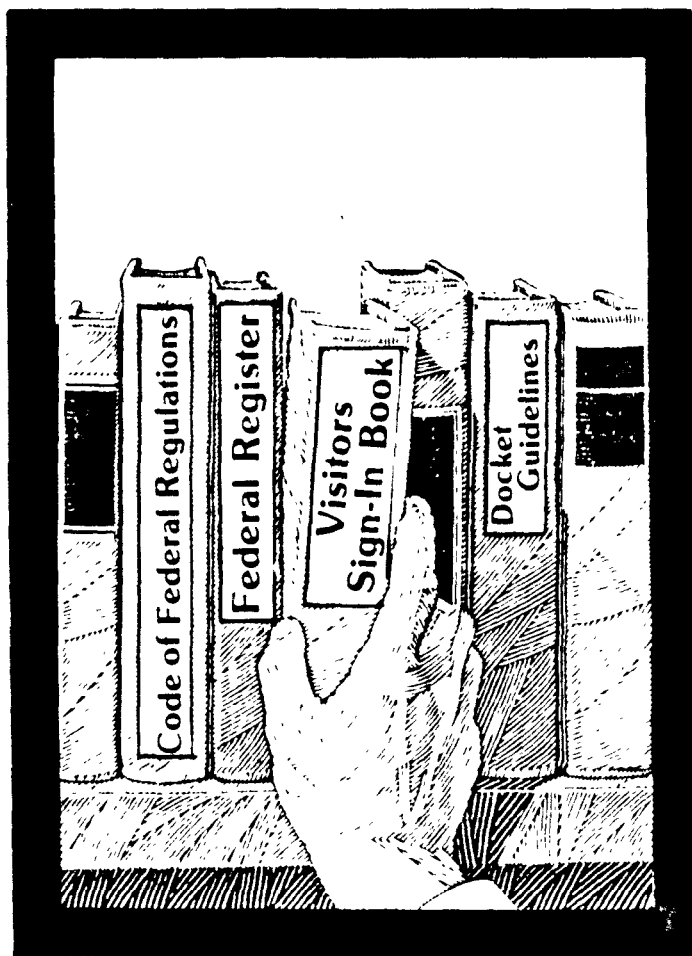




# A Pathfinder To Major EPA Dockets



**PATHFINDER TO  
MAJOR EPA DOCKETS**

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(REVISED)**

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## **INTRODUCTION**

Dockets are the official, legal files of rulemaking actions. They include: official statements of the Administrator's position, represented by published rulemaking documents; information considered by the Agency during rulemaking; transcripts of hearings; litigation records, and comments received from persons outside the Agency. Dockets are open to the public in accordance with the requirements set forth in the Freedom of Information Act (FOIA), 5 U.S.C. 552.

The major dockets in EPA are: (1) Public Information Reference Unit (Water, Air, Noise), (2) Air Docket, (3) Resource Conservation and Recovery Act (RCRA) Docket, (4) Superfund Docket, (5) Drinking Water Docket, (6) Toxic Substances Docket, (7) Federal Insecticide, Fungicide & Rodenticide Act (Pesticides) Docket and (8) Underground Storage Tank Docket. These Dockets and Freedom of Information Act (FOIA) materials are required to be maintained through provisions of each of the regulatory statutes they support. All supporting documentation must be indexed, filed and kept in a secure location. They are retrieved when a visitor examines the index and requests a particular set of documents from the Docket clerk. The Dockets charge a reasonable copying fee for duplication (as specified by FOIA requirements).

## **PURPOSE**

This Pathfinder is designed as a guide to the major EPA Dockets. It should be of assistance to staff at EPA Headquarters, Regional Libraries, other government agencies and the public who require information about EPA rulemakings.

# **PUBLIC INFORMATION REFERENCE UNIT (PIRU)**

## **DESCRIPTION:**

PIRU provides public access to regulatory information supporting the Agency's actions administered under (1) the Clean Air Act (primarily, the State Implementation Plans), and (2) the Clean Water Act (primarily, the Effluent Limitation Guidelines). Records are submitted to PIRU (indexed) by the programs within EPA or the Regions for public inspection and photocopying. PIRU also has information supporting other EPA regulations issued under the following statutes: Resource Conservation and Recovery Act (RCRA), Safe Drinking Water Act, Noise Control Act and The Marine Protection, Research and Sanctuaries Act.

## **RULING, STATUTE AUTHORITY CITATION:**

EPA Regulations are authorized by specific legislation of Congress: clean Air Act Sec. 110, 11(d), 202, 206, 208, 324; Clean Water Act, Sec. 301, 402; Resource Conservation and Recovery Act (RCRA), Sec. 3011; Safe Drinking Water Act, Sec. 1411, 1412, 1446; Noise Control Act, Sec. 205; The Marine Protection, Research and Sanctuaries Act, Sec. 102, 104(d).

## **CONTENT:**

The PIRU informational material comprised of background documents (scientific and technical); comments to regulatory actions; transcripts of public hearings; correspondence/memoranda.

## **LOCATION:**

Public Information Reference Unit  
Room M-2904

## **SERVICE PROVIDED:**

Responds to all public and government inquiries. Most services provided are for walk-in visitors. Open for inspection and copying from 8:30 A.M to 4:00 P.M. A reasonable fee is charged for materials copied.

## **CONTACT:**

Gloris J. Butler, Manager  
(202) 382-5926, FTS (8) 382-5926

## **ADDRESS:**

USEPA  
Public Information Reference Unit (PM-211D)  
401 "M" St., SW, Rm. M-2904  
Washington, DC 20460

## **STAFFING:**

1-Fulltime Employee

## **SECURITY:**

All records are available for public inspection. No security clearance is necessary.

## **AUTOMATION (INDEX):**

The index is automated onto a Lexitron. Plans are being made to use an advanced automated system for future indexes.

## **AVERAGE MAINTENANCE TERM OF DOCKET BEFORE RETIRING TO FEDERAL RECORD CENTER (FRC):**

Most are retained for 1-year after final ruling, then returned to Program for FRC arrangement. Others, such as Mobile Sources, are retained indefinitely because earlier model cars are still available. Those records involved with RCRA are retained for only the 30-day comment period, but can be retained longer if comment period is extended.

## **FOIA REQUEST:**

All PIRU's requests for information are from walk-in visitors. All FOIA requests are forwarded to the EPA Freedom of Information Office for processing.

# AIR DOCKET

## DESCRIPTION:

The EPA Air Docket, maintained by the Office of General Counsel, contains the public record of information used in the promulgation of revision of Agency rulemaking and certain other decisions under the Clean Air Act. A variety of indexes facilitates access to the individual dockets and to each document therein.

## RULING, STATUTE AUTHORITY CITATION:

Clean Air Act, Section 307(D)

## CONTACT:

The Air Docket files are composed of Federal Register notices; background documents, e.g. scientific and technical reports; transcripts of public hearings; correspondence/memoranda; public comments. Approximately 75% of the docket records are available in microfiche.

## LOCATION:

Air Dockets  
Room M-1500, (ground floor)

## SERVICE PROVIDED:

All inquiries are answered whether by mail, telephone, or other electronic means. A public reading room is open from 8:30 A.M. to 12:00 P.M. and from 1:30 P.M., Monday thru Friday. The facility is equipped with reading tables, a photocopier, microfiche reader/printers, and a fiche-to-fiche duplicator.

## CONTACT:

Jacqueline Brown, Manager  
(202) 382-7545, FTS (8) 382-7548

**ADDRESS:**

USEPA, Air Dockets  
Office of General Counsel, (LE-131)  
401 "M" Street, SW, Rm. M-1500  
Washington, DC 20460

**STAFFING:**

1-Fulltime Employee

**SECURITY:**

Records are available for public review without security clearance.

**AUTOMATION (INDEX):**

Indexes are updated and maintained on a Lexitron.

**AVERAGE MAINTENANCE TERM OF DOCKET BEFORE  
RETIRING TO FEDERAL CENTER (FRC):**

1-Year

**FOIA REQUEST:**

FOIA requests received by Air Docket are forwarded to the EPA Freedom of Information Office for processing.



# RESOURCE CONSERVATION & RECOVERY ACT

## DESCRIPTION:

The Resource Conservation & Recovery Act (RCRA) Docket provides public access to regulatory information supporting the Agency's actions under the Resource Conservation and Recovery Act. Records support Federal Register notices, Delisting Petitions and other Office of Solid Waste (OSW) publications. RCRA Docket publishes a semiannual catalog of frequently requested documents.

## RULING, STATUTE AUTHORITY CITATION:

Resource Conservation & Recovery Act (RCRA), Sec. 3011.

## CONTENT:

Background and support documents, RCRA Federal Register notices, comments to regulatory actions, correspondence/memoranda transcripts of public hearings, Delisting Petitions, Administrative Records, policy directives, Health and Environmental Effects Profiles (HEEPs) OSW publications and guidance documents.

## LOCATION:

RCRA Docket  
Room M-2427

## SERVICE PROVIDED:

Docket hours 9:00 A.M.-4:00 P.M. - appointments necessary. Open to public for viewing and copying. A reasonable fee is charged for materials copied. Assists in distribution of OSW publications.

## CONTACT:

Kathy Bruneske, Joanne Graham, Mildred Tidwell  
(202) 475-9327, FTS (8) 475-9327

**ADDRESS:**

USEPA, RCRA Docket (OS 305)  
Office of Resource Conservation & Recovery Act  
401 "M" Street, SW, RM.M-2427  
Washington, DC 20460

**STAFFING:**

3-Fulltime Employees, 1-Part-Time Employee

**SECURITY:**

All records are available for public inspection. No security clearance necessary.

**AUTOMATION (INDEX):**

Automated inventory. No public access to automated system.

**AVERAGE MAINTENANCE TERM OF DOCKET BEFORE  
RETIRING TO FEDERAL RECORD CENTER (FRC):**

No Existing policy.

**FOIA REQUEST:**

Requests for RCRA Docket Information are received in writing, and through telephone requests, walk-in requests and the Superfund/RCRA HOTLINE (800) 424-9346. FOIA requests received by RCRA Docket are forwarded to the Freedom of Information Office for processing.

# **SUPERFUND DOCKET AND INFORMATION CENTER (SDIC)**

## **DESCRIPTION:**

The Superfund Docket and Information Center supports the OSWER Superfund program through two basic services: (1) providing public access to official EPA dockets, and (2) coordination, management and distribution Superfund documents and information. The SDIC maintains dockets in three statutory areas: (1) the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) of 1980, as amended by the Superfund Amendments Reauthorization Act (SARA) of 1986, (2) the Emergency Planning and Community Right-to-Know Act of 1986 (Title III of SARA), and (3) Section 3012 of the Resource Conservation and Recovery Act, as amended by HSWA (1984). The SDIC is an integral part of the Superfund Document Management System (SDMS) for managing policy, guidance and other documents.

## **RULING, STATUTORY AUTHORITY CITATION:**

Title's I, III And IV Of The Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) OF 1980, as amended by the Superfund Amendments Reauthorization Act (SARA) of 1986; Title III of SARA, the Emergency Planning and Community Right-to-Know Act of 1986; Section 3012 of the Resource Conservation and Recovery Act, as amended by HSWA (1984); and Section 311 of the Clean Water Act.

## **CONTENT:**

Background data, comments on regulatory actions, Federal Register notices, transcripts of public hearings support documents for regulatory decisions, correspondence/memoranda, Administrative Records, Superfund Program directives. Current Rulemakings: National Contingency Plans and Revisions; National Priority List (NPL) and Revisions; Reportable adjustments and Revisions; Arbitration Procedures and Natural Resource Claims Procedures; Deletion of States of NPL; Discharge Regulations; Emergency Planning Programs and Community Right-to-Know; Records of Decision; Radionuclides Regulations and Carcinogen Regulations.

SDMS Documents: guidance, policy memoranda/compendium, OSWER Directives, Records of Decision (RODs), and miscellaneous program related documents (pamphlets, bulletins, fact sheets, etc.).

## **LOCATION:**

Superfund Docket  
Room M-2427

## **SERVICE PROVIDED:**

Responds to all inquiries for information on the Superfund program and requests for: access to docket files (by appointment); copies of documents; makes referrals; provides support to the Superfund/RCRA Hotline; and provides copies of the Federal Register (FR) publications involving the Superfund program. The SDIC is open to the public from 9:00 to 4:00 p.m., Monday through Friday, and is closed on Federal holidays. The SDIC main telephone number is (202) 382-3046 for general information and (202) 382-6940 for ordering copies of Superfund documents and directives.

## **CONTACT:**

SDIC Contacts: Ms. Tina Maragousis, Ms Deena Watson-Vann and Ms. Beverly Whitehead, of Booz, Allen and Hamilton, Inc.

## **EPA Contacts:**

Philip P. Jalbert, Project Manager  
(202) 382-2182, FTS (8) 382-2865

Betti Van Epps  
(202) 475-8864, FTS (8) 475-8864

## **ADDRESS:**

U.S. Environmental Protection Agency  
Superfund Docket and Information Center (SDIC), OS-245  
401 "M" Street, SW, Rm. M-2427  
Washington, DC 20460

## **STAFFING:**

CONTRACTOR: BOOZ, ALLEN AND HAMILTON, INC.  
4330 EAST WEST HIGHWAY  
BETHESDA, MD 20814

3-Fulltime  
1-Halftime

## **SECURITY:**

All records available have had prior Office of General Counsel (OGC) approval and therefore are available to public for viewing. Docket room is locked. All documents are housed in lockable file cabinets. No security clearance necessary.

## **AUTOMATION (INDEX):**

Lexitron indexes updated daily. Inventory and docket comments automation projected for FY 1989.

## **AVERAGE MAINTENANCE TERM OF DOCKET BEFORE RETIRING TO FEDERAL RECORD CENTER (FRC):**

Retained indefinitely to support pending and related lawsuits.

## **FOIA REQUEST:**

The SDIC staff assist the program office (OERR) in preparing FOIA request responses, particularly those involving copies of documents and directives.

## NPL REGIONAL DOCKET CONTACTS

<b>Name and Address</b>	<b>Telephone</b>
Shelley Walker U.S. EPA, Region 7 726 Minnesota Avenue Kansas City, KS 66101	8/757-2856 913/236-2856
Mike Nalipinski, HSS-CAN 7 U.S. EPA, Region 1 J.F. Kennedy Federal Bldg. Boston, MA 02203	8/833-9697 617/565-9697
David Bennett, HW-093 U.S. EPA, Region 10 1200 Sixth Avenue Seattle, WA 98101	8/399-2103 206/442-2103
Holly Hadlock, T-4-4 U.S. EPA, Region 9 215 Fremont Street San Francisco, CA 94105	8/454-7931 415/974-7931
Tom Burns, 8HWM-SR U.S. EPA, Region 8 99918th Street, Suite 500 Denver, CO 80202-2405	8/564-1817 303/293-1817
Deborah Vaughn-Wright, 6H-MA U.S. EPA, Region 6 1445 Ross Avenue Dallas, TX 75202-2733	8/255-6740 214/655-6740
Jeanne Griffin, 5HS-12 U.S. EPA, Region 5 230 South Dearborn Street Chicago, ILL 60604	8/886-3007 312/886-3007
Al Hanke (Acting) U.S. EPA, Region 4 345 Courtland Street, N.E. Atlanta, GA 30365	8/257-5065 404/347-5065
Mary Anne Daly, 3HW23 U. S. EPA, Region 3 841 Chestnut Street Philadelphia, PA 19107	8/597-3437 215/597-3437
Ben Conetta U .S. EPA, Region 2 26 Federal Plaza New York, N . Y. 10278	8/264-6696 212/264-6696

# **DRINKING WATER DOCKET**

## **DESCRIPTION:**

The Drinking Water Docket currently contains information on the following regulatory phases: (1) Volatile Organic Chemical (VOC) (Phase I); (2) Fluoride (Phase IIA); (3) Synthetic Organic Chemicals (SOC) (Phase II); (4) Surface Water Treatment Rule (SWTR) and (5) Radionuclides (Phase III). (6) Total Coliforms and (7) Lead/Copper. Others will be developed as new Maximum Contaminant Level Goals (MCLG) and Maximum Contaminant Levels (MCL) are proposed.

The above consists of supporting documentation used to develop each regulation. These materials include appropriate Federal Register notices, letters, public hearing transcripts, National Drinking Water Advisory Council materials, public comments, technical support documents, and other materials. An index to each record has been developed to facilitate location and review of these materials.

## **RULING, STATUTE AUTHORITY CITATION:**

These regulations are developed under the authority of the Safe Drinking Water Act (SDWA), Section 1412.

## **CONTENT:**

These Dockets consist of all materials used to develop MCLG and MCL regulations under the Safe Drinking Water Act

## **LOCATION:**

Drinking Water Docket  
East Tower Basement, Rm. EB-15

## **SERVICE PROVIDED:**

All materials may be reviewed at the above location. It is advisable to call first to ensure access to the docket when you arrive. A reasonable fee is charged for materials copied. Telephone and mail requests are accepted. For access to Docket Room, call between 9:00 A.M. and 4:00 P.M.

## **CONTACT:**

Patricia Minami, Manager  
(202) 475-9598, FTS (8) 475-9598 (For access to Docket Room, call (202) 382-3027 between 9:00 and 3:30 P.M. for an appointment).

## **ADDRESS:**

USEPA, Drinking Water Docket  
Office of Drinking Water (WH-550D)  
401 "M" St., SW, Rm. EB-15  
Washington, DC 20460

## **STAFFING:**

1-Fulltime  
1-Part-time Employee

## **SECURITY:**

All materials are available for public review by appointment in room EB-15. Security or Confidential Business Information (CBI) clearances are not required.

## **AUTOMATION (INDEX):**

There is a printed index to each area of the docket to facilitate the review and location of docket materials.



**AVERAGE MAINTENANCE TERM OF DOCKET  
BEFORE RETIRING TO FEDERAL RECORD CENTER  
(FRC):**

At the present time the Office of Drinking Water Dockets are not sent to the Federal Center.

**FOIA REQUESTS:**

All FOIA requests are forwarded to the EPA Freedom of Information Office for processing.

# TOXIC SUBSTANCES DOCKET

## DESCRIPTION:

The Office of Toxic Substances (OTS) Public Office houses the official copies of all OTS administrative records supporting regulatory decisions promulgated under the Toxic Substances Control Act (TSCA). The Public Information Office also maintains original materials submitted by industry in compliance with TSCA regulations. All of the records maintained in the office are available to the public for review and copying.

## RULING, STATUTE AUTHORITY CITATION:

The Toxic Substances Control Act, 15, USC 2601, October 11, 1976.

## CONTENT:

The contents of the dockets vary according to the proposed regulation and the particular Section of the Act with is being promulgated. However, generally all dockets contain the following types of supporting documentation; Federal Register notices; various health, environmental and exposure assessment documents; published references; communications; records/transcripts of public and informal meetings; records of informal hearings; public comments, and test data.

## LOCATION:

Toxic Substances Docket  
Northeast Mall, Room G-004

## SERVICE PROVIDED:

The Public Information Office is managed by the Public Information Section within the Public Data Branch, Information Management Division, Office of Toxic Substances. Access to records is provided by Section staff upon request. The Public Information Section maintains and operates a public reading room where EPA staff and Agency visitors may review and copy records. The reading room is equipped with a

high speed photocopier, fiche to fiche copier, fiche reader/printer and tables for the convenience of the users. Section staff provide the responsive records upon request and answer technical questions pertaining to the records in their possession. The Reading Room is open to the public between the hours of 8:00 A.M. and 4:00 P.M. Monday-Friday. Staff members are available to assist Agency personnel between the hours of 8:00 A.M and 4:00 P.M.

## **CONTACT:**

Doug Sellers, Manager  
(202) 382-3598, FTS (8) 382-3598.

## **ADDRESS:**

USEPA, Toxic Substances Docket  
Office of Toxic Substances, (TS 793)  
401 "M" Street, SW, Rm. G-004  
Washington, DC 20460

## **STAFFING:**

5-Fulltime Employees

## **SECURITY:**

All records located in the Public Information Office are of a nonconfidential nature. However, only staff members are permitted direct access to the record storage area.

## **AUTOMATION (INDEX):**

An index is prepared for each docket established. The index cites each document contained in the Docket and constitutes the official record of docket content.

**AVERAGE MAINTENANCE TERM OF DOCKET  
BEFORE RETIRING TO FEDERAL RECORD CENTER  
(FRC):**

No dockets are retired. Dockets from 1977 thru the present are available. Most 1977-1982 dockets are available on microfiche.

**FOIA REQUEST:**

All FOIA requests are forwarded to the Freedom of Information office for processing.

# PESTICIDES DOCKET

## DESCRIPTION:

This Docket provides public access to documentation for each Registration Standard under development when the Agency begins review of data for the Registration Standard or upon publication of a notice setting out the list and sequence of Registration Standards. The docket also contains documentation of pre-special and special reviews of pesticides.

## RULING, STATUTE AUTHORITY CITATION:

The Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Sec. 4, 40 CFR Part 155, Pesticide Registration Standards; Docketing and Public Participation, Final Rule and 40 CFR Part 154; Special Reviews of Pesticides; Criteria and Procedures; Final Rule.

## CONTENT:

Memorandums describing each meeting between Agency personnel and any person or party outside of government which concerns a pending pesticide regulatory decision. All comments, correspondence, or other materials concerning a pending pesticide regulatory decision provided to the Agency by a person or party outside of government (other than confidential business information). All documents, proposals, or other materials concerning a pending pesticide regulatory decision, provided by the Agency to any person or party outside of government (other than confidential business information).

## LOCATION:

Pesticides Docket  
Crystal Mall #2, Rm. 244

## **SERVICE PROVIDED:**

Docket hours are 8:00 A.M. to 4:30 P.M. No appointment is necessary. Open to the public for viewing and photocopying. (Visitors can gain entrance by calling the Docket main number, (703) 577-2805).

## **CONTACT:**

Elizabeth Blevins, Manager  
(703) 577-4456, FTS (8) 557-4456

## **ADDRESS:**

USEPA, Pesticides Docket  
Office of Pesticides, TS-757C)  
401 "M" St., SW  
Washington, DC 20460

## **STAFFING:**

1-Fulltime Employee

## **SECURITY:**

Some records contain confidential business information that is not available to the public. However, others are non-confidential and are available for public review.

## **AUTOMATION INDEX:**

An index is prepared for each docket established. The index cites each document contained in the docket and constitutes the official record of docket content.

## **AVERAGE MAINTENANCE TERM OF DOCKET BEFORE RETIRING TO FEDERAL RECORD CENTER (FRC):**

At the present time the Pesticides Dockets are not sent to the Federal Record Center:

## **FOIA REQUEST:**

All FOIA requests are forwarded to the Freedom of Information Office for processing. Requests for documents requiring an Affirmation of Non-multinational Status (those with the suffix (B) ) will be forwarded to the Freedom of Information Office and will be responded to as FOIA requests.

# **UNDERGROUND STORAGE TANK (UST) DOCKET**

## **DESCRIPTION:**

The Underground Storage Tank (UST) Docket provides public access to regulatory information supporting the Agency's regulatory actions on underground storage tanks. As of January 1, 1989, there are seven dockets: (1) UST Notification; (2) Technical Standards for UST's; (3) Financial Responsibility for USTs containing Petroleum Products; (4) State Program Approval; (5) Report to Congress on Exempt Tanks; (6) Administrative Assessment of Civil Penalties and Revocation and Suspension of Permits; (7) Financial Responsibility for USTs Containing Hazardous Materials.

## **RULING, STATUTE AUTHORITY CITATIONS:**

Resource Conservation and Recovery Act Amendment of 1984, Subtitle I (eye).

## **LOCATION:**

Underground Storage Tank Docket  
Room M-2427

## **SERVICE PROVIDED:**

Responds to inquiries regarding regulatory documents; visits by appointment only. Open to public for viewing and copying from 9:00 A.M. to 12:30 P.M. and 1:30 to 5:00 P.M. A reasonable fee is charged for materials copied.

For answers to specific questions, about regulations call the UST HOTLINE: (800) 424-9346.

## **CONTACT:**

Carol Peterson-Lopez, Manager  
(202) 475-9720, FTS (8) 475-9720



## **ADDRESS:**

USEPA, UST Docket  
Office of Underground Storage Tank, (OS-240)  
401 M Street, SW, Rm. M-2427  
Washington, DC 20460

## **STAFFING:**

1-Fulltime Employee

## **SECURITY:**

All documents are available for public viewing. No security clearance is necessary. All documents are housed in locked file cabinets.

## **AUTOMATION (INDEX):**

There is a printed index to each docket to facilitate the review and location of docket materials. Automated search by title, author or docket number. No public access to automated system.

## **AVERAGE MAINTENANCE TERM OF DOCKET BEFORE RETIRING TO FEDERAL RECORD CENTER (FRC):**

There are no plans to retire dockets. Dockets will be retained as necessary to support the final regulations.

## **FOIA REQUEST:**

FOIA requests received by the UST Docket are forwarded to the Freedom of Information Office for processing.

## QUICK REFERENCE TO DOCKETS

<b>Name and Address</b>	<b>Telephone</b>
Resource Conservation & Recovery Act Docket U.S. EPA, OS-305 401 "M" St., SW, Rm. 2427 Washington, DC 20460	8/475-9327 202/475-9327
Superfund Docket U.S. EPA, WH-548D 401 "M" St., SW, Rm. M-2427 Washington, DC 20460	8/382-3046 202/382-3046
Drinking Water Docket U.S. EPA, WH-550D 401 "M" St., S.W., Rm. EB-15 Washington, DC 20460	8/475-9598 202/475-9598
Toxic Substances Docket U.S. EPA, TS-793 401, "M" St., SW, Rm. G-004 Washington, DC 20460	8/382-3598 202/382-3598
Pesticide Docket U.S. EPA, TS-757C 401 "M" St., SW, Rm. 244, CM #2 Washington, DC 20460	8/557-4456 703/557-4456
Underground Storage Tank (UST) Docket U.S. EPA, OS-240 401 "M" St., SW, Rm. 2427 Washington, DC 20460	8/475-9270 202/475-9270
Air Docket U.S. EPA, LE-130 401 "M" St., SW, Rm. M-1500 Washington, DC 20460	8/382-7548 202/382-7548
Public Information Reference Unit (PIRU) U.S. EPA, PM-211D 401 "M" St., SW, Rm. 2904 Washington, DC 20460	8/382-5926 202/382-5926