Certificate Review Sheet for Independent Commercial Importers (ICIs)
(Model Year 2020 and Later)

Independent Commercial Importers complete the following 18-step process:

Model Year of Certificate_______  Test Group/Engine Family ____________________________
ICI________________________  Evaporative Family(s) ____________________________
Models covered: ________________________________________________________________

Original production year (OP year) ______ Test Vehicle VIN_____________________

EPA only:  Verified build date of test vehicle with OEM; built on____________________
EPA only:  Verified build date of other vehicles listed on fee form with OEM

Comments: ___________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________
_____________________________________________________________________________

1. Send letter to EPA describing your company’s plans; request an initial ICI package, etc.
   New ICI----Is this the first Certificate issued to your company?  [ ] Yes;  [ ] No.

2. Send a letter to EPA containing answers to the 14 questions, etc.  EPA assigns mfr code.
   Date you last submitted answers to 14 questions (required each year).____________

3. If necessary, request OEM application from EPA (through FOIA process) etc.

   [ ] Application follows CAP 2000 format (ref. CD 14-19, November 24, 2014)
   [ ] Application contains Summary Sheet similar to EPA Verify CSI output report.

5. Locate a test laboratory, etc; ref. https://nepis.epa.gov/Exe/ZyPDF.cgi/P100H1IU.PDF?Dockey=P100H1IU.PDF.
   Laboratory where tests were performed: ____________________________

6. Submit fee payment & fee filing form per CD-18-02, Feb 20, 2018; see
   [ ] Full Fee Paid ($87,860 if complete application received by EPA Jan 1, 2020 to Dec 31, 2020)
   [ ] Reduced Fee:  Amount Paid_______; Total retail value of all vehicles paid for $_______
       Number of vehicles paid for_____; Retail value of most expensive vehicle paid for:  $_______
   [ ] Copy of fee filing form & basis for reduced fees in application.  (Do not send a copy of check.)

7. Submit ORVR information to EPA per EPA guidance letters VPCD-98-15, Dec 21, 1998; CCD-00-10,
   Aug 3, 2000; and CCD-05-03, Feb 15, 2005. ORVR is required for:
       2000 & later OP year passenger cars; 2001 & later OP year LLDTs (0-6000 lb GVWR); and
       2004 & later OP year HLDTs (6001-8500 lb GVWR).
   [ ] ORVR safety information included in application.  Date approved by EPA__________

8.A  OBD-II: Include a description of OBD II system in application, etc.
   [ ] OBD-II installed (1994 & later OP year)
   [ ] OBD-I installed in lieu of OBD II (possible for 1994 & 1995 OP year)
   [ ] Not equipped; (possible for pre-1996 OP year)  Date approved by EPA____________
8.B **Service Information Availability:** Include in the application a description of how service information will be provided to independent repair facilities; ref 40 CFR 86.1808-01 (f).

[ ] Sales > 1000: Website address_______________________________
[ ] Sales < 1000: Alternate method approved by EPA. Date approved by EPA_________________

9. **Testing:** Perform all required certification emission tests and submit a test request to EPA via EPA’s Verify database. If vehicle is selected for confirmatory testing, must provide vehicle to EPA Ann Arbor, Michigan laboratory.

[ ] Tested on electric single roll or other EPA-approved dynamometer; ref 85.1818(a)(2)(ii)(A)
[ ] Prior to ICI testing, ICI accumulated at least 2000 miles on certification test vehicle (after installing emission-related parts); miles accumulated __________

[ ] Test Waiver submitted to EPA [ ] Tested at EPA [ ] Waived
[ ] Number of vehicles tested at EPA for this ICI for the past calendar year____________
[ ] Number of vehicles waived for this ICI for the past calendar year______________
If tested at EPA: [ ] Passed FTP standards; [ ] Passed 2-day evap; [ ] Passed US06;
[ ] Inspected ORVR system [ ] Not equipped with ORVR.
[ ] Inspected OBD-II system operation [ ] Not equipped with OBD-II

10. **Application for certification:** Submit the completed Part 1 Application to EPA’s Verify database. This should contain detailed description of the emission-related components, etc.

[ ] Option A: Identical to U.S. certified OEM vehicle (for [ ] Evap and/or [ ] Exhaust components):

[ ] Exhaust emission-related components/OBD-II components for vehicles in this test group are identical to U.S. certified vehicles in OEM Test Group____________________
[ ] Evaporative-related components for vehicles in this evaporative/refueling family are identical to U.S. certified vehicles in OEM Evap/Refueling family____________________

[ ] Application contains reduced testing compliance statements; ref. 40 CFR 85.1515(a)(1).
[ ] Application contains parts list for emission-related parts actually installed by ICI

[ ] ICI installed new catalysts identical to OEM’s U.S. certified catalysts; or
[ ] ICI retained non-U.S. catalysts (Requires prior EPA approval, proof U.S. & non-U.S.
catalysts are identical, ICI’s method to evaluate performance of used non-U.S. catalysts)

[ ] EPA only: Receipts provided for OEM catalyst & emission-related parts
[ ] EPA only: Verified that ICI part numbers match OEM’s part numbers

[ ] Option B: Not Identical to U.S. certified OEM vehicle (for [ ] Evap and/or [ ] Exhaust components):

ICI tests performed: [ ] FTP, [ ] Hwy, [ ] Cold FTP, [ ] 2-day evap, [ ] 3-day evap, [ ] ORVR,
[ ] US06 & SC03 (required on 2002 & later OP year cars & LLDTs; 2004 & later HLDTs)

**Emission Standards:**

[ ] Tier 0 standards; ref Table in 40 CFR 85.1515 [See NOTE]
[ ] Tier 1 (1996-03 OP year for LDV/LLDTs; 97-03--HLDTs) [See NOTE]
[ ] Interim non-Tier 2 Bin ____ (2004-06 OP year cars & LLDTs; normally Bin 1-9) [See NOTE]
[ ] Any Bin ____ (2004-06 OP year HLDT/MDPVs; except Bin 11 =for MDPVs, only) [See NOTE]

**NOTE:** Total number of vehicles not meeting 2020 emission standards is limited to 50 per ICI per year

[ ] Tier 2 Bin ____ (2007 and later OP cars and LLDTs; normally Bin 1-5)
[ ] Interim non-Tier 2 Bin ____ (2007-08 OP year HLDT/MDPVs; (normally Bin 1-8) [See NOTE]
[ ] Tier 2 Bin ____ (2009 and later OP year HLDT/MDPVs; (normally Bin 1-5)
[ ] Tier 3 Bin ____ (2017 and later OP year if OEM vehicle was certified to Tier 3 standards)
[ ] Test vehicle passed all applicable emission standards

12. Build/modify vehicles. Affix underhood labels & window stickers. For certified vehicles entering U.S. under 85.1505, test every 3rd vehicle (up to 300), etc. For mod/test vehicles entering under 85.1509, test every vehicle. [Note: 2000 miles not required.]

13. **Submit Final Entry Form to EPA for 15 day holding period. Pay add’l fees and submit fee filing form, if necessary.**

14. Supply customer with 40 CFR 85.1510 information (owner’s manuals, warranty, guzzler forms, etc).

15. Submit final Part 1/Part 2 application to EPA’s Verify database, per 40 CFR 86.1844-01.


17. Pay the gas guzzler tax to the IRS, for vehicles owned or offered for resale by the ICI.

18. Submit CAFE report to EPA, as required by DOT-NHTSA; ref. 40 CFR 85.1510 (f) & 40 CFR 600.501-512.

I certify that to the best of my knowledge the above statements are true:

ICI Signature: ______________________________________ Date: _________

EPA: Certificate Reviewed by: _________________________________ Date: _________ rev. 1/7/2020