\*

## 33 Primary Metal Industries

- 3312 Steel works, blast furnaces (including coke ovens), and rolling mills
- 3313 Electrometallurgical products, except steel
- 3315 Steel wire drawing and steel nails and spikes
- 3316 Cold-rolled steel sheet, strip, and bars
- 3317 Steel pipe and tubes
- 3321 Gray and ductile iron foundries
- 3322 Malleable iron foundries
- 3324 Steel investment foundries

- 3325 Steel foundries, n.e.c.\*
- 3331 Primary smelting and refining of copper
- 3334 Primary production of aluminum
- 3339 Primary smelting and refining of nonferrous metals, except copper and aluminum
- 3341 Secondary smelting and refining of nonferrous metals
- 3351 Rolling, drawing, and extruding of copper
- 3353 Aluminum sheet, plate, and foil
- 3354 Aluminum extruded products
- 3355 Aluminum rolling and drawing, n.e.c.\*
- 3356 Rolling, drawing, and extruding of nonferrous metals, except copper and aluminum
- 3357 Drawing and insulating of nonferrous wire
- 3363 Aluminum die-castings
- 3364 Nonferrous die-castings, except aluminum
- 3365 Aluminum foundries
- 3366 Copper foundries
- 3369 Nonferrous foundries, except aluminum and copper
- 3398 Metal heat treating
- 3399 Primary metal products, n.e.c.\*

## 34 Fabricated Metal Products, except Machinery and Transportation Equipment

- 3411 Metal cans
- 3412 Metal shipping barrels, drums, kegs, and pails
- 3421 Cutlery
- 3423 Hand and edge tools, except machine tools and handsaws
- 3425 Handsaws and saw blades
- 3429 Hardware, n.e.c.\*
- 3431 Enameled iron and metal sanitary ware
- 3432 Plumbing fixture fittings and trim
- 3433 Heating equipment, except electric and warm air furnaces
- 3441 Fabricated structural metal
- 3442 Metal doors, sash, frames, molding, and trim
- 3443 Fabricated plate work (boiler shops)
- 3444 Sheet metal work
- 3446 Architectural and ornamental metal work
- 3448 Prefabricated metal buildings and components
- 3449 Miscellaneous structural metal work
- 3451 Screw machine products
- 3452 Bolts, nuts, screws, rivets, and washers
- 3462 Iron and steel forgings
- 3463 Nonferrous forgings
- 3465 Automotive stampings
- 3468 Crowns and closures
- 3469 Metal stampings, n.e.c.\*
- 3471 Electroplating, plating, polishing, anodizing, and coloring

3479 Coating, engraving and allied services, n.e.c.\*  
 3482 Small arms ammunition  
 3483 Ammunition, except for small arms  
 3484 Small arms  
 3489 Ordnance and accessories, n.e.c.\*  
 3491 Industrial valves  
 3492 Fluid power valves and hose fittings  
 3493 Steel springs, except wire  
 3494 Valves and pipe fittings, n.e.c.\*  
 3495 Wire springs  
 3496 Miscellaneous fabricated wire products  
 3497 Metal foil and leaf  
 3498 Fabricated pipe and pipe fittings  
 3499 Fabricated metal products, n.e.c.\*

### **35 Industrial and Commercial Machinery and Computer Equipment**

3511 Steam, gas and hydraulic turbines, and turbine generator set units  
 3519 Internal combustion engines, n.e.c.\*  
 3523 Farm machinery and equipment  
 3524 Lawn and garden tractors and home lawn and garden equipment  
 3531 Construction machinery and equipment  
 3532 Mining machinery and equipment, except oil and gas field machinery and equipment  
 3533 Oil and gas field machinery and equipment  
 3534 Elevators and moving stairways  
 3535 Conveyors and conveying equipment  
 3536 Overhead traveling cranes, hoists, and monorail systems  
 3537 Industrial trucks, tractors, trailers, and stackers  
 3541 Machine tools, metal cutting types  
 3542 Machine tools, metal forming types  
 3543 Industrial patterns  
 3544 Special dies and tools, die sets, jigs and fixtures, and industrial molds  
 3545 Cutting tools, machine tool accessories, and machinists' measuring devices  
 3546 Power driven handtools  
 3547 Rolling mill machinery and equipment  
 3548 Electric and gas welding and soldering equipment  
 3549 Metalworking machinery, n.e.c.\*  
 3552 Textile machinery  
 3553 Woodworking machinery  
 3554 Paper industries machinery  
 3555 Printing trades machinery and equipment  
 3556 Food products machinery  
 3559 Special industry machinery, n.e.c.\*  
 3561 Pumps and pumping equipment  
 3562 Ball and roller bearings

3563 Air and gas compressors  
 3564 Industrial and commercial fans and blowers and air purification equipment  
 3565 Packaging equipment  
 3566 Speed changers, industrial high speed drives, and gears  
 3567 Industrial process furnaces and ovens  
 3568 Mechanical power transmission equipment, n.e.c.\*  
 3569 General industrial machinery and equipment, n.e.c.\*  
 3571 Electronic computers  
 3572 Computer storage devices  
 3575 Computer terminals  
 3577 Computer peripheral equipment, n.e.c.\*  
 3578 Calculating and accounting machines, except electronic computers  
 3579 Office machines, n.e.c.\*  
 3581 Automatic vending machines  
 3582 Commercial laundry, dry-cleaning, and pressing machines  
 3585 Air conditioning and warm air heating equipment and commercial and industrial refrigeration equipment  
 3586 Measuring and dispensing pumps  
 3589 Service industry machinery, n.e.c.\*  
 3592 Carburetors, pistons, piston rings, and valves  
 3593 Fluid power cylinders and actuators  
 3594 Fluid power pumps and motors  
 3596 Scales and balances, except laboratory  
 3599 Industrial and commercial machinery and equipment, n.e.c.\*

### **36 Electronic and Other Electrical Equipment and Components, Except Computer Equipment**

3612 Power, distribution, and specialty transformers  
 3613 Switchgear and switchboard apparatus  
 3621 Motors and generators  
 3624 Carbon and graphite products  
 3625 Relays and industrial controls  
 3629 Electrical industrial appliances, n.e.c.\*  
 3631 Household cooking equipment  
 3632 Household refrigerators and home and farm freezers  
 3633 Household laundry equipment  
 3634 Electrical housewares and fans  
 3635 Household vacuum cleaners  
 3639 Household appliances, n.e.c.\*  
 3641 Electric lampbulbs and tubes  
 3643 Current carrying wiring devices  
 3644 Noncurrent carrying wiring devices  
 3645 Residential electric lighting fixtures

\*"Not elsewhere classified" indicated by "n.e.c."

Table I

3646	Commercial, industrial, and institutional electric lighting fixtures	38	<b>Measuring, Analyzing, and Controlling Instruments; Photographic, Medical and Optical Goods; Watches and Clocks</b>
3647	Vehicular lighting equipment	3812	Search, detection, navigation, guidance, aeronautical, and nautical systems and instruments
3648	Lighting equipment, n.e.c.*	3821	Laboratory apparatus and furniture
3651	Household audio and video equipment	3822	Automatic controls for regulating residential and commercial environments and appliances
3652	Phonograph records and pre-recorded audio tapes and disks	3823	Industrial instruments for measurement, display, and control of process variables; and related products
3661	Telephone and telegraph apparatus	3824	Totalizing fluid meters and counting devices
3663	Radio and television broadcasting and communications equipment	3825	Instruments for measuring and testing of electricity and electrical signals
3669	Communications equipment, n.e.c.*	3826	Laboratory analytical instruments
3671	Electron tubes	3827	Optical instruments and lenses
3672	Printed circuit boards	3829	Measuring and controlling devices, n.e.c.*
3674	Semiconductors and related devices	3841	Surgical and medical instruments and apparatus
3675	Electronic capacitors	3842	Orthopedic, prosthetic, and surgical appliances and supplies
3676	Electronic resistors	3843	Dental equipment and supplies
3677	Electronic coils, transformers, and other inductors	3844	X-ray apparatus and tubes and related irradiation apparatus
3678	Electronic connectors	3845	Electromedical and electrotherapeutic apparatus
3679	Electronic components, n.e.c.*	3851	Ophthalmic goods
3691	Storage batteries	3861	Photographic equipment and supplies
3692	Primary batteries, dry and wet	3873	Watches, clocks, clockwork operated devices, and parts
3694	Electric equipment for internal combustion engines		
3695	Magnetic and optical recording media		
3699	Electrical machinery, equipment, and supplies, n.e.c.*		
<b>37</b>	<b>Transportation Equipment</b>	<b>39</b>	<b>Miscellaneous Manufacturing Industries</b>
3711	Motor vehicles and passenger car bodies	3911	Jewelry, precious metal
3713	Truck and bus bodies	3914	Silverware, plated ware, and stainless steel ware
3714	Motor vehicle parts and accessories	3915	Jewelers' findings and materials, and lapidary work
3715	Truck trailers	3931	Musical instruments
3716	Motor homes	3942	Dolls and stuffed toys
3721	Aircraft	3944	Games, toys and children's vehicles; except dolls and bicycles
3724	Aircraft engines and engine parts	3949	Sporting and athletic goods, n.e.c.*
3728	Aircraft parts and auxiliary equipment, n.e.c.*	3951	Pens, mechanical pencils, and parts
3731	Ship building and repairing	3952	Lead pencils, crayons, and artists' materials
3732	Boat building and repairing	3953	Marking devices
3743	Railroad equipment	3955	Carbon paper and inked ribbons
3751	Motorcycles, bicycles and parts	3961	Costume jewelry and costume novelties, except precious metal
3761	Guided missiles and space vehicles	3965	Fasteners, buttons, needles, and pins
3764	Guided missile and space vehicle propulsion units and propulsion unit parts		
3769	Guided missile and space vehicle parts and auxiliary equipment, n.e.c.*		
3792	Travel trailers and campers		
3795	Tanks and tank components		
3799	Transportation equipment, n.e.c.*		

Table I

- 3991 Brooms and brushes
- 3993 Signs and advertising specialties
- 3995 Burial caskets
- 3996 Linoleum, asphalted-felt-base, and other hard surface floor coverings, n.e.c.\*
- 3999 Manufacturing industries, n.e.c.\*

**49 Electric, Gas, and Sanitary Services** (limited to 4911, 4931, 4939 and 4953)

- 4911 Electric Services (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce)
- 4931 Electric and Other Services Combined (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce)

- 4939 Combination utilities, Not Elsewhere Classified (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce)
- 4953 Refuse Systems (limited to facilities regulated under the RCRA Subtitle C, 42 U.S.C. section 6921 *et seq.*)

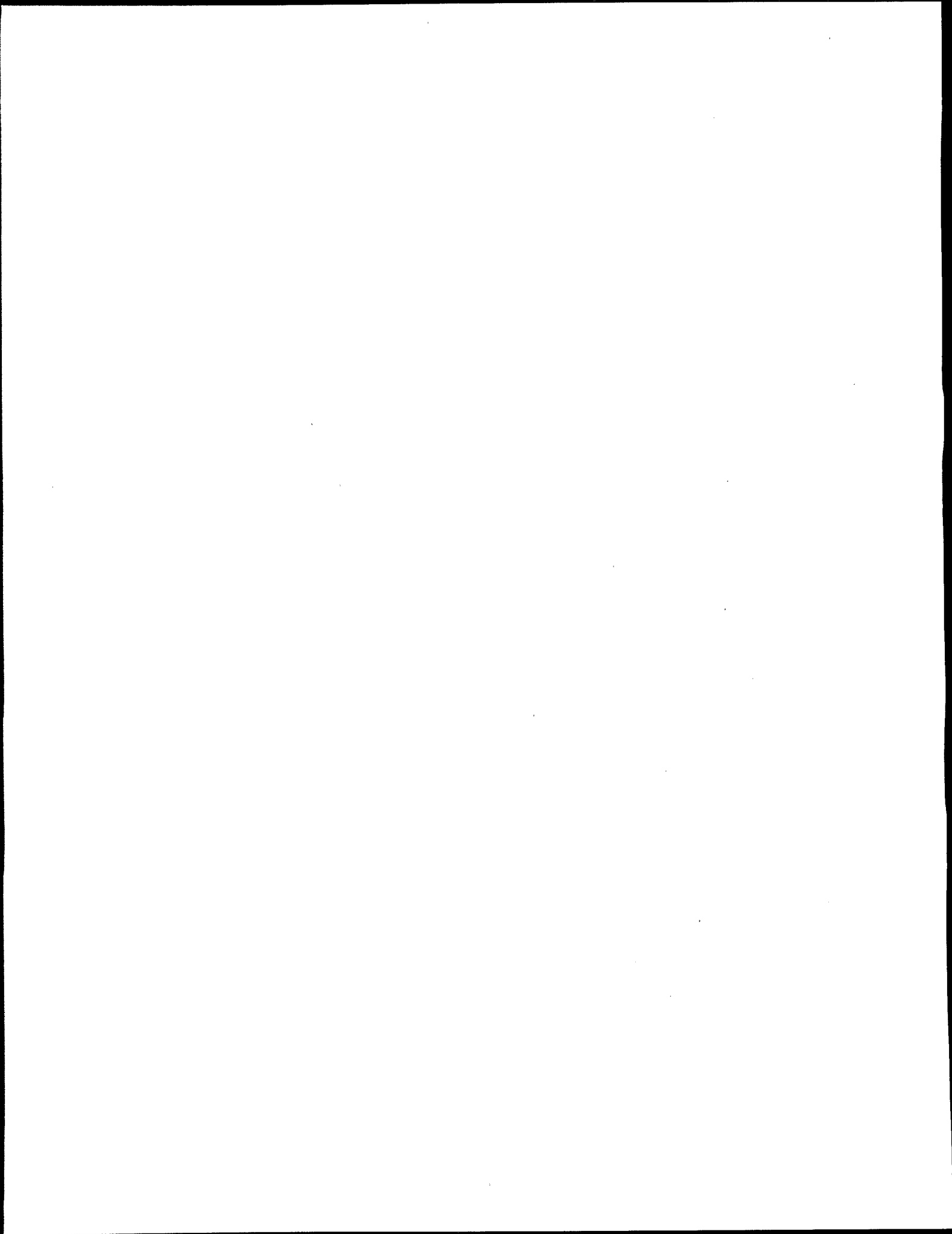
**51 Wholesale Trade-Nondurable Goods** (limited to 5169 and 5171)

- 5169 Chemical and Allied Products, Not Elsewhere Classified
- 5171 Petroleum Terminals and Bulk Stations

**73 Business Services** (limited to 7389)

- 7389 Business Services, Not Elsewhere Classified (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis)

\*"Not elsewhere classified" indicated by "n.e.c."



## Table II. EPCRA Section 313 Chemical List For Reporting Year 1998 (including Toxic Chemical Categories)

Specific EPCRA Section 313 chemicals with CAS Numbers are listed in alphabetical starting on page II-3. A list of the same chemicals in CAS Number order begins at the end of the alphabetical list of EPCRA Section 313 chemicals. Covered chemical categories follow.

Certain EPCRA Section 313 chemicals listed in Table II have parenthetical "qualifiers." These qualifiers indicate that these EPCRA Section 313 chemicals are subject to the section 313 reporting requirements if manufactured, processed, or otherwise used in a specific form or when a certain activity is performed. The following chemicals are reportable only if they are manufactured, processed, or otherwise used in the specific form(s) listed below:

<u>Chemical</u>	<u>CAS Number</u>	<u>Qualifier</u>
Aluminum (fume or dust)	7429-90-5	<u>Only</u> if it is in a fume or dust form.
Aluminum oxide (fibrous forms)	1344-28-1	<u>Only</u> if it is a fibrous form.
Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	7664-41-7	<u>Only</u> 10 percent of aqueous forms. 100 percent of anhydrous forms.
Asbestos (friable)	1332-21-4	<u>Only</u> if it is a friable form.
Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7647-01-0	<u>Only</u> if it is an aerosol form as defined.
Phosphorus (yellow or white)	7723-14-0	<u>Only</u> if it is a yellow or white form.
Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7664-93-9	<u>Only</u> if it is an aerosol form as defined.
Vanadium (fume or dust)	7440-62-2	<u>Only</u> if it is in a fume or dust form.
Zinc (fume or dust)	7440-66-6	<u>Only</u> if it is in a fume or dust form.

The qualifier for the following two chemicals is based on the chemical activity rather than the form of the chemical. These chemicals are subject to EPCRA section 313 reporting requirements only when the indicated activity is performed.

<u>Chemical</u>	<u>CAS Number</u>	<u>Qualifier</u>
Isopropyl alcohol (manufacturing - strong acid process, no supplier notification)	67-63-0	<u>Only</u> if it is being manufactured by the Strong acid process.
Saccharin (manufacturing, no supplier notification)	81-07-2	<u>Only</u> if it is being manufactured.

There are no supplier notification requirements for isopropyl alcohol and saccharin since the processors and users of these chemicals are not required to report. Manufacturers of these chemicals do not need to notify their customers that these are reportable EPCRA section 313 chemicals

Table II

[Note: Chemicals may be added to or deleted from the list. The Emergency Planning and Community Right-to-Know Information Hotline, (800) 535-0202, (800) 424-9346 or (703) 412-9877, will provide up-to-date information on the status of these changes. See section B.4.b of the instructions for more information on the *de minimis* values listed below.]

## Chemical Qualifiers

This table contains the list of individual EPCRA Section 313 chemicals and categories of chemicals subject to 1998 calendar year reporting. Some of the EPCRA Section 313 chemicals listed have parenthetical qualifiers listed next to them. An EPCRA Section 313 chemical that is listed without a qualifier is subject to reporting in all forms in which it is manufactured, processed, and otherwise used.

**Fume or dust.** Three of the metals on the list (aluminum, vanadium, and zinc) contain the qualifier "fume or dust." Fume or dust refers to dry forms of these metals but does not refer to "wet" forms such as solutions or slurries. As explained in Section B.3.a of these instructions, the term manufacture includes the generation of an EPCRA Section 313 chemical as a byproduct or impurity. In such cases, a facility should determine if, for example, it generated more than 25,000 pounds of aluminum fume or dust in the reporting year as a result of its activities. If so, the facility must report that it manufactures "aluminum (fume or dust)." Similarly, there may be certain technologies in which one of these metals is processed in the form of a fume or dust to make other EPCRA Section 313 chemicals or other products for distribution in commerce. In reporting releases, the facility would only report releases of the fume or dust.

EPA considers dusts to consist of solid particles generated by any mechanical processing of materials including crushing, grinding, rapid impact, handling, detonation, and decrepitation of organic and inorganic materials such as rock, ore, and metal. Dusts do not tend to flocculate, except under electrostatic forces. A fume is an airborne dispersion consisting of small solid particles created by condensation from a gaseous state, in distinction to a gas or vapor. Fumes arise from the heating of solids such as lead. The condensation is often accompanied by a chemical reaction, such as oxidation. Fumes flocculate and sometimes coalesce.

**Manufacturing qualifiers.** Two of the entries to the section 313 EPCRA Section 313 chemical list contain a qualifier relating to manufacture. For isopropyl alcohol, the qualifier is "manufacturing — strong acid process." For saccharin, the qualifier simply is "manufacturing." For isopropyl alcohol, the qualifier means that only facilities manufacturing isopropyl alcohol by the strong acid process are required to report. In the case of

saccharin, only manufacturers of the EPCRA Section 313 chemical are subject to the reporting requirements. A facility that processes or otherwise uses either EPCRA Section 313 chemical would not be required to report for those EPCRA Section 313 chemicals. In both cases, supplier notification does not apply because only manufacturers, not users, of the EPCRA Section 313 chemical must report.

**Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing).** The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore when determining threshold and releases and other waste management quantities all anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. Any evaporation of ammonia from aqueous ammonia solutions is considered anhydrous ammonia and should be included in threshold determinations and release and other waste management calculations.

**Sulfuric acid and Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size).** The qualifier for sulfuric acid and hydrochloric acid means that the only forms of this chemical that are reportable are aerosols. Aqueous solutions are not covered by this listing but any aerosols generated from aqueous solutions are covered.

**Nitrate compounds (water dissociable; reportable only when in aqueous solution).** The qualifier for the nitrate compounds category limits the reporting to nitrate compounds that dissociate in water, generating nitrate ion. For the purposes of threshold determinations the entire weight of the nitrate compound must be included in all calculations. For the purposes of reporting releases and other waste management quantities only the weight of the nitrate ion should be included in the calculations of these quantities.

**Phosphorus (yellow or white).** The listing for phosphorus is qualified by the term "yellow or white." This means that only manufacturing, processing, or otherwise use of phosphorus in the yellow or white chemical form triggers reporting. Conversely,

Table II

manufacturing, processing, or otherwise use of "black" or "red" phosphorus does not trigger reporting. Supplier notification also applies only to distribution of yellow or white phosphorus.

**Asbestos (friable).** The listing for asbestos is qualified by the term "friable," referring to the physical characteristic of being able to be crumbled, pulverized, or reducible to a powder with hand pressure. Only manufacturing, processing, or otherwise use of asbestos in the friable form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing friable asbestos.

**Aluminum Oxide (fibrous forms).** The listing for aluminum oxide is qualified by the term "fibrous forms." Fibrous refers to a man-made form of aluminum oxide that is processed to produce strands or filaments which can be cut to various lengths depending on the application. Only manufacturing, processing, or otherwise use of aluminum oxide in the fibrous form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing fibrous forms of aluminum oxide.

## a. Alphabetical List of TRI Chemicals

CAS Number	Chemical Name	De Minimis Concentration
71751-41-2	Abamectin [Avermectin B1]	1.0
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
75-07-0	Acetaldehyde	0.1
60-35-5	Acetamide	0.1
75-05-8	Acetonitrile	1.0
98-86-2	Acetophenone	1.0
53-96-3	2-Acetylaminofluorene	0.1
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)- phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0
107-02-8	Acrolein	1.0
79-06-1	Acrylamide	0.1
79-10-7	Acrylic acid	1.0
107-13-1	Acrylonitrile	0.1
15972-60-8	Alachlor	1.0
116-06-3	Aldicarb	1.0
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a, 5,8,8a-hexahydro-(1.alpha., 4.alpha.,4a.beta.,5.alpha.,8.alpha., 8a.beta.)-]	1.0
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrine]	1.0
107-18-6	Allyl alcohol	1.0
107-11-9	Allylamine	1.0
107-05-1	Allyl chloride	1.0
7429-90-5	Aluminum (fume or dust)	1.0
20859-73-8	Aluminum phosphide	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0
834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6- (methylthio)-1,3,5-triazine- 2,4-diamine)	1.0
117-79-3	2-Aminoanthraquinone	0.1
60-09-3	4-Aminoazobenzene	0.1
92-67-1	4-Aminobiphenyl	0.1
82-28-0	1-Amino-2-methylanthraquinone	0.1
33089-61-1	Amitraz	1.0
61-82-5	Amitrole	0.1

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0	314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidinedione)	1.0
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazine-2-amine]	1.0	53404-19-6	Bromacil, lithium salt [2,4(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt]	1.0
62-53-3	Aniline	1.0	7726-95-6	Bromine	1.0
90-04-0	o-Anisidine	0.1	35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0
104-94-9	p-Anisidine	1.0	353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0
134-29-2	o-Anisidine hydrochloride	0.1	75-25-2	Bromoform (Tribromomethane)	1.0
120-12-7	Anthracene	1.0	74-83-9	Bromomethane (Methyl bromide)	1.0
7440-36-0	Antimony	1.0	75-63-8	Bromotrifluoromethane (Halon 1301)	1.0
7440-38-2	Arsenic	0.1	1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzonitrile)	1.0
1332-21-4	Asbestos (friable)	0.1	1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4-cyanophenylester)	1.0
1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methylethyl)-1,3,5-triazine-2,4-diamine)	0.1	357-57-3	Brucine	1.0
7440-39-3	Barium	1.0	106-99-0	1,3-Butadiene	0.1
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0	141-32-2	Butyl acrylate	1.0
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)-benzenamine)	1.0	71-36-3	n-Butyl alcohol	1.0
17804-35-2	Benomyl	1.0	78-92-2	sec-Butyl alcohol	1.0
98-87-3	Benzal chloride	1.0	75-65-0	tert-Butyl alcohol	1.0
55-21-0	Benzamide	1.0	106-88-7	1,2-Butylene oxide	1.0
71-43-2	Benzene	0.1	123-72-8	Butyraldehyde	1.0
92-87-5	Benzidine	0.1	7440-43-9	Cadmium	0.1
98-07-7	Benzoic trichloride (Benzotrichloride)	0.1	156-62-7	Calcium cyanamide	1.0
98-88-4	Benzoyl chloride	1.0	133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]	1.0
94-36-0	Benzoyl peroxide	1.0	63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0
100-44-7	Benzyl chloride	1.0	1563-66-2	Carbofuran	1.0
7440-41-7	Beryllium	0.1	75-15-0	Carbon disulfide	1.0
82657-04-3	Bifenthrin	1.0	56-23-5	Carbon tetrachloride	0.1
92-52-4	Biphenyl	1.0	463-58-1	Carbonyl sulfide	1.0
111-91-1	Bis(2-chloroethoxy) methane	1.0	5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0
111-44-4	Bis(2-chloroethyl) ether	1.0	120-80-9	Catechol	1.0
542-88-1	Bis(chloromethyl) ether	0.1	2439-01-2	Chinomethionat [6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one]	1.0
108-60-1	Bis(2-chloro-1-methylethyl)ether	1.0			
56-35-9	Bis(tributyltin) oxide	1.0			
10294-34-5	Boron trichloride	1.0			
7637-07-2	Boron trifluoride	1.0			

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
133-90-4	Chloramben	1.0	5598-13-0	Chlorpyrifos methyl	1.0
57-74-9	[Benzoic acid, 3-amino-2,5-dichloro-] Chlordane	0.1	64902-72-3	[O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate] Chlorsulfuron	1.0
115-28-6	[4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro- 2,3,3a,4,7,7a-hexahydro-] Chlorendic acid	0.1	7440-47-3	[2-Chloro-N-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]benzenesulfonamide]	1.0
90982-32-4	Chlorimuron ethyl	1.0	4680-78-8	Chromium	1.0
7782-50-5	[Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)amino]carbonyl]amino]sulfonyl]benzoate]	1.0	6459-94-5	C.I. Acid Green 3	1.0
10049-04-4	Chlorine	1.0	569-64-2	C.I. Acid Red 114	0.1
79-11-8	Chlorine dioxide	1.0	989-38-8	C.I. Basic Green 4	1.0
532-27-4	Chloroacetic acid	1.0	1937-37-7	C.I. Basic Red 1	1.0
4080-31-3	2-Chloroacetophenone	1.0	2602-46-2	C.I. Direct Black 38	0.1
106-47-8	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0	28407-37-6	C.I. Direct Blue 6	0.1
108-90-7	p-Chloroaniline	0.1	16071-86-6	C.I. Direct Blue 218	1.0
510-15-6	Chlorobenzene	1.0	2832-40-8	C.I. Direct Brown 95	0.1
75-68-3	Chlorobenzilate	1.0	3761-53-3	C.I. Disperse Yellow 3	1.0
75-45-6	[Benzeneacetic acid, 4-chloro-.alpha.-(4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0	81-88-9	C.I. Food Red 5	0.1
75-00-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0	3118-97-6	C.I. Food Red 15	1.0
67-66-3	Chlorodifluoromethane (HCFC-22)	1.0	97-56-3	C.I. Solvent Orange 7	1.0
74-87-3	Chloroethane (Ethyl chloride)	1.0	842-07-9	C.I. Solvent Yellow 3	1.0
107-30-2	Chloroform	0.1	492-80-8	C.I. Solvent Yellow 14	1.0
563-47-3	Chloromethane (Methyl chloride)	1.0	128-66-5	C.I. Solvent Yellow 34	0.1
104-12-1	Chloromethyl methyl ether	0.1	7440-48-4	(Auramine)	1.0
76-06-2	3-Chloro-2-methyl-1-propene	0.1	7440-50-8	C.I. Vat Yellow 4	1.0
126-99-8	p-Chlorophenyl isocyanate	1.0	8001-58-9	Cobalt	0.1
542-76-7	Chloropicrin	1.0	120-71-8	Copper	1.0
63938-10-3	Chloroprene	1.0	108-39-4	Creosote	0.1
354-25-6	3-Chloropropionitrile	1.0	95-48-7	p-Cresidine	0.1
2837-89-0	Chlorotetrafluoroethane	1.0	106-44-5	m-Cresol	1.0
1897-45-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0	1319-77-3	o-Cresol	1.0
95-69-2	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0	4170-30-3	p-Cresol	1.0
75-88-7	Chlorothalonil	1.0	98-82-8	Cresol (mixed isomers)	1.0
75-72-9	[1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]	0.1	80-15-9	Crotonaldehyde	1.0
460-35-5	p-Chloro-o-toluidine	1.0	135-20-6	Cumene	1.0
	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0		Cumene hydroperoxide	1.0
	Chlorotrifluoromethane (CFC-13)	1.0		Cupferron	0.1
	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0		[Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	
			21725-46-2	Cyanazine	1.0
			1134-23-2	Cycloate	1.0
			110-82-7	Cyclohexane	1.0
			108-93-0	Cyclohexanol	1.0
			68359-37-5	Cyfluthrin	1.0
				[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl) methyl ester]	

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
68085-85-8	Cyhalothrin	1.0	91-94-1	3,3'-Dichlorobenzidine	0.1
	[3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester]		612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1
94-75-7	2,4-D	0.1	64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1
	[Acetic acid, (2,4-dichlorophenoxy)-]		75-27-4	Dichlorobromomethane	1.0
533-74-1	Dazomet	1.0	764-41-0	1,4-Dichloro-2-butene	1.0
	(Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)		110-57-6	trans-1,4-Dichloro-2-butene	1.0
53404-60-7	Dazomet, sodium salt	1.0	1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0
	[Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium]		75-71-8	Dichlorodifluoromethane (CFC-12)	1.0
94-82-6	2,4-DB	1.0	107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1
1929-73-3	2,4-D butoxyethyl ester	0.1	540-59-0	1,2-Dichloroethylene	1.0
94-80-4	2,4-D butyl ester	0.1	1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0
2971-38-2	2,4-D chlorocrotyl ester	0.1	75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
1163-19-5	Decabromodiphenyl oxide	1.0	75-09-2	Dichloromethane (Methylene chloride)	0.1
13684-56-5	Desmedipham	1.0	127564-92-5	Dichloropentafluoropropane	1.0
1928-43-4	2,4-D 2-ethylhexyl ester	0.1	13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane (HCFC-225cc)	1.0
53404-37-8	2,4-D 2-ethyl-4-methylpentyl ester	0.1	111512-56-2	1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0
2303-16-4	Diallate	1.0	422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0
	[Carbamothioic acid, bis(1-methylethyl)-S-(2,3-dichloro-2-propenyl) ester]		431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0
615-05-4	2,4-Diaminoanisole	0.1	507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0
39156-41-7	2,4-Diaminoanisole sulfate	0.1	136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0
101-80-4	4,4'-Diaminodiphenyl ether	0.1	128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0
95-80-7	2,4-Diaminotoluene	0.1	22-48-0	2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0
25376-45-8	Diaminotoluene (mixed isomers)	0.1	422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0
333-41-5	Diazinon	1.0	97-23-4	Dichlorophene	1.0
334-88-3	Diazomethane	1.0		[2,2'-Methylenebis(4-chlorophenol)]	1.0
132-64-9	Dibenzofuran	1.0	120-83-2	2,4-Dichlorophenol	1.0
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1	78-87-5	1,2-Dichloropropane	1.0
106-93-4	1,2-Dibromoethane	0.1	10061-02-6	trans-1,3-Dichloropropene	0.1
	(Ethylene dibromide)		78-88-6	2,3-Dichloropropene	1.0
124-73-2	Dibromotetrafluoroethane	1.0	542-75-6	1,3-Dichloropropylene	0.1
	(Halon 2402)		76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0
84-74-2	Dibutyl phthalate	1.0	34077-87-7	Dichlorotrifluoroethane	1.0
1918-00-9	Dicamba	1.0	90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
	(3,6-Dichloro-2-methoxybenzoic acid)		812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
99-30-9	Dichloran	1.0			
	[2,6-Dichloro-4-nitroaniline]				
95-50-1	1,2-Dichlorobenzene	1.0			
541-73-1	1,3-Dichlorobenzene	1.0			
106-46-7	1,4-Dichlorobenzene	0.1			
25321-22-6	Dichlorobenzene (mixed isomers)	0.1			

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0	105-67-9	2,4-Dimethylphenol	1.0
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0	131-11-3	Dimethyl phthalate	1.0
62-73-7	Dichlorvos	0.1	77-78-1	Dimethyl sulfate	0.1
	[Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]		99-65-0	m-Dinitrobenzene	1.0
51338-27-3	Diclofop methyl	1.0	528-29-0	o-Dinitrobenzene	1.0
	[2-[4-(2,4-Dichlorophenoxy)phenoxy]propanoic acid, methyl ester]		100-25-4	p-Dinitrobenzene	1.0
115-32-2	Dicofol	1.0	88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0
	[Benzenemethanol, 4-chloro-.alpha.-4-(chlorophenyl)-.alpha.-(trichloromethyl)-]		534-52-1	4,6-Dinitro-o-cresol	1.0
77-73-6	Dicyclopentadiene	1.0	51-28-5	2,4-Dinitrophenol	1.0
1464-53-5	Diepoxybutane	0.1	121-14-2	2,4-Dinitrotoluene	0.1
111-42-2	Diethanolamine	1.0	606-20-2	2,6-Dinitrotoluene	0.1
38727-55-8	Diethylamine	1.0	25321-14-6	Dinitrotoluene (mixed isomers)	1.0
117-81-7	Di(2-ethylhexyl) phthalate (DEHP)	0.1	39300-45-3	Dinocap	1.0
64-67-5	Diethyl sulfate	0.1	123-91-1	1,4-Dioxane	0.1
35367-38-5	Diflubenzuron	1.0	957-51-7	Diphenamid	1.0
101-90-6	Diglycidyl resorcinol ether	0.1	122-39-4	Diphenylamine	1.0
94-58-6	Dihydrosafrole	0.1	122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1
55290-64-7	Dimethipin	1.0	2164-07-0	Dipotassium endosulf	1.0
	[2,3-Dihydro-5,6-dimethyl-1,4-dithiin-1,1,4,4-tetraoxide]			[7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt]	
60-51-5	Dimethoate	1.0	136-45-8	Dipropyl isocinchomeronate	1.0
119-90-4	3,3'-Dimethoxybenzidine dihydrochloride)	0.1	138-93-2	Disodium cyanodithioimidocarbonate	
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride(o-Dianisidine	0.1	94-11-1	2,4-D isopropyl ester	0.1
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1	541-53-7	2,4-Dithiobiuret	1.0
124-40-3	Dimethylamine	1.0	330-54-1	Diuron	1.0
2300-66-5	Dimethylamine dicamba	1.0	2439-10-3	Dodine [Dodecylguanidine monoacetate]	1.0
60-11-7	4-Dimethylaminoazobenzene	0.1	120-36-5	2,4-DP	0.1
121-69-7	N,N-Dimethylaniline	1.0	1320-18-9	2,4-D propylene glycol butyl ether ester	0.1
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	2702-72-9	2,4-D sodium salt	0.1
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1	106-89-8	Epichlorohydrin	0.1
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1	13194-48-4	Ethoprop	1.0
79-44-7	Dimethylcarbamyl chloride	0.1		[Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	
2524-03-0	Dimethyl chlorothiophosphate	1.0	110-80-5	2-Ethoxyethanol	1.0
68-12-2	N,N-Dimethylformamide	0.1	140-88-5	Ethyl acrylate	0.1
57-14-7	1,1-Dimethylhydrazine	0.1	100-41-4	Ethylbenzene	1.0
			541-41-3	Ethyl chloroformate	1.0
			759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
			74-85-1	Ethylene	1.0
			107-21-1	Ethylene glycol	1.0
			151-56-4	Ethyleneimine (Aziridine)	0.1
			75-21-8	Ethylene oxide	0.1
			96-45-7	Ethylene thiourea	0.1
			75-34-3	Ethylidene dichloride	1.0
			52-85-7	Famphur	1.0

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
60168-88-9	Fenarimol	1.0	76-13-1	Freon 113 [Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]	1.0
13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distanoxane)	1.0	76-44-8	Heptachlor [1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]	0.1
66441-23-4	Fenoxaprop ethyl [2-(4-((6-Chloro-2-benzoxazolylen)-oxy)phenoxy)propanoic acid, ethyl ester]	1.0	118-74-1	Hexachlorobenzene	0.1
72490-01-8	Fenoxycarb [[2-(4-Phenoxyphenoxy)ethyl]carbamic acid ethyl ester]	1.0	87-68-3	Hexachloro-1,3-butadiene	1.0
39515-41-8	Fenpropathrin [2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0	319-84-6	alpha-Hexachlorocyclohexane	1.0
55-38-9	Fenthion [O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid]	1.0	77-47-4	Hexachlorocyclopentadiene	1.0
51630-58-1	Fenvalerate [4-Chloro-alpha-(1-methylethyl)benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester]	1.0	67-72-1	Hexachloroethane	1.0
14484-64-1	Ferbam [Tris(dimethylcarbamodithioato-S,S')iron]	1.0	1335-87-1	Hexachloronaphthalene	1.0
69806-50-4	Fluazifop butyl [2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester]	1.0	70-30-4	Hexachlorophene	1.0
2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	1.0	680-31-9	Hexamethylphosphoramide	0.1
7782-41-4	Fluorine	1.0	110-54-3	n-Hexane	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0	51235-04-2	Hexazinone	1.0
69409-94-5	Fluvalinate [N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)-methyl ester]	1.0	67485-29-4	Hydramethylnon [Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenylidene]hydrazone]	1.0
133-07-3	Folpet	1.0	302-01-2	Hydrazine	0.1
72178-02-0	Fomesafen [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl-2-nitrobenzamide]	1.0	10034-93-2	Hydrazine sulfate	0.1
50-00-0	Formaldehyde	0.1	7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
64-18-6	Formic acid	1.0	74-90-8	Hydrogen cyanide	1.0
			7664-39-3	Hydrogen fluoride	1.0
			123-31-9	Hydroquinone	1.0
			35554-44-0	Imazalil [1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole]	1.0
			55406-53-6	3-Iodo-2-propynyl butylcarbamate	1.0
			13463-40-6	Iron pentacarbonyl	1.0
			78-84-2	Isobutyraldehyde	1.0
			465-73-6	Isodrin	1.0
			25311-71-1	Isofenphos[2-[[Ethoxyl[(1-methylethyl)amino]-phosphinothioyl]oxy]benzoic acid 1-methylethyl ester]	1.0
			67-63-0	Isopropyl alcohol (manufacturing-strong acid process, no supplier notification)	1.0
			80-05-7	4,4'-Isopropylidenediphenol	1.0
			120-58-1	Isosafrole	1.0

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
77501-63-4	Lactofen	1.0	60-34-4	Methyl hydrazine	1.0
	[Benzoic acid, 5-[2-Chloro-4-(trifluoromethyl)phenoxy]-2-nitro-, 2-ethoxy-1-methyl-2-oxoethyl ester]		74-88-4	Methyl iodide	1.0
7439-92-1	Lead	0.1	108-10-1	Methyl isobutyl ketone	1.0
58-89-9	Lindane	0.1	624-83-9	Methyl isocyanate	1.0
	[Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1.alpha., 2.alpha., 3.beta., 4.alpha., 5.alpha., 6.beta.)-]		556-61-6	Methyl isothiocyanate	1.0
330-55-2	Linuron	1.0		[Isothiocyanatomethane]	
554-13-2	Lithium carbonate	1.0	75-86-5	2-Methylacetonitrile	1.0
121-75-5	Malathion	1.0	80-62-6	Methyl methacrylate	1.0
108-31-6	Maleic anhydride	1.0	924-42-5	N-Methylolacrylamide	1.0
109-77-3	Malononitrile	1.0	298-00-0	Methyl parathion	1.0
12427-38-2	Maneb	1.0	109-06-8	2-Methylpyridine	1.0
	[Carbamodithioic acid, 1,2-ethanediyldis-, manganese complex]		872-50-4	N-Methyl-2-pyrrolidone	1.0
7439-96-5	Manganese	1.0	9006-42-2	Metiram	1.0
93-65-2	Mecoprop	0.1	21087-64-9	Metribuzin	1.0
149-30-4	2-Mercaptobenzothiazole (MBT)	1.0	7786-34-7	Mevinphos	1.0
7439-97-6	Mercury	1.0	90-94-8	Michler's ketone	0.1
150-50-5	Merphos	1.0	2212-67-1	Molinate	1.0
126-98-7	Methacrylonitrile	1.0		(1H-Azepine-1-carbothioic acid, hexahydro-, S-ethyl ester)	
137-42-8	Metham sodium (Sodium methyldithiocarbamate)	1.0	1313-27-5	Molybdenum trioxide	1.0
67-56-1	Methanol	1.0	76-15-3	Monochloropentafluoroethane (CFC-115)	1.0
20354-26-1	Methazole	1.0	150-68-5	Monuron	1.0
	[2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione]		505-60-2	Mustard gas	0.1
2032-65-7	Methiocarb	1.0	88671-89-0	[Ethane, 1,1'-thiobis[2-chloro-]]	
94-74-6	Methoxone	0.1		Myclobutanil	1.0
	((4-Chloro-2-methylphenoxy)acetic acid) (MCPA)			[.alpha.-Butyl-.alpha.-(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile]	
3653-48-3	Methoxone sodium salt	0.1	142-59-6	Nabam	1.0
	((4-Chloro-2-methylphenoxy)acetate sodium salt)		300-76-5	Naled	1.0
72-43-5	Methoxychlor	1.0	91-20-3	Naphthalene	1.0
	[Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-methoxy-]]		134-32-7	alpha-Naphthylamine	0.1
109-86-4	2-Methoxyethanol	1.0	91-59-8	beta-Naphthylamine	0.1
96-33-3	Methyl acrylate	1.0	7440-02-0	Nickel	0.1
1634-04-4	Methyl tert-butyl ether	1.0	1929-82-4	Nitrapyrin	1.0
79-22-1	Methyl chlorocarbonate	1.0		(2-Chloro-6-(trichloromethyl)-pyridine)	
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1	7697-37-2	Nitric acid	1.0
101-61-1	4,4'-Methylenebis(N,N-dimethyl)benzenamine	0.1	139-13-9	Nitrilotriacetic acid	0.1
74-95-3	Methylene bromide	1.0	100-01-6	p-Nitroaniline	1.0
101-77-9	4,4'-Methylenedianiline	0.1	99-59-2	5-Nitro-o-anisidine	1.0
78-93-3	Methyl ethyl ketone	1.0	98-95-3	Nitrobenzene	0.1
			92-93-3	4-Nitrobiphenyl	0.1
			1836-75-5	Nitrofen	0.1
				[Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]	
			51-75-2	Nitrogen mustard	0.1
				[2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	
			55-63-0	Nitroglycerin	1.0

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
88-75-5	2-Nitrophenol	1.0	52645-53-1	Permethrin	1.0
100-02-7	4-Nitrophenol	1.0		[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, (3-phenoxyphenyl) methyl ester]	
79-46-9	2-Nitropropane	0.1	85-01-8	Phenanthrene	1.0
924-16-3	N-Nitrosodi-n-butylamine	0.1	108-95-2	Phenol	1.0
55-18-5	N-Nitrosodiethylamine	0.1	26002-80-2	Phenothrin	1.0
62-75-9	N-Nitrosodimethylamine	0.1		[2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester]	
86-30-6	N-Nitrosodiphenylamine	1.0	95-54-5	1,2-Phenylenediamine	1.0
156-10-5	p-Nitrosodiphenylamine	1.0	108-45-2	1,3-Phenylenediamine	1.0
621-64-7	N-Nitrosodi-n-propylamine	0.1	106-50-3	p-Phenylenediamine	1.0
759-73-9	N-Nitroso-N-ethylurea	0.1	615-28-1	1,2-Phenylenediamine dihydrochloride	1.0
684-93-5	N-Nitroso-N-methylurea	0.1	624-18-0	1,4-Phenylenediamine dihydrochloride	1.0
4549-40-0	N-Nitrosomethylvinylamine	0.1	90-43-7	2-Phenylphenol	1.0
59-89-2	N-Nitrosomorpholine	0.1	57-41-0	Phenytol	0.1
16543-55-8	N-Nitrosomorpholine	0.1	75-44-5	Phosgene	1.0
100-75-4	N-Nitrosopiperidine	0.1	7803-51-2	Phosphine	1.0
99-55-8	5-Nitro-o-toluidine	1.0	7664-38-2	Phosphoric acid	1.0
27314-13-2	Norflurazon	1.0	7723-14-0	Phosphorus (yellow or white)	1.0
	[4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone]		85-44-9	Phthalic anhydride	1.0
2234-13-1	Octachloronaphthalene	1.0	1918-02-1	Picloram	1.0
19044-88-3	Oryzalin	1.0	88-89-1	Picric acid	1.0
	[4-(Dipropylamino)-3,5-dinitrobenzene sulfonamide]		51-03-6	Piperonyl butoxide	1.0
20816-12-0	Osmium tetroxide	1.0	29232-93-7	Pirimiphos methyl	1.0
301-12-2	Oxydemeton methyl	1.0		[O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethylphosphorothioate]	
	[S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]		1336-36-3	Polychlorinated biphenyls (PCBS)	0.1
19666-30-9	Oxydiazon	1.0	7758-01-2	Potassium bromate	0.1
	[3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one]		128-03-0	Potassium dimethyldithiocarbamate	1.0
42874-03-3	Oxyfluorfen	1.0	137-41-7	Potassium N-methyldithiocarbamate	1.0
10028-15-6	Ozone	1.0	41198-08-7	Profenofos	1.0
123-63-7	Paraldehyde	1.0		[O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl phosphorothioate]	
1910-42-5	Paraquat dichloride	1.0	7287-19-6	Prometryn	1.0
56-38-2	Parathion	1.0		[N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine]	
	[Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl)ester]		23950-58-5	Pronamide	1.0
1114-71-2	Pebulate	1.0	1918-16-7	Propachlor	1.0
	[Butylethylcarbamothioic acid S-propyl ester]			[2-Chloro-N-(1-methylethyl)-N-phenylacetamide]	
40487-42-1	Pendimethalin	1.0	1120-71-4	Propane sultone	0.1
	[N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine]				
76-01-7	Pentachloroethane	1.0			
87-86-5	Pentachlorophenol (PCP)	0.1			
57-33-0	Pentobarbital sodium	1.0			
79-21-0	Peracetic acid	1.0			
594-42-3	Perchloromethyl mercaptan	1.0			

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
709-98-8	Propanil	1.0	62-74-8	Sodium fluoroacetate	1.0
	[N-(3,4-Dichlorophenyl)-propanamide]		7632-00-0	Sodium nitrite	1.0
2312-35-8	Propargite	1.0	131-52-2	Sodium pentachlorophenate	1.0
107-19-7	Propargyl alcohol	1.0	132-27-4	Sodium o-phenylphenoxide	0.1
31218-83-4	Propetamphos	1.0	100-42-5	Styrene	0.1
	[3-[(Ethylamino) methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester]		96-09-3	Styrene oxide	0.1
60207-90-1	Propiconazole	1.0	7664-93-9	Sulfuric acid	1.0
	[1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4,-triazole]			(acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	
57-57-8	beta-Propiolactone	0.1	2699-79-8	Sulfuryl fluoride (Vikane)	1.0
123-38-6	Propionaldehyde	1.0	35400-43-2	Sulprofos	1.0
114-26-1	Propoxur	1.0		[O-Ethyl O-[4-(methylthio)phenyl]phosphorodithioic acid S-propylester]	
	[Phenol, 2-(1-methylethoxy)-, methylcarbamate]		34014-18-1	Tebuthiuron	1.0
115-07-1	Propylene (Propene)	1.0		[N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea]	
75-55-8	Propyleneimine	0.1	3383-96-8	Temephos	1.0
75-56-9	Propylene oxide	0.1	5902-51-2	Terbacil	1.0
110-86-1	Pyridine	1.0		[5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]	
91-22-5	Quinoline	1.0	630-20-6	1,1,1,2-Tetrachloroethane	1.0
106-51-4	Quinone	1.0	79-34-5	1,1,2,2-Tetrachloroethane	1.0
82-68-8	Quintozone	1.0	127-18-4	Tetrachloroethylene	0.1
	(Pentachloronitrobenzene)			(Perchloroethylene)	
76578-14-8	Quizalofop-ethyl	1.0	354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
	[2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy]propanoic acid ethyl ester]		354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0
10453-86-8	Resmethrin	1.0	961-11-5	Tetrachlorvinphos	1.0
	[[5-(Phenylmethyl)-3-furanyl]-methyl-2,2-dimethyl-3-(2-methyl-1-propenyl) cyclopropane carboxylate]			[Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl) ethenyl dimethyl ester]	
81-07-2	Saccharin (manufacturing, no supplier notification)	0.1	64-75-5	Tetracycline hydrochloride	1.0
94-59-7	Safrole	0.1	7696-12-0	Tetramethrin	1.0
7782-49-2	Selenium	1.0		[2,2-Dimethyl-3-(2-methyl-1-propenyl) cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]	
74051-80-2	Sethoxydim	1.0	7440-28-0	Thallium	1.0
	[2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one]		148-79-8	Thiabendazole	1.0
7440-22-4	Silver	1.0	62-55-5	Thioacetamide	0.1
122-34-9	Simazine	1.0	28249-77-6	Thiobencarb	1.0
26628-22-8	Sodium azide	1.0		[Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester]	
1982-69-0	Sodium dicamba	1.0	139-65-1	4,4'-Thiodianiline	0.1
	[3,6-Dichloro-2-methoxybenzoic acid, sodium salt]		59669-26-0	Thiodicarb	1.0
128-04-1	Sodium dimethyldithio-carbamate	1.0			

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
23564-06-9	Thiophanate ethyl	1.0	79-00-5	1,1,2-Trichloroethane	1.0
	[[1,2-Phenylenebis-(iminocarbonothioyl)]biscarbamic acid diethylester]		79-01-6	Trichloroethylene	0.1
			75-69-4	Trichlorofluoromethane (CFC-11)	1.0
23564-05-8	Thiophanate methyl	1.0	95-95-4	2,4,5-Trichlorophenol	1.0
79-19-6	Thiosemicarbazide	1.0	88-06-2	2,4,6-Trichlorophenol	0.1
62-56-6	Thiourea	0.1	96-18-4	1,2,3-Trichloropropane	0.1
137-26-8	Thiram	1.0	57213-69-1	Triclopyr triethylammonium salt	1.0
1314-20-1	Thorium dioxide	1.0	121-44-8	Triethylamine	1.0
7550-45-0	Titanium tetrachloride	1.0	1582-09-8	Trifluralin	1.0
108-88-3	Toluene	1.0		[Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	
584-84-9	Toluene-2,4-diisocyanate	0.1	26644-46-2	Triforine	1.0
91-08-7	Toluene-2,6-diisocyanate	0.1		[N,N'-[1,4-Piperazinediylbis-(2,2,2-trichloroethylidene)]bisformamide]	
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1	95-63-6	1,2,4-Trimethylbenzene	1.0
95-53-4	o-Toluidine	0.1	2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0
636-21-5	o-Toluidine hydrochloride	0.1	639-58-7	Triphenyltin chloride	1.0
8001-35-2	Toxaphene	0.1	76-87-9	Triphenyltin hydroxide	1.0
43121-43-3	Triadimefon	1.0	126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1
	[1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone]		72-57-1	Trypan blue	0.1
2303-17-5	Triallate	1.0	51-79-6	Urethane (Ethyl carbamate)	0.1
68-76-8	Triaziquone	1.0	7440-62-2	Vanadium (fume or dust)	1.0
	[2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]		50471-44-8	Vinclozolin	1.0
101200-48-0	Tribenuron methyl	1.0		[3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione]	
	[2-[[[(4-Methoxy-6-methyl-1,3,5-triazin-2-yl)-methylamino]-carbonyl]amino]sulfonyl] benzoic acid-methyl ester)		108-05-4	Vinyl acetate	0.1
1983-10-4	Tributyltin fluoride	1.0	593-60-2	Vinyl bromide	0.1
2155-70-6	Tributyltin methacrylate	1.0	75-01-4	Vinyl chloride	0.1
78-48-8	S,S,S-Tributyltrithio-phosphate (DEF)	1.0	75-35-4	Vinylidene chloride	1.0
52-68-6	Trichlorfon	1.0	108-38-3	m-Xylene	1.0
	[Phosphoric acid,(2,2,2-trichloro-1-hydroxy-ethyl)-,dimethyl ester]		95-47-6	o-Xylene	1.0
76-02-8	Trichloroacetyl chloride	1.0	106-42-3	p-Xylene	1.0
120-82-1	1,2,4-Trichlorobenzene	1.0	1330-20-7	Xylene (mixed isomers)	1.0
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0	87-62-7	2,6-Xyldine	0.1
			7440-66-6	Zinc (fume or dust)	1.0
			1222-67-7	Zineb	1.0
				[Carbamodithioic acid, 1,2-ethanediyibis-,zinc complex]	

Table II

# b. CAS Numbered List of TRI Chemicals

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
50-00-0	Formaldehyde	0.1	62-73-7	Dichlorvos [Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]	0.1
51-03-6	Piperonyl butoxide	1.0	62-74-8	Sodium fluoroacetate	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0	62-75-9	N-Nitrosodimethylamine	0.1
51-28-5	2,4-Dinitrophenol	1.0	63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0
51-75-2	Nitrogen mustard [2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	0.1	64-18-6	Formic acid	1.0
51-79-6	Urethane (Ethyl carbamate)	0.1	64-67-5	Diethyl sulfate	0.1
52-68-6	Trichlorfon [Phosphonic acid, (2,2,2-trichloro-1-hydroxyethyl) dimethyl ester]	1.0	64-75-5	Tetracycline hydrochloride	1.0
52-85-7	Famphur	1.0	67-56-1	Methanol	1.0
53-96-3	2-Acetylaminofluorene	0.1	67-63-0	Isopropyl alcohol (manufacturing-strong acid process, no supplier notification)	1.0
55-18-5	N-Nitrosodiethylamine	0.1	67-66-3	Chloroform	0.1
55-21-0	Benzamide	1.0	67-72-1	Hexachloroethane	1.0
55-38-9	Fenthion [O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid]	1.0	68-12-2	N,N-Dimethylformamide	0.1
55-63-0	Nitroglycerin	1.0	68-76-8	Triaziquone [2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	1.0
56-23-5	Carbon tetrachloride	0.1	70-30-4	Hexachlorophene	1.0
56-35-9	Bis(tributyltin) oxide	1.0	71-36-3	n-Butyl alcohol	1.0
56-38-2	Parathion [Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl) ester]	1.0	71-43-2	Benzene	0.1
57-14-7	1,1-Dimethylhydrazine	0.1	71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
57-33-0	Pentobarbital sodium	1.0	72-43-5	Methoxychlor [Benzene, 1,1'-(2,2,2-trichloro-ethylidene)bis[4-methoxy-]]	1.0
57-41-0	Phenytoin	0.1	72-57-1	Trypan blue	0.1
57-57-8	beta-Propiolactone	0.1	74-83-9	Bromomethane (Methyl bromide)	1.0
57-74-9	Chlordane [4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	0.1	74-85-1	Ethylene	1.0
58-89-9	Lindane [Cyclohexane, 1,2,3,4,5,6-hexachloro-(1.alpha.,2.alpha.,3.beta.,4.alpha.,5.alpha.,6.beta.)-]	0.1	74-87-3	Chloromethane (Methyl chloride)	1.0
59-89-2	N-Nitrosomorpholine	0.1	74-88-4	Methyl iodide	1.0
60-09-3	4-Aminoazobenzene	0.1	74-90-8	Hydrogen cyanide	1.0
60-11-7	4-Dimethylaminoazobenzene	0.1	74-95-3	Methylene bromide	1.0
60-34-4	Methyl hydrazine	1.0	75-00-3	Chloroethane (Ethyl chloride)	1.0
60-35-5	Acetamide	0.1	75-01-4	Vinyl chloride	0.1
60-51-5	Dimethoate	1.0	75-05-8	Acetonitrile	1.0
61-82-5	Amitrole	0.1	75-07-0	Acetaldehyde	0.1
62-53-3	Aniline	1.0	75-09-2	Dichloromethane (Methylene chloride)	0.1
62-55-5	Thioacetamide	0.1	75-15-0	Carbon disulfide	1.0
62-56-6	Thiourea	0.1	75-21-8	Ethylene oxide	0.1
			75-25-2	Bromoform (Tribromomethane)	1.0
			75-27-4	Dichlorobromomethane	1.0
			75-34-3	Ethylidene dichloride	1.0
			75-35-4	Vinylidene chloride	1.0
			75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
			75-44-5	Phosgene	1.0

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Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0	79-46-9	2-Nitropropane	0.1
75-55-8	Propyleneimine	0.1	80-05-7	4,4'-Isopropylidenediphenol	1.0
75-56-9	Propylene oxide	0.1	80-15-9	Cumene hydroperoxide	1.0
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0	80-62-6	Methyl methacrylate	1.0
75-65-0	tert-Butyl alcohol	1.0	81-07-2	Saccharin (manufacturing, no supplier notification)	0.1
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0	81-88-9	C.I. Food Red 15	0.1
75-69-4	Trichlorofluoromethane (CFC-11)	1.0	82-28-0	1-Amino-2-methylantraquinone	0.1
75-71-8	Dichlorodifluoromethane (CFC-12)	1.0	82-68-8	Quintozone	1.0
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0	84-74-2	[Pentachloronitrobenzene]	
75-86-5	2-Methylacetonitrile	1.0	85-01-8	Dibutyl phthalate	1.0
75-88-7	2-Chloro-1,1,1-trifluoroethane (HCFC-133a)	1.0	85-44-9	Phenanthrene	1.0
76-01-7	Pentachloroethane	1.0	86-30-6	Phthalic anhydride	1.0
76-02-8	Trichloroacetyl chloride	1.0	87-62-7	N-Nitrosodiphenylamine	1.0
76-06-2	Chloropicrin	1.0	87-68-3	2,6-Xylydine	0.1
76-13-1	Freon 113	1.0	87-86-5	Hexachloro-1,3-butadiene	1.0
	[Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]		88-06-2	Pentachlorophenol (PCP)	0.1
76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0	88-75-5	2,4,6-Trichlorophenol	0.1
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0	88-85-7	2-Nitrophenol	1.0
76-44-8	Heptachlor	0.1	88-89-1	Dinitrobutyl phenol (Dinoseb)	1.0
	[1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]		90-04-0	Picric acid	1.0
76-87-9	Triphenyltin hydroxide	1.0	90-43-7	o-Anisidine	0.1
77-47-4	Hexachlorocyclopentadiene	1.0	90-94-8	2-Phenylphenol	1.0
77-73-6	Dicyclopentadiene	1.0	91-08-7	Michler's ketone	0.1
77-78-1	Dimethyl sulfate	0.1	91-20-3	Toluene-2,6-diisocyanate	0.1
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0	91-22-5	Naphthalene	1.0
78-84-2	Isobutyraldehyde	1.0	91-59-8	Quinoline	1.0
78-87-5	1,2-Dichloropropane	1.0	91-94-1	beta-Naphthylamine	0.1
78-88-6	2,3-Dichloropropene	1.0	92-52-4	3,3'-Dichlorobenzidine	0.1
78-92-2	sec-Butyl alcohol	1.0	92-67-1	Biphenyl	1.0
78-93-3	Methyl ethyl ketone	1.0	92-87-5	4-Aminobiphenyl	0.1
79-00-5	1,1,2-Trichloroethane	1.0	92-93-3	Benzidine	0.1
79-01-6	Trichloroethylene	0.1	93-65-2	4-Nitrobiphenyl	0.1
79-06-1	Acrylamide	0.1	94-11-1	Mecoprop	0.1
79-10-7	Acrylic acid	1.0	94-36-0	2,4-D isopropyl ester	0.1
79-11-8	Chloroacetic acid	1.0	94-58-6	Benzoyl peroxide	1.0
79-19-6	Thiosemicarbazide	1.0	94-59-7	Dihydrosafrole	0.1
79-21-0	Peracetic acid	1.0	94-74-6	Safrole	0.1
79-22-1	Methyl chlorocarbonate	1.0		Methoxone	0.1
79-34-5	1,1,2,2-Tetrachloroethane	1.0		((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)	
79-44-7	Dimethylcarbaryl chloride	0.1	94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1
			94-80-4	2,4-D butyl ester	0.1
			94-82-6	2,4-DB	1.0
			95-47-6	o-Xylene	1.0
			95-48-7	o-Cresol	1.0
			95-50-1	1,2-Dichlorobenzene	1.0
			95-53-4	o-Toluidine	0.1
			95-54-5	1,2-Phenylenediamine	1.0
			95-63-6	1,2,4-Trimethylbenzene	1.0

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
95-69-2	p-Chloro-o-toluidine	0.1	106-89-8	Epichlorohydrin	0.1
95-80-7	2,4-Diaminotoluene	0.1	106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1
95-95-4	2,4,5-Trichlorophenol	1.0	106-99-0	1,3-Butadiene	0.1
96-09-3	Styrene oxide	0.1	107-02-8	Acrolein	1.0
96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1	107-05-1	Allyl chloride	1.0
96-18-4	1,2,3-Trichloropropane	0.1	107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1
96-33-3	Methyl acrylate	1.0	107-11-9	Allylamine	1.0
96-45-7	Ethylene thiourea	0.1	107-13-1	Acrylonitrile	0.1
97-23-4	Dichlorophene	1.0	107-18-6	Allyl alcohol	1.0
	[2,2'-Methylenebis(4-chlorophenol)]		107-19-7	Propargyl alcohol	1.0
97-56-3	C.I. Solvent Yellow 3	1.0	107-21-1	Ethylene glycol	1.0
98-07-7	Benzoic trichloride (Benzotrichloride)	0.1	107-30-2	Chloromethyl methyl ether	0.1
98-82-8	Cumene	1.0	108-05-4	Vinyl acetate	0.1
98-86-2	Acetophenone	1.0	108-10-1	Methyl isobutyl ketone	1.0
98-87-3	Benzal chloride	1.0	108-31-6	Maleic anhydride	1.0
98-88-4	Benzoyl chloride	1.0	108-38-3	m-Xylene	1.0
98-95-3	Nitrobenzene	0.1	108-39-4	m-Cresol	1.0
99-30-9	Dichloran [2,6-Dichloro-4- nitroaniline]	1.0	108-45-2	1,3-Phenylenediamine	1.0
99-55-8	5-Nitro-o-toluidine	1.0	108-60-1	Bis(2-chloro-1-methylethyl) ether	1.0
99-59-2	5-Nitro-o-anisidine	1.0	108-88-3	Toluene	1.0
99-65-0	m-Dinitrobenzene	1.0	108-90-7	Chlorobenzene	1.0
100-01-6	p-Nitroaniline	1.0	108-93-0	Cyclohexanol	1.0
100-02-7	4-Nitrophenol	1.0	108-95-2	Phenol	1.0
100-25-4	p-Dinitrobenzene	1.0	109-06-8	2-Methylpyridine	1.0
100-41-4	Ethylbenzene	1.0	109-77-3	Malononitrile	1.0
100-42-5	Styrene	0.1	109-86-4	2-Methoxyethanol	1.0
100-44-7	Benzyl chloride	1.0	110-54-3	n-Hexane	1.0
100-75-4	N-Nitrosopiperidine	0.1	110-57-6	trans-1,4-Dichloro-2-butene	1.0
101-05-3	Anilazine	1.0	110-80-5	2-Ethoxyethanol	1.0
	[4,6-Dichloro-N-(2-chlorophenyl)- 1,3,5-triazin-2-amine]		110-82-7	Cyclohexane	1.0
101-14-4	4,4'-Methylenebis(2-chloro- aniline)(MBOCA)	0.1	110-86-1	Pyridine	1.0
101-61-1	4,4'-Methylenebis(N,N- dimethyl)benzenamine	0.1	111-42-2	Diethanolamine	1.0
101-77-9	4,4'-Methylenedianiline	0.1	111-44-4	Bis(2-chloroethyl) ether	1.0
101-80-4	4,4'-Diaminodiphenyl ether	0.1	111-91-1	Bis(2-chloroethoxy) methane	1.0
101-90-6	Diglycidyl resorcinol ether	0.1	114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0
104-12-1	p-Chlorophenyl isocyanate	1.0	115-07-1	Propylene (Propene)	1.0
104-94-9	p-Anisidine	1.0	115-28-6	Chlorendic acid	0.1
105-67-9	2,4-Dimethylphenol	1.0	115-32-2	Dicofol [Benzenemethanol, 4-chloro-.alpha. -4-(chlorophenyl)-.alpha.- (trichloromethyl)-]	1.0
106-42-3	p-Xylene	1.0		Aldicarb	1.0
106-44-5	p-Cresol	1.0	116-06-3	2-Aminoanthraquinone	0.1
106-46-7	1,4-Dichlorobenzene	0.1	117-79-3	Di(2-ethylhexyl) phthalate (DEHP)	0.1
106-47-8	p-Chloroaniline	0.1	117-81-7	Hexachlorobenzene	0.1
106-50-3	p-Phenylenediamine	1.0	118-74-1	3,3'-Dimethoxybenzidine	0.1
106-51-4	Quinone	1.0	119-90-4		
106-88-7	1,2-Butylene oxide	1.0			

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	136-45-8	Dipropyl isocinchomeronate	1.0
120-12-7	Anthracene	1.0	137-26-8	Thiram	1.0
120-36-5	2,4-DP	0.1	137-41-7	Potassium N-methyldithiocarbamate	1.0
120-58-1	Isosafrole	1.0	137-42-8	Metham sodium (Sodium methyldithiocarbamate)	1.0
120-71-8	p-Cresidine	0.1	138-93-2	Disodium cyanodithioimido-carbonate	1.0
120-80-9	Catechol	1.0	139-13-9	Nitrilotriacetic acid	0.1
120-82-1	1,2,4-Trichlorobenzene	1.0	139-65-1	4,4'-Thiodianiline	0.1
120-83-2	2,4-Dichlorophenol	1.0	140-88-5	Ethyl acrylate	0.1
121-14-2	2,4-Dinitrotoluene	0.1	141-32-2	Butyl acrylate	1.0
121-44-8	Triethylamine	1.0	142-59-6	Nabam	1.0
121-69-7	N,N-Dimethylaniline	1.0	148-79-8	Thiabendazole	1.0
121-75-5	Malathion	1.0		[2-(4-Thiazolyl)-1H-benzimidazole]	
122-34-9	Simazine	1.0	149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
122-39-4	Diphenylamine	1.0	150-50-5	Merphos	1.0
122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1	150-68-5	Monuron	1.0
123-31-9	Hydroquinone	1.0	151-56-4	Ethyleneimine (Aziridine)	0.1
123-38-6	Propionaldehyde	1.0	156-10-5	p-Nitrosodiphenylamine	1.0
123-63-7	Paraldehyde	1.0	156-62-7	Calcium cyanamide	1.0
123-72-8	Butyraldehyde	1.0	298-00-0	Methyl parathion	1.0
123-91-1	1,4-Dioxane	0.1	300-76-5	Naled	1.0
124-40-3	Dimethylamine	1.0	301-12-2	Oxydemeton methyl [S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]	1.0
124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0		Hydrazine	0.1
126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1	302-01-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0
126-98-7	Methacrylonitrile	1.0	306-83-2	Aldrin	1.0
126-99-8	Chloroprene	1.0		[1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1.alpha.,4.alpha.,4a.beta.,5.alpha.,8.alpha.,8a.beta.)-]	
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1	309-00-2	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidine-dione)	1.0
128-03-0	Potassium dimethyldithiocarbamate	1.0	314-40-9	alpha-Hexachlorocyclohexane	1.0
128-04-1	Sodium dimethyldithiocarbamate	1.0	319-84-6	Diuron	1.0
128-66-5	C.I. Vat Yellow 4	1.0	330-54-1	Linuron	1.0
131-11-3	Dimethyl phthalate	1.0	330-55-2	Diazinon	1.0
131-52-2	Sodium pentachlorophenate	1.0	333-41-5	Diazomethane	1.0
132-27-4	Sodium o-phenylphenoxide	0.1	334-88-3	Bromochlorodifluoromethane (Halon 1211)	1.0
132-64-9	Dibenzofuran	1.0	353-59-3	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a, 4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-]	1.0	354-11-0		
133-07-3	Folpet	1.0			
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0			
134-29-2	o-Anisidine hydrochloride	0.1			
134-32-7	alpha-Naphthylamine	0.1			
135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1			

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0	569-64-2	C.I. Basic Green 4	1.0
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0	584-84-9	Toluene-2,4-diisocyanate	0.1
354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0	593-60-2	Vinyl bromide	0.1
357-57-3	Brucine	1.0	594-42-3	Perchloromethyl mercaptan	1.0
422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0	606-20-2	2,6-Dinitrotoluene	0.1
422-48-0	2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0	612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1
422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0	612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1
431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0	615-05-4	2,4-Diaminoanisole	0.1
460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0	615-28-1	1,2-Phenylenediamine dihydrochloride	1.0
463-58-1	Carbonyl sulfide	1.0	621-64-7	N-Nitrosodi-n-propylamine	0.1
465-73-6	Isodrin	1.0	624-18-0	1,4-Phenylenediamine dihydrochloride	1.0
492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1	624-83-9	Methyl isocyanate	1.0
505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1	630-20-6	1,1,1,2-Tetrachloroethane	1.0
507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0	636-21-5	o-Toluidine hydrochloride	0.1
510-15-6	Chlorobenzilate [Benzeneacetic acid, 4-chloro-.alpha.-(4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0	639-58-7	Triphenyltin chloride	1.0
528-29-0	o-Dinitrobenzene	1.0	680-31-9	Hexamethylphosphoramide	0.1
532-27-4	2-Chloroacetophenone	1.0	684-93-5	N-Nitroso-N-methylurea	0.1
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0	709-98-8	Propanil (N-(3,4-Dichlorophenyl) propanamide)	1.0
534-52-1	4,6-Dinitro-o-cresol	1.0	759-73-9	N-Nitroso-N-ethylurea	0.1
540-59-0	1,2-Dichloroethylene	1.0	759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
541-41-3	Ethyl chloroformate	1.0	764-41-0	1,4-Dichloro-2-butene	1.0
541-53-7	2,4-Dithiobiuret	1.0	812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0
541-73-1	1,3-Dichlorobenzene	1.0	834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6-(methylthio)-1,3,5-triazine-2,4-diamine)	1.0
542-75-6	1,3-Dichloropropylene	0.1	842-07-9	C.I. Solvent Yellow 14	1.0
542-76-7	3-Chloropropionitrile	1.0	872-50-4	N-Methyl-2-pyrrolidone	1.0
542-88-1	Bis(chloromethyl) ether	0.1	924-16-3	N-Nitrosodi-n-butylamine	0.1
554-13-2	Lithium carbonate	1.0	924-42-5	N-Methylolacrylamide	1.0
556-61-6	Methyl isothiocyanate [Isothiocyanatomethane]	1.0	957-51-7	Diphenamid	1.0
563-47-3	3-Chloro-2-methyl-1-propene	0.1	961-11-5	Tetrachlorvinphos	1.0
				[Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl)ethenyl dimethyl ester]	
			989-38-8	C.I. Basic Red 1	1.0
			1114-71-2	Pebulate [Butylethylcarbamothioic acid S-propyl ester]	1.0
			1120-71-4	Propane sultone	0.1
			1134-23-2	Cycloate	1.0
			1163-19-5	Decabromodiphenyl oxide	1.0
			1313-27-5	Molybdenum trioxide	1.0

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
1314-20-1	Thorium dioxide	1.0	1937-37-7	C.I. Direct Black 38	0.1
1319-77-3	Cresol (mixed isomers)	1.0	1982-69-0	Sodium dicamba	1.0
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1		[3,6-Dichloro-2-methoxybenzoic acid, sodium salt]	
1330-20-7	Xylene (mixed isomers)	1.0	1983-10-4	Tributyltin fluoride	1.0
1332-21-4	Asbestos (friable)	0.1	2032-65-7	Methiocarb	1.0
1335-87-1	Hexachloronaphthalene	1.0	2155-70-6	Tributyltin methacrylate	1.0
1336-36-3	Polychlorinated biphenyls (PCBs)	0.1	2164-07-0	Dipotassium endothall	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0		[7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt]	
1464-53-5	Diepoxybutane	0.1	2164-17-2	Fluometuron	1.0
1563-66-2	Carbofuran	1.0		[Urea, N,N-dimethyl-N'-[3-(trifluoromethyl)phenyl]-]	
1582-09-8	Trifluralin	1.0	2212-67-1	Molinate	1.0
	[Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]			(1H-Azepine-1-carbothioic acid, hexahydro-S-ethyl ester)	
1634-04-4	Methyl tert-butyl ether	1.0	2234-13-1	Octachloronaphthalene	1.0
1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0	2300-66-5	Dimethylamine dicamba	1.0
1689-84-5	Bromoxynil	1.0	2303-16-4	Diallate	1.0
	(3,5-Dibromo-4-hydroxybenzonitrile)			[Carbamothioic acid, bis(1-methyl-ethyl)-S-(2,3-dichloro-2-propenyl) ester]	
1689-99-2	Bromoxynil octanoate	1.0	2303-17-5	Triallate	1.0
	(Octanoic acid, 2,6-dibromo-4-cyanophenyl ester)		2312-35-8	Propargite	1.0
1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0	2439-01-2	Chinomethionat	1.0
1836-75-5	Nitrofen	0.1		[6-Methyl-1,3-dithiolo[4,5-b]-quinoxalin-2-one]	
	[Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-]		2439-10-3	Dodine	1.0
1861-40-1	Benfluralin	1.0	2524-03-0	[Dodecylguanidine monoacetate]	
	(N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)benzenamine)		2602-46-2	Dimethyl chlorothiophosphate	1.0
1897-45-6	Chlorothalonil	1.0	2655-15-4	C.I. Direct Blue 6	0.1
	[1,3-Benzenedicarbonitrile, 2,4,5,6-tetrachloro-]		2699-79-8	2,3,5-Trimethylphenyl methyl carbamate	1.0
1910-42-5	Paraquat dichloride	1.0	2702-72-9	Sulfuryl fluoride (Vikane)	1.0
1912-24-9	Atrazine	0.1	2832-40-8	2,4-D sodium salt	0.1
	(6-Chloro-N-ethyl-N'-(1-methyl-ethyl)-1,3,5-triazine-2,4-diamine)		2837-89-0	C.I. Disperse Yellow 3	1.0
1918-00-9	Dicamba	1.0		2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	1.0
	(3,6-Dichloro-2-methoxybenzoic acid)		2971-38-2	2,4-D Chlorocrotyl ester	0.1
1918-02-1	Picloram	1.0	3118-97-6	C.I. Solvent Orange 7	1.0
1918-16-7	Propachlor	1.0	3383-96-8	Temephos	1.0
	[2-Chloro-N-(1-methylethyl)-N-phenylacetamide]		3653-48-3	Methoxone sodium salt	0.1
1928-43-4	2,4-D 2-ethylhexyl ester	0.1	3761-53-3	((4-Chloro-2-methylphenoxy) acetate sodium salt)	
1929-73-3	2,4-D butoxyethyl ester	0.1	4080-31-3	C.I. Food Red 5	0.1
1929-82-4	Nitrapyrin	1.0	4170-30-3	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	1.0
	(2-Chloro-6-(trichloromethyl)-pyridine)		4549-40-0	Crotonaldehyde	1.0
			4680-78-8	N-Nitrosomethylvinylamine	0.1
				C.I. Acid Green 3	1.0

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0	7664-93-9	Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
5598-13-0	Chlorpyrifos methyl [O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate]	1.0	7696-12-0	Tetramethrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]	1.0
5902-51-2	Terbacil [5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]	1.0	7697-37-2	Nitric acid	1.0
6459-94-5	C.I. Acid Red 114	0.1	7723-14-0	Phosphorus (yellow or white)	1.0
7287-19-6	Prometryn [N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine]	1.0	7726-95-6	Bromine	1.0
7429-90-5	Aluminum (fume or dust)	1.0	7758-01-2	Potassium bromate	0.1
7439-92-1	Lead	0.1	7782-41-4	Fluorine	1.0
7439-96-5	Manganese	1.0	7782-49-2	Selenium	1.0
7439-97-6	Mercury	1.0	7782-50-5	Chlorine	1.0
7440-02-0	Nickel	0.1	7786-34-7	Mevinphos	1.0
7440-22-4	Silver	1.0	7803-51-2	Phosphine	1.0
7440-28-0	Thallium	1.0	8001-35-2	Toxaphene	0.1
7440-36-0	Antimony	1.0	8001-58-9	Creosote	0.1
7440-38-2	Arsenic	0.1	9006-42-2	Metiram	1.0
7440-39-3	Barium	1.0	10028-15-6	Ozone	1.0
7440-41-7	Beryllium	0.1	10034-93-2	Hydrazine sulfate	0.1
7440-43-9	Cadmium	0.1	10049-04-4	Chlorine dioxide	1.0
7440-47-3	Chromium	1.0	10061-02-6	trans-1,3-Dichloropropene	0.1
7440-48-4	Cobalt	0.1	10294-34-5	Boron trichloride	1.0
7440-50-8	Copper	1.0	10453-86-8	Resmethrin [[5-(Phenylmethyl)-3-furanyl]methyl-2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropane-carboxylate]]	1.0
7440-62-2	Vanadium (fume or dust)	1.0	12122-67-7	Zineb [Carbamodithioic acid, 1,2-ethanedithiolbis-, zinc complex]	1.0
7440-66-6	Zinc (fume or dust)	1.0	12427-38-2	Maneb [Carbamodithioic acid, 1,2-ethanedithiolbis-, manganese complex]	1.0
7550-45-0	Titanium tetrachloride	1.0	13194-48-4	Ethoprop [Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	1.0
7632-00-0	Sodium nitrite	1.0	13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl)distannoxane)	1.0
7637-07-2	Boron trifluoride	1.0	13463-40-6	Iron pentacarbonyl	1.0
7647-01-0	Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0	13474-88-9	1,1-Dichloro-1,2,2,3,3-pentafluoropropane (HCFC-225cc)	1.0
7664-38-2	Phosphoric acid	1.0	13684-56-5	Desmedipham	1.0
7664-39-3	Hydrogen fluoride	1.0			
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0			

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration
14484-64-1	Ferbam [Tris(dimethylcarbamodithioato-S,S')iron]	1.0	26644-46-2	Triforine [N,N'-[1,4-Piperazinediyl]bis(2,2,2-trichloroethylidene)]bisformamide]	1.0
15972-60-8	Alachlor	1.0	27314-13-2	Norflurazon [4-Chloro-5-(methylamino)-2-[3-(trifluoromethyl)phenyl]-3(2H)-pyridazinone]	1.0
16071-86-6	C.I. Direct Brown 95	0.1	28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrine]	1.0
16543-55-8	N-Nitrosornicotine	0.1	28249-77-6	Thiobencarb [Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester]	1.0
17804-35-2	Benomyl	1.0	28407-37-6	C.I. Direct Blue 218	1.0
19044-88-3	Oryzalin [4-(Dipropylamino)-3,5-dinitrobenzenesulfonamide]	1.0	29232-93-7	Pirimiphos methyl [O-(2-(Diethylamino)-6-methyl-4-pyrimidinyl)-O,O-dimethyl phosphorothioate]	1.0
19666-30-9	Oxydiazon [3-[2,4-Dichloro-5-(1-methylethoxy)phenyl]-5-(1,1-dimethylethyl)-1,3,4-oxadiazol-2(3H)-one]	1.0	30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1	31218-83-4	Propetamphos [3-[(Ethylamino)methoxy phosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester]	1.0
20354-26-1	Methazole [2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione]	1.0	33089-61-1	Amitraz	1.0
20816-12-0	Osmium tetroxide	1.0	34014-18-1	Tebuthiuron [N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea]	1.0
20859-73-8	Aluminum phosphide	1.0	34077-87-7	Dichlorotrifluoroethane	1.0
21087-64-9	Metribuzin	1.0	35367-38-5	Diflubenzuron	1.0
21725-46-2	Cyanazine	1.0	35400-43-2	Sulprofos [O-Ethyl O-[4-(methylthio)phenyl]-phosphorodithioic acid S-propyl Ester]	1.0
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-olmethylcarbamate]	1.0	35554-44-0	Imazalil [1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole]	1.0
23564-05-8	Thiophanate methyl	1.0	35691-65-7	1-Bromo-1-(bromomethyl)-1,3-propanedicarbonitrile	1.0
23564-06-9	Thiophanate ethyl [[1,2-Phenylenebis-(iminocarbonothioyl)]biscarbamic acid diethyl ester]	1.0	38727-55-8	Diethatyl ethyl	1.0
23950-58-5	Pronamide	1.0	39156-41-7	2,4-Diaminoanisole sulfate	0.1
25311-71-1	Isofenphos [2-[[Ethoxyl[(1-methylethyl)-amino]phosphinothioyl]oxy]benzoic acid 1-methylethyl ester]	1.0	39300-45-3	Dinocap	1.0
25321-14-6	Dinitrotoluene (mixed isomers)	1.0	39515-41-8	Fenpropathrin [2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0
25321-22-6	Dichlorobenzene (mixed isomers)	0.1			
25376-45-8	Diaminotoluene (mixed isomers)	0.1			
26002-80-2	Phenothrin [2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (3-phenoxyphenyl)methyl ester]	1.0			
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1			
26628-22-8	Sodium azide	1.0			

Table II

CAS Number	Chemical Name	De Minimis Concentration	CAS Number	Chemical Name	De Minimis Concentration	
40487-42-1	Pendimethalin	1.0	60168-88-9	Fenarimol	1.0	
41198-08-7	[N-(1-Ethylpropyl)-3,4-dimethyl-2,6-dinitrobenzenamine]	1.0	60207-90-1	[.alpha.-(2-Chlorophenyl)-.alpha.-4-chlorophenyl]-5-pyrimidine-methanol]	1.0	
41766-75-0	Profenofos	0.1	62476-59-9	Propiconazole	1.0	
42874-03-3	[O-(4-Bromo-2-chlorophenyl)-O-ethyl-S-propyl-phosphorothioate]	1.0	63938-10-3	[1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4,-triazole]	1.0	
43121-43-3	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	1.0	64902-72-3	Acifluorfen, sodium salt	1.0	
50471-44-8	Oxyfluorfen	1.0	64969-34-2	[5-(2-Chloro-4-(trifluoromethyl)-phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0	
51235-04-2	Triadimefon	1.0	66441-23-4	Chlorotetrafluoroethane	1.0	
51338-27-3	[1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H-1,2,4-triazol-1-yl)-2-butanone]	1.0	67485-29-4	Chlorsulfuron	1.0	
51630-58-1	Vinclozolin	1.0	68085-85-8	[2-Chloro-N-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino]carbonyl]benzenesulfonamide]	1.0	
52645-53-1	[3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione]	1.0	69409-94-5	3,3'-Dichlorobenzidine sulfate	0.1	
53404-19-6	Hexazinone	1.0	69806-50-4	Fenoxaprop ethyl	1.0	
53404-37-8	Diclofop methyl	1.0	71751-41-2	[2-(4-((6-Chloro-2-benzoxazolylen)-oxy)phenoxy)propanoic acid, ethyl ester]	1.0	
53404-60-7	[2-[4-(2,4-Dichlorophenoxy)-phenoxy]propanoic acid, methyl ester]	1.0	72178-02-0	Hydramethylnon	1.0	
55290-64-7	Fenvalerate	1.0		[Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenylidene]hydrazone]	1.0	
55406-53-6	Permethrin	1.0		Cyhalothrin	1.0	
57213-69-1	[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropane carboxylic acid, (3-phenoxyphenyl)methyl ester]	1.0		[3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-Dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester]	1.0	
59669-26-0	Bromacil, lithium salt	1.0		Cyfluthrin	1.0	
	[4-Chloro-alpha-(1-methylethyl)-benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester]	1.0		[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl)methyl ester]	1.0	
	2,4-D 2-ethyl-4-methylpentyl ester	0.1		Fluvalinate	1.0	
	Dazomet, sodium salt	1.0		[N-[2-Chloro-4-(trifluoromethyl)-phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester]	1.0	
	[Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium]	1.0		Fluazifop butyl	1.0	
	Dimethipin	1.0		[2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester]	1.0	
	[2,3-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide]	1.0		Abamectin [Avermectin B1]	1.0	
	3-Iodo-2-propynyl butyl carbamate	1.0		Fomesafen	1.0	
	Triclopyr triethylammonium salt	1.0		[5-(2-Chloro-4-(trifluoromethyl)-phenoxy)-N-methylsulfonyl]-2-nitrobenzamide]	1.0	
	Thiodicarb	1.0				

\*C.I. means "Color Index"

Table II

CAS Number	Chemical Name	De Minimis Concentration
72490-01-8	Fenoxycarb [[2-(4-Phenoxyphenoxy)ethyl-] carbamic acid ethyl ester]	1.0
74051-80-2	Sethoxydim [2-[1-(Ethoxyimino)butyl]-5-[2- (ethylthio)propyl]-3-hydroxyl-2- cyclohexen-1-one]	1.0
76578-14-8	Quizalofop-ethyl [2-[4-[(6-Chloro-2- quinoxalinyloxy]phenoxy] propanoic acid ethyl ester]	1.0
77501-63-4	Lactofen [Benzoic acid, 5-[2-Chloro-4- (trifluoromethyl)phenoxy]-2- nitro-, 2-ethoxy-1-methyl-2-oxo ethyl ester]	1.0
82657-04-3	Bifenthrin	1.0
88671-89-0	Myclobutanil [.alpha.-Butyl-.alpha.-(4- chlorophenyl)-1H-1,2,4-triazole-1- propanenitrile]	1.0
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0
90982-32-4	Chlorimuron ethyl [Ethyl-2-[[[(4-chloro-6- methoxyprimidin-2-yl)amino]- carbonyl]-amino]sulfonyl]benzoate]	1.0
101200-48-0	Tribenuron methyl [2-[[[(4-Methoxy-6-methyl-1,3,5- triazin-2-yl)methylamino] carbonyl] amino]sulfonyl]benzoic acid-, methyl ester]	1.0
111512-56-2	1,1-Dichloro-1,2,3,3,3- pentafluoropropane (HCFC- 225eb)	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1
127564-92-5	Dichloropentafluoropropane	1.0
128903-21-9	2,2-Dichloro-1,1,1,3,3- pentafluoropropane (HCFC- 225aa)	1.0
136013-79-1	1,3-Dichloro-1,1,2,3,3- pentafluoropropane (HCFC- 225ea)	1.0

## c. Chemical Categories

Section 313 requires reporting on the EPCRA Section 313 chemical categories listed below, in addition to the specific EPCRA Section 313 chemicals listed above.

The metal compounds listed below, unless otherwise specified, are defined as including any unique chemical substance that contains the named metal (i.e., antimony, nickel, etc.) as part of that chemical's structure.

EPCRA Section 313 chemical categories are subject to the 1 percent *de minimis* concentration unless the substance involved meets the definition of an OSHA carcinogen in which case the 0.1 percent *de minimis* concentration applies. The *de minimis* concentration for each category is provided in parentheses.

### N010 Antimony Compounds (1.0)

*Includes any unique chemical substance that contains antimony as part of that chemical's infrastructure.*

### N020 Arsenic Compounds (inorganic compounds: 0.1; organic compounds: 1.0)

*Includes any unique chemical substance that contains arsenic as part of that chemical's infrastructure.*

### N040 Barium Compounds (1.0)

*Includes any unique chemical substance that contains barium as part of that chemical's infrastructure. This category does not include: Barium sulfate CAS Number 7727-43-7*

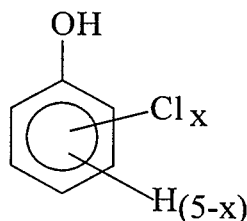
### N050 Beryllium Compounds (0.1)

*Includes any unique chemical substance that contains beryllium as part of that chemical's infrastructure.*

### N078 Cadmium Compounds (0.1)

*Includes any unique chemical substance that contains cadmium as part of that chemical's infrastructure.*

Table II

**N084 Chlorophenols (0.1)**

Where  $x = 1$  to 5

**N090 Chromium Compounds (chromium VI compounds: 0.1; chromium III compounds: 1.0)** Includes any unique chemical substance that contains chromium as part of that chemical's infrastructure.

**N096 Cobalt Compounds (0.1)** Includes any unique chemical substance that contains cobalt as part of that chemical's infrastructure.

**N100 Copper Compounds (1.0)** Includes any unique chemical substance that contains copper as part of that chemical's infrastructure. This category does not include copper phthalocyanine compounds that are substituted with only hydrogen, and/or chlorine, and/or bromine.

**N106 Cyanide Compounds (1.0)**  $X^+CN^-$  where  $X = H^+$  or any other group where a formal dissociation may occur. For example KCN or  $Ca(CN)_2$ .

**N120 Diisocyanates (1.0)** This category includes only those chemicals listed below.

38661-72-2	1,3-Bis(methylisocyanate) - cyclohexane
10347-54-3	1,4-Bis(methylisocyanate)- cyclohexane
2556-36-7	1,4-Cyclohexane diisocyanate
134190-37-7	Diethyldiisocyanatobenzene
4128-73-8	4,4'-Diisocyanatodiphenyl ether
75790-87-3	2,4'-Diisocyanatodiphenyl sulfide

91-93-0	3,3'-Dimethoxybenzidine-4,4'-diisocyanate
91-97-4	3,3'-Dimethyl-4,4'-diphenylene diisocyanate
139-25-3	3,3'-Dimethyldiphenyl methane-4,4'-diisocyanate
822-06-0	Hexamethylene-1,6-diisocyanate
4098-71-9	Isophorone diisocyanate
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate
5124-30-1	1,1-Methylene bis(4-isocyanatocyclohexane)
101-68-8	Methylene bis(phenylisocyanate) (MDI)
3173-72-6	1,5-Naphthalene diisocyanate
123-61-5	1,3-Phenylene diisocyanate
104-49-4	1,4-Phenylene diisocyanate
9016-87-9	Polymeric diphenylmethane diisocyanate
16938-22-0yl	2,2,4-Trimethylhexamethene diisocyanate
15646-96-5	2,4,4-Trimethylhexamethylene diisocyanate

**N171 Ethylenebisdithiocarbamic acid, salts and esters (EBDCs) (1.0)** Includes any unique chemical substance that contains an EBDC or an EBDC salt as part of that chemical's infrastructure.

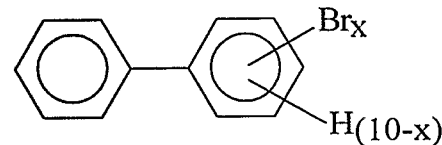
**N230 Certain Glycol Ethers (1.0)**

$R-(OCH_2CH_2)_n-OR'$   
Where  $n = 1, 2, \text{ or } 3$   
 $R = \text{alkyl C7 or less; or}$   
 $R = \text{phenyl or alkyl substituted phenyl;}$   
 $R' = H, \text{ or alkyl C7 or less; or}$   
 $OR' \text{ consisting of carboxylic acid ester, sulfate, phosphate, nitrate, or sulfonate.}$

**N420 Lead Compounds (inorganic compounds: 0.1; organic compounds 1.0)** Includes any unique chemical substance that contains lead as part of that chemical's infrastructure.

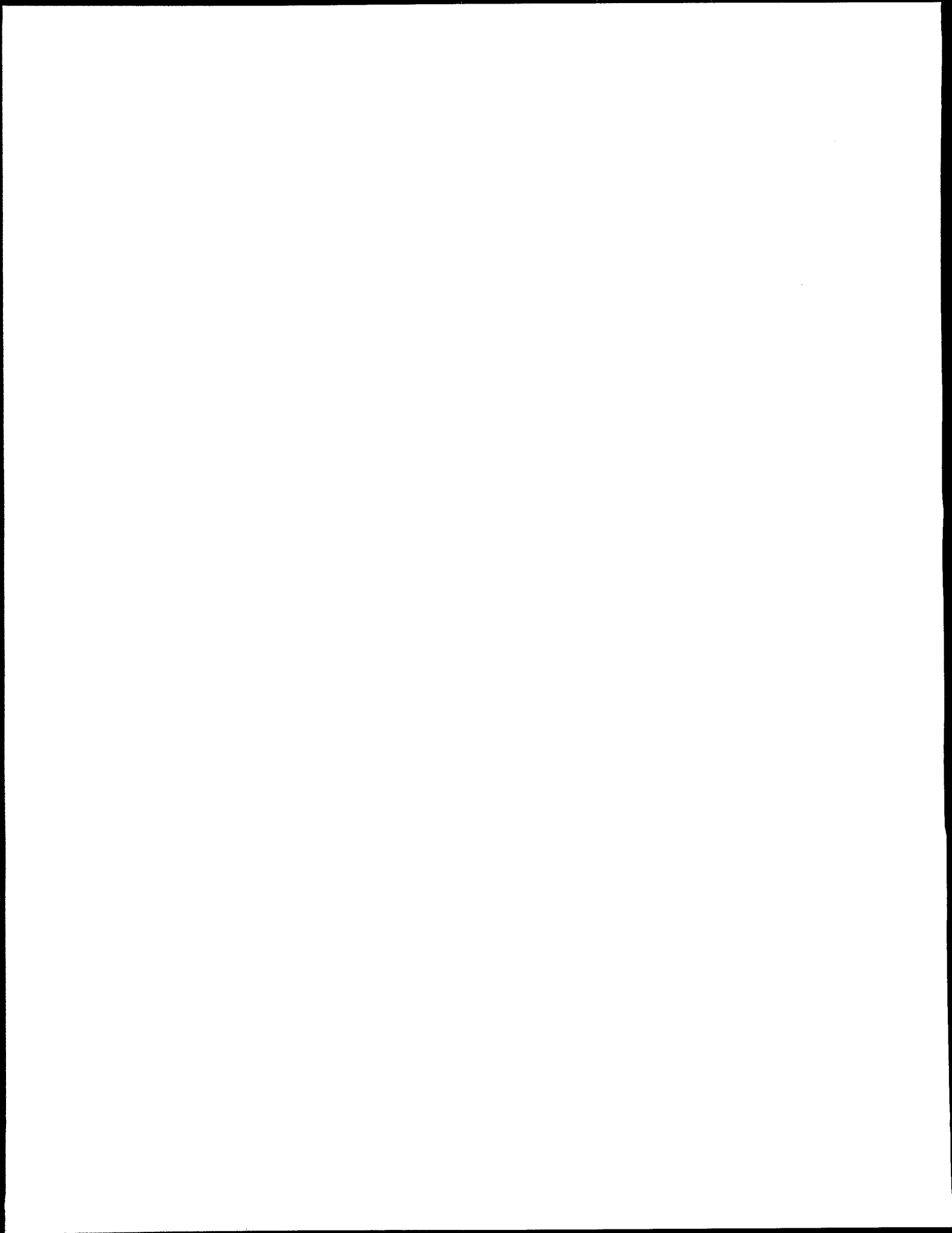
**N450 Manganese Compounds (1.0)** Includes any unique chemical substance that contains manganese as part of that chemical's infrastructure.

Table II

N458	<b>Mercury Compounds (1.0)</b> <i>Includes any unique chemical substance that contains mercury as part of that chemical's infrastructure.</i>	205-82-3 207-08-9 189-55-9 218-01-9 50-32-8	Benzo(j)fluoranthene Benzo(k)fluoranthene Benzo(rst)pentaphene Benzo(a)phenanthrene Benzo(a)pyrene
N495	<b>Nickel Compounds (0.1)</b> <i>Includes any unique chemical substance that contains nickel as part of that chemical's infrastructure.</i>	226-36-8 224-42-0 53-70-3 194-59-2	Dibenz(a,h)acridine Dibenz(a,j)acridine Dibenzo(a,h)anthracene 7H-Dibenzo(c,g)carbazole
N503	<b>Nicotine and salts (1.0)</b> <i>Includes any unique chemical substance that contains nicotine or a nicotine salt as part of that chemical's infrastructure.</i>	5385-75-1 192-65-4 189-64-0 191-30-0 57-97-6	Dibenzo(a,e)fluoranthene Dibenzo(a,e)pyrene Dibenzo(a,h)pyrene Dibenzo(a,l)pyrene 7,12-Dimethylbenz(a)anthracene
N511	<b>Nitrate compounds (water dissociable; reportable only when in aqueous solution) (1.0)</b>	193-39-5 3697-24-3 5522-43-0	Indeno[1,2,3-cd]pyrene 5-Methylchrysene 1-Nitropyrene
N575	<b>Polybrominated Biphenyls (PBBs) (0.1)</b>	N725	<b>Selenium Compounds (1.0)</b> <i>Includes any unique chemical substance that contains selenium as part of that chemical's infrastructure.</i>
		N740	<b>Silver Compounds (1.0)</b> <i>Includes any unique chemical substance that contains silver as part of that chemical's infrastructure.</i>
Where $x = 1$ to 10		N746	<b>Strychnine and salts (1.0)</b> <i>Includes any unique chemical substance that contains strychnine or a strychnine salt as part of that chemical's infrastructure.</i>
N583	<b>Polychlorinated alkanes (C10 to C13) (1.0, except for those members of the category that have an average chain length of 12 carbons and contain an average chlorine content of 60 percent by weight which are subject to the 0.1 percent <i>de minimis</i>)</b>	N760	<b>Thallium Compounds (1.0)</b> <i>Includes any unique chemical substance that contains thallium as part of that chemical's infrastructure.</i>
$C_xH_{2x+2}Cl_y$ where $x = 10$ to 13; $y = 3$ to 12; and the average chlorine content ranges from 40 - 70% with the limiting molecular formulas $C_{10}H_{19}Cl_3$ and $C_{13}H_{16}Cl_{12}$		N874	<b>Warfarin and salts (1.0)</b> <i>Includes any unique chemical substance that contains warfarin or a warfarin salt as part of that chemical's infrastructure.</i>
N590	<b>Polycyclic aromatic compounds (PACs) (0.1 except for benzo(a)phenanthrene and dibenzo(a,e)fluoranthene which are subject to the 1.0 percent <i>de minimis</i>)</b> This category includes only those chemicals listed below.	N982	<b>Zinc Compounds (1.0)</b> <i>Includes any unique chemical substance that contains zinc as part of that chemical's infrastructure.</i>
56-55-3 205-99-2	Benz(a)anthracene Benzo(b)fluoranthene		

**Table III. State Abbreviations**

Alabama	AL	Montana	MT
Alaska	AK	Nebraska	NE
American Samoa	AS	Nevada	NV
Arizona	AZ	New Hampshire	NH
Arkansas	AR	New Jersey	NJ
California	CA	New Mexico	NM
Colorado	CO	New York	NY
Connecticut	CT	North Carolina	NC
Delaware	DE	North Dakota	ND
District of Columbia	DC	Commonwealth of Northern	
Florida	FL	Mariana Islands	MP
Georgia	GA	Ohio	OH
Guam	GU	Oklahoma	OK
Hawaii	HI	Oregon	OR
Idaho	ID	Pennsylvania	PA
Illinois	IL	Puerto Rico	PR
Indiana	IN	Rhode Island	RI
Iowa	IA	South Carolina	SC
Kansas	KS	South Dakota	SD
Kentucky	KY	Tennessee	TN
Louisiana	LA	Texas	TX
Maine	ME	Utah	UT
Marshall Islands	MH	Vermont	VT
Maryland	MD	Virginia	VA
Massachusetts	MA	Virgin Islands	VI
Michigan	MI	Washington	WA
Minnesota	MN	West Virginia	WV
Mississippi	MS	Wisconsin	WI
Missouri	MO	Wyoming	WY



## Appendix A. Federal Facility Reporting Information

### Special Instructions for TRI Federal Facility Reporting

#### Why Do Federal Facilities Need to Report?

Executive Order 12856, Pollution Prevention and Right-to-Know Reporting, requires federal agencies to comply with the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) and the Pollution Prevention Act of 1990 (PPA). By Executive Order, federal facilities must report Toxics Release Inventory (TRI) data, pursuant to EPCRA Section 313 and PPA Section 6607 to EPA beginning with calendar year 1994 data. TRI submissions are due to EPA on July 1 of the year following each reporting (calendar) year. Reporting by the federal facility however does not alter the reporting obligation of on-site contractors. The "Government Owned Contractor Operated" (GOCO) facilities must continue to report TRI data if they are subject to EPCRA section 313.

#### Identifying Federal Facility Reports

Federal facility reports are identified as federal by several indicators on the form. The facility name and parent company name are critical indicators and must be reported as described below. Another critical indicator is the federal facility report box. Federal facilities only should check this box (Form R page 2, block 4.2c) to indicate that the report is from a federal agency for a federal facility. Federal facilities should also complete the partial or complete facility blocks (Form R page 2, block 4.2a and 4.2b) as appropriate. If you are a federal facility reporting for the first time, write "new" in the TRI Facility ID (TRIFID) box, even if a contractor has reported for your facility in the past. The contractor will retain the original TRIFID. You will be assigned a new TRIFID the first time you report.

#### The "Double Counting" Problem

As structured, the law and the executive order require both regulated industries and the federal government to report TRI data, sometimes for the same site. In order to prevent duplicate data in the TRI database, which could result in "double counting" data for some chemicals and locations, EPA must be able to identify and distinguish the "Government Owned Contractor Operated" (GOCO) reports submitted by the federal contractor from the federal reports which contain data for the same site. To

accomplish this, federal facility reports must be accompanied by either 1) exact copies (paper or electronic) of all contractor TRI reports included in the totals reported by the federal facility, or 2) a cover letter which includes a list of the facility contractors which submit TRI reports to EPA, identifying each contractor by name, TRI technical contact, and TRI facility name and address.

#### Magnetic Media Reporting

EPA encourages all federal facilities and GOCO facilities to report using either EPA's Magnetic Media reporting software, or one of the commercially available packages. If the GOCO also submits its reports on magnetic media to EPA and to the federal facility, the federal facility may submit magnetic media copies of their GOCO TRI reports to EPA. Magnetic media reports must be accompanied by a cover letter which includes:

- ☐ Required Form R certification statement;
- ☐ List of the chemicals reported on the federal facility's disk; and
- ☐ List, identifying the contractor(s) by name and by TRIFID number if they have an assigned TRIFID number, and the chemicals they reported (which are on the contractors' attachment disk(s))

#### How to Report Your Facility Name

Facility name is a critical data element. It is used by EPA to create the TRI facility ID number, which is a unique number designed to identify a facility site. The facility name and TRIFID number are used by all TRI data users to link data from a single site across multiple reporting years. Each federal facility will be assigned a new TRIFID number when the federal report is entered into the Toxics Release Inventory system for the first time. This TRIFID number, generated when the first report is entered into the Toxics Release Inventory System, will be included in future reporting packages sent to federal facilities, and should be used by federal facilities in all future reports.

Federal facilities should report their facility name on page 1 of the Form Rs (Section 4.1), as shown in the following example:

U.S. DOE Savannah River Site

It is very important that the agency name appear first, followed by the specific plant or site name.

## Appendix A

Federal facility GOCOs should report their names as shown in the following example:

U.S. DOE Savannah River Site - Westinghouse Operations.

### How to Report Your Standard Industrial Classification (SIC) Code

Federal facilities should report the SIC code which most closely represents the activities taking place at the site. Additional guidance on determining your SIC code is provided in the Forms and Instructions booklet. The table on the next page contains Public Administration SIC codes 91-97 covering executive, legislative, judicial, administrative and regulatory activities of the Federal government. Government-owned and operated business establishments are classified in major SIC groups 01-89 according to the activity in which they are engaged. For example, a Veterans Hospital would be classified in Group 806 - Hospitals.

### How to Report Your "Parent Company" Name

Federal facilities should report their parent company name on page 2 of the Form Rs (Section 5.1) by reporting their complete Department or Agency name, as shown in the following example:

U.S. Department of Energy

Block 5.2, Parent Company's Dun & Bradstreet Number, should be marked NA.

GOCOs should not report a federal department or agency name as their parent company. A federal name in the parent company name field will classify the report as federal, and the GOCO may be identified as a non-reporter.

### How to Revise Your Data After It Has Been Submitted

Any TRI Form R submitter may voluntarily revise their submission if they find errors after their reports have

been sent to EPA. If a federal facility receives a copy of a revision from a GOCO, the facility should revise the federal report, and submit the revised report to EPA and the appropriate state along with an exact copy of the GOCO's revision. If the revision is to a hardcopy report, the facility should photocopy the original form, use a blue or black pen to mark out the incorrect value and write in the corrected value. The revised report should be submitted to EPA, with an "X" in the revision block on page 1 of the Form R. If the revision is to a diskette, a new diskette should be submitted, containing the data only for the revised submission, not all the chemicals originally reported. The cover letter must indicate that the submission is a revision.

### National Security Data

DO NOT SUBMIT NATIONAL SECURITY DATA TO THE EPCRA REPORTING CENTER. National security data are handled through a separate process. Facilities should consult the Guidance for Implementing Executive Order 12856 documents or call the EPCRA Hotline if their Form R submission involves a national security data claim.

### Who Should Sign Federal Form R Reports?

Federal Form R reports must be signed by the senior federal employee on-site. If no federal employee is on-site, federal Form R reports must be signed by the senior federal employee with management responsibility for the site. Federal Form R reports must be signed by a federal employee. Contractor employee signatures are not considered valid on federal reports.

### More Help is Available!

Federal facilities may call EPA's EPCRA Hotline at (800) 535-0202, (800) 424-9346 or (703) 412-9877 to ask specific questions concerning how to submit their Form R reports.

## Standard Industrial Classification Codes 91-97

### Division J- Public Administration

#### 91 Executive, Legislative, and General Government, Except Finance

- 9111 Executive Offices
- 9121 Legislative Bodies
- 9131 Executive and Legislative Offices Combined
- 9199 General Government, Not Elsewhere Classified

#### 92 Justice, Public Order, and Safety

- 9211 Courts
- 9221 Police Protection
- 9222 Legal Counsel and Prosecution
- 9223 Correctional Institutions
- 9224 Fire Protection
- 9229 Public Order and Safety, Not Elsewhere Classified

#### 93 Public Finance, Taxation, and Monetary Policy

- 9311 Public Finance, Taxation, and Monetary Policy

#### 94 Administration of Human Resource Programs

- 9411 Administration of Educational Programs
- 9431 Administration of Public Health Programs
- 9441 Administration of Social, Human  
Resource and Income Maintenance  
Programs

- 9451 Administration of Veterans' Affairs,  
Except Health and Insurance

#### 95 Administration of Environmental Quality and Housing Programs

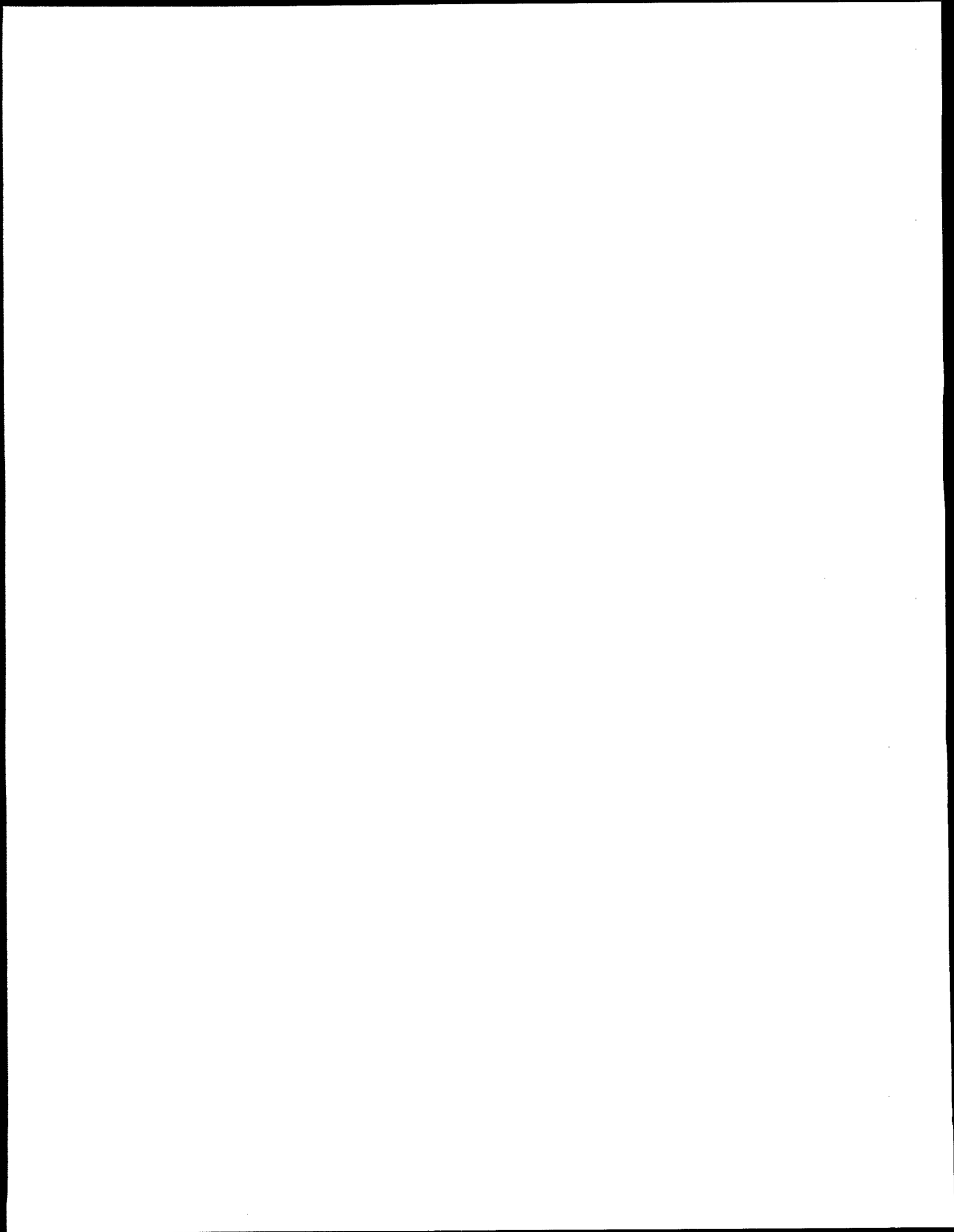
- 9511 Air and Water Resource and Solid Waste  
Management
- 9512 Land, Mineral, Wildlife, and Forest  
Conservation
- 9531 Administration of Housing Programs
- 9532 Administration of Urban Planning and  
Community and Rural Development

#### 96 Administration of Economic Programs

- 9611 Administration of General Economic  
Programs
- 9621 Regulation and Administration of  
Transportation Programs
- 9631 Regulation and Administration of  
Communications, Electric, Gas, and  
Other Utilities
- 9641 Regulation of Agricultural Marketing  
and Commodities
- 9651 Regulation, Licensing, and Inspection  
of Miscellaneous Commercial Sectors
- 9661 Space Research and Technology

#### 97 National Security and International Affairs

- 9711 National Security
- 9721 International Affairs



# Appendix B. Reporting Codes For EPA Form R

## Form R Part II

### Section 1.1. CAS Number

### EPCRA Section 313 Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N171	Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)
N230	Certain Glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes
N590	Polycyclic aromatic compounds
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N874	Warfarin and salts
N982	Zinc compounds

### Section 4. Maximum Amount of the EPCRA Section 313 Chemical On-Site at Any Time During the Calendar Year

Range Code	Weight Range in Pounds	
	From...	To....
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999

### Weight Range in Pounds

Range Code	From...	To....
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

### Section 5. Releases of the Toxic Chemical to the Environment On-Site and

### Section 6. Transfers of the Toxic Chemical in Waste Streams to Off-Site Locations

### Total Release or Transfer

Code	Range (lbs)
A	1-10
B	11-499
C	500-999

### Basis of Estimate

- M: Estimate is based on monitoring data or measurements for the EPCRA Section 313 chemical as transferred to an off-site facility.
- C: Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in waste streams entering and leaving process equipment.
- E: Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O: Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a treatment, even if the composition of the waste before treatment was fully identified through monitoring data.

## Section 6. Transfers of the Toxic Chemical in Waste Streams to Off-Site Locations

### Type of Waste Disposal/Treatment/Energy Recovery/Recycling

M10	Storage Only
M20	Solvents/Organics Recovery
M24	Metals Recovery
M26	Other Reuse or Recovery
M28	Acid Regeneration
M40	Solidification/Stabilization
M41	Solidification/Stabilization-Metals and Metal Compounds only
M50	Incineration/Thermal Treatment
M54	Incineration/Insignificant Fuel Value
M56	Energy Recovery
M61	Wastewater Treatment (Excluding POTW)
M62	Wastewater Treatment (Excluding POTW)-Metals and Metal Compounds only
M69	Other Waste Treatment
M71	Underground Injection
M72	Landfill/Disposal Surface Impoundment
M73	Land Treatment
M79	Other Land Disposal
M90	Other Off-Site Management
M92	Transfer to Waste Broker -- Energy Recovery
M93	Transfer to Waste Broker -- Recycling
M94	Transfer to Waste Broker -- Disposal
M95	Transfer to Waste Broker -- Waste Treatment
M99	Unknown

### Federal Information Processing Standards (FIPS) Codes for Transfers of the EPCRA Section 313 Chemical to Other Countries

This is an abridged list of countries to which a U.S. facility might ship an EPCRA Section 313 chemical. For a complete listing of FIPS codes, consult your local library. To obtain a FIPS code for a country not listed, contact the EPCRA Hotline.

<u>Country</u>	<u>Code</u>
Argentina	AR
Belgium	BE
Bolivia	BL
Brazil	BR
Canada	CA
Chile	CI
Columbia	CO
Costa Rica	CS

Cuba	CU
Ecuador	EC
El Salvador	ES
France	FR
Guatemala	GT
Honduras	HO
Ireland	EI
Italy	IT
Mexico	MX
Nicaragua	NU
Panama	PM
Paraguay	PA
Peru	PE
Portugal	PO
Spain	SP
Switzerland	SZ
United Kingdom	UK
Uruguay	UY
Venezuela	VE

## Section 7A. Waste Treatment Methods and Efficiency

### General Waste Stream

A	Gaseous (gases, vapors, airborne particulates)
W	Wastewater (aqueous waste)
L	Liquid waste streams (non-aqueous waste)
S	Solid waste streams (including sludges and slurries)

### Waste Treatment Methods

### Air Emissions Treatment

A01	Flare
A02	Condenser
A03	Scrubber
A04	Absorber
A05	Electrostatic Precipitator
A06	Mechanical Separation
A07	Other Air Emission Treatment

### Biological Treatment

B11	Biological Treatment -- Aerobic
B21	Biological Treatment -- Anaerobic
B31	Biological Treatment -- Facultative
B99	Biological Treatment -- Other

**Chemical Treatment**

C01	Chemical Precipitation -- Lime or Sodium Hydroxide
C02	Chemical Precipitation -- Sulfide
C09	Chemical Precipitation -- Other
C11	Neutralization
C21	Chromium Reduction
C31	Complexed Metals Treatment (other than pH Adjustment)
C41	Cyanide Oxidation -- Alkaline Chlorination
C42	Cyanide Oxidation -- Electrochemical
C43	Cyanide Oxidation -- Other
C44	General Oxidation (Including Disinfection)-- Chlorination
C45	General Oxidation (Including Disinfection) -- Ozonation
C46	General Oxidation (Including Disinfection) -- Other
C99	Other Chemical Treatment

**Incineration/Thermal Treatment**

F01	Liquid Injection
F11	Rotary Kiln with Liquid Injection Unit
F19	Other Rotary Kiln
F31	Two Stage
F41	Fixed Hearth
F42	Multiple Hearth
F51	Fluidized Bed
F61	Infra-Red
F71	Fume/Vapor
F81	Pyrolytic Destructor
F82	Wet Air Oxidation
F83	Thermal Drying/Dewatering
F99	Other Incineration/Thermal Treatment

**Physical Treatment**

P01	Equalization
P09	Other Blending
P11	Settling/Clarification
P12	Filtration
P13	Sludge Dewatering (Non-thermal)
P14	Air Flotation
P15	Oil Skimming
P16	Emulsion Breaking -- Thermal
P17	Emulsion Breaking -- Chemical
P18	Emulsion Breaking -- Other
P19	Other Liquid Phase Separation
P21	Adsorption -- Carbon
P22	Adsorption -- Ion Exchange (Other than for recovery/reuse)
P23	Adsorption -- Resin
P29	Adsorption -- Other

P31	Reverse Osmosis (Other than for recovery/reuse)
P41	Stripping -- Air
P42	Stripping -- Steam
P49	Stripping -- Other
P51	Acid Leaching (Other than for recovery/reuse)
P61	Solvent Extraction (Other than for recovery/reuse)
P99	Other Physical Treatment

**Solidification/Stabilization**

G01	Cement Processes (Including Silicates)
G09	Other Pozzolonic Processes (Including Silicates)
G11	Asphaltic Processes
G21	Thermoplastic Techniques
G99	Other Solidification Processes

**Range of Influent Concentration**

- 1 = Greater than 10,000 parts per million (1 percent)
- 2 = 100 parts per million (0.01 percent) to 10,000 parts per million (1 percent)
- 3 = 1 part per million (0.0001 percent) to 100 parts per million (0.01 percent)
- 4 = 1 part per billion to 1 part per million
- 5 = Less than 1 part per billion

[**Note:** Parts per million (ppm) is milligrams/ kilogram (mass/mass) for solids and liquids; cubic centimeters/cubic meter (volume/volume) for gases; milligrams/liter for solutions or dispersions of the chemical in water; and milligrams of chemical/kilogram of air for particulates in air. If you have particulate concentrations (at standard temperature and pressure) as grains/cubic foot of air, multiply by 1766.6 to convert to parts per million; if in milligrams/cubic meters, multiply by 0.773 to obtain parts per million. Factors are for standard conditions of 0°C (32°F) and 760 mmHg atmospheric pressure.]

**Section 7B. On-Site Energy Recovery Processes**

U01	Industrial Kiln
U02	Industrial Furnace
U03	Industrial Boiler
U09	Other Energy Recovery Methods

## Section 7C. On-Site Recycling Processes

- R11 Solvents/Organics Recovery -- Batch Still Distillation
- R12 Solvents/Organics Recovery -- Thin-Film Evaporation
- R13 Solvents/Organics Recovery -- Fractionation
- R14 Solvents/Organics Recovery -- Solvent Extraction
- R19 Solvents/Organics Recovery -- Other
- R21 Metals Recovery -- Electrolytic
- R22 Metals Recovery -- Ion Exchange
- R23 Metals Recovery -- Acid Leaching
- R24 Metals Recovery -- Reverse Osmosis
- R26 Metals Recovery -- Solvent Extraction
- R27 Metals Recovery -- High Temperature
- R28 Metals Recovery -- Retorting
- R29 Metals Recovery -- Secondary Smelting
- R30 Metals Recovery -- Other
- R40 Acid Regeneration
- R99 Other Reuse or Recovery

## Section 8.10. Source Reduction Activity Codes

### Good Operating Practices

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes in operating practices

### Inventory Control

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material -- continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes in inventory control

### Spill and Leak Prevention

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems

- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

### Raw Material Modifications

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications made

### Process Modifications

- W51 Instituted recirculation within a process
- W52 Modified equipment, layout, or piping
- W53 Use of a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications

### Cleaning and Degreasing

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications

### Surface Preparation and Finishing

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications

### Product Modifications

- W81 Changed product specifications
- W82 Modified design or composition of products

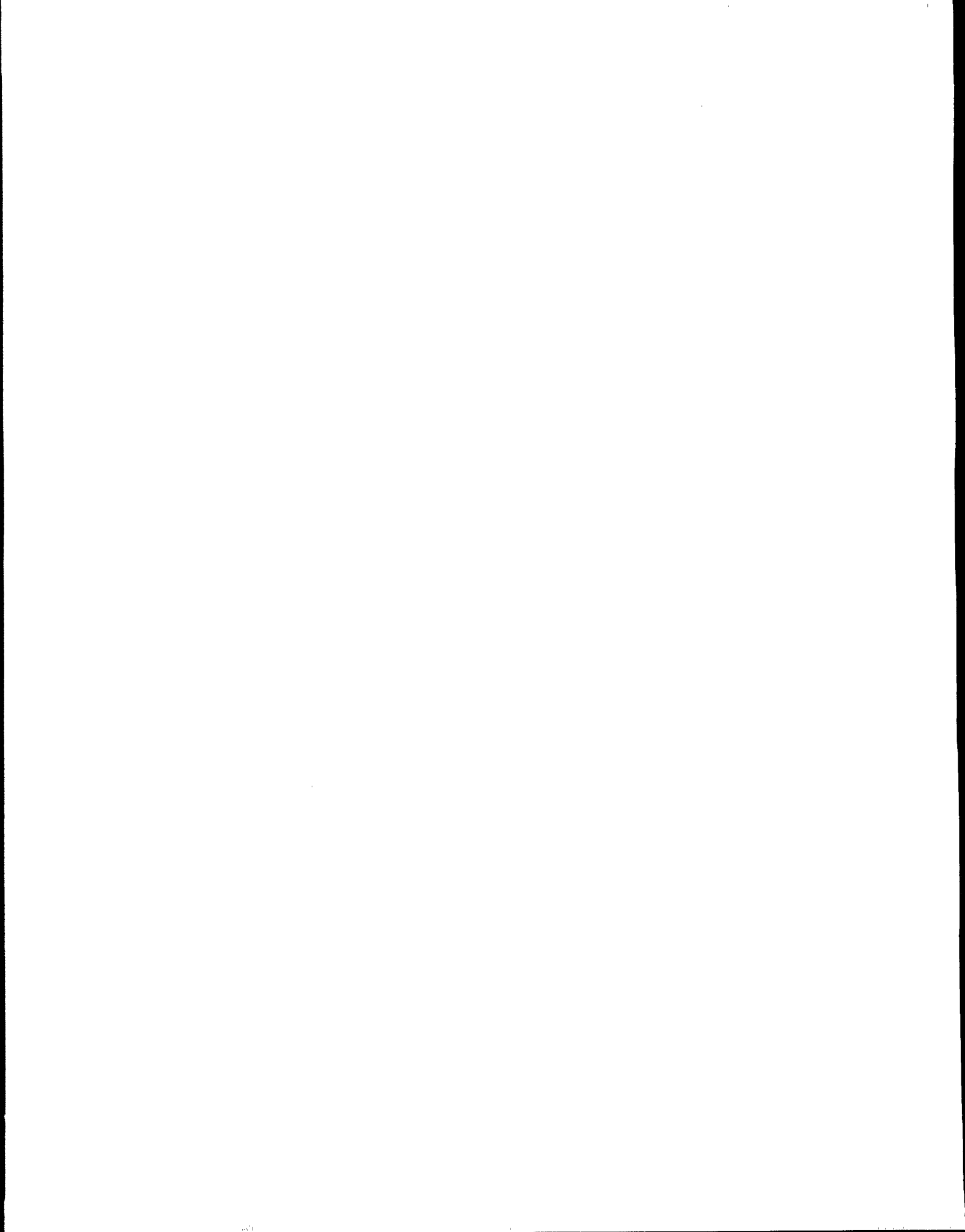
W83 Modified packaging  
W89 Other product modifications

### **Section 8.10. Methods Used to Identify Source Reduction Activities**

For each source reduction activity, enter up to three of the following codes that correspond to the method(s) which contributed most to the decision to implement that activity.

T01 Internal Pollution Prevention Opportunity  
Audit(s)  
T02 External Pollution Prevention Opportunity  
Audit(s)

T03 Materials Balance Audits  
T04 Participative Team Management  
T05 Employee Recommendation (independent of a  
formal company program)  
T06 Employee Recommendation (under a formal  
company program)  
T07 State Government Technical Assistance  
Program  
T08 Federal Government Technical Assistance  
Program  
T09 Trade Association/Industry Technical  
Assistance Program  
T10 Vendor Assistance  
T11 Other



## Appendix C. Common Errors in Completing Form R Reports

The common errors in complying with section 313 and completing Form R occur in three areas: Threshold determination errors, errors completing the Form R and release and other waste management estimation errors. These errors result in omission of required EPCRA Section 313 chemical reports, inaccurate data entered into the TRI database, prevention of report data being entered into the database, and the underestimation or overestimation of quantities of EPCRA Section 313 chemical reported.

Some errors on the Form R do not allow the data to be processed. These types of errors are usually facility identification/location errors, chemical identification errors, missing pages, invalid Form Rs, magnetic disk processing errors, or more than one chemical reported per Form R. EPA will issue a Notice of Significant Error (NOSE) or a Notice of Noncompliance (NON) to facilities with these type of errors. The notice will indicate that the Form R cannot be further processed and entered into the TRI database and that changes must be submitted to EPA by a certain date or further enforcement actions may be taken.

For other types of Form R completion errors, including missing required data or erroneous data, the facility will be issued a Notice of Technical Error (NOTE) by EPA. This notice will explain the nature of the error and will require that corrections be returned to EPA by a certain date. These type of errors usually involve, for example, the use of invalid codes, missing required data or obvious errors such as incorrect latitude/longitude or facility identification numbers. Other errors include incomplete off-site information and not reporting Section 5 and 6 quantities in the appropriate fields in Section 8 and vice versa.

EPA may initiate an inspection to review the activities at a facility involving reportable EPCRA Section 313 chemicals. If, as a result of the inspection, EPA determines that the facility should have submitted a Form R, then EPA may take enforcement action against the facility, which may involve the subsequent assessment of fines. Errors that result in non-reporting violations include incorrect threshold determination, misapplying exemptions, and overlooking activity involving a reportable chemical.

Facilities should also keep copies of submitted Form R reports and all documentation used to complete the reports. The documentation should include calculations for threshold determinations, the basis of exemptions applied, and the estimation techniques and data used for all quantities reported on the Form R.

### General Considerations

- ☐ **Incomplete Forms.** A complete Form R report for a single EPCRA Section 313 chemical or single EPCRA Section 313 chemical category consists of five pages stapled together. EPA cannot enter into the database data from a package that contains only one page 1, but several page 2s, 3s, 4s, and/or 5s. Such forms are considered incomplete submissions.
- ☐ **EPCRA Section 313 chemical activity overlooked.** Many facilities believe that because the section 313 reporting requirement pertains to manufacturers, only the use of EPCRA Section 313 chemicals in manufacturing processes must be examined. Any activity involving the manufacture, process, or otherwise use of an EPCRA Section 313 chemical or chemical category must be included in threshold determinations. Commonly overlooked activities include importation of chemicals, generation of waste byproducts, processing of naturally occurring metal compounds in ore, manufacturing and processing of reaction intermediates, the use of chemicals for cleaning of equipment, and the generation of byproducts during combustion of coal and/or oil. Facilities should take a systematic approach to identify all chemicals and mixtures used in production and non-production capacities, incorrect threshold determination. As a result, a Form R may not be submitted when one is required.
- ☐ **Calculating threshold determinations.** Annual quantities manufactured, processed, or otherwise used for Section 313 chemicals must be calculated, not surmised. The assumption that thresholds are exceeded commonly leads to error.
- ☐ **Misclassification of EPCRA Section 313 chemical activity.** Failure to correctly classify an EPCRA Section 313 chemical activity may result in an

### Threshold Determinations

including catalysts, well treatment chemicals, and wastewater treatment chemicals.

☐ **Reporting EPCRA Section 313 chemicals in mixtures and other trade name products.** EPCRA Section 313 chemicals contained in mixtures (including ores and stainless steel alloys) and other trade name products must be factored into threshold determinations and release and other waste management determinations, provided that the *de minimis* exemption cannot be taken. When the EPCRA Section 313 chemical being reported is a component in a mixture or other trade name product, report only the weight of the EPCRA Section 313 chemical in the mixture. Refer to Section B.4b of this document to calculate the weight of an EPCRA Section 313 chemical in a mixture or other trade name product.

☐ **Reporting coincidental manufacturing.** Coincidental manufacturing must not be overlooked. If coal and/or fuel oil and other raw materials that contain EPCRA Section 313 chemicals are used in boilers/burners, there is a potential for the coincidental manufacture of EPCRA Section 313 chemicals such as sulfuric acid (acid aerosols), hydrochloric acid (acid aerosols), hydrogen fluoride, and metal compounds. Additionally, manufacturing of EPCRA Section 313 chemicals during waste treatment is commonly overlooked. For example, the treatment of nitric acid may result in the coincidental manufacturing of a reportable chemical (nitrate compounds).

## Container Residue

☐ **Overlooking container residue.** Container residue must not be disregarded in release and other waste management calculations. Even a "RCRA empty" drum is expected to contain a residue and it must be considered for TRI reporting. Additionally, on-site drum rinsing and disposal of the rinsate will result in a release and other waste management activity. Refer to "Estimating Releases and Waste Treatment Efficiencies for Toxic Chemical Reporting Forms."

## Part I. Facility Identification Information

### Section 1. Reporting Year

☐ **Invalid Forms.** The correct version of the form for the reporting year in question must be used. Forms provided for reporting years 1987-1990 must not be used to report data for reporting years 1991-1995. Form Rs provided for reporting years 1987-1995 must not be used to report data for years 1996 and later.

### Section 2. Trade Secret Information

☐ **Incorrect completion of trade secret information.** The responses to trade secret questions in Part I Section 2 and Part II Section 1.3 of Form R/Form A must be consistent. If trade secrecy is indicated, a sanitized Form R/Form A and two trade secret substantiations (one sanitized) must be submitted in the same package as the trade secret Form R/Form A. Part II Section 1.3 should be blank if no trade secret claim is being made.

### Section 3. Certification

☐ **Missing certification signature.** An original certification signature must appear on page 1 of every Form R/Form A submitted to EPA.

### Section 4. Facility Identification

☐ **Incorrect latitude and longitude coordinates.** Latitude and longitude coordinates are important data on the Form R/Form A. These coordinates must be determined using the correct map and correct measurement techniques and reported in degrees, minutes, and seconds. For additional guidance, see Appendix E of this document.

☐ **"Questionable" entries.** Incorrect entries may require corrections to be made by the facility. Questionable entries may include:

- Missing or incorrect street address;
- Missing or incorrect ZIP codes;
- Missing County names;
- Invalid SIC codes;
- Missing or invalid Dun and Bradstreet numbers;
- Missing or invalid RCRA, NPDES, or UIC

- numbers; and
- Incomplete off site and POTW information (e.g., missing city name)

If amounts are reported in units other than pounds (e.g., metric units) or with exponential numbers, EPA may require a revision of the Form R/Form A submitted.

## Part II. Chemical-Specific Information

### Section 1. Toxic Chemical Identity

- ❑ **Reporting chemical abstract service (CAS) numbers in Section 1.1.** Beginning with the 1991 reporting year, EPA has assigned alphanumeric category codes to the twenty chemical categories for the purposes of reporting the CAS number field in Section 1.1. When completing a Form R for a chemical category, the appropriate code for that category must be provided in Section 1.1. The CAS numbers are listed in Table II: "Section 313 Toxic Chemical List," and if needed, the category codes are listed in Appendix B: "Reporting Codes for EPA Form R." Category guidance documents are listed in the Chemical and Industry Guidance Documents section in this document.
- ❑ **Failure to check for synonyms.** Some reportable chemicals (especially glycol ethers and toluene diisocyanates) have many synonyms that do not readily imply they are in the category. For example, "benzene,1,3-diisocyanatomethyl" may not be readily recognized as "toluene diisocyanate (mixed isomers)."
- ❑ **Invalid chemical identification in Section 1.2.** The CAS number and the chemical name reported here must exactly match the listed official Section 313 CAS number and EPCRA Section 313 chemical name.
- ❑ **Failure to consider an EPCRA Section 313 chemical qualifier.** Only EPCRA Section 313 chemicals in the form specified in the qualifier require reporting under Section 313 and should be reported on Form R with the appropriate qualifier in parentheses. For example, isopropyl alcohol is listed on the EPCRA Section 313 chemical list with the qualifier "manufacturing- strong acid process, no supplier notification." Thus, the ONLY facilities that should report this EPCRA Section 313 chemical are those

that manufacture isopropyl alcohol by the strong acid process.

- ❑ **Generic chemical name in Section 1.3.** A generic chemical name should only be provided if the Section 313 chemical identity is claimed as a trade secret.

### Section 2. Mixture Component Identity

- ❑ **Identifying chemicals used in mixtures.** Facilities must carefully review the most recent MSDS or supplier notification for every mixture brought on site to identify all Section 313 chemicals used during a reporting year. Although some mixtures may not have MSDSs, the best readily available information should be used to determine the presence of EPCRA Section 313 chemicals in ores and alloys.
- ❑ **Mixture names in Section 2.1.** Mixture names are to be entered here only if the supplier is claiming the identity of the EPCRA Section 313 chemical a trade secret and that is the sole identification. Mixture names that include the name or CAS number of one or more EPCRA Section 313 chemicals are not valid uses of the mixture name field.

### Section 3. Activities and Uses of the Toxic Chemical at the Facility

- ❑ **Reporting EPCRA Section 313 chemical activity.** EPCRA Section 313 chemical activity is commonly overlooked or misclassified. *Any activity* involving the manufacture, process, or otherwise use of an EPCRA Section 313 chemical must be examined. For example, waste treatment operations otherwise use EPCRA Section 313 chemicals to treat waste streams and may coincidentally manufacture an additional EPCRA Section 313 chemical as a result of the treatment reaction. Such activity must be considered. Further, EPCRA Section 313 chemical activity must be correctly classified as either "manufactured," "processed," or "otherwise used."

**Section 3.1** "Manufacture" means to produce, prepare, compound, or import an EPCRA Section 313 chemical.

**Section 3.2** "Process" means the preparation of an EPCRA Section 313 chemical after its manufacture, which incorporates the EPCRA Section 313 chemical into the

final product, for distribution in commerce.

**Section 3.3** "Otherwise use" encompasses any use of an EPCRA Section 313 chemical that does not fall under the terms "manufacture" or "process," and includes treatment for destruction, stabilization (without subsequent distribution in commerce), disposal, and other use of an EPCRA Section 313 chemical, including an EPCRA Section 313 chemical contained in a mixture or other trade name product. Otherwise use of an EPCRA Section 313 chemical does not include disposal, stabilization (without subsequent distribution in commerce), or treatment for destruction unless:

1. The EPCRA Section 313 chemical that was disposed, stabilized, or treated for destruction was received from off site for the purposes of further waste management; or
2. The EPCRA Section 313 chemical that was disposed, stabilized, or treated for destruction was manufactured as a result of waste management activities on materials received from off site for the purposes of further waste management activities.

For example, solvents in paint applied to a manufactured product are often misclassified as processed, instead of otherwise used. Because the solvents are not incorporated into the final product, the solvent is being otherwise used, not processed. Such situations must be interpreted accurately.

#### **Section 4. Maximum Amount of the Toxic Chemical On-Site at any Time During the Calendar Year**

- ☐ Maximum amount on site left blank. The appropriate code is required in this field.

#### **Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On Site**

- ☐ Incorrectly reporting stack emissions. Fugitive emissions from general indoor air must not be reported as stack emissions when released from a

single building vent. Additionally, stack emissions from storage tanks, including loading, working, and breathing losses from tanks, must not be overlooked or reported as fugitive emissions.

- ☐ Overlooking some releases to land. Section 313 chemicals placed in stockpiles or in surface impoundments should be reported as a "release to land" even if no Section 313 chemicals leak from these sources. Quantities of Section 313 chemicals land-treated should be reported as a "release to land."

#### **Section 6. Transfers of the Toxic Chemical in Wastes to Off-site Locations**

- ☐ Reporting discharges to POTWs in Section 6.1. When quantities of a listed mineral acid are neutralized to a pH of 6 or greater, the quantity reported as discharged to a POTW should be reported as zero. It is incorrect to enter "NA" (Not Applicable), in such a situation.
- ☐ Reporting other off-site transfers in Section 6.2. Any quantities reported in Sections 8.1, 8.3, 8.5, and 8.7 as sent off site for disposal, treatment, energy recovery, or recycling, respectively, must also be reported in Section 6.2 along with the receiving location and appropriate off-site activity code.

#### **Section 7A. On-Site Waste Treatment Methods and Efficiency**

- ☐ Failure to report waste treatment methods in Section 7A. Waste treatment methods used to treat waste streams containing EPCRA Section 313 chemicals, and the efficiencies of these methods, must be reported on Form R. Information must be entered for all waste streams, even if the waste treatment method does not affect the EPCRA Section 313 chemical. If no waste treatment is performed on waste streams containing the EPCRA Section 313 chemical, the box marked "Not Applicable" in Section 7A must be checked on Form R.

#### **Section 7B. On-Site Energy Recovery Processes**

- ☐ Incorrect reporting of waste treatment methods in Section 7A. The type of waste stream, influent

concentration, and waste treatment method for each waste stream are required to be reported on Form R using specific codes, along with the waste treatment efficiency expressed as percent removal. The waste treatment codes are listed in Appendix B: "Reporting Codes for EPA Form R," of the *Toxic Chemical Release Inventory Reporting Forms and Instructions*.

- ☐ **Reporting on-site energy recovery methods in Section 7B.** When a quantity is reported in Section 8.2 as combusted for energy recovery on site, the type of energy recovery system used must be reported in Section 7B, and vice versa.

### Section 7C. On-Site Recycling Processes

- ☐ **Reporting on-site recycling methods in Section 7C.** When a quantity is reported in Section 8.4 as recycled on site, the type of recovery method must be reported in Section 7C, and vice versa.

### Section 8. Source Reduction and Recycling Activities

*This section is mandatory. Under no circumstances should a reporting facility leave Section 8 entirely blank, even if the facility does not engage in source reduction or recycling activities.*

- ☐ Columns C and D, the future year projections for questions 8.1 through 8.7, must be completed. EPA expects a reasonable estimate for the future year projections. Zero can be used in columns C and D to indicate that the manufacture, process, or otherwise use of the chemical will be discontinued. In such cases, columns C and D for Section 8.1 through 8.7 must all contain zeroes.
- ☐ It is incorrect to use range codes to report quantities in Section 8. Range codes can be used only in Section 5 and Section 6 of Form R.
- ☐ It is incorrect to use the same codes from Section 4 for reporting the maximum amount of the reported EPCRA Section 313 chemical on site to report quantities in Section 8.
- ☐ Quantities reported in Section 8.1 through Section 8.7 are mutually exclusive and additive. This means that quantities of the reported EPCRA Section 313 chemical must not be double-counted in Section 8.1 through Section 8.7.

- ☐ Some double-counting errors have been due to confusion over the differences in how on-site treatment of an EPCRA Section 313 chemical is reported in Section 7A as compared to Section 8. In Section 7A, information on the treatment of *waste streams* containing the EPCRA Section 313 chemical is reported, along with the percent efficiency in terms of destruction or removal of the EPCRA Section 313 chemical from each waste stream. In Section 8, only the quantity of the *EPCRA Section 313 chemical* actually destroyed through the treatment processes reported in Section 7A is reported in Section 8.6 to avoid double-counting within Sections 8.1 through 8.7.

- ☐ Quantities reported in Sections 8.1 through 8.7 must not be reported in Section 8.8 and vice versa.

- ☐ Any time a reported EPCRA Section 313 chemical is contained in a waste, and the waste is associated with routine production-related activities and is recycled, combusted for energy recovery, treated, disposed, or otherwise released either on- or off-site, that quantity of the EPCRA Section 313 chemical must be included in the quantities reported in Sections 8.1 through 8.7.

- ☐ **Reporting quantities in Section 8.1 "Quantity released".** Quantities of EPCRA Section 313 chemicals that are released (including disposed) on site and reported in Section 5 of Form R must be reported in Section 8.1. Quantities of EPCRA Section 313 chemicals transferred off site for the purposes of disposal reported in Section 6.2 must appear in Section 8.1 using the following codes:

- ☐ M10 Storage Only;
- ☐ M41 Solidification/Stabilization -- Metals and Metal Compounds Only;
- ☐ M62 Wastewater Treatment (excluding POTW) -- Metals and Metal Compounds Only;
- ☐ M71 Underground Injection;
- ☐ M72 Landfill/Disposal Surface Impoundment;
- ☐ M73 Land Treatment;
- ☐ M79 Other Land Disposal;
- ☐ M90 Other Off-Site Management; and
- ☐ M94 Transfer to Waste Broker -- Disposal
- ☐ M99 Unknown.

Metals and metal compounds transferred off site to POTWs in Section 6.1 must appear in Section 8.1. To report correctly in Section 8.1, a facility must include quantities that are released to the environment, either on site or off site, excluding releases due to

## Appendix C

catastrophic events or non-production related activities.

$$\begin{aligned} \$8.1 &= \$5 + \$6.1 \text{ (metals and metal compounds only)} \\ &+ \$6.2 \text{ (disposal only)} - \$8.8 \text{ (on-site or off-site} \\ &\text{release due to catastrophic events)}^2 \end{aligned}$$

- ☐ **Reporting quantities in Section 8.2 "Quantity used for energy recovery on site".** A quantity must be reported in Section 8.2 for the current (reporting) year when a method of on-site energy recovery is reported in Section 7B, and vice versa. An error facilities make when completing Form R is to report the methods of energy recovery used on -site in Section 7B but not report the total quantity associated with those methods. Another error is to report a quantity in this section if the combustion of the EPCRA Section 313 chemical took place in a system that did not recover energy (e.g., an incinerator). A quantity of the EPCRA Section 313 chemical combusted for energy recovery must not be reported if the EPCRA Section 313 chemical does not have a significant heating value. Examples of EPCRA Section 313 chemicals that do not have significant heating values include metals, metal compounds, and halons. Metals and metal portions of metal compounds will never be treated or combusted for energy recovery. Section 8.2 must not include any quantities of the EPCRA Section 313 chemical associated with non-production related activities, such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices, that were combusted for energy recovery on site.

- ☐ **Reporting quantities in Section 8.3 "Quantity used for energy recovery off site".** As in Section 8.2, a quantity must not be reported in this section if the off-site combustion of the EPCRA Section 313 chemical took place in a system that did not recover energy (e.g., incinerator). A quantity of an EPCRA Section 313 chemical must not be reported as sent off site for the purposes of energy recovery if the EPCRA Section 313 chemical does not have a significant heating value. Examples of EPCRA Section 313 chemicals that do not have significant heating values include metals, metal compounds, and halons. Metals and metal portions of metal compounds will never be treated or combusted for

energy recovery. Quantities must be reported in Section 8.3 that are reported in Section 6.2 as transferred off site for the purposes of combustion for energy recovery using the following codes:

- ☐ M56 Energy Recovery
- ☐ M92 Transfer to Waste Broker — Energy Recovery

$$\begin{aligned} \$8.3 &= \$6.2 \text{ (energy recovery)} - \$8.8 \text{ (off-site} \\ &\text{energy recovery due to catastrophic} \\ &\text{events)}^2 \end{aligned}$$

- ☐ **Reporting quantities in Section 8.4 "Quantity recycled on site".** A quantity must be reported in Section 8.4 for the current reporting year when a method of on-site recycling is reported in Section 7C, and vice versa. An error facilities make when completing Form R is to report the methods of recycling used on site in Section 7C but not report the total quantity recovered using those methods.

In addition, only the amount of the chemical that was actually recovered is to be reported in Section 8.4. Any quantities of the EPCRA Section 313 chemical associated with non-production related activities such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices that were recycled on site must not be included in Section 8.8.

- ☐ **Reporting quantities in Section 8.5. "Quantity recycled off site".** Quantities reported in Section 6.2 as transferred off site for the purposes of recycling must be included in Section 8.5 using the following codes:

- ☐ M20 Solvents/Organic Recovery;
- ☐ M24 Metals Recovery;
- ☐ M26 Other Reuse or Recovery;
- ☐ M28 Acid Regeneration;
- ☐ M93 Transfer to Waste Broker — Recycling.

Quantities that are actually recycled at an off-site facility must not be reported in Section 8.5 — facilities should report the quantity that was sent off site for the purposes of recycling.

$$\begin{aligned} \$8.5 &= \$6.2 \text{ (recycling)} - \$8.8 \text{ (off-site recycling due} \\ &\text{to catastrophic events)}^2 \end{aligned}$$

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<sup>2</sup> §8.8 includes quantities of toxic chemical released on site or managed as waste off site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

- ☐ **Reporting quantities in Section 8.6 "Quantity treated on site".** Quantities may not always have to be reported in Section 8.6 when Section 7A is completed. This is because the information reported in Section 7A and Section 8 is different. Information on how waste streams containing the reported EPCRA Section 313 chemical are treated is reported in Section 7A, while the quantity of the EPCRA Section 313 chemical actually destroyed as a result of on-site treatment is reported in Section 8.6. If a quantity is reported in Section 8.6, Section 7A must be completed but the reverse may not be true. This may result in apparent discrepancies between Section 7A and Section 8. For example, a facility may treat wastewater containing an EPCRA Section 313 chemical by removing the EPCRA Section 313 chemical and then disposing of it on site. The treatment of the wastewater would be reported in Section 7A, with an efficiency estimate based on the amount of the EPCRA Section 313 chemical removed from the wastewater. Although the waste stream has been treated because the EPCRA Section 313 chemical has been removed, the EPCRA Section 313 chemical has not been treated because it has not been destroyed. The facility would report only the amount of the EPCRA Section 313 chemical actually destroyed during treatment in Section 8.6 and the amount ultimately disposed in Section 8.1 to avoid double-counting the same quantity in Section 8. In cases where the EPCRA Section 313 chemical is not destroyed during a treatment process and subsequently enters another activity, such as disposal (e.g., metals removed from wastewater and subsequently disposed on site), the quantity of the EPCRA Section 313 chemical would be reported as disposed in Section 8.1, not as treated in Section 8.6. Quantities of the EPCRA Section 313 chemical associated with non-production related activities such as catastrophic releases and remedial actions, as well as one-time events not associated with routine production practices, that were treated on site, must not be included in Section 8.6. Metals will never be treated or combusted for energy recovery.

- ☐ **Reporting quantities in Section 8.7 "Quantity treated off site".** Quantities reported in Section 6.2 as transferred off site for the purposes of treatment must be included in Section 8.7 using the following codes:

- ☐ M50 Incineration/Thermal Treatment;
- ☐ M54 Incineration/Insignificant Fuel Value;
- ☐ M61 Wastewater Treatment (excluding POTW);
- ☐ M69 Other Waste Treatment; and

- ☐ M95 Transfer to Waste Broker – Waste Treatment.

Quantities of an EPCRA Section 313 chemical, except metals and metal compounds, sent off site to a POTW should also be reported in Section 8.7.

To report correctly EPCRA Section 313 chemicals in Section 8.7, use the following equation.

$$\text{\$8.7} = \text{\$6.1 (excluding metal/metal compounds)} + \text{\$6.2 (treatment)} - \text{\$8.8 (off-site treatment due to catastrophic events)}^3$$

- ☐ **Reporting quantities in Section 8.8 "Quantity released to the environment as a result of remedial actions catastrophic events or one-time events not associated with production processes".** The quantities that are reported in Section 8.8 are associated with non-production related activities such as catastrophic releases and remedial actions, as well as one-time events not associated with routine production practices, that were released directly to the environment or transferred off site for the purposes of recycling, energy recovery, treatment or disposal. Quantities reported in Section 8.8 must not be reported in Section 8.1 through Section 8.7.
- ☐ **Reporting the production ratio in Section 8.9.** A production ratio or activity index must be provided in Section 8.9. A zero is not acceptable and "NA" (Not Applicable) can be used only when the reported EPCRA Section 313 chemical was not manufactured, processed, or otherwise used in the year prior to the reporting year.
- ☐ **Calculating production ratio in Section 8.9.** In calculating a production ratio for "otherwise used" chemicals, an activity index must be used rather than quantities purchased or released from year to year.
- ☐ **Reporting Source reduction activities in Section 8.10.** It is an error to report a source reduction activity in Section 8.10 and not report at least one method used to identify that activity and vice versa.

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<sup>3</sup>§8.8 includes quantities of toxic chemical released on site or managed as waste off site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

## Toxic Release Inventory Notices

**Notices of Data Change (NDCs).** NDCs correct data quality errors that are not technical or scientific. For example, if a facility transposes CAS numbers (the submitter lists 7623-00-0 for sodium nitrite instead of 7632-00-0), the EPCRA Reporting Center (RC) will send a notice to the facility indicating that the number has been corrected. If a facility lists a specific glycol ethers subcategory, the EPCRA RC will replace this subcategory with the reportable name "certain glycol ethers." If a facility submits a non-reportable chemical, the EPCRA RC will file the form as a miscellaneous submission and inform the facility.

**Notices of Significant Error (NOSEs).** NOSEs are sent to submitters for the following types of errors (see listing, below). These errors will prevent data from being entered into the system.

1. You have submitted your Toxic Chemical Release Inventory report on an invalid form by using either the form not applicable for the reporting year, or a facsimile form that has not been approved by EPA.
2. You have submitted an incomplete Toxic Chemical Release Inventory Form. All pages of the form must be completed and resubmitted to EPA.
3. You have provided incomplete facility identification information.
4. You have either left the chemical identification blank, or the CAS number you reported is not listed on the Section 313 list of toxic chemicals.
5. You have provided a valid CAS number and a valid chemical name, but they do not match.
6. You have provided a valid CAS number, but the chemical name you provided is not a Section 313 chemical name or recognized synonym.
7. You have reported for multiple chemicals in one Form R report.

**Notices of Noncompliance (NONs).** NONs are issued for the same exact errors as NOSEs (see NOSE errors, above). If a facility does not respond to a NOSE within 21 days, EPA will issue a NON. NONs are the only notices that are not issued by the TRI Information Management Branch of the Office of Pollution Prevention and Toxics, but rather by the Office of Enforcement and Compliance Assurance (OECA). If there is no response to the NON, then OECA is informed.

**Notices of Technical Error (NOTEs).** NOTEs are issued to submitters for errors that allow data to be entered into the system, but these errors can skew any analyses if they are not corrected.

101. You must sign the form and date the signature, part I, section 3.
102. You must enter the name and telephone number of the technical contact for the facility in part I, section 4.3.
103. For each receiving stream in part II, section 5.3, you must enter an estimate of the release or "NA" in column A.
104. You must list the name of the receiving stream for each release in part II, section 5.3, column A.
105. You must list the name and location of the POTW for the discharge reported in part II, section 6.1.A.1. "NA" is not acceptable.
106. For total discharges of this chemical to POTWs listed in part II, section 6.1.B, you must enter an estimate of the total discharge or "NA" in part II, section 6.1.A.1.
107. You must list the name and location of the other off site(s) for the transfer(s) of waste indicated in part II, section 6.2.A. "NA" is not acceptable.
108. For each other off site listed in part II, section 6.2, you must enter at least one estimated transfer or "NA" in column A.
109. You did not enter any information in part II, section 8.1 - 8.7. you must enter an estimate, "0", or "NA" in each box for section 8.1 - 8.7, columns A,B,C,& D.
110. You must enter a non-negative estimate for any quantity reported in columns A through D of part II, section 8.1 through 8.7.
111. You must enter either a non-negative value or zero for part II, section 8.8, "quantity released as a result of remedial actions, catastrophic events, or one time-events not associated with production processes."
112. You did not correctly complete part II section 8.9. You must enter a non-negative, non-zero "production ratio or activity index" for this chemical. See the instructions for calculation of this ratio. If the manufacture, process, or use of the reported chemical began during the current reporting year, enter "NA".
117. You did not correctly complete part II, section 6.2, column C, "type of treatment/disposal/etc." For each off-site transfer, you must enter the treatment,

- recycling, energy recovery, or disposal code for the method used. See the Form R instructions for valid codes.
201. You either did not provide a standard industrial classification (SIC) code to identify the activities occurring at your facility or you provided an invalid code(s) (part I, section 4.5). Non-federal facilities must provide at least one valid primary four-digit SIC code between 20(00) and 39(99).
  202. You did not correctly complete part I, section 4.6, latitude and longitude for your facility. "NA" is not an acceptable entry. Latitude and longitude should be seven digits with leading and/or trailing zeroes. The 48 contiguous U.S. states have latitudes ranging (south to north) from 24 degrees 33 minutes 00 seconds to 48 degrees 23 minutes 00 seconds and longitudes (east to west) from 66 degrees 57 minutes 00 seconds to 124 degrees 44 minutes 00 seconds respectively. Please consult Form R, appendix E and instructions.
  212. You did not indicate in part II, section 3 which activity(ies) and use(s) of the EPCRA Section 313 chemical occur at your facility. Check at least one.
  213. You did not complete part II, section 4. You must enter the "maximum amount of chemical on site at any time during the calendar year". Report the maximum amount as a two digit code. See the instructions for valid codes.
  214. You did not complete part II, section 5, "Quantity of the Toxic Chemical Entering each Environmental Medium On Site." If you did not release the chemical to the environment, enter "0" or "NA" as appropriate for each release type.
  215. You did not complete part II, section 6.1, "discharges to POTW." If you did not discharge the chemical in wastewater to a POTW(s), enter "NA" in section 6.1.A.1 or section 6.1.B.1.
  216. You did not complete part II, section 6.2, "Transfers to Other Off-site Locations." If you did not transfer the chemical in waste to other off-site locations, enter "NA" in section 6.2, the first off-site EPA identification number (RCRA ID No.) and/or off-site location name.
  217. You incorrectly completed part II, section 6.2 column C "Type of Waste Treatment / Disposal / Recycling / Energy Recovery." For each off-site transfer, you must enter the treatment, recycling, energy recovery, or disposal code for the method used. See the instructions for valid codes.
  218. You did not enter any information in part II, section 7A, "On-site Waste Treatment Methods and Efficiency." If you do not treat wastes containing the EPCRA Section 313 chemical at your facility, check "NA."
  220. You must enter either a zero or a non-negative value for an estimate in part II, section 5 or for an off-site transfer in part II, section 6.
  221. You did not enter any information in part II, section 7B, "On-site Energy Recovery Processes" or in part II, section 7C "On-site Recycling Processes." You must enter at least one method code or check "NA" in each section.
  222. You did not complete column B in part II, section 8.1. You must enter an estimate, "NA", or "0" in this column.
  223. You did not complete column B in part II, section 8.2. You must enter an estimate, "NA", or "0" in this column.
  224. You did not complete column B in part II, section 8.3. You must enter an estimate, "NA", or "0" in this column.
  225. You did not complete column B in part II, section 8.4. You must enter an estimate, "NA", or "0" in this column.
  226. You did not complete column B in part II, section 8.5. You must enter an estimate, "NA", or "0" in this column.
  227. You did not complete column B in part II, section 8.6. You must enter an estimate, "NA", or "0" in this column.
  228. You did not complete column B in part II, section 8.7. You must enter an estimate, "NA", or "0" in this column.
  239. You did not complete part I, section 4.2.C. If your facility is a federal facility, please check the 'federal facility' box.
  244. If you report releases in part II, section 5 and/or off site transfer in section 6.2 and/or quantities transferred off site to POTWs in section 6.1, you must report an estimate in part II, section 8.1 through 8.7 column B and/or section 8.8.

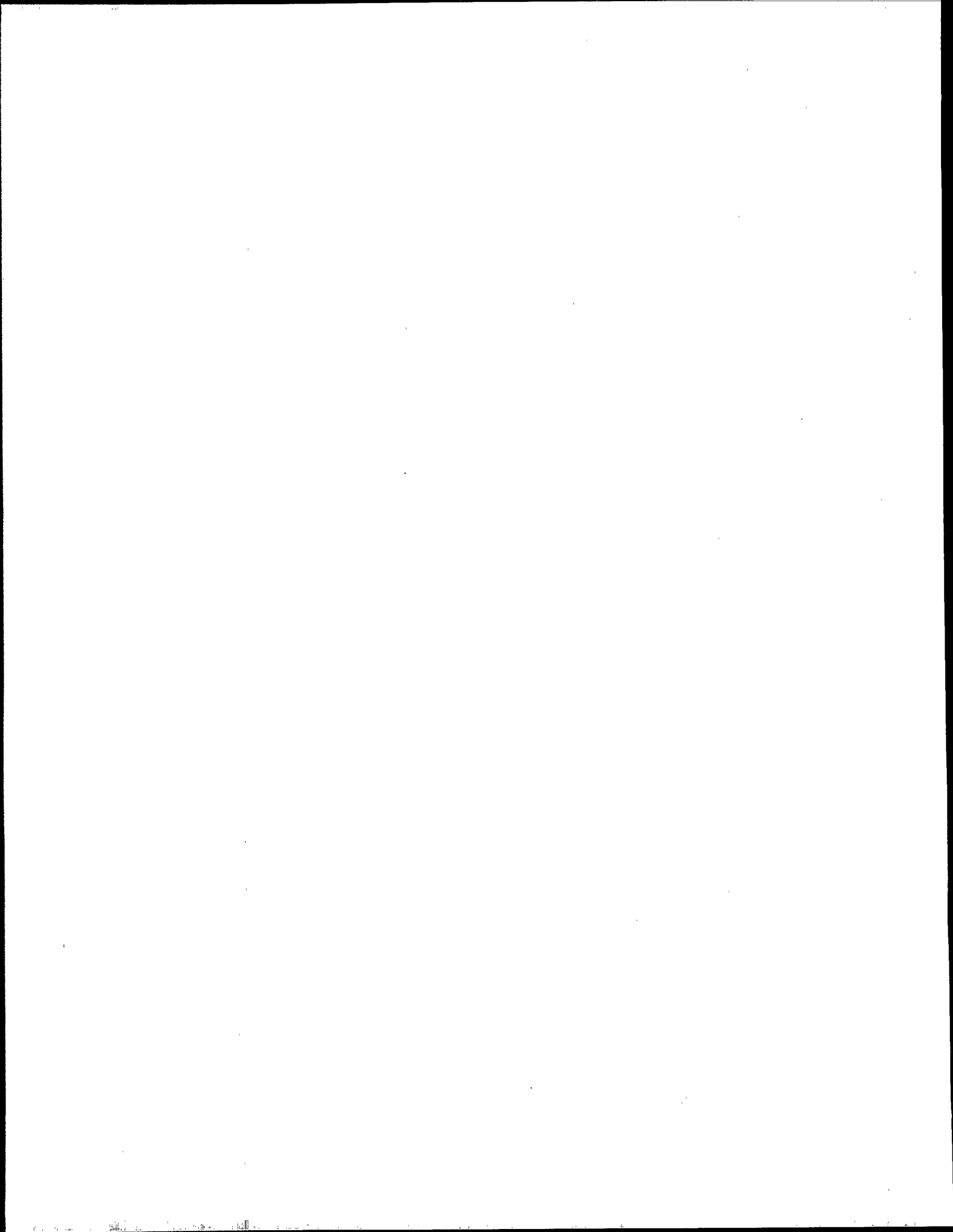
## Appendix C

245. If you enter an estimate in part II, section 8.1 through 8.7, column B and/or section 8.8, you must also report releases in part II, section 5 and/or off site transfers in section 6.2 and/or quantities transferred off site to POTWs in section 6.1 and/or more waste treatment, energy recovery, or recycling codes in section 7.
318. You entered an invalid energy recovery method code in part II, section 7B, "On-site Energy Recovery Processes." The code consists of a "U" followed by two digits. See appendix B of the Form R and instructions for valid codes.
319. You entered an invalid recycling code in part II, section 7C, "On-site Recycling Processes." The code consists of an "R" followed by two digits. See appendix B of the Form R and instructions for valid codes.
321. If you enter an estimate in part II, section 8.2, column B, "Quantity Used for Energy Recovery On Site," you must enter one or more energy recovery codes in part II, section 7B.
322. If you enter an "On-site Energy Recovery Process" code in part II, section 7B, you must enter an estimate of the quantity used for energy recovery in part II, section 8.2, column B.
325. If you enter an estimate in part II, section 8.4, column B "Quantity Recycled On Site", you must enter one or more recycling codes in part II, section 7C.
326. If you enter one or more on-site recycling process codes in part II, section 7C. You must enter an estimate in part II, section 8.4, column B, "Quantity Recycled On Site."
329. If you enter an estimate in part II, section 8.6, column B "Quantity Treated On Site", you must complete part II, section 7A "On-site Waste Treatment Methods and Efficiency." "NA" is not an acceptable entry.
332. You have entered an invalid code in part II, section 8.10.1-4. "Source Reduction Activity" codes consist of the letter "W" followed by two digits. See appendix B of the appropriately dated Form R and instructions for valid codes.
341. You have not correctly completed part II, section 8.10.1. If you enter one or more "Methods to Identify Activity" code in part II, section 8.10.1 (A - C), you must also enter the associated "Source Reduction Activity " code, which consist of the letter "W" followed by two digits. See Appendix B of the instructions for valid codes. If your facility did not undertake any source reduction activities, enter "NA" in the first column of section 8.10.1.
342. You have not correctly completed part II, section 8.10.2. If you enter one or more "Methods to Identify Activity" code in part II, section 8.10.2 (A - C), you must also enter the associated "Source Reduction Activity " code, which consist of the letter "W" followed by two digits. See appendix B of the instructions for valid codes. If your facility did not undertake any source reduction activities, enter "NA" in the first column of section 8.10.2.
343. You have not correctly completed part II, section 8.10.3. If you enter one or more "Methods to Identify Activity" code in part II, section 8.10.3 (A - C), you must also enter the associated "Source Reduction Activity " code, which consist of the letter "W" followed by two digits. See appendix B of the instructions for valid codes. If your facility did not undertake any source reduction activities, enter "NA" in the first column of section 8.10.3.
344. You have not correctly completed part II, section 8.10.4. If you enter one or more "Methods to Identify Activity" code in part II, section 8.10.4 (A - C), you must also enter the associated "Source Reduction Activity " code, which consist of the letter "W" followed by two digits. See appendix B of the instructions for valid codes. If your facility did not undertake any source reduction activities, enter "NA" in the first column of section 8.10.4.
346. You did not complete columns A, C, or D in part II, section 8.1. You must enter an estimate, "NA", or "0" in each column.
347. You did not complete columns A, C, or D in part II, section 8.2. You must enter an estimate, "NA", or "0" in each column.
348. You did not complete columns A, C, or D in part II, section 8.3. You must enter an estimate, "NA", or "0" in each column.
349. You did not complete columns A, C, or D in part II, section 8.4. You must enter an estimate, "NA", or "0" in each column.
350. You did not complete columns A, C, or D in part II, section 8.5. You must enter an estimate, "NA", or "0" in each column.

351. You did not complete columns A, C, or D in part II, section 8.6. You must enter an estimate, "NA", or "0" in each column.

352. You did not complete columns A, C, or D in part II, section 8.7. You must enter an estimate, "NA", or "0" in each column.

**Release Value Reports** are sent out for each form that is submitted. They display all the release and other waste management values for the submitter's own review.



## Appendix D. Supplier Notification Requirements

Because manufacturers reporting under section 313 must know the EPCRA Section 313 chemical composition of the products they use to be able to accurately calculate releases, EPA requires some suppliers of mixtures or other trade name products containing one or more of the EPCRA section 313 chemicals to notify their customers. This requirement has been in effect since January 1, 1989.

This appendix explains which suppliers must notify their customers, who must be notified, what form the notice must take, and when it must be sent.

### Who Must Supply Notification

You are covered by the section 313 supplier notification requirements if you own or operate a facility which meets all of the following criteria:

- (1) Your facility is in Standard Industrial Classification [SIC] codes 20-39;
- (2) You manufacture, import, or process an EPCRA Section 313 chemical; and
- (3) You sell or otherwise distribute a mixture or other trade name product containing the EPCRA Section 313 chemical to either:
  - ☐ A facility in a covered SIC Code (see Table I).
  - ☐ A facility that then may sell the same mixture or other trade name product to a firm in a covered SIC Code (see Table 1).

**Note that you may be covered by the supplier notification rules even if you are not covered by the section 313 release reporting requirements.** For example, even if you have fewer than 10 full-time employees or do not manufacture or process any of the EPCRA Section 313 chemicals in sufficient quantities to trigger the release and other waste management reporting requirements, you may still be required to notify certain customers.

### Who Must Be Notified

Also, note that beginning with the 1998 reporting year, seven new industries are now covered by most of the EPCRA section 313 reporting requirements. These new industries are not required to comply with most of the supplier notification requirements. Industries whose primary SIC code is not within 20 through 39 are not

required to initiate the distribution of notifications for EPCRA Section 313 chemicals in mixtures or other trade name products that they send to their customers.

However, if these facilities receive notifications from their suppliers about EPCRA Section 313 chemicals in mixtures or other trade name products, they should forward the notifications with the EPCRA Section 313 chemicals they send to other covered users.

An example would be if you sold a lacquer containing toluene to distributors who then may sell the product to other manufacturers. The distributors are not in a covered SIC code, but because they sell the product to companies in covered SIC codes, they must be notified so that they may pass the notice along to their customers, as required.

The language of the supplier notification requirements covers mixtures or other trade name products that are sold or otherwise distributed. The "otherwise distributes" language applies to intra-company transfers. However, if the company has developed an internal communications procedure that alerts their other facilities to the presence and content of covered EPCRA Section 313 chemicals in their products, then EPA would accept this.

Note that beginning with the first shipments in 1998, facilities in SIC codes 20-39 will be required to also notify facilities in the newly added industry groups.

### Supplier Notification Must Include the Following Information:

- (1) A statement that the mixture or other trade name product contains an EPCRA Section 313 chemical or chemicals subject to the reporting requirements of EPCRA section 313 (40 CFR 372);
- (2) The name of each EPCRA Section 313 chemical and the associated Chemical Abstracts Service (CAS) registry number of each chemical if applicable. (CAS numbers are not used for chemical categories, since they can represent several individual EPCRA Section 313 chemicals.); and
- (3) The percentage, by weight, of each EPCRA Section 313 chemical (or all EPCRA Section 313 chemicals within a listed category) contained in the mixture or other trade name product.

## Appendix D

For example, if a mixture contains a chemical (i.e., 12 percent zinc oxide) that is a member of a reportable EPCRA Section 313 chemical category (i.e., zinc compounds), the notification must indicate that the mixture contains a zinc compound at 12 percent by weight. Supplying only the weight percent of the parent metal (zinc) does not fulfill the requirement. The customer must be told the weight percent of the entire compound within an EPCRA Section 313 chemical category present in the mixture.

### How the Notification Must Be Made

The required notification must be provided at least annually in writing. Acceptable forms of notice include letters, product labeling, and product literature distributed to customers. If you are required to prepare and distribute a Material Safety Data Sheet (MSDS) for the mixture under the Occupational Safety and Health Act (OSHA) Hazard Communication Standard, your section 313 notification must be attached to the MSDS or the MSDS must be modified to include the required information. (A sample letter and recommended text for inclusion in an MSDS appear at the end of this appendix.)

You must make it clear to your customers that any copies or redistribution of the MSDS or other form of notification must include the section 313 notice. In other words, your customers should understand their requirement to include the section 313 notification if they give your MSDS to their customers.

### When Notification Must Be Provided

In general, you must notify each customer receiving a mixture or other trade name product containing an EPCRA Section 313 chemical with the first shipment of each calendar year. You may send the notice with subsequent shipments as well, but it is required that you send it with the first shipment each year. Once customers have been provided with an MSDS containing the section 313 information, you may refer to the MSDS by a written letter in subsequent years (as long as the MSDS is current).

If EPA adds EPCRA Section 313 chemicals to the section 313 list, and your products contain the newly added EPCRA Section 313 chemicals, notify your customers with the first shipment made during the next calendar year following EPA's final decision to add the chemical to the list. For example, if EPA adds chemical ABC to the list in September 1997, supplier notification for chemical ABC would have begun with the first shipment in 1998.

You must send a new or revised notice to your customers if you:

- (1) Change a mixture or other trade name product by adding, removing, or changing the percentage by weight of an EPCRA Section 313 chemical; or
- (2) Discover that your previous notification did not properly identify the EPCRA Section 313 chemicals in the mixture or correctly indicate the percentage by weight.

In these cases, you must:

- (1) Supply a new or revised notification within 30 days of a change in the product or the discovery of misidentified EPCRA Section 313 chemical(s) in the mixture or incorrect percentages by weight; and
- (2) Identify in the notification the prior shipments of the mixture or product in that calendar year to which the new notification applies (e.g., if the revised notification is made on August 12, indicate which shipments were affected during the period January 1 - August 12).

### When Notifications Are Not Required

Supplier notification is not required for a "pure" EPCRA Section 313 chemical unless a trade name is used. The identity of the EPCRA Section 313 chemical will be known based on label information.

You are not required to make a "negative declaration." That is, you are not required to indicate that a product contains no EPCRA Section 313 chemicals.

If your mixture or other trade name product contains one of the EPCRA Section 313 chemicals, you are not required to notify your customers if:

- (1) Your mixture or other trade name product contains the EPCRA Section 313 chemical in percentages by weight of less than the following levels (These are known as *de minimis* levels):
  - ☐ 0.1 percent if the EPCRA Section 313 chemical is defined as an "OSHA carcinogen";
  - ☐ 1 percent for other EPCRA Section 313 chemicals.

*De minimis* levels for each EPCRA Section 313 chemical and chemical category are listed in Table II.

- (2) Your mixture or other trade name product is one of the following:

- ☐ An article that does not release an EPCRA Section 313 chemical under normal conditions of processing or otherwise use.
- ☐ Foods, drugs, cosmetics, alcoholic beverages, tobacco, or tobacco products packaged for distribution to the general public.
- ☐ Any consumer product, as the term is defined in the Consumer Product Safety Act, packaged for distribution to the general public. For example, if you mix or package one-gallon cans of paint designed for use by the general public, notification is not required.

- (3) You are initiating distribution of a mixture or other trade name product containing one or more EPCRA Section 313 chemicals and your facility is in any of the newly covered SIC codes including facilities whose SIC code is within SIC major group codes 10 (except 1011, 1081, and 1094), 12 (except 1241); industry codes 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce); or 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. Section 6921 et seq.) or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis).

## Trade Secrets

Chemical suppliers may consider the chemical name or the specific concentration of an EPCRA Section 313 chemical in a mixture or other trade name product to be a trade secret. If you consider the:

- (1) Specific identity of an EPCRA Section 313 chemical to be a trade secret, the notice must contain a generic chemical name that is descriptive of the structure of that EPCRA Section 313 chemical. For example, decabromodiphenyl oxide could be described as a halogenated aromatic.
- (2) Specific percentage by weight of an EPCRA Section 313 chemical in the mixture or other trade name product to be a trade secret, your notice must contain a statement that the EPCRA Section 313 chemical is present at a concentration that does not exceed a specified upper bound. For example, if a mixture contains 12 percent toluene and you consider the percentage a trade secret, the notification may state that the mixture contains toluene at no more than 15 percent by weight. The upper bound value chosen must be no larger than necessary to adequately protect the trade secret.

If you claim this information to be trade secret, you must have documentation that provides the basis for your claim.

## Recordkeeping Requirements

You are required to **keep records for three years** of the following:

- (1) Notifications sent to recipients of your mixture or other trade name product;
- (2) All supporting materials used to develop the notice;
- (3) If claiming a specific EPCRA Section 313 chemical identity a trade secret, why the EPCRA Section 313 chemical identity is considered a trade secret and the appropriateness of the generic chemical name provided in the notification; and
- (4) If claiming a specific concentration a trade secret, explanations of why a specific concentration is considered a trade secret and the basis for the upper bound concentration limit.

This information must be readily available for inspection by EPA.

## Sample Notification Letter

January 2, 1999

Mr. Edward Burke  
Furniture Company of North Carolina  
1000 Main Street  
Anytown, North Carolina 99999

Dear Mr. Burke:

This letter is to inform you that a product that we sell to you, Furniture Lacquer KXZ-1390, contains one or more chemicals subject to Section 313 of Emergency Planning and Community Right-to-Know Act (EPCRA). We are required to notify you of the presence of these chemicals in the product under EPCRA section 313. This law requires certain industrial facilities to report on annual emissions and other waste management of specified EPCRA Section 313 chemicals and chemical categories. Our product contains:

- ☐ Toluene, Chemical Abstract Service (CAS) number 108-88-3, 20 percent, and
- ☐ Zinc compounds, 15 percent.

If you are unsure whether you are subject to the reporting requirements of EPCRA Section 313, or need more information, call EPA's EPCRA Hotline at (800) 535-0202, (800) 424-9346 or (703) 412-9877. Your other suppliers should also be notifying you about EPCRA Section 313 chemicals in the mixtures and other trade name products they sell to you.

Finally, please note that if you repackage or otherwise redistribute this product to industrial customers, a notice similar to this one must be sent to those customers.

Sincerely,

Emma Sinclair  
Sales Manager  
Furniture Products

## Furniture Products

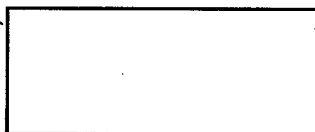
**Sample Notification on an MSDS**

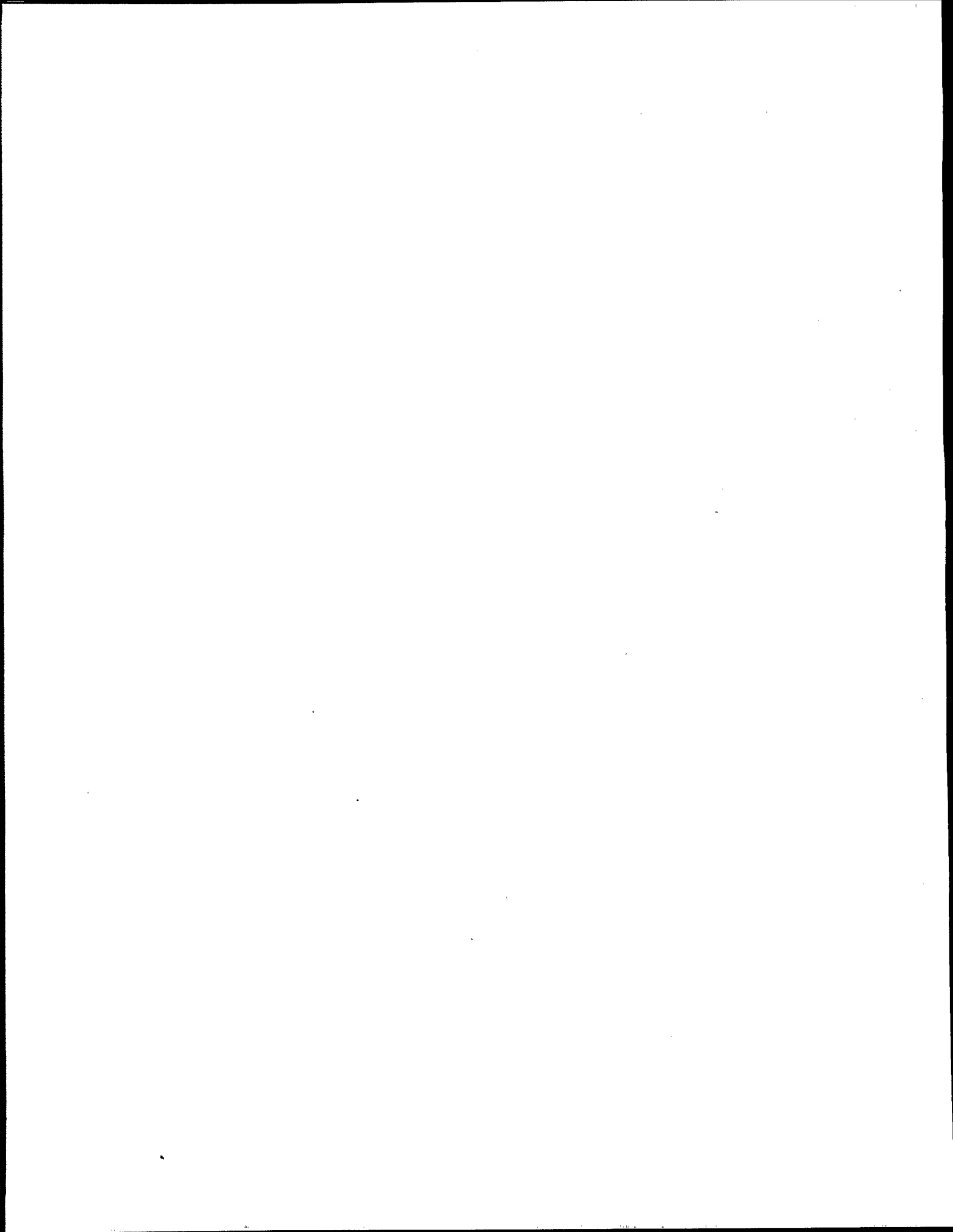
## Section 313 Supplier Notification

This product contains the following EPCRA Section 313 chemicals subject to the reporting requirements of Section 313 of the Emergency Planning and Community Right-To-Know Act of 1986 (40 CFR 372):

<u>CAS #</u>	<u>Chemical Name</u>	<u>Percent by Weight</u>
108-88-3	Toluene	20%
NA	Zinc Compounds	15%

This information should be included in all MSDSs that are copied and distributed for this material.

**Material Safety Data Sheet**



## Appendix E. How To Determine Latitude and Longitude From Topographic Maps

Latitude and longitude coordinates of reporting facilities are very important for pinpointing facility location and are a required data element on Form R. As such, EPA is encouraging facilities to make the best possible measurements when determining latitude and longitude. As with any other data element, missing, suspect, or incorrect data may result in EPA issuing a Notice of Technical Error to the facility.

Latitude is the distance north or south of the equator. Longitude is the distance east or west of the prime meridian (Greenwich, England). Latitude and longitude are measured in degrees, minutes, and seconds.

60" (seconds) = 1' (minute)

60' (minutes) = 1° (degree)

The most important tool available for determining latitude and longitude for your facility is the U.S. Geological Survey (USGS) topographic quadrangle map. These maps are published in varying degrees of detail. The most detailed version of the topographic quadrangle map is in 7.5 x 7.5 minute increments with a scale of 1:24000 (i.e., one inch on the map represents 2,000 feet). Detailed topographic quadrangle maps are also available in 7.5 x 15 minute increments with a scale of 1:250,000 (i.e., one inch on the map represents approximately four miles). It is very important that latitude and longitude measurements be made from one of the detailed maps described above. Otherwise, measurements will not accurately reflect the location of your facility and could be identified as an error on your Form R submission.

In order to identify the detailed topographic quadrangle map in which your facility is located, the USGS has published an index and a catalog of topographic maps available for each state. Both the index and the catalog are available in many libraries or free of charge from the Distribution Branch of the USGS (address on following page). The *Index to Topographic and Other Map Coverage* helps you to identify the most detailed map in which your facility is located. To identify the most detailed map, follow these simple steps on how to use the index:

- (1) The beginning of each index contains a map of the state, broken into numbered quadrangular sections. The numbered quadrangular sections are called general areas of interest. **Identify** the numbered section in which your facility is located.
- (2) The subsequent pages of the index contain detailed maps of each general area of interest, in numerical order. **Identify** the detailed map corresponding to the numbered general area of interest identified in Step 1.

- (3) Within this detailed map, **identify** the smaller quadrangular area in which your facility is located. This smaller quadrangular section is the specific area of interest. Record first the letter then the number coordinate for your specific area of interest (e.g., E4).
- (4) Using the chart found on the same page as the detailed map of the general area of interest, **record** the name of the specific area of interest in which your facility is located, identified by the letter and number coordinates (e.g., Richmond).

The name of the specific area of interest and its corresponding letter and number coordinates identify the most detailed topographic quadrangle map in which your facility is located. To identify the map reference code and file number necessary to order this map, follow these simple steps for using the *Catalog of Topographic and Other Published Maps* for the state in which your facility is located:

- (5) The beginning of the catalog explains the meaning of the reference code. On the pages following this explanation, there are charts listed alphabetically by the name of the specific area of interest with corresponding file numbers and map reference codes. Using the name of the specific area of interest recorded in Step 4, **identify** the file number and map reference code from the chart for the map in which your facility is located (e.g., file number 00692, map reference code 37977-E4-TF-024-00).
- (6) Use the file number and map reference code to obtain the specific topographic quadrangle map in which your facility is located.

These detailed topographic quadrangle maps are available in many libraries or for purchase from the Distribution Branch of the USGS and from private map dealers. The *Catalog of Topographic and Other Published Maps* contains a list of map depository libraries and topographic map dealers for each state covered in the catalog.

To purchase a topographic quadrangle map from the USGS, you must send a written request to the Distribution Branch of the USGS, containing the file number, map reference code, the name of the city, state and zip code in which your facility is located, payment of \$4.00 per map sheet and a handling charge of \$3.50 for each order mailed.

## Appendix E

The Distribution Branch of the USGS can be reached at:

**Distribution Branch of the USGS**  
P.O. Box 25286  
Denver Federal Center  
Denver, CO 80225  
(303) 202-4700  
**ALLOW 5 WEEKS FOR DELIVERY**

In addition, you may purchase a topographic quadrangle map from the USGS through a USGS Public Inquiry Office. The Public Inquiry Offices are listed for each state on the inside back cover of the *Catalog of Topographic and Other Published Maps*.

If you need help in determining your latitude and longitude, once you have the necessary map, the National Cartographic Information Center can provide assistance:

Western states: (303) 202-4200  
Eastern states: (314) 341-0851

Please call in advance of the section 313 reporting deadline to avoid unnecessary delays.

### Determining Your Facility's Latitude and Longitude

(See diagram next page.)

Once you have obtained the correct map for your facility:

- (1) Mark the location of your facility on the map with a point. If your facility is large, choose a point central to the production activities of the facility. If certain structures in your facility are represented on the map, mark one of the structures with a point.
- (2) Construct a small rectangle around the point with fine pencil lines connecting the nearest 2.5' or 5' graticules. Graticules are intersections of latitude and longitude lines that are marked on the map edge, and appear as black crosses at four points in the interior of the map.
- (3) Read and record the latitude and longitude (in degrees, minutes, and seconds) for the southeast corner of the small quadrangle drawn in step two. The latitude and longitude are printed at the edges of the map.
- (4) To determine the increment of latitude above the latitude line recorded in step 3,

- position the map so that you face **west**;
- place the ruler in approximately a north-south alignment, with the "0" on the latitude line recorded in step 3 with the ruler edge intersecting the point.

Without moving the ruler, read and record:

- the measurement from the latitude line to the desired point (the point distance);
- the measurement from the latitude line to the north line of the small quadrangle (the total distance).

Determine the number of seconds to be added to the latitude recorded in step 3 by using the ratio:

$$\frac{\text{Point distance}}{\text{Total distance}} \times 150'' = \text{increment of latitude between lines}$$

[Note: 150" is the number of seconds of arc for the side of the small quadrangle on a 7.5' map. If you are using a 15' map, the multiplication factor is 300" instead of 150" since each graticule is 5' of latitude or longitude.]

For example:

$$\begin{array}{rcl} \text{Point distance} & = & 99.5 \\ \text{Total distance} & = & 192.0 \end{array}$$

$$\begin{array}{rcl} \frac{99.5}{192.0} \times 150'' & = & 77.7'' \\ & = & 01'17.7'' \end{array}$$

$$(60'' = 1'; 77.7'' = 60'' + 17.7'' = 01' 17.7'')$$

$$\begin{array}{rcl} \text{Latitude in step 3} & & 32^{\circ}17'30'' \\ \text{Increment} & & + 01'17.7'' \\ \hline \text{Latitude of point} & & 32^{\circ}18'47.7'' \end{array}$$

$$\text{to the nearest second} = 32^{\circ}18'48''$$

- (5) To determine the increment of longitude west of the longitude line recorded in step 3,

- position the map so that you face **south**;
- place the ruler in approximately an east-west alignment with the "0" on the longitude line recorded in step 3 with the ruler edge intersecting the point.

Without moving the ruler, read and record:

- the measurement from the longitude line to the desired point (the point distance);
- the measurement from the longitude line to the west line of the small quadrangle (the total distance).

For example:

Point distance = 65.0  
Total distance = 149.9

$$\frac{65.0}{149.9} \times 150'' = 65'' = 01'05''$$

Determine the number of seconds to be added to the longitude recorded in step 3 by using the ratio:

$$(60'' = 1'; 65'' = 60'' + 05'' = 01'05'')$$

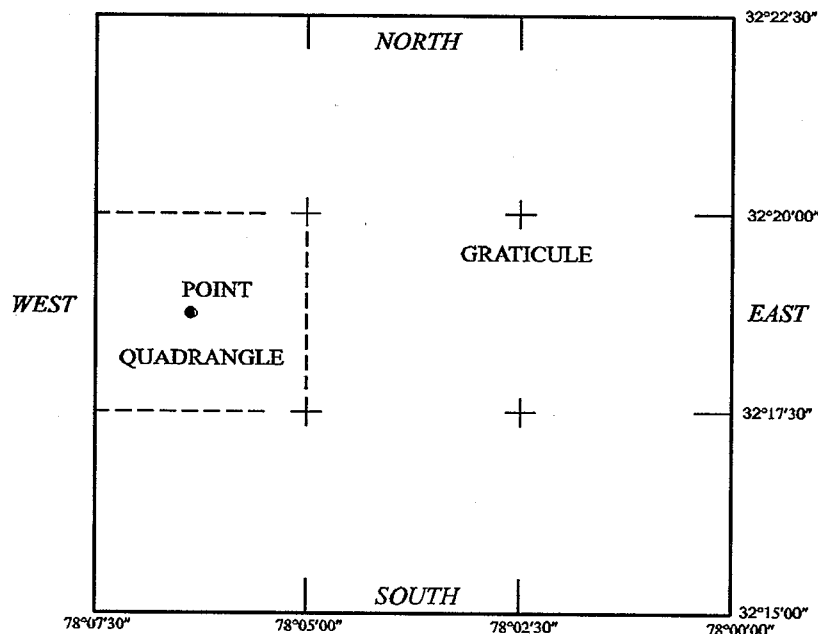
$\frac{\text{Point distance}}{\text{total distance}} \times 150'' = \text{increment of longitude between lines}$

Longitude in step 3      78°05'00"  
Increment                    + 01'05"  
Longitude of point        78°06'05"

to the nearest second      = 78°06'05"

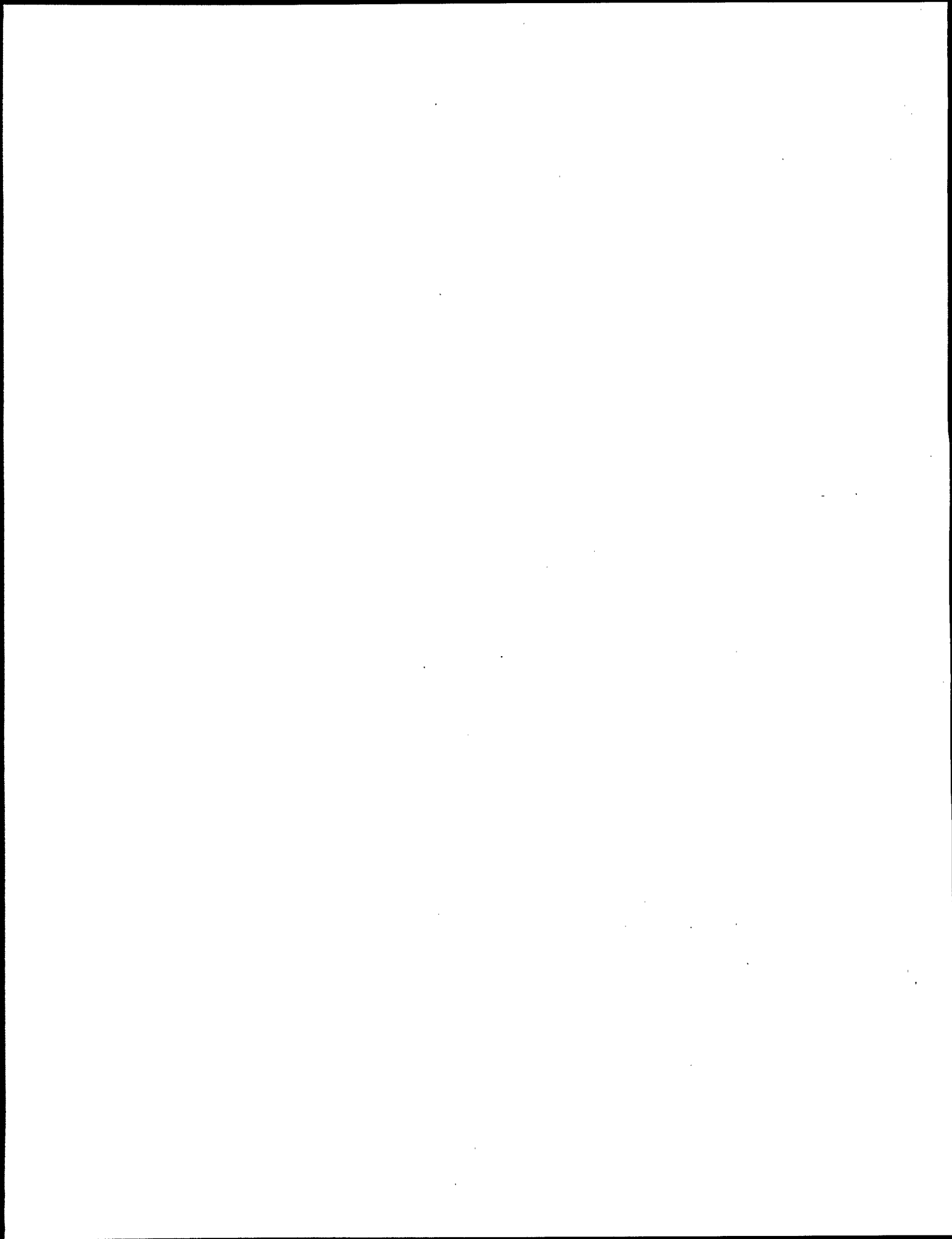
**Note:** Use the appropriate address for submission of Form R reports to your State. In addition, many States have additional state reporting requirements. Check with your State contact on any State requirements.

### Latitude/Longitude Diagram



Point Latitude 32°18'48" North, Longitude 78°06'05" West

**Note:** This diagram is based on a USGS 7.5 Minute Series Topographic Map. It is not drawn to scale.



## Appendix F. State Designated Section 313 Contacts

**Submitting Electronically to States:** As of the publication of this book the following states confirmed that they accept electronic submission.

AK	ID	NC	SC
AZ	IL	ND	SD
CA	IN	NJ	UT
CO	KS	NM	VA
DC	LA	NY	VT
DE	MD	NV	WA
FL	MI	OH	WI
GA	MN	OK	WV
HI	MO	OR	
IA	MT	PA	

**If your state is not listed here. Please contact your state office to confirm that paper submissions are required.**

### Alabama

Mr. Kirk Chandler  
Alabama Emergency Response Commission  
Alabama Department of Environmental Management  
P.O. Box 301463  
Montgomery, AL 36130-1463  
(334) 260-2717  
Fax: (334) 272-8131  
[kfc@adem.state.al.us](mailto:kfc@adem.state.al.us)

### Alaska

Ms. Camille Stephens  
Department of Environmental Conservation  
Government Preparedness and Response Program  
410 Willoughby Avenue, Suite 105  
Juneau, AK 99801-1795  
(907) 465-5242  
Fax: (907) 465-5244  
[cstephen@envircon.state.ak.us](mailto:cstephen@envircon.state.ak.us)

### American Samoa

Mr. Togipa Tausaga  
American Samoa EPA  
American Samoa Government  
Office of the Governor  
Pago Pago, AS 96799  
International Number (684) 633-2304  
[asepa@samoatelco.com](mailto:asepa@samoatelco.com)

### Arizona

Mr. Daniel Roe, Executive Director  
Arizona Emergency Response Commission  
5636 East McDowell Road  
Phoenix, AZ 85008  
(602) 231-6346

Fax: (602)392-7519  
[roed@dem.state.az.us](mailto:roed@dem.state.az.us)

Mr. Bill Quinn  
Arizona Department of Environmental Quality  
Pollution Prevention Unit Manager  
3033 N. Central  
Phoenix, AZ 85012  
(602) 207-4203  
Fax: (602) 207-4538  
[quinn.bill@en.state.az.us](mailto:quinn.bill@en.state.az.us)

### Arkansas

Mr. Bob Johns  
Arkansas Office of Emergency Services  
P.O. Box 758  
Conway, AR 72203-0758  
Attn: Office of Hazardous Materials  
(501) 703-9789  
Fax: (501) 703-9754

### Certified Mail ONLY

Mr. Bob Johns  
Arkansas Office of Emergency Services  
1835 South Donaghey  
Conway, AR 72032  
Attn: OHM

### California

Mr. Stephen Hanna  
California Environmental Protection Agency  
Department of Toxic Substances Control  
P.O. Box 806  
Sacramento, CA 95812-0806  
(916) 324-9924  
Fax: (916) 324-1788  
[shanna@dtsc.ca.gov](mailto:shanna@dtsc.ca.gov)

### Colorado

Mr. Kirk Mills  
Mail Stop PPP-B2  
Colorado Emergency Planning Commission  
Colorado Department of Public Health and Environment  
4300 Cherry Creek Drive South  
Denver, CO 80246  
(303) 692-3017  
Fax: (303) 782-4969  
[kirk.mills@state.co.us](mailto:kirk.mills@state.co.us)

## *Appendix F*

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**Commonwealth of Northern Mariana Islands**  
Mr. Ignacio V. Cabrera, Director  
Division of Environmental Quality  
Commonwealth of the Northern Mariana Islands  
P.O. Box 1304  
Saipan, MP 96950  
International Number (670) 234-6984  
Fax: (670) 234-1003  
[deq.director@saipan.com](mailto:deq.director@saipan.com)

**Connecticut**  
Mr. Joseph Pulaski  
SERC Administrator  
Department of Environmental Protection  
79 Elm Street, 4th Floor  
Hartford, CT 06106-5127  
(860) 424-3373  
Fax: (860) 424-4509  
[joesph.pulaski@po.state.ct.us](mailto:joesph.pulaski@po.state.ct.us)

**Delaware**  
Mr. David Fees  
EPCRA Reporting Program  
Air Quality Management, DNREC  
156 South State Street  
Dover, DE 19901  
(302) 739-4791  
Fax (302) 739-3160  
[dfees@dnrec.state.de.us](mailto:dfees@dnrec.state.de.us)

**District of Columbia**  
Ms. Michele Penick  
Environmental Planning Specialist  
Emergency Response Commission for Title III  
2000 14th Street, NW, 8th Floor  
Washington, DC 20009  
(202) 673-2101 (ext. 3159)  
Fax: (202) 673-2290

**Florida**  
Mr. Sam Brackett  
State Emergency Response Commission  
Florida Department of Community Affairs  
2555 Shumard Oak Blvd.  
Tallahassee, FL 32399-2100  
(850) 413-9928  
Fax: (850) 488-1739  
[sam.brackett@dca.state.fl.us](mailto:sam.brackett@dca.state.fl.us)

**Georgia**  
Dr. Bert K. Langley  
Georgia Emergency Response Commission  
7 Martin Luther King, Jr. Drive, Room 139  
Atlanta, GA 30334  
(404) 656-6905

Fax: (404) 657-7893  
[bert\\_langley@mail.dnr.state.ga.us](mailto:bert_langley@mail.dnr.state.ga.us)

**Guam**  
Ms. Conchita Tatano, Director  
Air and Land Division  
Guam EPA  
P.O. Box 22439, GMF  
Barrigada, GU 96921  
International Number (671) 475-1658  
Fax: (671) 477-9402

**Hawaii**  
Ms. Marsha Graf  
Hawaii State Emergency Response Commission  
Hawaii Department of Health  
919 Ala Moana Blvd., 3<sup>rd</sup> Floor, Room 206  
Honolulu, HI 96814  
(808) 586-4249  
Fax: (808) 586-7537  
[heer@eha.health.state.hi.us](mailto:heer@eha.health.state.hi.us)

**Idaho**  
Mr. Bill Bishop  
Bureau of Hazardous Materials  
4040 Guard Street, Bldg. 600  
Gowen Field  
P.O. Box 83720  
Boise, ID 83705-5004  
(208) 334-3263  
Fax: (208) 334-3267  
[bbishop@bds.state.id.us](mailto:bbishop@bds.state.id.us)

**Illinois**  
Mr. Joe Goodner  
Illinois EPA  
Office of Chemical Safety #28  
1021 N. Grand Avenue, East  
P.O. Box 19276  
Springfield, IL 62794-9276  
(217) 785-0830  
Fax: (217) 782-1431  
[epa8538@epa.state.il.us](mailto:epa8538@epa.state.il.us)

**Certified or Express Mail ONLY**  
Mr. Joe Goodner  
Illinois EPA  
Office of Chemical Safety #28  
1021 N. Grand Avenue, East  
Springfield, IL 62702

**Indiana**

Ms. Paula Smith/Mr. Harry Davis  
 Indiana Department of Environmental Management  
 Office of Pollution Prevention Technical Assistance  
 Indiana Government Center North  
 100 North Senate Ave.  
 P.O. Box 6015  
 Indianapolis, IN 46206-6015  
 (317) 232-8172  
 Fax: (317) 233-5627  
[psmith@dem.state.in.us](mailto:psmith@dem.state.in.us)  
[hdavis@dem.state.in.us](mailto:hdavis@dem.state.in.us)

**Iowa**

Ms. Catharine Fitzsimmons, Supervisor  
 Compliance Assistance Section  
 Air Quality Bureau  
 Department of Natural Resources  
 7900 Hickman Rd., Suite I  
 Urbandale, IA 50322  
 (515) 281-8034  
 Fax: (515) 281-5094  
[craften@max.state.ia.us](mailto:craften@max.state.ia.us)

**Kansas**

Mr. Scott Bangert  
 Kansas Emergency Response Commission  
 Right-to-Know Program  
 J Street and 2 North  
 Forbes Field Building 283  
 Topeka, KS 66620  
 (785) 296-1689  
 Fax: (785) 296-1545

**Kentucky**

Mr. Alex Barber  
 Kentucky Department for Environmental Protection  
 14 Reilly Road  
 Frankfort, KY 40601-1132  
 (502) 564-2150  
 Fax: (502) 564-4245  
[barber@nrpath.nr.state.ky.us](mailto:barber@nrpath.nr.state.ky.us)

**Louisiana**

Ms. Linda Brown  
 Department of Environmental Quality  
 Office of Secretary  
 P.O. Box 82263  
 Baton Rouge, LA 70884-2263  
 (504) 765-0737  
 Fax: (504) 765-0742  
[lindab@deq.state.la.us](mailto:lindab@deq.state.la.us)

**Maine**

Ms. Rayna Leibowitz  
 State Emergency Response Commission  
 72 State House Station  
 Augusta, ME 04333  
 (207) 287-4080  
 Fax: (207) 287-4079  
[rayna.b.leibowitz@state.me.us](mailto:rayna.b.leibowitz@state.me.us)

**Maryland**

Ms. Patricia Williams  
 Maryland Department of the Environment  
 Technical and Regulatory Services Administration  
 Community Right-to-Know  
 2500 Broening Highway  
 Baltimore, MD 21224  
 (410) 631-3800  
 Fax: (410) 631-3873  
[pwilliams@mde.state.md.us](mailto:pwilliams@mde.state.md.us)

**Massachusetts**

Mr. William T. Panos  
 Massachusetts Department of Environmental  
 Protection  
 Bureau of Waste Prevention  
 1 Winter Street  
 Boston, MA 02108  
 (617) 574-6820  
 Fax: (617) 292-5858  
[william.panos@state.ma.us](mailto:william.panos@state.ma.us)

**Michigan**

Mr. Robert Jackson  
 State Emergency Planning and Community  
 Right-to-Know  
 Michigan Dept. of Environmental Quality  
 Environmental Assistance Division  
 P.O. Box 30457  
 Lansing, MI 48909  
 (517) 373-8481  
 Fax: (517) 241-7966  
[JACKSORC@state.mi.us](mailto:JACKSORC@state.mi.us)

**Certified Mail ONLY**

Mr. Robert Jackson  
 State Emergency Planning and Community  
 Right-to-Know  
 Michigan Dept. of Environmental Quality  
 Environmental Assistance Division  
 333 S. Capitol  
 Town CTR, 2nd Floor  
 Lansing, MI 48909

## Appendix F

### Minnesota

Mr. Steve Tomlyanovich  
Department of Public Safety  
Emergency Response Commission  
444 Cedar Street, Suite 223  
St Paul, MN 55101  
(612) 282-5396  
Fax: (612) 296-0459  
[steve.tomlyanovich@state.mn.us](mailto:steve.tomlyanovich@state.mn.us)

### Mississippi

Mr. John David Burns  
Mississippi Emergency Response Commission  
Mississippi Emergency Management Agency  
P.O. Box 4501  
Jackson, MS 39296-4501  
(601) 960-9000  
Fax: (601) 352-8314

#### Certified Mail ONLY

Mr. John David Burns  
Mississippi Emergency Response Commission  
Mississippi Emergency Management Agency  
1410 Riverside Drive  
Jackson, MS 39202

### Missouri

Mr. Gene Nickel  
Technical Assistance Program  
Missouri Department of Natural Resources  
P.O. Box 176  
Jefferson City, MO 65102  
(573) 526-6627  
Fax: (573) 526-5808  
[nrnicke@mail.dnr.state.mo.us](mailto:nrnicke@mail.dnr.state.mo.us)

#### Certified Mail ONLY

Mr. Gene Nickel  
Technical Assistance Program  
Missouri Department of Natural Resources  
1659 B East Elm Street  
Jefferson City, MO 65101

### Montana

Mr. Tom Ellerhoff  
Montana Emergency Response Commission DEQ  
Metcalf Building  
1520 East 6th Avenue  
Helena, MT 59620-0901  
(406) 444-5263  
Fax: (406) 444-4386  
[tellerhoff@mt.gov](mailto:tellerhoff@mt.gov)

### Nebraska

Mr. Mike Mallory, Coordinator  
State of Nebraska Department of Environmental  
Quality  
P.O. Box 98922  
Lincoln, NE 68509-8922  
(402) 471-4251  
Fax: (402) 471-2909  
[deq055@mail.deq.state.ne.us](mailto:deq055@mail.deq.state.ne.us)

#### Certified Mail ONLY:

Mr. Mike Mallory, Coordinator  
State of Nebraska Department of Environmental  
Quality  
1200 N Street, Suite 400  
Lincoln, NE 68509

### Nevada

Ms. Alene Coulson  
c/o State Emergency Response Commission  
555 Wright Way  
Carson City, NV 89711-0925  
(775) 687-4670 (ext. 3006)  
Fax: (775) 687-6396

### New Hampshire

Mr. Leland Kimball  
New Hampshire Office of Emergency  
Management Agency, Title III Program  
State Office Park South  
107 Pleasant Street  
Concord, NH 03301  
(603) 271-2231  
Fax: (603) 225-7341  
[leek@nhoem.state.nh.us](mailto:leek@nhoem.state.nh.us)

### New Jersey

Mr. Andrew Opperman  
Department of Environmental Protection  
EPCRA Section 313  
Bureau of Chemical Release Information & Prevention  
P.O. Box 405  
Trenton, NJ 08625-0405  
(609) 984-3219  
Fax: (609) 633-7031  
[aopperma@dep.state.nj.us](mailto:aopperma@dep.state.nj.us)

**New Mexico**

Mr. Max Johnson, Coordinator  
 New Mexico Emergency Response Commission  
 Chemical Safety Office  
 Emergency Management Bureau  
 P.O. Box 1628  
 Santa Fe, NM 87504-1628  
 (505) 476-9620  
 Fax: (505) 476-9695  
[Mjohnson@DPS.state.nm.us](mailto:Mjohnson@DPS.state.nm.us)

**Certified Mail ONLY**

Mr. Max Johnson, Coordinator  
 New Mexico Emergency Response Commission  
 Chemical Safety Office  
 Emergency Management Bureau  
 4491 Cerrillos Road  
 Santa Fe, NM 87505

**New York**

Mr. Sitansu Ghosh  
 New York State Department of Environmental  
 Conservation  
 Pollution Prevention Unit  
 50 Wolf Road, Room 298  
 Albany, NY 12233-8010  
 (518) 485-8472  
 Fax: (518) 457-2570  
[sbghosh@gw.dec.state.ny.us](mailto:sbghosh@gw.dec.state.ny.us)

**North Carolina**

Mr. Richard Berman  
 North Carolina Emergency Response Commission  
 North Carolina Division of Emergency Management  
 116 West Jones Street  
 Raleigh, NC 27603-1335  
 (919) 733-3899  
 Fax: (919) 733-7554  
[rberman@ncem.org](mailto:rberman@ncem.org)

**North Dakota**

Mr. Robert W. Johnston  
 North Dakota State Division of Emergency  
 Management  
 P.O. Box 5511  
 Bismarck, ND 58502-5511  
 (701) 328-2111  
 Fax: (701) 328-2119  
[bjhnsto@state.nd.us](mailto:bjhnsto@state.nd.us)

**Certified Mail ONLY**

Mr. Robert W. Johnston  
 North Dakota State Division of Emergency  
 Management  
 Fraire Barracks Road, Building 35  
 Bismarck, ND 58506-5511

**Ohio**

Ms. Cindy DeWulf  
 Ohio EPA  
 Division of Air Pollution Control  
 P.O. Box 1049  
 1800 Watermark Drive  
 Columbus, OH 43216-1049  
 (614) 644-3606  
 Fax: (614) 644-3681  
[cindy.dewulf@epa.state.oh.us](mailto:cindy.dewulf@epa.state.oh.us)

**Oklahoma**

Ms. Monty Elder  
 Department of Environmental Quality  
 Risk Communication  
 P.O. Box 1677  
 Oklahoma City, OK 73117-1212  
 (405) 702-6139  
 (800) 869-1400  
 Fax: (405) 702-6101  
[monty.elder@deqmail.state.ok.us](mailto:monty.elder@deqmail.state.ok.us)

**Oregon**

Mr. Bob Albers  
 Oregon Emergency Response Commission  
 Office of State Fire Marshall  
 4760 Portland Road, Northeast  
 Salem, OR 97305-1760  
 (503) 378-3473 (ext. 262)  
 Fax: (503) 373-1825  
[bob.ALBERS@state.or.us](mailto:bob.ALBERS@state.or.us)

**Pennsylvania**

Mr. Thomas J. Ward, Jr.  
 Bureau of PennSafe  
 Labor and Industry Building  
 7th & Forster Streets, Room 1503  
 Harrisburg, PA 17120  
 (717) 783-2071  
 Fax: (717) 783-5099

## **Appendix F**

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### **Pennsylvania**

Ms. Peg Forte  
Bureau of PennSafe  
PA Dept. Labor and Industry  
1503 L & I Bldg.  
7th & Forster Streets  
Harrisburg, PA 17120  
(717) 787-2450  
Fax: (717) 783-5099  
[pforte@kli.state.pa.us](mailto:pforte@kli.state.pa.us)

### **Puerto Rico**

Mr. Genaro Torres  
Director of Superfund and Emergencies  
Title III-SARA Section 313  
Environmental Quality Board  
Fernandez Junco Station  
P.O. Box 11488  
Santurce, PR 00910  
(787) 766-2823  
Fax: (787) 766-0150

### **Certified Mail ONLY**

Mr. Genaro Toress  
Director of Superfund and Emergencies  
Environmental Quality Board  
Emergency Response and Remedial Office  
National Plaza #431  
Ponce de Leon Avenue  
Hato Rey, PR 00917

### **Rhode Island**

Ms. Karen Slattery  
RI Department of Environmental Management  
Division of Air Resources  
291 Promenade Street  
Providence, RI 02908-5767  
Attn: Toxic Release Inventory  
(401) 222-2808 (ext. 7030)  
Fax: (401) 222-2017  
[kslatter@dem.state.ri.us](mailto:kslatter@dem.state.ri.us)

### **South Carolina**

Mr. Michael Juras  
Community Right-to-Know  
Department of Health and Environmental Control  
2600 Bull Street  
Columbia, SC 29201  
(803) 898-4385  
Fax: (803) 898-4117  
[jurasms@columb31.dhec.state.sc.us](mailto:jurasms@columb31.dhec.state.sc.us)

### **South Dakota**

Ms. Lee Ann Smith, TRI Coordinator  
South Dakota Department of Environment and  
Natural Resources  
523 East Capitol  
Pierre, SD 57501-3181  
(605) 773-3296  
Fax: (605) 773-6035  
[leeanns@denr.state.sd.us](mailto:leeanns@denr.state.sd.us)

### **Tennessee**

Ms. Betty Eaves, Administrator  
Tennessee Emergency Response Council  
Tennessee Emergency Management Agency  
3041 Sidco Drive  
Nashville, TN 37204  
(615) 741-2986  
Fax: (615) 242-9635

### **Texas**

#### **U.S. Postal Service Delivery including Certified Mail**

Ms. Becky Kurka  
Office of Pollution Prevention and Recycling  
Texas Natural Resource Conservation Commission  
P.O. Box 13087 (MC-112)  
Austin, TX 78711-3087  
(512) 239-3147  
Fax: (512) 239-3165  
[bkurka@tnrcc.state.tx.us](mailto:bkurka@tnrcc.state.tx.us)

#### **Overnight Express Mail ONLY**

Ms. Becky Kurka  
Office Pollution Prevention and Recycling  
Texas Natural Resources Conservation Commission  
12100 Park 35 Circle, Building E (MC-112)  
Austin, TX 78753

### **Utah**

Mr. Neil Taylor  
Division of Environ. Response and Remediation  
168 North 1950 West  
Salt Lake City, UT 84116  
(801) 536-4102  
Fax: (801) 536-4242  
[ntaylor@deq.state.ut.us](mailto:ntaylor@deq.state.ut.us)

**Vermont**

Mr. Paul Van Hollebeke  
 VT Dept. of Environmental Conservation  
 Environmental Assistance Division  
 103 S. Main St.  
 Waterbury, VT 05671-0411  
 (802) 241-3629  
 Fax: (802) 241-3273  
[paulv@dec.anr.state.vt.us](mailto:paulv@dec.anr.state.vt.us)

**Virginia**

Mr. Harry Gregori  
 Virginia Emergency Response Council  
 Virginia Dept. of Environmental Quality  
 P.O. Box 10009  
 Richmond, VA 23240-0009  
 (804) 698-4374  
 Fax: (804) 698-4277  
[hegregori@deq.state.va.us](mailto:hegregori@deq.state.va.us)

**Virgin Islands**

Mr. Austin Moorehead  
 Department of Planning and Natural Resources  
 Division of Environmental Protection  
 1118 Waterguthomes  
 Christianshead, St. Croix 00820-5965  
 (304) 773-0565 (St. Croix)  
 Fax: (304) 773-9310 (St. Croix)  
 (340) 777-4577 (St. Thomas)  
 Fax: (340) 774-5416 (St. Thomas)

**Washington**

Ms. Idell Hansen  
 Department of Ecology, CRTK Unit  
 P.O. Box 47659  
 Olympia, WA 98504-7659  
 (360) 407-6727 or (800) 633-7585  
 Fax: (360) 360-407-6715  
[ihan461@ecy.wa.gov](mailto:ihan461@ecy.wa.gov)

**Federal Express or UPS Mail ONLY**

Ms. Idell Hansen  
 Department of Ecology  
 Community Right-to-Know Unit  
 300 Desmond Drive  
 Lacey, WA 98503

**West Virginia**

Mr. John W. Pack, Jr.  
 West Virginia Emergency Response Commission  
 West Virginia Office of Emergency Services  
 Main Capital Building 1, Room EB-80  
 Charleston, WV 25305-0360  
 (304) 558-5380  
 Fax: (304) 344-4538

**West Virginia**

Ms. Jan Taylor  
 National Institute for Chemical Studies  
 2300 MacCorkle Ave., SE  
 Charleston, WV 25304  
 (304) 346-6264  
 Fax: (304) 346-6349  
[taylornics@aol.com](mailto:taylornics@aol.com)

**Wisconsin**

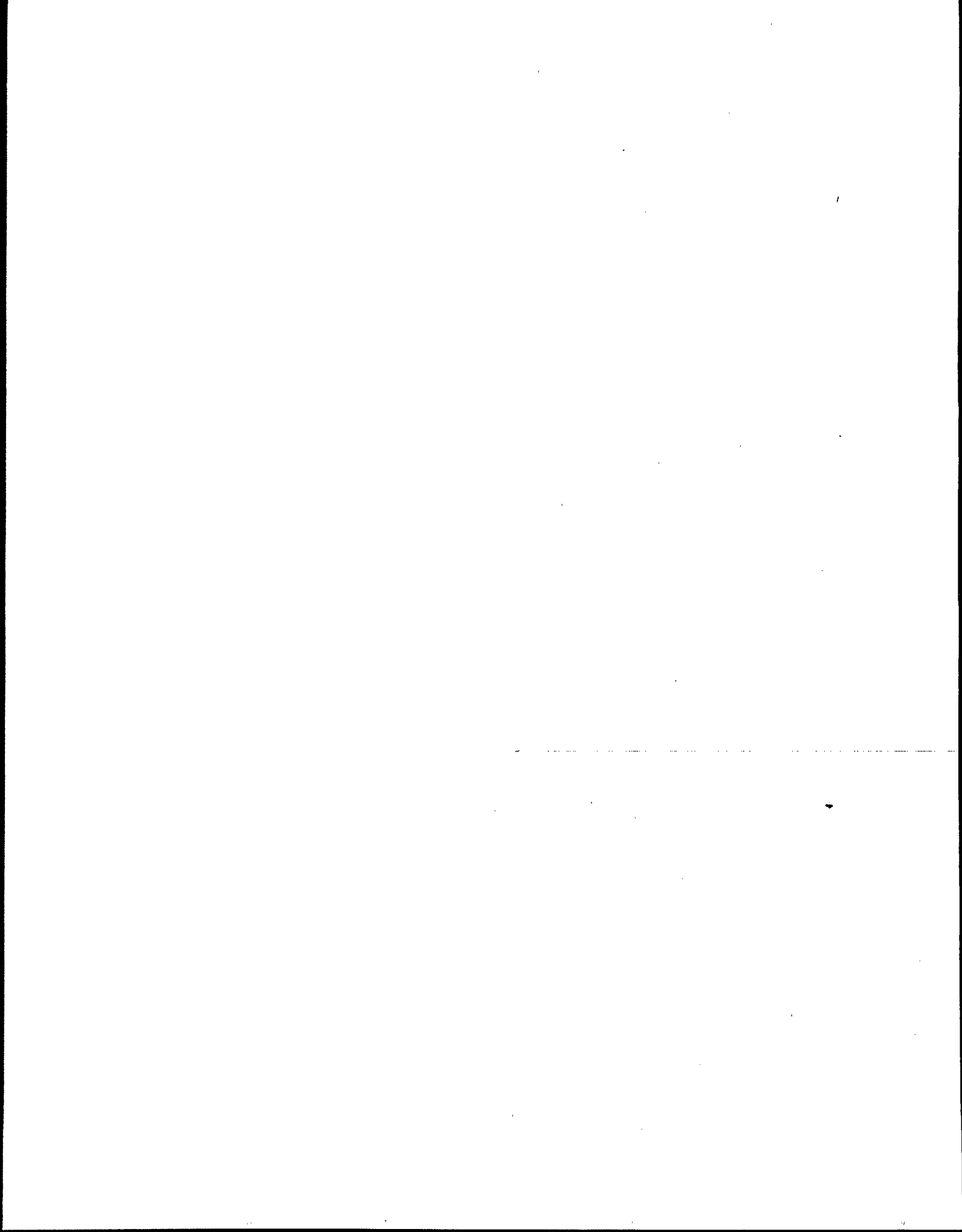
Mr. Dennis Phippen  
 Department of Natural Resources  
 101 South Webster  
 P.O. Box 7921  
 Madison, WI 53707  
 (608) 266-6043  
 Fax: (608) 267-5231  
[pippid@mail.state.wi.us](mailto:pippid@mail.state.wi.us)

**Wyoming**

Mr. Bob Bezek  
 Hazardous Materials Planner  
 Wyoming ERC/EMA  
 Department of Environmental Quality  
 P.O. Box 1709  
 5500 Bishop Blvd.  
 Cheyenne, WY 82009-3302  
 (307) 777-4900  
 Fax: (307) 635-6017  
[hellerj@wy-iso.army.mil](mailto:hellerj@wy-iso.army.mil)

**Notes:**

(1) If an Indian tribe has chosen to act independently of a state for the purpose of section 313 reporting, facilities located within the Indian community should report to the tribal SERC, or until the SERC is established, the Chief Executive Officer of the Indian tribe, as well as to EPA;  
 (2) Facilities located within the Territories of the Pacific should send a report to the Chief Administrator of the appropriate territory, as well as to EPA.



## Appendix G. Section 313 Regional Contacts

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### Region 1

Assistance and Pollution Prevention Office  
USEPA Region 1 (SPT),  
JFK Federal Building  
Boston, MA 02203  
(617) 918-1829  
Fax: (617) 918-1810  
Email: [peavey.dwight@epa.gov](mailto:peavey.dwight@epa.gov)  
Connecticut, Maine, Massachusetts, New Hampshire,  
Rhode Island, Vermont

### Region 2

Pesticides and Toxics Branch  
USEPA Region 2 (MS-105)  
2890 Woodbridge Avenue  
Building 10  
Edison, NJ 08837-3679  
(732) 906-6890  
Fax: (732) 321-6788  
Email: [lopez.nora@epa.gov](mailto:lopez.nora@epa.gov)  
New Jersey, New York, Puerto Rico, Virgin Islands

### Region 3

Toxics Programs and Enforcement Branch  
USEPA Region 3 (3WC33)  
1650 Arch Street  
Philadelphia, PA 19103-2029  
(215) 814-2072  
Fax: (215) 814-3114  
Email: [reilly.william@epa.gov](mailto:reilly.william@epa.gov)  
Delaware, District of Columbia, Maryland,  
Pennsylvania, Virginia, West Virginia

### Region 4

EPCRA Enforcement Section  
USEPA Region 4  
Atlanta Federal Center  
61 Forsyth Street, S.W.  
Atlanta, GA 30303  
(404) 562-9191  
Fax: (404) 562-9163  
Email: [velez.ezequiel@epa.gov](mailto:velez.ezequiel@epa.gov)  
Alabama, Florida, Georgia, Kentucky, Mississippi,  
North Carolina, South Carolina, Tennessee

### Region 5

Pesticides and Toxics Branch  
USEPA Region 5 (DT-8J)  
77 West Jackson Boulevard  
Chicago, IL 60604  
(312) 886-6219  
Fax: (312) 353-4788  
Email: [codina.thelma@epa.gov](mailto:codina.thelma@epa.gov)  
Illinois, Indiana, Michigan, Minnesota, Ohio,  
Wisconsin

### Region 6

Pesticides and Toxics Substances Branch  
USEPA Region 6 (6PDT)  
1445 Ross Avenue, Suite 1200  
Dallas, TX 75202-2733  
(214) 665-8013  
Fax: (214) 665-6762  
Email: [layne.warren@epa.gov](mailto:layne.warren@epa.gov)  
Arkansas, Louisiana, New Mexico, Oklahoma, Texas

### Region 7

Air, RCRA and Toxics Division  
USEPA Region 7 (ARTD/CRIB)  
726 Minnesota Avenue  
Kansas City, KS 66101  
(913) 551-7472  
Fax: (913) 551-7065  
Email: [hirtz.james@epa.gov](mailto:hirtz.james@epa.gov)  
Iowa, Kansas, Missouri, Nebraska

### Region 8

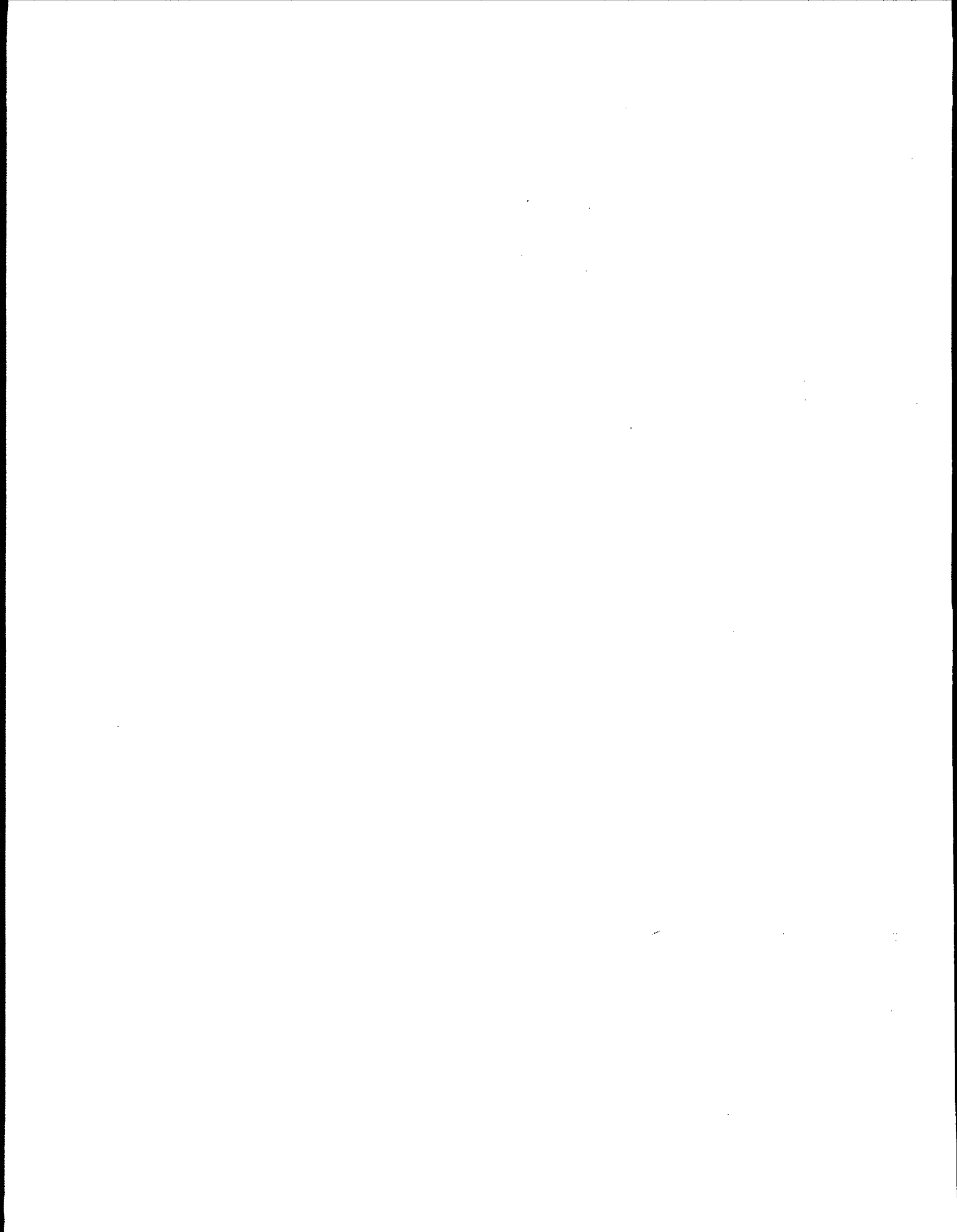
Office of Pollution Prevention, Pesticides and Toxics  
USEPA Region 8 (8P-P3T)  
999 18th Street, Suite 500  
Denver, CO 80202  
(303) 312-6447  
Fax: (303) 312-6044  
Email: [dhieux.joyel@epa.gov](mailto:dhieux.joyel@epa.gov)  
Colorado, Montana, North Dakota, South Dakota,  
Utah, Wyoming

### Region 9

Pesticides and Toxics Branch  
USEPA Region 9 (CMD-4-2)  
75 Hawthorne Street  
San Francisco, CA 94105  
(415) 744-1121  
Fax: (415) 744-1073  
Email: [browning.adam@epa.gov](mailto:browning.adam@epa.gov)  
Arizona, California, Hawaii, Nevada, American Samoa,  
Guam, Commonwealth of the Northern Mariana Islands

### Region 10

Office of Waste & Chemicals Management  
USEPA Region 10 (WCM-128)  
1200 Sixth Avenue  
Seattle, WA 98101  
(206) 553-4016  
Fax: (206) 553-8509  
Email: [colt.christina@epa.gov](mailto:colt.christina@epa.gov)  
Alaska, Idaho, Oregon, Washington



## Appendix H. Other Relevant Section 313 Materials

### ☐ 1996 Toxics Release Inventory Public Data Release State Fact Sheets (EPA 745-F-98-001)

The fact sheets can be found on the Internet at <http://www.epa.gov/opptintr/tri/fact96.htm>. The fact sheets in this document summarize the basic 1996 Toxics Release Inventory (TRI) data for each state. This document is designed as a companion volume to EPA's 1996 Toxics Release Inventory Public Data Release (EPA 745-R-98-005), a more detailed examination of TRI data for 1995 and previous years.

### ☐ 1996 Toxics Release Inventory Public Data Release, Ten Years of Right-to-Know (EPA 745-R-98-005)

This publication can be found on the Internet at <http://www.epa.gov/opptintr/tri/pubdat96.htm>. This document provides an overview of the information collected through TRI. It summarizes data collected for calendar year 1996. For comparison purposes, this report also provides basic data for the two preceding years (1994 and 1995), for the period since the Pollution Prevention Act mandated collection of waste management data (1991), and for the baseline year (1988). In addition to the usual analysis of TRI data on a national basis, the 1996 Public Data Release contains, for the first time, chapters that provide industry-specific analyses of TRI data. The new chapters cover the following industries: pulp and paper, petroleum, chemical manufacturing, primary metals, electronics, and federal facilities.

### ☐ 1996 Toxics Release Inventory Public Data Release - 10 Years of Right-to-Know: Industry Sector Analyses (EPA 745-R-98-018)

This publication can be found on the Internet at <http://www.epa.gov/opptintr/tri/pubdat96.htm>. This publication is a complement to the earlier sector chapters completed in the 1996 TRI Public Data Release. The document contains industry-specific analyses of the following industries: food and kindred products; tobacco products; textile mill products; apparel and other finished products made from fabrics and similar materials; lumber and wood products, except furniture; furniture and fixtures; printing, publishing, and allied industries; rubber and miscellaneous plastics products; leather and leather products; stone, clay, glass, and concrete products; fabricated metal products; industrial and commercial machinery and computer equipment; transportation equipment; measuring, analyzing, and

controlling instruments; and miscellaneous manufacturing industries.

### ☐ Similar reports for 1987-1995 are available for sale from the Superintendent of Documents, U.S. Government Printing Office, Washington, DC 20420-9325 (202-512-1800).

### ☐ Toxic Release Inventory - On-line Database

The TRI home page (<http://www.epa.gov/opptintr/tri>) offers information useful to both novice and experienced users of the toxics community. It provides, in lay terms, a description of what TRI is, how it can be used, a discussion of TRI and health issues, and much more. You can find out about TRI products, view or download the 1996 TRI data release reports, and identify who to contact for more information in EPA regions and state programs across the country. From the TRI home page, you can "link" to other EPA and non-EPA sites that allow you to search the TRI database online.

Another EPA Web site, the Envirofacts Warehouse (<http://www.epa.gov/enviro>) provides free access to five of EPA's largest databases containing Superfund data, Safe Drinking Water information, Hazardous Waste information, Water Discharge permits, Air Releases, and TRI information. The user can read about EPA's databases, generate reports, and produce maps showing the location of TRI and other facilities. Envirofacts allows the user to search the TRI and other databases by facility name, geographic location, SIC Code, or chemical name and to produce reports on the facilities and map their locations. A variety of user-specified parameters let users point and click to customize their searches. The maps include facility locations as well as user defined demographic information, schools, hospitals, roads, bodies of water, and more. Maps can be printed out or saved in various formats including GIF, JPG, TIF, PDF, EPS, ARC/INFO and more. TRI is specifically addressed through Envirofact's TRI page ([http://www.epa.gov/enviro/html/tris/tris\\_overview.html](http://www.epa.gov/enviro/html/tris/tris_overview.html)).

A computerized on-line database of the Toxic Release Inventory data is also available through the National Library of Medicine's (NLM) TOXNET on-line system at <http://toxnet.nlm.nih.gov>. Other NLM files on TOXNET can provide supporting information in such areas as health hazards and emergency handling of toxic chemicals. Information on accessing the TOXNET system is available from: TRI Representative, Specialized Information Services, National Library of Medicine, 8600 Rockville Pike, Bethesda, MD 20894 (301) 496-6531.

## Appendix H

RTK-Net (<http://www.rtk.net>) is an online network concerned with environmental issues, in particular, matters arising from the passage of right-to-know provisions embodied in EPCRA legislation. RTK-net was established by two non-profit organizations (Unison (Unison Institute and OMB Watch) to provide access to TRI, link TRI with other environmental data, and exchange information among public interest groups. RTK-Net is a full-service center providing free dial-in access privileges to complete database services, training and technical support, e-mail and electronic conferences pertaining to issues such as health, activism, and environmental justice. For more information contact RTK-Net, 1742, Connecticut Ave., NW, Washington, DC 20009-1146 or phone 202-797-7200. You can register online by modem at 202-234-8570, parameters 8,n,1, and log in as "public".

### ❑ Toxics Release Inventory - CD-ROM

The TRI CD-ROM contains the complete Toxic Release Inventory since 1987, as well as Chemical Factsheets containing health and environmental effects information for TRI chemicals. User-friendly software provides the capability to search data by facility, location, chemical, SIC code, and many other access points. Other features allow flexibility in printing standard and custom reports, data downloading, and calculating releases for search sets (for example, calculate average air releases for all pulp and paper manufacturers). To make TRI information widely available for public use, the TRI CD-ROM is distributed free of charge to non-profit organizations, citizen groups, educators and government agencies through NCEPI. The same CD is available for purchase from GPO and NTIS. You may order the TRI CD-ROM from EPA's web page at <http://www.epa.gov/opptintr/tri/cd-rom.htm> or contact one of the agencies listed below:

#### NCEPI:

National Center for Environmental Publications and Information  
P.O. Box 42419  
Cincinnati, OH 45242  
Phone: (800) 490-9198

#### GPO:

U.S. Government Printing Office  
Superintendent of Documents  
P.O. Box 371954  
Pittsburgh, PA 15250-7954  
Phone: (202) 512-1800

#### NTIS:

National Technical Information Service (NTIS)  
U.S. Department of Commerce  
5285 Port Royal Road  
Springfield, VA 22161  
Phone: (800) 553-6847

### ❑ Toxic Release Inventory (by State) - Diskettes

Diskettes containing frequently used data elements from TRI are available dBase and Lotus formats. Accompanying documentation describes section 313 reporting requirements, and instructions for loading into dBase and Lotus software. Dbase and Lotus software are not included. Diskettes from GPO and NTIS are the same, although the pricing formula differs between agencies. Prices and order numbers shown are for the 1996 disks. Earlier years are also available. The 1996 data can be downloaded for free in dBase format from EPA's web site at <http://www.epa.gov/opptintr/tri/disks96.htm> or ordered on disk from:

#### GPO:

U.S. Government Printing Office  
Superintendent of Documents  
P.O. Box 371954  
Pittsburgh, PA 15250-7954  
Phone: (202) 512-1800  
Individual state (one disk per state): 3.50" disk - \$15/disk

#### NTIS:

National Technical Information Service (NTIS)  
U.S. Department of Commerce  
5285 Port Royal Road  
Springfield, VA 22161  
Phone: (800) 553-6847  
Lotus & dBase formats.  
1987 to 1992 Data available.  
Contact NTIS for price quote.

### ❑ Consolidated List of Chemicals Subject to Reporting Under the Act (Title III List of Lists), (November 1998)

<http://www.epa.gov/ceppo/pubs/title3.pdf>

Available as an IBM compatible disk from: The National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, (703) 605-6000, Document Number: PB98-500473, \$69.00.

☐ **The Toxic Release Inventory: Meeting the Challenge (April 1988)**

This 19 minute videotape explains the toxic release reporting requirements for plant facility managers and others. State governments, local Chambers of Commerce, labor organizations, public interest groups, universities, and others may also find the video program useful and informative.

3/4 inch = \$30.75; VHS = \$22.00.

To purchase, write or call:

Color Film Corporation  
Video Division  
770 Connecticut Avenue  
Norwalk, CT 06854  
(800)882-1120

☐ **Chemicals in Your Community, A Citizen's Guide to the Emergency Planning and Community Right-to-Know Act, September 1988 (OSWER-88-002)**

This booklet is intended to provide a general overview of the EPCRA requirements and benefits for all audiences. Part I of the booklet describes the provisions of EPCRA and Part II describes more fully the authorities and responsibilities of groups of people affected by the law. Available through written request at no charge from:

Emergency Planning and Community  
Right-to-Know Information Hotline  
Mailcode: 5101  
401 M Street, SW  
Washington, DC 20460  
Hotline 1-800-535-0202

☐ **Chemicals in the Environment**

Issue number 6 of Chemicals in the Environment (CIE), published in the Fall of 1997, is devoted entirely to TRI. This 22 page publication contains 19 articles ranging from the history of TRI to the future of new TRI products. Articles include perspectives from the community, State, Federal, and International level. The publication also provides valuable information on training and contacts within the EPA. CIE is available free over the Internet (<http://www.epa.gov/opptintr/cie>) or from NCEPI by asking for publication EPA749-R-97-001b. To request copies, contact:

National Center for Environmental Publications and Information

P.O. Box 42419

Cincinnati, OH 45242-2419

Call: (800) 490-9198

**POLLUTION PREVENTION INFORMATION**

☐ **EnviroSense**

An up-to-date source of information on pollution prevention is the EnviroSense System, a computerized information network. EnviroSense includes a directory of representatives from Federal, State, and local governments; current news on pollution prevention activities; program summaries for government agencies, public and industry; a data base of industry case studies; a calendar of conferences, training seminars, and workshops; and specialized bulletin boards dedicated to various topics. EnviroSense can be accessed in two ways:

1) Bulletin board-modem:

(703)908-2092, Parameters: 8,n1 settings: ansi or v+100 user support: (703)908-2007.

2) World Wide Web-internet:

<http://www.epa.gov/envirosense> under heading "EPA P<sub>2</sub> and other initiatives"

☐ **The Pollution Prevention Information Clearinghouse (PPIC)**

PPIC was established as part of EPA's response to the Pollution Prevention Act of 1990, which directed the Agency to compile information, including a database, on management, technical, and operational approaches to source reduction. PPIC provides information to the public and industries involved in conservation of natural resources and in reduction or elimination of pollutants in facilities, workplaces, and communities.

To request EPA information on pollution prevention or obtain factsheets on pollution prevention from various state programs call the PPIC reference and referral service at 202-260-1023, or fax a request to 202-260-0178, or write to:

PPIC  
Mail Code 3404  
401 M St., SW  
Washington, DC 20460

