

UNITED STATES
ENVIRONMENTAL
PROTECTION AGENCY



A GUIDE TO
FEDERAL GRANT RESOURCES
FOR
COMMUNITY ORGANIZATIONS,
TRIBAL ORGANIZATIONS AND TRIBAL GOVERNMENTS

Prepared by
Region VIII
Environmental Justice Program
In Cooperation with
Tribal Assistance Program
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INTRODUCTION

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On February 11, 1994, President Clinton issued Executive Order 12898 on environmental justice. The Order focuses Federal attention on the environmental and human health conditions of minority communities and low-income communities and calls on agencies to make achieving environmental justice part of their mission. The President wanted Federal agencies to reinvent the way we approach environmental justice so that our day-to-day efforts will be more effective in protecting the public health and environment.

The Clinton Administration believes that protecting our environment means protecting our health--the health of our families, our neighborhoods, our economy and our children. All Americans deserve clean air, pure water, land that is safe to live on, and food that is safe to eat.

In response to the Executive Order, on April 3, 1995, Administrator Browner introduced EPA's Environmental Justice Strategy. The purpose of this strategy is to help bring justice to Americans who are disproportionately affected by pollution: children exposed to lead paint in old buildings, farm workers exposed to pesticides, people who fish in polluted waters, and those who live near hazardous waste facilities.

One of the goals of the Environmental Justice strategy is to give the American people better access to information they need about our government, as well as significant opportunities to participate in shaping the government policies that affect and protect our health and our environment. This guide, which identifies numerous federal grant resources and programs focused on environmental protection for communities, Tribal governments, local governments, grassroots organizations, Tribal organizations, environmental organizations and others, is an important step toward providing protection for all.

USING THE GUIDE

USING THIS GUIDE

This guide is intended to be used as an informational resource tool for organizations seeking federal resources. Section III contains 44 program descriptions/excerpts from the 1995 Catalog of Federal Domestic Assistance. This annual publication is a comprehensive summary of all federal assistance programs. Additionally, this information is in the computer database FAPRS (Federal Assistance Program Retrieval System). For information about this database, contact:

Federal Domestic Assistance Catalog staff
General Services Administration
Ground Floor, Reporters Building
300 7th Street, S. W.
Washington, DC 20407
Telephone (202) 453-4126

A successful grant proposal is one that is well-prepared, thoughtfully planned, and concisely packaged. The potential applicant or user of this guide should become familiar with all of the pertinent program criteria related to the program from which assistance is sought by referring to the information contact person listed in each program description before developing a proposal to obtain information such as whether funding is available, when applicable deadlines occur, and the process used by the grantor agency for accepting applications. Applicants should remember that the basic requirements, application forms, information and procedures vary with the Federal agency making the grant award.

Once a potential grantor agency is identified, call the contact telephone number identified in Information Contacts and ask for a grant application kit. Due to reorganizations and relocation by several Federal agencies, the user is encouraged to call for EPA programs identified, the contacts listed in Section VII. WE APOLOGIZE FOR ANY INCONVENIENCE but this guide is intended to be informative not inclusive.

The applicant should carefully study the eligibility requirements for each Federal program under consideration. The applicant may learn that he or she is required to provide services otherwise unintended such as a service to particular client groups, or involvement of specific institutions. It may necessitate the modification of the original concept in order for the project to be eligible for funding. Questions about eligibility should be discussed with the appropriate program office. Deadlines for submitting applications are often not negotiable. They are usually associated with strict timetables for agency review. Some programs have more than one application deadline during the fiscal year. Applicants should plan proposal development around the established deadlines. Most proposals are made to institutions rather than individuals. Often signatures of chief administrative officials are required. Check to make sure they are included in the proposal where appropriate.

Proposals should be typed, collated, copied, and packaged correctly and neatly (according to agency instructions, if any). Each package should be inspected to ensure uniformity from cover to cover. Binding may require either clamps or hard covers. Check with the Federal agency to determine its preference. A neat, organized and attractive proposal package can leave a positive impression with the reader about the proposal contents.

A cover letter should always accompany a proposal. Make sure there is enough time for the proposals to reach their destinations. Otherwise, special arrangements may be necessary. Always coordinate such arrangements with the Federal grantor agency project office (the agency which will ultimately have the responsibility for the project), the grant office (the agency which will coordinate the grant review), and the contract office (the agency responsible for disbursement and grant award notices), if necessary.

IDENTIFYING GRANT RESOURCES

SOURCE: 1995 - Catalog of
Federal Domestic Assistance

1. 11.302 ECONOMIC DEVELOPMENT-SUPPORT FOR PLANNING ORGANIZATIONS
2. 11.800 MINORITY BUSINESS DEVELOPMENT CENTERS
3. 11.802 MINORITY BUSINESS RESOURCE DEVELOPMENT
4. 15.114 INDIAN EDUCATION-HIGHER EDUCATION GRANT PROGRAM
5. 15.143 TRAINING AND TECHNICAL ASSISTANCE-INDIAN TRIBAL GOVERNMENTS
6. 16.583 CHILDREN'S JUSTICE ACT DISCRETIONARY GRANTS FOR NATIVE AMERICANS
7. 66.001 AIR POLLUTION CONTROL PROGRAM SUPPORT
8. 66.003 AIR POLLUTION CONTROL MANPOWER TRAINING
9. 66.009 AIR INFORMATION CENTER
10. 66.032 STATE RADON GRANTS
11. 66.419 WATER POLLUTION CONTROL STATE AND INTERSTATE PROGRAM SUPPORT (106 GRANTS)
12. 66.432 STATE PUBLIC WATER SYSTEM SUPERVISION
13. 66.433 STATE/TRIBAL UNDERGROUND WATER SOURCE PROTECTION TRIBAL PROGRAM
14. 66.435 WATER POLLUTION CONTROL PROGRAM (CLEAN LAKES PROGRAM)
15. 66.438 CONSTRUCTION MANAGEMENT ASSISTANCE
16. 66.458 CAPITALIZATION GRANTS FOR STATE REVOLVING FUNDS (STATE REVOLVING FUND)
17. 66.460 NON POINT SOURCE IMPLEMENTATION GRANTS (319 PROGRAM)

18. 66.461 WETLANDS PROTECTION STATE/TRIBAL DEVELOPMENT GRANTS
19. 66.463 NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM
RELATED STATE PROGRAM GRANTS
20. 66.467 WASTEWATER OPERATION TRAINING GRANT PROGRAM
21. 66.500 ENVIRONMENTAL PROTECTION-CONSOLIDATED RESEARCH
22. 66.600 ENVIRONMENTAL PROTECTION CONSOLIDATED GRANTS-
PROGRAM SUPPORT
23. 66.604 ENVIRONMENTAL JUSTICE GRANTS TO SMALL COMMUNITY
GROUPS
24. 66.700 CONSOLIDATED PESTICIDE COMPLIANCE MONITORING PROGRAM
25. 66.708 POLLUTION PREVENTION GRANTS PROGRAM
26. 66.802 SUPERFUND STATE/TRIBAL SITE SPECIFIC COOPERATIVE
AGREEMENTS
27. 66.805 LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM
28. 66.806 SUPERFUND TECHNICAL ASSISTANCE GRANTS FOR CITIZEN
GROUPS AT PRIORITY SITES
29. 66.807 SUPERFUND INNOVATIVE EVALUTION PROGRAM
30. 66.808 SOLID WASTE MANAGEMENT ASSISTANCE
31. 66.810 TECHNICAL ASSISTANCE GRANTS PROGRAM (TAGS)
32. 66.926 INDIAN ENVIRONMENTAL GENERAL ASSISTANCE PROGRAM
33. 66.950 ENVIRONMENTAL EDUCATION AND TRAINING PROGRAM
34. 66.951 ENVIRONMENTAL EDUCATION GRANTS
35. 83.530 EMERGENCY MANAGEMENT INSTITUTE (EMI)-RESIDENT
EDUCATIONAL PROGRAM
36. 84.120 MINORITY SCIENCE IMPROVEMENT

- 37. 93.158 ADOLESCENT HEALTH CENTERS FOR AMERICAN INDIANS\ ALASKA NATIVES
- 38. 93.225 NATIONAL RESEARCH SERVICE AWARDS-HEALTH SERVICES RESEARCH TRAINING
- 39. 93.612 NATIVE AMERICAN PROGRAMS
- 40. 93.905 INDIAN HEALTH SERVICE RESEARCH
- 41. 93.933 RESEARCH AND DEMONSTRATION PROJECTS FOR INDIAN HEALTH
- 42. 93.954 TRIBAL RECRUITMENT AND RETENTION OF HEALTH PROFESSIONAL INTO INDIAN HEALTH PROGRAMS
- 43. 93.971 HEALTH PROFESSIONS PREPARATORY SCHOLARSHIP PROGRAM FOR INDIANS
- 44. 93.972 HEALTH PROFESSIONS SCHOLARSHIP PROGRAM

11.302
ECONOMIC DEVELOPMENT-SUPPORT
FOR PLANNING ORGANIZATIONS
(Economic Development District Program; Redevelopment
Area Program; and Indian Program)

FEDERAL AGENCY: ECONOMIC DEVELOPMENT ADMINISTRATION,
DEPARTMENT OF COMMERCE

AUTHORIZATION: Public Works and Economic Development Act of 1965, Public Law 89-136, as amended, 42 U.S.C. 3151, 3152.

OBJECTIVES: To assist in providing administrative aid to multicounty districts, redevelopment areas and Indian tribes to establish and maintain economic development planning and implementation capability and thereby promote effective utilization of resources in the creation of full-time permanent jobs for the unemployed and the underemployed in areas of high distress.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grants are used for staff salaries and other planning and administrative expenses of the funded economic development organization.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: (1) Public bodies and other nonprofit organizations representing groups of State-delineated and EDA-approved adjoining counties, which include at least one area designated as a redevelopment area by the Secretary of Commerce and one or more growth centers not over 250,000 population; (2) Indian Tribes; and (3) counties designated as redevelopment areas or nonprofit organizations representing redevelopment areas or parts of such areas.

Beneficiary Eligibility: Areas and regions of substantial and persistent unemployment and residents (particularly unemployed and low income persons) of those areas.

Credentials/Documentation: Evidence that the governing body of the development organization is at least 51 percent elected officials and broadly representative of the social, economic and political groups in area.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Submission of letter of interest and proposed work program to the EDA Regional Office serving the area. State and EDA concurrence in organization and boundary of multi-county district. An applicant should consult the office in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The application is submitted through an the Regional Office.

Award Procedure: Applications for continuation grants are approved by the EDA Regional Office Director or, in the case of new grantees, by the Assistant Secretary for Economic Development.

Deadlines: Contact your regional/local EPA office.

Range of Approval/Disapproval Time: From 30 to 90 days.

Appeals: Not applicable.

Renewals: Grants are made for a 1-year period and are renewable if grantee's performance is satisfactory; the area continues to exhibit distress. and the proposed work program is acceptable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A minimum of 25 percent must be obtained from nonfederal sources except for grants to Indian tribes. This may be in the form of cash and in-kind contributions. The Secretary is authorized to fund up to 100 percent planning support grants to Indian tribes.

Length and Time Phasing of Assistance: Up to 1 year. Funds are released in two payments over the course of the grant period.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly financial reports; progress reports five months and 10 months after grant approval; annual updating of an Overall Economic Development Program (OEDP) due on June 30 of every year.

Audits: State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year.

Records: Financial records must be maintained until 3 years after the completion of the grant project or submission of the final financial report, whichever is later, and be readily available for inspection and audit. All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement.

FINANCIAL INFORMATION:

Account Identification: 13-205041452.

Obligations: (Grants) FY 92 \$20,554,000; FY 93 est \$20,254,00, and FY 94 est \$20,254,000.

Range and Average of Financial Assistance: \$25,000 to \$113,000; \$58,000.

INFORMATION CONTACTS:

Regional or Local Office: Contact your local/region EPA office.

Headquarters Office: Luis F. Bueso, Director Planning Division, Economic Development Administration, Room H7319, Herbert C. Hoover Building, Washington, DC 20230. Telephone: (202) 482-2873.

EXAMPLES OF FUNDED PROJECTS: Support to hire professional staff or the following types of areas; a 10-county rural organization; a 5-County rural/urban organization; a 5-county rural organization that includes an Indian reservation; a 500,000 acre Indian reservation; and a 30,000-acre Indian reservation.

CRITERIA FOR SELECTING PROPOSALS: Criteria for funding include: satisfactory past performance, continued economic distress, and submission of an acceptable work program. The program presupposes continuing administrative support and new grants are infrequent. Priorities for the selection of new grantees focus on the extent of persistent economic distress, length of time potential grantee has been authorized or designated as a district, quality of proposed work program, and interregional balance.

11.800
MINORITY BUSINESS DEVELOPMENT
CENTERS
(MBDC)

FEDERAL AGENCY: MINORITY BUSINESS DEVELOPMENT AGENCY,
DEPARTMENT OF COMMERCE

AUTHORIZATION: Executive Order 11625, October 13, 1971; Department of Commerce Appropriation Act, Public Law 103-121.

OBJECTIVES: To provide business development services for a minimal fee to minority firms and individuals interested in entering, expanding or improving their efforts in the marketplace. Minority business development center operators provide a wide range of services to clients, from initial consultations to the identification and resolution of specific business problems.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: The Minority Business Development Agency (MBDA) competitively selects and funds approximately 100 MBDCs to provide management and technical assistance to minority clients located in designated Metropolitan Areas (MAs) throughout the country. Recipients of MBDC funds provide clients with advice and counseling in such areas as preparing financial packages, business planning and counseling, business information and management assistance, accounting guidance, marketing, business/industrial site analysis, production, engineering, construction assistance, procurement, and identification of potential business opportunities. The agency does not have the authority to make loans to minority businesses. Program funds are restricted to providing management and technical assistance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: There are no eligibility restrictions for this program. Eligible applicants may include individuals, nonprofit organizations, for-profit firms, local and State governments, American Indian Tribes, and educational institutions.

Beneficiary Eligibility: Recipient is to provide assistance to minority-owned businesses or minorities interested in starting a business. Consideration will be given to (1) firms that are currently operating a business and seeking to expand it; (2) current businesses that have a need for assistance in order to survive. (3) other potential business persons who wish to enter a business venture with a good probability for success; and (4) businesses that are current or former participants in the Small Business Administrations 8(a) procurement assistance program.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Pre-award and post award conferences will be scheduled for most MBDA projects.

Application Procedure: Contact your local/regional EPA office.

Award Procedure: The application package will advise the applicant where to submit the application. Each application will be reviewed and evaluated by MBDA. Name checks, verification of academic credentials and pre-award audits may be required from applicants.

Deadlines: Deadlines for formal competitive awards are outlined in the Federal Register and Commerce Business Daily.

Range of Approval/ Disapproval Time: From 4 to 6 months. Unsuccessful applicants shall be notified in writing at the same time that notification is sent to the successful applicant.

Appeals: All decisions are final. There is no administrative appeal process.

Renewals: MBDC awards are made for a period of one year with the possibility to renew for up to two additional years. Performance evaluations will be conducted, and funding levels will be established for each of the three budget periods. The MBDC will receive continued funding after the initial competitive year at the discretion of MBDA based upon the availability of funds, the MBDC's performance, and agency priorities.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula. In most program areas MBDA has established minimum requirements for matching or cost sharing by the recipient. MBDCs are required to provide a minimum 15 percent cost sharing through in-kind contributions and cash, including client service fees. MBDA reserves the right to decide on a case-by-case basis whether a nonfederal contribution is required in other program areas so as to successfully implement the program.

Length and Time Phasing of Assistance: For 1 to 3 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Financial (quarterly and annually); narrative (quarterly and annually); statistical reports on each client are required.

Audits: State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made.

Records: Documents, papers, and financial records relating to the MBDC are required to remain available to the Federal Government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of grantees or subgrantees are required to be maintained by the terms of the agreement. The grantee must retain records for three years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

FINANCIAL INFORMATION:

Account Identification: 13-020141-376.

Obligations: (Cooperative Agreements) FY 93 \$22,623,984; FY 94 est \$25,165,600; and FY 95 est \$24,061,000.

Range and Average of Financial Assistance: \$165,000 to \$1,074,526; \$218,000

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, MBDA funded business development centers in approximately 100 locations that provided management and technical assistance to minority business enterprise clients, of the 15,428 clients who received assistance in fiscal year 1993, 10,906 represented actual operating business enterprise clients. Clients obtained \$312.0 million in financial packages and \$635.5 million in procurement contracts.

INFORMATION CONTACTS:

Regional or Local Office: Contact your local/regional EPA office.

Headquarters Office: Ms. Loretta Young, Acting Assistant Director, Office of Operations, Room 5063, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-1015.

EXAMPLES OF FUNDED PROJECTS: In FY 1993, a large accounting firm won the competition to operate the MBDC in Houston that provides management and technical assistance to eligible minority business clients to foster the development and operation of businesses.

CRITERIA FOR SELECTING PROPOSALS: Competitive awards for the MBDC program are made based on a panel evaluation of the applicant's demonstrated ability to provide business assistance as described in the application. This evaluation includes other factors such as capability and experience of staff assigned to the project, techniques, methodology, resources and costs.

11.802
MINORITY BUSINESS RESOURCE
DEVELOPMENT
(PUBLIC AND PRIVATE)

FEDERAL AGENCY: MINORITY BUSINESS DEVELOPMENT
AGENCY, DEPARTMENT OF COMMERCE

AUTHORIZATION: Executive Order 11625, October 13, 1971; Department of Commerce Appropriation Act, Public Law 103-121.

OBJECTIVES: The resource development activity provides for the indirect business assistance programs conducted by MBDA. These programs encourage minority business development by identifying and developing private markets and capital sources; expanding business information and business services through trade associations; promoting and supporting the mobilization of resources of Federal agencies and State and local governments at the local level; and assisting minorities in entering new and growing markets.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements or Contracts).

USES AND USE RESTRICTIONS: Funds will be used in support of the MBDA policy statement on funding Resource Development programs. MBDA is charged under Executive Order 11625 with fostering new minority business enterprises and maintaining and strengthening existing firms to increase their opportunities to participate and receive the benefits of our economic system. MBDA uses a portion of its program funds to award contracts, grants, and cooperative agreements to public and private sector entities which have the greatest potential for developing access to capital, market, and other opportunities on behalf of minority business. The performance of each funded recipient is evaluated on a scheduled basis. Renewals are based on Agency priorities and performance of recipients.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Applicants for this program are established businesses, professional organizations, individuals, trade associations and chambers of commerce.

Beneficiary Eligibility: Beneficiaries of this program are minority business persons/firms.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: A preapplication conference may be scheduled for resource development projects.

Application Procedure: The standard application forms as furnished by the Department of Commerce must be used for this program.

Award Procedure: The application package will advise the applicant where to submit the application. Each application will be reviewed and evaluated by MBDA. Name checks, verification of academic credentials and pre-award audits may be required from applicants.

Deadlines: Deadlines for formal competitive awards are outlined in the Federal Register and Commerce Business Daily.

Range of Approval/Disapproval Time: From 4 to 6 months. Successful and unsuccessful applicants shall be notified in writing at the same time.

Appeals: All decisions are final. There are no administrative appeals.

Renewals: Resource development awards may be renewed after the successful completion of the initial competitive project award, at the discretion of the agency for two additional years without undergoing formal competition for participating in this program, subject to the availability of funds and the satisfactory performance of the recipient.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Projects may require a minimum amount of cost-sharing in the form of in-kind contributions and cash.

Length and Time Phasing of Assistance: For 1 to 3 years depending on the requirements of the projects.

POST ASSISTANCE REQUIREMENTS:

Reports: Financial (quarterly and annually), narrative (quarterly and annually); statistical reports are required.

Audits: Unresolved audit issues of a substantive nature may result in the recipient not being considered for continuation of funding. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit.

Records: Documents, papers and financial records relating to the resource development program are required to remain available to the Federal Government for 3 years from the date of submission of the final financial status report. All financial and programmatic records, supporting documents, statistical reports, and other records of funded recipients are required to be maintained by the terms of the award document. The recipient must retain records for 3 years after completion of the project or submission of the final financial report, whichever is later, and be readily available for inspection and audit.

FINANCIAL INFORMATION:

Account Identification: 13-0201-0-1-376.

Obligations: (Cooperative Agreements/Contracts) FY 93 \$2,030,639
FY 94 est \$2,250,000; and FY 95 est \$2,317,000.

Range and Average of Financial Assistance: \$2,500 to \$235,000;
\$97,000.

INFORMATION CONTACTS:

Regional or Local Office: Contact the nearest Minority Business Development Agency.

Headquarters Office: Mr. C. Howie Hodges, Assistant Director, for Program Development, Room 5096, Minority Business Development Agency, Department of Commerce, 14th and Constitution Avenue, NW., Washington, DC 20230. Telephone: (202) 482-5770.

EXAMPLES OF FUNDED PROJECTS: An organization received a contract award in the amount of \$178,228 under the 1993 competitive program. Activities included developing export development training curriculum, seminars, a training manual and providing business information to MBDA funded business assistance centers and the Minority business community.

CRITERIA FOR SELECTING PROPOSALS: Competitive awards for the resource development program are made based on a panel evaluation of the applications. This evaluation includes such factors as capability and experience of staff assigned to the project; techniques and methodology resources and costs.

15.114
INDIAN EDUCATION-HIGHER
EDUCATION GRANT PROGRAM
(Higher Education)

FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Snyder Act of 1921, Public Law 67-85, 42 Stat. 208, 25 U.S.C. 13.

OBJECTIVES: To provide financial aid to eligible Indian students to enable them to attend accredited institutions of higher education.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant funds are to supplement the total financial aid package prepared by the college financial aid officer. Funds are intended to assist students in pursuing their undergraduate baccalaureate degree.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Must be a member of an Indian tribe, or, who is at least a one-fourth degree Indian blood descendent of a member of an Indian tribe which is eligible for the special programs and services provided by the United States through the Bureau of Indian Affairs to Indians because of their status as Indians, be enrolled or accepted for enrollment in an accredited college, have financial need as determined by the institution's financial aid office.

Beneficiary Eligibility: Must be a member of an Indian tribe, be enrolled or accepted for enrollment in an accredited college, have financial need as determined by the institution's financial aid office.

Credentials/Documentation: Certificate of Indian blood; college financial aid package; statement of acceptance by college, Bureau of Indian Affairs grant application.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Acceptance at a college or university and application for financial aid from the college using their approved needs analysis system.

Application Procedure: Students must be accepted by a college or university. Application forms are completed by applicant in accordance with instructions available upon request from the Education Line Office, or tribal contractor administering the program for the applicant's

tribal group. Completed forms are submitted to the students higher education program office at the appropriate office.

Award Procedure: When an award is granted, student is notified and funds are sent to the applicant in care of college or university financial aid office.

Deadlines: Determined by the Education Line Office and/or tribal contractor.

Range of Approval/Disapproval Time: 6 to 10 weeks when all required documents are submitted.

Appeals: From the administering office to agency/Area Education Program Administrator, to Director, Office of Indian Education Programs, to Assistant Secretary - Indian Affairs to Secretary of the Interior.

Renewals: Grants may be continued through undergraduate if student maintains acceptable progress and academic standing. Renewal applications must be submitted annually with updated grades or transcript.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Students are expected to take advantage of the campus-based financial aid programs offered to all students, and any other scholarships which may be available to them.

Length and Time Phasing of Assistance: Grants are generally made for the academic year on a quarter or semester basis. Grant is for a period not to exceed 5 years, or the period of time required to complete the course of study.

POST ASSISTANCE REQUIREMENTS: academic progress, change of courses, dropout intentions, and graduation (degree received and date of graduation). Reports: Grade reports of students applying for continuation of grants are to be submitted at the end of each academic year.

Audits: None.

Records: None.

FINANCIAL INFORMATION:

Account Identification: 14-2100-0-1-501

Obligations: (Total program funds including grants) FY 93 \$29,280,000; FY 94 est \$29,395,000; and FY 95 est \$29,791,000.

Range and Average of Financial Assistance: Average for fiscal year 1992 \$425; 1993 \$8,200; Range \$350 to \$7,450.

PROGRAM ACCOMPLISHMENTS: In fiscal years 1993, 14,800 students were assisted each year.

INFORMATION CONTACTS:

Headquarters Office: Office of Indian Education Programs, Code 522, Room MS 3512-MIB, 1849 C Street, NW, Washington, DC 20240. Contact: Mr. Reginald Rodriguez. Telephone: (202) 2084871.

EXAMPLES OF FUNDED PROJECTS: Undergraduate applicant (typical): Student, single, freshmen; 41/64 Oglala Sioux; Black Hills State College, South Dakota; major/minor, Undecided; degree objective: BS; total budget \$5740. Student Contribution \$700, Pell \$2200; BIA \$2800. Married or advanced degree applicant (typical): Student, married with three family members; 1/2 Sioux Tribe; University of North Dakota; major-medicine/minor, Biology; degree objective: MD; total budget \$12,245; Pell \$1625, CWS \$1200, NDSL \$1200, SEOG \$400, Student Contribution \$700, BIA \$5,900.

CRITERIA FOR SELECTING PROPOSALS: Any member of an Indian tribe served by BIA. Enrolled in an accredited institution in pursuit of a regular or advanced degree. Must have a demonstrated financial need as determined by the institution's financial aid office.

15.143
TRAINING AND TECHNICAL
ASSISTANCE-INDIAN TRIBAL GOVERNMENTS
(Self-Determination Training and Technical Assistance)

FEDERAL AGENCY: BUREAU OF INDIAN AFFAIRS, DEPARTMENT OF THE INTERIOR

AUTHORIZATION: Public Law 93-638, Section 103, as amended, 88 Stat. 2203.

OBJECTIVES: To aid Indian Tribes to exercise self-determination in accord with Public Law 93-638.

TYPES OF ASSISTANCE: Project Grants (Contracts); Advisory Services and Counseling; Provision of Specialized Services; Training.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Contact your local/regional EPA office.

Application Procedure: Written resolution of governing body and submittal of grant application.

Award Procedure: Grant application is approved or disapproved, ranked and prioritized within the Area Office jurisdiction and funding recommendations are transmitted to Central Office for final funding approval under revised regulations.

Deadlines: Contact your local regional EPA office.

Range of Approval/Disapproval Time: 30 days after application is received by Area office.

Appeals: Contact your local/regional EPA office.

Renewals: Not applicable except when applicant is approved and funded as a multi-year project.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirement: Not applicable.

Length and Time Phasing of Assistance: Single year grants were awarded July 1, 1995 and will end on June 30, 1996.

POST ASSISTANCE REQUIREMENTS:

Reports: 6 month monitoring reports project year end final reports quarterly programmatic and fiscal reports.

Records: As required by Grant Award.

FINANCIAL INFORMATION:

Account Identification: 14-2100-0-1-452.

Obligations: (Grants) FY 93 \$19,830,000; FY 94 est \$22,905,000; and FY 95 est \$24,004,000.

Range and Average of Financial Assistance: May range from approximately \$25,000 upward depending upon the service area population to be served.

PROGRAM ACCOMPLISHMENTS: During fiscal year 1994, 375 grants were awarded to Indian tribes and 32 grants were awarded to off reservation Indian organizations. In fiscal year 1995, 564 grants will be awarded.

INFORMATION CONTACTS:

Regional or Local Office: Information can be secured from the Agency Superintendents and from Area Directors.

Headquarters Office: Division of Social Services, Office of Tribal Services, Bureau of Indian Affairs, MS:310-SIB 1849 C Street, NW, Washington, DC 20245. Contact: Betty Tippeconnie, Code 45Q Telephone: (202) 208-2721.

EXAMPLES OF FUNDED PROJECTS: Eastern Cherokee (North Carolina) Comprehensive Child Welfare Program.

CRITERIA FOR SELECTING PROPOSALS: 1) Proposals which best promote the purposes of Title II of the Act taking into consideration the identified community need for child and family service programs of the applicant, 2) The relative accessibility which the Indian population to be served has to existing child and family service programs, and 3) The extent to which the proposed program would duplicate any existing child and family service programs emphasizing the prevention of Indian family breakup. Grants for on and near reservation shall be limited to the governing body of the tribe to be served, while preference for grants to off-reservation programs will be given to organizations demonstrating substantial support from the Indian community to be served.

16.583
**CHILDREN'S JUSTICE ACT
DISCRETIONARY GRANTS FOR NATIVE
AMERICAN INDIAN TRIBES
(Children's Justice Act for Native American Indian
Tribes)**

**FEDERAL AGENCY: OFFICE OF JUSTICE PROGRAMS OFFICE FOR VICTIMS OF
CRIME, DEPARTMENT OF JUSTICE**

AUTHORIZATION: Victims of Crime Act of 1984 (VOCA), as amended, Public Law 98473; Children's Justice and Assistance Act of 1986, Public Law 99401, as amended; Anti-Drug Abuse Act of 1988, Public Law 100-690, Section 1402 (g)(I), and the Appropriations Act of 1994 for the Department of Commerce, Justice, the State, the Judiciary, and Related Agencies.

OBJECTIVES: Fifteen percent of the first \$4.5 million of funds from the Crime Victims Fund that are transferred to the Department of Health and Human Services as part of the Children's Justice Act are to be statutorily reserved by the Office for Victims of Crime (O.C.) to make grants for the purpose of assisting Native American Indian tribes in developing, establishing, and operating programs designed to improve the handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the child victim and improves the investigation and prosecution of cases of child abuse.

TYPES OF ASSISTANCE: Project Grants; Direct Payments for Specified Use.

USES AND USE RESTRICTIONS: Funds are available specifically for the purpose of assisting Indian tribes in developing, establishing, and operating programs designed to improve (a) the handling of child abuse cases, particularly cases of child sexual abuse, in a manner which limits additional trauma to the victim and (b) the investigation and prosecution of cases of child abuse, particularly child sexual abuse.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Federally recognized Indian tribal governments and nonprofit organizations that provide services to Native Americans. Specific criteria will vary depending on the grant.

Beneficiary Eligibility: Native American youth who are victims of child abuse and/or child sexual abuse.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Contact your local/regional EPA office.

Application Procedure: Contact your local/regional EPA office.

Award Procedure: Awards may be granted to nonprofit organizations that provide services to Native Americans or State or local government. If an award is granted to a State, the State will subgrant the monies down to private nonprofit and/or public agencies for the purposes specified in the application, and in conformance with all general and special conditions.

Deadlines: Contact your local/regional EPA office.

Range of Approval/Disapproval Time: From 2 to 4 months.

Renewals: Awards range from 12 to 36 months. Renewals are considered on a case-by case-basis.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: There are no formula or matching requirements.

Length and Time Phasing of Assistance: Grants are generally awarded for a 12 to 36 month time period.

POST ASSISTANCE REQUIREMENTS:

Reports: Both quarterly and final financial report and a quarterly program performance report.

Audits: State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit.

Records: Financial records, supporting document statistical records, and all other records pertinent to a grant shall be retained for a period of three years.

FINANCIAL INFORMATION:

Account Identification: 15-5041-0-2-754.

Obligations: (Grants) FY 93 \$553,491; FY 94 est \$1,092 747; and FY 95 est \$675,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Thirty-one tribal programs to improve the investigation and prosecution of child sexual abuse cases have been funded.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Cathy Sanders, Program Specialist, Federal Crime Victims Division, Office for Victims of Crime, Office of Justice Programs, Department of Justice, 633 Indiana Avenue, N.W., Washington, DC 20531. Telephone: (202) 514-6445.

EXAMPLES OF FUNDED PROJECTS: Tribes will implement a variety of programs to improve the way in which child sexual abuse cases are handled in Indian country. Examples of some of the activities are: development of written protocols between agencies to minimize the number of child interviews and improve case management; provision of child advocacy in the court process; reduction in the amount of time required to investigate cases of child sexual abuse; revision of tribal codes to include child abuse; establishment of special multi disciplinary child interviewing teams; provision of specialized training for investigators and judicial personnel; and increase the numbers of child sexual abuse cases prosecuted in tribal, State, and Federal courts. Training and technical assistance for tribes in implementing the grants awarded.

66.001
AIR POLLUTION CONTROL PROGRAM
SUPPORT

FEDERAL AGENCY: OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Air Act of 1963, Section 105, as amended Public Law 101-549.

OBJECTIVES: To assist State, municipal, intermunicipal, and interstate agencies in planning, developing, establishing, improving, and maintaining adequate programs for prevention and control of air pollution or implementation of national primary and secondary air quality standards.

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: Grant funds may be used for costs specifically incurred in the conduct of a State/Local Air Pollution Program project in accordance with the purposes enumerated in the approved application. These include personnel costs, supplies, equipment, training of personnel, travel, and other necessary expenditures during the approved project period. Funds may not be used for construction of facilities, nor for expenses incurred other than during each approved award period.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any municipal, intermunicipal, State, or interstate agency with legal responsibility for appropriate air pollution planning development and establishment of air pollution control activities and operation of activities for grant support provided such organization furnishes funds for the current year that are equal to or in excess of its expenditures for the previous year for its air pollution program. The determination of expenditures is subject to decisions based on provisions of the Clean Air Act and applicable grant regulations. This program is available to each State, territory and possession of the U.S., including the District of Columbia.

Beneficiary Eligibility: Municipalities, intermunicipalities, States and interstate agencies.

Credentials/Documentation: The application must supply evidence of legal authority for air pollution control; evidence of the availability of nonfederal matching funds; evidence that the Governor or his designated State agency has been given the opportunity to comment on the relationship of the program to be funded to the State plan; and a workable program officially adopted for the agency.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Discussion with the applicable EPA Regional Office is advised for air program grant applications. The standard application forms are furnished by the Environmental Protection Agency.

Application Procedure: Requests for application forms and completed applications must be submitted to the appropriate EPA Regional Grants Administration Branch. Application must meet the requirements of the grant regulations and will be reviewed to determine merit and relevancy of the proposed project.

Deadlines: None.

Range of Approval/Disapproval Time: Ninety days.

Appeals: Contact your local/regional EPA office.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: State, interstate and intermunicipal programs with an approved applicable State Implementation Plan may receive up to 60 percent Federal funding. Not more than ten percent of the funds available shall be granted for air pollution control programs in any one State. Up to one-half of one percent of the funds are to be made available as a minimum to a State. However, award of these funds will be made only if the agencies within the State meet the requirements of the act and grant regulations.

Length and Time Phasing of Assistance: The terms of the grant shall be determined at time of grant award.

POST ASSISTANCE REQUIREMENTS:

Reports: As specified in the grant award and through applicable regulations (usually quarterly interim, and final progress and expenditure reports).

Audits: Subject to inspection and audit by EPA and Comptroller General. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304

Obligations: (Grants) FY 92 \$163,818,900; FY 93 est \$174,588,600; and FY 94 est \$172,880,600.

Range and Average of Financial Assistance: \$45,000 to \$55,000; \$50,000.

INFORMATION CONTACTS:

Regional or Local Office: For program information: contact appropriate EPA Regional Office.

Headquarters Office: For program information: Ronnie Townsend, Air Quality Management Division, Office of Air Quality Planning and Standards, Office of Air and Radiation, MD-15; Environmental Protection Agency, Research Triangle Park, NC 27711. Telephone: (919) 541-2498.

EXAMPLES OF FUNDED PROJECTS: 1) State Department of Environmental Protection, Bureau of Air Control; 2) County Air Pollution Control; 3) State Board of Health; 4) State Air Control Board; 5) State Air Resources Board, and 6) Local Air Pollution Control Agencies. Air pollution control program grants are provided to State and local control agencies for purpose of operating programs that plan and implement activities to achieve ambient air quality standards.

CRITERIA FOR SELECTING PROPOSALS: The criteria for awarding air pollution control program assistance grants are provided in the 1990 Clean Air Act, Section 105, and set forth in State and local assistance grant regulations. Some of the criteria considered for award include the following: 1) No grant may be awarded unless the program contained in the grant application meets the requirements of that has been approved by the Regional Administrator. The State or local agency needs to prepare an adequate application. 2) No grant may be awarded until the Regional Administrator has consulted with the official designated by the Governor(s) of the State(s). Such consultation should consider the role of the applicant in the enforcement of any applicable implementation plan and confirm that the applicant's program will be generally compatible with the objectives of the applicable implementation plan. 3) No grant may be awarded for any budget period when the estimated

recurrent expenditures of nonfederal funds for the agency will be less than the recurrent expenditures of nonfederal funds were for such agency during the preceding budget period.

4) No grant may be awarded unless the Regional Administrator has determined that the agency has adequate air pollution control authority and necessary regulations to implement such authority.

AIR POLLUTION CONTROL MANPOWER TRAINING

FEDERAL AGENCY: OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY (EPA)

AUTHORIZATION: Clean Air Act (CAA) of 1963, Section 103, as amended, Public Law 101-549 (Nov. 15, 1990), 42 U.S.C. 7401 et seq.

OBJECTIVES: To develop career-oriented personnel qualified to work in pollution abatement and control. Grants are awarded for maintenance of environmental training programs and to increase the number of professionally trained pollution control abatement practitioners in State and local air pollution control agencies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: In addition to the EPA general grant conditions, each training grant is subject to the following conditions: (a) Recipients of assistance under training grants must be citizens of the U.S., its territories, or possessions or lawfully admitted to the U.S. for permanent residence. (b) The grantee shall not require the performance of personal services by trainees receiving financial grant assistance. (c) Generally, grants provide for student support through loans which are forgivable if the student serves two years in a governmental air pollution program. Amount of support is announced annually in program guidance issued by the program office.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Training grants are awarded to nonprofit academic institutions in the U.S. and territories. Traineeships are awarded to individuals by these grantee educational institutions. Trainees may be employees of state or local governmental air pollution control agencies and others who desire a career in governmental air pollution control work, but priority in awards is assigned to State/local air pollution control agency personnel.

Beneficiary Eligibility: Nonprofit academic institutions; State and local air pollution control agency personnel.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Discussion with program office is advisable. The standard application forms as furnished by the Federal agency and required by OMB Circular

No. A-102 must be used for this program. This program is excluded from coverage under E.O. 12372.

Application Procedure: This program is subject to the provisions of OMB Circular No. A-110. Requests for application forms and completed applications must be submitted to the Grants Administration Division, PM 216, U.S. Environmental Protection Agency, Washington, DC 20460.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: 90 days.

Appeals: As described in 40 CFR Part 31, Subpart F.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A minimum of five percent cost sharing is required of academic institutions.

Length and Time Phasing of Assistance: The project period for a training grant may not exceed three years. Traineeships may not exceed one year of full-time study.

POST ASSISTANCE REQUIREMENTS:

Reports: Interim and final progress reports, expenditure, invention, and equipment reports.

Audits: Grants are subject to inspection and audit by representatives of EPA and the Comptroller General of the U.S., or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the state's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$470,000; FY 95 est \$400,000; and FY 96 est \$375,000.

Range and Average of Financial Assistance: \$55,000 to \$70,000; av \$65,000.

PROGRAM ACCOMPLISHMENTS: A total of 26 training courses were conducted covering 14 subject areas for approximately 550 students at seven training centers during fiscal year 1994. Ten graduate traineeships were supported in fiscal year 1994 and five are expected in fiscal year 1995.

INFORMATION CONTACTS:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in .

Headquarters Office: For information concerning grant applications and procedures, contact Environmental Protection Agency, Grants Administration Division, PM 216, Washington, DC 20460. For program information, contact Ronnie Townsend, Information Transfer and Program Integration Division, Office of Air Quality Planning and Standards, Office of Air and Radiation, Environmental Protection Agency, Research Triangle Park, NC 27711. Telephone: (919) 541-2498.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

66.009
AIR INFORMATION CENTER

FEDERAL AGENCY: OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Air Act (CAA) of 1963, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.

OBJECTIVES: To disseminate technical information, provide information on the published literature on air pollution and to provide copies of EPA (and to a limited degree, other) publications as long as supplies last.

TYPES OF ASSISTANCE: Established by annual program guidance.

USES AND USE RESTRICTIONS: Information on the published literature and publications can be used to plan, support, and facilitate many kinds of air pollution control activity.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Literature searches are made for: State and governmental air pollution control agencies; U.S. territories and possessions; nonprofit citizens environmental groups; foreign governments; and EPA grantees and on site contractors. Publications are provided, while supplies last, to all parties upon request.

Beneficiary Eligibility: State and local governments; nonprofit citizens environmental groups; EPA grantees and on site contractors.

Credentials/Documentation: For literature searches, verification of requester's identity is needed. For EPA contractors and grantees, project officer approval is needed.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: None.

Application Procedure: For literature searches, a letter or phone call describing the subject upon which information is needed should be communicated to the EPA/ERC library. To request copies of publications, a letter or telephone call specifying the item desired is necessary. See INFORMATION CONTACTS for address and telephone number.

Award Procedure: Not applicable.

Deadlines: None.

Range of Approval/Disapproval Time: Not applicable.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Reports: None. ***Audits:*** None. ***Records:*** None.

FINANCIAL INFORMATION:

Account Identification: 68-0200-0-1-304.

Obligations: (Salaries and Expenses) FY 94 est \$42,716; FY 95 est \$44,852; and FY 96 est \$46,095.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: There are 2,200 literature searches and 10,000 publications provided each year.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: Library Services Office, Librarian, MD-35; U.S. Environmental Protection Agency; Research Triangle Park, NC 27711. Telephone: (919) 541-2777.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Not applicable.

STATE INDOOR RADON GRANTS (SIRG)

FEDERAL AGENCY: OFFICE OF AIR AND RADIATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Indoor Radon Abatement Act (IRAA), 15 U.S.C. 2661, Section 306; Toxic Substances Control Act (TSCA), Title I, Section 10(a).

OBJECTIVES: IRAA Section 306 and Toxic Substances Control Act (TSCA) Section 10 authorizes EPA to assist States and Federally Recognized Indian Tribes in the development and implementation of programs and projects reducing radon risks. Specifically, EPA will award funds to: 1) establish effective radon programs among States, Territories, and Federally Recognized Indian Tribes; 2) increase the number of homes and schools tested and mitigated for radon; 3) encourage radon testing and disclosure in connection with real estate transfers; 4) implement radon resistant construction standard in high risk areas; and 5) promote environmental equity through programs and outreach efforts directed at minority populations.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The following activities are eligible for funding under SIRG: radon surveys, public information and educational materials, radon control programs, purchase of radon measurement equipment or devices, purchase and maintenance of analytic equipment, training, program overhead and administration, data storage and management, mitigation demonstrations, and toll-free hotlines. Funding for these eligible activities may be used to develop State radon response programs. States may, at the governors direction, provide assistance to local governments for public information, educational materials, radon control programs and training.

RESTRICTIONS: The statute places the following restrictions on the use of Federal funds: 1) States may not receive continuation grant funding unless EPA determines that the State satisfactorily implemented the activities funded by the grant in the preceding budget period, 2) State expenditures for measurement equipment/devices and mitigation demonstrations cannot exceed 50 percent of the grant amount in a budget period, 3) State expenditures for general overhead and program administration cannot exceed 25 percent in a budget period. States may use grant funds for financial assistance to persons only to the extent that such assistance is related to demonstration projects or the purchase and analysis of radon measurement devices, and States may not use grant funds for the costs of EPA's Radon Contractor Proficiency Program. References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States, including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, the Canal Zone, American Samoa, the Northern Mariana Islands, or any other U.S. Territory or possession, and Federally Recognized Indian Tribes.

Beneficiary Eligibility: Beneficiaries of the Program include State agencies, local, municipal, district, or areawide organizations; U.S. territories or possessions, Federally Recognized Indian Tribes, low-income individuals, homeowners, and the general public.

Credentials/Documentation: States must submit the following documentation with their application: 1) a description of the seriousness and extent of radon exposure in the State; 2) an identification of the State agency that has primary responsibility for radon programs and which will receive the grant, plus a description of the roles and responsibilities of the lead State agency, any other State agencies, and any municipal, district, or areawide organizations involved in radon programs; 3) a description of the activities and programs related to radon that the State proposes for the funding year (i.e., a work plan); 4) a budget specifying Federal and State funding of each element of activity described in the work plan; and a 3-year plan outlining long range program goals and objectives, tasks necessary to achieve them, and resource requirements for the 3-year period, including anticipated State funding levels and desired Federal funding levels. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Assistance is available, applicants are encouraged to seek assistance by arranging a site visit or informal conference with EPA, submitting a written informal inquiry, or making a formal inquiry using the form "Preapplication for Federal Assistance," EPA Form 5700-30. The standard application forms, as furnished by EPA and required by OMB Circular No. A-102 must be used by this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Applications must be submitted to the appropriate EPA Regional Office.

Award Procedure: Each application shall be subjected to administrative evaluation to determine adequacy of application in relation to grant regulations and to technical and program evaluation to determine the merit and relevancy of the project or program.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: Not applicable.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula. EPA may provide States with up to 50 percent of allowable costs of approved SIRG Program activities. Federally Recognized Indian Tribes are required to provide at least 5 percent of allowable costs of the project.

Length and Time Phasing of Assistance: Grants are funded on a 12-month basis (yearly).

POST ASSISTANCE REQUIREMENTS:

Reports: Established by annual program guidance. To monitor the performance of each State, the EPA Project Officer and other Agency personnel will conduct mid-year (or more frequent) site visits to states to inspect program activities first hand.

Audits: Subject to inspection and audit by EPA and Comptroller General. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provision of Attachment P to Circular A-102, "Uniform Requirements for Grants to State and Local Governments," audits shall be made of organizations carrying out this program at least once every two years. These audits will be made in accordance with the General Accounting Office guidelines, "Standards for Audit of Government Organizations, Programs, Activities and Functions," and additional OMB guidance.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$8,962,000; FY 95 est \$8,158,000; and FY 96 est \$8,158,000.

Range and Average of Financial Assistance: It is anticipated that Federal funding will range from \$5,000 to \$500,000 per applicant. The anticipated average Federal funding per applicant is \$150,000.

PROGRAM ACCOMPLISHMENTS: Established in fiscal year 1990, the State Indoor Radon Grant Program has assisted States, the District of Columbia, Guam and numerous Federally Recognized Indian Tribes to establish radon programs. The program is now focused on achieving results in the areas of radon awareness, testing and mitigation. In 1992, 1993 and 1994, surveys were taken to assess levels of radon awareness testing, and mitigation nationally and at the State level.

INFORMATION CONTACTS:

Regional or Local Office: For questions of a programmatic/technical nature, contact the appropriate Regional Radon Office representative listed in .

Headquarters Office: Sheila Brown, Radon Division, 6604J, Office of Radiation and Indoor Air, Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Telephone: (202) 233-9439.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: EPA will base its review of SIRG applications on whether they reflect the program's goals and promote integrity in States' use of grant funds. Pursuant to Section 306(e), if State applications exceed the funds appropriated for award in a fiscal year, EPA will prioritize State activities based on the following criteria: 1) the seriousness and extent of the radon contamination problem to be addressed; 2) the potential for the activity or project to bring about reduction in radon levels; 3) the potential for the development of innovative radon assessment techniques, mitigation measures as generator, or program management approaches which may be of use to other States; 4) the potential for the activity to implement EPA's model radon-resistant building standards; 5) the potential for the development of radon programs in high risk areas; and 6) the potential for the activity to improve the effectiveness and viability of the State program.

**WATER POLLUTION CONTROL STATE AND INTERSTATE PROGRAM SUPPORT
(106 GRANTS)**

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION
AGENCY

AUTHORIZATION: Clean Water Act, Section 106, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.

OBJECTIVES: To assist States (including territories, the District of Columbia, and Indian Tribes qualified under Section 518(e)), and interstate agencies in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution.

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: Broad support for the prevention and abatement of surface and ground water pollution from point and nonpoint sources including permitting, pollution control studies, planning, surveillance and enforcement; advice and assistance to local agencies; training; and public information. Funds cannot be used for construction, operation, or maintenance of waste treatment plants, nor can they be used for costs financed by other Federal grants.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State and interstate water pollution control agencies as defined in the Federal Water Pollution Control Act. Agencies making application for funds must submit annually their pollution-control program to the appropriate EPA Regional Administrator for approval. Requirements of the program are based on Section 106 of the Act, and 40 CFR Parts 35 and 130.

Beneficiary Eligibility: State and interstate water pollution control agencies and Indian Tribes qualified under Section 518(e) of the Clean Water Act (CWA).

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. State and interstate agencies show compliance with 40 CFR 35, Subpart A.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Informal meetings are held between regional office, State, territorial and Indian tribe applicant agencies concerning program preparation. The

standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. The grant agreement must adequately reflect the priorities identified in the State/EPA Agreement. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The grants application must be submitted to the appropriate EPA Regional Office, Grants Administration Branch (see Appendix IV of the Catalog). This program is excluded from coverage under A-110.

Award Procedure: Application is reviewed by appropriate EPA Regional Office and if approved, is signed by the Regional Administrator.

Deadlines: Application forms must be submitted according to dates established by the Regional Administrator.

Range of Approval/Disapproval Time: Approximately 30 days.

Appeals: As described in 40 CFR Part 30 Subpart L.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula. As stated in the Clean Water Act, appropriated funds are allotted among the State and Interstate Water Pollution Control agencies on the basis of the extent of the water pollution problems in the respective states. State allotment ratios are based on a count of pollution sources for each state compared to a count of pollution sources in the Nation. Interstate allotment ratios are based on the level of funding received in fiscal year 1973. Currently four factors are used in the formula: municipal dischargers, industrial dischargers, power generators and feed lots. Each State must maintain a minimum level of effort; see funding agency for further details, and 40 CFR Part 35, Subpart A. The amount of actual State contributions vary widely. Qualified Indian tribes are eligible for Section 106 funding on a population based formula.

Length and Time Phasing of Assistance: One year; payments are approved quarterly and disbursed monthly.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual expenditure reports; semiannual program evaluations; revised budget following reallocation of funds; other reports as Grant Agreement requires.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the state's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$86,035,000; FY 95 est \$79,534,000; and FY 96 est \$80,700,000.

Range and Average of Financial Assistance: \$40,000 to \$3,455,000.

PROGRAM ACCOMPLISHMENTS: In each fiscal year, grants are awarded to encourage and support the conduct of Water Pollution Control programs by the 50 States, six territories, the District of Columbia, six Interstate Commissions, and Indian Tribes qualifying under Section 518(e).

REGULATIONS, GUIDELINES, AND LITERATURE: General Grant regulations and Procedures, Environmental Protection Agency (40 CFR Part 30); Handbook of Procedures, State and Interstate Program Grants; Environmental Protection Agency, State and Local Assistance Programs, Grants for Water Quality Planning, Management and Implementation (40 CFR Part 35 and 40 CFR Part 35 Subpart A); "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis, Order No.: NTIS UB/031.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office: Carol Crow, Office of Wastewater Management, Office of Water, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-6742.

RELATED PROGRAMS: 66.454, Water Quality Management Planning; 66.600, Environmental Protection Consolidated Grants Program Support.

EXAMPLES OF FUNDED PROJECTS: Grants to States for the administration of State programs for the prevention, reduction and control of pollution. Activities funded include administration of State NPDES permit programs; compliance and enforcement, monitoring, and hazardous materials spills response.

CRITERIA FOR SELECTING PROPOSALS: Section 106 funds are awarded to State, territory, interstate and Indian tribal agencies in accordance with overall water quality management needs, the requirements of programs covered by these agreements, and annual EPA Programs guidance.

STATE PUBLIC WATER SYSTEM SUPERVISION

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Public Health Service Act, as amended; Safe Drinking Water Act of 1974, Public Law 93-523, as amended; Public Law 95-190; Public Law 96-16; Public Law 96-502; Safe Drinking Water Act Amendments of 1986.

OBJECTIVES: To foster development and maintenance of State programs which implement the Safe Drinking Water Act.

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: The funds are to be used to develop and implement a public water system supervision program adequate to enforce the requirements of the Safe Drinking Water Act.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State agencies designated by the Governor or Chief Executive Officer of one of the States of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the Northern Mariana Islands, the Virgin Islands, Guam, American Samoa, or the Trust Territory of the Pacific Islands.

Beneficiary Eligibility: State agencies responsible for supervision of water supply within State will receive funds.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication coordination with appropriate regional office recommended. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The grant application including State Program Plan, must be submitted to the appropriate EPA Regional Administrator. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: State Program Plan is reviewed, and if approvable, signed by the Regional Administrator.

Deadlines: Applications must be submitted 60 days before the beginning of the agreed upon budget period.

Range of Approval/Disapproval Time: Maximum of 60 days.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Funds appropriated each year are allotted on the basis of State population (20 percent) as contained in the most currently available Statistical Abstract; State land area (10 percent) as contained in the most currently available Statistical Abstract; the number of community water systems in the State (56 percent) and the number of non-community water systems in the State (14 percent); all water system inventories being provided by the states. No State except American Samoa, Guam, the Northern Mariana Islands, the Trust Territories of the Pacific, and the Virgin Islands may be allotted less than 1 percent of the FY 89 appropriation. The five territories noted shall not be allotted less than 1/3 percent of the FY 89 appropriation. Three percent of the National total available grant funds are set-aside for Indian land activities in accordance with the Safe Drinking Water Act of 1986. The formula factors and minimums are statutory and the weightings are Agency imposed. Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment.

Length and Time Phasing of Assistance: Annual grants. There is no restriction placed upon the time permitted to spend the award. Awards released through letters of Credit or reimbursement.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual onsite evaluation, final financial and progress report as set forth in the grant agreement.

Audits: Grants are subject to inspection and audit by EPA, the Comptroller General of the U.S., or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions

of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records including all documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report or until any audit exceptions have been resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$67,401,000; FY 95 est \$70,000,000; and FY 96 est \$90,000,000.

Range and Average of Financial Assistance: (FY 94) \$113,400 to \$3,992,600; \$1,121,658.

PROGRAM ACCOMPLISHMENTS: During fiscal year 1994, 57 States and territories received grants.

REGULATIONS, GUIDELINES, AND LITERATURE: Financial Assistance for Continuing Environmental Programs (40 CFR 35, Subpart A); General Grant Regulations and Procedures (40 CFR Part 30). "EPA Assistance Administration Manual," available from the National Technical Information Service, Springfield, VA 22161 on a subscription basis.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Headquarters Office: Craig Damron, Office of Ground Water and Drinking Water (WH-550E) Drinking Water, Office of Water, Environmental Protection Agency, Washington, DC 20460 Telephone: (202) 260-5556 (use the same number for FTS).

RELATED PROGRAMS: 66.433, State Underground Water Source Protection.

EXAMPLES OF FUNDED PROJECTS: Grant funds are utilized by the States for such purposes as State regulation review, program plan developments, data management, inventory of drinking water systems, public participation, technical assistance and enforcement.

CRITERIA FOR SELECTING PROPOSALS: (1) Adequacy of State's annual program plan for the adoption and enforcement of drinking water regulations which are no less stringent than the national primary drinking regulations. (2) Designation of a State agency to receive the grant and be responsible for conducting the public water system supervision program. (3) Compliance with requirements of 40 CFR 30 and 40 CFR 35.

STATE/TRIBAL UNDERGROUND WATER SOURCE PROTECTION

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Safe Drinking Water Act of 1974, Public Law 93-523, as amended, Public Law 96-63, Public Law 96-502, as amended; Safe Drinking Water Act Amendments of 1986, Public Law 99-339, 42 U.S. C. 300.

OBJECTIVES: To foster development and implementation of underground injection control (UIC) programs under the Safe Drinking Water Act (SDWA).

TYPES OF ASSISTANCE: Formula Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: The funds are to be used to develop and implement an underground injection control program adequate to enforce the requirements of the Safe Drinking Water Act. Major program elements and outputs are listed in the Annual Program Guidance.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States and Indian Tribes that qualify as States that have delegated primary Enforcement Authority pursuant to SDWA amendments of 1986.

Beneficiary Eligibility: States and Indian Tribes.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Pre-application coordination with appropriate regional offices recommended. Applications are subject to State and areawide clearinghouses review pursuant to procedures in the proposed 40 CFR Part 19. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The grant application must be submitted to the appropriate EPA Regional Administrator. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Program plan is reviewed, and if approved, and signed by the Regional Administrator.

Deadlines: State program plans should be submitted to the appropriate Regional Administrator by June 1.

Range of Approval/Disapproval Time: Approximately 60 days.

Appeals: As described in 40 CFR Part 30, Subpart L.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program operates under a formula which uses criteria set forth in the SDWA, Section 1443(b)(4). Except for Indian Tribes, Federal assistance is limited to 75 percent of eligible costs, not to exceed the State allotment. The statistical factors used for allocation include 1) population of State, based on data from Estimates, Statistical Abstracts, 1987 Census; 2) State land area, based on data from Statistical Abstracts, 1980, Census and official updates; 3) injection practices by State, based on data reported by States and maintained by EPA injection well inventory as of January 1994. For Indian Tribes, Federal assistance is limited to 90 percent of eligible costs.

Length and Time Phasing of Assistance: Annual grants.

POST ASSISTANCE REQUIREMENTS:

Reports: Established by annual program guidance. Annual on-site evaluation.

Audits: Grants are subject to inspection and audit by EPA, the Comptroller General of the United States, or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records including all documents which support entries on accounting records and substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report, or until any audit exceptions have been resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 est \$10,401,000; FY 95 est \$10,923,000; and FY 96 est \$10,500,000.

Range and Average of Financial Assistance: FY 94 \$33,100 to \$1,001,300; \$184,211.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1994, 42 States had primacy for 36 full and 6 partial programs.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office listed in_.

Headquarters Office: Francoise Brasier, Chief, Underground Injection Control Branch, Office of Ground Water and Drinking Water, Office of Water, (4602) Environmental Protection Agency, 401 M Street, SW, Washington, DC 20460. Telephone: (202) 260-7077.

EXAMPLES OF FUNDED PROJECTS: Grant funds are utilized by the States for such purposes as State regulation review, program plan developments, data management, inventory of injection facilities, identification of aquifers, public participation, technical assistance and review, permit approval and enforcement, and surveillance and investigation.

CRITERIA FOR SELECTING PROPOSALS: 1. Adequacy of State's annual program plan for an enforceable underground injection control program; 2. compliance with requirements of 40 CFR 30 and 35, and Executive Order No. 12367 (Revised); 3. designation of a State agency to receive the grant and be responsible for coordinating the underground water source protection program; 4. evidence that Federal grant funds will not supplant the State's nonfederal funding committed to underground water source protection efforts. For Indian Tribes 1. demonstration of State adequacy; 2. demonstration of the tribe's intent, adequate legal authority and resources to implement specific program elements outlined in 40 CFR 144, 145, 146 and 148.

66.435
WATER POLLUTION CONTROL PROGRAM
(Clean Lakes)

FEDERAL AGENCY: OFFICE OF WATER, US ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act (CWA), Section 314, as amended, Public Law 95-217, 33 U.S.C. 1251 et seq.

OBJECTIVES: To provide financial assistance to States for assessing the water quality of publicly owned lakes; diagnosing the causes of degradation in publicly owned lakes; developing lake restoration and protection plans; implementing these plans to restore and preserve the lake; and post restoration monitoring to determine the longevity and effectiveness of restoration.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Available for allowable direct cost expenditures incident to project performance plus allocable portions of allowable indirect costs of the applicant, in accordance with established EPA policies REDO

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: By statute, State agencies and certain Indian Tribes (as designated by Section 518(e) of the Water Quality Act of 1987). However, through written interagency agreements, funds may be passed through to city and county governments or other locally and municipally constituted authorities. This program is available to each State, Indian Tribe (designated by Section 518(e), territory and possession of the U.S., including the District of Columbia. After April 1, 1988, a State/Tribe must submit bi-annually an approved Lake Water Quality Assessment to be eligible for assistance under the program.

Beneficiary Eligibility: The potential public interests served by the applicant authority.

Credentials/Documentation: Cost will be determined in accordance with OMB Circular NO. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Discussion with program and regional offices is essential. This program is eligible for coverage under E.O. 12377 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State

requires to be followed in applying for assistance, if the State has selected the program for review. Reference to the EPA Clean Lakes Program Guidance Manual is highly recommended.

Application Procedure: Application forms and completed applications should be requested from and submitted to the appropriate EPA Regional Office. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. An environmental impact assessment is required for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and to program evaluation; technical and extramural reviews determine the merit and relevance of the project.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: Approximately 90 days.

Appeals: No appeals procedure. However, revised proposals may be submitted. A standard grant application should be prepared and submitted which will be reviewed in the same manner as a new application and will compete for available funds.

Renewals: None. However, consideration is given to continuing, lengthy and involved projects on a phased basis. The applicant is provided opportunity to apply for subsequent phases.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A minimum of 30 percent cost sharing is administratively required for lake diagnostic feasibility studies (Phase I), with a maximum of \$100,000. A minimum of 50 percent cost sharing is administratively required for implementation projects (Phase II). A minimum of 50 percent cost sharing is administratively required for Lake Water Quality Assessments (30% in hardship cases), with a maximum of \$100,000 per study for two years. For post-restoration monitoring (Phase III), funding assistance up to \$125,000 will be available and will require at least a 30 percent non-federal match.

Length and Time Phasing of Assistance: Approved project period may not exceed three years for Phase I, four years for Phase II, five years for Phase III and five years for Lake Water Quality Assessments.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly interims and final progress, expenditure, equipment and invention reports.

Audits: In accordance with the provisions of OMB Circular No. A-128, that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to report entries on accounting records and to substantiate charges to each grant, must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-7801-0-8-304.

Obligations: FY 94 \$4,973,000; FY 95 est \$3,200,000.

Range and Average of Financial Assistance: 1) Diagnostic-Feasibility Study (Phase I) grants-\$10,500 to \$100,000; av \$63,210; 2) Implementation Project (Phase II) grants: \$51,403 to \$265,400; av \$145,723; 3) LWQA: \$1,000 to \$100,000; over \$53,700; and 4) Phase III \$65,674 to \$125,000; over \$106,200.

PROGRAM ACCOMPLISHMENTS: Funded Phase I projects assist the recipient in determining a lake's current condition and developing possible methods for lake restoration and protection. Funded Phase II projects implement the most feasible restoration/protection alternatives for a particular lake and its watershed. Such activities include watershed pollution controls, BMP's, diversion and flushing of sediment and nutrients, shoreline stabilization, phosphorus precipitation and inactivation, hypolimnetic withdrawal, hypolimnetic aeration, sediment oxidation, sediment removal, and lake drawdown. Phase III Studies are to determine the longevity and effectiveness of various restoration techniques, thereby advancing the science of lake restoration. Through fiscal year 1993, 49 States and 18 Indian Tribes have received Clean Lakes grants totaling approximately \$139 million in Federal funds. Since the program was initially funded in 1976, State and Tribal grants have included 320 Phase I, 238 Phase II, 13 Phase III and 70 Lake Water Quality Assessment grants.

INFORMATION CONTACTS:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional Office listed in .

Headquarters Office: For information concerning grant application procedures, contact Environmental Protection Agency, Grants Administration Division, (3903F), Washington, DC 20460. For program information, contact Frank Lapensee (4503F), Assessment and Watershed Protection Division, Office of Wetlands, Oceans and Watersheds, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-7105.

EXAMPLES OF FUNDED PROJECTS: See PROGRAM ACCOMPLISHMENTS.

CRITERIA FOR SELECTING PROPOSALS: A Clean Lakes project must involve a lake that is publicly owned. Evaluation of project proposals included considerations of: (1) technical feasibility, (2) public benefits, (3) adverse environmental impacts, (4) improvement in fish and wildlife habitat, (5) degree project considers "open space" policies, (6) reasonableness of proposed cost relative to proposed work, (7) State priority ranking, and (8) proposed operation and maintenance program.

CONSTRUCTION MANAGEMENT ASSISTANCE

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act (CWA), Section 205(g), as amended, Public Law 95-217; Water Quality Act of 1987, Public Law 100-4, 33 U.S.C. 1251 et seq.

OBJECTIVES: To assist and serve as an incentive in the process of delegating to the States a maximum amount of authority for conducting day-to-day matters related to the management of the construction grant program. An overriding goal is to eliminate unnecessary duplicate reviews and functions.

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: To defray expenses incident to the States' assumption of full responsibility for the certification of the technical and administrative adequacy of specifically required construction grant documentation. Responsibilities which may be delegated include the certification of documents pertinent to the award of grants and related activities. The Regional Administrator shall retain authority for actual award amendment and termination of grants and payments; and shall make: (a) final determinations under the National Environmental Policy Act of 1969, (b) final civil rights determinations, (c) final procurement protest appeal determinations, (d) final resolution of audit exceptions, and (e) determination that an overriding Federal interest exists in a particular project which requires greater Federal involvement. Restrictions also include required adherence to specifically defined functional areas/activities and output qualities which the State must maintain. In addition, EPA periodically monitors the State's program and the appropriateness and adequacy of its outputs. Section 205(g) of the Clean Water Act, and 40 CFR Part 35, Subpart A, require States to maintain 1977 level of effort unless there has been a general non-selective reduction in the programs of the executive management agencies of the State.

References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State Water Pollution Control Agency, or other agency designated by the Governor in any State, territory and possession of the U.S., including the District of Columbia, and eligible Indian Tribes.

Beneficiary Eligibility: State agencies involved in the administration of the construction grants program.

Credentials/Documentation: Formal agreement accompanying the application: (a) naming the State agency, (b) itemizing the grant functions to be delegated, and (c) outlining

organization, staffing, and EPA overview requirements. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments. Summary:

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Pre-application assistance should be obtained from the appropriate regional office. A delegation agreement must be executed between the State agency and the Regional Administrator before award of the initial grant. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program (EPA form 5700-31, Application for Federal Assistance, Short Form). This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Requests for application forms and completed applications must be submitted to the appropriate EPA regional office. Negotiation of the delegation agreement constitutes a major part of the application procedure. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Grant application and delegation agreement are reviewed by the appropriate EPA regional office and, if approved, grant is awarded by the Regional Administrator.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: Established by annual program guidance.

Appeals: As described under 40 CFR Part 31, Subpart F.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Each fiscal year the Administrator may reserve an amount not to exceed 4 percent of a State's annual allotment based on authorized appropriations or \$400,000, whichever amount is greater, for the purpose of making construction management assistance grants to defray costs of performing the delegated functions.

Length and Time Phasing of Assistance: No time limitation is placed on assistance. Disbursements are made in accordance with prearranged schedules (supported by cost documentation from the recipient agency). Grants should cover at least two full years of operation.

POST ASSISTANCE REQUIREMENTS:

Reports: Periodic reports (timed as specified in the agreement) are required from the recipient agency. Evaluations will be conducted to review 205(g) grant expenditures, progress in meeting benchmarks in the delegation schedule, and the achievement of annually established program goals.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to the grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years after final settlement and if questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0103-0-1-304.

Obligations: Established by annual Agency budget.

Range and Average of Financial Assistance: \$400,000 to \$10,857,440; av \$1,652,071.

PROGRAM ACCOMPLISHMENTS: Fifty States plus Puerto Rico have received grants and have been certified to conduct certain aspects of the grant program.

INFORMATION CONTACTS:

Regional or Local Office: Interested parties may contact the appropriate regional office listed in_.

Headquarters Office: Arnold Speiser, Municipal Support Division, 4204, Office of Wastewater Management, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-7377.

EXAMPLES OF FUNDED PROJECTS: State water pollution control agencies are provided funds to defray expenses incident to the State's assumption of full responsibility for the conduct of certain construction grants requirements. These activities include review and certification functions, related to facilities plans and plans and specifications, inspections, grant applications, construction contracts, financial management systems, technical and administrative adequacy, adequacy of requests for payments, O & M manuals, engineering sub-agreements, change orders, etc. States may also transfer 205(g) funds into a State Revolving Fund pursuant to C W A Section 205 (m). (See SRF Initial Guidance.)

CRITERIA FOR SELECTING PROPOSALS: Grants are given only to States and the State must possess (or be able to gain) the expertise requisite to carrying out the delegated functions. Agreements for such grants will stipulate the State's organizational unit (to carry out the functions), staffing plan, accounting and auditing system, detailed statement on delegated functions, and schedule for assuming additional delegated functions.

66.458
CAPITALIZATION GRANTS FOR STATE REVOLVING FUNDS
(STATE REVOLVING FUND)

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act, Public Law 95-217, as amended; Water Quality Act of 1987, Sections 601 through 607, 205(m), Public Law 100-4.

OBJECTIVES: To create State Revolving Funds (SRFs) through a program of capitalization grants to States which will provide a long term source of State financing for construction of wastewater treatment facilities and implementation of other water quality management activities (see 66.418).

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: Capitalization grants are available to each State for the purpose of establishing a clean water State revolving fund for providing assistance (1) for construction of publicly owned wastewater treatment works, (2) for implementing nonpoint source management activities included in State Plans developed pursuant to Section 319 and (3) for developing and implementing an estuary conservation and management plan under Section 320. The capitalization grant is deposited in the SRF, which is used to provide loans and other types of financial assistance, but not grants, to local communities and intermunicipal and interstate agencies. Assistance may be provided to persons for implementing nonprofit source estuary management activities if provided for in State Plans developed under Sections 319 and 320. Up to four percent of the grant amounts may be used for the cost of administering the SRF.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States, territories and possessions of the U.S., including the District of Columbia may use allotments under Title II as grants for the construction of municipal wastewater facilities. Indian tribes are not eligible to receive capitalization grants.

Beneficiary Eligibility: For loans and other financial assistance (but not grants) for wastewater treatment facilities-local communities, intermunicipal, State, interstate agencies, and Indian tribes. For nonpoint source management programs and estuary activities in approved State Nonpoint Source Management Programs and Comprehensive Conservation and Management Plans - the above public agencies and individuals if specified in the approved plans and programs.

Credentials/Documentation: To receive a capitalization grant, a State shall enter into an agreement with the EPA Regional Administrator which shall include, but not be limited to, the ten specifications set forth in Section 602(b) of the CWA. OMB Circular No. A-87, "Cost Principles Applicable to Grants and Contracts with State and Local Governments" applies to State grantees.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: An applicant (State) should seek preapplication assistance from the appropriate EPA Regional Office. The State is required to prepare and provide for public comment on a plan identifying the intended uses of the funds in the SRF (an intended use plan) and how those uses support the goals of the SRF. The plan is to be submitted no later than the application. An environmental impact statement is not required prior to grant award; however, a State environmental review process must be applied to all subsequent state assistance for wastewater facilities. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs". An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the state has selected the program for review.

Application Procedure: The standard application for EPA nonconstruction grant assistance (EPA Form 5700-33) is submitted to the appropriate Regional Office. The State must certify in its application that it has the legal, managerial, technical and operational capabilities to administer the SRF program competently and that it will comply with Federal antidiscrimination laws and other applicable Federal statutes. Regulation 40 CFR Part 31 applies to the State. Establishment of the SRF is a prerequisite for a grant award.

Award Procedure: A grant application is reviewed by the appropriate Regional Office and, if approved, the grant is awarded by the Regional Administrator under a delegation of authority from the Administrator of EPA.

Deadlines: Applications should be submitted to the appropriate Regional Office no later than July 3 of the year following the year of appropriation to allow sufficient time for review and processing prior to the September 30 reallotment deadline.

Range of Approval/Disapproval Time: Approval time averages 45 days.

Appeals: As described in EPA's Regulation 40 CFR Part 31, Subpart F.

Renewals: For those portions of the State program that do not change from year to year, a subsequent grant application may incorporate by reference relevant portions of the previous year's application which have not changed.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The Regional Administrator may award capitalization grants from appropriations of fiscal year 1987-1990 Title II authorizations and from appropriations of fiscal year 1989 to 1994 Title VI authorizations. The allotment of Title II and Title VI SRF appropriations to the States is determined by a table of decimal fractions ("formula") in Section 205(c)(3) of the CWA. The required State match is 20 percent of the amount of each Federal grant payment.

Length and Time Phasing of Assistance: Funds are available for EPA's obligation to the State during the fiscal year in which they are allotted and during the following year. The State must agree to enter into binding commitments with recipients to provide financial assistance from the SRF in an amount equal to 120 percent of each quarterly grant payment. The State is also required to agree to expend all funds in the SRF in an expeditious and timely manner.

POST ASSISTANCE REQUIREMENTS:

Reports: Beginning the first fiscal year after receiving payments the State shall provide an annual report to the Regional Administrator in accordance with the schedule established in the grant agreement (generally not later than 90 days after the end of the fiscal year in which the payments were received). The annual report shall describe how the State has met the goals and objectives for the preceding fiscal year as identified in its intended use plan, including identification of loan recipients, loan amounts, and loan terms and similar details on other forms of financial assistance provided from the SRF.

Audits: At least once a year EPA will conduct, or require the State to have independently conducted, a financial and compliance audit of the SRF and the operations of the SRF. State-conducted audits may be performed in conjunction with the Single Audit Act of 1984. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: As part of the annual review conducted by the agency to assess the State's performance against activities identified in the intended use plan and annual report, and to determine compliance with the terms of the capitalization grant agreement, the State or loan recipient shall make available to EPA such records as the Regional Administrator reasonably requires to review and determine State compliance with the requirements of the CWA.

FINANCIAL INFORMATION:

Account Identification: 68-0103-0-1-304; 68-0103-7-1-304.

Obligations: (Grants) FY 94 \$1,196,000,000; FY 95 est \$1,235,200,000; and FY 96 est \$1,587,000,000.

Range and Average of Financial Assistance: \$10,000,000 to \$216,000,000; \$30,000,000.

PROGRAM ACCOMPLISHMENTS: As of September 1994, 250 awards were made to 50 States, plus Puerto Rico. The estimate of awards in fiscal year 1995 is 51 (50 States plus Puerto Rico).

REGULATIONS, GUIDELINES, AND LITERATURE: Program guidance issued January 28, 1988. A State Revolving Fund Management Manual was distributed in November, 1988. Regulations include 40 CFR Part 31 and SRF regulations 40 CFR Part 35, Subpart K which were issued in March 1990.

INFORMATION CONTACTS:

Regional or Local Office: EPA Regional Offices listed in Appendix IV of the Catalog.

Headquarters Office: Don Niehus, State Revolving Fund Branch, Municipal Support Division, (4204), Office of Wastewater Management, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-7366.

RELATED PROGRAMS: 66.438, Construction Management Assistance.

EXAMPLES OF FUNDED PROJECTS: State revolving loan fund.

CRITERIA FOR SELECTING PROPOSALS: Grants are awarded to States that satisfy the requirements outlined in the application procedure section.

66.460
NONPOINT SOURCE IMPLEMENTATION GRANTS
(319 Program)

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act (CWA), Title III, Section 319(h).

OBJECTIVES: To assist States in implementing EPA (approved) Section 319 nonpoint source management programs.

TYPES OF ASSISTANCE: Formula Grants.

USES AND USE RESTRICTIONS: Funds are provided to States to carry out nonpoint source projects and programs pursuant to Section 319 of the Clean Water Act as amended by the Water Quality Act of 1987. Under Section 319 (h), funds awarded for implementing States' nonpoint source management programs remain available for obligation by the State for the Federal fiscal year in which they are awarded and for the subsequent fiscal year. Other requirements under Section 319 (h) include: Nonfederal matching funds of at least 40 percent of project costs; maintenance of effort; proceeds of State grants may be used for financial assistance to individual persons in the case of demonstration projects only; limit on administrative costs; annual reporting; and EPA determinations of adequate State progress before additional funding. Funding may be used only to support implementation of EPA (approved) State nonpoint source management programs, as opposed to development of new programs or plans. EPA guidance issued February 15, 1991 and updated for FY 94, and June 24, 1993, identifies base priorities for funding. Additional priorities are contained in annual guidance issued by EPA Headquarters and by EPA Regional Offices. References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States, the District of Columbia, American Samoa, Guam, Northern Marianas, Puerto Rico, Pacific Trust Territories, Virgin Islands, and Indian Tribes. Grants are awarded to a single agency in each State designated by the governor as the lead nonpoint source agency. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program which is approved by EPA.

Beneficiary Eligibility: State and local governments, interstate and intrastate agencies, public and private nonprofit organizations and institutions. The lead nonpoint source agency may distribute grant funds to other organizations in accordance with its work program which is approved by EPA.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Pre-application coordination with the appropriate EPA Regional Office is recommended. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Grant application must be submitted to the appropriate EPA Regional Office (refer to Appendix IV of the Catalog for list of Regional Offices).

Award Procedure: Grant application must be submitted to the appropriate EPA Regional Office to determine the overall adequacy of the application to meet the statutory objectives.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: EPA generally awards grants within 60 days after the application is submitted.

Appeals: As described in 40 CFR part 31, Subpart F

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Nonfederal match of at least 40 percent of project or program costs is required. The State must also meet maintenance of effort requirements contained in statute.

Length and Time Phasing of Assistance: As determined in accordance with Section 319 (h).

POST ASSISTANCE REQUIREMENTS:

Reports: Annual expenditure reports are requested. Progress reports are generally required at least semi-annually.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments."

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel

authorized to examine EPA grant accounts. All records must be kept for three years from date of submission or the annual financial status report. If questions remain, records must be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0103-0-1-304; 68-0103-7-1-304.

Obligations: (Grants) Section 319 (h): FY 94 \$76,810,900; FY 95 est \$100,000,000; and FY 96 est \$100,000,000.

Range and Average of Financial Assistance: Not yet determined.

PROGRAM ACCOMPLISHMENTS: Grants have been awarded annually to all States. Grant funds were used by States to implement portions of their NPS management programs.

INFORMATION CONTACTS:

Regional or Local Office: Contact the appropriate EPA Regional Office listed in

Headquarters Office: Dov Weitman, Chief, Nonpoint Source Control Branch, Assessment and Watershed Protection Division, Office of Wetlands, Ocean and watersheds, (WH-553), EPA, 401 M Street, S.W., Washington, DC 20460. Telephone: (202) 260-7100

EXAMPLES OF FUNDED PROJECTS: Nonpoint Source implementation projects funded include best management practices (BMP) installation for poultry wastes; design and implementation of BMP systems for stream, lake, and estuary watersheds; and basin-wide landowner education programs.

CRITERIA FOR SELECTING PROPOSALS: Funds are awarded to States in accordance with Section 319 (h).

WETLANDS PROTECTION STATE/TRIBAL DEVELOPMENT GRANTS

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act (CWA), Public Law 92-500, as amended, Section 104(b)(3), 33 U.S.C. 1254(b)(3).

OBJECTIVES: To assist States and Indian tribes in developing new or enhancing existing wetlands protection programs.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: These grants are intended to encourage State wetlands protection program development or to enhance/augment existing effective State programs. The projects that will be funded under this program should support the initial development of a State's wetlands protection program or support enhancement/refinement of a State's existing program. It is anticipated that funding will fall into two broad categories of projects: (1) encouragement of developing new programs or (2) augmentation of ongoing, effective State wetlands programs. Grant funds cannot be used for operational support of State wetlands protection programs. Projects must clearly demonstrate a direct link to increasing a State's ability to protect its wetlands resources. References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State agencies (wetlands protection, water quality (401 certification agency), and/or any State agency with wetlands related programs), Federally recognized Indian Tribes, and interstate/inter-tribal agencies are eligible to receive funding.

Beneficiary Eligibility: State and Tribal agencies involved in administering wetlands protection programs or programs related to or complementary with wetlands protection programs.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Pre-application coordination with appropriate regional office is encouraged. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 1237 "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his

or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Complete application forms and forward to the appropriate program contact.

Award Procedure: Grant applications are reviewed by the appropriate Regional Office and, if approved, grant is awarded by the Regional Administrator. The Wetlands Division will review regional decisions.

Deadlines: Established by annual guidance.

Range of Approval/Disapproval Time: Grants are usually approved within 4 months of receipt of complete application.

Appeals: As described in 40 CFR Part 31, Subpart F.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Total grant project is the Federal share and the required minimum State match. In this case, the required minimum State match is 20 percent of the total project costs. This does not preclude the State from putting additional funds into the project.

Length and Time Phasing of Assistance: The terms of the grant shall be determined at time of grant award.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly interim and final progress and expenditure reports program evaluations and other reports as required by the specific terms of the Agreement.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$4,149,000 FY 95 est \$15,000,000 and FY 96 est \$15,000,000.

Range and Average of Financial Assistance: In fiscal year 1994, EPA awarded 100 grants to 45 States, 18 Indian Tribes, and three territories. Grant awards ranged from \$16,000 to \$316,000.

PROGRAM ACCOMPLISHMENTS: Funding in fiscal year 1994 focused on development of State Wetland Conservation Plan, Section 404 Assumptions Assistance and Wetland Protection Approach Demonstration Projects.

INFORMATION CONTACTS:

Regional or Local Office: Doug Thompson, Water Management Division, EPA, Region I, Boston, MA 02203. Telephone: (617) 565-4422. Dan Montello, Water Management Division, EPA, Region II, New York, NY 10278. Telephone: (212) 264-5170. Barbara D'Angelo, Environmental Services Division, EPA, Region III, Philadelphia, PA 19107. Telephone: (215) 597-9301. Eric Hughes, Water Management Division, EPA, Region IV, Atlanta, GA 30365. Telephone: (404) 347-3633. Sue Elston, Water Management Division, EPA, Region V, Chicago, IL 60604. Telephone: (312) 886-6115. Beverly Ethridge, Environmental Services Division, EPA, Region VI, Dallas, TX 75202. Telephone: (214) 655-2263. Diane Hershberger, Environmental Review Branch, EPA, Region VII, Kansas City, KS 66101. Telephone: (913) 551-7573. Gene Reetz, Water Management Division, EPA, Region VIII, Denver, CO 80202. Telephone: (303) 293-1568. Phil Oshida, Water Management Division, EPA, Region IX, San Francisco, CA 94105. Telephone: (415) 974-1971. Bill Riley, Water Management Division, EPA, Region X, Seattle, WA 98101. Telephone: (206) 422-1412.

Headquarters Office: Lori Williams, Wetlands Division, Office of Wetlands, Oceans and Watersheds (4502F), EPA, 401 M Street, SW., Washington, DC 20460. Telephone: (202) 260-5084.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: Each application will be reviewed by EPA according to the following criteria: (1) Clarity of Proposal Work Plan (2) Success of previous projects (3) Likelihood of Success (4) Transferability to other States (5) Potential environmental results and (6) Investment/Commitment of State.

**NATIONAL POLLUTANT DISCHARGE ELIMINATION SYSTEM
RELATED STATE PROGRAM GRANTS**

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Water Act, Public Law 92-500, as amended, Section 104(b) (3), 33 U.S.C. 1254(b)(3).

OBJECTIVES: To assist States and Indian Tribes in implementing new requirements relating to the National Pollutant Discharge Elimination System (NPDES) program, such as developing and implementing control strategies for combined sewer overflow and storm water discharge problems, pre-treatment and sludge program activities and municipal water pollution prevention.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Assistance will be targeted to States that commit to specific activities. Eligible activities must be of a relatively short time frame (one to two years) with tangible results. All projects should achieve and demonstrate results. Grants are not to be awarded to fund ongoing State program activities. Typical projects will include development of specialized model general permits for storm water; development of State CSO control programs for targeted watersheds; development of approvable programs to implement the sewage sludge regulations; and development of pre-treatment measures to minimize pollutants from CSOs. There will be \$500,000 of fiscal year 1994 funds used by States for implementing Municipal Pollution Prevention Program (MWPP) pilot programs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State water pollution control agencies, interstate agencies, other public agencies and nonprofit institutions are eligible applicants.

Beneficiary Eligibility: State Water Pollution Control Agencies, interstate agencies and other public agencies involved in NPDES related program implementation.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication coordination with appropriate Regional Office is required. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is

eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance if the State has selected the program for review.

Application Procedure: Application forms and completed applications should be requested from and submitted to the appropriate EPA Regional Office identified in Appendix IV of the Catalog.

Award Procedure: Projects will be developed by potential grantees; reviewed, approved, awarded and managed by Regions. States should contact the appropriate Regional office for proposal and application institutions.

Deadlines: States should work with the appropriate Regional office to develop a preliminary package or proposals and submit these to the Regions. Deadline established by Region.

Range of Approval/Disapproval Time: Regional review will be done within approximately 60 days following submittals.

Appeals: None.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Not applicable.

Length of Time Phasing of Assistance: The terms of the grant shall be determined at time of grant award, within the framework of a one to two year time span.

POST ASSISTANCE REQUIREMENTS:

Reports: Semi-annual reports on progress to the Regions and an annual report to Headquarters. A schedule showing interim milestones and the outputs that will be completed by the end of the project period will also be included, as will any specific requirements included in the grant agreement.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or

more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0103-0-1-304.

Obligations: (Grants) FY 94 \$21,968,300; FY 95 \$22,500,000; and FY 96 est \$22,500,000.

Range and Average of Financial Assistance: \$25,000 to \$500,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1994, a study of the extent and control of floatables and development of model construction and general permits was completed.

REGULATIONS, GUIDELINES, AND LITERATURE: All procedures and requirements should be in conformance with 40 CFR 31 "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments" and OMB Circular Nos. A-87 "Cost Principles for State and Local Governments" and A-102, "Grants and Cooperative Agreements for States and Local Governments".

INFORMATION CONTACTS:

Regional or Local Office: Contact the appropriate EPA Regional Office listed in Appendix IV of the Catalog for information on the grants administration process. For information on programmatic or technical details contact the following Regional water program staff: EPA Region I (Maine, Vermont, Connecticut, New Hampshire, Massachusetts and Rhode Island): William Nuzzo, Water Management Division, John F. Kennedy Federal Building, Room 2203, Boston, MA 02203, (617) 565-3480; EPA Region II (New York, New Jersey, Puerto Rico, Virgin Islands): Hank Mazzuca, Water Management Division, Jacob K. Javitz Federal Building, 26 Federal Plaza, New York, NY, 10278, (212) 264-0376; EPA Region III (Pennsylvania, Delaware, Maryland, Virginia, West Virginia, District of Columbia): Ken Cox, Water Management Division, 841 Chestnut Building, Philadelphia, PA, 19107, (215) 597-8211; Region IV (North Carolina, South Carolina, Tennessee, Kentucky, Georgia, Alabama, Mississippi and Florida): Grace Deatrick, Water Management

Division, 345 Courtland Street, N.E., Atlanta, GA, 30365, (404) 347-4450; EPA Region V (Illinois, Wisconsin, Michigan, Ohio, Indiana): Peter Swenson, Water Management Division, 230 South Dearborn Street, Chicago, IL, 60604, (312) 886-0236; EPA Region VI (Texas, Arkansas, New Mexico, Oklahoma, Louisiana): Gene Wossum, Water Management Division, First Interstate Bank Tower at Fountain Place, 1445 Ross Avenue, 12th Floor Suite 1200, Dallas, TX, 75202-2733, (214) 655-7170; EPA Region VII (Missouri, Kansas, Nebraska, Iowa): Ted Gezzsert, Water Management Division, 726 Minnesota Avenue, Kansas City, KS, 66101, (913) 551-7444; EPA Region VIII (Colorado, North Dakota, South Dakota, Utah, Wyoming, Montana): Janet LaCombe, Water Management Division, 999 18th Street, Denver, CO 80202, (303) 293-1593; Region IX (California, Arizona, Hawaii, Nevada, Trust Territories): Mark Flachsbart, Water Management Division, 75 Hawthorne Street, San Francisco, CA, 94015, (415) 744-1906; EPA Region X (Washington, Oregon, Alaska, Idaho): Jeanne Brown, Water Management Division, 1200 Sixth Avenue, Seattle, WA, 98101, (206) 553-1058. Headquarters Office: Lois Canada, Office of Wastewater Management (WH-546), EPA, 401 M Street, SW., Washington DC, 20460. Telephone: (202) 260-5807.

RELATED PROGRAMS: 66.419, Water Pollution Control_State and Interstate Program Support; 66.454, Water Quality Management Planning.

EXAMPLES OF FUNDED PROJECTS: Characterization of Wet Weather discharges from tributaries; WWTFs; and CSOs; Stormwater/CSO modelling; establishing administrative program to process and approve stormwater permits; developing model State sludge program; integration of watershed approach into NPDES program.

CRITERIA FOR SELECTING PROPOSALS: Projects will be selected based upon the adherence to the national guidance, Regional/State priority; and National transferability.

WASTEWATER OPERATOR TRAINING GRANT PROGRAM

FEDERAL AGENCY: OFFICE OF WATER, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Federal Water Pollution Control Act as amended, Section 104(g)(1) 33 U.S.C. 1251 et seq.

OBJECTIVES: By financing pilot programs, to substantially enhance the proficiency of personnel engaged in the operations and maintenance of treatment works and related activities.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Assistance supports the allowable direct cost expenditures incidental to program performance and allocable portions of indirect costs in accordance with applicable OMB Circular.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State agencies or the designated organizations of the States, the District of Columbia, Puerto Rico, Virgin Islands, Guam, Northern Marianas, American Samoa, the Trust Territories, and Native American Indian Tribes. The Indian Health Service has provided technical assistance to the Native American Indian Tribes. Additionally, a "not for profit institution" may also apply for funding if acting as a designated organization of the State.

Beneficiary Eligibility: An activity which contributes to O&M improvements at publicly-owned treatment works, including pollution prevention activities, may be funded. Legislative history supports using appropriations for on-site O&M technical assistance to the small sized publicly owned treatment work (POTWs) of less than 5 million gallons per day (MGD) of wastewater flow, since many of these POTWs are exhibiting performance problems and do not have readily available consultant's technical assistance like those of the larger POTWs.

Credentials/Documentation: Costs will be determined in accordance with applicable OMB Circular No. A-87 Cost Principles for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Discussion with the applicable Regional Headquarters' wastewater management program offices is advised. The standard EPA application assistance forms must be used for this program.

Application Procedure: This program is subject to the provisions of OMB Circular No. A-102 Uniform Administration Requirements for Grant- in-Aid to State and Local Government. Request for application forms and completed applications must be submitted to the applicable Regional or Headquarters Grants Administration office. Applications must meet the requirements of the grant regulations and must be reviewed and approved by the appropriate Regional or Headquarters' waste management program office prior to approval by the Grants office. The review must include an administrative and technical evaluation to determine adequacy, merit, and relevance of the proposal.

Award Procedure: If the pilot program proposed for funding is not a training program or a research and development program then the application is not subject to an "Intergovernmental Review of Federal Programs."

Deadlines: Historically, annual fiscal year funds have been appropriated by Congress, and are generally available in January to the Headquarters wastewater management program office so that the program office can fund applicants before the end of the fiscal year. Applicants should ensure that its application and reviews meet this funding deadline.

Range of Approval/Disapproval Time: Generally, 90 days after the grant application is received by EPA.

Appeals: Procedures for appeals are outlined in General Grant Regulations, 40 CFR - Part 31 Subpart F.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Generally 25 percent in kind service/goods or matching funds is required however, a 5 percent matching fund may be appropriate in special cases.

Length and Time Phasing of Assistance: Grants may be awarded for a project period not to exceed three years.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly progress and final reports, annual expenditure reports, and other reports as specified by the Assistance Agreement must be provided to the appropriate Regional or Headquarters wastewater management program office.

Audits: Assistance Agreements are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative.

Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements in accordance with OMB Circular A-128 Audits for State and Local Governments, or in accordance with the applicable Federal laws and regulations governing the assistance agreement.

Records: Financial records and records which substantiate the reasonable and allocable charges must be available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status reports. If questions arise, related records must be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0103-0-1-304.

Obligations: (Grants) FY 94 \$2,000,000 FY 95 est \$2,000,000 and FY 96 est \$1,630,000.

Range and Average of Financial Assistance: A State receives approximately \$35,000 to provide funds to on-site technical assistance providers.

PROGRAM ACCOMPLISHMENTS: Congress has appropriated over \$28,000,000 under the authority of Section 104(g)(1) between fiscal years 1982 and 1994. The program's "Ten Year Report", identified that as of September 1992, 80 percent of the 4,000 POTWS had achieved significant improvements in the operations and maintenance. There are over 15,000 publicly owned treatment works in the nation.

INFORMATION CONTACTS:

Regional or Local Office: Charles Conway, EPA, Region I (WCM), One Congress Street, Boston, MA 02203. Telephone: (617) 565-3517. John Mello, EPA, Region II (2WM), 26 Federal Plaza, New York, NY 10278. Telephone: (212) 264-8349. Jim Kern, EPA, Region III (3WM23), 841 Chestnut Building, Philadelphia, PA 19107. Telephone: (215) 597-3423. Jim Adcock, EPA, Region IV (4WMD-MF), 345 Courtland Street, N.E., Atlanta, GA 30365. Telephone: (404) 347-6543. Russell Martin, EPA, Region V (5WCT-15J), 77 W. Jackson Boulevard, Chicago, IL 60604. Telephone: (312) 886-0268. Tom Reich, EPA, Region VI (6W-MT), 1445 Ross Avenue, Dallas, TX 75202. Telephone: (214) 655-7169. Dan Rodriguez, EPA, Region VII (WMMF), 726 Minnesota Avenue, Kansas, KS 66101. Telephone: (913) 551-7433. Pauline Afshar, EPA, Region VIII (8WM-MF), 999 18th Street, Denver, CO 80202. Telephone: (303) 293-1169. Angela Ivey, EPA, Region IX (W-2), 75 Hawthorne Street, San Francisco, CA 94105. Telephone: (415) 744-1938. Clarence Ortman, EPA, Region X (WD-125), 1200 6th Street, Seattle, WA 98101. Telephone: (206) 553-2887.

Headquarters Office: Richard Barber, Office of Wastewater Management, Municipal Support Division (4204), 401 M St SW, Washington, DC 204610. Telephone: (202) 260-5823.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: The "Ten Year Report", established that as of September 1992, 4,608 plants had been assisted and 80 percent had achieved significant improvements in the operations and maintenance. The \$5,000 Federal expenses per O&M improvements was considered to be more cost-effective than litigating to bring a plant into compliance.

CRITERIA FOR SELECTING PROPOSALS: On-site wastewater O&M technical applications managed by the Regions are evaluated based on the Headquarters wastewater program office's annual guidance. Other pilot program proposals will be considered by the Regional and Headquarters wastewater program office base on the merits of the proposal. Project objectives and requirements for applicants for other pilot project funding will be negotiated on a case-by-case basis.

66.500
**ENVIRONMENTAL PROTECTION-
CONSOLIDATED RESEARCH**

**FEDERAL AGENCY: OFFICE OF RESEARCH AND DEVELOPMENT,
ENVIRONMENTAL PROTECTION AGENCY**

AUTHORIZATION: Clean Air Act of 1963, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; Clean Water Act, as amended Public Law 95-217, 33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, Section 8001, as amended; Resource Conservation and Recovery Act of 1976, as amended, Public Law 94-580, 42 U.S.C. 6901 et seq.; Safe Drinking Water Act of 1974, as amended, Public Law 93-523; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, as amended; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.; Toxic Substances Control Act, as amended, Public Law 94-469, 15 U.S.C. 2601 et seq.

OBJECTIVES: To support research to determine the environmental effects and therefore the control requirements associated with Air Quality, Acid Deposition, Drinking Water, Water Quality, Hazardous Waste, Toxic Substances and Pesticides to identify, develop and demonstrate necessary pollution control techniques, and to evaluate the economic and social consequences of alternative strategies for pollution control of energy systems. To support research to explore and develop strategies and mechanisms for those in the economic, social, governmental and environmental systems to use in environmental management.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Available for allowable direct cost expenditures incident to research performance plus allocable portions of allowable indirect costs of the institutions, in accordance with the established policies of EPA.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: This program is available to each State, territory and possession of the U.S., including the District of Columbia, for public and private State universities and colleges, hospitals, laboratories, State and local government departments other public or private nonprofit institutions and individuals who have demonstrated unusually high scientific ability.

Beneficiary Eligibility: State and local governments, U.S. territories and possessions, universities and colleges, hospitals, individuals.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Discussion with the Office of Research and Development laboratories is advisable for research cooperative agreement application. No preapplication assistance is available for research grant applications. An environmental impact assessment is required for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Request for application forms and completed applications must be submitted to the Environmental Protection Agency, Grants Administration Division, PM 216, Washington, DC 20460.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and to program evaluation; technical and extramural review determines the merit and relevance of the project.

Deadlines: None.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: Contact your local EPA office.

Renewals: None. Standard grant application should be prepared and submitted which will be reviewed in the same manner as a new application and will compete for available funds.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A minimum of 5 percent cost sharing is required.

Length and Time Phasing of Assistance: Grants normally funded on a 12-month basis (yearly). Total approved project period may not exceed five years.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly interims and final progress, expenditure, equipment and invention reports.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Federal audits will be made to ensure that funds have been applied efficiently, economically, and effectively. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-010741-304.

Obligations: (Grants and Cooperative Agreements) FY 92 \$70,843,875; FY 93 est \$79,505,394; and FY 94 est \$72,075,494.

Range and Average of Financial Assistance: FY 92) \$ 15,000 to \$2,000,000; \$227,334. (FY 93 est) \$44,977 to \$500,000; \$158,821. (FY 94 est) \$227,334.

INFORMATION CONTACTS:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional Office.

Headquarters Office: For information on grant applications and procedures, contact Environmental Protection Agency, Grants Administration Division, PM 216, Washington, DC 20460. For program information, contact Director, Research Grants Staff, RD675, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-7473.

EXAMPLES OF FUNDED PROJECTS: None.

CRITERIA FOR SELECTING PROPOSALS: A proposal is judged for (A) scientific merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and for (B) program interest in terms of: (1) the need for the proposed research; and (2) relationship to objective(s) in an approved work plan.

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ENVIRONMENTAL PROTECTION
CONSOLIDATED GRANTS-PROGRAM SUPPORT
(Consolidated Program Support Grants)

FEDERAL AGENCY: OFFICE OF ADMINISTRATION, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Clean Air Act of 1963, as amended, Public Law 95-95, 42 U.S.C. 7401 et seq.; Clean Water Act, as amended, Public Law 95-217, Public Law 97-117; Water Quality Act of 1987, Public Law 100-4, 33 U.S.C. 1251 et seq.; Solid Waste Disposal Act, as amended; Resource Conservation and Recovery Act of 1976, as amended, Public Law 94-580, 42 U.S.C. 6901 et seq.; Federal Insecticide, Fungicide, and Rodenticide Act, Public Law 92-516, as amended; Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.; Safe Drinking Water Act Amendments of 1986, Public Law 99-339, 42 U.S.C. 300(f) et seq.; Safe Drinking Water Act of 1974, as amended, Public Law 93-523.

OBJECTIVES: The consolidated program support grant is an alternative assistance delivery mechanism which allows a State or local agency responsible for continuing pollution control programs to develop an integrated approach to pollution control. An agency eligible for assistance for two or more pollution control programs may consolidate its assistance requests into a single application and receive a single consolidated award in an effort to reduce administrative and application costs. The consolidated grants program does not represent funding in addition to grants provided under individual authorities, but merely represents the amount of consolidation taking place within the Agency's total grant appropriations.

TYPES OF ASSISTANCE: Formula Grants.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any State or local agency eligible to receive and administer funds for more than one pollution control program.

Beneficiary Eligibility: State and local agencies.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication communications should be directed to the appropriate EPA Regional Administrator. Deviations from procedures and requirements of EPA assistance regulations which impede the development and administration of a consolidated grant may be approved by the Director, Grants Administration Division in EPA Headquarters. The standard application forms as furnished by the Federal agency. An applicant should consult the office or official designated as the single point of contact in his

or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: The application should be submitted to the appropriate EPA Regional Office.

Award Procedure: States will be notified of Federal assistance awards through the Federal Assistance Awards Data System (FAADS).

Deadlines: Sixty days before beginning of proposed budget period.

Range of Approval/Disapproval Time: Up to 90 days.

Appeals: Contact your regional/local EPA office.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Length and Time Phasing of Assistance: Assistance is usually awarded for a one-year period.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress reporting by the State or local agency and performance monitoring by EPA will be the minimum necessary for sound program management. The minimum may vary from agency to agency depending upon the specific agency's demonstrated management abilities. There should be at least one progress report midway through the budget period. This may be either written or unwritten at the discretion of the Regional Office. A financial status report is required within 90 days of the end of the budget period.

Audits: State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Federal laws and regulations governing the programs in which they participate.

Records: All records including documents to support entries on accounting records and to substantiate charges to each grant must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If any litigation, claim or audit is started before the end of the three-year period, the records must be retained until the litigations, claims or audit findings have been resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-01-304.

Obligations: (Grants) FY 92 \$45,471,000; FY 93 est \$46,500,000; and FY 94 est \$44,400,000. (Note that these amounts do not represent additional appropriations, but levels of consolidated effort within Agency's total grant appropriation.)

Range and Average of Financial Assistance: FY 92 range of assistance: \$39,000 to \$5,450,000. Average assistance: \$927,979.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office.

Headquarters Office: Richard Mitchell, Grants Administration Division, PM 216F, Environmental Protection Agency, Washington, DC 20460. Telephone: (202) 260-6077 and headquarters offices listed for applicable programs.

EXAMPLES OF FUNDED PROJECTS: Projects have been funded which combined as few as two of the eligible pollution control programs and as many as four.

CRITERIA FOR SELECTING PROPOSALS: Applications are approved if funds are available.

ENVIRONMENTAL JUSTICE GRANTS TO SMALL COMMUNITY GROUPS

OBJECTIVES: To provide financial assistance to grass-roots community- based groups to support projects to design, demonstrate or disseminate practices, methods or techniques related to environmental justice. Specifically, EPA will grant funding assistance to be used for: 1. environmental justice education and awareness programs; 2. environmental Justice Programs (for example reforestation and pollution prevention programs); 3. technical assistance in gathering and interpreting existing environmental justice data, and; 4. technical assistance to access available public information.

USES AND USE RESTRICTIONS: Grant funds shall be used to establish an education and training program which shall include, at a minimum: 1. design, demonstration, or dissemination of environmental justice curricula, including development of education tools and materials; 2. design and demonstration of field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and pollution problems; 3. projects to understand, assess or improve a specific local environmental issue or a specific environmental problem; 4. provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region. Priority will be given to those projects which will develop: 1. an environmental education practice, method, or technique which may have wide application; 2. an environmental education practice, method, or technique which addresses skill or scientific field in environmental Justice areas; an environmental education practice, method, or technique which addresses an environmental issue. No funds made available for this program shall be used for the acquisition of real property (including building) or the construction or substantial modification of any building. Grant funds also are not available for use in underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Community-based grass root organization, other incorporatable nonprofit organizations and Tribal Governments are eligible. Individuals may have their organizations, institutions, government or association apply. Organizations must be incorporated to receive funds.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Applications describing the planned program, the means for executing the program, and preliminary budget information must be submitted to the EPA Regional Environmental Justice Offices.

Application Procedure: Potential applicants are to submit a preproposal to their

respective Environmental Justice Office postmark by February 4, 1995.

Award Procedure: Applications must be submitted to the respective Regional Environmental Justice Offices. This program is subject to the provisions of OMB Circular No. A-110. An entity must comply with the State's intergovernmental review process. See 40 CFR Part 29.

Deadlines: For fiscal year 1995, the pre-application must be postmarked by February 4, 1995. Applicants selected for award will be notified and requested to furnish additional information, if needed. EPA plans to award grants by July 1, 1995.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

POST ASSISTANCE REQUIREMENTS:

Reports: Recipients of grants are expected to submit final reports for EPA approval prior to receipt of the balance of grant funds.

FINANCIAL INFORMATION:

Obligations: FY 93 \$0; FY 94 est \$507,000; and FY 95 est ,1,000,000. Individual grants awarded under this program are not to exceed \$10,000.

PROGRAM ACCOMPLISHMENTS: Fiscal year 1994 was the first year of the program. The deadline for pre-applications was February 4, 1994. Seventy-one grants were awarded with over \$507,000 provided to community based nonprofit organizations and Tribal governments.

CONSOLIDATED PESTICIDE COMPLIANCE MONITORING PROGRAM

FEDERAL AGENCY: OFFICE OF ENFORCEMENT AND COMPLIANCE
ASSURANCE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), Section 23, as amended, Public Law 92-516, as amended Public Laws 94-140 and 95-396, 7 U.S.C. 136 et seq.

OBJECTIVES: To (a) assist States, territories and possessions of the U.S. including the District of Columbia, and Indian Tribes in developing and maintaining comprehensive pesticide programs that address all aspects of pesticide enforcement, and special pesticide initiatives (b) sponsor cooperative surveillance, monitoring and analytical procedures (c) encourage regulatory activities within the States.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Available for costs specifically incurred in purchasing inspection supplies and equipment reimbursing State travel and per diem expenses associated with the performance of grant outputs purchasing essential laboratory equipment and supplies paying salaries for personnel performing inspections, analytical and/or managerial functions related to grant activities, and for administrative costs associated with the performance of grant outputs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State agencies having pesticide compliance program responsibilities in each State, territory and possession of the U.S., including the District of Columbia, and Indian Tribes.

Beneficiary Eligibility: States, Federally Recognized Indian Tribal Governments, U.S. Territories and the District of Columbia.

Credentials/Documentation: The application must supply evidence of legal authority to conduct pesticide compliance activities contemplated under the grant and a workable program officially adopted for the agency. Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Discussions or informal meetings with Regional program office concerning program preparation are advisable. Prior to approval of any grants, the official State Pesticides Regulatory Agency must coordinate local pesticide

enforcement efforts. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Requests for application form and completed applications should be submitted to the appropriate EPA Regional Office (see Appendix IV of the Catalog). The enforcement program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Each application shall be subjected to administrative coordination to determine adequacy in relation to grant regulations, and to technical and program evaluation to determine merit and relevancy of the project. States will be notified of Federal Assistance Awards through the Federal Assistance Awards Data System (FAADS).

Deadlines: Applications due sixty days prior to the beginning of the budget period, normally August 1.

Range of Approval/Disapproval Time: 60 days.

Appeals: As described in 40 CFR Part 31, Subpart F.

Renewals: Applicants must reapply.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Annual guidance requires a minimum of 15 percent cost sharing.

Length and Time Phasing of Assistance: Projects are normally funded for a 12-month period. Payments will be on an advance letter of credit or reimbursement basis recipient must request the initial advance payment on SF 270, Request for Advance or Reimbursement.

POST ASSISTANCE REQUIREMENTS:

Reports: As requested in the Annual Program Guidance for inclusion in the grant award quarterly with mid-year and year-end evaluations.

Audits: Cooperative Agreements are subject to inspection and audit by representatives of the Comptroller General of the U.S. EPA or any authorized representative. Periodic audits should be made as part of the recipient's system of financial management and

internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records including all documents to support entries on accounting records and to substantiate charges to each cooperative agreement must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of the submission of the annual financial status report or longer if questions still remain, such as those raised as a result of audit.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) Enforcement: FY 94 \$16,124,600 FY 95 est \$16,135,800 and FY 96 est \$16,133,600.

Range and Average of Financial Assistance: States \$22,300 (territory) to \$628,200 av \$214,172.

PROGRAM ACCOMPLISHMENTS: Since August 1994, 69 Pesticide Enforcement grants have been awarded. Agencies participating in the Federal cooperative agreement program receive funds to support and strengthen pesticide compliance monitoring, inspection, and enforcement activities. Typical program activities for enforcement grants, which are generally common to all cooperative agreements, include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls, and warning notices. The States will also develop implementation plans for special pesticide initiatives. States will be responsible for dissemination of information and materials related to these pesticide initiatives.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office listed in .

Headquarters Office: John Neylan, Enforcement Planning Targeting, and Data
Division Office of Enforcement and Compliance Assurance (2222A), EPA, Washington, DC
20460. Telephone: (202) 564-2385.

EXAMPLES OF FUNDED PROJECTS: State agencies participating in the Federal/State cooperative agreement program receive funds to support and strengthen their pesticide compliance programs, including pesticide compliance monitoring, inspection and enforcement activities as well as special pesticide initiatives activities. Typical program activities, which are generally common to all cooperative agreements, include inspections and observations at pesticide application sites to ensure user compliance with label directions and acceptable use practices, inspections of pesticide products at producing facilities and in the retail marketplace to ensure industry compliance with registration, classification, and labeling requirements, and initiation of appropriate enforcement action when violations are detected including civil and criminal prosecution, stop sale orders, seizures, recalls and warning notices. The special pesticide initiatives are new projects, which include the development of State management plans for the protection of groundwater and endangered species from pesticides and development of worker protection programs.

CRITERIA FOR SELECTING PROPOSALS: Each application will be reviewed by the Environmental Protection Agency according to the following criteria: 1) Need: The need for the development, improvement and/or maintenance of a comprehensive pesticides enforcement program within the State that includes enforcement, and new initiative programs and that addresses existing environmental problems, potential problems, and/or existing exposed populations related to the use of pesticides. 2) State Pesticide Activity: The relative amount of pesticide production, formulation and use in a State, and the potential risk to human health and the environment from pesticide misuse or abuse. 3) Long Term Impact: The potential of the cooperative agreement to have a long-term beneficial impact on human health and the environment resulting from the comprehensive pesticide program. 4) Effectiveness of program: The past level and effectiveness of the State pesticide program. 5) Level of activity as indicated by such factors as numbers of farms and numbers of applicators. 6) Magnitude of effort needed to accomplish expected outputs and products and 7) Ability to accomplish output goals.

POLLUTION PREVENTION GRANTS PROGRAM

FEDERAL AGENCY: OFFICE OF PREVENTION, PESTICIDES AND TOXIC SUBSTANCES, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Pollution Prevention Act of 1990, Section 6605.

OBJECTIVES: To support State and local level pollution prevention programs that address the reduction of pollutants across all environmental media: air, land, and water.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: The Pollution Prevention Grant Program has several discrete grant programs administered by the Office of Prevention, Pesticides and Toxic Substances in the EPA. These programs support the establishment and expansion of State pollution prevention programs and address various sectors of concern such as industrial toxics agriculture, energy, transportation, etc. Funds awarded under this grant/cooperative agreement should be awarded to support innovative pollution prevention programs that address the transfer of potentially harmful pollutants across all media - air, land, and water. Such innovative programs should reflect comprehensive and coordinated pollution prevention planning and implementation efforts. State agencies seeking funding under this grant program should focus on, for example: Demonstrating the impact of institutionalizing multimedia pollution prevention as an environmental management priority, establishing prevention goals, and developing strategies to meet those goals Initiating demonstration projects that support and test innovative pollution prevention applications and integrate the pollution prevention ethic within both governmental and non-governmental institutions of the State or region Other multimedia prevention activities, including but not limited to: Providing direct technical assistance to businesses for whom lack of information is an impediment to source reduction and providing training in source reduction techniques collecting and analyzing data to target outreach and technical assistance opportunities and identifying regulatory and non- regulatory barriers and incentives pollution prevention. Pollution Prevention Incentives for States (PPIS): PPIS is intended to build and support State pollution prevention approaches and methodologies. The grant program is focused on institutionalizing multimedia pollution prevention as an environmental management priority, establishing prevention goals, providing direct technical assistance to businesses, conducting outreach, and collecting and analyzing data. References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Congressional appropriations language and the Pollution Prevention Act of 1990 indicate that these funds should be used to support "State" pollution prevention efforts. "State" includes the 50 States, the District of Columbia, the U.S. Virgin Islands, and the Commonwealth of Puerto Rico, any territory or possession of the United

States, any agency or instrumentality of a State including State universities and all Federally Recognized Indian Tribes. Local governments or other political subdivisions of the State are not instrumentalities of the State and are therefore not eligible to apply directly for funding. Private universities, private nonprofit organizations, and other private organizations are also not eligible to apply directly for funding. However organizations excluded from applying directly are encouraged to work with eligible State or interstate agencies in developing pollution prevention proposals and be included as participants in the project. EPA strongly encourages this type of cooperative arrangement.

Beneficiary Eligibility: Any State, interstate, and local agency/organization/university, Federally-Recognized Indian Tribes, public nonprofit organization/institution, profit organization, private organization, and quasi-public nonprofit organization.

Credentials/Documentation: In cases where applicants are not clearly, by given name, an instrumentality of the State, the applicant must provide proof that the applicant is indeed a State or interstate Agency/Organization.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: The Standard Form 424, 424A, and other forms as provided by the Environmental Protection Agency must be used for this program. Applicants are subject to the State's review. This program is eligible for coverage under E.O. 12372 "Intergovernmental Review of Federal Programs" (and the review requirements of Section 204 of the Demonstration Cities and Metropolitan Development Act). An applicant should consult the Office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. The single point of contact must notify in writing, within thirty days of the Federal Register Notice announcing availability of funds, the Grants Administration Division of the Environmental Protection Agency whether their State's official E.O. 12372 process will review applications in this program.

Application Procedure: Requests for information should be made to: Pollution Prevention Division, MC7409, U.S. Environmental Protection Agency, 401 M Street, S.W., Washington, DC 20460. Telephone: (202) 260-2237. Completed applications must be submitted to the EPA Regional Pollution Prevention Programs for consideration for an award. Applications must meet the requirements of the grant regulations and will be reviewed to determine relevancy of the proposed project.

Award Procedure: Procedures for awards are outlined in General Grant Regulation 40 CFR Part 30.

Deadlines: Varies according to each EPA Regional Office.

Range of Approval/Disapproval Time: 120 days.

Appeals: As described in 40 CFR Part 30, Subpart L.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Organizations awarded under this program will be required to contribute at least 50 percent of the total cost of their project (in dollars or in-kind goods/services).

Length and Time Phasing of Assistance: Grants are awarded for a project period not to exceed three years. Funds are released by a letter of credit.

POST ASSISTANCE REQUIREMENTS:

Reports: Organizations that are awarded grant/cooperative agreement funds will be required to submit semi-annual progress reports, during the life of the project, to the EPA Project Officer. Each report will summarize funds expended, tasks accomplished, and results achieved to date. A summary final report will also be required at the end of the project period. This final report should include a discussion of the prospects for continuation, further development of the pollution prevention effort, project evaluation and future direction.

Audits: Periodic audits should be made as part of the recipient's system of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments", States that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State agencies that receive less than \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained for three years from the date of submission of the annual financial status report. If questions still remain, such as those raised as a result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$6,000,000 FY 95 est \$7,100,000 and FY 96 est \$6,000,000.

Range and Average of Financial Assistance: \$20,000 to \$200,000 av \$100,000.

PROGRAM ACCOMPLISHMENTS: During fiscal year 1993, grants totalling approximately \$6,000,000 were awarded under the Pollution Prevention Grant program. Fifty-two State and Tribal agencies received grant funds through the Pollution Prevention Incentives for States program.

INFORMATION CONTACTS:

Regional or Local Office: Contact appropriate EPA Regional Office listed in .

Headquarters Office: For program information: Pollution Prevention Division, Office of Pollution Prevention and Toxics, MC7409, U.S. Environmental Protection Agency, 401 M Street, S.W., Washington, DC 20460. Telephone: (202) 260-2237.

EXAMPLES OF FUNDED PROJECTS: 1) Technical Assistance 2) Data Collection and dissemination 3) Education for and outreach to business/government/academic personnel 4) Training 5) environmental auditing 6) Technology transfer 7) Demonstration projects 8) Integration of Pollution Prevention into State regulatory programs, or combinations thereof.

CRITERIA FOR SELECTING PROPOSALS: The program has National Eligibility Criteria which include the following: 1) The proposal should seek to build State pollution prevention capabilities or test, at the State level, innovative pollution prevention approaches and methodologies 2) Multimedia opportunities and impacts should be identified. A multimedia pollution prevention program addresses the prevention of pollution across all environmental media -- air, land, and water 3) The proposal should address significant needs of the State or region. Areas of significant risk reduction are targeted and integrated into overall pollution prevention goals, objectives, and implementation strategies. Projects are encouraged to address targeted high risk chemicals identified in the EPA Industrial Toxics Project outlined in the Pollution Prevention Strategy (February 1991) or in other EPA voluntary programs such as Green Lights 4) The pollution prevention activities of other programs or organizations in the State or region should be integrated and leveraged into the proposed program, as appropriate. Activities funded complement and augment pollution prevention activities already undertaken by the State 5) Measures of success should be identified. There is a reasonable expectation for significant accomplishments in pollution prevention and there is an adequate system planned for measuring progress with environmental and/or programmatic indicators and 6) Proposals should identify plans for the long term implementation of a multimedia pollution prevention program beyond the initial project funding period are identified. Regional specific criteria for selecting grants may also be available and complement these national eligibility criteria.

SUPERFUND STATE SITE SPECIFIC COOPERATIVE AGREEMENTS

FEDERAL AGENCY: OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 104, Public Law 96-510 Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, Public Law 99-499, 42 U.S.C. 9601 et seq.

OBJECTIVES: To determine level of hazard at sites listed in the CERCLA Information System (CERCLIS) To undertake remedial planning and remedial implementation actions in response to releases on the National Priorities List (NPL) contained in the National Oil and Hazardous Substance Contingency Plan (40 CFR 300) to clean up the hazardous waste sites that are found to pose the most imminent hazards to human health. To effectively implement the statutory requirements of CERCLA 121 (f) which mandates substantial and meaningful State involvement.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: The Fund may be used to (a) conduct non-time critical removal actions (b) perform preliminary assessments, site inspections, remedial investigations, feasibility studies, and remedial design activities at sites listed in the CERCLA Information System (CERCLIS) (c) conduct remedial action (i.e., clean up) at uncontrolled hazardous waste sites as listed on the National Priorities List (40 CFR 300) (d) support CERCLA implementation activities and (e) identify Potentially Responsible Parties (PRPs), conduct settlement negotiations, take enforcement actions against PRPs, and oversee PRP cleanups.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States (and political subdivisions thereof), Commonwealths, and U.S. Territories and Possessions, and Federally Recognized Indian Tribal Governments.

Beneficiary Eligibility: States (and political subdivisions thereof), U.S. Territories, and Federally Recognized Indian Tribal Governments.

Credentials/Documentation: Costs will be determined in accordance with 40 CFR 35, Subpart O and OMB Circular No. A-87 for State and local governments and other supporting documentation provided by the Agency.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. Consultation and preapplication conference are recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: EPA Form SF-424 should be requested from, and the completed form submitted to, the appropriate EPA Regional Office identified in Appendix IV of the Catalog. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: Final approval of application and supporting documentation and offer of award is made by the EPA Regional Administrator unless re-delegated to the Regional Division Director.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: 90 days.

Appeals: As described in 40 CFR Part 31, Subpart F.

Renewals: Extensions are available but not guaranteed. The original cooperative agreement is amended by using EPA Form 424 and submitting it to the Regional Administrator.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None required for pre-NPL activities at any site or for remedial planning if site was privately owned and operated at the time of disposal of hazardous wastes. Matching requirements: 10 percent State, 90 percent Federal, for remedial action only if site is privately owned and operated at the time of disposal of hazardous wastes. Minimum 50 percent State, 50 percent Federal, of all response costs if site was State/locally operated at time of any disposal of hazardous waste. (Note percentage may vary). Tribal governments are not required, as States are, to share in the costs of Superfund actions.

Length and Time Phasing of Assistance: Funds awarded for duration of project, subject to time constraints imposed by EPA. Money is released on a draw-down or a letter of credit basis.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly progress reports, notification of significant developments,

property inventory reports, procurement reports, and financial reports are required pursuant to 40 CFR 35, Subpart O.

Audits: In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Record retention requirements of 40 CFR 35, Subpart O, are imposed.

FINANCIAL INFORMATION:

Account Identification: 20-8145-0-7-304.

Obligations: (Grants and/or cooperative agreements) FY 94 \$78,900,000 FY 95 est \$100,000,000 and FY 96 est \$100,000,000.

Range and Average of Financial Assistance: \$30,000 to \$700,000 av \$522,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1994, all 10 EPA Regional Offices awarded cooperative agreements to States.

INFORMATION CONTACTS:

Regional or Local Office: Superfund Regional Administrators.

Headquarters Office: Carolyn Offutt, Chief, State and Local Coordination Branch, Involvement Section, Office of Emergency and Remedial Response, (52036), EPA, Washington, DC 20460. Telephone: (703) 603-8797.

EXAMPLES OF FUNDED PROJECTS: Preliminary Assessments/Site Inspections, Remedial Investigation, Feasibility Study, Remedial Design, Remedial Action (i.e., clean up), PRP searches, RIFs negotiations RD/RA Negotiations, PRP oversight, Non-Time Critical Removal Actions.

CRITERIA FOR SELECTING PROPOSALS: For pre-NPL activities, including remedial planning, site must appear on CERCLIS. For remedial planning and implementation, site must appear on the National Priorities List of the National Oil and Hazardous Substances Contingency Plan (NCP) (40 CFR 300). Each project is examined and selected on a case-by-case basis based upon site ranking, availability of matching funds from the State, availability of Trust funds, receipt of application and other criteria as determined by EPA.

LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM

FEDERAL AGENCY: OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Solid Waste Disposal Act, Section 9003(h)(7), as amended; Resource Conservation and Recovery Act of 1976, Public Law 94-580, as amended; Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, Public Law 99-499, 42 U.S.C. 6901 et seq. Federally Recognized Indian Tribal Governments--Anyone/general public--**BENEFICIARIES:** 22;39

OBJECTIVES: To support State corrective action and enforcement programs that address releases from underground storage tanks containing petroleum.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Program funds are to be used to provide resources for the oversight and cleanup of petroleum releases from underground storage tanks (USTs). States that have entered into cooperative agreements with EPA have the authority to respond to petroleum releases from USTs using Leaking Underground Storage Tanks (LUST) Trust funds where owners and operators are unknown, unwilling or unable to take corrective actions themselves. States may also oversee responsible party cleanups. When the Trust Fund is used, tank owners/operators are liable to the State for costs incurred and are subject to cost recovery actions. Trust funds provide expertise and assistance to the Regions, States, and tribal governments in developing and implementing corrective action programs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: State governments that have demonstrated the capability to undertake effective corrective actions and enforcement activities and that have entered into a cooperative agreement with EPA describing the State's uses of the Fund, and Indian tribes via S.8001 grants.

Beneficiary Eligibility: General public and Indian Nations.

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication coordination with appropriate Regional office recommended. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or

official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures: The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is excluded from coverage under OMB Circular No. A-110.

Award Procedure: A cooperative agreement must be signed between EPA and the State.

Deadlines: None.

Range of Approval/Disapproval Time: From 3 to 4 months.

Appeals: As described in 40 CFR Part 31.

Renewals: A renewal or extension of funds is possible.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: For funds awarded after January 24, 1989, a 10 percent State cost share is required.

Length and Time Phasing of Assistance: The cooperative agreement will place a time restriction on funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly interim, final progress and expenditure reports; program evaluations and other reports as required by the specific terms of the Agreement.

Audits: Grants are subject to inspections and audit by representatives of the Comptroller General of the United States and EPA or by authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet terms and conditions of grants and other agreements. In accordance with the provisions of OMB Circular No. A-128, "Audits of State and Local Governments," State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made in accordance with Circular No. A-128, or in accordance with Federal Laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration

of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as a result of audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 20-8153-0-7-304.

Obligations: (Cooperative Agreements) FY 94 \$65,447,300; FY 95 \$64,550,000; and FY 96 \$58,289,700.

Range and Average of Financial Assistance: Anticipated: \$300,000 to \$4,300,000; \$1,500,000.

PROGRAM ACCOMPLISHMENTS: As of the fourth quarter of fiscal year 1994, 49 states and six territories have cooperative agreements.

REGULATIONS, GUIDELINES, AND LITERATURE: Guide: Leaking Underground Storage Tanks Trust Fund. Cooperative Agreement Guidelines.

INFORMATION CONTACTS:

Regional or Local Office: See Appendix IV Of the Catalog for list of Underground Storage Tank Regional Program Manager addresses.

Headquarters Office: Dana S.Tulis, Branch Chief, Operations Branch, Office of Underground Storage Tanks, EPA, Waterside Mall, 401 M Street, SW., (5403W) Washington, DC 20460 Telephone: (703) 308-8891.

RELATED PROGRAMS: 66.804, State Underground Storage Tanks Program.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: A State must demonstrate the capability to carry out an effective program of enforcement and corrective action for UST controlling petroleum.

66.806
SUPERFUND TECHNICAL ASSISTANCE
GRANTS FOR CITIZEN GROUPS AT PRIORITY
SITES
(Superfund Technical Assistance Grants)

FEDERAL AGENCY: OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 117(e), as amended, Public Law 96-510, Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended.

OBJECTIVES: To provide resources for community groups to hire technical advisors who can assist them in interpreting technical information concerning the assessment of potential hazards and the selection and design of appropriate remedies at sites eligible for clean up under the Superfund program.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: (a) Activities for which Federal funds may be used: Federal funds may be used at sites listed or proposed for listing on the NPL to obtain technical assistance in interpreting information with regard to the nature of the hazard, remedial investigation and feasibility study, record of decision, selection and construction of remedial action, operation and maintenance, or removal action. (b) Limitations on the use of Federal Funds: Federal funds may not be used to develop new information, such as conducting independent testing and monitoring activities at a site. Under CERCLA, as amended, grant funds also are not available for use in underwriting legal actions, including the preparation of court testimony and hiring of expert witnesses.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: EPA is authorized to make technical assistance grants (TAG) available to any qualified group of individuals which may be affected by a release or threatened release at any Superfund facility. "Affected" individuals are those who can demonstrate direct effects from the site, such as actual or potential health or economic injury. The recipient group must incorporate to receive funds.

Beneficiary Eligibility: This program will benefit groups of individuals affected by Superfund hazardous waste sites. This may include homeowners, land/property owners, as well as any other individuals in the general public who live near a site or are otherwise affected by it.

Credentials/Documentation: At the time of the award, recipient must either be incorporated or demonstrate that it has taken all necessary and appropriate actions to do so. Recipients must show proof of incorporation no later than the time of the group's first request for reimbursement for costs incurred.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: 1) Groups wishing to apply for a TAG grant must first submit to EPA a letter of intent. 2) If site work is already underway or scheduled to begin, EPA will provide formal public notice (including mailings, and meetings) to other interested parties that applications are being accepted. 3) Competing groups are encouraged to consolidate and submit a single application. 4) Prior to submitting their application to EPA (or concurrently), the applicant must submit the application to the State for Intergovernmental Review which may take up to 60 days. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Applicants for technical assistance grants must submit the following materials. 1) An original and two copies of EPA Form 424-33, "State and Local Nonconstruction Programs." The primary application must have the original signature of the Project manager; 2) A budget showing the proposed expenditure of funds, and how the funds and other resources, including the required 20 percent match, will be used to complete the project. In addition, a more detailed budget is more likely or typically required for the first budget period. For the purpose of this program, a budget period will be three years; and 3) Part IV of the grant application, "Applicant Qualification," which should contain a narrative statement showing compliance with evaluation criteria. 4) Part IV of the grant application will also be critical for determining a group's eligibility for receiving a grant and their management capabilities, and is particularly important in determining an award when there are several competing applications. The SF Technical Assistance Grant HB (March 30, 1990), provides citizens' groups with detailed instructions for preparing part IV of the application. The HB contains a blank application form.

Award Procedure: 1) The EPA Award Official (usually the Regional grants administration office, which is called the Assistance Administration Unit (AAU). 2) The AAU then performs an administrative review of the application, to insure that it is complete. 3) The AAU then forwards copies of the application to the Technical Assistance Grant Review Team for programmatic review. The Team may include the Site Project Officer, the Remedial Project Manager (RPM), the Community Relations Coordinator (CRC), an AAU official, and other staff designated by the Regional Administrator. 4) The Technical Assistance Grant Review Team performs the programmatic or technical review to select the best proposal for the funding. 5) If the Review Team rejects an application the AAU sends a rejection letter to the applicant outlining the reasons for rejection, and closes out the official

administrative file. 6) If the application is approved, the Review Team sends a funding recommendation to the AAU. Under no circumstances do the program staff notify the applicant of award approval. 7) If the application receives administrative and programmatic approval for funding, the Project Officer then requests that the AAU prepare an assistance agreement which is signed by the Regional Administrator. Award offers are not mailed to the recipient until five working days after the Award Official signs the agreement (this restriction does not apply to rejection, decrease, or withdrawal actions). During this five-day period, various EPA offices (the Office of External Affairs, EPA Headquarters Grants Operation Branch (GOB), and the Office of Congressional Liaison) must be notified of the decision. 8) The award before any person outside the Federal Government is informed of the decision. 9) The Project Officer must notify the Administrator in writing through the Office of External Affairs. 10) The Project Officer must provide written notification to the Office of Congressional Liaison (OCL) through the Headquarters Grants Operation Branch (GOB) of the Grants Administration Division. GOB coordinates receipt of award notifications through an award Notification Control Station. The AAU that processes the award must provide a copy of page one of the assistance agreement to the award Notification Control Station at the end of the five-day waiting period. 11) The OCL receives award notification from GOB and notifies the appropriate Congressional delegation prior to notification of the recipient. This notification process serves only as an informational function and does not require any response from the Congressional delegation. 12) The AAU forwards a copy of the award document to the Regional Financial Management Office. At the end of the five-day waiting period, an original and one copy of the assistance agreement is sent by the AAU to the applicant for signature. The applicant must either sign and return the agreement to the AAU within three calendar weeks of the date the agreement is postmarked or request an extension.

Deadlines: There are no fixed deadlines, except in one instance. That is, if EPA receives an application and the site work has started or will shortly, other applicants are given 30 days to express interest in being considered.

Range of Approval/Disapproval Time: 4 to 9 weeks.

Appeals: Contact your local regional/local EPA office.

Renewals: Grant deviation requests are made at the end of the budget period and are based on the January 30, 1990 policy directive which lists criteria for growth and deviation.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: 1) One grant per site, 2) \$550,000 maximum, or the initial grant and 3) 20 percent match (including in-kind contributions).

Length and Time Phasing of Assistance: Duration of Superfund cleanup activities (six years on the average).

POST ASSISTANCE REQUIREMENTS:

Reports: 1) Progress reports. Each recipient shall submit quarterly progress reports to EPA for the technical assistance grant project 45 days after the end of each calendar quarter. Progress reports shall fully describe in chart or narrative format the progress achieved in relationship to the approved schedule, budget, and the technical assistance grant project milestones. Special problems encountered must be explained. 2) Financial status report. Each recipient shall submit to EPA a financial status report annually, within 90 days of the anniversary date of the start of the technical assistance grant project, and within 90 days of the end of the grant budget period and project. A recipient shall submit to the Agency a financial status report on SF-269 and the appropriate form to the State. 3) Final report. Each recipient shall submit to EPA a draft of the final report for review no later than 90 days prior to the end of the grant project and a final report within 90 days of the end of the project. The report shall document technical assistance grant project activities over the entire period of the grant support and shall describe the recipient's achievements with respect to stated technical assistance grant project purposes and objectives.

Audits: 1) Records and audit-recipient: (a) Each recipient shall keep and preserve full written financial records accurately disclosing the amount and the disposition of any funds, whether in cash or in-kind applied to the technical assistance grant project, and shall comply with the terms and conditions. (b) Such records shall be retained for 10 years from the date of the final Financial Status Report, or until any audit, litigation, cost-recovery and/or any disputes initiated before the end of the ten-year retention period are settled, whichever is longer. A recipient must obtain EPA's prior written approval to destroy records after the record retention period. 2) Records and audit-contractor(s): (a) The recipient's contractor shall keep and preserve detailed records in connection with the subagreement, reflecting acquisition work progress, reports, expenditures, and commitments and indicating their relationship to established costs and schedules. (b) The recipients shall require their contractor(s) to keep such full written financial records to adequately establish compliance with the terms and conditions of the subagreement. Such records shall be retained for three years from closeout of the subagreement, unless audit, litigation, cost-recovery, and/or any disputes are initiated before the end of the ten-year retention period. The contractor(s) must obtain the recipients' written approval before it destroys any records after the retention period.

Records: EPA (or the State if it is administering the grant) may perform interim audits of a group's project costs and financial actions and transactions. The Project Officer may request an audit at any time after the submission of an application, and may conduct a final audit of the project. If the Agency, as a result of an audit, determines that the group owes funds to the Federal government, the group will be required to reimburse the Agency for that amount. The Agency will take appropriate legal and administrative actions to collect the amount the group owes the Federal government if reimbursement is not made in a timely manner. The Agency may offset the debt against other funds payable to the group under the

assistance agreement. The Federal government also will charge the group a penalty of six percent of the debt, handling charges, and interest if it fails to pay within 30 days from the date of the decision that the debt is owed. The interest rate will be the rate established by the U.S. Secretary of the Treasury.

FINANCIAL INFORMATION:

Account Identification:

Obligations: (Grants) FY 92 \$3,200,000; FY 93 est \$4,098,500; and FY 94 est \$4,000,000.

Range and Average of Financial Assistance: Up to a maximum of \$50,000. We expect most grants awarded prior to the commencement of a remedial investigation to be \$50,000.

PROGRAM ACCOMPLISHMENTS: Since the program began on March 24, 1988, 140 awards have been issued by EPA.

INFORMATION CONTACTS:

Regional or Local Office: Questions may be directed to the EPA Regional Office serving a particular state.

Headquarters Office: Office of Emergency and Remedial Response, Mail code: 5203G, U.S. Environmental Protection Agency, 401 M Street, SW., Washington, DC 20460. Contact: Diana Hammer. Telephone: (703) 603-8775.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Each project provides funds to local groups of citizens active at Superfund clean-up sites to hire independent technical advisors. Citizens are looking to the advisors to help them understand proposed clean-up remedies, to better understand the technical problem at the site, and to respond to EPA actions.

CRITERIA FOR SELECTING PROPOSALS: EPA will determine if the group meets specific administrative and management requirements. EPA will review a description of the group's history, goals, and plans for using the technical assistance funds. Factors that are particularly important in this evaluation process include: (1) The group's ability to manage the grant in compliance with EPA grant and procurement regulations; (2) The degree to which the applicant groups' members health, economic well-being, and enjoyment of the environment are adversely affected by a hazardous waste site; (3) The group's ability to inform others in the community of the information provided by the technical advisor; (4) Broad representation of affected groups and individuals in the community; (5) Whether the

applicant group is incorporated for TAG purposes. In general, a group must demonstrate that it is aware of the time commitment, resources, and dedication needed to manage successfully a TAG. Applicant groups should consult "The Superfund TAG Handbook" for detailed instructions as to how such information should be presented.

66.807
***SUPERFUND INNOVATIVE TECHNOLOGY
EVALUATION PROGRAM
(SITE)***

FEDERAL AGENCY: OFFICE OF RESEARCH AND DEVELOPMENT,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) of 1980, as amended, Section 311(b), Public Law 96-510; Superfund Amendments and Reauthorization Act (SARA) of 1986, as amended, Public Law 99-499. (Reauthorized in 1990 for 3 more years).

OBJECTIVES: To establish a comprehensive and coordinated Federal program of research, development and demonstration of the purpose of promoting the development of alternative and innovative treatment technologies that can be used in response actions-under the Comprehensive Environmental Response, Compensation and Liability Act of 1980 (CERCLA) program and to provide incentives for the development and use of such technologies.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: The demonstration program provides cost sharing opportunity and assistance to developers of innovative technology at pilot- or full-scale to reach commercialization through actual field demonstrations at uncontrolled hazardous waste site". The emerging technology program assists developers of technology at bench-scale in achieving pilot- scale capability with potential acceptance into the demonstration program. Technology developers (at pilot- or full-scale) selected for demonstration projects work with EPA through cooperative agreements. In general, the developer must fund field operations of the technology while EPA provides complete site preparation and evaluation services. Assistance for emerging technology projects is available to technology developers (at bench-scale) through competitive cooperative agreements. The purpose of the Emerging Technology Program (ETP) is to foster the further development of technologies that are not yet ready for field demonstration. The goal is to ensure that a steady stream of more permanent, cost-effective technologies will be ready to be demonstrated in the field, thereby increasing the number of viable alternatives available for use in Superfund cleanups. The ETP will deal with innovative technologies for treatment, recycling, separation, detoxification, destruction, stabilization, and material handling of hazardous wastes. These emerging technologies will include, but not be limited to, chemical, biological, thermal, and physical treatment processes. The ETP will provide 2-year funding to technology developers that take promising bench/laboratory-scale technology to the pilot-scale. The ETP will pay up to \$150,000 per year, for a maximum of \$300,000 over 2 years, available competitively to any individual technology developer. In order to obtain second year funding, significant

progress must be made during the first year. The first solicitation for ETP occurred in September 1987.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: This program is available to any person or private sector developer who has a new or innovative technology for recycling, separation, detoxification, destruction, stabilization and material handling of hazardous constituents.

Beneficiary Eligibility: Private enterprises and the general public benefit from this program.

Credentials/Documentation: This program is excluded from coverage under OMB Circular No. A-87.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: EPA will accept proposals responding to yearly solicitations in the Commerce Business Daily for emerging technology and demonstration projects. An applicant should consult with the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Following project award, EPA will coordinate the Intergovernmental Review process.

Application Procedure: Applicants may contact William Frietsch, Risk Reduction Engineering Laboratory, US EPA Cincinnati, Ohio 45268.

Award Procedure: EPA will select developers from the yearly solicitation. Technology available for demonstration will be matched with an appropriate site. The developers and EPA will then enter into a cooperative agreement as noted above. Funding, coordination and cooperative agreement formulation for emerging technologies will take place after extensive, competitive review of proposals.

Deadlines: Applications must generally be received by 45 days after the solicitation

Range of Approval/Disapproval: Time 120 days, or as noted in the solicitation.

Appeals: Contact your regional/local EPA office.

Renewals: In order to obtain second year funding for the ETP, significant progress must be made during the first year.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The total Federal funds for any full-scale field demonstration as defined by SARA is limited to 50 percent of the total cost of the project, not to exceed \$3,000,000 per single project. An applicant must demonstrate that it cannot obtain private financing on reasonable terms and conditions sufficient to carry out a demonstration project before additional Federal assistance may be considered, subject to the priorities of the demonstration project.

Length and Time Phasing of Assistance: The cooperative agreement will include a mutually agreed upon testing duration and schedule. Assistance is limited to the duration of the project.

POST ASSISTANCE REQUIREMENTS:

Reports: Progress reports by the developers and EPA will be specified in the cooperative agreements. Monthly reporting may be required regarding the status of developer-sponsored activities. EPA will provide quarterly status reports on the SITE Program.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 68-0107-0-1-999

Obligations: FY 92 \$12,493,900; FY 93 est \$17,626,001; and FY 94 est \$17,000,000.

Range and Average of Financial Assistance: Emerging Technology Program: \$121,000 to \$300,000.

INFORMATION CONTACTS:

Regional or Local Office: Requests for assistance should be handled through the Office of Research and Development, Risk Reduction Engineering Laboratory. Contact: John F. Martin, Chief, SITE Demonstration and Evaluation Branch, Risk Reduction Engineering Laboratory, 26 West Martin Luther King Drive, Cincinnati, OH 45268. Telephone: (513) 569-7696.

Headquarters Office: Richard Nalesnik, Office of Environmental Engineering Technology Demonstration, U.S. Environmental Protection Agency, 401 M Street, SW (RD-681), Washington, DC 20460. Telephone: (202) 260-2583.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: The Shirco Infrared System was evaluated at two Superfund sites, i.e., the Peak Oil site in Brandon, Florida, and the Rose Township site in Michigan. The Hazcon solidification/stabilization process was evaluated at the Douglassville, Pennsylvania, Superfund site and the International Waste Technologies in site stabilization process at a Miami, Florida, PCB site. Terra Vac's in situ vacuum extraction process was tested in Groveland, Massachusetts. To evaluate the American Combustion burner, waste from the Stringfellow site in California was brought to the USEPA's Combustion Research Facility in Jefferson, Arkansas. Demonstration of Weston's thermal desorption unit was accomplished at Anderson Development Corporation Superfund site in Andrian, MI.

CRITERIA FOR SELECTING PROPOSALS: Technologies are selected on the following criteria: *1)* destroy the contaminant or significantly reduce the contaminant toxicity; *2)* can be used-on-site; *3)* widely applicable to a variety of sites and wastes; *4)* offer a high potential for solving critical problems that seemingly have no solutions; *5)* have significantly lower costs than current methods; *6)* have significantly better performance than current methods; *7)* produce emissions effluents, and residues that are easy to manage from environmental cost and health standpoints; *8)* are easy and safe to operate; *9)* perceived capability of the developer to conduct the work; and *10)* cost share to be provided by the developer .

66.808
SOLID WASTE MANAGEMENT ASSISTANCE

FEDERAL AGENCY: OFFICE OF SOLID WASTE, ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Solid Waste Disposal Act, Section 8001, as amended; Resource Conservation and Recovery Act of 1976, as amended; Public Law 94-580, 42 U.S.C. 6901 et seq.

OBJECTIVES: To promote use of integrated solid waste management systems to solve municipal solid waste generation and management problems at the local, regional and national levels.

TYPES OF ASSISTANCE: Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Available for the allowable direct cost expenditures incident to program performance plus allocable portions of allowable indirect costs of the institution, in accordance with established EPA policies. Projects can include the following types of activities: training, surveys, education materials and programs, studies, and demonstrations; but assistance to such projects shall not include any element of construction, or any acquisition of land or interest in land, or any subsidy for the price of recovered resources. Financial assistance to States, local, regional, and interstate authorities for the development and implementation of plans approved by the Administrator.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: These funds can be awarded to the following types of nonprofit entities: public authorities (Federal, State, interstate, intrastate and local), public agencies and institutions; private agencies, institutions and individuals; and Indian Tribes. Profitmaking organizations are not eligible.

Beneficiary Eligibility: State and local governments, U.S. territories and possessions, and interstate agencies.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Discussion with EPA Headquarters or the appropriate EPA Regional Office is advisable. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the

process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: Requests for application forms and submission of completed applications should be directed to EPA Grants Administration Division (PM-216-F), Washington, DC 20460, or the appropriate EPA Regional Office listed in Appendix IV of the Catalog.

Award Procedure: Each application shall be subjected to administrative evaluation to determine the adequacy of the application in relation to grant regulations and program evaluation criteria. Technical and extramural review determines the merit and relevance of the project.

Deadlines: Varies from Region to Region. Contact appropriate Regional Office for details.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: Contact your regional/local EPA office.

Renewals: None. A standard grant application should be prepared and submitted, which will be reviewed in the same manner as a new application.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: A minimum of 5 percent cost sharing is required.

Length and Time Phasing of Assistance: Grants will normally be funded on a 12-month basis (yearly). Total approved project period may not exceed three years.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly interim and final progress, expenditure, equipment and invention reports.

Audits: Grants are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative. Periodic audits should be made as part of the recipient's systems of financial management and internal control to meet the terms and conditions of grants and other agreements. State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year. State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made in accordance with Federal laws and regulations governing the programs in which they participate.

Records: Financial records, including all documents to support entries on accounting records and to substantiate charges to each grant, must be kept available to personnel authorized to examine EPA grant accounts. All records must be maintained until expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised during the three year project period as a result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0107-0-1-999

Obligations: (Grants and Cooperative Agreements) FY 92 \$3,700,000; FY 93 est \$2,600,000; and FY 94 est \$3,300,000.

Range and Average of Financial Assistance: FY 92 \$5,000 to \$200,000; \$100,000.

INFORMATION CONTACTS:

Regional or Local Office: Individuals are encouraged to communicate with the appropriate EPA Regional Office.

Headquarters Office: For information concerning grant applications and procedures, contact the Environmental Protection Agency, Grants Administration Division, PM-216, Washington, DC 20460. Telephone: (202) 260-9266.

EXAMPLES OF FUNDED PROJECTS: (1) National Solid Waste Information Clearinghouse: Provides information to the nation on solid waste management alternatives. (2) Targeted Media Recycling Outreach: Funded television and radio commercials that received over \$80,000,000 in free airplay. (3) Peer Match Program: Provides technical assistance to local government by matching local government experts with local governments requesting assistance. (4) Report on Scrap-Based Manufacturing; funded production of a report to assist communities in attracting scrap-based manufacturing. (5) Symposium on Recycled Glass; sponsored the First National symposium on Recycled Glass.

CRITERIA FOR SELECTING PROPOSALS: A proposal is judged for (A) technical merit in terms of: (1) strengths and weaknesses of the project; (2) adequacy of overall project design; (3) competency of proposed staff; (4) suitability of applicant's available resources; (5) appropriateness of the proposed project period and budget; and (6) probability that the project will accomplish stated objectives; and for (13) program interest in terms of: (1) the need for the proposed project; and (2) relationship to program objectives.

TECHNICAL ASSISTANCE GRANTS PROGRAM (TAGs)

FEDERAL AGENCY: OFFICE OF SOLID WASTE AND EMERGENCY RESPONSE,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: Toxic Substances Control Act (TSCA), Sections 10, and 28, Public
Law 94-469, 15 U.S.C. 2601 et seq.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Innovative Technical Assistance Grants are offered to improve the ability of States, Tribes and local communities to protect public health and safety and involve the development of technical assistance or similar materials that could be used directly or adapted by other States/Tribes or Local Emergency Planning Committees (LEPCs). Projects which address extremely hazardous substances or high profile chemicals and/or high risk areas are especially of interest. The grant money may not supplant State or Tribal funds already being spent. Because these awards are being made under TSCA authorities, all grant proposals must be related to activities involving chemicals subject to regulation under TSCA.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: States, District of Columbia, Puerto Rico, U.S. Virgin Island, Northern Mariana Islands, and Federally Recognized Indian Tribes.

Beneficiary Eligibility: States, Local Emergency Planning Committees, and local emergency responders.

Credentials/Documentation: None.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: Discussion with EPA Headquarters is advisable. For more information, contact the Grants Program Manager, Chemical Emergency Preparedness and Prevention Office (CEPPO) (5101), EPA, Washington, DC 20460. The standard application forms as furnished by the Federal agency and required by OMB Circular No. A-102 must be used for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: States and Indian Tribes must submit the original completed application package and two copies to EPA Grants Administration Division (PM-216-F), Washington, DC 20460.

Award Procedure: Grant applications will undergo a two-step review process. Initially, Regional Office staff will evaluate each application and forward them to CEPPPO with comments and a recommendation. Based in part on this preliminary Regional screening process, the final funding decision will be made by a review panel which may include both CEPPPO and Regional Office staff, as well as other Federal agency representatives. EPA Headquarters will be awarding the grants. The review process will concentrate on choosing the best project concepts. Once the grant is awarded to the State Emergency Response Commission (SERC) or Tribal Emergency Response Commission (TERC) or, if required by State or Tribal procedure, a State or Tribal agency representing the SERC or TERC, with a co-signature by the SERC chair or tribal chairperson, EPA will monitor the work in progress and provide project guidance and oversight to the State and Tribes.

Deadlines: Established by annual program guidance.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: None.

Renewals: None.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula. States/Tribes must contribute a match of 25 percent of the establishment and operations costs of the project as described in TSCA Section 28.

Length and Time Phasing of Assistance: Grants will normally be funded on a 12 month basis. Total approved project period should not exceed two years for a particular project. A call for letters of intent and applications will be advertised annually via HQ and/or Regions notifying States and Tribes.

POST ASSISTANCE REQUIREMENTS:

Reports: Reports will be required on a quarterly basis to describe applicant's progress.

Audits: Not applicable.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$1,200,000 FY 95 est \$1,500,000 and FY 96 est \$1,500,000.

Range and Average of Financial Assistance: \$50,000 to \$100,000 av \$50,000.

PROGRAM ACCOMPLISHMENTS: During fiscal year 1994, 24 grant awards were made to States and Indian Tribes for improving LEPC effectiveness, enhancing SERC Title III information management, and developing State accidental release prevention programs.

INFORMATION CONTACTS:

Regional or Local Office: Any inquiries, contact the CEPP Coordinators .

Headquarters Office: LaVonne Johnson, Grant Program Manager, CEPPO/OSWER (OS-120), EPA, 401 M Street, SW, Washington, DC 20460. Telephone: (202) 260-6657.

EXAMPLES OF FUNDED PROJECTS: Automated Emergency Management Information System: Provides a ready source of emergency information to Federal, State, and local authorities for the purpose of emergency planning and preparedness Text for Broadcast Press Releases: Provides two themes, "You Have a Right To Know" and "Hazardous Material Risks" Educational Videos: Each video describes to the viewer where the specific hazardous substance may be found in the community and potential business types that would and would not need to comply Chemical Emergencies and the Students" Right and Need to Know: An Instructor Guide: Contains material on understanding comprehensive emergency management programs and the various types of hazards Hazardous Vulnerability Analysis Plan: Describes the geographic area of the Tribe, the potential hazards facing the Tribe, and the emergency response capabilities/services available.

CRITERIA FOR SELECTING PROPOSALS: In evaluating State and Tribal applications for grants under this program, EPA will consider the following factors: (1) Potential Benefit to the chosen geographic area, State or Tribe. The projects should build State or Tribal, and local capabilities. (2) Technical Soundness for addressing the identified problems and feasibility of implementation. (3) Priority Need must be demonstrated by State or Tribal applicants for assistance as set forth in TSCA Section 28. Determination of this priority need will consider, to the extent feasible, the extent to which chemical accidents pose a risk to the community within the State or Tribe. Risk factors include high population, high industrial concentration, natural hazards (e.g., earthquake zones), accident history, and existing preparedness, prevention and response capabilities. EPA will favorably consider grants that concentrate on reducing the risk in a specific priority risk area. In addition, proposals which promote environmental justice will be given additional consideration.

66.926
**INDIAN ENVIRONMENTAL GENERAL ASSISTANCE
PROGRAM**

AUTHORIZATION: Indian Environmental General Assistance Program Act of 1992, Public Law 102-497, Section 11,42 U.S.C. 4368b, as amended, (Public Law 103-155, November 24, 1993)

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: The following are eligible to receive financial assistance: (a) an Indian tribal government; and (b) an intertribal consortium or consortia. An Indian tribal government is any tribe, band, nation, or other organized group or community, including any Alaska Native village or regional or village corporation (as defined in or established pursuant to the Alaska Native Claims Settlement Act, 43 U.S.C. 1601, et seq.), which is recognized by the U.S. Department of the Interior as eligible for the special services provided by the United States to Indians because of their status as Indians. A consortium is a partnership between two or more Indian tribal governments authorized by the governing bodies of those tribes to apply for and receive assistance under this program.

FINANCIAL INFORMATION:

Obligations: (Grants and Cooperative Agreements) FY 93 \$7,500,000; FY 94 est \$8,400,000; and FY 95 est \$6,000,000. (Public Law 104-497, Section 11 (h) authorizes \$15 million to be appropriated for each fiscal year through 1998.)

Range and Average of Financial Assistance: \$20,000 to \$170,000; \$60,000. (Note: excludes two congressionally mandated projects of \$0.5 million and \$1.5 million, and \$1 million and \$2.5 million for FY 1992-1993, respectively.)

PROGRAM ACCOMPLISHMENTS: This was a pilot program initiated in fiscal year 1990 as the Multi-Media Assistance Program with \$1,511,000 for two projects with three tribes. During fiscal year 1991 \$1,700,000 of Agency funds were reprogrammed to provide 29 grants to 47 tribes. In fiscal year 1992, 60 new and continuation grants were funded from \$5,200,000 appropriated by Congress, including \$2,000,000 for the two Congressional add-ons. During fiscal year 1993, 100 new and continuation grants were funded from \$7,500,000 appropriated, including \$3,500,000 for the two Congressional add-ons. During fiscal year 1994, about 120 new and continuation grants were funded from \$8,400,000 appropriated. Funded activities have included environmental inventories, infrastructure and technical assessment of capability needs, and planning and development activities to establish tribal environmental programs.

REGULATIONS, GUIDELINES, AND LITERATURE: Public Law 102-497, Section 11, title 42 U.S.C.4368b, as amended by Public Law 103-155, November 24, 1993. The Agency published the interim final regulation for program implementation in the Federal Register on December 2, 1993, 40 CFR Part 35, Indian Tribes: General Assistance Grants for Environmental Protection Programs; Interim Final Rule; 40 CFR Part 31, General Regulations for Assistance Programs.

ENVIRONMENTAL EDUCATION AND TRAINING PROGRAM

FEDERAL AGENCY: OFFICE OF ENVIRONMENTAL EDUCATION,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: National Environmental Education Act, Public Law 101-619, Section 5.

OBJECTIVES: To train educational professionals in the development and delivery of environmental education and training programs and studies.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant funds shall be used to establish an education and training program which shall include, at a minimum: 1. classroom training in environmental education and studies including environmental sciences and theory, educational methods, and practices, environmental career or occupational education, and topical environmental issues and problems 2. demonstrations of the design and conduct of environmental fields studies and assessments 3. development of environmental education programs and curricula, including programs and curricula to meet the needs of diverse ethnic and cultural groups 4. sponsorship and management of international exchanges of teachers and other educational professionals between the United States, Canada, and Mexico involved in environmental programs and issues 5. maintenance or support of a library of environmental education materials, information, literature, and technologies, with electronic as well as hard copy accessibility 6. evaluation and dissemination of environmental education materials, training methods, and related programs 7. sponsorship of conferences, seminars, and related forums for the advancement and development of environmental education and training curricula and materials, including international conferences, seminars, and forums 8. supporting effective partnerships and networks and the use of distant learning technologies and 9. such other activities as the Administrator determines to be consistent with the policies of the Act. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building.

References:

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Institutions of higher education or other institutions which are nonprofit (or consortia of such institutions).

Beneficiary Eligibility: Education (0-8), education (9-12), education (13+), nonprofit institutions

Credentials/Documentation: Costs will be determined in accordance with OMB Circular No. A-87 for State and local governments.

APPLICATION AND AWARD PROCESS:

Pre-Application Coordination: This program is excluded from coverage under Executive Order 12372.

Application Procedure: EPA awarded a grant under this section to the University of Michigan with a 3 year project period. Future funding will depend upon the grantees performance and the needs of the Environmental Education program. EPA expects to competitively select a new recipient in fiscal year 1995.

Award Procedure: This program is subject to the provisions of OMB Circular No. A- 110.

Deadlines: Proposals for FY 95 are due April 28, 1995.

Range of Approval/Disapproval Time: Awards will be made by October 1, 1995.

Appeals: None.

Renewals: EPA shall establish procedures for a careful and detailed review and evaluation of the environmental education and training program to determine whether the quality of the program being operated by the grantee warrants continued support.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The grantee must provide 25 percent matching funds for the project. The project has no statutory formula.

Length and Time Phasing of Assistance: The training program grant will be for one year budget period, 3 years project period.

POST ASSISTANCE REQUIREMENTS:

Reports: The grantee must submit quarterly, interim and final progress reports, expenditure, equipment and invention reports, and other reports as required by the specific agreement.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identification: 68-0108-0-1-304.

Obligations: (Grants) FY 94 \$2,000,000 FY 95 est \$2,000,000 and FY 96 est \$2,000,000.

Range and Average of Financial Assistance: Not applicable.

PROGRAM ACCOMPLISHMENTS: Three awards have been made to the University of Michigan for approximately \$1.6, \$1.8 and \$2.0 million.

INFORMATION CONTACTS:

Regional or Local Office: Contact the appropriate EPA Regional Office.

Headquarters Office: Kathleen MacKinnon, Environmental Education Specialist, Office of Environmental Education, 1707 Environmental Protection Agency, 401 M Street SW, Washington, DC 20460. Telephone: (202) 260-3335.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Basis and priorities for selecting proposals are listed in Section 5 of Public Law 101-619, National Environmental Education Act.

66.951
ENVIRONMENTAL EDUCATION GRANTS
(EEG)

FEDERAL AGENCY: OFFICE OF ENVIRONMENTAL EDUCATION,
ENVIRONMENTAL PROTECTION AGENCY

AUTHORIZATION: National Environmental Education Act, Public Law 101-619, Section 6.

OBJECTIVES: *To support projects to design, demonstrate, or disseminate practices, methods, or techniques related to environmental education and training.*

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant funds shall be used to establish an education and training program which shall include, at a minimum: 1. design, demonstration, or dissemination of environmental curricula, including development of educational tools and materials; 2. design and demonstration of field methods, practices, and techniques, including assessment of environmental and ecological conditions and analysis of environmental and pollution problems; 3. projects to understand and assess a specific environmental issue or a specific environmental problem; 4. provision of training or related education for teachers, faculty, or related personnel in a specific geographic area or region; and 5. design and demonstration of projects to foster international cooperation in addressing environmental issues and problems involving the United States and Canada or Mexico. Priority will be given to those projects which will develop; 1. a new or significantly improved environmental education practice, method, or technique; 2. an environmental education practice, method, or technique which may have wide application; 3. an environmental education practice, method, or technique which addresses skill or scientific field identified as a priority in the report developed pursuant to Section 9 (d) of this Act; and, 4. an environmental education practice, method, or technique which addresses an environmental issue which, in the judgment of the Administrator, is of a high priority. Priorities are specified in the Solicitation Notice. No funds made available for this program shall be used for the acquisition of real property (including buildings) or the construction or substantial modification of any building.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Local education agency, college or university, State education agency or environmental agency, not-for-profit organization, or noncommercial educational broadcasting entity.

Beneficiary Eligibility: Education (0-8), education (9-12), education (13), nonprofit institutions.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Standard application form SF 424 must be used for this program.

Application Procedure: Preapplication receipts during the late fall rather than early winter as was the same in 1993. Potential applicants may submit an application package to their respective Regional Grants Management Offices for grants \$25,000 or less and to the EPA Headquarters for grants greater than \$25,000.

Award Procedure: Grants applications that are for a Federal share of \$525,000 or less are to be submitted to the respective Regional Offices. Grants applications that are for a Federal share of greater than \$25,000 are to be submitted to The Office of Environmental Education (A107), 401 M Street SW, Washington, DC 20460.

Deadlines: For fiscal year 1996, the completed preapplication packages are due in late fall 1995; the targeted period for the awarding of grants is early spring 1996.

Range of Approval/Disapproval Time: 120 days.

Appeals: None.

Renewals: EPA shall establish procedures for a careful and detailed review and evaluation of the environmental education grants to determine whether the quality of the program being operated by the grantee warrants continued support.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: Federal funds for any demonstration project under this section shall not exceed seventy-five percent of the total cost of such project. For the purposes of this section, the nonfederal share of project costs may be provided by in-kind contributions and other noncash support. In cases where the Administrator determines that a proposed project merits support and cannot be undertaken without a higher rate of Federal support, the Administrator may approve grants under this section with a matching requirement other than that specified in this subsection, including full Federal funding. The project has no statutory formula.

Length and Time Phasing of Assistance: The training program grant may be requested for a 12 month period. However, flexibility is possible depending upon the nature of the project. Activities must be completed within the time frame of the budget period. Concurrent grants to the same organization during the second year are not allowed.

POST ASSISTANCE REQUIREMENTS:

Reports: Recipients of grants are expected to submit final reports for EPA approval prior to receipt of the balance of grant funds. Recipients of grants greater than \$5,000 may be expected to report on quarterly or semiannual progress, as well as final project completion.

Audits: Projects are subject to inspection and audit by representatives of the Comptroller General of the United States and EPA or any authorized representative.

Records: Financial records, including all documents to support entries on accounting records and to substantiate changes to each assistance agreement must be kept available to personnel authorized to examine EPA assistance accounts. All records must be maintained until the expiration of three years from the date of submission of the final expenditure report. If questions still remain, such as those raised as the result of an audit, related records should be retained until the matter is completely resolved.

FINANCIAL INFORMATION:

Account Identifications: Obligation (Grants) FY 92 \$2,500,000; FY 93 \$2,500,000, and FY' 94 est \$3,100,000. Grants under Section 6 for this program are not to exceed \$250,000. Twenty-five percent of all funds obligated under this section in a fiscal year are for grants of not more than \$5,000.

Range and Average of Financial Assistance: Not applicable.

INFORMATION CONTACTS:

Regional or Local Office: Contact the appropriate EPA Regional . Office listed in Appendix IV of the Catalog.

Headquarters Office: George Walker, Environmental Education Specialist, Office of Environmental Education, A-107, Environmental Protection Agency, 401 M Street, SW, Washington, DC 20460. Telephone: (202) 260-3335.

CRITERIA FOR SELECTING PROPOSALS: Bases and priorities for selecting proposals are listed in Section 6 of Public Law 101-619, National Environmental Education Act.

83.530
**EMERGENCY MANAGEMENT INSTITUTE
(EMI)-RESIDENT EDUCATIONAL PROGRAM**

FEDERAL AGENCY: EMERGENCY MANAGEMENT INSTITUTE, STATE AND LOCAL PROGRAMS AND SUPPORT, FEDERAL EMERGENCY MANAGEMENT AGENCY

AUTHORIZATION: Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. 5121 et seq.; National Security Act of 1947, 50 U.S.C. 401 et seq.; Defense Production Act of 1950, as amended, 50 U.S.C. App. 2061 et seq.; Federal Civil Defense Act of 1950, as amended, 50 U.S.C. App. 2251 et seq.; Earthquake Hazards Reduction Act of 1977, as amended, 42 U.S.C. 7701 et seq.

OBJECTIVES: *To improve emergency management practices among State and local government managers, and Federal officials as well, in response to emergencies and disasters. Programs embody the Comprehensive Emergency Management System by unifying the elements of management common to all emergencies: planning, mitigation, response, and recovery. Training is conducted through three major curricular areas: Technical Programs, which includes preparedness, and recovery; technological emergencies (e.g., chemical spills, radiation, etc., and natural disasters), Emergency Management Programs which conducts realistic exercises in simulated, high-stress environments that promote teamwork among jurisdictions; Executive Development which provides specific management skills for active practitioners such as leadership, problem solving, planning, resource allocation, etc. After taking a series of courses, the student may obtain the Professional Development Series Certificate.*

TYPES OF ASSISTANCE: Training.

USES AND USE RESTRICTIONS: Acceptance into the specific courses is based on a need to know the information and how it will be used for the benefit of the community. The courses are not open to the general public. The primary audience is State and local government officials.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Individuals who need emergency management training and are assigned to an emergency management or civil defense position in State or local government.

Beneficiary Eligibility: The students and communities will benefit.

Credentials/Documentation: Individual applicants may be asked to provide verification of their emergency management role and responsibilities, upon request.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Individuals interested in applying for training at the Emergency Management Institute should contact their local or State emergency management office for particular course information and eligibility.

Application Procedure: A General Admission Application, FEMA Form 75-5, is completed and submitted through the local emergency management organization to the State Emergency Management Director for approval. The approved application is forwarded to the Regional Training Manager at the appropriate FEMA Regional Office for coordination with the Support Services Branch at the National Emergency Training Center in Emmitsburg, Maryland.

Award Procedure: The application will be reviewed and the individual notified in writing of his or her acceptance or non-acceptance. Notification is made approximately 45 days prior to the beginning of the class.

Deadlines: Applications for particular classes should be submitted as quickly as possible after receipt of the course catalog or announcement for the appropriate time period.

Range of Approval/Disapproval Time: Applications are processed promptly after receipt and notification is made approximately 45 days prior to the start of the class.

Appeals: Contact your local/regional EPA office.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: None.

Length and Time Phasing of Assistance: Indefinite.

POST ASSISTANCE REQUIREMENTS:

Reports: None.

Audits: There may be an evaluation following completion of particular courses to determine the application of the information and the benefit derived by the community.

Records: Not applicable.

FINANCIAL INFORMATION:

Account Identification: 5841014-1-999.

Obligations: (Direct program expenditure) FY 94 est \$5,472,000.

Range and Average of Financial Assistance; Not applicable.

INFORMATION CONTACTS:

Regional or Local Office: See EMI Catalog for listing of FEMA Regional Offices.

Headquarters Office: National Emergency Training Center, Support Services Branch, 16825 South Seton Avenue, Emmitsburg, Maryland 21727. Telephone: (301) 447-1000.

CRITERIA FOR SELECTING PROPOSALS: Individuals must meet individual course prerequisites and be recommended by their State or local government for training.

84.120
MINORITY SCIENCE IMPROVEMENT
(MSIP)

FEDERAL AGENCY: OFFICE OF ASSISTANT SECRETARY FOR POSTSECONDARY EDUCATION, DEPARTMENT OF EDUCATION

AUTHORIZATION: Higher Education Act of 1965, Title X, Part B, Subpart 1, as amended, Public Laws 89-329, 99498, and 102-325, 100 Stat. 1561, 20 U.S.C. 1135b, 1135, 1135d.

OBJECTIVES: (1) To assist institutions to improve the quality of preparation of their students for graduate work or careers in physical and social science; (2) to improve access of undergraduate minority students to careers in the physical and social sciences, mathematics and engineering; (3) to improve access for precollege minority students to careers in physical and social sciences and engineering through precollege enrichment programs conducted through eligible colleges and universities; and (4) to improve the capability of predominantly minority institutions for self-assessment, management and evaluation of their physical and social science programs and dissemination of their results.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grant funds may be used for paying costs necessary for improving and maintaining high quality science and engineering education programs in minority postsecondary institutions, including salaries and wages, equipment and instructional materials and supplies, travel related to the project activities, faculty development, and other direct and indirect costs.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Private and public accredited two and four-year institutions of higher education whose enrollments are predominantly (50 percent or more) American Indian; Alaskan Native; Black, not of Hispanic origin; Hispanic (including persons of Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or any combination of these or other ethnic minorities who are underrepresented in science and engineering may apply. Applications may also be submitted by professional scientific societies, and all nonprofit accredited colleges and universities which will render a needed service to a group of MSIP-eligible institutions or provide in-service training for project directors, scientists or engineers from eligible minority institutions.

Beneficiary Eligibility: Private and public accredited two-and four year institutions of higher education whose enrollments are predominantly (50 percent or more) American Indian; Alaskan Native; Black, not of Hispanic origin; Hispanic (including persons of

Mexican, Puerto Rican, Cuban, and Central or South American origin); Pacific Islander; or any combination of these or other ethnic minorities who are underrepresented in science and engineering will benefit. Also nonprofit science-oriented organizations, professional scientific societies, and all nonprofit accredited colleges and universities will benefit.

Credentials/Documentation: Institutions must provide the information necessary to establish their eligibility for participation in MSIP. The data on enrollment furnished to the Once for Civil Rights to satisfy requirements for the "Fall Enrollment and Compliance Report of Institutions of Higher Education" are acceptable. Applications must be signed by the project director(s), the relevant department head(s), and by an authorized organizational official.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: The standard application forms as furnished by the Federal agency for this program. An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedure: By submission of a formal application describing the planned project and proposed amount of the grant.

Award Procedure: Panels of outside experts with knowledge of the fields covered by the application review all applications. Grants awards are recommended to the Secretary by the program office, in the order of merit.

Deadlines: Contact your local/ regional EPA office.

Range of Approval/Disapproval Time: From three to six months.

Appeals: The project director may request, in writing, that the program reconsider its action in declining any application.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: The program suggests that some institutional contribution be included as part of program support. However, by law, this program has no matching requirements.

Length and Time Phasing of Assistance: One to three years. Funds are awarded annually and disbursed as required.

POST ASSISTANCE REQUIREMENTS:

Reports: The program requires interim reports from directors of projects having duration of more than one year at the end of each academic year's activities. A substantive technical report is required upon completion of the project for all funded projects.

Audits: Compliance with standard Department of Education requirements.

Records: Grantees are required to maintain standard educational and financial records. Records are subject to inspection during the life of the grant and for five years thereafter.

FINANCIAL INFORMATION:

Account Identification: 91-0201-0-1-502

Obligations: (Grants) FY 92 \$6,000,000; FY 93 est \$5,892,000; and FY 94 est \$5,892,000.

Range and Average of Financial Assistance: \$19,500 to \$467,256; \$254,124 for Institutional; \$448,400 for Cooperative; \$19,500 for Design Projects, and \$55,431 for Special Projects.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Division of Higher Education Incentive Programs, Office of Postsecondary Education, Department of Education, Washington, DC 20202-5251. Contact: Argelia Velez-Rodriguez. Telephone: (202) 260-3261

EXAMPLES OF FUNDED PROJECTS: (1) A project is supporting a consortium of two historically Black institutions to substantially increase the use and effectiveness of computer assisted instruction (CAI) materials at the cooperating institutions and other minority institutions nationwide. (2) Another cooperative project is supporting two institutions, a historically Black college and a non-minority university from the northeast, to develop a Comprehensive Interfaced Computerized Instructional System for Mathematics, Statistics and Computer Literacy. (3) A project is supporting a university in South Texas with a predominantly Mexican-American student population to implement an undergraduate student research apprenticeship/faculty researcher mentorship program. (4) One project is assisting a Native American institution to develop eighteen mobile science laboratory experiences to deliver two basic science courses to students living in the Standing Rock Sioux Indian reservation. (5) A project is supporting a non-minority university in California to improve a pre-college enrichment program for minority youngsters.

CRITERIA FOR SELECTING PROPOSALS: Decisions are based primarily on the scientific and educational merits of described activities and conformance with the objectives of the program. Priority is given to applicants which have not previously received funding from the Minority Science Improvement Program and to previous grantees with a proven record of success, as well as to proposals that contribute to achieving balance among projects with respect to geographic region, academic discipline and project type.

93.158
ADOLESCENT HEALTH CENTERS FOR
AMERICAN INDIANS/ALASKA NATIVES
(Adolescent Health Centers)

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Indian Health Service Amendments of 1992, Section 216, Public Law 102-573.

OBJECTIVES: To make financial assistance awards to Indian tribes and tribal and urban Indian organizations to establish innovative school related and community based adolescent health centers capable of providing health promotion and disease prevention services to adolescents.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Grants may be used in establishing and operating programs designed to provide health promotion and disease prevention services to adolescents.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any federally recognized Indian Tribe, Indian tribal organization of federally recognized urban Indian organization. Preference is given to applicants within the following IHS Areas: Aberdeen IHS, Alaska Native Health Service, Billings IHS, California IHS, and Navajo IHS.

Beneficiary Eligibility: Individuals who are members of an eligible applicant tribe, band, or group or village and who may be regarded as within the scope of the Indian health and medical service program, and who are regarded as being Indian by the community in which they live as evidenced by such factors tribal membership, enrollment, residence on tax exempt land, ownership of restricted property, active participation in tribal affairs or other relevant factors in keeping with general Bureau of Indian Affairs practices in the jurisdiction.

Credentials/Documentation: Certification of Native Indian or Alaskan descent is required for direct services.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Contact your local/regional EPA office.

Application Procedure: An IHS Grant Application Kit may be obtained from the Grants Management Branch, Division of Acquisition and Grants Operations, 12300 Twinbrook Parkway, Suite 300, Rockville, MD 20852. Telephone: (301) 443-5204.

Award Procedure: Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines: Contact Headquarters Office for application deadlines.

Range of Approval/Disapproval Time: Within 90 days of IHS deadline.

Appeals: Contact your local/ regional EPA office.

Renewals: Project periods are subject initially to 3 years with up to 2 additional years following successful competitive review.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Not applicable.

POST ASSISTANCE REQUIREMENTS:

Audits: State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit.

Records: The comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of the budget period. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 75-03900-1-551.

Obligations: (Grants) FY 93 \$450,000; FY 94 est \$450,000; and FY 95 est \$450,000.

Range and Average of Financial Assistance: Average award is \$50,000 (for grants competitively awarded).

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, eight new awards were issued. It is anticipated that these projects will be continued in fiscal years 1994 and 1995.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Grants Management Contract: Ms. Kay Carpenter, Grants Management Officer, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Public Health Service, Department of Health and Human Services, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204. Program Contact: Dr. Richard Kotomori, Chief, Special Initiatives Branch, Office of Health Programs, Indian Health Service, PHS, DHHS, Parklawn Building, Room 5A-41, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-4646.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: New program.

CRITERIA FOR SELECTING PROPOSALS: (1) The apparent capability of the applicant to organize and manage the proposed project successfully considering the adequacy of staff, management systems, equipment and facilities; (2) the soundness of the applicant's plan for conducting the project and for assuring effective utilization of grant funds (3) the adequacy of the budget in relation to the scope of the project and available funds; and (4) the relative effectiveness of the applicant's plan to carry out each of the requirements as set forth in the application.

93.225
**NATIONAL RESEARCH SERVICE
AWARDS-HEALTH SERVICES RESEARCH
TRAINING**

FEDERAL AGENCY: AGENCY FOR HEALTH CARE POLICY AND RESEARCH, PUBLIC HEALTH SERVICE, DEPARTMENT OF HEALTH AND HUMAN SERVICES.

AUTHORIZATION: Public Health Service Act, as amended, Public Law 99-158, 42 U.S.C. 288.

OBJECTIVES: To provide predoctoral and postdoctoral training opportunities in health services research. Individual fellowships will be awarded directly to applicants for postdoctoral research training. Domestic institutions will also be awarded grants to enhance predoctoral and postdoctoral training programs and provide support to trainees selected by them. The purpose of these awards is to provide fellows and trainees with both academic training and actual experience in the application of research methods to the systematic analysis and evaluation of the organization ion, financing, utilization, and delivery of health care services.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Individual fellowships are awarded to applicants seeking postdoctoral support for full-time health services research training. An institutional allowance provided, upon request, to the sponsoring institution for each fellow. Also, research training grants are awarded to institutions to provide support to trainees selected by the training program director at the grantee institution. This support is available for predoctoral and postdoctoral trainees. Tuition and fees are allowable for trainees, and an amount for institutional expenses will be provided. Indirect costs may be requested at 8 percent of allowable direct costs, or actual indirect costs, whichever is less. Fellows and trainees who receive support are obligated to meet payback requirements by an agreement to engage in full-time health services research and/or related teaching for specified time periods after completion of the training. Discretionary funds are available.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Domestic public or private nonprofit organizations or institutions may apply for training grants. The applicant institutions must have or expand training programs designed to develop competent investigators in the methods and techniques of conducting health services research. State or local governments and U.S. Territories are eligible. Profit-making organizations are not eligible. Individual applicants for fellowships must have received a doctoral degree. All persons supported as fellows or trainees must be citizens or non-citizen nationals of the United States, or have been lawfully admitted for permanent residence.

Beneficiary Eligibility: Individuals and public or private nonprofit organizations or institutions, including State or local governments and U.S. Territories, are the beneficiaries of this program.

Credentials/Documentation: An individual applicant for a fellowship must document receipt of a doctoral degree and acceptance by an appropriate institutional sponsor. Institutional applicants for training grants must document their research and training experiences, staff, curriculum, facilities, and other resources available.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: While there is no program requirement for preapplication, informal preapplication consultation to prospective applicants is available upon request to the Agency for Health Care Policy and Research (AHCPR), and is recommended.

Application Procedure: Application forms and information about health services research areas being supported are available from AHCPR.

Award Procedure: Applications are reviewed for scientific merit by a committee including nonfederal consultants who are selected from appropriate scientific fields. All institutional training grant applications are also reviewed by the National Advisory Council for Health Care Policy, Research, and Evaluation. AHCPR considers the recommendations of the review committee, the Council, program interest, and the availability of funds in the final selection of grantees. When such decisions are made, applicants are notified and a formal award notice is issued. Before receiving support, all individual fellows and institutional trainees must submit required forms, including a payback agreement.

Deadlines: Contact Headquarters Office for deadline dates for Institutional Training Grants. Individual applications for Fellowships are due: April 5, August 5, and December 5.

Range of Approval/Disapproval Time: From 5 to 6 months from receipt of application.

Appeals: None.

Renewals: If additional support is desired to continue a grant beyond the approved project period, an application for competing continuation must be submitted for review in the same manner as a new application. No individual may receive more than 3 years of aggregate National Research Service Award support at the postdoctoral level, or 5 years at the predoctoral level, including any combination of support from institutional and individual awards.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory; formula or matching requirements.

Length and Time Phasing of Assistance: Individual fellowship support at the postdoctoral level is limited to 3 years. Institutional training grants may be awarded for project periods up to 5 years. Awards are made on an annual basis and it is expected that obligations will be made within the budget period awarded. After awards are issued, funds are released in accordance with the payment procedure established by the grantee with DHHS, which may be an Electronic Transfer System or a Monthly Cash Request system.

POST ASSISTANCE REQUIREMENTS:

Reports: Annual and final progress reports are required. For institutional training grants, a final progress report is due at the close of the project period. Annual financial status reports are also required for Institutional National Research Service Awards. These Financial Status Reports must be submitted within 90 days after the close of each budget period. Annual reports of activities for tracking payback provisions are required of all fellows and trainees upon termination of support.

Audits: Contact your local/regional EPA office.

Records: Financial records, including all documents to substantiate charges, must be maintained. Records may be destroyed 3 years after the end of the budget period if an audit occurs and is completed by that time; if an audit has not occurred, records must be retained until 3 years following the audit; or, if an audit is underway, until the resolution of audit findings.

FINANCIAL INFORMATION:

Account Identification: 75-1700-0-1-552.

Obligations: (Grants) FY 92 \$1,731,000; FY 93 est 51,745,000; and FY 94 est \$1,745,000.

Range and Average of Financial Assistance: (Individuals) \$20,000 to \$33,000; \$28,000. (Institutions) \$160,000 to \$260,000, \$200,000.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Agency for Health Care Policy and Research (AHCPR), Public Health Service, Department of Health and Human Services, Suite 601, Executive Office Center, 2101 East Jefferson Street, Rockville, MD 20852. Program and Grants Management Contact: Ralph Sloat, Grants Management Officer. Telephone: (301) 594-1447.

EXAMPLES OF FUNDED PROJECTS: Support is provided for training in the following areas: epidemiology, biostatistics, geriatrics, health administration and public health, medical information sciences, health policy and management, and organizational behavior.

CRITERIA FOR SELECTING PROPOSALS: For Individual fellowship applications, the following review criteria will be used: (1) the applicant's past academic and research accomplishments; (2) research goals; (3) research training proposed; (4) qualifications of the sponsor; (5) appropriateness of training environment; and (6) reference reports. For Institutional training grant applications, the following review criteria will be used: (1) goals of the proposed training and probability of achievement; (2) substantive content, including courses offered; (3) qualifications and responsibilities of program director and faculty; (4) ability to recruit trainees; (5) extent of institutional participation through provision of necessary space, curriculum time, financial support, and appropriate facilities; (6) documented cooperation of related agencies in providing research experience; (7) proposed number of students; (8) methods for monitoring and evaluating trainees; and (9) reasonableness of budget. In all cases, AHCPR will consider the recommendations of the review committee, the Council program interests, and availability of funds in making the final selection of grantees.

NATIVE AMERICAN PROGRAMS

FEDERAL AGENCY: ADMINISTRATION FOR CHILDREN AND FAMILIES,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Native American Programs Act of 1974, as amended, Public Law 93-644; Older Americans Act Amendments of 1987, Title V, Public Law 100-175; Indian Reorganization Act Amendments, Section 215, Public Law 100-581; Older Americans Act Amendments of 1992, Title VIII, Public Law 102-375; 42 U.S.C. 2991 et seq.

OBJECTIVES: To provide financial assistance, training and technical assistance, and research, demonstration and evaluation activities to public and private nonprofit organizations including Indian Tribes, urban Indian centers, Alaska Native villages, Native Hawaiian organizations, rural off-reservation groups, and Native American Pacific Island groups for the development and implementation of social and economic development strategies that promote self-sufficiency. These projects are expected to result in improved social and economic conditions of Native Americans within their communities and to increase the effectiveness of Indian Tribes and Native American organizations in meeting their economic and social goals.

TYPES OF ASSISTANCE: Project Grants (Contracts); Direct Loans.

USES AND USE RESTRICTIONS: Grants may be used for such purposes as, but not limited to: (1) Governance Projects which assist tribal and village governments, Native American institutions, and local leadership to exercise local control and decision-making over their resources; (2) Economic Development Projects, which promote the long term mobilization and management of economic resources necessary to achieve a diversified economy; and (3) Social Development Projects which support local access to, control of, and coordination of services and programs for the social benefit of community members. Funds may be used for Financial Assistance Grants; Training and Technical Assistance; and Research, Demonstration and Evaluation.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Public and private nonprofit agencies, including but not limited to, governing bodies of Indian tribes on Federal and State reservations, Alaska Native villages and regional corporations established by the Alaska Native Claims Settlement Act, such public and nonprofit private agencies serving Native Hawaiians, Indian and Alaska Native organizations in urban or rural nonreservation areas, and Native American Pacific Islanders (American Samoan Natives, and indigenous peoples of Guam, the Commonwealth of the Northern Mariana and the Republic of Palau).

Beneficiary Eligibility: American Indians, Alaska Natives, Native Hawaiians, and Native American Pacific Islanders.

Credentials/Documentation: Nonprofit organizations which have not previously received ACF program support must submit proof of nonprofit status.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: None

Application Procedure: Information regarding the availability of grant funds will be published from time to time in the Federal Register as Program Announcements, which will provide details on program objectives for which applications are being solicited and other application requirements. The Administration for Native Americans will provide each applicant agency with the appropriate forms for the application for Federal Assistance and instructions for applying for grants from Administration for Children and Families programs. Applications should be submitted to Administration for Children and Families, Discretionary Grants Management Branch, Department of Health and Human Services, Room 341-F.2, 200 Independence Avenue, SW., Washington, DC 20201.

Award Procedure: All funds are awarded directly to the grantees.

Deadlines: Each program announcement specifies the due dates for submission of applications.

Range of Approval/Disapproval Time: Applicants will receive notice of approval/disapproval approximately 120 days after receipt of application.

Appeals: Contact your regional/local EPA office.

Renewals: Not applicable.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula for distribution of funds.

Length and Time Phasing of Assistance: Grantees may apply for competitive continuation support within a project period of 1 to 3 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Semi-Annual Financial Status Reports, Report of Federal Cash Transactions, Project Progress Reports, and an annual Evaluation Report are required.

Audits: Contact your local/regional EPA office.

Records: Financial records, supporting documents and all other dated records pertinent to grants must be maintained for a period of 3 years. If an audit is not completed by the end of the 3 year period, or if audit findings have not been resolved, records shall be retained until resolution of the audit findings.

FINANCIAL INFORMATION:

Account Identification: 75-153641-506.

Obligations: (Grants) FY 93 \$34,507,000; FY 94 est \$38,627,000; and FY 95 est \$38,627,000. (Revolving Loan Fund) FY 93 \$992,000; FY 94 est \$1,000,000; and FY 95 est \$1,000,000 (NOTE: The funds in this program are also available for program contracts. The amounts which can be used for such contracts cannot be predetermined.)

Range and Average of Financial Assistance (Tribal Grants): \$50,000 to \$1,200,000; \$125,000. (Urban Grants) \$30,000 to \$210,000; \$100,000.

PROGRAM ACCOMPLISHMENTS: The program currently serves all Native Americans. Financial assistance is provided for Native American community projects, research, evaluation, technical assistance and training. Cooperative initiatives with other Federal agencies have been undertaken in the areas of employment, energy, social services, environmental and occupational health, education, and housing. During the past four years, the Native Hawaiian Revolving Loan Fund has disbursed 143 small business loans totalling 55.8 million.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Administration for Native Americans, Department of Health and Human Services, Room 348-F, 200 Independence Avenue, SW., Washington, DC 20201
Contact: Sharon McCully, Director, Planning and Support Staff. Telephone: (202) 690-5780.

EXAMPLES OF FUNDED PROJECTS: (1) Improved Governance Capabilities; (2) Native American social development projects; and (3) Native American economic development projects.

CRITERIA FOR SELECTING PROPOSALS: Specific criteria for selecting proposals for funding are stated in each program announcement. In general, proposals are judged on the basis of relevance to program objectives as stated in the program announcement, project viability, community support, reasonable cost estimates, and qualifications of applicant organization and personnel.

INDIAN HEALTH SERVICE RESEARCH

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Indian Health Care Improvement Act, Section 208, Public Law 94-437, as amended; 42 U.S.C. 1621g.

OBJECTIVES: To conduct research and developmental activities in areas of Indian health care which further the performance of health responsibilities of the Indian Health Service.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: (1) basic epidemiology, risk factors and/or preventive strategies involving chronic diseases; (2) understanding of behavioral changes and their acceptance in Indian communities, regarding health promotion/disease prevention strategies which rely on individual, family, or community acceptance of responsibility for improving health status; (3) etiology, morbidity, adjunctive treatment alternatives or preventive strategies for dealing with alcohol or substance abuse, mental health problems and their impact on non-afflicted family members; (4) functional status, burden of illness or social support systems for health care of the elderly, and estimates of demand for extended care services; (5) involvement of professional nursing in reproductive health care, infant care, patient education, compliance with self-care, and the influence of social support systems on the behavior of pregnant women, as well as questions of job-satisfaction in nursing; (6) intervention alternatives for family dysfunction problems such as interpersonal violence, alcohol or drug abuse, poor school or work performance, mental health problems and inappropriate utilization of health services; (7) alternative configurations for the delivery of health care services, including innovative approaches to provide staffing, funding mechanisms, flexible benefits packages and/or more effective use of health care facilities; (8) prevention, treatment and prioritization of oral health problems among the AI/AN people, with particular interest in consumer attitudes and behavioral variables; and (9) other areas of concern within the functional health care responsibilities of the Indian Health Service.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: There are two groups of eligible applicants: (1) Federally-recognized Indian tribes and tribal organizations which are contracting with the Indian Health Service; and (2) Indian Health Service components, including Service units and area offices.

Beneficiary Eligibility: American Indian Tribes and Alaska Natives.

Credentials/Documentation: If the applicant is a tribe or tribal organization, support of the project must be evidenced by signature of the Tribal Chairperson or designee. If more than

one tribe is affected, it is urged that letters of support be provided. If the applicant is an Indian Health Service component, resolutions from tribes involved in the research and letter(s) of support from Indian Health Service unit(s) affected by the proposed research must be submitted. An Indian Health Service component must obtain clearance signatures from the Area Contracting Officer if any purchasing, contracting, or consultant hiring actions are requested in the project. Applicants must provide documentation of the process which will be established to protect the confidentiality of data collected and the privacy of patients to include protection of patient records.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplications are required as specifically requested in the program announcement. An environmental impact statement is not required. Anyone interested in submitting

an application under this program is encouraged to contact Ms. Donna Pexa, Program Coordinator, for technical assistance. Telephone: (602) 295-2503. Application Procedure: The standard application forms, as furnished by the Public Health Service and approved by the Office of Management and Budget (OMB) must be used for this program. Application kits may be obtained from the Indian Health Service Head quarters, Grants Management Branch. Telephone: (301) 443-5204.

Award Procedure: Grants are awarded on a competitive basis with a thorough, objective review to determine selection. Ineligible or incomplete applications will be returned to applicants without review. Applications are processed and grants are awarded by Indian Health Service Headquarters.

Deadlines: For specific information on the application deadline, contact the Grants Management Branch on (301) 443-5204.

Range of Approval/Disapproval Time: Approximately 180 days.

Appeals: None.

Renewals: Projects are required to resubmit and recompute each year for renewal of awards.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Assistance is normally available for a 1-year period. Expenditure of funding is limited by language in the annual appropriations legislation. Payment of grant funds to tribal recipients is made through the Department of Health and Human

Services (DHHS) Payment Management System (PMS). Information will be forwarded to grantees regarding the process of obtaining funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Quarterly and final program progress reports will be required. A final status report is also required. Indian Health Service components shall comply with the same terms and conditions applicable to nonfederal grantees.

Audits: State and local governments that receive financial assistance of \$100,000 or more within the State's fiscal year shall have an audit made for that year.

Records: Grantees are required to maintain grant accounting records for three years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records has been started before the expiration of the three year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three year period, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 75-03904 1-551.

Obligations: (Grants) FY 93 \$400,000; FY 94 est \$325,000; and FY 95 est \$400,000.

Range and Average of Financial Assistance: \$9,000 to \$50,000; \$30,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, 12 grants were issued. An estimated 11 grants will be issued in fiscal year 1994, eight new and three continuing grants; and approximately 30 grants will be awarded in fiscal year 1995. This number includes both those to tribes and tribal organizations and to Indian Health Service components.

Regional or Local Office: Not applicable.

Headquarters Office: Program Contact: Ms. Donna Pexa, Program Coordinator, IHS Research Program/OHPRD, 7900 South I. Stock Road, Tucson, AZ 85746 9352. Telephone: (602) 295-2503. Grants Management Contact: Ms. Kay Carpentier, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Public Health Service, Twinbrooks Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: The proposals will undergo a dual review process. In the initial review stage, the technical review committee reviews an application based upon the following criteria: (1) specific aims; (2) background in research literature; (3) progress report (for competing continuation studies only); (4) research design and methods to be used; (5) data sources, management, quality control, and analysis; (6) originality; (7) importance of the health problem(s) for the community(ies) service units involved; (8) importance of the health problem(s) for all American Indian and Alaska Native people and the IHS Area; (9) setting of the study; (10) utility of the product and experience to the community(ies) involved; (11) utility of the product and experience to the IHS and other American Indian and Alaska Native people; (12) budget; and (13) key personnel and research team.

**RESEARCH AND DEMONSTRATION
PROJECTS FOR INDIAN HEALTH**

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Public Health Service Act, Title III, Sections 301 and 327, 42 U.S.C.
241, as amended, Public Law 78-410.

OBJECTIVES: To promote improved health care among American Indians and Alaska Natives
through research studies, and demonstration project.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Federal assistance is to be used for the following purposes:
(1) research, analysis, and investigation of a broad range of issues affecting the health of
American Indians and Alaska Natives; and (2) demonstration project and studies that provide
American Indians/Alaska Natives with impetus and involvement in their health care and that
promote improved health care to Indian people.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Federally recognized Indian tribes; tribal organizations; nonprofit
intertribal organizations; nonprofit urban Indian organizations contracting with the Indian Health
Service under Title V of the Indian Health Care Improvement Act; public or private nonprofit
health and education entities; and State and local government health agencies. ***Beneficiary
Eligibility:*** American Indians/Alaska Natives will be the ultimate beneficiaries of the funded
projects either directly or indirectly depending upon the nature of the project. For example,
those individuals who participate in research studies and receive services will be direct
beneficiaries while those impacted by policy changes resulting from analyses of Indian health care
issues will be indirect beneficiaries.

Credentials/Documentation: Depending upon the nature of the project, letters of support
or tribal resolutions may be required.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable.

Application Procedure: Information on the sub mission of applications may be obtained
from the Grants Management Officer, Grants Management Branch, Division of Acquisition and
Grants Operations, Suite 100, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Rockville,
Maryland 20852. Telephone: (301) 443-5204.

Award Procedure: After review and approval, a notice of award is prepared and processed, along with appropriate notification to the public.

Deadlines: Contact the Grants Management Officer, Headquarters Office for information regarding application deadline dates.

Range of Approval/Disapproval Time: From 90 to 120 days.

Appeals: None.

Renewals: Initial project period of up to 5 years, usually 3 years, with competitive renewals for periods not to exceed a total project period of 5 years.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: The project period is limited to 5 years or less. Within the project period, a continuation application must be submitted annually on a noncompetitive basis for each year of support.

POST ASSISTANCE REQUIREMENTS:

Reports: Program progress reports are due on a semiannual basis with the second report submitted as part of the annual noncompetitive continuation application. A terminal progress report is due within 90 days after the end of project support. Financial status reports are due within 90 days after the expiration of each budget period with a final financial status report due 90 days following the end of the project period.

Audits: State and local governments (Indian tribal governments) that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit made.

Records: The Comptroller General of the United States or any of their authorized representatives shall have the right of access to any books, documents, paper, or other records of the grantee, contractor, or subcontractor, which are pertinent to the grant, in order to make audits, examinations, excerpts and transcripts. If any litigation, claim, negotiation, audit, or other action involving the records has been started before the end of the 3-year period, the records must be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 75-039041-551.

Obligations: (Grants) FY 93 \$994,529; FY 94 est \$806,000; and FY 95 est \$1,000,000.

Range and Average of Financial Assistance: \$30,000 to \$534,287; \$185,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, five projects were funded. In fiscal year 1994, it is anticipated that three projects will be funded; and in fiscal year 1995, three projects are expected to be funded.

INFORMATION CONTACTS:

Regional or Local Office: Not applicable.

Headquarters Office: Program Contact: Mr. Douglas Black, Associate Director, Office of Tribal Activities, Indian Health Service, Parklawn Building, Room 6A-05, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443-1104. Grants Management Contact: Ms. M. Kay Carpentier, Grants Management Officer, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: (1) Salish-Kootenai College, Pablo, Montana, is developing computer based instructional modules for dental assistants; and (2) Indian Rehabilitation, Inc., Phoenix, Arizona, is conducting research on substance abuse relapse reduction through treatment, job training/placement, and aftercare support services.

CRITERIA FOR SELECTING PROPOSALS: The selection criteria are: Statement of problem(s) requiring solution; need for assistance; results or benefits expected from the project; approach or soundness of the applicant's plan for conducting the project; key personnel and their capability to carry out the project; and adequacy of management controls. Consideration will be given to the demonstrative aspects of the project and the compatibility of the project with the overall goals and objectives of the Indian Health Service.

93.954
**TRIBAL RECRUITMENT AND RETENTION
OF HEALTH PROFESSIONALS INTO INDIAN
HEALTH PROGRAMS
(Tribal Recruitment)**

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Indian Health Care Improvement Act, Section 110, Public Law 94-437, as amended, Public Law 100-713 and Public Law 102-573.

OBJECTIVES: To make financial assistance awards to Indian tribes and tribal and Indian health organizations to enable them to recruit, place, and retain health professionals to fill critical vacancies and to meet the staffing needs of Indian health programs and facilities including those administered by the Indian Health Service (IHS).

TYPES OF ASSISTANCE: Project Grants; Project Grants (Cooperative Agreements).

USES AND USE RESTRICTIONS: Establishing and operating programs designed to recruit and retain health professionals into Indian health programs and facilities.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Any federally-recognized Indian tribe or tribal or Indian health organization is eligible to apply.

Beneficiary Eligibility: Preference is given to those applicants who propose to recruit for health programs and facilities that IHS has designated as Tier I sites for the IHS Loan Repayment Program for physicians, nurses, and other health professions.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Not applicable.

Application Procedure: An IHS Grant Application Kit may be obtained from the Grants Management Branch, Division of Acquisition and Grants Operations, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, Maryland 20852. Telephone: (301) 443-5204. This kit includes Standard Form PHS 5161-1 (Rev. July 1992); Standard Forms 424, 424A, and 424B (Rev. April 1988); Application Receipt Card - PHS 3038 (Rev. May 1990); Tier 1 Listings, Criteria for Tier1 Equivalents, instructions for preparing the program narrative; and IHS Application Check List.

Award Procedure: Grants are made directly by the Indian Health Service to applicant agency, based on results of competitive review process.

Deadlines: Contact Headquarters Office listed below for application deadline.

Range of Approval/Disapproval Time: Within 90 days of IHS deadline.

Appeals: None.

Renewals: Continuation applications must be submitted annually. Continuation funding is subject to availability of funds, satisfactory performance, and continuing need of the IHS for the program.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Payments of grant funds are made through the Payment Management System or Electronic Transfer System. Information will be forwarded to new grantees regarding the process of obtaining funds.

POST ASSISTANCE REQUIREMENTS:

Reports: Projects must have data systems to support administration and evaluation of the project.

Audits: State and local governments that receive between \$25,000 and \$100,000 within the State's fiscal year shall have an audit.

Records: The Comptroller General of the United States or any of their authorized representatives, shall have the right of access to any books, documents, papers, or other records of a grantee, subgrantee, contractor, or subcontractor, which are pertinent to the HHS grant, in order to make audits, examinations, excerpts and transcripts. Grantees are required to maintain grant accounting records 3 years after the end of a budget period. If any litigation, claim, negotiation, audit or other action involving the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular 3-year period, whichever is later.

FINANCIAL INFORMATION:

Account Identification: 75-03904 1 -551.

Obligations: (Grants and Cooperative Agreements) FY 93 \$547,000; FY 94 est \$547,000; and FY 95 est \$547,000.

Range and Average of Financial Assistance: \$585,000 to \$100,000.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, five awards were issued. It is anticipated that five continuations will be funded in fiscal years 1994 and 1995, respectively.

INFORMATION CONTACTS:

Regional or Local Office: None.

Headquarters Office: For program information, contact Mr. Darrell Pratt, Chief, Health Professions Support Branch, Division of Health Professions Recruitment and Training, Indian Health Service, Room 6-34, Parklawn Building, 5600 Fishers Lane, Rockville, MD 20857. Telephone: (301) 443 4242. For grants management information, contact Ms. Kay Carpentier, Grants Management Officer, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-5204.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: Not applicable.

CRITERIA FOR SELECTING PROPOSALS: Need - Is the need for the project justified? If a project targets urban Indian health programs; is there justification and documentation for conducting activities at that site? Do proposed efforts complement and expand past recruitment efforts? Approach - Are the objectives well stated? Is the applicant's work plan for conducting the project sound and effective? Is the approach innovative? Are the activities proposed cost effective and will they lead to effective recruitment, placement, and retention? Adequacy of Management Controls - Is the applicant capable of successfully conducting the project both from a technical and business standpoint? Is the proposed interaction with IHS staff adequate for an application for recruitment of Federal staff? Is the budget sound in relation to the work plan and does it assure effective utilization of grant funds? Are the facilities and equipment adequate? Key Personnel - Regarding the position descriptions, are the qualifications of key personnel appropriate and adequate to carry out the project? If a resume is provided, are the individual's qualifications and experiences consistent with the position description and conduct of the project.

93.971
**HEALTH PROFESSIONS PREPARATORY
SCHOLARSHIP PROGRAM FOR INDIANS**

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Indian Health Care Improvement Act, Public Law 94-437, as amended.

OBJECTIVES: To make scholarships to American Indians and Alaska Natives for the purpose of completing compensatory pre-professional education to enable the recipient to qualify for enrollment or re-enrollment in a health professions school or curriculum.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Scholarship awards are limited to persons of American Indian or Alaska Native descent. Awards are limited to a maximum of 2 years of full-time scholarship support under this section of the Indian Health Care Improvement Act.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Scholarship awards are made to individuals of American Indian or Alaska Native descent, who have successfully completed high school education or high school equivalency and who have been accepted for enrollment in a compensatory, preprofessional general education course or curriculum.

Beneficiary Eligibility: Individuals of American Indian or Alaska Native descent.

Credentials/Documentation: Students must submit proper documentation of Indian eligibility verification from the college advisor that the courses or curriculum to be taken are required to meet the applicant's deficiency and compensatory needs at the professional level and represent the number of credit hours which the school considers as full-time or at least 6 credit hours per semester for part-time.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication is not required.

Application Procedure: Application forms and instructions are provided by the Indian Health Service (IHS) Scholarship Program acting through the IHS Area Program Offices. Application forms and required documentation (official transcripts, letter of acceptance by college, curriculum verification, Indian eligibility, tuition verification, faculty evaluations) should be submitted to IHS Headquarters for review and approval/disapproval.

Award Procedure: Payments for stipends and books are made directly to the individual applicant. Tuition payments are made to the college or university, upon receipt of an invoice.

Deadlines: Contact IHS Area offices for application deadlines. New applications are usually available to interested individuals in March with a submission deadline in mid-April.

Range of Approval/Disapproval Time: Applicants are notified by September 30.

Appeals: None.

Renewals: Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly, for 10 months or for the academic period if students attends summer school. Amount for books and other necessary expenses are provided to student.

POST ASSISTANCE REQUIREMENTS:

Reports: Students are required to submit copies of their official transcripts as they are received. In addition, recipients must submit initial progress reports on forms supplied by IHS and notifications of problems/changes .

Audits: Not applicable.

Records: Indian Health Service Scholarship Branch, IHS, maintains records on all students. Records are maintained for 4 years after the final award payment, has been made.

FINANCIAL INFORMATION:

Account Identification: 75-039041-551.

Obligations: (Grants) FY 93 \$1,873,182; FY 94 est \$2,057,200; and FY 95 est \$2,159,643.

Range and Average of Financial Assistance: \$13,182 to \$26,019; \$14,800.

PROGRAM ACCOMPLISHMENTS: For fiscal year 1993, 135 new awards were made. For fiscal year 1994, it is anticipated that 50 new and 89 continuing awards will be made, and that 139 new and continuation scholarship awards will be funded in fiscal year 1995.

INFORMATION CONTACTS:

Regional or Local Office: See Appendix IV of the Catalog for Indian Health Service Area Offices.

Headquarters Office: Program Contact: Mr. Linus Everling, IHS Scholarship Program, Indian Health Service, Public Health Service, Department of Health and Human Services, Twinbrook Metro Plaza, Suite 100, 12300 Twinbrook Parkway, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Contact: Ms. Patricia Lee-McCoy, Grants Scholarship Coordinator, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Public Health Service, Department of Health and Human Services, Twinbrook Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-0243.

RELATED PROGRAMS: None.

EXAMPLES OF FUNDED PROJECTS: In fiscal year 1994, new scholarship recipients will be funded in the pre-professional categories of pre-nursing, premedical technology, pre-physical therapy, pre-engineering, pre-sanitation, and pre-pharmacy only.

CRITERIA FOR SELECTING PROPOSALS: Applicant must be American Indian or Alaska Native. Other elements considered are: (1) Academic performance; (2) faculty recommendation; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions are taken under consideration.

93.972
**HEALTH PROFESSIONS SCHOLARSHIP
PROGRAM**

FEDERAL AGENCY: INDIAN HEALTH SERVICE, PUBLIC HEALTH SERVICE,
DEPARTMENT OF HEALTH AND HUMAN SERVICES

AUTHORIZATION: Indian Health Care Amendments of 1988, Section 104.

OBJECTIVES: To provide scholarships to American Indians and Alaska Natives at health professions schools in order to obtain health professionals to serve Indians. Upon completion, scholarship recipients are obligated to serve in the Indian Health Service or an Indian health organization for each year of support with a minimum of 2 years.

TYPES OF ASSISTANCE: Project Grants.

USES AND USE RESTRICTIONS: Scholarships support students who pursue full-time or part-time courses of study in health professions needed by the Indian Health Service (IHS). Disciplines have included allopathic and osteopathic medicine, dentistry, nursing (baccalaureate and graduate), public health nutrition (graduate), medical social work (graduate), speech pathology/audiology (graduate), optometry, pharmacology and health care administration. Not all disciplines participate each year. Scholarships may not be awarded to students who have conflicting service obligations after graduation or who are not U.S. citizens at the time of application. Recipients are required to perform 1 year of obligated service for each year of scholarship support, with a minimum obligation of 2 years in the Indian Health Service, or in a program conducted under a contract entered into under the Indian Self-Determination Act; or in an Indian health organization assisted under Title V of the Indian Health Care Improvement Act; or in private practice in a Health Manpower Shortage Area which addresses the health care needs of a substantial number of Indians. Deferment for internship, residency, or other advanced clinical training for medical, osteopathy, and dental students is generally not to exceed 3 years, consistent with the specialty needs of the IHS. Currently, 4-year deferments may be granted for obstetrics-gynecology and psychiatry residencies.

ELIGIBILITY REQUIREMENTS:

Applicant Eligibility: Individuals of American Indian or Alaska Native descent are eligible. Applicants for new awards: (1) must be accepted by an accredited U.S. educational institution for a full-time or part-time course of study leading to a degree in medicine, osteopathy, dentistry, or other participating health profession which is deemed necessary by the Indian Health Service; (2) be eligible for or hold an appointment as a Commissioned Officer in the Regular or Reserve Corps of the Public Health Service; or (3) be eligible for civilian service in the Indian Health Service.

Beneficiary Eligibility: Individuals of American Indian or Alaska Native descent; full-time students of medicine or other health professions; prospective or currently Commissioned Officers in the Regular or Reserve Corps of the Public Health Service; and, eligible civilians of the Indian Health Service.

Credentials/Documentation: Students claiming Indian priority must submit proper certification and documentation as specified in the application. All applicants must provide transcripts of their latest academic work. All incoming freshmen are to provide copies of acceptance letters for enrollment in school.

APPLICATION AND AWARD PROCESS:

Preapplication Coordination: Preapplication coordination is not required.

Application Procedure: Application forms and instructions are provided by the Indian Health Service Scholarship Program, acting through the IHS Area offices. ***Award Procedure:*** Priority will be given to applicants who are prior recipients. Special consideration is given to junior and senior level students whose specialty plans are in primary care fields. Criteria which determine competitive ranking for awards may include: (1) work experience, referable health-related in communities of health care need; (2) personal background from a community of health care need; (3) career goals of primary care practice in service to Indians; and, (4) academic performance. Student financial need does not affect selection. ***Deadlines:*** Contact IHS Area offices for application deadlines. New applications are available to interested individuals usually in March with a submission deadline in mid-April.

Range of Approval/Disapproval Time: Applicants notified by September 30.

Appeals: None.

Renewals: Continuation applications must be submitted annually. Continued funding subject to availability of funds and satisfactory performance.

ASSISTANCE CONSIDERATIONS:

Formula and Matching Requirements: This program has no statutory formula or matching requirements.

Length and Time Phasing of Assistance: Tuition payments are paid upon receipt of invoices from the universities. Stipend payments are paid monthly for the 12-month academic period. An amount for books and other necessary expenses is provided to the student. Maximum length of funding is a total of 4 years.

POST ASSISTANCE REQUIREMENTS:

Reports: Students are required to submit copies of their official transcripts as they are received. In addition, recipients must submit initial and mid-term progress reports on forms supplied by IHS. Annual reports are required from schools indicating average student educational expense.

Audits: Not applicable.

Records: The Indian Health Service Scholarship Program, maintains records for 7 years following completion of obligated service or other disposition of the scholarship obligation.

FINANCIAL INFORMATION:

Account Identification: 75-03960-1-551.

Obligations: (Grants) FY 93 \$8,390,213; FY 94 est \$8,782,000; and FY 95 est \$8,967,000.

Range and Average of Financial Assistance: \$12,136 to \$38, 222; \$18,990.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1993, 153 new and 388 continuing scholarships were awarded. It is anticipated that 462 scholarships will be awarded in fiscal year 1994, and 473 scholarships awarded in fiscal year 1995.

INFORMATION CONTACTS:

Regional or Local Office: Contact your local/regional EPA office.

Headquarters Office: Program Contact: Mr. Linus Everling, IHS Scholarship Program, Indian Health Service, Public Health Service, Department of Health and Human Services, Twinbrook Metro Plaza, Suite 100, 12300 Twinbrook Parkway, Rockville, MD 20852. Telephone: (301) 443-6197. Grants Contact: Ms. Patricia Lee-McCoy, Grants Management Branch, Division of Acquisition and Grants Operations, Indian Health Service, Public Health Service, Department of Health and Human Services, Twinbrook, Metro Plaza, 12300 Twinbrook Parkway, Suite 100, Rockville, MD 20852. Telephone: (301) 443-0243.

EXAMPLES OF FUNDED PROJECTS: In fiscal year 1993, there were 455 scholarships awarded to students in the following categories: nursing, medicine, medical technology, health administration, x-ray technology, optometry, dentistry, pharmacy, dental hygiene, dietetics, masters of public health, health education, chemical dependency counseling, speech pathology, health records, engineering, physician assistant, sanitation, and physical therapy.

CRITERIA FOR SELECTING PROPOSALS: (1) academic performance; (2) faculty recommendations; (3) stated reasons for asking for the scholarship; (4) goals of the individual; and (5) the relative needs of the IHS and Indian health organizations for persons in specific health professions.

WRITING THE GRANT PROPOSAL

WRITING THE GRANT PROPOSAL

NOTE: The User should first follow the directions in the program guidance. An example of how to prepare an EJ proposal, with some suggestions on what makes a good proposal is included for your information. The following is given as a suggestion not direction.

There are eight basic components to creating a solid proposal package: (1) the proposal summary; (2) introduction of organization; (3) the problem statement (or needs assessment); (4) project objectives; (5) project methods or design; (6) project evaluation; (7) future funding; and (8) the project budget.

The proposal summary outlines the proposed project and should appear at the beginning of the proposal. It could be in the form of a cover letter or a separate page, but should be brief - no longer than two or three paragraphs. The summary would be most useful if it were prepared after the proposal has been developed in order to encompass all the key summary points necessary to communicate the objectives of the project. It is this document that becomes the cornerstone of your proposal, and the initial impression it gives will be critical to the success of your venture. In many cases, the summary will be the first part of the proposal package seen by agency officials and very possibly could be the only part of the package that is carefully reviewed before the decision is made to consider the project any further.

The applicant must select a fundable project which can be supported in view of the local need. Alternatives, in the absence of Federal support, should be pointed out. The influence of the project both during and after the project period should be explained. The consequences of the project as a result of funding should be highlighted.

The applicant should gather data about its organization from all available sources. Most proposals require a description of an applicant's organization to describe its past and present operations. Some features to consider are: A brief biography of board members and key staff members. The organization's goals, philosophy, track record with other grantors, and any success stories. The data should be relevant to the goals of the Federal grantor agency and should establish the applicant's credibility.

The problem statement (or needs assessment) is a key element of a proposal that makes a clear, concise, and well-supported statement of the problem to be addressed. The best way to collect information about the problem is to conduct and document both a formal and informal needs assessment for a program in the target or service area. The information provided should be both factual and directly related to the problem addressed by the proposal.

USEPA Region VIII
SUGGESTIONS/STRENGTHS/WEAKNESSES
PREPARING AN ENVIRONMENTAL JUSTICE GRANT APPLICATION
May 17, 1996

This information is intended to help you put together a competitive proposal for the Environmental Protection Agency's (EPA) Environmental Justice grant program. Please read the attached Application Guidelines carefully -- this document is intended to enhance not replace the official fiscal year guidance.

◆ **Target your audience carefully**

With relatively small amounts of money to work with, you need to identify a specific group or community to work with in order to develop a program that will give the highest return for you dollars invested.

◆ **Build partnerships and alliances**

You are strongly encouraged to enlist the involvement of groups with similar or related goals in the project and secure their commitment of services and/or dollars. Be sure to document this by obtaining letters of commitment for the appendix of your work plan. Initiate the partnerships early in your planning, since building alliances can take time and effort.

◆ **Do some homework**

Allow time to review the literature on environmental justice issues both within EPA and the community you work with. Find out what materials exist on the subject and procedures you are planning to include in your work plan. Use this information to back up your project decisions or to explain why your group wants to do things a bit differently.

◆ **Develop a project evaluation technique**

Define as carefully and precisely as possible what it is you want to achieve with this project and how you will test how successful it has been. Ask yourself what you expect to be different once the project is complete? Outline a plan you will use to measure the success of your activities/project.

◆ **Develop a timeline**

List the major tasks that will have to be completed to meet the goals of the project. Then break these into smaller tasks and lay them out in a schedule over the twelve months in an annual grant period. Determine what personnel, materials, and other resources you will need to carry out the tasks.

◆ **Develop a budget for a project not over \$20,000**

If your budget is larger than \$20,000 find one piece of the project that can stand alone. Apply for this grant to fund just that one piece of the larger project. Divide your budget into categories such as personnel salaries/fringe benefits, travel, equipment, supplies, contract costs, other.

◆ **Stay within the format**

This makes it easier for the reviewer to read and, therefore, understand your work plan.

Listed are some work plan suggestions.

A work plan describes the applicant's proposed project. Work plans must be no more than five pages total. One page is one side of a single-spaced typed page. The pages must be letter size (8 1/2" x 11"), with normal type size (10 or 12 cpi) and at least 1" margins. The only appendices and letters of support that EPA will accept are a detailed budget, resumes of key personnel, and commitments letters.

Work plans must be submitted in the format described below:

- I. A concise introduction of no more than one page that states the nature of the organization, how the organization has been successful in the past, purpose of the project, project completion plans, target audience, and expected results.
- II. A concise project description of no more than four pages that describes how the applicant plans to meet at least two of the three evaluation criteria outlined in the application guidance. Additional credit will not be given for projects that fulfill more than two criteria.
- III. A conclusion of no more than one page discussing how the applicant will evaluate the success of the project, including the anticipated benefits and challenges in implementing the project.
- IV. An appendix with no more than two pages of resumes of up to three key personnel.
- V. An appendix with one page letters of commitment from other organizations with a significant role in the project. Letters of endorsement are not acceptable.

◆ **Communicate the nature of your project accurately, precisely, and concisely**

Describe exactly what you propose to do, how you are going to do it, when you are going to do it, who will benefit, and how you will know you are successful. Indicate not only what you propose, but also what expertise your group possesses for doing it (include resumes).

EVALUATION OF YOUR PROPOSAL

Your proposal will be evaluated by a committee of EPA Headquarters and Regional environmental justice personnel of diverse personal and professional backgrounds. Final selection is based on a variety of factors, including geographic and socioeconomic balance, diversity, cost of the project and how well the partnership benefits can be sustained after the grant is completed. Below are some common strengths and weaknesses we see in proposals.

COMMON STRENGTHS

- ◆ Project proposal developed solidly from within the community.
- ◆ Broad based community support for a project which has the potential to impact local people.
- ◆ Good partnership with industry, community, and environmental groups. Good coordination with a variety of community groups.
- ◆ Proposal does a good job of outlining a complex problem and approach to solving it -- did not overlook any major issues or key players.
- ◆ Clear identification and background description of population to be served.

- ◆ Proposal clearly demonstrates the need for the project in low income or community of color.
- ◆ Proposed project builds well on existing projects or programs.
- ◆ The scope of the project can be completed in a funding year.
- ◆ Proposal clearly describes how the project will achieve a least two of the three evaluation criteria outlined in guidance.
- ◆ Proposal includes innovative ideas and creative thinking about how to motivate and involve youth in the communities where they live.
- ◆ Honest discussion of challenges involved.

COMMON WEAKNESSES

- ◆ Community members do not appear to have been an integral part of the project planning process.
- ◆ Focus of project is closer to environmental or health education than environmental justice.
- ◆ Not specific enough on what EPA funds will be used for. If proposal is for a project that has a budget over \$20,000, proposal must indicate whether other funding has been secured.
- ◆ Program may be too ambitious for one year.
- ◆ Project funds conferences or dialogues to discuss EJ issues but does not fund activities that make direct changes in a community.
- ◆ Immediacy of need not established.
- ◆ Methods of evaluating the success of the project unclear.
- ◆ Failure to mention other groups they will work with or to secure letters of commitment.
- ◆ Application did not include information that was specifically requested in the solicitation notice.
- ◆ Proposal seeks support for developing general environmental program with little mention of environmental justice issues. Address how the environmental issues facing the community are related to larger environmental justice issues. The link between goals of EPA's environmental justice program and this particular project is not clearly stated.
- ◆ Lots of discussion of overall mission and goals of the organization but not enough detail on how the specific project and activities will help achieve these goals.
- ◆ Applicant not an incorporated and non-profit organization. (Groups can work with other incorporated non-profit organizations in order to submit an application.)

If you are seeking other sources of funding for your project, or should your EPA application not receive funding, the document below could prove useful:

Grant Funding For Your Environmental Education Program: Strategies and Options
 Prepared by The North American Association for Environmental Education in cooperation with U.S.EPA. Available for \$5.00 from NAAEE, Publications Office, P.O. Box 400, Troy, OH 45373

COMPLETING GOVERNMENT FORMS

INSTRUCTIONS FOR COMPLETION OF APPLICATION STANDARD FORMS 424, 424A and 424B

1. **STANDARD FORM 424:** Must be signed by official who has authority to commit applicant agency/organization performance.

Complete all items on the form, except Item No. 4 (Item No. 2 is optional), as follows:

- Item 3 - State Application Identified (SAI)
- Item 6 - Assigned by IRS (obtain from Personnel Office)
- Item 9 - U.S. Environmental Protection Agency, Region VIII
- Item 10 - Insert Catalog of Federal Domestic Assistance number and title, if known
- Item 11 - Objective: Brief Description of Project
- Item 13 - "Start Date" and "Ending Date" should reflect amount of time required to complete scope of work
- Item 14 -
 - a. Congressional District of your Agency
 - b. Congressional District of project
- Item 15 -
 - a. Federal funds requested
 - b. Recipient match
 - g. Total of all funds
- Item 16 - If applicable
- Item 17 - Debt statement
- Item 18 - a, b, and c. Signature, title and date of Agency head who has authority to commit funds for your organization

2. **STANDARD FORM 424A:** Complete both pages

Section A: BUDGET SUMMARY: Complete this section only if you are requesting carryover and new funds.

Section B: BUDGET CATEGORIES: This should show the combined Federal and non-Federal funds distributed by Object Class categories (see Guide for Preparing Budget Detail for list of requirements).

Section C: NON-FEDERAL RESOURCES: Optional.

Section D: FORECASTED CASH NEEDS: Required for Construction Grants and State Revolving Funds; all other programs are optional.

Section E: BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR
BALANCE OF THE PROJECT: Optional.

Section F: OTHER BUDGET INFORMATION: In Block No. 22, insert
percentage rate and dollar amount of the base on which you are
claiming indirect costs. Also, please attach a copy of the current
"Indirect Cost Negotiation Agreement." In Block No. 23, insert the
percentage of your targeted Minority Business Enterprises/Women's
Business Enterprises (MBE/WBE) "Fair Share" of contract/
subcontracts.

3. STANDARD FORM 424B, ASSURANCE: **MUST BE SIGNED BY APPLICANT
AGENCY/ORGANIZATION OFFICIAL WHO SIGNED THE SF-424.**

APPLICATION FOR FEDERAL ASSISTANCE

2. DATE SUBMITTED

APPLICANT IDENTIFIER
(Optional) Assigned by
Applicant

1. TYPE OF SUBMISSION:

3. DATE RECEIVED BY STATE

STATE APPLICATION Required
for Programs covered by EO 12372

Application

Preapplication

4. DATE RECEIVED BY
FEDERAL AGENCY

FEDERAL IDENTIFIER

☐ Construction

☐ Construction

☐ Non-Construction

☐ Non-Construction

Assigned by EPA

5. APPLICANT INFORMATION

Legal Name:

Organizational Unit:

ADDRESS (give city, county, state, and zip code):

Name and telephone number of the person to be contacted
on matters involving this application (give area code).

7. Type of Applicant: (enter appropriate letter in box) ☐

A. State

B. County

C. Municipal

D. Township

E. Interstate

F. Intramunicipal

G. Special District

H. Independent School Dist.

I. State Controlled Inst. of Higher Learning

J. Private University

K. Indian Tribe

L. Individual

M. Profit Organization

N. Other (Specify):

6. Employer identification number Assigned by IRS
Obtain from Personnel Office

☐ ☐ - ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐

8. Type of Application:

☐ NEW

☐ Continuation

☐ Revision

If Revision, enter appropriate letter(s) in box(es): ☐ ☐

A. Increase Award B. Decrease Award C. Increase Duration

D. Decrease Duration Other (specify):

9. Name of Federal Agency:

U.S. Environmental Protection Agency
Region 8, Denver, Colorado 88282-2466

10. Catalog of Federal Domestic Assistance Number

☐ ☐ - ☐ ☐ ☐ ☐

See CFDA Table
Library or ask
State Clearing-
house

Title:

11. Descriptive Title of Applicant's Project:

Objective: Brief Description of Project

12. Areas Affected by Project (cities, counties, states, etc.):

13. Proposed Project:

14. Congressional District Of:

Start Date

Ending Date

a. Applicant Congressional District
where your office is located.

b. Project Congressional District
where project is located.

15. Estimated Funding:

16. Is application subject to review by State Executive Order 12372 Process?

a. Federal

\$

Federal Funds Requested

b. Applicant

\$

Recipient Match

c. State

\$

d. Local

\$

e. Other

\$

f. Program Income

\$

g. Total

\$

Total of All Funds

a. YES This preapplication was made available to
the State Executive order 12372 process for review on:
DATE

b. NO

☐ Program is not covered by E. O. 12372

☐ or Program has not been selected by State for review

17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?

☐ Yes

If "Yes," attach an explanation

☐ No

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA ON THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT.
THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL
COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Typed Name of Authorized Representative

Agency head who has authority to commit funds for your organization

b. Title

Must be signed and dated

c. Phone
Number

Signature of Authorized Representative

e. Date Signed

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	Total (g)
1.						
2.						
3.						
4.						
5. Totals						

SECTION B - BUDGET CATEGORIES

6. Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY				TOTAL (5)
	(1)	(2)	(3)	(4)	
a. Personnel					
b. Fringe Benefits					
c. Travel					
d. Equipment					
e. Supplies					
f. Contractual					
g. Construction					
h. Other					
i. Total Direct Charges (sum of 6a - 6h)					
j. Indirect Charges					
k. TOTALS (sum of 6i and 6j)					
7. Program Income					

SEE GUIDE FOR PREPARING BUDGET DETAIL

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SAMPLE APPLICATION - BUDGET

SECTION C - NON-FEDERAL RESOURCES

(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS
8.				
9.				
10.				
11.				
12. TOTALS (sum of lines 8 - 11)				

SECTION D - FORECASTED CASH NEEDS

	TOTAL for 1st Year	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal					
14. Non-Federal					
15. TOTAL (sum of lines 13 and 14)					

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT

(a) Grant Program	FUTURE FUNDING PERIODS (YEARS)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.				
17.				
18.				
19.				
20. TOTALS (sum of lines 16-19)				

SECTION F - OTHER BUDGET INFORMATION

(Attach additional sheets if Necessary)

21. Direct Charges:	22. Indirect Charges:
23. Remarks	

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*Indirect Rate

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ASSURANCES — NON-CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§ 4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (20 U.S.C. § 794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6106), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§ 1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§ 276a to 276a-7), the Copeland Act (40 U.S.C. § 276c and 18 U.S.C. §§ 874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-333); regarding labor standards for federally assisted construction subagreements.

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10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-90) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. 2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE	
APPLICANT ORGANIZATION		DATE SUBMITTED

CHECKLIST OF REQUIRED FORMS/INFORMATION FOR STATE AND LOCAL GOVERNMENTS

- ☐ STANDARD FORM 424, APPLICATION FOR FEDERAL ASSISTANCE.
- ☐ STANDARD FORM 424A, BUDGET INFORMATION-
NONCONSTRUCTION GRANTS.
- ☐ STANDARD FORM 424B, ASSURANCES.
- ☐ BUDGET DETAIL, INCLUDING A BREAKOUT OF NON-CASH (IN-
KIND) CONTRIBUTIONS. (See example.)
- ☐ EPA FORM 5700-49, CERTIFICATION REGARDING DEBARMENT,
SUSPENSION AND OTHER RESPONSIBILITY MATTERS.
- ☐ ANTI-LOBBYING CERTIFICATION AND DISCLOSURE. (If applying for
more than \$100,000 of Federal funds.)
- ☐ INTER-GOVERNMENTAL REVIEW PROCEDURES. (If program is
covered by state process, application must be submitted to the appropriate
State Clearinghouse, at least 60 days prior to award.)
- ☐ Completed ADDITIONAL APPLICATION INFORMATION SHEET.
- ☐ Current or latest negotiated INDIRECT COST RATE AGREEMENT. (If
indirect costs are being claimed.)
- ☐ WORKPLAN: Should be prepared in accordance with the guidance provided
by your EPA Project Officer.

***ALL FORMS MUST BE SIGNED BY THE APPLICANT OFFICIAL WHO
HAS AUTHORITY TO COMMIT ORGANIZATION PERFORMANCE.***

***ALL FORMS AND DOCUMENTS LISTED ABOVE MUST BE ATTACHED
IN ORDER FOR THE APPLICATION TO BE PROCESSED.***

CHECKLIST OF REQUIRED FORMS/INFORMATION FOR NONPROFIT ORGANIZATIONS

- ☐ STANDARD FORM 424, APPLICATION FOR FEDERAL ASSISTANCE.
- ☐ STANDARD FORM 424A, BUDGET INFORMATION-
NONCONSTRUCTION GRANTS.
- ☐ STANDARD FORM 424B, ASSURANCES.
- ☐ BUDGET DETAIL, INCLUDING A BREAKOUT OF NON-CASH (IN-
KIND) CONTRIBUTIONS. (See example.)
- ☐ EPA FORM 5700-49, CERTIFICATION REGARDING DEBARMENT,
SUSPENSION AND OTHER RESPONSIBILITY MATTERS.
- ☐ ANTI-LOBBYING CERTIFICATION AND DISCLOSURE. (If applying for
more than \$100,000 of Federal funds.)
- ☐ PROCUREMENT SYSTEM CERTIFICATION (EPA FORM 5700-48).
- ☐ INTER-GOVERNMENTAL REVIEW PROCEDURES. (If program is
covered by state process, application must be submitted to the appropriate
State Clearinghouse, at least 60 days prior to award.)
- ☐ Completed ADDITIONAL APPLICATION INFORMATION SHEET.
- ☐ Current or latest negotiated INDIRECT COST RATE AGREEMENT or
COST ALLOCATION PLAN. (If indirect costs are being claimed.)
- ☐ WORKPLAN: Should be prepared in accordance with the guidance provided
by your EPA Project Officer.
- ☐ Other requested documents. (See attached.)

***ALL FORMS MUST BE SIGNED BY THE APPLICANT OFFICIAL WHO
HAS AUTHORITY TO COMMIT ORGANIZATION PERFORMANCE.***

***ALL FORMS AND DOCUMENTS LISTED ABOVE MUST BE ATTACHED
IN ORDER FOR THE APPLICATION TO BE PROCESSED.***

OTHER REQUIRED DOCUMENTS FOR NONPROFIT ORGANIZATIONS

Please provide the following information along with your application:

- ☐ Copy of ARTICLES OF INCORPORATION.
- ☐ Copy of your ORGANIZATION CHART/BOARD OF DIRECTORS.
- ☐ Copy of CERTIFICATE OF GOOD STANDING WITH STATE FOR NONPROFIT STATUS.
- ☐ Copy of TAX NUMBER APPROVAL or APPLICATION TO IRA.
- ☐ Authorized person to sign grants documents, including payment request.
- ☐ Copies of any other information pertinent to your organization.

NOTE: No costs may be incurred until you are notified that grant funds are obligated to your organization.

Any person being paid directly under the grant must be an official employee of your organization.

Any program income to be earned from this project must be reported in advance of the award or as soon as this information becomes known.

<p>CHECKLIST OF REQUIRED FORMS/INFORMATION FOR INDIAN TRIBAL GOVERNMENTS</p>

- ☐ STANDARD FORM 424, APPLICATION FOR FEDERAL ASSISTANCE.
- ☐ STANDARD FORM 424A, BUDGET INFORMATION-
NONCONSTRUCTION GRANTS.
- ☐ STANDARD FORM 424B, ASSURANCES.
- ☐ BUDGET DETAIL, INCLUDING A BREAKOUT OF NON-CASH (IN-
KIND) CONTRIBUTIONS. (See example.)
- ☐ EPA FORM 5700-49, CERTIFICATION REGARDING DEBARMENT,
SUSPENSION AND OTHER RESPONSIBILITY MATTERS.
- ☐ Completed ADDITIONAL APPLICATION INFORMATION SHEET.
- ☐ Current or latest negotiated INDIRECT COST RATE AGREEMENT. (If
indirect costs are being claimed.)
- ☐ WORKPLAN: Should be prepared in accordance with the guidance provided
by your EPA Project Officer.

ALL FORMS MUST BE SIGNED BY THE TRIBAL CHAIRMAN.

***ALL FORMS AND DOCUMENTS LISTED ABOVE MUST BE ATTACHED
IN ORDER FOR THE APPLICATION TO BE PROCESSED.***

**PREPARING A BUDGET,
DETERMINING MATCHING AND
INDIRECT CALCULATIONS
REQUIREMENTS**

GUIDE FOR PREPARING BUDGET DETAIL

There is no blank form to fill in to show itemization of Object Class Categories with appropriate level of detail; therefore, please submit a separate sheet of paper with the proper itemization as shown below.

CATEGORY	INFORMATION TO BE SHOWN
Personnel	<ul style="list-style-type: none"> • Positions of staff required. • Number of estimated hours. • Salary of staff (annual or hourly rate). • Estimates of personnel cost, by position. <p>(NOTE: No cost to be included if this cost is in the Indirect Cost Pool. Include only agency staff to be utilized in whole or in part on this project. Time and distribution of effort records must be kept by <u>pay period</u>.)</p>
Fringe Benefits	<ul style="list-style-type: none"> • Basis upon which fringe benefits are calculated (i.e., salaries).
Travel	<ul style="list-style-type: none"> • Purpose. • Estimated number of trips. • Destination. • Estimated cost.
Equipment	<ul style="list-style-type: none"> • Number and type(s) of equipment to be purchased. • Price of each piece. (NOTE: Equipment is defined in 40CFR, Part 31.3 as "tangible, non-expendable, personal property having a useful life of more than one year and acquisition cost of \$5,000 or more per unit").
Supplies	<ul style="list-style-type: none"> • General breakout of supplies (i.e., office supplies).
Contractual Services	<ul style="list-style-type: none"> • Nature of contract services. • Estimated total cost of each contract.
Construction	<ul style="list-style-type: none"> • If applicable, give a breakout.

Other/In-Kind

- Detail other items with estimated cost of each.
(List items, title, time and cost being used for in-kind match.)

Other/Direct

- Attach a copy of the latest negotiated Agreement with Cognizant Agency with your application.
- Show dollar amount in Section B of the Budget Sheet.
- Show dollar base and percent rate in No. 22 of Section F of the SF-424A form.

**Indirect Cost Rate (ICR)
(No ICR Established
with Another Federal
Agency)**

- Applicants for small grants (which do not exceed \$100,000 in Federal funds), who wish reimbursement for administrative costs, must prepare an Indirect Cost Rate Proposal. The applicant is required to retain their indirect cost rate documentation in their files, subject to audit. The exceptions are Fellowship grants, loans, Senior Environmental Program, Continuing Environmental Programs and State Revolving Fund.

GUIDE FOR MATCHING REQUIREMENTS

To determine matching requirements:

1. The portion of allowable project costs that a recipient or third party contributes toward completing the project; i.e., non-Federal share, matching share.
2. Depends on statutory, regulatory, or program guidance issued from headquarters. EPA has a policy for a minimum 5% match.
3. May be satisfied with cash, applicant in-kind contributions, or third party cash or in-kind contributions.
4. Contribution may not be paid with Federal funds or with property or services received under another assistance agreement (except funds received under the Indian self-determination act).
5. Contribution must be:
 - Negotiated before and specified in the assistance agreement.
 - Verifiable from records.
 - Used exclusively for a single project. (It cannot be used if it has been or will be counted towards satisfying a matching requirement of another Federal grant, or any other award of Federal funds.)
 - Properly allocable and allowable under the project.
6. Non-monetary Contributions (in-kind)
 - The fair market value of a non-cash contribution to meet a recipient's cost sharing requirement.

Examples are:

Facilities, such as office space and classrooms; laboratory equipment, such as office machines or teaching aids.

Materials, such as office, maintenance or classroom supplies.

GUIDE FOR CALCULATING RECIPIENT MATCH

To calculate recipient match:

1. The Federal share divided by the Federal percentage equals the total cost.
2. The total cost minus the Federal share equals the recipient share.

Examples:

$\$75,000 \text{ (Federal Share)} \div 90\% \text{ (Federal Percentage)} = \$83,333 \text{ (Total Cost)}$

$\$83,333 \text{ (Total Cost)} - \$75,000 \text{ (Federal Share)} = \$8,333 \text{ (Recipient Share)}$ or 10 percent of the Total Cost.

GUIDE FOR CALCULATING INDIRECT COST AMOUNT BASED ON TOTAL DIRECT COST

To calculate the indirect cost amount based on the total direct cost:

1. The total divided by the indirect cost rate, plus 1.
2. The total minus the total direct cost equals the indirect cost.

Examples:

$$\$78,947 \text{ (Total)} \div 1.139 \text{ (Rate + 1)} = \$69,313 \text{ (Total Direct cost)}$$

$$\begin{aligned} & \$78,947 \text{ (Total)} - \$69,313 \text{ (Total Direct Cost)} = \\ & \$9,634 \text{ (Indirect Cost Amount)} \end{aligned}$$

Check:

$$\begin{aligned} & \$69,313 \text{ (Total Direct)} \times 13.9 \text{ (Approved Rate)} = \\ & \$9,634 \text{ (Indirect Cost Amount)} \end{aligned}$$

INTERGOVERNMENTAL REVIEW PROCEDURES

INTERGOVERNMENTAL REVIEW PROCEDURES

The EPA program for which you are applying may be subject to your State's intergovernmental review process and/or the consultation requirements of Section 204, Demonstration Cities and Metropolitan Development Act.

You must contact your State's single point of contact to find out if the program was selected for review by the State process and, if the program was selected, to receive information about your State's review process requirements and procedures.

The present roster of Region VIII Single Point of Contacts is listed below.

Colorado

No state review process

Montana

No state review process

North Dakota

North Dakota Single Point of Contact
Office of Intergovernmental
Assistance
600 East Boulevard Avenue
Bismarck, North Dakota 585-0170
Telephone: (701) 224-2094
FAX: (701) 224-2308

South Dakota

No state review process

Utah

Carolyn Wright
Utah State Clearinghouse
Office of Planning and Budget
Room 116 State Capitol
Salt Lake City, Utah 84114
Telephone: (801) 538-1535
FAX: (801) 538-1547

Wyoming

Sheryl Jeffries
State Single Point of Contact
Herschler Building
4th Floor, East Wing
Cheyenne, Wyoming 82002
Telephone: (307) 777-7574
FAX: (307) 638-8967

NOT APPLICABLE FOR TRIBAL GOVERNMENTS

US EPA REGIONAL CONTACTS

**US ENVIRONMENTAL PROTECTION AGENCY
REGIONAL OFFICES
(States in Region)**

Region I

One Congress Street
John F. Kennedy Federal Building
Boston, MA 02203-0001
(617) 565-3420
(CT, ME, MA, NH, RI, VT)

Region VI

Fountain Place 12th Floor
1445 Ross Avenue, Suite 1200
Dallas, TX 75202-2733
(214) 665-6444
(AR, LA, NM, OK, TX)

Region II

290 Broadway
New York, NY 10007-1866
(212) 637-3000
(NJ, NY, PR, VT)

Region VII

726 Minnesota Avenue
Kansas City, KS 66101
(913) 551-7000
(IA, KS, MO, NE)

Region III

841 Chestnut Building
Philadelphia, PA 19107
(215) 566-5000
(DE, DC, MD, PA, VA, WV)

Region VIII

999 18th Street, Suite 500
Denver, CO 80202-2466
(303) 312-6312
(CO, MT, ND, SD, UT, WY)

Region IV

345 Courtland Street, N.E.
Atlanta, GA 30365
(404) 347-4727
(AL, FL, GA, KY, MS, NC, SC, TN)

Region IX

75 Hawthorne Street
San Francisco, CA 94105
(415) 744-1305
(AZ, CA, HI, NV, AS, GU)

Region V

77 West Jackson Boulevard
Chicago, IL 60604-3507
(312) 353-2000
(IL, IN, MI, MN, OH, WI)

Region X

1200 Sixth Avenue
Seattle, WA 98101
(206) 553-1200
(AK, ID, OR, WA)

REGION 5
TRIBAL ASSISTANCE PROGRAM OFFICE
 999-18th Street
 Denver, Colorado 80202

(Rev 4/96)

TRIBE	TRIBAL PROGRAM MANAGER	TELEPHONE
Assiniboine and Sioux Tribes of the Fort Peck Indian Reservation Blackfeet Tribe of the Blackfeet Indian Reservation Chippewa-Cree Indians of the Rocky Boy's Reservation Confederated Salish & Kootenai Tribes of the Flathead Reservation Crow Tribe of the Crow Indian Reservation Fort Belknap Indian Community of the Fort Belknap Indian Reservation Northern Cheyenne Tribe of the Northern Cheyenne Indian Reservation	Barbara Burkland	(406) 441-1140 ext. 236
Shoshone and Arapahoe Tribes of the Wind River Reservation Southern Ute Indian Tribe of the Southern Ute Indian Reservation Ute Indian Tribe of the Uintah & Ouray Reservation Ute Mountain Tribe of the Ute Mountain Reservation	Donna Jackson	(303) 312-6281
Northwestern Band of the Shoshone Nation Paiute Indian Tribe Skull Valley Band of Goshute Indians	Gary Davis	(303) 312-6348
Cheyenne River Sioux Tribe of the Cheyenne River Indian Reservation Crow Creek Sioux Tribe of the Crow Creek Reservation Flandreau Santee Sioux Tribe Yankton Sioux Tribe	Kathy Dolan	(303) 312-6497
Lower Brule Sioux Tribe of the Lower Brule Reservation Oglala Sioux Tribe of the Pine Ridge Reservation Rosebud Sioux Tribe of the Rosebud Indian Reservation Standing Rock Sioux Tribe of North and South Dakota	Lee Roberts	(303) 312-6324
Devils Lake Sioux Tribe Sisseton - Wahpeton Sioux Nation of the Lake Traverse Reservation Three Affiliated Tribes of the Fort Berthold Reservation Turtle Mountain Band of Chippewa Indians of North Dakota	Judy Hervig	(303) 312-6291
Denver Office Backup to Montana Tribes	Leslie Zawacki Marcella DeVargas	(303) 312-6321 (303) 312-6290
Regionwide Internship Program	Cornelia Maes	(303) 312-6248
Administrative Support	Frank Sherwood Joan Ortega	(303) 312-6116 (303) 312-6323
Office Director	Sadie Hoskie	(303) 312-6343
INTERNET Address	LASTNAME.FIRSTNAME@EPAMAIL.EPA.GOV	

Region VIII Environmental Justice Program Staff

Elisabeth Evans	(303) 312-6053
Patricia Denham	(303) 312-6557
Cory Potash	(303) 312-6556
Eduardo Quintana	(303) 312-6046
Nancy Reish	(303) 312-6040
Deldi Reyes	(303) 312-6055

Fax # (303) 312-6826

1-800-227-8917 Region VIII

National Environmental Justice Hotline # 1-800-962-6215