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5 **Genetically Engineered Plants in the Environment--Applications and Issues**
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ABSTRACT

Almost 20 years have past since the first report of genetic engineering of plants. During that time, significant technical advances have been made in plant transformation, in gene isolation and design and in the regulation of gene expression. Increasing numbers of food, fiber and horticultural species can be engineered with a broad range of engineered traits of potential value for agricultural, human health and environmental clean up applications. The majority of early commercial product candidates have been herbaceous crop plants engineered for resistance to agronomic pests or to herbicides. Field testing of genetically engineered plants has occurred in numerous countries. However, many unresolved environmental, regulatory, proprietary and public acceptance issues remain. An overview of the types of engineered plant products that are being developed is presented. Reported non-target effects of genetically engineered plants on plant, microbial and invertebrate populations are summarized. Research to assess the potential long term non-target ecological and health effects of engineered plants is proposed. Technical and non-technical points to consider in developing and releasing genetically engineered plants are also discussed.

key words: genetically engineered plants, non-target ecological effects, risk assessment, transgenic plants

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INTRODUCTION

Selection of natural variants and specific breeding of plants for given agronomic, horticultural or silvicultural applications are commonly used crop improvement practices. In conjunction with fertilizers, weed and pest control, plant breeding efforts have been very successful in producing plants with improved yields and desired crop quality characteristics. For the most part, planned introductions of herbaceous and woody plants, even in geographies outside their native ranges, have proceeded without adverse ecological or health effects. Many of our major crop species, such as wheat, corn, rice, potatoes, and soybeans, have been successfully introduced world-wide (80). However, numerous escapes from cultivation of non-engineered agronomic, horticultural and tree species are causing unwanted ecological effects. Examples include kudzu, johnson grass, purple loosestrife and *Melaleuca* (64, 111, 112, 131, 163, 172, 177). With genetic engineering, traditional breeding barriers between plants can be overcome, thereby making possible the creation of truly novel plants. Genes from other species or genera of plants, and even genes from microbes and animals, are being introduced into an increasingly broad array of herbaceous and woody, food, fiber, ornamental and specialty crop (e. g., nut and vine) species to create engineered plants having desired characteristics (44, 48, 50, 77, 126, 146). As these novel plants rapidly progress from laboratory culture to greenhouse and field testing (86, 149) and into commercial production, tests of their efficacy and yields tend to be well addressed. However, the degree of evaluation of their potential ecological impacts and human

1 biosafety may vary, depending in part on the existence and rigor of regulations (104). Typically,
2 assessment for ecological and human safety consists of short term, single species, toxicity tests
3 done under laboratory conditions. The objectives of this review are to (a) provide a brief
4 summary of the major proposed applications of engineered plants, (b) highlight published results
5 on their potential non-target effects, (c) suggest points to consider in developing and releasing
6 engineered plants, (d) propose research needed to identify potential long term effects of releasing
7 engineered plants and (e) briefly discuss potential impacts of regulations, intellectual property
8 rights and public acceptance on the development, safety evaluation and commercialization of
9 engineered plant products.

11 CREATING ENGINEERED PLANTS

13 *Agrobacterium*, particle guns and electroporation represent three major, not necessarily
14 mutually exclusive or equally effective, approaches for introducing genes into plants (48, 50, 77,
15 126, 146). In spite of the relative ease of transformation of many plant species, some species,
16 particularly legumes, cereals, and woody species, often remain recalcitrant to transformation
17 simply by treatment of tissue surfaces, cells or protoplasts with engineered *Agrobacterium* (106,
18 126, 162). Higher rates of transformation may sometimes be achieved by using physical
19 approaches such as mechanical energy or electrostatic forces to introduce organisms, plasmids,
20 transposable elements or nucleic acids containing sequences of interest (24, 25, 26, 68, 90, 146).
21 In ballistics-based approaches, gold or other non-biological particles coated with *Agrobacterium*,

1 plasmids or nucleic acid sequences are literally explosively propelled into plant host tissues,
2 embryos or cells. In electroporation, charge differences are applied to facilitate entrance of
3 engineered plasmids or nucleic acids into recipient cells or protoplasts. Preliminary estimates of
4 transformation success may be obtained in each of these systems by using selective marker genes,
5 typically for antibiotic resistance, which allow transformed survivors to grow on selective media.
6 Reporter genes such as the *GUS*, Green Fluorescent Protein and *lux* systems, which produce
7 visible stains or emit visible or fluorescent light respectively, also may be used to quantify gene
8 expression and study gene regulation in transformants (69, 107, 115, 128, 151). Various nucleic
9 acid-based amplification and hybridization methods and protein- based immunological techniques
10 may additionally or alternatively be used to detect and quantify gene expression, and to select
11 candidates for advanced testing and breeding efforts (57, 65, 135). Ideally, transformants having
12 desired expression levels can be regenerated into whole plants and used in subsequent seed
13 increase and traditional breeding efforts to produce plants which stably express the desired gene
14 in progeny which are agronomically fit (17, 85). To help protect proprietary interests, additional
15 engineering steps may be taken to introduce genes for seed sterility. Use of this controversial
16 seed sterilizing technology to prevent farmers and growers from saving seed for replanting and
17 breeding purposes respectively, has been termed “terminator” technology in the public press (28,
18 81, 139).

19

20 **PROPOSED USES OF GENETICALLY ENGINEERED PLANTS**

21

1 In addition to numerous journal articles and reviews (19, 49, 171), various academic, trade
2 and activist newsletters provide periodic updates on the kinds of engineered plants which are
3 being developed and field tested (54, 66, 156).

4
5 Three categories which broadly describe the proposed applications of engineered plants
6 are crop protection, crop quality and specialty uses. The crop protection category includes
7 plants designed for resistance to insect pests, to plant diseases and to herbicides. Examples of
8 plants designed for protection against lepidopteran pests such as hornworms, ear worms,
9 budworms and bollworms, include tomatoes, corn and cotton that express pesticidal delta-
10 endotoxin or *cry* genes from *Bacillus thuringiensis* var. *kurstaki* (*B.t.k.*), (34, 47, 122). Addition
11 of genes to express serine protease inhibitors also has been explored as a means of increasing
12 *B.t.k.* activity (51, 93). Crystal toxin genes from *Bacillus thuringiensis* var. *tenebrionis* (*B.t.k.*)
13 have been used to confer resistance to a coleopteran insect, the Colorado potato beetle (103).

14
15 Disease resistance strategies have focused primarily on control of viral and fungal diseases
16 of plants. Incorporation of viral coat protein genes, anti-sense or ribozyme sequences has been
17 explored as means of conferring resistance to viruses such as TMV and ToMV in tobacco and
18 tomato, CMV in cucurbit crops and tomatoes, and PVX and PVY in potatoes (9, 21, 29, 60, 73,
19 89, 161). To achieve resistance to fungal pathogens, sequences encoding hydrolytic enzymes
20 such as chitinases, gluconases and phosphatases have been inserted into plants such as tobacco,
21 potatoes, corn and roses (18, 96, 152, 167). Other disease resistance strategies include insertion

1 of sequences to express anti-bacterial cysteine-rich peptides such as thionins and defensins (20),
2 or production of more broadly active ribosome inactivating proteins (92, 169). Insertion of
3 glucose oxidase genes has been postulated to result in increased production of hydrogen peroxide,
4 which may be directly toxic to some pathogens; the hydrogen peroxide in turn, has been
5 suggested to activate or enhance the protective response of plant systemic acquired resistance
6 (SAR) mechanisms (152). Use of genetic engineering to increase production of secondary
7 metabolites such as chalcones also has been proposed as a mechanism to confer plant disease
8 resistance (109). Genetically engineered plants are also being developed as tools to better
9 understand, induce and regulate SAR responses (22, 37).

10

11 Mechanisms to confer herbicide resistance include insertion of plant or bacterial genes
12 which encode enzymes for herbicide inactivation or degradation, or for inactivation of target sites
13 (27, 63, 76, 120). For example, bromoxynil resistant cotton and canola have been produced by
14 introducing microbial genes for the enzyme nitrilase, which can degrade bromoxynil (147), and
15 resistance to the herbicide glufosinate has been achieved by cloning the gene for phosphinothricin
16 acetyl transferase from an actinomycete into crop plants (63). Introduction of plant or microbial
17 sequences encoding modified EPSP (5-enolpyruvyl-3-phosphoshikimate) synthases, has been used
18 to produce soybean, cotton and canola plants tolerant to the herbicide glyphosate (76).

19

20 The major objectives of crop quality improvements are the modification of traits to
21 enhance nutritional benefits to consumers or economic benefits to growers and food processing

1 companies. Approaches to increase levels of the antioxidant, vitamin E, have been described
2 (113, 141). To produce oils more suitable for cosmetic uses or for human consumption
3 respectively, the degree of saturation of lipids can be increased or decreased using anti-sense
4 technology (82, 83). Anti-sense technology also has potential applications in controlling shelf
5 life of produce by inhibiting expression of enzymes involved in cell wall degradation. Using
6 altered plant or microbial genes for enzymes involved in sugar and starch biosynthesis, starch
7 levels can be increased in corn and potato cultivars used as starch or ethanol sources; they also
8 can be used to decrease starch and associated oil absorption in potato cultivars used for French
9 fries (49, 140). Genetic engineering may also be used to either increase the gluten content of
10 wheat flour used in making bread or decrease it in flour used for making pastries (15).

11

12 A major proposed specialty application of engineered plants includes production of
13 pharmaceuticals. Plants have been engineered to produce vaccines, antibodies and peptides (e. g.,
14 enkaphalins and inteferons), for veterinary and human therapeutic uses (10, 61, 99, 119, 144,
15 165, 183). Plants also have been designed to produce industrial enzymes including bacterial
16 alpha-amylase, which may be useful in food and beverage processing and in stain removal, and to
17 produce fungal lignin-peroxidase to degrade wastes from pulp mills (6). They also have been
18 proposed as production sources for plastics and pigments (70, 123, 154).

19

20 Phytoremediation is attractive as a lower cost, *in situ* alternative to transporting
21 contaminated soils for clean up by extraction or incineration methodologies (4, 46, 134, 136).

1 Engineering of plants to selectively develop rhizosphere flora capable of degrading specific
2 xenobiotic compounds has been proposed (117). Pesticide and heavy metal tolerant plants for
3 use in clean up of polluted soils, for treatment of industrial waste streams and as environmental
4 biosensors also have been proposed (84, 110, 166). Mechanisms for phytoremediation include
5 enzyme secretion by roots, to degrade xenobiotic chemicals in soil, and binding of metals to
6 introduced metallothioneins or peptides (108, 148).

7
8 Strategies to produce plants tolerant to natural stressors such as freezing temperatures
9 and salts, have been described which utilize gene sources ranging from bacteria to fish (7, 55, 62,
10 102, 155, 181, 182). Availability of plants able to grow in physically and chemically demanding
11 environments could result in a redefinition of current concepts of arable soils. Coupled with
12 increases in photosynthetic efficiency and modifications in patterns of carbon allocation (75, 95).
13 improved stress tolerance could lead to the development of plants customized for optimal growth
14 and yield even in areas which have short growing seasons and suboptimal growing conditions.

15 16 **POTENTIAL NON-TARGET ECOLOGICAL AND HEALTH EFFECTS OF** 17 **ENGINEERED PLANTS**

18
19 Numerous international symposia have been held to discuss proposed applications and
20 biosafety considerations for the release of engineered plants (Table 1). In part because of the
21 newness of the technology, relatively little is available in the peer-reviewed literature on observed

1 non-target ecological effects of engineered plants. Most of the ecological risk concerns have
2 focused on three areas: (a) the potential for gene flow and outcrossing of herbicide resistance
3 genes, with the resultant development of crop-weed hybrids (b) the development of resistance to
4 *B. t. k.* delta-endotoxins in lepidopteran insect pest populations and (c) the effects of pesticidal
5 plants on soil foodweb biota.

6
7 Many of the early and continuing concerns regarding the release of engineered plants have
8 centered on the potential for escape of herbicide resistant plants or their genes, to crop and non-
9 crop relatives (5, 11, 16, 30, 31, 32, 33, 45, 53, 58, 71, 74, 78, 79, 97, 129, 130, 138, 145, 157,
10 158, 159, 179). Publications on gene flow between engineered and non-engineered plants in
11 greenhouse or field situations are becoming increasingly available (12, 23, 36, 91, 127).
12 Accordingly, strategies to reduce and manage the risks of gene flow from engineered plants are of
13 interest, and have been discussed (72, 133). For cotton, soybeans and corn, which at least in the
14 major growing areas in the continental U.S., do not have closely related wild relatives, outcrossing
15 has not been a significant concern (145). However in the U.S., where sunflowers, cucurbits and
16 radish have wild relatives; in Canada and the northern U.S., where canola may coexist with wild
17 mustards; and in Europe, where wild beets may coexist in proximity to cultivated sugarbeet
18 crops; herbicide resistant gene flow to wild relatives could result in the creation of crop-weed
19 hybrids. A recent report suggesting enhanced outcrossing of transgenic plants (12) is of
20 particular ecological concern; it highlights a need to carefully monitor the outcrossing rates of
21 genetically engineered plants. Additional factors which need to be looked at in longer term

1 studies of potential non-target effects of gene flow from transgenic plants are viable seed
2 production, spread and persistence of crop-weed hybrids.

3

4 Preventing or decreasing resistance development to *B. t. k.* delta endotoxins in target and
5 non-target susceptible lepidopteran insect populations, has received much attention from
6 entomologists (2, 59, 67, 100, 101, 132, 153, 164). Recently, a major agricultural biotechnology
7 company announced that growers should plant areas adjacent to fields planted with insect
8 resistant engineered corn, with non-insecticidal cultivars (173). These areas would serve as
9 refugia, in which target pest populations would not be exposed to the pesticidal proteins.
10 Entomologists have additionally recommended that “pyramiding”, the use of multiple engineered
11 and non-engineered genes to confer resistance to target pests, should also be considered as part of
12 an over all strategy to slow down resistance development in target pest populations (132).

13

14 Several studies are available on the short term ecological effects of engineered plants
15 containing insecticidal genes on soil foodweb components. Using a broad array of techniques,
16 changes in the size and diversity of bacterial, fungal and plant feeding nematode populations and
17 in soil enzyme activities, have been found in soil exposed to leaf litter from cotton expressing the
18 *B.t.k.* delta-endotoxin gene and potato plants expressing the *B.t. t.* crystal protein gene (39, 40).
19 Similarly, soil incorporation of tobacco leaves expressing an insecticidal protease inhibitor,
20 resulted in changes in soil respiration and in populations of nematodes, protozoans and
21 microarthropods (42). Using immunological methods, the persistence of *B.t.k.* delta-endotoxin

1 and protease inhibitor in engineered leaves of cotton and tobacco respectively, was observed for
2 several months following incorporation into soil (42, 121). Using molecular methods, antibiotic
3 resistance marker sequences in plasmid DNA and in potato leaf litter, could be detected for
4 months following incorporation into field soils and soil microcosms, respectively (175, 176).
5 Non-target effects of alfalfa plants designed for industrial enzyme production include changes in
6 the community composition and substrate utilization patterns of microbial populations,
7 decreases in plant biomass and changes in nutrient content of both greenhouse grown and field
8 grown engineered alfalfa plants (35, 41, 170). Differences have been noted in endophytic and
9 rhizosphere microbial communities between nonengineered canola cultivars and those engineered
10 to be herbicide resistant (143). Delays and decreases in arbuscular mycorrhizal infection have
11 been observed in some tobacco transformants engineered to express phosphatases for fungal
12 disease resistance (167). In contrast, tobacco engineered for disease resistance with defensin
13 genes had no inhibitory effect on arbuscular mycorrhizal infection (13). In alfalfa containing a
14 fungal lignin-peroxidase gene, a trend toward decreased arbuscular mycorrhizal infection was
15 observed in plants grown in greenhouses (170). An excellent review is available which
16 summarizes the potential and reported effects of transgenic plants producing anti-bacterial and
17 antifungal proteins on saprophytic soil microflora (56). A summary of reported short term non-
18 target effects of engineered plants expressing traits for insect, disease or herbicide resistance and
19 for production of specialty chemicals is presented in Table 2.

20

21 The possibility exists that non-target or unintended effects may be the result of

1 somaclonal variation. For example, in a study of non-transformed cotton plants regenerated from
2 tissue culture, significant differences in boll and seed number and in fiber quality were attributed
3 to somaclonal variation (3). It is thus conceivable that some non-target or unintended effects of
4 engineered plants may not be due to direct or indirect activities of engineered gene products *per*
5 *se*, but to somaclonal variation. Additional possibilities include positional and pleiotropic effects
6 related to where introduced genes have inserted into the host plant genome. The generally
7 random nature of gene insertion may result in activation or inactivation of genes having functions
8 which differ from those of the inserted gene. Whether introduced genes, somaclonal variation,
9 positional or pleiotropic effects result in unwanted agronomic, health or ecological effects or
10 potentially yield and crop quality benefits, are areas deserving of careful screening, selection and
11 monitoring (39, 105, 180).

12
13 Few reports are available in the peer reviewed literature on evaluation of potential effects
14 of engineered plants on human health (52, 114). Development of allergenicity to proteins in
15 engineered plants is a potential concern, since it may not be apparent with short term, single
16 acute exposures. Over a long period of cumulative dietary or contact exposure however,
17 susceptible individuals may develop allergic responses to these proteins. Interest in modifying
18 seed storage proteins, such as those found in soybeans or Brazil nuts (87) appears to have
19 waned, in large part due to allergenic concerns (116). Another human health concern which has
20 been raised and debated, and which is more of a concern in some parts of the world than in
21 others, is transfer of antibiotic resistance from genes in ingested plant tissues to human gut flora.

1 Because of their common use as selective markers to facilitate the detection of transformants.
2 DNA encoding resistance to antibiotics such as streptomycin and kanamycin, is present in many
3 engineered plant tissues. Potential ways to minimize concerns about the transfer of antibiotic
4 resistance genes from plant tissue to intestinal flora are utilization of transformation strategies
5 which can avoid the use of antibiotic resistance markers, removal of antibiotic resistance genes by
6 genetic and biochemical means, or determination of acceptable levels of risk.

7

8 **REGULATORY AND ECONOMIC POINTS TO CONSIDER IN DEVELOPING** 9 **GENETICALLY ENGINEERED PLANTS**

10

11 It is understandably difficult to propose universally applicable testing requirements for
12 the broad spectrum of engineered gene and plant possibilities. Arguments for case by case
13 regulation or no regulation, have thus sometimes been brought forward. Both national and
14 international efforts continue to harmonize regulations for environmental testing permits and for
15 commercial use registrations (168). International entities such as the Organization for Economic
16 and Community Development (OECD), the United Nations Industrial Development
17 Organization and national Departments, Agencies and Ministries of Agriculture, Food Safety, the
18 Environment and Forestry of individual countries, may each provide varying degrees of oversight
19 and regulatory guidance. Based on the nature of specific crops and traits, either engineered plants,
20 or their active (engineered) ingredients may be regulated. For example, in the U.S., shipment and
21 field tests of engineered plants are regulated by the U.S. Department of Agriculture (U.S.D.A.),

1 the active engineered pesticidal ingredients expressed in engineered pestididal plants are regulated
2 by the U.S. Environmental Protection Agency (U.S.E.P.A.), and food additives are regulated by
3 the Food and Drug Administration (F.D.A.). An overview of the coordination of the various
4 roles of U.S.D.A., F.D.A. and E.P.A., under their respective statutory authorities (Plant Pest
5 Act; Federal Insecicide, Fungicide and Rodenticide Act and the Toxic Substances Control Act;
6 Federal Food, Drug and Cosmetic Act), have recently been summarized (8). In addition to federal
7 regulations, individual states may have notification, permitting or other regulatory requirements.
8 Points that may be useful to consider as engineered plants are developed, tested and considered
9 for commercialization, are presented in Table 3. These include the market need for that type of
10 plant product, efficacy, economic returns and short and long term non-target ecological and health
11 effects.

12 13 **RESEARCH NEEDS FOR ASSESSMENT OF NON-TARGET ECOLOGICAL EFFECTS** 14 **OF ENGINEERED PLANTS**

15
16 Given the published observations on outcrossing potential of genes to weeds, effects on
17 the size and diversity of soil foodweb populations and on host plants, a need for longer term
18 ecological monitoring seems apparent. For example, if plants designed for phytoremediation,
19 crop protection or specialty chemical production result in accumulation of toxic compounds in
20 their shoots or rhizospheres, potential impacts on herbivores, pollinators, pathogens, pests,
21 symbionts, detritovores and saprophytes might be anticipated. Downstream effects on rates of

1 litter decomposition and nutrient cycling could also develop. Similarly, escape, persistence and
2 reproduction of herbicide tolerant plants or crop-weed hybrids, could bring about changes in
3 plant community composition, much in the same way that exotic weeds have invaded and altered
4 rangelands, grasslands, wetlands and forests.

5
6 The effects of potential changes in agronomic practices necessitated by the use of
7 engineered crops, also need to be addressed. If the types and rates of agricultural chemical
8 application for the engineered crop differ from those of non-engineered cultivars, the associated
9 spectrum of pests and pathogens may change on each. The pest and pathogen spectrum may also
10 change on adjacent fields of other crops and on non-crop plant species. If modified crop chemical
11 recommendations are needed for engineered cultivars, the impacts of both crop and chemicals on
12 subsequent crop rotation and chemical options also will need to be considered.

13
14 Reliance on methods used to monitor the fate, transport and persistence of chemicals may
15 not be sufficient or even appropriate, for novel biologicals produced by some kinds of engineered
16 plants. Similarly, use of single species, short term test systems may not be appropriate or
17 sufficient for some types of engineered plant products. Increased attention should be given to
18 utilizing and developing methods to look at both short and long term soil foodweb, trophic and
19 community level responses, as alternatives or supplements to single species acute toxicity tests.
20 Studies are needed to determine if long term dietary or contact exposure to engineered products
21 may lead to toxicity in wildlife and humans and development of allergenicity to humans.

1
2 Use of modern molecular and immunological methods, often similar or identical to those
3 used in gene isolation and in quantification of gene expression, may facilitate detection, tracking
4 and fate of engineered genes and gene products (121, 125, 142, 175, 176). Knowledge of the
5 degree of spread of transgenic genes and genetically engineered plants can then be used to design
6 and implement control strategies appropriate (i. e., if needed and in accordance with determined
7 risks), for escaped and persistent genetically engineered crops and crop-weed hybrids. Fig. 1
8 summarizes the major types of targeted applications of engineered plants; it also highlights areas
9 where research may be needed to identify and mitigate potential long term non-target ecological
10 and health effects of genetically engineered plants.

11 12 **NON-ECOLOGICAL ISSUES REGARDING THE RELEASE AND** 13 **COMMERCIALIZATION OF GENETICALLY ENGINEERED PLANTS**

14
15 It is clear that many different kinds of plants can and have been genetically engineered for
16 diverse, novel and potentially useful agronomic and specialty chemical applications. Issues that
17 are unclear and which continue to be debated in the media, court rooms, board rooms and in the
18 court of public opinion, are who if anyone, should own or manipulate genes or life forms initially
19 found in nature (38, 150). In many countries, regulatory paths remain unclear, or are not yet in
20 place. Even as commercial products have begun to be marketed, difficult questions regarding
21 regulation, ownership and public acceptance persist (137, 139). Cost of access to engineered

1 seed and biological, marketing and contractual strategies to limit growers attempts to save and
2 replant seed progeny, can become challenging public relations issues (81, 174). Patents may
3 barely be granted and licensing agreements signed, before they are challenged in court (1, 14, 43,
4 98, 118, 124). Licensing and royalty fees may be paid simply as costs of doing business, to
5 avoid lengthy and costly legal battles over patent rights.

6 7 **CONCLUSIONS**

8
9 Technical advances now permit introduction of genes from diverse sources into a broad
10 array of herbaceous and woody food and fiber crops. Engineered plants have begun entering
11 commerce, particularly in the U.S., and are being tested in numerous countries. Gene flow
12 studies have documented transfer of engineered genes to crop and weed species; soil foodweb
13 studies have demonstrated effects of several types of engineered plants on microbial and
14 invertebrate populations in soil. Consequently, there is a need for ecological and health effects
15 studies to be performed both prior to and after broad scale release. In addition, monitoring and
16 mitigation plans are needed to help ensure the long term environmental and human safety of
17 releasing and using engineered plant products.

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TABLE 1. Examples of meetings on biosafety and risk assessment of engineered plants

Meeting/Title	Location/Year Held	Editor/Publisher/Date
1st International Symposium on the Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms	Kiawah Island, SC, 1990	MacKenzie, D.R., Henry, S.C. (eds.). Agricultural Research Institute, 1991.
Pesticidal Transgenic Plants: Product Development, Risk Assessment and Data Needs	Annapolis, MD, 1990	US EPA, Office of Pesticide Programs, 1991
Workshop on Safeguards for Planned Introduction of Transgenic Oilseed	Ithaca, NY, 1990	USDA, Animal and Plant Health Inspection Service, 1990
Symposium on Ecological Implications of Transgenic Plant Release	College Park, MD, 1992	Levin, M. and R.J. Seidler (eds.), Blackwell Scientific Publ., Oxford, UK. <i>Molecular Ecol.</i> 3:1-90, 1994
2nd International Symposium on the Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms	Goslar, Germany, 1992	Casper, R., Landsmann, J. (eds.). Biologische Bundesanstalt fur Land-und Forstwirtschaft, 1992
Toward Enhanced and Sustainable Agricultural Productivity in the 2000's: Breeding Research and Biotechnology	Taipei, Taiwan, 1993	Academia Sinica, Nankang, Taichung District Agricultural Improvement Station, 1994
3rd International Symposium on the Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms	Monterey, CA, 1994	Jones, D. D. (ed.), University of California, 1994
OECD Workshop on Ecological Implications of Transgenic Crop Plants Containing <i>Bacillus thuringiensis</i> Toxin Genes	Queenstown, New Zealand, 1994	Hokkanen, H.M.T. (ed.), University of Helsinki, Finland, 1994
Herbicide-resistant Crops: a Bitter or Better Harvest?	Memphis, TN, 1995	Southern Weed Science Society, Champaign, IL, 1995
Dialogue on Risk Assessment of Transgenic Plants: Scientific, Technological and Societal Perspectives	Dornach, Switzerland, 1997	Heaf, D. (coordinator), Ifene, UK, 1997
4th International Symposium on the Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms	Tsukubamachi, Japan, 1997	Matsui, S., Miyasaki, S., Kasamo, K. (eds.). Japan International Research Center for Agricultural Sciences, 1997
Virus-resistant Transgenic Plants: Potential Ecological Impact	Godollo, Hungary, 1997	Tepfer, M. (ed.), Springer Verlag, Berlin, Germany, 1997
5th International Symposium on The Biosafety Results of Field Tests of Genetically Modified Plants and Microorganisms	Braunschweig, Germany, 1998	Biologische Bundesanstalt fur Land-und Forstwirtschaft

TABLE 2. Examples of non-target and unintended effects of engineered plants

Trait	Plant	Effects	References
Insect resistance	cotton and potato	Changes in size and diversity of soil microbial, nematode and microarthropod populations; changes in soil enzyme activity	Donegan <i>et al.</i> , 1995 Donegan <i>et al.</i> , 1996
	tobacco	Changes in soil respiration; changes in size and diversity of protozoa, nematode and microarthropod populations	Donegan <i>et al.</i> , 1997
Disease Resistance	tobacco	Decrease and delay in arbuscular mycorrhizal infection	Vierhelig <i>et al.</i> , 1995
Herbicide Resistance	<i>Arabidopsis</i>	Gene outcrossing	Bergelson <i>et al.</i> , 1998
	beets	Gene outcrossing	Dietz-Pfeilstetter and Kirchner, 1998
	canola	Gene outcrossing	Chèvre <i>et al.</i> , 1997; Lefol <i>et al.</i> , 1991; Purrington & Bergelson, 1995
	canola	Change in endophytic and rhizosphere microbial populations	Siciliano <i>et al.</i> , 1998
Specialty Uses: Lignin-Peroxidase	alfalfa	Changes in rhizosphere and soil microbial populations	Di Giovanni <i>et al.</i> , 1999; Donegan <i>et al.</i> , 1999
	alfalfa	Reduced shoot biomass and changes in shoot macronutrient content	Donegan <i>et al.</i> , 1999
	alfalfa	Reduced shoot biomass; changes in macronutrient and micronutrient content; decreased mycorrhizal infection	Watrud <i>et al.</i> , 1998
Auxin, Enzymes	aspen	Altered wood anatomy and shoot growth; change in lignin structure	Tuominen <i>et al.</i> , 1995; Lapierre <i>et al.</i> , 1999
Pigments	petunia	Loss of color	Mackenzie, 1990

TABLE 3. Points to consider in developing genetically engineered plants

Criteria	Questions
Market Need/Fit	Are effective products currently available
Technical Feasibility	Are transformation systems and genes available for crop and trait of interest
Efficacy	Will it work better, faster, more safely than existing products
Agronomic Impacts	Will herbicide, insecticide and fungicide recommendations for current crop differ from recommendations for non-engineered cultivars Will modified chemical recommendations affect future crop rotations and chemical selections
Economics	Who owns gene sources and modified genes Do farmers have rights to save seeds What are anticipated returns to developers and growers
Ecological and Health Effects	Are there potential adverse effects to crop and non-crop plants Are there potential adverse effects to humans, wildlife, beneficial microbes and invertebrates
Mitigation	Are monitoring and control methods available
Regulations	Is a regulatory framework in place; are regulatory requirements known
Public Acceptance	Is proposed product perceived to be beneficial, safe, ethical

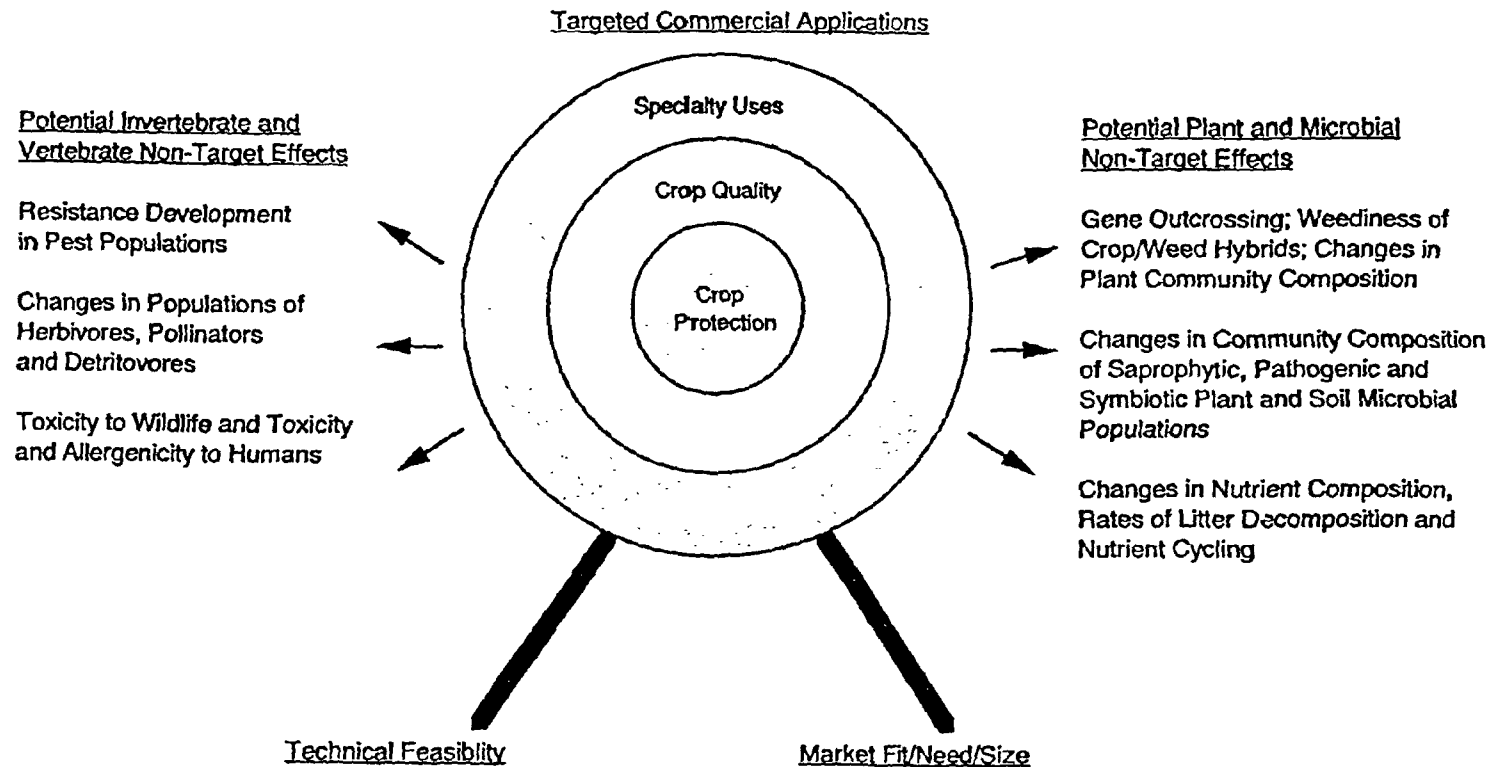


FIG. 1. Rationale and commercial applications for genetically engineered plants are represented by the supports and central target areas, respectively. Arrows identify areas of concern where research is needed to identify and mitigate potential short-term and long-term non-target and unintended ecological and health effects of genetically engineered plants.