

EMTS User's Guide

EMTS User's Guide

Compliance and Innovative Strategies Division
Office of Transportation and Air Quality
U.S. Environmental Protection Agency



United States
Environmental Protection
Agency

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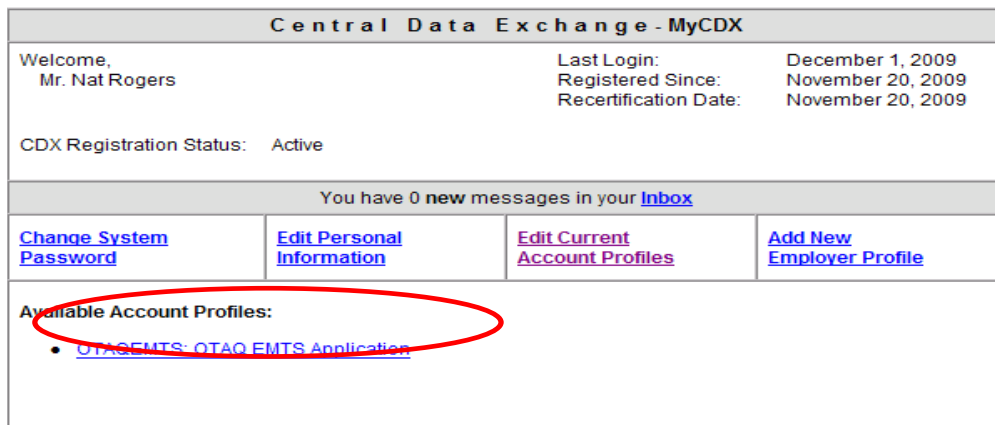
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Accessing EMTS

To support the Renewable Fuel Standard (RFS) program, the EPA Moderated Transaction System (EMTS) has been developed to screen the generation and transfer of RINs between renewable fuel producers, importers, exporters, obligated parties, and non-obligated RIN owners.

You can access the EMTS website only through the Central Data Exchange (CDX). To do so, open your internet browser, either Internet Explorer 7.0 and higher or Firefox 2.0 and higher (no other browsers are currently supported), and enter the following URL: <https://cdx.epa.gov/SSL/CDX/login.asp>. Log in using your MyCDX user name and password. From your account profile, click the "OTAQ EMTS Application" link under Available Account Profiles (see Figure 1). If you do not see the "OTAQ EMTS Application" link, refer to the "MyCDX Quick Start Guide" for further instructions.

Figure 1: OTAQ EMTS Application Link



Clicking the link will take you to the EMTS Home page (see Figure 2).

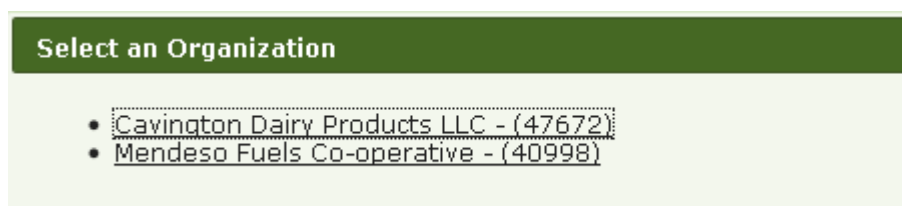
Figure 2: EMTS Home Page



Selecting an Organization

Upon accessing the EMTS Home page, you will need to select an organization to represent if you are affiliated with two or more organizations. To do so, click one of the organizations in the Select an Organization popup. You must select an organization to represent before you can perform any actions on the EMTS website.

Figure 3: Select an Organization Popup



To confirm that you are now representing the selected organization, verify that the statement in the upper right corner of the page -- "Logged in as:" -- contains your CDX user ID followed by the name of the appropriate organization. Note that you may change the organization you are representing by clicking on the Organizations tab of the accordion on the right side of select pages (see Figure 4).

Figure 4: Organizations Accordion Tab



The Organizations tab will appear in this accordion on the following pages:

- Home page;
- View Node Submissions;
- View Web Submissions;
- Review Trades;
- View RIN Holdings (Current);
- View RIN Holdings (History); and
- Transaction Bin.

After you open the Organizations tab of the accordion, click "Select a Different Organization." In the Select an Organization popup, click the name of the organization you wish to represent. Upon doing so, the organization name in the upper right corner of the page should reflect the new organization you selected. Once you have selected an organization, you are ready to begin using the EMTS website functionality.

Viewing RIN Holdings

To view your RIN holdings, hover over RINs on the main menu of the EMTS website (main menu circled in Figure 5) and select View RIN Holdings (Current).

Figure 5: Manage RIN Holdings Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Manage RIN Holdings

Your organization does not currently own any RINs. Please either generate or buy RINs.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organizations Quick Search

RIN Holdings for: RAP Inc. GEN Cellulosic

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
No RIN holdings found.								--Select-- Go

The Manage RIN Holdings page is organization-specific (indicated by the organization name above the RIN Holdings grid). To switch the organization for which you are viewing RIN holdings, open the Organizations tab of the accordion, and click "Select a Different Organization."

If you view the RIN Holdings page before any generate or buy transactions have been completed for your organization, the RIN Holdings grid will be empty (see Figure 5). At this point, you can generate or buy RINs (the only valid transaction types when there are no RIN Holdings) either by clicking the Generate or Buy button or by selecting Generate or Buy in the "I want to..." drop-down and clicking Go.

Once you have completed a generate transaction or successfully purchased RINs from a trading partner, the RIN Holdings grid will now display your RINs (see Figure 6 for an example). Each row in the RIN Holdings grid represents a unique combination of Fuel (D Code), RIN Year, and Assignment. For each row, the sum of RINs in the Available, Pending, Reserved, and Locked columns should equal the number of RINs in the Total column. The RINs in the Available column are RINs that are available for you to use in transactions. The RINs in the Pending column are RINs that are involved in a pending sell transaction that you have initiated (see the Trading RINs section). The RINs in the Reserved column are RINs that are associated with transactions in the Transaction Bin (see the Managing the Transaction Bin section). Finally, the RINs in the Locked column are RINs that have either been locked by your organization or by the EPA. Please note that the functionality for locking RINs is still being developed, so for the purpose of Beta testing, the value in the Locked column of the RIN Holdings grid will always be "0."

Figure 6: Populated RIN Holdings Grid Example

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Manage RIN Holdings

Select one of the transaction actions for each fuel year and assignment for your RIN Holdings.

Transaction Bin

Organizations

Selected Organization: TEST PETROLEUM CORPORATION 2 (4997)

[Select a Different Organization](#)

Quick Search

RIN Holdings for: TEST PETROLEUM CORPORATION 2

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2010	Assigned	3430	50	250	0	3730	- Select - Go
Advanced Biofuel (D=5)	2010	Separated	150	0	0	0	150	- Select - Go
Renewable Fuel (D=6)	2010	Assigned	1250	0	0	0	1250	- Select - Go
Renewable Fuel (D=6)	2010	Separated	450	0	0	0	450	- Select - Go

Export options: [CSV](#) | [Excel](#) | [PDF](#)

[Generate](#) [Buy](#)

The final column in the RIN Holdings grid is the "I want to..." column. The drop-down for each row contains the options View Details, Generate, Buy, Separate, Separate (Upward delegation), Sell, Retire (Obligation), and Retire (Other). The options that are not applicable to the corresponding row of RINs will be grayed out. For example, Retire (Obligation) is only applicable for separated RINs being retired by Non-renewable Fuel Importers, Renewable Fuel Exporters, and Refiners, so in all other instances, the option will be grayed out. If you select a transaction in the "I want to..." column for a row of RINs, the Fuel (D Code), RIN Year, and Assignment fields in the resulting transaction wizard will be pre-populated with the data from that row.

Viewing RIN Batch Details and Locking RINs

To view all of the RIN batches that make up a row in the RIN Holdings grid, select View Details in the "I want to..." column and click Go. Doing so takes you to the View RIN Holding Details page.

Figure 7: View RIN Holding Details Page

EPA Moderated Transaction System

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View RIN Holding Details

Please select a batch of RINs to either view the details of that batch or lock/unlock the RINs.

Transaction Bin

Your organization has 1 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

RIN Holding Details

Fuel(D Code)	RIN Year	Assignment	Originating Organization	Originating Facility	Quantity	Batch Number	Production Date	Status	Locked By	I want to ...
Advanced Biofuel (D=5)	2010	Assigned	TEST PETROLEUM CORPORATION 2	Test Facility 2	250	36	02/10/2010	Reserved		-- Select -- Go
Advanced Biofuel (D=5)	2010	Assigned	TEST PETROLEUM CORPORATION 2	Test Facility 2	50	36	02/10/2010	Pending		-- Select -- Go
Advanced Biofuel (D=5)	2010	Assigned	TEST PETROLEUM CORPORATION 2	Test Facility 2	3430	1	02/17/2010	Available		-- Select -- Go

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Back

The View RIN Holding Details grid displays the originating source information (originating organization, facility, and batch number) for each batch of RINs with the Fuel (D Code), RIN Year, and Assignment that you selected on the RIN Holdings page. It also includes the status of each RIN batch. For pending and reserved RINs, the only option in the "I want to..." drop-down is View Details. Selecting View Details takes you to a RIN Batch Details page. For available RINs, the "I want to..." drop-down options are Lock RINs and View Details. Selecting Lock RINs takes you to a Lock RINs Confirmation page.

Figure 8: Lock RINs Confirmation Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Lock RINs Confirmation

Please confirm that you would like to lock the following batch of RINs. The comment entered may be viewed by the EPA and individuals within your organization.

RIN Batch Details

Fuel(D Code):	Advanced Biofuel (D=5)
RIN Year:	2010
Assignment:	Assigned
Originating Organization:	TEST PETROLEUM CORPORATION 2
Originating Facility:	Test Facility 2
Quantity:	3430
Batch Number:	1
Owned By:	TEST PETROLEUM CORPORATION 2
Production Date:	02/17/2010
Status:	Available

* Comment:

Back Confirm

The page contains the details of the RINs that you are about to lock along with a required Comment field. After you enter a comment and press Confirm, you will return to the View RIN Holding Details page. For the RIN batch that you locked, the Status field will display Locked, and the Locked By field will include the name and organization of the individual that locked the RIN batch. Locked RINs will not be available for any transactions.

The EPA has the capability to lock any organization's available or locked RIN batches. If the EPA locks one of your organization's RIN batches, the Status field for that row will display Locked, and the Locked By field will display EPA. RINs locked by the EPA cannot be used in transactions and cannot be unlocked by your organization.

If you wish to unlock a batch of RINs locked by your organization and not locked by the EPA, select Unlock RINs in the "I want to..." drop-down. Like the Lock RINs Confirmation page, the Unlock RINs Confirmation page requires a comment. Once you confirm the unlock action, the RINs will have a status of Available, and you will be able to use them to complete transactions. Note that if the EPA locks a batch of RINs that your organization had already locked, the EPA will need to unlock the RINs before you will have the ability to unlock the RIN batch.

Generating RINs

From the View RIN Holdings (Current) page, you have the option to generate RINs. Either click the Generate button or select Generate in the "I want to..." drop-down and click Go. Doing so takes you to the first page of the three-step generate wizard (see Figure 9).

Figure 9: Generate Wizard, Report Fuel Page (Step 1)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Generate RINs - Report Fuel

Enter the fuel (D Code), batch characteristics and quantity of RINs generated. The fuel and production process must be registered with OTAQ DC Fuels registration before reporting fuel.

Transaction Bin
Your organization has 0 un-submitted transactions in the transaction bin.
[View Transaction Bin](#)

- 1 Report Fuel**
- 2 Report Feedstocks
- 3 Report Co-products

Report Fuel

* Fuel (D Code):

* Production Process:

* Production Date: (MM/DD/YYYY)

* Fuel Category:

Identify RINs

* Batch Volume: Includes Denaturant Volume.
(Gallons)

Denaturant Volume: Ethanol and Cellulosic Ethanol Only.
(Gallons)

Equivalence Value:

* Quantity of RINs: Batch Volume multiplied by Equivalence Value.

Identify Production Source

Originating Source: RAP Inc. GEN Cellulosic

* Facility:

The green boxes on the right side of the page indicate which of the three generate steps you are completing. All fields that are marked with a red asterisk are required. In the drop-down fields, the invalid options are grayed out. For example, in Figure 10, the organization can produce only Advanced Biofuel, Renewable Fuel, and Cellulosic Diesel, so the remaining Fuel (D Code) options are grayed out.

Figure 10: Drop-down Example for Generate Wizard

* **Fuel (D Code):**

* **Production Process:**

* **Production Date:**

* **Fuel Category:**

- Select -
 - Select -
 Cellulosic Biofuel
 Biomass-Based Diesel
 Advanced Biofuel
 Renewable Fuel
 Cellulosic Diesel

Once you have completed all of the required fields, click Report Feedstocks at the bottom of the page to move to the second page of the generate wizard. If all of the information on the Report Fuel page is valid, you will be redirected to the Report Feedstocks page. Otherwise, you will remain on the Report Fuel page, and you will see a list of QA Check Errors at the top of the page (see Figure 11). Once you correct the errors, you will be able to move to the Report Feedstocks page.

Figure 11: QA Check Errors

EPA Moderated Transaction System

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Generate RINS - Report Fuel

QA Check Errors

- 3040: The fuel 'Advanced Biofuel', is not compatible with fuel category 'Cellulosic Diesel'. Please review the EMTS transaction instructions for a list of fuels and fuel categories that can be used for the production of 'Advanced Biofuel'.
- 3041: The fuel 'Advanced Biofuel' and fuel category 'Cellulosic Diesel' are not compatible with

Report Fuel

* **Fuel (D Code):** Advanced Biofuel

* **Production Process:** Catalytic Depolymerization

* **Production Date:** 01/21/2010
(MM/DD/YYYY)

* **Fuel Category:** Cellulosic Diesel

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

1 Report Fuel

2 Report Feedstocks

3 Report Co-products

On the Report Feedstocks page, you must enter all of the feedstocks used to generate the fuel specified on the Report Fuel page. To add a feedstock, you must populate the three required fields, check the checkbox for renewable biomass (when generating RINS, all feedstock(s) must meet the definition of 'renewable biomass'), and then click the Add button. In the Feedstock drop-down, the feedstocks which your organization is not registered for are grayed out. After you have clicked the Add button, the feedstock information will be displayed in the grid (see Figure 12).

Figure 12: Generate Wizard – Report Feedstocks Page (Step 2)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Generate RINs - Report Feedstocks

Report one or more feedstocks used for the generation of the fuel.

Feedstock	Volume	Measure	Renewable Biomass	
Non-food grade corn oil	1	100 Cubic Feet	Yes	Remove

Transaction Bin

 Your organization has 0 un-submitted transactions in the transaction bin.
[View Transaction Bin](#)

1 Report Fuel
2 Report Feedstocks
3 Report Co-products

Report Feedstocks

* Feedstock(Code):

* Volume:

* Unit of Measure:

☐ Does this feedstock meet the definition of renewable biomass as per 40 CFR 80.1401?

[Add](#)

* = Required Field

[<< Report Fuel](#) [Report Co-products >>](#)

Once you have added all of the feedstocks used to generate the RINs specified on the Report Fuel page, click the Report Co-products button at the bottom of the page. The Report Co-products page, which is Step 3 of the generate wizard, behaves similarly to the Report Feedstocks page. You must add any co-products produced by your organization's fuel generation process. If there are no co-products to report, leave all fields blank. To complete the generate wizard, click the Add to Transaction Bin button.

Managing the Transaction Bin

After you click Add to Transaction Bin, you are taken to the Transaction Bin (see Figure 13).

Figure 13: Transaction Bin

EPA Moderated Transaction System

Organization Submissions Trades Reports RINS Help

Transaction Bin

The last transaction submitted for RAP Inc. GEN Cellulosic (4672) through the EMTS website was submitted by NatRogers on Dec 22, 2009 at 7:58:03 AM.

Organizations
Selected Organization: RAP Inc. GEN Cellulosic (4672)
[Select a Different Organization](#)

Generate Transactions

Production Date	RIN Year	Fuel Category	Fuel (D Code)	Volume	Quantity	Equivalence Value	I want to...
01/20/2010	2010	Non-ester renewable diesel	Advanced Biofuel	200	200		- Select - Go

Buy Transactions

Transaction Date	RIN Year	Fuel (D Code)	Quantity	Assignment	Trading Partner	I want to...
There are no buy transactions.						

Separate Transactions

RIN Year	Fuel (D Code)	Quantity	Volume	Reason Code	I want to...
There are no separate transactions.					

Sell Transactions

Transaction Date	RIN Year	Fuel (D Code)	Quantity	Assignment	Trading Partner	I want to...
There are no sell transactions.						

Retire Transactions

RIN Year	Fuel (D Code)	Quantity	Volume	Reason Code	I want to...
There are no retire transactions.					

Submit >>

The Transaction Bin is organization-specific, so transactions added to the Transaction Bin by any of your organization's submitters will be displayed on the page. To switch the organization for which the Transaction Bin is displayed, click [Select a Different Organization](#) (circled in Figure 13).

For each transaction in the Transaction Bin, you have the option to either View Details or Remove the transaction (see Figure 14).

Figure 14: Transaction Bin Options

Generate Transactions

Production Date	RIN Year	Fuel Category	Fuel (D Code)	Volume	Quantity	Equivalence Value	I want to...
01/20/2010	2010	Non-ester renewable diesel	Advanced Biofuel	200	200		- Select - View Details Remove

If you select View Details, you will be taken to a page that displays all of the details of the transaction. This page can be used to verify the contents of the transaction before submitting it. If you select Remove, you will also be taken to a page that contains the transaction details. At the bottom of the page, you will be able to click a Confirm Remove button. Doing so takes you back to the Transaction Bin, and the removed transaction is no longer available.

Along with accessing the Transaction Bin at the end of each wizard, you can navigate to the Transaction Bin from multiple pages of the EMTS website using the Transaction Bin accordion tab on the right side of the page (see Figure 15). The tab contains the number of un-submitted transactions and a link to the Transaction Bin.

Figure 15: Accessing the Transaction Bin



Clicking the View Transaction Bin link takes you to the Transaction Bin. Note that the RINs associated with each separate, sell, and retire transaction in the Transaction Bin are Reserved rather than Available (they show up in the Reserved column of the View RIN Holdings grid). As a result, these RINs may not be used for any other transactions. If a separate, sell, or retire transaction is removed from the Transaction Bin, the associated RINs return to an Available status. RINs associated with a generate transaction do not show up in the View RIN Holdings grid until the transaction is submitted from the Transaction Bin, and RINs associated with a buy transaction do not show up in the View RIN Holdings grid until the transaction is submitted from the Transaction Bin and accepted by the trading partner.

To complete a transaction, you must submit it from the Transaction Bin by clicking the Submit button at the bottom of the page. If you have multiple transactions in the Transaction Bin, you must submit all of the transactions at once. If you do not wish to submit one of the transactions in the bin, you can remove that transaction and submit the remaining transactions. After you press Submit, you will be taken to a Confirm and Submit page, which displays an overview of the transactions you are about to submit. You must click the Confirm Submit button circled in Figure 16 to complete the submission.

Figure 16: Confirm and Submit Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Confirm and Submit

I confirm that the information shown is a correct and accurate account of the transaction(s) that have taken place.

Transaction Bin

Your organization has 1 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Transactions

Transaction	Fuel (D Code)	RIN Year	Assignment	Quantity
Generate	Advanced Biofuel	2010	Assigned	200

<< Back Confirm Submit >>

Once you confirm the submission, the View RIN Holdings (Current) page will reflect the results of the submitted transactions, and the Transaction Bin will be empty.

Separating RINs

Assigned RINs may be separated from your RIN Holdings Account (see Figure 17). To separate RINs in your RIN Holdings, select Separate in the "I want to..." drop-down, and click Go. Doing so will take you to the first page of the separate wizard.

Figure 17: Separate Option for Available Assigned RINs

RIN Holdings for: TEST PETROLEUM CORPORATION 2

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2010	Assigned	3450	0	0	0	3450	- Select - Go
Advanced Biofuel (D=5)	2010	Separated	150	0	0	0	150	- Select - Go
Renewable Fuel (D=6)	2010	Assigned	1250	0	0	0	1250	View Details Go
Renewable Fuel (D=6)	2010	Separated	450	0	0	0	450	Generate Go
								Buy Go
								Separate Go
								Separate (Upward delegation)
								Sell
								Retire (Obligation)
								Retire (Other)

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Figure 18: Separate Wizard – Identify RINs (Step 1)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Separate RINs - Identify RINs

Identify the RINs for separation by entering the required information below.

Transaction Bin

Your organization has 1 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Identify RINs

1 Identify RINs

2 Advanced RIN Selection (Optional)

* Fuel (D Code):

* RIN Year:

* RIN Quantity:

* Batch Volume:
(Gallons)

* Reason for Separation:

Additional Information:

Document Type	Identifier
There are no documents.	

Add Document Information

Document Type:

Document Identification:

The green boxes on the right side of the page indicate which of the two steps of the separate wizard you are completing. All fields marked with a red asterisk are required. In the separate wizard, the Fuel (D Code) and RIN Year fields are automatically populated with the information from the row of RINs in the RIN Holdings grid that you decided to separate. In the Reason for Separation drop-down, only the reasons that are applicable to your organization's registered business activities are enabled (the remaining options are grayed out). The separate wizard also contains a document grid; you can use this grid to specify the name and identifier of one or more documents that support the separate transaction. Note that the document itself cannot be uploaded. To add document information to the grid (see Figure 19), fill in the Document Type and Document Identification fields, and click Add.

Figure 19: Supporting Document Information

Document Type	Identifier	
test document	123	<button>Remove</button>

Add Document Information

Document Type:

Document Identification:

Add

When you complete the Identify RINs page, click Advanced Options at the bottom of the page to navigate to the second step of the separate wizard. On the Advanced RIN Selection page, you have the option to specify a batch of RINs to separate. If you do not wish to make any selections on this page, click Add to Transaction Bin at the bottom of the page to continue. In this situation, EMTS will automatically separate the RINs in your RIN Holding Account using the First-in, First-out (FIFO) accounting method (i.e., the oldest RINs, based on production date, are used first, for the RIN Year, Fuel Code, and Fuel Category chosen). Alternatively, you may use this page to identify a specific originating organization, or organization and facility, or organization, facility, and batch number. To specify an organization, click the originating organization's name, and press Select (see Figure 20).

Figure 20: Optional Advanced RIN Selection Page

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Organization Submissions Trades Reports RINs Help

Separate RINs - Advanced RIN Selection (Optional)

The EMTS will automatically select RINs with the oldest production date from your RIN Holding Account for this Separate transaction. You may choose to identify a specific originating organization, or organization and facility, or organization, facility and batch number using the advanced selection options below. This step is optional and you can bypass this step and add this transaction to your bin.

Transaction Bin

Your organization has 1 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organization

All Organizations:

RAP Inc. GEN Cellulosic (4672)

Selected Organization:

[Select](#) [Remove](#)

Filter:

[Clear](#) [Go](#)

Facility

Batch Number

[<< Identify](#) [Add to Transaction Bin >>](#)

1 Identify RINs

2 Advanced RIN Selection (Optional)

After you press Select, the Selected Organization field and the Organization accordion tab will contain the name of the organization you selected (see Figure 21).

Figure 21: Selected Organization Example

The screenshot displays a web application interface for selecting an organization. At the top, a dark green header bar contains the text "Organization: [RAP Inc. GEN Cellulosic (4672)]", which is circled in red. Below this, the interface is split into two main sections. The left section, titled "All Organizations:", features a list box containing "RAP Inc. GEN Cellulosic (4672)". Below the list box is a "Filter:" input field with "Clear" and "Go" buttons. The right section, titled "Selected Organization:", shows "RAP Inc. GEN Cellulosic (4672)" in green text, also circled in red, with a "Select" button to its left and a "Remove" button below it. At the bottom of the interface, there are two tabs: "Facility" and "Batch Number". Below these tabs are two buttons: "<< Identify" and "Add to Transaction Bin >>".

To select a Facility, click the Facility tab of the Advanced RIN Selection accordion, and follow the same steps. Repeat the steps to identify a particular batch from a specified organization and facility. Once you have specified the originating source information, click Add to Transaction Bin to continue. After you submit the separate transaction from the Transaction Bin, your RIN Holdings grid will reflect the results of the separation.

From your RIN Holding Account, you may also separate assigned RINs on behalf of a small blender by selecting the Separate (Upward Delegation) option in the "I want to..." dropdown (see Figure 22). Doing so will take you to the first step of the Separate Wizard for upward delegation (see Figure 23).

Figure 22: Separate (Upward delegation) Option for Available Assigned RINs

RIN Holdings for: TEST PETROLEUM CORPORATION 2

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2010	Assigned	3450	0	0	0	3450	- Select - Go
Advanced Biofuel (D=5)	2010	Separated	150	0	0	0	150	- Select - Go
Renewable Fuel (D=6)	2010	Assigned	1250	0	0	0	1250	View Details Go
Renewable Fuel (D=6)	2010	Separated	450	0	0	0	450	Generate Go
								Buy Go
								Separate Go
								Separate (Upward delegation)
								Sell
								Retire (Obligation)
								Retire (Other)

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Figure 23: Separate Wizard – Identify RINs (Step 1)

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Separate RINs – Identify RINs

Registered small blenders may delegate their RIN-related responsibilities pursuant to 40 CFR § 80.1440. A party may separate RINs on behalf of a small blender, if the small blender has delegated the RIN-related responsibilities to the separating party pursuant to 40 CFR § 80.1440.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

1 Identify RINs

2 Advanced RIN Selection (Optional)

Identify RINs

* Fuel (D Code):

* RIN Year:

* RIN Quantity:

* Batch Volume:
(Gallons)

Reason for Separation: **Upstream Delegation for Blending**

* Blender Name:

* Blender ID:

Additional Information:

Document Type	Identifier
There are no documents.	

Add Document Information

Document Type:

Document Identification:

[Add](#)

In the first step of the Separate Wizard for upward delegation, the Reason for Separation is pre-populated with "Upstream Delegation for Blending," and the page includes fields for the blender name and identifier. You must provide this information in order to separate for upward delegation. Apart from the Identify

RINs section of Step 1, the Separate Wizard for upward delegation is identical to the Separate Wizard used for all other reasons for separation.

Retiring RINs

From your RIN Holding Account, you can retire assigned or separated RINs. If your organization is an obligated party (a Non-renewable Fuel Importer or a Refiner) or a renewable fuel exporter, your organization may retire separated RINs for obligation (Retire (Obligation)) and assigned or separated RINs for other reasons (Retire (Other)) (see Figure 24). For organizations with any other business activities (i.e., non-obligated parties), the only enabled retire option in your RIN Holdings grid will be Retire (Other) (see Figure 25).

Figure 24: Retire Options for a Refiner (Obligated Party) with Separated RINs

RIN Holdings for: **RAP Inc. Obligated Party**

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2009	Separated	500	0	0	0	500	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Figure 25: Retire Options for a Non-Obligated Party with Separated RINs

RIN Holdings for: **RAP Inc. GEN Cellulosic**

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2009	Assigned	3600	0	0	0	3600	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)
Advanced Biofuel (D=5)	2009	Separated	940	0	0	0	940	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)
Advanced Biofuel (D=5)	2010	Assigned	200	0	0	0	200	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)
Cellulosic Biofuel (D=3)	2009	Assigned	1000	0	0	0	1000	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)
Cellulosic Diesel (D=7)	2009	Assigned	12	0	0	0	12	- Select - Generate Buy Separate Sell Retire (Obligation) Retire (Other)

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Generate Buy

Note that for the current round of testing, the ability to retire RINs for obligation is not available for Refiners. Selecting the Retire (Obligation) option will take you to a page that is under construction (See Figure 26).

Figure 26: Retire (Obligation) Wizard for Refiners– Identify RINs (Step 1)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Retire RINs - Identify RINs (Obligation)

The ability to Retire for compliance purposes as a Refiner is currently under construction. This functionality will be available in a future EMTS release.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

For a row of RINs in your RIN Holdings, select the Retire (Other) option in the "I want to..." drop-down, and click Go. Doing so will take you to the first step of the retire wizard (see Figure 27).

Figure 27: Retire (Other) Wizard – Identify RINs (Step 1)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Retire RINs - Identify RINs (Other)

Identify the RINs for retirement by entering the required information below.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

1 Identify RINs (Other)

2 Advanced RIN Selection (Optional)

* Fuel (D Code): Advanced Biofuel (D=5)

* RIN Year: 2009

* Assignment: Separated

* RIN Quantity:

Batch Volume: (Gallons)

* Reason for Retire: - Select -

Additional Information:

* = Required Field

Document Type	Identifier
There are no documents.	

Add Document Information

Document Type:

Document Identification:

Add

[Advanced RIN Selection >>](#)

The green boxes on the right side of the page indicate which of the two steps of the retire wizard you are completing. All fields marked with a red asterisk are required. In the retire wizard, the Fuel (D Code), RIN Year, and Assignment fields are automatically populated with the information from the row of RINs in the RIN Holdings grid that you decided to retire. In the Reason for Retire field, only the reasons that are applicable to your organization's registered business activities are enabled (the remaining options are grayed out). The retire wizard also contains a document grid you can use to specify the name and identifier of one or more documents that support the retire transaction. Note that the document itself cannot be uploaded. To add document information to the grid, fill in the Document Type and Document Identification fields, and click Add. Doing so will add a row to the documents grid (see the Separating RINs section for more details).

When you complete the Identify RINs page, click Advanced RIN Selection at the bottom of the page to navigate to the second page of the retire wizard. On the Advanced RIN Selection page, you have the option of specifying the batch of RINs that you wish to retire. If you do not wish to make any selections on this page, click Add to Transaction Bin at the bottom of the page to continue. In this situation, EMTS will automatically retire the RINs in your RIN Holding Account using the FIFO accounting method. Alternatively, you may use this page to identify a specific originating organization, or organization and facility, or organization, facility, and batch number. See the Separating RINs section for details on how to use the Advanced RIN Selection page to specify originating source information. Once you have specified the originating source information, click Add to Transaction Bin to continue. After you submit the retire transaction from the Transaction Bin, the RINs will be removed from your RIN Holdings.

Trading RINs

You can initiate a trade from your RIN Holdings page. To initiate a sell transaction, you must have RINs in your RIN Holdings. For a row of RIN data in your RIN Holdings grid, select Sell in the "I want to..." drop-down, and click Go. Doing so will take you to the first page of the sell wizard (see Figure 28).

Figure 28: Sell RINs – Identify RINs Page (Step 1)

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Sell RINs - Identify RINs

Enter Fuel (D Code), RIN Year, Assignment, and Quantity of RINs. Also, enter Batch Volume if Assignment = Assigned.

Identify RINs

* Fuel (D Code): Advanced Biofuel (D=5) ▼

* RIN Year: 2009

* Assignment: Assigned ▼

* Quantity of RINs:

Batch Volume:
(Gallons)

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.
[View Transaction Bin](#)

1 Identify RINs

2 Select Trading Partner

3 Transaction Details and Documents

4 Advanced RIN Selection (Optional)

[Select Trading Partner >>](#)

The green boxes on the right side of the page indicate which of the four steps of the sell wizard you are completing. All fields marked with a red asterisk are required. In the sell wizard, the Fuel (D Code), RIN Year, and Assignment fields are automatically populated with the information from the row of RINs in the RIN Holdings grid that you decided to sell. Once you complete the information on this page, click Select Trading Partner to move to the next page. On the Select Trading Partner page, you must identify a trading partner from a list of organizations. You can filter the list by Organization Name or Organization ID. To select a trading partner, click the organization name in the list, and press Select. In Figure 29, the organization list was filtered with the word "Better," and Better Fuel Corporation was selected from the options.

Figure 29: Sell RINs – Select Trading Partner

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Sell RINs - Select Trading Partner

Select a trading partner from the list below. An organization is not included in the list if it is not registered with EPA or is not active.

All Organizations:

- Better Bio from Uzbekistan (4328)
- Better Fuel Corporation (4410)**
- Better Petroleum (4322)

Filter:
Better
Clear Go

Selected Organization:

Select **Better Fuel Corporation (4410)** Remove

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.
[View Transaction Bin](#)

- 1 Identify RINs
- 2 Select Trading Partner**
- 3 Transaction Details and Documents
- 4 Advanced RIN Selection (Optional)

* = Required Field

<< Identify RINs Transaction Details >>

When you have selected your trading partner, click the Transaction Details button to move to the next page. On the Sell RINs – Transaction Details and Documents page, you must enter the Transaction Date, Price Paid (either price per RIN/price per gallon), and Sell Reason. The value entered for Price Paid must have exactly two digits after the decimal place. You can also provide a Product Transfer Document (PTD) number and other additional information. The Transaction Details and Documents page contains a document grid you can use to specify the name and identifier of one or more documents that support the sell transaction. Note that the document itself cannot be uploaded. To add document information to the grid, fill in the Document Type and Document Identification fields, and click Add (see the Separating RINs section for more details). When you have completed this page, click Advanced RIN Selection to move to the final page of the sell wizard.

On the Advanced RIN Selection page, you have the option of specifying the batch of RINs that you wish to sell. If you do not wish to specify originating source information, click Add to Transaction Bin at the bottom of the page to continue. In this situation, EMTS will automatically sell the RINs in your RIN Holding Account using the FIFO accounting method. Alternatively, you may use this page to identify a specific originating organization, or organization and facility, or organization, facility, and batch number. See the Separating RINs section for details on how to use the Advanced RIN Selection page to specify originating source information. Once you have specified the originating source information, click Add to Transaction Bin to continue. After you submit the sell transaction from the Transaction Bin, the transaction will be in a

pending state, and the RINs associated with the sale will be in the Pending column of the RIN Holdings grid (see Figure 30). The RINs will remain in the Pending column until the trading partner accepts the sell transaction.

Figure 30: Pending RINs in RIN Holdings

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Manage RIN Holdings

Select one of the transaction actions for each fuel year and assignment for your RIN Holdings.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organizations Quick Search

RIN Holdings for: RAP Inc. GEN Cellulosic

Fuel (D Code)	RIN Year	Assignment	Available	Pending	Reserved	Locked	Total	I want to...
Advanced Biofuel (D=5)	2009	Assigned	3400	200	0	0	3600	- Select - Go
Advanced Biofuel (D=5)	2009	Separated	940	0	0	0	940	- Select - Go
Advanced Biofuel (D=5)	2010	Assigned	200	0	0	0	200	- Select - Go
Cellulosic Biofuel (D=3)	2009	Assigned	1000	0	0	0	1000	- Select - Go
Cellulosic Diesel (D=7)	2009	Assigned	12	0	0	0	12	- Select - Go

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Generate Buy

To initiate a buy transaction, go to the View RIN Holdings (Current) page, and either click the Buy button below the RIN Holdings grid or select Buy in the "I want to..." drop-down for a row in the RIN Holdings and click Go. If you select Buy for an existing row in the RIN Holdings, the Fuel (D Code), RIN Year, and Assignment in the buy wizard will be pre-populated with information from that row.

In the buy wizard, the green boxes on the right side of the page indicate which of the three steps you are completing. All fields marked with a red asterisk are required. On the Identify RINs page, you must provide the Fuel (D Code), RIN Year, Assignment, and Quantity of RINs. You also have the option of using the Advanced Options fields to specify originating source information for the RINs that you wish to buy. To access the Advanced Options fields, click the Advanced Options tab circled in Figure 31.

Figure 31: Buy RINs – Identify RINs Page (Step 1)

Once you click this tab, you will be able to access the Advanced Options fields (see Figure 32).

Figure 32: Buy RINs – Advanced Options

To specify the originating source for a buy transaction, you will need to know the Generating Organization Identifier, the Generating Facility Identifier, and the Batch Number (unlike the sell wizard where you have options available to you). Once you complete the Identify RINs page of the buy wizard, click Select Trading Partner. The Select Trading Partner and the Transaction Details and Documents pages of the buy wizard have the same behavior as the corresponding pages in the sell wizard. From the Transaction Details and Documents page, click Add to Transaction Bin. Submit the transaction from the Transaction Bin to complete the buy transaction. At this point, the transaction will be in a pending state, but the transfer of RINs will not be reflected in your RIN Holdings grid until the trading partner accepts the buy transaction.

To view the pending transaction(s) that your organization has initiated or received (as the result of another organization initiating a transaction with your organization as the trading partner), hover over Trades on the main menu and select Review Trades. The Review Trades page is organization-specific (see circled Pending Transactions tabs in Figure 33), so to switch the organization for which the page is displayed, open the Organizations tab of the accordion on the right side of the page, and click Select a Different Organization.

Figure 33: Review Trades Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Review Trades

The following trade transactions were either received or initiated by RAP Inc. GEN Cellulosic. You can act upon any of these transactions by selecting the appropriate action in the "I want to ..." column, located next to each transaction.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organizations

Quick Search

(0) Pending Received Transactions for [RAP Inc. GEN Cellulosic (4672)]

(2) Pending Initiated Transactions from [RAP Inc. GEN Cellulosic (4672)]

Transaction Date	RIN Year	Fuel	Assignment	Type	Quantity	Price Per RIN	Price Per Gallon	Reason	Org Id	Trading Partner	I want to ...
01/27/2010	2010	Renewable Fuel	Assigned	Buy	300		\$0.12	Standard Trade	4322	Better Petroleum	-- Select -- Go
01/27/2010	2009	Advanced Biofuel	Assigned	Sell	200		\$0.12	Standard Trade	4410	Better Fuel Corporation	-- Select -- Go

Export options: [CSV](#) | [Excel](#) | [PDF](#)

The labels on the Pending Transactions tabs include the number of transactions within each tab. In Figure 33, the organization has two Pending Initiated Transactions and zero Pending Received Transactions. In the Review Trades accordion, only one tab can be open at a time, so to open the Pending Received Transactions tab of the accordion, you would click Pending Received Transactions. As a result, the Pending Initiated Transactions tab would close.

For Pending Initiated Transactions, you can select View Details in the "I want to..." drop-down to view the details of the transaction, or you can select Cancel Offer to cancel the trade (see Figure 34). If you choose Cancel, you will be asked to confirm the cancellation, and the transaction will no longer appear in your Pending Initiated Transactions (or your trading partner's Pending Received Transactions).

Figure 34: Pending Initiated Transactions Options

▶ (0) Pending Received Transactions for [RAP Inc. GEN Cellulosic (4672)]											
▼ (2) Pending Initiated Transactions from [RAP Inc. GEN Cellulosic (4672)]											
Transaction Date	RIN Year	Fuel	Assignment	Type	Quantity	Price Per RIN	Price Per Gallon	Reason	Org Id	Trading Partner	I want to ...
01/27/2010	2010	Renewable Fuel	Assigned	Buy	300		\$0.12	Standard Trade	4322	Better Petroleum	-- Select -- View Details Cancel Offer
01/27/2010	2009	Advanced Biofuel	Assigned	Sell	200		\$0.12	Standard Trade	4410	Better Fuel Corporation	Go

For Pending Received Transactions, you can select View Details, Accept Offer, or Deny Offer in the "I want to..." drop-down (see Figure 35).

Figure 35: Pending Received Transactions Options

▼ (1) Pending Received Transactions for [Better Petroleum (4322)]											
Transaction Date	RIN Year	Fuel	Assignment	Type	Quantity	Price Per RIN	Price Per Gallon	Reason	Org Id	Trading Partner	I want to ...
01/27/2010	2010	Renewable Fuel	Assigned	Buy	300		\$0.12	Standard Trade	4672	RAP Inc. GEN Cellulosic	-- Select -- View Details Accept Offer Deny Offer
Export options: CSV Excel PDF											
▶ (0) Pending Initiated Transactions from [Better Petroleum (4322)]											

If you deny the offer, you will see the details of the transaction that you are denying, and you will need to provide an explanation for denying the trade (see Figure 36). Once you press Confirm Deny, the transaction will no longer appear in your Pending Received Transactions (or your trading partner's Pending Initiated Transactions).

Figure 36: Deny Trade Page

The screenshot shows the 'Deny Trade' page of the EPA Moderated Transaction System. The header includes the EPA logo and navigation links: Organization, Submissions, Trades, Reports, RINs, and Help. The main content area is titled 'Deny Trade' and contains a text box with instructions: 'To deny the received trade, please provide an explanation and select the 'Confirm Deny' button located at the bottom of the page. If you wish to return to the list of your pending transactions, select the 'Back' button.' To the right, a 'Transaction Bin' section shows a folder icon and states 'Your organization has 0 un-submitted transactions in the transaction bin.' with a 'View Transaction Bin' link. Below the instructions, a box displays the trade details: 'You are denying the following Buy pending trade: 300 2010 Assigned Renewable Fuel RINs from RAP Inc. GEN Cellulosic (4672) submitted on Fri Jan 08 08:14:01 EST 2010 for \$0.12 per Gallon.' A red asterisk followed by the text '* Please provide an explanation for denying the trade:' is positioned above a large empty text area. At the bottom right, there are two buttons: '<< Back' and 'Confirm Deny >>'.

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Deny Trade

To deny the received trade, please provide an explanation and select the 'Confirm Deny' button located at the bottom of the page. If you wish to return to the list of your pending transactions, select the 'Back' button.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

You are denying the following Buy pending trade:

300 2010 Assigned Renewable Fuel RINs from
RAP Inc. GEN Cellulosic (4672) submitted on Fri Jan 08 08:14:01 EST 2010
for \$0.12 per Gallon.

* Please provide an explanation for denying the trade:

<< Back Confirm Deny >>

If you select Accept Offer, you will also see the details of the transaction, and you will be asked to confirm the price of the transaction (see Figure 37). Additionally, you will have the option of adding transaction details and document information. Note that the document itself cannot be uploaded.

Figure 37: Accept Trade Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Accept Trade

Please review the selected transaction and provide any additional information about the trade.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

You are accepting the following Buy pending trade:

300 2010 Assigned Renewable Fuel RINs from
RAP Inc. GEN Cellulosic (4672) submitted on Fri Jan 08 08:14:01 EST 2010
for \$0.12 per Gallon.

* Confirm the price per Gallon for this transaction:

Identify any documents	
Document Type	Identifier
Nothing found to display.	

Add Document Information

Document Type:

Document Identification:

Transaction Details

Additional Information:

<< Back

To add document information to the grid, fill in the Document Type and Document Identification fields, and click Add (see the Separating RINs section for more details).

Once you click Confirm Accept, the transaction will no longer appear in your Pending Received Transactions (or your trading partner's Pending Initiated Transactions), and the results of the trade will be reflected in your RIN Holdings.

As described earlier in this section, a trade can be completed if one trading partner initiates a trade through a buy/sell wizard, and the other partner then accepts the initiated trade from the Review Trades page. Trades can also be completed in the following ways:

- Both trading partners submit XML files for a trade (one buy and one sell);
- One trading partner completes a buy/sell transaction on the web application, and the other trading partner submits a matching XML file for the corresponding transaction; or
- One trading partner completes a buy transaction on the web application, and the other trading partner completes a matching sell transaction on the web application.

In all of these instances, the following fields must match for the trades to match:

- RIN Year;
- Fuel Code;
- RIN Quantity;
- Reason Code (unless doing a deny);
- Trading Partner;
- Assignment Code;
- Price Element (either RIN price amount or gallon price amount); and
- Transaction Date.

If these fields do not match, then the trades submitted by both trading partners via XML or web application will be considered pending initiated trades. For the Price Element matching criteria, both trading partners must provide either RIN price amount or gallon price amount (the same element), but the values reported do not need to match. For more information on using XML files to interact with EMTS, see the Submitting XML Files Section.

Managing the Blocked List

In some cases, you may wish to ensure that your organization does not buy RINs that were generated by a specific renewable fuel producer or at a specific facility. To do so, you can add a producer or facility to your organization's Blocked List. When a trade includes RINs that originated from a producer or facility on the buying organization's Blocked List, the transaction will automatically be denied by EMTS. You can access your organization's Blocked List by selecting View Blocked List under Organization in the EMTS main menu.

Figure 38: View Blocked List Page

The screenshot shows the EPA Moderated Transaction System interface. At the top is a navigation bar with links: Organization, Submissions, Trades, Reports, RINs, and Help. Below this is the 'View Blocked List' section. It contains a text box explaining that the list identifies renewable fuel producers and/or generating facilities whose RINs the user has chosen not to buy. To the right is a 'Transaction Bin' box showing 0 un-submitted transactions. Below these is a table with columns: Organization, Facility, Date Blocked, and I want to... The table is currently empty, with a message 'You have not chosen any fuel producers to block.' at the bottom. At the bottom right are two buttons: 'Add Blocked Producer' and 'Add Blocked Facility'.

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

View Blocked List

The following list identifies renewable fuel producers and/or generating facilities whose RINs you have chosen not to buy. You will not be able to buy RINs that originated from these sources, no matter which organization is selling the RINs.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organization	Facility	Date Blocked	I want to...
You have not chosen any fuel producers to block.			

[Add Blocked Producer](#) [Add Blocked Facility](#)

From the View Blocked List page (see Figure 38), click the Add Blocked Producer or Add Blocked Facility button to add a producer or facility to your organization's Blocked List. Clicking Add Blocked Producer takes you to the Add Producer to Blocked List page (see Figure 39). On the Add Producer to Blocked List page, you must identify a renewable fuel producer from a list of organizations (the list includes only renewable fuel producers). You can filter the list by Organization Name or Organization ID. To select a producer to add to your Blocked List, click the organization name in the list, and press Select. In Figure 39, the organization list was filtered with the word "Better," and Better Fuel Corporation was selected.

Figure 39: Add Producer to Blocked List Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Add Producer to Blocked List

Please select an organization to add to your Blocked List. Note that you will not be able to acquire RINs from any of this organization's facilities.

All Organizations:

- Better Fuel Corporation (4410)

Filter:

better

Clear Go

* Comment:

Selected Organization:

Better Fuel Corporation (4410)

Remove

Back Submit

* = Required Field

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

After you enter a comment in the Comment field and press Submit, you will return to the View Blocked List page, and the producer that you blocked will be added to the View Blocked List grid (see Figure 40).

Figure 40: View Blocked List Grid

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

View Blocked List

The following list identifies renewable fuel producers and/or generating facilities whose RINs you have chosen not to buy. You will not be able to buy RINs that originated from these sources, no matter which organization is selling the RINs.

Organization	Facility	Date Blocked	I want to...
Better Fuel Corporation (4410)	All facilities	02/26/2010 10:31:38 AM EST	- Select - Go - Select - View Details Remove

Export options: [CSV](#) | [Excel](#) | [PDF](#)

Add Blocked Add Blocked Facility

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

When you add a producer to your organization's Blocked List, the Facility column in the View Blocked List grid will contain the text "All facilities," indicating that your organization has chosen to block RINs that originate at all of the producer's facilities. If you add a facility to the Blocked List, the Facility column will display the name of the facility that you have blocked, and the Organization column will display the name of the organization associated with that facility.

For each row in the View Blocked List grid, you have the option to either View Details or Remove. Selecting View Details takes you to a View Blocked List Details page that includes the Organization, Facility, and Date Blocked information displayed in the grid along with the name of the person that added the organization/facility to the Blocked List and the comment provided with the action. Selecting Remove takes you to a Confirm Removal page (see Figure 41). The page displays all of the information included on the View Details page. You must press Confirm Remove at the bottom of the page to remove the organization/facility from the Blocked List. Upon doing so, you will return to the View Blocked List grid, and the removed organization/facility will no longer be included in the grid.

Figure 41: Confirm Removal Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

Confirm Removal

Please confirm that you would like to remove the following from your blocked list.

Transaction Bin
Your organization has 0 un-submitted transactions in the transaction bin.
[View Transaction Bin](#)

Organization:	Better Fuel Corporation (4410)
Facility:	All Facilities
Blocked by:	Wise, Edgar
Date Blocked:	02/26/2010
Comment:	test

[Back](#) [Confirm Remove](#)

Viewing Historic RIN Holdings

To view information for RINs that your organization previously owned but has since removed from its RIN Holding Account, select View RIN Holdings (History) under RINs on the EMTS main menu. The View RIN Holding History page contains details of your organization's retire and sell transactions (i.e., transactions that remove RINs from your RIN holdings) (see Figure 42).

Figure 42: View RIN Holding History Page


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View RIN Holding History

The following RINS were held by RAP Inc. GEN Cellulosic in the past. These RINs have either been retired or traded and are no longer in your holding account. Enter criteria and click Search. Enter 'all' in Results Per Page to see all records.

Transaction Bin

Organizations

Selected Organization: RAP Inc. GEN Cellulosic (4672)

[Select a Different Organization](#)

Search For Transactions

Transaction:

RIN Year:

Fuel:

Begin Date:

End Date:

Results Per Page:

Clear Search

RIN Holding History for: RAP Inc. GEN Cellulosic

Transaction Type	Transaction Date	RIN Year	Fuel(D Code)	Quantity	Volume	Reason Code	Trading Partner	I want to...
Sell	01/28/2010 10:33:15 AM EST	2009	Advanced Biofuel (D=5)	500	500	Standard Trade	RAP Inc. Obligated Party	View Details Go
Retire	12/18/2009 2:10:21 PM EST	2009	Advanced Biofuel (D=5)	150		Enforcement Obligation		View Details Go
Retire	12/18/2009 2:10:05 PM EST	2009	Advanced Biofuel (D=5)	150	150	Enforcement Obligation		View Details Go
Retire	12/03/2009 10:21:22 AM EST	2009	Advanced Biofuel (D=5)	130		Enforcement Obligation		View Details Go

This page is organization-specific; to switch the organization, for which the page is displayed, open the Organizations tab of the accordion on the right side of the page, and click Select a Different Organization. The RIN Holding History grid provides an overview of each retire and sell transaction performed on behalf of the organization indicated above the grid. To filter the RIN Holding History grid to look for a particular transaction, use the filter options in the Search for Transactions box, and press Search. To view the details of a transaction in the grid, select View Details in the "I want to..." drop-down and click Go.

Viewing Web Submissions

For every set of transactions that you submit from the Transaction Bin, EMTS will create and store a submission details page (a submission contains one or more transactions). To access the details of Transaction Bin submissions, select View Web Submissions under Submissions on the EMTS main menu.

Figure 43: View Web Submissions Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

View Web Submissions

The following are all of the submissions from the Transaction Bin on behalf of your organization RAP Inc. GEN Cellulosic.

Transaction Bin

Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Organizations

Web Submissions for: RAP Inc. GEN Cellulosic Number of Submissions: 2

Submission ID	Submission Date	Submitter	Current Status	I want to...
23220	01/28/2010 10:33:15 AM EST	Jemec, Ana	Processed	View Details Go
12041	12/22/2009 7:58:03 AM EST	Rogers, Nat	Processed	View Details Go

The View Web Submissions page is organization-specific, so it includes Transaction Bin submissions completed by any of an organization's submitters. To switch the organization for which the View Web Submissions page is displayed, open the Organizations tab of the accordion on the right side of the page, and click Select a Different Organization. The Web Submissions grid includes an overview of each submission from the Transaction Bin. To view the details of a submission, select View Details in the "I want to..." drop-down and click Go. Doing so takes you to a View Submission Log Details page, which contains the details of the submission and an overview of each transaction in the Submission (see Figure 44).

Figure 44: View Submission Log Details


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View Submission Log Details

The following content was included in submission 23220

Transaction Bin



Your organization has 0 un-submitted transactions in the transaction bin.

[View Transaction Bin](#)

Submission Details

Submission ID: 23220

Organization Name: RAP Inc. GEN Cellulosic (4672)

Submission Date: 01/28/2010

Current Status: Processed as of 01/28/2010 10:33:15 AM EST

Submitter Name: Ana Jemec

Generate Transactions

Transaction ID	Production Date	RIN Year	Fuel Category	Fuel (D Code)	Volume	Quantity	Equivalence Value	I want to...
17640	01/20/2010	2010	Non-ester renewable diesel	Advanced Biofuel (D=5)	200	200		View Details Go

Sell Transactions

Transaction ID	Transaction Date	RIN Year	Fuel (D Code)	Assignment Code	Quantity	Trading Partner	I want to...
18020	01/26/2010	2009	Advanced Biofuel	Separated	500	RAP Inc. Obligated Party	View Details Go

Back

To view the details of a transaction in the submission, select View Details in the "I want to..." drop-down and click Go.

Submitting XML Files

If you wish to submit data to EMTS without using the website transaction wizards, you may do so by submitting valid XML files from the EMTS web application, a personal node, or a node client. For more details on XML file submission, please go to <http://www.exchangenetwork.net/exchanges/air/emts.htm> and download the implementation resources available on the site. The Flow Configuration Document (FCD) describes the different methods of file submission and contains details on the Exchange Network Header v2.0, which must be included in an XML file for it to be valid. The XML Schema and Data Exchange Template provide additional information on the structure and content requirements for a valid XML file. Please see the Sample XML Instance File for an example of a valid XML file.

To assist EMTS users with constructing XML files, EPA has developed the EMTS Conversion Tool (ECT). The ECT has the capability to convert user-populated Excel or delimited files to XML files. The ECT also provides blank templates for each transaction type for users to populate, and then it converts the populated templates to XML files. If you are interested in using the ECT to create XML files, please send a request to emts-testing@pqa.com, and you will receive the instructions for installing and using the ECT. The ECT and related materials can be downloaded from the EMTS web page located at <http://www.epa.gov/oms/renewablefuels/epamts.htm>.

Once you have created an XML file that you would like to submit to the EMTS node through the web application (for node and node client submissions, see the FCD), return to the EMTS website, hover over Submissions on the main menu, and click Submit File. The Submit File page is user-specific, so if you represent multiple organizations, you can submit files for all of your organizations from the Submit File page without changing the organization that you represent. From this page, you may submit only one XML file at a time. The file can contain multiple transactions, but the transactions may not be dependent upon one another (i.e., you cannot submit a file that contains a generate transaction followed by a separate transaction that separates the RINs generated in the file). On the Submit File page (see Figure 45), click the Browse button to identify the XML file you would like to submit. The file must include the Exchange Network Header v2.0 and must pass EMTS v1.0 XSD schema validation for it to be submitted to the EMTS node.

Figure 45: Submit File Page

The screenshot shows the 'Submit File' page of the EPA Moderated Transaction System. The page has a green header with the EPA logo and the text 'Moderated Transaction System'. Below the header is a navigation bar with links: Organization, Submissions, Trades, Reports, RINs, and Help. The main content area is titled 'Submit File' and contains a text box with instructions: 'Select an XML file to submit for your organization. The file must include the Exchange Network Header v2.0 and must pass EMTS v1.0 XSD schema validation for it to be submitted to the EMTS Node.' Below this is a section labeled 'Identify File' which contains a text input field for the file name, a 'Browse...' button, and a 'Submit' button. On the right side of the page, there is a 'Transaction Bin' section with a folder icon and text stating 'Your organization has 0 un-submitted transactions in the transaction bin.' and a link to 'View Transaction Bin'.

Once you have identified a file, press the Submit button. After clicking the Submit button, it may take some time to process and load the file into EMTS, so please be patient, and avoid clicking the Submit button again. The file must first pass through the CDX node before it can be processed by the EMTS node. If your file does not pass schema validation, you will receive an error message on the Submit File page. If your file is valid, the Submit File History page will be displayed (see Figure 46), which includes a grid with the CDX node status details for your submission. To access this page without first submitting a file, hover over the Submissions menu option, and click Submit File History.

Figure 46: Submit File History Page


EPA
Moderated Transaction System

Organization
Submissions
Trades
Reports
RINs
Help

Exchange Transactions List

The following grid displays status information for all of the files submitted by you through the Submit File page on the EMTS Website.

Submit File History For: **Ana Jemec**

4 items found, displaying all items.1

Transaction ID	File Name	Content Type	Status	Status Detail	Status Date
_47fafd14-c821-4a89-82cd-fb9ed5be3eb1	4670-anajemec-31747-Step1-Generate.xml	text/xml	Initiated	Submit transaction initiated from the EMTS website.	Wed Jan 13 09:46:04 EST 2010
_47fafd14-c821-4a89-82cd-fb9ed5be3eb1	4670-anajemec-31747-Step1-Generate.xml	text/xml	Processing	Your submission has been accepted and can be tracked using the following id: _47fafd14-c821-4a89-82cd-fb9ed5be3eb1	Wed Jan 13 09:46:04 EST 2010
_725615e3-fec4-4ee7-a2a4-4266938a2a9d	4670-anajemec-31747-Step1-Generate.xml	text/xml	Initiated	Submit transaction initiated from the EMTS website.	Wed Jan 13 09:45:39 EST 2010
_725615e3-fec4-4ee7-a2a4-4266938a2a9d	4670-anajemec-31747-Step1-Generate.xml	text/xml	Processing	Your submission has been accepted and can be tracked using the following id: _725615e3-fec4-4ee7-a2a4-4266938a2a9d	Wed Jan 13 09:45:39 EST 2010

Export options: [CSV](#) | [Excel](#) | [PDF](#)

[Submit Another File](#)

The Submit File History page, like the Submit File page, is user-specific (not organization-specific), so it will include CDX node status details for your submissions for all of your organizations. A status of "Initiated," means that the transaction was sent from the EMTS website to the CDX node, and a status of "Processing" means that the submission was accepted by the CDX node and has been sent to the EMTS node for processing. To view the EMTS node results for the file submission, hover over the Submissions menu option, and click View Node Submissions.

Figure 47: View Node Submissions Page

EPA Moderated Transaction System

Organization Submissions Trades Reports RINs Help

View Node Submissions

The following are all of the files submitted on behalf of your organization RAP Inc. GEN Cellulosic.

Transaction Bin
Organizations
Selected Organization: RAP Inc. GEN Cellulosic (4672)
[Select a Different Organization](#)

XML File Submissions for: **RAP Inc. GEN Cellulosic** Number of Files Received: 16

Submission ID	Submission Date	File Name	Submitter	Current Status	I want to...
11200	12/18/2009 2:10:53 PM EST	4672-NatRogers-Step7-Buy.xml	Rogers, Nat	Processed	View Details Go
11180	12/18/2009 2:10:42 PM EST	4672-NatRogers-Step6-Sell.xml	Rogers, Nat	Processed	View Details Go
11160	12/18/2009 2:10:30 PM EST	4672-NatRogers-Step5-Error.xml	Rogers, Nat	Failed	- Select - Go
11140	12/18/2009 2:10:21 PM EST	4672-NatRogers-Step4-Retire.xml	Rogers, Nat	Processed	View Details Go
11120	12/18/2009 2:10:05 PM EST	4672-NatRogers-Step3-Retire.xml	Rogers, Nat	Processed	View Details Go
11100	12/18/2009 2:09:49 PM EST	4672-NatRogers-Step2-Separate.xml	Rogers, Nat	Processed	View Details Go
11080	12/18/2009 2:09:39 PM EST	4672-NatRogers-Step1-Generate.xml	Rogers, Nat	Failed	- Select - Go
10900	12/18/2009 1:51:21 PM EST	4672-NatRogers-Step2-Separate.xml	Rogers, Nat	Processed	View Details Go
10880	12/18/2009 1:50:52 PM EST	4672-NatRogers-Step1-Generate.xml	Rogers, Nat	Processed	View Details Go
1226	12/03/2009 10:21:22 AM EST	4672-anajemec-Step7-Buy.xml	Jemec, Ana	Processed	View Details Go
1225	12/03/2009 10:21:22 AM EST	4672-anajemec-Step6-Sell.xml	Jemec, Ana	Processed	View Details Go
1224	12/03/2009 10:21:22 AM EST	4672-anajemec-Step5-Error.xml	Jemec, Ana	Failed	- Select - Go
1223	12/03/2009 10:21:22 AM EST	4672-anajemec-Step4-Retire.xml	Jemec, Ana	Processed	View Details Go

The View Node Submissions page is organization-specific (and not user-specific), which means that the page displays the status of all files submitted by representatives of the organization specified above the View Node Submissions grid. In Figure 47, you can see that two submitters, Nat Rogers and Ana Jemec, have submitted files for RAP Inc. GEN Cellulosic. To switch organizations, click [Select a Different Organization](#) in the Organizations tab of the accordion (see Figure 47).

The Current Status column of the View Node Submissions grid displays the EMTS node status of each XML file submission. A status of Processed indicates that the file passed all QA checks, and a status of Failed indicates that the file failed one or more EMTS QA checks. For processed and failed files, you can select [View Details](#) in the "I want to..." column to see more information about the submission and a list of transactions within the submission (see Figure 48). From the submission details page, you will be able to view transaction details for each transaction in the submission. From the View Node Submissions page, you can also select [QA Results](#) in the "I want to..." column for a failed submission. This will take you to a QA Feedback Report, which contains a list of QA checks that the submission failed.

Figure 48: View Node Submissions Options

Current Status	I want to...
Processed	View Details Go
Processed	View Details Go
Failed	- Select - Go
Processed	- Select - Go
Processed	View Details QA Results View Details Go

Receiving Notifications

EMTS can communicate information to users by sending notifications via email. Some notifications will be sent immediately while others will be aggregated and sent nightly. The current phase of testing includes an immediate notification for canceled trades and an aggregated daily notification for pending trades.

EMTS will send an immediate notification of canceled trades to all representatives of both trading partners within fifteen minutes of a cancelation. The notification will include an overview of the canceled trade.

EMTS will also send a daily notification of pending trades to an organization that has been listed as a trading partner in an initiated trade and has not either accepted or denied the trade before the end of the day (midnight EST). The notification will include an aggregate list of all pending trades received that day along with the date and time that those trades will expire.

Future releases of EMTS will include more notifications, such as a daily notification including a list of all XML files that have been submitted on behalf of an organization and an indication of whether or not those files processed successfully.

EMTS Technical Support

If you have any questions or want to report defects, comments, enhancements, or feedback, you may contact EMTS Technical Support at emts-testing@pqa.com or (800) 385-6164, Monday – Friday, 9:00 a.m. to 5:00 p.m. (EST). When reporting defects or issues, please provide the detailed steps that were taken to create the problem or issue, and provide screen shots to document the web page and information entered on that page.