

Logistics, Multi-modal, and Shipper Partner 2.0.14 Tools: Guide to Importing Carrier Data Using the Outside Data Import Function 2014 Data Year - United States Version



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Transportation and Climate Division
Office of Transportation and Air Quality
U.S. Environmental Protection Agency

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Overview

The SmartWay Logistics, Multi-modal, and Shipper Tools have an Outside Data Import function. This function reduces the need to manually enter carrier activity data by allowing you to create a data file that contains your company's in-house carrier data in SmartWay's prescribed data format.

In response to companies that have had difficulty matching the carrier information in their databases with the data that carriers submit to SmartWay, a new carrier matching function has been added this year that enables partners to identify which of their current carriers are SmartWay Partners. This matching function can be found in the SmartWay Partner Portal.

THE OUTSIDE DATA IMPORT FUNCTION IS RIGHT FOR YOU IF:
you use a relatively large number of carriers (both SmartWay and/or non-SmartWay) and you have an in-house data collection system that contains carrier activity data necessary to populate your SmartWay Tool for submission to EPA.

How the Outside Data Import Function Works

This function allows you to import data from your in-house data tracking systems directly into your Tool.

To input your company's carrier data into the Tool, you will create a Comma-Separated Values (CSV) file that meets certain basic SmartWay specifications, and merge that file with your in-house data to produce a complete profile of your carriers' activity.

This CSV file will be uploaded into the Tool and will save you the time of manually entering data to calculate your emissions footprint and/or percent SmartWay (% SmartWay).

In addition to your carriers' activity data, starting this year you may add company-specific carrier identification information and/or carrier contact information into the CSV file described in the previous section. You may now add up to 12 extra fields of carrier identification information and 3 fields of carrier contact information to your file. The new fields include:

- Carrier name as it appears in your company's system/database
- Up to three Internal Carrier ID codes from your company's database system
- SCAC
- MCN
- DOT
- Street address
- City
- State / Province abbreviation
- ZIP / Postal code
- Phone number
- Contact's email address
- Contact's correspondence name
- Preferred language of correspondence

In future years this information will be available as additional match criteria in the SmartWay Partner Match function accessible from the Partner Portal. These new fields must be formatted in a specific way in order to work with the SmartWay tools and database; file preparation and format is described in the next section.

SmartWay suggests that you use Microsoft Excel to develop and modify your CSV files. Excel has standard support for CSV files, in that it can easily read and write this type of file.

You must ALWAYS save your file as a CSV file. If you accidentally save your file as an Excel workbook, you can easily change the format back to a CSV file by using the "Save As" function in Excel.

Preparing Your Carrier Data to Import into Your Tool

There are six steps to preparing your CSV file for import into the Tool.

Step 1: Enter your company level data

Step 2: Open your Logistics Business Unit/Shipper Company and proceed to the Import/Export screens to create your CSV File

Step 3: Populate the CSV file with carrier data from your in-house computer systems

Step 4: Carrier matching (OPTIONAL)

Step 4a: Populate the CSV file with your company-specific carrier identification information

Step 4b: Upload your Carrier CSV file into the Partner Portal

Step 4c. Export your matched carrier file from the Partner Portal

Step 5: Import and validate your CSV file

Step 6: Enter additional data into the Tool

Please note that due to the variability in Partner data systems, EPA does not have the resources to assist you in creating and importing this file. You should rely on your own in-house IT/MIS computer staff.

Step 1: Enter Company Level Data

Before you can import outside data, you must take these initial steps for completing your Tool:

1. Enter your company name and contact information.
2. Define your business unit(s)¹.
3. Download the latest carrier data file.
4. When you have at least company defined, you can then import carrier data for that business unit.
5. Double click on the business unit name on the Home screen.
6. On the Input Carriers tab, read the Instructions screen and check the box to indicate that you understand these instructions.

Once you have performed these six steps, you will be ready to use the Outside Data Import function.

Step 2: Create Your Carrier CSV File

There are three basic methods for creating your Carrier CSV file:

- **Option 1 — Create a Carrier CSV File from Scratch:** If you have a large number of carriers (e.g., 100 or more) you may choose to create your CSV from scratch using your in-house system. If you choose this approach, the best way to ensure compatibility between your file and the Tool is to review the example Carrier CSV file. This will aid your IT staff in producing a CSV file with the correct format.
- **Option 2 — Manually Build a Carrier CSV File:** This method is a good option if you have a smaller number of carriers (e.g. 100 or fewer) that you can manually identify. In this approach, you manually select carriers in the Tool and then export a CSV file (using the “Export Master File” button on the “Export Carriers” tab) that can then be populated with data from your in-house data system.
- **Option 3 — Export a Master CSV File:** This method can be used if you have a very large number of SmartWay Carriers. With this approach, you generate a CSV file complete

¹ Terminology varies with Tool: Logistics users define “business units”, and Shippers define “companies”.

with all current SmartWay Carriers in the correct format. You can then open this CSV file in Excel and delete any SmartWay Carriers you don't use, and enter data regarding activity and other details related to how you use your carriers.

Once you have created a Carrier CSV file, you can use a new matching function to identify and match which of your carriers are SmartWay carriers. In the first reporting year (2014) you can match your carrier data with data SmartWay Carriers have submitted using identification fields you have in your database, including the carrier's address, phone number, SCAC code, MCN code, and/or DOT number.

In future years you can match your carrier data with carrier data in SmartWay by including your own unique identification data in the Carrier CSV. For example, if you have a unique Carrier ID for each of your carriers, include that in the Carrier CSV this year so that this information is stored in the SmartWay database. Then in subsequent years, when you include your unique identifier in the Carrier CSV file, you can use the Partner Portal to find your carriers for the current reporting year. Your company-specific match data is not shared with other companies.

The steps to use the new carrier matching function are:

1. Create your Carrier CSV file
2. Import your Carrier CSV file into the Partner Portal
3. Export your matched carrier file from the Partner Portal
4. Import your matched carrier file into the Tool
5. Edit your carrier identification information (optional)

These steps are incorporated into the complete set of steps for the import function in the "Preparing Your Carrier Data to Import into Your Tool" section below.

Step by step instructions for all three data import/export methods follow.

Option 1: Create a Carrier CSV File from Scratch

You may choose to create your Carrier CSV file from scratch using your in-house data system.

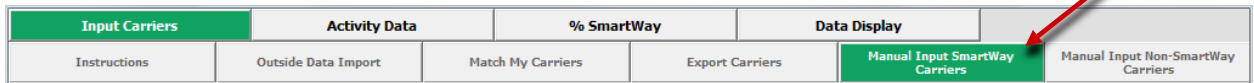
Provide these instructions to your technical support staff that manage your in-house system. They should follow these general steps:

- a) Review the example Carrier CSV file for the required format.
- b) Carrier CSV files generated by a SmartWay tool contain several rows of explanatory text at the top of the file. These rows are ignored when the file is being read by either the SmartWay tool or the Carrier Matching function in the Partner Portal. The code that processes these files looks for the value of 'Carrier ID' or 'id du transporteur' in the first comma delimited field. The SmartWay code that reads this file ignores all of the rows in the CSV file until it finds one of these values in the first field. These values indicate that this is the column header row. Following the column header row are one or more carrier data rows.
- c) The first 24 fields are fixed. This data contains either SmartWay identification information to match this data with the carrier performance metrics in the SmartWay Carrier Data File as well as your activity data for each of your carriers.
- d) Starting in the 25th field is the new Carrier Identification data you can optionally include to match your carrier data from your in-house system with the current reporting year carrier data in SmartWay. The data in these columns is not fixed but instead identified by column header. The column header names are not case sensitive.

Once you have the Carrier CSV file in the correct format, you are now ready to proceed to Step 3 ("Populate the CSV file with Carrier Data from Your In-house Computer Systems").

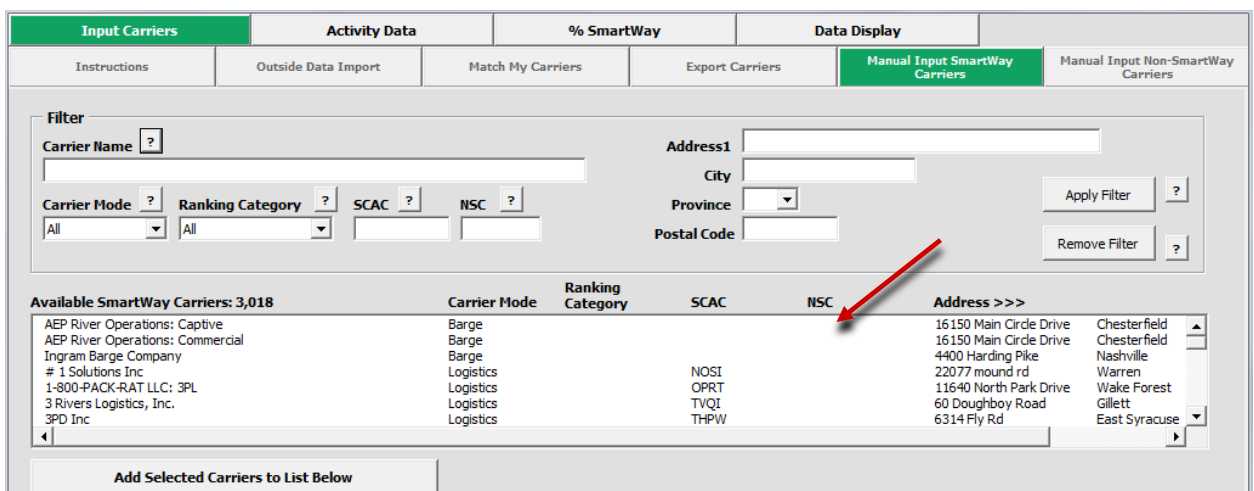
Option 2: Manually Build a CSV File

1. Select the “Manual Input SmartWay Carriers” tab.



The screenshot shows the top navigation bar of the SmartWay interface. It has six tabs: 'Input Carriers', 'Activity Data', '% SmartWay', 'Data Display', 'Manual Input SmartWay Carriers', and 'Manual Input Non-SmartWay Carriers'. The 'Manual Input SmartWay Carriers' tab is highlighted in green and has a red arrow pointing to it from the right.

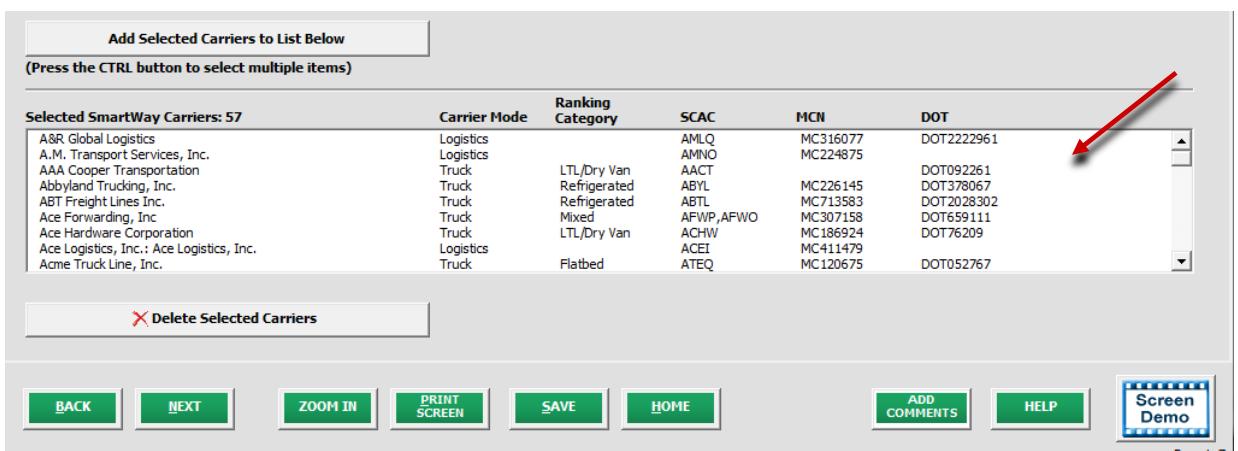
2. Select your SmartWay Carriers using the Tool’s user interface filters (e.g., the Carrier Name box, the Carrier Mode drop-down list box, the Ranking Category drop-down list box, the SCAC, MCN and/or DOT box, and the Address/City/State/Zip boxes). An example selection screen is shown below for the Logistics Tool.



The screenshot shows the 'Manual Input SmartWay Carriers' tab with a filter section and a list of available carriers. The filter section includes fields for Carrier Name, Address1, City, Province, and Postal Code, along with dropdown menus for Carrier Mode, Ranking Category, SCAC, and NSC. There are 'Apply Filter' and 'Remove Filter' buttons. Below the filter is a table of available carriers with columns for Carrier Mode, Ranking Category, SCAC, NSC, and Address >>>. A red arrow points to the 'NSC' column header. At the bottom is a button labeled 'Add Selected Carriers to List Below'.

Carrier Mode	Ranking Category	SCAC	NSC	Address >>>
Barge				16150 Main Circle Drive
Barge				16150 Main Circle Drive
Barge				4400 Harding Pike
Logistics				22077 mound rd
Logistics		NOSI		11640 North Park Drive
Logistics		OPRT		60 Doughboy Road
Logistics		TVQI		6314 Fly Rd
Logistics		THPW		

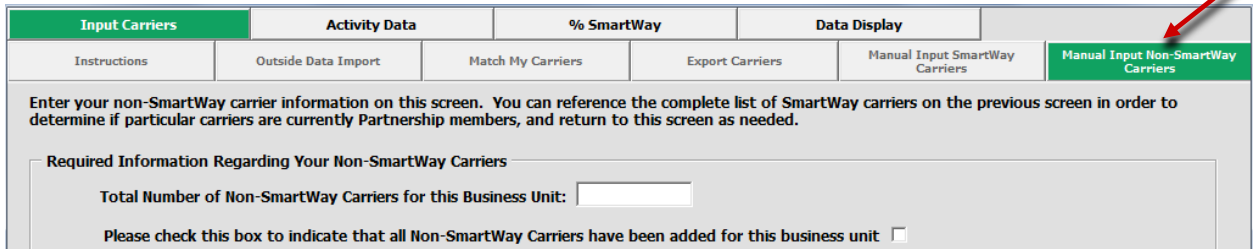
3. As you find each of your carriers, click the ‘Add Selected Carriers to List Below’ button. When you use this button, the carrier selected in the upper list box will be added to the list in the “Selected SmartWay Carriers” box at the bottom of the screen.



The screenshot shows the 'Selected SmartWay Carriers' section at the bottom of the interface. It includes a button 'Add Selected Carriers to List Below' and a note '(Press the CTRL button to select multiple items)'. Below is a table of selected carriers with columns for Carrier Mode, Ranking Category, SCAC, MCN, and DOT. A red arrow points to the 'DOT' column header. At the bottom are buttons for 'BACK', 'NEXT', 'ZOOM IN', 'PRINT SCREEN', 'SAVE', 'HOME', 'ADD COMMENTS', 'HELP', and a 'Screen Demo' button.

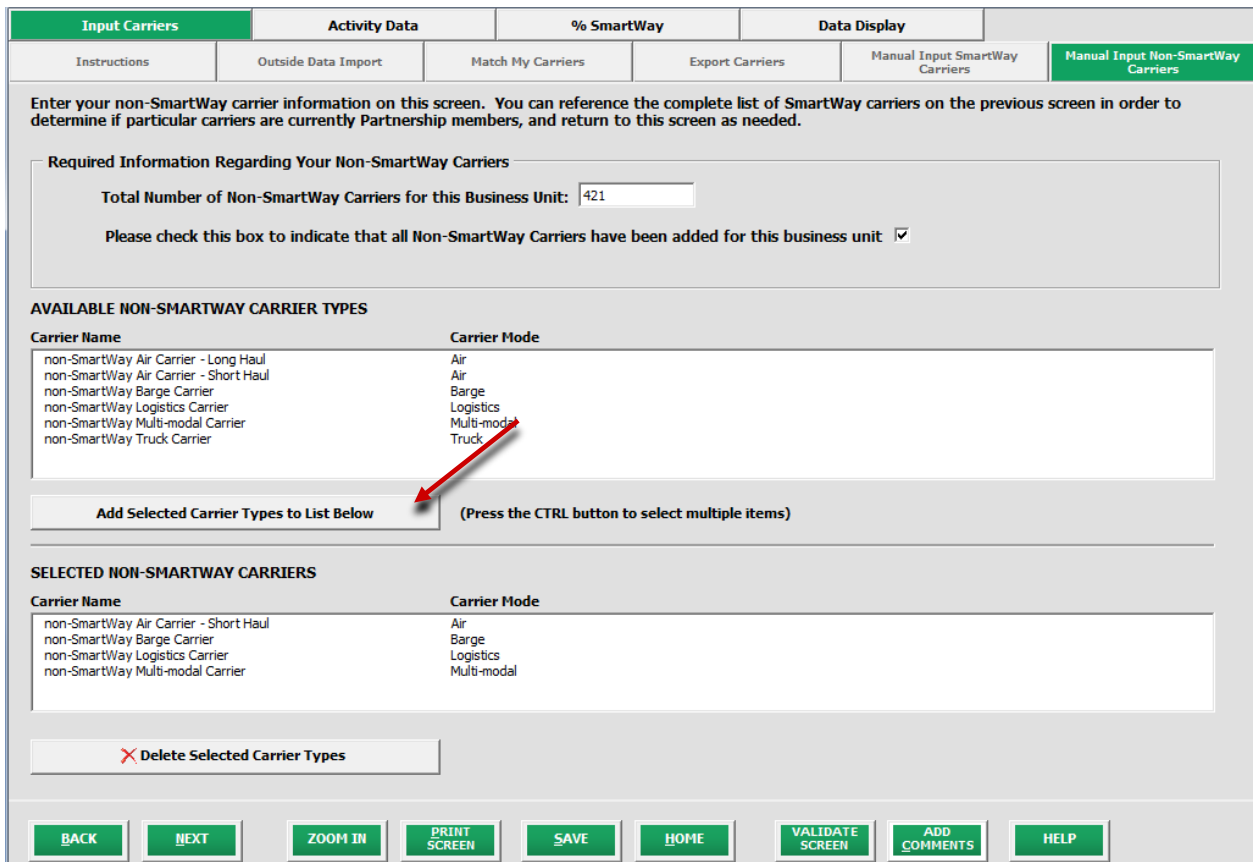
Carrier Mode	Ranking Category	SCAC	MCN	DOT
Logistics		AMLQ	MC316077	DOT2222961
Logistics		AMNO	MC224875	
Truck	LTL/Dry Van	AACT		DOT092261
Truck	Refrigerated	ABYL	MC226145	DOT378067
Truck	Refrigerated	ABTL	MC713583	DOT2028302
Truck	Mixed	AFWP,AFWO	MC307158	DOT659111
Truck	LTL/Dry Van	ACHW	MC186924	DOT76209
Logistics		ACEI	MC411479	
Truck	Flatbed	ATEQ	MC120675	DOT052767

4. Select the “Manual Input–Non SmartWay Carriers” tab.



The screenshot shows the 'Manual Input Non-SmartWay Carriers' tab selected in the top navigation bar. Below the tabs, there is a text box with instructions: 'Enter your non-SmartWay carrier information on this screen. You can reference the complete list of SmartWay carriers on the previous screen in order to determine if particular carriers are currently Partnership members, and return to this screen as needed.' Below this is a section titled 'Required Information Regarding Your Non-SmartWay Carriers' containing a text input field for 'Total Number of Non-SmartWay Carriers for this Business Unit:' and a checkbox labeled 'Please check this box to indicate that all Non-SmartWay Carriers have been added for this business unit'.

5. Enter the total number of non-SmartWay carriers used by your company in the reporting year, and specify their modes (truck, logistics, rail, barge, air, and/or multi-modal) by selecting the appropriate non-SmartWay carrier(s) from the list and clicking the “Add Selected Carriers to List Below” button.

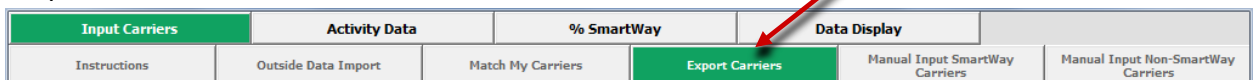


This screenshot shows the same form as before, but with the 'Total Number of Non-SmartWay Carriers for this Business Unit:' field filled with '421' and the checkbox checked. Below this is a section titled 'AVAILABLE NON-SMARTWAY CARRIER TYPES' with a table of carrier names and modes. A red arrow points to the 'Add Selected Carrier Types to List Below' button. Below this is a section titled 'SELECTED NON-SMARTWAY CARRIERS' with a table of selected carrier names and modes. At the bottom, there is a 'Delete Selected Carrier Types' button and a row of navigation buttons: BACK, NEXT, ZOOM IN, PRINT SCREEN, SAVE, HOME, VALIDATE SCREEN, ADD COMMENTS, and HELP.

Carrier Name	Carrier Mode
non-SmartWay Air Carrier - Long Haul	Air
non-SmartWay Air Carrier - Short Haul	Air
non-SmartWay Barge Carrier	Barge
non-SmartWay Logistics Carrier	Logistics
non-SmartWay Multi-modal Carrier	Multi-modal
non-SmartWay Truck Carrier	Truck

Carrier Name	Carrier Mode
non-SmartWay Air Carrier - Short Haul	Air
non-SmartWay Barge Carrier	Barge
non-SmartWay Logistics Carrier	Logistics
non-SmartWay Multi-modal Carrier	Multi-modal

6. Once you have selected all of your SmartWay and non-SmartWay carriers, go to the “Export Carriers” tab.



The screenshot shows the 'Export Carriers' tab selected in the top navigation bar. The other tabs are 'Input Carriers', 'Activity Data', '% SmartWay', 'Data Display', 'Manual Input SmartWay Carriers', and 'Manual Input Non-SmartWay Carriers'.

Note: Steps 7 and 8 are for Shipper Tool users only.

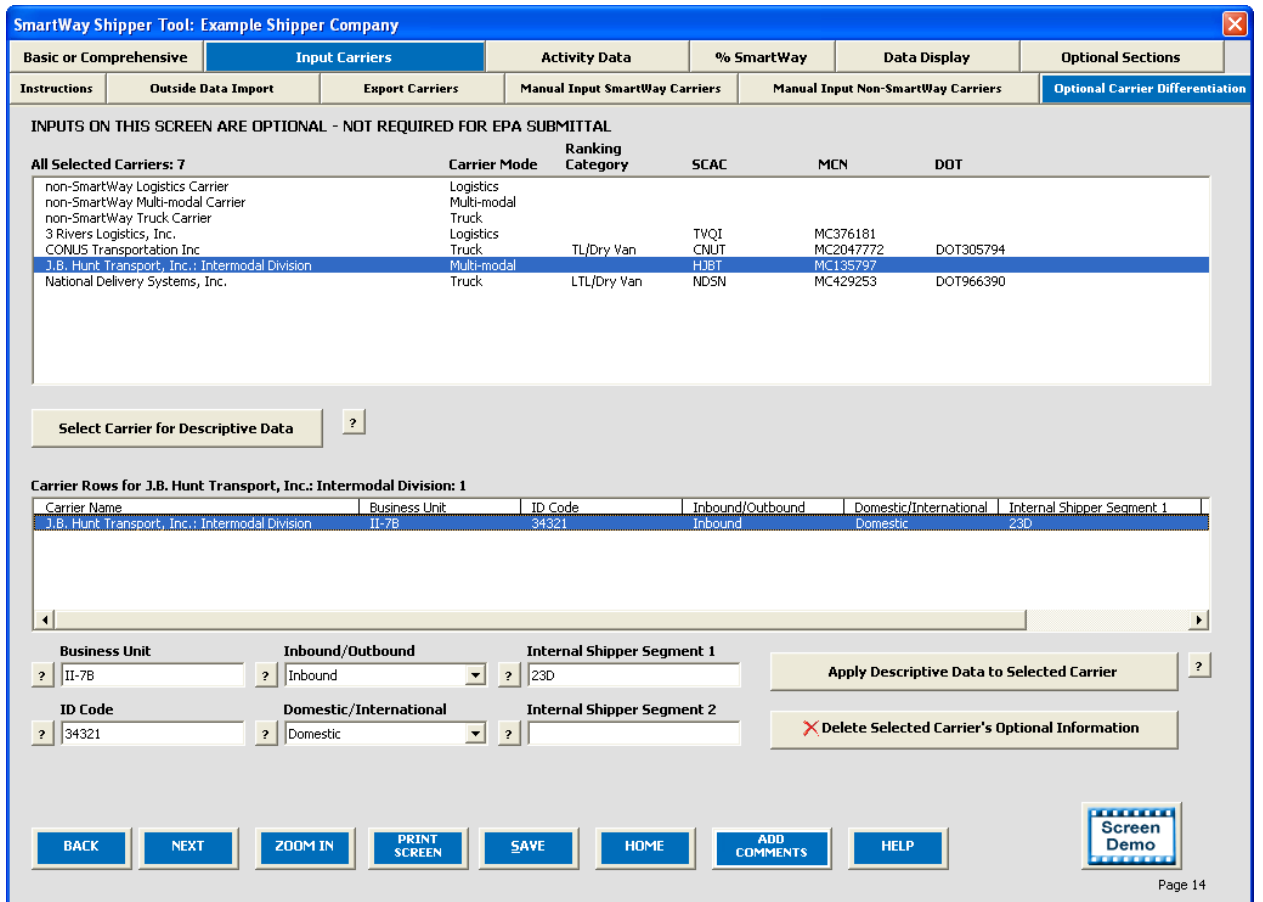
7. Select the “Optional Carrier Differentiation” tab.



SmartWay Shipper Tool: Example Shipper Company

Basic or Comprehensive	Input Carriers	Activity Data	% SmartWay	Data Display	Optional Sections
Instructions	Outside Data Import	Export Carriers	Manual Input SmartWay Carriers	Manual Input Non-SmartWay Carriers	Optional Carrier Differentiation

8. Enter any distinguishing data you wish for each carrier selected, such as inbound/outbound and domestic/international designations on this screen. Begin by selecting a carrier in the “All Selected Carriers” box at the top of the screen. Then highlight the carrier name in the lower box. At this time the optional carrier descriptor cells will become active at the bottom of the screen. After entering the desired information, select the “Apply Descriptive Data to Selected Carrier” button to save your data. Repeat this process for as many of your selected carriers as needed.



SmartWay Shipper Tool: Example Shipper Company

Basic or Comprehensive	Input Carriers	Activity Data	% SmartWay	Data Display	Optional Sections
Instructions	Outside Data Import	Export Carriers	Manual Input SmartWay Carriers	Manual Input Non-SmartWay Carriers	Optional Carrier Differentiation

INPUTS ON THIS SCREEN ARE OPTIONAL - NOT REQUIRED FOR EPA SUBMITTAL

All Selected Carriers: 7

Carrier Mode	Ranking Category	SCAC	MCN	DOT
non-SmartWay Logistics Carrier	Logistics			
non-SmartWay Multi-modal Carrier	Multi-modal			
non-SmartWay Truck Carrier	Truck			
3 Rivers Logistics, Inc.	Logistics	TVQI	MC376181	
CONUS Transportation Inc.	Truck	CNUT	MC2047772	DOT305794
J.B. Hunt Transport, Inc.: Intermodal Division	Multi-modal	HJBT	MC135797	
National Delivery Systems, Inc.	Truck	NDSN	MC429253	DOT966390

Select Carrier for Descriptive Data ?

Carrier Rows for J.B. Hunt Transport, Inc.: Intermodal Division: 1

Carrier Name	Business Unit	ID Code	Inbound/Outbound	Domestic/International	Internal Shipper Segment 1
J.B. Hunt Transport, Inc.: Intermodal Division	II-7B	34321	Inbound	Domestic	23D

Business Unit: II-7B Inbound/Outbound: Inbound Internal Shipper Segment 1: 23D

ID Code: 34321 Domestic/International: Domestic Internal Shipper Segment 2:

Apply Descriptive Data to Selected Carrier ?

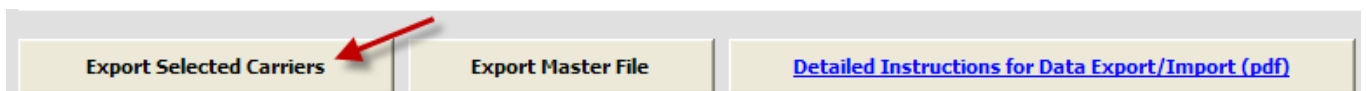
Delete Selected Carrier's Optional Information

BACK NEXT ZOOM IN PRINT SCREEN SAVE HOME ADD COMMENTS HELP

Screen Demo

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9. Select the “Export Selected Carriers” button.

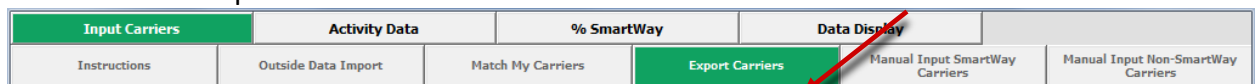


Export Selected Carriers Export Master File Detailed Instructions for Data Export/Import (pdf)

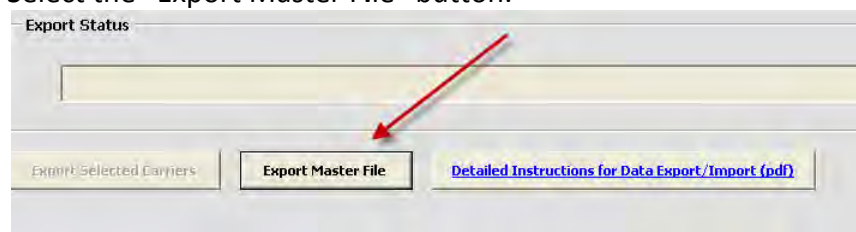
10. A “Save As” screen will pop-up.
11. Save document as “XXX.csv” file, where “XXX” is a name of your choosing, in any folder on your computer for which you have read/write access.
12. Proceed to Step 3 below (“Populate the CSV file with Carrier Data from Your In-house Computer Systems”).

Option 3: Export a Master CSV File

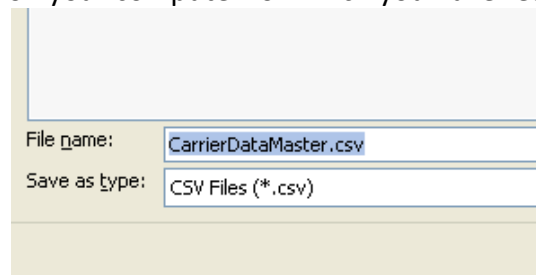
1. Select the “Export Carriers” Tab.



2. Select the “Export Master File” button.



3. A “Save As” screen will pop up.
4. Save document as “XXX.csv” file, where “XXX” is a name of your choosing, in any folder on your computer for which you have read/write access.



5. Open this CSV file in Microsoft Excel (recommended) or other software that supports CSV files.
6. Delete any SmartWay Carriers that you did not use during the reporting year.
7. Note that the first six rows in the master file represent non-SmartWay carriers. If you used any non-SmartWay carriers you must account for their combined mileage and/or ton-mileage in the appropriate non-SmartWay designation.

8. Save this modified CSV file to your designated computer folder.
9. Proceed to Step 3 on page 12 (“Populate the CSV file with Carrier Data from Your In-house Computer Systems”).

Step 3: Populate the CSV file with Carrier Data from Your In-house Computer Systems

1. Open the CSV file you created in the previous step.
2. At the top of the file are important warnings and descriptions of the data that need to be entered in each column. These warnings and descriptions can be deleted from your CSV; **however, do not change the header row that begins with the label “Carrier ID.”**

NOTE: A list of the CSV fields can also be found at the end of this document, including a list of valid values.

EPA recommends that you save a backup copy of the CSV file at this point and at periodic intervals with different file names in case you make a mistake.

3. Populate the CSV file with carrier data from your in-house spreadsheet.

You will need to work with your company’s IT department to develop the best way to merge your in-house data with the CSV file’s fields; EPA cannot provide support on data merging procedures.

4. Save your completed CSV on your computer — again, make sure that you save the file as a CSV file (with the .csv extension), or it will not load into the Tool.

At this point, if you do not wish to use the carrier matching function and include company-specific carrier identification information, you should skip to Step 5: Otherwise you are now ready to upload and import your final CSV file into the SmartWay Tool. Proceed to Step 4 to include carrier matching information.

Step 4: Carrier Matching (OPTIONAL)

If you wish to take advantage of the carrier matching function in the Partner Portal, you will continue populating the CSV file at this point before visiting the Partner Portal and running the matching process.

Step 4a: Populate the CSV file with your company-specific carrier identification information

1. Continue working with the CSV file you created in the previous step.
2. Starting in Column Y, you may populate up to 15 columns with your own company-specific carrier identification information and carrier contact information. Start by populating the header row (i.e. the row that includes the label “Carrier ID” and the other activity data column headers) with one of the 15 allowable column headers for carrier identification information. The allowable column headers and the expected information for the columns are:
- 3.

	Carrier Identification Information	Column Header
1.	Carrier name as it appears in your company’s system/database	MyCarrierName
2.	An Internal Carrier ID code from your company’s database system	MyCarrierID1
3.	An Internal Carrier ID code from your company’s database system	MyCarrierID2
4.	An Internal Carrier ID code from your company’s database system	MyCarrierID3
5.	SCAC	MyCarrierSCAC
6.	MCN	MyCarrierMCN
7.	DOT	MyCarrierDOT
8.	Street address	MyCarrierStreetAddress
9.	City	MyCarrierCity
10.	State abbreviation	MyCarrierState
11.	Postal code	MyCarrierZipCode
12.	Phone number	MyCarrierPhoneNumber

	Carrier Contact Information	Column Header
1.	Contact’s email address	MyCarrierEmailAddress
2.	Contact’s correspondence name	MyCarrierContactName

	Carrier Contact Information	Column Header
3.	Preferred language of correspondence	MyCarrierContactLanguage

You may include as many or as few of these 12 allowable identification information columns and 3 contact information columns as you wish. The order of the columns in your CSV file does not matter, however you must add them in a contiguous section (i.e. you cannot have a blank column between populated columns), starting in the 25th field, which is column Y if you are viewing in Excel. For example, if you wanted to include a column for an internal carrier ID from your database, the carrier name as it appears in your database/system, and the SCAC from your database, you would enter “MyCarrierID1” in the header row in the 25th field (Column Y in Excel), “MyCarrierName” in the 26th field (Column Z in Excel), and “MyCarrierSCAC” in the 27th field (Column AA in Excel).

NOTE: The column header(s) you add to the CSV file must match those listed above EXACTLY. Your CSV file will be invalid if the header column names do not match.

The carrier contact information shown above can be used to send emails to your carriers once these data have been uploaded into the Partner Portal (see Step 4b below). You can use this information to send emails to your carrier reminding them to submit their data to SmartWay for the current reporting year, or to encourage non-SmartWay carriers to join the Partnership.

In order to send an email to one of your partners, you will need to supply the email address of your contact with that carrier (i.e., you will need to add a column with header MyCarrierEmailAddress to your CSV file). In addition to their email address, you can also provide the contact's name which will then be inserted into the email automatically (included in the column MyCarrierContactName). Emails to partners can be sent in either English or French (in column MyCarrierContactLanguage).

4. For each column you have added starting in the 25th field (Column Y in Excel), populate the carrier rows with your company-specific information. The values you enter are validated to ensure they are 100 characters or less. In addition, if you include the preferred language of correspondence (in a column labeled MyCarrierContactLangauge), the value must be “English” “French” “Anglais” or “Français” (the value must match EXACTLY to one of these four values).

You will probably need to work with your company’s MIS/IT department to develop the procedures to extract your in-house data with this file format; EPA cannot provide support on data extraction procedures.

5. Save your completed CSV on your computer — again, make sure that you save the file as a CSV file (with the .csv extension), or it will not load into the Partner Portal or the Tool.

You are now ready to upload and import your CSV file into the Partner Portal.

Step 4b: Upload your Carrier CSV file into the Partner Portal

After creating your Carrier CSV file, you can upload your file into the Partner Portal to find matching carrier records in the SmartWay database. The new Portal function will attempt to match your carriers using the fields contained in your CSV file (e.g. street address, SCAC, etc.).

The Partner Portal contains detailed instructions on how to run the matching process, including the ability to send ‘reminder’ emails to your carriers. Once you have finished using the Carrier Matching function in the Partner Portal you will then create a new Carrier CSV file that can then be imported back into your Tool.

NOTE: If this is the first time you have included unique carrier identification information in your CSV file (e.g., an Internal Carrier ID) , the function in the Partner Portal will not be able to find matches using these new fields, but will be limited to common identification fields such as the carrier’s address, SCAC, MCN, and DOT. However if you include your unique carrier identification information it will be stored in the SmartWay database so that it can be used in subsequent years for quick and accurate matching. Any unique carrier identification information you supply is not shared with any other SmartWay partners, but is strictly used for matching your carriers in subsequent years.

You can log on to the Partner Portal at

<http://app6.erg.com/smartwayweb/partneraccess/emailSubmID.cfm>. Then follow the instructions regarding carrier identification to upload your CSV file and match your carriers.

Step 4c: Export your matched carrier file from the Partner Portal

When you are finished with the matching process within the Partner Portal, follow the instructions to export your carriers to a Carrier CSV file. You are now ready to import your final CSV file into the SmartWay Tool.

Step 5: Import and Validate Your CSV File

Once you have created your matched carrier data from the Partner Portal, you will import it into your SmartWay Tool. On the main Home screen of the SmartWay Tool, select the business unit into which you will be importing your CSV file.

1. You will now select the “Outside Data Import” tab under “Input Carriers.”

Input Carriers	Activity Data	% SmartWay	Data Display
Instructions	Outside Data Import	Match My Carriers	Export Carriers
		Manual Input SmartWay Carriers	Manual Input Non-SmartWay Carriers

- Click the “Select File” button on the Outside Data Import screen.

Input Carriers	Activity Data	% SmartWay
Instructions	Outside Data Import	Match My Carriers

USE OF THIS SCREEN IS OPTIONAL - NOT REQUIRED FOR NRCAN SUBMITTAL
 Business Units with a large number of carriers may choose to prepare their carrier activity systems. Please refer to the document titled 'Detailed Instructions for Data Export/Import' to prepare a CSV (comma separated values) file for import into the Tool.

If you have prepared a CSV file containing your company's carrier activity data, you may choose to find the appropriate file on your computer or network. Once you have specified your file and content. Depending upon the size of the file this process may take several seconds.

Once completed, the number of valid and invalid records will be shown on the screen, bottom of the screen. After reviewing these messages, you may either update your file by selecting the 'Import File' button. A separate progress bar will indicate when your file is imported.

File Path:
 Select File...

Import Status

Total Records	Valid Records	Invalid Records

- Select the matched Carrier CSV file you created in the Partner Portal, and click the “Open” button.
- After specifying the file path, you need to validate the data in your CSV file by using the “Validate File” button. This performs an initial validation of the data in your CSV file.

Select File...	Validate File
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- After the validation is complete, the Tool will display a list of errors and warnings for your review, and it will display a count of total records and valid records summarizing the validation results.

File Path: C:\Documents and Settings\TJacks01\Desktop\test.csv	Select File...	Validate File	Import File	Detailed Instructions for Data Export/Import (pdf)
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Import Status

Total Records	Valid Records	Invalid Records
2	2	0

Validating Record 2 of 2

100%

If your file contains errors or warnings, a message box will appear at the bottom of the screen identifying what they are. You may still import the file even if warnings or errors are detected, although any data field failing validation may be ignored or may have been set to zero. In that case, corrections can be made to the CSV file or within the Tool after importing your data.

6. The “Import File” button will now be enabled if there is at least one valid carrier entry in the file, allowing you to import your CSV file.
7. To import the file, select the “Import File” button.


WARNING: At this point the system will delete any current carrier records you may have entered for this company using the Manual Input screens, as well as any Data Source descriptions you may have entered on the Activity Data screen.

8. After importing your file, text will appear saying “Import successfully completed.”

Once you have imported your Carrier CSV file into the Tool, you may augment, correct, or modify any of the carrier data using the Tool screens. You may also view and edit any of the carrier identification information you included in your CSV file (i.e. any of the 15 fields discussed above that you included in your file). To view and/or edit this carrier identification information:

9. Select the “Match My Carriers” tab under “Input Carriers.”

Input Carriers		Activity Data	% SmartWay	Data Display	
Instructions	Outside Data Import	Match My Carriers	Export Carriers	Manual Input SmartWay Carriers	Manual Input Non-SmartWay Carriers



10. Select the “Step 5. Edit my carrier identification information” button.

Input Carriers	Activity Data	% SmartWay	Data Display
Instructions	Outside Data Import	Match My Carriers	Export Carriers
Manual Input SmartWay Carriers			

Logistics and Shipper companies may have difficulty matching their carriers with the SmartWay carriers listed in the Logistics and differences in company names, listed address, point of contact, etc. SmartWay has developed a new function that allows partners from their in-house data systems with the data submitted by current SmartWay carriers. Matching carrier lists will make it easier number of carriers to quickly and accurately complete their SmartWay Tool. Follow the steps below to match your carrier list with SmartWay carriers, allowing you to fill out your Tool more accurately and efficiently.

- Step 1. Create your Carrier CSV file
- Step 2. Import your Carrier CSV file into the Partner Portal
- Step 3. Export your matched carrier file from the Partner Portal
- Step 4. Import your matched carrier file into the Tool
- Step 5. Edit my carrier identification Information

You can find more information by selecting the "Detailed Instructions for Data Export/Import (pdf)" or "Visit the Partner Portal"

[Detailed Instructions for Data Export/Import \(pdf\)](#) [Visit the Partner Portal](#)

11. Select “Next” on the popup screen.

Match My Carriers

Step 5. Edit my carrier identification Information

If you would like to view or edit any of the carrier identification information you included in your imported CSV file (i.e. any of the 16 fields described in Step 1 that you included in your file), you may do so on the next screen. The carriers you have imported/selected are listed there, including the identification fields you imported. You can scroll through your carriers to view and/or edit the data for each carrier. Any changes you make will be saved in the Tool and uploaded into the SmartWay database. Because these identification fields will be used to find your carriers in future years, we suggest using data values that are unlikely to change over time.

Select "Next" to continue and view/edit your carrier identification information or "Close" to return to the previous screen.

ZOOM IN

CLOSE

NEXT

12. The carriers you have imported are included in the listbox on the screen, including any identification information columns and data you imported. You can scroll through the list to view the data for each partner.

Input Carriers	Activity Data	% SmartWay	Data Display
Instructions	Outside Data Import	Match My Carriers	Export Carriers
Manual Input Carriers			

The carriers you have imported or selected are included in the list below, including the identification information you imported. To edit the information for a carrier, select the carrier from the list and edit the values in the text boxes below the list. Select "Apply Edits" to save the changes to the carrier or "Cancel Edits" to discard changes. Your edits will be reflected in the list. To return to the "Outside Data Import" tab and follow the instructions for importing the new carrier identification information, select the Back button to return to the instructions for "Match My Carriers," or select the Next button to continue.

All Selected Carriers:	MyCarrierID1	MyCarrierName	MyCarrierEmailAddress
# 1 Solutions Inc	abc	Num One	abc@gmail.com
Ingram Barge Company	def	Ingram BC	def@gmail.com
non-SmartWay Air Carrier - Short Haul	ghi	Short air	ghi@gmail.com
non-SmartWay Barge Carrier	jkl		jkl@gmail.com
non-SmartWay Logistics Carrier	mno		mno@gmail.com
non-SmartWay Multi-modal Carrier	pqr		pqr@gmail.com
Zeitner & Sons, Inc.	stu	Zeitner	stu@gmail.com
Zimmerman Truck Lines, Inc.	vwx	Zim	vwx@gmail.com

MyCarrierID1:

MyCarrierName:

MyCarrierEmailAddress:

13. To edit the information for a carrier, select the carrier from the list and edit the values in the text boxes below the list. Select "Apply Edits" to save changes to the carrier or "Cancel Edits" to discard changes. Your edits will be reflected in the listbox once applied.

Input Carriers		Activity Data	% SmartWay	Data Display	
Instructions	Outside Data Import	Match My Carriers		Export Carriers	Manual Import Carriers

The carriers you have imported or selected are included in the list below, including the identification information you imported. To edit the information for a carrier, select the carrier from the list and edit the values in the "Apply Edits" to save the changes to the carrier or "Cancel Edits" to discard changes. Your edits will be reflected in the list below. To return to the "Outside Data Import" tab and follow the instructions for importing the new carrier information, click the Back button to return to the instructions for "Match My Carriers," or select the Next button to continue.

All Selected Carriers:	MyCarrierID1	MyCarrierName	MyCarrierEmailAddress
# 1 Solutions Inc.	abc	Num One	abc@gmail.com
Ingram Barge Company	def	Ingram BC	def@gmail.com
non-SmartWay Air Carrier - Short Haul	ghi	Short air	ghi@gmail.com
non-SmartWay Barge Carrier	jkl		jkl@gmail.com
non-SmartWay Logistics Carrier	mno		mno@gmail.com
non-SmartWay Multi-modal Carrier	pqr		pqr@gmail.com
Zeitner & Sons, Inc.	stu	Zeitner	stu@gmail.com
Zimmerman Truck Lines, Inc.	vwx	Zim	vwx@gmail.com

MyCarrierID1

abc edit

MyCarrierName

Num One

MyCarrierEmailAddress

abc@gmail.com

Apply Edits

Cancel Edits

Step 6: Enter Additional Data into the Tool

In addition to carrier-specific information, the Tool will require you to enter additional information using the Tool user-interface. This includes describing the

- Data source for your activity data,
- Explanations for any data that is significantly outside expected ranges (e.g., high/low payloads).

You will also need to specify a % SmartWay option, and (for Shipper Tool users) a description of a Custom Factor and associated data sources if you chose to use that option for your % SmartWay allocation.

After importing your carrier data, please review every screen among the data entry screens to verify completeness. The Tool will prompt you when data is missing or in error. Alternatively, you may select the Validate Screen button at the bottom of each screen, which will allow you to see all of the errors.

You are now ready to review your Tool, view data reports, and submit your final Tool to EPA for approval.

Appendix

SPECIAL CASES/CONSIDERATIONS

YOU WISH TO ADD MORE CARRIER DATA AT A LATER DATE.

The Outside Data Import function was designed so that you can also add more carrier data at a later date. To add carrier data, you should use the Export Selected Carriers option so that all of the carrier data in the Tool is exported to a CSV file. You can then insert your additional carrier data into this exported CSV file using Excel and then re-import the modified CSV file.

YOU HAVE MANUALLY ENTERED CARRIER DATA INTO THE TOOL AND THEN DECIDE TO IMPORT OUTSIDE DATA.

When you perform an Outside Data Import, all previously entered or imported carrier data in the Tool is deleted. If you need to merge carrier data from the Tool with other carrier data, you can either manually enter the additional information into the Tool, or enter it into an exported CSV file. If you chose the latter approach, you should use the 'Export Selected Carriers' button to export that data, and then add or copy/paste your additional carrier data into this newly exported CSV, then re-import the updated CSV back into the Tool.

YOU CHOOSE TO EXPORT THE DATA AS A CSV FILE AFTER YOU HAVE ENTERED ACTIVITY DATA IN THE "Average Density" AND "Average Load Percentage" POP-UP CALCULATORS.

When exporting and then re-importing your selected carriers, any values you may have entered into the Average Density and Average Load Percentage pop-up calculators prior to export will be lost. The previously-calculated output values from the calculators will still be retained, although the calculator inputs themselves will be wiped out. You will also lose any previously entered Out of Range explanations.

Glossary:

DOT Numbers - Companies that operate commercial vehicles transporting passengers or hauling cargo in interstate commerce must be registered with the Federal Motor Carrier Safety Administration and must have a USDOT Number. Also, commercial intrastate hazardous materials carriers who haul quantities requiring a safety permit must register for a USDOT Number. The USDOT Number serves as a unique identifier when collecting and monitoring a company's safety information acquired during audits, compliance reviews, crash investigations, and inspections. DOT numbers are strictly numeric and can be up to 7 digits in length.

Emissions Factors - Emissions factors have long been the fundamental tool in developing national, regional, state, and local emissions inventories for air quality management decisions and in developing emissions control strategies. More recently, emissions factors have been applied in determining site-specific applicability and emissions limitations in operating permits by federal, state, local, and tribal agencies, consultants, and industry.

EPA's SmartWay Database - EPA maintains a database containing key SmartWay Partner information. This database is used to create the SmartWay Carrier Data file.

MCN - This is a truck carrier's Motor Carrier Number (MCN) number. This is an optional field you can use to visually determine if a SmartWay carrier is one of the carriers you use. This field is not currently used to merge SmartWay data with your carrier data. If you created your CSV file using one of the two Export buttons on the Select Carriers screen, you should not change these values.

Ranking Category - This refers to the operation type category used for ranking carriers (e.g., TL, Dry Van, Dray, etc.). Carriers are grouped by these categories to ensure an "apples-to-apples" comparison. The emissions factors associated with truck carriers are the midpoint values for their ranking category. Note that other carrier types do not have differentiated ranking categories (i.e., all Barge carriers are grouped in the same category for ranking). Also note that performance factors associated with rail carriers are based on modal averages (for both SmartWay and Non-SmartWay carriers), based on publicly available information, rather than on actual carrier performance.

SCAC - The Standard Carrier Alpha Code (SCAC) is a unique two-to-four-letter code used to identify transportation companies. SCACs are assigned by the National Motor Freight Traffic Association, Inc. (NMFTA). If you cannot remember your SCAC(s), please contact NMFTA before proceeding. You can find NMFTA contact information at <http://www.nmfta.org/Pages/ContactUs.aspx>.

CSV File Field Descriptions:

- **Carrier ID** - This is an internal identifier that can be used to identify your carriers. This number originates from the SmartWay Database. A negative number identifies the carrier as a non-SmartWay carrier. If you created your CSV file using one of the two Export buttons on the Export Carriers screen, you should not change these values. If you are merging your carrier data with the SmartWay Carrier data using the carrier name, carrier mode, and carrier ranking category, you do not need to specify this internal ID field.
- **Carrier Name** - This is simply the name of the carrier. If you are using the internal Carrier ID field to merge your data with the SmartWay data, you do not need to specify this field. If you are using this field to merge the data together, the names must be an exact match, but the names are not case sensitive. If you created your CSV file using one of the two Export buttons on the Export Carriers screen, you should not change these values.
- **Mode** - In this field, the carrier mode must be identified as “Truck,” “Rail,” “Multi-modal,” “Barge,” “Air,” or “Logistics.” This field is required and must be an exact match if you are using carrier name, carrier mode, and ranking category to merge the SmartWay data with your carrier data. If you created your CSV file using one of the two Export buttons on the Export Carriers screen, you should not change these values.
- **Ranking Category** - This refers to the operation/equipment type category SmartWay uses for ranking carriers; e.g., TL, Dry Van, Dray, etc. (For more information on Ranking Categories, please see the Glossary above.) If you created your CSV file using one of the two Export buttons on the Select Carriers screen, you should not change these values. Valid values for Truck carriers are as follows:
 - Auto carrier
 - Dray
 - Expedited
 - Flatbed
 - Heavy/Bulk
 - LTL/Dry Van
 - Mixed
 - Moving
 - Package
 - Refrigerated
 - Specialized
 - Tanker
 - TL/Dry Van

The only valid value for Multi-modal carriers is Truck/Rail. Rail, Barge, Air, and Logistics carriers do not have specific names for their ranking category.

- **SCAC** - This is a truck carrier’s Standard Carrier Alpha Code. This is an optional field you can use to visually determine if a SmartWay carrier is one of the carriers you use. This field is not currently used to merge SmartWay data with your carrier data. If you

created your CSV file using one of the two Export buttons on the Select Carriers screen, you should not change these values.

- **MCN** - This is a truck carrier's Motor Carrier Number. This is an optional field you can use to visually determine if a SmartWay carrier is one of the carriers you use. This field is not currently used to merge SmartWay data with your carrier data. If you created your CSV file using one of the two Export buttons on the Select Carriers screen, you should not change these values.
- **DOT Number** - This is a truck carrier's US Department of Transportation number. This is an optional field you can use to visually determine if a SmartWay carrier is one of the carriers you use. This field is not currently used to merge SmartWay data with your carrier data. If you created your CSV file using one of the two Export buttons on the Select Carriers screen, you should not change these values.
- **Data Availability** - This is an integer field whose valid values are 1 to 7 for most carrier types. The Data Availability Option entered/selected for a carrier identifies which carrier activity data you have available. The data that you enter in your CSV file for Ton-Miles, Total Miles, Average Density, Average Load pct, and Average Payload must be consistent with the carrier's Data Availability. If you do not specify a Data Availability Option, the Tool will select a default.

The seven Data Availability Options are:

1. I know both Ton-miles and Total Miles.
2. I know Ton-miles and Average Payload.
3. I know Ton-miles and have general information about number of loads and type of cargo.
4. I know Ton-miles only.
5. I know Total Miles and Average Payload.
6. I know Total Miles and have general information about number of loads and type of cargo.
7. I know Total miles only.

Note that Data Availability Options for LTL, package, and multi-modal carriers are restricted to 1, 2, 3, 4 and 5 due to the difficulty allocating mileage fractions for these carriers without payload-related information.

- **Calc Metric** - The Calculation Metric is a required field. In general, there are three valid values, but some of these values are only available for certain Mode and Data Availability combinations. The three valid values are g/mile, g/ton-mile, and g/railcar-mile. This field specifies the method for calculating each carrier's emission footprint. In making your selection, consider the most appropriate measure for the carrier's

operation type. In general, ton-miles are good for LTL, package delivery, barge, air, rail, multi-modal and logistics companies as well as heavier truck loads (roughly above 10 tons), while g/mile may be better for trucks with lighter payloads. Railcar-miles are also an available option for the rail mode, although ton-miles are preferred.

- **Ton-Miles** - Depending on your Data Availability option, this field may be required or ignored. The Ton-Miles field is an integer that must not exceed 209,207,446,000.
- **Total Miles** - Depending on your Data Availability option, this field may be required or ignored. When entered, this field will contain the total miles per year attributed to each truck, barge, air, and/or rail carrier. The Tool assumes that miles entered for truck carriers correspond to (full) truckload-equivalent miles. Rail-miles correspond to railcar miles. The Total Miles field is an integer that must not exceed 999,999,999,999,999.
- **Average Density** - Depending on your Data Availability Option, this field may be required or ignored. This field is used to calculate Total Miles, accounting for shipper-company-specific freight densities. The density calculator must be used for Data Availability Options 3 and 6. The Average Density field is a numeric field greater than zero, with up to one decimal place. Note that density data is not available for air freight at this time, and air carriers cannot select Data Availability Options 3 or 6.
- **Average Load Pct** - Depending on your Data Availability Option, the Average Load Pct (percent) field may be required or ignored. Use the Average Load Pct calculator to determine what fraction of your truck and rail carrier's cargo space is used to ship your freight. The Load Percent calculator must be used for Data Availability Options 3 and 6. Enter the load information as requested. The resulting percentages (shown on the Activity Data screen) are multiplied directly by Total Miles to scale carrier mileage. The Average Load Pct field is an integer field that must be between 1 and 100.
- **Average Payload** - Depending on your Data Availability Option, this field may be required or ignored. Enter payload (in short tons – i.e., 2,000 lbs) representing the average cargo weight per loaded trip. Average Payload must be entered for Data Availability Options 2 and 5. The Average Payload field is a numeric field that must be greater than zero. Maximum allowable payloads vary by mode and truck operation category – please see the Shipper Tool Technical Documentation for details. The total number of digits, including the decimal point, must not exceed 5 digits.