# Long Term 2 Enhanced Surface Water Treatment Rule: A Quick Reference Guide For Schedule 3 Systems

## Overview of the Rule

<table>
<thead>
<tr>
<th>Title</th>
<th>Long Term 2 Enhanced Surface Water Treatment Rule (LT2ESWTR) 71 FR 654, January 5, 2006, Vol. 71, No. 3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Purposes</td>
<td>Improve public health protection through the control of microbial contaminants by focusing on systems with elevated Cryptosporidium risk. Prevent significant increases in microbial risk that might otherwise occur when systems implement the Stage 2 Disinfectants and Disinfection Byproducts Rule (Stage 2 DBPR).</td>
</tr>
<tr>
<td>General Description</td>
<td>The LT2ESWTR requires systems to monitor their source water, calculate an average Cryptosporidium concentration, and use those results to determine if their source is vulnerable to contamination and may require additional treatment.</td>
</tr>
</tbody>
</table>
| Utilities Covered | - Public water systems (PWSs) that use surface water or ground water under the direct influence of surface water (GWUDI).  
- Schedule 3 systems include PWSs serving 10,000 to 49,999 people OR wholesale PWSs that are part of a combined distribution system in which the largest system serves 10,000 to 49,999 people. |

## Major Provisions

### Control of Cryptosporidium

| Source Water Monitoring | Filtered and unfiltered systems must conduct 24 months of source water monitoring for Cryptosporidium. Filtered systems must also record source water E. coli and turbidity levels. Filtered systems will be classified into one of four “Bins” based on the results of their source water monitoring. Unfiltered systems will calculate a mean Cryptosporidium level to determine treatment requirements. Systems may also use previously collected data (i.e., Grandfathered data). Filtered systems providing at least 5.5 log of treatment for Cryptosporidium and unfiltered systems providing at least 3-log of treatment for Cryptosporidium and those systems that intend to install this level of treatment are not required to conduct source water monitoring. |

| Installation of Additional Treatment | Filtered systems must provide additional treatment for Cryptosporidium based on their bin classification (average source water Cryptosporidium concentration), using treatment options from the “microbial toolbox.” Unfiltered systems must provide additional treatment for Cryptosporidium using chlorine dioxide, ozone, or UV. |

| Uncovered Finished Water Storage Facility | Systems with an uncovered finished water storage facility must either:  
- Cover the uncovered finished water storage facility; or,  
- Treat the discharge to achieve inactivation and/or removal of at least 4-log for viruses, 3-log for Giardia lamblia, and 2-log for Cryptosporidium. |

### Disinfection Profiling and Benchmarking

After completing the initial round of source water monitoring any system that plans on making a significant change to their disinfection practices must:  
- Create disinfection profiles for Giardia lamblia and viruses;  
- Calculate a disinfection benchmark; and,  
- Consult with the state prior to making a significant change in disinfection practice. |

## Bin Classification for Filtered Systems

<table>
<thead>
<tr>
<th>Cryptosporidium Concentration (oocysts/L)</th>
<th>Bin Classification</th>
<th>Additional Cryptosporidium Treatment Required</th>
<th>Alternative Filtration</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Conventional Filtration</td>
<td>Direct Filtration</td>
</tr>
<tr>
<td>&lt; 0.075</td>
<td>Bin 1</td>
<td>No additional treatment required</td>
<td>No additional treatment required</td>
</tr>
<tr>
<td>0.075 to &lt; 1.0</td>
<td>Bin 2</td>
<td>1 log</td>
<td>1.5 log</td>
</tr>
<tr>
<td>1.0 to &lt; 3.0</td>
<td>Bin 3</td>
<td>2 log</td>
<td>2.5 log</td>
</tr>
<tr>
<td>≥ 3.0</td>
<td>Bin 4</td>
<td>2.5 log</td>
<td>3 log</td>
</tr>
</tbody>
</table>

(1) As determined by the state (or other primacy agency) such that the total removal/inactivation > 4.0-log.  
(2) As determined by the state (or other primacy agency) such that the total removal/inactivation > 5.0-log.  
(3) As determined by the state (or other primacy agency) such that the total removal/inactivation > 5.5-log.
Inactivation Requirements for Unfiltered Systems

<table>
<thead>
<tr>
<th>Cryptosporidium Concentration (oocysts/L)</th>
<th>Required Cryptosporidium Inactivation</th>
</tr>
</thead>
<tbody>
<tr>
<td>≤ 0.01</td>
<td>2-log</td>
</tr>
<tr>
<td>&gt; 0.01</td>
<td>3-log</td>
</tr>
</tbody>
</table>

Critical Deadlines and Requirements

For Drinking Water Systems (Schedule 3)

- **January 1, 2008**: Systems must submit their:
  - Sampling schedule that specifies the dates of sample collection and location of sampling for initial source water monitoring to EPA electronically; or
  - Notice to EPA or the state of the system’s intent to submit results for grandfathering data; or
  - Notice to EPA or the state of the system’s intent to provide at least 5.5-log of treatment for Cryptosporidium for filtered systems or 3-log of treatment for unfiltered systems. Systems should consult with EPA or their state prior to submitting this notice.

- **April 2008**: No later than this month, systems must begin 24 months of source water monitoring.

- **April 1, 2008**: No later than this date, systems must notify the EPA or the state of all uncovered treated water storage facilities.

- **June 10, 2008**: Systems submit results for first month of source water monitoring.

- **June 1, 2008**: No later than this date, systems must submit monitoring results for data that they want to have grandfathered.

- **April 1, 2009**: No later than this date, uncovered finished water storage facilities must be covered, or the water must be treated before entry into the distribution system, or the system must be in compliance with a state approved schedule.

- **March 2010**: No later than this month, systems must complete their initial round of source water monitoring.

- **September 2010**: No later than this month, filtered systems must report their initial bin classification to the EPA or the state for approval.

- **September 2010**: No later than this month, unfiltered systems must report the mean of all Cryptosporidium sample results to the EPA or the state.

- **September 30, 2013**: Systems must install and operate additional treatment in accordance with their bin classification (filtered systems) or mean Cryptosporidium level (unfiltered systems).†

- **July 1, 2016**: Systems must submit their sampling schedule that specifies the dates of sample collection and location of sampling for second round of source water monitoring to the state.

- **October 1, 2016**: Systems are required to begin conducting a second round of source water monitoring.
  - Based on the results, systems must re-determine their bin classification (filtered systems) or mean Cryptosporidium level (unfiltered systems) and provide additional Cryptosporidium treatment, if necessary.

For States

- **July - December 2006**: States are encouraged to communicate with affected systems regarding LT2ESWTR requirements.

- **April 1, 2007**: States are encouraged to communicate LT2ESWTR requirements related to treatment, uncovered finished water reservoirs, and disinfection profiling to affected systems.

- **October 5, 2007**: States are encouraged to submit final primacy applications or extension requests to EPA.

- **January 5, 2008**: Final primacy applications must be submitted to EPA, unless granted an extension.

- **December 31, 2009**: States should begin determining Cryptosporidium treatment credit for primary treatments already in place.

- **January 5, 2010**: Final primacy revision applications from states with approved 2-year extensions agreements must be submitted to EPA.

- **June 30, 2014**: States should award Cryptosporidium treatment credit for toolbox option implementation.

† States may allow up to an additional 24 months for compliance for systems making capital improvements.